1 The increasing atmospheric burden of the greenhouse gas sulfur

2 hexafluoride (SF₆)

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- 4 Peter G. Simmonds¹, Matthew Rigby¹, Alistair J. Manning⁴, Sunyoung Park⁸, Kieran M.
- 5 Stanley^{1,10}, Archie McCulloch¹, Stephan Henne², Francesco Graziosi¹¹, Michela Maione¹¹,
- ⁶ Jgor Arduini¹¹, Stefan Reimann², Martin K. Vollmer², Jens Mühle³, Simon O'Doherty¹,
- 7 Dickon Young¹, Paul B. Krummel⁵, Paul J. Fraser⁵, Ray F. Weiss³, Peter K. Salameh³,
- 8 Christina M. Harth³, Mi-Kyung Park⁹, Hyeri Park⁹, Tim Arnold^{12,13}, Chris Rennick¹², L. Paul
- 9 Steele⁵, Blagoj Mitrevski⁵, Ray H. J. Wang⁶, and Ronald G. Prinn⁷.
- 10
- ¹ School of Chemistry, University of Bristol, Bristol, UK.
- ² Swiss Federal Laboratories for Materials Science and Technology, Laboratory for Air
- 13 Pollution and Environmental Technology (Empa), Dübendorf, Switzerland.
- ³ Scripps Institution of Oceanography (SIO), University of California, San Diego, La Jolla,
- 15 California, USA.
- ⁴ Met Office Hadley Centre, Exeter, UK.
- ⁵ Climate Science Centre, Commonwealth Scientific and Industrial Research Organisation
- 18 (CSIRO), Oceans and Atmosphere, Aspendale, Victoria, Australia.
- ⁶ School of Earth, and Atmospheric Sciences, Georgia Institute of Technology, Atlanta,
 Georgia, USA.
- ⁷ Center for Global Change Science, Massachusetts Institute of Technology, Cambridge,
 Massachusetts, USA.
- ⁸ Department of Oceanography, Kyungpook National University, Daegu, Republic of Korea.
- ⁹ Kyungpook Institute of Oceanography, Kyungpook National University, Daegu, Republic
 of Korea.
- ¹⁰ Institute for Atmospheric and Environmental Sciences, Goethe University Frankfurt,
 Germany.
- ¹¹ Department of Pure and Applied Sciences (DISPEA) of the University of Urbino and
- 29 Institute of Atmospheric Sciences and Climate (ISAC) of the National Research Council
- 30 (CNR), Bologna, Italy.
- ¹² National Physical Laboratory, Teddington, United Kingdom.
- ¹³ School of GeoSciences, University of Edinburgh, Edinburgh, UK.
- 33
- 34 Correspondence to: P.G. Simmonds (<u>petergsimmonds@aol.com</u>)
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- 38 Abstract
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We report a 40-year history of SF₆ atmospheric mole fractions measured at the Advanced 40 Global Atmospheric Gases Experiment (AGAGE) monitoring sites, combined with archived 41 air samples to determine emission estimates from 1978-2018. Previously we reported a global 42 emission rate of 7.3 ± 0.6 Gigagrams (Gg) yr⁻¹ in 2008 and over the past decade emissions 43 have continued to increase by about 24% to 9.04 ± 0.35 Gg yr⁻¹ in 2018. We show that 44 changing patterns in SF₆ consumption from developed (Kyoto Protocol Annex-1) to 45 developing countries (non-Annex-1) and the rapid global expansion of the electric power 46 industry, mainly in Asia, have increased the demand for SF₆-insulated switchgear, circuit 47 breakers and transformers. The large bank of SF₆ sequestered in this electrical equipment 48 provides a substantial source of emissions from maintenance, replacement and continuous 49 leakage. Other emissive sources of SF₆ occur from the magnesium, aluminium, electronics 50 industries and more minor industrial applications. More recently, reported emissions, 51 including those from electrical equipment and metal industries, primarily in the Annex-1 52 countries, have declined steadily through substitution of alternative blanketing gases and 53 technological improvements in less emissive equipment and more efficient industrial 54 practices. Nevertheless, there are still demands for SF6 in Annex-1 countries due to economic 55 growth, as well as continuing emissions from older equipment and additional emissions from 56 newly installed SF6-insulated electrical equipment, although at low emissions rates. In 57 addition, in the non-Annex-1 countries, SF₆ emissions have increased due to an expansion in 58 the growth of the electrical power, metal and electronics industries to support their continuing 59 development. 60

There is an annual difference of 2.5-5 Gg yr⁻¹ (1990-2018) between our modelled top-61 down emissions and the UNFCCC reported bottom-up emissions, which we attempt to 62 reconcile through analysis of the potential contribution of emissions from the various 63 industrial applications which use SF₆. We also investigate regional emissions in East Asia 64 (China, S. Korea) and Western Europe and their respective contributions to the global 65 atmospheric SF₆ inventory. On an average annual basis, our estimated emissions from the 66 whole of China are approximately 10 times greater than emissions from Western Europe. In 67 2018, our modelled Chinese and Western European emissions accounted for ~36% and 3.1 68 %, respectively, of our global SF₆ emissions estimate. 69

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71 Keywords

72 Chemical tracers; atmospheric dispersion; models atmospheric transport

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79 **1. Introduction**

Of all the greenhouse gases regulated under the Kyoto Protocol, SF_6 is the most 80 potent, with a global warming potential (GWP) of 23,500 over a 100-year time horizon 81 (Myhre et al., 2013). In practical terms, this high GWP means that 1 ton of SF₆ released to the 82 atmosphere is equivalent to the release of 23,500 tonnes of carbon dioxide (CO₂). However, 83 the low atmospheric mixing ratio of SF₆ relative to CO₂ limits its current contribution to total 84 anthropogenic radiative forcing to about 0.2 % (Engel, Rigby, 2019). Nevertheless, with a 85 long atmospheric residence time of 3,200 years, almost all the SF₆ released so far will have 86 accumulated in the atmosphere and will continue to do so (Ravishankara et al., 1993). 87

Vertical profiles of SF₆ mixing ratios, collected from balloon flights up to an altitude 88 of about 37 km, indicated that there is very little loss of SF₆ due to photochemistry in the 89 troposphere and lower stratosphere (Harnish et al., 1996; Patra et al., (1997). Using an 90 improved atmospheric-chemical-transport model (Patra et al., 2018) reported significantly 91 older 'age of air' (AoA) in the stratosphere and Krol et al., (2018), based on a comparison of 92 six global transport models showed that upper stratospheric AOA varied from 4-7 years 93 among the models. It has been suggested that SF₆ may have a shorter atmospheric lifetime 94 ranging from 1937 ± 432 years (Patra et al., 1997), 580-1400 years (Ray et al., 2017) and 95 1120-1475 years (Kovács et al., 2017). However, these shorter, but still very long, SF₆ 96 lifetimes would not significantly affect SF₆ emissions estimated from atmospheric trends 97 (Engel and Rigby, 2019) .Given the very long lifetime of SF6, compared to the period of our 98 study, uncertainties in this term had a small influence on the outcome. For example, changing 99 the lifetime from 3000 to 1000 years changed the derived emissions by around 1%, which is 100 smaller than the derived uncertainties. 101

102 Since the 1970s, SF₆ has been used mainly in high voltage electrical equipment as a dielectric and insulator in gas-insulated switchgear, gas circuit breakers, high voltage lines 103 and transformers. Sales compiled from 1996-2003 by producers in Europe, Japan, USA and 104 South Africa (not including China and Russia) showed that, on an annual average basis, 80% 105 of the SF₆ produced during this period was consumed by electric utilities and equipment 106 manufacturers for electric power systems (EPA, 2018). Percentage sales, averaged from 107 1996-2003, for other end-use applications included the magnesium industry (4%), electronics 108 industry (8%), and uses relating to the adiabatic properties of SF_6 (3%) e.g., incorporating 109 SF₆ into tyres, tennis balls and the soles of trainers as a gas cushioning filler (Palmer, 1996). 110 For example, in 1997 Nike used 277 tonnes (~0.25 Gigagrams, Gg) of SF₆ as a filler in its 111 shoes (Harnish and Schwarz, 2003). Other uses in particle accelerators, optical fibre 112 production, lighting, biotechnology, medical refining, pharmaceutical, laboratory, university 113 research and sound-proof windows accounted for around 5% of sales (Smythe, 2004). 114

Emissions from electrical equipment can occur during production, routine maintenance, refill, leakage, and disposal (Neimeyer and Chu, 1992; Ko et al., 1993). Random failure or deliberate or accidental venting of equipment may also cause unexpected and rapid high levels of emissions. For example, a ruptured seal caused the release of 113 kg of SF_6 in a single event in 2013 (Scottish Hydro Electric, 2013). We assume that such random events are generally not recorded when tabulating bottom-up emission estimates, which would lead to an under-estimate in the reported inventories.

