#### Highly oxygenated organic molecules (HOM) formation in the 1 isoprene oxidation by NO<sub>3</sub> radical 2

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#### 14 Abstract

15 Highly oxygenated organic molecules (HOM) are found to play an important role in the formation and 16 growth of secondary organic aerosol (SOA). SOA is an important type of aerosol with significant impact on air 17 quality and climate. Compared with the oxidation of volatile organic compounds by ozone (O<sub>3</sub>) and hydroxyl radical (OH), HOM formation in the oxidation by nitrate radical (NO<sub>3</sub>), an important oxidant at night-time and dawn, has 18 19 received less attention. In this study, HOM formation in the reaction of isoprene with NO<sub>3</sub> was investigated in the 20 SAPHIR chamber (Simulation of Atmospheric PHotochemistry In a large Reaction chamber). A large number of 21 HOM including monomers ( $C_5$ ), dimers ( $C_{10}$ ), and trimers ( $C_{15}$ ), both closed-shell compounds and open-shell peroxy 22 radicals (RO<sub>2</sub>), were identified and were classified into various series according to their formula. Their formation 23 pathways were proposed based on the peroxy radicals observed and known mechanisms in the literature, which were 24 further constrained by the time profiles of HOM after sequential isoprene addition to differentiate first- and second-25 generation products. HOM monomers containing one to three N atoms (1-3N monomers) were formed, starting with 26 NO<sub>3</sub> addition to carbon double bond, forming peroxy radicals, followed by autoxidation. 1N monomers were formed 27 by both the direct reaction of NO<sub>3</sub> with isoprene and of NO<sub>3</sub> with first-generation products. 2N-monomers (e.g. 28  $C_5H_8N_2O_n$  (n=7-13),  $C_5H_{10}N_2O_n$  (n=8-14)) were likely the termination products of  $C_5H_9N_2O_n^{\bullet}$ , which was formed by the addition of NO<sub>3</sub> to C5-hydroxynitrate (C<sub>5</sub>H<sub>9</sub>NO<sub>4</sub>), a first-generation product containing one carbon double bond. 2N-29 30 monomers, which were second-generation products, dominated in monomers and accounted for ~34% of all HOM, 31 indicating the important role of second-generation oxidation in HOM formation in the isoprene+NO<sub>3</sub> reaction under 32 our experimental conditions. H-shift of alkoxy radicals to form peroxy radicals and subsequent autoxidation 33 ("alkoxy-peroxy" pathway) was found to be an important pathway of HOM formation. HOM dimers were mostly 34 formed by the accretion reaction of various HOM monomer RO2 and via the termination reactions of dimer RO2 35 formed by further reaction of closed-shell dimers with NO<sub>3</sub> and possibly by the reaction of C5-RO<sub>2</sub> with isoprene. 36 HOM trimers were likely formed by the accretion reaction of dimer RO<sub>2</sub> with monomer RO<sub>2</sub>. The concentrations of 37 different HOM showed distinct time profiles during the reaction, which was linked to their formation pathway. HOM 38 concentrations either showed a typical time profile of first-generation products, or of second-generation products, or 39 a combination of both, indicating multiple formation pathways and/or multiple isomers. Total HOM molar yield was 40 estimated to be  $1.2\%_{0.1\%}^{+1.3\%}$ , which corresponded to a SOA yield of ~3.6% assuming the molecular weight of C<sub>5</sub>H<sub>9</sub>NO<sub>6</sub>

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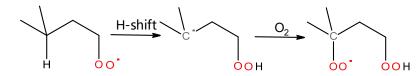
- 41 as the lower limit. This yield suggests that HOM may contribute a significant fraction to SOA yield in the reaction
- 42 of isoprene with NO<sub>3</sub>.

### 43 1 Introduction

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44 Highly oxygenated organic molecules (HOM) are an important class of compounds formed in the oxidation of volatile of organic compounds (VOC) including biogenic VOC (BVOC) and anthropogenic VOC (Crounse 45 et al., 2013; Ehn et al., 2014; Jokinen et al., 2014; Rissanen et al., 2014; Jokinen et al., 2015; Krechmer et al., 46 2015; Mentel et al., 2015; Rissanen et al., 2015; Kenseth et al., 2018; Molteni et al., 2018; Garmash et al., 2019; 47 McFiggans et al., 2019; Molteni et al., 2019; Quelever et al., 2019). A number of recent studies have 48 49 demonstrated that HOM play a pivotal role in both nucleation and also particle growth of pre-existing particles, thus contributing to secondary organic aerosol (SOA) (Ehn et al., 2014; Kirkby et al., 2016; Tröstl et al., 2016). 50 Particularly, in the early stage of aerosol growth, HOM may contribute a significant fraction of SOA mass 51 52 (Tröstl et al., 2016).

HOM are formed by the autoxidation of peroxy radicals (RO<sub>2</sub>), which means they undergo intramolecular H-shift forming alky radicals, followed by O<sub>2</sub> addition leading to formation of new RO<sub>2</sub> as shown below (Vereecken et al., 2007; Crounse et al., 2013; Ehn et al., 2017; Bianchi et al., 2019; Møller et al., 2019; Nozière and Vereecken, 2019; Vereecken and Nozière, 2020).



Besides autoxidation, the RO<sub>2</sub> can also react with HO<sub>2</sub>, RO<sub>2</sub> and NO<sub>3</sub>, either forming a series of termination
products (R1-3), including organic hydroxyperoxide, alcohol, and carbonyl, or forming alkoxy radicals (RO,
R4-5) via the following reactions.

$$RO_2 + R'O_2 \rightarrow ROH + R = O$$
(R1)

$$RO_2 + R'O_2 \rightarrow R = O + R'OH$$
(R2)

$$RO_2 + HO_2 \rightarrow ROOH \tag{R3}$$

$$RO_2 + R'O_2 \rightarrow RO + R'O + O_2$$
(R4)

$$RO_2 + NO_3 \rightarrow RO + NO_2 + O_2 \tag{R5}$$

 $RO_2 + R'O_2 \rightarrow ROOR' + O_2$ (R6)

The termination products are detected in the mass spectra at masses M+1, M-15, M-17 respectively with 67 M being the molecular mass of the parent RO<sub>2</sub> (Ehn et al., 2014; Mentel et al., 2015). In case that RO<sub>2</sub> is an acyl 68 peroxy radical, percarboxylic acids and carboxylic acids are formed instead of hydroperoxides and alcohols in 69 70 R3 and R1, respectively (Atkinson et al., 2006; Mentel et al., 2015). RO<sub>2</sub> can also form HOM dimers by the accretion reaction of two RO<sub>2</sub> (R6) (Berndt et al., 2018a; Berndt et al., 2018b; Valiev et al., 2019). Additionally, 71 HOM can be formed via H-shift in RO followed by  $O_2$  addition (referred to as "alkoxy-proxy" pathway) 72 73 (Finlayson-Pitts and Pitts, 2000; Vereecken and Peeters, 2010; Vereecken and Francisco, 2012; Mentel et al., 74 2015). These pathways are summarized in a recent comprehensive review (Bianchi et al., 2019), which also

75 further clarifies HOM definition.

76 Currently, most laboratory studies of HOM formation focus on the VOC oxidation by OH and O<sub>3</sub> (Crounse 77 et al., 2013; Ehn et al., 2014; Jokinen et al., 2014; Rissanen et al., 2014; Jokinen et al., 2015; Krechmer et al., 78 2015; Mentel et al., 2015; Rissanen et al., 2015; Kirkby et al., 2016; Tröstl et al., 2016; Kenseth et al., 2018; 79 Molteni et al., 2018; Garmash et al., 2019; McFiggans et al., 2019; Molteni et al., 2019; Quelever et al., 2019; 80 Wang et al., 2020; Yan et al., 2020). HOM formation in the oxidation of VOC with NO<sub>3</sub> has received much less attention. NO<sub>3</sub> is another important oxidant of VOC mainly operating during nighttime. Particularly, NO<sub>3</sub> has 81 82 high reactivity with unsaturated BVOC such as monoterpene and isoprene. It is often the dominant oxidant of 83 these compounds at night, especially in regions where biogenic and anthropogenic emissions mix (Geyer et al., 2001; Brown et al., 2009; Brown et al., 2011). The reaction products contribute to SOA formation (Xu et al., 84 85 2015; Lee et al., 2016). Also, the organic nitrates produced in these reactions play an important role in nitrogen chemistry by altering NO<sub>x</sub> concentration, which further influences photochemical recycling and ozone 86 87 formation in the next day. Among these reaction products, HOM can also be formed (Xu et al., 2015; Lee et al., 88 2016; Yan et al., 2016). Despite the potential importance, studies of HOM formation in the oxidation of BVOC 89 by NO<sub>3</sub> are still limited compared with the HOM formation via oxidation by O<sub>3</sub> and OH. Although a number of 90 laboratory studies have investigated the reaction of NO<sub>3</sub> with BVOC (Ng et al., 2008; Fry et al., 2009; Rollins 91 et al., 2009; Fry et al., 2011; Kwan et al., 2012; Fry et al., 2014; Boyd et al., 2015; Schwantes et al., 2015; Nah 92 et al., 2016; Boyd et al., 2017; Claflin and Ziemann, 2018; Faxon et al., 2018; Draper et al., 2019; Takeuchi and 93 Ng, 2019; Novelli et al., 2021; Vereecken et al., 2021), these studies mostly focus on either SOA yield and 94 composition, or on the gas-phase chemistry mechanism mainly for "traditional" oxidation products that stem 95 from few oxidation steps.

96 Importantly, HOM formation in the reaction of NO<sub>3</sub> with isoprene, the most abundant BVOC accounting 97 for more than half of the global BVOC emissions, has not been explicitly addressed yet, to the best of our 98 knowledge. Although isoprene from plants are mainly emitted under light conditions, i.e., in the daytime, 99 isoprene can remain high after sunset in significant concentrations (Starn et al., 1998; Stroud et al., 2002; Brown et 100 al., 2009) because of the reduced consumption by OH and is found to decay rapidly. A substantial fraction of 101 isoprene can then be oxidized by NO<sub>3</sub> (Brown et al., 2009). Regarding the budget of NO<sub>3</sub>, the reaction of isoprene 102 with NO<sub>3</sub> can contribute to a significant or even dominant fraction of NO<sub>3</sub> loss at night in regions where VOC is 103 dominated by isoprene such as Northeast US (Brown et al., 2009). Under some circumstances, the reaction of isoprene 104 with NO<sub>3</sub> can contribute to a significant fraction during the afternoon and afterwards (Ayres et al., 2015; Hamilton 105 et al., 2021). The reaction of isoprene with NO<sub>3</sub> is the subject of a number of studies (Ng et al., 2008; Perring et 106 al., 2009; Rollins et al., 2009; Kwan et al., 2012; Schwantes et al., 2015; Vereecken et al., 2021). These studies 107 focus on the oxidation mechanism and "traditional" oxidation products, as well as SOA yields. The initial step 108 is the NO<sub>3</sub> addition to one of the C=C double bounds, preferentially to the carbon C1 (Schwantes et al., 2015), followed by O<sub>2</sub> addition forming a nitrooxyalkyl peroxy radical (RO<sub>2</sub>). This RO<sub>2</sub> can undergo the reactions 109 110 described above, forming a series of products such as C5-nitrooxyhydroperoxide, C5-nitrooxycarbonyl, and C5-111 hydroxynitrate (Ng et al., 2008; Kwan et al., 2012), as well as methyl vinyl ketone (MVK), potentially nitrate yield (57-95%) was found (Perring et al., 2009; Rollins et al., 2009; Kwan et al., 2012; Schwantes et al.,

114 2015). Products in the particle phase such as  $C_{10}$  dimers were also detected (Ng et al., 2008; Kwan et al., 2012;

115 Schwantes et al., 2015). The SOA yield varies from 2% to 23.8% depending on the organic aerosol concentration

116 (Ng et al., 2008; Rollins et al., 2009). These studies have provided valuable insights in oxidation mechanism,

117 particle yield and composition. However, because HOM formation was not the focus of these studies, only a

- 118 limited number of products, mainly moderately oxygenated ones (oxygen number  $\leq 2$  in addition to NO<sub>3</sub>
- 119 functional groups), were detected in the gas phase. The detailed mechanism of HOM formation and their yields
- 120 in the reaction of BVOC+NO<sub>3</sub> are still unclear.

In this study, we investigated the HOM formation in the oxidation of isoprene by NO<sub>3</sub>. We report the identification of HOM, including HOM monomers, dimers, and trimers. According to the reaction products and literature, we discuss the formation mechanism of these HOM. The formation mechanism of various HOM is further constrained with time series of HOM upon repeated isoprene additions. We also provide an estimate of HOM yield in the isoprene+NO<sub>3</sub> reaction and assess their roles in SOA formation.

