

**Disparities in particulate matter (PM<sub>10</sub>) origins and oxidative potential at a city-scale (Grenoble, France) - Part I: Source apportionment at three neighbouring sites**

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**Abstract.** A fine-scale source apportionment of PM<sub>10</sub> was conducted in three different urban sites (background, hyper-center, and peri-urban) within 15 km of the city in Grenoble, France using Positive Matrix Factorization (PMF 5.0) on measured chemical species from collected filters (24-hr) from February 2017 to March 2018. To improve the PMF solution, several new organic tracers (3-MBTCA, pinic acid, phthalic acid, MSA, and cellulose) were additionally used in order to identify sources that are commonly unresolved by classic PMF methodologies. [An 11-factor solution was obtained in all sites including commonly identified sources from primary traffic \(13%\), nitrate-rich \(17%\), sulfate-rich \(17%\), industrial \(1%\), biomass burning \(22%\), aged sea salt \(4%\), sea/road salt \(3%\), and mineral dust \(7%\), and the newly found sources from primary biogenic \(4%\), secondary biogenic oxidation \(10%\), and MSA-rich \(3%\).](#) ~~An 11-factor solution was obtained in all sites including commonly identified sources from primary traffic, nitrate-rich, sulfate-rich, industrial, biomass burning, aged sea salt, sea/road salt, and mineral dust, and the newly found sources from primary biogenic, secondary biogenic oxidation, and MSA-rich.~~ Generally, the chemical species exhibiting similar temporal trends and strong correlations showed uniformly distributed emission sources in the Grenoble basin. The improved PMF model was able to obtain and differentiate chemical profiles of specific sources even at high proximity of receptor locations confirming its applicability in a fine-scale resolution. In order to test the similarities between the PMF-resolved sources, the Pearson distance and standardized identity distance (PD-SID) of the factors in each site were compared. [The PD-SID metric determined whether a given source is homogeneous \(i.e. with similar chemical profiles\) or heterogeneous over the 3 sites.](#) ~~The PD-SID metric determined homogeneous sources (biomass burning, primary traffic, nitrate-rich, sulfate-rich, primary biogenic, MSA-rich, aged sea salt, and secondary biogenic oxidation) and heterogeneous sources (industrial, mineral dust, and sea/road salt) across different urban sites,~~ thereby allowing to better discriminate localized characteristics of specific sources. Overall, the addition of the new tracers allowed the

identification of substantial sources (especially in the SOA fraction) that would not have been identified or possibly mixed with other factors, resulting in an enhanced resolution and sound source profile of urban air quality at a city scale.

## 1 Introduction

Atmospheric aerosols, or particulate matter (PM), are complex mixtures of particles from direct and indirect emissions (e.g., gas-to-particle conversion processes) that are from natural and anthropogenic sources in the atmosphere (Wilson and Spengler, 1996). The growing interest in ambient aerosol studies is driven by their impacts on health, air quality, and global climate (Colette et al., 2008; Horne and Dabdub, 2017; McNeill, 2017; Shiraiwa et al., 2017). Numerous epidemiological studies have established consistent associations between PM and various health diseases, especially cardiorespiratory illnesses (Brunekreef, 2005; Franchini and Mannucci, 2009; Langrish et al., 2012; Ostro et al., 2011; Willers et al., 2013). Once inhaled, PM notably have the capacity to generate reactive oxygen species (ROS), which leads to pro-inflammatory responses that can ultimately result in apoptosis (Ayres et al., 2008; Jin et al., 2018; Nel, 2005; Piao et al., 2018; Yang et al., 2018). Investigating the PM oxidative potential (OP) in light of their major emission sources at various urban environments can then provide valuable information to instigate air pollution abatement policies limiting health outcomes. However, spatially-resolved PM source apportionment at a city-scale remains a challenging task (Dai et al., 2020b, 2020a; Pandolfi et al., 2020).

Receptor models demonstrated their ability to extract information by variable reduction techniques, especially in large datasets, in different branches of scientific research. In particular, the Positive Matrix Factorization (PMF) model is widely used in many studies to determine the contribution of emission sources in PM, based on the characterization of chemical tracers in a series of PM samples (Belis et al., 2014, 2020; Hopke, 2016; Pindado and Perez, 2011; Saeaw and Thepanondh, 2015; Weber et al., 2019). The option of refining source profiles by adding constraints have further improved the accuracy of identifying sources (Charron et al., 2019; Marmur et al., 2007; Weber et al., 2019; Zhu et al., 2018), especially when specific chemical species and unique tracers are included (Bullock et al., 2008; Wang et al., 2017a; Yan et al., 2017; Zhang et al., 2010)(Bullock et al., 2008). In fact, the PMF model has shown good strengths in both rural and urban environments (Pindado and Perez, 2011; Schauer and Cass, 2000), however, there are limited studies in cities at a fine-scale resolution that allows the assessment of local variabilities in a metropolitan area.

The city of Grenoble (France), with a complex topography and marked seasonal cycles of particulate pollution, offers interesting opportunities to explore the capability of PMF to resolve both the small spatial and large temporal scales of variabilities of the contribution of PM sources with the possibility of using additional tracers. Specific meteorological conditions, topography, and local sources impact the local PM chemistry in the atmosphere thereby requiring additional sources to properly scrutinize these local variations in urban environments. Further, previous works were already conducted in the area using extended PMF (Srivastava et al., 2018b; Weber et al., 2019), providing useful benchmark indicators.

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The application of PMF requires to accurately consider a wide range of chemical components in PM, particularly for its organic fraction (Seinfeld and Pankow, 2003), consisting of complex mixtures especially in urban environments (Schauer and Cass, 2000; Zheng et al., 2004). In fact, around 80% of organic matter (OM) generally remains unidentified at the molecular level (Chevrier, 2016; Golly et al., 2019) resulting in misclassification or several un-apportioned sources of PM<sub>10</sub>. Additionally, the difference in formation pathways of PM components may limit the identification of sources of PM, especially the secondary organic carbon (SOC) fraction, without the use of relevant organic tracers (Srivastava et al., 2018b; Wang et al., 2017a). Different organic tracers have already been integrated in previous PMF studies, allowing to resolve specific sources of organic aerosols that cannot be easily identified, such as primary biogenic aerosols and products of secondary processes in the atmosphere (Waked et al., 2014; Belis et al., 2019; Golly et al., 2019; Hu et al., 2010; Weber et al., 2019). In particular, Srivastava et al., 2018b was able to differentiate between different types of primary and secondary organic fractions at a Grenoble urban background site, after analysing about 150 organic markers (and selecting 25 of them for the final PMF run). Such studies are highly labour-intensive and often require the use of costly analytical devices and methods, whereas some of the missing key molecular markers might still be obtained using simpler and/or more targeted techniques. Moreover, the usefulness of these organic tracers in PMF analysis requires extensive methodological exploration, in terms of their applicability as source tracers considering the much lower variability of their concentrations compared to other traditional tracers. In this paper, we present results of a study conducted over one year at three sites within 15 km of each other in the Grenoble metropolitan area within the framework of the Mobil'Air project (available in <https://mobilair.univ-grenoble-alpes.fr/>, last access: 02 November 2020). The sources of PM<sub>10</sub> were apportioned considering major chemical components contributing to the PM mass, including organic and elemental carbon, ions, a condensed set of commonly-used organic markers (anhydride monosaccharides, polyols, MSA), and metals. Additional fit-for-purpose tracers, including free cellulose and several organic acids, were also added in the PMF input datasets to tackle specific sources that are difficult to discriminate using a traditional PMF dataset only. Results obtained from this improved PMF analysis were then use to investigate the spatial and seasonal variabilities in the source contributions for different urban typologies inside a metropolitan area. The overall outputs of this study could be of interest to policy makers in providing vital information for designing effective particulate matter control strategies including the setup of low emission zones and an opportunity to acquire more knowledge about the associations of these emissions to other emerging health-based metrics (e.g., OP of PM) at a city scale as presented in the companion paper (Borlaza et al., 2021)(Borlaza et al., in prep).

## 2 Methodology

### 2.1 PM<sub>10</sub> sample collection

The metropolitan area of Grenoble, regarded as the capital of the French Alps, has a population of about 440,000 inhabitants. The city itself presents a low altitude range (between 204 and 600 meters above sea level [or masl](#)) but is located in an alpine

environment (Figure 1), surrounded by several mountain ranges, including Chartreuse (north), Vercors (south and west), and Belledonne (east). These mountains restrict the movement of air heavily affecting the local meteorology and favouring the development of atmospheric temperature inversions with entrapment of pollutants in the valley, particularly in the winter (Bessagnet et al., 2020). [The topography within the Grenoble basin and seasonality of particulate air pollution in the city makes it an ideal location to explore both the small- and large-scale variabilities of PM sources.](#) During this study, a PM<sub>10</sub> sampling campaign was conducted in the Grenoble area at three sites selected to represent various urban typologies, including: Les Frênes (LF, urban background site, [214 masl](#)), Caserne de Bonne (CB, urban hyper-center, [212 masl](#)), and Vif (peri-urban area, [310 masl](#)). These sites are all within a 15-km range from the city center. LF is a long-standing reference urban background site for the regional air quality monitoring network (Atmo Auvergne Rhône-Alpes), nearby a park at the outer fringe of the city. Vif is a peri-urban site, with suburban housings close to rural areas. However, this site could potentially receive industrial emissions from a nearby chemical industrial area (<6 km) in the air flux within this North – South valley. Substantial influence of biogenic emissions could also be expected as this site is in-between the foot of Vercors and Belledonne national parks. Lastly, while in a pedestrian area, the site of CB is in the hyper-center of Grenoble and exposed to traffic emissions from the nearby boulevards.

The daily (24-h) PM<sub>10</sub> sampling collection was conducted from February 28, 2017 to March 10, 2018 (starting at 00:00 local time) with an average 3-day sampling interval. A total of 125, 127 and 127 samples were collected during this year-long campaign at LF, CB, and Vif, respectively. The PM<sub>10</sub> collection was performed using high volume samplers (Digitel DA80, 30 m<sup>3</sup> h<sup>-1</sup>) onto 150 mm-diameter pure quartz fibre filters (Tissu-quartz PALL QAT-UP 2500 diameter 150 mm). All filter handling procedures of filters were strictly under quality control assurance procedures to avoid any possible contamination. In particular, filters were preheated at 500 °C for 12 hours before use to avoid organic contamination. At least 20 field blank filters were collected at each site to determine detection limits (DL) and to check for the absence of contamination during sample transport, setup, and recovery. After particle collection, filter samples were wrapped in aluminium foil, sealed in zipper plastic bags, and stored at <4 °C until further chemical analysis. Complementary measurements at the sampling sites notably included the total PM<sub>10</sub> mass concentration measured using tapered element oscillating microbalances equipped with filter dynamics measurement systems (TEOM-FDMS) (Grover, 2005).

