



# 1 Measurement report: Comparison of wintertime individual particles

2

# at ground level and above the mixed layer in urban Beijing

- Wenhua Wang<sup>1, 2, 3</sup>, Longyi Shao<sup>1\*</sup>, Claudio Mazzoleni<sup>3</sup>, Yaowei Li<sup>1</sup>, Simone Kotthaus<sup>4</sup>, Sue Grimmond<sup>4</sup>,
   Janarjan Bhandari<sup>3</sup>, Jiaoping Xing<sup>1, 5</sup>, Xiaolei Feng<sup>1</sup>, Mengyuan Zhang<sup>1</sup>, Zongbo Shi<sup>6</sup>
- 5 1. State Key Laboratory of Coal Resources and Safe Mining & College of Geosciences and Surveying Engineering, China University of
- 6 Mining and Technology, Beijing, 100083, China
- 7 2. School of Resources and Materials, Northeastern University at Qinhuangdao, Qinhuangdao, 066004, China
- 8 3. Atmospheric Sciences Program & Physics Department, Michigan Technological University, Houghton, 49931, USA
- 9 4. Department of Meteorology, University of Reading, Reading, RG6 6BB, UK
- 10 5. School of Forestry, Jiangxi Agricultural University, Nanchang, 330045, China
- 11 6. School of Geography Earth and Environmental Sciences, the University of Birmingham, Birmingham, B15 2TT, UK
- 12 \* Corresponding author: ShaoL@cumtb.edu.cn

### 13 Abstract:

14 Beijing has been suffering from frequent severe air pollution events, with concentrations affected 15 significantly by the mixed layer height. Major efforts have been made to study the physico-chemical 16 properties, composition, and sources of aerosol particles at ground level. However, little is known 17 on morphology, elemental composition, and mixing state of aerosol particles above the mixed layer. 18 In this work, we collected individual aerosol particles simultaneously at ground level (2 m above 19 ground) and above the mixed layer in urban Beijing (within the Atmospheric Pollution and Human 20 Health in a Chinese Megacity (APHH-Beijing) 2016 winter campaign). The particles were analyzed 21 off-line using transmission electron microscopy coupled with energy dispersive X-ray spectroscopy. 22 Our results showed that the relative number contribution of mineral particles to all measured 23 particles was much higher during non-haze periods (42.5%) than haze periods (18.1%); on the 24 contrary, internally mixed particles contributed more during haze periods (21.9%) than non-haze 25 periods (7.2%) at ground level. In addition, more mineral particles were found at ground level than 26 above the mixed layer height. Around 20% of individual particles showed core-shell structures 27 during haze periods, whereas only a few core-shell particles were observed during non-haze periods 28 (2%). We found that the particle above the mixed layer tend to be more aged with a larger proportion 29 of organic particles originated from coal combustion. Our results indicate that a significant fraction 30 of the airborne particles above the mixed layer originated from surrounding areas influenced by coal 31 combustion activities. This source contributes to the surface particle concentrations in Beijing when 32 polluted air is mixed down to the ground level.

33 Keywords: haze; individual particle; organic particle; core-shell structure; mixed layer.





#### 34 1. Introduction

35 Atmospheric aerosols, emitted from anthropogenic or natural sources, consist of various 36 chemical constituents (e.g., organic matter, black carbon, nitrate, sulfate, ammonium, metals, 37 mineral dust) (Merikallio et al., 2011; Guo et al., 2014; Wang et al., 2016; Peng et al., 2016; Shao et 38 al., 2017; Tao et al., 2017). Anthropogenic aerosols have received increased attention in the last 39 decades due to their effects on climate and the environment. In fact, anthropogenic aerosols affect 40 climate through cloud condensation nuclei activity (Kerminen et al., 2012), hygroscopic growth (Brock et al., 2016), and light scattering and absorption (Jacobson, 2001; Bond and Bergstrom, 2006; 41 42 Merikallio et al., 2011; China et al., 2013; Peng et al., 2016; Bhandari et al., 2019b). They can also adversely impact human health; for example, by carrying toxic and carcinogenic compounds (Chen 43 et al., 2013; Shao et al., 2016, 2017). High concentrations of aerosol particles in urban air can cause 44 45 cardiovascular, respiratory, and even nervous system diseases (Xia et al., 2018; De Marco et al., 2019; Shou et al., 2019). It is suggested that outdoor air pollution causes 3.3 million people 46 47 premature deaths globally each year (Lelieveld et al., 2015). Atmospheric aerosol particles also 48 affect regional and global geochemical cycles when they are transported over long distances (Heald 49 et al., 2006; Weijun Li et al., 2017; Rodriguez-Navarro et al., 2018).

Recently, China has suffered from severe air pollution conditions, like other countries undergoing rapid social and economic development (Huang et al., 2014). In China, this has been associated with frequent occurrence of haze episodes, high PM<sub>2.5</sub> mass levels, and expanded haze areas (Guo et al., 2014; Huang et al., 2014; Sun et al., 2014). For example, the maximum hourly average PM<sub>2.5</sub> mass concentrations reached more than 1000 µg m<sup>-3</sup> in Beijing winter time (Li et al., 2017a; Zhang et al., 2017), 40 times above the safe level of 25 µg m<sup>-3</sup> recommended by the World Health Organization (WHO).

As the megacity capital, Beijing has received much attention being one of the most polluted cities in China. Atmospheric researchers have focused on aerosol particles to understand haze formation in China (Sun et al., 2013; Huang et al., 2014; Zhou et al., 2018b). Measurements and model analyses highlight the key roles of secondary aerosol formation by trace gases (e.g., volatile organic compounds, and SO<sub>2</sub>, NO<sub>x</sub>) and stagnant meteorological conditions in the regional haze formation (Wang et al., 2013; Guo et al., 2014; Huang et al., 2014).





63	As characterization of aerosol particles has focused on surface level observations, the
64	knowledge of aerosol properties at higher altitudes in urban areas remains poor (Zhou et al., 2018a).
65	Vertical differences between precursors, oxidants and temperature gradients may influence gas-
66	particle partitioning and heterogeneous reactions of $N_2O_5$ (Zhou et al., 2018a). Previous Beijing
67	measurements at the Institute of Atmospheric Physics (IAP) meteorological tower showed complex
68	vertical distributions of particulate matter and gaseous pollutants (Meng et al., 2008; Sun et al., 2015;
69	Wang et al., 2018; Zhou et al., 2018b), but most of these studies focused on non-refractory
70	submicron species. Research showed that the mixed layer height (MLH) could explain some of the
71	vertical difference of aerosol particle chemical composition (Sun et al., 2015; Wang et al., 2018).
72	For example, vertical distributions of aerosol particles tend to be more uniform during periods with
73	higher MLH (Wang et al., 2018). As heavily increased air pollution can reduce boundary layer
74	heights by diminishing incoming solar energy and therefore, by weakening vertical turbulence,
75	aerosol near-surface concentrations become elevated (Petaja et al., 2016). Moreover, the upper layer
76	particles can influence those below in the MLH by downward entrainment or mixing plumes,
77	making the lower layer particles more complex (Wehner et al., 2010; Platis et al., 2015; Qi et al.,
78	2019). Previous studies showed that the particles above the MLH sometimes considerably influence
79	cloud formation (Carnerero et al., 2018) and showed strong aerosol-radiation effect (Bond and
80	Bergstrom, 2006). The differences in aerosol types at ground level and at higher altitudes can lead
81	to large differences in aerosol direct forcing estimates (Ramanathan et al., 2002; Li et al., 2010).
82	The vertical difference of aerosol particles also increases the uncertainties in the assessment of the
83	climate system (Li et al., 2017b). Therefore, a detailed knowledge of the vertical distribution and
84	chemical composition of aerosols is important for understanding the impact on climate and the
85	aerosol evolution process (Zhang et al., 2009; Wang et al., 2018).
00	Vertical comparisons of individual cancel particles and their momental are mining states and

Vertical comparisons of individual aerosol particles and their morphology, mixing states, and elemental compositions are very limited. Transmission Electron Microscopy (TEM) can provide detailed individual particle characterization and help to explain heterogeneous reactions and aging process (Li et al., 2016a). In this study, we compare particles simultaneously collected at ground level and above the MLH based on the meteorological tower at IAP in Beijing, as part of the UK-CHINA atmospheric pollution and Human Health (APHH) 2016 winter campaign.





