1	Satellite-based Estimation of the Impacts of Summertime Wildfires on
2	Particulate Matter Air Quality in United States
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Frequent and widespread wildfires in North Western United States and Canada has become 9 the "new normal" during the northern hemisphere summer months, which significantly degrades 10 particulate matter air quality in the United States-significantly. Using the mid-visible Multi Angle 11 Implementation of Atmospheric Correction (MAIAC) satellite-derived Aerosol Optical Depth 12 (AOD) with meteorological information from the European Centre for Medium-Range Weather 13 Forecasts (ECMWF) and other ancillary data, we quantify the impact of these fires on fine 14 particulate matter (PM2.5) air quality in the United States. We use a Geographically Weighted 15 16 Regression method to estimate surface PM2.5 in the United States between low (2011) and high (2018) fire activity years. Our results indicate that smoke aerosols caused significant pollution 17 changes over half of the United States. We estimate that nearly 29 states have increased PM2.5 18 19 during the fire active year and 15 of these states have PM2.5 concentrations more than 2 times than that of the inactive year. Furthermore, these fires increased daily mean surface PM2.5 20 concentrations in Washington and Oregon by 38 to 259µgm⁻³ posing significant health risks 21 22 especially to vulnerable populations. Our results also show that the GWR model can be

successfully applied to PM2.5 estimations from wildfires thereby providing useful information for
 various applications including public health assessment.

25 **1. Introduction**

The United States (US) Clean Air Act (CAA) was passed in 1970 to reduce pollution levels 26 and protect public health that has led to significant improvements in air quality (Hubbell et al., 27 2010; Samet, 2011). However, the northern part of the US continues to experience an increase in 28 surface PM2.5 due to fires in North Western United States and Canada (hereafter NWUSC) 29 especially during the summer months and these aerosols are a new source of 'pollution' (Coogan 30 31 et al., 2019; Dreessen et al., 2016). The smoke aerosols from these fires increase fine particulate matter (PM2.5) concentrations and degrade air quality in the United States (Miller et al., 2011). 32 33 Moreover several studies have shown that from 2013 to 2016, over 76% of Canadians and 69% of Americans were at least minimally affected by wildfire smoke (Munoz-Alpizar et al., 2017). 34 Although wildfire pre-suppression and suppression costs have increased, the number of large fires 35 and the burnt areas in many parts of western Canada and the United States have also increased. 36 (Hanes et al., 2019; Tymstra et al., 2019). Furthermore, in a changing climate, as surface 37 temperature increases and humidity decreases, the flammability of land cover also increases, and 38 39 thus accelerate the spread of wildfires (Melillo et al., 2014). The accumulation of flammable materials like leaf litter can potentially trigger severe wildfire events even in those forests that 40 hardly experience wildfires (Calkin et al., 2015; Hessburg et al., 2015; Stephens, 2005). . 41

42 Wildfire smoke exposure can cause small particles to be lodged in lungs that may lead to 43 exacerbations of asthma chronic obstructive pulmonary disease (COPD), bronchitis, heart disease 44 and pneumonia (Apte et al., 2018; Cascio, 2018). According to a recent study, a $10 \ \mu gm^{-3}$ 45 increase in PM2.5 is associated with a 12.4% increase in cardiovascular mortality (Kollanus et al.,

2016). In addition, exposure to wildfire smoke is also related to massive economic costs due to
premature mortality, loss of workforce productivity, impacts on the quality of life and
compromised water quality (Meixner and Wohlgemuth, 2004).

Surface PM2.5 is one of the most commonly used parameters to assess the health effects 49 of ambient air pollution. Given the sparsity of measurements in many parts of the world, it is not 50 51 possible to use interpolation techniques between monitors to provide PM2.5 estimate on a square kilometer basis. Since surface monitors are limited, satellite data has been used with numerous 52 ancillary data sets to estimate surface PM2.5 at various spatial scales. Given the sparsity of 53 54 55 monitors to provide PM2.5 estimate on a square kilometer basis. Several techniques have been developed to estimate surface PM2.5 using satellite observations from regional to global scales 56 57 including simple linear regression, multiple linear regression, mixed-effect model, chemical transport model (scaling methods), geographically weighted regression (GWR), and machine 58 59 learning methods (see Hoff and Christopher, 2009 for a review). The commonly used global satellite data product is the 550nm (mid-visible) aerosol optical depth (AOD) which is a unitless 60 columnar measure of aerosol extinction (Wang and Christopher, 2006). Simple linear regression 61 method uses satellite AOD as the only independent variable, which shows limited predictability 62 63 compared to other method and correlation coefficients vary from 0.2 to 0.6 from the Western to Eastern United States (Zhang et al., 2009). Multiple linear regression method uses meteorological 64 variables along with AOD data, and the prediction accuracy varies with different conditions 65 including the height of boundary layer and other meteorological conditions (Goldberg et al., 2019; 66 67 Gupta and Christopher, 2009b; Liu et al., 2005)(Liu, et al., 2005; Gupta and Christopher, 2009b). For both univariate model and multi-variate models, AOD shows stronger correlation with PM2.5 68

during-fire episodes compared to pre-fire and post-fire periods (Mirzaei et al., 2018). Chemistry transport models (CTM) that scale the satellite AOD by the ratio of PM2.5 to AOD simulated by models can provide PM2.5 estimations without ground measurements, which are different than other statistical methods (Donkelaar et al., 2019, 2006). However, the CTM models that depend on reliable emission data usually show limited predictability at shorter time scales, and is largely useful for studies that require annual averages (Hystad et al., 2012).

The relationship among PM2.5, AOD and other meteorological variables is not spatially 75 consistent (Hoff and Christopher, 2009; Hu, 2009). Therefore, methods that consider spatial 76 variability can replicate surface PM2.5 with higher accuracy. One such method is the GWR, which 77 78 is a non-stationary technique that models spatially varying relationships by assuming the coefficients in the model are functions of locations (Brunsdon et al., 1996; Fotheringham et al., 79 80 1998, 2003). In 2009, satellite-retrieved AOD was introduced in the GWR method to predict surface PM2.5- (Hu, 2009) followed by the use of meteorological parameters and land use 81 82 information (Hu et al., 2013). Several studies (Guo et al., 2021; Ma et al., 2014; You et al., 2016) successfully applied GWR model in estimating PM2.5 in China by using AOD and meteorological 83 features as predictors. Similar to all the statistical methods, however, the GWR relies on adequate 84 number and density of surface measurements (Chu et al., 2016; Gu, 2019; Guo et al., 2021), 85 86 underscoring the importance of adequate ground monitoring of surface PM2.5.