### 126 **2** Experimental

## 127 **2.1** Chamber setup and experiments

Experiments investigating the reaction of isoprene with NO<sub>3</sub> were conducted in the SAPHIR chamber (Simulation of Atmospheric PHotochemistry In a large Reaction chamber) at Forschungszentrum Jülich, Germany. The details of the chamber have been described before (Rohrer et al., 2005; Zhao et al., 2015a; Zhao et al., 2015b; Zhao et al., 2018). Briefly, SAPHIR is a Teflon chamber with a volume of 270 m<sup>3</sup>. It can utilize natural sunlight for illumination and is equipped with a louvre system to switch between light and dark conditions. In this study, the experiments were conducted in the dark with the louvres closed.

134 Temperature and relative humidity were continuously measured. Gas and particle phase species were 135 characterized using a comprehensive set of instruments with the details described before (Zhao et al., 2015b). 136 VOC were characterized using a Proton Transfer Reaction Time-of-Flight Mass Spectrometer (PTR-ToF-MS, Ionicon Analytik, Austria). NO<sub>x</sub> and O<sub>3</sub> concentrations were measured using a chemiluminescence NO<sub>x</sub> analyzer 137 (ECO PHYSICS TR480) and an UV photometer O<sub>3</sub> analyzer (ANSYCO, model O341M), respectively. OH, 138 139 HO<sub>2</sub> and RO<sub>2</sub> concentrations were measured using a laser induced fluorescence system (LIF) (Fuchs et al., 2012). 140  $NO_3$  and  $N_2O_5$  were detected by a custom-built instrument based on cavity ring-down spectroscopy. The design 141 of the instrument is similar to that described by Wagner et al. (2011). NO<sub>3</sub> was directly detected in one cavity 142 by its absorption at 662 nm and the sum of NO<sub>3</sub> and  $N_2O_5$  in a second, heated cavity, which had a heated inlet 143 to thermally decompose  $N_2O_5$  to NO<sub>3</sub>. The sampling flow rate was 3 to 4 liters per minute. The detection by 144 cavity ring-down spectroscopy was achieved by a diode laser that was periodically switched on and off with a 145 repetition rate of 200 Hz. Ring-down events were observed by a digital oscilloscope PC card during the time 146 when the laser was switched off and were averaged over 1s. The zero-decay time that is needed to calculate the 147 concentration of NO<sub>3</sub> was measured every 20 s by chemically removing NO<sub>3</sub> in the reaction with excess nitric

oxide (NO) in the inlet system. The accuracy of measurements was limited by the uncertainty in the correction for inlet losses of NO<sub>3</sub> and N<sub>2</sub>O<sub>5</sub>. In the case of N<sub>2</sub>O<sub>5</sub> a transmission of  $(85\pm10)$  % was achieved and in the case of NO<sub>3</sub> of  $(50\pm30)$  %.

151 Before an experiment, the chamber was flushed with high purity synthetic air (purity>99.9999% O<sub>2</sub> and N<sub>2</sub>). 152 Experiments were conducted under dry condition (RH<2 %) and temperature was at 302±3 K. NO<sub>2</sub> and O<sub>3</sub> were added to the chamber first to form  $N_2O_5$  and  $NO_3$ , reaching concentrations of ~60 ppb for  $NO_2$  and ~100 ppb for  $O_3$ . 153 After around half an hour, isoprene was sequentially added into the chamber for three times at intervals of  $\sim 1$  h. 154 155 Around 40 min after the third isoprene injection, NO<sub>2</sub> was added to compensate the loss of NO<sub>3</sub> and N<sub>2</sub>O<sub>5</sub>. Afterwards, 156 three isoprene additions were repeated in the same way as before. O<sub>3</sub> was added before the fifth and the sixth isoprene 157 addition to compensate for its loss by reaction. The schematic for the experimental procedure is shown in Fig. S1. Experiments were designed such that the chemical system was dominated by the reaction of isoprene with NO<sub>3</sub> and 158 159 the reaction of isoprene with  $O_3$  did not play a major role (<3% of the isoprene consumption). Figure S2 shows the 160 relative contributions of the reaction of  $O_3$  and  $NO_3$  with isoprene to the total chemical loss of isoprene using the 161  $NO_3$  and  $O_3$  concentrations measured. The reaction with  $NO_3$  accounted for >95% of the isoprene consumption for 162 the whole experiments. The contribution of the reaction of isoprene with trace amount of OH, mainly produced in 163 the reaction of isoprene+O<sub>3</sub> via Criegee intermediates (Nguyen et al., 2016), is negligible as the OH yield is less than one (Malkin et al., 2010) and thus its contribution is less than that of isoprene+ $O_3$ . This is consistent with the 164 165 contribution determined using measured OH concentration, despite some uncertainty in measured OH concentration due to the interference from  $NO_3$ . In these experiments,  $RO_2$  fate is estimated to be dominated by its reaction with 166 167 NO<sub>3</sub> according to the measured NO<sub>3</sub>, RO<sub>2</sub>, and HO<sub>2</sub> concentration and their rate constants for the reactions with RO<sub>2</sub> 168 (MCM v3.2(Jenkin et al., 1997; Jenkin et al., 2003; Saunders et al., 2003; Jenkin et al., 2015), via website: 169 http://mcm.leeds.ac.uk/MCM) despite uncertainties of the measured RO<sub>2</sub> and HO<sub>2</sub> concentration due to interference 170 from NO<sub>3</sub>. As a large portion of RO<sub>2</sub> is not measured by LIF (Vereecken et al., 2021) and thus RO<sub>2</sub> is underestimated, 171 we expected the reaction of RO<sub>2</sub>+RO<sub>2</sub> to be also important. Overall, we estimate that he RO<sub>2</sub> fate is dominated the 172 reaction RO<sub>2</sub>+NO<sub>3</sub> with significant contribution of RO<sub>2</sub>+RO<sub>2</sub>.

#### 173 2.2 Characterization of HOM

174 In this study we refer to similar definition for HOM by Bianchi et al. (2019), i.e., HOM typically contain six or 175 more oxygen atoms formed via autoxidation and related chemistry of peroxy radicals. HOM were detected using a 176 Chemical Ionization time-of-flight Mass Spectrometer (Aerodyne Research Inc., USA) with nitrate as the reagent ion 177 (CIMS) (Eisele and Tanner, 1993; Jokinen et al., 2012). <sup>15</sup>N nitric acid was used to produce <sup>15</sup>NO<sub>3</sub><sup>-</sup> in order to 178 distinguish the NO<sub>3</sub> group in target molecules formed in the reaction from the reagent ion. The details of the 179 instrument are described in our previous publications (Ehn et al., 2014; Mentel et al., 2015; Pullinen et al., 2020). 180 The CIMS has a mass resolution of ~4000 (m/dm). Examples of peak fitting are shown in Fig. S3. HOM 181 concentrations were estimated using the calibration coefficient of  $H_2SO_4$  as described by Pullinen et al. (2020) 182 because the charge efficiency of HOM and H<sub>2</sub>SO<sub>4</sub> can be assumed to be equal and close to the collision limit (Ehn et 183 al., 2014; Pullinen et al., 2020). The details of the calibration with  $H_2SO_4$  are provided in the supplement S1. Since 184 HOM contain more than six oxygen atoms and their clusters with nitrate ions are quite stable (Ehn et al., 2014), the

charge efficiency of HOM is thus assumed to be equal to that of H<sub>2</sub>SO<sub>4</sub>, which is close to the collision limit (Viggiano 185 186 et al., 1997). If HOM do not charge with nitrate ions at their collision limit or the clusters formed break during the 187 short residence time in the charger, its concentration would be underestimated as pointed by Ehn et al. (2014). Thus, 188 our assumption provides a lower limit of the HOM concentration. The HOM yield was derived using the 189 concentration of the HOM produced, divided by the concentration of isoprene that was consumed by NO<sub>3</sub>. The 190 uncertainty of HOM yield was estimated to -55%/+103%. The loss of HOM to the chamber was corrected using a wall loss rate of  $6 \times 10^{-4}$  s<sup>-1</sup> as quantified previously (Zhao et al., 2018). HOM concentrations were also corrected for 191 dilution due to the replenishment flow needed to maintain a constant overpressure of the chamber (loss rate  $\sim 1 \times 10^{-6}$ 192 193  $s^{-1}$ ) (Zhao et al., 2015b). The influence of wall loss correction and dilution correction on HOM yield was ~12% and 194 <1%, respectively. Although the wall loss rate of vapors in this study might not be exactly the same as in our previous 195 photo-oxidation experiments (Zhao et al., 2018), HOM yield is not sensitive to the vapor wall loss rate. An increase 196 of wall loss rate by 100% or a decrease by 50% only changes the HOM yield by 11% and -6%, respectively.

#### **197 3 Results and discussion**

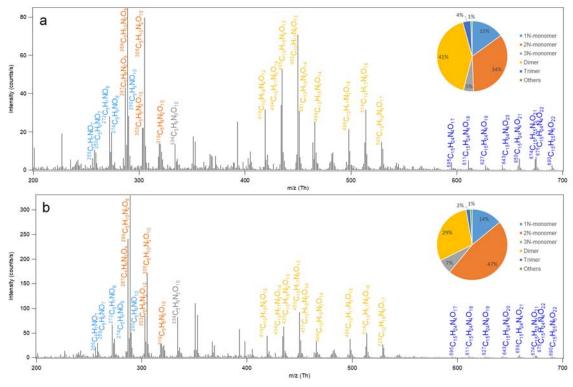
#### 198 3.1 Overview of HOM

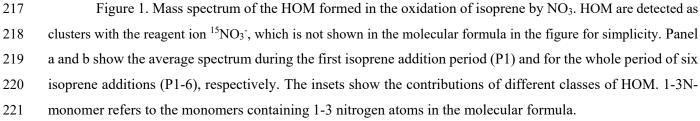
The mass spectra of HOM in the gas phase formed in the oxidation of isoprene by NO<sub>3</sub> are shown in Fig. 1. A large number of HOM were detected. Almost all peaks are assigned HOM containing nitrogen atoms with possibly few exceptions such as  $C_5H_{10}O_8$  and  $C_5H_8O_{11}$  with very minor peaks (<~1% of the maximum peak). The reaction products can be roughly divided into three classes: monomers (C5, ~200-400 Th), dimers (C10, ~400-600 Th), and trimers (C15, ~>600 Th), according to their mass to charge ratio (m/z). The detailed peak assignment of monomers, dimers, and trimers is discussed in the following sections.

#### 205 **3.2 HOM monomers and their formation**

# 206 **3.2.1 Overview of HOM monomers**

207 HOM monomers showed a roughly repeating pattern in the mass spectrum at every 16 Th 208 (corresponding to the mass of oxygen) (Fig. 1a). Here a number of series of HOM monomers with continuously increasing oxygenation were found, such as C5H9NOn, C5H7NOn, C5H8N2On, C5H10N2On (Table 1, Table S1-2 209 and Fig. 2). These monomers included both stable closed-shell molecules and open-shell radicals, such as 210 211  $C_5H_8NO_n^{\bullet}$  and  $C_5H_9N_2O_n^{\bullet}$ . The open-shell molecules were likely RO<sub>2</sub> radicals because of their much longer life 212 time and hence higher concentrations compared with alkoxy radicals (RO) and alkyl radicals (R). Since the 213 observed stable products were mostly termination products of RO<sub>2</sub> reactions, we describe the stable products in 214 a RO<sub>2</sub>-oriented approach. It is worth noting that some of the termination products may contain multiple isomers 215 formed from different pathways.





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222 HOM monomers were classified into 1N-, 2N-, and 3N-monomers according to the number of nitrogen 223 atoms that they contain. HOM without nitrogen atoms were barely observed except for very minor peaks (<~1% of 224 the maximum peak) possibly assigned to C<sub>5</sub>H<sub>10</sub>O<sub>8</sub> and C<sub>5</sub>H<sub>8</sub>O<sub>11</sub>. The contribution of 2N-monomers such as 225  $C_5H_{10}N_2O_n$  and  $C_5H_8N_2O_n$  was higher than that of the 1N-HOM monomers, and that of 3N-monomers was the least 226 (Fig. 1, inset). The most abundant monomers were C<sub>5</sub>H<sub>10</sub>N<sub>2</sub>O<sub>8</sub>, C<sub>5</sub>H<sub>10</sub>N<sub>2</sub>O<sub>9</sub>, and C<sub>5</sub>H<sub>8</sub>N<sub>2</sub>O<sub>8</sub>. The termination products 227 of  $C_5H_9NO_8$ ,  $C_5H_9NO_9$ , and  $C_5H_7NO_8$  also showed relatively high abundance. These limited number of compounds 228 dominated the HOM monomers. Since 2N-monomers were second-generation products as discussed below, the 229 higher abundance 2N- monomers indicate that the second-generation HOM play an important role in the reaction of 230 NO<sub>3</sub> with isoprene in the reaction conditions of our study, as also seen by Wu et al. (2020). This is more evident for the mass spectrum averaged over six isoprene addition periods (Fig. 1b), where the abundance of  $C_5H_{10}N_2O_n$  and 231 232  $C_{5}H_{8}N_{2}O_{n}$  were more dominant. This observation is in contrast with the finding for the reaction of  $O_{3}$  with BVOC 233 which contains only one double bond such as a-pinene (Ehn et al., 2014), where HOM are mainly first-generation 234 products formed via autoxidation. The higher abundance of HOM 2N-monomers than 1N-monomers is likely because 235 HOM production rate via the autoxidation of 1N-monomer RO<sub>2</sub> following the reaction of isoprene with NO<sub>3</sub> may be 236 slower than that of the reaction of 1N-monomers (including both HOM and non-HOM monomers) with NO<sub>3</sub>. We 237 would like to note that some less oxygenated 1N-monomers such as C<sub>5</sub>H<sub>9</sub>NO<sub>4/5</sub> and C<sub>5</sub>H<sub>7</sub>NO<sub>4</sub> may have high 238 abundance but are not detected by NO<sub>3</sub><sup>-</sup>-CIMS and are not HOM and thus not included in HOM 1N-monomers.

