The dynamic-thermal structures of the planetary boundary layer dominated by 1 synoptic circulations and the regular effect on air pollution in Beijing 2 Yunyan Jiang<sup>\*1,2</sup>, Jinyuan Xin<sup>\*\*1,2,3</sup>, Ying Wang<sup>4</sup>, Guiqian Tang<sup>1</sup>, Yuxin Zhao<sup>3,5</sup>, Danjie Jia<sup>1,2</sup>, Dandan 3 4 Zhao<sup>1,2</sup>, Meng Wang<sup>1</sup>, Lindong Dai<sup>1</sup>, Lili Wang<sup>1</sup>, Tianxue Wen<sup>1</sup>, Fangkun Wu<sup>1</sup> 5 6 <sup>1</sup> State Key Laboratory of Atmospheric Boundary Layer Physics and Atmospheric Chemistry (LAPC), Institute of 7 Atmospheric Physics, Chinese Academy of Sciences, Beijing 100029, China 8 <sup>2</sup> University of Chinese Academy of Sciences, Beijing 100049, China 9 <sup>3</sup> Collaborative Innovation Center on Forecast and Evaluation of Meteorological Disasters, Nanjing University of 10 Information Science & Technology, Nanjing, 210044, China 11 <sup>4</sup> College of Atmospheric Sciences, Lanzhou University, Lanzhou 730000, China 12 <sup>5</sup> Institude of Atmospheric Composition, Chinese Academy of Meteorological Science, Beijing 100081, China 13 14 \* These authors contributed equally to this work. 15 \* Correspondence: Jinyuan Xin; email: xjy@mail.iap.ac.cn; phone: (+86)010-62059568; address: #40 Huayanli, 16 Chaoyang District, Beijing 100029, China Abstract. To investigate the impacts of multiscale circulations on the planetary boundary layer (PBL), we have 17 18 carried out the PBL dynamic-thermal structure field experiment with a Doppler Wind Profile Lidar, a microwave 19 radiometer and a ceilometer from January 2018 to December 2019 in Beijing. We found that the direct regulatory 20 effect of synoptic circulation worked through transporting and accumulating pollutants in front of mountains in 21 the daytime. While the indirect effect of multiscale circulations worked through coupling mechanisms in the 22 nighttime. The horizontal coupling of different direction winds produced a severe pollution convergent zone. The 23 vertical coupling of upper environmental winds and lower regional breezes regulated the mixing and diffusion of 24 pollutants by generating dynamic wind shear and advective temperature inversion. We also found that the 25 dominated synoptic circulations leaded to great differences in PBL dynamic-thermal structure and pollution. The 26 cyclonic circulation resulted in a typical multilayer PBL characterized by high vertical shear (600 m), temperature 27 inversion (900 m) and an inhomogeneous stratification. Meanwhile, strong regional breezes pushed the pollution 28 convergent zone to the south of Beijing. The southwesterly circulation resulted in a mono-layer PBL characterized 29 by low vertical shear (400 m) and inversion (200 m). The westerly circulation leaded to a hybrid-structure PBL, and 30 the advective inversion generated by the vertical shear of zonal winds. Strong environmental winds of 31 southwesterly and westerly circulations pushed the severe pollution zone to the front of mountains. There was no 32 distinct PBL structure under the anticyclone circulation. The study systematically revealed the appreciable effects 33 of synoptic and regional circulations on PBL structure and air quality, which enriched the prediction theory of 34 atmospheric pollution in the complex terrain. Synoptic circulations play important roles in meteorological 35 conditions and air quality within the planetary boundary layer (PBL). Based on Lamb Jenkinson weather typing 36 and multiple field measurements, this study reveals the mechanism of how the coupling effects of multiscale 37 circulations influence PBL structure and pollution. Due to the topographic blocking in the daytime, pollutants 38 accumulate in the plain areas horizontally. The sinking divergent flows overlying on the rising convergent flows 39 within the PBL inhibit the continuously upward dispersion of aerosols vertically. At night, the horizontal and 40 vertical coupling mechanisms synergistically worsen the pollution. The large scale environmental winds and 41 regional-scale breezes affect the pollution directly via the horizontal coupling effect, which generates a pollution 1

42 convergent zone of different directional flows. The relative strength of flows causes the severely polluted area to 43 move around horizontally from 39°N to 41°N. In addition, the multiscale circulations regulate the mixing and 44 diffusion of pollutants indirectly via the vertical coupling effect, which changes the PBL dynamic thermal structure. 45 The warm advection transported by the upper environmental winds overlies the cold advection transported by 46 the lower regional breezes, generating strong wind direction shear and advective inversion. The capping inversion 47 and the convergent sinking motion within the PBL suppress massive pollutants below the zero speed zone. The 48 multilayer PBL under cyclonic circulation has no diurnal variation. Weak ambient winds strengthen the mountain 49 breezes observably at night, the temperature inversion can reach 900 m. The nocturnal shallower PBL, consistent 50 with the zero velocity zone between ambient and mountain winds, can reach 600 m. By contrast, the PBL under 51 southwesterly circulation is a mono-layer with obvious diurnal variation, reaching 2000 m in the daytime. The 52 strong winds circulations restrain the development of regional breezes, the zero speed zone is located at 400 m 53 and the inversion is lower than 200 m at night. The PBL under westerly circulation has a hybrid structure with 54 both multiple aerosol layers and diurnal variation. The inversion is generated by the vertical shear of zonal winds. 55 Clean and strong north winds are dominated under anticyclone circulation, the vertical shear and the diurnal 56 variation of thermal field disappear because of strong turbulent mixing, and there is no significant PBL structure. 57 Our results imply that the algorithm of atmospheric environmental capacity under synoptic circulations, such as 58 the cyclonic type, with a multilayer PBL needs to be improved.

59 Keywords Synoptic Circulation Types, Planetary Boundary Layer, Multiscale Circulations Coupling, Regional
 60 Breezes, Air Pollution

### 61 1. Introduction

62 Beijing megacity is the political, economic and cultural center of China. With the recent economic 63 development and acceleration of urbanization, an increasing number of air pollution episodes have emerged and 64 pose a direct threat to human health (Quan et al., 2014; Fu et al., 2014; Cheng et al., 2016; Song et al., 2017). 65 Thus, numerous comprehensive observations and studies on the planetary boundary layer (PBL) and air pollution 66 have been carried out in recent years. Severe pollution is closely related to emissions (Zhang et al. 2012; Wang 67 and Chen 2016), synoptic circulations (Wang et al., 2014; Wu et al., 2017; Liao et al., 2017; Miao et al., 2017a, b), 68 topography (Wang et al., 2018; Zhang et al., 2018) and physical and chemical reaction processes (Sun et al., 2015; 69 Zheng et al., 2015a; Yang et al., 2016). In addition to local emissions in Beijing, massive pollutants are generated 70 in southern Hebei Province and transported northward to Beijing through regional transportation (Miao et al., 71 2016; Chang et al., 2018; Han et al., 2018). Emissions in a particular area normally do not change much over a 72 short period; however, ILarge-scale atmospheric circulations play a leading role in the transportation, 73 accumulation and dispersion of pollution and thus result in the day-to-day variation of air gualitypollutants (Tai et 74 al., 20102012; Zhang, 2017; Wang et al., 2018). Zheng et al. (2015b) explored the relationships between AOD and 75 synoptic circulations and found that a uniform surface pressure field in eastern China or a steady straight westerly 76 in the middle troposphere is typically responsible for heavy pollution events. Miao et al. (2017-) specially 77 targeted summertime synoptic types, indicating that the horizontal transport of pollutants induced by the 78 synoptic forcing is the most important factor affecting the air quality of Beijing in summer. They also found that 79 synoptic patterns with high-pressure systems located to the east or southeast of Beijing are the most favorable 80 types for heavy aerosol pollution events. Li et al. (2020) quantitatively analyzed the contributions of different 81 large scale circulations toon PM2.5. Leung et al. (2018) indicated that daily PM2.5 had strong positive correlation 82 with temperature and relative humidity but negative correlation with sea-level pressure in northern China. The 83 PM2.5-to-climate sensitivities results were applied to predict future PM2.5 due to climate change, and found a 84 decrease of 0.5µg/m<sup>3</sup> in annual mean PM2.5 in the Beijing-Tianjin-Hebei region due to more frequent cold frontal

85 ventilation. Liu et al. (2019) found that the episodes of PM2.5 pollution over the Beijing-Tianjin-Hebei region in 86 winter were related to weather patterns such as the rear of a high-pressure system approaching the sea, a 87 high-pressure field, a saddle pressure field, and the leading edge of a cold front. Li et al. (2020) quantitatively 88 analyzed the contributions of different large-scale circulations toPM2.5. Many approaches have been used to 89 classify the synoptic circulations, which can be mainly divided into subjective and objective methods. Objective 90 weather typing methods have the advantages of convenient operation, high objectivity and efficiency, hence they 91 have been employed widely in recent years (Zhang et al., 2016; Ye et al., 2016; Miao et al., 2017a). In this study, 92 we adopt an objective Lamb-Jenkinson classification scheme to categorize the large-scale atmospheric 93 circulations centered on Beijing. The Lamb-Jenkinson approach, which is confirmed that the categorization results 94 have clear physical understanding, has been applied widely in previous studies (Huang et al., 2016; Liao et al., 95 2017; Yu et al., 2017).

96 In addition, Beijing is located in the North China Plain (NCP) region and is-surrounded by Yan and Taihang 97 Mountains to the north and west, respectively (Fig. 1b). The Bohai Sea lies to the southeast and is approximately 98 150 km from Beijing. This semibasin topography blocks and decelerates the relatively weak southerly airflows (Li 99 et al., 2007). Aerosol pollutants from southern provinces through regional transportation stagnate and converge 100 in front of the mountains, leading to the accumulation zone of pollution. In addition, Bohai Sea lies to the 101 southeast and is approximately 150 km from Beijing. This unique geographic location and topography results in 102 diurnal variations in the mountain-plain breeze (MPB) and sea-land breeze (SLB) under relative weak synoptic 103 circulationsflows. The SLB can penetrate deep into the mainland when it is blooming, and aerosol pollution 104 transported previously over the sea could be recirculated to the Beijing-Tianjin-Hebei region (Liu et al., 2009; 105 Miao et al., 2017a; Bei et al., 2018). As Beijing is surrounded by mountains and relatively far from the Bohai Sea, 106 the intensity of the MPB circulation is much stronger compared to the sea-land breeze circulation in Beijing (Chen 107 et al., 2009; Miao et al., 2015a, b), especially when synoptic circulations dominate in Bohai areas. Miao et al. 108 (2015b) found that the regional-scale MPB circulations can modulate aerosol pollution by lifting or suppressing 109 PBL. Chen et al. (2009) found that the MPB played an important role in the vertical transportation and dispersion 110 of pollutants via the mountain chimney effect.

