**Trends, composition, and sources of carbonaceous aerosol at** 

# **the Birkenes Observatory, Northern Europe, 2001-2018**

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## **Abstract**

 We present 18 years (2001–2018) of aerosol measurements: organic and elemental carbon (OC and EC), organic tracers (levoglucosan, arabitol, mannitol, trehalose, glucose, 2-methyltetrols), trace elements and ions at the Birkenes Observatory (Southern Norway), a site representative of the Northern European region. The OC/EC (2001–2018) and the levoglucosan (2008–2018) time series are the longest in 25 Europe, with OC/EC available for the  $PM_{10}$ ,  $PM_{2.5}$  (fine) and  $PM_{10-2.5}$  (coarse) size fractions, providing the opportunity for a nearly two-decade long assessment. Using positive matrix factorization (PMF) we identify seven carbonaceous aerosol sources at Birkenes: Mineral dust dominated (MIN), traffic/industry-like (TRA/IND), short range transported biogenic secondary organic aerosol (BSOASRT), primary biological aerosol particles (PBAP), biomass burning (BB), ammonium nitrate 30 dominated ( $NH_4NO_3$ ), and (one low carbon fraction) sea salt (SS).

31 We observed significant (p<0.05), large decreases of EC in  $PM_{10}$  (-3.9% yr<sup>-1</sup>) and  $PM_{2.5}$  (-4.2% 32 yr<sup>-1</sup>), and a smaller decline in levoglucosan (-2.8% yr<sup>-1</sup>), suggesting that OC/EC from traffic and industry is decreasing, while abatement of OC/EC from biomass burning has been slightly less successful. EC 34 abatement of anthropogenic sources is further supported by decreasing EC fractions in  $PM_{2.5}$  (-3.9% yr-) and PM<sub>10</sub> (-4.5% yr<sup>-1</sup>). PMF apportioned 72% of EC to fossil fuel sources, further supported by PMF applied to absorption photometer data, which yielded a two-factor solution with a low aerosol Ångstrøm  exponent (AAE=0.93) fraction assumed to be equivalent black carbon from fossil fuel combustion 38 (eBC $_{\text{ff}}$ ), contributing 78% to eBC mass. The higher AAE fraction (AAE=2.04) is likely eBC from BB

- (eBC<sub>bb</sub>). Source receptor model calculations (FLEXPART) showed that Continental Europe and western
- 40 Russia were the main source regions both of elevated eBC<sub>bb</sub> and eBC<sub>ff</sub>.
- Dominating biogenic sources explain why there was no downward trend for OC*.* A relative 42 increase in the OC fraction in  $PM_{2.5}$  (+3.2% yr<sup>-1</sup>) and  $PM_{10}$  (+2.3% yr<sup>-1</sup>) underscores the importance of biogenic sources at Birkenes (BSOA and PBAP), which were higher in the vegetative season and 44 dominated both fine (53%) and coarse (78%) OC. Furthermore, 77–91% of OC in PM<sub>2.5</sub>, PM<sub>10-2.5</sub> and PM<sup>10</sup> was attributed to biogenic sources in summer vs. 22-37% in winter. The coarse fraction had the highest share of biogenic sources regardless of season and was dominated by PBAP, except in winter.

 Our results show a shift in aerosol composition at Birkenes and thus also in the relative source contributions. The need for diverse off-line and on-line carbonaceous aerosol speciation to understand carbonaceous aerosol sources, including their seasonal, annual, and long-term variability has been demonstrated.

#### **1. Introduction**

 Carbonaceous aerosol has been studied intensively over the last 20 years due to its influence on radiative forcing (Bond et al., 2013; Myhre and Samset, 2015; Lund et al., 2018), both directly by scattering and absorption of sunlight, and semi directly and indirectly by influencing cloud properties (Boucher et al., 2013; Hodnebrog et al., 2014; Myhre et al., 2013). It also contributes to the burden of respiratory and cardiovascular disease (Janssen et al., 2012; WHO, 2013). Consequently, carbonaceous aerosol [here: elemental carbon (EC) and organic carbon (OC)] is measured regularly in major air monitoring networks such as e.g., EMEP and IMPROVE (e.g., Malm et al. 1994; Tørseth and Hov, 2003; Tørseth et al., 2012; UNECE, 2019; Hjellbrekke, 2020). Carbonaceous aerosol has an atmospheric lifetime of days to a few weeks and is thus relevant for atmospheric long-range transport. Accordingly, the European Monitoring and Evaluation Programme (EMEP) included OC/EC measurements in 2004 after a pioneering measurement campaign at 12 European sites from 2002–2003 (Yttri et al., 2007a; Tørseth et al., 2012), showing that carbonaceous aerosol was a major constituent of the ambient aerosol 65 in the European rural background environment, accounting for  $9-37\%$  (OM = organic matter) and 1–  $5\%$  (EC) of PM<sub>10</sub>, and that OM was more abundant than sulfate (SO<sub>4</sub><sup>2</sup>) at sites reporting both variables (Yttri et al., 2007a). Similar conclusions were found from another long-term campaign, CARBOSOL (Gelencsér et al., 2007; Pio et al., 2007), which monitored atmospheric aerosol and its components for two years at six sites along a west-east transect extending from the Azores, in the mid-Atlantic Ocean, to K-Kuszta (Hungary), in centra Europe.

 There are numerous carbonaceous aerosol sources, both anthropogenic, e.g. emissions from combustion of fossil fuel and biomass, and biogenic, e.g. vegetation emitted terpene/isoprene oxidation,

 and primary biological aerosol particles (PBAP) from e.g. plants and fungi (Bauer et al., 2002; Donahue et al., 2009; Hallquist et al., 2009; Fröhlich-Nowoisky et al., 2016).

- Detailed source apportionment and quantification of carbonaceous aerosol is challenging due to it numerous sources, the complexity of atmospheric formation and the vast number of organic compounds associated with carbonaceous aerosols. A few studies have addressed carbonaceous aerosol sources in the European rural background environment using source-specific organic tracers (Gelencsér et al., 2007; Szidat et al., 2009; Genberg et al., 2011; Gilardoni et al., 2011; Yttri et al., 2011a,b). These consistently show that residential wood burning dominates OC in winter, whereas biogenic secondary 81 organic aerosol (BSOA) is the major source in summer. PBAP makes a significant contribution to  $PM_{10}$  in the vegetative season in the Nordic countries, second only to BSOA (Yttri et al., 2011a,b). Fossil fuel sources typically dominate EC regardless of season but residential wood burning emissions can be 84 equally important and occasionally dominate in the heating season (Zotter et al., 2014; Yttri et al., 2019). On-line high time-resolution measurements by aerosol mass spectrometer (AMS) and aerosol chemical speciation monitors (ACMS) have become available in recent years, complementing off-line analysis of organic tracers. In the comprehensive study by Crippa et al. (2014), including 15 European rural background sites and 2 urban sites, covering winter, spring and fall, hydrocarbon-like organic aerosol 89 (OA) (11 $\pm$ 5%) and biomass burning OA (12 $\pm$ 5%) contributed almost equally to the total OA concentration. The vast majority was however attributed to secondary sources; i.e., semi volatile oxygenated OA (34±11%) and low-volatility oxygenated OA (50±16%). Secondary oxygenated OA (OOA) can be both anthropogenic and biogenic; however Crippa et al. (2014) did not draw any conclusions on this. Results presented by Bougiatioti et al. (2014) show how freshly emitted biomass burning OA can be transformed to more oxidized OOA after just a short time in the atmosphere when subject to high temperatures and high solar radiation.
- Over the last decades, European anthropogenic emissions of secondary inorganic aerosol precursors, 97 e.g. ammonia (NH<sub>3</sub>) and nitrogen oxides (NO<sub>x</sub>), and non-methane volatile organic compounds 98 (NMVOC) have stabilized, and those of sulfur dioxide  $(SO<sub>2</sub>)$  significantly reduced, following implementation of the Gothenburg Protocol (Reis et al., 2012; UNECE, 2013; Matthews et al., 2020). The anthropogenic carbonaceous aerosol is not regulated by any binding international protocol, although 101 co-benefit is expected from the regulation of  $NO<sub>X</sub>$  and  $NMVOC$ , which act as precursors of secondary 102 organic aerosol (Hallquist et al., 2009). PM<sub>2.5</sub> was included in the revised version of the Gothenburg protocol (UNECE, 2013) in 2012, which states that effort should be directed towards sources that also emit black carbon (BC), which inevitably also will influence OC.
- Residential wood burning is a major source of carbonaceous aerosol in circumpolar countries (e.g. Yttri et al., 2014) and even considered the most important source in Norway, accounting for 48% (2017) of PM2.5 (Grythe et al., 2019). This region also regularly experiences major wild and agricultural fires (e.g. Stohl et al., 2006 and 2007). A growing number of studies show that residential wood burning is

 more widespread in continental Europe than previously assumed and that its contribution to the ambient carbonaceous aerosol can be substantial (Sillanpää et al., 2005; Gelencsér et al., 2007; Puxbaum et al., 2007; Lanz et al., 2010; Maenhaut et al., 2012; Genberg et al., 2011; Fuller et al., 2014; Yttri et al., 2019) and even dominating (Szidat et al., 2007; Herich et al., 2014). Residential wood burning is a decentralized source in Europe and combustion typically takes place in small units where the emissions are emitted without after-treatment. An economic downturn in Greece compelled households to burn firewood and waste material as fuel costs rose, increasing residential wood burning emissions in urban areas by 30% (Saffari et al., 2013). Future increases in European wood burning emissions might occur due to climate change mitigation policies supporting the use of renewable and biofuels (van der Gon et al., 2015). Denier van der Gon et al. (2015) conclude that European emissions from residential wood burning are significantly underestimated, thus it appears timely to address how ambient carbonaceous aerosol -particularly from biomass burning -has developed over the last two decades. Kahnert et al. (2004) and Tørseth et al. (2012) highlight the importance of long-term measurements (> 10 years) of carbonaceous aerosol. The Birkenes Observatory in southern Norway holds the longest 123 time series of OC and EC in Europe, dating back to 2001, including measurements in both the  $PM_{10}$  and

 the PM2.5 fractions. Infleunced by major anthropogenic emission regions in Europe, the Birkenes Observatory is well suited to monitor air pollution from Continental Europe.