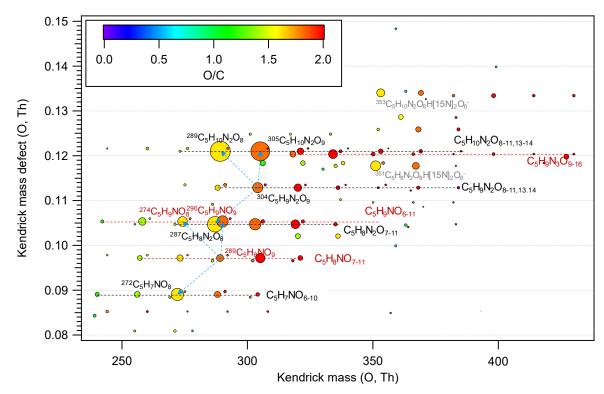


Figure 2. Kendrick mass defect plot for O of HOM monomers. The m/z in the molecular formula include the reagent ion  ${}^{15}NO_{3}$ , which is not shown for simplicity. The size (area) of circles is set to be proportional to the average peak intensity of each molecular formula during the first isoprene addition period (P1). The species at m/z 351 and 353 (labelled in grey) are the adducts of C<sub>5</sub>H<sub>8</sub>N<sub>2</sub>O<sub>8</sub> and C<sub>5</sub>H<sub>10</sub>N<sub>2</sub>O<sub>8</sub> with H[15N]<sub>2</sub>O<sub>6</sub>, respectively. The blue dashed lines with arrows indicate the termination product hydroperoxide (M+H), alcohol (M-O+H), and ketone (M-O-H) with M the molecular formula of a HOM RO<sub>2</sub>.

### 246 **3.2.2** 1N-monomers

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In our experiments we observed a  $C_5H_8NO_n \cdot (n=7-12)$  series (series M1), as well as its corresponding termination products  $C_5H_7NO_{n-1}$ ,  $C_5H_9NO_{n-1}$ , and  $C_5H_9NO_n$  via the reactions with RO<sub>2</sub> and HO<sub>2</sub>, which contain carbonyl, hydroxyl, and hydroperoxy group, respectively. Overall, the peak intensities of  $C_5H_9NO_n$  and  $C_5H_7NO_n$  series first increased and then decreased as oxygen number increased (Fig. 2), with the peak intensity of  $C_5H_9NO_8$  and  $C_5H_7NO_8$  being the highest within their respective series when averaged over the whole experiment period.

		1 5 5		
Series Number	Product	Type <sup>a</sup>	Pathway of RO <sub>2</sub>	
	$C_5H_8NO_{n(n=7-11)}$	RO <sub>2</sub>	Isoprene+NO <sub>3</sub> Isoprene+NO <sub>3</sub> +NO <sub>3</sub>	
M1a/b	$C_{5}H_{9}NO_{n(n=6-11)}$	ROOH/ROH		
	$C_{5}H_{7}NO_{n(n=6-10)}$	R=O		
	$C_5H_9N_2O_{n (n=8-11,13,14)}^{b}$ RO <sub>2</sub>	RO <sub>2</sub>		
M2a/b	$C_5H_{10}N_2O_{n(n=8\text{-}11,13,14)}{}^b$	ROOH/ROH	Isoprene +NO <sub>3</sub> +NO <sub>3</sub>	
	$C_5H_8N_2O_{n\ (n=7-11)}$	R=O		

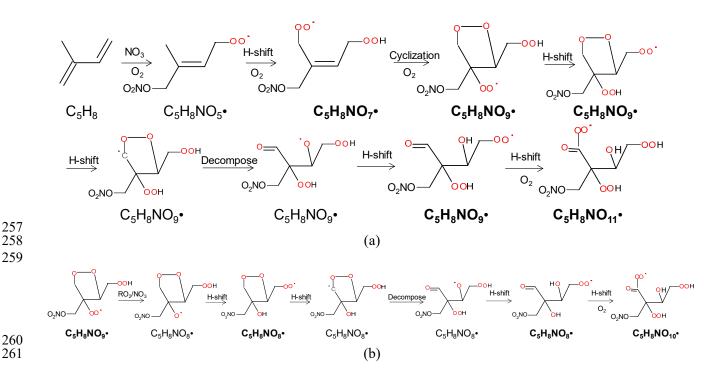
Table 1. HOM monomers formed in the oxidation of isoprene by NO<sub>3</sub>.

	$C_5H_9N_3O_{n (n=9-16)}{}^b$	RO <sub>2</sub> NO <sub>2</sub>	
	$C_5H_7N_2O_{n(n=9)}$	RO <sub>2</sub>	
M3	C5H8N2On (n=8, 9)	ROOH/ROH	Isoprene +NO <sub>3</sub> +NO <sub>3</sub>
	$C_{5}H_{6}N_{2}O_{n\ (n=8)}$	R=O	
	C5H10NOn (n=8-9)	$RO_2$	
M4	C5H11NOn (n=7-9)	ROOH/ROH	Isoprene +NO <sub>3</sub> +OH
	C5H9NOn (n=7-8)	R=O	

<sup>a</sup>: RO<sub>2</sub> denotes peroxy radical and ROOH, ROH, R=O, and RO<sub>2</sub>NO<sub>2</sub> denote the termination products
 containing hydroperoxy, hydroxyl, carbonyl group, and peroxynitrate, respectively.

<sup>b</sup>: Peak assignment of compounds with n=13,14 may be subject to uncertainties.

256



262 Scheme 1. The example pathways to form HOM  $RO_2 C_5H_8NO_n \cdot (n=7, 9, 11)$  series (a) and  $C_5H_8NO_n \cdot (n=8, 10)$  series (b) in the reaction of isoprene with NO<sub>3</sub>. The detected products are in bold.

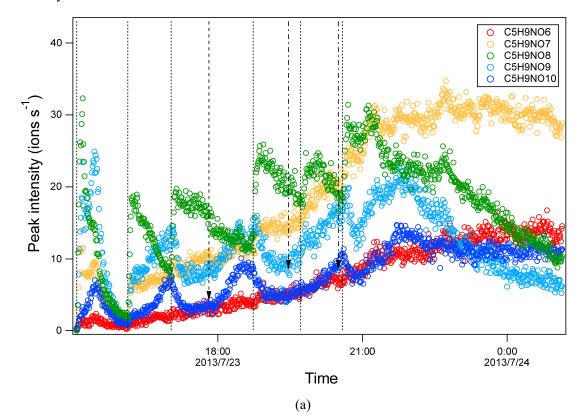
 $C_5H_8NO_n$ • with odd number oxygen atoms (n=7, 9, 11, series M1a) were possibly formed by the attack 264 of NO<sub>3</sub> to one double bond (preferentially to C1 according to previous studies (Skov et al., 1992; Berndt and 265 Böge, 1997; Schwantes et al., 2015) and followed by autoxidation (Scheme 1a). We would like to note that 266 NO<sub>3</sub><sup>-</sup>-CIMS only observed HOM with oxygen numbers  $\geq 6$  in this study due to its selectivity of detection. 267  $C_5H_8NO_n$ • with even number oxygen atoms (n=8, 10, series M1b in Table 1) were possibly formed after H-shift 268 of an alkoxy radical formed in reaction R4 or R5 and subsequent O<sub>2</sub> addition ("alkoxy-peroxy" channel) 269 270 (Scheme 1b), where the alkoxy radicals can be formed both from the RO<sub>2</sub>+NO<sub>3</sub> and RO<sub>2</sub>+RO<sub>2</sub> reactions. The 271 hydroxyRO<sub>2</sub> formed can undergo further autoxidation adding two oxygen atoms after each H-shift. We would 272 like to note that the scheme and other schemes in this study only show example isomers and pathways to form these

molecules. It is likely that many of the reactions occurring are not the dominant channels as otherwise there would
be much higher HOM yield as discussed below.

Some HOM monomers may contain multiple isomers and be formed via different pathways. For 275 example, C<sub>5</sub>H<sub>9</sub>NO<sub>n</sub> can contain alcohols derived from RO<sub>2</sub> C<sub>5</sub>H<sub>8</sub>NO<sub>n+1</sub>•, hydroperoxides derived from RO<sub>2</sub> 276 277  $C_5H_8NO_n^{\bullet}$  or the ketones from  $RO_2C_5H_{10}NO_{n+1}^{\bullet}$ . Some  $RO_2C_5H_8NO_n^{\bullet}$  may be formed via the reaction of first-278 generation products with NO<sub>3</sub> in addition to direct reaction of isoprene with NO<sub>3</sub>. For example,  $C_5H_8NO_7$  can 279 be formed by the reaction of NO<sub>3</sub> with C<sub>5</sub>H<sub>8</sub>O<sub>2</sub>, which is a first-generation product observed previously in the 280 reaction of isoprene with NO<sub>3</sub> or OH (Scheme S1b) (Kwan et al., 2012). Moreover, RO<sub>2</sub> C<sub>5</sub>H<sub>8</sub>NO<sub>0</sub>• can be 281 formed from C5-carbonylnitrate, a first-generation product, with OH (Scheme S1a). Trace amount of OH can 282 be produced in the reaction of isoprene with NO<sub>3</sub> (Kwan et al., 2012; Wennberg et al., 2018). OH can also be 283 formed via Criegee intermediates formed in the isoprene+O<sub>3</sub> reaction (Nguyen et al., 2016), but this OH source 284 was likely minor because the contribution of the isoprene+ $O_3$  reaction to total isoprene loss was negligible (<5%, 285 Fig. S2). In addition,  $C_5H_8NO_8$  may also be formed by the reaction of NO<sub>3</sub> with  $C_5H_8O_3$ , which is a firstgeneration product observed in the reaction of isoprene with OH (Kwan et al., 2012). The  $C_5H_8NO_{n}$ • formed 286 via direct reaction of isoprene with NO<sub>3</sub> is a first-generation RO<sub>2</sub> while that formed via other indirect pathways 287 288 is a second-generation RO<sub>2</sub>. The time profile of the isomers from these two pathways, however, are expected to 289 be different as will be discussed below.

290 Time series of HOM can shed light on their formation mechanisms. It is expected that first-generation 291 products increase fast with isoprene addition and reach a maximum earlier in the presence of wall loss of organic 292 vapour, while second-generation products reach a maximum in the later stage or increase continuously if the 293 production rate is higher than the loss rate. As a reference to analyze the time profiles of HOM, the times profile 294 of isoprene, NO<sub>3</sub>, and N<sub>2</sub>O<sub>5</sub> are also shown (Fig. S4). After isoprene was added in each period, NO<sub>3</sub> and N<sub>2</sub>O<sub>5</sub> 295 dropped dramatically and then gradually increased. We found that termination products within the same M1 296 series showed different time profiles. For example, in C<sub>5</sub>H<sub>9</sub>NO<sub>n</sub> series, C<sub>5</sub>H<sub>9</sub>NO<sub>8</sub> clearly increased 297 instantaneously with isoprene addition, and decreased fast afterwards (Fig. 3a), indicating that it was a first-298 generation product, which was expected according to the mechanism Scheme 1.  $C_5H_9NO_6$  and  $C_5H_9NO_{10}$  had a 299 general increasing trend with time. While  $C_5H_9NO_6$  increased continuously with time,  $C_5H_9NO_{10}$  reached 300 maximum intensity in the late phase of each isoprene addition period and then decreased naturally or after 301 isoprene addition. The faster loss of  $C_5H_9NO_{10}$  than  $C_5H_9NO_6$  may result from the faster wall loss due to its 302 lower volatility. C<sub>5</sub>H<sub>9</sub>NO<sub>7</sub> and C<sub>5</sub>H<sub>9</sub>NO<sub>9</sub> showed a mixing time profile with features of the former two kinds of 303 time profiles, increasing almost instantaneously with isoprene additions, especially in the first two periods, while increasing continuously or decreasing first with isoprene additions and then increasing later in each period. 304 305 This kind of time series indicates that there were significant contributions from both first- and second-generation 306 products.