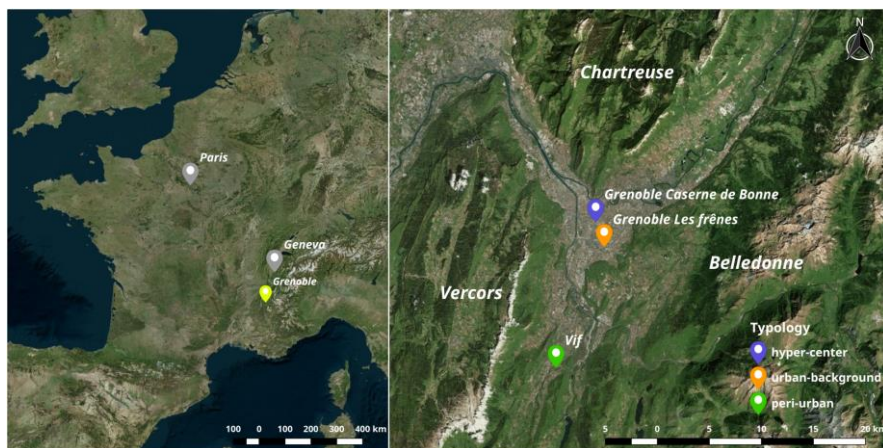


Figure 1: Grenoble, the city where the sampling was made, placed on a European Map (left), and PM monitoring sites (right): Les Frênes or LF (background), Caserne de Bonne or CB (hyper-center), and Vif (peri-urban). Image credit: Bing™ Aerial. © Microsoft

## 2.2 Classical set of chemical analyses

Sampled filters were subjected to various chemical analyses for the quantification of the major chemical constituents and specific chemical tracers of sources needed for PMF studies.

The carbonaceous fractions (organic carbon (OC) and elemental carbon (EC)) were analysed with a Sunset Lab analyser (Aymoz et al., 2007; Birch and Cary, 1996) using the EUSAAR2 thermo-optical protocol (Cavalli et al., 2010). Total organic matter (OM) in daily ambient aerosols were estimated by multiplying the OC mass by a fixed conversion factor of 1.8 based on findings obtained from previous studies (Favez et al., 2010; Putaud et al., 2010).

A solid/liquid extraction was performed on 11.34 cm<sup>2</sup> punches soaked in a 10 ml of ultra-pure water under vortex agitation for 20 minutes. The extract was then filtered with a 0.25 µm porosity Acrodisc (Milipore Millex-EIMF) filter. The major ionic components were measured by ion chromatography (IC) following a standard protocol described in Jaffrezou et al. (1998) and Waked et al. (2014), using an ICS3000 dual channel chromatograph (Thermo-Fisher) with AS11HC column for the anions and CS12 for the cations. This technique allowed the quantification of sodium (Na<sup>+</sup>), ammonium (NH<sub>4</sub><sup>+</sup>), potassium (K<sup>+</sup>), magnesium (Mg<sup>2+</sup>), calcium (Ca<sup>2+</sup>), chloride (Cl<sup>-</sup>), nitrate (NO<sub>3</sub><sup>-</sup>), sulfate (SO<sub>4</sub><sup>2-</sup>), and methane sulfonic acid (MSA).

Furthermore, anhydro-sugars and saccharides were analysed by a High Performance Liquid Chromatography with Pulsed Amperometric Detection (HPLC-PAD), using a Thermo-Fisher ICS 5000<sup>+</sup> HPLC equipped with 4 mm diameter Metrosep Carb 2×150 mm column and 50 mm pre-column in isocratic mode with 15% of an eluent of sodium hydroxide (200 mM) and sodium acetate (4 mM) and 85% water, at 1 ml min<sup>-1</sup>. This method notably allowed the quantification of anhydrous saccharides

142 (levoglucosan and mannosan), polyols (arabitol and mannitol), and glucose as tracers of biomass burning and primary biogenic  
143 aerosols (Samaké et al., 2019a; Waked et al., 2014)(Samake et al., 2018; Waked et al., 2014).

144 Finally, major and trace elements were analysed after mineralization of a 38 mm diameter punch of each filter, using 5 ml of  
145 HNO<sub>3</sub> (70%) and 1.25 ml of H<sub>2</sub>O<sub>2</sub> during 30 minutes at 180 °C in a microwave oven (microwave MARS 6, CEM). The analysis  
146 of 18 elements (Al, As, Ba, Cd, Cr, Cu, Fe, Mn, Mo, Ni, Pb, Rb, Sb, Se, Sn, Ti, V, and Zn) was performed on this extract using  
147 inductively coupled plasma mass spectroscopy (ICP-MS) (ELAN 6100 DRC II PerkinElmer or NEXION PerkinElmer) in a  
148 way similar to that described by (Alleman et al., 2010).

149 [The procedures for filter sampling and chemical analyses have been performed following the recommendations of related EN](#)  
150 [standards \(i.e., EN 12341, EN 14902, EN 16909, EN 16913\) \(Favez et al., 2021\). Moreover, quality control of the chemical](#)  
151 [speciation analyses includes chemical mass closure as presented in the supplementary information \(S2\). It should also be](#)  
152 [noted that our group successfully participates in regular inter-laboratory comparison exercises for OC and EC within ACTRIS](#)  
153 [and in EMEP \(European Monitoring and Evaluation Programme\) for ions analysis.](#)

## 154 2.3 Additional set of analyses of organic tracers

### 155 2.3.1 Organic acids

156 The analysis of a large array of organic acids (including pinic and phthalic acids, and 3-MBTCA) was conducted using the  
157 same water extracts as for IC and HPLC-PAD analyses. In brief, this was performed by HPLC-MS (GP40 Dionex with a LCQ-  
158 FLEET Thermo-Fisher ion trap), with negative mode electrospray ionization. The separation column is a Synergi 4 µm Fusion  
159 – RP 80A (250×3 mm ID, 4 µm particle size, from Phenomenex). An elution gradient was optimized for the separation of the  
160 compounds, with a binary solvent gradient consisting of 0.1% formic acid in acetonitrile (solvent A) and 0.1% aqueous formic  
161 acid (solvent B) in various proportions during the 40-minute analytical run. Column temperature was maintained to 30 °C.  
162 Eluent flow rate was 0.5 ml min<sup>-1</sup>, and injection volume was 250 µl. Calibrations were performed for each analytical batch  
163 with solutions of authentic standards. All standards and samples were spiked with internal standards (phthalic-3,4,5,6-d<sup>4</sup> acid  
164 and succinic-2,2,3,3-d<sup>4</sup> acid). The calculation of the final atmospheric concentrations was corrected with the concentrations of  
165 internal standards and of the procedural blanks, taking also into account the extraction efficiency varying between 76-116%  
166 (depending on the acid).

### 167 2.3.2 Cellulose

168 The concentration of cellulose within PM<sub>10</sub> samples was quantified based on a protocol improving the procedure proposed by  
169 Kunit and Puxbaum (1996). Cellulose was extracted from the filter in an aqueous solution, which was then processed in several  
170 solutions of enzymes in order to break-down the cellulose into glucose units. Resulting glucose concentration was quantified  
171 using an HPLC-PAD technique. To do so, a 21 mm diameter punch was first extracted for 40 minutes using an ultrasound bath  
172 in 3 ml of an aqueous solution with thymol buffer (pH 4.8). Then two enzymes solutions (cellulase (Sigma Aldrich, C2730)

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with 20 µl of an aqueous solution at 70 units g<sup>-1</sup>) and glucosidase (Sigma Aldrich, 49291), with 60 µl of an aqueous solution at 5 units g<sup>-1</sup>) are added into the solution. The solution was then incubated at 50 °C for 24 hours for the hydrolysis to occur. The hydrolysis is stopped by placing the solution in an oven at 100 °C for 45 minutes. The solution was then centrifuged (7000 rpm) for 15 minutes, and carefully extracted out using a syringe before being analysed with an HPLC-PAD instrument. The procedural blanks are greatly improved when the enzymes stock solutions are filtered to lower their glucose content. This is performed with a series of cleaning steps (n=10) by tangential ultrafiltration in a Vivaspin 15R tube at 7000 rpm in Milli-Q water.

The HPLC-PAD (Dionex DX500) is equipped with a Methrom column (250 mm long, 4 mm diameter), with an isocratic run of 40 minutes with the eluents A (50%, 18mM NaOH), B (25%, 100 mM NaOH + 150mM NaAc), and C (25%, 220 mM NaOH). Column temperature is maintained at 30 °C. Eluent flow rate is 1 ml min<sup>-1</sup>, and injection volume is 250 µl. Each analytical batch also includes standard glucose solutions as well as standard cellulose solutions (using 20 µm beads, Sigma Aldrich, S3504) that have been processed like the real samples in order to determine the specific efficiency of the cellulose-to-glucose enzymatic conversion for each batch. The final calculation of the atmospheric concentration of the free cellulose takes this conversion efficiency into account. It varied according to the batch, generally ranging from 65–80%. The calculation of the cellulose concentration also takes into account the initial concentrations of atmospheric glucose of each sample, determined in parallel with the HPLC-PAD analysis of sugars and polyols as described above. Finally, field and procedural blanks are also taken into account.

2.4 Source apportionment

2.4.1 PMF input dataset

Source apportionment of PM<sub>10</sub> was conducted using the United States Environmental Protection Agency (US-EPA) software PMF 5.0 (Norris et al., 2014), aiming at the identification and quantification of the major sources of PM<sub>10</sub> for the three urban sites in the Grenoble basin. Briefly, PMF is based on the factor analysis technique (Paatero and Tapper, 1994) applying a weighted least-squares fit algorithm allowing the resolution of Eq. S1 (see supplementary information (SI)). In our study, 35 chemical species were used as input variables, namely OC\*, EC, ions (Na<sup>+</sup>, K<sup>+</sup>, NH<sub>4</sub><sup>+</sup>, Mg<sup>2+</sup>, Ca<sup>2+</sup>, NO<sub>3</sub><sup>-</sup>, SO<sub>4</sub><sup>2-</sup> and Cl<sup>-</sup>), trace metals (Al, As, Cd, Cr, Cu, Fe, Mn, Mo, Ni, Pb, Rb, Sb, Se, Sn, Ti, V and Zn) and organic tracers (MSA, levoglucosan, mannosan, polyols (sum of arabitol and mannitol), pinic acid, 3-MBTCA, phthalic acid, and cellulose), as summarized in Table S1 in SI. We assumed that arabitol and mannitol originated from the same source, and hence combined them into one component labelled as “polyols” (Samaké et al., 2019b)(Samaké et al., 2019). In order to avoid double counting of carbon mass, OC\* was calculated as the difference between total OC and the quantity of C atoms contained in the different organic markers include in the PMF input data matrix (as detailed in Eq. S2).In order to avoid double counting of carbon mass, OC\* was calculated using Eq. S2. The uncertainties of the input variables were calculated using Eq. S3 (Gianini et al., 2012).