 Here we apply positive matrix factorization (PMF) to identify sources of carbonaceous aerosol at the Birkenes Observatory. Measurements of complementary species accompany OC/EC monitoring, allowing us to understand these sources, their contribution and variability at time scales from minutes to decades: organic tracers for biomass burning (levoglucosan), PBAP (arabitol, mannitol, trehalose and glucose) and BSOA (2-methyltetrols), as well as high time resolution equivalent black carbon resulting 131 from biomass ( $eBC_{bb}$ ) and fossil ( $eBC_{ff}$ ) fuel combustion, derived from multiwavelength aethalometer measurements.

#### **2. Methodology**

#### **2.1 Sampling site**

 The Birkenes Observatory (58°23'N, 8°15'E, 219 m above sea level, asl) is an EMEP/GAW (Global Atmospheric Watch) supersite in southern Norway (Figure 1) situated 100 m south-east of the old Birkenes site, initiating measurements in 2009. The observatory is in the Boreo-nemorale zone with mixed coniferous and deciduous trees (65% of the land use near the site); the remainder being meadows (10%), low intensity agricultural areas (10%), and freshwater lakes (15%). Close to the Skagerrak coast ( $\sim$ 20 km) and at low altitude, the observatory experiences a maritime climate with relatively mild winters and moderately warm summers. The prevailing wind is westerly/south westerly. Figure S 1 shows ambient temperature and precipitation (2001–2018) at Birkenes. The nearest city is Kristiansand 144 (population  $~61~000$ ) 25 km to the south/south-west.

## **2.2 Measurements and procedures**

### **2.2.1 Off-line filter measurements**

 We collected OC/EC, organic tracers and PM mass filter samples using two low-volume samplers with a PM<sup>10</sup> and a PM2.5 inlet. Quartz fiber filters (Whatman QM-A; 47 mm in diameter) were pre-fired (850 150 °C; 3 h). We conditioned the filters  $[20 \pm 1\degree C; 50 \pm 5\% \text{ RH}$  (relative humidity)] for 48 h before and after exposure and weighed them to obtain PM mass. We kept filters in petri slides and stored them at 4 °C after weighing and before OC/EC analysis. After OC/EC analysis and prior to organic tracer analysis 153 the samples were stored at  $-18$  °C. Two field blanks were assigned to each month of sampling and were treated in exactly the same manner regarding preparation, handling, transport and storage as the exposed filters, except that they were not inserted in the samplers. We collected one sample per sampler per week (168 hours), except for 14 August 2002–17 September 2008, when two samples were collected per sampler per week; at 24 h and 144 h intervals. The sampling inlets are 2 m above the Observatory roof, 158 5 m above the ground level  $(\sim 226 \text{ m as}$ ). The OC/EC and PM mass time series date back to February 2001 and organic tracers back to January 2008 (monosaccharide anhydrides) and January 2016 (sugars, sugar-alcohols and 2-methyltetrols).

 We performed thermal-optical analysis (TOA, Sunset Laboratory OC/EC instrument), using transmission for charring correction. We used the Quartz temperature programme in 2001–2008 and EUSAAR-2 (Cavalli et al., 2010) from 2008. We compare the two temperature programmes for PM2.5 samples collected in 2014 in Supplementary Sect. S1. OC/EC instrument performance is regularly inter- compared under the joint EMEP/ACTRIS quality assurance and quality control effort (e.g. Cavalli et al., 2013).

 Until 2014, we determined monosaccharide anhydrides (levoglucosan, mannosan, galactosan) in PM10 using high-performance liquid chromatography high-resolution time-of-flight mass spectrometry (HPLC-HR-TOFMS) in negative electrospray ionization mode according to the method of Dye and Yttri (2005). After 2014, we use ultra-performance liquid chromatography (UPLC), with two Waters columns (2 x 2.1 x 150 mm HSS T3, 1.8 µm, Waters Inc.). Changing the column improved the chromatographic resolution, allowing the analysis of sugars, sugar-alcohols and 2-methyltetrols. We identified the monosaccharide anhydrides based on retention time and mass spectra (accurate mass and isotope pattern) of authentic standards (Table S 1). Isotope-labelled standards of levoglucosan, galactosan, arabitol, mannitol, trehalose and glucose were used as internal recovery standard (Table S 1).

 Weekly OC/EC, PM10, PM2.5 are publicly available on EBAS [\(http://ebas.nilu.no\)](http://ebas.nilu.no/). Mean values (daily/weekly/seaonal/annual) used below, merging of data from the old and new Birkenes sites, and quality assurance of the filter data are detailed in Sect. S1. We used the Mann-Kendall test (Mann, 1945; Kendall, 1975; Gilbert, 1987) to identify significant trends in the filter based measurements, and the Theil-Sen slope (Theil, 1950; Sen, 1968; Gilbert, 1987) to quantify the trends (Sect. S2).

## **2.2.2 Online measurement and source apportionment of absorption coefficients**

184 We determined Absorption coefficients (B<sub>Abs</sub>) using a multi-wavelength absorption photometer (AE33 Aethalometer, Magee Scientific). Here we performed source apportionment using the aethalometer 186 model (Sandradewi et al., 2008) to determine  $\text{eBC}_{bb}/\text{eBC}_{ff}$ . However, the aethalometer model requires *a-priori* knowledge of the aerosol Ångstrøm exponents (AAE), uncertainties which can lead to large variation in the magnitude of the resulting time series and negative concentrations during some periods. Often, the aethalometer model yields negative concentrations for any single input AAE pair. Therefore, we also used a novel PMF application (Platt et al., in prep.) finding two factors, a low AAE factor (0.9) 191 and a higher AAE factor (2.04) identified as  $eBC<sub>ff</sub>$  and  $eBC<sub>bb</sub>$ , respectively. Uncertainties were assessed using bootstrapping (*n*=2000). The advantages of the PMF are that no *a-priori* knowledge of the factor AAEs is required, no periods of negative concentration result, deviations from a strict power-law dependence of BAbs on wavelength (e.g. due to degradation of light absorbing components in the atmosphere or instrument errors/bias) are permitted, and poorly fitting data are assigned to a residual. Meanwhile bootstrapping allows estimation of uncertainties, the methodology of the PMF analysis and aethalometer data post-processing are detailed in Sect. S3 (Table S 2).

#### **2.3 FLEXPART model simulations**

 We investigated the origin of the observed eBC with a Lagrangian transport model (FLEXPART v10.4, Pisso et al., 2019). The model, powered by European Centre for Medium-Range Weather Forecasts with 202 137 vertical layers and a horizontal resolution of  $0.1\degree \times 0.1\degree$  tracks simulated particles arriving at the receptor 30 days backwards in time (retro-plume mode) and accounts for gravitational settling, dry and wet deposition (Grythe et al., 2017), turbulence (Cassiani et al., 2014), unresolved mesoscale motions (Stohl et al., 2005) and includes a deep convection scheme (Forster et al., 2007). Output consists of an 206 emission sensitivity  $(0.5^{\circ} \times 0.5^{\circ}$  resolution), a quantitative measure for the particle mass concentration at the receptor resulting from a unit emission flux at the Earth's surface. The emission sensitivity can also be interpreted as a probability distribution field of the particle's origin, used in the present study to identify possible source regions of eBC.

## **2.4 Positive Matrix Factorization analysis on filter data**

 We performed PMF ME2 (Canonaco et al., 2013) (See Sect. S3 for a description of the analysis principle and S4 for its application to filter data) for samples collected in 2016-2018 (151 samples), using the 214 following as input data: OC (in  $PM_{2.5}$  and  $PM_{10-2.5}$ ), EC (in  $PM_{10}$ ), levoglucosan, mannosan, galactosan, arabitol, mannitol, trehalose, glucose, 2-methylerythritol, 2-methylthreitol, V, Mn, Ti, Fe, Co, Ni, Cu, 216 Zn, As, Cd, and Pb (all in PM<sub>10</sub>),  $SO_4^2$ ,  $NO_3$ ,  $NH_4^+$ ,  $Ca^{2+}$ ,  $Mg^{2+}$ ,  $K^+$ ,  $Na^+$ , Cl<sup>-</sup> (from open filter face).