111 The PBL structure is also a key factor affecting the distribution and intensity of pollutants in addition to the 112 circulations. The thermal structure of the PBL determines the vertical dispersion of aerosols. In the daytime 113 convective layer, air pollution tends to be mixed vertically and homogeneously because of intensified turbulence 114 and eddies of different sizes by radiation (Stull, 1988). After sunset, the turbulence decays and a stable boundary 115 layer forms with weak turbulence. A radiation inversion on the ground caps the pollutants and leads to the 116 accumulation near the surface. Hu et al. (2014) found that westerly warm advection above the Loess Plateau was 117 transported over the NCP and imposed a thermal inversion, which acted as a lid and capped the pollution in the 118 boundary layer (Xu et al., 2019). The dynamic structure of the PBL, including wind shears and turbulence, can 119 modify air quality by influencing the dispersion and transport processes of air pollutants (Li et al., 2019). Zhang et 120 al. (2020) found that a much weaker vertical wind shear was observed in the lower part of the PBL under polluted 121 conditions, compared with that under clean conditions, which could be caused by the strong ground-level PM2.5 122 accumulation induced by weak vertical mixing in the PBL. In turn, the particulate matter can also affect the PBL 123 structure by scattering and absorbing of solar radiation, and lead to severe pollution by positive feedback (Petaja 124 et al, 2016; Li et al, 2017). Ding et al. (2016) suggested that black carbon enhanced haze pollution in megacities in 125 China by heating upper PBL and cooling surface. Lou et al. (2019) investigated the relationships between PBL 126 height and PM2.5 and indicated that the strongest anticorrelation occurred in the NCP region at 1400 Beijing 127 time. However, due to the lack of comprehensive observation with high vertical resolution, the dynamic and 128 thermal PBL structure, as well as the mechanisms of how the synoptic circulations and regional-scale circulations

129 influence the PBL structure and air quality, is not well understood. Therefore, the relationships among the 130 multiscale circulations, PBL structure and air pollution should be studied in depth. Many classification approaches 131 have been used to discuss the distinctions of different synoptic circulations, which can be mainly divided into 132 subjective and objective methods. Objective weather classification methods have the advantages of convenient 133 operation, high objectivity and efficiency, hence they have been employed widely in recent years (Zhang et al., 134 2016; Ye et al., 2016; Miao et al., 2017a). In this study, we adopt an objective Lamb Jenkinson classification 135 scheme to categorize the large scale atmospheric circulations centered on Beijing. The Lamb Jenkinson approach 136 has been applied in many previous studies (Huang et al., 2016; Liao et al., 2017; Yu et al., 2017), which have 137 confirmed that the categorization results have clear physical understanding.

138 This study is based on different synoptic circulations and attempts to investigate the synergetic effects of 139 multiscale circulations on the PBL dynamic thermal structure and air pollution in detail. To sum up, because of 140 the unique topography and geographic location of Beijing, large-scale circulation and regional-scale 141 thermodynamic circulation both have appreciable impacts on PBL and air pollution. What are the characteristics 142 of PBL structure and the temporal and spatial distribution of pollution under different circulation types, and how 143 do the multiscale circulations jointly force the PBL structure to change when they coexist are still unrevealed. 144 Therefore, one objective of this study is to investigate the PBL dynamic-thermal structure and the distribution of 145 severe pollution area under the most frequent circulation types in Beijing. The other primary objective is to 146 further explore the synergetic effects of multiscale circulations on PBL and pollution in detail. Since the weather 147 typing approach is able to classify the synoptic circulations into different types and the high vertical resolution 148 remote sensing observations can measure the fine dynamic-thermal structures of PBL, the objectives can be 149 achieved by employing weather typing approach and remote sensing measurements as a necessary first step. The 150 remainder of this paper is organized as follows. Sect. 2 describes the instruments, data and method. Sect. 3 151 classifies the synoptic circulation types and selects typical types as research objects. Moreover, it further 152 investigates how the coupling mechanism of synoptic circulations and regional-scale circulations changes the 153 dynamic and thermal PBL structure and air pollution. Sect. 4 discusses the improvements on previous studies and 154 summarizes the main findingsprimary conclusions.

### 155 2. Data and Method

156 A PBL field observation experiment was performed from January 2018 to December 2019 basing on 157 multiple remote sensing devices, including Doppler Wind Profile Lidar, microwave radiometer (MWR) and 158 ceilometer in the courtyard of the Institute of Atmospheric Physics (39.6°N and 116.2°E), Chinese Academy of 159 Sciences, Beijing (Fig. 1b). We systematically probed the PBL dynamic structure, thermodynamic structure and the 160 vertical distribution of aerosols using the Lidar three-dimensional winds, the MWR temperature and humidity 161 profiles and the ceilometer backscattering coefficient respectively. The original remote sensing data, with high 162 temporal and spatial resolution, are fully capable to show the fine PBL dynamic-thermal structure. The reanalysis 163 data of mean sea level pressure (MSLP) and winds are used to depict the synoptic circulations, and winds from 164 hundreds of automatic weather stations to characterize the fine regional circulations. Thus, the synergistic 165 impacts of coexisting synoptic-scale and regional-scale circulations on the PBL dynamic-thermal structure and air 166 pollution in Beijing megacity can be well understood using the remote sensing and meteorological data in 167 combination with the Lamb-Jenkinson weather typing approach. The typical cases lasting two days in the same 168 weather type (C, SW, W and A) are on October 22 to 24, July 26 to 28, May 15 to 17 in 2019 and December 28 to 169 30 in 2018 respectively. Due to the algorithm limitations on the observation conditions, the data of backscattering 170 coefficient and temperature profiles are missing about 5 hours on July 27, 2019. it the remote sensing and 171 meteorological data

#### 172 2.1 Meteorological data

173The daily mean sea level pressure (MSLP) and wind fields at 850 hPa were obtained from the National174Center for Atmospheric Research (NCAR) reanalysis data (gridded at 2.5° × 2.5°). The divergence and vertical175velocity reanalysis data, with a horizontal resolution of 1° × 1° and a temporal resolution of 1 h, were obtained176from Re-analysis Interim (ERA-Interim) of European Centre for Medium-Range Weather Forecasts (ECMWF). The177hourly mean wind fields at the surface in the Beijing Tianjin Hebei area were collected by hundreds of automatic178weather stations operated observation data provided by the China Meteorological Administration (CMA).-

179 2.<del>2</del>-1 Remote sensing data

180 The high temporal and spatial resolution data of meteorological fields in the boundary layer are obtained by 181 multiple remote sensing devices\_are capable to show the fine PBL dynamic thermal structure. The measuring 182 location of ceilometer, Doppler Lidar and microwave radiometer (MWR) is 39.6°N and 116.2°E, in the courtyard of 183 the Institute of Atmospheric Physics, Chinese Academy of Sciences (Fig. 1b). Steyn et al. (1999) had shown that 184 the aerosol concentration in mixing layer (ML) is close to constant and significantly larger than that in the air 185 above. Thus, tThe ceilometer (CL31, Vaisala) BL-VIEW software derives the PBL height by BL VIEW software 186 according to the minimum value of the local backscatter gradient (Tang et al., 2015), basing on the assumption 187 that the aerosol concentration in mixing layer (ML) is close to constant and significantly larger than that in the air 188 above (Steyn et al., 1999). The BL-VIEW algorithm excluded profiles with fog, precipitation or low clouds, 189 therefore resulting in the missing value of attenuated backscatter coefficient on July 27, 2019 used in 190 southwesterly circulation. The vertical resolution of the backscatter is 10 meters and the maximal detection 191 range can reach 7.7 km. A full overlap is achieved by using the same telescope for transmitting and receiving so 192 that the backscatter can be used from the first range gate (Münkel et al, 2007). This gives a clear advantage over 193 other commonly used Automatic Lidars and Ceilometers that usually show great uncertainty in the range below 194 200–500 m (Kotthaus et al., 2018). Three possible PBL heights, with a temporal resolution of 10 minutes, can be 195 output simultaneously to characterize the multiple aerosol layers structure according to the first three largest 196 negative gradients of backscatter. The typical uncertainty of CL31 on attenuated backscatter coefficient is ±20 % 197 and is ±200 m on PBL height determination compared with radiosonde and other active remote sensors 198 [Tsaknakis et al., 2011). The intensity of backscatter are primarily determined by the concentrations of aerosol 199 particulates; hence, the PBL height derived from the BL VIEW is a material PBL.

200 A Windcube 100S scanning Doppler Lidar is used to measures the wind profiles basing on the Doppler shift 201 of aerosol particulate backscatter\_signals-using the light detection and ranging (Lidar) technique. Dai et al. (2020) 202 suggested that the Doppler Wind Profile Lidar is fully capable to measure three-dimensional winds by comparing 203 with cup anemometer and sonic wind anemometer. The vertical measuring range is from 50 m to 3.3 km. Several 204 scanning modes are available and the DBS (Doppler Beam Swinging technique) mode, which includes four LOS 205 (lines of sight) spaced 90° apart with a fixed elevation angle and one vertical LOS, is selected to detect the profiles 206 of winds. The vertical resolution of the profiles is 25 m and the temporal resolution is 20 s. The velocity 207 uncertainty along each LOS is associated with carrier-to-noise ratio (CNR) for each measurement volume following 208 the methodology from O'Connor et al. (2010). Typically, a threshold of -22 or -23 dB is used as a limit for the 209 accepted uncertainty in the Lidar measurements (Gryning et al., 2016), which corresponds to an uncertainty of 210 about 0.15 m s<sup>-1</sup> (Aitken et al., 2012; Suomi et al., 2017).

The temperature and relative humidity profiles in RPG-HATPRO MWR are determined by neural network (NN) algorithm, and the vertical resolution of the profiles is 10–30 m in the lowest 0.5 km, 40–90 m from 0.5 km to 2.5 km, 100–200 m from 2 km to 10 km, and the temporal resolution is 1 s. <u>The MWR used in this study has</u> <u>been tested by comparing with radiosonde observations (Zhao et al., 2019). The systematic errors increase with</u> altitude, and the MWR-retrieved temperature and relative humidity are of quite high reliability inside the PBL. The temperature biases and RMSEs are -2-0 °C and 1-2 °C under 2 km, and the minimum of biases and RMSEs are between 1 km and 2 km, less than 0.5 °C and 1.3 °C respectively. Since the relative humidity derived from the temperature and water vapor density, both the errors can cause the uncertainties. The bias and RMSE of relative humidity is about -5% and 15% under 2 km. Furthermore, the residual liquid droplets on the water film led to high brightness temperature measured by the MWR, resulting in the abnormal high values of the temperature

- 221 and humidity data. Therefore, data on July 27, 2019 were eliminated and substituted with missing values.
- 222 <u>2.2 Meteorological data</u>

223 The daily MSLP and wind at 850 hPa from the National Center for Atmospheric Research (NCAR) reanalysis 224 data (gridded at  $2.5^{\circ} \times 2.5^{\circ}$ ) were used to classify the synoptic circulation patterns and depict the background 225 circulations of the typical circulation types. The divergence and vertical velocity reanalysis data (gridded at  $1^{\circ} \times 1^{\circ}$ ) 226 with a temporal resolution of 1 h from Re-analysis Interim (ERA-Interim) of European Centre for Medium-Range 227 Weather Forecasts (ECMWF) were used to study the vertical motion in the mid-low troposphere in the NCP region 228 and its impact on PBL structure.. The hourly mean wind at the surface in the Beijing-Tianjin-Hebei area were 229 collected by hundreds of automatic weather stations operated by the China Meteorological Administration 230 (CMA).