- Historically, significant emissions of SF_6 occurred in magnesium smelting, where it was
- used as a blanketing gas to prevent oxidation of molten magnesium, in the aluminium
- industry, also as a blanketing gas, and in semi-conductor manufacturing (Maiss and
- Brenninkmeijer, 1998). These industries and the electrical power industry accounted for the
- majority of SF_6 usage in the USA (Ottinger et al., 2015). A report on limiting SF_6 emissions
- 127 in the European Union also provided estimates of emissions from sound-proof windows (60
- Mg) and car tyres (125 Mg) in 1998, although these applications appear to have been largely
- discontinued due to environmental concern (Schwarz, 2000).
- Sulphur hexafluoride has also been used as a tracer in atmospheric transport and 130 dispersion studies (Collins et al., 1965; Saltzman et al., 1966; Turk et al., 1968; Simmonds et 131 al., 1972; Drivas et al., 1972; Drivas and Shair, 1974). The combined SF₆ emissions from 132 reported tracer studies (Martin et al., 2011) were approximately 0.002 Gg. Unfortunately, the 133 amounts of tracer released are often not reported and we conservatively assume that these 134 also amounted to ~ 0.002 Gg, providing a total estimate of about 0.004 Gg (4 tonnes) 135 released from historical SF₆ tracer studies. Emissions from natural sources are very small 136 (Busenberg and Plummer, 2000; Vollmer and Weiss, 2002; Deeds et al., 2008). 137
- The earliest measurements of SF₆ in the 1970s reported a mole fraction of < 1 pmol mol⁻¹ 138 (or ppt, parts per trillion) (Lovelock, 1971; Krey et al., 1977; Singh et al., 1977, 1979). 139 Intermittent campaign-based measurements during the 1970s and 1980s reported an 140 increasing trend. However, it was not until the 1990s that a near-linear increase in the 141 atmospheric burden, throughout the 1980s, was reported (Maiss and Levin, 1994; Maiss et 142 al., 1996, Geller et al., 1997). Fraser et al. (2004), described gas chromatography-electron 143 capture detection (GC-ECD) measurements of SF₆ at Cape Grim, Tasmania and noted a long-144 term trend of 0.1 pmol mol⁻¹ yr⁻¹ in the late 1970s increasing to 0.24 pmol mol⁻¹ yr⁻¹ in the 145 mid-1990s. However, after 1995 the annual average growth rate from 1996-2000 declined by 146 12.5% to 0.21 pmol mol⁻¹ yr⁻¹, coincident with a ~ 32% decrease in annual sales and prompt 147 releases of SF₆ over this same time period (as noted in Table S2 of the Rand report). 148
- Subsequent reports noted a continuing growth in global mole fractions, with an average 149 growth rate of 0.29 ± 0.02 pmol mol⁻¹ yr ⁻¹ after 2000 (Rigby et al., 2010), reaching 6.7 pmol mol⁻¹ 150 at the end of 2008 (Levin et al., 2009). This increase in the atmospheric burden of SF₆ was also 151 reported by Elkins and Dutton (2009). Measurement of SF_6 in the lower stratosphere and upper 152 troposphere was reported to be 3.2 ± 0.5 pmol mol⁻¹ at 200 mbar in 1992 (Rinsland et al., 1993). 153 These atmospheric observations have been used to infer global emissions rates ('top-down' 154 estimates). Geller at al., (1997) derived a global emission rate of 5.9 ± 0.2 Gg yr⁻¹ in 1996, which 155 by 2008 had increased to 7.2 ± 0.4 Gg yr⁻¹ (Levin et al., 2010) or 7.3 ± 0.6 Gg yr⁻¹ (Rigby et al., 156 2010), and to 8.7 ± 0.4 Gg yr⁻¹ by 2016 (Engel, Rigby, 2019). 157
- Regional inverse modelling studies indicated that emissions have increased substantially 158 from non-Annex-1 parties to the UNFCCC, particularly in eastern Asia, and that these 159 increases have offset the reduction in emissions from Annex-1 countries (Rigby et al., 2011, 160 2014; Fang et al., 2014). Rigby et al., (2010) showed an increasing trend in emissions from 161 Asian countries growing from 2.7 ± 0.3 Gg yr⁻¹ in 2004–2005 to 4.1 ± 0.3 Gg yr⁻¹ in 2008. 162 This rise was large enough to account for all the global emissions growth between these two 163 periods. Similarly, Fang et al. (2014) found that eastern Asian emissions accounted for 164 between 38 ± 5 % and 49 ± 7 % of the global total between 2006 and 2012, with China the 165

- 166 major contributor of emissions from this region. Consistent regional estimates, within the
- uncertainties, were also reported for China Vollmer et al., (2009); 0.8 (0.53-1.1) Gg yr⁻¹ from
- 168 October 2006-March 2008; Kim et al., (2010); 1.3 (0.23-1.7) Gg yr⁻¹ in 2008 and Li et al.,
- 169 (2011); 1.2 (0.9 1.7) Gg yr⁻¹ from November 2007-December 2008. Emissions from other 170 Asian countries were found to be substantially smaller by Li et al., (2011) with South Korea
- Asian countries were found to be substantially smaller by Li et al., (2011) with South Korea emitting 0.38 (0.33-0.44) Gg yr⁻¹ in 2008 and Japan 0.4 (0.3-0.5) Gg yr⁻¹. For North America,
- emitting 0.38 (0.33-0.44) Gg yr⁻¹ in 2008 and Japan 0.4 (0.3-0.5) Gg yr⁻¹. For North America SF₆ emission estimates of 2.4 ± 0.5 Gg yr⁻¹ were inferred in 1995 (Bakwin et al., 1997),
- whereas Hurst et al., (2006) reported emissions of 0.6 ± 0.2 Gg yr⁻¹ in 2003, consistent with
- an expectation of declining Annex-1 emissions during this period. Top-down SF₆ emissions
- 175 for Western Europe have been reported by Ganesan et al., (2014), indicating larger modelled
- emission estimates than those reported to the UNFCCC.
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178 **1. Methods.**

Here, we use a 40-year (1978-2018) time series of SF_6 measurements made in situ, and in archived air samples, in combination with a global atmospheric box model and inverse modelling techniques to examine how the growth rate of SF_6 has changed, and we estimate global and regional emissions in a top-down approach.

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184 **1.1 In situ AGAGE measurements**

In situ high frequency (every 30 mins) measurements were recorded at Cape Grim, 185 Tasmania beginning in 2001 using a modified Shimadzu gas chromatograph GC fitted with a 186 Ni⁶³ electron capture detector ECD, (Fraser et al., 2004). Beginning in 2003, newly developed 187 GC-mass spectrometers (GC-MS) equipped with an automated sample processing system, 188 known as the 'Medusa' were progressively deployed at the AGAGE stations, thereby providing 189 calibrated SF₆ measurements every 2 hours (Miller et al., 2008; Arnold et al., 2012). Here we 190 use "Medusa" measurements through 2018, acquired at the five core AGAGE stations; Mace 191 Head, Ireland (beginning in 2003); Trinidad Head, California (beginning in 2005); Ragged 192 Point, Barbados (beginning in 2005); Cape Matatula, American Samoa (beginning in 2006); 193 and Cape Grim, Tasmania (beginning in 2005). At Monte Cimone, Italy (an affiliated AGAGE 194 station), SF₆ measurements were measured every 15 minutes using a GC-ECD (Maione et al., 195 2013). Each real air sample is bracketed with a calibrated (NOAA-2014 scale) air sample 196 analysis resulting in 2 measurements per hour, with a precision of 0.6%. 197

A complete description of the equipment used in the AGAGE station network is given in 198 Prinn et al., (2000, 2018). We combine these measurements with the Medusa-GC-MS analysis 199 of samples from the Cape Grim Air Archive (GCAA) and a collection of Northern Hemisphere 200 (NH) archived air samples to extend the time series back to 1978 (Rigby et al., 2010). Estimated 201 uncertainties during propagation of calibration standards from Scripps Institution of 202 203 Oceanography (SIO) to the AGAGE measurement sites was ~0.6% with a calibration scale uncertainty of ~2.0% (Prinn et al., 2018). All archived air and in situ measurements are reported 204 on the SIO-05 calibration scale. The difference between the SIO and National Oceanic and 205 Atmospheric Administration (NOAA) calibration scales is <0.5% (0.03 pmol mol⁻¹) (Rigby et 206 al., 2010). 207

Measurements of SF₆ from the UK Deriving Emissions linked to Climate Change network 208 (UK, DECC. https://www.metoffice.gov.uk/research/approach/monitoring/atmospheric-trends/index) 209 started in 2012 at Tacolneston (52.5° N, 1.1° E) and Ridge Hill (52.0° N, 2.5° W), and later in 210 2013 at Bilsdale (54.4° N, 1.2° W) and Heathfield (51.0° N, 0.2° E), using Agilent GC-ECDs 211 (Stanley et al. 2018; Stavert et al. 2019). At these four sites, SF₆ measurements were acquired 212 every 10 minutes and air samples are bracketed with calibrated air samples. In addition to the 213 GC-ECD at Tacolneston, a Medusa GC-MS was installed at the site and has been measuring 214 SF₆ since 2012. The GC-ECD at Tacolneston was decommissioned in spring 2018. All 215 calibration gases are on the same scale as the AGAGE stations. Stanley et al., (2018) and 216 Stavert et al., (2019) provide a complete description of the measurement capabilities at the UK 217 sites. 218

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220 **2. Bottom-up emission estimates**

221 We compare our model-derived top-down emissions with bottom-up estimates, using reports from the 43 Annex-1 countries that submit annual emissions to the UNFCCC 222 (unfccc.int/process-and-meetings/transparency-and-reporting/reporting-and-review-under-the-223 convention/greenhouse-gas-inventories-annex-i-parties/national-inventory-submissions-2019, last 224 access: May 1, 2019). This contrasts with the non-Annex-1 countries that are not required to 225 report to the UNFCCC (2010); however, some non-Annex-1 countries do voluntarily submit 226 annual emissions, whereas others report infrequently. For infrequent reporting countries we 227 228 have linearly interpolated emissions for missing years to provide revised non-Annex-1 229 emissions. Acknowledging that these bottom-up estimates will have large uncertainties, we see a substantial increase in total emissions from non-Annex-1 countries after 2005, with 50-230 80% from China. We also compare our estimates with those estimated in EDGAR v4.2 from 231 1970-2010 (EDGAR, 2010). 232

In the next section we compile bottom-up emissions estimates based on the usage and release of SF_6 in the electrical power, metal and electronics industries. Here we follow the approach of previous publications where SF_6 emissions are scaled to electrical production (Fang et al., 2013; Victor and MacDonald, 1999) and attempt to calculate potential emissions from the electrical power industry in China and the rest of the World (ROW) using reported emissions factors for each region.

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3.1 Calculation of SF₆ emissions from the electrical power, metal and electronics industries in China and the Rest of the World (ROW).

- 242
- 243 3.1.1. Electrical Power

Chinese SF₆ emissions, mainly from electrical equipment, account for 60-72% of total emissions from the East Asian region (Fang et al., 2014). Following the method of Zhou et al. (2018), we first determine SF₆ consumption (Table 1) from the Chinese electric power industry, using an initial filling factor (FF) of 52 t/GW (range 40-66 t/GW) and then calculate emissions using the highest suggested emission factors, EFs (8.6% manufacture and installation, 4.7% operation and maintenance). For the ROW we also use a median FF of 52t/GW and a 12% loss during manufacture and installation of new equipment and assume 251 3% loss from banked SF₆ in electrical equipment in 1980 and then decreasing linearly to 1% 252 in 2018, reflecting the change from older to newer equipment, with the reduced leakage of 253 SF₆ (Olivier and Bakker, 1999).