In this paper, we use satellite data from the Moderate Resolution Imaging Spectroradiometer (MODIS) and surface PM2.5 data combined with meteorological and other ancillary information to develop and use the GWR method to estimate PM2.5. The use of the GWR method is not novel and we merely use a proven method to apply this towards surface PM2.5 estimations for forest fires. We calculate the change in PM2.5 between a high fire activity (2018)

with low fire activity (2011) periods during summer to assess the role of NWUSC wildfires on
surface PM2.5 in the United States. The paper is organized as follows: We describe the data sets
used in this study followed by the GWR method. We then describe the results and discussion
followed by a summary with conclusions.

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97 2. Data

A 17-day period (August 9th to August 25th) in 2018 (high fire activity) and 2011 (low fire
activity) was selected based on analysis of total fires (details in methodology section) to assess
surface PM2.5 (Table 1).

2.1 Ground level PM2.5 observations: Daily surface PM2.5 from the Environment Protection Agency (EPA) are used in this study. These data are from Federal Reference Methods (FRM), Federal Equivalent Methods (FEM), or other methods that are to be used in the National Ambient Air Quality Standards (NAAQS) decisions. A total of 1003 monitoring sites in the US are included in our study with 949 having valid observations in the study period in 2018, and a total of 873 sites with 820 having valid observations in the study period in 2011. PM2.5 values less than 2 μ gm⁻³ are discarded since they are lower than the established detection limit (Hall et al., 2013).

2.2 Satellite Data: The MODIS mid visible AOD from the Multi-Angle Implementation of
Atmospheric Correction (MAIAC) product (MCD19A2 Version 6 data product) is used in this
study. We used MAIAC retrieved Terra and Aqua MODIS AOD product at 1 km pixel resolution
(Lyapustin et al., 2018). Different orbits are averaged to obtain mean daily values. Since thick
smoke plumes generated by the wildfires can be detected as cloud by a large chance, we preserve
possible cloud contaminated pixels to preserve the thick smoke pixels, and only AOD less than 0
will be discarded.Validation with AERONET studies show that 66% of the MAIAC AOD data

115	agree within $\pm 0.5 \sim \pm 0.1$ AOD (Lyapustin et al., 2018). Largely due to cloud cover, grid cells may
116	have limited number of AOD observations within a certain period. On average, cloud free AOD
117	data are available about 40% of the time during August 9th to August 25th in 2018 when fires were
118	active in the region bounded by 25~50°N, 65~125°W. Smoke flag from the same product is used
119	as a predictor in estimating surface PM2.5. The smoke detection is performed using MODIS red
120	blue and deep blue bands, and separate smoke pixels from dust and clouds based on absorption
121	parameter, size parameter and thermal threshold (Lyapustin et al., 2018, 2012). Smoke flag data
122	can provide the percentage of smoke pixel in each grid, which is related to smoke coverage.
123	We also use the MODIS level-3 daily FRP (MCD14ML, fire radiative power) product

which combines Terra and Aqua fire products to assess wildfire activity. The fire radiative energy indicates the rate of combustion and thus FRP can be used for characterizing active fires (Freeborn et al, 2014). For purposes of the study we sum the FRP within every $2.3^{\circ} \times 3.5^{\circ}$ box to represent the total fire activity in different locations.

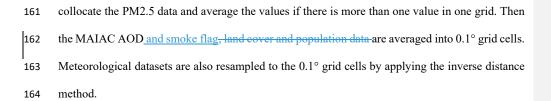
2.3 Meteorological data: Meteorological information including boundary layer height (BLH), 2m 128 129 temperature (T2M), 10m wind speed (WS), surface relative humidity (RH) and surface pressure (SP) are obtained from the European Centre for Medium-Range Weather Forecasts (ECMWF) 130 131 reanalysis (ERA5) product, with a spatial resolution of 0.25 degrees and temporal resolution of 1 hour and is matched temporally with the satellite overpass time. The BLH can provide information 132 133 of aerosol layer height as aerosols are often found to be well-mixed within the boundary layer (Gupta and Christopher, 2009b). A higher RH will increase the hygroscopicity, change scattering 134 properties of certain aerosols and can lead to a higher AOD value (Zheng et al., 2017). In addition, 135 high surface temperatures can also accelerate the formation of secondary particles in the 136 137 atmosphere.

138	2.4 Land cover and population data: Land cover and population density is highly related to
139	anthropogenic acrosol emissions, which also affects surface PM2.5. Land cover information from
140	the European Space Agency (ESA) with a spatial resolution of 300m and temporal resolution of
141	one year (ESA, 2017) and population data from 'Gridded Population of the World', v4 (GPWv4)
142	with a spatial resolution of 5 km are used as variables for the GWR method used in this study. The
143	ESA land cover product uses global time series input datasets acquired by the Envisat Medium
144	Resolution Imaging Spectrometer (MERIS) and from the SPOT-Vegetation (SPOT-VGT) sensors.
145	The global land surface reflectance values are produced from the MERIS evel 1 dataset, which
146	along with SPOT-VGT S1 (daily synthesis product) are used as input to the classification module
147	and interprets into land cover classes. The population data uses results of the 2010 Population and
148	Housing Census as input data.