 Table S 3 shows miscellaneous settings of the PMF analysis of these data including missing data treatment and an assessment of the PMF performance. The input data and error estimates were prepared using the procedure suggested by Polissar et al. (1998) and Norris et al. (2014), see Sect. S3.

 Source apportionment by PMF is based on the temporal variability of the components. It is expected that significant contributions to carbonaceous aerosol at Birkenes is via long-range atmospheric transport (LRT), alongside more local sources. Local and LRT sources will have different temporal variability, and significant mixing of air masses and chemical transformation is expected for the latter, i.e., factor profiles at Birkenes are expected to differ somewhat from emission profiles at the source, even though the profile is distinctive enough for source attribution. Because of this we did not attempt to constrain factor profiles via e.g. ME-2 (Canonaco et al., 2013) since Birkenes, as a relatively clean rural background site, is unlikely to receive unprocessed emissions. Furthermore, mixed contributions to a factor can in some cases be resolved *a posteriori* for source quantification (i.e. if it is clear where mass should be reassigned), without potentially perturbing the output factor time series.

 *Two previous studies have used factor analysis to study PM sources at Birkenes (Aamundsen et al. (1992; Maenhaut, 2018). The present study focuses on carbonaceous aerosol, using OC, EC and highly source specific organic tracers as input in addition to inorganic species and elements used by Aamundsen et al. (1992) and Maenhaut (2018). This provides a different set of factors, based on different input, hampering any reliable comparison of these studies.*

#### **2.4.1 Identification of PMF factors**

 The biomass burning (BB) factor appears well confined in the PMF solution (Figure 2, Table S 4), 238 explaining all the monosaccharide anhydrides (95–98%).  $OC_{BB}$  was almost exclusively (87%) in the 239 fine fraction of  $PM_{10}$ . Other key qualifiers derived from the BB factor are the ratios listed in [Table 1,](#page-42-0) 240 which are highly comparable to the results obtained by  ${}^{14}$ C-analysis reported in the comprehensive study by Zotter et al. (2014). The BB factor is elevated in the heating season and peaks in winter, pointing to residential heating as the major source.

243 The TRA/IND factor explained most EC (50%), the majority of the trace elements Pb (84%), Zn

(82%), Cd (81%), As (78%), V (70%), Ni (69%), Cu (62%) and Co (42%) and a noticeable fraction of

- SO<sub>4</sub><sup>2-</sup> (20%), which suggests influence of various anthropogenic emissions. TRA/IND explained a
- 246 small fraction of fine OC (10%) and a negligible fraction of coarse OC (4%). The majority of OC
- (88%) resides in the fine fraction, which is in line with its combustion-derived origin. The high EC
- 248 fraction unambiguously points to combustion processes, and the low OC/EC ratio (1.4 for  $PM_{2.5}$ )
- towards a substantial, but not exclusive, influence from vehicular traffic. Cu and Zn result from brake
- wear (Fomba et al., 2018), whereas tire wear is an additional source of Zn (Pacyna et al., 1986),
- corroborating the influence of vehicular traffic to the TRA/IND factor. Ni and V are commonly
- associated with combustion of heavy oil (Viana et al., 2008), As, Cd and Pb with combustion of coal,

 and to a lesser extent oil, but also from metallurgic activity (Pacyna et al., 1986). The TRA/IND factor has a minimum in summer and shows minor variability for the rest of the year. A similar drop in the vehicular traffic factor in summer for Helsinki was shown by Saarikoski et al. (2008).

- The PMF analysis confined the majority of coarse OC (53%) and essentially all (82–93%) of the PBAP tracers (arabitol, mannitol, trehalose, and glucose) within one factor (PBAP). The PBAP factor has a pronounced seasonal variability with increased levels in the vegetative season and nearly absent outside of it, as previously described for coarse OC (Yttri et al., 2007a) and PBAP tracers (Yttri et al., 2007b) at Birkenes.
- 2-methyltetrols (92–96%) are oxidation products of isoprene (Claeys et al., 2004) and are almost 262 exclusively attributed to the BSOA<sub>SRT</sub> (SRT=Short Range Transport) factor, which explains 9% of fine 263 OC and 13% of coarse OC. The complete absence of EC and the presence of  $SO_4^2$  (17%) underpins the secondary nature of this factor, which is present in summer with tail ends in late spring an early fall. The BSOASRT time series increases abruptly in the transition May/June, as leaves unfold, and subsides equally rapid in the beginning of October when trees shed their leaves. The near absence of 2- methyltetrols prior to May/June suggests that the 0.5–1.5 months earlier onset of the vegetative season in Continental Europe (Rötzer and Chmielewski, 2001) is not reflected by the 2-methyltetrols observations at Birkenes, indicating a short atmospheric lifetime for 2-methyltetrols. Consequently, local isoprene emissions likely explain the observed concentrations of 2-methyltetrols at Birkenes, 271 questioning to what extent the BSOA<sub>SRT</sub> factor includes a continental BSOA contribution. Similar sources (deciduous and coniferous trees), temperature dependent emissions, and formation rates, suggest that particulate phase oxidation products of mono- and sesquiterpenes are accounted for by the isoprene- derived BSOA<sub>SRT</sub>-factor as well, but with a similar issue concerning local versus LRT contribution, as proposed for the 2-methyltetrols.
- 276 The MIN factor is defined by its content of Ti (93% of total), Fe (75%), Mn (52%) and  $Ca^{2+}$  (39%) (Figure 2, Table S 4), well-known constituents of mineral dust (e.g. Alastuey et al., 2016). It also contains some of the elements that dominate the TRA/IND factor, including Co (43%), Cu (20%), Ni (17%) and V (14%), indicating anthropogenic influence. Notably, 31% of fine OC is attributed to the MIN factor, whereas it explains 13% of coarse OC. This corresponds to that reported by Kyllönen et al. (2020) for the Subarctic site Pallas (Finland) where 29% of the fine OC was apportioned to the mineral dust factor. Waked et al. (2014) found a similar result for Lens (France) where the mineral dust factor explained 15% of OC. No information on the size distribution was available in Kyllönen et al. (2020) and Waked et al. (2014), whereas in the present study 86% of OC in the MIN factor resides 285 in the fine fraction of  $PM_{10}$ . Since mineral dust typically resides in the coarse fraction of  $PM_{10}$  (Ripoll 286 et al., 2015), one would expect the same for its carbon content, e.g. as  $CaCO<sub>3</sub>$ . More efficient deposition of coarse mode mineral dust during LRT is one possible explanation but mixing of air masses is more likely, as 13% of the EC also resides in this factor. The high OC/EC ratio in the
- 289 unweighted MIN factor profile (18 for  $PM<sub>2.5</sub>$ ) indicates a minor primary combustion particle influence,
- 290 and the absence of levoglucosan shows that the EC content originates from fossil fuel combustion
- 291 (consistent with some TRA/IND influence). Using Eq. (1), 8% of the MIN factor's fine OC content is
- 292 attributed to combustion of fossil fuel OC (OC<sub>PrimFF</sub>), whereas the corresponding percentage for  $PM_{10}$
- 293 OC is 7%. If all  $Ca^{2+}$  and  $Mg^{2+}$  in the MIN factor was present as either Calcite (CaCO<sub>3</sub>) or Dolomite
- 294 CaMg(CO<sub>3</sub>)<sub>2</sub>, the CO<sub>3</sub><sup>2</sup>-carbon would account for no more than 3% of the factor's PM<sub>10</sub> OC content,
- 295 and 22% if all reside in its coarse fraction. This shows that the OC content of the MIN factor mostly
- 296 originates from other sources than mineral dust and combustion of fossil fuel. The MIN factor is most
- 297 abundant in spring and early summer, as seen by Waked et al. (2014), and is associated with southern
- 298 air masses, as seen for the dry and warm period in the transition of May/June 2018 [\(Figure 3\)](#page-35-0) when
- 299 there was a pronounced peak in the MIN factor time series (Figure 2). Indeed, the mean ambient
- 300 temperature was 4˚C higher in May 2018 than for May 2001–2018, whereas it was 2.4˚C higher for
- 301 June 2018 than for June 2001–2018. We thus suggest that the climatological conditions that activate
- 302 mineral dust sources also favour BSOA formation and that the majority of both fine (92%) and coarse 303 fraction (78%) OC in the MIN factor is LRT BSOA (OC $_{BSOALRT}$ ).
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$$
OC_{Fossil,primary,MIN} = [EC_{MIN}] x \left(\frac{OC}{EC}\right)_{TRA/IND}, \left(\frac{OC}{EC}\right)_{TRA/IND} = 1.4
$$
 Eq. 1

