#### Responses (text in blue) to comments by Referees (text in black)

We thank the referees for their valuable comments which have greatly helped us to improve the manuscript. Please find below our point-by-point responses (in blue) after the referee comments (in black). The changes in the revised manuscript are written *in italic*.

#### Referee #1

#### **General comments**

The authors present measurements of volatile organic compounds (VOCs) using two proton transfer reaction time of flight mass spectrometers (PTR-ToF-MS) at an urban and suburban location in New Delhi in the wintertime. The positive matrix factorization (PMF) model is used to apportion various VOCs to different sources for each site. The authors find that six VOC factors could explain the observations for both sites, two factors related to traffic emissions, two to solid fuel combustion, and two secondary factors. The authors do a good job justifying the naming conventions for each factor at each site. However, it would be beneficial if these discussions were extended to back trajectory comparisons for the two sites, and comparisons to previous publications. Also, further discussion regarding VOCs excluded from the analysis (all masses above m/z 60) or not measured (alkanes, alkenes) that could dominate the VOCs mass, and reactivity should be performed. This manuscript is suited for publication in ACP after the above revisions.

Reply: We thank the referee for the constructive comments. The discussion has been extended with back-trajectory analysis and external support from literature.

#### Specific comments

The authors could run a PMF combining the two datasets in one Matrix to generate common solutions. This way a possibly better statistical separation of the factors between the two sites could be achieved. Also, when comparing the two sites it would be great if a back-trajectory analysis could be performed in order to support that emissions from the city center travel from IITD to MRIU and therefore represent more aged air.

Reply: The referee raises two issues here: (1) run PMF combining the two datasets and (2) back-trajectory analysis for the two sites. We discuss these issues separately below.

(1) We believe that a source apportionment on the combined datasets would not be significantly helpful for this paper. Specifically, although the two instruments were operated in the same setting conditions, these could not ensure the performance of the two PTR-ToF-MS 8000 were the same all the time over the entire sampling period. Therefore, even though the combined dataset in principle could result in a better comparison, combining the two datasets could bring in more uncertainties into the error matrix. Further, the VOC fingerprints might be rather different in different locations, for example, different types of rather local biomass burning are present. Therefore, the separation of the dataset allows for more locally adapted fingerprints.

(2) We agree that back-trajectory analysis improves the discussion on the transport of emissions from IITD to MRIU. This has been added to the manuscript, as discussed in lines *328-332* here and in response to specific comments (Specific comments Line 308-327, Technical comments Line 446 and Line 468-469) below.

Line 331-335. Besides, Fig. S8 presents concentration weighted trajectory (CWT) plots of the six factors at both sites. Details of the back-trajectory and CWT analysis are shown in the Supplement. As shown in Fig. S8, high concentrations of primary factors are found both north and northwest of IITD, whereas high primary factors originate from southeast of MRIU, different from the direction of IITD.

Line 143: How much of the total mass and reactivity do these masses represent? Also, there are more m/z's not included in the mass list chosen for PMF like formic acid, ethanol, formaldehyde, and other masses related to fragments below m/z 60. These masses may represent the majority of the reactivity and should be further discussed, especially in the context of quantifying the effect of each emission source. I consider that results from

the PMF when including the lower molecular weight compounds should at least be presented in detail in the SI and further discussed in the main text.

Reply: We agree with the referee that mass peaks below m/z 60 are important and interesting in terms of their reactivity, emission ratios and sources. These topics are being prepared for publication in a separate manuscript. (Tripathi et al., in preparation). In that paper the relative contributions from anthropogenic and biogenic sources of these ions are discussed. In addition, the discussion on mass contributions are combined in response to Specific comments, Line 243-245 #1 by Referee 1 below

Line 164: The time that the temperature is higher from 21-25 Feb the VOCs are not measured at MRIU. Could the authors provide a box and whiskers of the temperature for the two periods? Also, for both periods, could they provide the temperature changes only when VOC measurements exist? This goes for Fig. S1 too. Could they provide the 25th and 75th percentile for Fig S1?

Reply: We have replaced Fig. S1 with box-whisker plots showing temperature, separated by site and cold/warm periods. The comparison of temperature change during different periods, and at each site is based on the periods when VOC measurements exist.

Line 173-177. Fig S1 shows the box-whisker plots of temperature during the two periods at the two sites. As shown in Fig. S1, the average temperature was 17 °C during the cold period and 23 °C during the warm period at IITD. At MRIU, the average temperature was very similar when VOCs were measured, with the cold days averaging at 16 °C and the warm periods at 23 °C. During the warm period, the mean temperature reached the minimum at 6:00 LT, 1 hour earlier than in the cold period.

Line 180: What about comparing the absolute concentrations. Do these masses show the same diurnal patterns at the two sites? Please elaborate more.

Reply: We added the absolute concentrations.

Line 189-194. At MRIU, the VOC family composition was similar, with the exception of a higher fraction and concentration of non-aromatic CxHy (23.2%, 4.2 ppbv), which was dominated by high C5H8 and C6H10 in the daytime compared to that at IITD. Besides, the averaged mixing ratios of the majority of the families were lower at MRIU than at IITD, except for non-aromatic CxHy and CxHyO2. The averaged mixing ratios of CxHyO1, CxHyO2, CxHyN, CxHyO3, and CxHyNO were 3.6 ppbv, 3.4 ppbv, 0.5 ppbv, 0.2 ppbv, and 0.09 ppbv, respectively.

Line 182-190: It will be more informative to include all the diurnal profiles for (i) the different VOC families, (ii) NOx, and (iii) CO for both sites in a supplementary figure.

Reply: The requested diurnal profiles have been added to Fig. S2. Consequently, we added some discussion on the diurnal patterns of VOC families at both sites. The corresponding paragraph is rephrased.

Line 197-209. Fig. S2 shows the diurnal patterns of  $NO_x$ , CO, and different VOC families at both sites. The nocturnal mixing ratios of most of the VOC families, as well as those of CO and  $NO_x$  were higher than during daytime, with much greater diurnal variation at IITD than at MRIU. The spatial difference in the diurnal variation may be due to a lower influence of local emissions at the suburban MRIU site because of lower population density and fuel consumption. In addition, the relative proportions of the VOC families varied over time, indicating different emission patterns and oxidation chemistry. For instance, substantial contributions and concentrations of aromatic compounds and  $C_xH_yNO_1$  were observed at night at IITD, indicating strong anthropogenic emissions in the urban area, such as traffic-related emissions and solid fuel combustion. Meanwhile, higher daytime contributions were found for the  $C_xH_yO_1$  and  $C_xH_yO_2$  families; and in particular the  $C_xH_yO_3$  family peaked around midday at IITD, indicating tropospheric aging and secondary formation during daytime. Moreover, the nocturnal concentrations of all the gas phase species were higher at IITD compared to

### *MRIU.* During daytime, however, the concentrations of non-aromatic $C_xH_y$ , $C_xH_yO_2$ , as well as CO at MRIU were higher compared to those at IITD.

Line 230: Here and throughout the text, it will be nice if the authors add for each chemical formula the actual m/z of detection in order for the readers to connect it better to the Figures.

Reply: We have added the m/z values. Besides, the actual m/z values of all the ions included in PMF are shown in Table S1 and Table S2.

Line 234: Please perform a more detail comparison to NOx. What is the R2 of (i) traffic1 vs NOx, (ii) traffic2 vs  $NO_x$ , and (iii) traffic1+traffic2 vs NOx?

Reply: We now address this as follows in the manuscript:

Line 257-258. The correlation of Traffic 2 with  $NO_x$  ( $R^2=0.76$ ) is better than either Traffic1 with  $NO_x$  ( $R^2=0.55$ ) or their sum (Traffic1+Traffic2) with  $NO_x$  ( $R^2=0.67$ ).

Line 237: this trend could also be driven by meteorology. A higher boundary layer leads to more dilution therefore a decrease of pollutants midday.

Reply: We agree that the boundary layer could lead to dilution of pollutants in the midday and accumulation during night. However, PBL dilution will affect most pollutants similarly (except for long-lived species, which may be equally abundant in the upper layer), i.e. maintaining factor-to-factor ratios. This is not observed for Traffic1 and Traffic2, for which both the time of initial decrease and the relative rate of decrease differ. Therefore, we interpret the differences in the factor diurnals to represent real differences in the traffic emissions patterns.

Line 238-243: The differences discussed between traffic1 and traffic2 are not supported by the figures. Traffic1 is in general higher than traffic2 even during nighttime when traffic2 is supposed to dominate the traffic emissions due to the heavy-duty vehicles. Also, the diurnal profiles of the two factors are very similar. The only difference is an increase of traffic1 midday that could also be due to the influence of other sources to traffic1, e.g. cooking. Please discuss more in the main text and provide more proof for the naming of these factors. Comparing to other studies of traffic emissions using PTR-ToF-MS could be of value, e.g. Gentner, et al. (1).

Reply: We added some discussion clarifying the differences between Traffic1 and Traffic2. As shown by Platt et al. (2017), cold start from gasoline vehicles emit high amounts of VOCs while heavy-duty vehicles contribute much less to VOCs but might be dominating contributor to BC and NOx. Therefore, the heavy-duty vehicles will still not dominate traffic emissions. Besides, the compounds listed in the suggested reference paper (Gentner et al., 2013), however, are focusing on ions very different from this study. Many of the high concentration species are not detectable and/or poorly quantified by PTR-ToF-MS. Therefore, a few aromatic ions are selected for comparison.

Line 261-270. As shown in Fig. S7, the ratio of Traffic 2 to Traffic 1 is very low during the day time and starts to increase slightly from 16:00 LT. Although both Traffic1 and Traffic2 are high during the night with their maximum concentrations around 21:00 LT, the Traffic2/Traffic1 ratio is as low as 0.6 at that time. The ratio increases overnight with a sharp increase during the early morning, reaching a maximum value of 1.4 at 07:00 LT, suggesting that Traffic1 is the dominant traffic source both day and night. This can be explained as cold start emissions from gasoline vehicles emit high amounts of VOCs while heavy-duty vehicles contribute much less to VOCs but more to BC and  $NO_x$  (Platt et al., 2017). Further, the spectrum of Traffic2 is characterized by high fractions of high mass aromatic compounds. For instance, the ratio of C8/C7 aromatics is tripled in Traffic2 compared to that in Traffic1, which is similar to the ratio of the emission factors from previous studies (3.5 times (Gentner et al., 2013)).

Line 243-245: This would be the dominant VOC source based on the VOCs included in the PMF analysis. It would be beneficial to include a discussion of the VOCs not included in the PMF analysis, especially smaller alkanes and alkenes that could substantially contribute to the total VOCs.

Reply: We have added some discussion in Section 3.3.

Line 393-401. In addition, several ions below m/z 60 that can be detected by the PTR-ToF-MS are excluded from PMF as discussed in Sec. 2.3, such as methanol, acetaldehyde, acetone and acetic acids. These ions are 3-4 times higher than the dominant ions in the PMF analysis, possibly owing to much higher emission rates and natural abundance. Besides, other excluded compounds such as  $C_1$ - $C_4$  alkanes and  $C_1$ - $C_4$  alkenes which are not detectable by the PTR-ToF-MS are substantial contributors to the total VOC mixing ratio as well. However, these ions are minor contributors to SOA formation and only substantially contribute to the formation of ozone, which is a major issue in summer. Although the mixing ratio of the sum of VOCs in the PMF only accounts for 39.6 % at IITD and 24.2 % at MRIU (Fig. S11), many of these compounds are the dominant precursors in terms of SOA formation (Wu and Xie, 2017, 2018).