231 2.3 Pollutant data

232 The hourly PM2.5 concentrations in the Beijing-Tianjin-Hebei monitoring sites are acquired from the 233 National Urban Air Quality Real-time Publishing Platform (http://106.37.208.233:20035/) issued by the Ministry of 234 Ecology and Environment. There are Beijing has 35 air quality monitoring stations in Beijing (Fig. 4a) and other 235 areas have 68 monitoring sites in Tianjin and Hebei provinces (Fig. 5, 7, 9)total. The PM2.5 concentration in 236 Beijing are shown in shaded by interpolating data of 35 sites, while the PM2.5 concentration in other areas are 237 shown in scatter with color as the spatial resolution is relative low. The PM2.5 data of Olympic Center station, 238 which is the closest monitoring site to the location of remote sensing measurements (less than 1 km), is used in 239 the circulation classification.

### 240 2.4 Method

241 The Lamb-Jenkinson weather typing (LWT) approach is widely adopted in large-scale circulation 242 classification (Lamb 1972; Jenkinson and Collison, 1977) because of its automation and explicit meteorologically 243 meaning. To classify the synoptic circulation types, the daily MSLP in 2018 and 2019 were used. The LWT scheme 244 is a half-objective categorization method. The weather patterns are predefined and each day can be identified 245 objectively as one certain type according to a small number of empirical rules (Trigo and DaCamara, 2000). As 246 shown in Fig. 1a, 16 gridded pressure data surrounding the study area (Beijing city) were selected to calculate the 247 direction and vorticity of geostrophic wind. The synoptic circulation can be classified into 26 types in total 248 including two vorticity types (cyclonic, C; anticyclonic, A), eight directional types (northeasterly, NE; easterly, E; 249 southeasterly, SE; southerly, S; southwesterly, SW; westerly, W; northwesterly, NW; and northerly, N), and sixteen 250 hybrid types (CN, CNE, CE, CSE, CS, CSW, CW, CNW, AN, ANE, AE, ASE, AS, ASW, AW, and ANW).

251 The gradient Richardson number (Ri) is the ratio of the buoyancy term to the shear term in the turbulent 252 kinetic equation. A negative Ri is an indication of buoyancy-generated turbulence, while positive Ri less than 0.25 253 indicates shear turbulence and dynamic instability. When Ri is larger than 0.25 and less than 1.0 the flows 254 become neutral, or exhibit hysteresis and still maintain turbulent. Otherwise, Ri larger than 1.0 means turbulent 255 flow will turn to be dynamically stable laminar (Stull, 1988). The distributional characteristics of Ri can reveal 256 whether the PBL has a stratified structure or not (Banakh et al., 2020). Thus, we adopt the critical values of 0.25 257 and 1.0 as a criterion to determine the PBL structure. It is able to estimate the atmospheric turbulent stability and <u>Ri</u> can be calculated by Equation 1, where g is the acceleration of gravity and  $\Delta z$  is the height interval between 258 adjacent layers. heta is the mean virtual potential temperature,  $\Delta u$  and  $\Delta v$  is the mean zonal and 259

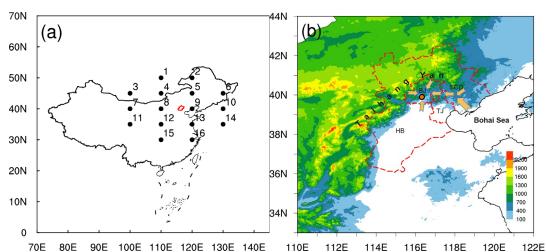
260 meridional wind speeds within the height interval respectively. Previous studies (Stull, 1988; Guo et al., 2016)

suggested that when Ri is smaller than the critical value (0.25), the laminar flow becomes unstable. Thus, we
 adopt the value of 0.25 as a criterion to determine whether the layer is stable or not.

263

ł

$$R_{i} = \frac{\frac{g}{\overline{\partial}} \frac{\Delta \overline{\partial}}{\Delta z}}{(\frac{\Delta \overline{u}}{\Delta z})^{2} + (\frac{\Delta \overline{v}}{\Delta z})^{2}}$$
(1)



264 265 Fig. 1 Location of Beijing city in China (red lines). The locations of 16 black points show the location of the 5°× 266 10°MSLP grids data of the 5°× 10° MSLP used for Lamb Jenkinson weather type classification (black dots) (a). The 267 terrain height of the North China Plain (shaded, units: m). The filled dots show and the locations of remote 268 sensing devices (orange dot) (b). The arrows indicate the horizontal coupling mechanism of how multiscale 269 circulations affect pollution by generating convergent zone. Fig. 1 The locations of 16 grid data of the 5°× 10° 270 MSLP used for Lamb-Jenkinson weather type classification (black dots) (a). The terrain height of the North China 271 Plain (shaded, units: m) (b). The location of Beijing city and the Beijing-Tianjin-Hebei region is marked by the red 272 solid lines and red dashed lines respectively. The orange dot indicates the location of remote sensing devices. The 273 arrows indicate the horizontal coupling mechanism of how multiscale circulations affect pollution by generating 274 convergent zone.

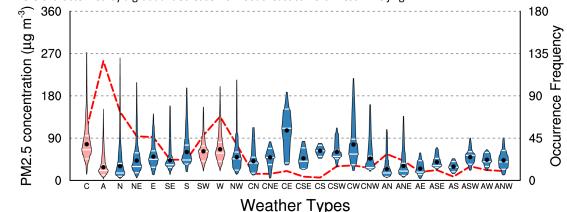
## 275 3. Results and Discussions

## 276 3.1 The typical weather types and PM2.5 distribution

277 Based on the Lamb-Jenkinson weather typing approach, synoptic circulations from 2018 to 2019 were 278 classified into predefined 26 circulation patterns and each day has a specific type. The distributional 279 characteristics of daily averaged PM2.5 concentration, as well as the occurrence frequency of different circulation 280 patterns, were statistically conducted. The occurrence frequencies of the two vorticity and eight directional types 281 were much higher than those of the other sixteen hybrid types, accounting for 75% of total days (Fig. 2). 282 According to the pollution intensity, three pollution types (cyclonic C, southwesterly SW and westerly W) and one 283 clean type (anticyclonic A) occurring most frequently in the NCP were selected as the studied circulation patterns. 284 It was consistent with the results of Li et al. (2020) on the relationship between pollutant concentration and 285 circulation types in northern China. Weather types with high PM2.5 concentration but occurring no more than 286 ten times, such as type CE and type CW, were not discussed in this article. The average and extreme PM2.5 287 concentrations of type C reached 77  $\mu$ g/m<sup>3</sup> and 270  $\mu$ g/m<sup>3</sup>, respectively, and were much stronger than the other 288 pollution types. Clearly, the cyclonic circulation pattern was more conducive to severe pollution events. The 289 circulation of type A was the most common type, and the PM2.5 concentration was 28  $\mu$ g/m<sup>3</sup>, which was the 290 lowest.

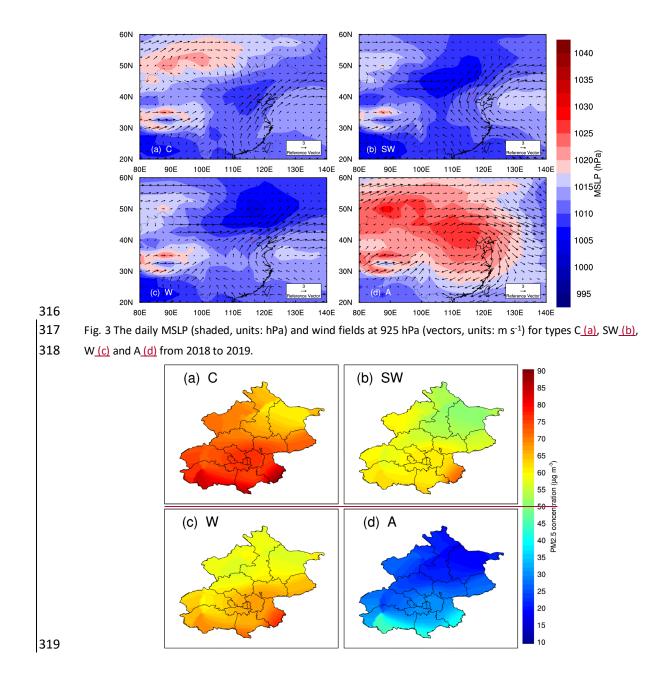
291 As shown in Fig. 3, the locations of the high and low pressures and the intensity of the wind fields at 925 292 hPa under different circulation patterns were clearly distinct. In type C, Beijing was located in the center of low 293 pressure, and the sea to the east of China was controlled by an anticyclone (Fig. 3a). Southwesterly winds 294 prevailed, flowing northward to Beijing along the periphery of the anticyclone with an average wind speed of 3 295 m/s. In type SW, Beijing lay southeast of the low pressure in Mongolia, and the high pressure over the sea was 296 significantly enhanced compared with type C (Fig. 3b). Therefore, southeasterly winds prevailed to the south of 297 Beijing and shifted southwesterly after flowing by. In type W, westerly winds were dominant and converged with 298 southwesterly flows to the north of Beijing (Fig. 3c). The mean velocity of environmental flows in type SW and 299 type W was observably larger than that in type C. In general, the mainland was mainly controlled by low pressure 300 with an anticyclone lying over the sea to the east of China in pollution types C, SW and W, and southerly flows 301 dominated at 925 hPa. By contrast, northern China in the clean type A was occupied by high pressure. Beijing was 302 located in the center of high pressure with strong northerly winds in the lower level (Fig. 3d).

303 The pollution intensity is closely related to the large-scale weather circulations. Although the dominant 304 synoptic patterns in different seasons vary greatly, the modulating effects on air pollution of specific circulation 305 types in different seasons are similar (Liao et al., 2017; Li et al., 2020). The spatial distribution of PM2.5 in Beijing 306 under pollution types C, SW, W and clean type A is shown in Fig. 4. Type C had the highest pollution level, with 307 the PM2.5 concentration increasing from 60  $\mu$ g/m<sup>3</sup> in the northwestern mountainous area to 90  $\mu$ g/m<sup>3</sup> in the 308 south-central plain area, which was significantly higher than the values for types SW and W. Type A was highly 309 ventilated, with a PM2.5 concentration below 30 µg/m<sup>3</sup> in most areas. Under the influence of semibasin 310 topography surrounded by mountains on three sides (Fig. 1b), the pollution concentrations in all weather types 311 were characterized by a gradual decrease from southeast to northwest in Beijing.



