

Review of “Radiative Heating Rate Profiles over the Southeast Atlantic Ocean during the 2016 and 2017 Biomass Burning Seasons” by Collow et al.

This manuscript presents a quantitative assessment of the radiative heating rates due to aerosols over Ascension Island based on idealized calculations through a Radiative Transfer Model, using aerosol vertical profiles and optical properties from MERRA-2 reanalysis, thermodynamic profiles, and low-cloud properties from island-based observations during the LASIC field campaign. The authors find shortwave heating within the aerosol layer above Ascension can locally range between 2 and 8 K per day, and shortwave heating due to biomass burning aerosols is not balanced by additional longwave cooling. The presented assessment of the aerosol radiative heating rates is novel and nicely conducted, which could be informative and insightful to the scientific community if the following concerns and questions are properly addressed and justified. In addition, I find myself having hard times understanding/digesting many of the discussions in the current form of the manuscript. These confusing discussions should be reconstructed and extended with additional details and elaborations before this manuscript can be accepted for publication.

15 Major concerns/questions:

1. The current introduction section seems a little weak in terms of scientific motivations. I recommend stating a stronger scientific motivation for the study, clarifying the scientific question you want to address with this study, and elaborating more on how is your study going to help us better understand the aerosol-radiation-cloud interactions within the SE Atlantic, rather than saying that the goal is to quantify and report the radiative heating rates over Ascension.

The introduction section has been modified to help clarify the motivation behind the work.

2. You compared vertically integrated aerosol properties from MERRA-2 to LASIC measurements, but not thermodynamical properties, especially thermodynamic profiles, which could have subtle impact on the vertical distribution of biomass burning aerosols.

25 a. You mentioned in Line 20 on Page 11 that “boundary layer is too deep over Ascension Island in MERRA-2,” comparing to LASIC measurements? Could you show the thermodynamic profiles comparison over Ascension?

b. You mentioned potential deficiencies in RH profiles of MERRA-2, can this also be shown as a comparison with LASIC measurements?

Thermodynamic profiles from MERRA-2 are now included in Figures 5 and 6. A discussion on the comparison between the observations and MERRA-2 has been added to the text.

5 3. You introduced six sets of experiments towards the end of Section 2. Please specify how are they defined/selected?

Additional text and a table have been added to help clarify the sets of experiments.

a. Are you using cloud observations to screen for clear skies?

No, cloud observations are not used to screen for clear skies (see response for comment 3b below).

10 b. Are you simply setting cloud parameter off in the model for clear sky case, even though the inputting thermodynamic profiles felt the existence of a cloud layer? If this is the case, please discuss how is this artifact affecting your results (i.e. is your heating rates under/over-estimating?).

Clear sky here is simply turning clouds off in the model, and you are correct that the thermodynamic profiles will still reflect the existence of a cloud. This is now noted in the text and the implications are discussed.

15 c. Not all of these experiments are presented in the following results part, e.g. the clean- cloudy case is not shown.

Actually, the clean-cloudy case is indirectly used for the results presented in panels d-f of figure 6. The calculation shown is Cloudy with aerosol – Clean cloudy. This has been made clearer in the text and Table 2.

20 4. Section 3 is named as “Results,” even though 3.1 and 3.2 are not actual “results” of the radiative transfer calculations. Using “Results” as a section name is vague, and I suggest reconsidering the section organization, one option could be making 3.1 as a new Section 4, and 3.2 as a new Section 5. Currently, all your results are lumped into one section (3.3), which is poorly organized, I suggest making 3.3 as a new Section 6, and break each part into subsections, e.g. SSA sensitivity, SW heating due to black carbon, SW heating enhancement in the presence of clouds, LW cooling, and heating rates along back-trajectories.

The suggested section organization has been implemented.

5. I am a bit lost regarding the purpose of discussing the back-trajectories, how are they (or the origin of aerosol) related to (or affect?) your heating rate calculations? Besides, some of the details regarding the HYSPLIT runs should be introduced in the Data & Methodology section. P7 L15-18 seem abrupt at the end of Section 3.1, they also fit better in the Data & Methodology section. Perhaps these last two paragraphs of Section 3.1 could be moved to Section 2 together.

The back trajectories have been included as they impact the heating rates of the aerosol as the plume approaches Ascension Island. A sentence has been added to the text regarding this. The details on the runs have been moved to the Data and Methodology section as suggested.

a. P7 L2, “determine the origin of the aerosol” is a strong statement, as back-trajectories not necessarily indicate the exact pathways of biomass burning plumes, and one has to combine other sources, e.g. fire emission data, in order to determine the origin of the aerosol observed at Ascension. I suggest rewording.

This sentence has been reworded to indicate we are interested in the trajectory of the aerosol.

b. P7 L5-6, there were aerosol both in the free-troposphere and boundary layer, why 2 km is picked? What is the prior results you are referring to, please provide the reference.

The height of 2 km was selected as that is the middle of the aerosol layer detected by the micropulse lidar. This can also be seen in Figure 4 of Zuidema et al. (2018), which is now cited in the text.

c. P7 L9-10, why the subtropical high over the southern Indian Ocean plays a role here? Monthly mean SLPs could be added to the background of Fig. 3 to base this statement.

The southern Indian Ocean is too far east to nicely include in the figure, but adding monthly mean SLP to the background was a great idea and has been incorporated.

d. P7 L10-14, what are these observations implicating, how are they related to this work, or affecting the interpretation of your results?

The back trajectories have implications on the AOD over the site, as well as the heating within the aerosol layer as it travels towards Ascension Island. Given that this is controlled by the large-scale circulation, the amount of heating on days (and years) not shown can be extrapolated. A sentence regarding this has been added to the text.

6. Regarding Fig. 4, although one can identify an inversion layer from temperature profiles (distinguishing yellow and light orange from your plot), I would argue that potential temperature is a better choice to show the cloud top inversions. I think you could also extend the discussion to the potential role of the RH plot on indicating the biomass burning smoke plumes arriving at Ascension, as RH bursts in the free-troposphere tend to colocate well with the smoke episodes arriving at Ascension.

We investigated showing potential temperature instead however the figures did not prove to show cloud top inversion any better than temperature. As a result, we had elected to stick with temperature for the figure. A discussion on the connection between the smoke plumes and relative humidity has been added to the text.

7. You did not discuss Figure 5b at all, and only mentioning Fig. 5a for clouds that are not visible in the plot. I wonder if this figure is necessary, it doesn't seem to add much useful information, and you barely discussed it in the main text.

The fields shown in Figure 5 are used as an input to the radiation transfer calculations. This is also the first time (to our knowledge) that the microphysical properties of clouds during LASIC have been included in peer reviewed literature. The section of the text has been expanded.

8. The discussion in the first paragraph of Page 9 is very confusing to me. In the first couple of sentences, you mentioned comparing heating due to clouds with heating due to aerosols, and my interpretation of Figure 6d is that this is SW heating due to aerosol under a cloudy sky, and you did not show a case for heating due to clouds alone (no aerosol), so how did you make the comparison? whereas in the last sentence you talked about comparing aerosol heating under cloudy and clear skies (isn't this contradicting to the first couple of sentence? please clarify), and what do you mean by "embellished," I had trouble relating this word to the observations.

This paragraph has been rewritten, with an additional figure and references to the table added. "enhanced" is now used instead of "embellished".

9. I also think the discussion for Figure 6d,e,f could be substantially extended. Currently, you barely discussed them ("embellished" is all you used to describe the comparison), and I will be curious to know why SW heating due to aerosol in the BL is enhanced under cloudy conditions? This is contradicting to

my intuition, as the cloud layer reflects SW back to the space, I would expect the SW heating in the BL to decrease instead of increase.

This paragraph has been rewritten and expanded. While clouds reflect SW back to space, the radiation encounters the aerosol layer above the cloud layer before it can leave at the top of the atmosphere. This means that within the aerosol layer, there are two opportunities for absorption.

10. It seems to me that MERRA-2 is not distributing enough BC/OC in the BL based on your Figure 2, 6 and 7 (clear sky condition). I wonder if you have compared MERRA-2 aerosol vertical profiles with extinction profiles from LASIC MPL (when available) or NASA ORACLES HSRL2 profiles or UK CLARIFY EXCALABAR profiles when they become available over Ascension during the 2017 season?

A figure showing backscatter from the LASIC MPL has been added to assist in an evaluation of the vertical profile of aerosol (Figure 3 in the revised version). MERRA-2 actually has more extinction from aerosol in the boundary layer than aloft, however this is almost completely a result of sea salt.

11. Regarding the LW cooling associated with the SW heating, the concern I have is that the observed temperature profiles (from LASIC radiosondes) had already felt that heating, in other words, the temperature increase was already taken into account in the observed profiles. By modifying the observed T profiles, you're artificially increasing the temperatures (artificially boosting the LW cooling). I would recommend just simply turn on the LW calculation using the same observed T profiles, and see if the net radiation budget produce a cooling or a warming.

We agree that by adding the heating rate to the temperature, the temperature profiles had already experienced heating due to aerosols. Accordingly, we have now reworked the LW calculation to account for this.

12. You should state whether this LW experiment is calculated with cloud presence or not.

The calculation was done without clouds which is now noted in the text.

13. The discussion in lines 17-32 on page 10 is particularly hard for me to digest. As we know LW cooling is always happening no matter aerosol presents or not, and the "LW cooling" you are talking about in this paragraph is the additional LW cooling caused by the increase in the temperature profiles due to SW heating (since it is done by subtracting a control run). The following points should be addressed properly in order to make the discussion clear.

a. When you say “LW radiational cooling never offsets the absorption due to aerosols,” you should make this clear that you mean the additional LW cooling never offsets the absorption.

This has been clarified.

b. L 25, “magnitude of the LW cooling never reaches ...” same problem as above. LW cooling at
5 inversions can easily reaches 10K/day at night. The LW cooling you are referring to is the difference between the T-modified run and the control run. Please make this clear.

This has been made clearer.

c. L 23, “radiational cooling still occurs...” As mentioned above, LW radiative cooling always occurs no matter the aerosol condition. Since you are showing the difference between the T-modified run
10 and the control run, as long as there is SW heating due to aerosol (no matter how much), T profile will be modified, and difference in LW heating will exist. This cannot be used to demonstrate that additional heating due to aerosol remains in the column, you have to use the real LW heating profile to quantify that, not the difference between two runs. I strongly recommend re-assessing this LW part (see Major comment
11), at least the way you interpret/discuss it.

15 These points have been clarified in the text and we have changed the methodology for the LW calculations as suggested by Major comment 11.

14. Regarding your case study on the back trajectory, first, please specify reasons for originating at 2 km, second, why the meteorology for HYSPLIT runs are switched to GDAS instead of MERRA-2 as you did for the monthly back-trajectories, is MERRA-2 not capable to do ensemble runs? Please justify. Then,
20 trajectories were forced by GDAS but radiative transfer calculations were using MERRA-2 thermodynamic profiles (why inconsistent)?

A height of 2 km was selected as the origin of the back trajectories based on the central location of the aerosol layer using micropulse lidar back scatter data. There are no ensembles associated with MERRA-2, whether related to the data assimilation process or the actual analysis. This point has been made clearer
25 in the text. The same case study was carried out using the MERRA-2 back trajectories and has now been added to the figure. Given the uncertainty associated with back trajectories, we wanted to make sure there was a measure of the variability for the heating rates. As a result, that is why we ultimately decided to use

GDAS. MERRA-2 is one of the few reanalyses that include the assimilation of aerosol optical depth, making it the obvious choice for aerosol properties that are consistent with a thermodynamic profile.

15. Why is the SW heating along the back trajectory limited to below the inversion? I would expect there to be aerosol in the FT along the 7-day back trajectories, and why not showing the aerosol and thermodynamic curtain plots along the trajectories from MERRA-2? In Lines 18-20, you're saying the aerosol layer is entirely above the inversion along the trajectory, and yet, no heating above the inversion? This is very confusing, please justify.

This comment was extremely helpful. We found a bug in the aerosol input during our investigation, and the figure has been updated accordingly. The results are more reasonable now.

16. In the last paragraph, you mentioned that the ultimate goal is to study how the heating due to aerosols impacts the transition of marine stratocumulus to trade cumulus, I would really love to see more discussions added to the manuscript on how will this study help towards achieving this goal. For instance, how can this study contribute to the understanding of cloud adjustments to aerosols, and what insights can this study provide on the stratocumulus to cumulus transition in the southeast Atlantic. Such discussions will substantially strengthen the scientific importance of this study.

We have added a paragraph to the conclusions that discusses our results in the context of the stratocumulus to cumulus transition.

Minor issues:

Abstract

• Line 30, you mentioned “stabilization of the lower troposphere,” but this is not discussed anywhere in the main text of manuscript. I suggest adding discussion regarding this point you raised in the abstract.

A discussion on this topic has been added to the conclusion section.

Introduction

• P2 L21, you haven't introduced Ascension Island yet, a general reader would have no idea where the island is, near coast? or in the remote ocean? I suggest introducing Ascension Island somewhere in the introduction.

Ascension Island is now described in the introduction on line 21 of page 2.

• P3 L10-15, these information on datasets belong to the Data section, seems to me.

This paragraph has been modified with the information on the datasets getting moved to the Data section.

• P3 L16-18, these sentences seem to belong to the Methodology section. I would suggest adding more motivational statements, clarifying your scientific goals, here in the last paragraph of the introduction, replacing these details of datasets and approaches.

- 5 We contemplated moving these sentences but decided to leave them in the current section. As we have noted above based upon your previous comments, we agreed with your assessment about the motivation for the study and have made substantial changes to the manuscript in this regard.

Data and Methodology

- P4 L7-, Because of the location of the AMF1 site, orographically generated clouds frequent present in
10 LASIC AMF1 cloud measurements, please address how will this feature affect your assessment and the general representativeness of your results.

- We added a paragraph to the paper that discusses our analysis of the island effects on the cloud observed at the AMF1 site. In short, we analyzed the clouds observed during the entire year and found them to have unequivocally originated from the air near the ocean surface. Their cloud bases correspond to the
15 marine LCL rather than the LCL at the AMF1 site. They are almost certainly modified by the orography given that we observe a systematic updraft of on average 0.5 m s^{-1} near the surface at the AMF1 site to near zero at 600 m. Orographically lifting all near-surface marine parcels to the near their LCL substantially increases the probability of cloud development and we recognize that this enhancement likely impacts our radiation transfer calculations. To adequately address this issue, we note in the revised
20 manuscript that our radiation transfer calculations relative to clouds may serve as an upper bound to these impacts.

Please also specify the temporal resolutions of ARSCL and MICROBASE.

The temporal resolution of 4 seconds for ARSCL and MICROBASE is now noted in the text.

Results

- 25 *3.1 Evaluation of Aerosols in MERRA-2*

• P6 L23, my understanding of the location of AMF1 site is that it is elevated and located at the upwind part of the island, which should be representative of the aerosol condition of a marine boundary layer (minimal island effect). Besides, if indeed there were dust (more scattering) mixed into the AMF1

sampling volume, shouldn't we expect a higher SSA? (Zuidema et al. 2018b's values are lower). Please correct me if this is not the case.

The aeronet site is actually located by the airport, on the opposite side of the island from where the AMF1 was located. We have removed the statement regarding volcanic dust since we cannot prove that is the case.

• P6 L28-29, could be helpful if AODs are overlaid on top of Fig. 2.

This made the figure look too busy but the AODs are now including in the heating rate figures.

• P6 L34, the decrease in BL height is not very evident based on Fig. 2, overlaying some other forms of indication could be helpful.

10 We have removed to statement regarding the BL height. A decrease in BL height was more obvious in a previous version of the figure that included October as well August and September.

3.2 Thermodynamic Profiles over Ascension Island

• P7 L21, "time-height" should be time-pressure, as you're showing pressure in the vertical.

This has been corrected.

15 • P7 L22, cloud top inversions at Ascension are not around 700 hPa (~3 km). Please double check the pressure axes in Figure 4.

Yes, you are correct. This was a plotting issue that has been resolved.

• P7 L31, I do not see a "subtle, intermittent sub-layer at ~900 hPa" based on the RH curtain plot, perhaps this will be more visible in a single-profile presentation. Based on Fig. 13 of Zhang and Zuidema (2019),
20 the intermittent layer seems to be located at ~700 m, which is lower than 900 hPa.

A figure has been added to show the monthly mean vertical profile of temperature and RH.

3.3 Heating Rate Profiles over Ascension Island

• P8 L21, it would be easier to visualize this co-variability between the heating rate and the AOD, if you could add MERRA-2 aerosol contours or AOD time series in the background of Fig. 6.

25 AOD contours have been added to the background of the figure.

• P8 L29, if it is hard to tell with the color bar, could you provide some values to indicate the difference between heating rates calculated from MERRA-2 SSA and RH scaled SSA?

The reader is now referred to Table 3 which shows the heating rates with the different SSAs.

•P9 L18-19, I see heating due to black carbon ~ 0.5 K/day extending to around 600 hPa just as in Figure 6, please re-state your argument about this observation. Besides, how do you know it is absorption from dust (isn't dust more scattering)? Please justify.

5 This paragraph has been rewritten with a figure that now shows the percentage of heating due to aerosols that is from black carbon.

• P9 L29, please define “enhancement of heating within the aerosol layer due to clouds” in the text or in the caption, i.e. how did you quantify that, is this cloudy-aerosol run minus clear-aerosol run (Fig. 6f – Fig. 6c = Fig. 8a)?

A table has been added to clarify the quantities that are shown in the figures.

10 • P9 L30-32, “a few K per day”? the color bar on Fig. 8 only goes to 0.6, how did you get a few K per day? “...but when all aerosols are considered the majority of the enhancement is located ...” isn't this true for both ‘All Aerosols’ and ‘Black Carbon’? Please check your logic here.

This should have been a few *tenths of a K* and has been corrected.

15 • P9 L32-34, could you please extend this discussion, especially on why this BL enhancement is not apparent for Black Carbon only case, even though BC is highly absorptive?