Line 246-270: The SFC factors can be further compared to previous studies in more detail. Sekimoto, et al. (2) found a high- and low-temperature factor related to biomass burning emissions. The factors found in this study are available to the public and could, therefore, be used to directly compare to this study.

Reply: Based on the diurnal patterns, we expect that the SFC factors in this study are largely associated with domestic heating. The suggested reference paper (Sekimoto et al., 2018), however, focused on open burning of wildfires. These two are substantially different types of combustion and thus we believe these profiles are not suitable for comparison to this study. Here, we compare the emission ratios reported by Bruns et al. (2017) of residential wood burning.

Line 278-281. The relative fractions of emission factors (EF, in mg per kg fuel) of  $C_5H_4O_2$  (100 mg kg<sup>-1</sup>),  $C_6H_6O$  (110 mg kg<sup>-1</sup>), and  $C_6H_6O_2$  (60 100 mg kg<sup>-1</sup>) are similar to their relative concentrations in SFC1 (i.e.  $C_5H_4O_2$  (m/z 97.028, 0.019),  $C_6H_6O$  (m/z 95.049, 0.021), and  $C_6H_6O_2$  (m/z 111.044, 0.012)), consistent with the identification of the factor.

Line 292-293: Define "similar", e.g. within XX% for XX% of the masses. Masses that are higher should be discussed.

Reply: Done. We have added some discussion.

*Line 326-327.* While the factor profiles are similar for the primary factors (i.e. Traffic1, Traffic 2 and SFC1 at both sites share the same major ions) their diurnal patterns are very different.

Line 308-327: The discussion of the oxygenated factors and the comparison of the two sites in this paragraph is hard to follow.

Reply: We rephrased the paragraph and included back-trajectories to support the statements.

Line 351-353. Further, the IITD SecVOC1 shows additional origins apart from the directions of primary factors, indicating influences from transport (Fig. S8). The SecVOC2 at IITD, however, is comparable to the MRIU SecVOC1 in terms of factor fingerprint (Fig. S9).

Line 360-362. Besides, as shown in Fig. S8, SecVOC2 at IITD and SecVOC1 at MRIU exhibit similar geographical origins as the primary factors at the respective sites, suggesting local oxidation of primary emissions.

Line 366-368. As shown in Fig. S8, high concentrations of MRIU SecVOC2 originate mainly from north and northwest directions, consistent with the location of the IITD site. Therefore, it is possible that MRIU SecVOC2 represents oxidized VOCs on a relatively regional scale.

Line 264: Anthropogenic monoterpenes can also originate from fragranced volatile chemical product usage. Emissions from fragrances and personal care products have been found to coincide with traffic emissions (3, 4) which would explain why the monoterpenes are loaded in the traffic factor. Further discussion on this topic may be of interest.

Done. We added some discussion on anthropogenic monoterpenes.

Line 418-420. One recent paper showed that fragrances and personal care products may be an important emission source of urban monoterpenes and are correlated with traffic emissions (McDonald et al., 2018).

Line 374-375: This sentence doesn't make sense.

Reply: This sentence was attempting to summarize Fig 8 and the discussion in the paragraph. Since all the information is given anyway and the sentence is misleading, we deleted it.

Line 376-377: More detailed comparison of the results of this study to previous studies is required. For example, what is the ratio of the oxygenated compounds relative to aromatics for previous studies and this study?

Reply: This sentence was attempting to show that SFC is one possible source of these ions. Besides, the OVOCs/benzene ratios as suggested are not suitable for comparing directly to the explained variation in this study. We have revised the sentence and used a comparison of emission factors.

Line 429-432. However, at both sites, considerable amounts of these ions are also explained by emissions from SFC. Previous studies showed that the emission factors (EF, in mg per kg fuel) of  $C_4H_6O_{1-2}$  are comparable to that of benzene (200 mg kg<sup>-1</sup>), and the EFs for  $C_5H_8O_{1-2}$  and  $C_{10}H_{16}O$  are comparable to that of toluene (27 mg kg<sup>-1</sup>) in biomass/wood burning emissions (Bruns et al., 2017; Koss et al., 2018).

Line 406-407: Give numbers. It is a 50-50 split between traffic and SFC emissions for the majority of the time.

Reply: We added some discussion on the ratios of traffic and SFC emissions.

Line 461-464. As shown in Fig. 8 (a), at IITD benzene originates to a significant extent from traffic emissions (53% on average) over the whole day and in addition from the SFC factors (47% on average), largely at night. The traffic fraction is lowest (29%) in the early morning and increases during daytime, with a maximum of 74 % around 17:00-18:00 LT, with the opposite trend for the SFC fraction.

Line 409: At MRIU it is again a 50-50 split. Precise discussion of the differences with numbers will be great in this paragraph.

Reply: We added some discussion.

### *Line* 469-470. *Although the traffic fraction increases during daytime at both sites, it explains a maximum of* 74% at IITD and 49% at MRIU around 17:00-18:00 LT, with the opposite behavior for the SFC fraction.

Line 412-414: No discussion on toluene that is different than benzene is provided. Toluene is attributed predominantly to traffic at both sites. Wouldn't the authors expect toluene emissions from biomass burning based on existing literature cited in this manuscript? A detailed comparison of the ratios of aromatics to different studies may be of value here.

Reply: We added some discussion on Toluene.

Line 472-479. The traffic fraction is as high as 90 % around 18:00 LT and reaches a minimum of 67 % around 10:00 LT at IITD, which is much higher than that of benzene. The benzene/toluene (B/T) of the traffic factor ranges from 0.34 to 0.40, which is comparable to the emission factors of benzene/toluene for gasoline emissions (0.58, Gentner et al., 2013). Besides, the traffic fraction is lowest in the morning, which is associated with the strong emissions of SFC in the morning and high traffic emissions during the rush hour in the late afternoon.

# The B/T is 3.4 for the SFC factors, which is in the reported range of 2-7 for residential biomass/wood burning (Bruns et al., 2017; Koss et al., 2018). Similarly, traffic is also the highest source of toluene at MRIU, ranging from 67%-77%.

Line 463-466: A discussion on the missing VOCs should be performed if the comparison of the different emissions is made. What is the contribution in mass, and reactivity of the missing VOCs? How is it expected to distribute among the different emission sources based on inventories?

Reply: This point was discussed in detail above (Line 143). Simply put, these topics are interesting, but are the focus of another paper prepared by our collaborators.

#### **Comments on Figures**

Figure 5-6: Add error bars to the diurnal profiles. If it gets too busy split into two figures. A way to better focus on the differences in the factors from the two sites could be to plot the following: Traffic1(IITD) – Traffic1(MRIU) / Traffic1(MRIU) VS m/z This will better show the relative difference between the two. Now differences between many masses that are low in concentration but could still be important markers are not shown at all. Why is it that for Figure 6 the two oxygenated factors from both sites are not included? Why not extend figure 5 and add the two oxygenated factors there?

Figure 6: Label is missing the triangle markers explanation. It will also be better to add the SecVOC factors for both sites in this comparison.

Reply: We revised the axes in log scale and combined all the six factors into Figure 5.

Figure 8: Add suggested compounds in parenthesis.

#### Reply: Done.

#### **Technical comments**

Line 19: Explain abbreviation "IITD". Line 21: Explain abbreviation "MRIU".

Reply: We removed these abbreviations from the abstract.

Line 36: Change to "gas-to-particle partitioning".

Reply: Done. We revised the sentence.

*Line 39-40. The chemical transformation of VOCs forms less-volatile compounds and can contribute to gas-toparticle partitioning either by new particle formation or condensation on existing particles.* 

Line 69: Change to "of the VOC pollution levels"

#### Reply: Done

Line 77: Maybe add the number of VOCs used for PMF since you already discussed what has been used in the literature before.

Reply: Done.

*Line 83. The level, composition and source characteristics of different VOCs (158 ions at IITD and 90 ions at MRIU) were analyzed* 

Line 145: Define "extremely".

Reply: Done.

#### Line 150-151. 3-4 times higher than other ions were excluded from the input

Line 169: How would they have an impact on the emission profiles? Please elaborate.

Reply: We cited Sekimoto et al. (2018) as an example, who illustrate the significant differences of profile and reactivity at high and low temperature.

Line 205: Maybe rephrase. Sentence too long and hard to follow.

Reply: Done.

Line 225-226: At IITD, the concentrations of several aromatics were very high, being 10-20 times higher than those of the major phenols, and over 100 times higher than for the compounds with the lowest concentration.

Line 211: delete "was resolved". Also, define reasonable. What is the contribution of hydrocarbons in the secondary factors?

Reply: We deleted reasonable.

Line 216: A graph providing the comparison of the different spectra in the SI will be more informative. Fig S4 is not informative regarding the comparison of the different a-value results.

Reply: We revised Fig. S4 (now Fig. S5).

Line 239: and the result with an a-value=0.3 is presented in Figure S5

Line 291: delete "that". Also, why would the change in concentrations result in a better factor separation? Please, elaborate. This sentence is in general not easy to follow. Based on which figure are the authors concluding this?

Reply: Compared to the ratios at MRIU, the IITD aromatics are an order of magnitude higher than other ions. Therefore, even though only a small fraction of aromatic compounds is apportioned to one factor, it will be high in relative concentration. This has been discussed in the paper. Besides, the higher concentrations could result in a higher contribution to Q for the same unexplained signal fraction. Thus, at IITD, the PMF will tend to push the signals into the non-aromatic factors to well explain the aromatics.

Line 247-248: What is the meaning of the chemical formulas written here?

Reply: For example,  $C_6H_6(C_nH_{2n})O_1$  means  $C_6H_6O$  (n=0),  $C_7H_8O$  (n=1),  $C_8H_{10}O$  (n=2), etc.

Line 293: Delete "very".

Reply: Done.

Line 311: Delete "very" and define how different.

Reply: Done. The paragraph has been revised according to Specific comments, Line 308-327.

Line 351: Provide m/z and chemical formula for the broader audience.

Reply: Done.

Line 354: Change to "alcohols".

#### Reply: Done.

Line 357: Delete "very". These values are low only compared to the primary anthropogenic emissions but a considerable value for biogenic emissions.

#### Reply: Done.

Line 369: Delete "in".

Reply: Done.

Line 385: Replace "by" with "but can".

Reply: Done.

Line 399: Replace to "of the low".

Reply: Done.

Line 418: Please give a number for "majority".

Reply: Done.

*Line* 469-470. *Although the traffic fraction increases during daytime at both sites, it explains a maximum of* 74% at IITD and 49% at MRIU around 17:00-18:00 LT. with the opposite behavior of the SFC fraction.

Line 419: replace "mainly" with "by XX% and XX% during the night and morning, respectively,".