312

Fig. 2 Daily averaged PM2.5 concentration <u>in Olympic Center station</u> (box plots, units: 10<sup>-1</sup> μg m<sup>-3</sup>) and the occurrence frequencies of 26 weather types (red dashed lines) from 2018 to 2019. The red boxes represent classical types selected for research. The black dots represent the mean values.



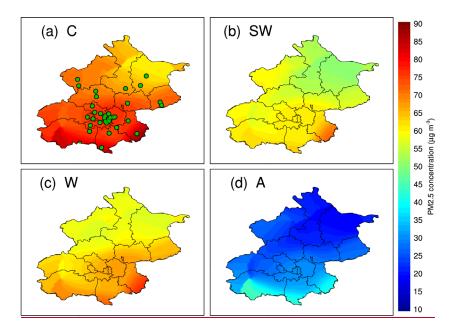


Fig. 4 The averaged PM2.5 concentration (shaded, units: 10<sup>-1</sup> μg m<sup>-3</sup>) in Beijing for types C<u>(a)</u>, SW<u>(b)</u>, W<u>(c)</u> and A
 (d) from 2018 to 2019. The green dots in Fig. 4a indicate the locations of air quality monitoring sites in Beijing.
 3.2 The flow field and dynamic-thermal structure of the PBL under typical weather

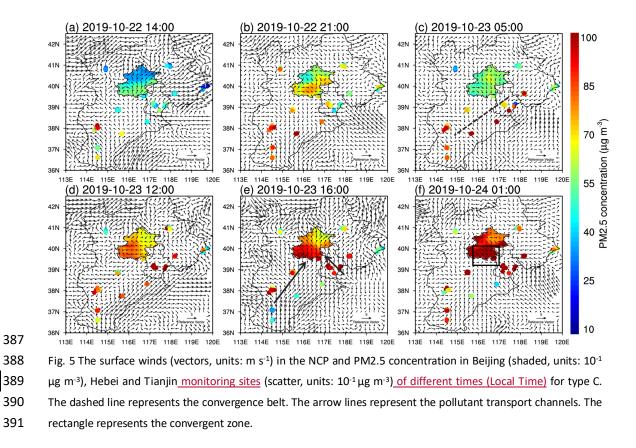
### 324 types

325 As mentioned above, due to the special topography and geographical location in Beijing, both large-scale 326 weather circulations and regional-scale thermal circulations have conspicuous effects on modulating pollution. In 327 addition, the thermal and dynamic structure of the PBL also has an appreciable impact on the mixing and 328 diffusion of pollutants. Therefore, the multiscale circulations can not only influence the pollution directly but also 329 influence it by changing the PBL structure indirectly. To reveal the mechanisms of how the coupling effects of 330 multiscale circulations affect the PBL structure and air pollution under different synoptic patterns, we conduct an analysis of the horizontal flow field and vertical PBL structure in depth by choosing typical cases lasting two days 331 332 in the same weather type (C, SW, W and A). The typical cases are on October 22 to 24, July 26 to 28, May 15 to 17 333 in 2019 and December 28 to 30 in 2018 respectively.

#### 334 3.2.1 Multilayer PBL structure under type C circulation

335 The mainland was governed by low pressure under type C synoptic circulations, and the ambient winds 336 were mainly southwesterly (Fig. 3a). On the afternoon of the-22nd, the plain breezes in central Hebei, which were 337 induced by thermal contrast between the mountain and plain, blocked weak environmental winds and the direct 338 transportation of pollutants to Beijing (Fig. 5a). The westerly and the northerly mountain breezes began to prevail 339 at night while the conversion from sea breeze to land breeze was not obvious (Fig. 5b). The onshore winds in the 340 coastal area were notably larger than the northerly mountain breezes in southern Chengde (SCD), which were 341 diverted to the west and east. The diverted easterly winds converged with the onshore winds, enhancing the 342 easterly winds and the east pollution transport channel. Sun et al. (2019) have found that the pressure gradients 343 between the plain and mountain areas are critical causes of the easterly winds in Beijing. Consequently, easterly 344 winds gathered with mountain breezes and formed a pollution convergent zone. Weak environmental winds not 345 only made the pollution channels hard to establish but also caused the pollutants to recirculate southward by 346 strong downslope breezes further in the early morning (Fig. 5c). A mesoscale convergent belt was generated in 347 southeastern Hebei, providing conditions for the transportation of pollutants later. At noon on the 23rd, the 348 intensified plain winds transported high concentrations of aerosols from the right side of the convergent belt to 349 Beijing (Fig. 5d). Large-scale environmental winds were strengthened and dominated in the afternoon (Fig. 5e), leading to the establishment of the south and east pollution transport channels and further exacerbating the air quality. On the night of the-23rd, easterly winds were observably strengthened again, joining with the downslope breezes and the ambient southerly flows (Fig. 5f). The four directional airflows formed a convergent zone that caused pollutants to accumulate dramatically in the plain areas. This convergent region that is generated by the coupling effect of large-scale circulation and regional-scale mountain breezes at night also appeared in other pollution types, as will be discussed later.

356 The PBL under type C circulation presented a multilayer structure without diurnal variation (Fig. 6a). The 357 highly stable structure and weak ambient winds resulted in a higher aerosol concentration near the surface than 358 that in the other pollution types (Fig. 4). The pollution decreased from bottom to top within the PBL and was 359 characterized by a gradient distribution. It is consistent with previous research (Jiang et al., 20202021) that the 360 top PBL height is equal to the maximum detection range of wind Lidar. In the daytime, environmental 361 southwesterly winds dominated within the PBL. In the horizontal flow fieldOn the night of the 22nd, 362 meridionalzonal winds from Tianjin to the southeast of Beijing turned to be easterly winds and the northerly 363 downslope winds in Beijing were strengthened later on the night of 22nd (Fig. 5b, 5c6b).- and the northerly downslope winds were strengthened simultaneously in the lower PBL (Fig. 5c, 6c). Inside the PBL, Eeasterly and 364 365 northerly winds were up extended to 600 m above the ground from 20 pm on 22nd to 10 am on 23rd (Fig. 6b, 6c), 366 thusso that the directional shear of meridional and zonal winds increased ascended considerably. The shallower 367 nocturnal PBL coincided with the zero speed zone between the upper environmental winds and lower 368 regional-scale breezes with the largest directional shear (Fig. 6b, c). Variations of the vertical dynamic structure in 369 the PBL drove the thermal structure to adjust. Warm air advected by large-scale southwesterly winds overlay on 370 the cold air advected by regional-scale northeasterly breezes. Consequently, a conspicuous advective temperature 371 inversion occurred near the shallower nocturnal PBL at 08-09 am on 22nd and 00-11 am on 23, ranging from 600 372 m to 900 m above the ground (Fig. 6d). The Richardson number Ri away from the temperature inversion structure 373 was less than 0.25 (turbulent region) during the night, while it increased considerably from the periphery of 374 inversion and was larger than 1.0 (stable region) promptly. The sharp jump of Ri from the turbulent region to the 375 stable region of inversion indicated a vertical stratified structure inside the PBL. The result suggested that the 376 nocturnal PBL has an inhomogeneous stratification structure characterized by strong variations of Ri accompanied 377 by inversion structureaccompanied by stable stratification (Fig. 6e). However, the relatively stronger northerly 378 breezes compared to the environmental winds made the pollutants recirculate southward horizontally (Fig. 5c, 6c). 379 Furthermore, the wind shear developed so high that the dynamically stable regionstratification was above 300 m 380 and the inversion was above 600 m; the pollutants dispersed vertically to some extent consequently (Fig. 5c, 6c). 381 Compared to the previous night, the ambient winds on the night of the 23rd were stronger; thus, both south and 382 east transport channels were established, along with the pollution convergent zone (Fig. 5f). The weak easterly 383 and northerly winds were lower than 300 m (Fig. 6b, c), resulting in temperature inversion and stable 384 stratification connected to the ground. A high concentration of pollution was accumulated in the convergent zone 385 horizontally and trapped below the lowest PBL vertically. Thus, the PM2.5 concentration on the night of the-23rd 386 was significantly higher than that on the 22nd.



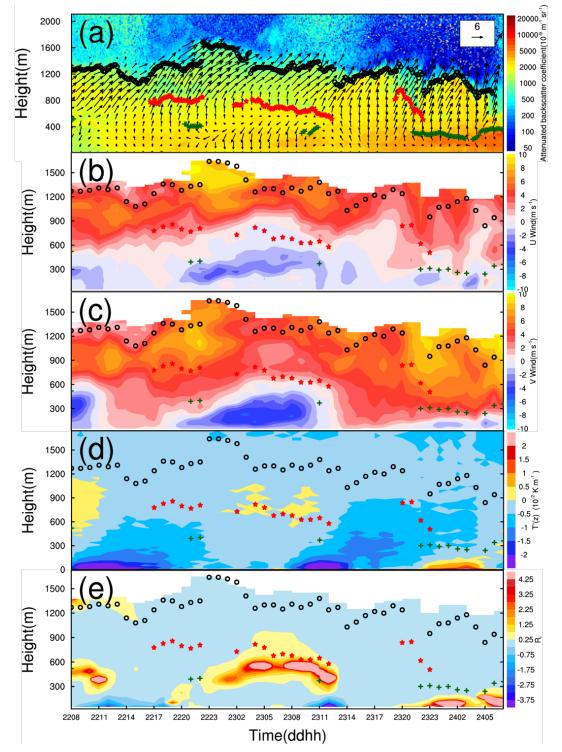


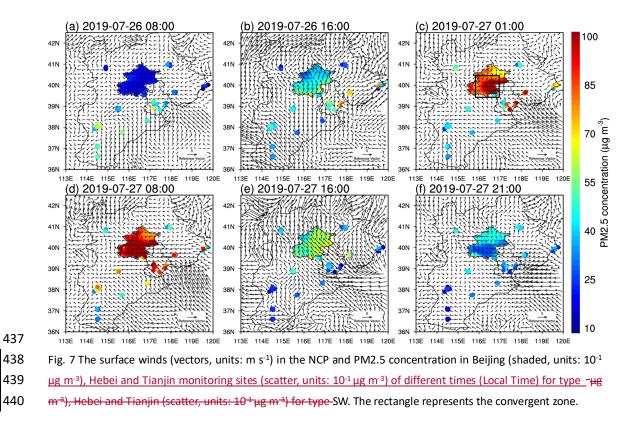
Fig. 6 Attenuated backscatter coefficient (shaded, units: 10<sup>-9</sup> m<sup>-1</sup> sr<sup>-1</sup>) <u>measured by ceilometer</u> and horizontal winds (vectors, units: m s<sup>-1</sup>) <u>measured by Lidar</u> (a), zonal wind <u>component</u> speeds (shaded, units: m s<sup>-1</sup>) (b), meridional wind <u>component</u> speeds (shaded, units: m s<sup>-1</sup>) (c), gradient of temperature T'(z) (shaded, units: K km<sup>-1</sup>) <u>measured by MWR</u> (d), and Richardson number (shaded) (e) for type C. The green crosses, red stars and black hollow dots represent the lowest, middle and top PBLH, respectively.