There is a minimal amount of black carbon in the boundary layer, which is now noted in the text.

• P10 L1-2, I think you should be careful here and say “...due to the presence of clouds...”

This has been modified as suggested.

20 • P10 L9 and thereafter, you used the phrase “radiational cooling,” while I am more used to seeing “radiative cooling” being used in other literatures.

Radiative cooling is now used throughout.

• P10 L28-29, “some heating occurs above and below the aerosol,” we can't tell where the aerosol layer is based on this plot, one option is to put MERRA-2 aerosol contours in the background. Another option is to show a line plot highlighting a single heating profile along with the aerosol profile.

25 Contours of AOD have been added to the figures.

• P10 L29, please discuss how is this redistribution of heat, as you put it, going to modify the stability of the boundary layer, as you pointed out in the abstract.

A discussion has been added to the conclusion section on this topic.

• P11 L21, please elaborate more on how the depth of BL affects the SW heating. Why minimal SW heating occurs in the last few hours of the back trajectory?

This is not present in the updated version of the figure following updates to the calculation.

• P11 L23, please explain or discuss your speculations on why SW heating maximized at the surface here.

5 This statement no longer applies to the updated figure.

Summary and Conclusions

• P12 L12, “...greater depth of the boundary layer...” comparing to what?

This statement is not in the revised version.

10 • P12 L16, “local heating rates are sensitive to the thickness of the aerosol plume” this is not discussed in the results section. Which figure supports this argument? Please mention this argument when you discuss that figure.

This is discussed as part of Figure 8, which is now noted in the text.

• P12 L20, “...most of the SW absorption” please be quantitative here.

It is now noted that up to 80% of the SW absorption can be due to black carbon.

15 • P12 L21, please be specific about this statement, i.e. which month? over Ascension or the whole SE Atlantic? Zuidema et al. 2018b states smoke often presents in the BL of Ascension Island, more frequent than “at times.”

20 Despite the title of Zuidema et al. (2018), the conclusions presented in the paper are in good agreement with our use of “at times” for the months of August and September as there is a periodicity in aerosol concentrations. We have specified that we are referring to the months of August and September.

From Zuidema et al. (2018):

“1. Near-surface rBC mass concentrations vary significantly at synoptic time scales from June to October at the Ascension Island location....

25 3. The aerosol loadings within and above the cloudy boundary layer do not necessarily correlate well, with more of the total column aerosol present in the boundary layer early in the BBA season, migrating to predominantly free-tropospheric aerosol in September.”

• P12 L23-24, I think what you want to express here is that adding a cloud layer will result in an enhancement of heating. Saying “interaction between SW radiation, clouds, and aerosols” is a bit misleading, as aerosols and clouds are not interacting in your calculations. I suggest a more careful rewording.

5 **This sentence has been reworded.**

• P12 L29, I didn’t think you were trying to represent the entire southeast Atlantic using Ascension observations until I saw this statement, and I don’t think this study should be used to represent the entire region. I suggest stating this clearly in the introduction or data section, that this study only represents the remote SE Atlantic, and cannot be used to represent the entire region. Also, you could change the title to

10 “...remote SE Atlantic...”

This statement has been removed.

• P12 L31, “sensitive the heating ... is,” sensitive to what?

This has been clarified to refer to the aerosol optical properties.

•P13 L15-20, the first and third sentences are the same sentence, please double check.

15 **This has been fixed.**

Figure/Table issues

1. Table 1, there are no italicized values in this table, please check.

Yes, that caption was for an older version of the table and has since been corrected.

2. Figure 2, please use 10^{-5} instead of e-05

20 **This has been modified as suggested.**

3. Figure 4, please double check pressure axis, you are showing a 3 km BL.

The pressure axis has been fixed.

4. Figure 5, in my opinion, this figure can be removed, and all the white space above 800 hPa can be minimized.

25 **Rather than removing Figure 5, the discussion pertaining to this figure has been extended. The y axis has been modified to only show below 800 hPa.**

5. Figure 6, SSA instead of “SSA albedo.” Again, the space above 600 hPa can be minimized, same for Fig. 7, 8 and 9.

This has been fixed.

6. I think for Figure 7 and after, you probably should remind the reader that we should compare these results only with the bottom panel of Fig. 6 (the RH scaled one), by making a note in the caption that SSA is the RH scaled one.

5 This is now noted in the figure captions as suggested.

7. Most of the results are presented in curtain plots, they are nice in terms of showing the whole month, but rather poorly representing details in vertical. I recommend showing couple plots with single profiles when you discuss details in vertical, especially when you discuss the relative location of heating/cooling to the aerosol layer.

10 A couple figures have been added that show the mean profile for the month for the thermodynamics and radiative heating.

Review on “Radiative Heating Rate Profiles over the Southeast Atlantic Ocean during the 2016 and 2017 Biomass Burning Seasons” by Collow et al.

5 This study attempts to quantify the contribution of aerosols and clouds on radiative heating rates within the atmospheric column over Ascension Island in South-east (SE) Atlantic. The approach involves the use of thermodynamic profiles and low-cloud observations during LASIC field campaign and aerosol profiles from MERRA-2 reanalysis data as inputs to a Radiative Transfer Model (RRTM). The study finds that on average, the maximum local aerosol SW heating within the column over the course of the biomass burning season ranges from 2 to 4 K per day. In addition, on 10 days biomass burning aerosol plumes are observed above clouds, shortwave heating within the aerosol plume is enhanced by about 0.5 K per day.

The quantification and assessment of the aerosol radiative heating rates utilizing the LASIC campaign data is novel, and is definitely of interest to the scientific community. However, reporting just the radiative heating rates appears to be an underutilization of the modeling tools and 15 observational data that the authors currently use. The manuscript could improve from clearly stating the scientific questions authors want to address to better understand the aerosol-radiation-cloud interactions over SE Atlantic, elaborating on their current findings, and evaluating additional metrics to quantify the aerosol effects on radiation at the TOA and surface, such that these estimates can be easily compared to previous studies over SE Atlantic.

20

I have following comments (both major and minor) and suggestions for edits.

We sincerely thank the reviewer for the constructive feedback.

25 Major comments:

1. P3, L9-22: a. The authors claim that “Aerosol impacts on cloud properties resulting in changes in the cloud radiative properties, i.e. aerosol indirect effects, will be captured through the observed cloud properties”, yet there is not enough discussion on this topic later on in the results section, especially from the perspective of “indirect effects”. One would expect some analysis of the observed

cloud microphysical properties to assess the cloud adjustments due to the presence of aerosols. I suggest either removing this sentence from objectives or adding some analysis and discussions to address this topic.

The reference to aerosol indirect effects has been removed.

5

b. “heating rates are explored along a back trajectory originating at Ascension Island”. Please elaborate on the motivation for this part of the study, and what scientific questions will this analysis address within section 1.

The introduction and motivation for the study have been modified to reflect that we are quantifying uncertainties associated with aspects of the radiative heating due to aerosols.

10

2.a. Since MERRA-2 thermodynamic profiles are used as inputs to RRTM for heating rate calculations along back trajectories, it would be nice to see a comparison of these variables at least over Ascension Island, where observations are available, to get some sense on representativeness of MERRA-2 thermodynamic profiles compared to the observations from AMF1 or INTERPSONDE profiles. This is important because at several places within the manuscript, authors bring up anomalous behavior of MERRA-2, with deeper boundary layer, deficiencies in RH profiles, without actually showing comparisons with the observations.

15

MERRA-2 thermodynamic profiles are now included and evaluated against the interpsonde observations.

20

b. Similarly, even though AOD from MERRA-2 are readily compared to AERONET and AMF1 observations in this study, which is a column integrated and assimilated property within MERRA-2, some comparisons of aerosol vertical structure, probably using lidar observations from LASIC or other co-located campaigns during this time would be more insightful. P6, L31 mentions that “in agreement with Zuidema et al. (2018), the black and organic carbon in MERRA-2 is located above the cloud layer, but perhaps extends higher in the atmosphere than indicated by lidar observations.” Can the authors please clarify which Figure within the specified reference are they alluding to?

25

A figure has been added showing the backscatter from the MPL to compare to the MERRA-2 vertical profile, in addition to a reference to Figure 4 of Zuidema et al. (2018).

5 3. The authors mention some recent modeling studies, e.g. Chang and Christopher (2017)
that used similar techniques/modeling tools as the authors to estimate the aerosol radiative heating
rates, as well as direct radiative effects (DREs) of absorbing aerosols at the TOA and surface over
SE Atlantic. Therefore, this study could benefit from calculating these additional estimates of DREs
at TOA and surface, such that they can compare and contrast the differences in estimates based
10 on the differences in assumptions of aerosol properties, clouds and thermodynamic profiles, as well
as the location within SE Atlantic of the current study versus the previous studies.

A figure (and associated text) has been added to show the DRE at the TOA and surface, with a
couple sentences comparing to Chang and Christopher (2017).

15

Minor/Editorial comments:

P2, L15: 'lofted to between 3.5 to 4.5 km': Please verify that these heights are above ground level.
Also, use of the phrase 'lofted to between' seems inappropriate. Within the boundary layer smoke
is well mixed, so to put it more appropriately, 'smoke aerosols extend up to 3.5

20 -4.5 km above ground level'.

Yes, this is above ground level. The sentence has been modified as suggested.

P2, L18: 'When compared to satellite observations, models commonly allow for the biomass
burning aerosol to descend too rapidly once over the ocean': This applies more to the 'global models'
25 rather than generalizing it to all models.

"global" has been added to this sentence.

P3, L9: 'impact of clouds, aerosols, and black carbon': black carbon is part of aerosols, I suggest rewording to mean all aerosols except black carbon and black carbon.

This sentence has been reworded.

5

P4, L 9: ice and liquid/ice cloud droplet effective 'radius'?

Yes, "radius" has been added to the sentence.

10 P4, L 22: vertical profile of aerosols and their 'column' integrated properties?

"Column" has been added to the sentence.

P5, L14: 'INTERPSONDE profiles were interpolated onto the MERRA-2 vertical profile'?

Yes, this was done so that RRTM could be run using the MERRA-2 vertical profile of aerosols which is now noted in the text.

15

Replace MERRA-2 vertical 'profile' with 'levels'.

"Profile" has been replaced by "levels".

20 P5, L23: The model experiments need elaborate description, may be also tabulation for quick remembering. The authors need to clarify how are clear and cloudy sky cases being simulated, using what classification criteria.

Additional text has been added to this paragraph as well as a table to help clarify the experiments.

25 P7, L5: 'Based on prior results for the height of the aerosol plume, the parcel originated at a height of 2 km.' Please clarify, what prior results are being referred to here? Moreover, this whole paragraph is hard to follow at times, I suggest overhauling and elaborating on how "determining the origin" of aerosol plumes impacts your findings of this study.

“Prior” results have been clarified. A sentence has also been added to show the relevance of the back trajectories for the study. Note, this paragraph is now located in Section 2.4.

5 P7, L 25 onwards: This paragraph is describing the typical MBL and cloud structure over Ascension, but it appears like a commentary on general cloud features one would observe over this region, rather than depicting these features using the observation data. Moreover, references backing these statements about cloud structure and transitioning lack appropriate referencing.

This paragraph is now in better connection to the figure, with an expansion of the discussion on observed cloud properties.

10

P7, L 31: authors mention, “bottom panel of Figure 4, which exhibits a subtle, intermittent sub-layer at ~900 hPa”. It is hard to make out any intermittent sub-layer at 900 hPa, probably color scale of the figure needs to be improved.

15 This can now easily be seen in the figure that was added showing the month averaged vertical profile (Figure 5 in the revised text).

20 P9, L 1-7: This paragraph is really hard to follow. Authors mention, “heating due to clouds, generally located below 900 hPa, is underwhelming and of similar order of magnitude as the heating due to aerosol” and refer to Figure 6d. From my understanding of Fig. 6, these depict SW heating rates due to aerosols, so I don’t understand how are “heating due to clouds” are being inferred.

This paragraph has been edited, with references for the heating due to clouds added for the table with the heating rates.

25

P9, L 6-7: “in the presence of clouds, radiative heating within the aerosol layer is embellished”. Suggest rewording “embellished”, as well as clarification on what do the authors mean by this term? The word “enhanced” is now used.

P11, L 20: “It is known that the boundary layer is too deep over Ascension Island in MERRA-2”. How is it known, please clarify or use an appropriate reference?

This sentence has been since been removed.

P11, L 22: “SW heating due to aerosol is no longer maximized within the aerosol layer but rather at the surface”. Please elaborate why would that be, it is not clear from the current discussions.

This paragraph has been rewritten as a bug was found in the radiation transfer aerosol input. This has since been corrected and the results are now more reasonable.

Figures/Tables:

10 Table 1: caption says, “Italicized values in parentheses for all aerosols are results with the decreased SSA.” I do not see any italicized values in parentheses within the table. Please clarify. Also, consider spelling out M2 to MERRA-2 or explain in caption.

This was from an earlier version of the table and has been removed.

15 In general, curtain/contour plots are okay, but some sort of mean vertical profiles as line plots are required for understanding the subtle features that the manuscript points to at various instances (e.g. discussions under section 3.2)

A mean vertical profile has been added as suggested (Figure 9 in the revised text).

20 Figure 4: Color scale needs changing, as contours are hard to distinguish. Also, can the Y-axis be limited to 400-500 hPa, so that details of the lower troposphere can be highlighted, where the interests of this study lie?

Figure 4 (Figure 6 in the revised text) has been modified. The contours are now easier to distinguish and the y axis has been limited to 400 hPa.

25

Figure 5: Figure 5b is never discussed, while 5a is barely mentioned. Either remove the figures or include discussions within the main text.

The text associated with Figure 5 (Figure 7 in the revised text) has been expanded upon.

Title: Radiative Heating Rate Profiles over the Southeast Atlantic Ocean during the 2016 and 2017 Biomass Burning Seasons

Author(s): Allison B. Marquardt Collow, Mark A. Miller, Lynne C. Trabachino, Michael P. Jensen, and Meng Wang

MS No.: acp-2020-106

5 MS Type: Research article

Iteration: Initial Submission

Special Issue: New observations and related modelling studies of the aerosol–cloud–climate system in the Southeast Atlantic and southern Africa regions (ACP/AMT inter-journal SI)

10 Overall The authors present a topic of great interest and importance. The authors present simulations of heating rates due to aerosol layers under clear sky and cloudy conditions over Ascension Island and discuss whether these are representative for the entire South-East Atlantic domain.

We thank the reviewer for the time spent and helpful feedback.

15

Major comments

Overall, the analysis over Ascension Island seemed adequate, although the final assessment that BC is responsible for most of the SW absorption in this location is a bit of a stretch. This is due to the fact that the calculations heavily depend on SSA values, as shown in figure 6 of the manuscript and Table 1. For example, comparing the values contributed by BC only from Fig. 7 to the values in Fig. 6 depends on the SSA assumptions used, where no correction/RH corrected values indeed will give the impression that BC contributes the majority to the heating rate, while if using SSA adjusted for BrC absorption makes BC contribution about 25% (at least by comparing the color scales of the two plots). This is also stressed in their text (and contradicts their conclusions): in lines 15-20 page 9. There is no conclusion to which of the heating rates calculation in Fig. 6 is the closest one to reality, which might affect the final conclusions. Maybe there are some days where the British CLARIFY aircraft had valid profiles that can support one of these assumptions.

20 An effort has been made to clarify the conclusions on the contribution of biomass burning aerosol to the SW heating. Unfortunately, we were unable to find data from CLARIFY to validate the results over Ascension Island.

Moreover, the heating rate calculations along the 7-day trajectory from Ascension are not fully clear for some reasons:

30 (1) the calculation procedure is not clear, e.g. does the profiles were taken per each lat/lon along each of the 27 ensemble or whether there was one profile compiled per trajectory. If the latter is correct, then further explanations on the calculation and assumptions is needed.

The profiles were taken for each lat/lon along the 27 individual trajectories. This has been made clearer in the text.

(2) the SSA values selected/assumed over the SEA Ocean, in compared with the values assumed for AI need further elaboration. The authors first claim that MERRA-2 and AI SSA values do not match well, but thereafter claim that over the SEA Ocean they do match (following Shinozuka et al., 2019 analysis). Indeed, in the lower FT it seems that the GOES model (underlying MERRA-2) is able to simulate SSA well (although the current paper talks about 0.92 for SSA over AI, where over the SEA GOES is withing 0.80-0.86 in the lower FT according to Shinozuka et al., 2019, however is underestimating in the mid-FT. The question is which SSA was used then for the vertical profile calculations? Also, it would be of great help to the reader to state the SSA values, both for AI and their MERRA-2 compared values and over the SEA Ocean, since trying to understand which MERRA-2 values compared well with which location was a bit difficult. I am not sure how the lower FT SSA values over the ocean are different than AI values for MERRA-2 and why.

10 As the aerosol plume is transported across the ocean, in reality, black carbon becomes coated with organic carbon, forming tar balls with optical properties that change the more the aerosol ages. This is not represented in MERRA-2, given the lack of brown carbon. Closer to the African coast, there is less time for the aerosol to age, and therefore, the optical properties are likely more similar to black carbon. By the time the aerosol reaches the island, physical properties of the aerosol have changed considerably. The results demonstrated by Shinozuka et al., 2019 demonstrate this. GEOS had excellent agreement with the
15 ORACLES observations for SSA along the African coast, however, struggled closer to Ascension Island. A sentence has been added to the text reflecting this.

Also, the paper is a bit hard to follow and would benefit from additional editing.

The manuscript has undergone substantial changes that hopefully make it easier to follow.

20

Minor comments

Page 4, line 6, Cimel and not Cimen

This has been fixed.

25 Page 4, line 9, cloud effective radii (radii is missing)

This has been fixed.

Page 6, lines 10-12, why AMF1 and Aeronet Cimels are so different?