149 **3. Methodology**

To assess the impact of NWUSC fires on PM2.5 in the United States, we first estimate the 150 PM2.5 over the study region during a time period with high fire activity (2018). We then use the 151 same method during a year with low fire activity (2011) to compare the differences between the 152 153 two years. The two years are selected based on the total FRP in August calculated within Canada (49~60°N, 55~135°W) and Northwestern (NW) US (35~49°N, 105~125°W). Table 2 shows the 154 total FRP in Canada and Northwestern US in August from 2010 to 2018. The total FRP in the two 155 regions is lowest in 2011 and highest in 2018 during the 9 years, which provides the basis for the 156 study. In order to create a 0.1° surface PM2.5, the GWR model is used to estimate the relationships 157 of PM2.5 and AOD. Detailed processing steps for GWR model are shown in Figure 1. 158

3.1 Data preprocessing: The first step is to resample all datasets to a uniform spatial resolution
by creating a 0.1° resolution grid covering the Continental United States. During this process, we
7 | P a g e



3.2 Time selecting & averaging: Next we select data where AOD and ground PM2.5 are both available (AOD > 0 and PM2.5 > 2.0 $\mu g m^{-3}$) and average them for the study period. This is to ensure that the AOD, PM2.5 and other variables match with each other, because PM2.5 is not a continuous measurement for some sites and AOD have missing values due to cloud cover and other reasons. Therefore, it is important to use data from days where both measurements are available to avoid sampling biases.

3.3 GWR model development and validation: The Adaptive bandwidth selected by the Akaike's Information Criterion (AIC) is used for the GWR model (Loader, 1999). For locations that already have PM2.5 monitors, we calculate the mean AOD of a $0.5 \times 0.5^{\circ}$ box centered at the ground location and estimate the GWR coefficients (β) for AOD and meteorological/land cover variables to estimate PM2.5. The model structure can be expressed as:

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$$PM_{2.5i} = \beta_{0,i} + \beta_{1,i}AOD_i + \beta_{2,i}BLH_i + \beta_{3,i}T2M_i + \beta_{4,i}U10M_i + \beta_{5,i}RH_{sfci} + \beta_{6,i}SP_i + \frac{\beta_{7,i}LC_i}{\beta_{7,i}}$$
177
$$+ \frac{\beta_{8,i}POP_i}{\beta_{8,i}} + \beta_{7,i}SF_i + \varepsilon_i$$

where $PM_{2.5i}$ ($\mu g m^{-3}$) is the selected ground-level PM2.5 concentration at location *i*; $\beta_{0,i}$ is the intercept at location *i*; $\beta_{1,i} \sim \beta_{8,i}$ are the location-specific coefficients; AOD_i is the resampled AOD selected from MAIAC daily AOD data at location *i*; $BLH_i, T2M_i, U10M_i, RH_{sfci}, SP_i$ are selected meteorological parameters (BLH, T2M, WS, RH and PS) at location *i*; LC_t is the resampled land cover data at location *i*; POP_t (person/km²) is the

183 resampled population density at location i; SF_i (%) is the resampled smoke flag data at location i 184 and ε_i is the error term at location *i*.

We perform the Leave One Out Cross Validation (LOOCV) to test the model predictive 185 186 performance (Kearns and Ron, 1999). Since the GWR model relies on adequate number of observations, the prediction accuracy will be lower if we preserve too much data for validation. 187 188 Therefore, we choose the LOOCV method, which preserve only one data for validation at a time and repeat the process until all the data are used. In addition, R^2 and RMSE are calculated for both 189 model fitting and model validation process to detect overfitting. Model overfitting will lead to low 190 predictability, which means it fits too close to the limited number of data to predict for other places 191 192 and will cause large bias.

193 3.4 Model prediction: While predicting the ground-level PM2.5 for unsampled locations, we make use of the estimated parameters for sites within a 5° radius to generate new slopes for 194 195 independent variables based on the spatial weighting matrix (Brunsdon et al., 1996). The closer to the predicted location, the closer to 1 the weighting factor will be, while the weighting factor for 196 197 sites further than the 5° in distance is zero. It is important to note that AOD and other independent variables used for prediction in this step are averaged values for days that have valid AOD, which 198 199 is different from the data used in the fitting process since PM2.5 is not measured every day in all 200 locations.

201 4. Results and Discussion

We first discuss the surface PM2.5 for a few select locations that are impacted by fires followed by the spatial distribution of MODIS AOD and the FRP for August 2018. We then assess the spatial distribution of surface PM2.5 from the GWR method. The validation of the GWR

205	method is then discussed. To further demonstrate the impact of the NWUSC fires on PM2.5 air
206	quality in the United States, we show the spatial distribution of the difference between August
207	2018 and August 2011. We further quantify these results for ten US EPA regions.

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4.1 Descriptive statistics of satellite data and ground measurements

The 2018 summertime Canadian wildfires started around the end of July in British 209 Columbia and continued until mid-September. The fires spread rapidly to the south of Canada 210 211 during August, causing high concentrations of smoke aerosols to drift down to the US and affecting particulate matter air quality significantly. From late July to mid-September, wildfires in the 212 213 northwest US that burnt forest and grassland also affected air quality. Starting with the Cougar Creek Fire, then Crescent Mountain and Gilbert Fires, different wildfires in in NWUSC caused 214 215 severe air pollution in various US cities. Figure 2a shows the rapid increase in PM2.5 of selected US cities from July 1st to August 31st, due to the transport of smoke from these wildfires. For all 216 sites, July had low PM2.5 concentrations (<10 $\mu g m^{-3}$) and rapidly increases as fire activity 217 increases. Calculating only from the EPA ground observations, the mean PM2.5 of the 17 days for 218 219 the whole US is 13.7 $\mu g m^{-3}$ and the mean PM2.5 for Washington (WA) is 40.6 $\mu g m^{-3}$, which indicates that the PM pollution is concentrated in the northwestern US for these days. This trend 220 221 is obvious when comparing the mean PM2.5 of all US stations (black line with no markers) and 222 the mean PM2.5 of all WA stations (grey line with no markers). Ground-level PM2.5 reaches its 223 peak between August 17th-21st and daily PM2.5 values during this time period far exceeds the 17day mean PM2.5. For example, mean PM2.5 in WA on August 20th is 86.75 $\mu g m^{-3}$, which is 224 more than two times the 17-day average of this region. On August 19th, Omak which is located in 225 the foothills of the Okanogan Highlands in WA had PM2.5 values exceed 250 $\mu g m^{-3}$. According 226 to a review of US wildfire caused PM2.5 exposures, 24-h mean PM2.5 concentrations from 227

228	wildfires ranged from 8.7 to 121 $\mu g m^{-3}$, with a 24 h maximum concentration of 1659 $\mu g m^{-3}$
229	(Navarro et al., 2018).

