1	Technical note: Measurement of chemically-resolved volume
2	equivalent diameter and effective density of particles by AAC-
3	SPAMS

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# 17 Abstract

Size and effective density  $(\rho_e)$  are important properties of aerosol particles and are 18 19 related to their influences on human health and the global climate. The volume 20 equivalent diameter  $(D_{ve})$  is an intrinsic property that is used to evaluate particle size. 21  $\rho_e$ , defined as the ratio of particle density to a dynamic shape factor ( $\chi$ ), is used to 22 characterize the physical property of a particle as an alternative to particle density. 23 However, it is still challenging to simultaneously characterize the  $D_{ve}$  and  $\rho_e$  of particles. 24 Here, we present a novel system that classifying particles with their aerodynamic 25 diameter  $(D_a)$  by aerodynamic aerosol classifiers (AAC) and determining their vacuum 26 aerodynamic diameter  $(D_{va})$  by single particle aerosol mass spectrometry (SPAMS) to 27 achieve a measurement of  $D_{ve}$  and  $\rho_{e}$ . The reliability of the AAC-SPAMS system for 28 accurately obtaining  $D_{ve}$  and  $\rho_e$  is verified based on the results that the deviation between 29 the measured values and the theoretical values is less than 6% for the size-resolved 30 spherical polystyrene latex (PSL). The AAC-SPAMS system is applied to characterize the  $D_{ve}$  and  $\rho_e$  of (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> and NaNO<sub>3</sub> particles, suggesting that these particles are 31 32 aspherical and their  $\rho_e$  are independent of particle size. Finally, the AAC-SPAMS 33 system is deployed in a field measurement, showing that it is a powerful technique to 34 characterize the chemically-resolved  $D_{ve}$  and  $\rho_e$  of particles in real time.

35 1. Introduction

Size and particle density  $(\rho_p)$  are critical parameters of aerosol particles in 36 37 quantifying the impact of aerosols on air quality, human health and global climate 38 change (Buseck and Posfai, 1999; Poschl, 2005; Pitz et al., 2003). Effective density ( $\rho_e$ ) 39 has been adopted to characterize the physical property of a particle as an alternative to 40  $\rho_p$ , since  $\rho_p$  for aspherical aerosol particles is hardly measured (Sumlin et al., 2018; 41 Katrib et al., 2005). Size and  $\rho_e$  govern the transport properties of a particle both in the 42 atmosphere and in the human respiratory system (Seinfeld and Pandis, 1998; Liu and 43 Daum, 2008) and directly/indirectly influence the potential of the particle to absorb or reflect solar radiation (Tang, 1997; Zhao et al., 2019; Liu and Daum, 2008).  $\rho_e$  can also 44 45 provide information concerning particle morphology (Yon et al., 2015) and serve as a tracer for atmospheric processing (Guo et al., 2014; Yin et al., 2015; Liu et al., 2015). 46 However, the quantitative relationship between aerosol properties, namely, size and  $\rho_e$ , 47 48 and their effects on air quality, human health and global climate change is not yet well 49 understood, which is partly because important aerosol properties cannot be measured 50 by current techniques.

Size. Size is a fundamental property of particles, which can be parameterized by the physical quantity of volume equivalent diameter ( $D_{ve}$ ). Defined as the diameter of a spherical particle with the same volume as the particle (DeCarlo et al., 2004),  $D_{ve}$  is an intrinsic physical quantity that can be used to evaluate the actual size of the particle. However, to date, atmospheric science usually describes particle size by other diameter definitions, such as the electric mobility diameter ( $D_m$ ), aerodynamic equivalent 57 diameter ( $D_a$ ) and vacuum aerodynamic equivalent diameter ( $D_{va}$ ), whose relationships 58 with  $D_{ve}$  are shown in Eqs. (1)-(3), respectively:

59 
$$\frac{D_m}{C_c(D_m)} = \frac{D_{ve}}{C_c(D_{ve})} \chi_t, \tag{1}$$

$$D_a = D_{ve} \sqrt{\frac{\rho_p C_c(D_{ve})}{\chi_t \cdot \rho_0 \cdot C_c(D_a)}},$$
(2)

$$D_{va} = \frac{\rho_p D_{ve}}{\rho_0 \chi_v},\tag{3}$$

where  $C_c(D)$  is the Cunningham slip correction factor,  $\chi_t$  and  $\chi_v$  represent the aerosol dynamic shape factor ( $\chi$ ) in the transition regime and in the free-molecule regime, respectively, and  $\rho_0$  represents the unit density of 1.0 g/cm<sup>3</sup>. From the definitions, it can be seen that  $D_m$ ,  $D_a$ , and  $D_{va}$  are originally derived from  $D_{ve}$ , but in actuality, they do not reflect the actual size of the particle. Meanwhile,  $D_{ve}$  cannot be easily obtained, which limits its application in the scientific community.