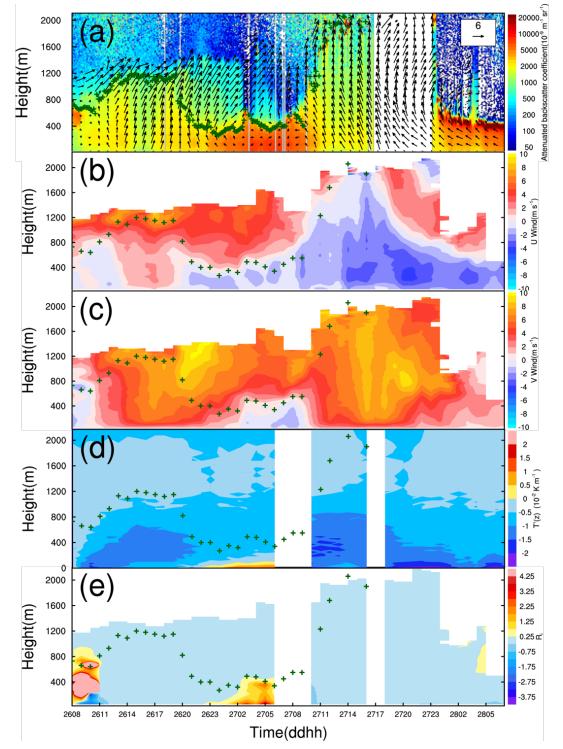
### 398 3.2.2 Mono-layer PBL structure under type SW circulation

399 Under type SW circulation, the easterly wind component increased in southeastern Hebei and the Bohai Sea,400 and the velocity of environmental winds was appreciably higher than that in type C. (Fig. 3b). On the early

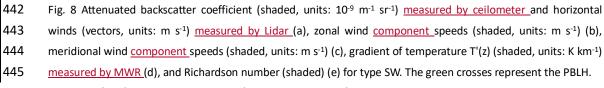
401 morning of the 26th, mountain breezes carrying clean air masses prevailed in Beijing, and the air quality was good 402 (Fig. 7a). The basic southerly winds dominated in the Beijing-Tianiin-Hebei region in the afternoon, transporting 403 pollutants northward and causing airflow to converge in plain areas (Fig. 7b). However, pollutants were ventilated 404 horizontally by strong ambient winds and diffused vertically by the intensified turbulent mixing within the 405 growing ML, so the aerosol concentration grew slowly during the day (Fig. 8a). At night, the mountain breezes 406 were strengthened while the ambient southerly winds were weakened; hence, the pollutants were transported to 407 Beijing via the east pollution channel (Fig. 7c). Multiscale circulations of different directions joined and generated 408 a convergent zone in the plain area. Afterwards, easterly flows were further strengthened and transported 409 pollutants to Beijing continuously, the severely polluted area moved westward (Fig. 7d, 8a). In the daytime of the 410 27th, the ambient winds prevailed again, and strong ambient winds removed pollutants by enhancing the 411 ventilation and turbulent mixing (Fig. 7e, 8a). Therefore, the PM2.5 concentration decreased instantly and the air 412 quality in the Beijing-Tianjin-Hebei region improved markedly (Fig. 7f).

413 Unlike type C, the PBL presented a monolayer structure in type SW, and the aerosol within the PBL was 414 uniformly distributed (Fig. 8a). Furthermore, the PBL had an obvious diurnal variation and the maximum 415 detection distance of wind Lidar was only consistent with the top ML in type SW. The nocturnal PBL and the 416 growing or collapsing ML were usually lower than the maximum detection distance, indicating that there were 417 residual aerosols above the PBL. In the daytime of the-26th, southwesterly winds dominated within the PBL, and 418 the temperature lapse rate was greater than 0.5 °C/100 m. Along with radiation reinforcing turbulent kinetic 419 energy, the PBL rose to 1200 m. Pollutants were transported to Beijing but mixed vertically (Fig. 8a), so the PM2.5 420 concentration near the surface grew slowly (Fig. 7b). On the night of the -26th, the regional-scale circulation 421 developed upward, and the vertical wind shears between the lower regional breezes and upper environmental 422 winds were strengthened prominently (Fig. 8b, c). The warm advection overlay on the cold advection resulted in 423 advective inversion, forcing the PBL to adjust to become stable, correspondingly (Fig. 8d, e). Correspondingly, Ri 424 experienced an appreciable increase from the turbulent region above the PBL to the stable region of below the 425 PBL (Fig. 8e). The nocturnal PBL has a homogeneous dynamically stable structure. Similar to type C, a high 426 concentration of pollutants was trapped below the zero wind speed zone where the nocturnal PBL was located. In 427 the daytime of the-27th, large-scale environmental winds within the PBL were strengthened greatly. The PBL 428 height was 800 m higher than that of the previous day; thus, the pollutants were advected horizontally and 429 diffused vertically (Fig. 8a). The basic southerly winds with high speed prevailed in central and southern Beijing on 430 the night of the 27th, preventing the mountain winds from flowing southward (Fig. 7f). As a result, no vertical 431 shear of meridional winds occurred in the dynamic field (Fig. 8c) and no temperature inversion occurred in the 432 thermal field (Fig. 8d). The PM2.5 concentration was further reduced. It can be inferred that the temperature 433 inversion in type SW was generated by the vertical thermal contrast of meridional winds. When the meridional 434 winds were uniformly southerly winds within and above the PBL, the air masses in the upper layer had the same 435 thermal properties as that in the lower layer, which will reduce the vertical wind shear and destroy the stable 436 inversion structure.





441

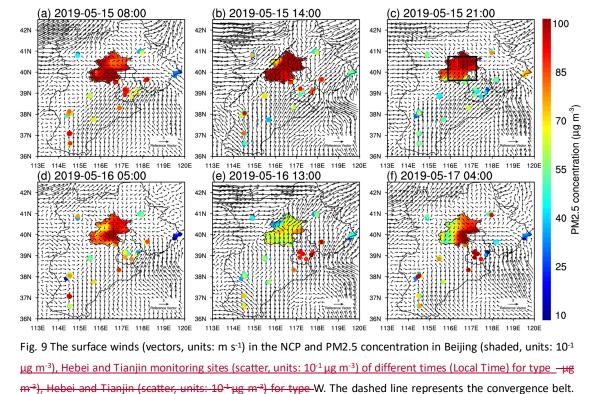


446 3.2.3 Hybrid structure PBL under type W circulation

Under type W circulation, strong easterly winds transported a high concentration of aerosols to Beijing
 through the east pollution channel, and the PM2.5 concentration had already reached a high level in the early
 morning (Fig. 9a). Taking the mountain as the boundary, environmental westerly winds prevailed in northwestern

450 Hebei and southwesterly winds prevailed in southern Hebei in the afternoon. The two directional flows carried 451 pollutants and formed a convergent belt along the western mountains (Fig. 3c, 9b). This distribution of synoptic 452 circulations in type W was conducive to the occurrence of severe pollution around mountains. Similar to other 453 pollution types, the ambient winds converged with region-scale mountain breezes at night, forming a convergent 454 zone (Fig. 9c). The convergent zone moved southward later because of intensified mountain breezes (Fig. 9d). The 455 large velocity of environmental winds leads to strong ventilation (Fig. 9e). In addition, the increasing PBL made 456 the pollutants diluted vertically, and the air pollution was alleviated temporarily. On night of the 16th (Fig. 9f), the 457 synergistic effects of multiscale circulations led to the convergent zone again, and pollution occurred in the 458 easterly flows with a high PM2.5 concentration.

459 The PBL under type W circulation presented a hybrid structure, having similar characteristics of types C and 460 SW simultaneously. Similar to type C, the aerosol concentration was characterized by a gradient distribution 461 within the multilayer PBL (Fig. 10a). However, the PBL had an obvious diurnal variation, and the maximum 462 detection distance of wind Lidar was only consistent with the top ML in the daytime, similar to type SW. Although 463 the PBL height reached 1600 m in the daytime (Fig. 10a), the PM2.5 concentration at the surface did not decrease 464 observably because of the massive pollution accumulated previously and the continuous emissions and 465 transportation of pollutants (Fig. 9b). The mixing layer collapsed along the zero wind speed of meridional winds 466 after sunset, and the breezes within nocturnal PBL shifted northwesterly at night (Fig. 10b, c). In type W, zonal 467 circulation dominated. The vertical shear of zonal winds was intensified significantly at night, while the vertical 468 shear of meridional winds diminished. Therefore, it can be assumed that the temperature inversion in type W was 469 produced by the vertical shear of zonal winds. The thermal contrast between the upper westerly winds and the 470 lower easterly winds produced a deep inversion layer that existed from the surface to 500 m (Fig. 10d), as well as 471 a dynamically stable structurestratification with a depth exceeding 600 m (Fig. 10e). This is consistent with the 472 findings of Hu et al. (2014) that westerly warm advection from the Loess Plateau was transported over the NCP 473 and imposed a thermal inversion above the PBL. The top of the PBL was consistent with the top of the inversion 474 and zero wind speed zone, and a high concentration of aerosols was trapped below the zero wind speed zone.



475

476

477



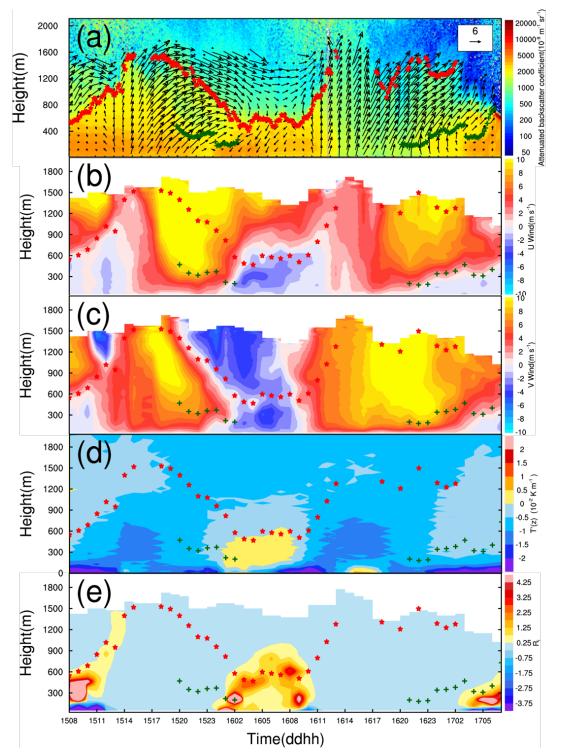
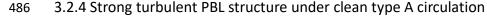


Fig. 10 Attenuated backscatter coefficient (shaded, units: 10<sup>-9</sup> m<sup>-1</sup> sr<sup>-1</sup>) <u>measured by ceilometer</u> and horizontal winds (vectors, units: m s<sup>-1</sup>) <u>measured by Lidar</u> (a), zonal wind <u>component</u> speeds (shaded, units: m s<sup>-1</sup>) (b), meridional wind <u>component</u> speeds (shaded, units: m s<sup>-1</sup>) (c), gradient of temperature T'(z) (shaded, units: K km<sup>-1</sup>) <u>measured by MWR</u> (d), and Richardson number (shaded) (e) for type W. The green crosses and red stars represent the low and top PBLH, respectively.