There are a few reasons the AOD from the AMF1 and Aeronet are not identical. 1) The AMF1 AOD is actually from an
30 MFRSR, not a Cimel sun photometer. 2) The Aeronet site is located at the airport on the eastern side of the island, while the AMF1 was stationed at a higher elevation of the southwestern side of the island. 3) Given the different instruments and institutions (NASA for Aeronet, DOE for AMF1), there are different processing algorithms that were used to generate the AOD, though this is not likely the leading cause for any differences.

Fig. 2, reduce x-axis font

The font size has been reduced as suggested.

Page 6, line 24, are there evidence of volcanic dust (in the form of size distribution, AE etc.?) during some of the days?

5

We cannot say for sure. There is no instrument at the AMF1 site that can measure coarse mode aerosols or determine their chemical composition. There was a Proton Transfer Mass Spectrometer (PTR-MS) deployed at the AMF1 site during LASIC, but data from this PTR-MS have not been processed and there is no plan to do so in the near term according to the instrument operator. Absorption by volcanic aerosols would be present in the aerosol radiation measurements made by the PSAP (Particle Soot Absorption Photometer) at the AMF1, but there would be no way to separate the absorption from biomass burning aerosol from that volcanic aerosols. The second author of this study calibrated sun photometers on multiple occasions at the Mauna Loa Observatory in Hawaii, which lies at 3400 m in an extensive volcanic field subject to high winds, but no evidence of volcanic aerosol was found in these observations. While we cannot be completely sure that such aerosols are not present at the AMF1 site, we suspect that appreciable concentrations are unlikely given the windward location of the AMF1 and that there is active volcanic activity on Ascension Island.

10

15

Page 7, lines 8-10, August 2016 (Fig. 3a) shows some contribution from the west, over the ocean as well as from the continent. With the exception of one day (which probably has an incorrect trajectory due to errors in the MERRA-2 wind field), the trajectories that originate over the Southeast Atlantic Ocean do pass through the interior of the African continent.

20

Page 8, line 5, please state which observations you are referring to.

A reference has been added to this line for the observations.

Page 8, line 20, aerosols in the (in is missing)

25

This sentence has been updated.

Fig. 6 and 7 might benefit from a similar colorbar (same max-min values) or maybe a plot that shows the accumulating percentages of BC and the other aerosol to the total might be clearer here?

We now have a figure that shows the percentage of heating due to black carbon instead.

30

Page 9, lines 8-9, it is unclear why the relative humidity scaled MERRA-2 values were chosen here and not the BrC scaled one?

The RH scaled SSA was chosen to present the middle of the road scenario, which is now noted in the text. Additionally, SSA observations are only available at the surface. Assumptions had to be made that the vertical profile of SSA was representative of the observations. By using the RH scaled scenario, we have a true observationally based correction.

- 5 Table 1, there are no italicized values in parenthesis?

The italicized values were in a previous version of the table however the caption was never updated. This is now fixed.

Page 10, lines 3-4, please rephrase

This sentence has been rewritten.

10

Page 11, lines 7 and onward: please elaborate on the heating rate calculations for the trajectory analysis; as stated above, this is unclear.

This section has been rewritten.

- 15 Page 12, line 20, the conclusion here contradicts the statement in page 9, lines 15-16.

The statements that were on page 9 have been adjusted following the new figure showing the percentage of heating due to black carbon.

Radiative Heating Rate Profiles over the Southeast Atlantic Ocean during the 2016 and 2017 Biomass Burning Seasons

Allison B. Marquardt Collow^{1,2}, Mark A. Miller³, Lynne C. Trabachino⁴, Michael P. Jensens⁵, and Meng Wang⁵

5 ¹Universities Space Research Association, Columbia, Maryland, USA

²Global Modeling and Assimilation Office, NASA Goddard Space Flight Center, Greenbelt, Maryland, USA

³Department of Environmental Sciences, Rutgers University, New Brunswick, New Jersey, USA

⁴Institute for Earth, Ocean, and Atmospheres, Rutgers University, New Brunswick, New Jersey, USA

⁵Environmental and Climate Sciences Department, Brookhaven National Laboratory, Upton, New York, USA

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Correspondence to: Allison B. Marquardt Collow (allison.collow@nasa.gov)

Abstract. Marine boundary layer clouds, including the transition from stratocumulus to cumulus, are poorly represented in numerical weather prediction and general circulation models. Further uncertainties in the cloud structure arise in the presence of biomass burning carbonaceous aerosol, as is the case over the southeast Atlantic Ocean where biomass burning aerosol is transported from the African continent. As the aerosol plume progresses across the southeast Atlantic Ocean, radiative heating within the aerosol layer has the potential to alter the thermodynamic environment and therefore the cloud structure; however, this has yet to be quantified. The deployment of the First Atmospheric Radiation Measurement Mobile Facility (AMF1) in support of the Layered Atlantic Smoke Interactions with Clouds (LASIC) field campaign provided a unique opportunity to collect observations of cloud and aerosol properties during two consecutive biomass burning seasons during July through October of 2016 and 2017 over Ascension Island (7.96 S, 14.35 W). Using observed profiles of temperature, humidity, and clouds from the LASIC field campaign, alongside aerosol optical properties from the Modern Era Retrospective analysis for Research and Applications, version 2 (MERRA-2) as input for the Rapid Radiation Transfer Model (RRTM), profiles of the radiative heating rate due to aerosols and clouds were computed. Radiative heating is also assessed across the southeast Atlantic Ocean using an ensemble of back trajectories from the Hybrid Single Particle Lagrangian Integrated Trajectory Model (HYSPPLIT). Idealized experiments using RRTM with and without aerosols and a range of values for the single scattering albedo demonstrate that shortwave (SW) heating within the aerosol layer above Ascension Island can locally range between 2 and 8 K per day depending on the aerosol optical properties, though impacts of the aerosol can be felt elsewhere in the atmospheric column. SW radiative heating due to biomass burning aerosol is not balanced by additional longwave cooling, and the net radiative impact results in a stabilization of the lower troposphere. However, these results are extremely sensitive to the single scatter albedo and the height of the aerosol plume with respect to the inversion layer.

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30

1 Introduction

Marine stratocumulus and trade wind cumulus are prominent cloud types over the Atlantic Ocean with regional and global impacts on the energy budget (Bony and Dufresne, 2005). Despite their importance, models struggle to accurately

represent these clouds and their properties. Within the southeast Atlantic and other subsidence regions, general circulation models and reanalyses tend to underestimate the cloud fraction (Klein et al., 2013; Dolinar et al., 2015) and optical thickness of warm marine stratocumulus due to underestimates in cloud albedo and liquid water path (Lin et al., 2014; Noda and Satoh, 2014; Rapp, 2015). Furthermore, models struggle to properly link environmental conditions to cloud properties of trade wind cumuli (Nuijens et al., 2015). The uncertainty and discrepancy among models within the region are further complicated by the presence of biomass burning aerosol (Stier et al., 2013; Peers et al., 2016). Using global model simulations, it was shown by Brown et al. (2018) that the largest radiative impact from brown carbon occurs off the west coast of southern Africa. Biomass burning aerosol that gets entrained into marine stratocumulus in the southeast Atlantic has a larger impact on the radiation budget than the direct radiative effect of the aerosol itself (Lu et al., 2018). The determination to answer questions and resolve uncertainties surrounding this topic in the southeast Atlantic Ocean led to an international effort termed COLOCATE (Clarify-Oracles-Lasic-aerOClo-seAls Team Experiment), with overlapping field campaigns and modeling studies from the United Kingdom, France, South Africa, Namibia, and the United States (Zuidema et al., 2016). The focus here is a combination of radiation transfer modeling and observations from DOE's Layered Atlantic Smoke Interactions with Clouds (LASIC) campaign.

15 Originating in the savannas of southwestern Africa, biomass burning aerosol extends to between 3.5 to 4.5 km above ground level and is transported via the Southern Africa Easterly Jet over the southeast Atlantic Ocean where the aerosol plume begins to descend (Adebiyi et al., 2015; Adebiyi and Zuidema, 2016; Das et al., 2017). Fires and the associated aerosol in this region are typical during the months of July through October. When compared to satellite observations, global models commonly allow for the biomass burning aerosol to descend too rapidly once over the ocean (Das et al., 2017; Gordon et al., 2018), which can have implications on the thermodynamic structure and can indicate dynamical deficiencies. While over the ocean, observations indicate that the aerosol plume is primarily above the boundary layer. Over Ascension Island, a remote island located roughly 1600 km from the African continent, the aerosol tends to be in the boundary layer during the beginning of the biomass burning season but is located above the cloud layer towards the end in September and October (Zuidema et al., 2018b).

25 Biomass burning aerosol in the Southeast Atlantic region and its impact on heating within the column has been investigated through recent modeling experiments (Chang and Christopher, 2017; Lu et al., 2017, Gordon et al., 2018; Mallet et al., 2019). Heating rate profiles within the region were calculated by Chang and Christopher (2017) using the Santa Barbara DISORT Atmospheric Radiative Transfer (SBDART) model and fixed values for aerosol and cloud properties corresponding to Southern AFricAn Regional science Initiative (SAFARI 2000) observations. Chang and Christopher (2017) noted that with 30 fixed aerosol and cloud properties, the radiative heating rate increased throughout the biomass burning season due to the decreasing solar zenith angle. This study also determined the solar zenith angle (54°) at which the direct radiative effect of aerosols located above liquid clouds is maximized. Lu et al. (2017) used large eddy simulations nested within Weather

Research and Forecasting with Chemistry (WRF-Chem) to quantify the microphysical, direct, and semi-direct effects of aerosol within the Southeast Atlantic. A total cooling of roughly 8 W m^{-2} in the shortwave (SW) was found at the top of the atmosphere with a large component of that from the microphysical effects of biomass burning aerosols on clouds as a result of the Twomey effect, higher liquid water path, and higher cloud fraction before noon (Lu et al., 2017). Another recent study by Gordon et al. (2018) quantified radiative heating within the atmospheric column by switching biomass burning aerosols and absorption due to biomass burning aerosols on and off in a hybrid of the regional configuration of the UK Chemistry and Aerosol Model and HadGEM. While Gordon et al. (2018) established the use of the hybrid model combination for aerosol studies and identified discrepancies between the model and observations, only the first ten days of August 2016 were analyzed.

The primary goal of this study is to quantify the individual impact of clouds, black carbon individually, and all aerosols collectively on heating within the atmospheric column above Ascension Island in the Southeast Atlantic, as well as the uncertainty that exists in the radiative heating rates. Radiative heating due to aerosol within the cloud layer has long been hypothesized to alter the thermodynamic profile, stabilize the boundary layer, and suppress convection in trade cumulus (Ackerman et al., 2000). However, the opposite effect can be true when the aerosol is located above the cloud layer, resulting in an increase in cloudiness (Johnson et al., 2004, Adebisi, 2016). An added complication to this radiative heating due to aerosol in the Southeast Atlantic arises from uncertainties associated with the aerosol optical properties. Not only do models produce a range of values for the single scattering albedo (SSA) with different wavelength dependencies but observed values for the SSA can vary within the region depending on the instrument used (Pistone et al., 2019; Shinozuka et al., 2019). Previous studies of the radiative heating rate within the column in the Southeast Atlantic are expanded upon by employing varying thermodynamic, cloud, and aerosol properties using ground-based observations and observation-constrained aerosol profiles from reanalysis throughout the biomass burning seasons of 2016 and 2017 over Ascension Island. Our approach uses these observations and analyses of aerosol and cloud properties as input to a radiative transfer model to produce a possible range of heating rates associated with uncertainties in the SSA and back trajectories of the aerosol plume as it is transported across the Southeast Atlantic. This in turn can be used to determine how the thermodynamic profile is altered by aerosols, and the resulting modifications to the formation and maintenance of clouds in response to this heating. A Lagrangian approach for the region, such as this, was recommended by Diamond et al. (2018).

Section 2 describes the observational and reanalysis data sets that are used in this study as well as the methodology for idealized radiation transfer simulations. An evaluation of aerosol optical depth (AOD) and the vertical profile of aerosols in reanalysis products is presented in Section 3 and Section 4 discusses thermodynamic profiles of temperature, relative

humidity, and cloud microphysical properties. Results of the radiative heating rates due to atmospheric constituents are detailed in Section 5, while a discussion and conclusions can be found in Section 6.

2 Data and Methodology

2.1 ARM Mobile Facility and Value-Added Products

5 Observations of thermodynamic profiles, clouds, and aerosols used in this study are from the First Atmospheric Radiation Measurement (ARM; Mather and Voyles, 2013) Mobile Facility (AMF1; Miller et al., 2016), which was located on Ascension Island (7.7 S, 14.35 W, 340.77 m) from 1 June 2016 through 31 October 2017 with the objective of observing two consecutive biomass burning seasons. While the AMF1 was stationed on the windward side of the island, radiosondes were launched at the airport on the southeastern side of the island near an existing Aerosol Robotic Network (AERONET) site
10 (Zuidema et al., 2018a). The interpolated sounding (INTERPSONDE) value-added product (VAP) is used for temperature and humidity profiles (ARM Climate Research Facility, 2016a; ARM Climate Research Facility 2016b). INTERPSONDE is anchored by six hourly radiosonde launches and a linear interpolation is used to fill in time steps between launches (Toto and Jensen, 2016). Evidence of ground check artifacts were present in the radiosonde data and were not fixed prior to the interpolation. These artifacts have been removed as part of our postprocessing. Microwave radiometer retrievals (MWRRET;
15 Gaustad et al. 2011) of precipitable water vapor are used to further constrain the humidity profiles. The resulting INTERPSONDE data has a temporal resolution of one minute and vertical resolution ranging from 20 m to 500 m, depending on the height above ground level. Aerosol optical depth (AOD) was observed using a multifilter rotating shadowband radiometer (MFRSR) and calculated using the 1st Michalsky algorithm (Koontz et al., 2013). Additional measurements of AOD from AERONET were taken using a Cimel sun photometer (Holben et al., 2001; Giles et al., 2019).

20 Cloud properties used in the radiation transfer simulations were determined using a Ka-band cloud radar, micropulse lidar, and laser ceilometer, with the data combined into the Active Remote Sensing of Clouds (ARSCL) VAP at a temporal resolution of four seconds (Clothiaux et al., 2000). Cloud properties including cloud liquid/ice water content and liquid/ice cloud droplet effective radius were determined using the method presented in Dunn et al. (2011) and currently used in MICROBASE, which is a retrieval algorithm utilizing constrained data from ARSCL as well as the microwave radiometer and
25 INTERPSONDE profiles. The accuracy of this retrieval algorithm has been evaluated using radiative closure experiments and it is known to be accurate enough to adequately represent radiation transfer through clouds. It has been used in past studies (eg. Mather et al., 2007) to estimate tropical heating rate profiles. Complete validation of such an algorithm is not possible using in-situ measurements, but its reliance upon cloud liquid water path and its use in the tropical atmosphere are consistent with its capability. All clouds that are colder than -16° C were considered to be comprised entirely of ice, while all clouds
30 above 0° C were liquid. A linear fractionation scheme was used to partition particle phase in the region between 0 and 16° C. It is worth noting however that clouds over Ascension Island are primarily liquid. Thorough comparisons to other retrieval

algorithms, and evaluations of the relative performance of the MICROBASE algorithm are presented by Zhao et al. (2012) and Huang et al. (2012).

2.2 MERRA-2

The vertical profile of aerosols and their **column** integrated properties can be difficult to continuously observe, especially during cloudy conditions. Throughout the LASIC campaign, there were numerous hours without observations of AOD. In order to maximize time steps when heating rate profiles could be calculated given the near constant partly cloudy skies over Ascension Island, aerosol properties from the Modern-Era Retrospective analysis for Research and Applications, Version 2 (MERRA-2; Gelaro et al., 2017; GMAO, 2015a; GMAO, 2015b) were instead used for the radiation transfer simulations. MERRA-2 is the latest contemporary reanalysis from NASA that has the advantage of assimilated AOD, a feature that is not present in other reanalysis products. The decision to use MERRA-2 was made such that we would have a self-consistent data source of aerosols, clouds, and thermodynamic profiles to use for heating rate profiles not just over Ascension Island, but along the entire trajectory of biomass burning aerosol transport originating from southern Africa. MERRA-2 data are available at a spatial resolution of roughly 50 km and 72 vertical levels from the surface through 0.1 hPa and a temporal resolution of one hour for single level variables and three hours for three dimensional variables.

The dominant observational source of AOD that is assimilated into MERRA-2 is Collection 5 bias-corrected Moderate Resolution Imaging Spectroradiometer (MODIS) AOD (Randles et al., 2017). Other aerosol datasets are assimilated into MERRA-2 however they are not applicable for the time period of the LASIC campaign. Daily emissions of biomass burning aerosol come from the Quick Fire Emissions Dataset (QFED) version 2.4-r6 (Darmenov and da Silva, 2015). Within MERRA-2, aerosols are simulated using the Goddard Chemistry, Aerosol, Radiation, and Transport Model (GOCART), which separates the AOD into five species, sea salt, dust, sulfate, organic carbon, and black carbon, and defines the vertical distribution of aerosols. Further details on the assimilation of aerosols in MERRA-2 can be found in Randles et al. (2017), while an evaluation with respect to independent observations can be found in Buchard et al. (2017). MERRA-2 aerosols during the LASIC campaign are further evaluated in Section 3.