Effective density. At present, three definitions of  $\rho_e$  are introduced in atmospheric science (DeCarlo et al., 2004): the first definition ( $\rho_e^{I}$ ) is the ratio of the measured particle mass ( $m_p$ ) to the particle volume (V) calculated assuming a spherical particle with a diameter equal to the measured  $D_m$ ; the second definition ( $\rho_e^{II}$ ) is the ratio of  $\rho$ to  $\chi$  (Hand and Kreidenweis, 2002); and the third definition ( $\rho_e^{III}$ ) is the ratio of  $D_m$  and  $D_{va}$ , all of which are expressed in Eqs. (4)-(6), respectively.

$$\rho_e^I = \frac{6m_p}{\pi D_m^3} \tag{4}$$

$$\rho_e^{II} = \frac{\rho_p}{\chi} \tag{5}$$

 $\rho_e^{III} = \frac{D_{va}}{D_m} \rho_0 \tag{6}$ 

The definitions of  $\rho_e^{I}$  and  $\rho_e^{III}$  can be derived into the final forms, as shown in the Eqs.(7) and (8), respectively.

79 
$$\rho_e^{\rm I} = \frac{\rho}{\chi_t^3} \cdot \left(\frac{C_c(D_{ve})}{C_c(D_m)}\right)^3 \tag{7}$$

$$\rho_e^{III} = \rho \cdot \frac{C_c(D_{\nu e})}{\chi^2 \cdot C_c(D_m)} \tag{8}$$

81 The Eq. (7) is derived from combining the Eq. (1) with Eq. (4) in which  $m_p$  is equal to  $1/6 \rho \cdot D_{ve}^{3}$ . The detailed derivation of Eq. (8) was presented in Schneider et al. (2006). A 82 variety of methods are developed to characterize  $\rho_e^{I}$  and  $\rho_e^{III}$ , among which the more 83 84 advanced methods are to achieve the measurement of the chemically-resolved effective 85 density. Combining a single particle soot photometer (SP2) with a (volatility) tandem differential mobility analyser ((VT)DMA) can measure the  $\rho_e^I$  of particles mixed with 86 87 soot (Zhang et al., 2016b; Wu et al., 2019; Han et al., 2019). The measurement of chemically-resolved  $\rho_e^{III}$  can be achieved by coupling a DMA with an on-line aerosol 88 89 mass spectrometer including the single particle laser ablation time-of-flight mass 90 spectrometer (SPLAT I/II) (Zelenyuk et al., 2005; Zelenyuk et al., 2006; Alexander et al., 2016), aerosol mass spectrometer (AMS) (Dinar et al., 2006; Schneider et al., 2006; 91 92 Kiselev et al., 2010), aerosol time-of-flight mass spectrometer (ATOFMS) (Spencer and 93 Prather, 2006; Spencer et al., 2007), and single-particle aerosol mass spectrometry (SPAMS) (Zhang et al., 2016a; Zhai et al., 2017). However, the  $\rho_e^{I}$  and  $\rho_e^{III}$  are 94 95 demonstrated to have the inherent characteristics of decreasing with increasing particle 96 size (Eqs. (7) and (8)) in a separate paper. Therefore, it will introduce systemic error 97 when assessing the particle impacts on visibility, human health and climate from the physical quantities in  $\rho_e^I$  and  $\rho_e^{III}$ . In contrast,  $\rho_e^{II}$  is independent of particle size. 98 Previously,  $\rho_e^{II}$  and the real part in the refractive index (n) can be retrieved from a fitting 99 100 procedure that compares the measured light-scattering intensity of particles ( $R_{meas}$ ) to

the theoretical values ( $R_{theory,test}$ ) calculated by a series of n and  $\rho_e^{II}$  values. Moffet and 101 Prather (2005) successfully obtained  $\rho_e^{II}$  for spherical particles by single particle mass 102 103 spectrometry. However, subject to the accuracy of Mie theory for the aspherical particles, dry NaCl and calcium-rich dust particles were failed to fit the Rtheory,test well 104 105 to  $R_{meas}$  (Moffet et al., 2008). Similarly, Zhang et al. (2016a) failed to simultaneously retrieve  $\rho_e^{II}$  and *n* for (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> and NaNO<sub>3</sub> particles. To our best knowledge, there is 106 no effective technique to achieve the measurement of  $\rho_e^{II}$  for aspherical particles. For 107 reference, the symbol  $\rho_e$  in the following text refers to the definition of  $\rho_e^{II}$ . 108