#### 92 2. Experimental

## 93 2.1. Aerosol sampling

94	Individual aerosol samples were collected at the tower division of IAP, Chinese Academy of
95	Science (39°58'N, 116°22'E), in Beijing from 1 to 9 December of 2016. The site, located between
96	the north $3^{rd}$ and $4^{th}$ ring roads in Beijing, is influenced by surrounding and regional traffic, and
97	commercial, as well as, residential activities (Sun et al., 2016).
98	Two DKL-2 single stage cascade impactors, with a 0.5-mm-diameter jet nozzle and a flow rate

of 1 L min<sup>-1</sup> were used. The sampler collection efficiency is  $\sim 100\%$  at an aerodynamic diameter of 99 0.5 µm if the particle density is 2 g cm<sup>-3</sup> (Li et al., 2016b). Copper (Cu) TEM grids, coated with 100 carbon film (300-mesh; Tianld Co.; Beijing, China), were used to collect the aerosol samples. The 101 sampling duration ranged from 30 second to less than 5 minutes depending on the air pollution loads. 102 103 Simultaneous observation at ground level (Z1; 2 m above ground) and an elevated altitude (Z2; 280 m above ground) allowed us for the vertical profile of the characteristics of the particles to collected. 104 105 The collected samples were stored in a dry plastic tube and placed in an air dryer to minimize particle 106 changes before analysis.

107Automatic Lidar and Ceilometer (ACL) observations of attenuated backscatter were conducted108at the site using a Vaisala CL31 sensor. Measurements were corrected to account for instrument-109related background and near range artefacts (Kotthaus et al., 2016). The MLH was derived from110profile measurements using the automatic CABAM algorithm (Kotthaus and Grimmond, 2018).111Since the TEM samples were collected for less than 5 minutes, the MLH at 15 min resolution was112used to determine whether the Z2 observations were located within the MLH or above the MLH113(Shi et al., 2019).

Samples were obtained during the periods shown (solid dots and dashed lines) in Fig. 1a; detailed sample information is provided in Table 1. Other measurements including PM<sub>2.5</sub>, SO<sub>2</sub>, NO<sub>2</sub>, and O<sub>3</sub> mass concentrations at ground level were obtained from the Olympic Park monitor site, which is the closest national air quality monitor station to IAP (~1.5 km) (Shi et al., 2019). City average temperature (T) and relative humidity (RH) at ground level were obtained from the Ministry of Ecology and Environment of China (https://www.aqistudy.cn/).

120 2.2. Individual particle analysis





121	Individual particles were analyzed using a JEOL JEM-2800 TEM at an accelerating voltage
122	of 200 kV. The morphology and mixing state of individual particles were determined from the TEM
123	images. Semi-quantitative elemental composition was determined using Energy Dispersive X-ray
124	Spectroscopy (EDS), by which elements heavier than Boron (Z $\geq$ 6) can be detected. Cu is not
125	included in this paper because the TEM grids were made of copper. The aerosol particles were not
126	evenly distributed on the TEM grids; the coarser particles occurred near the center and the finer
127	particles occurred on the periphery. To ensure a representative data analysis, three or four areas from
128	the center to the periphery were selected and analyzed. The EDS collection duration of each
129	individual particle was about 15 s to reduce damage of particles from the electron beam. The
130	projected areas of individual particles were determined using the Image-J software (Schneider et al.,
131	2012), which is commonly used for counting and measuring the projected area of atmospheric
132	particles acquired by electron microscopes (Unga et al., 2018). First, the gray-scale images of the
133	particles were converted into binary images, in which black pixels represent the particles and white
134	pixels represent the background. The area equivalent diameters $(D_{\mbox{\tiny Aeq}})$ of the particles were
135	calculated by the following formula: $D_{Aeq} = 2 \cdot (A/\pi)^{1/2}$ , where A is the projected area of the particles
136	shown in the TEM image.

#### 137 3. Results and discussions

#### 138 **3.1.** Air pollutants mass concentrations

139 The temporal variations of different air pollutants and meteorological conditions at ground 140 level are shown in Fig. 1. The hourly averaged PM2.5 mass concentration at the Olympic Park monitoring site ranged from 3 to 530 µg m<sup>-3</sup>, with a sample period average of 113.3 µg m<sup>-3</sup>, 141 significantly exceeding the safe level of 75 µg m<sup>-3</sup> according to the Chinese National Ambient Air 142 143 Quality Standard (GB3095-2012). The MLH ranged from 54 to 1496 m, with an average of 397 m. The MLH showed obvious daily cycles. The hourly mean RH ranged from 17% to 97%, with a 9 144 day mean of 50.3%. The RH and PM<sub>2.5</sub> are positively correlated (correlation coefficient=0.75; Fig. 145 S1) according to the 216 groups of hourly data, suggesting that the higher RH favors the formation 146 147 of haze (Sun et al., 2014; Wang et al., 2016). As expected, RH and temperature were negatively correlated (correlation coefficient=-0.51; Fig. S2). The SO<sub>2</sub> time series has similar trends to that of 148 149 NO2. However, the average concentration of NO2 (83.2  $\mu g$  m  $^3)$  was nearly 5.5 times higher than





- 150 that of  $SO_2(15.2 \ \mu g \ m^3)$ . The concentration of  $O_3$  showed a different trend compared with  $NO_2$  and
- 151 SO<sub>2</sub> (Fig. 1), with a 9 days hourly mean concentration of 20  $\mu$ g m<sup>-3</sup>.

#### 152 3.2. Classification and mixing state of individual particles

Aerosol particles were classified using their morphologies and elemental compositions into seven main types, namely: 1) organic particles (OPs), 2) sulfur-rich (S-rich) particles, 3) soot particles, 4) mineral particles, 5) metal particles, 6) internally mixed organic and sulfur-rich particles (OP-S), and 7) other mixed particles. The detailed characteristics of each particle type are shown in Table 2.

OPs are mainly composed of C and O, usually with a small amount of Si, S, Cl, and K. OPs are relatively stable under the electron beam irradiation. Based on the morphologies, OPs can be further divided into spherical (Fig. 2a) and irregularly shaped (Fig. 2b). They were mainly from combustion process of biomass and fossil fuel.

162 S-rich particles (Figs. 2c and 2d) are mainly composed of O, S, and N, and sometimes also 163 contain some amount of K. S-rich particles are beam-sensitive and volatilize under strong beam 164 irradiation. S-rich particles generally represent secondary inorganic components (e.g.,  $SO_4^{2+}$ ,  $NO_3^{-1}$ 165 and  $NH_4^+$ ) (Xu et al., 2019).