307 The second-generation products may be different isomers formed in pathways other than shown in 308 Scheme 1. Second-generation  $C_5H_9NO_6$  can be formed via  $C_5H_8NO_7$ , which can also be formed by the reaction 309 of NO<sub>3</sub> and O<sub>2</sub> with  $C_5H_8O_2$  as mentioned above (Scheme S2b), or by the reaction of OH with  $C_5H_7NO_4$  (Scheme 310 S2a). The time profiles of  $C_5H_8NO_7$  did show more contribution of second-generation processes because it 311 continuously increased with time in general. If the pathways via the reaction of NO<sub>3</sub> and O<sub>2</sub> with  $C_5H_8O_2$  and the reaction of OH with C5H7NO4 contribute most to C5H9NO6, C5H9NO6 would show mostly a time profile of 312 second-generation products. Similarly, second-generation  $C_5H_9NO_7$  can be formed via  $C_5H_8NO_7$ • or  $C_5H_8NO_8$ •. 313 314 The time series of  $C_5H_8NO_8$  • did show the contribution of both the first- and second-generation processes, which 315 generally increased with time while also responding to isoprene addition (Fig. S5). Similar to C<sub>5</sub>H<sub>9</sub>NO<sub>6</sub>, the second-generation pathway for C<sub>5</sub>H<sub>9</sub>NO<sub>7</sub>, C<sub>5</sub>H<sub>9</sub>NO<sub>9</sub>, and C<sub>5</sub>H<sub>9</sub>NO<sub>10</sub> are shown in Scheme S1, S3, S4. For the 316 RO<sub>2</sub> in C<sub>5</sub>H<sub>8</sub>NO<sub>n</sub>• series other than C<sub>5</sub>H<sub>8</sub>NO<sub>7/8</sub>•, the peak of C<sub>5</sub>H<sub>8</sub>NO<sub>n</sub>• overlaps with C<sub>5</sub>H<sub>10</sub>N<sub>2</sub>O<sub>n</sub> in the mass 317 spectra, which is a much larger peak, and thus cannot be differentiated from C<sub>5</sub>H<sub>10</sub>N<sub>2</sub>O<sub>n</sub>. Therefore, it is not 318 319 possible to obtain reliable separate time profiles in order to differentiate their major sources. It is worth noting 320 that nitrate CIMS may not be able to detect all isomers of C5H9NO6 due to the sensitivity limitation. Therefore, 321 we cannot exclude the possibility that the absence of some first-generation isomers of  $C_5H_9NO_6$  was due to the 322 low sensitivity of these isomers.



323 324

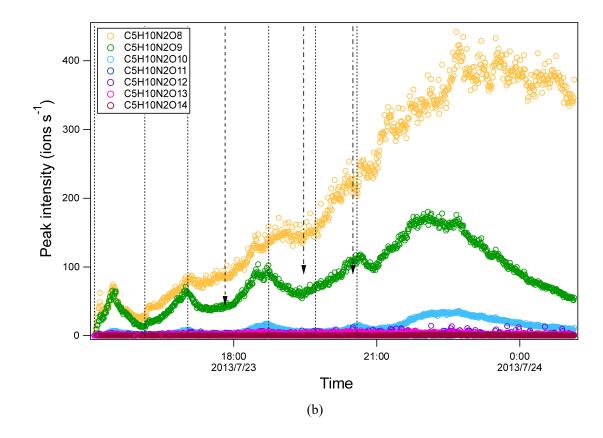


Figure 3. Time series of peak intensity of several HOM monomers of  $C_5H_9NO_n$  series (a) and of  $C_5H_{10}N_2O_n$ series (b). They are likely the termination products of  $RO_2 C_5H_8NO_n \cdot$  and  $C_5H_9N_2O_n \cdot$ , respectively. The dashed lines indicate the time of isoprene additions. The long-dashed arrow indicates the time of NO<sub>2</sub> addition. The dash-dotted arrows indicate the time of O<sub>3</sub> additions.

325 326

331 Among the termination products of the 1N-monomer RO<sub>2</sub>, carbonyl and hydroxyl/hydroperoxide 332 species had comparable abundance in general (Table S1), suggesting that disproportionation reactions between 333 RO<sub>2</sub> and RO<sub>2</sub> forming hydroxy and carbonyl species (R1-2) was likely an important RO<sub>2</sub> termination pathway. 334 However, dependence of the exact ratio of carbonyl species to hydroxyl/hydroperoxide species on the number 335 of oxygen atoms did not show a clear trend (Table S1), suggesting that the reactions of HOM RO<sub>2</sub> depended on their specific structure. There was no clear difference in the abundance between the termination products from 336 337  $C_{5}H_{8}NO_{n}$  with odd and even number of oxygen atom in general, although the most abundant termination product of C<sub>5</sub>H<sub>8</sub>NO<sub>n</sub>•, i.e. C<sub>5</sub>H<sub>7</sub>NO<sub>8</sub>, was likely formed from C<sub>5</sub>H<sub>8</sub>NO<sub>9</sub>• in series M1a. This fact indicates that 338 339 both the peroxy pathway and alkoxy-peroxy pathway were important for the HOM formation in the 340 isoprene+NO<sub>3</sub> reaction under our conditions, in agreement with the significant formation of alkoxy radicals 341 from the reaction of RO<sub>2</sub> with NO<sub>3</sub> and RO<sub>2</sub>.

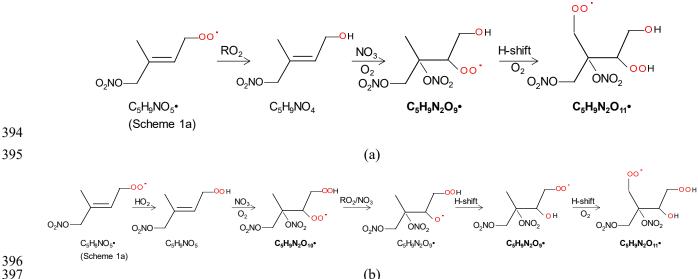
In addition to the termination products of RO<sub>2</sub> M1, minor peaks of the RO<sub>2</sub> series  $C_5H_{10}NO_n \cdot (n=8-9)$  (M4, Table 1) and their corresponding termination products including hydroperoxide, alcohol and carbonyl species were detected (Table S3).  $C_5H_{10}NO_n$  were likely formed by sequential addition of NO<sub>3</sub> and OH to two double bonds of isoprene (Scheme S5). OH can react fast with isoprene or with the first-generation products of the reaction of isoprene with NO<sub>3</sub>, thus forming  $C_5H_{10}NO_n$ . In addition, a few very minor but noticeable peaks of  $C_5H_9O_n$  and their corresponding termination products  $C_5H_{10}O_n$  and  $C_5H_8O_n$  were also observed. These HOM may be formed by the reactions of isoprene with trace amount of OH and with O<sub>3</sub>, although their contributions to reacted isoprene were negligible. These HOM were also observed in the reaction of isoprene with O<sub>3</sub> with and without OH scavengers (Jokinen et al., 2015).

351 Among 1N-monomer HOM, C<sub>5</sub>H<sub>9</sub>NO<sub>7</sub> has been observed in the particle phase using ESI-TOFMS by Ng et al. (2008) while others have not been observed in previous laboratory studies of the reaction of isoprene 352 with NO<sub>3</sub>, to our knowledge. A number of  $C_5$  organic nitrates have been observed in field studies. For example, 353 C<sub>5</sub>H<sub>7-11</sub>NO<sub>6-8</sub> and C<sub>5</sub>H<sub>7-11</sub>NO<sub>4-9</sub> have been observed in the gas phase (Massoli et al., 2018) and the particle phase 354 355 (Lee et al., 2016; Chen et al., 2020), respectively in a rural area of southeast US, where isoprene is abundant. 356 Xu et al. (2021) observed a number of  $C_5$  1N-HOM such as  $C_5H_{7,9,11}NO_{6,7}$  in polluted megacities of Nanjing 357 and Shanghai of east China during summer. While many of these HOM have daytime sources and are attributed 358 to photo-oxidation in the presence of  $NO_{x}$ , nighttime oxidation with  $NO_3$  also contribute to their formation (Lee 359 et al., 2016; Chen et al., 2020; Xu et al., 2021). C<sub>5</sub>H<sub>7-11</sub>NO<sub>4-9</sub> were also observed in chamber experiments of the reaction of isoprene with OH in the presence of NO<sub>x</sub> (Lee et al., 2016). C<sub>5</sub>H<sub>x</sub>NO<sub>4-9</sub> and C<sub>5</sub>H<sub>x</sub>NO<sub>4-10</sub> have been 360 361 also observed in the gas phase and particle phase, respectively, in a monoterpene-dominating rural area in 362 southwest Germany (Huang et al., 2019).

#### 363 **3.2.3** 2N-mononmers

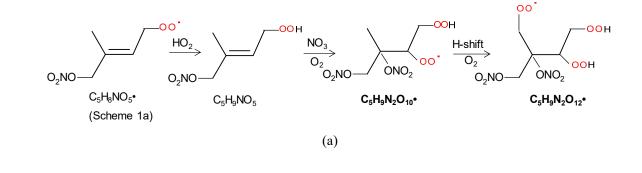
364 The 2N-monomer RO<sub>2</sub> series C<sub>5</sub>H<sub>9</sub>N<sub>2</sub>O<sub>n</sub>•(n=8-14), were observed, as well as its likely termination products,  $C_5H_8N_2O_n$  and  $C_5H_{10}N_2O_n$ , which contain a carbonyl and hydroxyl or hydroperoxide functional group, 365 respectively. The RO<sub>2</sub> series  $C_5H_9N_2O_n^{\bullet}$  with odd number of oxygen atoms (n=9, 11) (M2a in Table 1) were 366 367 likely formed from the first-generation product C<sub>5</sub>H<sub>9</sub>NO<sub>4</sub> (C5-hydroxynitrate) by adding NO<sub>3</sub> to the remaining double bond, forming C5H9N2O9•, followed by autoxidation (Scheme 2a). This RO2 series can also be formed 368 by the addition of NO3 to the double bond of first-generation products (e.g. C5H9NO5, C5-369 nitrooxyhydroperoxide) and a subsequent alkoxy-peroxy step (Scheme 2b). C<sub>5</sub>H<sub>9</sub>N<sub>2</sub>O<sub>0</sub>• with even number of 370 oxygen atoms (n=8, 10, 12) (M2b in Table 1), can be formed by the addition of NO<sub>3</sub> to the double bond of 371 C<sub>5</sub>H<sub>9</sub>NO<sub>5</sub> followed by autoxidation (Scheme. 3a), or of C<sub>5</sub>H<sub>9</sub>NO<sub>4</sub> followed by an alkoxy-peroxy step (Scheme. 372 373 3b). The formation pathways of  $C_5H_9N_2O_{13/14}$  and  $C_5H_9N_2O_8$  cannot be well explained, as they contain too 374 many or too few oxygen atoms to be formed via the pathways in Scheme 2 or 3. In Scheme 2 and 3, we show the 375 reactions starting from 1-NO<sub>3</sub>-isoprene-4-OO as an example. In the supplement, we have also shown the pathways 376 starting from 1-NO<sub>3</sub>-isoprene-2-OO peroxy radicals, which is indicated in a recent study by Vereecken et al. (2021) 377 to be the dominant  $RO_2$  in the reaction of isoprene with  $NO_3$ .

Formation through either Scheme 2 or 3 means that  $C_5H_8N_2O_n$  and  $C_5H_{10}N_2O_n$  were second-generation products. The time series of  $C_5H_{10}N_2O_n$  species clearly indicates that they were indeed second-generation products.  $C_5H_{10}N_2O_n$  species generally did not increase immediately with isoprene addition (Fig. 3b), but increased gradually with time and reached its maximum in the later stage of each period before decreasing with 382 time (in the period 1 and 6), or decreasing after the next isoprene addition (periods 2-5). This time profile can 383 be explained by the time series of the precursor of  $C_5H_{10}N_2O_n$ ,  $C_5H_9N_2O_n$ • (RO<sub>2</sub>) (Fig. S6). The changing rate (production rate minus destruction rate) of C5H10N2On concentration was dictated by the concentration of 384 C<sub>5</sub>H<sub>9</sub>N<sub>2</sub>O<sub>n</sub>• and the wall loss rate. During periods 2 to 5, C<sub>5</sub>H<sub>9</sub>N<sub>2</sub>O<sub>n</sub>• gradually increased but decreased sharply 385 after the isoprene additions, resulted from chemical reactions of C<sub>5</sub>H<sub>9</sub>N<sub>2</sub>O<sub>n</sub>• and additionally from wall loss. 386 387 When the rate of change of the  $C_5H_{10}N_2O_n$  concentration was positive, the concentration of  $C_5H_{10}N_2O_n$  increased with time. After isoprene additions, the rate of change of the  $C_5H_{10}N_2O_n$  concentration decreased dramatically 388 to even negative, leading to decreasing concentrations. Similar to C5H10N2On, the C5H8N2On series did not 389 respond immediately to isoprene additions (Fig. S7), which is expected for second-generation products 390 according to the mechanism discussed above (Scheme 2-3). Particularly, the continuing increase of  $C_5H_8N_2O_n$ 391 392 even after isoprene was completely depleted (at ~21:40, Fig. S7) clearly indicates that these compounds were 393 second-generation products, although in the end they decreased due to wall loss.