109 The aim of the present work is to develop a method to simultaneously obtain  $D_{ve}$  and  $\rho_e$ . The established system of an aerodynamic aerosol classifier (AAC)-SPAMS is 110 capable of characterizing the  $D_a$  and  $D_{va}$  of particles, which can be applied to 111 112 theoretically derive  $D_{ve}$  and  $\rho_e$ . To verify the reliability of the AAC-SPAMS system, we apply it to measure the  $D_{ve}$  and  $\rho_e$  of the spherical particles of polystyrene latex (PSL). 113 The results are in good agreement with the theoretical values. Finally, the AAC-SPAMS 114 115 system is applied to measure the  $D_{ve}$  and  $\rho_e$  for (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> and NaNO<sub>3</sub> particles and for the chemically-resolved atmospheric particles. 116

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#### 118 2. Experimental section

119 **2.1 Measurement system** 

Figure 1 shows a schematic diagram of the AAC-SPAMS system. The particles are first dried by a diffusion drying tube (TSI 9302, USA), classified by AAC (Cambustion Ltd., UK) based on the aerodynamic diameters  $D_a$ , and then transported into SPAMS in 123 which the  $D_{va}$  and the mass spectra of individual particles are obtained. The working principle of the AAC is described in detail elsewhere (Tavakoli and Olfert, 2013). AAC 124 125 consists of two coaxial cylinders that rotate at the same rotational speed. Polydisperse 126 particles enter into the space between the cylinders (i.e., classification column) and 127 experience a centrifugal force that causes them to move toward the outer cylinder. The 128 particles to be classified can leave the classification column with the particle-free sheath 129 flow and finally exit the AAC with the sample flow. Thus, the  $D_a$  values of classified 130 particles can be derived from their relationship with their relaxation time ( $\tau$ ), as shown 131 in Eq. (9):

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$$\tau = \frac{C_C(D_a) \cdot \rho_0 \cdot {D_a}^2}{18\mu} \tag{9}$$

where  $\mu$  is the gas dynamic viscosity. Particles with large relaxation times impact and adhere to the outer cylinder, while particles with small relaxation times exit the classifier with the exhaust flow. The exhaust flow from the AAC was about 0.3 lpm, and the Size Resolution Parameter (Rs) of the AAC was set as 40.

137 Detailed information about the operation of SPAMS (Hexin Analytical Instrument Co., Ltd., China) is described elsewhere (Li et al., 2011). Briefly, the particles are 138 139 introduced into the vacuum system through a 0.1 mm critical orifice and are gradually collimated into a beam in the aerodynamic lens. Two continuous diode Nd:YAG laser 140 141 beams (532 nm) are used to aerodynamically size the particles, which are subsequently desorbed/ionized by a pulsed laser (266 nm) that is triggered based on the velocity of a 142 143 specific particle. The generated positive and negative ions are recorded with the corresponding particle size. The  $D_{va}$  of the particle is related to the transit time between 144

the two laser beams (532 nm) in SPAMS, which can be obtained by using a calibration
curve generated from the measured transit times of a PSL series with predefined sizes
(nominal diameters).

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#### 149 2.2 Laboratory experiments

150 Dried spherical PSL (Nanosphere Size Standards, Duke Scientific Corp., Palo Alto)  $(\rho_p = 1.055 \text{ g/cm}^3 \text{ and } \chi = 1.0)$  with  $D_{ve}$  values of  $203 \pm 5 \text{ nm}$ ,  $310 \pm 6 \text{ nm}$ ,  $510 \pm 5 \text{ nm}$ , 151 and 740  $\pm$  6 nm were used in the AAC-SPAMS system, and the  $D_{ve}$  was verified by 152 153 Scanning Mobility Particles Sizer (Model 3938, TSI Inc., USA). The PSL particles were 154 first classified by AAC, and then their  $D_{va}$  values were obtained by SPAMS. ACC-SPAMS was also applied to the particles of  $(NH_4)_2SO_4$  ( $\rho_p = 1.77$  g/cm<sup>3</sup>) and NaNO<sub>3</sub> 155  $(\rho_p = 2.26 \text{ g/cm}^3)$  with  $D_a$  values of 250.0 nm, 350.0 nm, 450.0 nm and 550.0 nm. 156 157 Besides, to present the measurement uncertainty of the AAC, the  $D_a$  values of these PSL particles were measured to be 212.8  $\pm$  0.2, 324.7  $\pm$  0.4, 529.9  $\pm$  0.4, and 767.5  $\pm$ 158 159 0.4, respectively, by the system of AAC- condensation particle counter (CPC), which shows that the AAC has the deviations of 1.1%, 1.3%, 0.8%, and 0.7% for determining 160 161 the  $D_a$  values of the particles.