Soot particles are mainly composed of C, minor amount of O, and sometimes Si. Soot particles consist of a number of C-dominated spherical monomers less than 100 nm in diameter (Figs. 2e and 2f) and can be easily identified under high-resolution TEM (Buseck et al., 2014; Bhandari et al., 2017). Soot particles, stable under the electron beam, show chain-like or compact morphology in the atmosphere (Sorensen et al., 2001; Adachi et al., 2007; China et al., 2013, 2015; Bhandari et al., 2019a). Soot particles are mainly generated during incomplete combustion of biomass and fossil fuel.

Metal particles (Figs. 2g and 2h) and Mineral particles (Fig. 2i) are stable under the beam irradiation. Mineral particles are mostly irregularly shaped containing crustal elements (e.g., Si, Al, Ca, Fe, Na, K, Mg, Ti, and S) in addition to O. They can be generated from windblown soil dust or road dust. Metal particles are spherical or near spherical and are mainly composed of Fe, Zn, Mn, Ti, and Pb. Metal particles ae mainly originating from industries, coal-fired power plants, and oil refineries (Xu et al., 2019) or vehicle brakes (Hou et al., 2018).





179 Internally mixed particles (Figs. 2j-p) are particles with at least two of the above components.

- 180 They usually show relative larger diameter. We further classify them as internally mixed organic
- 181 and sulfur-rich particles (OP-S) (Figs. 2j-l), and other mixed particles (Figs. 2m-p).

#### 182 3.3. Ground level haze and non-haze individual particle comparison

183 Haze periods are defined as when the hourly average  $PM_{2.5}$  mass concentration is more than 184 75 µg m<sup>-3</sup>; the rest are defined as non-haze periods. A total of 1538 individual particles among 8 185 samples at ground level were analyzed based on the TEM results. The relative number percentage 186 (N(type i)/ N(total)\*100) of particles in each sample was calculated, and the results are provided in Table 3 and shown in Fig.3. During non-haze periods, the particles were composed of mineral 187 particles (42.5%), OPs (21.1%), S-rich particles (20.0%), soot particles (6.4%), other mixed 188 particles (5.6%), metal particles (2.83%), and OP-S (1.6%) in descending order. During haze periods, 189 190 the particles were composed of OPs (28.3%), S-rich particles (23.5%), mineral particles (18.1%), OP-S (13.1%), other mixed particles (8.8%), soot particles (6.6%), and metal particles (1.7%) in 191 192 descending order.

193 The mineral particles are mainly from re-suspended road dust, soil dust, and construction dust 194 during non-desert transport dust episodes (Sun et al., 2006; Gao et al., 2016; Wang et al., 2017). The relative number percentage of mineral particles was much higher during non-haze periods (42.5%) 195 196 than during haze periods (18.1%), as shown in Fig.3. However, the mixed particles including OP-S 197 and other mixed particles were much more abundant during haze periods (21.9%) than during non-198 haze periods (7.2%), suggesting that there was more secondary aerosol formation during haze 199 periods. High secondary aerosol formation in winter in Beijing during the pollution periods was also 200 found in previous studies (Huang et al., 2014; Sun et al., 2016; Li et al., 2017a). Secondary aerosol 201 formation is expected since the RH during the haze periods were relatively higher than during non-202 haze periods, as shown in Table 1 and Fig.1, which facilitated chemical reactions of gaseous 203 pollutants (Liu et al., 2016; Wang et al., 2016). Also, the average OPs and S-rich were higher during 204 haze periods than during non-haze periods.

#### 205 3.4. Ground level and above the MLH individual particle comparison

A total of 1519 individual particles from 8 samples above the MLH were analyzed. The results are provided in Table 3 and shown in Fig. 3. During non-haze periods, the contribution of mineral





208 particles above the MLH (23.2%) was less than that at ground level (42.5%), but the S-rich and OPs 209 accounted for 30.7% and 27.3% above the MLH, respectively, fractions higher than those of 20.0% 210 and 21.1% at the ground level. During haze periods, the contribution of mineral particles above the 211 MLH (9.5%) was also lower than at ground level (18.1%). S-rich particles were also less abundant 212 above the MLH (16.4%) compared to the ground level (23.5%), which is different from the non-213 haze periods. This may be because more S-rich particles above the MLH were mixed with other 214 particles, forming mixed particles. The mixed particles above the MLH were much higher than at 215 ground level, especially the OP-S particles (20.7% vs 13.1%). OPs above the MLH (34.8%) were 216 more abundant than at ground level (28.5%). Particles above the MLH were either transported from 217 the surrounding areas or from ground sources. In both cases, they were subject to atmospheric 218 process, leading to their aging.

#### 219 3.5. Aging of particles

220 During the aging process of aerosol particles, secondary species can coat pre-existing particles 221 (Li and Shao, 2009; Laskin et al., 2016; Li et al., 2016b; Niu et al., 2016; Tang et al., 2016; Chen et 222 al., 2017; Hou et al., 2018; Unga et al., 2018; Xu et al., 2019). Using high-resolution TEM images, 223 it is possible to identify the core-shell structure of particles (Li et al., 2016a). For example, Figs. 4a 224 and 4b show S-rich particles coated by secondary species. Figs. 4c and 4d show Ops that were 225 coated with secondary species. Figs. 4e-h show core-shell structured particles with some mixed 226 particle cores. In this study, we found that the core-shell structured particles accounted for 20% 227 during haze periods but only 2% during non-haze periods. Also, the average  $D_{Aeq}$  of particles was 228 larger during haze periods than during non-haze periods as shown in Fig. S3. These results 229 confirmed that particles during haze periods underwent more extensive aging than during non-haze 230 periods.

The coating of atmospheric particles is often caused by aging mechanisms such as coagulation, condensation, and heterogeneous chemical reactions (Kahnert, 2015; Müller et al., 2017). Fig. 5 shows low magnification images of particles at ground level and above the MLH. The core/shell ratio (R), which is the ratio of the  $D_{Aeq}$  of the core to the  $D_{Aeq}$  of the whole particle including the coating, has been used to evaluate the aging process of aerosol particles in different studies (Niu et al., 2012, 2016; Hou et al., 2018). The value of R ranged from 0 to less than 1. A smaller R value





237 means the particles were more coated, thus were subjected to a more extensive degree of aging (Hou et al., 2018). Because a high number percentage of core-shell structured particles were only found 238 239 during haze periods, we measured R of core-shell structured particles only during the haze periods 240 (including the samples 2, 4, 5, 6 and 7). Fig. 6a shows the R value of each samples during the haze 241 periods. The average R value above the MLH (0.54) was smaller than ground level (0.59). We can 242 see from Fig. 6a that all the samples showed a smaller average R value above the MLH compared 243 with those from the ground level. Additionally, the relative number percentage of core-shell structured particles was higher above the MLH than at ground level, except for sample 4. These 244 245 findings indicate that the particles above the MLH were more aged than those at ground level.