2.3 Rapid Radiative Transfer Model

The Rapid Radiative Transfer Model (RRTM) was used to perform idealized experiments to calculate the SW heating within the column due to black carbon, all aerosols, and clouds. A user-specified vertical profile was used with the temperature and humidity profiles coming from INTERPSONDE and cloud properties from MICROBASE. Prior to insertion into RRTM, the INTERPSONDE profiles were interpolated onto the MERRA-2 vertical levels based on height above ground level to match the resolution of the aerosol vertical profiles. RRTM runs were performed every four seconds to match the temporal resolution of MICROBASE, while solar zenith angle was updated every fifteen minutes, the temperature and humidity profiles hourly, and aerosols every three hours due to the temporal resolution of vertical profiles in MERRA-2. Aerosol optical properties, including AOD, angstrom exponent, and SSA are from MERRA-2, and were scaled in the vertical by the profile of mixing

ratio for the individual species (GMAO, 2015; GMAO, 2015b). The value for SSA at 550 nm from MERRA-2 was used and assumed to be spectrally independent. Asymmetry parameter was assumed to be 0.756, the value given by Hess et al. (1998) for a polluted maritime air mass. Other values of asymmetry parameter were tested but did not impact the results. A total of six sets of experiments were completed to quantify the individual and combined contribution of clouds and aerosols: 1) Clean and clear sky without clouds or aerosols, 2) Clear sky with all aerosols, 3) Clear sky with all aerosols except black carbon, 4) Clean and cloudy sky, 5) Cloudy sky with all aerosols, and 6) Cloudy sky with all aerosols except black carbon. A summary of the experiments and the fields they were used to calculate can be found in Tables 1 and 2, respectively. All six experiments were repeated using three different values for SSA as described in Section 5.1. Clear sky simulations were performed by turning off clouds in the radiation transfer model. This means that the radiation transfer may still feel the impact of clouds through the enhanced humidity in the thermodynamic profiles. The impact of this on the results is likely small given the same thermodynamic profiles are used for all experiments. It is worth noting that a true assessment of heating due to biomass burning aerosol should isolate brown carbon, however that is not an aerosol species available in MERRA-2 at this time.

2.4 Back Trajectories

Optical properties and radiative effects of aerosols are dependent on their location with respect to clouds, as well as the solar zenith angle (Chang and Christopher, 2017). As a result, the radiative impact of biomass burning aerosol, and therefore its impact on the thermodynamic profile and clouds prior to reaching Ascension Island, is dependent on the back trajectory of the aerosol plume. To determine the path of the aerosol plume and how it differs between the 2016 and 2017 biomass burning seasons, the HYbrid Single Particle Lagrangian Integrated Trajectory (HYSPLIT) model was used to compute ten day back trajectories for a parcel originating at Ascension Island at 12z on each day in August and September 2016 and 2017, driven by the large scale meteorology from MERRA-2 (Stein et al., 2015). Based on results for the height of the aerosol plume (Figures 2 and 3, Figure 4 of Zuidema et al., 2018), the parcel originated at a height of 2 km. An additional set of back trajectories were calculated in an identical manner for a case study originating at Ascension Island on 13 August 2016 using input from the 27 ensemble members of NCEP's Global Data Assimilation System (GDAS) at 0.5-degree spatial resolution.

3 Evaluation of Aerosols in MERRA-2

Previous evaluations of aerosol properties in MERRA-2 have been limited so it is therefore essential to ensure that MERRA-2 is representative of the observations that are available from the AMF1 when it was stationed in Ascension Island. Aside from observations from the AMF1, there are also AOD observations from an existing AERONET site located near the airport where the soundings were launched (Holben et al., 2001). Daily mean AOD from the two observational sources as well as from MERRA-2 for August and September 2016 and 2017 can be seen in Figure 1. It can readily be seen that observations from the AMF1 are limited in all four months due to cloudiness over the site. Therefore, correlation coefficients and biases presented in Figure 1 were calculated for MERRA-2 with respect to AERONET observations only including days when

observations were available. When it was cloudy, AERONET was not able to measure AOD. The highest aerosol loading over Ascension Island was present in the middle and end of August 2016, with daily values of AOD ranging from 0.1 the first couple days of the month to a maximum of 0.73 on 13 August 2016, followed by additional periods of elevated AOD during September 2017. These values for AOD are similar to those presented by Zuidema et al. (2016) using AERONET observations over the period of 2000 through 2013. A periodicity can be seen in each of the four months as the aerosol plume drifts overhead of Ascension Island. Correlations between AOD in the observations and MERRA-2 exceed 0.8 in all four months. The largest bias of 0.04 with respect to AERONET occurs in August 2017 however the AERONET observations are also generally higher than those from the AMF1.

Observations of SSA during LASIC were presented by Zuidema et al. (2018b) and monthly mean values of 0.78 and 0.81 were specified during August and September at a wavelength of 529 nm. When all of the aerosol species are considered in MERRA-2, the SSA tends to be a bit higher, with monthly mean values of 0.92 and 0.93 for August and September respectively. There are a few possible explanations for this discrepancy. In reality, much of the organic biomass burning aerosol can be considered brown carbon, a species that is not represented in GOCART and the Goddard Earth Observing System (GEOS), the underlying model and data assimilation system in MERRA-2. Brown carbon tends to be more absorbing than organic carbon and therefore if included, the SSA could be lower. In addition, the optical properties for aerosols in MERRA-2 are defined by a look up table as a function of relative humidity. Differences in the thermodynamic profile will therefore result in a different SSA. On the contrary, the SSA in MERRA-2 is more aligned with those presented for the region by Pistone et al. (2019) from the Observations of Aerosols above CLouds and their interactionS (ORACLES) and previous field campaigns. An additional concern is that the observations are representative of the aerosol within the boundary layer, while values given for MERRA-2 consider the entire column. Regardless, the aerosol speciation in MERRA-2 and lack of brown carbon is likely the primary driver for the differences in SSA. The impact of the discrepancy in SSA on the heating rate profile due to aerosols will be further discussed in Section 5.1.

Only AOD is assimilated in MERRA-2 and therefore GOCART is used to distribute the aerosol within the atmospheric column. The vertical profile of the mixing ratio of black and organic carbon in MERRA-2 is shown in Figure 2, alongside contours of cloud fraction from MERRA-2 with a value of 0.25. From an initial glance, it can be seen that larger values for the mixing ratio of black and organic carbon correspond to the dates with elevated AOD in Figure 1. The majority of the aerosol loading is located between 850 and 650 hPa, which corresponds to roughly 1500 to 3750 km in height in MERRA-2. In agreement with Figure 4 of Zuidema et al. (2018b), the black and organic carbon in MERRA-2 is located above the cloud layer, but perhaps extends higher in the atmosphere than indicated by micropulse lidar observations (Figure 3). Qualitatively, MERRA-2 is also able to capture the thinning of the vertical extent of the aerosol as the loading decreases following the maximum in the middle of August.

The AOD at Ascension Island is a function of both the large-scale transport and also the timing and location of fires in Southern Africa. Some similarities can be seen between the back trajectories and the magnitude of the AOD at Ascension Island (Figure 4). The highest values of AOD were observed during August 2016 and September 2017. Both of these months

have back trajectories that extend well into the African continent (Figures 4a and d), which is hardly the case for August 2017 when the subtropical highs over the southern Indian and Atlantic Oceans were shifted further to the east compared to 2016 (Figure 4c). Excluding the day with the highest AOD in August 2016, days with an elevated AOD had a back trajectory that travelled from the south of Ascension Island, crossing the land-ocean boundary of the African coast between 10 and 15 S (Figure 4a). On the contrary, days in August 2016 that observed an AOD below 0.3 tended to have back trajectories that originate further north. The variance in daily AOD was not as large in September 2017, with most of the back trajectories having a more easterly path.

Given that the observed aerosol loading over Ascension Island is highest during August 2016, we have elected to focus on that month. However, the same analysis has been completed for August 2017 as well as September 2016 and 2017 and monthly mean maximum SW heating rates within the atmospheric column due to clouds and aerosols for all months are presented in Table 3.

4 Thermodynamic Profiles over Ascension Island

A key characteristic of the atmosphere over Ascension Island is an inversion-topped marine boundary layer (MBL) as seen in the August 2016 average temperature profile in Figure 5a. Beneath the thermal inversion relative humidity is generally much higher and more hospitable for cloud development (Figure 5b). These features are present in both the INTERSONDE observations and MERRA-2. However, there are differences between the two profiles. Within the boundary layer, MERRA-2 has a larger relative humidity, partially stemming from being slightly cooler than the observations. Perhaps due to the limited vertical resolution, the inversion at the top of the boundary layer is weaker in MERRA-2. More moisture is present in the middle troposphere, between 600 and 800 hPa in the observations. Finally, MERRA-2 has enhanced relative humidity aloft at 200 hPa, signaling the presence of clouds that are not detected by the observations. Excessive upper tropospheric cloudiness is a known feature in MERRA-2 (Bosilovich et al., 2015; Collow and Miller, 2016). A closer look at the temporal variation in the temperature and humidity profiles can be found in Figure 6. There is remarkable agreement between the observations and MERRA-2, likely because the radiosonde observations were assimilated into the reanalysis. More interesting to note, is a connection between the relative humidity profiles and biomass burning aerosol overhead. As pulses of moist air become present in the middle troposphere with the entrance of a different airmass, so does the aerosol plume (Figures 2 and 6).

A thorough treatment of the thermodynamic structure during the biomass burning seasons of 2016 and 2017 can be found in Zhang and Zuidema (2019). MBLs of this depth typically accommodate transition cloud structure, which is characterized by single layer stratocumulus when the MBL is relatively shallow and trade cumulus when it is deeper. Intermediate stages in this deepening-warming MBL structure are characterized by hybrid cloud configurations consisting of a mix of layered stratocumulus and cumulus that intermingle in complex ways. Deeper MBL's tend to contain two or more

internal boundary layers that are separated by a weak inversion, a process known as decoupling, which leads to the development of cumulus convection that rejoins the two-layers leading to a “cumulus-coupled” MBL. Manifestations of decoupling are best observed in the bottom panel of Figure 4, which exhibits a subtle, intermittent sub-layer at ~900 hPa, and in Figure 13 of Zhang and Zuidema (2019). Above the MBL where most of the biomass-burning aerosol is located, there are intermittent bursts of moist air, potentially a result of weak easterly waves. Occasionally these waves may be accompanied by mid-level cloud cover, for example at ~600 hPa around August 25, 2016, but these clouds are too thin and contain small enough droplets that they are not detectable using a cloud radar (see Figure 7a).

Cloud liquid water contents above the AMF1 from MICROBASE (Figure 7a) are derived by scaling the observed MWR liquid water path using a weighting function based upon the cloud radar effective reflectivity factor and an adiabatic assumption that utilizes constant cloud droplet number density. Thus, the assumptions in MICROBASE are consistent with adiabatic cloud liquid water being the dominant contributor to the retrieved effective radius relative to number density in the SW radiative calculations that follow. Figure 7a indicates cloud morphology that includes precipitating cumuli that are occasionally laterally detraining into an elevated layer of stratocumulus (August 25, for example). Cloud droplet effective radii are generally in the 5-10 μm range, although deeper plumes, such as those observed on August 28-29 exhibit, elevated liquid water contents and cloud droplet effective radii that reach ~10 μm near cloud top (Figure 7b).

The clouds above the AMF1 site are primarily maritime as indicated by the occurrence of cloud base at the ocean lifting condensation level (not shown), but there is likely orographic enhancement from the island. Vertical velocities in the lower 600 m of the boundary layer above the AMF, as indicated by Doppler Lidar measurements, average 0.5 ms^{-1} because of the continuous lifting imposed by the steep island orography immediately upstream. This lifting inevitably leads to modifications to the cloud structure. Most likely, the orographically forced updrafts enhance cloud development by lifting parcels from the ocean surface more readily to their LCL and reducing the rate at which precipitation reaches the surface by opposing the fall velocity of raindrops. The latter is confirmed by a zeroing in the mean sub-cloud Doppler velocity profile of raindrops above the AMF1 site at approximately 600 m (not shown). The almost certain increase in the fractional cloud cover relative to that in the undisturbed MBL implies that the heating rates in the presence of clouds presented in Section 5 are likely exaggerated relative to heating rates derived from radiative transfer calculations based on cloudiness over the ocean. Thus, the effect of clouds on the calculated heating rates above the AMF1 at ASI should be interpreted as an upper bound.

5 Results

5.1 SW Heating Rate Profiles over Ascension Island

Idealized radiative transfer calculations were used to quantify the heating rates of aerosols and clouds within the atmospheric column over Ascension Island. Given the discrepancy in SSA between MERRA-2 and the observations presented by Zuidema et al. (2018b), a sensitivity test was performed to determine the role of SSA on radiative heating due to aerosols within the column to quantify the uncertainty associated with the SSA used. Three different values of the SSA were used to represent the original SSA in MERRA-2, and potential deficiencies related to the vertical profile in relative humidity and the

lack of brown carbon. In order to adjust for relative humidity, the SSA was determined by the lookup table used in MERRA-2 for the scattering and extinction properties of black and organic carbon at 550 nm as a function of the observed relative humidity. Adjusting for the humidity alone does not fully explain the difference in SSA between MERRA-2 and the observations, indicating that proper aging of the aerosol within the model is a necessity. To account for the lack of brown carbon, the SSA for organic carbon was multiplied by 0.85, which is the mean percent difference between MERRA-2 and the observations presented by Zuidema et al. (2018b). A summary of the monthly mean maximum SW radiative heating and where it occurred within the column for the entire 2016 and 2017 biomass burning seasons can be seen in Table 3 however the figures with more detailed information are only shown for August 2016 as that was the month with the highest aerosol loading.

Results for the SW aerosol radiative effect using these three sets of values for SSA under clear-sky conditions can be seen in the left column of Figure 8. Within the atmospheric column, the majority of the heating due to aerosols occurs in the layer around 800 hPa, though the impact of aerosols can be felt to a lesser extent aloft and down to the surface regardless of the SSA (Figures 8 and 9). There is minimal heating due to aerosols during the first few days of August 2016 as the AOD is only around 0.1. Throughout the rest of the month the radiative heating rate profile follows the periodicity of aerosol loading as seen in Figure 2 and the grey contours indicating AOD at 1 μm within the atmosphere in Figure 8. Aerosols are spread within a deeper layer beginning 25 August 2016 and, as such, the heating within the column occurs in a thicker layer than earlier in the month. Although the highest AOD occurs on 13 August 2016, the maximum heating rate on that day is just shy of the largest heating rate of $\sim 2.7 \text{ K day}^{-1}$ ($\sim 6.25 \text{ K day}^{-1}$ when the SSA for black and organic carbon is reduced) within the month that occurs on 30 August 2016 and 31 August 2016. A likely explanation for this is that there is more swelling of the aerosol aloft the last two days in August as a result of increased humidity, in addition to the deeper layer containing aerosol.

As expected, heating rates are smaller when the original SSA from MERRA-2 is used. Though somewhat difficult to see with the color bar in Figure 8, but notable in Table 3, SW heating rates are slightly larger in magnitude when the SSA is scaled based on the observed relative humidity (Figure 8 a and c). The monthly mean maximum heating within the column due to aerosols is roughly a tenth larger with the relative humidity scaled SSA (Table 3). SW heating rates can actually double or triple if the SSA for organic carbon is reduced to simulate the role of brown carbon and to be more in line with the observed SSA (Figure 8b). This finding furthers the importance of an accurate representation of aerosol optical properties in models within the Southeast Atlantic already stressed in the literature (Mallet et al., 2019; Pistone et al., 2019). By comparing the results for cloudy to clear conditions, it can be seen that in the presence of clouds, radiative heating within the aerosol layer is embellished (Figures 8d, e, and f). This will be further elaborated upon later.

For simplicity, from this point forward heating rates due to clouds and aerosols are discussed using the relative humidity scaled SSA for organic and black carbon to present the middle of the road scenario that is observationally constrained along the vertical profile. Unlike in other regions, heating due to clouds, generally located around 900 hPa, is underwhelming (Table 3; Figure 9). There is less day-to-day variability in the magnitude of SW heating within the cloud layer compared to heating from aerosols (not shown). This is somewhat expected due to the consistent nature of the cloud water path and effective

radius (Figure 7). There is however some variability in the location of the heating in connection with fluctuations in the height of the boundary layer, which could dampen out the local heating rates in a monthly average.

To isolate the absorption due to black carbon itself, the percentage of heating solely due to black carbon is shown as a percentage of the heating due to all aerosol species in Figure 10. Within the aerosol plume, between 65 and 80% of the SW heating is indeed a result of black carbon under clear skies (Figure 10). However, at the base of the aerosol layer, black carbon would actually produce more heating on its own had other species not been present. On occasion, percentages on par with what is in the aerosol plume itself can extend down to the surface. The remaining SW heating within the aerosol plume and down to the surface is likely due to the extinction of radiation from organic carbon that is not scattered within the plume. On days without an elevated AOD, such as the first few days in August 2016, there is a noticeable lack of heating due to black carbon within the column, especially in the boundary layer. In the presence of clouds, the percentage of SW heating due to black carbon is similar in magnitude to the clear sky case (Figure 9b). Differences arise at the base of the aerosol plume and in the boundary layer, as clouds become the dominant source of SW heating.

In terms of heating rates due to black carbon, our results are quite similar to those presented by Gordon et al. (2018), who showed a mean SW heating of 1.9 K day^{-1} due to biomass burning aerosol for the period of 6 August 2016 through 10 August 2016 over the Southeast Atlantic. For the same five-day period, we see a mean daytime SW heating due to black carbon of 1.86 K day^{-1} within the layer between 760 and 840 hPa. Gordon et al. (2018) took a similar approach by turning aerosols and black carbon off in a model simulation but this was done using global and regional simulations with HadGEM. However, the authors stated their results might not be representative of the heating that actually occurred as the aerosols in their simulations were too low in altitude.