Reply: Done

Line 485-487. The two SFC factors contribute 91% to furfural and 85% to phenol at IITD, while the corresponding contributions are 70% and 57% at MRIU. SFC1 contributes around 54% and 39% during the night and morning, respectively,

Line 446: Please support with back trajectory.

Reply: We added a discussion on back-trajectories.

Line 507-510. As shown in Fig S13, high mixing ratios of maleic anhydride originate from north (primary emissions) and northwest of IITD, the same directions as SecVOC1. Similarly, high mixing ratios of maleic anhydride at MRIU originate both from southeast (primary emissions) and northwest (IITD site) directions.

Line 468-469: Please support with back trajectory.

Reply: We added the discussion.

Line522-527. As illustrated in Fig. S13, high mixing ratios of  $C_2H_4O_3$  originate from north and far northwest, indicating influence of both local emission/oxidation formation and long-range transportation. At MRIU, however, high mixing ratios of  $C_2H_4O_3$  mainly originate from northwest, suggesting the influence of transportation from the urban areas. Besides, PAN is mainly explained by SecVOC1 at IITD, and a much higher PAN mixing ratio is observed at the suburban MRIU, originating from both secondary VOC factors, indicating a longer oxidation time at this site.

#### Referee #2

The authors performed measurements of VOCs, NO<sub>x</sub> and CO at two locations in New Delhi, India: an urban and a suburban location. For VOC measurements, two proton transfer reaction time of flight mass spectrometers (PTR-ToF-MS) were used. VOC data was interpreted using positive matrix factorization (PMF). The authors find six factors explaining observations for both sites reasonable well: Traffic1, Traffic2, Solid Fuel Combustion1, Solid Fuel Combustion2, Secondary1 and Secondary2. Overall, the manuscript is suited for publication in ACP after revisions.

#### Specific comments:

1) The authors explain that they excluded ions such as methanol, acetaldehyde, acetone, and acetic acid with extremely high mixing ratios. A comprehensive list would be useful; I assume it also includes Formaldehyde, (which is hard to quantify with PTR-MS, but it would be worth mentioning). It would be useful to quantify all ions not taken into account, and plotting a diurnal cycle of these compounds. Although I'm not an expert in PMF, I wonder if downscaling these signals before feeding it to the PMF algorithm would solve the issue that these signals dominate PMF factors. More discussion is needed on that.

Reply: We thank the referee for pointing out these interesting topics. The referee raises two issues here: (1) characteristics of excluded compounds and (2) application of downscaling technique. We discuss these issues separately below.

(1) This point was discussed in detail above (Line 143) of the reply to comments #1 by Referee 1 (Line 143), and our response is repeated here:

Reply: We agree with the referee that mass peaks below m/z 60 are important and interesting in terms of their reactivity, emission ratios and sources. These topics are being prepared for publication in a separate manuscript. (Tripathi et al., in preparation). In that paper the relative contributions from anthropogenic and biogenic sources of these ions are discussed. In addition, the discussion on mass contributions are combined in response to Specific comments, Line 243-245 #1 by Referee 1 below.

Line 393-401. In addition, several ions below m/z 60 that can be detected by the PTR-ToF-MS are excluded from PMF as discussed in Sec. 2.3, such as methanol, acetaldehyde, acetone and acetic acids. These ions are 3-4 times higher than the dominant ions in the PMF analysis, possibly owing to much higher emission rates and natural abundance. Besides, other excluded compounds such as  $C_1$ - $C_4$  alkanes and  $C_1$ - $C_4$  alkenes which are not detectable by the PTR-ToF-MS are substantial contributors to the total VOC mixing ratio as well. However, these ions are minor contributors to SOA formation and only substantially contribute to the formation of ozone, which is a major issue in summer. Although the mixing ratio of the sum of VOCs in the PMF only accounts for 39.6 % at IITD and 24.2 % at MRIU (Fig. S11), many of these compounds are the dominant precursors in terms of SOA formation (Wu and Xie, 2017, 2018).

(2) Although downscaling could in theory push the high concentration signals into other factors, in this study, we believe that applying this technique would bring more uncertainties. For instance, chosen of the downscaling parameter is critical. Therefore, without any reference/technical support, an unideal parameter could bring more uncertainties to both the source composition and contribution. Further, the VOC fingerprints might be rather different in different locations, for example, different types of rather local biomass burning are present. Therefore, locally adapted parameters might be needed for each dataset and each compound.

2) Diurnal patterns of Traffic1 and Traffic2 in Figures 3 and 4 are quite similar; Assigning Traffic2 to heavyduty vehicles seems to be problematic, since the diurnal pattern of this factor is very close to zero (including its 90th percentile) from 10 am to 5 pm, which is exactly the time window where the ban of heavy-duty vehicles is lifted. Reply: We apologize for the mistake; heavy-duty vehicles are banned from 7:00-21:00 LT. The discrimination of Traffic1 and Traffic2 are revised in response to comments #1 by Referee 1 (Line238-243), and our response is repeated here.

Line 261-270. As shown in Fig. S7, the ratio of Traffic 2 to Traffic 1 is very low during the day time and starts to increase slightly from 16:00 LT. Although both Traffic1 and Traffic2 are high during the night with their maximum concentrations around 21:00 LT, the Traffic2/Traffic1 ratio is as low as 0.6 at that time. The ratio increases overnight with a sharp increase during the early morning, reaching a maximum value of 1.4 at 07:00 LT, suggesting that Traffic1 is the dominant traffic source both day and night. This can be explained as cold start emissions from gasoline vehicles emit high amounts of VOCs while heavy-duty vehicles contribute much less to VOCs but more to BC and NO<sub>x</sub> (Platt et al., 2017). Further, the spectrum of Traffic2 is characterized by high fractions of high mass aromatic compounds. For instance, the ratio of C8/C7 aromatics is tripled in Traffic2 compared to that in Traffic1, which is similar to the ratio of the emission factors from previous studies (3.5 times (Gentner et al., 2013)).

3) (Line 245+:) Are there numbers on how much traffic increased in New Delhi during the last two decades? Is traffic really the dominant VOC source when large VOC signals are not accounted for in PMF (acetone etc.)

Reply: We have added the discussion on traffic increase.

Line 271-272. the registered vehicular population has tripled since 1994 and has reached 7.6 million.

We added discussion regarding the concentration of excluded VOCs replying to comments #1 by Referee 1 (Line243-245), and our response is repeated here.

Line 393-401. In addition, several ions below m/z 60 that can be detected by the PTR-ToF-MS are excluded from PMF as discussed in Sec. 2.3, such as methanol, acetaldehyde, acetone and acetic acids. These ions are 3-4 times higher than the dominant ions in the PMF analysis, possibly owing to much higher emission rates and natural abundance. Besides, other excluded compounds such as  $C_1$ - $C_4$  alkanes and  $C_1$ - $C_4$  alkenes which are not detectable by the PTR-ToF-MS are substantial contributors to the total VOC mixing ratio as well. However, these ions are minor contributors to SOA formation and only substantially contribute to the formation of ozone, which is a major issue in summer. Although the mixing ratio of the sum of VOCs in the PMF only accounts for 39.6 % at IITD and 24.2 % at MRIU (Fig. S11), many of these compounds are the dominant precursors in terms of SOA formation (Wu and Xie, 2017, 2018).

4) Evaluation of biogenic VOCs: Line 359: please avoid "very low" and similar ill-defined expressions; I don't think that 0.46 ppbv is "very low"; Personal care products may be another possible source of Monoterpenes.

Reply: We agree 0.46 ppbv is not "very" low in terms of BVOCs. We modified the text and added the discussion on personal care products in response to comments #1 by Referee 1 (Line 264), and our response is repeated here.

Line 418-420. One recent paper showed that fragrances and personal care products may be an important emission source of urban monoterpenes and are correlated with traffic emissions (McDonald et al., 2018).

#### **Technical comments:**

Abstract: avoid abbreviations "IITD" and "MRIU"

Reply: We adjusted the abstract replacing the abbreviations of IITD with *the urban site* and MRIU with *the suburban site*.

Line 44: no need for "natural" when talking about BVOCs

Reply: Done. We removed "natural"

Line 54: "high atmospheric reactivity and higher SOA yield" - need reference

Reply: Done.

Line 64: "The critical air quality problems have left India with high death rates from ..." need reference or numbers

Reply: Done

Line 71: "..., a comprehensive investigation \*of\* VOC pollution levels..."

Reply: Done

Line 75" "...pointed out the lack of ..."

Reply: Done.

Line 93: "The study site is approximately 150 m north of a busy street and surrounded by several streets as well" - unclear

Reply: We revised the sentence.

*Line 95-96. The study site is approximately 80 m north of a busy street and is surrounded by several streets inside the campus as well.* 

Line 97: "The site is located to the southeast of higher elevation terrain as shown in Figure 1" - unclear

Reply: We revised the sentence.

*Line 101-102. Besides, the northeast territory of MRIU is of slightly higher elevation compared to the sampling site as shown in Fig. 1.* 

Line 116" use the term \*reduced\* electric field (E/N)

Reply: Done.

Line 124: \*Volume\* mixing ratios

Reply: Done.

Line 125: Please add a note of how and how often background measurements were performed

Reply: We have added the background performance.

Line 124-125. The background measurements were performed using a dry zero air cylinder every two weeks

Line 203: "... nor included in the PMF analysis ..." -> " ... or not included in this PMF analysis ..."

Reply: Done. We revised it.

Line 208: please define "very high"

Reply: We revised the sentence.

*Line* 225-226: *At IITD, the concentrations of several aromatics were very high, being 10-20 times higher than those of the major phenols, and over 100 times higher than for the compounds with the lowest concentration.* 

Line 252: "These ions are tentatively attributed to phenolic compounds and furans" - reference needed

#### Reply: Done.

Line 279: "However, many of these ions can be formed rapidly during daytime and may have a short lifetime owing to partitioning to the condensed phase and/or heterogeneous processes" - please re-phrase: I think this is suggested by the data, not by the identity of the compounds.

Reply: We revised the sentence.

Line 309-313. The time series of SecVOC1 follows that of the solar radiation, which has a regular contribution cycle during daytime. The diurnal of SecVOC1 shows a rapid enhancement starting from around 7:00-8:00 LT and declines continuously after 13:00 LT. This indicates that while many of these ions can be formed rapidly during daytime, they may have a short lifetime owing to partitioning to the condensed phase and/or heterogeneous processes.

Line 287: "Major fractions of alkyl nitrates (RONO2) are detected as (ROH<sup>+</sup>) fragment ions by the PTR-ToF-MS" - please cite Aoki et al: "Detection of C1–C5 alkyl nitrates by proton transfer reaction time-of-flight mass spectrometry" or similar

Reply: We thank the referee for the suggestion. We added the reference. (Now Line 319)

Line 350: "But more importantly, differences in SecVOC \*is probably owing to that chemical ...\*" - please rephrase; hard to follow

Reply: We revised the sentence.

Line 388-393. More importantly, the difference in SecVOC is probably due to different oxidation conditions at the two sites. Owing to the high mixing ratio of  $NO_x$  and the suppression of oxidants like OH radicals, the chemical oxidation of primary VOCs occurs to a greater extent downwind of urban emission sources. As shown in Fig. S8, high mixing ratios of SecVOC at MRIU originate from northwest directions, different from the origins of local primary factors (southeast). Besides, the longer oxidation time may be another reason of the higher SecVOC mixing ratios at MRIU.