- 307 The majority of  $NH_4$ <sup>+</sup> (77%) and  $NO_3$ <sup>-</sup> (68%) reside in the  $NH_4NO_3$  factor, which points to 308 secondary inorganic aerosol (SIA) formation during LRT. This is supported by a noticeable contribution 309 of SO<sub>4</sub><sup>2</sup> (35%) to the NH<sub>4</sub>NO<sub>3</sub> factor, as well. The factors content of NO<sub>2</sub> (30%) points towards a 310 combustion-derived origin of NO3, as does EC (13%). The factor's OC content is comparable to that 311 seen for the BB factor. The factor is most pronounced in winter and spring.
- 312 The sea salt (SS) aerosol factor was recognized by its high Cl<sup>-</sup> (96%), Na<sup>+</sup> (87%) and Mg<sup>2+</sup> (79%) 313 fractions. The K<sup>+</sup>/Na<sup>+</sup> (0.036), Ca<sup>2+</sup>/Na<sup>+</sup> (0.034) and SO<sub>4</sub><sup>2-</sup>/Na<sup>+</sup> (0.282) ratios derived from the SS factor 314 closely resemble these ratios in sea water (0.037, 0.038 and 0.252) (Stumm and Morgan, 1996), further 315 demonstrating the successful separation of this factor.
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#### 317 **3. Results and discussion**

#### 318 **3.1 Levels and trends of carbonaceous aerosol and organic tracers**

- 319 Annual mean carbonaceous aerosol concentrations at Birkenes (2001–2018) are among the lowest in
- 320 Europe (Yttri et al., 2007a; Yttri et al., 2019), with OC from 0.56–1.07  $\mu$ g C m<sup>-3</sup> for PM<sub>10</sub> and 0.50–
- 321 0.93  $\mu$ g C m<sup>-3</sup> for PM<sub>2.5</sub>, and EC from 0.05–0.15  $\mu$ g C m<sup>-3</sup> (Figure 4; Table S 4). EC, being from
- 322 combustion that generates fine PM, was almost exclusively associated with  $PM_{2.5}$ , whereas OC was
- 323 abundant also in the coarse fraction ( $PM<sub>10-2.5</sub>$ ), particularly in summer and fall (Figure 4). The
- 324 correlation between OC and EC varied by season (Table S 6) and was highest in the heating season,

reflecting the contribution of biogenic, non-EC sources, such as BSOA and PBAP in the vegetative

- 326 season. The higher  $R^2$ -values for PM<sub>2.5</sub> compared to PM<sub>10</sub> can partly be attributed to PBAP, which 327 mainly resides in  $PM<sub>10-2.5</sub>$ .
- 328 The variability of the annual mean  $OC(15-22%)$  and  $EC(27%)$  concentrations was comparable 329 to the major secondary inorganic aerosol (SIA)  $(SO<sub>4</sub><sup>2</sup>, NO<sub>3</sub>, NH<sub>4</sub><sup>+</sup>)$  and sea salt (SS) aerosol species 330 (Na<sup>+</sup>, Mg<sup>2+</sup>, Cl·) (25–31%). A difference of > 60% between consecutive years was observed for OC and 331 EC in  $PM_{10}$  and  $PM_{2.5}$ , whereas 160% was seen for OC in  $PM_{10-2.5}$ . It is important to note that despite 332 decades of SO<sub>2</sub>, NH<sub>3</sub> and NO<sub>x</sub> mitigation efforts, SIA dominates  $PM_{10}$  mass (29–52%) most years, followed by carbonaceous aerosol (24–40%) and SS aerosol (10–28%) (Figure 5; Table S 7). SIA 334 constituents were also the largest  $PM_{10}$  fraction during air pollution episodes (Table S 8), reflecting that Birkenes is affected by major SIA precursor emission regions in Continental Europe.
- Levels of total carbon (TC) and PM fractions are shown in Table S 9 and Table S 10, respectively for completeness. In the following sections we discuss the OC and EC fractions separately in detail.
- 

## **3.1.1 Organic carbon**

- 340 We found no significant trend for OC in  $PM_{10}$  (OC<sub>PM10</sub>). For fine OC in  $PM_{2.5}$  (OC<sub>PM2.5</sub>) there was a 341 minor decrease (-0.8% yr<sup>-1</sup>), whereas there was a minor increase for coarse OC (OC<sub>PM10-2.5</sub>) (0.8% yr<sup>-1</sup>) (Table S 11). The anthropogenic fraction of OC observed at Birkenes likely has a downward trend as found for EC (Sect. 3.1.2), but the substantial influence of natural sources demonstrated in the present,
- 
- as well as in previous, studies (Yttri et al., 2011b), explains the general lack of trends for OC.
- The OC time series are characterized by two years where the annual mean was substantially higher (2006) and lower (2012) than the proceeding and the following year (Figure 4). The increased level in 2006 was most pronounced in the fine fraction and in all seasons except spring, whereas the drop in 2012 mainly was attributed to the coarse fraction and was observed in all seasons. The OC<sub>PM10</sub>- 2.5 annual mean time series is characterised by a stepwise increase from 2001 up to, and including, 2006, after which the concentration dropped and showed minor annual variability, except for the very low annual mean of 2012. After 2015, there are indications of a similar stepwise increase as seen for 2001– 2006.
- The OCPM10-2.5 contribution to OCPM10 ranged from 18–35% on an annual basis (2001 excluded due to
- data capture <50%), and levels were highest in summer and fall. Previous studies (Simpson et al.,
- 2007; Yttri et al., 2011a,b) showed that BSOA largely dominates the fine carbonaceous aerosol in
- summer at Birkenes, whereas the present study shows that Birkenes regularly experiences major air
- pollution events in spring, as a result of LRT (Table S 4, Table S 7 and Table S 8). Hence, both
- biogenic sources and LRT explain the observed seasonality of fine OC.
- 359 We attribute elevated  $OC<sub>PM2.5</sub>$  in winter 2010 to residential wood burning emissions as discussed 360 in Sect. 3.2.1. Only on five occasions did the seasonal mean of OC<sub>PM2.5</sub> exceed 1 µg C m<sup>-3</sup>, four of those
- 361 in the first three years of the time series. The highest mean was observed in summer 2002 (1.4  $\mu$ g C m-
- $362$  <sup>3</sup>) when wildfires in Eastern Europe influenced Birkenes (Yttri et al., 2007a). The four other occasions,
- 363 spring (2001, 2002, 2003 and 2018), also saw prolonged episodes of PM air pollution with the hallmark
- 364 of LRT; i.e., elevated  $SO_4^2$ ,  $NO_3^-$  and  $NH_4^+$ . According to our PMF analysis (See Sect. 3.2) there are
- 365 several anthropogenic and biogenic sources likely to contribute to fine OC at Birkenes, whereas coarse
- 366 fraction OC is dominated by a single source, PBAP (Yttri et al., 2007 a,b; Yttri et al., 2011 a,b; Glasius
- 367 et al., 2018). Hence, it is not surprising that  $OC<sub>PM2.5</sub>$  was the dominant OC fraction, accounting for 70–
- 368 89% OC<sub>PM10</sub> on an annual basis.
- 369

## 370 **3.1.2 Elemental carbon**

- 371 Notably, EC levels dropped from 2007–2008, contrasting with the annual mean OC time series,
- 372 (Figure 4 and Table S 5). This major downward trend of EC clearly points to changing source
- 373 contributions to EC at Birkenes. We rarely observed seasonal means exceeding 0.15  $\mu$ g C m<sup>-3</sup>; only in
- 374 winter 2006, 2007 and 2010, spring 2001, 2003 and 2007, and fall 2005 and 2011. Weekly samples 375 exceeded 0.5  $\mu$ g C m<sup>-3</sup> for three samples only, all associated with LRT.
- 376 A statistically significant reduction was calculated for EC in  $PM_{10}$  (-3.9% yr<sup>-1</sup>) and  $PM_{2.5}$  (-4.2% 377 yr<sup>-1</sup>) (Table S 11), corresponding well with  $SO_4^2$  (-3.8% yr<sup>-1</sup>) and PM<sub>2.5</sub> (-4.0% yr<sup>-1</sup>). The trend for EC 378 was most pronounced in spring and summer  $(-4.0 - 5.9\% \text{ yr}^{-1})$  (Table S 12), as seen for SO<sub>4</sub><sup>2</sup>  $(-4.2 - -$ 379 6.4% yr<sup>-1</sup>) and PM<sub>2.5</sub> (-3.0 – -4.4% yr<sup>-1</sup>) (Table S 12). The EMEP model finds a somewhat lower 380 reduction for EC (-3.0  $\%$  yr<sup>-1</sup>) for 2001–2017 (EEA, 2020) with the largest emission reductions for the 381 road transport (83 kt; -3.6% yr<sup>-1</sup>) and off-road categories (44 kt; -3.7 % yr<sup>-1</sup>) [\(https://www.ceip.at\)](https://www.ceip.at/), which 382 are sectors with a minor seasonal variability. We suggest that these sectors explain the downward trend 383 observed for EC at Birkenes, and that the seasonality of the EC trend is due to the substantial contribution 384 from less abated sources, such as domestic heating in winter and fall. Notably, modelled EC emissions 385 are unchanged for the category other stationary combustion for  $2001-2016$  (-1 kt; -0.08% yr<sup>-1</sup>) 386 [\(https://www.ceip.at\)](https://www.ceip.at/), which includes residential heating, and wood burning in particular.
- 387 Effective abatement of SIA precursors and fossil EC, along with a high natural source 388 contribution to OC, largely explains why the OC fraction increased significantly for  $PM_{2.5}$  (+3.2% yr<sup>-1</sup>) 389 and PM<sub>10</sub> (+2.4% yr<sup>-1</sup>), whereas it decreased for the EC fraction (-3.9 – -4.5% yr<sup>-1</sup>) (Table S 13). The 390 largest increase (OC) and decrease (EC) was seen in the vegetative season (Table S 14) when BSOA 391 and PBAP increase and the influence of poorly abated sources such as domestic heating is low. 392 Consequently, these results demonstrate a long-term change in the aerosol chemical composition at 393 Birkenes and thus also in the relative source composition of PM.
- 394