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255 3.1.2 Magnesium Industry

In the magnesium industry (dye casting, sand casting and recycling), where SF_6 is used as 256 a cover or blanketing gas to prevent oxidation, it is assumed that emissions are equal to 257 consumption and all the SF₆ historically used in the magnesium industry has been emitted 258 (<u>https://www.ipcc-nggip.iges</u>). The consumption of SF₆ in magnesium production in China 259 was apparently halted after 2010 and largely replaced with SO₂. (National Bureau of 260 Statistics, 2017). Average annual sales of SF_6 to the magnesium industry were estimated to be 261 ~0.25 Gg yr⁻¹ from 1996-2003 (Smythe, 2004). Given current regulations and the availability 262 of substitute blanketing gases and the assumption that China and Russian producers use SO₂ 263 as the preferred blanketing gas, we assume that current emissions from the magnesium 264 industry are equal to or less than the 1996-2003 average of about 0.25 Gg yr⁻¹. 265

266 3.1.3 Aluminium Industry

For the aluminium industry, historical emissions of SF₆ are poorly understood, as it is 267 generally assumed to be largely destroyed during the production process by reaction with the 268 aluminium (Victor and MacDonald, 1994); nevertheless, any surviving SF₆ will clearly be 269 emitted (IPCC, 1997). Maiss and Brenninkmeijer (1998) roughly quantified SF₆ consumption 270 from aluminium degassing (USA and Canada) and SF₆-insulated windows (Europe, 271 predominately Germany) and numerous small specialized applications to about 450 t yr⁻¹ in 272 1995. Since the use of SF₆ in these applications have been substantially reduced or eliminated 273 in the Annex-1 countries, we assume that current global emissions primarily from aluminium 274 degassing are unlikely to be greater than 0.2 Gg yr⁻¹. 275

276 3.1.4 Electronics Industry

Sulphur hexafluoride is used as a general etching agent in the electronics and 277 semiconductor industry including the production of thin film transistor liquid crystal displays 278 (TFT-LCDs) and in the cleaning of Chemical Vapor Deposition Chambers (CVD). Fang et. 279 (2013) reported emissions of 0.15 Gg in 2005 and 0.4 Gg in 2010 from the semiconductor 280 industry, which has rapidly expanded in China, and emissions from this industry were 281 reported to be 0.2 - 0.25 Gg yr⁻¹ during 2004-2011 (Cheng et al., 2013). Also annual average 282 consumption by the semiconductor industry from 2012-2018 was reported to be 0.51 Gg yr⁻¹ 283 (range 0.41-0.55 Gg), (World semiconductor council, 2020). Due to commercial 284 confidentiality, there is very little information on the consumption of SF₆ in electronics 285 manufacturing. However, Asian electronics industries, which dominate TFT-LCD 286 production, have adopted substitute gases, mainly nitrogen trifluoride (NF₃), carbon 287 tetrafluoride (CF₄) and HFC-134a (CH₂FCF₃) in preference to SF₆ in recent years. We 288 therefore assume that global emissions of SF_6 from these industries are in the range 0.15 – 289 0.55 Gg yr^{-1} in the absence of any new information. 290

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293 3.1.5 Production

We also need to consider losses of SF_6 that occur during production. Fugitive emissions during SF_6 production were estimated to be 0.5% for developed countries (IPCC, 2006). Chinese SF_6 production accounts for ~50% of global production and Fang et al. (2013) suggested an EF of 2.2% (1.7-3.3%) for China. We use these EFs for China and an EF of 0.5% for the rest of the world to estimate an average annual SF_6 loss from production of ~0.1

299 Gg yr⁻¹ (1990-2018).

The combined emissions from SF_6 production, magnesium, aluminium and electronics industries estimated above are approximately 1.1 Gg yr⁻¹, which are prone to large uncertainties. This assumes electronics emissions of 0.55 Gg yr⁻¹, the highest reported by the World semiconductor council.

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305 4. Top-down global emissions estimates

Global emissions were derived using a two-dimensional box model of the atmosphere and 306 a Bayesian inverse method. The AGAGE 12-box model has been used extensively for global 307 emissions estimation and is described in Cunnold et al., (1978, 1996) and Rigby et al., 308 (2013). The model solves for advective and diffusive fluxes between four zonal average 309 'bands' separated at 30° north and south and the equator, and between three vertical levels 310 separated at 500 hPa and 200 hPa. A Bayesian inverse modelling approach was adopted that 311 constrained emissions growth rate a priori, as described in Rigby et al., (2011; 2014) and 312 used most recently to derive SF_6 emissions in Engel, Rigby et al., (2019). Briefly, the 313 approach assumed *a priori* that emissions did not change from one year to the next, with a 314 Gaussian 1-sigma uncertainty in the emissions growth rate set to 20% of the maximum 315 EDGAR v4.2 emissions. The inversion then uses an analytical Bayesian method to find a 316 solution that best fits the observations and this prior constraint. This approach was chosen so 317 that independent constraints on absolute emissions magnitudes (e.g. as in Rigby et al., 2010), 318 which were not available for the entire time period, were not required. Following Rigby et al. 319 (2014), uncertainties applied to the in situ data were assumed to be equal to the variability in 320 the monthly baseline data points, representing the sum of measurement repeatability and a 321 model-data 'mismatch' term parameterising the inability of the model to resolve sub-monthly 322 323 timescales. For the archive air data, this mismatch uncertainty was taken to have the same relative magnitude as the average mismatch error found during the in situ data period. This 324 term was added to the estimated measurement repeatability of the archive air samples. The 325 influence of these uncertainties, and those of the prior constraint, was propagated through to 326 the *a posteriori* emissions estimate, the uncertainty in which was augmented by an additional 327 term representing the uncertainty in the calibration scale (2%, applied as described in Rigby 328 et al. 2014). 329

4.1. Regional emission estimates using the UK Met Office (InTEM), Empa (EBRIS) and Urbino (FLITS) inverse modelling frameworks.

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Three different inverse methods, (1) Inverse Technique for Emission Modelling (InTEM),

- 334 (2) Swiss Federal Laboratories for Materials Science and Technology (Empa) Bayesian
- Regional Inversion System (EBRIS), (3) FLexpart Inversion iTalian System (FLITS),
- 336 Urbino, Italy and two different chemical transport models and were used to estimate regional

SF₆ emissions. A brief description of the three inverse methods is given below and a more detailed description of the InTEM and EBRIS models is provided in the supplementary

339 information.

InTEM. (Arnold et al., 2018) uses the NAME (Numerical Atmospheric dispersion 340 Modelling Environment, V7.2) [Jones et al., 2007] atmospheric Lagrangian transport model. 341 NAME is driven by re-analysis 3-D meteorology from the UK Met Office Unified Model 342 (Cullen, 1993). We provide estimated emissions for Western Europe (United Kingdom, 343 Ireland, Benelux countries (Belgium, the Netherlands, and Luxembourg), Germany, France, 344 Denmark, Switzerland, Austria, Spain, Italy, and Portugal) and, in a separate analysis, 345 emission estimates for China, using observations recorded at the Gosan station on Jeju Island, 346 South Korea (33°N, 126°E). Gosan receives air masses mainly from eastern mainland China 347 during the winter months, with winds from the north-northwest (Rigby et al., 2019; Fang et 348 al., 2013). We subsequently scale SF₆ emissions to a China total by population. 349

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EBRIS. (Henne et al., 2016) employs source sensitivities as derived from the Lagrangian particle dispersion model FLEXPART (Version 9.1; Stohl et al., 2005) and observed atmospheric concentrations to optimally estimate spatially resolved surface emissions to the atmosphere. Here, EBRIS was applied to Western Europe and provided country/region estimates of *a posteriori* SF₆ emissions.

FLITS. (FLexpart Inversion iTalian System. U, Urbino), another modelling approach has 356 been used for a regional inversion. The model is based on an inversion approach developed by 357 Stohl et al. (2009). The modelling cascade is composed of the Lagrangian particle dispersion 358 model (LPDM) FLEXPARTv9.1(http://www.flexpart.eu, downloaded 13 May 2019), in 359 conjunction with in situ high-frequency observations from four atmospheric monitoring sites 360 and a Bayesian inversion technique. Here, FLEXPART was driven by operational 3-hourly 361 meteorological data from the European Centre for Medium-Range Weather Forecasts 362 (ECMWF) at 1°×1° latitude and longitude resolution, from 2013 to 2018. We run the model in 363 backward mode, releasing from each measurement sites and every three hours, 40,000 particles 364 followed backward in time for 20 days. Due to the long atmospheric lifetime of SF₆, the model 365 simulation does not account for atmospheric removal process. For the West European a priori 366 emission field we disaggregated 2 Kt yr⁻¹ of SF₆ emissions within each country borders 367 according to a gridded population density data set (CIESIN, Center for International Earth 368 Science Information Network, www.ciesin.org), and we set 200% of uncertainty of the 369 370 emissions for every grid cells. Parametrisation details used here are described in Graziosi et al., 2015. 371

In Table 2 we provide details of the East Asian setup of the inversion system (InTEM) and in Table 3 we provide details of the European setup of the inversion systems (InTEM, EBRIS,

374 FLITS).

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376 **5. Results**

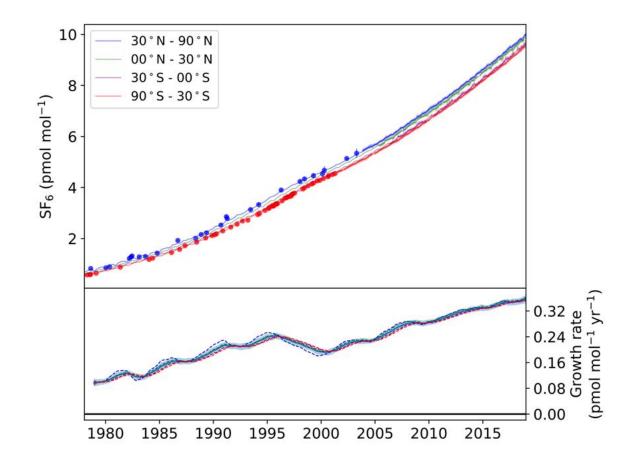
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Figure 1 and Table 4 shows the AGAGE SF₆ mole fractions from 1978-2018, averaged into semi-hemispheres. In the lower panel of Fig.1, we report the annual SF₆ growth rate increasing from 0.097 ± 0.013 pmol mol⁻¹ yr⁻¹ in 1978 to reach an early maximum average growth rate in 1995 of 0.24 ± 0.01 pmol mol⁻¹ yr⁻¹ (a Kolmogorov-Zurbenko filter was used to estimate annual mean growth rates, as described in Rigby et al. (2014). The growth rate

then gradually drops to 0.19 ± 0.01 pmol mol⁻¹ yr⁻¹ in 2000, before increasing to reach $0.36 \pm$

- $0.01 \text{ pmol mol}^{-1} \text{ yr}^{-1}$ in 2018. Between 1978 and 2018, the SF₆ loading of the atmosphere has
- increased by a factor of about 15. Assuming a radiative efficiency of 0.57 W m⁻² nmol mol⁻¹
- (WMO, 2018), SF₆ contributed around 5.5 ± 0.1 mW m⁻² in 2018 to global radiative forcing.
- In the supplementary material (Fig. S1), we show the model/measurement comparison for the
- 388 AGAGE 12-box model.