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### Source characterization of volatile organic compounds measured by PTR-ToF-MS in Delhi, India

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**Abstract.** Characteristics and sources of volatile organic compounds (VOCs) were investigated with highly time-resolved simultaneous measurements by two proton-transfer-reaction time-of-flight mass spectrometers (PTR-ToF-MS) at an urban and a suburban site in New Delhi, India from January to March 2018. During the measurement period, high mixing ratios of VOCs and trace gases were observed, with high nocturnal mixing ratios and strong day-night variations. The positive matrix factorization (PMF) receptor model was applied separately to the two sites, and six major factors of VOCs were identified at both sites, i.e., two factors related to traffic emissions, two to solid fuel combustion, and two secondary factors. At the urban site, traffic-related emissions comprising mostly mono-aromatic compounds were the dominant sources, contributing 56.6% of the total mixing ratio, compared to 36.0% at the suburban site. Emissions from various solid fuel combustion processes, particularly in the night, were identified as a significant source of aromatics, phenols and furans at both sites. The secondary factors accounted for 15.9% of the total VOC concentration at the urban site and for 33.6% at the suburban site. They were dominated by oxygenated VOCs and exhibited substantially higher contributions during daytime.

Key words: VOCs, Source apportionment, traffic emission, solid fuel combustion, secondary formation, Delhi

#### **1** Introduction

Volatile organic compounds (VOCs) are important trace gas constituents in the troposphere, impacting local and regional air quality, human health and climate both directly and indirectly (IPCC, 2013). With the participation of NO<sub>x</sub>, oxidation of VOCs leads to the formation of tropospheric O<sub>3</sub>, causing regional photochemical smog (Atkinson, 2000; de Gouw et al., 2005). The chemical transformation of VOCs forms less-volatile compounds and can contribute to gas-to-particle partitioning either by new particle formation or condensation on existing particles (Hallquist et al., 2009; Ehn et al., 2014). In addition, many VOCs are toxic, such as aromatic compounds, and exposure to large amounts of VOCs may adversely affect human health, including acute and chronic effects on different systems and organs, and even cancer (Kampa and Castanas, 2008; Nurmatov et al., 2013).

VOCs originate from both natural and anthropogenic sources. Biogenic VOCs (BVOCs), mainly emitted by plants, are regarded as the largest source of VOCs globally (Atkinson and Arey, 2003; Hallquist et al., 2009). However, in urban areas, anthropogenic VOCs can be dominant (Borbon et al., 2013). Vehicular exhaust emissions have long been regarded as the dominant source of VOCs in many urban areas. Many of these compounds are reactive and thus contribute significantly to urban O<sub>3</sub> pollution, photochemical smog, and secondary organic aerosol (SOA) formation (Fraser et al., 1998; Derwent et al., 2010; Müller et al., 2012). Biomass burning is considered as the second largest source of VOCs worldwide (Crutzen and Andreae, 1990) and could be a major VOC source in some urban areas during biomass burning events (Karl et al., 2007; Yokelson et al., 2009; Baudic et al., 2016; Languille et al., 2019). Compared to vehicular emissions, biomass burning sources emit more oxygenated and high molecular-weight VOCs such as furans and phenols. Due to high atmospheric reactivity and higher SOA yield, these compounds can also contribute substantially to SOA formation (Sekimoto et al., 2018; Koss et al., 2018).

Air pollution in South Asia has attracted more and more attention in recent years. This region is regarded as one of the most polluted regions in the world (e.g., Monks et al., 2009). Due to rapid urbanization and the lack of widespread advanced pollution control technologies in the industrial, energy, and transportation sectors (Mahata et al., 2018), air pollution has become an increasingly important issue across the region, particularly in large urban areas. The increasing emissions of air pollutants not only impact the local/regional air quality and human health, but also influences distant and pristine areas through transport (Bonasoni et al., 2010; Lawrence and Lelieveld, 2010; Mahata et al., 2018). Delhi, the capital city of India, with a population of 18.98 million (2012) people, is facing an air quality problem ranked as the worst among 1600 major cities in the world (WHO, 2014). The critical air quality problems have left India with high death rates from chronic obstructive pulmonary disease (COPD) and respiratory disease e.g., asthma (WHO, 2014). Despite the severe air pollution in Delhi, information on pollution levels, as well as an emission inventory of VOCs, and their sources in Delhi is still lacking (Monks et al., 2009; Kumar et al., 2015). A few studies on BTEX (i.e. benzene, toluene, ethylbenzene, and xylene) in Delhi reported that vehicular emissions could be the dominant source in Delhi (Srivastava et al., 2005; Hoque et al., 2008). Several studies also identified motor vehicle emissions as a significant source of particulate matter (PM), with high contributions from solid fuel combustion and industrial emissions (Sahu et al., 2011; Sharma et al., 2014; Kumar et al., 2015). These studies reveal the importance of local anthropogenic sources. However, since previous studies mainly focused on certain families of VOCs or a few VOCs species, a

comprehensive investigation of the VOC pollution levels, specific emission sources, as well as their roles in the local tropospheric chemistry has not been reported previously. VOC source apportionment studies have been conducted only in a few Indian cities. For example, Srivastava studied 23 VOC compounds in Mumbai city, and pointed out the lack of evaporative and oceanic emissions (Srivastava, 2004). Sahu and Saxena (2015) studied 15 VOC species measured by a PTR-ToF-MS in urban Ahmedabad in winter. A recent study on 32 VOCs at Mohali (a suburban site in northwest Indo-Gangetic Plain) identified biofuel usage, biomass burning and vehicular emissions as important primary sources, and pointed out the lacking of present emission inventories (Pallavi et al., 2019).

In this study, we report simultaneous on-line measurements of VOCs using two PTR-ToF-MS instruments at an urban and a suburban site of Delhi, India. The level, composition and source characteristics of different VOCs (158 ions at IITD and 90 ions at MRIU) were analyzed with the aid of the positive matrix factorization (PMF) model. Spatial and temporal comparisons between the two sites are discussed for both the sources and selected ions.

#### 2 Experiments and methods

#### 2.1 Measurement sites

Figure 1 shows the studied region and locations of the two measurement sites. The measurements were conducted from 18 January to 10 March 2018 at an urban site at the Indian Institute of Technology (IITD), New Delhi, and from 16 January to 8 March 2018 at a suburban site at Manav Rachna International University (MRIU), Faridabad (Fig. 1).

At the urban site (28° 33'N, 77° 12'E), the inlet system was installed on the rooftop (~20 m above the ground) of block VI (a four-story building), Centre for Atmospheric Sciences, on the campus of IITD (Gani et al., 2019; Rai et al., 2020). The IITD campus is located in the southern part of the city center, and is surrounded by educational, commercial, and residential districts. The study site is approximately 80 m north of a busy street and is surrounded by several streets inside the campus as well. Thus, in addition to vehicular emissions, commercial and residential activities may also produce VOC emissions in the immediate vicinity. The sampling line of the PTR-ToF-MS was approximately 1.5 m long, and the inlet consisted of polyether ether ketone (PEEK) tubing, with an inner diameter of 0.075 mm.

The other measurement site, at MRIU, was located in a relatively open area in suburban Delhi, about 20 km southeast of the IITD site. Besides, the northeast territory of MRIU is of slightly higher elevation compared to the sampling site, as shown in Fig. 1. The suburban site is located inside a big campus, and it is surrounded by several small parks, with only a few narrow roads nearby. Although the site is not far from the main road, the traffic load and other anthropogenic activities nearby may be much less than that at IITD. The instruments were located on the first floor of a teaching building on the campus of MRIU. A similar PEEK inlet as at IITD was used, with the sampling line approximately 2 m long.

#### **2.2 Online instruments**

Data collected in this study included the mixing ratios of VOCs,  $NO_x$ , CO, and meteorological parameters. The involved monitors, analyzers, and sensors are described in detail in the following. Two PTR-ToF-MS 8000 (Ionicon Analytical G.m.b.H, Innsbruck, Austria) were simultaneously deployed at the two sites. At both sites, the PTR-ToF-MS instruments were operated in the H<sub>3</sub>O<sup>+</sup> mode, where the sampled VOCs are protonated via non-dissociative proton transfer from H<sub>3</sub>O<sup>+</sup> ions (Eq.1):

$$H_3O^+ + R \to RH^+ + H_2O$$
 (1)

The PTR-ToF-MS measures non-methane organic gases (NMOG) with a proton affinity higher than water, i.e., most of the common VOCs such as carbonyls, acids, and aromatic hydrocarbons, as well as alkanes with more than eight carbons and alkenes with more than two carbons. A detailed description of the instrument is found in Jordan et al. (2009) and Graus et al. (2010). For both sites, the time resolution was set to 30 s, the drift tube voltage was set at 600 V, with a drift temperature of 60 °C, and a pressure of 2.2-2.3 mbar, resulting in a reduced electric field (*E/N*) value of about 130 Td. Therefore, a similar fragmentation pattern is expected. Calibrations were performed twice at the IITD site and three times at the MRIU site by dynamic dilution of VOCs using a certified 15-compound gas standard (Ionimed Analytik GmbH, Austria at  $\sim$  1 ppmv; with stated accuracy better than 8 %). The calibration components were methanol, acetonitrile, acetaldehyde, ethanol, acrolein, acetone, isoprene, crotonaldehyde, 2-butanone, benzene, toluene, o-xylene, chlorobenzene,  $\alpha$ -pinene, and 1, 2- dichlorobenzene. The background measurements were performed using a dry zero air cylinder every two weeks. The raw data were processed using the Tofware post processing software (version 2.5.11, TOFWERK AG, Thun, Switzerland) with the PTR module as distributed by Ionicon Analytik GmbH (Innsbruck, Austria), running in the Igor Pro 6.37 environment (Wavemetrics Inc., Lake Oswego, OR, U.S.A.). Volume mixing ratios (in ppby) were calculated based on the method described by de Gouw and Warneke (2007) and the literature reaction rates (k) of the ion with the  $H_3O^+$  ion were applied when available (Cappellin et al., 2012). For ions where the reaction rate had not been measured, a rate constant of  $2 \times 10^{-9}$  cm<sup>3</sup> s<sup>-1</sup> was assumed.

The mixing ratio of  $NO_x$  was measured by chemiluminesence using the Serinus 40 Oxides of Nitrogen analyzer (Ecotech) at IITD and a Model 42i (TEC, USA) at MRIU. The CO mixing ratio was analyzed with infrared radiation absorption method using a CO Analyzer (Serinus 30, Ecotech) at IITD and a Model 48i (TEC, USA) at MRIU.