#### 395 **3.1.3 Levoglucosan**

396 Levels of levoglucosan and other organic tracers are given in Table S 15, whereas other organic tracers

(arabitol, mannitol, trehalose, glucose, and 2-methyltetrols) are discussed in Sect. S6.

398 The statistically significant decrease of levoglucosan  $(-2.8\% \text{ yr}^{-1})$  at Birkenes for 2008–2018 (Figure 6; Table S 11), and the fact that biomass burning levels observed at Birkenes are largely explained by continental emissions (Figure 7) might indicate that wood burning emissions in continental Europe are declining. However, surprisingly, we find no significant trend for levoglucosan on a seasonal basis (Table S 12). Furthermore, and although one should be careful drawing conclusions from non- significant outcomes, it is worth noting that the levoglucosan to EC ratio most likely increased (+2.8% 404 yr<sup>-1</sup> (PM<sub>10</sub>), CI (Confidence Interval) = -3.5 – +6.5% yr<sup>-1</sup> and +2.3% yr<sup>-1</sup> (PM<sub>2.5</sub>), CI = -2.2 – 5.0 % yr<sup>-1</sup>) 405 for the period 2008–2018, whereas it most likely decreased (-1.8% yr<sup>-1</sup> (PM<sub>10</sub>), CI = -10.6 – +1.8 and -406 3.6% yr<sup>-1</sup> (PM<sub>2.5</sub>), CI = -9.8 – +1.3% yr<sup>-1</sup>) for the levoglucosan to OC ratio (Table S 13). A more efficient abatement of fossil sources than biomass burning would explain the levoglucosan to EC increase, whereas we fail to see a similar trend for the levoglucosan to OC ratio, as prevailing natural sources mask the assumed reduction in fossil OC of anthropogenic origin.

 The levoglucosan time-series provides a hitherto unprecedented opportunity to validate European residential wood burning emission inventories at a decadal time basis. Unfortunately, the inventories suffer from non-harmonized emission reporting and lack of condensable organics (van der Gon et al., 2015, Simpson et al., 2019), which hampers any reliable attempt for such validation. Given the uncertainties in the trend calculations (i.e. annual vs. seasonal trends), more work is needed to investigate trends in levoglucosan and biomass burning, foremost by continuation of the actual time series. Such efforts should be initiated immediately given the numerous studies that point to residential wood burning as a major source of air pollution in Europe (e.g. Denier van der Gon et al., 2015; Yttri et al., 2019).

#### **3.2 Sources of carbonaceous aerosol at Birkenes**

 We used PMF to apportion carbonaceous aerosol at Birkenes for 2016–2018. The time period was restricted by organic tracer data availability. Carbonaceous aerosol annual means for 2016–2018 were

423 within the long-term annual mean ( $\pm$ SD) for OC, and only slightly lower for EC in 2016 and 2017 and

are thus representative of the longer time series. Six out of seven factors identified in contribution-

weighted relative profiles from PMF (Figure 2; Table S 4) were associated with significant amounts of

carbonaceous aerosol. This includes factors for mineral dust-dominated (MIN), which OC content is

associated mainly with LRT BSOA (BSOALRT), traffic/industrial-like (TRA/IND), biogenic secondary

- organic aerosol (BSOASRT), which is short-range transported, primary biological aerosol particles
- 429 (PBAP), biomass burning (BB), and ammonium nitrate dominated  $(NH_4NO_3)$ . The sea salt aerosol

430 factor (SS) had a negligible  $\langle 1\% \rangle$  carbonaceous aerosol content.

431 The MIN factor (31%) explained the largest fraction of fine OC, whereas BB (17%),  $NH_4NO_3$ 432 (17%) and PBAP (16%) had almost equally large shares, as did TRA/IND (10%) and BSOA<sub>SRT</sub> (9%) 433 (Figure 8). Coarse OC was by far most abundant in the PBAP factor (53%), whereas BSOA<sub>SRT</sub> (13%),

- 434 MIN (13%) and NH<sub>4</sub>NO<sub>3</sub> (12%) explained almost equally large shares. For the other factors, coarse OC
- was minor. EC was apportioned to only five factors of which TRA/IND (50%) dominated by far. BB
- 436 made a 21% contribution and MIN and NH<sub>4</sub>NO<sub>3</sub> equally large shares (13%). The 3% apportioned to
- PBAP is an assumed analytical artefact (See Sect. 3.2.2 for details).
- 438 The BB,  $NH<sub>4</sub>NO<sub>3</sub>$  and TRA/IND factors are considered entirely anthropogenic, BSOA<sub>SRT</sub> and PBAP exclusively natural, whereas MIN is mixed (Figure 8). Natural (54%) and anthropogenic (46%) sources contributed almost equally to fine OC (Figure 8) annually, so also in spring and fall (51% natural), whereas natural sources prevailed in summer (77%) and anthropogenic in winter (78%). Natural sources dominated coarse OC annually (78%) and in all seasons (70–91%), except winter (37%). We consider the minor fraction of coarse OC attributed to carbonate-carbon (3%) to be of natural origin.
- 444 The findings for OC in  $PM_{10}$  are rather like that of  $PM_{2.5}$ , only that the natural contribution is somewhat
- more pronounced due to the influence from a mostly naturally influenced coarse OC fraction.
- 

## **3.2.1 Anthropogenic carbonaceous aerosol sources**

- 448 According to PMF, BB accounted for 14–17% of OC annually, considering both  $PM_{2.5}$  and  $PM_{10}$  vs. only 6% of coarse OC. BB was by far the major contributor to OC in winter (35–37%) and by far the most minor contributor in summer (2–3%) (not considering SS). Spring and fall are transition seasons where BB still made a substantial 14–19% contribution to OC. BB explained 22% of EC annually 452 (excluding  $EC_{PBAP}$ , which we assume is an analytical artefact, see Sect. 3.2.2), hence fossil fuel combustion (78%) was the major source. Emissions from residential wood burning increased in the heating season but fossil fuel sources dominated EC even in winter (66%). It cannot be excluded that part of levoglucosan originates from wildfires in summer, spring, and fall, though this itself may be due to anthropogenic activity. However, the levoglucosan/mannosan (L/M) ratio indicates minor variability in the source composition throughout the year (See Sect. S5), suggesting one dominating source.
- The 78%:22% split of EC into fossil fuel combustion and biomass burning derived from PMF is
- 459 supported by high time resolved concentrations of  $eBC_{BB}$  and  $eBC_{FF}$  derived from multiwavelength
- aethalometer measurements of the absorption coefficient, following the PMF-approach of Platt et al.
- 461 (in prep.). With this approach we find  $eBC_{BB}/eBC_{TOT} = 28\%$  [\(Table 2\)](#page-43-0). Meanwhile, using the
- 462 aethalometer model and  $AAE_{FF}=0.9$  and  $AAE_{BB}=1.68$  (Zotter et al. 2017) as input we find
- eBC<sub>BB</sub>/eBC<sub>TOT</sub>=48%, however the aethalometer model is extremely sensitive to the input AAE and the
- AAE values suggested by Zotter et al. (2017) are only recommended where no *a priori* information on
- the AAEs is available and a significant advantage of the PMF approach by Platt et al. (in prep.) is that
- the AAE is an output.
- $\frac{467}{467}$  Source regions of elevated (70<sup>th</sup> percentile) and low (30<sup>th</sup>) winter and summertime eBC<sub>BB</sub> (and eBCFF) observed at Birkenes for 2018 were studied using the approach of Hirdman et al. (2010). The