There is an interplay between clouds and aerosols when they are considered together as opposed to individually. Photons scattered by clouds reenter the aerosol layer and have an additional opportunity to be absorbed within the atmosphere as opposed to reaching the surface. The enhancement of heating within the aerosol layer due to clouds is displayed in Figure 11 and is on the order of tenths of a K per day. On most days with sufficient aerosol loading the enhancement is a few tenths of a K per day but when all aerosols are considered the majority of the enhancement is located within the aerosol layer (Figure 11a). Additional heating due to aerosols in the presence of clouds occurs below the aerosol layer and down to the surface. This is limited to the morning and evening hours when the sun angle is low when all aerosols are considered, likely due to scattering from the abundance of sea salt in the boundary layer. (Figure 11a). There is some indication of an enhancement in SW heating during the daytime hours when only black carbon is considered on days with high aerosol in which the black carbon gets mixed into the boundary layer, such as 14 August 2016 (Figures 2 and 11b). However, there is likely not enough black carbon in the boundary layer for more of an enhancement to occur. Within the aerosol layer itself, between 900 and 700 hPa, black carbon is mostly responsible for the additional heating. The amount of enhancement in SW heating within the aerosol layer due to clouds is variable depending on the location and thickness of the cloud as well the AOD. The greater the AOD and cloud water path, the greater the interaction between the two. The largest local heating rate within the month, under clear skies, occurs on

30 and 31 August 2016. However, this occurs on 13 August 2016 when clouds are considered. The aerosol heating rate is further enhanced due to the presence of clouds on 13 August when not only the AOD is higher, but the cloud water content is also higher compared to the end of the month.

5 5.2 Direct SW Radiative Effect at the Surface and Top of the Atmosphere

The direct impact of aerosols on SW radiation at the top of the atmosphere (TOA) and surface can also be quantified, as shown in Figure 12. In this case, the direct radiative effect (DRE) due to aerosols is presented as a radiative flux in units of W m^{-2} as opposed to a heating rate. Aerosols produce a cooling at both the surface and TOA, with a larger cooling under clear conditions. At the TOA, this is due to the additional scattering of SW radiation by clouds that then leaves the atmosphere at the TOA. At the surface, this is because without clouds present, SW heating due to aerosols also warms the boundary layer (Figure 9). The smaller the AOD is, the smaller the cooling, and the smaller the difference between clear and cloudy conditions. This is evident the first couple days in August 2016, as well as 19-22 August 2016. The two periods with enhanced AOD, 13 August 2016 and 26-31 August 2016 have a daily mean DRE due to aerosols at the TOA of $\sim 20 \text{ W m}^{-2}$ under clear sky, however when clouds are considered, it is difficult to distinguish these days from the rest of the month. Cooling at the surface due to aerosols is larger in magnitude than at the TOA and reaches $\sim 40 \text{ W m}^{-2}$ on 13 August 2016 and the last few days of the month with clear skies, and -30 to -35 W m^{-2} with all sky conditions (Figure 12). The values for the all sky DRE at the surface across the entire month of August 2016 is similar in magnitude to what was presented by Chang and Christopher (2017). On the contrary, we show a cooling at the TOA while Chang and Christopher (2017) show a warming, though they noted the influence of the aerosol optical properties on the DRE effect at the TOA for radiation simulations of aerosol above clouds.

5.3 LW Radiative Cooling over Ascension Island

Aerosols tend to have a minimal direct impact in the longwave (LW) part of the spectrum but they can indirectly impact the LW radiation within the atmospheric column. Heating within the atmospheric column can be lost due to additional LW radiative cooling in response to SW warming due to aerosols. At the present time, aerosols are not a direct input for RRTM LW. The observed temperature profiles were used as input to RRTM LW as a proxy for the presence of aerosols. In an effort to quantify the LW radiative cooling associated with SW aerosol heating, the hourly mean heating rates, as shown in Figure 8c, were subtracted from the observed temperature profile to represent a profile without aerosols; the humidity profile was not adjusted. The temperature profile was adjusted each hour, however, any SW heating that was not lost due to additional radiative cooling from the increased temperature in the run mimicking the inclusion of aerosols was allowed to persist through the following hour. The LW aerosol radiative effect is then considered to be the heating rate from the runs with the original temperature profile minus the heating rate from the run with the adjusted temperature profile. This methodology is somewhat extreme as heating due to aerosol can be transferred to other forms of energy such as latent heat and transported through

advection. However, it can be used to determine whether the SW heating due to aerosols is offset by increased radiative cooling.

Results from this exercise, using the clear sky case, are displayed in Figure 13. Radiative cooling occurs throughout the aerosol layer and is maximized at the bottom of the layer, where at times it can locally reach near 3 K/day. Heat is transferred above and below the aerosol layer when the radiative cooling occurs, with a larger magnitude of the heat being displaced toward the surface. It is evident that without an atmospheric circulation or other processes occurring in the atmosphere, additional heat due to aerosol absorption remains in the column. This is demonstrated by the fact that radiative cooling still occurs through mid to late August despite a suppressed aerosol loading (Figure 13a). During the daytime hours, additional LW radiative cooling due to aerosols never offsets the absorption due to aerosols (Figure 13b). Even at night, the magnitude of the LW cooling due to aerosol never reaches the magnitude of the daytime SW aerosol heating. There is, however, a redistribution of heat as a result of aerosols. The largest magnitude of warming due to aerosol occurs during the daytime hours in the middle of the aerosol layer, and this daytime heating extends vertically in both directions. At night, cooling due to the SW absorption by aerosols is maximized at the bottom of the aerosol layer, though is present to some extent within the entire aerosol layer, and some heating occurs above and below the aerosol. The thermodynamic structure of the atmospheric column is therefore altered on a diurnal cycle when aerosols are present and this can have implications for other atmospheric processes such as the development, maintenance, and transition of marine stratocumulus and trade cumulus clouds (Zhang and Zuidema, 2019).

5.4 Radiative Heating Along a Back Trajectory

While it is informative to investigate the heating rate profile due to biomass burning aerosol above Ascension Island, it is imperative that such an analysis also be completed along the trajectory of the aerosol plume as it makes its way from southern Africa and over the Atlantic Ocean. A case study has been completed for the seven-day HYSPLIT back trajectory originating at 2 km above Ascension Island at 13z on 13 August 2016. This date was chosen as it had the highest observed and MERRA-2 analyzed AOD among the 2016 and 2017 biomass burning seasons. As indicated by the spread of the trajectories in panels a-d of Figure 5 from Zuidema et al. (2018b) and Figure 14, there is some uncertainty regarding the exact path of the biomass burning aerosol plume. In order to account for this, HYSPLIT was forced by the meteorology from the 27 ensemble members of NCEP's Global Data Assimilation System (GDAS) at 0.5 degree spatial resolution, in addition to MERRA-2. Clear-sky radiative heating rate profiles were then calculated along each latitude-longitude point of the back trajectories using the same methodology as for over Ascension Island except using the temperature and humidity profiles from MERRA-2. Shinozuka et al. (2019) demonstrated good agreement in the SSA between GEOS and aircraft observations over the Southeast Atlantic Ocean, unlike the discrepancy over Ascension Island, so the original MERRA-2 SSA was used. This is likely due to deficiencies in the MERRA-2 aerosol optical properties related to the aging of the biomass burning aerosol. Only clear sky was evaluated as MERRA-2 does not provide the necessary cloud microphysical parameters for RRTM. While there is the

potential to gain this information from satellite observations, these observations would lack an appropriate vertical resolution and there would likely be inconsistencies between the thermodynamic profiles in MERRA-2 and the cloud structure in the observations. Given the RRTM results over Ascension Island, SW heating rates due to aerosols along the back trajectories are likely larger than what is presented for the clear sky scenario.

5 SW heating rates due to aerosols along the back trajectory can be found in Figure 15 a and b, respectively, for MERRA-2 and the GDAS ensemble mean. Given that the ensemble mean is shown for GDAS, the SW heating is overall smoother than for MERRA-2, however there is good agreement in both the magnitude and location of the SW heating. In expected agreement with Figure 8a, the maximum SW heating due to aerosols within the column at the onset of the back trajectory occurs just below 800 hPa with a magnitude of roughly 2.5 K per day. This heating spreads to a larger vertical area, 10 in both directions, and increases to its maximum within the back trajectory by two days prior to reaching Ascension Island using GDAS and three days prior using MERRA-2. Heating is then minimized around four days prior to reaching Ascension Island. It is at this point there is considerable uncertainty in the back trajectories. As seen in Figure 14, there are some ensemble members from GDAS that loop to the north, a feature that is also present in the MERRA-2 back trajectory. A mismatch between the aerosol assimilation and the dynamics of the analyzed meteorology in both MERRA-2 and GDAS is evident by the decrease 15 in AOD. This highlights the necessity of looking at SW heating along an ensemble of back trajectories.

As expected, based on the trajectories, there is minimal spread in the SW heating due to aerosols within the first few days before arriving at Ascension Island across the GDAS ensemble members. As time prior to the aerosol plume reaching Ascension Island increases, so does the standard deviation of the SW heating due to aerosols. At four days prior to reaching Ascension Island, there is a noticeable increase in the standard deviation from the previous day, in coordination with the 20 increased spread in the back trajectories themselves. Five days out there is a dipole in the height of the maximum standard deviation, with the standard deviation reaching 0.965 K day^{-1} at 850 hPa. While a signature such as this is not noticeable in the ensemble mean heating rate, it is present in the heating rate using the MERRA-2 back trajectory. This could perhaps indicate that the heating aerosol at 700 hPa is only present in the ensemble members that loop to the north, either as a result of the thermodynamic profile or the location of the aerosol. Greater than five days out, the location of the back trajectories are so 25 varied that the standard deviation, nearing 1 K day^{-1} , is on par with the magnitude of the ensemble mean SW heating rate itself.

6 Summary and Conclusions

The interplay between clouds, aerosols, and radiation is a source of uncertainty within the atmospheric science community and within general circulation models, particularly in the southeast Atlantic region. In this study, an idealized approach was used to quantify the contribution of clouds and biomass burning aerosol to heating within the atmospheric 30 column located above Ascension Island in connection with the LASIC campaign conducted by DOE's ARM program. The field campaign included the deployment of the AMF1 on Ascension Island that spanned two biomass burning seasons with the highest aerosol loading present during August 2016 followed by September 2017. An additional focus was placed on

determining the uncertainty in heating rates due to aerosols, whether related to the SSA or the trajectories used to represent path of the aerosol plume before reaching Ascension Island. An assessment of aerosols within the MERRA-2 reanalysis revealed good agreement in AOD compared to AMF1 and AERONET observations, likely due to the assimilation of AOD from MODIS. However, the SSA was too high in MERRA-2, impacting the absorption of SW radiation, and therefore heating, within the atmospheric column. This was mitigated in the radiation transfer experiments by adjusting the SSA to be aligned with the observed relative humidity and reducing the SSA for organic carbon based upon observations to mock that of brown carbon. It is also possible that the vertical distribution of aerosol in MERRA-2 is not completely realistic as it does not contain an observational constraint.

Due to the uncertainty of the SSA, a range of possible SW heating rates due to aerosols were calculated. On average, the maximum local aerosol SW heating within the column over the course of the biomass burning season likely ranges from 2 to 4 K per day. Local heating rates are sensitive to the thickness of the aerosol plume, as shown by Figure 8, and when integrated across the atmospheric column heating due to aerosols can be just as important on days that have a thick but not dense aerosol layer. There is variability in the heating due to aerosol as a result of day-to-day and seasonal fluctuations in aerosol loading and cloud cover as the large-scale circulation and presence of wildfires in southern Africa influence the AOD over Ascension Island. Black carbon is responsible for up to 80% of the SW absorption within the aerosol layer, though clouds also contribute. Biomass burning aerosols and clouds are typically located in distinct layers during the months of August and September, though at times, biomass burning aerosols can extend to the surface. On days with the biomass burning aerosol plume overhead, an enhancement of heating within the aerosol plume on the order of 0.5 K per day occurs with the presence of a cloud layer. Any heating within the atmospheric column due to aerosol is not offset by additional LW radiative cooling in response to aerosol SW absorption.

There are a few limitations to this study that are worth noting and perhaps expanding upon in future work. 1) Despite the fact that other processes within the atmosphere can respond to the presence of aerosol and the resulting heating, radiation transfer was isolated here. It was assumed that all SW heating would go into altering the temperature profile when in reality, some energy could be lost to other processes such as water phase transitions. Furthermore, vertical mixing was not accounted for, which can also alter the temperature profile. 2). The sensitivity experiments for SSA and the difference in the heating rate profiles when using the observed thermodynamic profile as opposed to MERRA-2 demonstrate how sensitive the heating due to biomass burning aerosol is to the optical properties of the aerosol. As the aerosol plume travels, the aerosol ages and tar balls form. This changes the scattering versus absorbing properties and indicates that the heating right off the coast of Africa can be very different compared to that over Ascension Island. In the trajectory simulations, the SSA may be appropriate off the coast of Africa, however as the aerosol ages, the optical properties used in the calculations become less and less appropriate. 3) A simplified representation of aerosols was used in the radiation transfer experiments. At the present time, RRTM only allows for one aerosol type to be characterized in each vertical layer. Not only did this result in a weighting of aerosol properties based on the species, but it also eliminated the ability to characterize the SSA based on wavelength. While a sensitivity study could be completed to quantify the impact of wavelength on the SSA, and therefore the heating rate, the results would likely

not yield information that is any more realistic than what was presented here given the dominance of SW radiation centered around 550 nm.

One important implication of the present study is the dependence of the clear-sky (and presumably cloudy-sky) heating rates upon the exact trajectory experienced by the biomass burning plume as it moves from its source region to the Southeastern Atlantic. Clear-sky heating rates varied considerably depending upon trajectories dictated by the large-scale flow, which suggests that there may be an important scale interaction operating in this region. The length of the trajectory from the source region coupled with the loading of black carbon may be an important parameter in facilitating changes in the cloud structure across the Southeastern Atlantic. The most significant anthropogenic alterations to the natural stratocumulus and transition stratocumulus offshore might result from a plume that possesses a large amount of black carbon and follows a long trajectory across the stratocumulus region as it moves away from the African coast.

Ultimately, one goal of experiments such as LASIC and ORACLES is to determine how heating due to biomass burning aerosol influence the formation and transition of marine stratocumulus to trade cumulus. Toward that end, it is interesting to contemplate the potential implications of this study in that context. Immediately off the African coast in the region that experiences the strongest upwelling of cold ocean bottom water lies a shallow MBL containing predominantly single layer stratocumulus clouds. Absent absorbing aerosols above, these near-shore clouds are maintained by the production of turbulent kinetic energy (TKE) by LW cooling at cloud top, which mixes the MBL, and they exhibit a strong diurnal cycle due to offsetting daytime SW warming near cloud top. Significant warming of the air mass above cloud top within the inversion due to absorbing aerosol likely reduces mixing by increasing stability at cloud top and reduces LW cooling by remitting radiation emitted at cloud top back into the cloud layer. These are competing effects, but past and recent modeling studies have shown that mixing at cloud top, alone, is unlikely to significantly alter the MBL cloud structure (Bretherton and Wyant, 1997; Kazemi-Rad and Miller, 2020). However, a reduction in TKE due to reduced LW cooling at cloud top could result in enhanced diurnal decoupling, which may alter the diurnal cloud structure. Reduced mixing in the MBL may also reduce the surface latent and sensible heat fluxes, which are implicated in the modeling studies listed above as being the key contributor to the transition of stratocumulus to cumulus. The transition from stratocumulus to cumulus over the Eastern North Atlantic in summertime is particularly sensitive to the Lagrangian derivative of the latent heat flux (Kazemi-Rad and Miller, 2020). Hence, applying similar logic to the study region, it is reasonable to postulate that elevated absorbing aerosols following offshore trajectories and systematically reducing the latent heat flux by reducing LW-cooling driven MBL mixing along the trajectory would likely have the effect of delaying the transition to cumulus, which would be a cooling effect at the ocean surface.

30 **Data Availability**

MERRA-2 data (GMAO, 2015a, 2015b) are available at <https://disc.gsfc.nasa.gov/> and AMF1 data are available at <https://www.archive.arm.gov/discovery/>.

Author Contribution

ABMC and MAM developed the methodology, completed the analysis, and wrote the manuscript. ABMC performed the RRTM runs and created all figures. LCT provided quality controlled INTERPOSONDE data and MPJ and MW processed and
5 provided data for the cloud fields. All authors except MW edited the manuscript.

Competing Interests

The authors declare that they have no conflict of interest.

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Tables

5 **Table 1: Shortwave radiation transfer experiments included in this study.**

Experiment	Description
1. Clean and Clear	Observed temperature and humidity profiles
2. Smoky and Clear	1. + All aerosol species from MERRA-2
3. Dirty and Clear	1. + All aerosol species except black carbon
4. Clean and Cloudy	1. + Cloud observations
5. Smoky and Cloudy	2. + Cloud observations
6. Dirty and Cloudy	3. + Cloud observations

Table 2: Quantities calculated using the radiation transfer experiments and methods for their calculation using the numbered experiments in Table 1.