#### 2.3 Source apportionment

Source apportionment of VOCs was performed using the positive matrix factorization (PMF) receptor model (Paatero and Tapper, 1994), which represents the measured PTR-ToF-MS mass spectral time series as a linear combination of static factor profiles (characteristic of particular sources and/or atmospheric processes) and their time-dependent concentrations. This can be represented in matrix notation as follows:

#### $\mathbf{X} = \mathbf{G}\mathbf{F} + \mathbf{E}$

Where **X**, **G**, **F**, and **E** are matrices corresponding to the measured mass spectral time series (time x m/z), factor time series (time x factor), factor profiles (factor x m/z), and model residuals (time x m/z), respectively. In this study, we used a total of 158 ions at IITD and 90 ions at MRIU measured by the PTR-ToF-MS (list of ions

(2)

utilized are shown in Table S1 and Table S2). Equation (2) is solved by minimizing the objective function, Q (Eq. 3), using a weighted least-squares algorithm:

$$Q = \sum_{i}^{n} \sum_{j}^{m} \left( e_{ij} / s_{ij} \right)^{2}$$
(3)

Here,  $e_{ij}$  is the residuals (elements of E) and  $s_{ij}$  represents the corresponding measurement uncertainty.

As recommended by Paatero (2003), ions with a signal-to-noise ratio (SNR) lower than 0.2 were excluded from the input matrix, and ions with a signal-to-noise ratio (SNR) between 0.2 and 2 were down-weighted by increasing their uncertainties by a factor of 2. In addition, in this study, a few ions such as methanol, acetaldehyde, acetone, and acetic acid with mixing ratios 3-4 times higher than the other ions were excluded from the input. Due to extremely high SNR compared to other compounds, inclusion of these ions in PMF leads to solution where only these ions are well-explained, and useful source information is not retrieved.

PMF was implemented as the Multilinear Engine (ME-2) (Paatero, 1999), with the Source Finder (SoFi) toolkit for Igor Pro (Wavemetrics, Inc., Portland; Canonaco et al., 2013) used for model configuration and postanalysis. As different combinations of **G** and **F** can yield mathematically similar solutions (i.e., similar Q), ME-2 enables intelligent rotational control to achieve reasonable solutions by involving constraints and external data. In this study, constraints were applied combining a scalar *a* (usually between 0 and 1) and a reference profile (Canonaco et al., 2013). The *a*-value determines the extent to which the resolved factors *f*' and *g*' are allowed to vary from the input reference elements of *f* and *g* according to Eq. 4:

$$f' = f \pm a \cdot f$$
 and  $g' = g \pm a \cdot g$  (4)

#### **3** Results and discussion

#### 3.1 Temporal and spatial variation

Figure 2 presents time series of the mixing ratios of CO and NO<sub>x</sub> as well as of the sum of all VOCs analyzed in the PMF model and temperature at the two sites. The fractional composition of VOCs in terms of the chemical formula-derived families is included as well. The mean mixing ratios of CO were 1.29 ppmv, and 0.95 ppmv at IITD and MRIU, respectively. The average mixing ratio of NO<sub>x</sub> at IITD was 148.0 ppbv, 5 times higher than at MRIU (29.6 ppbv). Similarly, the summed VOC mixing ratio (i.e., all the ions included in PMF analysis, see Table S1 and S2) was 27.6 ppbv at IITD, significantly higher than at MRIU (19.4 ppbv). The ambient temperature showed large variations during the campaign with an overall increasing trend after 21 February. Thus, the whole study period can be divided into two separate periods of relatively cold and warm temperatures. Fig S1 shows the box-whisker plots of temperature during the two periods at the two sites. As shown in Fig. S1, the average temperature was 17 °C during the cold period and 23 °C during the warm period at IITD. At MRIU, the average temperature was very similar when VOCs were measured, with the cold days averaging at 16 °C and the warm periods at 23 °C. During the warm period, the mean temperature along with other meteorological parameters are expected to have an impact on the emission profiles (e.g. Sekimoto et al., 2018) as well as the dilution and chemical transformation processes.

To investigate temporal and site-dependent changes in the relative composition of the VOCs, the measured signals of different ions were classified into seven families based on their identified chemical formula, namely aromatic  $C_xH_y$ , non-aromatic  $C_xH_y$ ,  $C_xH_yO_1$ ,  $C_xH_yO_2$ ,  $C_xH_yO_3$ ,  $C_xH_yN$ , and  $C_xH_yNO_z$  compounds. Aromatic  $C_xH_y$  were classified due to their low H:C ratio, and oxidized aromatics are classified into the three  $C_xH_yO_z$  families. Aromatic  $C_xH_y$  were the largest fraction at both sites constituting about 45.4% at IITD (averaged 13.9 ppbv) and 34.3% at MRIU (averaged 7.0 ppbv). The high fractions of aromatic compounds indicate strong influences from anthropogenic emissions, mainly vehicle exhaust, at both sites. At IITD, the contributions of  $C_xH_yO_1$  (21.9%, 5.6 ppbv on average) and  $C_xH_yO_2$  (13.6%, 3.6 ppbv) were also significant, followed by non-aromatic  $C_xH_y$  (11.3%, 3.5 ppbv),  $C_xH_yN$  (5.2%, 1.2 ppbv),  $C_xH_yO_3$  (2.3%, 0.6 ppbv), and  $C_xH_yNO$  (0.4%, 0.5 ppbv). At MRIU, the VOC family composition was similar, with the exception of a higher fraction and concentration of non-aromatic  $C_xH_y$  (23.2%, 4.2 ppbv), which was dominated by high  $C_sH_8$  and  $C_6H_{10}$  in the daytime compared to that at IITD. Besides, the averaged mixing ratios of the majority of the families were lower at MRIU than at IITD, except for non-aromatic  $C_xH_y$  and  $C_xH_yO_2$ . The averaged mixing ratios of  $C_xH_yO_1$ ,  $C_xH_yO_2$ ,  $C_xH_yN$ ,  $C_xH_yO_3$ , and  $C_xH_yNO$  were 3.6 ppbv, 0.5 ppbv, 0.2 ppbv, and 0.09 ppbv, respectively.

Owing to the variation of planetary boundary layer height (PBLH), as well as emission sources, temperature, and solar radiation, the time series of the mixing ratios of VOCs, NO<sub>x</sub> and CO exhibited substantial differences between day and night periods. Fig. S2 shows the diurnal patterns of NO<sub>x</sub>, CO, and different VOC families at both sites. The nocturnal mixing ratios of most of the VOC families, as well as those of CO and NO<sub>x</sub> were higher than during daytime, with much greater diurnal variation at IITD than at MRIU. The spatial difference in the diurnal variation may be due to a lower influence of local emissions at the suburban MRIU site because of lower population density and fuel consumption. In addition, the relative proportions of the VOC families varied over time, indicating different emission patterns and oxidation chemistry. For instance, substantial contributions and concentrations of aromatic compounds and  $C_xH_yNO_1$  were observed at night at IITD, indicating strong anthropogenic emissions in the urban area, such as traffic-related emissions and solid fuel combustion. Meanwhile, higher daytime contributions were found for the  $C_xH_yO_1$  and  $C_xH_yO_2$  families; and in particular the  $C_xH_yO_3$  family peaked around midday at IITD, indicating tropospheric aging and secondary formation during daytime. Moreover, the nocturnal concentrations of all the gas phase species were higher at IITD compared to MRIU. During daytime, however, the concentrations of non-aromatic  $C_xH_y$ ,  $C_xH_yO_2$ , as well as CO at MRIU were higher compared to those at IITD.

#### **3.2 VOC source apportionment**

#### 3.2.1 Solution selection

We evaluated unconstrained solutions from 4 to11 factors individually for both sites, and solutions were selected based on interpretation of the spectra, reference information from emission inventory, correlation of VOC factors with ancillary measurements, and mathematical diagnostics describing PMF performance. A six-factor solution was selected as the best representation of the data measured at both sites, which includes two traffic factors, two solid fuel combustion factors, and two secondary factors. An industrial factor is not presented in the results, as marker compounds typically originating from industrial emissions are either not measured

(such as halogen compounds) nor included in this PMF analysis (such as methanol and acetone as described in Section 2.3). In terms of PMF performance, the  $Q/Q_{exp}$  did not show any step change with increasing factor number (Fig. S3). Solutions with less than six factors failed to provide reasonably separated sources, while solutions with more factors led to additional traffic or solid fuel combustion factors that did not improve the interpretability of the results. These additional factors were likely the result of minor variations across discrete instances of a given source (e.g. vehicle-to-vehicle variations in VOC composition) and slight differences in reactive history.

At IITD, the concentrations of several aromatics were very high, being 10-20 times higher than those of the major phenols, and over 100 times higher than for the compounds with the lowest concentration. As a result, initial PMF results were over-weighted towards explaining aromatic variability. Thus, aromatics were apportioned to all the resolved factors, including factors that otherwise appear secondary and where such high attribution is not reasonable. To this mathematical artifact and guide the model towards environmentally reasonable results, constraints were applied to the IITD secondary factors as follows. First, PMF was performed with a new input matrix excluding the most abundant aromatic ions, namely  $C_6H_6H^+$ ,  $C_7H_8H^+$ ,  $C_8H_{10}H^+$ , and  $C_9H_{12}H^+$  (PMF results with the new input are shown in Fig. S4). This yielded a reasonable five-factor solution, from which the spectra of the two secondary factors were selected for use as reference profiles in the original dataset. PMF was then executed again on the full dataset (i.e., all 158 ions including the aromatics), with constraints applied to the two secondary factors using the *a*-value approach. Since we obtained the reference from the same dataset, the *a*-value was set to a smaller range than the usual case. Here, solutions with the *a*-value ranging from 0.1 to 0.3 were evaluated and no significant differences in terms of the spectra and temporal variations were observed among all the results. In the following analysis, the solution with an *a*-value=0.1 for both factors was selected as the IITD result, and the result with an *a*-value=0.3 is presented in Figure S5.

At MRIU, the lower aromatic concentrations meant that that even the unconstrained PMF did not apportion significant aromatic mass to resolved secondary factors. For consistency, we tested also the constraint-based method described above, but the two methods did not yield significant differences at MRIU (see Figure S6). Therefore, at MRIU the unconstrained solution was selected for further interpretation.

#### 3.2.2 Factor identification

Figures 3 and 4 show the factor profiles (a), time series (b), and diurnal patterns (c) of the selected PMF solution at IITD and MRIU, respectively. In the following, we present a detailed discussion of the factor characteristics at IITD. The MRIU factors are qualitatively similar and therefore a detailed discussion is not repeated, but rather a site comparison is presented in Section 3.3.