469 results show that Birkenes is a receptor of LRT exclusively from Continental Europe for elevated  $eBC_{BB}$ 470 and eBC<sub>FF</sub> levels (Figure 7), both in summer and winter. This is consistent with a lack of diurnal 471 variation in either  $eBC_{BB}$  or  $eBC_{FF}$ , likely because there are few local sources at Birkenes. The main 472 source regions extend from the Atlantic coast in the west to the Ural Mountains in winter, whereas the 473 regions in summer are confined to Eastern Europe and western Russia (but not as far east as the Urals). 474 Notably, the Nordic countries do not contribute to elevated levels except for southern parts of Finland 475 in summer. The footprints are almost identical for  $eBC_{BB}$  and  $eBC_{FF}$  both for summer and winter. High 476 similarity in winter is not a surprise, as the footprint covers such a wide area and because wood burning 477 for residential heating is common in several European countries. The summertime footprint is a 478 subsection of the wintertime footprint that covers an area well-known for severe wildfires and 479 agricultural fires (Stohl et al., 2007 and Yttri et al., 2007a), and thus agrees with previous studies. 480 Further, Sciare et al. (2008) point to the European countries bordering the Black Sea as having high 481 carbonaceous aerosol of fossil origin. Low eBC<sub>BB</sub> and eBC<sub>FF</sub> levels at Birkenes are consistent with air 482 masses that have an oceanic or terrestrial origin at high latitudes, mainly from the Arctic. Notably, the 483 30% highest values explain 74% of eBC<sub>BB</sub> at Birkenes for the actual period, hence LRT is decisive not 484 only for episodes of high concentrations but also largely explains the mean concentration. All eBC<sub>BB</sub> 485 and  $eBC_{FF}$  observations included in the 70<sup>th</sup> percentile was made in winter despite the less pronounced 486 seasonality of  $eBC<sub>FF</sub>$  compared to  $eBC<sub>BB</sub>$ .

 To generate a longer BB time series of  $OC_{BB}$  and  $EC_{BB}$  we combine the levoglucosan time series (2008–2018) with levoglucosan/OC and levoglucosan/EC ratios derived from the BB factor of the PMF analysis [\(Table](#page-42-0) 1; See Sect. S 5 for details). Depletion of levoglucosan by OH oxidation is more likely in summer (Hoffmann et al., 2010; Yttri et al., 2014), still we assume that levels mostly reflect biomass burning emissions in all seasons.

492 EC<sub>BB</sub> levels were elevated in the heating season (Figure 9; Table S 16). A strong temperature 493 influence is illustrated by a 9 $\degree$ C difference in the 25<sup>th</sup> percentile of wintertime temperatures in 2015 (-494 0.3°C) and 2010 (-9.3°C) (Figure S 1), which experienced the lowest (19 ng m<sup>-3</sup>) and the highest (84 ng  $\rm 495 \, m^{-3}$ ) winter-time mean concentration of EC<sub>BB</sub>, respectively. Winter 2010 was exceptionally cold due to 496 a negative North Atlantic Oscillation, and the only occasion when  $EC_{BB}$  exceeded  $EC_{FF}$ , with annual  $497$  mean EC<sub>BB</sub>>60% higher than the long-term mean. Pronounced interannual variability was seen for the 498 wood burning contribution in winter, from 21–60% to EC, with the lowest fractions occasionally 499 matched by those in spring and fall, typically ranging between  $20-30\%$ .  $EC_{BB}/EC$  was small in summer 500 (4–15%), considerably less than other seasons, except in 2008, where we calculate a substantial 30–40% 501 contribution. Levoglucosan cannot be used to differentiate emissions from residential wood burning, 502 wildfires and agricultural fires; exceptions are major wildfire and agricultural fire episodes identifiable 503 by unusual high concentrations and traced by source receptor models/satellite data for plumes/burnt 504 areas (Yttri et al., 2007a, Stohl et al., 2007). Influence from major wildfires in Eastern Europe caused a

 summertime peak in fine OC and EC in 2002 at Birkenes (Yttri et al., 2007a). In June 2008, the largest wildfire in Norway since the Second World War raged 25 km northeast of the Birkenes Observatory, 507 with an area of 30 km<sup>2</sup> burnt. The observatory was downwind of the fire on only one day, according to FLEXPART (Figure S 2). Despite this, the levoglucosan concentration for the weekly filter sample was ng m<sup>-3</sup>, by far the highest in one decade of sampling. Notably, the annual mean concentration of 510 levoglucosan for 2008 increased by nearly 35% and  $EC_{bb}$  contributed significantly to EC for summer 2008.

512 The seasonality of OC<sub>BB</sub> (Figure 9) was like  $EC_{bb}$ . Mean wintertime OC<sub>BB</sub>/OC was 39–40% and 513  $>50\%$  in 2010 and 2012, considering both PM<sub>10</sub> and PM<sub>2.5</sub>. The summertime contribution was typically <5%, reflecting both low levoglucosan levels and major influences from BSOA and PBAP, which peak 515 in summer. Notably, five of the seven highest weekly OC concentrations for the  $PM_{10}$  time-series were attributed to emissions from major wildfires in Eastern Europe, i.e., August 2002, and May/September 2006, and thus prior to the initiation of the levoglucosan time series. The local wildfire episode in 518 summer 2008 caused a substantial increase in  $OC_{bb}/OC$  (13–18%), which is within the lower range of 519 that observed for spring  $(12-27%)$  and fall  $(13-39%).$ 

#### **3.2.2 Biogenic carbonaceous aerosol sources**

522 The general lack of PBAP tracers in the MIN  $(\leq 1\%)$  and SS  $(\leq 2\%)$  factors and no sea salt and Ti in the PBAP factor, implies that soil and sea spray aerosol do not contribute to PBAP at Birkenes, although this has been shown elsewhere (O'Dowd et al., 2004; Jia and Fraser, 2011). PBAP represented by glucose, arabitol and mannitol appears to be associated with leaves rather than soil material and to be a source of local origin (Samaké et al., 2019). However, even large PBAP, such as birch pollen (avg. diam. 22 µm), has a potential for long range atmospheric transport of 1000 km due to its low density, hydrophobic nature, release during favourable dispersion conditions, and (often) emission height > 10 m (e.g. Sofiev et al., 2006; Skjøth et al., 2007).

 The PBAP factor concentration was nearly one order of magnitude higher in summer and fall than in winter and was the major contributor to coarse OC for all seasons except winter, particularly in summer (54%) and fall (69%) (Figure 8). These are conservative estimates, as 3–9% of the PBAP tracers reside in the BSOASRT factor, likely due to co-variability, as there is no scientific evidence linking biologically formed sugars and sugar-alcohols to abiotic formation of BSOA. Notably, the PBAP factor explained 20–26% of fine OC in summer and fall, being the major contributor in fall. Consequently, 536 PBAP was the major contributor to OC even in PM<sub>10</sub> in summer (31%) and fall (40%). The PBAP factor 537 even explained 16% of fine OC (Figure 8) annually, corresponding to 0.084  $\mu$ g C m<sup>-3</sup>, which is 538 marginally lower than the factor's content of coarse OC (0.113  $\mu$ g C m<sup>-3</sup>). Combined, this made PBAP 539 the most abundant contributor to OC in  $PM_{10}$  along with the MIN factor (both 26%). Some PBAP tracers 540 partly reside in the fine mode (Carvalho et al., 2003; Yttri et al., 2007b) but the 43% OC<sub>PBAP</sub> found in

the fine fraction in the present study is higher than what has previously been reported for the actual

- PBAP tracers at Birkenes; i.e. 6–7% (arabitol and mannitol), 20% (trehalose), and 33% (glucose) (Yttri
- et al., 2007b). It cannot be excluded that the PBAP factor contains some fine OC from other sources e.g.
- 544 due to condensation, but although there is a seasonal co-variability with the BSOA<sub>SRT</sub> factor, only 2–
- 3% of the 2-methyltetrols were explained by the PBAP factor and there was a low correlation between
- 546 the PBAP and the BSO $A_{SRT}$ , which questions this hypothesis.
- Arabitol and mannitol are well-known tracers of fungal spores (Bauer et al., 2008), one of the most abundant sources of PBAP (Elbert et al., 2007). Applying an OC to mannitol ratio of 5.2–10.8 for 549 fungal spores (Bauer et al., 2008; Yttri et al., 2011a), we estimate that  $11-22\%$  of OC<sub>PBAP</sub> (in PM<sub>10</sub>) comes from this source. Glucose is one of the primary molecular energy sources for plants and animals, a building block of natural dimers and polymers (e.g. sucrose and cellulose), and thus ubiquitous in nature and considered a PBAP tracer of general character, and clearly important for allocation of carbon mass to PBAP. Nevertheless, a wider range of organic tracers ought to be tested in future PMF studies to explore the potential of further separation of the highly heterogenic PBAP source, including cellulose, but also amino acids. A greater diversity of PBAP tracers may also provide a more correct PBAP estimate. The PMF approach used in the present study gives a somewhat higher, but overlapping, 557 estimate of  $OCP_{\text{BAP}}$  at Birkenes for August 2016–2018 than Latin Hypercube sampling (LHS) for August 2009 (Yttri et al., 2011b) (Table 3). The LHS approach was based on *a priori* emission ratios, with uncertainty ranges estimated in a similar way to a Monte Carlo analysis (though less computationally 560 extensive), and considered only the sum of fungal spores and plant debris as  $OCP_{\text{BAP}}$ , based on mannitol (fungal spores) and cellulose (plant debris), whereas the PMF approach may pick other contributing, i.e. co-varying, sources.
- The 3% EC in the PBAP factor is substantially less than the 16% reported by Waked et al. (2014), which stated that atmospheric mixing, PMF limitations and artifacts caused by thermal-optical analysis could be plausible explanations. In the present study, low levels of coarse fraction EC occasionally appear in summer and fall (Table S 5), following the seasonality of PBAP. This finding does not exclude any of the three possibilities proposed by Waked et al., (2014), but supports the suggestion by Dusek et al. (2017) that PBAP, or at least some types of PBAP, chars and evolves as 569 modern carbon EC during thermal-optical analysis. If EC<sub>PBAP</sub> indeed is an analytical artefact, then constraining the PBAP factor to contain no EC, as suggested by Weber et al. (2019), should be done with caution, as it will wrongfully apportion pyrolytic carbon generated from PBAP as EC to another 572 source. Thus,  $EC_{PBAP}$  should rather be interpreted as  $OC_{PBAP}$ . With no  $EC_{SS}$ , no  $EC_{BSOA, SRT}$  and  $EC_{PBAP}$ 573 an assumed analytical artefact, EC can be apportioned into a fossil fuel category ( $EC_{FF}$ ), consisting of 574 the MIN, TRA/IND, and NH<sub>4</sub>NO<sub>3</sub> factors (explains  $0.2\%$  of levoglucosan), and a non-fossil biomass 575 burning category  $(EC_{BB})$ , the BB factor. Some EC has been reported from meat cooking (Rogge et al., 1991), which is a non-fossil source, but its influence is minor at Birkenes, as it has not been observed