### 246 4. Summary and Atmospheric implications

247 Our results show that mineral particles represented a higher number percentage during non-248 haze periods (42.5%) than during haze periods (18.1%), and mixed particles were more abundant in haze periods (21.9%) than in non-haze periods (7.2%) at the ground level. In addition, more mineral 249 250 particles were found at ground level than above the MLH. Our results also show higher relative 251 number percentage of OPs both during non-haze (21.1%) and haze periods (28.3%) in winter 252 Beijing, compared with a tunnel environment (~5%), where the vehicle emissions were the main 253 pollution sources (Hou et al., 2018). Also, recent studies did not find abundant OPs in North China 254 during Spring and Summer (Yuan et al., 2015; Li et al., 2016b; Xu et al., 2019;). Instead, a larger 255 number percentage of OPs have been found in winter using electron microscopy in previous studies, 256 including an outflow of a haze plume in East Asia (Zhu et al., 2013), a coal-burning region in China's 257 Loess Plateau (Li et al., 2012), three sampling sites in North China Plain (Chen et al., 2017) and 258 urban and rural sites in Northeast China (Xu et al., 2017; Zhang et al., 2017). The results above 259 suggest that OPs account for a large number percentage of the particles in north China in winter.

Most of the OPs in our study were spherical or nearly spherical in shape according to the projected images, suggesting that they were formed through cooling process after the biomass or fossil fuel combustion pyrolysis products of volatile organic compounds were emitted into the atmosphere (Wang et al., 2015; Chen et al., 2017; Zhang et al., 2017). These spherical or near spherical OPs were considered to be brown carbon (Zhang et al., 2020). Brown carbon plays a significant role in atmospheric shortwave absorption and can cause warming of the atmosphere





266 (Adachi and Buseck, 2011;Hoffer et al., 2016). Some researchers have found that the primary OPs 267 from coal combustion has more Si than those from biomass burning (Li et al., 2012; Chen et al., 2017). The weight ratio of C-O-Si at ground level and above the MLH is shown in Fig. 7. More coal 268 269 burning related OPs were found above the MLH. Since the relative number percentage of primary 270 OPs affected by coal burning are higher above the MLH than at the ground level, the OPs above the 271 MLH are not all from the ground level and might have originated from surrounding areas influenced 272 by coal combustion. The particles above the MLH can contribute to Beijing air pollution by mixing down to the ground. 273

274 In this study, more core-shell structured particles were found above the MLH than at the ground; finding which can have important atmospheric implications. Fig. S3 shows the total particle 275 276 number-size distribution; the larger size particles clearly increased when considering the coatings 277 compared to only considering the core size during haze periods. The changes in optical properties 278 due to coating was calculated in various studies by using different methods (Cappa et al., 2012; 279 Scarnato et al., 2013; Liu et al., 2015; Saliba et al., 2016; Unga et al., 2018). When host particles 280 are coated, their optical properties might be amplified. For example, when soot particles (optically 281 often referred to as black carbon) are coated by secondary species, the light absorption is typically 282 enhanced because of the so called "lensing effect" (Khalizov et al., 2009; Liu et al., 2009; Peng et 283 al., 2016). Previous measurements showed that soot can heat the upper boundary layer more than 284 the lower layer during haze periods and decrease surface heat flux substantially, thus depressing the 285 development of MLH (Ding et al., 2016). Also, organic coating can influence the hygroscopic 286 properties and the viscosity of mixed particles (Sharma et al., 2018; Unga et al., 2018), and thus can 287 influence cloud formation activity (Kerminen et al., 2012).

288 The different relative number percentage of particle types and different aging degree of the 289 particles have important implications for understanding the climate effects of aerosol particles and 290 for emission control policy making.

Data availability: Data used in this study are available from the corresponding author upon request
 (<u>ShaoL@cumtb.edu.cn</u>)

Author Contributions: WW, LS, CM, JX and ZS conceived the manuscript. WW, WL, XF and
 MZ conducted the sample collection and analysis. SK and SG conducted the MLH measurement.
 CM and BJ conducted manuscript modification.





## 296 **Competing interest:** The authors declare no conflict of interest.

297 Acknowledgements: We thank Zifa Wang and Pingqing Fu at IAP for the supporting of sample collection. This work was supported by National Natural Science Foundation of China (No. 298 42075107), International Cooperation Projects of National Natural Science Foundation of China 299 (No. 41571130031), Yue Qi Scholar Fund of China University of Mining and Technology (Beijing), 300 China Scholarship Council (No. 201806430015). CM and JB were supported by the U.S Department 301 302 of Energy (DOE), Office of Biological and Environmental Research (OBER), Atmospheric System Research (#DE-SC0011935 and Grant # DE-SC0018931). ZS was supported by Natural 303 Environmental Research Council (NE/N007190/1). 304





### 305 Reference:

- 306 Adachi, K., Chung, S. H., Friedrich, H., and Buseck, P. R.: Fractal parameters of individual soot particles
- determined using electron tomography: Implications for optical properties, Journal of Geophysical
   Research, 112, 10.1029/2006jd008296, 2007.
- Adachi, K., and Buseck, P. R.: Atmospheric tar balls from biomass burning in Mexico, J. Geophys. Res.Atmos., 116, 7, 10.1029/2010jd015102, 2011.
- 311 Bhandari, J., China, S., Onasch, T., Wolff, L., Lambe, A., Davidovits, P., Cross, E., Ahern, A., Olfert, J., Dubey,
- M., and Mazzoleni, C.: Effect of Thermodenuding on the Structure of Nascent Flame Soot Aggregates,
   Atmosphere, 8, 10.3390/atmos8090166, 2017.
- Bhandari, J., China, S., Chandrakar, K. K., Kinney, G., Cantrell, W., Shaw, R. A., Mazzoleni, L. R., Girotto,
- G., Sharma, N., Gorkowski, K., Gilardoni, S., Decesari, S., Facchini, M. C., Zanca, N., Pavese, G., Esposito,
- 316 F., Dubey, M. K., Aiken, A. C., Chakrabarty, R. K., Moosmuller, H., Onasch, T. B., Zaveri, R. A., Scarnato, B.
- 317 V., Fialho, P., and Mazzoleni, C.: Extensive Soot Compaction by Cloud Processing from Laboratory and
- 318 Field Observations, Sci Rep, 9, 11824, 10.1038/s41598-019-48143-y, 2019a.
- 319 Bhandari, J., China, S., Girotto, G., Scarnato, B. V., Gorkowski, K., Aiken, A. C., Dubey, M. K., and Mazzoleni,
- 320 C.: Optical properties and radiative forcing of fractal-like tar ball aggregates from biomass burning,
- Journal of Quantitative Spectroscopy and Radiative Transfer, 230, 65-74, 10.1016/j.jqsrt.2019.01.032,
  2019b.
- Bond, T. C., and Bergstrom, R. W.: Light Absorption by Carbonaceous Particles: An Investigative Review,
   Aerosol Science and Technology, 40, 27-67, 10.1080/02786820500421521, 2006.
- Brock, C. A., Wagner, N. L., Anderson, B. E., Attwood, A. R., Beyersdorf, A., Campuzano-Jost, P., Carlton,
  A. G., Day, D. A., Diskin, G. S., Gordon, T. D., Jimenez, J. L., Lack, D. A., Liao, J., Markovic, M. Z.,
  Middlebrook, A. M., Ng, N. L., Perring, A. E., Richardson, M. S., Schwarz, J. P., Washenfelder, R. A., Welti,
  A., Xu, L., Ziemba, L. D., and Murphy, D. M.: Aerosol optical properties in the southeastern United States
  in summer & amp;ndash; Part 1: Hygroscopic growth, Atmospheric Chemistry and Physics, 16, 49875007, 10.5194/acp-16-4987-2016, 2016.
- Buseck, P. R., Adachi, K., Gelencsér, A., Tompa, É., and Pósfai, M.: Ns-Soot: A Material-Based Term for
  Strongly Light-Absorbing Carbonaceous Particles, Aerosol Science and Technology, 48, 777-788,
  10.1080/02786826.2014.919374, 2014.
- Cappa, C. D., Onasch, T. B., Massoli, P., Worsnop, D. R., Bates, T. S., Cross, E. S., Davidovits, P., Hakala, J.,
  Hayden, K. L., Jobson, B. T., Kolesar, K. R., Lack, D. A., Lerner, B. M., Li, S.-M., Mellon, D., Nuaaman, I.,
  Olfert, J. S., Petäjä, T., Quinn, P. K., Song, C., Subramanian, R., Williams, E. J., and Zaveri, R. A.: Radiative
  Absorption Enhancements Due to the Mixing State of Atmospheric Black Carbon, Science, 337, 1078,
  10.1126/science.1223447, 2012.
- Carnerero, C., Pérez, N., Reche, C., Ealo, M., Titos, G., Lee, H.-K., Eun, H.-R., Park, Y.-H., Dada, L., Paasonen,
  P., Kerminen, V.-M., Mantilla, E., Escudero, M., Gómez-Moreno, F. J., Alonso-Blanco, E., Coz, E., SaizLopez, A., Temime-Roussel, B., Marchand, N., Beddows, D. C. S., Harrison, R. M., Petäjä, T., Kulmala, M.,
  Ahn, K.-H., Alastuey, A., and Querol, X.: Vertical and horizontal distribution of regional new particle
  formation events in Madrid, Atmospheric Chemistry and Physics, 18, 16601-16618, 10.5194/acp-18-