The first two factors are related to vehicle emissions and denoted Traffic1 and Traffic2. Both are enhanced during the night and relatively low during daytime. The mass spectra of both Traffic1 and Traffic2 are dominated by aromatic  $C_xH_y$  compounds, namely  $C_6H_6$  (*m/z* 79.054),  $C_7H_8$  (*m/z* 93.070),  $C_8H_{10}$  (*m/z* 107.086),  $C_9H_{12}$  (*m/z* 121.101), and  $C_{10}H_{14}$  (*m/z* 135.117). These ions are tentatively attributed to benzene, toluene, C8-aromatics, C9-aromatics, and C10-aromatics, which are well-studied markers for vehicular emissions (Yao et al., 2015; Cao et al., 2016). While Traffic1 is mostly composed of pure aromatics, Traffic2 includes relatively

high contributions from non-aromatic hydrocarbons and some oxygenated compounds. As shown in Fig. 3 (b), both traffic factors exhibit temporal variations similar to  $NO_x$ , which originates mainly from vehicle emissions in an urban area. The correlation of Traffic 2 with NO<sub>x</sub> ( $R^2$ =0.76) is better than either Traffic1 with NO<sub>x</sub>  $(R^2=0.55)$  or their sum (Traffic1+Traffic2) with NO<sub>x</sub>  $(R^2=0.67)$ . To alleviate urban traffic congestion, heavyduty vehicles are banned in Delhi during the rush hours and daytime (7:00-21:00 LT). As a result, most heavyduty traffic in Delhi occur overnight rather than during daytime. This difference in traffic patterns corresponds to the temporal differences in Traffic1 and Traffic2. As shown in Fig. S7, the ratio of Traffic 2 to Traffic 1 is very low during the day time and starts to increase slightly from 16:00 LT. Although both Traffic1 and Traffic2 are high during the night with their maximum concentrations around 21:00 LT, the Traffic2/Traffic1 ratio is as low as 0.6 at that time. The ratio increases overnight with a sharp increase during the early morning, reaching a maximum value of 1.4 at 07:00 LT, suggesting that Traffic1 is the dominant traffic source both day and night. This can be explained as cold start emissions from gasoline vehicles emit high amounts of VOCs while heavyduty vehicles contribute much less to VOCs but more to BC and NO<sub>x</sub> (Platt et al., 2017). Further, the spectrum of Traffic2 is characterized by high fractions of high mass aromatic compounds. For instance, the ratio of C8/C7 aromatics is tripled in Traffic2 compared to that in Traffic1, which is similar to the ratio of the emission factors from previous studies (3.5 times (Gentner et al., 2013)). Since the vehicle number in Delhi has increased dramatically in the past two decades (the registered vehicular population has tripled since 1994 and has reached 7.6 million), the related pollution is considered as an increasingly significant source of atmospheric pollution. Overall, the sum of the two traffic factors is found to be the dominant VOC source in Delhi, with contributions of 33.8% from Traffic1 and 22.8% from Traffic2 to the total analyzed VOCs.

Two other factors are found to be related to solid fuel combustion (SFC) and are named SFC1 and SFC2. SFC1 is characterized by high loadings of aromatics, as well as oxygenated ions, such as  $C_6H_6(C_nH_{2n})O_1$ ,  $C_6H_6(C_nH_{2n})O_2$ ,  $C_4H_4(C_nH_{2n})O_1$ ,  $C_4H_4(C_nH_{2n})O_2$ , and  $C_5H_4O_{1-2}$ . These ions are tentatively attributed to phenolic compounds and furans (Stockwell et al., 2015; Bruns et al., 2017). The relative fractions of emission factors (EF, in mg per kg fuel) of  $C_5H_4O_2$  (100 mg kg<sup>-1</sup>),  $C_6H_6O$  (110 mg kg<sup>-1</sup>), and  $C_6H_6O_2$  (60 100 mg kg<sup>-1</sup>) are similar to their relative concentrations in SFC1 (i.e.  $C_5H_4O_2$  (m/z 97.028, 0.019),  $C_6H_6O$  (m/z 95.049, 0.021), and  $C_6H_6O_2$  (m/z 111.044, 0.012)), consistent with the identification of the factor. Besides, previous studies have reported that furans and phenols are emitted in high quantities by wood/biomass burning and coal combustion (Bruns et al., 2017; Klein et al., 2018) and thus are regarded as important markers for these combustion processes. In urban Delhi, the use of solid fuels is not limited to wood and coal, but includes open combustion of many types of biomass and even waste. In addition, traditional stoves remain popular in some residential households and restaurants, and their VOC emission profiles are still not clear. SFC1 is identified as being more related to primary emissions from solid fuel combustion, which might include many types of biomass burning, coal combustion, and even trash combustion. The spectrum of the SFC2 factor is dominated by benzene and to a lesser extent toluene, while the more reactive phenols and furans are only present in small amounts, suggesting increased age. In addition, it includes a high mass fraction of N-containing compounds, such as  $C_3H_3N$ ,  $C_4H_5N$ , and  $C_7H_5N$ . Although the chemical identification of these ions is not confirmed, these ions were reported in biomass burning as well (Stockwell et al., 2015; Sekimoto et al., 2018) and may be attributed to nitriles, which have longer OH lifetimes compared to furans and phenols (Meylan and Howard, 1993; Atkinson et al., 1989). SFC2 also explains a higher fraction of higher molecular-weight molecules, e.g.

 $C_6H_5NO_3$  and  $C_7H_7NO_3$ . These ions are attributed to nitrophenols, which are semivolatile compounds found in biomass burning-related secondary organic aerosol (Mohr et al., 2013). As shown in Fig 3(c), SFC1 exhibits a diurnal pattern with a maximum value at 20:00 LT and a smaller peak at 08:00 LT, consistent with residential heating and/or cooking activities. SFC2 is also high at night, although it peaks several hours later than SFC1 (at 5:00 LT), and decreases strongly during daytime without any morning peak. This suggests that the nocturnal peak of SFC2 may be due to dark oxidation of emitted precursor VOCs, e.g. by nitrate radicals. Therefore, considering emissions, chemical transformation and reactivity, SFC1 represents more primary emissions from various types of solid fuel combustion in central Delhi, while SFC2 is associated with more aged emissions from solid fuel combustion.

Finally, two secondary VOC factors are identified, the spectra of which are distinguished from the other factors by a strong presence of oxygenated compounds. Secondary VOC1 (denoted SecVOC1 in the following) contributes a large fraction of  $C_2H_4O_3$  and  $C_4H_2O_3$ , and the spectrum is dominated by  $C_4H_8O$ ,  $C_3H_4O_2$ ,  $C_3H_6O_2$ , and  $C_3H_6O_3$ , although the relative contributions to these ions are comparably lower than to  $C_2H_4O_3$  and  $C_4H_2O_3$ . These ions are likely oxidation products from various photochemical processes and are not unique to specific precursors and oxidation processes. The time series of SecVOC1 follows that of the solar radiation, which has a regular contribution cycle during daytime. The diurnal of SecVOC1 shows a rapid enhancement starting from around 7:00-8:00 LT and declines continuously after 13:00 LT. This indicates that while many of these ions can be formed rapidly during daytime, they may have a short lifetime owing to partitioning to the condensed phase and/or heterogeneous processes. Secondary VOC2 (SecVOC2) has significant contributions from  $C_3H_4O_2$  and  $C_3H_6O_2$  as well, but the relative contributions to  $C_3H_4O_2$ ,  $C_4H_6O_{2-3}$ ,  $C_6H_6O_2$ ,  $C_8H_8O_3$ , and  $C_6H_5NO_3$  are substantially higher than for SecVOC1. It also includes many oxygenated compounds with higher molecular weight, e.g.  $C_5H_{10}O_{1-2}$ ,  $C_6H_{10}O_{1-2}$ , and  $C_6H_{12}O_{1-2}$ . SecVOC2 (Fig. 3(c)) is not only high during daytime, with a slow rise in the morning and a peak around 10:00-12:00 LT, but is also high at night, reaching its nocturnal maximum from 20:00 LT to midnight. Major fractions of alkyl nitrates (RONO<sub>2</sub>) are detected as (ROH·H<sup>+</sup>) fragment ions by the PTR-ToF-MS (Aoki et al., 2007). Therefore, species such as  $C_5H_{10}O_{1-2}$ ,  $C_6H_{10}O_{1-2}$ , and  $C_6H_{12}O_{1-2}$ , may be partially attributed to fragments from organic nitrates, which contribute to the high nocturnal SecVOC2 mixing ratio. Therefore, it is likely that SecVOC1 is a mix of first generation products and latergeneration oxidation products, while SecVOC2 is possibly associated with secondary generation products and nighttime chemistry.

#### 3.3 Comparison of VOC sources

Figure 5 compares the diurnal cycles and mass spectra of the two Traffic and the two SFC factors between the two sites. While the factor profiles are similar for the primary factors (i.e. Traffic1, Traffic 2 and SFC1 at both sites share the same major ions) their diurnal patterns are different. Higher nocturnal concentrations and day-night variations are observed at IITD than at MRIU for all three primary factors. For example, for Traffic1 the nocturnal maximum is 5.3 times higher than the daytime minimum at IITD and only 2.7 times higher at MRIU. For SFC1, the corresponding numbers are 36.8 at IITD and 6.9 at MRIU. This is possibly due to increased traffic density and more intense combustion activities in the populated urban areas. Besides, Fig. S8 presents concentration weighted trajectory (CWT) plots of the six factors at both sites. Details of the back-trajectory and CWT analysis are shown in the Supplement. As shown in Fig. S8, high concentrations of primary factors are

## found both north and northwest of IITD, whereas high primary factors originate from southeast of MRIU, different from the direction of IITD.

Unlike the primary factors, the mass spectra of SFC2 differ between the two sites. At IITD, SFC2 is not only rich in benzene, but also in toluene. However, as shown in Fig. 5, toluene is much lower at MRIU, while the relative benzene concentration remains high. In addition, SFC2 at MRIU is richer in some oxygenated compounds, such as  $C_6H_{12}O_{1-2}$ ,  $C_5H_{10}O_2$ ,  $C_6H_{10}O_2$ ,  $C_4H_{4/6/8}O_2$ . These ions are the major ions found in the SecVOC2 factor at IITD. Because toluene has a much shorter photochemical lifetime than benzene, this suggests that SFC2 comprises less freshly emitted but more aged compounds at MRIU than at IITD. Although the SFC2 factors exhibit similar diurnal trends at the two sites, the time of daily maximum is different. At MRIU, SFC2 reaches the maximum at 07:00 LT, which is approximately 2 hours later than that at IITD. Further, the maximum averaged SFC2 mixing ratio at MRIU is 4.41 ppbv, lower than the peak value of 6.20 ppbv at IITD, and consistent with the higher primary emission levels at IITD.

The two secondary VOC factors, in particular, show major differences between the two locations in terms of diurnal variations and spectra. On a simple level, the two SecVOC2 are considered to represent related factors because of their similar long-term trends with local temperature (Fig. 3 and 4). However, the mass spectra are different, indicating different aging mechanisms. As illustrated in Fig. 3 and 4, the spectrum of SecVOC1 is dominated by  $C_4H_2O_3$  and  $C_2H_4O_3$  at IITD but less so at MRIU for both SecVOC factors, especially in the case of  $C_4H_2O_3$ . Further, the IITD SecVOC1 shows additional origins apart from the directions of primary factors, indicating influences from transport (Fig. S8). The SecVOC2 at IITD, however, is comparable to the MRIU SecVOC1 in terms of factor fingerprint (Fig. S9). The spectra of the two factors are both characterized by high contributions to  $C_3H_4O_2$ ,  $C_4H_6O_{2-3}$ ,  $C_5H_{10}O_{1-2}$ ,  $C_6H_{10}O_{1-2}$ , and  $C_6H_{12}O_{1-2}$ , with a relatively higher contribution to C<sub>4</sub>H<sub>2</sub>O<sub>3</sub> and C<sub>2</sub>H<sub>4</sub>O<sub>3</sub> by IITD SecVOC2. However, their time trends and diurnal variations are rather different. Although they both increase during daytime, the maximum is found about 4 hours later at MRIU than at IITD, possibly due to less primary emission in the morning. The elevated periods of IITD SecVOC2 are not only related to higher temperature, but also consistent with the periods with much stronger primary emissions (e.g. Traffic factors). Similarly, the time series of MRIU SecVOC1 is also high in January when all the primary factors are high compared to the rest of the periods. Besides, as shown in Fig. S8, SecVOC2 at IITD and SecVOC1 at MRIU exhibit similar geographical origins as the primary factors at the respective sites, suggesting local oxidation of primary emissions. The spectrum of MRIU SecVOC2 is also loaded with  $C_4H_2O_3$ ,  $C_2H_4O_3$ , as well as high  $C_4H_8O$  and  $C_3H_4O_2$ , but the contributions to these ions are much lower than SecVOC1. In addition, MRIU SecVOC2 exhibits a similar time series as that of local temperature, which increases in the warmer period of the campaign. The overall trend is quite different from the local primary factors, but has some similarities with that of IITD SecVOC2. As shown in Fig. S8, high concentrations of MRIU SecVOC2 originate mainly from north and northwest directions, consistent with the location of the IITD site. Therefore, it is possible that MRIU SecVOC2 represents oxidized VOCs on a relatively regional scale.