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204 Finally, the species displaying a signal-to-noise ratio (S/N) lower than 0.2 were discarded and those with S/N between 0.2 and  
205 2 were classified as “weak” variables (and then down-weighted applying 3-fold uncertainties).

## 206 2.4.2 Set of constraints

207 Since mixing issues between factors are inherent to PMF (i.e., collinearity due to meteorological conditions) and to possible  
208 rotational ambiguity in the solution, we applied a set of constraints to the selected best base case solutions thanks to the ME-2  
209 solver (Paatero, 1999). ~~The constraints used were similar to that of On-top-of-the-constraints-defined-in~~ Weber et al. (2019),  
210 who applied a minimum set of constraints to a large series of data sets within the SOURCE program. ~~We~~ we also added specific  
211 constraints for the traffic factor, derived from a previous study in Grenoble dedicated to traffic emissions (Charron et al., 2019),  
212 as summarized in ~~Table 1~~ Table 1. These constraints were applied similarly to the data sets from the 3 sites. This allows the  
213 orientation of the PMF solution towards more stable and environmentally realistic profiles.

215 **Table 1: Summary of the applied chemical constraints on source-specific tracers in the PMF factor profiles.**

Factor profile	Element	Type	Value
Biomass burning	Levoglucosan	Pull up maximally	(% dQ 0.50)
Biomass burning	Mannosan	Pull up maximally	(% dQ 0.50)
Primary biogenic	Levoglucosan	Set to zero	0
Primary biogenic	Mannosan	Set to zero	0
Primary biogenic	Polyols	Pull up maximally	(% dQ 0.50)
Primary biogenic	EC	Pull down maximally	(% dQ 0.50)
MSA-rich	MSA	Pull up maximally	(% dQ 0.50)
MSA-rich	Levoglucosan	Set to zero	0
MSA-rich	Mannosan	Set to zero	0
MSA-rich	Polyols	Pull down maximally	(% dQ 0.50)
MSA-rich	EC	Pull down maximally	(% dQ 0.50)
Nitrate-rich	Levoglucosan	Set to zero	0
Nitrate-rich	Mannosan	Set to zero	0
Mineral dust	Ti	Pull up maximally	(% dQ 0.50)
Primary traffic	Levoglucosan	Set to 0	0
Primary traffic	Mannosan	Set to 0	0
Primary traffic*	Cu	Pull up maximally	(% dQ 0.50)
Primary traffic*	Fe	Pull up maximally	(% dQ 0.50)
Primary traffic*	Sn	Pull up maximally	(% dQ 0.50)
Primary traffic*	Ca <sup>2+</sup>	Pull down maximally	(% dQ 0.50)
Primary traffic	Cu/Fe	Set to value	0.046 (% dQ 0.50)
Primary traffic	Cu/Sn	Set to value	5.6 (% dQ 0.50)
Primary traffic	Cu/Sb	Set to value	12.6 (% dQ 0.50)
Primary traffic	Cu/Mn	Set to value	5.7 (% dQ 0.50)
Primary traffic	OC*/EC	Set to value	0.44 (% dQ 0.50)

216 Note: \*Only applied in Vif (peri-urban) site



### 2.4.3 Criteria for a valid solution

Solutions with a total number of factors between 7 and 12 were tested for the determination of the base cases. During factor selection, the  $Q/Q_{exp}$  ratio ( $<1.5$ ), the geochemical interpretation of the factors, the weighted residual distribution, and the total reconstructed mass were evaluated. Finally, the optimal solutions obtained for each urban site was subjected to error estimation to ensure stability and accuracy of the solutions, using displacement (DISP) and bootstrapping (BS) methods. The DISP analysis evaluates that no swapping had occurred in any of the factors. Solutions with  $>80$  out of 100 BS mapped factors were considered appropriate solutions. The final retained optimal solutions after the application of constraints fulfilled the recommendations of the European guide on air pollution source apportionment with receptor models (Belis et al., 2014). The sensitivity of the solutions to the applied constraints was also carefully evaluated by comparison between the base and constrained cases. More information about the source apportionment methodology is provided in the SI.

### 2.4.4 Similarity assessment

A test of similarity between source profiles, based on their specific chemical relative mass composition at each site, was performed by comparing the Pearson distance (PD) and standardized identity distance (SID). ~~in order to~~ This allows the evaluation of the variability of the solutions across these different urban environments. The PD and SID were calculated using Eq. S4 (Belis et al., 2015).

The PD metric represents the sensitivity of a chemical profile based on the differences in the major mass fractions of PM, whereas the SID represents the sensitivity to all components (hence taking into account trace species). Homogenous profiles that are stable over different site types are expected to have  $PD < 0.4$  and  $SID < 1.0$  (Pernigotti and Belis, 2018). Conversely, factors outside of this range are considered to have heterogeneous profiles.

### 2.4.5 Estimation of the contribution uncertainties

The BS profiles uncertainties for the obtained solutions are presented in the SI (S3), in the form of  $mean \pm std$  of the 100 BS for all sites. As PMF5.0 does not directly output this to the user, we provided an estimate of the contribution uncertainties based on the method presented in Weber et al. (2019). During the BS estimation, both the  $G$  and  $F$  matrices are available, however only the  $F$  matrix is given back to the user (the  $G$  matrix being used internally to map the different profiles). Hence, the daily contributions of each of the species are estimated using:

$$X_{BSi} = G_{ref} \times F_{BSi}$$

where  $F_{BSi}$  is the profile of the bootstrap  $i$ , and  $X_{BSi}$  is the time series of each species according the reference contribution  $G_{ref}$  and the bootstrap run  $F_{BSi}$ . Similarly, the DISP contribution uncertainties are given by the reference contribution  $G$  multiplied by the lower and upper limits of the DISP result for each species.

### 247 3 Results and discussion

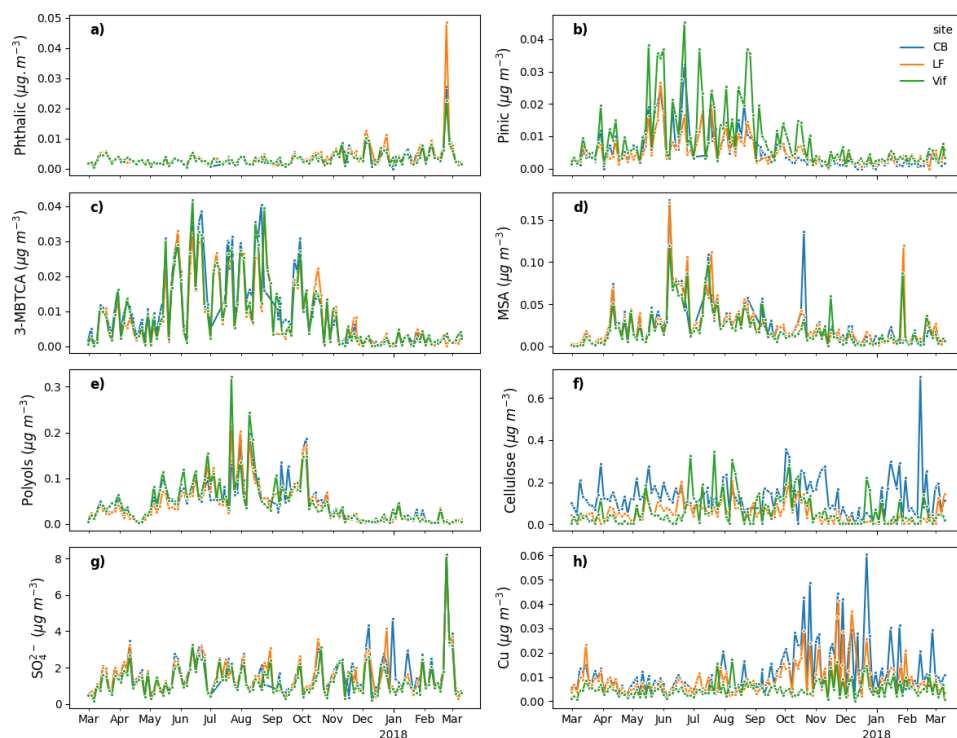
#### 248 3.1 General evolution of concentrations of PM<sub>10</sub> and chemical species

249 The daily PM<sub>10</sub> mass concentrations at the three measurement sites, determined with the TEOM-FDMS for the dates of filter  
250 sampling, ranged from 3-61 µg m<sup>-3</sup> with an overall average of 14±9 µg m<sup>-3</sup> during the sampling period. Average PM<sub>10</sub> levels  
251 were the highest at the urban hyper-center site (CB) (16±10 µg m<sup>-3</sup>), followed by the urban background site (LF) (14±8 µg m<sup>-3</sup>),  
252 and the peri-urban site (Vif) (13±9 µg m<sup>-3</sup>). Annual averages of PM<sub>10</sub> mass concentrations and chemical compositions at all  
253 sites and at individual urban sites are shown in Table S2 in SI. The sites in this study showed minimal exceedances of the  
254 current PM<sub>10</sub> European limit value of 40 µg m<sup>-3</sup> (3.7%, 1.6%, and 1.6% of measurement days at the LF, CB, and Vif sites,  
255 respectively). Most of these exceedances occurred during the winter season indicating the necessity to additionally implement  
256 season-specific regulations for PM<sub>10</sub> emission reductions. Organic matter (OM) was the largest contributor in PM<sub>10</sub> and  
257 accounted for 54%, 51%, and 56% of mass concentration on an annual basis in LF, CB, and Vif, respectively. This ~~contribution~~  
258 was followed by contributions from the major inorganic species (NH<sub>4</sub><sup>+</sup>, NO<sub>3</sub><sup>-</sup>, and SO<sub>4</sub><sup>2-</sup>), suggesting strong influence from  
259 ~~secondary inorganic aerosol (SIA) that are generally associated with long-range transport of pollutants or the occurrence of a~~  
260 ~~small scale thermal inversion within the Grenoble basin. long-range transport of pollutants~~. An extensive description of the  
261 PM<sub>10</sub> chemistry in the Grenoble basin has already been presented in [Srivastava et al. \(2018b\)](#) for the years 2013–2014 at the  
262 LF site. Our results showed notable similarities for most chemical species for the year 2017–2018, especially in terms of  
263 seasonal variations and respective contribution of chemical species to PM<sub>10</sub> mass concentrations. Therefore, we will only  
264 describe these aspects briefly in this paper.

265 First, the time series analysis of PM<sub>10</sub> and its chemical composition in the Grenoble basin during the sampling period showed  
266 mild to strong seasonal trends. Part of it can be attributed to the atmospheric dynamics in the area given its alpine environment  
267 resulting in atmospheric temperature inversions that are especially common in winter. In the absence of strong winds during  
268 the winter season (especially during anti-cyclonic periods), higher concentrations of air pollutants could be expected. Indeed,  
269 PM<sub>10</sub> concentrations were higher during the colder months (October to April) with an average of 17±10 µg m<sup>-3</sup> and lower  
270 during the warmer months (May to September) with an average of 10±4 µg m<sup>-3</sup>.