#### 162 **2.3 Ambient sampling**

For field observations, the AAC-SPAMS system was placed in science and technology enterprise accelerator A2 Block, Guangzhou, China, to characterize the  $D_{ve}$ ,  $\rho_e$  and chemical compositions of aerosol particles. The sampling inlet was hung 2.5 meters from the third floor (~12 m above ground level). Ambient aerosol particles were 167 introduced into the AAC through a 5 m long conductive silicone tube with an inner diameter of 6 mm and a PM<sub>2.5</sub> cyclone inlet. The sampling flow from the PM<sub>2.5</sub> cyclone 168 169 inlet was 3 lpm, and the residence time in the conductive silicone tube was approximately 5 seconds. Sampled particles were classified by the AAC as one of four 170 171  $D_a$ : 250.0 nm, 350.0 nm, 450.0 nm and 550.0 nm. The sampling time for the particles of each  $D_a$  was approximately 10 minutes. From July 6<sup>th</sup> to 8<sup>th</sup>, 2019, approximately 172 173 129,869 ionized particles were obtained from nine rounds of measurement. The sampling details are shown in Table S1. The number of ionized particles with the  $D_a$  of 174 175 250.0, 350.0, 450.0, and 550.0 nm is about 35,609, 38,374, 31,910, and 23,976, 176 respectively. The sampled ~100,000 particles are first classified by using an adaptive resonance theory neural network (ART-2a) (Song et al., 1999) with a vigilance factor 177 178 of 0.75, a learning rate of 0.05 and 20 iterations.

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#### 180 2.4 Theoretical derivation of $D_{ve}$ and $\rho_e$ from $D_a$ and $D_{va}$

181  $D_{ve}$  is the accurate physical quantity of the size of a particle.  $\rho_e$  is an alternative 182 property for  $\rho_p$ , which is consistent with the property of  $\rho_p$  in terms of being independent 183 of particle size. These two properties cannot yet be simultaneously measured for 184 unknown particles by current techniques. In this study, the calculations of  $D_{ve}$  and  $\rho_e$  for 185 unknown particles are theoretically derived from  $D_a$  and  $D_{va}$ . Combining Eqs. (2) and 186 (3), we obtain the following Eq. (10):

187 
$$C_c(D_a)\frac{D_a^2}{D_{va}} = D_{ve}C_c(D_{ve})\frac{\chi_v}{\chi_t}$$
(10)

188 Based on the approximation between  $\chi_{\nu}$  and  $\chi_t$  ( $\chi_{\nu} \approx \chi_t = \chi_a$ ) (DeCarlo et al., 2004), Eq.

189 (10) becomes Eq. (11):

190 
$$C_c(D_a)\frac{D_a^2}{D_{va}} = D_{ve}C_c(D_{ve})$$
 (11)

191 The Cunningham Slip Correction Factor is calculated by Eq. (12) (DeCarlo et al., 2004):

192 
$$C_c(D) = 1 + \frac{\lambda}{D} \left( A + B \cdot \exp\left(\frac{C \cdot D}{\lambda}\right) \right), \tag{12}$$

193 where  $\lambda$  is the mean free path of the gas molecules, and *A*, *B* and *C* are empirically 194 determined constants specific to the analysis system. The values of *A*, *B* and *C* are 2.33, 195 0.966, and -0.498, respectively, which are provided by the manual of the AAC. 196 Substituting Eq. (12) into Eq. (11) obtains the Eq. (13).

197 
$$\frac{D_a^2}{D_{va}} + \frac{D_a \cdot \lambda}{D_{va}} \left( A + B \cdot \exp\left(\frac{C \cdot D_a}{\lambda}\right) \right) = D_{ve} + \lambda \left( A + B \cdot \exp\left(\frac{C \cdot D_{ve}}{\lambda}\right) \right)$$
(13)

198 Thus, if the  $D_a$  and  $D_{va}$  of an unknown particle can be measured, its  $D_{ve}$  will be 199 calculated according to Eq. (13). Finally, the  $\rho_e$  value of the particles is calculated by 200 the  $D_{va}$  and  $D_{ve}$  values according to Eq. (14), which is obtained by combining Eq.(3) 201 and Eq.(5):

202 
$$\rho_e = \frac{\rho_p}{\chi_a} = \frac{D_{va}}{\rho_0 \cdot D_{ve}} \tag{14}$$

Thus, we can obtain both the  $D_{ve}$  and  $\rho_e$  values of unknown particles based on the  $D_a$ and  $D_{va}$  values. Because the AAC and SPAMS instruments have the ability to determine  $D_a$  and  $D_{va}$ , the AAC-SPAMS system, which is developed in this study, can be used to obtain the  $D_{ve}$  and  $\rho_e$  values for unknown particles.