230 Table 3 shows relevantsome statistics of 15 states that have at least one daily record of nonattainment of violating EPA standard (>35 µg m³ (-3)). From the frequency records of 231 232 violationnon attainment in the 17-day period (last column), four states (Montana, Washington, 233 California and Idaho) wereas consistently affected by the wildfires, and large portion of ground 234 stations in these states were influenced by smoke aerosols, which represent that the pollution area 235 is large. Most of the neighboring states also suffered from short-term but broad air pollution (third 236 column). One thing nNoticeable from these records is that the total number of ground stations in 237 some of the highly affected states (such as Idaho) is not sufficient for capturing the smoke. Although there are total 8 EPA stations in Idaho, only two of them have consistent observations 238 239 during the fire event; the otheranother two stations have no valid observations, and the remainingother four stations have only 2~6 observations during the 17-day period. Limited valid 240 data along with unevenly distributed stations makes it hard to quantify smoke pollution in 241 Northwestern US during the fire event period. Therefore, we utilizebring in satellite data to enlarge 242 243 the spatial coverage and estimate pollution atin a finer spatial resolution.

The spatial distribution of AOD shown in Figure 2b indicates that the smoke from Canada is concentrated mostly in Northern US states such as WA, Oregon, Idaho, Montana, North Dakota and Minnesota. The black arrow shows the mean 800hPa-level mean wind for 17 days, and the length of the arrow represents the wind speed in ms⁻¹. Also shown in Figure 2b are wind speeds close to the fire sources which are about $4\sim 5 \text{ ms}^{-1}$, and according to the distances and wind directions, it can take approximately 28~36 hours for the smoke to transport southeastward to Washington state. Then the smoke continues to move east to other northern states such as Montana Formatted: Superscript

251 and North Dakota. In addition, the grey circle represents the total fire radiative power (FRP) of 252 every 2.3×3.5 -degree box. The reason for not choosing a smaller grid for the FRP is to not clutter 253 Figure 2b with information from small fires. The bigger the circle is, the stronger the fire is in that grid and different sizes and its corresponding FRP values are shown in the lower right corner. It is 254 clear that the strongest fires in 2018 are located in the Tweedsmuir Provincial Park of British 255 256 Columbia in Canada (53.333N, 126.417W). The four separate lightning-caused wildfires burnt nearly 301,549 hectares of the boreal forest. The total FRP of August 2018 in Canada is about 257 5362 (*1000 MW), while the total FRP of August 2011 in Canada is 48 (* 1000 MW). The 2011 258 259 fire was relatively weak compared to the 2018 Tweedsmuir Complex fire and we therefore use the 2011 air quality data as a baseline to quantify the 2018 fire influence on PM2.5 in the United 260 States. 261

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4.2 Model Fitting and validation

The main goal for using GWR model is to help predict the spatial distribution of PM2.5 263 for places with no ground monitors while leveraging the satellite AOD and therefore it is important 264 265 to ensure that the model is robust. Figure 3a and 3b show the results for 2018 for GWR model fitting for the entire US and the LOOCV models respectively. The color of the scatter plots 266 represents the probability density function (PDF) which calculates the relative likelihood that the 267 observed ground-level PM2.5 would equal the predicted value. The lighter the color is, the more 268 269 points are present, with a higher correlation. The model fitting process estimates the slope for each variable and therefore the model can be fitted close to the observed PM2.5 and using this estimated 270 relationship we are able to assess surface PM2.5 using other parameters at locations where PM2.5 271 272 monitors are not available. The LOOCV process tests the model performance in predicting PM2.5. 273 If the results of LOOCV has a large bias from the model fitting, then the predictability of the model

is low. Higher R² difference and RMSE difference value indicate that the model is overfitting and 274 not suitable. The R^2 for the model fitting is 0.834, and the R^2 for the LOOCV is 0. 797804; the 275 RMSE for the GWR model fitting is 3.464 $\mu g m^{-3}$, and for LOOCV the RMSE is 3.84 77 $\mu g m^{-3}$. 276 277 There are minor differences between fitting R^2 and validation $R^2(0.0370.036)$ and between fitting RMSE and validation RMSE (0.3760.37 $\mu g m^{-3}$) suggesting that the model is not over-fitting 278 279 and has stable predictability further indicating that the model can predict surface PM2.5 reliably. In addition, we also performed a 20-fold cross validation by splitting the dataset into 20 280 consecutive folds, and each fold is used for validation while the 19 remaining folds form the 281 training set. The 20-fold cross validation has R² of 0.7456 and RMSE of $4.345 \mu g m^{-3}$. The 282 increase/decrease in the cross validated R² and RMSE indicates the importance of sufficient data 283 284 used for fitting since a small decrease in the number of fitting data can reduce the model prediction accuracy. Overall, the prediction error of the model is between $3\sim 5 \ \mu g \ m^{-3}$, which is a reasonable 285 286 error range for 17-day average prediction of PM2.5. For data greater than the EPA standard (35 $\mu g m^{-3}$), the model has a RMSE of 12.07 $\mu g m^{-3}$, which is a lot larger than the whole model 287 RMSE when using the entire model. Therefore, the model has a tendency for underestimating 288 PM2.5 exceedances by around 12.07 $\mu g m^{-3}$. The larger the PM2.5 is, the greater the model 289 290 underestimates.