271

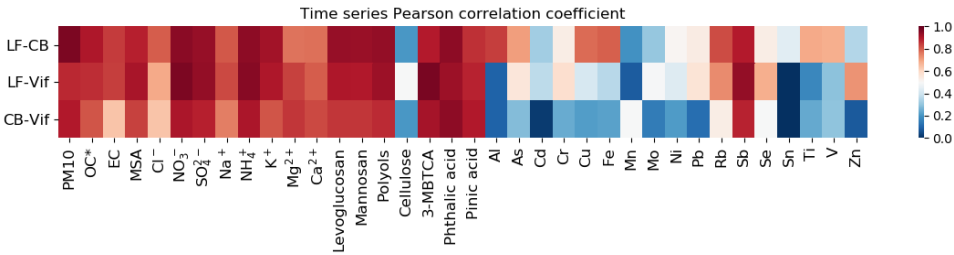
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**Figure 2: Temporal evolutions of a) phthalic acid, b) pinic acid, c) 3-MBTCA, d) MSA, e) polyols (arabitol+mannitol), f) cellulose, g)  $\text{SO}_4^{2-}$  and h) Cu in the three urban sites in the Grenoble basin (LF in orange, CB in blue, and Vif in green).**

We observed a strong seasonality for some chemical species with higher concentrations during the colder months including  $\text{OC}^*$ , EC,  $\text{K}^+$ ,  $\text{NO}_3^-$ ,  $\text{NH}_4^+$ , levoglucosan, mannosan, and phthalic acid. These species are commonly associated with primary emissions during the process of biomass burning ( $\text{OC}$ , EC,  $\text{K}^+$ , levoglucosan, mannosan) and secondary atmospheric processing ( $\text{NO}_3^-$ ,  $\text{NH}_4^+$ , phthalic acid). Alternatively, specific species with higher concentrations during warmer months include MSA, polyols, 3-MBTCA, and pinic acid. These species are known to be products of a wide range of photochemical reactions in the atmosphere partly formed by OH-initiated oxidation (Atkinson and Arey, 1998; Szmigielski et al., 2007) and can be explained by enhanced photochemical production due to an increase of temperature-dependent hydroxyl radical (OH)

concentration. A summary of temporal evolutions of the concentration for some species including  $\text{SO}_4^{2-}$ , Cu, cellulose, polyols, 3-MBTCA, pinic acid, and phthalic acid is shown in [Figure 2](#).  
 Second, the Pearson correlation coefficients of the temporal evolution of each specie across sites is presented in [Figure 3](#). Similarity of temporal trends and strong correlations of  $\text{PM}_{10}$  components between our 3 sites indicates the influence of large scale transport processes or possible uniform distribution of some emission sources in the Grenoble area. Further, the accumulation and removal processes of the PM may be driven by similar season-specific environmental conditions at a local scale. A strong correlation was observed in  $\text{OC}^*$ , EC, ions, polyols, levoglucosan, mannosan, 3-MBTCA, phthalic acid, and pinic acid between sites suggesting similar origins and atmospheric processes affecting the concentrations of these species. The three sites seem to be equally impacted by long range transport since concentration of  $\text{SO}_4^{2-}$  appears almost identical. We also clearly see relatively similar temporal trends for the organic acids (MSA, pinic, and 3-MBTCA). Notably, we also observed an important episode in phthalic acid in late February 2018 affecting all the three sites. [An extensive discussion on the formation processes of anthropogenic SOA in high concentration events was already provided in Srivastava et al. \(2018b\). However, this new observation brings in the hypothesis that these processes may take place specifically due to heterogeneous chemistry when associated with fog episodes, as it can be observed by local web cams over the city \(discussed in answers to reviewers\).](#) Conversely, cellulose and most metal species showed weak to mild correlations between sites, possibly indicating that the sources of these species are highly localized, with a potential impact that is variable at a city-scale. Particularly, cellulose presents similar order of magnitude at the three sites but presents higher concentration at CB, especially during winter. A few metals only showed strong correlations between LF and CB, but not with Vif, such as Al, Cu, Fe, Rb, and Sb which are tracers of road transport activity or biomass burning emissions. Specifically, Cu concentrations are similar at the three sites during summer, but presents significantly lower concentration in Vif compared to the two urban sites of CB and LF during winter.



**Figure 3: Heat map of the time series Pearson correlation coefficient of  $\text{PM}_{10}$  and its chemical composition between LF and CB (LF-CB), LF and Vif (LF-Vif), and CB and Vif (CB-Vif). Concentration time series Pearson correlation coefficient of  $\text{PM}_{10}$  and its chemical composition between LF and CB (LF-CB), LF and Vif (LF-Vif), and CB and Vif (CB-Vif).**

309

## 310 3.2 PM<sub>10</sub> source apportionment

311 In the following sections, a description of the best PMF solution obtained after application of constraints is provided for each  
 312 of the 3 sites, together with a discussion about the factors that are associated with the added organic tracers (MSA, polyols,  
 313 cellulose, pinic and 3-MBTCA acids). The presentation of error estimations, chemical profiles, and temporal evolutions of the  
 314 PMF-resolved sources, and the discussion about the more classical factors can be found in the SI (S3).

### 315 3.2.1 General description of the solutions

316 The PMF model was applied independently on the data set of each three sites, using 35 chemical atmospheric compounds in  
 317 each site. The constrained solutions for each site consist of 11 factors, including common factors such as primary traffic,  
 318 biomass burning, nitrate-rich, sulfate-rich, aged sea salt, sea/road salt, and mineral dust. Also, with the use of biogenic tracer  
 319 species, we identified a primary biogenic factor and a MSA-rich factor. ~~These factors are~~ [similarly to the ones](#) determined in  
 320 Weber et al. (2019) for each of 15 sites in France. We also determined a metals-rich factor, identified as an industrial factor,  
 321 accounting for a very small part of the PM<sub>10</sub> mass. Finally, using new organic proxies (pinic and 3-MBTCA acids), we  
 322 identified a secondary biogenic oxidation factor that is rarely described in other PMF studies. [Table 2](#) shows a synthesis  
 323 of the tracers used to identify these 11 PMF-resolved factors that are found at each of the 3 sites.

324 Other solutions with fewer or greater number of factors were also investigated but these solutions were less defined, and factor  
 325 merging was often observed. The reconstructed PM<sub>10</sub> contributions from all sources with measured PM<sub>10</sub> concentration showed  
 326 very good mass closure in all sites (LF:  $r=0.99$ ,  $n=125$ ,  $p<0.05$ ; CB:  $r=0.99$ ,  $n=126$ ,  $p<0.05$ ; and Vif:  $r=0.99$ ,  $n=126$ ,  $p<0.05$ )  
 327 indicating very good model results.

328 This result is in line with a previous study in the city of Grenoble (Srivastava et al., 2018b), but with slight improvements in  
 329 the PM<sub>10</sub> mass closure (from  $r=0.93$  to  $r=0.99$ ). A complete comparison of the PMF-resolved sources between the two studies  
 330 is presented and discussed in SI (S4). The two sets of results are in good agreement, despite the samples being collected 4  
 331 years apart. There were several identified sources that are similar in both studies such as biomass burning, primary traffic,  
 332 mineral dust, aged sea salt, sulfate- and nitrate-rich (identified collectively as secondary inorganics in Srivastava et al. (2018b)),  
 333 and primary biogenic (identified as fungal spores and plant debris in Srivastava et al. (2018b)). Additionally, due to a number  
 334 of differences in the input variables used, there are some sources that are completely unique to each study. In particular, the  
 335 sources that we have uniquely identified are industrial, sea/road salt, MSA-rich, and secondary biogenic oxidation sources.  
 336 Conversely, Srivastava et al. (2018b) have uniquely identified two SOA sources: biogenic SOA and anthropogenic SOA. It  
 337 can be argued that the secondary biogenic oxidation source (11%) in our study and the biogenic SOA (12%) in Srivastava et  
 338 al. (2018b) are in some way similar, although different tracers were used to identify them. Particularly, Srivastava et al. (2018b)  
 339 identified the biogenic SOA source with high contributions from  $\alpha$ -methylglyceric acid ( $\alpha$ -MGA and 2-methylerythritol (2-  
 340 MT) [that are isoprene oxidation products;](#) and hydroxyglutaric acid (3-HGA), [an oxidation product from  \$\alpha\$ -pinene;](#) while On

the other hand, our study identified the secondary biogenic oxidation source with high contributions from 3-MBTCA and pinic acid (essentially from  $\alpha$ -pinene oxidation). While not uniquely identified in our study, the contributions of phthalic acid in several common anthropogenic-derived sources (sulfate- and nitrate-rich) can also mark the potential contributions from anthropogenic SOA sources. Finally, the considerable economic advantage in the specific organic tracers used in our study, in terms of the type of chemical analyses performed, could assist future studies utilizing organic species in PMF. It is also important to note that, although still in the acceptable range, the sulfate-rich factor obtained in our PMF results yielded the most BS unmapped factors amongst the PMF-resolved factors (up to 25% for the CB site). This may be the sign of possible mixing of different processes / sources in this factor.

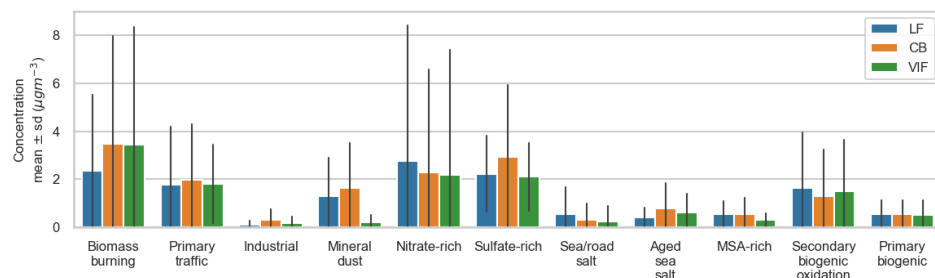
**Table 2: Summary of PMF-resolved sources and their specific tracers.**

Identified factors	Specific tracers
Biomass burning	Levoglucosan, mannosan, K <sup>+</sup> , Rb, Cl <sup>-</sup>
Primary traffic	EC, Ca <sup>2+</sup> , Cu, Fe, Sb, Sn
Nitrate-rich	NO <sub>3</sub> <sup>-</sup> , NH <sub>4</sub> <sup>+</sup>
Sulfate-rich	SO <sub>4</sub> <sup>2-</sup> , NH <sub>4</sub> <sup>+</sup> , Se
Mineral dust	Ca <sup>2+</sup> *, Al, Ti, V
Sea/road salt	Na <sup>+</sup> , Cl <sup>-</sup>
Aged sea salt	Na <sup>+</sup> , Mg <sup>2+</sup>
Industrial	As, Cd, Cr, Mn, Mo, Ni, Pb, Zn
Primary biogenic	Polyols, cellulose
MSA-rich	MSA
Secondary biogenic oxidation	3-MBTCA, pinic acid

Note: \*Vif site did not have high loadings of Ca<sup>2+</sup> specie in this factor

### 3.2.2 PM<sub>10</sub> contribution

Biomass burning (17-26%), sulfate-rich (16-18%), and nitrate-rich (14-17%) sources were the highest contributors to the total PM<sub>10</sub> mass on a yearly average in the Grenoble basin. Primary traffic (12-14%) and secondary biogenic oxidation (8-11%) sources also contributed a relevant amount. Figure 4 presents a comparison of the source contributions in each site based on mass concentration (in  $\mu\text{g m}^{-3}$ ). These results are in line with recent studies leading to anthropogenic and SOA sources heavily influencing urban air pollution in western Europe (Daellenbach et al., 2019; Golly et al., 2019; Pandolfi et al., 2020; Srivastava et al., 2018b; Weber et al., 2019). The most notable difference across all sites is the sharp decrease of mineral dust in Vif compared to the other two urban sites, and this is discussed further in section 3.4.1.