487 Strikingly different from the circulations of pollution types, the mainland was under high pressure control in

488 the clean type, and northwesterly winds with a high velocity carrying clean air masses moved southward (Fig. 489 11a). Strong winds were favorable for the turbulent mixing and the vertical dispersion of pollutants. In addition, 490 the strong ventilation was beneficial to the horizontal spreading of pollutants. Due to the intense turbulent 491 mixing, the vertical wind shear and the diurnal variation of thermal field disappear, and there is no significant 492 distinct PBL structure different from the free atmosphere (Fig. 11a, b). The lapse rate of temperature was greater 493 than 1 °C/100 m, and Ri was less than 0.25 within the PBL (not shown). Although the aerosol concentration of the 494 clean type was far less than that of pollution types, the PBL height was only 500 m at night (Fig. 11a). Sometimes, 495 the PBL in the clean type was even lower than that of pollution types, or extended to 2-3 km swiftly because of 496 the instant upward diffusion of aerosol particulates. Unlike pollution types, the PBL height is inconsistent with the 497 maximum detection range of wind Lidar. Therefore, different circulation types should be distinguished when 498 analyzing the long-term relationships between the PBL height and pollution concentration. As shown in Fig. 12 c 499 and d, under the governing of high pressure, descending and divergent airflows of the clean type dominated the 500 whole lower and middle parts of the troposphere, and the sinking velocity was significantly higher than that of 501 pollution types. The vertical velocity changed little vertically due to the northerly winds with a large speed 502 penetrating downward. The intensity of sinking and divergence was higher at night than that in the day, with the 503 strongest divergence occurring near the surface.

#### 504 3.3 Multiscale circulations coupling mechanism for air pollution

505 In addition to horizontal circulations, the vertical motion of basic airflows is also a crucial dynamic factor in 506 forming stable stratification structure during pollution episodes. The pollution types shared similar vertical 507 motion characteristics as shown in Fig. 12. The basic flows at the bottom of the troposphere is convergence and 508 the flows above it is divergence at all times of a day (Fig. 12b). In the daytime, the environmental southerly winds 509 ware obstructed on three sides by mountains. Airflows slowed down or stagnated in the plain areas, forming the 510 topographic convergence. While at night the convergence was caused by the joint of environmental winds and 511 regional breezes, and the height of convergence zone reduced simultaneously with the nocturnal PBL because the 512 regional circulations developed below the shallower nocturnal PBL. Unlike the divergence field, the vertical 513 velocity in the daytime differed from that in the nighttime because of the diurnal variations of PBL structure (Fig. 514 12a). In the daytime, the thermodynamic convection and the wind speed were enhanced expressively (Fig. 8a, 515 10a), thus the intensified turbulence will help the flows to move upward and cause the pollutants close to the 516 ground to mix vertically within the PBL to some extent. In the daytime, the NCP region was controlled by a rising 517 motion at the bottom of troposphere (below about 875 hPa)below 900 hPa with a sinking motion overlaying it 518 (Fig. 12a). Correspondingly, the basic flows at the bottom of troposphere below 900 hPa presented a convergence, 519 while that above 900 hPa presented a divergence (Fig. 12b). Airflows inside the PBL converged and rose, while 520 However, the sinking and divergent flows superposed above the PBL, preventing the pollutants from moving 521 upward continuously and making it difficult for the aerosol particulates to diffuse beyond. As a consequence, the 522 pollutants accumulate slowlygradually in the daytime because of the common influences of horizontal 523 topographic blocking and vertical upward mixing with the increasing PBLML-rise. However in nighttime, as the 524 thermodynamic convection weakened and the inversion structure formed, it turned to be sinking movement at 525 the bottom of the troposphere when the cold northerly regional breezes prevailed. At night, the winds presented 526 a consistent sinking motion below 500 hPa with the largest sinking velocity occurring near the surface (Fig. 12a). 527 Wu et al. (2017) found that the descending motion of synoptic circulations contributed to a reduction in the PBLH 528 by compressing the air mass. In general, the airflow of pollution types is always convergent inside the PBL with 529 the strongest convergence occurring at 950 hPa, regardless of whether it is daytime or nighttime. The height of 530 the nocturnal PBL reduced observably and simultaneously with the convergence zone; meanwhile, divergent 531 downdrafts above the PBL make it difficult for pollutants to diffuse upward (Fig. 12b). Thus Therefore, massive

pollutants were capped near the surface and accumulated rapidly at night under the convergent sinking motion
 accompanied by temperature inversion structure.

534 To sum up, different pollution patterns (C, SW and W) have similar influential mechanisms that both 535 horizontal and vertical coupling effects of the multiscale circulations have contributed to air pollution. The 536 horizontal coupling mechanism is shown in Fig. 1b. The environmental winds transport pollutants emitted from 537 southern sources to Beijing, mainly through south and east pollution channels. Large-scale environmental winds 538 and regional-scale breezes are coupled, generating a convergent zone of four directional flows horizontally and 539 aggravating the air pollution directly at night. The relative strength of winds makes the severely polluted area 540 move around horizontally from 39°N to 41°N. The schematic of Fig. 13 demonstrates that the vertical coupling 541 mechanism further influences the mixing and dispersion of pollution indirectly by changing the PBL structure. In 542 the daytime, the sinking divergent flows overlaying the rising convergent flows within the PBL inhibit the 543 continuous upward dispersion of pollutants. At night, the warm advection transported by the upper 544 environmental winds overlies the cold advection transported by the lower regional breezes, generating strong 545 directional wind shear and advective inversion, which are near the top of regional breezes. This dynamic structure forces the PBL to be a stable stratification. The nocturnal PBL is located at the zero speed zone between the 546 547 regional-scale breezes and the environmental winds, and the relative strength of winds determines the PBL height. 548 The capping inversion cooperating with the convergent sinking motion within the PBL suppresses massive 549 pollutants below the zero speed zone.

550 However, the flow field and the PBL dynamic thermal structure under different synoptic circulations vary 551 widely with the location and intensity of high and low pressure and wind fields, resulting in differences in 552 pollution. The multilayer PBL under type C circulation has no obvious diurnal variation. Weak ambient winds 553 strengthen the mountain breezes observably at night. Thus, the temperature inversion and zero speed zone can 554 reach 600 m to 900 m vertically, and the pollution convergent zone occurs in the plain areas horizontally. By 555 contrast, the PBL under type SW circulation is a mono layer with obvious diurnal variation, reaching 2000 m in 556 the daytime. The strong environmental winds restrain the development of regional breezes, the zero speed zone 557 is located at 400 m and the temperature inversion is lower than 200 m at night. The inversion is generated by the 558 vertical shear of meridional winds at night. Southerly winds within and above the PBL having the same thermal 559 properties will diminish the vertical shear and damage the advective inversion structure. The type W circulation is 560 governed by zonal motion and the PBL has a hybrid structure with both multiple aerosol layers and diurnal 561 variations. The vertical comparison of zonal winds leads to a much deeper inversion and stable stratification. The 562 pollution zone under types SW and W circulations is closer to mountainous areas because of strong ambient 563 winds. Furthermore, strong ambient winds make the pollutants ventilate horizontally and diffuse vertically with 564 the growing ML in the daytime.

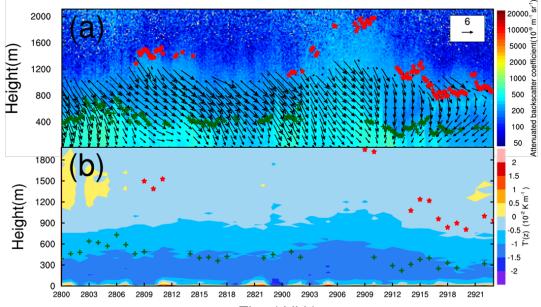
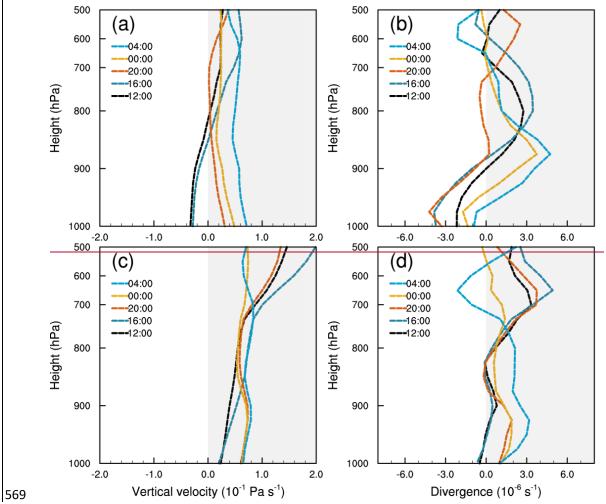






Fig. 11 Attenuated backscatter coefficient (shaded, units: 10<sup>-9</sup> m<sup>-1</sup> sr<sup>-1</sup>) <u>measured by ceilometer</u> and horizontal winds (vectors, units: m s<sup>-1</sup>) <u>measured by Lidar (a)</u>, and gradient of temperature T'(z) (shaded, units: K km<sup>-1</sup>) <u>measured by MWR (b)</u> for type A. The green crosses and red stars represent the low and top PBLH, respectively.



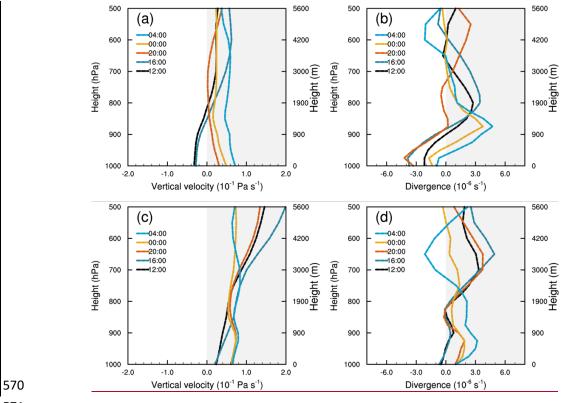
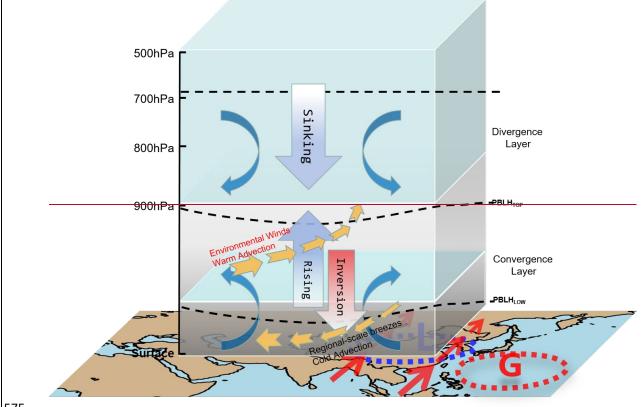
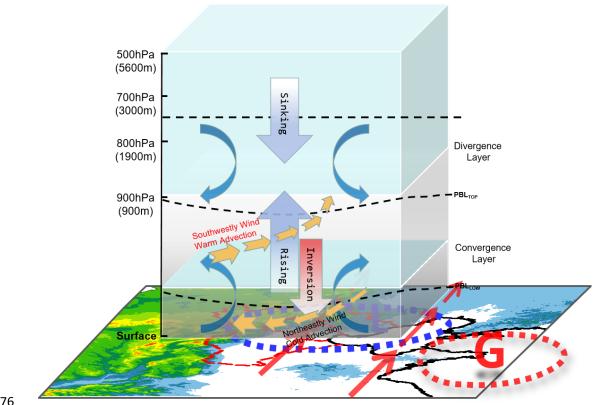