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Figure 1. Observed and model-derived SF₆ mole fractions and annual growth rates from the 391 AGAGE 12-box model. Upper panel shows measured atmospheric SF₆ mole fractions in each 392 semi-hemisphere (points with 1-sigma error bars) and archived air samples collected from 393 1978 in the NH (blue filled circles) and archived air samples collected at Cape Grim 394 Tasmania in the SH (red filled circles). Solid lines indicate modelled mole fractions using the 395 mean emissions derived in the global inversion. Semi-hemispheric averages for both the 396 model and data are shown for 30°–90° N (blue), 0° N–30° N (green), 30° S–0° S (purple) and 397 90° S–30° S (red). The lower panel shows the model-derived growth rate, smoothed with an 398 approximately 1-year filter, for each semi-hemisphere (dotted lines), and the global mean and 399 its 1σ uncertainty (solid line, and shading, respectively). 400 Our model estimated annual global emissions are shown in Fig. 2 and listed in Table 5. 401

Here we extend and update the emission estimates prior to 2008, previously described in Rigby et al. (2010), that reported a global SF₆ emission rate of 7.3 ± 0.6 Gg yr⁻¹ (1- σ

uncertainty unless specified otherwise) in 2008 and Engel, Rigby et al., (2019) estimated 404 emissions of 8.7 \pm 0.4 Gg yr⁻¹ in 2016. We show that, during the last decade (2008-2018), 405 emissions have increased by approximately 24%, to 9.04 \pm 0.35 Gg yr⁻¹. At 2018 levels, SF₆ 406 emissions are equivalent to 212 ± 8 Tg CO₂ (assuming a 23,500 100-year global warming 407 potential, Myhre et al., 2013). Our results demonstrate that, relative to 1978, global SF_6 408 emissions have increased by around 260%, with cumulative global emissions through 2018 of 409 234 ± 7 Gg (5500 ± 170 Tg CO₂-equivalent). Our estimates are in close agreement through 410 2008 with the independent top-down estimates of Levin et al. (2010). Our estimates show 411 similar trends to EDGAR v4.2, although our global total is on average 8.9% higher. It should 412 be noted that the EDGAR estimate includes some information from atmospheric observations 413 (Rigby et al., 2010). On the other hand, it is likely that Annex-I countries are underreporting 414 to the UNFCCC (Weiss and Prinn, 2011) and non-Annex-I countries are not required to 415 report to UNFCCC which explains the much lower UNFCCC totals. There is also close 416 agreement, within the uncertainties, of our modelled global SF₆ emission estimates and those 417 reported by Krol et al., (2018). The annual average difference was 0.2 Gg yr⁻¹ (range 0.01-418 0.49 Gg yr⁻¹). 419

Figure 2 and Table 3 record the individual Annex-1 and our revised non-Annex-1 emissions and their combined emissions. UNFCCC emissions reported after 2008 for the non-Annex-1 countries exceed emissions from Annex-1 countries, as SF₆ consumption moved from Annex-1 countries to non-Annex-1 countries, particularly in Asia. We note that the significant downward trend in our top-down emission estimate between 1996-2000 matches the UNFCCC reported emissions, furthermore this decline is also consistent with the drop in sales and prompt emissions listed in the Rand Report (Table S2).

The average annual difference between our global top-down estimates and UNFCCC reports (Annex-1 plus revised non-Annex-1) listed in Table 5 was 4.5 Gg yr⁻¹, reaching a maximum difference of 5.2 Gg in 2012. This difference subsequently decreased to an annual average of ~ 4 Gg yr⁻¹ between 2013-2018, implying improved or more comprehensive reporting from non-Annex-1 countries, although we recognise that these differences are prone to large uncertainties, given the limited emissions data submitted to UNFCCC from the non-Annex-1 countries.

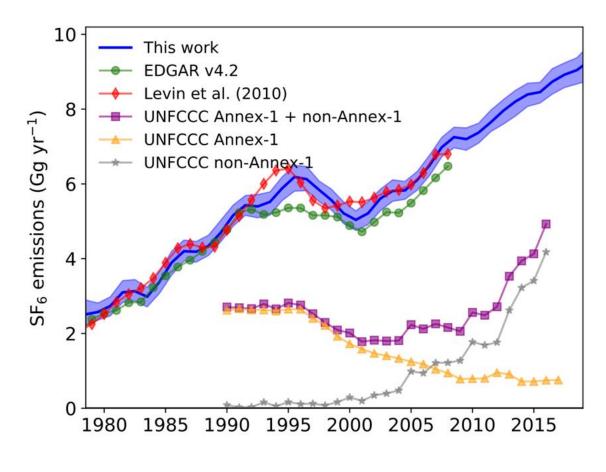


Figure 2. Optimised global SF₆ emissions using AGAGE measurements (solid blue line) and
shaded line shows the 1σ uncertainties; emissions from Levin et al. (2010, red diamonds);
EDGAR v4.2 emissions (green circles); UNFCCC Annex-1 reported emissions (orange
triangles); UNFCCC non-Annex-1 reported emissions (grey stars); combined non-Annex-1
and Annex-1 UNFCCC emissions (purple squares).

442

443 **5.1 Regional Emission Estimates**

Top-down regional emission estimates have been calculated for two major emission 444 regions of the world, East Asia (China, S. Korea) and North West Europe. As described 445 below, observations from Gosan (Jeju Island, South Korea 33.3° N, 126.2° E) were used to 446 estimate the Chinese emissions, and, for Europe, observations from the UK DECC network 447 and three European AGAGE stations were used (Mace Head, Ireland, MHD; Jungfraujoch, 448 JFJ, Switzerland; and Monte Cimone CMN, Italy). Figure 3 records the high frequency mole 449 fractions of SF₆ measured at two AGAGE sites, MHD (53° N, 10° W) and Gosan, GSN, Jeju 450 Island, South Korea (33° N, 126° E). Compared to Mace Head, the Gosan data show very 451 large enhancements (10-30 ppt, compared to 1–2 ppt) above the background mixing ratio of 452 \sim 5-10 ppt, reflecting significant regional emissions. The Gosan enhancements are associated 453 with the transport of polluted air masses from the north-east part of China, the Korean 454 Peninsula and Japan (Kim et al., 2010; Fang et al., 2014). 455

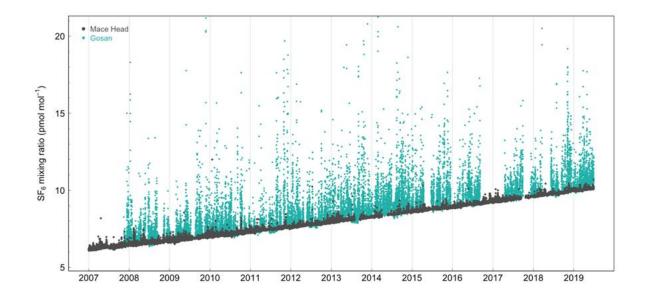


Figure 3. Atmospheric mixing ratios (ppt) recorded at Mace Head, Ireland (black) are
shown on top of the measurements at Gosan, Jeju Island, South Korea (green). Elevated
mixing ratios represent pollution events associated with regional emissions.

Note: GSN occasionally shows lower mixing ratios than MHD during the summer months
when the monsoon transports oceanic background air from the Southern regions to the Gosan
site on Jeju Island, South Korea, which accounts for the cases in which GSN mole fractions
are lower than those at MHD.