based on concurrent ACSM-measurements and is not accounted for by levoglucosan.

578 Our PMF results support the use of  $OC_{PM10-2.5}$  as a proxy of  $OC_{PBAP}$ , which has a pronounced seasonality (Figure 4) with the highest seasonal mean concentration observed in summer for 15 of the 580 studied years and in fall for the three others (Table S 5). The seasonal mean exceeded 0.5  $\mu$ g C m<sup>-3</sup> on 581 two occasions only; fall 2005 and fall 2006. With a few exceptions, OC<sub>PM10-2.5</sub> contributed more than 582 30% to OC<sub>PM10</sub> in summer and fall. The highest relative contribution (45–50%) to OC<sub>PM10</sub> were exclusively observed in fall (2004, 2005, 2006, 2008, 2014, 2017), likely reflecting a combination of 584 high OC<sub>PM10-2.5</sub> concentrations and fine fraction OC<sub>BSOA</sub> declining at this time of the year. OC<sub>PM10-2.5</sub> 585 made a substantially lower contribution to  $OC_{PM10}$  in winter (mean: 13%) and in spring (mean: 19%) compared to summer and fall, although contributions exceeding 25% were observed in spring for certain years. Notably however, the PBAP factor explains 16% of fine OC, which would not be accounted for 588 using coarse OC as a proxy of OC<sub>PBAP</sub>.

589 These numbers suggest that PBAP is a major, continuous contributor to OC in PM<sub>10</sub> at Birkenes for a period of nearly two decades, and that it largely explains the seasonality. Estimates of PBAP levels in the continental European rural background environment are largely lacking and should be undertaken to explore PBAPs potential importance. With a longer vegetative season and a different climate, the PBAP flux might be larger in more southerly countries, although the relative contribution might be lower 594 due higher overall OC levels. Waked et al. (2014) found that OC<sub>PBAP</sub> accounted for 17% of OC in  $PM_{10}$  on an annual basis for an urban background site in Lens (Northern France), and between 5–6% in winter/spring and 27–37% in summer/fall using PMF for source apportionment. These fractions are comparable to those observed in the present study, albeit concentrations calculated by Waked et al. (2014) were higher.

 PBAP is a large OC source not included in many models. OC model closure, both for overall levels and seasonality, would thus likely be improved in many cases by its inclusion. This appears to be particularly important for regions with low anthropogenic influence. Birkenes is situated in the Boreo- nemorale zone, a transition zone of the Nemorale and the Boreal zone, hence, findings made for this site likely gives an indication of what can be expected for this scarcely populated, circumpolar region, which by far is the largest terrestrial biome of the Northern Hemisphere. Hence, measurements in unperturbed areas should include PBAP for a better understanding of background conditions. In turn, such measurements may improve e.g. climate models; i.e., the aerosol climate effect under relatively clean conditions.

 Modelled estimates suggest a 10–40% contribution of BSOA to fine OC annually at Birkenes 609 (Simpson et al., 2007; Bergström et al., 2012). Hence, the 9% contribution of  $OC_{BSOASRT}$  to fine OC, 610 and the 13% contribution to coarse OC (10% to OC in  $PM_{10}$ ) found in the present study by PMF, appears 611 to be in the lower range. Further,  $3-9%$  of the PBAP tracers reside in the BSOA<sub>SRT</sub> factor, hence some 612 of its OC content may rather be attributed to PBAP, further lowering the OC content of the BSOA<sub>SRT</sub>  factor but strengthening coarse OC as a proxy of PBAP. BSOASRT made a negligible contribution to 614 fine, coarse and  $PM_{10}$  OC in all seasons, except in summer (22–25%), apparently contradicting previous studies that unambiguously point to BSOA as the major carbonaceous aerosol source at Birkenes in the vegetative season (Simpson et al., 2007; Yttri et al., 2011b). Note that a prevailing BSOA source in summer is considered a normal situation also for European rural background environment in general 618 (e.g. Gelenscer et al., 2007), not only for Birkenes. Table 3 shows that  $OC_{BSOASRT}$  obtained by PMF for August 2016–2018 is substantially lower than that obtained by LHS for August 2009 (Yttri et al., 2011b). Although not obtained for the same year, we argue that methodology rather than climatology 621 explains most of the difference.  $OC_{BSOALHS}$  provides an upper estimate including all modern carbon, local and from LRT (excluding biomass burning and PBAP fungal spores and plant debris), whereas OC<sub>BSOA,SRT</sub> gives a lower estimate accounting for locally formed BSOA.

624 It is less likely that anthropogenic secondary organic aerosol (ASOA) resides in the BSOA<sub>SRT</sub>- factor, as ASOA precursors result from combustion processes and evaporative losses. Further, Yttri et al. (2011a) found higher ASOA concentrations in the Norwegian rural background environment in 627 winter compared to summer, which is opposite of  $BSOA_{\text{SRT}}$ , hence co-variation and/or apportionment to the same factor do not appear likely. ASOA is less abundant than BSOA at Birkenes, as calculated by Simpson et al. (2007) and Bergström et al. (2012), but the estimates vary substantially and are very uncertain (Spracklen et al., 2011), particularly for ASOA (from 1% to 10–20%). It is difficult to predict which PMF factor(s) accounted for ASOA, but for the sake of separating OC into a natural and an 632 anthropogenic fraction we assume that ASOA is not part of neither  $BSO\text{A}_{\text{SRT}}$  nor the PBAP factor, which we consider as exclusively natural factors. To provide an upper estimate of the natural sources (Figure 8), we neither consider it part of the MIN factor.

635 With 90% (in  $PM_{10}$ ) and 92% (in  $PM_{2.5}$ ) of the MIN factor's OC content attributed to LRT 636 BSOA (OC $_{BSOA, LRT}$ ) (See Sect. 2.4.1), the combined contribution of locally formed BSOA (OC $_{BSOA, SRT}$ ) 637 and OC<sub>BSOA,LRT</sub> to OC in PM<sub>10</sub> and PM<sub>2.5</sub> would be 34–38% on an annual basis, 37–41% in spring and 638 50–57% in summer. From this we can deduct that 1/3 of BSOA is of local origin, whereas 2/3 are long-639 range transported. For August 2016–2018, the joint contribution of OCBSOA,SRT and OCBSOA,LRT to OC in 640 PM<sub>10</sub> is 31%, corresponding better with the LHS estimate (Table 3) but still noticeably lower. Notably, 641 OCBSOA,SRT, OCBSOA,LRT and OCPBAP combined contributed 79% to OC in PM<sub>10</sub> in August 2016–2018, 642 which exactly matches the sum of OC<sub>BSOA,LHS</sub> and OC<sub>PBAP,LHS</sub> to OC in  $PM_{10}$  in August 2009. This 643 suggests that LHS and PMF apportion an equally large amount of OC to natural sources but that the 644 split between BSOA and PBAP likely differs. It is evident that the LHS-approach provides an upper 645 estimate of BSOA (Gelenscer et al., 2007; Yttri et al. 2011a), whereas the great diversity of PBAP likely 646 is underestimated by just accounting for plant debris and fungal spores. The lower estimate of  $OCS_{BSOA}$ 647 and the higher estimate of  $OCP_{BAP}$  provided by PMF in the present study is in line with this and 648 encourage further effort to apportion these major carbonaceous aerosol sources correctly. Inclusion of  monoterpene and sesquiterpene oxidation products (Kleindienst et al., 2007) to PMF would possibly improve our understanding of the SOA apportionment, as would knowledge about their atmospheric lifetime.