Fig. 12 The averaged vertical velocity (units: Pa s<sup>-1</sup>, negative (positive) value denotes updraft (downward) movement) (a, c) and divergence (units:  $10^{-5}$  s<sup>-1</sup>) (b, d) of pollution types (a, b) and the clean type (c, d) in the North China Plain





576

Fig. 13 The <u>schematic of vertical coupling mechanism of how-multiscale circulations for typical pollution types;</u>
 affect pollution by changing t<u>The horizontal part is the background circulations of MSLP. The vertical part is the</u>
 PBL dynamic-thermal structure <u>over the NCP region</u>

# 580 4. Conclusions and Discussion

581 This paper explores the direct regulatory effect and indirect coupling effect of synoptic circulations by 582 choosing the most frequent pollution types and clean type classified by LWT approach. The PBL dynamic-thermal 583 structure and the severe pollution area under typical circulations types are further investigated. Results suggest 584 that different pollution patterns have similar influential mechanisms on PBL structure and air pollution. The direct 585 regulatory effect of synoptic circulations plays a leading role in the daytime, large-scale southerly winds dominate 586 and are favorable for the pollution transport to NCP region and the accumulation in front of mountains in the 587 early stage of pollution. However during the period of pollution, the relative stronger southerly winds and the 588 increasing PBL height are adverse to the accumulation of pollutants, or even make pollutants ventilated 589 horizontally and diluted vertically. While the indirect effect played a leading role in the nighttime by coupling 590 mechanisms. Based on Lamb Jenkinson weather typing, the most frequent typical pollution types and clean type 591 were chosen to explore the flow field and the PBL structure under different synoptic patterns. In addition, the 592 horizontal and vertical coupling mechanisms of multiscale circulations, which aggravated pollution synergistically, 593 were further revealed. The results show that different pollution patterns have similar influential mechanisms for 594 air pollution. The coexisting multiscale circulations at night, on the one hand, affect the pollution directly via the 595 horizontal coupling effect, which produces a pollution convergent zone of different direction winds. The relative 596 strength of winds makes the severely polluted area move around horizontally between 39°N and 41°N. On the 597 other hand, the multiscale circulations regulate the mixing and diffusion of pollutants-indirectly by the vertical 598 coupling effect, which changes the PBL dynamic and thermal structure. Vertical shear between the ambient winds 599 and regional-scale breezes leads to advective inversion structure with strong variations of Ri. The nocturnal shallower PBL is consistent with the zero velocity zone, where massive pollutants were suppressed below, and the
 relative strength of winds determines the PBL height. Vertical shear between the ambient winds and
 regional scale breezes leads to advective inversion and stable stratification, and the relative strength of winds
 determines the PBL height. Massive pollutants were suppressed below the zero speed zone by the capping
 inversion and the convergent sinking motion within the PBL.

605 The multilayer PBL under type C circulation has no diurnal variation. Weak ambient winds strengthen the 606 mountain breezes observably at night, as a result the vertical shear and temperature inversion can reach 600m 607 and 900 m respectively. An inhomogeneous stratification with sharp jump of Ri is formed from the periphery of 608 inversion. The severe polluted area was located to the south of Beijing. The mono-layer PBL under southwesterly 609 circulation with obvious diurnal variation can reach 2000 m in the daytime. Strong environmental winds restrain 610 the development of regional breezes at night, the zero speed zone is located at 400 m and the inversion 611 generated by the vertical shear of meridional winds is lower than 200 m. Southerly winds within and above the PBL having the same thermal properties will diminish the vertical shear and damage the advective inversion 612 613 structure. The PBL under westerly circulation has a hybrid structure with both multiple aerosol layers and diurnal 614 variation. The inversion is generated by the vertical shear of zonal winds. The polluted areas under southwesterly 615 and westerly circulations are located more northerly. Clean and strong north winds are dominated under 616 anticyclone circulation, the vertical shear and the diurnal variation of thermal field disappear and there is no 617 distinct PBL structure.

618 This study suggests that synoptic-scale circulations or the regional-scale circulations don't influence the PBL 619 structure and air pollution separately but by the synergistic ways instead. The new knowledge of the coupling 620 mechanism of multiscale circulations has appreciable implications for deepening the understanding of 621 cooperation of influential factors in severe pollution processes in the background of unique topography. The new 622 findings about the PBL dynamic-thermal structure and the distribution of pollution provide a reference for 623 forecasting the severe pollution area under the most frequent synoptic circulation types in Beijing. Although the 624 essential impacts of synoptic-scale and regional-scale circulations on PBL dynamic-thermal structure are 625 emphasized in the paper, the feedback impact of aerosols should not be neglect either when investigating the PBL 626 structure and air pollution. The distinctions of the flow field and PBL dynamic thermal structure result in the 627 differences of horizontal and vertical pollution, respectively. Based on the fact that both the flow field and PBL 628 structure are dominated by synoptic circulations, the atmospheric environmental capacity (AEC) may vary day by 629 day following the changes in the circulations. Especially when the pollution and meteorological conditions are 630 layered within the PBL, the traditional calculation approach of AEC, which treats the PBL as a uniform and 631 homogenous layer, is no longer applicable. Future work on the quantitative relationships between the PBL 632 structure and air pollution under different weather patterns still needs to be performed. The algorithm of AEC 633 under synoptic circulations with a multilayer PBL, such as cyclonic type circulation, also needs to be improved.

## 634 Data availability

The hourly ground level PM2.5 concentration data can be obtained from the National Urban Air Quality
Real-time Publishing Platform (http://106.37.208.233:20035/). Other data used in this study can be acquired upon
request to the corresponding author.

## 638 Competing interests

639 The authors declare that they have no known competing financial interests or personal relationships that640 could have appeared to influence the work reported in this paper.

### 641 Author contribution

542 JY performed the idea, methodology, data processing, visualization and writing. XJ provided writing 543 guidance and funding, revised and polished the paper. WY performed supervision. TG contributed to observation 644 data and discussions of results. ZY provided the research data and method. JD, ZD, WM and DL participated in the 645 discussions. WL, WT and WF provided resources. All the authors have made substantial contributions to this 646 article. XJ designed the study. JY, WY, TG, JD, ZD, WM, DL WL, WT, WF contributed to observation data, provided 647 experimental assistance and analyzed methodology. JY and XJ wrote the paper with inputs from all the other 648 authors.

# 649 Acknowledgments

This study was supported by <u>the National Key Research and Development Program of China</u>the Ministry of
 Science and Technology of China (grant number 2016YFC0202001) and the <u>Chinese Academy of SciencesCAS</u>
 Strategic Priority Research Program (XDA23020301).

# 653 References

- Aitken, M. L., Rhodes, M. E., and Lundquist, J. K.: Performance of a Wind-Profiling Lidar in the Region of Wind
   Turbine Rotor Disks, Journal of Atmospheric and Oceanic Technology, 29, 347-355, 2012.
- Banakh, V. A., Smalikho, I. N., and Falits, A. V.: Wind-Temperature Regime and Wind Turbulence in a Stable
   Boundary Layer of the Atmosphere: Case Study, Remote Sensing, 12, 955, 2020.
- Bei, N., Zhao, L., Wu, J., Li, X., Feng, T., and Li, G.: Impacts of sea-land and mountain-valley circulations on the air
   pollution in Beijing-Tianjin-Hebei (BTH): A case study, Environmental Pollution, 234, 429-438, 2018.
- 660 <u>Chen, Y., Zhao, C., Zhang, Q., Deng, Z., Huang, M., and Ma, X.: Aircraft study of Mountain Chimney Effect of Beijing,</u>
   661 <u>China, Journal of Geophysical Research-Atmospheres, 114, 2009.</u>
- 662 <u>Cheng, Z., Luo, L., Wang, S., Wang, Y., Sharma, S., Shimadera, H., Wang, X., Bressi, M., de Miranda, R. M., Jiang, J.,</u>
   663 <u>Zhou, W., Fajardo, O., Yan, N., and Hao, J.: Status and characteristics of ambient PM2.5 pollution in global</u>
   664 <u>megacities, Environment International, 89-90, 212-221, 2016.</u>
- Dai, L., Xin, J., Zuo, H., Ma, Y., Zhang, L., Wu, X., Ma, Y., Jia, D., and Wu, F.: Multilevel Validation of Doppler Wind
   Lidar by the 325 m Meteorological Tower in the Planetary Boundary Layer of Beijing, Atmosphere, 11, 1051,
   2020.
- bing, A. J., Huang, X., Nie, W., Sun, J. N., Kerminen, V. M., Petaja, T., Su, H., Cheng, Y. F., Yang, X. Q., Wang, M. H.,
   Chi, X. G., Wang, J. P., Virkkula, A., Guo, W. D., Yuan, J., Wang, S. Y., Zhang, R. J., Wu, Y. F., Song, Y., Zhu, T.,
   Zilitinkevich, S., Kulmala, M., and Fu, C. B.: Enhanced haze pollution by black carbon in megacities in China,
   Geophysical Research Letters, 43, 2873-2879, 2016.
- Fu, G. Q., Xu, W. Y., Yang, R. F., Li, J. B., and Zhao, C. S.: The distribution and trends of fog and haze in the North
   China Plain over the past 30 years, Atmospheric Chemistry and Physics, 14, 11949-11958, 2014.
- 674 <u>Gryning, S.-E., Floors, R., Pena, A., Batchvarova, E., and Bruemmer, B.: Weibull Wind-Speed Distribution</u>
   675 <u>Parameters Derived from a Combination of Wind-Lidar and Tall-Mast Measurements Over Land, Coastal and</u>
   676 <u>Marine Sites, Boundary-Layer Meteorology, 159, 329-348, 2016.</u>
- Hu, X.-M., Ma, Z., Lin, W., Zhang, H., Hu, J., Wang, Y., Xu, X., Fuentes, J. D., and Xue, M.: Impact of the Loess
   Plateau on the atmospheric boundary layer structure and air quality in the North China Plain: A case study,
   Science of the Total Environment, 499, 228-237, 2014.
- Huang, M., Gao, Z., Miao, S., and Xu, X.: Characteristics of sea breezes over the Jiangsu coastal area, China,
   International Journal of Climatology, 36, 3908-3916, 2016.
- Jenkinson, A. F., and Collison, F. P.: An initial climatology of gales over the North Sea, Synoptic climatology branch
   memorandum, 62, 18, 1977.