Calculated Quantity	Experiments Used
Clear Sky Aerosol Radiative Effect	2 - 1
Cloudy Sky Aerosol Radiative Effect	5 - 4
Clear Sky Black Carbon Radiative Effect	2 - 3
Clear Sky Black Carbon Radiative Effect	6 - 5
Enhancement of Aerosol Radiative Effect Due to Clouds	5 - 2
Enhancement of Black Carbon Radiative Effect Due to Clouds	6 - 3

10 **Table 3: Monthly mean maximum heating rate within the column due to clouds and aerosols in K day⁻¹ and the pressure where the maximum occurs.**

	August 2016	August 2017	September 2016	September 2017
All Aerosols				
M2 SSA	2.39, 840 hPa	2.05, 870 hPa	2.15, 870 hPa	1.99, 663 hPa
M2 OC SSA * 0.85	3.41, 840 hPa	2.40, 840 hPa	2.43, 870 hPa	2.32, 840 hPa
RH Scaled SSA	2.48, 840 hPa	2.05, 870 hPa	2.12, 870 hPa	1.99, 663 hPa

Aerosols + Clouds				
M2 SSA	2.64, 840 hPa	2.29, 870 hPa	2.44, 870 hPa	2.29, 870 hPa
M2 OC SSA * 0.85	3.78, 840 hPa	2.68, 840 hPa	2.79, 870 hPa	2.55, 840 hPa
RH Scaled SSA	2.71, 840 hPa	2.28, 870 hPa	2.40, 870 hPa	2.26, 870 hPa
Clouds	2.32, 870 hPa	2.20, 870 hPa	2.20, 901 hPa	2.13, 870 hPa

Figures

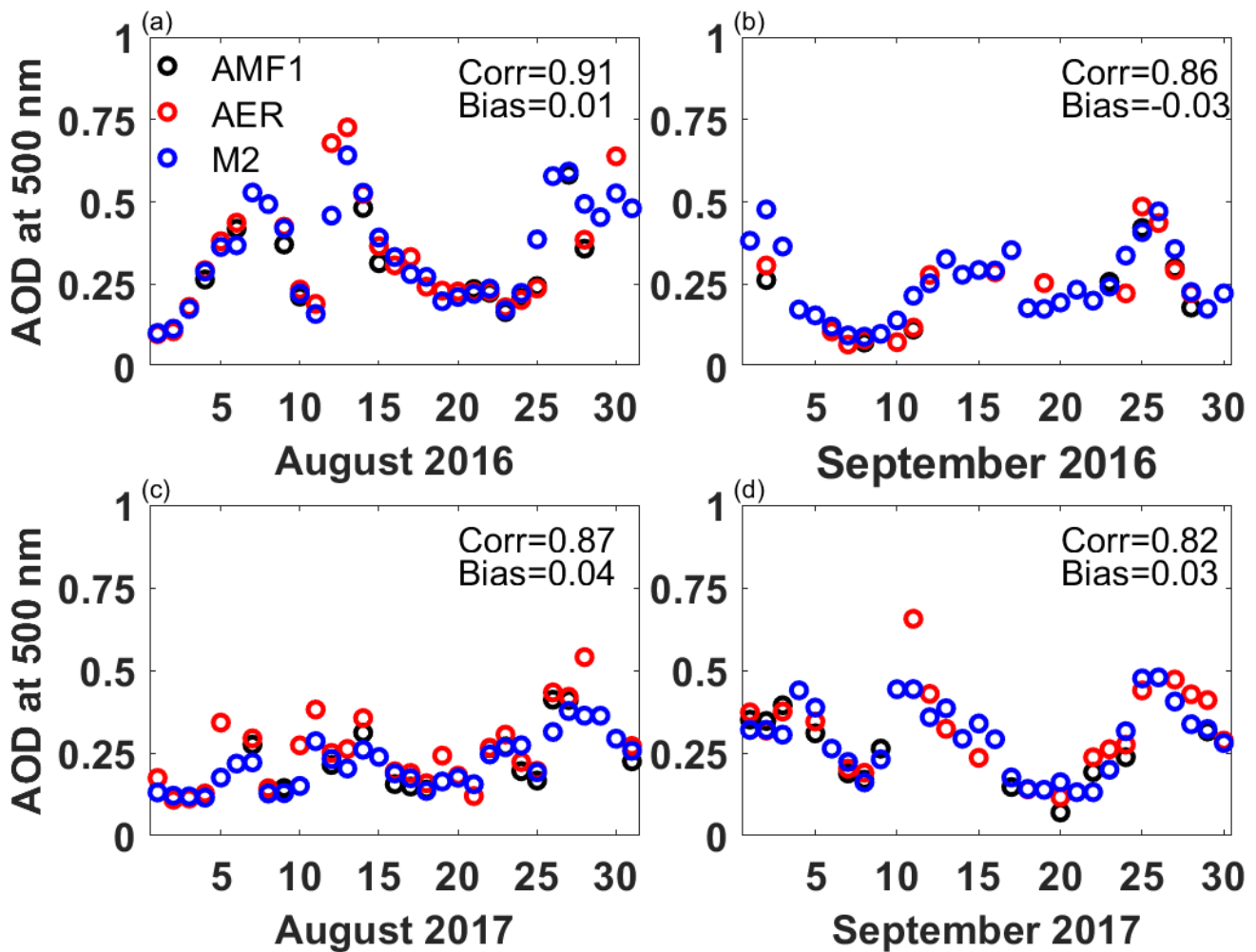
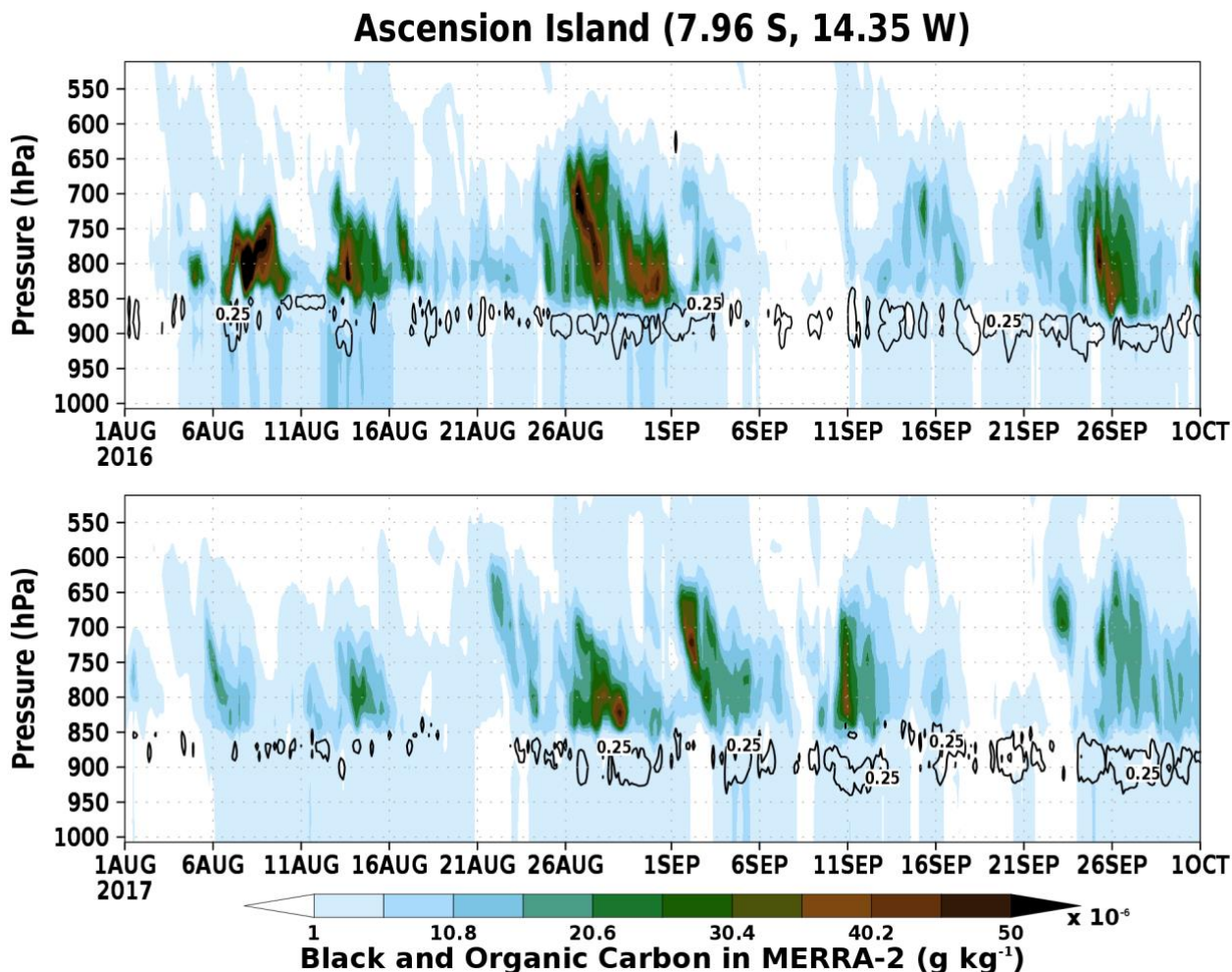


Figure 1: Daily mean aerosol optical depth from the AMF1 (black), AERONET (AER, red), and MERRA-2 (M2, blue) at Ascension Island during (a) August 2016, (b) September 2016, (c) August 2017, and (d) September 2017. Correlation and bias for MERRA-2 is with respect to AERONET observations.



5 Figure 2: Vertical profile of the mixing ratio of black and organic carbon for the (a) 2016 and (b) 2017 biomass burning seasons from MERRA-2. Black contours indicate a cloud fraction of 0.25.

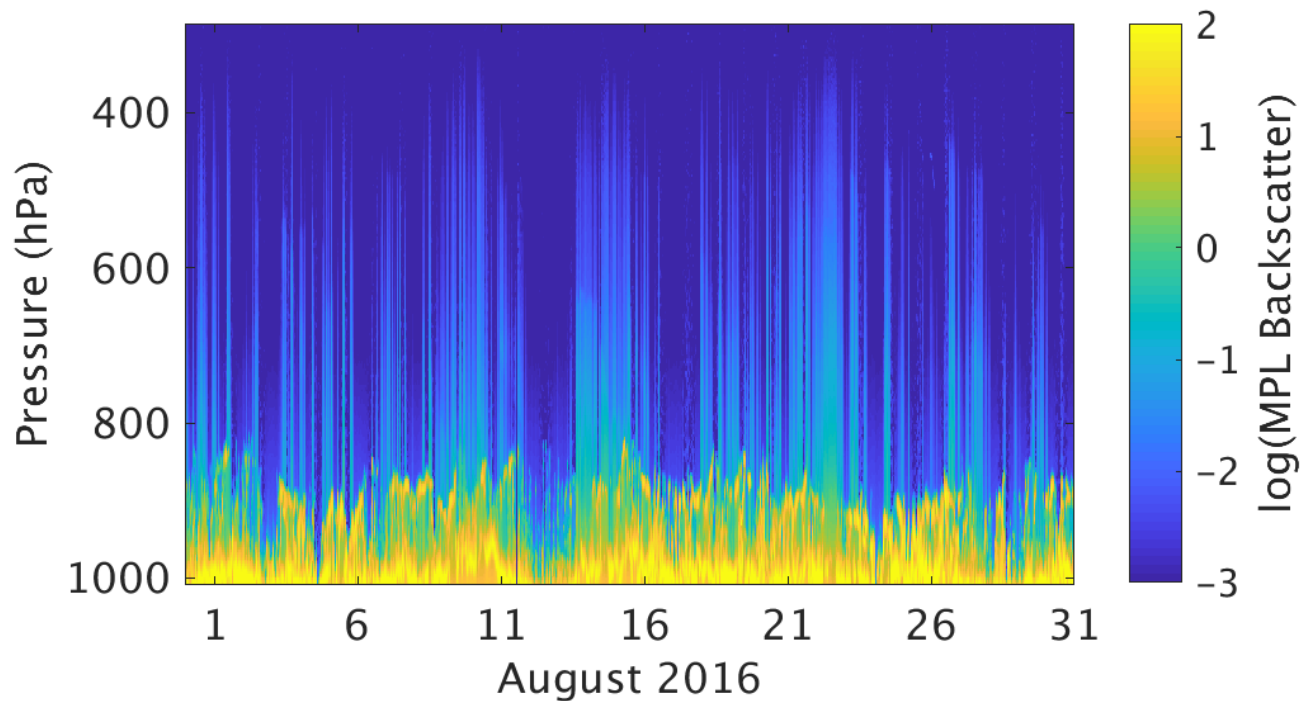


Figure 3: The log of the micropulse lidar (MPL) backscatter over Ascension Island during August 2016.

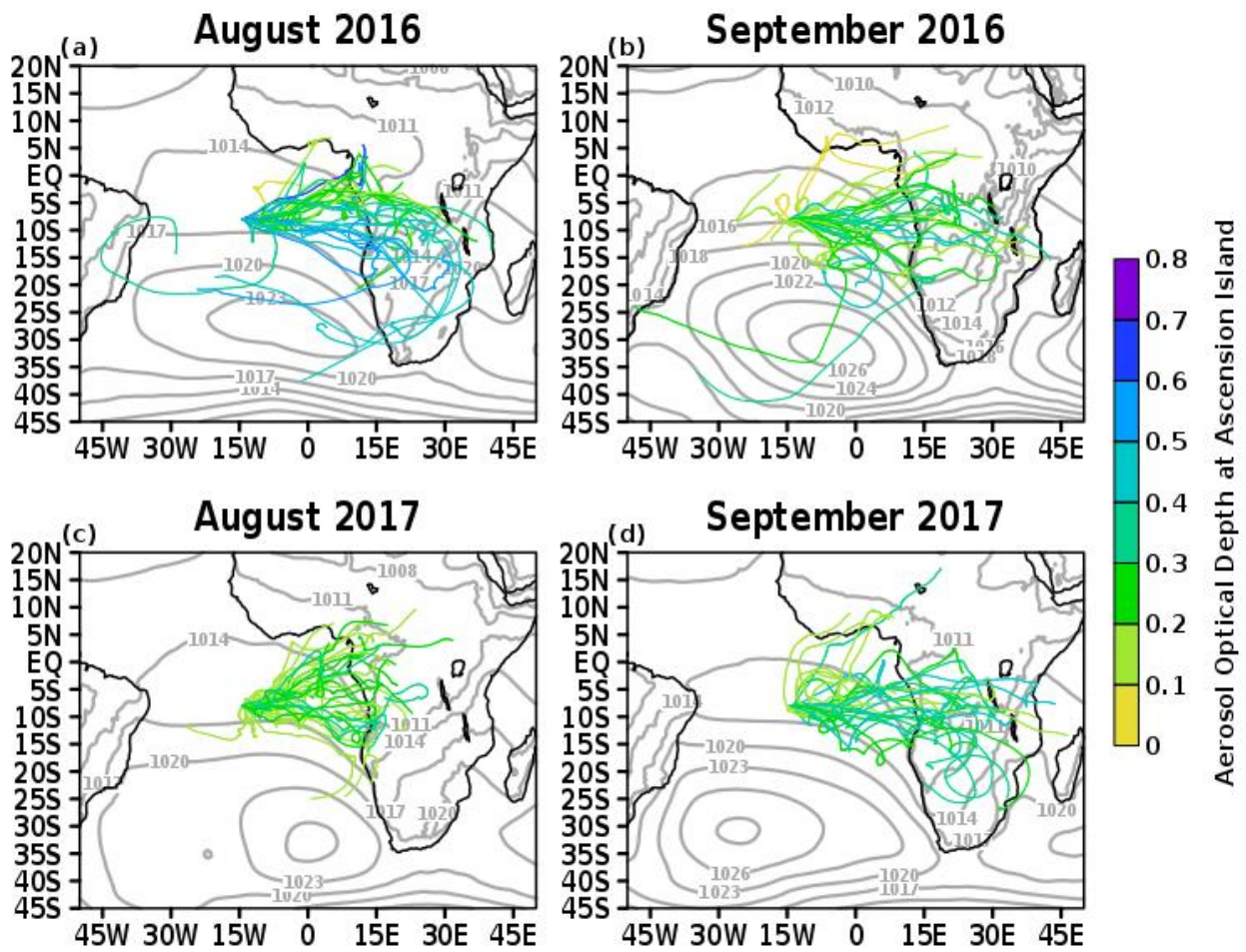


Figure 4: 10-day back trajectories of a parcel originating at 2 km over Ascension Island colour coded based on the AOD on the start date for (a) August 2016, (b) September 2016, (c) August 2017, and (d) September 2017. Grey contours indicate monthly mean sea level pressure.

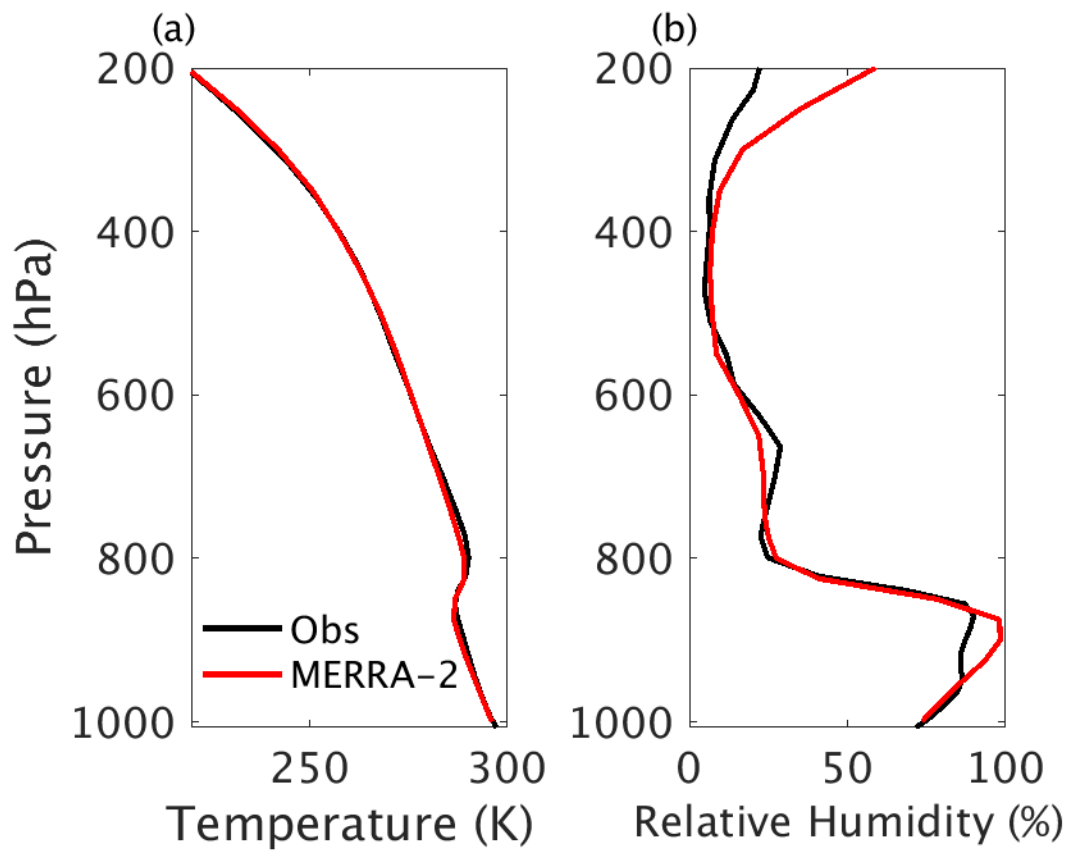


Figure 5: Average (a) temperature and (b) relative humidity profiles over Ascension Island from INTERPSONDE observations and MERRA-2 during the month of August 2016.