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4.3 Predictors' influence during wildfires

Table 4 shows the mean and different region coefficients from the GWR model. Boxes are shown
 in figure 4c in different colors: box1 (red) located in Washington state is nearby the fire sources; box2
 (gold) located in Montana state is influenced from both neighboring states and remote smoke from Canada;
 box3 (green) in Minnesota which isstate located further from the fires and has minor increase in PM2.5 due
 to remote smoke; box4 (black) in Pennsylvania state is the furthest from fires and has no obvious pollution

297	increase. By comparing the coefficients in these boxes, predictors have different influence in different
298	locations. AOD has stronger influence on predicting PM2.5 closer to fire sources, but local emissions
299	become more dominant if the distances is large enough. The smoke flag is overall positive related to surface
300	PM2.5, while it could slightly negatively relate to PM2.5 around fire sources and northeastern coasts. PBL
301	isare negatively related to PM2.5 when the pollution is concentrated near thearound surface (fires or human-
302	made emissions), while it appears to be positively related to PM2.5 at locations where the main pollution
303	source comes from remote wildfire smoke. Surface temperature have a relative stable positive correlation
304	with surface PM2.5, however, surface pressure and wind speeds arehave negatively correlatedion with
305	PM2.5. Relative humidity, on the other hand, shows large variations on PM2.5 influence across the nation.
306	Around the wildfires where the RH is relative low, RH has a positive correlation with PM2.5 since
307	hygroscopicity would increase and leads to accumulation of PM2.5, but increasing RH can also decrease
308	PM2.5 concentration by overgrowing the PM2.5 particles to deposition at high RH environment (Chen et
309	al., 2018)

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4.43 Predicted PM2.5 Distribution

The mean PM2.5 distributions over the United States shown in Figure 4a is calculated by 311 averaging the surface PM2.5 data from ground monitors for the 17 days, which matches well with 312 the GWR model-predicted PM2.5 distributions shown in Figure 4b. The model estimation extends 313 314 the ground measurements and provide pollution assessments across the entire nation. Comparing the AOD map (Figure 2b) with the PM2.5 estimations (Figure 4b), demonstrates the differences 315 between columnar and surface-level pollution. Differences between the AOD and PM2.5 316 distributions are due to various reasons including 1) Areas with high PM2.5 concentrations in 317 figure 4b correspond to low AOD values in figure 2b (Southern California, Utah, and southern 318 US); 2) and high AOD regions in figure 2b correspond to low PM2.5 concentrations in figure 4b 319 320 (Minnesota). The first situation usually occurs at the edge of polluted areas that are relative far

14 | Page

321	from the fire source, which is consistent with previous studies that reported smaller particles (<10	
322	μg) are able to travel longer distances compared to large particles (>10 μg) (Gillies et al., 1996),	
323	and that lager particles tend to settle closer to their source (Sapkota et al., 2005; Zhu et al., 2002).	
324	We use the same method for August 9 th to August 25 th in 2011 that had low fire activity,	
325	ensuring consistency for estimating coefficients for different variables for 2011. Figure 4c shows	
326	the difference in spatial distribution of mean ground PM2.5 of the 17 days between 2018 and 2011.	
327	High values of PM2.5 differences are in the Northwestern and central parts of the United States	
328	with the Southern states having very little impact due to the fires. Of all the 48 states within the	
329	study region, there are 29 states that have a higher PM2.5 value in 2018 than 2011, and 15 states	
330	have 2018 PM2.5 value more than two times their 2011 value (shown in table 3 figure 5). The mean	
331	PM2.5 for WA increases from 5.87 in 2011 to $47.146.47 \ \mu g \ m^{-3}$ in 2018, which is about 8 times	
332	more than 2011 values. The PM2.5 values in Oregon increases from 4.97 (in 2011) to 33.34	
333	$\mu g m^{-3}$ in 2018, which is nearly seven times more than in 2011. For states from Montana to	
334	Minnesota, the mean PM2.5 decreases from east to west, which reveals the path of smoke	
335	transport. As shown in Figure 4c, there is a clear transport path of smoke from North Dakota all	
336	the way to Texas. Along the path, smoke increases PM2.5 concentrations by 173168% in North	
337	Dakota and 276.2% in Texas. Smoke aerosols transported over long distances contains fine	
338	fraction PM which significantly affect the health of children, adults, and vulnerable groups.	
339	Figure 5 shows the mean PM2.5 predicted from the GWR model of different EPA regions	

Figure 5 shows the mean PM2.5 predicted from the GWR model of different EPA regions 339 for the 17 days in 2011 and 2018 (Hawaii and Alaska are not included). The most influenced region 340 is region 10, which has a 2018 mean PM2.5 value of $34.27 \ \mu g \ m^{-3}$ that is 6 times larger than the 341 values in 2011 (5.8 $\mu g m^{-3}$) values. The PM2.5 of region 8 and 9 have 2.7-4 and 2.65 times 342 increase in 2018 compared to 2011. Region 1~4 have lower PM2.5 in 2018 than 2011 possibly 343

due to Clean Air Act initiatives, absence of any major fire activites and further away for transported aerosols. The emission reduction improves the US air quality and lower the PM2.5 every year, but 6 out of 10 EPA regions show significant increases in PM2.5 during the study period, which indicates that the long-range transported wildfire smoke has become the new major pollutant in the US.

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4.54 Estimation of Canadian fire pollution

To evaluate the pollution caused only from Canadian fires, we did a rough assessment 350 according to the total FRP and PM2.5 values. There are three states in the US have wildfires during 351 the study period: California, Washington and Oregon, and they have total FRP of 1186, 518 and 352 439 (*1000 MW) respectively. Assuming that California was only influenced by the local fires, 353 then fires of 1186 (*1000 MW) cause 13 $\mu g m^{-3}$ increase in PM2.5. Accordingly, wildfires in 354 Washington and Oregon State will cause 6 and 5 $\mu q m^{-3}$ increase in state mean PM2.5. Therefore, 355 Canadian fires caused PM2.5 increase in Washington and Oregon is about 35 and 23 $\mu q m^{-3}$. 356 Since the FRP of Canadian wildfires are approximately 5 times larger than that of the California 357 fires, which is the strongest fire in US, we assume the pollution affecting the states located in the 358 downwind directions other than the three states are mainly coming from Canadian wildfires. States 359 with no local fires such as Montana, North Dakota, South Dakota and Minnesota have PM2.5 360 increase of 18.31, 12.8, 10.4 and 10.13 $\mu g m^{-3}$. The decrease of these numbers reveal that the 361 smoke is transport in a SE direction. This influence of Canadian wildfires on US air quality is only 362 363 a rough quantity estimation, thus additional work is needed for understand long-range transport smoke pollution and its impact on public health. One way to do this would be assessing the 364 difference of pollution by turning on and off US fires in chemistry models. 365

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4.64 Model uncertainties and limitations