- Jiang, Y., Xin, J., Zhao, D., Jia, D., Tang, G., Quan, J., Wang, M., and Dai, L.: Analysis of differences between
   thermodynamic and material boundary layer structure: Comparison of detection by ceilometer and
   microwave radiometer, Atmospheric Research, 248, 105179, 2021.
   Kotthaus, S., and Grimmond, C. S. B.: Atmospheric boundary-layer characteristics from ceilometer measurements.
- 688 Part 1: A new method to track mixed layer height and classify clouds, Quarterly Journal of the Royal
   689 Meteorological Society, 144, 1525-1538, 2018.
- Lamb, H. H., and Hh, L.: British Isles weather types and a register of the daily sequence of circulation patterns
   1861-1971, 1972.
- Leung, D. M., Tai, A. P., Mickley, L. J., Moch, J. M., Donkelaar, A. V., Shen, L., and Martin, R. V.: Synoptic
   meteorological modes of variability for fine particulate matter (PM2.5) air quality in major metropolitan
   regions of China, Atmospheric Chemistry and Physics, 18, 6733-6748, 2018.
- <u>Li, L.-j., Ying, W., Zhang, Q., Tong, Y. U., Yue, Z., and Jun, J. I. N.: Spatial distribution of aerosol pollution based on</u>
   <u>MODIS data over Beijing, China, Journal of Environmental Sciences, 19, 955-960, 2007.</u>
- Li, M., Wang, L., Liu, J., Gao, W., Song, T., Sun, Y., Li, L., Li, X., Wang, Y., Liu, L., Daellenbach, K. R., Paasonen, P. J.,
   Kerminen, V.-M., Kulmala, M., and Wang, Y.: Exploring the regional pollution characteristics and
   meteorological formation mechanism of PM2.5 in North China during 2013-2017, Environment International,
   134, 105283, 2020.
- Li, X., Ma, Y., Wei, W., Zhang, Y., Liu, N., Hong, Y., and Wang, Y.: Vertical Distribution of Particulate Matter and its
   Relationship with Planetary Boundary Layer Structure in Shenyang, Northeast China, Aerosol and Air Quality
   Research, 19, 2464-2476, 2019.
- Li, Z., Guo, J., Ding, A., Liao, H., Liu, J., Sun, Y., Wang, T., Xue, H., Zhang, H., and Zhu, B.: Aerosol and
   boundary-layer interactions and impact on air quality, National Science Review, 4, 810-833, 2017.
- Liao, Z., Gao, M., Sun, J., and Fan, S.: The impact of synoptic circulation on air quality and pollution-related human
   health in the Yangtze River Delta region, Science of the Total Environment, 607, 838-846, 2017.
- Liu, S., Liu, Z., Li, J., Wang, Y., Ma, Y., Sheng, L., Liu, H., Liang, F., Xin, G., and Wang, J.: Numerical simulation for the
   coupling effect of local atmospheric circulations over the area of Beijing, Tianjin and Hebei Province, Science
   in China Series D-Earth Sciences, 52, 382-392, 2009.
- Liu, Y., Wang, B., Zhu, Q., Luo, R., Wu, C., and Jia, R.: Dominant Synoptic Patterns and Their Relationships with PM
   2.5 Pollution in Winter over the Beijing-Tianjin-Hebei and Yangtze River Delta Regions in China, Journal of
   Meteorological Research, 33, 765-776, 2019.
- Lou, M., Guo, J., Wang, L., Xu, H., Chen, D., Miao, Y., Lv, Y., Li, Y., Guo, X., Ma, S., and Li, J.: On the Relationship
   Between Aerosol and Boundary Layer Height in Summer in China Under Different Thermodynamic
   Conditions, Earth and Space Science, 6, 887-901, 2019.
- Miao, Y., Guo, J., Liu, S., Liu, H., Li, Z., Zhang, W., and Zhai, P.: Classification of summertime synoptic patterns in
   Beijing and their associations with boundary layer structure affecting aerosol pollution, Atmospheric
   Chemistry and Physics, 17, 3097-3110, 2017.
- Miao, Y., Hu, X.-M., Liu, S., Qian, T., Xue, M., Zheng, Y., and Wang, S.: Seasonal variation of local atmospheric
   circulations and boundary layer structure in the Beijing-Tianjin-Hebei region and implications for air quality,
   Journal of Advances in Modeling Earth Systems, 7, 1602-1626, 2015a.
- Miao, Y., Liu, S., Zheng, Y., Wang, S., Chen, B., Zheng, H., and Zhao, J.: Numerical study of the effects of local atmospheric circulations on a pollution event over Beijing-Tianjin-Hebei, China, Journal of Environmental Sciences, 30, 9-20, 2015b.
- Muenkel, C., Eresmaa, N., Rasanen, J., and Karppinen, A.: Retrieval of mixing height and dust concentration with
   lidar ceilometer, Boundary-Layer Meteorology, 124, 117-128, 2007.

728 O'Connor, E. J., Illingworth, A. J., Brooks, I. M., Westbrook, C. D., Hogan, R. J., Davies, F., and Brooks, B. J.: A 729 Method for Estimating the Turbulent Kinetic Energy Dissipation Rate from a Vertically Pointing Doppler Lidar, 730 and Independent Evaluation from Balloon-Borne In Situ Measurements, Journal of Atmospheric and Oceanic 731 Technology, 27, 1652-1664, 2010. 732 Petaja, T., Jarvi, L., Kerminen, V. M., Ding, A. J., Sun, J. N., Nie, W., Kujansuu, J., Virkkula, A., Yang, X. Q., Fu, C. B., 733 Zilitinkevich, S., and Kulmala, M.: Enhanced air pollution via aerosol-boundary layer feedback in China, 734 Scientific Reports, 6, 18998, 2016. 735 Quan, J., Tie, X., Zhang, Q., Liu, Q., Li, X., Gao, Y., and Zhao, D.: Characteristics of heavy aerosol pollution during 736 the 2012-2013 winter in Beijing, China, Atmospheric Environment, 88, 83-89, 2014. 737 Song, C., Wu, L., Xie, Y., He, J., Chen, X., Wang, T., Lin, Y., Jin, T., Wang, A., and Liu, Y.: Air pollution in China: Status 738 and spatiotemporal variations, Environmental pollution, 227, 334-347, 2017. 739 Steyn, D. G., Baldi, M., and Hoff, R. M.: The detection of mixed layer depth and entrainment zone thickness from 740 lidar backscatter profiles, Journal of Atmospheric and Oceanic Technology, 16, 953-959, 1999. 741 Stull, R. B.: An Introduction to Boundary Layer Meteorology, Kluwer Academic Publishers, Dordrecht, 1988. 742 Sun, Z.-b., Wang, H., Guo, C., Wu, J., Cheng, T., and Li, Z.-m.: Barrier effect of terrain on cold air and return flow of 743 dust air masses, Atmospheric Research, 220, 81-91, 2019. 744 Suomi, I., Gryning, S.-E., O'Connor, E. J., and Vihma, T.: Methodology for obtaining wind gusts using Doppler lidar, 745 Quarterly Journal of the Royal Meteorological Society, 143, 2061-2072, 2017. 746 Tai, A. P. K., Mickley, L. J., and Jacob, D. J.: Correlations between fine particulate matter (PM2. 5) and 747 meteorological variables in the United States: Implications for the sensitivity of PM2.5 to climate change, 748 Atmospheric Environment, 44, 3976-3984, 2010. 749 Tang, G., Zhu, X., Hu, B., Xin, J., Wang, L., Muenkel, C., Mao, G., and Wang, Y.: Impact of emission controls on air 750 guality in Beijing during APEC 2014: lidar ceilometer observations, Atmospheric Chemistry and Physics, 15, 751 12667-12680, 2015. 752 Trigo, R. M., and DaCamara, C. C.: Circulation weather types and their influence on the precipitation regime in 753 Portugal, International Journal of Climatology, 20, 1559-1581, 2000. 754 Tsaknakis, G., Papayannis, A., Kokkalis, P., Amiridis, V., Kambezidis, H. D., Mamouri, R. E., Georgoussis, G., and 755 Avdikos, G.: Inter-comparison of lidar and ceilometer retrievals for aerosol and Planetary Boundary Layer 756 profiling over Athens, Greece, Atmospheric Measurement Techniques, 4, 1261-1273, 2011. 757 Wang, X., Dickinson, R. E., Su, L., Zhou, C., and Wang, K.: PM2.5 POLLUTION IN CHINA AND HOW IT HAS BEEN 758 EXACERBATED BY TERRAIN AND METEOROLOGICAL CONDITIONS, Bulletin of the American Meteorological 759 Society, 99, 105-120, 2018. 760 Wu, P., Ding, Y., and Liu, Y.: Atmospheric circulation and dynamic mechanism for persistent haze events in the 761 Beijing-Tianjin-Hebei region, Advances in Atmospheric Sciences, 34, 429-440, 2017. 762 Xu, T., Song, Y., Liu, M., Cai, X., Zhang, H., Guo, J., and Zhu, T.: Temperature inversions in severe polluted days 763 derived from radiosonde data in North China from 2011 to 2016, Science of the Total Environment, 647, 764 1011-1020, 2019. 765 Ye, X., Song, Y., Cai, X., and Zhang, H.: Study on the synoptic flow patterns and boundary layer process of the 766 severe haze events over the North China Plain in January 2013, Atmospheric Environment, 124, 129-145, 767 2016. 768 Yu, B., Zhu, B., Dou, J., Zhang, W., and Hu, D.: Classification of air pollution synoptic patterns and air pollutants 769 transport/purification effect by cold front over Hangzhou, China Environmental Science, 37, 452-459, 2017. 770 Zhang, R.: Warming boosts air pollution, Nature Climate Change, 7, 238-239, 2017. 771 Zhang, Y., Ding, A., Mao, H., Nie, W., Zhou, D., Liu, L., Huang, X., and Fu, C.: Impact of synoptic weather patterns

772 and inter-decadal climate variability on air quality in the North China Plain during 1980-2013, Atmospheric 773 Environment, 124, 119-128, 2016. 774 Zhang, Y., Guo, J., Yang, Y., Wang, Y., and Yim, S. H. L.: Vertica Wind Shear Modulates Particulate Matter Pollutions: 775 A Perspective from Radar Wind Profiler Observations in Beijing, China, Remote Sensing, 12, 546, 2020. 776 Zhao, D., Xin, J., Gong, C., Quan, J., Liu, G., Zhao, W., Wang, Y., Liu, Z., and Song, T.: The formation mechanism of 777 air pollution episodes in Beijing city: Insights into the measured feedback between aerosol radiative forcing 778 and the atmospheric boundary layer stability, Science of the Total Environment, 692, 371-381, 2019. 779 Zheng, X. Y., Fu, Y. F., Yang, Y. J., and Liu, G. S.: Impact of atmospheric circulations on aerosol distributions in 780 autumn over eastern China: observational evidence, Atmospheric Chemistry and Physics, 15, 12115-12138, 781 <u>2015.</u>