**Figure 4: Factor contributions in  $\mu\text{g m}^{-3}$  for the three sites (LF: blue, CB: orange, Vif: green). Bar plots depict the mean annual value and the standard deviation of daily variations.**

### 3.2.3 MSA-rich

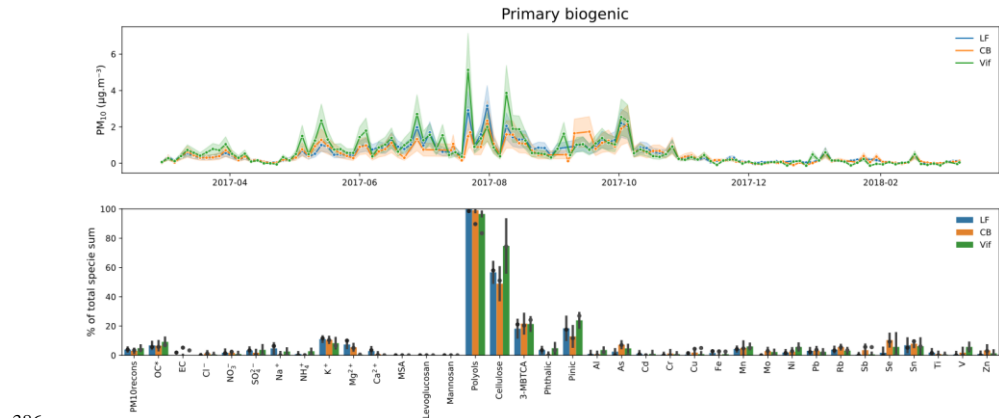
This factor is identified with a high loading of MSA, a known product of oxidation of dimethylsulfide (DMS), commonly described as resulting from marine phytoplankton emissions (Chen et al., 2018; Li et al., 1993). Other chemical species with significant concentrations in this factor include sulfate and ammonium. Although a very useful tracer of marine biogenic sources, MSA showed in our series only weak to mild correlations with ionic species from marine aerosols such as  $\text{Na}^+$  ( $r$ : 0.2–0.3) and  $\text{Mg}^{2+}$  ( $r$ : 0.3–0.4). This suggests potential emissions originating from terrestrial biogenic sources instead, which has been similarly suggested before (Bozzetti et al., 2017; Golly et al., 2019), and/or from forest biota (Jardine et al., 2015; Miyazaki et al., 2012). On an annual scale, this factor accounted for 2–4% of the total mass of  $\text{PM}_{10}$  and shows a strong seasonality with highest contributions during summer, reaching up to 53%, 57%, 52% of the total  $\text{PM}_{10}$  mass in some specific days in LF, CB, and Vif, respectively. The similarity in the temporal distribution across sites, as shown in Figure S3.8, especially the summer peaks, could be linked to the influence of long-range transport of pollutants in the MSA-rich factor.

### 3.2.4 Primary biogenic

The primary biogenic factor was identified with high loadings of both polyols and cellulose (see Figure S5). Polyols (represented by the sum of arabitol and mannitol) are known as tracers of primary biological aerosols from fungal spores and microbes (Bauer et al., 2002; Igarashi et al., 2019). Polyols has been used in several studies as a tracer of biogenic sources, contributing in France within a range of 5–9% of  $\text{PM}_{10}$  on a yearly average (Samaké et al., 2019b, 2019b; Srivastava et al., 2018b; Waked et al., 2014; Weber et al., 2019)(Samaké et al., 2019, 2019; Srivastava et al., 2018b; Waked et al., 2014; Weber et al., 2019). Cellulose is a potential macro-tracer for plant debris from leaf litter and seed production (Kunit and Puxbaum, 1996; Puxbaum, 2003) that is very rarely used in source apportionment studies as of today, while it can represent a large

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383 fraction of the PM mass in the coarse mode (Bozzetti et al., 2016) and, for example, it represents up to 6% during the warm  
 384 season in the Vif site.  
 385



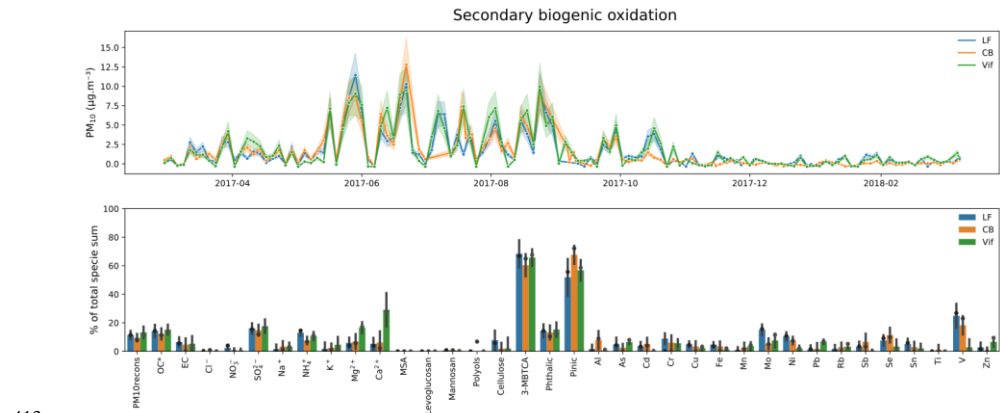
386  
 387 **Figure 5: Primary biogenic factor for the 3 urban sites. Top: Contribution to PM<sub>10</sub> given the mean and standard deviation of the**  
 388 **100 BS. Bottom: Percentage (%) of each specie apportioned by this factor (dots refer to the constrained run, bar plots refer to the**  
 389 **mean and error bars refer to the standard deviation of the 100 BS).**

390  
 391 A strong correlation was found in the temporal evolution of polyols across the 3 sites in our study indicative of large scale  
 392 impact of sources for these species (Samaké et al., 2019b, 2019b)(Samaké et al., 2019a,b). Conversely, cellulose concentrations  
 393 present only weak correlations across the 3 sites, possibly indicating that the influence of the sources of this specie might be  
 394 more local. Although polyols and cellulose are both tracers of primary biogenic sources, only a rather mild correlation (r=0.5)  
 395 was found between these two tracers, with seasonality of their concentrations being slightly different (Figure 2Figure-2). It  
 396 shows that the processes and the sources are probably distinct for the two sets of chemical species. However, the PMF is not  
 397 able to separate them, and this factor includes most of the cellulose (58, 51, and 74 % in LF, CB, and Vif, respectively), and  
 398 also most of the polyols (99, 90, and 83 % in LF, CB, and Vif, respectively). The remaining fraction of cellulose concentrations  
 399 was included in the mineral dust factor in LF and CB, and in the primary traffic factor in Vif, suggesting the possibility of  
 400 resuspension processes for this compound (see the SI for details). We can also note that the cellulose was not at all apportioned  
 401 in the biomass burning factor, an indication that it may not be emitted by this source.  
 402 Despite their slightly different origins, the PMF analysis captures the combined contribution of polyols and cellulose to a factor  
 403 that can be termed “primary biogenic sources”. In this study, this factor accounted for 3-4% of the total mass of PM<sub>10</sub> on an  
 404 annual scale, and a strong seasonality was observed, with up to 18% (in LF), 8% (in CB), and 17% (in Vif) of the total PM<sub>10</sub>

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mass on average in summer, with specific days reaching up to 60% of  $PM_{10}$  for example at the Vif site (see [Figure 5](#)). These temporal variations are consistent with higher biological activity (increased production of fungal and fern spores, and pollen grains) in this season due to increase in temperature and humidity (Graham et al., 2003; Verma et al., 2018). This may also be attributed to an increased plant metabolic activity (production of plant debris from decomposition of leaves) and the proximity to forested and agricultural areas of the sampling sites (Gelencsér et al., 2007; Puxbaum, 2003). Finally, one can note that the chemical profiles also include some fractions of the tracers from secondary biogenic production (3-MBTCA and pinic acid), indicative of some degree of mixing between primary and secondary biogenics.



**Figure 6: Secondary biogenic oxidation factor for the 3 urban sites. Top: Contribution to  $PM_{10}$  given the mean and standard deviation of the 100 BS. Bottom: Percentage (%) of each species apportioned by this factor (dots refer to the constrained run, bar plots refer to the mean and error bars refer to the standard deviation of the 100 BS).**

### 3.2.5 Secondary biogenic oxidation

The secondary biogenic oxidation factor was identified with high loadings of 3-MBTCA and pinic acids (see [Figure 6](#)). Both tracers of this factor are known to be products of secondary oxidation processes of alpha-pinene from various biogenic origins. As suggested with the nearly identical mass fraction determined in Srivastava et al., (2018) at the same site, this factor may as well contain some PM resulting from the oxidation of isoprene epoxydiols (IEPOX) (Surratt et al., 2010; Zhang et al., 2017) that may present a rather similar seasonality (Budisulistiorini et al., 2013, 2016), but this is still an open question. The apportionment of such a factor is not commonly achieved in receptor modelling using off-line tracers (van Drooge and Grimalt, 2015; Heo et al., 2013; Hu et al., 2010; Srivastava et al., 2018a). On an annual scale in our study, this factor accounted

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for 8-11% of the total mass of PM<sub>10</sub>, but can be as high as 58% (11 µg m<sup>-3</sup>) on specific days (see [Figure 6](#) ~~Figure 6~~, Top). The strong correlation between 3-MBTCA and pinic acids suggests similarity of origin of the secondary biogenic oxidation factor in the Grenoble area, despite inter-site correlations for 3-MBTCA (older oxidation state of alpha-pinene, hence more homogeneous at the city scale) being larger than that for pinic acid (former oxidation product, less homogeneous). Although significant portions (56-72%) of these species (3-MBTCA and pinic acids) are in this secondary biogenic oxidation factor, there are still relevant contributions in other factors, including primary biogenic, sulfate- and nitrate-rich, aged sea salt, and MSA-rich. Conversely, the presence of phthalic acid contribution in this factor (around 10% of its concentration), which could be emitted directly from biomass burning or formed during secondary processing from anthropogenic emissions (Hyder et al., 2012; Kleindienst et al., 2007; Wang et al., 2017b; Yang et al., 2016), also suggests that ~~the secondary biogenic oxidation~~ [this factor may be affected by these emissions](#) [has anthropogenic influence](#). All of these indicate that the PMF process did not deliver a pure secondary biogenic oxidation factor, either due to data processing limitation or because of real mixing of these sources in the PM.