463

464 **5.2 East Asian estimated emissions**

Regional top-down estimated emissions for Eastern mainland China, inferred using 465 InTEM and Gosan measurements, are shown in Fig. 4 and listed in Table 5. Derived Chinese 466 emissions (from an area representing 34% of China's population) were subsequently scaled to 467 the whole country by population. China emissions increased from 1.4 (1.0-1.8) Gg yr⁻¹ in 468 2007 to 3.2 (2.6-3.8) Gg yr⁻¹ in 2018, an increase of 130 %. Based on the InTEM regional 469 emission estimates, China accounted for 36% (29-42%) of our model estimated global 2018 470 emissions. The InTEM results show an increasing emission from China, the temporary rise in 471 the mean value in 2011-2012 needs to be understood within the context of the uncertainty 472 estimates, it is plausible, within 1 sigma, that there was no enhanced emissions during this 473 period. Higher (average 38%, 2006-2012) emissions for China (Fang et al., 2014) have been 474 derived using observations from three stations (Gosan, South Korea; Hateruma, Japan; Cape 475 Ochi-ishi, Japan) rather than one station (Gosan), coarser and different meteorology, a 476 deta; led spatial prior and solved for the whole of China. Also shown in Fig. 4 are our bottom-477 up estimated emissions calculated from the usage of SF₆ in the electrical power industry 478 (Section 3.1), following the methodology published in Zhou et al. (2018) for different filling 479 factors (FF of 40, 52, and 66 t/GW) and high and low emission factors EFs. The assumed 480 high EFs were 8.6% (manufacture and installation) and 4.7% (operation and maintenance) 481 and low EFs of 1.7% (manufacture and installation) and 0.7% (operation and maintenance), 482

483 Our bottom-up estimated emissions, using the high EFs, are generally larger than the bottom-

up estimated China emissions determined by Fang et al. (2013), while China estimates based

on the lower EFs suggested by Zhou et al. (2018), are much lower than the other Chinese

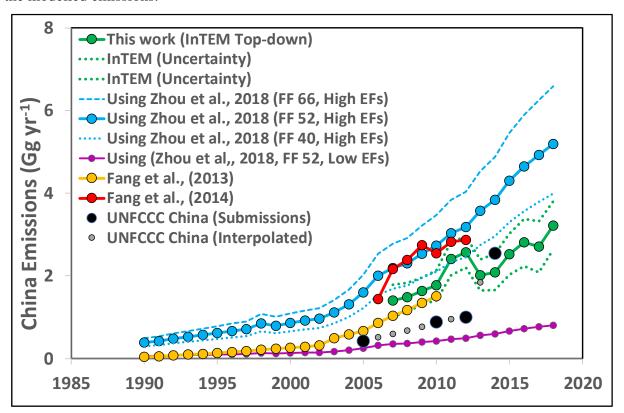
emission estimates. Notably from 2007-2012 the bottom-up estimates, after Zhou et al.,

(2018), with a FF of (52 t/GW) and high EF are in close agreement with the top-down

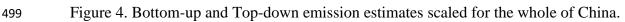
estimates of Fang et al., (2014), They also agree with our results within uncertainties.

- However, after about 2014 the increase in these bottom-up estimates especially with the
 highest FF (66 t/GW), appear to represent an unrealistically large percentage of global
- 491 emissions.

China inventory compiled from biennial submissions to the UNFCCC National
Communications and Biennial Update Report (2018) are also included in Fig. 4 (black filled
circles and interpolated values grey filled circles for missing years). China reported emissions
to the UNFCCC, that were consistently lower than the observation based InTEM modelled
emission estimates through 2012, substantially increased in 2014 to within the uncertainties
of the modelled emissions.



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500

Top-down Chinese emission estimates, Fang et al. (2014), agree within the uncertainties,
with our bottom-up emission estimates. Conversely our top-down InTEM Chinese emission
estimates fall between our bottom-up and the Fang et al. (2013) bottom-up emission
estimates. Regardless of the EF used, our bottom-up emission estimates would require lower
Chinese EFs to obtain closer agreement with our top-down emission estimate.

- Figure 5 shows the footprint of the mapped China emission magnitudes determined from 507 InTEM, based on measurements recorded at the Gosan station, South Korea. Although our 508 main focus has been on emissions from China, it is clear from Fig. 5 that there are also 509 emissions from South Korea. The 2007-2018 average annual SF₆ InTEM emission estimate 510 for South Korea (population ~52 M) is 0.26 ± 0.05 Gg yr⁻¹ with a slight upward trend (+0.007 511 Gg yr⁻²). This compares well with the reported average value of 0.36 Gg yr⁻¹ over the period 512 2007-2014 with an upward trend of $+0.006 \text{ Gg yr}^{-2}$ (South Korea, 2017, second biennial 513 report). The emissions for South Korea are higher per head of population (~0.005 Gg/M) than 514 those estimated for China (population ~1400 M, ~0.002 Gg/M in 2018). For Western Europe, 515 discussed in the next section, the equivalent value is ~0.001 Gg/M. 516
- In Supplementary Table S3 we list the InTEM SF₆ emission estimates for South Korea. The average annual emissions of South Korea (0.26 Gg yr⁻¹) are similar to those of Western Europe (0.22 Gg yr⁻¹) and in both cases are approximately 1/10 of Chinese average annual emissions (2.2 Gg yr⁻¹).
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- 522

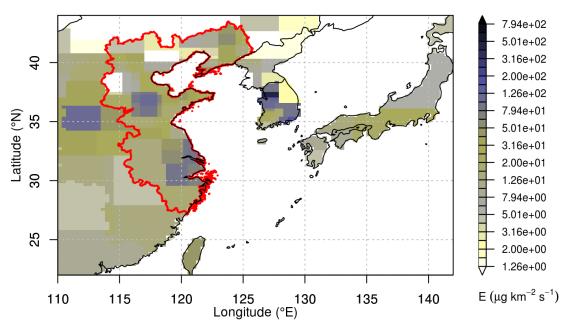




Figure 5. Map of the top-down emission estimate from China and East Asia. The red line
indicates the boundary of the region we denote 'eastern mainland China', to which the
measurements at Gosan and the inversion method are most sensitive.

528 **5.3 Western Europe emission estimates**

InTEM estimated top-down emissions (2013-2018) for Western Europe (United

530 Kingdom, Ireland, Benelux, Germany, France, Denmark, Switzerland, Austria, Spain, Italy,

and Portugal) from measurements at 7 sites (Mace Head (MHD), Ireland, Bilsdale, UK

(BSD), Heathfield, UK (HFD), Ridge Hill, UK (RGL) and Tacolneston, UK (TAC),

Jungfraujoch (JFJ), Switzerland, and Monte Cimone (CMN), Italy, are presented in Fig. 6 and

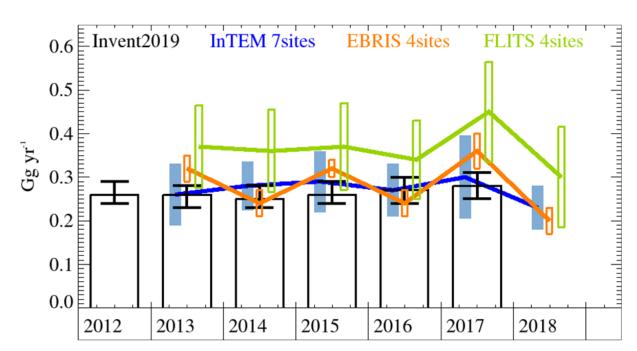
listed in Table 6. EBRIS used observations from 4 sites (MHD, TAC, JFJ, CMN) to estimate

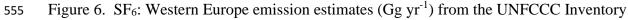
top-down emissions for the period 2013-2018. Emissions from the InTEM and ERBRIS

- inversion models are in close agreement with inventory emissions (UNFCCC 2019). FLITS
- also used observations (2013-2018) from 4 sites (MHD, TAC, JFJ, CMN) and an inverse
- 538 model to estimate top-down emissions, which are higher than the other two results but follow
- a similar trend. The emission flux uncertainty decreases from 200% for the *a priori* to ~ 25 % for the *a posteriori* emission field (average over the study period), supporting the reliability
- of the results. Top-down emissions for Western Europe from 4 inversion systems for the year
- 542 2011 were reported to be 47% higher than UNFCCC, with Germany identified as the
- 543 principal emitter (Brunner et al., 2017).

The contribution of Western European SF₆ emissions to the global total in 2018 was 3.1% 544 (2.4-3.9 %, Table 6, average of all inversions). Comparing the model estimated SF₆ emissions 545 from Western Europe and China, it is apparent that China is a much larger contributor to the 546 global SF₆ inventory. On an annually averaged basis, top-down Chinese emissions exceed 547 those emitted from Western Europe by a factor of ~10. For Western Europe, EFs are 548 generally expected to be lower, representing better maintenance practices and more efficient 549 SF₆ capture during re-filling (EU Commission, 2015). The faster uptake of SF₆ substitutes 550 and vacuum-insulated units would also explain the much lower emission estimates in 551 Western European countries. 552

553





- black; InTEM inversion (2013-2018, blue, 7 sites: MHD, JFJ, CMN, TAC, RGL, HFD,
- 557 BSD); EBRIS (2013-2018, orange, 4 sites: MHD, TAC, JFJ, CMN). FLITS (2013-2018,
- 558 green, 4 sites: MHD, TAC, JFJ, CMN).
- 559 The uncertainty bars are ± 1 std.
- 560
- Figure 7 shows the footprint of the average emission estimates for Western Europe calculated
- using three inverse models (InTEM, EBRIS and FLITS), illustrating that significant
- emissions are located in southern Germany, a region with a substantial number of semi-
- 564 conductor producers (https://prtr.eea.europa.eu/#home).

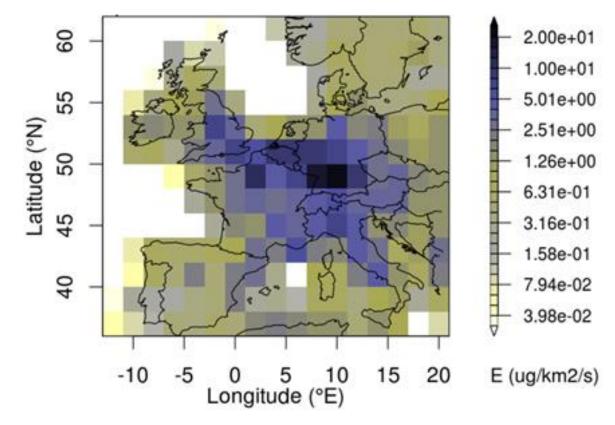


Figure 7. Top-down inversion emission estimate for Western Europe (2013-2018).
Average of InTEM (7 observation sites), EBRIS (4 observation sites) and FLITS (4
observation sites).

570

571 6. Increasing global SF₆ emissions and the deficit between bottom-up and top-down 572 emissions estimates

573

Weiss and Prinn (2011) noted that SF_6 bottom-up estimates derived from industrial 574 accounting and reported to the UNFCCC by Annex-1 countries are likely under-reported, 575 actually representing 80% of the total in the mid-1990s and 60% of the total in 2006, leading 576 to poor agreement (under-reported by a factor of 2) with top-down emissions estimates 577 determined from atmospheric observations. However, for Western Europe (Sect.5.3) our 578 estimated emissions (2013-2018) from the model inversions are in close agreement with the 579 UNFCCC reported inventory. Limitations imposed by commercial secrecy and the lack of 580 consistent reporting of SF₆ emissions, both from Annex-1 and non-Annex-1 countries, 581 continue to contribute to the discrepancies between bottom-up and top-down methods. 582

We next explore if the increasing global emissions of SF_6 may be related to changing patterns of source location and usage in electrical equipment, magnesium smelting, aluminium production and electronics manufacturing, and attempt to reconcile the large average annual discrepancy of ~ 4.5 Gg yr⁻¹ between bottom-up and top-down emissions estimates.