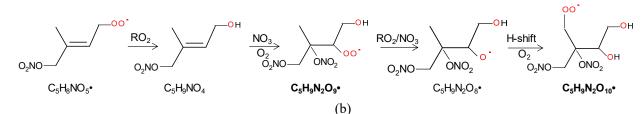


(b)

398 Scheme 2. The example pathways to form C<sub>5</sub>H<sub>9</sub>N<sub>2</sub>O<sub>n</sub> (n=9, 11) HOM RO<sub>2</sub> series by RO<sub>2</sub> channel (a) and alkoxy-peroxy channel. The detected products are in bold. 399



400 401 402



405 Scheme 3. The example pathways to form  $C_5H_9N_2O_n$  (n=10, 12) HOM RO<sub>2</sub> series by RO<sub>2</sub> channel (a) and 406 alkoxy-peroxy channel (b). The detected products are in bold.

403 404

407 According to the finding of Ng et al. (2008), C5-hydroxynitrate decays much faster than C5-408 nitrooxyhydroperoxides. Additionally, C5-hydroxynitrate concentration is expected to be higher than that of 409 nitrooxyhydroperoxides because  $RO_2+RO_2$  forming alcohol is likely more important than  $RO_2+HO_2$  forming 410 hydroperoxide in this study. Therefore, it is likely that  $C_5H_9N_2O_n$ • M2a series was mainly formed from  $C_5H_9NO_4$ 411 instead of  $C_5H_9NO_5$ , while  $C_5H_9N_2O_n$ • M2b were formed from  $C_5H_9NO_4$  followed by an alkoxy-peroxy step. 412 That is, Scheme 2a and 3b appear more likely.

413 Similar to  $C_5H_8NO_n^{\bullet}$ , the intensity of carbonyl species from  $C_5H_9N_2O_n^{\bullet}$  was also comparable with that of hydroxyl/hydroperoxide species, suggesting that RO<sub>2</sub>+RO<sub>2</sub> reaction forming ketone and alcohol was likely 414 an important pathway of HOM formation in the isoprene+NO<sub>3</sub> reaction. In general, the intensity of the 415 416 termination products from  $C_5H_9N_2O_n^{\bullet}$  with both even and odd oxygen numbers were comparable. This again 417 suggests that both peroxy and alkoxy-peroxy pathways were important for HOM formation in the isoprene+NO<sub>3</sub> 418 reaction. The intensity of  $C_5H_8N_2O_n$  first increased and then decreased with oxygen number while  $C_5H_{10}N_2O_n$ 419 decreased with oxygen number, with C5H10N2O8 and C5H8N2O8 being the most abundant within their respective 420 series.

421 Some 2N-monomers have been detected in previous studies of the reaction of isoprene with NO<sub>3</sub>. 422  $C_5H_{10}N_2O_8$  has been detected in the particle phase by Ng et al. (2008) and  $C_5H_8N_2O_7$  was detected in the gas phase by Kwan et al. (2012). C<sub>5</sub>H<sub>9</sub>N<sub>2</sub>O<sub>9</sub>• has been proposed to be formed via the pathway as in Scheme 2a (Ng 423 424 et al., 2008), and it was directly detected in our study.  $C_5H_8N_2O_7$  species has been proposed to be a dinitrooxy 425 epoxide formed by the oxidation of nitrooxyhydroperoxide (Kwan et al., 2012), instead of being a dinitrooxy 426 ketone proposed in our study, a termination product of  $C_5H_9N_2O_8^{\bullet}$ . Admittedly,  $C_5H_8N_2O_7$  may contain both 427 isomers. In addition, Ng et al. (2008) detected C<sub>5</sub>H<sub>8</sub>N<sub>2</sub>O<sub>6</sub> in the gas phase, which was not detected in this study likely due to the selectivity of NO<sub>3</sub><sup>-</sup>-CIMS. 2N-monomers have also been observed in previous field studies. 428 429 For example, Massoli et al. (2018) observed  $C_5H_{10}N_2O_{8-10}$  in rural Alabama US during the SOAS campaign. Xu 430 et al. (2021) observed  $C_5H_{8,10}N_2O_8$  and  $C_5H_{10}N_2O_8$  in polluted megacities of Nanjing and Shanghai during 431 summer.

432 One could suppose that  $C_5H_7N_2O_n\bullet$  should also be formed since C5-nitrooxycarbonyl ( $C_5H_7NO_4$ ) also 433 contains one double bond that can be attacked by NO<sub>3</sub> in a second oxidation step. However, concentrations of 434  $C_5H_7N_2O_n$  were too low to assign molecular formulas with confidence except for  $C_5H_7N_2O_9\bullet$ , clearly showing 435 that  $C_5H_7N_2O_n\bullet$  was not important. This fact is consistent with the finding of Ng et al. (2008) that C5436 nitrooxycarbonyls react slowly with NO<sub>3</sub>. Additionally, the peroxy radical formed in the reaction of C5-437 nitrooxycarbonyls with NO<sub>3</sub> likely leads to more fragmentation in H-shift as found in the OH oxidation of 438 methacrolein (Crounse et al., 2012), which may also contribute to the low abundance of  $C_5H_7N_2O_n$ . The presence of 439 HOM containing two N atoms is in line with the finding by Faxon et al. (2018) who detected products containing 440 two N atoms in the reaction of NO<sub>3</sub> with limonene, which also contain two carbon double bonds. It is anticipated 441 that for VOC with more than one double bond, NO<sub>3</sub> can add to all the double bonds as for isoprene and limonene.

#### 442 **3.2.4 3N-monomers**

443 HOM containing three nitrogen atoms,  $C_5H_9N_3O_n$  (n=9-16), were observed. These compounds were 444 possibly peroxynitrates formed by the reaction of RO<sub>2</sub> ( $C_5H_9N_2O_n$ •) with NO<sub>2</sub>. The time series of  $C_5H_9N_3O_n$ 445 was examined to check whether they match such a mechanism. If  $C_5H_9N_3O_n$  were formed by the reaction of 446  $C_5H_9N_2O_{n-2}$ • with NO<sub>2</sub>, the concentration would be a function of the concentrations of  $C_5H_9N_2O_{n-2}$ • and NO<sub>2</sub> as 447 follows:

448 
$$\frac{d[C_5H_9N_3O_n]}{dt} = k[C_5H_9N_2O_{n-2}\bullet][NO_2] - k_{wall}[C_5H_9N_3O_n]$$

449 where [C<sub>5</sub>H<sub>9</sub>N<sub>3</sub>O<sub>n</sub>], [C<sub>5</sub>H<sub>9</sub>N<sub>2</sub>O<sub>n-2</sub>•], and [NO<sub>2</sub>] are the concentration of these species, k is the rate 450 constant and  $k_{wall}$  is the wall loss rate. Because the products of  $C_5H_9N_2O_{n-2}$  and NO<sub>2</sub> were at their maximum at the end of each period and decreased rapidly after isoprene addition (Fig. S8), the concentration should have its 451 452 maximum increasing rate at the end of each isoprene addition period. However, we found that only  $C_5H_9N_3O_{12}$ . 453 15, 16 showed such a time profile (Fig. S9), while C<sub>5</sub>H<sub>9</sub>N<sub>3</sub>O<sub>9, 10, 11, 13, 14</sub> generally increased with time, different from what one would expect based on the proposed pathway. Therefore, it is likely that  $C_5H_9N_3O_{12, 15, 16}$  were 454 mainly formed via the reaction of C5H9N2On• with NO2, whereas C5H9N3O9,10,11,13,14 were not. Moreover, 455  $C_5H_9N_3O_9$  cannot be explained by the reaction  $C_5H_9N_2O_n \bullet$  (n  $\geq 9$ ) with NO<sub>2</sub> or NO<sub>3</sub>, because these reactions 456 457 would add at least one more oxygen atom. One possible pathway to form C5H9N3O9 was the direct addition of 458  $N_2O_5$  to the carbon double bond of C5-hydroxynitrate, forming a nitronitrate. Such a mechanism has been proposed previously in the heterogeneous reaction of N2O5 with 1-palmitoyl-2-oleoyl-sn-glycero-3-459 phosphocholine (POPC) because -NO<sub>2</sub> and -NO<sub>3</sub> groups were detected (Lai and Finlayson-Pitts, 1991). This 460 pathway generally matched the time series of C<sub>5</sub>H<sub>9</sub>N<sub>3</sub>O<sub>9,10,11,13,14</sub> typical of second-generation products since 461 462 C5-hydroxynitrate was a first-generation product. It is possible that the main pathway of C5H9N3O9,10,11,13,14 was 463 the reaction of  $C_5H_9NO_{4.5.6}$  with  $N_2O_5$ , although the reaction of  $N_2O_5$  with C=C double bonds in common alkenes and unsaturated alcohols are believed to be not important (Japar and Niki, 1975; Pfrang et al., 2006). 464

 $\begin{array}{rcl} 465 & 3N-monomer, C_5H_9N_3O_{10}, \text{ has been observed in the particles formed in the isoprene+NO_3 reaction by}\\ 466 & Ng et al. (2008). Here a complete series of C_5H_9N_3O_n were observed. C_5H_9N_3O_{10} was previously proposed to\\ 467 & be formed by another pathway, i.e. the reaction of RO_2 (C_5H_9N_2O_9\bullet) and NO_3 (Ng et al., 2008). We further\\ 468 & examined the possibility of such a pathway in our study. Similar to NO_2, if C_5H_9N_3O_n were formed by the$  $469 reaction of C_5H_9N_2O_{n-2}\bullet with NO_3, the concentration would have its maximum increasing rate at the end of each$  $470 isoprene addition period. Among C_5H_9N_2O_n\bullet, the precursors of C_5H_9N_3O_n, C_5H_9N_2O_9, 10, 13, 14\bullet$  showed a maximum increasing rate and a subsequent decrease after isoprene addition. The difference in oxygen number between  $C_5H_9N_3O_{12, 15, 16}$ , the termination products, and  $C_5H_9N_2O_{9, 10, 13, 14}$ , the corresponding RO<sub>2</sub> with the consistent time profile is mostly two. Since the reaction of  $C_5H_9N_2O_n$  with NO<sub>2</sub> and NO<sub>3</sub> result an increased oxygen number by two and by one, respectively, we infer that it is more likely that  $C_5H_9N_3O_{12, 15, 16}$  were formed by the reaction of  $C_5H_9N_2O_{10, 13, 14}$  with NO<sub>2</sub> rather than NO<sub>3</sub>, and thus they were likely peroxynitrates rather than nitrates formed by the reaction of RO<sub>2</sub> with NO<sub>3</sub>. Since alkyl peroxynitrates decompose rapidly (Finlayson-

477 Pitts and Pitts, 2000; Ziemann and Atkinson, 2012), it is possible that these compounds contained478 peroxyacylnitrates.

Little attention has been paid to the  $RO_2+NO_2$  pathway in nighttime chemistry of isoprene in the literature (Wennberg et al., 2018), which is likely due to the instability of the products. According to this pathway,  $C_5H_8N_2O_n$ , which was proposed to be a ketone formed via  $C_5H_9N_2O_9$ • in the M2 series (Table 1) as discussed above, can also comprise peroxynitrate formed by the reaction of  $C_5H_8NO_n$ • (M1a RO<sub>2</sub>) with NO<sub>2</sub>. 3N dimer such as  $C_5H_9N_3O_{10}$  or have been observed in a recent field study in polluted cities in east China (Xu et al., 2021).

### 485 **3.3** HOM dimers and their formation

486

Table 2. HOM dimers and trimers formed in the oxidation of isoprene by NO<sub>3</sub>.

Series Number	Formula	Туре	Pathway of RO2
Dimer 1	$C_{10}H_{16}N_2O_{n(n=10\text{-}17)}$	ROOR <sup>a</sup>	$M1^b + M1$
Dimer 2	$C_{10}H_{17}N_3O_{n(n=11-19)}$	ROOR	M1+M2/M3+M4
Dimer 3	$C_{10}H_{18}N_4O_{n(n=15\text{-}18)}$	ROOR	M2+M2
Dimer 4	$C_{10}H_{18}N_2O_{n(n=10\text{-}16)}$	ROOR	M1+M4
Dimer 5	$C_{10}H_{15}N_3O_{n\ (n=13-17)}$	ROOR	M1+M3
Dimer 6	$C_{10}H_{19}N_3O_{n\ (n=14-15)}$	ROOR	M2+M4
Dimer 7	$C_{10}H_{14}N_2O_{n(n=10\text{-}16)}$	ROOR	Unknown
Dimer 8	C10H15NOn (n=9-12)	ROOR	$C_{10}H_{16}NO_n$
Dimer 9	$C_{10}H_{17}NO_{n (n=9-15)}$	ROOR	$C_{10}H_{16}NO_n$
Dimer R1	$C_{10}H_{16}N_3O_{n(n=12\text{-}15)}$	RO <sub>2</sub>	Dimer 1+NO <sub>3</sub>
Dimer R2	$C_{10}H_{17}N_2O_{n(n=11\text{-}12)}$	$RO_2$	Dimer 1+OH
Dimer R3	$C_{10}H_{17}N_4O_{n(n=16\text{-}18)}$	RO <sub>2</sub>	Dimer 2+NO <sub>3</sub>
Dimer R4	$C_{10}H_{16}NO_{n(n=10\text{-}14)}$	RO <sub>2</sub>	$M1+C_5H_8$
Trimer 1	$C_{15}H_{24}N_4O_{n\ (n=17-22)}$	ROOR	Dimer R1+M1
Trimer 2	C <sub>15</sub> H <sub>25</sub> N <sub>5</sub> O <sub>n (n=20-22)</sub>	ROOR	Dimer R3+M1;
			Dimer R1+M2
Trimer 3	$C_{15}H_{25}N_3O_{n(n=13\text{-}20)}$	ROOR	Dimer R2+M1;
			Dimer R4+M2
Trimer 4	$C_{15}H_{26}N_4O_{n(n=17-21)}$	ROOR	Dimer R2+M2

487 <sup>a</sup>: ROOR denotes for organic peroxide.