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### 208 3. Results and discussion

#### 209 **3.1** Verification of the AAC-SPAMS system to obtain $D_{ve}$ and $\rho_e$

210 The  $D_{va}$  distribution of PSL particles with predefined  $D_{ve}$  values after screening by

211	the AAC is shown in Figure S1. We used Gaussian fitting to obtain the peak $D_{va}$ for
212	PSL particles of predefined size with an R-squared fitting coefficient ( $R^2$ ) over 0.98.
213	Each fitting has a full width at half maximum (FWHM) of 6.6%, 4.4%, 2.3% and 2.2%,
214	and the corresponding peaks are 215.8 nm, 319.0 nm, 532.1 nm and 803.5 nm,
215	respectively. Substituting the $D_a$ and $D_{va}$ values of PSL into Eq. (11), the measured $D_{ve}$
216	$(D_{ve,me})$ of PSL from AAC-SPAMS system is 203.6 nm, 309.7 nm, 511.6 nm and 737.2
217	nm, respectively (Figure 2a). Thus, the deviations between the theoretical $D_{ve}$ ( $D_{ve,th}$ )
218	and $D_{ve,me}$ values are 0.3%, -0.1%, 0.3% and -0.4%, respectively. On the other hand, the
219	measured $\rho_e(\rho_{e,me})$ values of the particles are calculated from the $D_{va}$ and $D_{ve,me}$ values
220	with Eq. (14), and the $\rho_{e,me}$ values are 1.1 g/cm <sup>3</sup> , 1.0 g/cm <sup>3</sup> , 1.0 g/cm <sup>3</sup> , and 1.1 g/cm <sup>3</sup>
221	(Figure 2b). The deviations of $\rho_{e,me}$ are determined to be 4.3%, -5.2%, -5.2%, and 4.3%,
222	respectively, by comparing to the theoretical $\rho_e(\rho_{e,th})$ that is equals to the $\rho_p$ for the
223	spherical particles (i.e. 1.055 g/cm <sup>3</sup> of PSL particles). That is, the deviations of $D_{ve,me}$
224	and $\rho_{e,me}$ characterized by the AAC-SPAMS system are within 1% and 6%, respectively.
225	We therefore conclude that the AAC-SPAMS system is highly accurate for obtaining
226	aerosol $D_{ve}$ and $\rho_{e}$ .

# 3.2 Application of the AAC-SPAMS system for obtaining $D_{ve}$ and $\rho_e$ of (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> and NaNO<sub>3</sub>

Figure S2 shows the  $D_{va}$  distributions of (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> and NaNO<sub>3</sub> particles, which have D<sub>a</sub> values of 250.0, 350.0, 450.0, and 550.0 nm, as screened by the AAC. The  $D_{va}$  peaks are obtained by Gaussian fitting, with  $R^2$  values over 0.93 and FWHM values ranging 233 from 7.6% to 10.6%. The  $(NH_4)_2SO_4$  particles have  $D_{va}$  values of 300.0, 418.0, 551.1, and 695.1 nm (Figure S2), which correspond to particles possessing  $D_{ve,me}$  values of 234 235 177.3, 254.4, 331.8, and 409.3 nm, respectively, according to Eq. (11). Substituting the values of  $D_{va}$  and  $D_{ve,me}$  into Eq. (12), the  $\rho_{e,me}$  values are 1.7, 1.6, 1.6, and 1.7 g/cm<sup>3</sup> 236 237 (Figure 3a), respectively. Similarly, the selected NaNO<sub>3</sub> particles are determined to 238 have Dva values of 321.0, 454.9, 599.8, and 755.3 nm (Figure S2), corresponding to  $D_{ve,me}$  values of 150.1, 218.2, 287.0, and 355.9 nm, respectively. The  $\rho_{e,me}$  values of the 239 NaNO<sub>3</sub> particles are 2.2, 2.0, 2.0, and 2.1 g/cm<sup>3</sup> for the four particle sizes (Figure 3b), 240 241 respectively. Figure 3 also shows that the  $\rho_{e,me}$  values of the NaNO<sub>3</sub> and (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> 242 particles at four size deviate from their average values with the maximum of 5.9 % and 4.8%, respectively, which are identical with the deviation phenomenon for the  $\rho_{e,me}$  of 243 244 PSL particles. These deviations may be derived from the calibration of particle Dva 245 from the SPAMS. While the R-square of size calibration curve is 0.999, the curve of exponential function is found to slightly deviate from the data points measured by 246 247 SPAMS. For example, size calibration function has the deviation of -4.4% and 3.1% from the data points of 310 and 740 nm, respectively. 248

Taking the systematic error into account, the slight difference of the  $\rho_{e,me}$  values for the four sizes suggests that the  $\rho_e$  of (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> and NaNO<sub>3</sub> particles is independent of particle size from 250.0 nm to 550.0 nm. It is determined by the definition of effective density used in this study, which keeps constant as long as the  $\chi_a$  of the particles does not change with particle size for pure compound. The average  $\rho_{e,me}$  values of (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> and NaNO<sub>3</sub> particles are calculated to be  $1.7 \pm 0.1$  and  $2.1 \pm 0.1$  g/cm<sup>3</sup>, respectively. The average  $\rho_{e,me}$  values are lower than that the  $\rho_p$  of (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> (1.77 g/cm<sup>3</sup>) and NaNO<sub>3</sub> (2.27 g/cm<sup>3</sup>), which is caused that the  $\rho_{e,me}$  is determined by both of  $\rho_p$  and  $\chi_a$ . According to Eq. (14), the  $\chi_a$  of (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> and NaNO<sub>3</sub> particles with different  $D_a$  are calculated to be 1.04, 1.11, 1.11, and 1.04 and to be 1.03, 1.14, 1.14, and 1.08, respectively. Thus, the average  $\chi_a$  values of the (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> and NaNO<sub>3</sub> particles are determined to be 1.07 ± 0.04 and 1.10 ± 0.05, respectively, which can be used to parameterize their morphology.