### 344 **16601-2018**, **2018**.

- Chen, S., Xu, L., Zhang, Y., Chen, B., Wang, X., Zhang, X., Zheng, M., Chen, J., Wang, W., Sun, Y., Fu, P.,
  Wang, Z., and Li, W.: Direct observations of organic aerosols in common wintertime hazes in North China:
- 347 insights into direct emissions from Chinese residential stoves, Atmospheric Chemistry and Physics, 17,

348 **1259-1270**, **10.5194**/acp-**17-1259-2017**, **2017**.

- 349 Chen, Y., Ebenstein, A., Greenstone, M., and Li, H.: Evidence on the impact of sustained exposure to air
- pollution on life expectancy from China's Huai River policy, Proc Natl Acad Sci U S A, 110, 12936-12941,
  10.1073/pnas.1300018110, 2013.
- China, S., Mazzoleni, C., Gorkowski, K., Aiken, A. C., and Dubey, M. K.: Morphology and mixing state of
  individual freshly emitted wildfire carbonaceous particles, Nat Commun, 4, 2122,
  10.1038/ncomms3122, 2013.
- China, S., Mazzoleni, C., Gorkowski, K., Aiken, A.C. and Dubey, M.K., 2013. Morphology and mixing state
   of individual freshly emitted wildfire carbonaceous particles. Nat Commun, 4: 2122.
- 357 China, S., Scarnato, B., Owen, R. C., Zhang, B., Ampadu, M. T., Kumar, S., Dzepina, K., Dziobak, M. P.,
- 358 Fialho, P., Perlinger, J. A., Hueber, J., Helmig, D., Mazzoleni, L. R., and Mazzoleni, C.: Morphology and
- 359 mixing state of aged soot particles at a remote marine free troposphere site: Implications for optical
- 360 properties, Geophysical Research Letters, 42, 1243-1250, 10.1002/2014gl062404, 2015.
- De Marco, A., Proietti, C., Anav, A., Ciancarella, L., D'Elia, I., Fares, S., Fornasier, M. F., Fusaro, L., Gualtieri,
   M., Manes, F., Marchetto, A., Mircea, M., Paoletti, E., Piersanti, A., Rogora, M., Salvati, L., Salvatori, E.,
   Screpanti, A., Vialetto, G., Vitale, M., and Leonardi, C.: Impacts of air pollution on human and ecosystem
   health, and implications for the National Emission Ceilings Directive: Insights from Italy, Environ Int, 125,
- 365 **320-333**, **10.1016**/j.envint.2019.01.064, 2019.
- 366 Ding, A. J., Huang, X., Nie, W., Sun, J. N., Kerminen, V. M., Petäjä, T., Su, H., Cheng, Y. F., Yang, X. Q., Wang,
- 367 M. H., Chi, X. G., Wang, J. P., Virkkula, A., Guo, W. D., Yuan, J., Wang, S. Y., Zhang, R. J., Wu, Y. F., Song, Y.,
- 368 Zhu, T., Zilitinkevich, S., Kulmala, M., and Fu, C. B.: Enhanced haze pollution by black carbon in megacities
- 369 in China, Geophysical Research Letters, 43, 2873-2879, 10.1002/2016gl067745, 2016.
- Gao, J., Peng, X., Chen, G., Xu, J., Shi, G. L., Zhang, Y. C., and Feng, Y. C.: Insights into the chemical
  characterization and sources of PM(2.5) in Beijing at a 1-h time resolution, Sci Total Environ, 542, 162171, 10.1016/j.scitotenv.2015.10.082, 2016.
- Guo, S., Hu, M., Zamora, M. L., Peng, J., Shang, D., Zheng, J., Du, Z., Wu, Z., Shao, M., Zeng, L., Molina,
  M. J., and Zhang, R.: Elucidating severe urban haze formation in China, Proc Natl Acad Sci U S A, 111,
  17373-17378, 10.1073/pnas.1419604111, 2014.
- Heald, C. L., Jacob, D. J., Park, R. J., Alexander, B., Fairlie, T. D., Yantosca, R. M., and Chu, D. A.: Transpacific
  transport of Asian anthropogenic aerosols and its impact on surface air quality in the United States,
  Journal of Geophysical Research, 111, 10.1029/2005jd006847, 2006.
- Hoffer, A., Toth, A., Nyiro-Kosa, I., Posfai, M., and Gelencser, A.: Light absorption properties of
  laboratory-generated tar ball particles, Atmospheric Chemistry and Physics, 16, 239-246, 10.5194/acp16-239-2016, 2016.