488 <sup>b</sup>: The numbering is referred to Table 1.

489 A number of HOM dimer series were observed, including  $C_{10}H_{16}N_2O_n$  (n=10-17),  $C_{10}H_{17}N_3O_n$  (n=11-19), and 490  $C_{10}H_{18}N_4O_n$  (n=15-18),  $C_{10}H_{18}N_2O_n$  (n=10-16),  $C_{10}H_{15}N_3O_n$  (n=13-17), and  $C_{10}H_{19}N_3O_n$  (n=14-15) series (Table 2, 491 Table S3).  $C_{10}H_{16}N_2O_n$  series (dimer 1, Table 2) was likely formed by the accretion reaction of two monomer RO<sub>2</sub> 492 of M1a/b (Reaction R7).

493

501

$$C_5H_8NO_{n1} + C_5H_8NO_{n2} + C_{10}H_{16}N_2O_{n1+n2-2} + O_2$$
 R7

Similarly,  $C_{10}H_{18}N_4O_n$  series (dimer 2, Table 2) were likely formed by the accretion reaction of two monomer RO<sub>2</sub> of M2 (Reaction R8). As n1 and n2 are  $\ge$  9, the number of oxygen in  $C_{10}H_{18}N_4O_n$  is expected to be  $\ge$ 16. This is consistent with our observation that only  $C_{10}H_{18}N_4O_n$  with n $\ge$ 16 had significant concentrations.

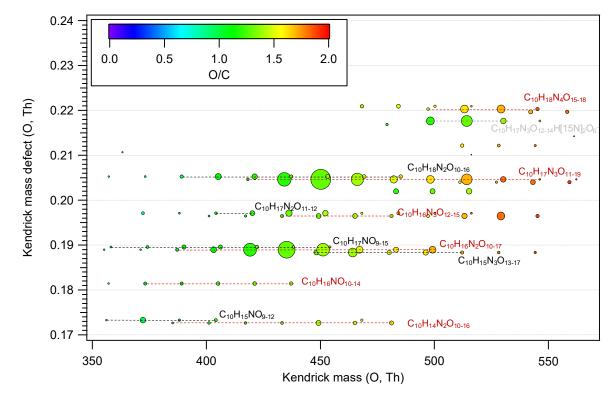
497 
$$C_5H_9N_2O_{n1} + C_5H_9N_2O_{n2} \rightarrow C_{10}H_{18}N_4O_{n1+n2-2} + O_2$$
 R8

498  $C_{10}H_{17}N_3O_n$  series (dimer 3, Table 2) were likely formed by the cross accretion reaction of one M1 RO<sub>2</sub> and one 499 M2 RO<sub>2</sub> (reaction R9). Since n1 is  $\geq$ 5 and n2 is  $\geq$ 9, the number of oxygen atoms in  $C_{10}H_{17}N_3O_n$  is expected to be  $\geq$ 12, which is also roughly consistent with our observation that only  $C_{10}H_{17}N_3O_n$  with n $\geq$ 11 were detected.

 $C_5H_8NO_{n1}\bullet+C_5H_9N_2O_{n2}\bullet\rightarrow C_{10}H_{17}N_3O_{n1+n2-2}+O_2$ R9

Similarly,  $C_{10}H_{18}N_2O_n$  (n=10-16) and  $C_{10}H_{15}N_3O_n$  (n=13-17) series (dimer 4, dimer 5, Table 2) were likely formed from the accretion reaction between one M1 RO<sub>2</sub> and one M4 RO<sub>2</sub>, and between one M1 RO<sub>2</sub> and one M3 RO<sub>2</sub> ( $C_5H_7N_2O_9\bullet$ ). Other dimer series than dimer 1-5 were also present. However, they had quite low intensity (Fig. 4), which was consistent with the low abundance of their parent monomer RO<sub>2</sub>. They can be formed from various accretion reactions of monomer RO<sub>2</sub>. For example,  $C_{10}H_{19}N_3O_n$  can be formed by the accretion reaction of  $C_5H_9N_2O_n\bullet$  and  $C_5H_{10}NO_n\bullet$  (Table 2).

Similar to monomers, a few species dominated in HOM dimers spectrum. The dominant dimer series were  $C_{10}H_{17}N_3O_x$  and  $C_{10}H_{16}N_2O_x$  series, with  $C_{10}H_{17}N_3O_{12-14}$  and  $C_{10}H_{16}N_2O_{12-14}$  showing highest intensity among each series (Fig. 4). In addition, the O/C ratio or oxidation state of HOM dimers were generally lower than that of monomers (Fig. 2, Fig. 4), which resulted from the loss of two oxygen atoms in the accretion reaction of two monomer RO<sub>2</sub>.



- 514 Figure 4. Kendrick mass defect plot for O of HOM dimers formed in the isoprene+NO<sub>3</sub> reaction. The size (area)
- of circles is set to be proportional to the average peak intensity of each molecular formula during the first isoprene addition period (P1). The molecular formula include the reagent ion  ${}^{15}NO_3^-$ , which is not shown for simplicity. The species labelled in grey (C<sub>10</sub>H<sub>17</sub>N<sub>3</sub>O<sub>12-14</sub> H[15N]<sub>2</sub>O<sub>6</sub><sup>-</sup>) are the adducts of C<sub>10</sub>H<sub>17</sub>N<sub>3</sub>O<sub>12-14</sub> with H[15N]<sub>2</sub>O<sub>6</sub><sup>-</sup>.

518 According to the mechanism above (R7-9), we attempt to explain the relative intensities of the dimers using 519 the signal intensities of monomer RO<sub>2</sub>. Assuming that the rate constant for each of HOM-RO<sub>2</sub>+ HOM-RO<sub>2</sub> reaction forming dimers is the same considering that all HOM-RO<sub>2</sub> are highly oxygenated with a number of functional groups, 520 521 it is expected that the dimer formed by the recombination between the most abundant  $RO_2$  has the highest intensity. 522 The most abundant monomer RO<sub>2</sub> were C<sub>5</sub>H<sub>9</sub>N<sub>2</sub>O<sub>9</sub>• and C<sub>5</sub>H<sub>9</sub>N<sub>2</sub>O<sub>10</sub>• and thus the most abundant dimers are expected 523 to be  $C_{10}H_{16}N_4O_{16}$ ,  $C_{10}H_{16}N_4O_{17}$ , and  $C_{10}H_{16}N_4O_{18}$ . This expected result is in contrast with our observation showing 524 that the most abundant dimers were C10H17N3O12-14 and C10H16N2O12-14 (Fig. 4). The discrepancy is possibly 525 attributed to the presence of less oxygenated  $RO_2$  (with O $\leq$ 5) that have a low detection sensitivity in the NO<sub>3</sub>-CIMS 526 (Riva et al., 2019) due to their lower oxygenation compared with other HOM RO<sub>2</sub> shown above. These RO<sub>2</sub> may 527 react with C5H9N2O9• and C5H9N2O10•. For example, C5H8NO5• (RO2) is proposed to be an important first-528 generation RO<sub>2</sub> in the oxidation of isoprene by NO<sub>3</sub> (Ng et al., 2008; Rollins et al., 2009; Kwan et al., 2012; 529 Schwantes et al., 2015). Although  $C_5H_8NO_5$  showed very low signal in our mass spectra, it was likely to have high 530 abundance since it was the first RO<sub>2</sub> formed in the reaction of isoprene with NO<sub>3</sub>. Indeed, we found that the 531 termination products of C<sub>5</sub>H<sub>8</sub>NO<sub>5</sub>• such as C<sub>5</sub>H<sub>9</sub>NO<sub>5</sub>, C<sub>5</sub>H<sub>7</sub>NO<sub>4</sub>, and C<sub>5</sub>H<sub>9</sub>NO<sub>4</sub> had high abundance in another study, 532 indicating the high abundance of  $C_5H_8NO_5$ . The accretion reaction of  $C_5H_8NO_5$  with  $C_5H_9N_2O_{9-10}$  and  $C_5H_8NO_{9-10}$ . 533  $_{10}$  can explain the high abundance of  $C_{10}H_{17}N_3O_{12-14}$  and  $C_{10}H_{16}N_2O_{12-14}$  among all dimers.

Provided that  $C_5H_8NO_5^{\bullet}$  is abundant, we still cannot explain the relative intensity of  $C_{10}H_{17}N_3O_{12}$ , 534 535  $C_{10}H_{17}N_3O_{13}$ , and  $C_{10}H_{17}N_3O_{14}$  that were all formed by the accretion reaction with  $C_5H_8NO_5$ .  $C_{10}H_{17}N_3O_{12}$  should 536 have the highest intensity among C10H17N3O12-14 as its precursor RO2, C5H9N2O9•, is the most abundant. This 537 suggests that accretion reactions other than those of  $C_5H_8NO_5$ • with  $C_5H_9N_2O_{9-10}$ • also contributed to  $C_{10}H_{17}N_3O_{12}$ . 538 14. Admittedly, the assumption of different RO<sub>2</sub> having similar rate constants in accretion reactions may not be valid. 539 For example, self-reaction of tertiary RO<sub>2</sub> is slower than secondary and primary RO<sub>2</sub> (Jenkin et al., 1998; Finlayson-540 Pitts and Pitts, 2000). Different rate constants may also lead to the observation that the most abundant dimers could 541 not be explained the most abundant RO<sub>2</sub>.

542

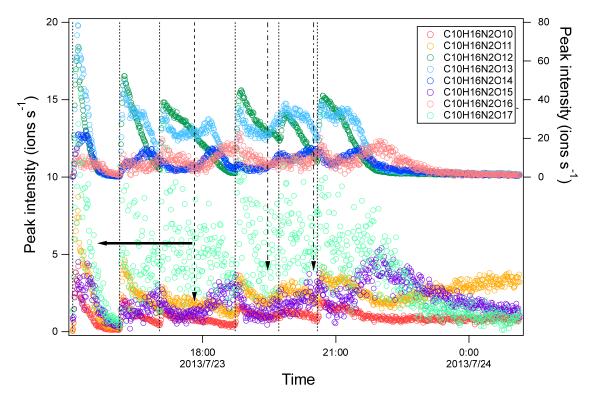


Figure 5. Time series of peak intensity of several HOM dimers of  $C_{10}H_{16}N_2O_n$  series. The dashed lines indicate the time of isoprene additions. The long-dashed arrow indicates the time of NO<sub>2</sub> addition. The dash-dotted arrows indicate the time of O<sub>3</sub> additions. The horizontal arrows indicate y-axis scales for different markers.