367 There are various sources of uncertainties and limitations for studies that use satellite data 368 to estimate surface PM2.5 concentrations. Since wildfires develop quickly it is important to have continuous observations to capture the rapid changes. This study uses polar orbiting high-quality 369 satellite aerosol products, but the temporal evolution can only be estimated by geostationary data 370 sets. Although satellite observations have excellent spatial coverage, missing data due to cloud 371 372 cover is a limitation. As discussed in the paper, the prediction error (RMSE) of the model is between $3 \sim 5 \ \mu g \ m^{-3}$. The GWR model is largely influenced by the distribution of ground stations, 373 and the prediction error will be different in different places due to unevenly distributed PM2.5 374 375 stations. For locations that have a dense ground-monitoring distribution, the prediction error will be low, while the prediction error will be relative larger at other places with sparse surface stations. 376 377 Although there are obvious limitations, complementing surface data with satellite products and meteorological and other ancillary information in a statistical model like the GWR has provided 378 robust results for estimating surface PM2.5 from wildfires. We also note that we did not consider 379 some variables used in other studies such as NDVI, forest cover, vegetation type, industrial 380 density, visibility and chemical constituents of smoke particles (Donkelaar et al., 2015; Hu et al., 381 382 2013; You et al., 2015; Zou et al., 2016). While Land cover and land use information can improve 383 PM2.5 estimation predictability, redundant information such as NDVI can cause overfitting of 384 models. Therefore, in order to control the number of predictors used in the GWR model, we use 385 only one piece of land cover information. However, which of the land cover and land use information performs better in predicting surface PM2.5 is still to be assessed in the future. 386 Visibility mentioned in some studies may improve the model performance, but unlike AOD, it has 387 388 limited measurement across the nation, which will restrict the applicability of training data.

One limitation of this study is that analysis based on 17-day mean values cannot capture
 daily pollution variations, which is also very important for pollution estimation during rapid changing wildfire events. To extend this analysis to daily estimation, the cloud contaminations of
 satellite observations become a major problem. Therefore, future work is needed using chemistry
 transport models and other data to fill in the gaps on missing AOD data due to cloud coverage.

394 5. Summary and Conclusions

We estimate the surface mean PM2.5 for 17 days in August for a high fire active year 395 (2018) and compare that with a low fire activity year using the Geographically Weighted 396 Regression (GWR) method to assess the increase in PM2.5 in the United States due to smoke 397 transported from fires. The difference in PM2.5 between the two years indicates that more than 398 399 half of the US states (29 states) are influenced by the NWUSC wildfires, and half of the affected states have 17-day mean PM2.5 increases larger than 100% of the baseline value. The peak PM2.5 400 during the wildfires can be much larger than the 17-day average and can affect vulnerable 401 populations susceptible to air pollution. Some of the most affected states are in Washington, 402 California, Wisconsin, Colorado and Oregon, all of which have populations greater than 4 million. 403 According to CDC (Centers for Disease Control and Prevention), 8% of the population have 404 asthma (CDC, 2011). Therefore, for asthma alone, there are about 3 million people facing 405 significant health issue due to the long-range transport smoke in these states. 406

For states that show decrease in PM2.5 due to the Clean Air Act, the mean decrease is
about 16% of the baseline after 7 years. This is consistent with EPA's report that there is a 23%
decrease of PM2.5 in national average from 2010 to 2019(U.S. Environmental Protection Agency,
2019). Comparing with the dramatic increase (132%) caused by wildfires, pollution from the fires
is counteracting our effort on emission controls. Although wildfires are often episodic and short18 | P a g e

412	term, high frequency of fire occurrence and increasing longer durations of summertime wildfires	
413	in recent years has made them now a long-term influence on public lives. Our results show a	
414	significant increase of pollution in a short time period in most of the US states due to the NWUSC	
415	wildfires, which affects millions of people. With wildfires becoming more frequent during recent	
416	years, more effort is needed to predict and warn the public about the long-range transported smoke	
417	from wildfires.	
418	This study is novel in: 1) applying PM2.5 estimation methods on wildfire events and	Formatted: Indent: First line: 0.5"
419	ealculate the prediction error at high pollution concentration condition; 2) analyzing predictors'	
420	different influences in estimating PM2.5 under various conditions; 3) quantify the air pollution	
421	from fires by states and EPA regions.	
422	Acknowledgements.	
423	Pawan Gupta was supported by a NASA Grant. MODIS data were acquired from the Goddard	
424	DAAC. We thank all the data providers for making this research possible.	
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587 Table 1. Datasets used in the study with sources.

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				Spatial	Temporal		
		Data /Model	Sensor	Resolution	Resolution	Accuracy	
	1	Surface PM2.5	TEOM	Point data	daily	±5~10%	-
	2	Mid visible aerosol				66% compared	
		optical depth (AOD)	MAIAC_MODIS	1km	daily	to AERONET	
	3	Fire Radiative Power	Terra/Aqua-				
		(FRP)	MODIS	1km	daily	± 7%	
	4	ECMWF					-
		(Meteorological					
		variables)		0.25 degree	hourly		
	5	Land cover	MERIS SR	300m	Annual	4	Formatted Table
589)	1) <u>https://www.epa.</u>	gov/outdoor-air-qualit	y-data		-	1
590)	2) <u>https://earthdata.r</u>	<u>asa.gov/</u>				
591	-	3) <u>https://earthdata.r</u>	asa.gov/				
592	2	4) <u>https://www.ecm</u>	wf.int/en/forecasts				
593	5	5) <u>https://www.esa-l</u>	andcover-cci.org				
594	Ļ	6) <u>https://sedac.cies</u> i	n.columbia.edu/data/data/data/data/data/data/data/da	collection/gpw-v4	Ŀ		
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598

Table 2. Total FRP in Canada and Northwestern US in August of Different Years (unit: 10⁴

599

MW)

Year	2010	2011	2012	2013	2014	2015	2016	2017	2018
CA	148.24	4.84	19.93	70.54	107.78	10.39	4.6	307.3	542.99
NW US	16.41	42.84	320.39	192.06	67.01	339.58	112.9	195.64	296.91