Previous reports on SF_6 emissions from the electrical power industry have noted that emission factors (EFs) may vary widely depending on the type of equipment and different

- 590 maintenance and servicing practices (Capiel/Unipede, 1999). About 12% of SF₆ consumed in
- the manufacture and commissioning of electrical equipment is estimated to be directly
- 692 emitted. Industry assessments of the maximum leakage during operation from older
- equipment (manufactured before 1980) was 3% yr⁻¹, although higher leakage rates for some
- countries continued into the 1990s. For example, in 1995 USA annual refill and leakage of
- circuit breakers was estimated to be 20% of total installed stock; however, with the
- installation of improved self-contained equipment leakages steadily reduced to 0.5-1% yr⁻¹
- (Olivier and Bakker, 1999). A recent study of the SF_6 losses from gas-insulated electrical
- equipment in the UK calculated an average annual leakage rate of 0.46% yr⁻¹from the inventory of SF₆ held in the installed equipment and 1.29% yr⁻¹ from the transmission network, with an overall average (2010-2016) leakage rate of 1% yr⁻¹ from the UK electrical power industry (Widger and Haddad, 2018).
- Figure 8 shows the global installed electrical capacity and the percentage contribution of 602 wind and solar power capacity from 2000-2018. Installed electrical capacity grew by 62% 603 (2412 GW) during this period. Of this rise, ~45% was due to solar and wind, illustrating the 604 very rapid growth rate of the renewable sector, as utility companies invested in renewable 605 energy (GWEC, 2018; CWEA, 2018; IRENA, 2019). The inset panel records the percentage 606 of solar and wind power by country during 2017 – led by China, USA, and Germany. The 607 global adoption of renewable technologies, especially hydroelectric, wind and solar power 608 has been particularly strong in the non-Annex-1 countries to support their continuing 609 development (Fang et al., 2013). For example, Chinese installed electrical capacity, relative 610 to the ROW, increased from about 3% in 1980 to ~43% in 2018, as noted in Table 1. 611
- We assume that with the wider geographical distribution of renewables, compared with 612 the localised gas or oil-fired power stations, this has resulted in many more connections to the 613 electricity grid and a consequent rise in the number of gas-insulated electrical switches, 614 circuit breakers, and transformers. With the adoption of more technologically advanced GIS 615 with lower emissions we might expect there to be a reduction in overall SF₆ emissions over 616 time. There is clearly a balance between the very substantial increase in the global number of 617 newly installed GIS equipment and the major advances in reducing the leakage of SF₆ from 618 GIS equipment and the recovery and substitution of SF₆. At present the larger number of 619 global GIS installations appear to be overpowering the success in reducing SF₆ emissions. 620
- 621

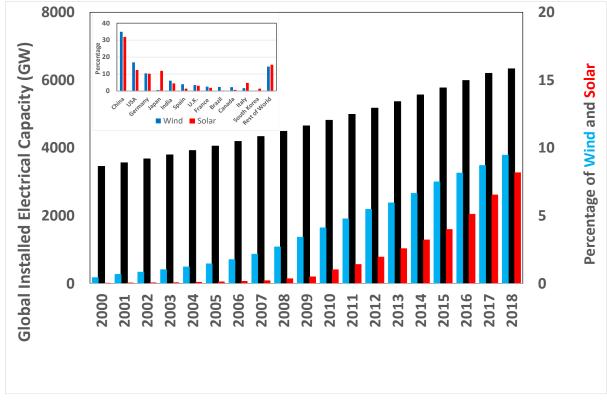


Figure 8. Global installed electrical capacity (GW) and the percentage contribution from
wind power (blue bars) and from solar power (red bars) from 2000-2018. Insert: Percentage
of wind and solar power by country in 2017 (IRENA, 2019).

Sulphur hexafluoride in the electrical power industry is primarily used in high voltage 626 gas-insulated switchgear (GIS) which consumes > 80% of the SF₆ used, with medium voltage 627 GIS consuming only about 10% (Niemeyer and Chu, 1992; Dervos and Vassiliou, 2000; Zu 628 et al., 2011; Xiao et al., 2018). Since this electrical equipment can be operational for 30-40 629 years, there is a large bank of SF₆ in older equipment that will be a continuing source of 630 global SF₆ emissions through routine maintenance, decommissioning, catastrophic failure of 631 components (as noted previously) and long-term leakage. Sulphur hexafluoride is also used 632 by the utility companies in gas-insulated transmission lines (Ecofys, 2018). 633

Recently, regulations have been introduced to mitigate the environmental impact of SF_6 634 emissions. The European Commission reinforced a 2006 F-Gas regulation in 2015 (No. 635 517/2014) with the aim of reducing the EU's F-gas emissions by two-thirds from 2014 levels 636 by 2030 (EU Commission, 2015). It is important to realise that under these current European 637 regulations there are no restrictions on the use of SF₆ in switchgear, but there are 638 requirements to recover SF₆ where possible (Biasse, 2014). Historically, SF₆ has been the 639 preferred insulator and arc-quenching gas, although technological advances and alternative 640 gases to SF₆ have been introduced to reduce overall emissions. Substitute gases include 641 perfluoroketones, perfluoronitriles and trifluoroiodomethane (CF₃I) (Okubo, 2011; Li et al., 642 2018, Xiao et al., 2018). Some wind turbine manufacturers have recently started to offer SF₆-643 free equipment or vacuum insulated switch gear. In 1995 the U.S. Environmental Protection 644 Agency (EPA) established an SF₆ Emissions Reduction Partnership for electric power 645 systems to improve equipment reliability and reduce SF₆ emissions by technological 646

647 innovation. They subsequently reported that by 2016 there had been a 74% reduction of SF_6 648 emissions by the industrial partners (EPA, 2018).

The combined bottom-up emissions estimated in Sect. 3.1 from SF_6 production and the 649 various industrial applications which use SF₆ are ~1.1 Gg yr⁻¹. Sales of SF₆ to these industries 650 are listed in the Rand report (Smythe, 2004) from 1996-2003 (Supplement: Table S2) which 651 does not include recent data and only covers an unspecified part of the globe, implying a 652 potential underestimation of actual emissions. Assuming SF₆ consumption from these 653 industries are emitted promptly (i.e. not banked), we calculate an average annual emission 654 from 1996-2003 of 1.1 Gg yr⁻¹ (0.83-1.42 Gg yr⁻¹), that includes the magnesium, electronics, 655 adiabatic and the fraction of 'other uses' that are emitted promptly. The agreement between 656 our bottom-up estimate of industrial emissions and the estimate derived from sales are 657 consistently lower than modelled top-down emission estimates. Even accepting these many 658 assumptions and uncertainties the dominant emissions are attributable to the electrical 659 industry and its use of SF₆ insulated equipment. 660

We can also obtain an 'effective' EF for the electrical industry by first subtracting prompt 661 emissions from our top-down emission estimate and then calculating the amount of SF₆ 662 required to match the remaining annual top-down emission estimate, given global installed 663 electrical capacity and an assumed FF (52t/GW; Zhou et al., 2018). Based on this simplified 664 method we estimate an 'effective' average EF of 2.5% for the entire time period. In Figure 9 665 we compare our top-down emissions estimate and a bottom-up estimate with $\pm 25\%$ 666 uncertainty (prompt emissions + electrical industry emissions using a median FF of 52 t/GW 667 and the inferred effective EF). Notwithstanding some disagreement between the top-down 668 estimate and the simple bottom-up model during certain periods (e.g. an overestimate in the 669 early 1980s and underestimate during the 1990s, it is notable that decadal trends in SF_6 can be 670 broadly explained by the rise in installed electrical capacity and a single effective EF. This 671 suggests that, when considered on ~10 year timescales, reductions in EF achieved in certain 672 countries through new technologies or improved GIS management, have been offset by the 673 growth in higher-EF GIS from other parts of the world, such that the effective EF has not 674 changed substantially on a global scale. 675

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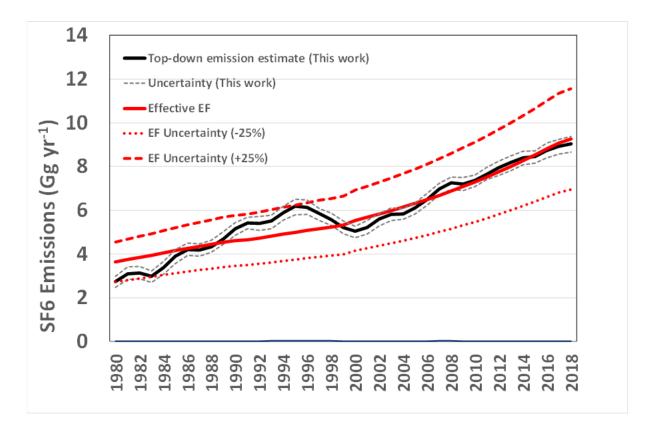


Figure 9. Top-down emission estimate (solid black line) with 1-sigma uncertainties and a bottom-up estimate, based on SF₆ prompt emissions plus an emissions estimate from the electrical power industry (FF=52 t/GW) and an inferred effective EF (2.5%) with \pm 25% uncertainty (dashed lines).