Figure 6 presents the stacked factor diurnal patterns at the two sites. Much higher nocturnal mixing ratios of VOCs are observed at IITD than at MRIU, leading to pronounced day-night differences, while during daytime higher mixing ratios are found at MRIU than at IITD (with 15 ppbv at MRIU in the mid-afternoon, compared to 10 ppbv at IITD). This can be explained by the fact that at IITD the VOC mixing ratio is dominated by primary

emissions, especially during the night, while at MRIU the VOC mixing ratio is dominated by secondary formation, at least during the day. At IITD, primary factors contribute to around 85% before midnight, with large fractions coming from Traffic factors and SFC1. Afterwards, SFC2 gradually increases and contributes to over 40% of the total mixing ratio before sunrise, when other primary factors decrease to about 50%. At the suburban MRIU site, although the nocturnal mixing ratio of primary VOCs are about half as high as that at IITD, they contribute to around 70% of the total VOCs concentrations. In the daytime, however, the SecVOC contribution is as high as 54%, compared to the maximum of 41% at IITD. Generally, the MRIU SecVOC contributes about 15-20% more than that at the IITD site throughout the day, mostly due to increased SecVOC1. This may be partially explained by the differences in rotation techniques we applied in running the model for the two secondary factors. As illustrated in Figure S10, by applying the similar *a*-value approach to MRIU as used at IITD, the constrained MRIU SecVOC is around 1.5 ppb lower than the raw PMF result, and contributes a maximum of 10% less at around 14:00-15:00 LT. However, SecVOC contributes 31% to the total analyzed VOCs mixing ratio in the constrained MRIU solution, instead of a contribution of 34% from the unconstrained result as illustrated above. Both PMF solutions resolved at MRIU show much higher fractions of SecVOC compared to that at IITD (16%). Therefore, the rotation techniques only play a minor part on the discrepancies between the two sites. More importantly, the difference in SecVOC is probably due to different oxidation conditions at the two sites. Owing to the high mixing ratio of  $NO_x$  and the suppression of oxidants like OH radicals, the chemical oxidation of primary VOCs occurs to a greater extent downwind of urban emission sources. As shown in Fig. S8, high mixing ratios of SecVOC at MRIU originate from northwest directions, different from the origins of local primary factors (southeast). Besides, the longer oxidation time may be another reason of the higher SecVOC mixing ratios at MRIU. In addition, several ions below m/z 60 that can be detected by the PTR-ToF-MS are excluded from PMF as discussed in Sec. 2.3, such as methanol, acetaldehyde, acetone and acetic acids. These ions are 3-4 times higher than the dominant ions in the PMF analysis, possibly owing to much higher emission rates and natural abundance. Besides, other excluded compounds such as  $C_1$ - $C_4$  alkanes and  $C_1$ - $C_4$  alkenes which are not detectable by the PTR-ToF-MS are substantial contributors to the total VOC mixing ratio as well. However, these ions are minor contributors to SOA formation and only substantially contribute to the formation of ozone, which is a major issue in summer. Although the mixing ratio of the sum of VOCs in the PMF only accounts for 39.6 % at IITD and 24.2 % at MRIU (Fig. S11), many of these compounds are the dominant precursors in terms of SOA formation (Wu and Xie, 2017, 2018).

#### 3.4 Evaluation of biogenic signatures

Biogenic VOCs, i.e. isoprene and monoterpenes, are not separated into a specific factor in this study. This is in part due to the small number of ions that can be unambiguously assigned to these sources. Specifically, the structure assignment and quantification of non-aromatic  $C_xH_y$  can be uncertain not only because of isomers, but also because of fragments from aldehydes, alcohols, and other long-chain aliphatic hydrocarbons. Therefore,  $C_5H_8$  (*m/z* 69.070) and the sum of  $C_{10}H_{16}$  (*m/z* 137.132) and  $C_6H_8$  (*m/z* 81.070, a major fragment of  $C_{10}H_{16}$ ) can only serve as upper estimates of the actual isoprene and monoterpenes, respectively. The averaged mixing ratios of isoprene and monoterpenes are low during the campaign, with 0.8 ppbv and 0.46 ppbv, respectively at IITD, and 1.2 ppbv and 0.32 ppbv, respectively at MRIU. Figure 7 illustrates the explained variation of the two major biogenic markers (i.e. isoprene and monoterpenes) and several other ions possibly related to their oxidation

products. Among them,  $C_{4}H_{6}O_{1-2}$  (*m/z* 71.049, *m/z* 87.044) and  $C_{5}H_{8}O_{1-2}$  (*m/z* 85.065, *m/z* 101.060) are found in the photooxidation of isoprene, and  $C_9H_{14}O$  (m/z 139.112) and  $C_{10}H_{14/16}O$  (m/z 151.112, m/z 153.127) are potential photochemical products from monoterpenes. Instead of being related to a specific secondary factor, the biogenic markers are largely explained by primary factors. There are several possible explanations. First, both isoprene and monoterpenes have been found in biomass burning emissions from numerous laboratory and field studies (e.g. Bruns et al., 2017). Second, previous studies also indicated anthropogenic sources of isoprene from vehicular emissions (Borbon et al., 2001; Wagner and Kuttler, 2014). One recent paper showed that fragrances and personal care products may be an important emission source of urban monoterpenes and are correlated with traffic emissions (McDonald et al., 2018). Third, considerable amounts of these ions may be affected by isomers and fragments of larger ions originating from primary emissions. Finally, the two BVOCs are very reactive compounds, which have very short lifetimes especially during daytime, and also during nighttime if NO<sub>3</sub> is present. Therefore, large amounts of BVOCs can be degraded very quickly via photochemical oxidation during daytime, resulting in lower daytime BVOC mixing ratios despite of stronger natural emissions. As shown in Figure S12, both isoprene and monoterpene are high at night and very low during daytime, similar to the diurnal trends of the primary factors. The only exception is isoprene at MRIU, which may be due to strong daytime emissions or contributions from isomers/fragments.

As shown in Figure 7, the  $C_x H_y O_z$  ions are explained partially by secondary factors, indicating possible secondary formation from biogenic precursors. However, at both sites, considerable amounts of these ions are also explained by emissions from SFC. Previous studies showed that the emission factors of  $C_4H_6O_{1-2}$  are comparable to that of benzene (200 mg kg<sup>-1</sup>), and the EFs for  $C_5H_8O_{1-2}$  and  $C_{10}H_{16}O$  are comparable to that of toluene (27 mg kg<sup>-1</sup>) in biomass/wood burning emissions (Bruns et al., 2017; Koss et al., 2018). Besides, as these small molecular weight ions are possible products from various precursors and chemical pathways, their structures and precursor definitions are uncertain. However, the explained variations can still provide information on the aging processes. In general, the fraction explained by secondary factors increases as the ions become more oxygenated. In addition, at IITD SecVOC1 explains much higher fractions of the  $C_xH_yO_1$  ions compared to SecVOC2, while the  $C_xH_yO_{2-3}$  ions are to a great extent explained by SecVOC2. As some of these less oxygenated ions originate from both fast photochemical formation and later-generation production, they are explained by both secondary factors. For example  $C_4H_6O$ , which can be attributed to MVK (methyl vinyl ketone) and MACR (methacrolein), is formed rapidly via isoprene OH oxidation, but can also be found as second generation products via several other oxidation pathways. Generally, both biogenic markers and their oxidation products are low during the measurement period, and these ions contribute only small amounts to the two secondary factors, indicating minor contributions from biogenic emissions at the two sites during the campaign.

#### 3.5 Characteristics of selected ions

Figure 8 shows the diurnal patterns of the explained variation of each PMF factor to selected ions measured at the two sites, stacked such that the height is the total modelled concentration. The red line in each plot represents the mean diurnal pattern of the measured mixing ratio. The model represents over 80% of most of these selected ions, and thus in general explains the variations of these ions well. The comparison of these diurnal patterns illustrates that distinct differences exist in the mixing ratios, variations, and source compositions

of certain VOCs. The assignment of a specific compound structure to each ion can be ambiguous, particularly for the spectra obtained from ambient air due to the possibility of isomers and/or fragmentation. Still, we provide tentative candidates for the nine ions based on their molecular formulas and compounds found in previous fuel combustion and oxidation simulation studies. Structural assignments for aromatics and PAHs are more certain because the low H to C atomic ratio reduces the number of reasonable structures, therefore, C<sub>6</sub>H<sub>6</sub>, C<sub>7</sub>H<sub>8</sub> and C<sub>10</sub>H<sub>8</sub> are mainly attributed to benzene, toluene, and naphthalene, respectively. Also included in Fig. 8 are C<sub>5</sub>H<sub>4</sub>O<sub>2</sub>, C<sub>6</sub>H<sub>6</sub>O, C<sub>2</sub>H<sub>4</sub>O<sub>3</sub>, C<sub>4</sub>H<sub>2</sub>O<sub>3</sub>, and C<sub>6</sub>H<sub>5</sub>NO<sub>3</sub>; we suggest that the most likely structural assignments are furfural, phenol, a fragment of peroxyacetyl nitrate (PAN), maleic anhydride, and nitrophenol, respectively.