262 The average  $\chi_a$  values of the (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> and NaNO<sub>3</sub> particles indicate that these 263 particles are aspherical. The asphericity of (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> determined by AAC-SPAMS system is consistent with the previous studies reporting that the  $\chi_a$  of (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> were 264 265 larger than the value of 1.03 (Zelenyuk et al., 2006; Beranek et al., 2012; Zhang et al., 266 2016a). However, previous studies found that the NaNO3 particles had different morphology. Zhang et al. (2016a) observed that NaNO<sub>3</sub> had the  $\chi_a$  of 1.09-1.13, 267 indicating its asphericity, while Hoffman et al. (2004) found that NaNO<sub>3</sub> particle had a 268 269 round droplet-like shape even at 15% RH, which was supported by the consistence between the measured value of "anhydrous" droplet density and the calculated value of 270 271 "anhydrous" solution droplet (Zelenyuk et al., 2005). Eclectically, Tang and Munkelwitz (1994) studied that most of the NaNO<sub>3</sub> particles crystallized between 20% 272 and 30% RH but some persisted down to 10% RH to form solution droplets. Notably, 273 the spherical NaNO<sub>3</sub> particles at low RH observed by Hoffman et al. (2004) were dried 274 275 in the sticky carbon tape which might affect the phase transition of droplet-like NaNO<sub>3</sub> particles. In this study, most NaNO<sub>3</sub> particles were crystallized because the RH of the 276

aerosol flow carrying the NaNO<sub>3</sub> particles was reduced to below 20% through the diffusion drying tube. Besides, the result that the crystallized NaNO<sub>3</sub> particles are aspherical is supported by their FWHM values of the  $D_{va}$  distributions which are consistent with that of aspherical (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> but wider than spherical PSL (Figures S1 and S2).

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# 283 **3.3** Application of the AAC-SPAMS system for measuring the chemically-resolved 284 $D_{ve}$ and $\rho_e$

285 SPAMS can obtain information on the chemical composition of individual particles, implying that the AAC-SPAMS system has the ability to simultaneously characterize 286 287  $D_{ve}$ ,  $\rho_e$  and the chemical compositions of particles in real time. It is worth noting that 288 the particles with the largest  $\chi$  in the actual atmosphere should be freshly emitted soot, which  $\chi$  is 2.5 (Peng et al., 2016). This largest  $\chi$  fitly meets the upper limit for the 289 290 approximation between the  $\chi_t$  and  $\chi_v$  (DeCarlo et al., 2004). Therefore, the AAC-291 SPAMS system can obtain the chemically-resolved  $D_{ve}$  and  $\rho_e$  values for unknown 292 aerosol particles in the field observation.

As an example, we applied the AAC-SPAMS system to illustrate how the measured  $D_a$ ,  $D_{va}$ , and chemical composition of an individual particle can be used to calculate the  $D_{ve}$  and  $\rho_e$  for unknown particles. The sampled ~100,000 particles are classified into eight major particle types with distinct chemical patterns of K-rich, EC-S, K-Na, Amine, EC-N-S, OC-N-S and OC-EC-N-S and Metal-rich, representing 97% of the detected particle population. Details of the chemical composition and number fraction of the eight types of particles are presented in the Figures S3 and S4, respectively, which arediscussed in the Supporting Information.

301 We used Gaussian fitting to obtain the  $D_{va}$  peaks for each particle type with  $D_a$  values 302 of 250.0 nm, 350.0 nm, 450.0 nm, and 550.0 nm. Then, we calculated the Dve values of 303 the atmospheric particles with Eq. (11). Table 1 presents the average  $D_{ve}$  values of the 304 eight particle types, for which the standard deviation is calculated based on nine 305 samples. The average  $D_{ve}$  at  $D_a$  values of 250.0 nm, 350.0 nm, 450.0 nm, and 550.0 nm 306 has the following wide ranges: from 188.5 nm to 200.8 nm, 271.9 nm to 295.7 nm, 307 342.5 nm to 428.9 nm, and 397.3 nm to 570.9 nm, respectively, which are caused by the chemical composition differences. The result indicates that particles with 308 309 significantly different  $D_{ve}$  might possess the same  $D_a$ . Furthermore, the large standard 310 deviation of Dve, such as 21.9 nm for K-Na at 250.0 nm, 32.3 nm for OC-EC-N-S at 350.0 nm, and 44.3 nm for OC-N-S at 450.0 nm, indicates that the  $D_{ve}$  of particles is 311 remarkably different even for particles with the same type and same  $D_a$ . 312