### 3.3 Re-assignment of factors thanks to the new proxies

#### 3.3.1 Importance of the new proxy for factor identification

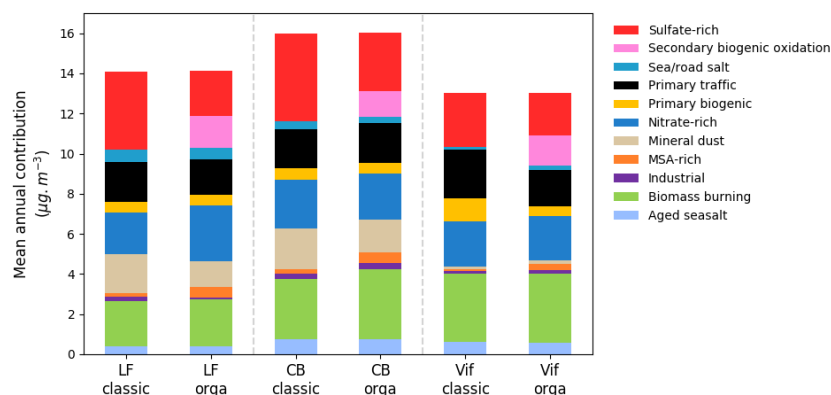
With the use of these additional organic tracers, there are several added information drawn from the results of the PMF model. First, the notable contributions of phthalic acid in several sources could further confirm the mixing influence of anthropogenic processes in various sources of PM<sub>10</sub> such as sulfate- and nitrate-rich, but also with secondary biogenic oxidation sources. Second, adding 3-MBTCA and pinic acids in the input variables allowed the identification of a significant secondary biogenic oxidation factor that is generally difficult to identify with PMF studies of off-line samples. Comparisons already started with the factors obtained by AMS studies (Vlachou et al., 2018), but more work remain to be done in order to evaluate their proper correspondence.

#### 3.3.2 Comparison with a “classic” PMF solution

In order to quantify the added value and the changes brought in by the additional tracers, a reference PMF using a chemical data set (not including cellulose, pinic acid, phthalic acid, and 3-MBTCA) and parameters similar to that in the SOURCES project (Weber et al., 2019) was performed, hereafter called “classic”, and the results were compared with those from the present study (called “orga”). [Figure 7](#) ~~Figure 7~~ shows the comparison of the yearly average mass contribution of the different factors for these two approaches. A detailed comparison of chemical profiles between the “classic” and “orga” PMF runs in each site is summarized in the SI (S3). One can see that most observations below are consistent in all three sites. Some factors remain unaffected or only marginally modified: it is the case for the biomass burning source with a percentage increase in contribution, only ranging from 1-14%, in the “orga” compared to the “classic” PMF run across all sites. The primary biogenic source also posed an interesting case with a minimal decrease in contribution at 0.1 and 6% in the LF and

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458 CB sites, respectively. However, adding more specific biogenic tracers changed the contribution of the primary biogenic factor  
 459 in Vif, from 1.1  $\mu\text{g m}^{-3}$  for the “classic” PMF down to 0.50  $\mu\text{g m}^{-3}$  for the “orga” PMF run, a value that is much more in line  
 460 with the contributions observed at the other sites (0.56 and 0.55  $\mu\text{g m}^{-3}$  in CB and LF, respectively). This further highlights  
 461 the usefulness of the additional organic tracers (e.g., addition of cellulose in the primary biogenic factor), especially for specific  
 462 site typologies.  
 463 Conversely, the most impacted factor is the sulfate-rich one, to a similar extent for the 3 sites with much higher mass fraction  
 464 in the “classic” PMF run in large part due to higher loadings of OC\*. It may indicate possible merging with organic aerosol  
 465 sources in the “classic” PMF, as presented in a comparison of chemical profiles between the “classic” and “orga” PMF runs  
 466 in each site summarized in the SI (S3). [Figure 7](#) shows that the differences are really close to the content of the new  
 467 secondary biogenic oxidation factor. Secondary aerosols, such as the sulfate-rich factor, can be transported over long distances  
 468 and can remain in the atmosphere for about a week (Warneck, 2000), allowing them to interact with numerous other species  
 469 and undergo different atmospheric oxidation processes. In fact, several studies have investigated various oxidation pathways  
 470 of sulfate-rich sources (Barker et al., 2019; Ishizuka et al., 2000; Schneider et al., 2001; Ullerstam et al., 2002, 2003; Usher et  
 471 al., 2002). In the SPECIEUROPE database, several studies have reported sulfate-rich sources influenced by a variety of  
 472 different fuel combustion sources (Bove et al., 2014; Pernigotti et al., 2016; Pey et al., 2013). It is, therefore, not surprising  
 473 that part of the matter in the sulfate-rich source was re-assigned to different other sources upon addition of the organic tracers  
 474 in the “orga” PMF run. A comparable study in Metz (France) also used another organic tracer (oxalate) to apportion a secondary  
 475 organic aerosol (SOA) source from PM, ascribing it possibly to both biogenic and anthropogenic emissions (Petit et al., 2019).  
 476



477 **Figure 7: Mean annual contribution ( $\mu\text{g m}^{-3}$ ) of PMF-resolved factors of  $\text{PM}_{10}$  in the Grenoble basin using a classic set of input**  
 478 **variables similar to SOURCES (“classic”) and using additional new organic tracers (“orga”).**  
 479

480

481 We also observed an increase in the contributions of the MSA-rich factor at the three sites, with an increase in contributions  
 482 from specific inorganic species, such as  $\text{SO}_4^{2-}$  and  $\text{NH}_4^+$  (see Figure S3.8.1 in the SI). Conversely, a decrease in contribution  
 483 from polyols was observed in the chemical profile of primary biogenic factor in Vif (see Figure S3.7.1 in the SI). Results show  
 484 that in the “classic” PMF run, the contribution of polyols was almost completely assigned to the primary biogenic factor (>94%  
 485 of its total mass). On the other hand, while the “orga” PMF run resulted in a contribution of polyols to the MSA-rich factor of  
 486 about 10% of its total mass.

487 Finally, there is also an observed re-assignment of the  $\text{Ca}^{2+}$  specie that further refined specific factors in Vif. The mineral dust  
 488 factor is often identified with high loadings of  $\text{Ca}^{2+}$ , however this is not the case for Vif, particularly for the “classic” PMF run  
 489 (less than 1% of total  $\text{Ca}^{2+}$ , although attached with important uncertainties). It is important to note that  $\text{Ca}^{2+}$  in an urban  
 490 environment can come from several sources such as construction activities and global resuspended dust from various activities  
 491 (from biomass burning and traffic). Previous studies comparing measurements at LF and a site close to a highway (2 km apart)  
 492 showed a 34% increment of this factor near the highway, supporting the influence of resuspended dust with traffic (Charron  
 493 et al., 2019). With the addition of the organic tracers, there was an observed increase in the contribution of  $\text{Ca}^{2+}$  in the mineral  
 494 dust factor in Vif (see Figure S3.11.1), resulting to more than 20% of the total  $\text{Ca}^{2+}$  apportioned in this factor (a value is still  
 495 attached with important uncertainties). Interestingly, the contribution of  $\text{Ca}^{2+}$  is mainly transferred from the primary traffic  
 496 factor to the mineral dust factor. This resulted to a ~~ing-in~~ decreased contribution of the primary traffic factor in Vif from  $2.4 \mu\text{g m}^{-3}$   
 497  $\mu\text{g m}^{-3}$  for the “classic” PMF down to  $1.8 \mu\text{g m}^{-3}$  for the “orga” PMF run. ~~again~~ Again, this-to- is a value closer to the  
 498 contributions at the other sites ( $2.0$  and  $1.8 \mu\text{g m}^{-3}$  in CB and LF, respectively) (see Figure S3.2.1 in the SI).

### 499 3.3.3 Decrease of uncertainties

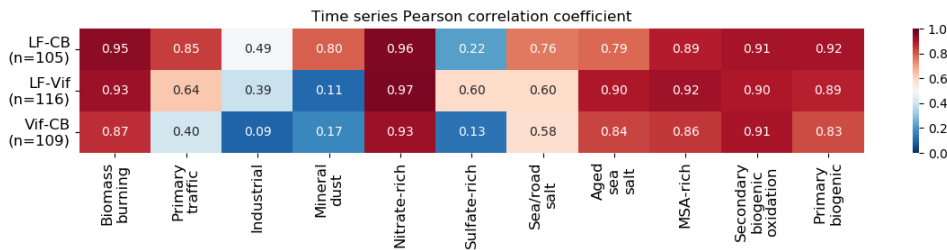
500 Another advantage of adding specific proxies in the PMF is the lowering of uncertainties associated with some other chemical  
 501 species in some factors. Indeed, we observed a decrease of the BS uncertainties, notably for the OC\* and also for some main  
 502 tracers of sources in several profiles (see in the SI (S3)). The sulfate-rich is the most impacted factor when adding the new  
 503 organic tracers and the higher uncertainties in the “classic” PMF run provided insights that this profile may have some internal  
 504 mixing. Splitting this factor, thanks to the new organics, refined the sulfate-rich factor and strengthened the BS stability of this  
 505 factor, decreasing the BS uncertainties.

506 Concerning the DISP, the range of uncertainties was also narrowed for 74% of the species in factors when comparing the  
 507 “classic” and “orga” PMF. This decrease of uncertainties for the DISP when adding new variables was already observed by  
 508 (Emami and Hopke, 2017), but our study additionally observe this in the BS error estimation. Overall, on top of being able to  
 509 identify new factors, the addition of the new specific proxies in the PMF strengthened the confidence we have for all other  
 510 factors.

511 3.4 Fine scale variability of the temporal contribution

512 Figure 3 indicates correlations of the concentrations for many chemical species across the sites. Additionally, the  
513 temporal evolution of the contribution of commonly resolved factors are further investigated in this section. Figure 8  
514 presents the Pearson correlation coefficient of the contributions of the sources for the three pairs of sites. The sources that  
515 resulted to consistent strong correlations ( $r>0.77$ ) across all sites are biomass burning, nitrate-rich, aged sea salt, MSA-rich,  
516 secondary biogenic oxidation, and primary biogenic sources. The sea/road salt factor showed good correlations across the sites  
517 with a correlation coefficient ranging from 0.58 to 0.76.

518



519

520 Figure 8: Heat map of the time series Pearson correlation coefficient of all factor contributions between LF and CB (LF-CB), LF  
521 and Vif (LF-Vif), and CB and Vif (CB-Vif).