Benzene and toluene are well-interpreted aromatics, which contribute significantly to emissions from several combustion processes, including vehicle emissions, biomass burning, coal combustion, etc. (Pieber et al., 2018; Bruns et al., 2017; Klein et al., 2018). As shown in Fig. 8 (a), at IITD benzene originates to a significant extent from traffic emissions (53% on average) over the whole day and in addition from the SFC factors (47% on average), largely at night. The traffic fraction is lowest (29%) in the early morning and increases during daytime, with a maximum of 74 % around 17:00-18:00 LT, with the opposite trend for the SFC fraction. This suggests that the IITD site is dominated by pollution from vehicular emissions because of the highly trafficked streets nearby, and also influenced by strong solid fuel combustion due to the high population density. At MRIU, however, there are comparable benzene contributions by the Traffic1, SFC1, and SFC2 factors. Even though the sum of the SFC mixing ratios is lower at MRIU compared to IITD, the relative SFC contribution is higher at MRIU. Although the traffic fraction increases during daytime at both sites, it explains a maximum of 74% at IITD and 49% at MRIU around 17:00-18:00 LT, with the opposite behavior for the SFC fraction. Toluene displays a similar diurnal cycle and source contributions as benzene, characterized by significant contributions from primary sources, especially Traffic1. The traffic fraction is as high as 90 % around 18:00 LT and reaches a minimum of 67 % around 10:00 LT at IITD, which is much higher than that of benzene. The benzene/toluene (B/T) of the traffic factor ranges from 0.34 to 0.40, which is comparable to the emission factors of benzene/toluene for gasoline emissions (0.58, Gentner et al., 2013). Besides, the traffic fraction is lowest in the morning, which is associated with the strong emissions of SFC in the morning and high traffic emissions during the rush hour in the late afternoon. The B/T is 3.4 for the SFC factors, which is in the reported range of 2-7 for residential biomass/wood burning (Bruns et al., 2017; Koss et al., 2018). Similarly, traffic is also the highest source of toluene at MRIU, ranging from 67%-77%.

As mentioned above, phenolic compounds and substituted furans are found to be significant oxygen-containing compounds at the two sites. Furfural is an important marker from biomass burning, which arises from pyrolysis of cellulose and hemicellulose. Phenols are detected in biomass burning and coal combustion emissions as the most abundant oxygenated aromatic compounds. (Stockwell et al., 2015; Klein et al., 2018; Bruns et al., 2017) Indeed, as shown in Fig. 8 (c,f), the majority of furfural and phenol are explained by the two SFC factors at both sites. The two SFC factors contribute 91% to furfural and 85% to phenol at IITD, while the corresponding contributions are 70% and 57% at MRIU. SFC1 contributes around 54% and 39% during the night and morning, respectively, with a higher night-to-day ratio at IITD, which captures both the characteristics of residential heating styles and urban/suburban usage differences due to population density. The SFC2-to-SFC1 ratio generally increases during the night. As described earlier, one possible explanation is that SFC2 results from the

fast nocturnal evolution of primary SFC1 emissions probably driven by night chemistry under high  $NO_x$  conditions (Tiitta et al., 2016). In addition to the SFC factors, at IITD around 8% of furfural and 4% of phenol are explained by the two SecVOC factors, compared to around 11% and 25% at MRIU, respectively.

Nitrated phenols are important biomass burning tracers, in particular for secondary aerosol formation (Mohr et al., 2013; Bertrand et al., 2018). Here, the diurnal cycles of  $C_6H_5NO_3$  at the two sites illustrate contributions from both emission and atmospheric transformation. At both sites, SFC2 accounts for a large percentage of the nocturnal nitrophenol mixing ratios while the SecVOC factors explain the remaining fraction. This is consistent with SFC2 representing nighttime aging of SFC emissions. It is worth noting that during the night there is a much larger unexplained fraction (around 35%) at IITD than at MRIU, with a corresponding uncertainty in the source contributions. Still, the main difference at the two sites relates to the contributions of the SecVOC factors. At IITD, the SecVOC2 factor contributes more at night than during daytime, probably due to nocturnal formation including NO<sub>3</sub> chemistry and the photolysis reactions of  $C_6H_5NO_3$  during daytime. At MRIU, both SecVOC1 and SecVOC2 contribute to  $C_6H_5NO_3$ , indicating the combined influence from local and regional chemistry.

Maleic anhydride and PAN display distinct variation patterns at the two sites. Maleic anhydride is the product from the photo-oxidation of furans, unsaturated carbonyls, and aromatics (Bierbach et al., 1994; Yuan et al., 2017). The mixing ratio of maleic anhydride is about 5 times higher at IITD than that at MRIU during daytime and is only explained by SecVOC1. As shown in Fig S13, high mixing ratios of maleic anhydride originate from north (primary emissions) and northwest of IITD, the same directions as SecVOC1. Similarly, high mixing ratios of maleic anhydride at MRIU originate both from southeast (primary emissions) and northwest (IITD site) directions. During nighttime, the maleic anhydride mixing ratio at MRIU is similar to the one at IITD, with considerable amounts explained by SecVOC2 and SFC2. A recent study indicates that furans contributed to over 90% of maleic anhydride within the first 4 hours of OH oxidation in biomass burning plumes (Coggon et al., 2019). Therefore, the higher morning peak and nocturnal mixing ratios of furans may be the driver of much higher maleic anhydride at IITD. Besides, it is possible that under the conditions of high humidity and aerosol mass loading, maleic anhydride could react and/or condense away before the city plume reaching the suburban area. In contrast to maleic anhydride,  $C_2H_4O_3$  shows a higher mixing at MRIU than at IITD.  $C_2H_4O_3$  is mainly attributed to a fragment of PAN, however, a small fraction is also related to primary factors at both sites (Fig. 8). This ion was reported in laboratory studies on primary biomass burning emissions (Bruns et al., 2017). Possibly, small amounts of this ion might be attributed to unknown isomers, which are directly emitted or rapidly formed in combustion processes. PAN is formed in VOC oxidation with NO<sub>x</sub> (de Gouw and Warneke, 2007). Due to its long lifetime at low temperature, PAN can undergo long-range transport and then play an important role in tropospheric ozone formation in the remote areas when it decomposes (Fischer et al., 2014). As illustrated in Fig. S13, high mixing ratios of  $C_2H_4O_3$  originate from north and far northwest, indicating influence of both local emission/oxidation formation and long-range transportation. At MRIU, however, high mixing ratios of  $C_2H_4O_3$ mainly originate from northwest, suggesting the influence of transportation from the urban areas. Besides, PAN is mainly explained by SecVOC1 at IITD, and a much higher PAN mixing ratio is observed at the suburban MRIU, originating from both secondary VOC factors, indicating a longer oxidation time at this site.

**4** Conclusions

Measurements of volatile organic compounds (VOCs) were performed simultaneously at two different sites in Delhi, India using two PTR-ToF-MS instruments. Much higher mixing ratios of VOCs were observed at the urban IITD site, with higher nocturnal contributions from anthropogenic emissions than at the suburban MRIU site. Using positive matrix factorization, we found a 6-factor solution at both sites, each consisting of two traffic factors, two solid fuel combustion factors, and two secondary factors. Anthropogenic activities were shown to be important VOCs sources at both sites. Among them, traffic related emissions comprised the dominant source, contributing 56.6% at the urban IITD site and 36.0% at the suburban MRIU site to the total analyzed VOC mixing ratio (excluding high-intensity VOCs as described in Section 2.3). Solid fuel combustion contributed 27.5% at the urban IITD site, and even 30.4% at the suburban MRIU site. Secondary formation was the most important source of VOCs during daytime, and contributed 15.9% at IITD and 33.6% at MRIU to the total concentration. Higher mixing ratios of oxygenated VOCs were found at the suburban site, likely due to suppression of oxidant levels in the urban atmosphere and longer aging time at the suburban site.

Comparison of the factor diurnals and profiles indicated that the anthropogenic sources were similar at two sites, even though the VOC mixing ratios at MRIU were much lower than IITD. The secondary factors, however, were different at the two sites both in terms of diurnal variations and of spectra. The two secondary VOC factors exhibited higher mixing ratios and contributions at the suburban site, in particular during daytime. At IITD, the two SecVOC factors indicated different aging process under strong primary emissions, while at the suburban MRIU they suggested local oxidation and regional aging. Besides, evaluation of biogenic markers indicated very small influence from biogenic emissions and their oxidation products.

This work highlights the crucial role that anthropogenic sources play in the pollution levels and variation characteristics of VOCs in the ambient atmosphere of Delhi, India. Further control measures to reduce emissions from traffic exhaust and solid fuel combustion are urgently needed to mitigate the severe pollution and the environmental impact of VOCs as well as aerosols (not investigated in this paper) in this region. Significant differences in the concentrations as well as the pollution sources stress the complexity of both emission and chemistry in this region. Long-term measurements of a set of VOCs, aerosols, and other oxidants would be ideal to obtain a more detailed understanding of the formation mechanisms during various conditions.

#### Data availability

#### Data will be available at a zenodo repository (at the time of publication).

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#### **Figure captions:**

**Fig. 1** Maps of the study region and the two sampling sites (from Google Maps). The black circle denotes the urban site at IITD and the black square the suburban site at MRIU.

Fig. 2 Temporal variations of CO,  $NO_x$ , analyzed VOC mixing ratios and temperature as well as the contributions of seven VOC families at the two sites.

**Fig. 3** PMF results at IITD, showing (a) factor profiles (b) time series and (c) diurnal patterns. In (a), the left axis for each factor profile is the relative composition of each factor (i.e., horizontal sum is 1) and the right axis is the relative contribution of each factor to a given ion. Ions are colored based on the seven family classes, as described in Section 3.1. (b) Temporal evolution of resolved factors at IITD, together with external reference data, e.g. NOx, CO, solar radiation and temperature. (c) Medians of diurnal cycles of factors at IITD, shaded with interquartile ranges as well as the 10<sup>th</sup> and 90<sup>th</sup> percentiles.

**Fig. 4** PMF results at MRIU, showing (a) factor profiles (b) time series, and (c) diurnal patterns. (a) Relative composition (left axis) and relative contribution (right axis) of each factor to a given ion. Ions are colored based on the seven family classes, as described in Section 3.1. (b) Temporal evolution of resolved factors at MRIU, together with external reference data, e.g.  $NO_x$ , CO, solar radiation and temperature. (c) Medians of diurnal cycles of factors at MRIU, shaded with interquartile ranges as well as the 10<sup>th</sup> and 90<sup>th</sup> percentiles.

**Fig. 5** Comparisons of averaged factor diurnal patterns and factor profiles at the two sites. The left panels present the factor profiles with the IITD spectrum on top and the MRIU spectrum on bottom, color coded by the VOC families described in Section 3.1. The right panels show the box and whisker plots of diurnal cycles at the two sites, with the blue panel representing IITD and the red panel MRIU.

**Fig. 6** (a, c) Diurnal patterns of factor mixing ratios at the two sites, color-coded by the six retrieved factors. (b, d) Diurnal patterns of the fractional contributions of the factors at the two sites.

**Fig. 7** Explained variations of selected ions at the two sites, stacked such the sum is the total explained variation, color coded by the six factors (a) at IITD and (b) at MRIU. Missing ions at MRIU were excluded from PMF analysis due to low SNR. The possible candidates for these ions are isoprene ( $C_5H_8$ ), MVK+MACR ( $C_4H_6O$ ), 2,3-butanedione ( $C_4H_6O_2$ ), 3-methyl-3-butene-2-one ( $C_5H_8O$ ), methyl methacrylate ( $C_5H_8O_2$ ), monoterpenes ( $C_{10}H_{16}$ ), and camphor ( $C_{10}H_{16}O$ ), respectively.

**Fig. 8** Diurnal cycles of selected marker ions, stacked with explained variations by each factor, and the red line representing the measured average concentrations. Tentative structures assigned to the individual ions are denoted in each figure.



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Fig. 8 Diurnal cycles of selected marker ions, stacked with explained variations by each factor, and the red line representing the measured average concentrations. Tentative structures assigned to the individual ions are denoted in each figure.