- Hou, C., Shao, L., Hu, W., Zhang, D., Zhao, C., Xing, J., Huang, X., and Hu, M.: Characteristics and aging of
- 383 traffic-derived particles in a highway tunnel at a coastal city in southern China, Sci Total Environ, 619-
- 384 620, 1385-1393, 10.1016/j.scitotenv.2017.11.165, 2018.
- 385 Huang, R. J., Zhang, Y., Bozzetti, C., Ho, K. F., Cao, J. J., Han, Y., Daellenbach, K. R., Slowik, J. G., Platt, S.
- 386 M., Canonaco, F., Zotter, P., Wolf, R., Pieber, S. M., Bruns, E. A., Crippa, M., Ciarelli, G., Piazzalunga, A.,
- 387 Schwikowski, M., Abbaszade, G., Schnelle-Kreis, J., Zimmermann, R., An, Z., Szidat, S., Baltensperger, U.,
- 388 El Haddad, I., and Prevot, A. S.: High secondary aerosol contribution to particulate pollution during haze
- 389 events in China, Nature, 514, 218-222, 10.1038/nature13774, 2014.
- Jacobson, M. Z.: Strong radiative heating due to the mixing state of black carbon in atmospheric aerosols,
   Nature, 409, 695-697, 10.1038/35055518, 2001.
- Kahnert, M.: Modelling radiometric properties of inhomogeneous mineral dust particles: Applicability
   and limitations of effective medium theories, Journal of Quantitative Spectroscopy and Radiative
   Transfer, 152, 16-27, 10.1016/j.jqsrt.2014.10.025, 2015.
- 395 Kerminen, V. M., Paramonov, M., Anttila, T., Riipinen, I., Fountoukis, C., Korhonen, H., Asmi, E., Laakso,
- 396 L., Lihavainen, H., Swietlicki, E., Svenningsson, B., Asmi, A., Pandis, S. N., Kulmala, M., and Petäjä, T.:
- 397 Cloud condensation nuclei production associated with atmospheric nucleation: a synthesis based on
- 398 existing literature and new results, Atmospheric Chemistry and Physics, 12, 12037-12059, 10.5194/acp-
- 399 12-12037-2012, 2012.
- Khalizov, A. F., Xue, H., Wang, L., Zheng, J., and Zhang, R.: Enhanced Light Absorption and Scattering by
  Carbon Soot Aerosol Internally Mixed with Sulfuric Acid, The Journal of Physical Chemistry A, 113, 10661074, 10.1021/jp807531n, 2009.
- 403 Kotthaus, S., O'Connor, E., Münkel, C., Charlton-Perez, C., Haeffelin, M., Gabey, A. M., and Grimmond,
- C. S. B.: Recommendations for processing atmospheric attenuated backscatter profiles from Vaisala
   CL31 ceilometers, Atmos. Meas. Tech., 9, 3769-3791, 10.5194/amt-9-3769-2016, 2016.
- Kotthaus, S., and Grimmond, C. S. B.: Atmospheric boundary-layer characteristics from ceilometer
  measurements. Part 1: A new method to track mixed layer height and classify clouds, Quarterly Journal
  of the Royal Meteorological Society, 144, 1525-1538, 10.1002/qj.3299, 2018.
- Laskin, A., Gilles, M. K., Knopf, D. A., Wang, B., and China, S.: Progress in the Analysis of Complex
  Atmospheric Particles, Annu Rev Anal Chem (Palo Alto Calif), 9, 117-143, 10.1146/annurev-anchem071015-041521, 2016.
- Lelieveld, J., Evans, J. S., Fnais, M., Giannadaki, D., and Pozzer, A.: The contribution of outdoor air
  pollution sources to premature mortality on a global scale, Nature, 525, 367-371, 10.1038/nature15371,
  2015.
- Li, J., Du, H., Wang, Z., Sun, Y., Yang, W., Li, J., Tang, X., and Fu, P.: Rapid formation of a severe regional
  winter haze episode over a mega-city cluster on the North China Plain, Environ Pollut, 223, 605-615,
  10.1016/j.envpol.2017.01.063, 2017a.
- Li, W., and Shao, L.: Transmission electron microscopy study of aerosol particles from the brown hazes
- 419 in northern China, Journal of Geophysical Research, 114, 10.1029/2008jd011285, 2009.





- 420 Li, W., Shi, Z., Zhang, D., Zhang, X., Li, P., Feng, Q., Yuan, Q., and Wang, W.: Haze particles over a coal-
- 421 burning region in the China Loess Plateau in winter: Three flight missions in December 2010, Journal of
- 422 Geophysical Research: Atmospheres, 117, n/a-n/a, 10.1029/2012jd017720, 2012.
- 423 Li, W., Shao, L., Zhang, D., Ro, C.-U., Hu, M., Bi, X., Geng, H., Matsuki, A., Niu, H., and Chen, J.: A review
- 424of single aerosol particle studies in the atmosphere of East Asia: morphology, mixing state, source, and425heterogeneousreactions, JournalofCleanerProduction, 112, 1330-1349,
- 426 10.1016/j.jclepro.2015.04.050, 2016a.
- 427 Li, W., Sun, J., Xu, L., Shi, Z., Riemer, N., Sun, Y., Fu, P., Zhang, J., Lin, Y., Wang, X., Shao, L., Chen, J., Zhang,
- 428 X., Wang, Z., and Wang, W.: A conceptual framework for mixing structures in individual aerosol particles,
- 429 Journal of Geophysical Research: Atmospheres, 121, 13,784-713,798, 10.1002/2016jd025252, 2016b.
- Li, Z., Lee, K.-H., Wang, Y., Xin, J., and Hao, W.-M.: First observation-based estimates of cloud-free aerosol
   radiative forcing across China, 115, 10.1029/2009jd013306, 2010.
- Li, Z., Guo, J., Ding, A., Liao, H., Liu, J., Sun, Y., Wang, T., Xue, H., Zhang, H., and Zhu, B.: Aerosol and
  boundary-layer interactions and impact on air quality, National Science Review, 4, 810-833,
  10.1093/nsr/nwx117, 2017b.
- Liu, S., Aiken, A. C., Gorkowski, K., Dubey, M. K., Cappa, C. D., Williams, L. R., Herndon, S. C., Massoli, P., Fortner, E. C., Chhabra, P. S., Brooks, W. A., Onasch, T. B., Jayne, J. T., Worsnop, D. R., China, S., Sharma,
- N., Mazzoleni, C., Xu, L., Ng, N. L., Liu, D., Allan, J. D., Lee, J. D., Fleming, Z. L., Mohr, C., Zotter, P., Szidat,
- 438 S., and Prévôt, A. S. H.: Enhanced light absorption by mixed source black and brown carbon particles in
- 439 UK winter, Nature Communications, 6, 8435, 10.1038/ncomms9435
- 440 https://www.nature.com/articles/ncomms9435#supplementary-information, 2015.
- Liu, Z., Hu, B., Zhang, J., Yu, Y., and Wang, Y.: Characteristics of aerosol size distributions and chemical
  compositions during wintertime pollution episodes in Beijing, Atmospheric Research, 168, 1-12,
  10.1016/j.atmosres.2015.08.013, 2016.
- Meng, Z. Y., Ding, G. A., Xu, X. B., Xu, X. D., Yu, H. Q., and Wang, S. F.: Vertical distributions of SO(2) and
  NO(2) in the lower atmosphere in Beijing urban areas, China, Sci Total Environ, 390, 456-465,
  10.1016/j.scitotenv.2007.10.012, 2008.
- Merikallio, S., Lindqvist, H., Nousiainen, T., and Kahnert, M.: Modelling light scattering by mineral dust
  using spheroids: assessment of applicability, Atmospheric Chemistry and Physics, 11, 5347-5363,
  10.5194/acp-11-5347-2011, 2011.
- Müller, A., Miyazaki, Y., Aggarwal, S. G., Kitamori, Y., Boreddy, S. K. R., and Kawamura, K.: Effects of
  chemical composition and mixing state on size-resolved hygroscopicity and cloud condensation nuclei
  activity of submicron aerosols at a suburban site in northern Japan in summer, Journal of Geophysical
  Research: Atmospheres, 122, 9301-9318, 10.1002/2017jd027286, 2017.
- Niu, H., Shao, L., and Zhang, D.: Soot particles at an elevated site in eastern China during the passage of
  a strong cyclone, Sci Total Environ, 430, 217-222, 10.1016/j.scitotenv.2012.04.050, 2012.
- Niu, H., Hu, W., Zhang, D., Wu, Z., Guo, S., Pian, W., Cheng, W., and Hu, M.: Variations of fine particle
  physiochemical properties during a heavy haze episode in the winter of Beijing, Sci Total Environ, 571,