522

523 Factors with strong seasonality appeared to be highly correlated between sites (biomass burning, nitrate-rich, MSA-rich, and  
524 primary biogenic). This tends to affirm that such factors are dominated either by large scale transport (i.e., nitrate-rich) or by  
525 a strong climatic determinant. It is interesting to note that the primary biogenic factor presents systematically a slightly lower  
526 correlation than the polyols (LF-CB:  $r_{polyols}=0.94$  to  $r_{primary\ biogenic}=0.91$ , LF-Vif:  $r_{polyols}=0.92$  to  $r_{primary\ biogenic}=0.88$  and Vif-CB:  
527  $r_{polyols}=0.87$  to  $r_{primary\ biogenic}=0.82$ ). This may suggest a secondary process or a combination of several different primary  
528 processes in the primary biogenic factor affecting the sites at different rates (Petit et al., 2019; Samaké et al., 2019b)(Petit et  
529 al., 2019; Samaké et al., 2019). We also clearly see a stronger similarity between the two urban sites (LF and CB) compared  
530 to the peri urban one, notably for the primary traffic, mineral dust, and, to a lower extent, the industrial factor. This may be  
531 explained not only by the proximity of the location of the two former sites within the city, but also by their similarity in  
532 typology compared to the peri-urban site type in Vif. However, there are two factors that do not present good correlation  
533 between all sites.

534 One of them is the sulfate-rich factor which presents a similar contribution when comparing LF and Vif, but low-to-none  
535 correlation when compared to CB. A deeper analysis shows that the sulfate-rich, together with the nitrate-rich factor in CB,  
536 explains a large part of the winter spike of secondary inorganics (23/02/2018 to 24/02/2018), whereas in LF and Vif only the

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537 nitrate-rich factor explains most of it. This spike drives the Pearson correlation coefficient to a low value and without it, the  
538 correlation increases drastically (see Figure S5.1 in the SI for the full scatterplot). Some PMF solutions of the BS in LF and  
539 Vif also had this behaviour, but weren't chosen as the "best" solution. We propose two hypotheses for this difference: 1) during  
540 winter, some heterogeneous chemistry may take place in fog episodes in the Grenoble basin (resulting to episodic spikes in  
541 the  $\text{SO}_4^{2-}$  contribution), that may not be spatially homogeneous at a city scale, leading to mixing of secondary sources, and 2)  
542 we have reached the limit of the PMF methodology to de-convolute further the secondary inorganics. Both hypotheses may be  
543 concurrent.

544 [A further indication of a potential mixing between the sulfate- and nitrate-rich factors is presented in Figure S5.3. In this figure,](#)  
545 [the total mass concentration of PM and major ions \( \$\text{SO}\_4^{2-}\$ ,  \$\text{NO}\_3^-\$ , and  \$\text{NH}\_4^+\$ \) were compared between sites when the sulfate-](#)  
546 [and nitrate-rich factors were combined. Strong correlations between sites were found indicating similarity of such](#)  
547 [concentrations in secondary sources. It is out of scope of this work to determine if this is a limitation of the PMF approach,](#)  
548 [or if there are some processes leading to real differences.](#)

549 We note however that apart from these spikes, the  $\text{SO}_4^{2-}$  apportioned by this factor at 3 sites are in good agreement, and are  
550 within the uncertainties of each other (see [Figure 9](#)~~Figure-9~~). This figure also highlights that the uncertainty for the  $\text{SO}_4^{2-}$  in  
551 this factor is higher for the CB site, as also shown in the chemical profile in Figure S3.6 in the SI.

552

Mis en forme : Couleur de police : Texte 1

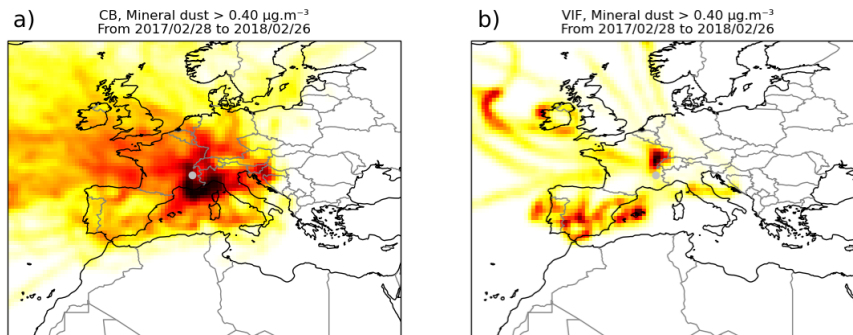
553

554 **Figure 9:  $\text{SO}_4^{2-}$  apportioned by the sulfate-rich factor at the 3 sites, according to the uncertainties given by the 100 BS as shown by**  
555 **the mean (solid line) and the standard deviation (shaded area).**

556

557 The second factor which showed low correlations between pairs of sites is mineral dust, specifically when comparing Vif  
558 to the two other sites. This is in line with the difference in the  $\text{PM}_{10}$  apportioned by this factor as shown in the previous section.  
559 However, a closer look on the contribution scatterplot of  $\text{Vif}_{\text{Mineral dust}}$  vs  $\text{CB}_{\text{Mineral dust}}$  (see Figure S5.2 in the SI) highlights that  
560 some events are very close to the 1:1 line. This is indicative of two regimes for mineral dust, with [eases when the sources for](#)

the urban and peri-urban are being similar and cases when they are different differences due to some specificity in the atmospheric dynamics in the valley near the surface. To investigate it further, a potential source contribution function (PSCF) analysis of the mineral dust factor for the Vif and CB sites was performed in order to assess the origin of air masses of this factor. (It is presented in Figure 10). We can clearly see that for the Vif site, the main origin is Spain with well-defined air flow canalized by the valley and katabatic flows in a south to north direction (a phenomenon also reported in Largeron and Staquet (2016)). On the other hand, whereas the origin for CB is not as well-defined. These PSCF pattern tends to indicate that the sources of the mineral dust factor present a strong local component for the urban sites (CB and LF being very similar), while the origin of the mineral dust factor in Vif appears to be mainly affected by long-range transport of dust only.



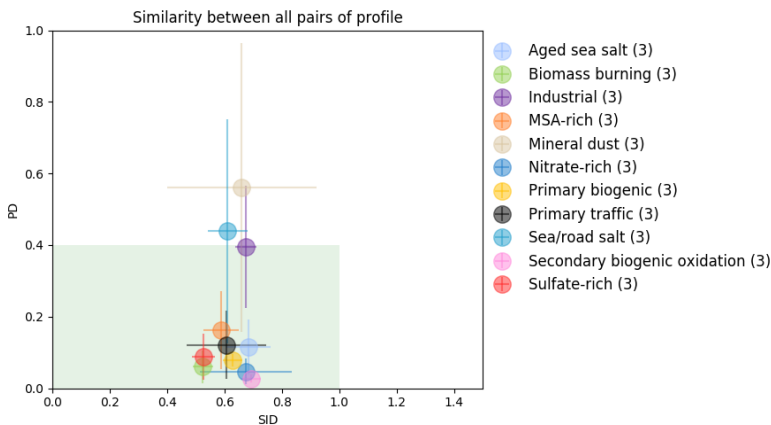
**Figure 10: The PSCF analysis of the days with a mineral dust loading higher than  $0.4 \mu\text{g m}^{-3}$  for the CB site (a) and Vif (b). Darker shades indicate higher probability density of source origin.**

### 3.5 Fine-scale variability of chemical profiles

An additional similarity test was also performed to investigate the fine-scale variabilities of the chemical profiles of the factors. A similarity analysis at a regional scale in France identified stable chemical profiles obtained by PMF studies across many sites, corresponding to biomass burning, sulfate-rich, nitrate-rich, and fresh sea salt factors (Weber et al., 2019). In our study, a parallel analysis was performed in order to evaluate the stability of the chemical profiles of the identified factors in high proximity receptor locations. Briefly, PMF-resolved sources were compared for each pair of sites using both Pearson distance (PD) and standardized identity distance (SID) to obtain a similarity metric (PD-SID).

582 **3.5.1 (Dis-)similarity of the chemical profiles at the three sites**

583 [Figure 11](#) presents the similarity plot (PD-SID) obtained for the 11 factors found in this study. The biomass burning  
584 factor yielded the most stable chemical profile in all the sites in the Grenoble basin, which is consistent. Other stable factors  
585 include sulfate- and nitrate-rich, primary biogenic, MSA-rich, and secondary biogenic oxidation. The industrial and sea/road  
586 salt factors, both marginally above the accepted PD metric, could be considered as having heterogeneous profiles based on the  
587 contributions of these sources to the total PM<sub>10</sub> in each site.  
588 However, a clear heterogeneous chemical profile was found in the mineral dust, this further emphasized the difference in origin  
589 of this factor as previously discussed in section 3.4. More details about of the chemical profile of this factor can be found  
590 Figure S3.11 in the SI. One of the main differences is the lack of OC\* in the Vif site compared to LF and CB sites, together  
591 with a much lower Ca<sup>2+</sup> contribution. Additionally, there is a lower SO<sub>4</sub><sup>2-</sup> apportioned in the mineral dust factor in Vif. The  
592 only similarity between all the sites are the high loadings of Al, Ti and V, as well as important contributions from other crustal  
593 metals (Fe, Ni, Mn). It also has to be noted that the cellulose is present up to about 20% of its total mass in the mineral dust  
594 profile in the CB site, however the BS estimates indicate very important uncertainties for this specie in this factor (see Figure  
595 S3.11 in the SI).

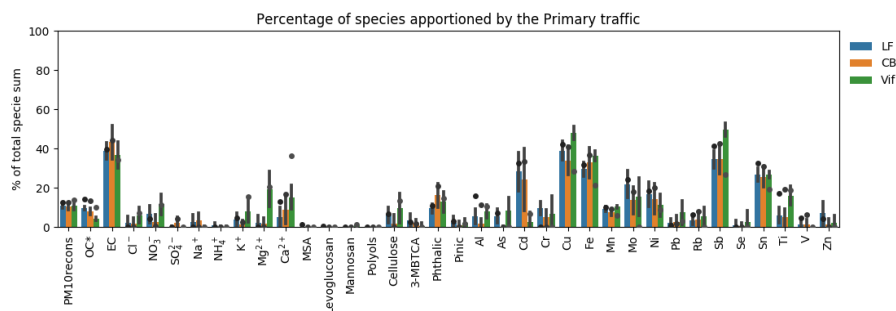


597  
598 **Figure 11: Similarity plot of all chemical profiles in each site. The shaded area (in green) shows the acceptable range of the PD-SID**  
599 **metric. For each point, the error bars represent the standard deviation of the 3 pairs of comparisons.**

600  
601 Surprisingly, the sulfate-rich factor chemistry is one of the most stable profile, although its temporal contributions exhibits  
602 high spatial variation, notably at CB compared to LF and Vif sites.