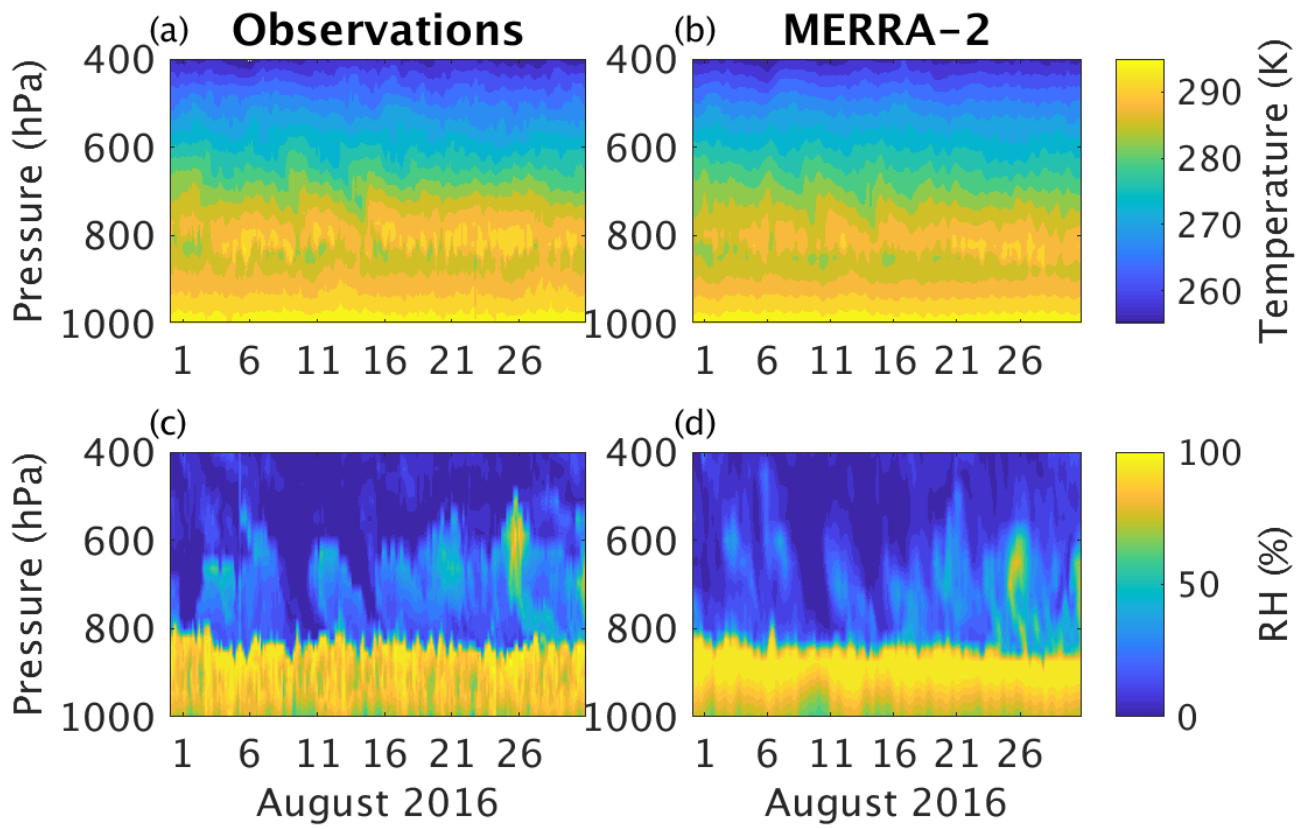


Figure 6: Hourly vertical profiles of (a, b) temperature and (c, d) relative humidity over Ascension Island from the INTERPSONDE observations and MERRA-2 during August 2016.

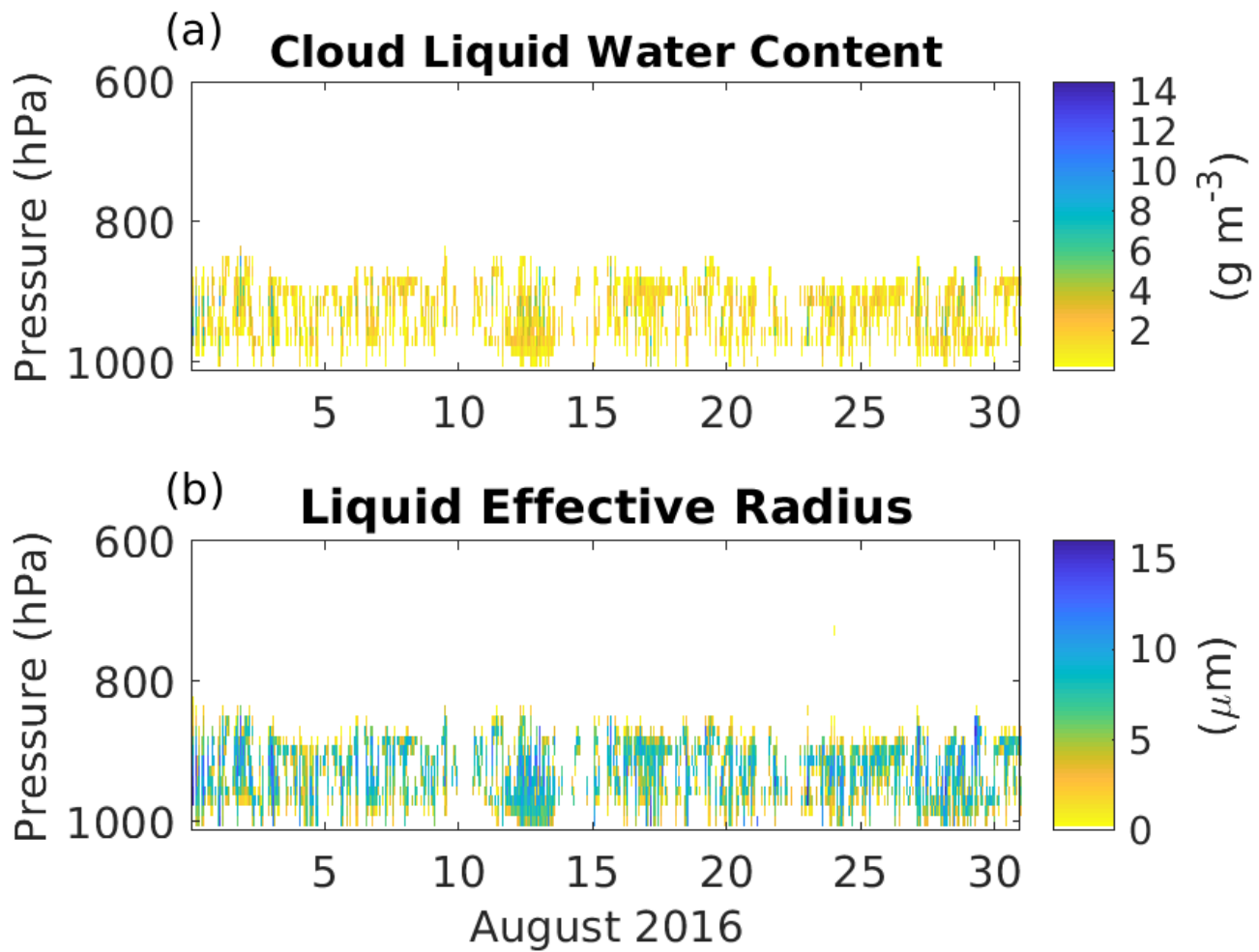


Figure 7: (a) Cloud water content and (b) liquid effective radius over Ascension Island during August 2016 as calculated by the MICROBASE algorithm.

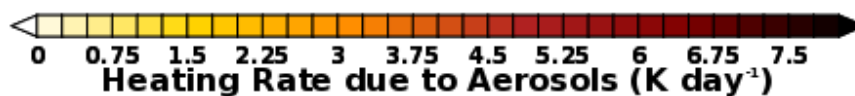
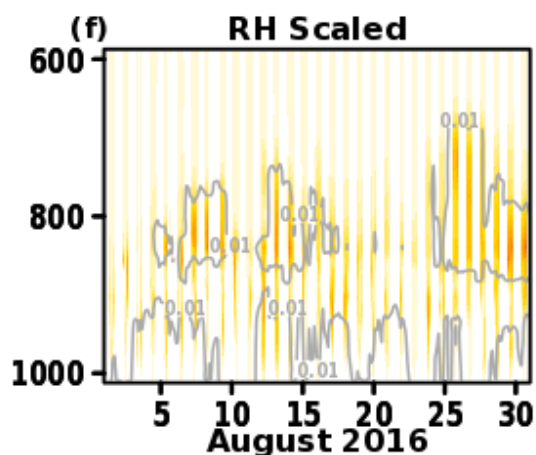
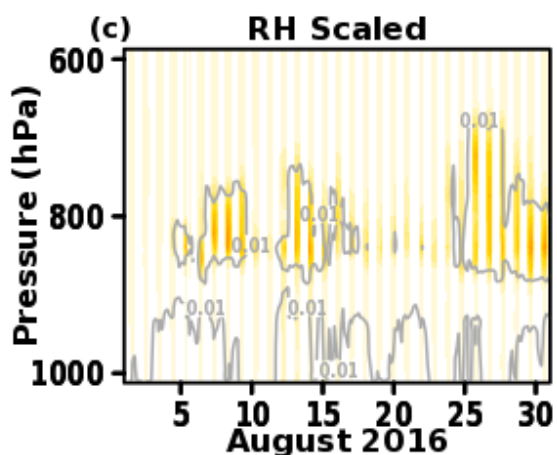
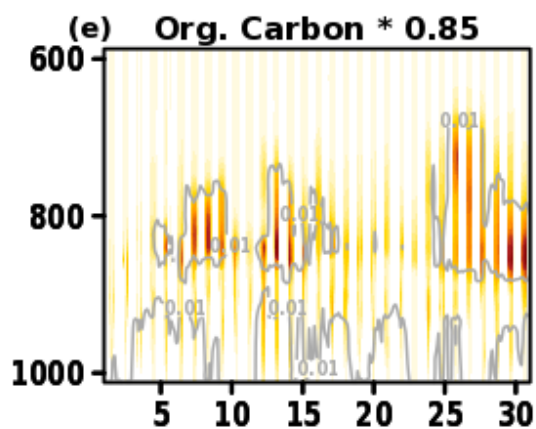
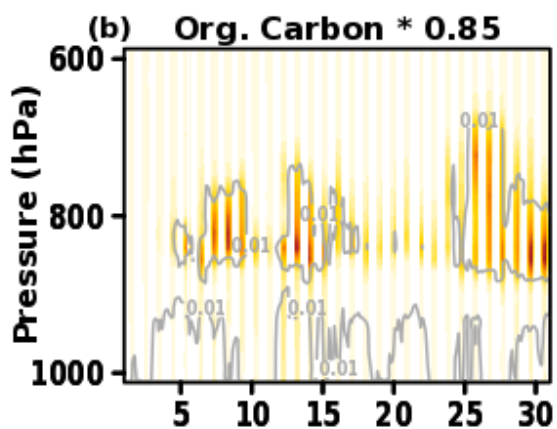
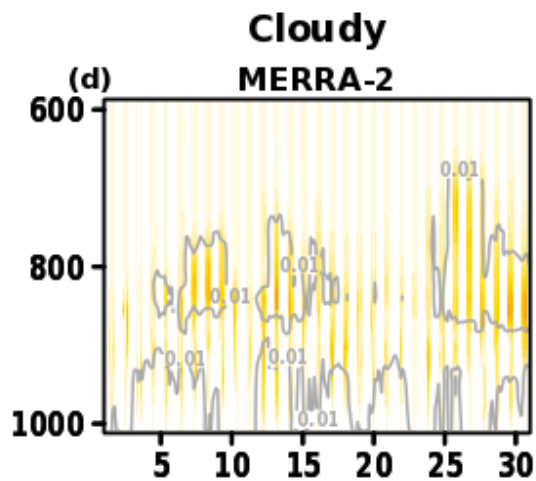
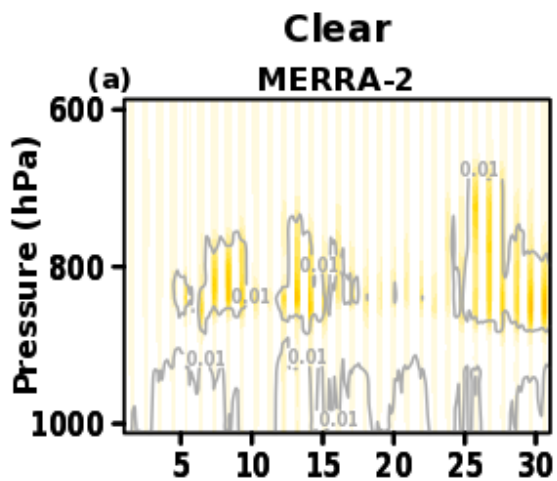
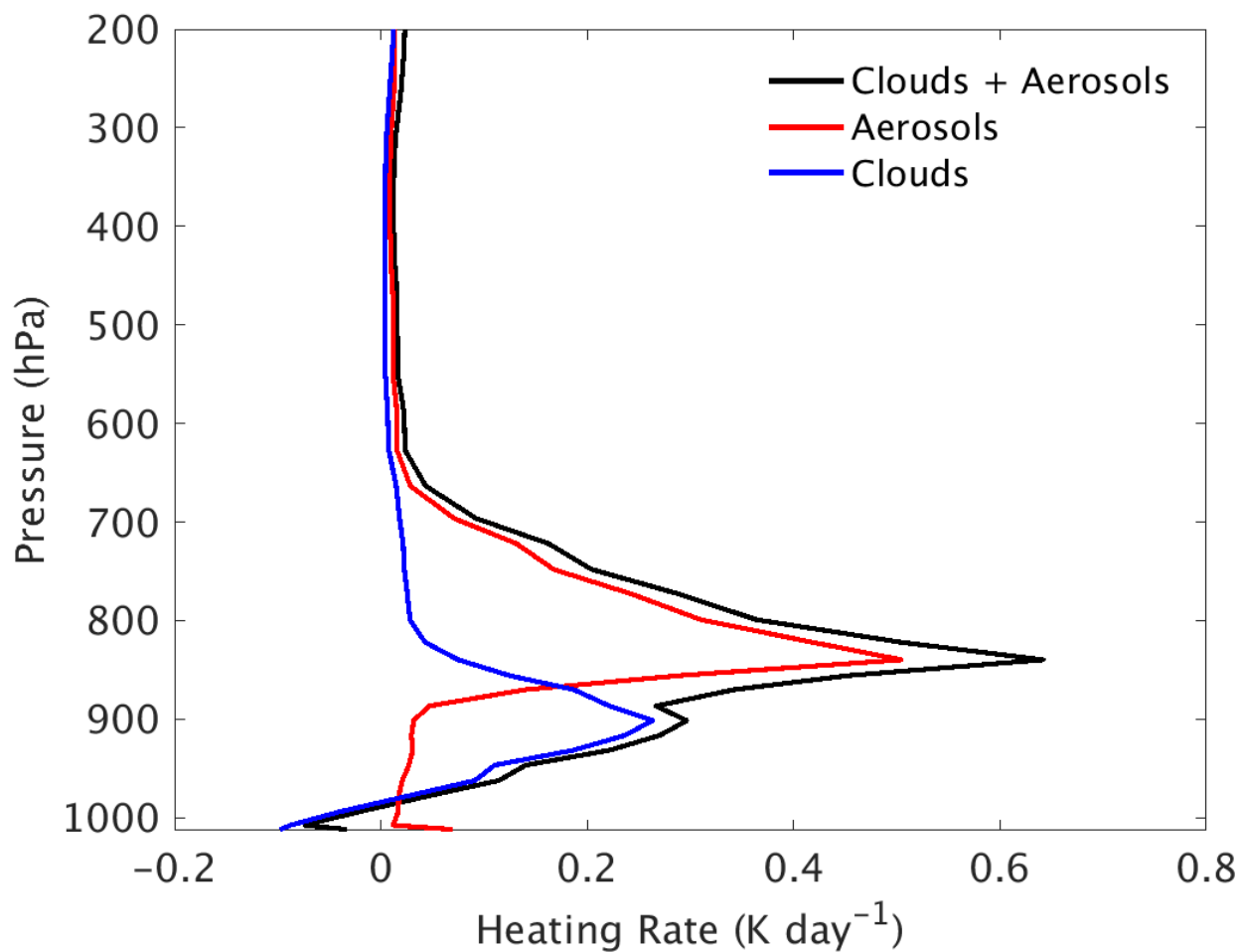


Figure 8: SW heating due to aerosols based on the single scattering albedo (SSA) in (a, d) MERRA-2, (b, e) the SSA for organic carbon in MERRA-2 multiplied by 0.85, and the SSA in MERRA-2 rescaled based on the observed humidity profile over Ascension Island during August 2016 under (a, b, c) clear and (d, e, f) cloudy skies. Grey contours indicate an AOD at $1 \mu\text{m}$ of 0.01.



5 Figure 9: Month averaged profiles of SW heating due to aerosols, clouds, and clouds plus aerosol during August 2016 over Ascension Island.