#### **4. Conclusions**

 The carbonaceous aerosol time-series at the Birkenes Observatory initiated in 2001 is unique due to its 655 unprecedented length in Europe and because measurements are performed both for  $PM_{10}$  and  $PM_{2.5}$ . Such long-time series are of utmost importance, e.g. for the evaluation of projections, air-quality models, and climate models. The need for concurrent and diverse off-line and on-line carbonaceous aerosol speciation measurements for understanding of carbonaceous aerosol sources, seasonal, annual, and long-term variability has been utterly demonstrated.

660 Statistically significant and comparably large reductions ( $\sim$  -4% yr<sup>-1</sup>) were calculated for EC and PM2.5 at the Birkenes Observatory for 2001–2018, with EC reductions largely attributed to road transportation. No significant declining trend was calculated for OC, likely because prevailing natural sources masked any reduction in anthropogenic sources. Further reduction of carbonaceous aerosol may be hampered by poorly abated sources such as domestic heating, though more work is needed to assess this. We emphasize the importance of establishing long lasting, high quality carbonaceous aerosol and 666 organic tracers time series at several sites across Europe for this purpose. The OC fraction of  $PM_{10}$ 667 (+2.3% yr<sup>-1</sup>) and PM<sub>2.5</sub> (+3.2% yr<sup>-1</sup>) increased significantly from 2001–2018, whereas the EC fraction 668 decreased  $(-4.0 - -4.7\% \text{ yr}^{-1})$ , causing a successive change in the aerosol chemical composition and in the relative source composition.

 Source apportionment using PMF identified seven factors, six of which were carbonaceous dominated: Mineral dust dominated (MIN), traffic/industrial-like (TRA/IND), biogenic secondary organic aerosol (BSOA), primary biological aerosol particles (PBAP), biomass burning (BB) and 673 ammonium nitrate dominated ( $NH<sub>4</sub>NO<sub>3</sub>$ ). Carbonaceous material was negligible in the sea salt (SS) factor. Combustion of fossil fuel (78%) was the major source of EC and TRA/IND (50%) the key factor. Emissions from residential wood burning increased in the heating season but fossil fuel sources dominated EC even in winter (66%). Continental Europe and western parts of Russia were the main source regions of elevated levels of eBC, both for biomass burning and for combustion of fossil fuels. 678 Natural sources dominated both fine (53%) and coarse (78%) fraction OC, thus also OC in  $PM_{10}$  (60%). The natural fraction increased substantially in the vegetative season due to biogenic secondary organic aerosol and primary biological aerosol particles, confined to the BSOA, PBAP and MIN factors. 77– 91% of OC was attributed to natural sources in summer and 22–37% in winter. The coarse fraction showed the highest share of natural sources regardless of season and was dominated by PBAP, except 683 in winter. Notably, PBAP (26%) made a larger contribution to OC in  $PM_{10}$  than BB (14%), and an 684 equally large contribution as BB (17%) in  $PM_{2.5}$ .

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 OC/EC and mass concentration were measured as part of the Norwegian national monitoring programme (Aas et al., 2020), whereas monosaccharide anhydrides were analysed as part of the SACC (Strategic Aerosol Observation and Modelling Capacities for Northern and Polar Climate and Pollution) and SLCF (Describing sources, formation, and transport of short lived climate forcers using advanced, novel measurement techniques) projects.

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# **Figures**



 Figure 1: The Birkenes Observatory (58°23' N, 8°15' E; 219 m asl) lies in the Boreo-nemoral zone, 20 km from the

**Skagerrak coastline in Southern Norway.** 



Figure 2: Results from PMF for Birkenes 2016-2018. a) Factor profiles b) Factor time series c) aggregated monthly data. Boxes in a), c) show statistics from bootstrapped solutions **Figure 2: Results from PMF for Birkenes 2016–2018. a) Factor profiles b) Factor time series c) aggregated monthly data. Boxes in a), c) show statistics from bootstrapped solutions** (n=5000): percentiles 25/75 (box), median (horizontal line) and 10/90 (whiskers). Black markers are the means. Red markers in a) show the base factor profiles. **(n=5000): percentiles 25/75 (box), median (horizontal line) and 10/90 (whiskers). Black markers are the means. Red markers in a) show the base factor profiles.** 1093 1094

# Footprint: 30-May-2018 to 06-Jun-2018



0.00 0.00 0.02 0.06 0.25 1.00 4.00 16.00 Averaged emission sensitivity [ns m<sup>-2</sup>]

- <span id="page-35-0"></span>**Figure 3: Footprint emission sensitivities calculated using the FLEXPART model for the period 30 May–6 June 2018 at**
- **the Birkenes Observatory.**



**Figure 4: Ambient aerosol concentrations of TC, OC and EC in PM<sup>10</sup> (Upper panels), in PM2.5 (Mid-Panels), and TC** 

- **and OC in PM10-2.5 (Lower panels), presented as weekly (168 h) and annual mean concentrations for the Birkenes**
- **Observatory for 2001–2018. The trendlines account for the protocol shift.**





**Figure 5: Mass closure of PM<sup>10</sup> for Birkenes for the period 2001–2018 (Unit: %). Notation: Sea salt = Sum of Na<sup>+</sup> , Mg2+ ,** 

1107 **Cl**; SIA = Secondary inorganic aerosol (SIA) = Sum of  $SO_4^2$ ,  $NO_3$ ;  $NH_4^+$ ; CA = Carbonaceous aerosol; OM = Organic

**matter. OM is calculated using OC:OM=1.9 (Yttri et al., 2011a).**



**Figure 6: Ambient aerosol concentrations of organic tracers in PM10. Levoglucosan, 2-methylerythritol and 2-**

- **methylthreitol (Upper panels), arabitol, mannitol, trehalose (Mid-Panels), and glucose (Lower panel), presented as**
- **weekly (168 h) and annual mean concentrations for the Birkenes Observatory for the period 2008–2018.**



**Figure 7: 70th percentiles of eBCff (left panels, a and c) and eBCbb (right panels, b and d) for winter (NDJFM) and** 

- **summer (MJJAS). The color-coding shows the ratio of residence times for footprint sensitivities during measurements**
- **exceeding the 70th percentile and the average footprint sensitivity.**
- 





 **Figure 8: Factor contributions to OC in PM<sup>10</sup> (a), PM2.5 (b), PM10-2.5 (c), and EC in PM<sup>10</sup> (d) at Birkenes (2016–2018) (upper panels), and divided into seasons (middle and lower panels), as determined by positive matrix factorization. The factors enclosed by the full red line represents anthropogenic sources. The OC content of the MIN factor is divided into long range transported BSOA (OCBSOA,LRT) and primary OC from fossil fuel combustion (OCPrimFF) following Eq. (1), and carbonate carbon (OCCO32-) (Sect. 2.4.1).**





**Figure 9: Relative contribution of OCbb to OCtot (upper panel) and ECbb to ECtot (lower panel) in PM<sup>10</sup> (dark colors)** 

**and in PM2.5 (light colors), as a function of season at Birkenes for 2008–2018 (DJF = Winter; MAM = Spring; JJA =** 

**Summer; SON = Fall).**

## 1132 **Tables**

- <span id="page-42-0"></span>1133 **Table 1: Variables describing the biomass burning source derived from the PMF BB factor in the present study, and**
- **comparable variables obtained by** 1134 **<sup>14</sup>C-analysis reported by Zotter et al. (2014).**



1136 Notation:  $OC_{NF}$  = Non-fossil  $OC$ ;  $EC_{NF}$  = Non-fossil  $EC$ 

- <span id="page-43-0"></span>1138 **Table 2: Biomass burning fraction derived from the PMF and the aethalometer model. Aethalometer model 1 shows**
- 1139 **the biomass burning fraction obtained by the default pair of Absorption Ångstrøm Exponents (AAE) suggested by**
- 1140 **Zotter et al. (2014), whereas Aethalometer model 2 show the biomass burning fraction obtained using the pair of AAEs**
- 1141 **derived from PMF.**



Table 3: OCBSOA, short-range transported (SRT) and long-range transported (LRT), and OCPBAP concentrations and their relative contribution to OC in PM10 at Birkenes in August, Table 3: OCBsox, short-range transported (SRT) and long-range transported (LRT), and OC<sub>PBAP</sub> concentrations and their relative contribution to OC in PM<sub>10</sub> at Birkenes in August, as obtained by Latin Hypercube Sampling (Yttri et al., 2011b) and by PMF (present study). **as obtained by Latin Hypercube Sampling (Yttri et al., 2011b) and by PMF (present study).** 1143

1144



1. 50 2. 10 50<sup>th</sup> percentile

th–90 <sup>th</sup> percentile LHS-approach includes both OCBSOA,SRT and OCBSOA,LRT 3. LHS-approach includes both OCBSOA,SRT and OCBSOA,LRT

1146<br>1147<br>1148