603 Finally, although the primary traffic factor showed a stable profile based on the similarity plot (PD-SID metric), it has to be  
 604 noted that, in the reference run (i.e., constrained), the specie concentrations are within the BS uncertainties for all species at  
 605 LF and CB sites, but outside the BS range for the Vif site (see [Figure 12](#)~~Figure 42~~). Notably, the BS predicted higher  
 606 contribution from Cu, Fe, Sb and Sn, which are common tracers of tyre and brake wear, than the reference run. Additionally,  
 607 the  $\text{Ca}^{2+}$  is overestimated in the reference run by a large amount, as well as the OC\*, and, to a lesser extent, the reconstructed  
 608  $\text{PM}_{10}$ . Such BS results indicate that, in Vif, the primary traffic factor is heavily influenced by this phenomenon on specific  
 609 days, that has led to an overestimation of the total  $\text{PM}_{10}$  apportioned by this factor. Additionally, even at low concentrations,  
 610 some terrestrial elements (Al, As, Ti) and cellulose are present in the primary traffic factor. As a result, even if the primary  
 611 traffic characteristic of this factor is dominant, the influence of road dust re-suspension is not negligible for this factor in Vif.  
 612



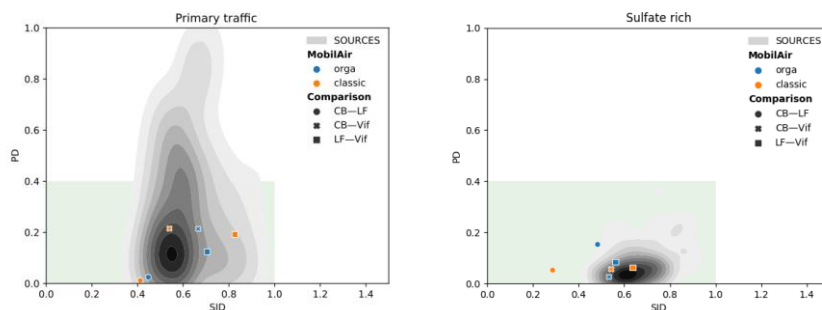
613  
 614 **Figure 12: Percentage (%) of each specie apportioned by the primary traffic factor (dots refer to the constrained run, bar plots refer**  
 615 **to the mean and error bars refer to the standard deviation of the 100 BS).**

### 616 617 3.5.2. Comparison with other chemical profiles of $\text{PM}_{10}$ sources from a regional study

618 It is interesting to evaluate if a PMF study conducted at a city scale is leading to more similar source chemical profiles than a  
 619 study using a database from a much larger area. Another question is whether PMF can produce more similar chemical source  
 620 profiles with the help of additional organic tracers than a “classic” PMF run. Hence, the results obtained here are compared to  
 621 those in the SOURCES program (Weber et al., 2019) for the 9 factors common in both studies (the secondary biogenic factor  
 622 was not identified in the SOURCES program with the data sets not including its proper chemical tracers). This can be  
 623 represented with the projection on a similarity plot of the distances between the factors for the 3 pairs of sites over the Grenoble  
 624 basin, both for the “classic” and “orga” PMF. This is compared to the results from all possible pairs of sites within the 15 sites  
 625 of the SOURCES study (distributed over France), mapped with a probability density function of similarities. [Figure 13](#)~~Figure~~  
 626 [13](#) presents these plots for the factors “primary traffic” and “sulfate-rich”, the other factors being presented in the SI (S6).

627 It shows that in most instances, the PMF results obtained for the Grenoble basin deliver slightly closer chemical profiles, both  
 628 for the PD distance (sensitive to major components) and the SID distance (sensitive to the global profile), than the studies  
 629 across more distant sites. This is particularly the case when comparing the two urban sites (LF and CB) (cf., [Figure 11](#)  
 630 [Figure 11](#)). Some values out of the acceptable range remain for some factors (mineral dust, industrial), involving the differences  
 631 between the urban and suburban sites, but are still fitting with the pattern obtained for the overall French sites. The addition of  
 632 the organic tracers did not alter the source profiles of the commonly resolved PMF factors and, in fact, even enhanced it by  
 633 further refining the other identified sources. This is predominantly seen in the MSA-rich factor, where some of the “classic”  
 634 PMF results fell outside the acceptable range while the “orga” PMF are all in the acceptable range of the similarity plot. The  
 635 “orga” PMF run for some factors such as primary biogenic, dust, and industrial factor also mostly yielded better PD and SID  
 636 metrics (closer to the acceptable range) than the “classic” PMF run.

637



638 **Figure 13: Similarity plots for the factor “Primary traffic” and “Sulfate-rich” for the pairs of sites formed in this study (Mobil’Air),**  
 639 **compared to the probability density function of similarities obtained for the 15 French sites of the SOURCES program.**  
 640

641

### 642 3.6 Improvement of the identified sources with the new organic tracers

643 In order to comprehensively apportion PM<sub>10</sub> sources, the very large unknown portion of OM, especially in the secondary  
 644 fraction, needs to be properly identified. Most source apportionment studies only use standard input variables including OC,  
 645 EC, ions, and metals. However, these species alone are insufficient to describe the complexity of the organic matter, making  
 646 it a challenge to apportion sources from the OM fraction and their formation processes (i.e. primary or secondary origin)  
 647 (Srivastava et al., 2018a). Only a small number of studies have used organic tracers to apportion SOA in PM using PMF, and  
 648 even these studies usually have limited number of samples, number of tracers, and/or identified sources (Feng et al., 2013;  
 649 Shrivastava et al., 2007; Srivastava et al., 2018b). A few of these studies have proposed to estimate SOC contributions from  
 650 the sum of OC loadings in the secondary inorganic (nitrate- and sulfate-rich) factor (Hu et al., 2010; Ke et al., 2008; Lee et al.,

2008; Pachon et al., 2010; Yuan et al., 2006) or from water-soluble OC and humic-like substances (Qiao et al., 2018). Some have estimated the contributions of biogenic SOA from the oxidation products of isoprene, alpha-pinene, and beta-caryophyllene (Heo et al., 2013; Kleindienst et al., 2007; Miyazaki et al., 2012; Shrivastava et al., 2007; Wang et al., 2012). In fact, the high contributions of biogenic SOA during warmer periods, that could range from 20-60% (Heo et al., 2013; Miyazaki et al., 2012; Wang et al., 2012; Zhang et al., 2010), found by other PMF studies is also consistent with our findings. Wang et al. (2017b) also highlighted the importance of biogenic SOA tracers as it significantly impacts the source apportionment results, particularly in areas with strong SOA contributions. Although applied on a small sample size, an interesting technique assimilating polar SOA tracers and primary organic aerosol (POA) tracers was performed by Hu et al. (2010). This resulted in two identified SOA factors that are mixed with: 1) secondary inorganics and biomass burning, 2) green waste and biomass burning. Hence, with the appropriate uncertainties, the SOA tracers can be a practical way, possibly even necessary, to estimate SOA contributions (Feng et al., 2013) especially in urban areas (Wang et al., 2012).

Our study demonstrated that the use of organic tracers aided an effective source-specific approach that clearly identified major sources of SOA in  $PM_{10}$  such as MSA-rich and secondary biogenic oxidation sources. The potential influence of anthropogenic emissions on some sources was also observed through the contribution of one of the organic tracers used, phthalic acid. The sufficient number of samples ( $n > 125$  for each site) in our study have also maintained the statistical robustness of the solutions obtained from filter-based measurements. The stability of the organic tracers also resulted in homogeneous chemical profiles which allowed seamless identification of uncommonly resolved sources such as primary biogenic, secondary biogenic oxidation, and MSA-rich. Although the addition of cellulose did not emerge as a separate biogenic factor, it provided an option to scrutinize the difference in terms of origin of the primary biogenic sources across sites. Overall, the organic tracers have further refined the contribution of other identified sources by taking in consideration the SOA portion of  $PM_{10}$  that would have otherwise mixed with other sources and have facilitated an innovative approach to improve the apportionment of  $PM_{10}$  sources.

#### 4 Conclusions

A fine-scale source apportionment of  $PM_{10}$  in different urban typologies (background, pedestrianized hyper-center, and peri-urban) in a small scale area ( $< 15$  km) was performed using PMF 5.0. Additional organic tracers (MSA, cellulose, 3-MBTCA, pinic acid, and phthalic acid) were used to supplement the standard input variables. An 11-factor optimal solution was found for each of the three urban sites, including primary traffic, nitrate-rich, sulfate-rich, industrial, biomass burning, aged sea salt, sea/road salt, mineral dust, primary biogenic, secondary biogenic oxidation, and MSA-rich sources. The results from previously reported PMF studies in Grenoble (Srivastava et al., 2018b; Weber et al., 2019) were confirmed by the findings in this study particularly the long-term stability of regional source emissions 5 years apart. The PMF solution obtained with the additional organic tracers resulted to:

1. the improvement of  $PM_{10}$  mass closure and the exploration of appropriate input variable uncertainties;

683 2. the re-assignment of the bulk sulfate-rich factor contribution to more descriptive secondary aerosol sources in the  
684 atmosphere;  
685 3. the clear identification of commonly unresolved sources in the SOA fraction (e.g., primary biogenic, traced by the  
686 polyols and cellulose; secondary biogenic oxidation, traced by 3-MBTCA and pinic acid; and MSA-rich, traced by  
687 the MSA) in different urban typologies;  
688 4. the decreased uncertainties, for both BS and DISP error estimates, that further strengthened confidence in the PMF  
689 solution;  
690 5. the increased knowledge of the stability of the chemical profiles of the factors, that could be a key when using them  
691 in further large-scale analysis or modeling;  
692 The 3 sites comparison at a local scale:  
693 6. highlights very similar profiles and temporal evolution in the factor contributions at a conurbation scale (such as the  
694 Grenoble basin);  
695 7. allows the determination of local heterogeneities in a small scale area;  
696 8. pointed out some difficulties to disentangle the secondary inorganics sources ( $\text{NO}_3^-$  and  $\text{SO}_4^{2-}$ ) and some mixing  
697 between both species may occur.  
698 Overall, an enhanced and fine-scale source profile of  $\text{PM}_{10}$  was obtained in the Grenoble basin. The trend observed in the  
699 MSA-rich, secondary biogenic oxidation, and primary biogenic factors showed the extent of this phenomenon suggesting  
700 importance of the contribution of biogenic sources, both primary and secondary. The significant percentage attributed to SOA  
701 sources revealed the strong necessity of organic molecular tracers in fully discriminating the origins of  $\text{PM}_{10}$  sources.

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710 and analysing the samples, respectively.

## 712 **Authors contributions**

713 GU, and JLJ designed the atmospheric chemistry part of the Mobil'Air program. SM and CT supervised the sampling at the 3  
714 sites for Atmo AuRA. OF is the head of the CARA program that allows the collection of samples from Les Frênes site. VJ set

up the analytical techniques for polyols, sugars, and cellulose. TC performed the cellulose analyses. LJSB and SW processed the data. SW developed some of the tools and ideas for in-depth PMF analysis. LJSB, SW, wrote the paper. JIJ and GU revised the original draft. All authors reviewed and edited the manuscript.

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