600

601 Table 3. statistics of 15 states that violate EPA standards (35 $\mu g m^{-3}$) during the 17-day wildfire 602 period

	number of site	number of site	Percentage of site	number of days
<u>State</u>	violate standard	in the state	violate standard (%)	violate standard
<u>Montana</u>	<u>14</u>	<u>15</u>	<u>93.34</u>	<u>16</u>
<u>Washington</u>	<u>18</u>	<u>20</u>	<u>90</u>	<u>16</u>
<u>Oregon</u>	<u>12</u>	<u>14</u>	<u>85.71</u>	5
North Dakota	<u>7</u>	<u>11</u>	<u>63.63</u>	4
<u>Idaho</u>	5	8	<u>62.5</u>	8
<u>Colorado</u>	<u>11</u>	<u>21</u>	52.38	2
South Dakota	5	<u>10</u>	<u>50</u>	<u>1</u>
<u>California</u>	<u>57</u>	<u>119</u>	<u>47.9</u>	<u>14</u>
<u>Utah</u>	<u>7</u>	<u>15</u>	46.67	4
<u>Nevada</u>	<u>4</u>	<u>13</u>	<u>30.77</u>	<u>1</u>
Wyoming	<u>7</u>	<u>24</u>	<u>29.2</u>	2
<u>Minnesota</u>	4	<u>26</u>	<u>15.4</u>	2
<u>Texas</u>	3	<u>37</u>	<u>8.1</u>	<u>1</u>
Louisiana	<u>1</u>	<u>14</u>	<u>7.1</u>	<u>1</u>
Arizona	1	20	5	<u>1</u>

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604 <u>Table 4. Coefficients of different predictors</u>

		<u>Smoke</u>					
	AOD	flag	PBL	<u>T2M</u>	<u>RH</u>	<u>U</u>	<u>SP</u>
box1(red)	<u>92.01</u>	<u>-0.13</u>	<u>-1.5</u>	<u>0.2</u>	<u>-0.01</u>	<u>-1.6</u>	<u>-0.037</u>
box2(gold)	<u>63.97</u>	0.002	-2.86	0.09	-0.11	-1.5	-0.02
box3(green)	<u>5.9</u>	0.044	0.3	0.16	0.017	-0.2	-0.015
box4(black)	<u>6.72</u>	-0.02	<u>-1.3</u>	0.28	-0.03	0.13	-0.007
mean	<u>28.1</u>	<u>0.02</u>	<u>-0.89</u>	<u>0.06</u>	<u>-0.19</u>	<u>-0.67</u>	-0.002

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Table 3. Mean PM2.5 from August 9th to August 25th in 2018 and 2011 of different states

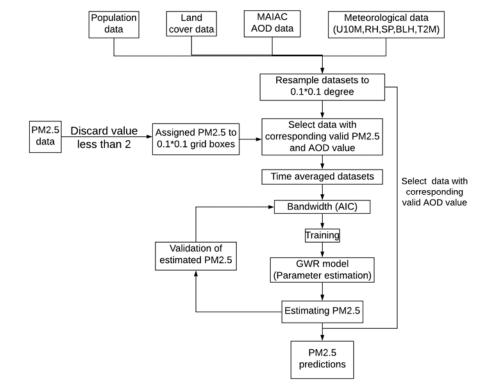
State	2018	2011	
₩A	47.0	5.874	
OR	33.10	4.97	
Đ	26.26	6.79	
MT	25.86	7.55	
CA	21.22	7.66	
ND.	20.20	7.41	
₩¥	<u>17.92</u>	5.51	
<u>SD</u>	<u>17.72</u>	7.31	
MN	16.41	6.27	
₩¥	15.71	6.59	
NE	15.69	6.81	
UT	14.87	6.51	
łA	14.73	7.87	
KS	14.13	6.84	
AR	13.92	11.59	
₩1	13.70	6.26	
OK	13.53	9.26	
MO	13.25	9.64	
LA	13.24	13.07	
HL.	12.98	11.36	
MS	12.86	13.67	
CO	12.31	6.07	
MI	11.97	6.82	
TX	11.70	9.27	
TN	11.66	14.39	
AL	11.65	<u>14.97</u>	
łN	11.50	12.51	
KY	11.02	13.19	
ĐC	10.65	13.16	
NJ	10.56	9.76	
ĐE	10.37	11.16	
GA	10.22	14.02	
CT	10.20	9.74	
OH	10.14	11.84	
FL	10.13	10.68	
MD	10.07	12.59	
NM	<u>9.842</u>	6.03	
SC	9.829	12.66	
PA	9.75	12.64	
NC	9.67	12.44	

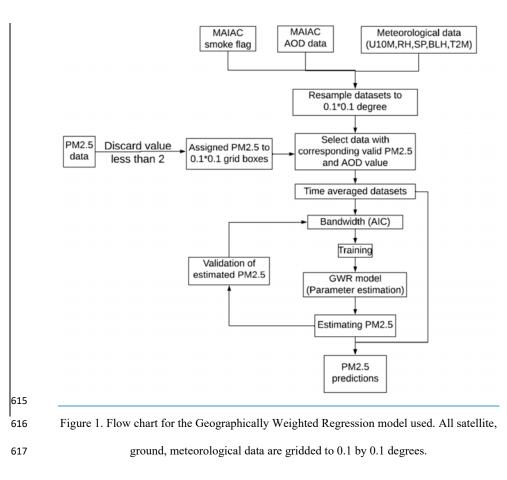
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RI	9.633	8.602
MA	9.56	9.413
₩A	9.38	13.74
NY	9.33	<u>9.731</u>
₩₩	9.28	13.58
NH	<u>9.11</u>	9.33
AZ	9.08	7.00
VT	8.96	9.34
ME	7.972	10.52