685 **4.** Conclusions

686 New atmospheric SF_6 mole fractions are presented which extend and update our 687 previously reported time series from the 1970s to 2008 by a further 10 years to 2018 in both 688 hemispheres. We estimate global emissions of SF₆ using data from the 5 core AGAGE 689 observing sites and archived air samples with a 12-box global chemical transport model and 690 an inverse method. SF₆ emissions exhibited an almost linear increase from 2008-2018 691 reaching 9.0 \pm 0.4 Gg yr⁻¹ in 2018, a decadal increase of ~24%. Chinese emissions in 2018 692 based on InTEM regional emission estimates, with large uncertainties, account for 36% (29-693 42%) of total global emissions relative to our model estimated global 2018 emissions. 694

We find that on an annually averaged basis emissions from China are about 10 times larger than emissions from South Korea and Western Europe. Relative to 1978, global SF₆ emissions have increased by ~260% with cumulative global emissions through December 2018 of 234 ± 6 Gg or CO₂ equivalents 5.5 ± 0.2 Pg. To further mitigate the large uncertainties will require an increase in the number of monitoring sites, improved transport models and a substantial improvement in the accuracy and transparency of emissions reporting.

We note that the rapid expansion of global power demand and the faster adoption of renewable technologies, such as wind and solar capacity over the past decade, particularly in the Asia region, has provided a large bank of SF_6 which currently contributes to the

- atmospheric burden of SF_6 and will continue throughout the lifetime (30-40 years) of the
- installed equipment. The resultant increase in SF_6 emissions from the non-Annex-1 countries
- has overwhelmed the substantial reductions in overall emissions in the Annex-1 countries,
- where less emissive industrial practices are used in the handling of SF_6 (EPA, 2018; EU
- Commission, 2015). This also suggests that any decrease in emission factor from Annex-1
 countries has been offset by an increase in non-Annex-1 emission factors. The non-Annex-1
- countries has been offset by an increase in non-Annex-1 emission factors. The non-Annex countries are progressively using improved and less emissive GIS electrical equipment
- however, it is only in the last few years that alternative gases to SF_6 or SF_6 -free equipment
- have been commercially available for switchgear and other electrical systems. We conclude
- that the observed increase in global installed electrical capacity, in both developed and
- developing countries, is consistent with the temporal rise in SF_6 global emissions.
- 716
- 717
- Table 1. Estimated SF_6 emissions (Gg) calculated from China and the Rest of the World (ROW) installed electrical capacity.
- 720

Year	¹ China	² China estimated	³ ROW	⁴ ROW estimated	Global
	installed	emissions (Gg)	installed	emissions (Gg)	emissions
	electrical		electrical		(ROW + China)
	capacity		capacity		(Gg)
	(GW)		(GW)		
1980	66	0.17 (0.13-0.21)	1910.8	3.48 (2.79-4.28)	3.65 (2.93-4.50)
1981	69	0.18 (0.14-0.23)	1996.2	3.58 (2.75-4.54)	3.76 (2.89-4.77)
1982	72	0.19 (0.15-0.24)	2068.0	3.53 (2.72-4.48)	3.72 (2.87-4.72)
1983	76	0.20 (0.16-0.26)	2133.3	3.52 (2.71-4.46)	3.72 (2.87-4.72)
1984	80	0.21 (0.16-0.27)	2225.3	3.74 (2.88-4.75)	3.95 (3.04-5.02)
1985	87	0.24 (0.19-0.31)	2303.5	3.69 (2.84-4.68)	3.93 (3.02-4.99)
1986	94	0.26 (0.20-0.33)	2368.6	3.62 (2.78-4.59)	3.88 (2.98-4.92)
1987	103	0.29 (0.23-0.37)	2430.1	3.59 (2.76-4.56)	3.88 (2.99-4.93)
1988	115	0.33 (0.26-0.43)	2486.7	3.55 (2.73-4.51)	3.89 (2.99-4.93)
1989	127	0.36 (0.28-0.46)	2555.7	3.63 (2.79-4.61)	3.99 (3.07-5.07)
1990	138	0.39 (0.30-0.49)	2594.4	3.40 (2.62-4.32)	3.79 (2.91-4.81)
1991	151	0.43 (0.33-0.54)	2617.9	3.25 (2.50-4.12)	3.68 (2.93-4.66)
1992	167	0.48 (0.37-0.61)	2661.5	3.33 (2.56-4.23)	3.81 (2.93-4.84)
1993	184	0.53 (0.40-0.67)	2712.4	3.34 (2.57-4.24)	3.87 (2.98-4.91)
1994	201	0.57 (0.44-0.72)	2766.0	3.32 (2.56-4.22)	3.89 (2.99-4.94)
1995	219	0.62 (0.47-0.78)	2800.9	3.15 (2.42-4.00)	3.77 (2.90-4.78)
1996	238	0.67 (0.51-0.85)	2858.5	3.25 (2.50-4.13)	3.92 (3.02-4.98)
1997	256	0.71 (0.54-0.90)	2905.0	3.13 (2.41-3.98)	3.84 (2.95-4.87)
1998	289	0.85 (0.66-1.08)	2922.8	2.87 (2.21-3.64)	3.72 (2.87-4.73)
1999	302	0.80 (0.61-1.01)	2984.6	3.10 (2.393.94)	3.90 (3.00-4.95)
2000	319.3	0.86 (0.66-1.09)	3135.7	3.69 (2.84-4.68)	4.55 (3.50-5.77)
2001	338.5	0.91 (0.70-1.16)	3224.8	3.27 (2.52-4.15)	4.18 (3.22-5.31)

2002	357.6	0.96 (0.74-1.22)	3319.7	3.27 (2.52-4.15)	4.23 (3.26-5.37)
2003	392.4	1.11 (0.86-1.42)	3404.8	3.16 (2.43-4.02)	4.27 (3.29-5.43)
2004	443.5	1.31 (1.01-1.67)	3479.4	3.04 (2.34-3.85)	4.35 (3.35-5.52)
2005	517.8	1.60 (1.23-2.03)	3536.9	2.85 (2.19-3.62)	4.45 (3.42-5.65)
2006	624.1	2.00 (1.54-2.54)	3568.8	2.59 (1.99-3.29)	4.59 (3.53-5.83)
2007	720.6	2.19 (1.69-2.78)	3617.5	2.61 (2.00-3.31)	4.80 (3.69-6.09)
2008	798.5	2.30 (1.77-2.92)	3692.2	2.69 (2.07-3.41)	4.99 (3.84-6.33)
2009	883.1	2.54 (1.95-3.22)	3767.2	2.61 (2.01-3.31)	5.15 (3.96-6.53)
2010	966.4	2.73 (2.10-3.47)	3850.9	2.58 (1.98-3.27)	5.31 (4.09-6.74)
2011	1062.5	3.03 (2.33-3.84)	3929.5	2.45 (1.89-3,11)	5.48 (4.22-6.96)
2012	1146.8	3.18 (2.45-4.04)	4027.9	2.49 (1.91-3.16)	5.67 (4.36-7.19)
2013	1257.7	3.57 (2.75-4.53)	4107.9	2.27 (1.75-2.88)	5.84 (4.49-7.41)
2014	1369.2	3.84 (2.96-4.88)	4195.7	2.21 (1.70-2.81)	6.05 (4.66-7.69)
2015	1506.7	4.30 (3.31-5.46)	4265.8	1.99 (1.53-2.52)	6.29 (4.83-7.97)
2016	1645.8	4.64 (3.57-5.89)	4343.1	1.91 (1.47-2.42)	6.55 (5.04-8.32)
2017	1777.0	4.93 (3.79-6.26)	4424.6	1.81 (1.39-2.30)	6.74 (5.19-8.56)
2018	1899.7	5.19 (3.99-6.59)	4435.7	1.65 (1.39-1.96)	6.84 (5.38-8.55)

¹China installed electrical power capacity compiled from

723 <u>www.statistica.com/statistics/302269</u> and <u>www.iea.com (IEA 2017)</u>.

² estimated China emissions derived from method of Zhou et al. (2018), using an initial

filling of 52 t/GW (in parenthesis, range 40-66 t/GW) and emission factors of 8.6%

(manufacture and installation) and 4.7% (operation and maintenance).

³Rest of the World (ROW) installed electrical power capacity, compiled from

728 www.data.UN.org (www.iea.com) and mecometer.com.

⁴ ROW emissions estimated using an initial filling of 52 t/GW and a 12% loss during

manufacture and installation of new equipment and assuming 3% loss from banked SF₆ in

electrical equipment in 1980 and then decreasing linearly to 1.0% in 2018, reflecting the

change from older to newer equipment (Olivier and Bakker, 1999).

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- Table 2. The East Asian setup of the inversion system run 2007-2018 in 2-yr blocks: The
- 745 Meteorology, Transport Model (ATM), geographical domains over which the ATM is run,
- number of particles released, inversion time-steps, prior information and observations used.

Inversion System	Atmospheric Transport Model	Driving Meteorology	Computational Domain	Inversion Domain	Particles Released	Release Time Step	Prior	Obs
InTEM	NAME	Unified Model 12-40 km horizontal	54.3°E to 192.0°E 5.3°S to 74.3°N	88.1°E to 145.9°E 16.0°N to 57.6°N	20,000 hr ⁻¹	2 hr	Population 2 kt over domain 300% uncertainty	GSN
							per sub- region	

747 Note. GSN=Gosan station, Korea.

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Table 3. The European setup of each inversion system run each year 2013-2018: the

750 Meteorology, Transport Model (ATM), geographical domains over which the ATM's are run,

number of particles released, inversion time-steps, prior information and observations used.

Inversion System	Atmospheric Transport Model	Driving Meteorology	Computational Domain	Inversion Domain	Particles Released	Release Time Step	Prior	Obs
InTEM	NAME	Unified Model 1.5 km nested in 12-17 km horizontal	98.1°W to 39.6°E 10.6°N to 79.2°N	14.3°W to 30.8°E 36.4°N to 66.3°N	20,000 hr ⁻¹	2 hr	Population 2 kt over domain 200% uncertainty per sub- region	MHD JFJ CMN TAC RGL BSD HFD
EBRIS	FLEXPART 9.1_Empa	ECMWF-IFS 0.2° x 0.2° (-4°E - 16°E, 39°N - 51°N) nested in 1° x 1°	Global	12.0°W to 26.4°E 36.0°N to 62.0°N	16,667 hr ⁻¹	3 hr	Population 2kt over domain 100 % uncertainty for whole inversion domain	MHD JFJ CMN TAC
FLITS	FLEXPART 9.0	ECMWF Operational 1° lat x 1° lon horizontal	Global	20.0°W to 50.0°E 0.0°N to 80.0°N	13,333 hr ⁻¹	3 hr	Population 2 kt over domain 200% uncertainty per sub- region	MHD JFJ CMN TAC

- Note. Observing stations: MHD (Mace Head, Ireland); JFJ (Jungfraujoch, Switzerland);
- 755 CMN (Monte Cimone, Italy); TAC (Tacolneston, UK); RGL (Ridge Hill, UK); BSD
- 756 (Bilsdale, UK); HFD (Heathfield, UK).
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- Table 4. Global SF_6 mole fraction output from the AGAGE 12-box model.