543

547 The time profiles of  $C_{10}H_{16}N_2O_n$  indicate contributions of both the first- and second-generation products. 548 The dominance of the first- or second-generation products depended on the specific compounds. Most C<sub>10</sub>H<sub>16</sub>N<sub>2</sub>O<sub>n</sub> 549 compounds increased instantaneously after isoprene additions, indicating significant contributions of first-generation 550 products. Since the formation of  $C_{10}H_{16}N_2O_n$  likely involved  $C_5H_8NO_5$ • as discussed above, the instantaneous increase may result from the increase of C5H8NO5• as well as other first-generation RO2. After the initial increase, 551 552  $C_{10}H_{16}N_2O_{10-12}$  then decayed with time (Fig. 5) while  $C_{10}H_{16}N_2O_{13-15}$  increased again in the later phase of a period 553 and when NO<sub>2</sub> and O<sub>3</sub> were added. The second increase indicated that  $C_{10}H_{16}N_2O_{13-15}$  may contain more than one 554 isomer, which had different production pathways. As discussed above,  $C_5H_8NO_n$  can be either a first-generation 555 RO2 formed directly via the reaction of isoprene with NO3 and autoxidation, or a second-generation RO2, e.g. formed 556 via the reaction of with  $C_5H_8O_2$  with NO<sub>3</sub>. Therefore the second increase of  $C_{10}H_{16}N_2O_{13-15}$  may result from the 557 reaction of two first-generation RO<sub>2</sub> and of two second-generation RO<sub>2</sub> or between one first-generation and one 558 second-generation RO<sub>2</sub>. The increase of  $C_{10}H_{16}N_2O_{14-15}$  after isoprene addition was not large, indicating the larger contributions from second-generation products compared with other C10H16N2On. Overall, as the number 559 of oxygen increased, the contribution of second-generation products to C<sub>10</sub>H<sub>16</sub>N<sub>2</sub>O<sub>n</sub> increased. 560

In contrast to  $C_{10}H_{16}N_2O_n$  series,  $C_{10}H_{18}N_4O_n$  increased gradually after each isoprene addition and then decreased afterward (Fig. 6), either naturally or after isoprene additions, which is typical for second-generation products. Since  $C_{10}H_{18}N_4O_n$  was likely formed by the accretion reaction of  $C_5H_9N_2O_n$ • (RO<sub>2</sub>), the time profile of  $C_{10}H_{18}N_4O_n$  was as expected since  $C_5H_9N_2O_n$ • was formed via the reaction of NO<sub>3</sub> with first-generation products  $C_5H_9NO_n$ . The  $C_{10}H_{18}N_4O_n$  concentration depended on the product of the concentrations of two  $C_5H_9N_2O_n$ . Taking  $C_{10}H_{18}N_4O_{16}$  as an example, its concentration can be expressed as follows:

567 
$$\frac{d[C_{10}H_{18}N_4O_{16}]}{dt} = k[C_5H_9N_2O_9][C_5H_9N_2O_9] - k_{wl}[C_{10}H_{18}N_4O_{16}]$$

568 When the concentration of  $C_5H_9N_2O_9^{\bullet}$  increased, the changing rate of  $C_{10}H_{18}N_4O_{16}$  was positive and increased 569 and thus the concentration of  $C_{10}H_{18}N_4O_{16}$  increased. When the concentration  $C_5H_9N_2O_9^{\bullet}$  decreased sharply 570 after isoprene additions, the changing rate of  $C_{10}H_{18}N_4O_{16}$  decreased and even became negative values, and thus 571 the concentration of  $C_{10}H_{18}N_4O_{16}$  decreased after isoprene addition.

572 Similar to the  $C_{10}H_{16}N_2O_n$  series, while  $C_{10}H_{17}N_3O_n$  first increased instantaneously with isoprene 573 addition, it increased again during the later stage of each period (Fig. S10), showing a mixed behavior of the 574 first-generation products and second-generation products. The time series of  $C_{10}H_{17}N_3O_n$  was as expected in 575 general because  $C_{10}H_{17}N_3O_n$  was likely formed via the accretion reaction of  $C_5H_8NO_n$ • (M1 RO<sub>2</sub>) and 576  $C_5H_9N_2O_n$ • (M2 RO<sub>2</sub>), which were first- or second-generation, and second-generation RO<sub>2</sub>, respectively, 577

> 40 C10H18N4O15 C10H18N4O16 C10H18N4O17 C10H18N4O18 20 Peak intensity (ions s<sup>-</sup> Peak intensity (ions s 2 0 16:00 18:00 20:00 22:00 0:00 2013/7/24 2013/7/23 Time



Figure 6. Time series of peak intensity of several HOM dimers of  $C_{10}H_{18}N_4O_n$  series. The dashed lines indicate the time of isoprene additions. The long-dashed arrow indicates the time of NO<sub>2</sub> addition. The dash-dotted arrows indicate the time of O<sub>3</sub> additions. The horizontal arrows indicate y-axis scales for different markers.

582 Some dimers that cannot be explained by accretion reactions such as  $C_{10}H_{16}N_3O_n$  (n=12-15)•,  $C_{10}H_{17}N_2O_n$  (n=11-

583  $_{12}^{\bullet}$ ,  $C_{10}H_{16}NO_{n (n=10-14)}^{\bullet}$ ,  $C_{10}H_{15}NO_{n (n=9-12)}$ ,  $C_{10}H_{17}NO_{n (n=9-15)}$  were also observed. These dimers had low abundance. 584 We note that due to their low signals in the mass spectra, their assignment and thus range of n may be subject to

uncertainties. Since  $C_{10}H_{16}NO_n$  (n=10-16)•,  $C_{10}H_{16}N_3O_n$  (n=12-15)•, and  $C_{10}H_{17}N_2O_n$ • contain unpaired electrons, they

cannot be formed via the direct accretion reaction of two RO<sub>2</sub>. Instead,  $C_{10}H_{16}N_3O_n$  (n=12-15) • (dimer R1) and  $C_{10}H_{17}N_2O_n^{\bullet}$  (dimer R2) were likely RO<sub>2</sub> formed by the reaction of HOM dimers containing a double bond (dimer 1) with NO<sub>3</sub> and with OH, respectively, followed by the reaction with O<sub>2</sub>.

589 590

$$C_{10}H_{16}N_2O_n + NO_3 + O_2 \rightarrow C_{10}H_{16}N_3O_n \bullet$$
 R10

$$C_{10}H_{16}N_2O_n + OH + O_2 \rightarrow C_{10}H_{17}N_2O_n \bullet$$
 R11

591 The corresponding termination products of  $C_{10}H_{16}N_3O_n \cdot RO_2$  series such as  $C_{10}H_{15}N_3O_n$  (ketone),  $C_{10}H_{17}N_3O_n$ 592 (hydroperoxide/alcohol) were also observed, although these compounds can also be formed via reactions between 593 two RO<sub>2</sub> radicals (R9 and R11). Among the termination products,  $C_{10}H_{15}N_3O_n$  had low intensity. Reaction R13 and 594 the termination reaction of  $C_{10}H_{17}N_2O_n \cdot$  with HO<sub>2</sub> provided an additional pathway to  $C_{10}H_{17}N_3O_n$  besides the R9 595 pathway discussed above. Similarly, other dimers may also be formed by the termination reactions of dimer RO<sub>2</sub> 596 with RO<sub>2</sub> or HO<sub>2</sub>. E.g.,  $C_{10}H_{18}N_4O_n$  can be formed via termination reaction of  $C_{10}H_{17}N_4O_n \cdot$  with another RO<sub>2</sub> wherein 597  $C_{10}H_{17}N_4O_n \cdot$  can be formed as follows:

598 599

600

$$C_{10}H_{17}N_{3}O_{n}+NO_{3}+O_{2} \rightarrow C_{10}H_{17}N_{4}O_{n} \bullet$$
 R12

 $C_{10}H_{16}NO_{n (n=10-14)}$  • could be explained by the reaction of monomer RO<sub>2</sub> with isoprene.

$$C_5H_8NO_n \bullet + C_5H_8 + O_2 \rightarrow C_{10}H_{16}NO_n \bullet$$
 R13

601 Only  $C_{10}H_{16}NO_{n}^{\bullet}$  with  $n\geq 10$  were detected, while according to the mechanism of self-reaction between  $C_{5}H_{8}NO_{n}^{\bullet}$ , 602 the n range of  $C_{10}H_{16}NO_n^{\bullet}$  is expected to be 7-14. The absence of  $C_{10}H_{16}NO_{n(n\leq 10)^{\bullet}}$  is likely attributed to their low 603 abundance, which might result from low precursor concentrations, low reaction rates with isoprene, and/or faster 604 reactive losses with other radicals. Such a reaction of  $RO_2$  with isoprene has been proposed by Ng et al. (2008) and 605 Kwan et al. (2012). The corresponding termination products of  $C_{10}H_{16}NO_n^{\bullet}$  are  $C_{10}H_{15}NO_n$  (ketone) and  $C_{10}H_{17}NO_n$ 606 species (hydroperoxide/alcohol).  $C_{10}H_{17}NO_n$  species showed a time profile of typical first-generation products (Fig. 607 S11), i.e. increasing immediately with isoprene addition and then decaying with time. This behaviour further supports 608 the possibility of reaction R13. Yet, the reaction rate of alkene with RO<sub>2</sub> is likely low due to the high activation 609 energy (Stark, 1997, 2000). It is worth noting that to our knowledge no experimental kinetic data on the addition of 610 RO<sub>2</sub> to alkenes in the gas phase in atmospheric relevant conditions are available, though fast, low-barrier ring closure 611 reactions in unsaturated RO<sub>2</sub> radicals have been reported (Vereecken and Peeters, 2004, 2012; Kaminski et al., 2017; 612 Richters et al., 2017; Chen et al., 2021). We would like to note that there is unlikely interference to C<sub>10</sub>-HOM from 613 monoterpenes, which has been reported previously (Bernhammer et al., 2018), as the concentration of monoterpenes 614 in the chamber during this study was below the limit of detection, which was  $\sim 50$  ppt (3 $\sigma$ ).

615 Some of the dimers discussed above have been observed in previous laboratory studies. Ng et al. (2008) 616 found  $C_{10}H_{16}N_2O_8$  and  $C_{10}H_{16}N_2O_9$  in the gas phase and  $C_{10}H_{17}N_3O_{12}$ ,  $C_{10}H_{17}N_3O_{13}$ ,  $C_{10}H_{18}N_4O_{16}$ , and  $C_{10}H_{17}N_5O_{18}$ 617 in the particle phase.  $C_{10}H_{16}N_2O_8$  and  $C_{10}H_{16}N_2O_9$  were also observed in our study, but their intensity in the MS was too low to assign molecular formulas with high confidence. The low intensity may be due to the low sensitivity of 618 619  $C_{10}H_{16}N_2O_{8,9}$  in NO<sub>3</sub><sup>-</sup>-CIMS. According to modelling results of the products formed in cyclohexene ozonolysis by 620 Hyttinen et al. (2015), at least two hydrogen bond donor functional groups are needed for a compound to be detected 621 in a nitrate CIMS. As C<sub>10</sub>H<sub>16</sub>N<sub>2</sub>O<sub>8</sub> and C<sub>10</sub>H<sub>16</sub>N<sub>2</sub>O<sub>9</sub> have no and only one H-bond donor function groups, respectively, 622 they are expected to have low sensitivity in  $NO_3$ -CIMS. Moreover, the low intensity can be partly attributed to the 623 much lower isoprene concentrations used in this study compared to previous studies, leading to the low concentration

624 of C<sub>10</sub>H<sub>16</sub>N<sub>2</sub>O<sub>8</sub> and C<sub>10</sub>H<sub>16</sub>N<sub>2</sub>O<sub>9</sub> (Ng et al., 2008). C<sub>10</sub>H<sub>17</sub>N<sub>3</sub>O<sub>12</sub>, C<sub>10</sub>H<sub>17</sub>N<sub>3</sub>O<sub>13</sub>, C<sub>10</sub>H<sub>18</sub>N<sub>4</sub>O<sub>16</sub>, and C<sub>10</sub>H<sub>17</sub>N<sub>5</sub>O<sub>18</sub> were 625 all observed in the gas phase in this study, wherein the concentration of C<sub>10</sub>H<sub>17</sub>N<sub>5</sub>O<sub>18</sub> was very low. The formation 626 pathways of  $C_{10}H_{17}N_3O_{12}$ ,  $C_{10}H_{17}N_3O_{13}$ , and  $C_{10}H_{18}N_4O_{16}$  (R8) were generally similar to those proposed by Ng et al. (2008) except that the products from H-shift of RO<sub>2</sub> were involved in the formation of  $C_{10}H_{17}N_3O_{13}$ . Among the two 627 pathways of C<sub>10</sub>H<sub>18</sub>N<sub>4</sub>O<sub>16</sub> formation (R8 and via R12), our results indicate that R8 was the main pathway, based on 628 629 the low concentrations of C<sub>10</sub>H<sub>17</sub>N<sub>4</sub>O<sub>16/17</sub>• and other termination product of them, C<sub>10</sub>H<sub>16</sub>N<sub>4</sub>O<sub>15/16</sub>. That the time 630 profile of C<sub>10</sub>H<sub>18</sub>N<sub>4</sub>O<sub>16</sub> was consistent with what is expected from R8 as discussed above offers additional evidence 631 to that conclusion.

Few field studies have reported HOM dimers formed via the reaction NO<sub>3</sub> with isoprene. This might be because NO<sub>3</sub>+isoprene-HOM dimers can have the identical molecular formula to the HOM monomers from monoterpene oxidation. Possible contribution of dimer formation in the isoprene oxidation to C6-10 HOM in the particle phase observed at a rural site Yorkville, US is reported by Chen et al. (2020), although these HOM are attributed to be more likely from monoterpene oxidation.

#### 637 **3.4 HOM trimers and their formation**

 $\begin{array}{ll} 638 & \text{A series of HOM trimers were observed, such as $C_{15}H_{24}N_4O_n$ (n=17-22), $C_{15}H_{25}N_5O_n$ (n=20-22), $C_{15}H_{25}N_3O_n$ \\ \hline \\ 639 & (n=13-20), $C_{15}H_{26}N_4O_n$ (n=17-21), and $C_{15}H_{24}N_2O_n$ (n=12-16). Among the trimers, $C_{15}H_{24}N_4O_n$ was the most abundant series \\ \hline \\ 640 & (Fig. S12). The $C_{15}H_{24}N_4O_n$ series can be explained by the accretion reaction of one monomer HOM $RO_2$ and \\ \hline \\ 641 & \text{one dimer HOM $RO_2$}. \end{array}$ 

642  $C_{10}H_{16}N_{3}O_{n1} \bullet + C_{5}H_{8}NO_{n2} \bullet \rightarrow C_{15}H_{24}N_{4}O_{n1+n2-2} + O_{2}$  R14

643 The formation pathways of dimer RO<sub>2</sub>  $C_{10}H_{16}N_3O_n$  (n=12-15) and  $C_{10}H_{17}N_2O_n$  are shown above (reaction R10 and 644 R11).