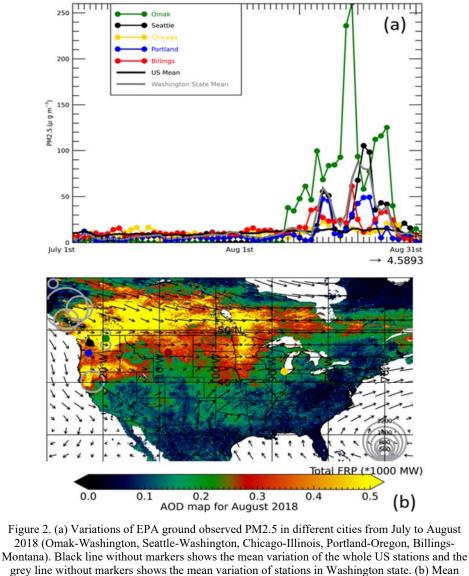
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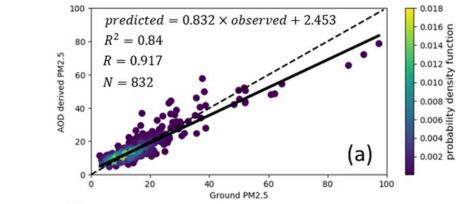
618



Montana). Black line without markers shows the mean variation of the whole US stations and the
grey line without markers shows the mean variation of stations in Washington state. (b) Mean
MAIAC satellite AOD distribution from August 9th to August 25th, 2018. AOD values equal or
larger than 0.5 are shown as the same color (yellow). Also shown are circles with Fire Radiative
Power (FRP). Black arrow shows the wind direction and the length of it represents the wind
speed. The round spots of different colors on the map show the locations of the five selected
cities (green-Omak, black-Seattle, yellow-Chicago, blue-Portland, red-Billings).

34 | Page

621 622



 $predicted = 0.798 \times observed + 2.914$

40 60 Ground PM2.5 0.016

0.014 Unction

0.010 010.0 density

0.000 0.000 0.002 0.002

(b)

100

80

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631

100

80

60

40

20

0

0

AOD derived PM2.5

 $R^2 = 0.804$

R = 0.897

20

N = 832

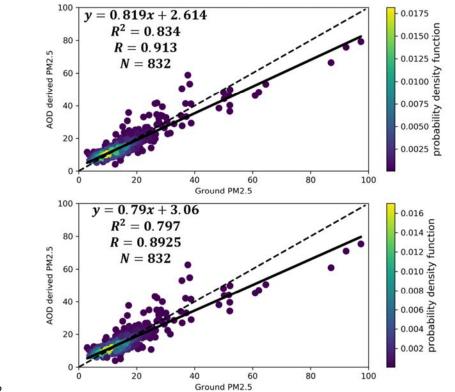
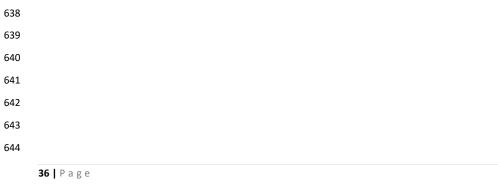
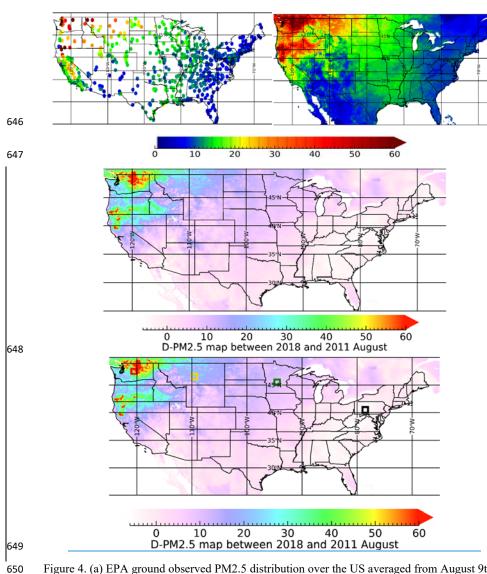


Figure 3. Results of model fitting and cross validation for GWR model for the entire US region
averaged from August 9th to August 25th, 2018. (a) GWR model fitting results (b) GWR model
LOOCV results. The dash line is the 1:1 line as reference and the black line shows the regression
line. The color of the scatter plots represents the probability density function which provides a
relative likelihood that the value of the random variable would equal a certain sample.

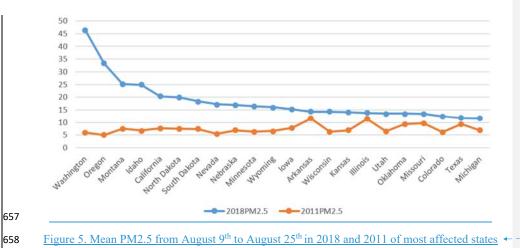




645

Figure 4. (a) EPA ground observed PM2.5 distribution over the US averaged from August 9th to August 25th, 2018. (b) GWR predicted 17-day mean PM2.5 distribution. (c) Difference map of predicted ground PM2.5 of the 17-day mean values between 2018 and 2011. PM2.5 values equal or larger than $30 \ \mu g \ m^{-3}$ are shown as the same color (red). Note that the D-PM2.5 has a different color scale to make the negative values more apparent (blue).

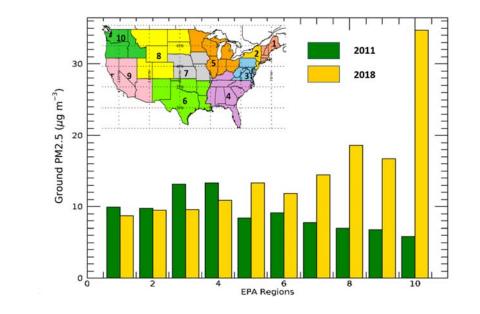
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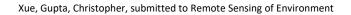


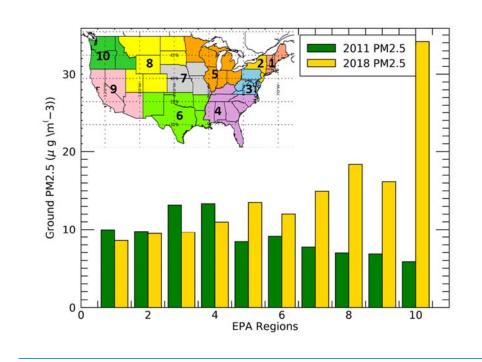
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661

Figure 65. Mean PM2.5 of EPA regions from August 9th to August 25th in 2011 and 2018. Inset
 shows the map of 10 EPA regions in different colors. Yellow column represents the 2018 mean
 PM2.5 and green column represents for 2011 mean PM2.5.