According to  $D_{ve}$  and  $D_{va}$ , we calculated the  $\rho_e$  of each particle type with Eq. (12). 313 Figure 4 shows the variations of the  $\rho_e$  with  $D_{ve}$  for nine particle samples. For pure 314 315 compounds, such as  $(NH_4)_2SO_4$  and NaNO<sub>3</sub> particle,  $\rho_e$  theoretically does not change with particle size. However, the sampled particles have experienced complex 316 atmospheric processes. Therefore,  $\rho_e$  has a very wide distribution for each type of 317 particle with a similar  $D_{ve}$ . Specifically, the  $\rho_e$  of K-Na increases with  $D_{ve}$ , while the  $\rho_e$ 318 319 of OC-N-S and OC-EC-N-S decreases with  $D_{ve}$ , which may be influenced by the particle shape or the material density. Additionally, the average  $\rho_e$  of each type of 320

particle is in the order from small to large:  $1.2 \pm 0.2$  g/cm<sup>3</sup> for OC-EC-N-S,  $1.3 \pm 0.2$ 321 g/cm<sup>3</sup> for OC-N-S,  $1.4 \pm 0.1$  g/cm<sup>3</sup> for K-rich,  $1.4 \pm 0.1$  g/cm<sup>3</sup> for Amine,  $1.5 \pm 0.1$ 322 g/cm<sup>3</sup> for EC-N-S,  $1.5 \pm 0.1$  g/cm<sup>3</sup> for EC-S,  $1.6 \pm 0.1$  g/cm<sup>3</sup> for K-Na and  $1.6 \pm 0.1$ 323 g/cm<sup>3</sup> for Metal-rich. It is reasonable to find that the average  $\rho_e$  of internally mixed 324 325 particles distributes in the range of their material densities ( $\rho_m$ ). For instance, mainly comprised of internally mixed sulfate and organics, the OC-EC-N-S, OC-N-S, K-rich, 326 and Amine particles have the average  $\rho_e$  between that of sulfate with  $\rho_m$  of 1.77 g/cm<sup>3</sup> 327 and organic aerosols with  $\rho_m$  of 1.2 g/cm<sup>3</sup> (Cross et al., 2007). 328

329

# 330 4. Conclusion

We first develop an AAC-SPAMS system to achieve the measurement of the  $D_{ve}$  and 331 332  $\rho_e$  of the particles through characterizing their  $D_a$  and  $D_{va}$ . The reliability of the AAC-333 SPAMS system is verified by accurately measuring the  $D_{ve}$  and  $\rho_e$  of PSL. Applying the AAC-SPAMS system to determine the  $D_{ve}$  and  $\rho_e$  of (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> and NaNO<sub>3</sub> particles 334 shows that these particles are aspherical and their  $\rho_e$  are independent of particle size. 335 Coupled with the ability of SPAMS to characterize the chemical composition of 336 337 individual particles, we conducted a sample proof of the AAC-SPAMS equipment to first simultaneously characterize the  $D_{ve}$ ,  $\rho_e$  and chemical compositions of atmospheric 338 particles, showing the potential application of this system in field observations. The 339 approach achieves the measurement of chemically-resolved  $D_{ve}$  and  $\rho_{e}$ , and provides 340 the possibility to determine their quantitative relationship with other particle properties, 341 which would be benefit for further reduction of the uncertainty associated with the 342

343 effects of particles on air quality, human health and radiative forcing.

344

345 *Data availability.* Data in this study is available at https://github.com/longer1217/All346 figures-data.

347

*Author contributions.* The idea for the study was conceived by LP and GHZ. All
experiments were performed by LP with the assistance of LL. LP wrote the paper which
was reviewed by GHZ and XHB. All co-authors discussed the results and commented
on the manuscript.

352

**353** Competing interests. The authors declare they have no conflict of interest.

354

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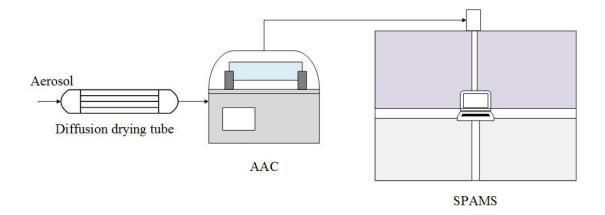
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**Table 1.** The measured mean  $D_{ve}$  and its standard deviation for the eight particle types at  $D_a$  values499of 250.0 nm, 350.0 nm, 450.0 nm, and 550.0 nm from nine round measurement.