645 The other trimers were likely formed via similar pathways (Table 2 and Supplement S2). Since NO<sub>3</sub><sup>-</sup>-CIMS cannot provide the structural information of these HOM trimers, we cannot elucidate the major pathways. However, 646 647 in all these pathways, dimer-RO<sub>2</sub> is necessary to form a trimer, and most of the dimer-RO<sub>2</sub> formation pathways 648 require at least one double bond in the dimer molecule except for the reaction of RO<sub>2</sub> with isoprene. Since one 649 double bond has already reacted in the monomer-RO<sub>2</sub> formation, we anticipate that in the reaction with NO<sub>3</sub> it is 650 more favourable for precursors (VOC) containing more than one double bonds to form trimer molecules than 651 precursors containing only one double bond, as it is easier to generate new RO<sub>2</sub> radicals from these dimers by 652 attack on the remaining double bond(s).

The time profile of  $C_{15}H_{24}N_4O_n$  showed the mixed behavior of first- and second-generation products (Fig. S13), consistent with the mechanism discussed above since  $C_5H_8NO_n \cdot$  and  $C_{10}H_{16}N_3O_n \cdot$  were of first- or secondgeneration and second-generation, respectively. The contributions of the second-generation products became larger as the number of oxygen atoms increased. In contrast,  $C_{15}H_{25}N_3O_n$  showed instantaneous increase with isoprene addition (Fig. S14), which was typical for time profiles of first-generation products. Both proposed formation pathways of  $C_{15}H_{25}N_3O_n$  (RS6 and RS7) contained a second-generation RO<sub>2</sub>, which was not in line with the time profile observed. The observation cannot be well explained, unless we assume molecular adducts of a dimer with one monomer. It is also possible that some  $C_{10}H_{17}N_2O_n^{\bullet}$  were formed very fast or that there were other formation pathways of  $C_{15}H_{25}N_3O_n$  not accounted for here.

We are not aware of field studies reporting  $NO_3$ +isoprene-HOM trimers, which is likely due to the same reason for dimers discussed above. It is challenging to distinguish HOM trimers formed in the reaction  $NO_3$  with isoprene from the dimers formed by cross reaction of the  $RO_2$  from monoterpene oxidation (C10-RO<sub>2</sub>) with that from isoprene oxidation (C5-RO<sub>2</sub>) as their molecular formula can be identical.

#### 666 **3.5** Contributions of monomers, dimer, and trimers to HOM

667 The concentration (represented by peak intensity) of monomers was higher than that of dimers, but overall their concentrations remained of the same order of magnitude (Fig 1a, inset). The concentration of trimers was much 668 669 lower than that of monomers and dimers. The relative contributions of monomers, dimers, and trimers evolved in 670 time due to the changing concentration of each HOM species. Comparing the contributions of various classes of 671 HOM in period 1 with those in periods 1-6 reveals that the relative contribution of monomers increased with time, 672 especially that of 2N-monomers, while the contribution of dimers decreased. This trend is attributed to the larger wall 673 loss of dimers compared to monomers because of their lower volatility and also to the continuous formation of 674 second-generation monomers, mostly 2N-momomers. Overall, the relative contribution of total HOM monomers 675 decreased immediately after isoprene addition while the contribution of HOM dimers increased rapidly (Fig. S15), 676 which was attributed to the faster increase of dimers intensity due to their rapid formation. Afterwards, the 677 contribution of monomers to total HOM gradually increased and that of dimers decreased, which was partly due to 678 the faster wall loss rate of dimers and to the continuous formation of second-generation monomers.

### 679 **3.6 Yield of HOM**

680 The HOM yield in the oxidation of isoprene by  $NO_3$  was estimated using the sensitivity of  $H_2SO_4$ . It was 681 derived for the first isoprene addition period to minimize the contribution of multi-generation products and to better 682 compare with the data in literature, thus denoted as primary HOM yield (Pullinen et al., 2020) and was estimated to 683 be  $1.2\%_{0.7\%}^{+1.3\%}$ . The uncertainty was estimated as shown in the Supplement S1. Despite the uncertainty, the primary 684 HOM yield here was much higher than the HOM yield from the ozonolysis and photooxidation of isoprene (Jokinen 685 et al., 2015). The difference may be attributed to the more efficient oxygenation in the addition of  $NO_3$  to carbon 686 double bonds. Compared with the reaction with  $O_3$  or OH, the initial peroxy radicals contains 5 oxygen atoms when 687 isoprene reacts with NO<sub>3</sub>, while the initial peroxy radicals contains only 3 oxygen atoms when reacting with OH, and 688 the ozonide contains 3 oxygen atoms in the case of  $O_{3}$ .

#### 689 4 Conclusion and implications

690 HOM formation in the reaction of isoprene with NO<sub>3</sub> was investigated in the SAPHIR chamber. A number 691 of HOM monomers, dimers, and trimers containing one to five nitrogen atoms were detected, and their time-692 dependent concentration profiles were tracked throughout the experiment. Some formation mechanisms for various 693 HOM were proposed according to the molecular formula identified, and the available literature. HOM showed a 694 variety of time profiles with multiple isoprene additions during the reaction. First-generation HOM increased

- 695 instantaneously after isoprene addition and then decreased while second-generation HOM increased gradually and 696 then decreased with time, reaching a maximum concentration at the later stage of each period. The time profiles 697 provide additional constraints on their formation mechanism beside the molecular formula, suggesting whether they 698 were first-generation products or second-generation products or a combination of both. 1N-monomers (mostly  $C_5$ ) 699 were likely formed by NO<sub>3</sub> addition to a double bond of isoprene, forming monomer RO<sub>2</sub>, followed by autoxidation 700 and termination via the reaction with HO<sub>2</sub>, RO<sub>2</sub>, and NO<sub>3</sub>. Time series suggest that some 1N-monomer could also be 701 formed by the reaction of first-generation products with NO<sub>3</sub>, and thus be of second-generation. 2N-monomers were 702 likely formed via the reaction of first-generation products such as C5-hydroxynitrate with NO<sub>3</sub> and thus second-703 generation products. 3N-monomers likely comprised peroxy/peroxyacyl nitrates formed by the reaction of 2N-704 monomer  $RO_2$  with  $NO_2$ , and possibly nitronitrates formed via the direct addition of  $N_2O_5$  to the first-generation 705 products. HOM dimers were mostly formed by the accretion reactions between various HOM monomer RO<sub>2</sub>, either 706 first-generation or second-generation or with the contributions of both, and thus showed time profiles typical of either 707 first-generation products, or second-generation products, or a combination of both. Additionally, some dimers peroxy 708 radicals (dimer RO<sub>2</sub>) were formed by the reaction of NO<sub>3</sub> with dimers containing a C=C double bond. HOM trimers 709 were proposed to be formed by accretion reactions between the monomer RO<sub>2</sub> and dimer RO<sub>2</sub>.
- 710 Overall, both HOM monomers and dimers contribute significantly to total HOM while trimers only 711 contributed a minor fraction. Within both the monomer and dimer compounds, a limited set of compounds dominated 712 the abundance, such as  $C_5H_8N_2O_n$ ,  $C_5H_{10}N_2O_n$ ,  $C_{10}H_{17}N_3O_n$ , and  $C_{10}H_{16}N_2O_n$  series. 2N-monomers, which were 713 second-generation products, dominated in monomers and accounted for ~34% of all HOM, indicating the important 714 role of second-generation oxidation in HOM formation in the isoprene+NO<sub>3</sub> reaction. Both RO<sub>2</sub> autoxidation and 715 "alkoxy-peroxy" pathways were found to be important for 1N- and 2N-HOM formation. In total, the yield of HOM monomers, dimers, and trimers accounted for  $1.3\%_{0.7\%}^{+1.3\%}$  of the isoprene reacted, which was much higher than the HOM 716 717 yield in the oxidation of isoprene by OH and  $O_3$  reported in the literature (Jokinen et al., 2015). This means that the 718 reaction of isoprene with NO<sub>3</sub> is a competitive pathway of HOM formation from isoprene.
- 719 The HOM in the reaction of isoprene with NO<sub>3</sub> may account for a significant fraction of SOA. If all the 720 HOM condense on particles, using the molecular weight of the HOM with the least molecular weight observed in 721 this study (C<sub>5</sub>H<sub>9</sub>NO<sub>6</sub>), the HOM yield corresponds to a SOA yield of 3.6%. Although SOA concentrations were not 722 measured in this study, Ng et al. (2008) reported a SOA yield of the isoprene+NO<sub>3</sub> reaction of 4.3%-23.8%. Rollins 723 et al. (2009) reported a SOA yield of 2% at low organic aerosol loading (~0.52 µg m<sup>-3</sup>) and 14% if the further 724 oxidation of the first-generation products are considered in the isoprene+NO<sub>3</sub> reaction. Comparing the potential 725 SOA yield produced by HOM with SOA yields in the literature suggests that HOM may play an important role in the 726 SOA formation in the isoprene+NO<sub>3</sub> reaction.
- The RO<sub>2</sub> lifetime is approximately 20-50 s in our experiments, which is generally comparable or shorter than the lifetime of RO<sub>2</sub> in the ambient atmosphere at night, varying from several 10 s to several 100 s (Fry et al., 2018), depending on the NO<sub>3</sub>, HO<sub>2</sub>, and RO<sub>2</sub> concentrations. Assuming a HO<sub>2</sub>, RO<sub>2</sub>, and NO<sub>3</sub> concentration of 5 ppt, 5 ppt (Tan et al., 2019), and 300 ppt (Brown and Stutz, 2012) respectively, the RO<sub>2</sub> lifetime in our study is comparable to the nighttime RO<sub>2</sub> lifetime (50 s) found in urban locations and areas influenced by urban plume. In areas with longer RO<sub>2</sub> lifetime such as remote areas, the autoxidation is expected to be more important relative to bimolecular reactions.

- This may enhance HOM yield and thus enhance SOA yield. However, on the other hand, at lower RO<sub>2</sub> concentration and thus longer RO<sub>2</sub> lifetime, reduced rates of RO<sub>2</sub>+RO<sub>2</sub> reactions producing low-volatility dimers can reduce the SOA yield via reducing dimer yield (McFiggans et al., 2019; Pullinen et al., 2020). The RO<sub>2</sub> fate in our experiments is dominated the reaction RO<sub>2</sub>+NO<sub>3</sub> with significant contribution of RO<sub>2</sub>+RO<sub>2</sub>, which can also represent the RO<sub>2</sub> fate in the urban areas and areas influenced by urban plume. Our experiment condition cannot represent the chemistry in HO<sub>2</sub>-dominated regions such as clean forest environment (Schwantes et al., 2015).
- 739 We observed the second-generation products formed by the reaction of first-generation products. The lifetime of first-generation nitrates in the ambient atmosphere, according their rate constants with OH and NO3 740 (Wennberg et al., 2018), are ~5 h and ~1.3-4 h, respectively, with respect to the reaction with OH and NO<sub>3</sub> assuming 741 a typical OH concentration of 2×10<sup>6</sup> molecules cm<sup>-3</sup> (Lu et al., 2014; Tan et al., 2019) and NO<sub>3</sub> concentration of 100-742 743 300 ppt in urban areas (Brown and Stutz, 2012). Therefore, they have the chance to react further with OH and NO<sub>3</sub> 744 at dawn. In our experiments, the lifetimes of these first-generation nitrates with respect to OH and NO<sub>3</sub> are 745 comparable to the aforementioned lifetime due to comparable OH and NO<sub>3</sub> concentrations with these ambient 746 conditions. Therefore, our findings on the second-generation products are relevant to the ambient urban atmosphere 747 and areas influenced by urban plumes. Some of these products such as C<sub>5</sub>H<sub>8,10</sub>N<sub>2</sub>O<sub>8</sub> and multi-generation 748 nitrooxyorganosulfates have been observed in recent field studies in polluted megacities in east China (Hamilton et 749 al., 2021; Xu et al., 2021).

### 750 Data availability

- All the data in the figures of this study are available upon request to the corresponding author (t.mentel@fz-juelich.
- 752 de or dfzhao@fudan.edu.cn).

#### 753 Competing interests

The authors declare that they have no conflict of interest.

#### 755 Author contribution

- 756 TFM, HF, SS, DZ, IP, AW, and AKS designed the experiments. Instrument deployment and operation were carried
- out by IP, HF, SS, IA, RT, FR, DZ, and RW. Data analysis was done by DZ, HF, SS, RW, IA, RT, FR, YG, SK. DZ,
- 758 TFM, RW, JW, SK, and LV interpreted the compiled data set. DZ and TFM wrote the paper. All co-authors discussed
- the results and commented on the paper.

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