- 458 103-109, 10.1016/j.scitotenv.2016.07.147, 2016.
- 459 Peng, J., Hu, M., Guo, S., Du, Z., Zheng, J., Shang, D., Levy Zamora, M., Zeng, L., Shao, M., Wu, Y. S., Zheng,
- 460 J., Wang, Y., Glen, C. R., Collins, D. R., Molina, M. J., and Zhang, R.: Markedly enhanced absorption and
- 461 direct radiative forcing of black carbon under polluted urban environments, Proc Natl Acad Sci U S A,
- 462 113, 4266-4271, 10.1073/pnas.1602310113, 2016.
- 463 Petaja, T., Jarvi, L., Kerminen, V. M., Ding, A. J., Sun, J. N., Nie, W., Kujansuu, J., Virkkula, A., Yang, X. Q.,
- Fu, C. B., Zilitinkevich, S., and Kulmala, M.: Enhanced air pollution via aerosol-boundary layer feedback
  in China, Sci Rep, 6, 18998, 10.1038/srep18998, 2016.
- 466 Platis, A., Altstädter, B., Wehner, B., Wildmann, N., Lampert, A., Hermann, M., Birmili, W., and Bange, J.:
- 467 An Observational Case Study on the Influence of Atmospheric Boundary-Layer Dynamics on New
- 468 Particle Formation, Boundary-Layer Meteorology, 158, 67-92, 10.1007/s10546-015-0084-y, 2015.
- 469 Qi, X., Ding, A., Nie, W., Chi, X., Huang, X., Xu, Z., Wang, T., Wang, Z., Wang, J., Sun, P., Zhang, Q., Huo, J.,
- 470 Wang, D., Bian, Q., Zhou, L., Zhang, Q., Ning, Z., Fei, D., Xiu, G., and Fu, Q.: Direct measurement of new
- 471 particle formation based on tethered airship around the top of the planetary boundary layer in eastern
- 472 China, Atmospheric Environment, 209, 92-101, 10.1016/j.atmosenv.2019.04.024, 2019.
- Ramanathan, V., Crutzen, P. J., Mitra, A. P., and Sikka, D.: The Indian Ocean Experiment and the Asian
  Brown Cloud, Curr. Sci., 83, 947-955, 2002.
- 475 Rodriguez-Navarro, C., di Lorenzo, F., and Elert, K.: Mineralogy and physicochemical features of Saharan
  476 dust wet deposited in the Iberian Peninsula during an extreme red rain event, Atmospheric Chemistry
  477 and Physics, 18, 10089-10122, 10.5194/acp-18-10089-2018, 2018.
- 478 Saliba, G., Subramanian, R., Saleh, R., Ahern, A. T., Lipsky, E. M., Tasoglou, A., Sullivan, R. C., Bhandari,
- J., Mazzoleni, C., and Robinson, A. L.: Optical properties of black carbon in cookstove emissions coated
  with secondary organic aerosols: Measurements and modeling, Aerosol Science and Technology, 50,
  1264-1276, 10.1080/02786826.2016.1225947, 2016.
- Scarnato, B. V., Vahidinia, S., Richard, D. T., and Kirchstetter, T. W.: Effects of internal mixing and
  aggregate morphology on optical properties of black carbon using a discrete dipole approximation
  model, Atmospheric Chemistry and Physics, 13, 5089-5101, 10.5194/acp-13-5089-2013, 2013.
- Schneider, C. A., Rasband, W. S., and Eliceiri, K. W.: NIH Image to ImageJ: 25 years of image analysis,
  Nature Methods, 9, 671-675, 10.1038/nmeth.2089, 2012.
- Shao, L., Hou, C., Geng, C., Liu, J., Hu, Y., Wang, J., Jones, T., Zhao, C., and BéruBé, K.: The oxidative
  potential of PM 10 from coal, briquettes and wood charcoal burnt in an experimental domestic stove,
  Atmospheric Environment, 127, 372-381, 10.1016/j.atmosenv.2015.12.007, 2016.
- 490 Shao, L., Hu, Y., Shen, R., Schafer, K., Wang, J., Wang, J., Schnelle-Kreis, J., Zimmermann, R., BeruBe, K.,
- and Suppan, P.: Seasonal variation of particle-induced oxidative potential of airborne particulate matter
   in Beijing, Sci Total Environ, 579, 1152-1160, 10.1016/j.scitotenv.2016.11.094, 2017.
- 493 Sharma, N., China, S., Bhandari, J., Gorkowski, K., Dubey, M., Zaveri, R. A., and Mazzoleni, C.: Physical
- 494 Properties of Aerosol Internally Mixed With Soot Particles in a Biogenically Dominated Environment in
- 495 California, Geophysical Research Letters, 45, 11,473-411,482, 10.1029/2018gl079404, 2018.





496 Shi, Z., Vu, T., Kotthaus, S., Harrison, R. M., Grimmond, S., Yue, S., Zhu, T., Lee, J., Han, Y., Demuzere, M., 497 Dunmore, R. E., Ren, L., Liu, D., Wang, Y., Wild, O., Allan, J., Acton, W. J., Barlow, J., Barratt, B., Beddows, 498 D., Bloss, W. J., Calzolai, G., Carruthers, D., Carslaw, D. C., Chan, Q., Chatzidiakou, L., Chen, Y., Crilley, L., Coe, H., Dai, T., Doherty, R., Duan, F., Fu, P., Ge, B., Ge, M., Guan, D., Hamilton, J. F., He, K., Heal, M., 499 500 Heard, D., Hewitt, C. N., Hollaway, M., Hu, M., Ji, D., Jiang, X., Jones, R., Kalberer, M., Kelly, F. J., Kramer, 501 L., Langford, B., Lin, C., Lewis, A. C., Li, J., Li, W., Liu, H., Liu, J., Loh, M., Lu, K., Lucarelli, F., Mann, G., 502 McFiggans, G., Miller, M. R., Mills, G., Monk, P., Nemitz, E., amp, apos, Connor, F., Ouyang, B., Palmer, P. 503 I., Percival, C., Popoola, O., Reeves, C., Rickard, A. R., Shao, L., Shi, G., Spracklen, D., Stevenson, D., Sun, 504 Y., Sun, Z., Tao, S., Tong, S., Wang, Q., Wang, W., Wang, X., Wang, X., Wang, Z., Wei, L., Whalley, L., Wu, 505 X., Wu, Z., Xie, P., Yang, F., Zhang, Q., Zhang, Y., Zhang, Y., and Zheng, M.: Introduction to the special 506 issue "In-depth study of air pollution sources and processes within Beijing and its surrounding region 507 (APHH-Beijing)", Atmospheric Chemistry and Physics, 19, 7519-7546, 10.5194/acp-19-7519-2019, 2019. 508 Shou, Y., Huang, Y., Zhu, X., Liu, C., Hu, Y., and Wang, H.: A review of the possible associations between 509 ambient PM2.5 exposures and the development of Alzheimer's disease, Ecotoxicol Environ Saf, 174, 510 344-352, 10.1016/j.ecoenv.2019.02.086, 2019. 511 Sorensen, C.M., 2001. Light Scattering by Fractal Aggregates: A Review. Aerosol Science and Technology, 512 35(2): 648-687. 513 Sun, Y., Zhuang, G., Tang, A., Wang, Y., and An, Z.: Chemical Characteristics of PM2.5 and PM10 in 514 Haze–Fog Episodes in Beijing, Environmental Science & Technology, 40, 3148-3155, 10.1021/es051533g, 515 2006. 516 Sun, Y., Jiang, Q., Wang, Z., Fu, P., Li, J., Yang, T., and Yin, Y.: Investigation of the sources and evolution 517 processes of severe haze pollution in Beijing in January 2013, Journal of Geophysical Research: 518 Atmospheres, 119, 4380-4398, 10.1002/2014jd021641, 2014. 519 Sun, Y., Du, W., Wang, Q., Zhang, Q., Chen, C., Chen, Y., Chen, Z., Fu, P., Wang, Z., Gao, Z., and Worsnop, D. R.: Real-Time Characterization of Aerosol Particle Composition above the Urban Canopy in Beijing: 520 521 Insights into the Interactions between the Atmospheric Boundary Layer and Aerosol Chemistry, Environ 522 Sci Technol, 49, 11340-11347, 10.1021/acs.est.5b02373, 2015. 523 Sun, Y., Du, W., Fu, P., Wang, Q., Li, J., Ge, X., Zhang, Q., Zhu, C., Ren, L., Xu, W., Zhao, J., Han, T., Worsnop, 524 D. R., and Wang, Z.: Primary and secondary aerosols in Beijing in winter: sources, variations and 525 processes, Atmospheric Chemistry and Physics, 16, 8309-8329, 10.5194/acp-16-8309-2016, 2016. 526 Sun, Y. L., Wang, Z. F., Fu, P. Q., Yang, T., Jiang, Q., Dong, H. B., Li, J., and Jia, J. J.: Aerosol composition, 527 sources and processes during wintertime in Beijing, China, Atmospheric Chemistry and Physics, 13, 528 4577-4592, 10.5194/acp-13-4577-2013, 2013. 529 Tang, M., Cziczo, D. J., and Grassian, V. H.: Interactions of Water with Mineral Dust Aerosol: Water 530 Adsorption, Hygroscopicity, Cloud Condensation, and Ice Nucleation, Chem Rev, 116, 4205-4259, 531 10.1021/acs.chemrev.5b00529, 2016. 532 Tao, J., Zhang, L., Cao, J., and Zhang, R.: A review of current knowledge concerning 533 PM<sub&gt;2. 5&lt;/sub&gt; chemical composition, aerosol optical properties and their relationships 534 across China, Atmospheric Chemistry and Physics, 17, 9485-9518, 10.5194/acp-17-9485-2017, 2017.