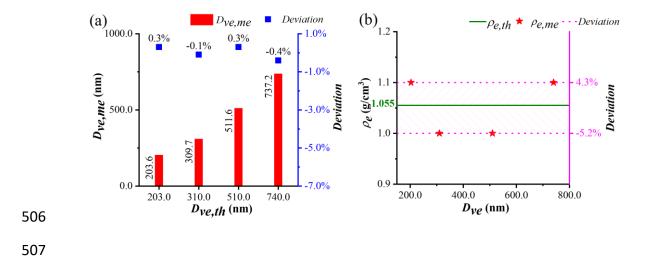
$D_a$ (nm)	K-rich	EC-S	K-Na	Amine
250.0	$193.1\pm8.2$	$192.2\pm8.1$	$193.8\pm21.9$	$190.6\pm4.6$
350.0	$284.0\pm28.4$	$280.8\pm9.3$	$271.9 \pm 18.0$	$284.8 \pm 18.2$
450.0	$364.7\pm21.1$	$357.8\pm6.9$	$342.5\pm7.3$	$367.9\pm9.7$
550.0	$416.6\pm28.3$	$439.5\pm5.4$	$397.3\pm29.7$	$442.5\pm7.4$
$D_a$ (nm)	EC-N-S	OC-N-S	OC-EC-N-S	Metal-rich
250.0	$188.5\pm5.9$	$200.8 \pm 17.9$	$195.4\pm8.9$	$189.0\pm6.7$
350.0	$281.3\pm9.3$	$295.7\pm29.8$	$294.0\pm32.3$	$277.0\pm9.1$
450.0	$358.0\pm5.8$	$398.3 \pm 44.3$	$428.9\pm24.0$	$342.9\pm10.0$
550.0	$453.2\pm16.4$	$547.4 \pm 14.7$	570.9	$407.4 \pm 14.5$



**Figure 1.** Schematic diagram of the AAC-SPAMS system (0.3 lpm). The diffusion drying tube is

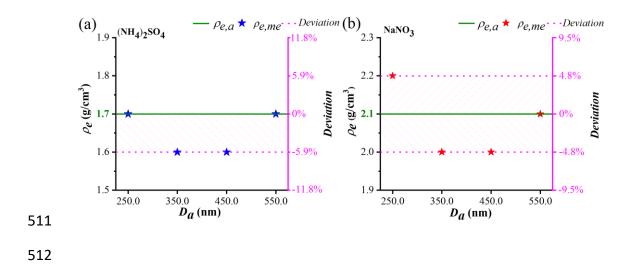
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filled with orange silica gel, which reduces the RH to 5-15%.

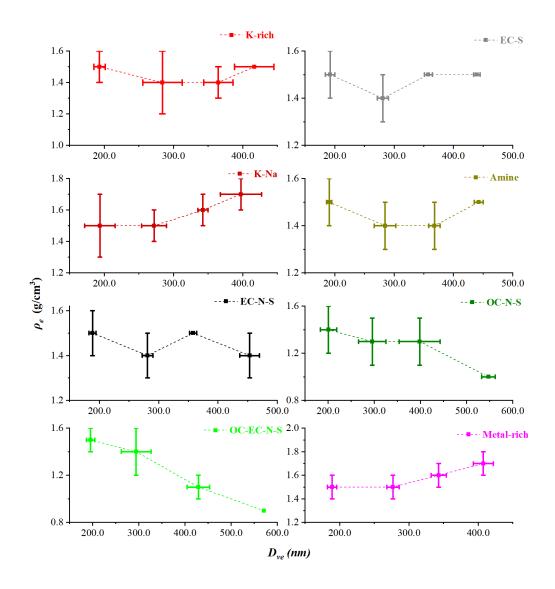


**508** Figure 2. (a) Comparison between the measured  $D_{ve}$  ( $D_{ve,me}$ ) and the theoretical  $D_{ve}$  ( $D_{ve,th}$ ) of the **509** PSL particles. (b) Comparison between the measured  $\rho_e$  ( $\rho_{e,me}$ ) and the theoretical  $\rho_e$  ( $\rho_{e,th}$ ) of the

510 PSL particles.



**513** Figure 3. (a) Comparison between the measured  $\rho_e$  ( $\rho_{e,me}$ ) and average  $\rho_e$  ( $\rho_{e,a}$ ) values of the **514** (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> particles. (b) Comparison between the measured  $\rho_e$  ( $\rho_{e,me}$ ) and average  $\rho_e$  ( $\rho_{e,a}$ ) values of **515** the NaNO<sub>3</sub> particles.



516 517

**518** Figure 4. Variation in  $\rho_e$  of the eight particle types with  $D_{ve}$ . The solid lines represent the rang of 519 the  $\rho_e$  and  $D_{ve}$  measured from nine rounds, and the data points stand for the average values.