			759	
YEAR	Global mole fraction (ppt)	16%ile	84%ile	YEA
1978	0.66	0.64	0.68	199
1979	0.76	0.73	0.78	200
1980	0.86	0.83	0.88	200
1981	0.98	0.95	1.00	200
1982	1.10	1.08	1.13	200
1983	1.22	1.19	1.25	200
1984	1.34	1.31	1.37	200
1985	1.49	1.45	1.52	200
1986	1.65	1.61	1.68	200
1987	1.81	1.77	1.85	200
1988	1.98	1.93	2.02	200
1989	2.15	2.11	2.20	201
1990	2.35	2.30	2.40	201
1991	2.56	2.51	2.62	201
1992	2.77	2.71	2.83	201
1993	2.98	2.92	3.05	201
1994	3.21	3.13	3.27	201
1995	3.45	3.37	3.52	201
1996	3.69	3.60	3.76	201
1997	3.92	3.83	4.00	201
1998	4.14	4.04	4.22	

YEAR	Global mole	16%ile	84%ile
	fraction		
	(ppt)		
1999	4.34	4.25	4.43
2000	4.53	4.43	4.63
2001	4.73	4.62	4.83
2002	4.94	4.83	5.04
2003	5.17	5.06	5.27
2004	5.39	5.28	5.50
2005	5.62	5.50	5.74
2006	5.87	5.74	5.99
2007	6.14	6.00	6.26
2008	6.42	6.27	6.54
2009	6.70	6.55	6.84
2010	6.99	6.83	7.12
2011	7.28	7.12	7.42
2012	7.59	7.42	7.73
2013	7.90	7.73	8.06
2014	8.23	8.05	8.39
2015	8.56	8.37	8.73
2016	8.89	8.69	9.06
2017	9.24	9.03	9.42
2018	9.59	9.37	9.79

Note: Mole fractions are reported at the mid-point of the year.

- Table 5. Modelled global and SF_6 emissions from China. (Gg yr⁻¹) and UNFCCC reported
- 762 emissions.

YEAR	*This work Global Emissions (Gg yr ⁻¹)	UNFCCC Annex-1	UNFCCC Revised Non-Annex-1	UNFCCC Combined Annex-1 + Revised	InTEM: China (Gosan site)	Krol et al., 2018
1978	2.51 (2.11-2.83)			Non-Annex-1		
1978	2.58 (2.29-2.84)					
1979	2.74 (2.47-2.93)					
1981	3.10 (2.83-3.40)					
1982	3.13 (2.86-3.41)					
1983	2.98 (2.65-3.15)					
1984	3.38 (3.08-3.60)					
1985	3.90 (3.61-4.18)					
1986	4.20 (3.92)4.42)					
1987	4.19 (3.89-4.43)					
1988	4.34 (4.05-4.59)					4.30
1989	4.70 (4.41-4.97)					4.33
1990	5.16 (4.85-5.46)	2.62	0.08	2.71		4.77
1991	5.43 (5.12-5.75)	2.66	0.03	2.69		5.14
1992	5.40 (5.07-5.63)	2.63	0.03	2.66		5.59
1993	5.52 (5.20-5.81)	2.63	0.16	2.79		6.00
1994	5.88 (5.49-6.14)	2.60	0.06	2.66		6.36
1995	6.19 (5.86-6.54)	2.65	0.16	2.81		6.41
1996	6.13 (5.75-6.35)	2.65	0.11	2.76		6.06
1997	5.84 (5.55-6.13)	2.40	0.13	2.53		5.56
1998	5.57 (5.31-5.82)	2.22	0.08	2.29		5.35
1999	5.21 (4.94-5.49)	1.93	0.17	2.09		5.42
2000	5.04 (4.68-5.32)	1.72	0.29	2.01		5.55
2001	5.21 (4.89-5.43)	1.58	0.20	1.78		5.51
2002	5.61 (5.31-5.89)	1.47	0.35	1.82		5.63
2003	5.81 (5.53-6.03)	1.40	0.40	1.80		5.79
2004	5.83 (5.57-6.05)	1.33	0.48	1.81		5.86

2005	6.11 (5.87-6.35)	1.25	0.99	2.23		5.98
2006	6.50 (6.21-6.72)	1.18	0.94	2.12		6.29
2007	6.98 (6.64-7.20)	1.04	1.22	2.26	1.40 (1.01-1.79)	6.79
2008	7.25 (6.89-7.45)	0.94	1.22	2.16	1.48 (1.13-1.83)	7.18
2009	7.20 (6.92-7.47)	0.78	1.28	2.06	1.64 (1.31-1.96)	7.26
2010	7.37 (7.05-7.65)	0.79	1.77	2.56	1.77 (1.40-2.13)	7.36
2011	7.65 (7.35-7.98)	0.80	1.69	2.49	2.41 (2.01-2.82)	7.56
2012	7.95 (7.59-8.20)	0.95	1.76	2.71	2.57 (2.20-2.95)	7.78
2013	8.20 (7.86-8.50)	0.91	2.62	3.53	2.02 (1.65-2.40)	7.96
2014	8.39 (8.05-8.65)	0.72	3.22	3.94	2.09 (1.66-2.53)	8.16
2015	8.45 (8.11-8.73)	0.72	3.41	4.13	2.52 (2.03-3.02)	8.36
2016	8.73 (8.37-8.99)	0.75	4.18	4.93	2.81 (2.24-3.38)	4.30
2017	8.92 (8.56-9.24)	NR	NR	NR	2.71 (2.09-3.32)	4.33
2018	9.04 (8.63-9.34)	NR	NR	NR	3.22 (2.64-3.81)	4.77

Note: Global emissions are mid-year. NR = Not reported. Revised Non-Annex-1 includes

interpolated values for missing years. Uncertainties shown in parenthesis as 16% ile and

84% le. China SF₆ emissions estimated by InTEM were scaled to total China emissions by

766 population.

Table 6. SF₆ emission estimates for Western Europe; UNFCCC inventory and InTEM,

782	EMPA and FLITS	emissions	$(Gg yr^{-1}).$	(Uncertainties	in parenthesis).
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	Inventory 1yr	InTEM	InTEM	EMPA	FLITS
		(3sites, 2yr)	(7sites, 1yr)	(4sites, 1yr)	(4sites, 1yr)
1990	0.48 (0.43-0.53)				
1991	0.5 (0.45-0.55)				
1992	0.54 (0.48-0.59)				
1993	0.57 (0.51-0.62)				
1994	0.61 (0.55-0.68)				
1995	0.66 (0.59-0.72)				
1996	0.65 (0.58-0.71)				
1997	0.58 (0.52-0.64)				
1998	0.55 (0.50-0.61)				
1999	0.45 (0.41-0.50)				
2000	0.45 (0.41-0.50)				
2001	0.42 (0.37-0.46)				
2002	0.37 (0.33-0.40)				
2003	0.34 (0.31-0.38)				
2004	0.35 (0.31-0.38)				
2005	0.33 (0.30-0.37)				
2006	0.31 (0.28-0.35)				
2007	0.29 (0.26-0.32)	0.25 (0.16-0.34)			
2008	0.28 (0.26-0.31)	0.23 (0.16-0.31)			
2009	0.27 (0.24-0.29)	0.19 (0.13-0.25)			
2010	0.27 (0.24-0.29)	0.19 (0.13-0.25)			
2011	0.26 (0.23-0.28)	0.21 (0.16-0.27)			
2012	0.26 (0.24-0.29)	0.21 (0.16-0.27)			
2013	0.26 (0.23-0.28)	0.22 (0.16-0.28)	0.26 (0.19-0.33)	0.32 (0.29-0.35)	0.37 (0.27-0.46
2014	0.25 (0.23-0.28)	0.23 (0.16-0.29)	0.28 (0.22-0.33)	0.24 (0.21-0.27)	0.36 (0.26-0.45
2015	0.26 (0.24-0.29)	0.22 (0.16-0.29)	0.29 (0.22-0.36)	0.32 (0.30-0.34)	0.37 (0.27-0.47
2016	0.27 (0.24-0.30)	0.22 (0.15-0.30)	0.27 (0.21-0.33)	0.24 (0.21-0.27)	0.34 (0.25-0.43
2017	0.28 (0.25-0.31)	0.21 (0.14-0.28)	0.30 (0.20-0.39)	0.36 (0.32-0.40)	0.45 (0.33-0.56
2018	NR	0.21 (0.15-0.27)	0.23 (0.18-0.28)	0.20 (0.17-0.23)	0.30 (0.19-0.42

783 $\overline{NR} = Not reported,$

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786 Author contributions

787 S.P., S.O'D., P.B.K., P.J.F., L.P.S., B.M., S.H., S.R., M.M., J.A., J.M., R.F.W., C.M.H.,

M.K.V., M.P., H.P., T.A., D.Y., and C.R contributed observational data. M.R., A.J.M., F.G.,

and R.G.P., carried out atmospheric model simulations and inverse analysis with support

from P.K.S., and R.H.J.W. The authors A.Mc., and K.M.S., made valuable data analysis

791 contributions to the work.

792

794 Competing interests

795 We confirm that there are no competing interests.

796 Data availability

- 797 The entire ALE/GAGE/AGAGE data base comprising every calibrated measurement
- including pollution events is archived on the official AGAGE website
- 799 <u>http://agage.mit.edu/data</u> (note guidelines for use of AGAGE data), <u>and on the ESS-DIVE</u>
- 800 website http://cdiac.ess-dive.lbl.gov/ndps/alegage.html. UK DECC data is available from
- the UK Natural Environment Research Council's (NERC) Centre for Environmental Data
- 802 Analysis.
- 803

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