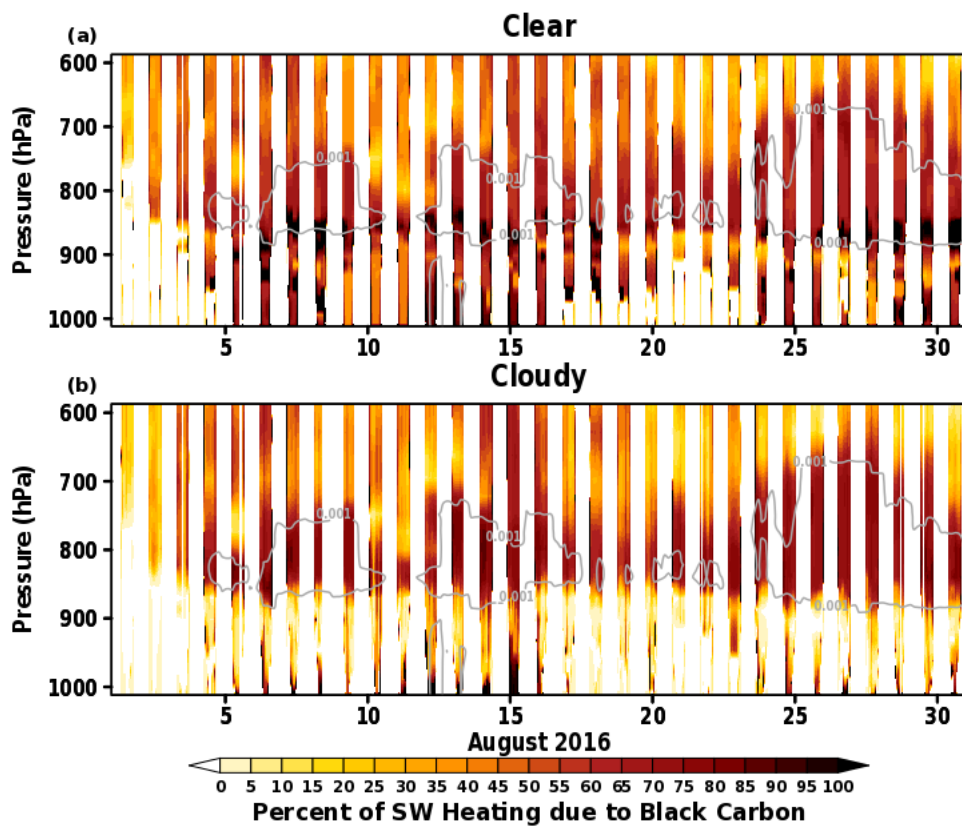


Figure 10: Percent of total SW heating due to black carbon under (a) clear and (b) cloudy skies over Ascension Island during August 2016 using the SSA scaled by relative humidity. Grey contours indicate an AOD at 1 μm for black carbon of 0.001.

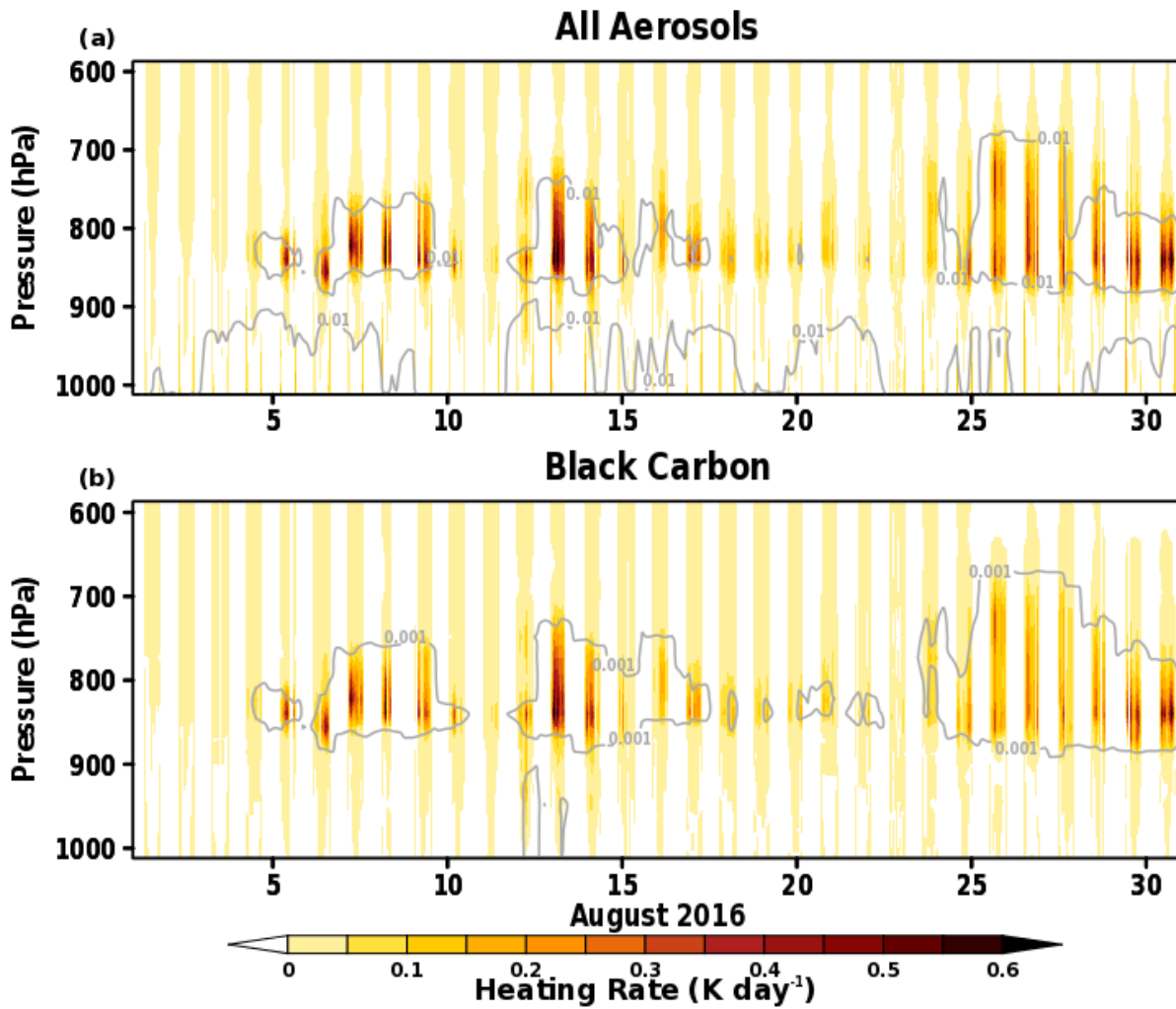


Figure 11: Enhancement of SW heating due to (a) all aerosols and (b) black carbon in the presence of clouds over Ascension Island during August 2016 using the SSA scaled by relative humidity. Grey contours indicate an AOD at 1 μm of 0.01 in (a) and 0.001 in (b).

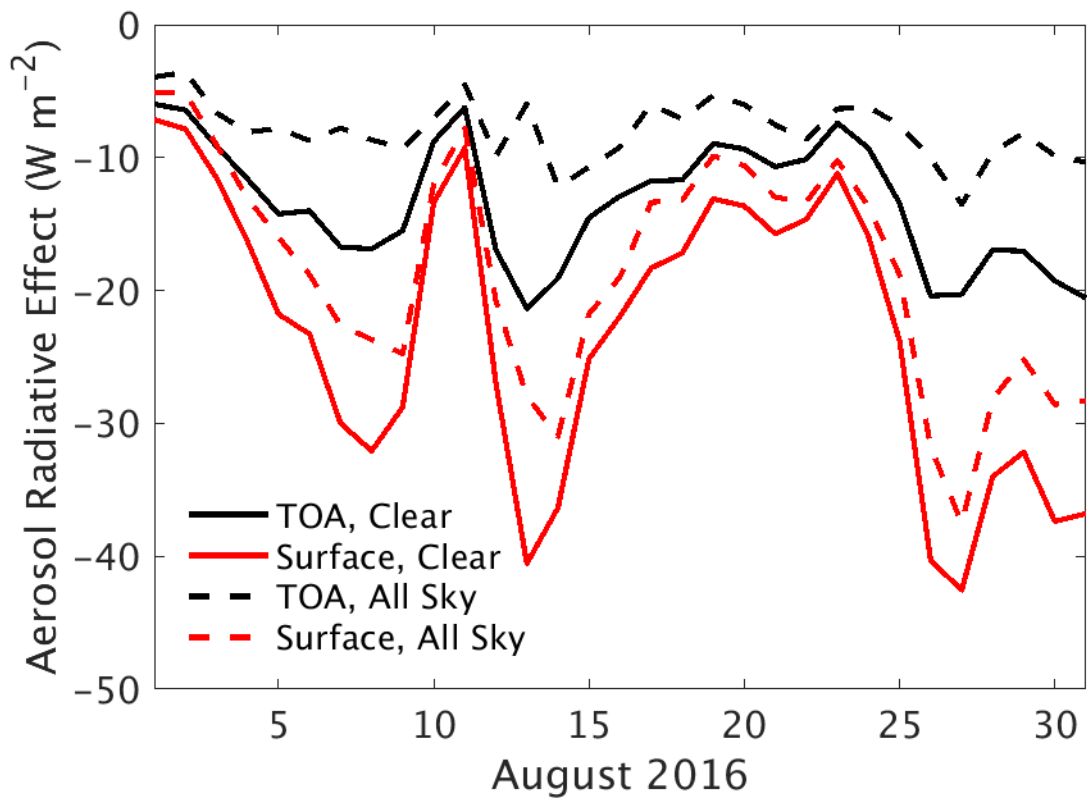


Figure 12: Direct radiative effect due to aerosols at the surface and top of the atmosphere under clear and all sky conditions during August 2016 over Ascension Island.

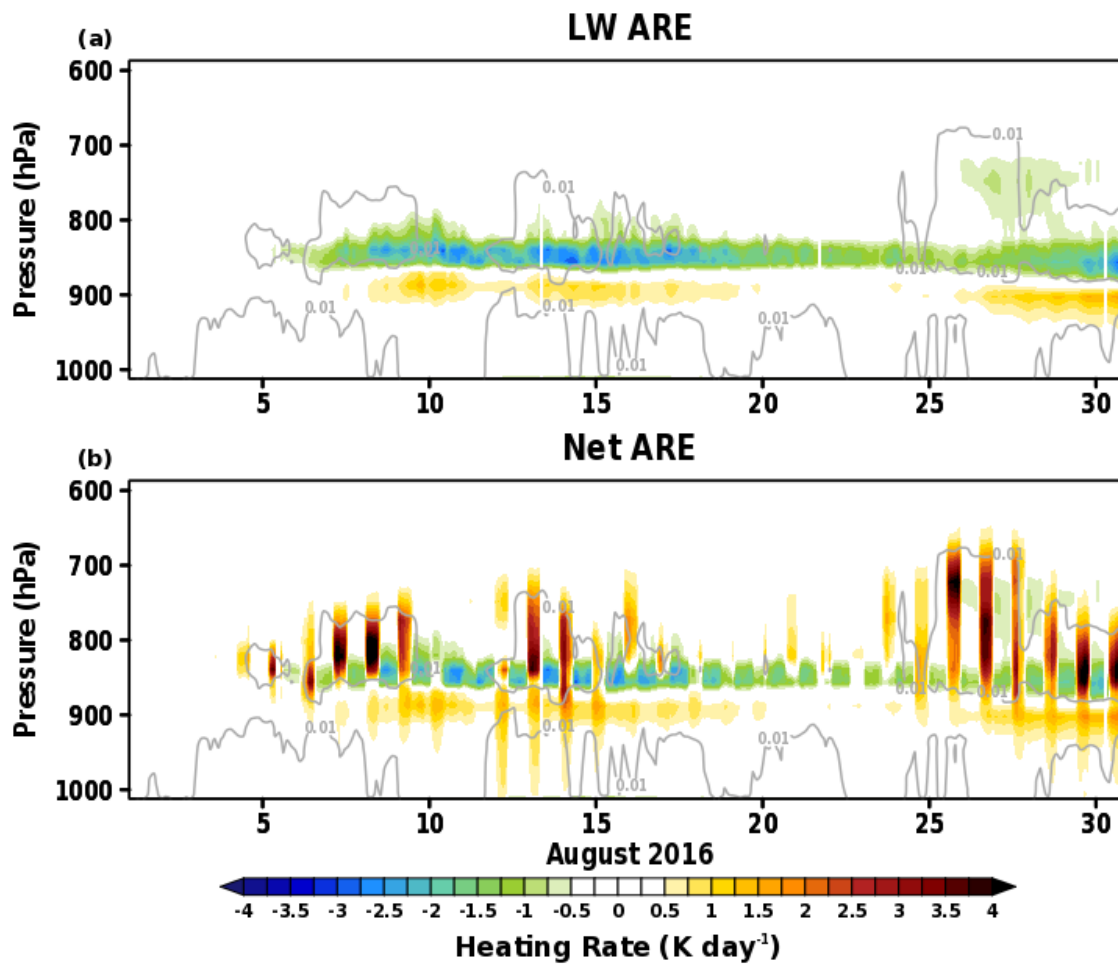


Figure 13: (a) LW cooling as a result of increased temperature from SW heating due to aerosols with the relative humidity scaled SSA and (b) the net heating rate due to aerosols over Ascension Island during August 2016 under clear skies. Grey contours indicate an AOD at 1 μm of 0.01.

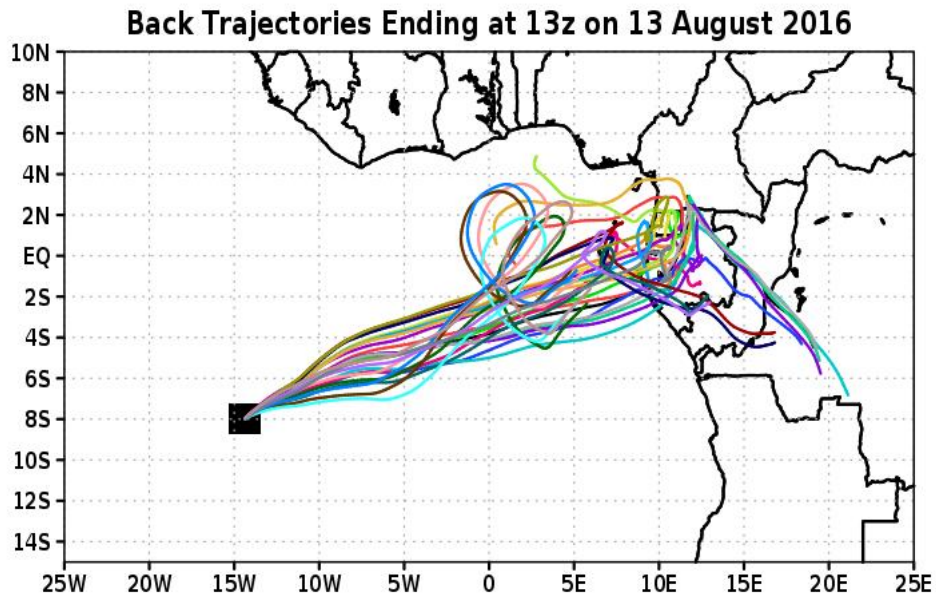


Figure 14: Seven day HYSPLIT back trajectories forced with meteorology from the 27 ensembles of the GDAS, originating at 2 km above Ascension Island at 13z on 13 August 2016.

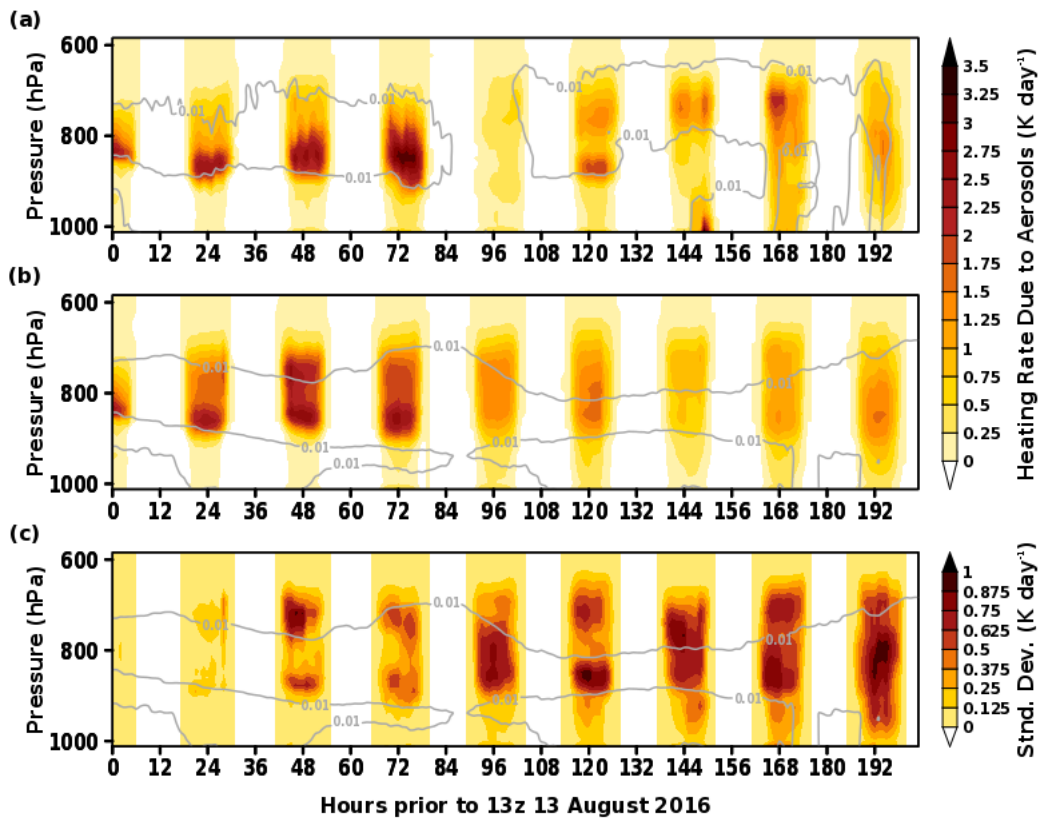


Figure 15: The SW heating rate profile due to aerosols (a) along the MERRA-2 trajectory (b) the mean along the ensemble of back trajectories displayed in Figure 10 using the GDAS ensemble members and (c) the standard deviation originating at 2 km over Ascension Island at 13z on 13 August 2016.