- 535 Unga, F., Choël, M., Derimian, Y., Deboudt, K., Dubovik, O., and Goloub, P.: Microscopic Observations of
- 536 Core-Shell Particle Structure and Implications for Atmospheric Aerosol Remote Sensing, Journal of
- 537 Geophysical Research: Atmospheres, 123, 13,944-913,962, 10.1029/2018jd028602, 2018.
- 538 Wang, G., Zhang, R., Gomez, M. E., Yang, L., Levy Zamora, M., Hu, M., Lin, Y., Peng, J., Guo, S., Meng, J.,
- 539 Li, J., Cheng, C., Hu, T., Ren, Y., Wang, Y., Gao, J., Cao, J., An, Z., Zhou, W., Li, G., Wang, J., Tian, P., Marrero-
- 540 Ortiz, W., Secrest, J., Du, Z., Zheng, J., Shang, D., Zeng, L., Shao, M., Wang, W., Huang, Y., Wang, Y., Zhu,
- 541 Y., Li, Y., Hu, J., Pan, B., Cai, L., Cheng, Y., Ji, Y., Zhang, F., Rosenfeld, D., Liss, P. S., Duce, R. A., Kolb, C. E.,
- 542 and Molina, M. J.: Persistent sulfate formation from London Fog to Chinese haze, Proc Natl Acad Sci U S
- 543 A, 113, 13630-13635, 10.1073/pnas.1616540113, 2016.

Wang, Q., Sun, Y., Xu, W., Du, W., Zhou, L., Tang, G., Chen, C., Cheng, X., Zhao, X., Ji, D., Han, T., Wang,
Z., Li, J., and Wang, Z.: Vertically resolved characteristics of air pollution during two severe winter haze
episodes in urban Beijing, China, Atmospheric Chemistry and Physics, 18, 2495-2509, 10.5194/acp-182495-2018, 2018.

- Wang, W., Shao, L., Guo, M., Hou, C., Xing, J., and Wu, F.: Physicochemical Properties of Individual
  Airborne Particles in Beijing during Pollution Periods, Aerosol and Air Quality Research, 17, 3209-3219,
  10.4209/aaqr.2017.03.0116, 2017.
- 551 Wang, X., Cotter, E., Iyer, K. N., Fang, J., Williams, B. J., and Biswas, P.: Relationship between pyrolysis
- products and organic aerosols formed during coal combustion, Proceedings of the Combustion Institute,
  35, 2347-2354, 10.1016/j.proci.2014.07.073, 2015.

Wang, Y., Yao, L., Wang, L., Liu, Z., Ji, D., Tang, G., Zhang, J., Sun, Y., Hu, B., and Xin, J.: Mechanism for the
formation of the January 2013 heavy haze pollution episode over central and eastern China, Science
China Earth Sciences, 57, 14-25, 10.1007/s11430-013-4773-4, 2013.

Wehner, B., Siebert, H., Ansmann, A., Ditas, F., Seifert, P., Stratmann, F., Wiedensohler, A., Apituley, A.,
Shaw, R. A., Manninen, H. E., and Kulmala, M.: Observations of turbulence-induced new particle
formation in the residual layer, Atmospheric Chemistry and Physics, 10, 4319-4330, 10.5194/acp-104319-2010, 2010.

Li, W., Xu, L., Liu, X., Zhang, J., Lin, Y., Yao, X., Gao, H., Zhang, D., Chen, J., Wang, W., Harrison, R. M.,
Zhang, X., Shao, L., Fu, P.: Athanasios Nenes, and Zongbo Shi: Air pollution–aerosol interactions produce
more bioavailable iron for ocean ecosystems, Science Advance, 3, e1601749, 2017.

Xia, Y., Guan, D., Meng, J., Li, Y., and Shan, Y.: Assessment of the pollution–health–economics nexus in
 China, Atmospheric Chemistry and Physics, 18, 14433-14443, 10.5194/acp-18-14433-2018, 2018.

Xu, L., Liu, L., Zhang, J., Zhang, Y., Ren, Y., Wang, X., and Li, W.: Morphology, Composition, and Mixing
State of Individual Aerosol Particles in Northeast China during Wintertime, Atmosphere, 8,
10.3390/atmos8030047, 2017.

Xu, L., Zhang, D., and Li, W.: Microscopic comparison of aerosol particles collected at an urban site in
North China and a coastal site in Japan, Sci Total Environ, 669, 948-954, 10.1016/j.scitotenv.2019.03.163,
2019.

- 572 Yuan, Q., Li, W., Zhou, S., Yang, L., Chi, J., Sui, X., and Wang, W.: Integrated evaluation of aerosols during
- 573 haze-fog episodes at one regional background site in North China Plain, Atmospheric Research, 156,





- 574 102-110, 10.1016/j.atmosres.2015.01.002, 2015.
- 575 Zhang, J., Liu, L., Wang, Y., Ren, Y., Wang, X., Shi, Z., Zhang, D., Che, H., Zhao, H., Liu, Y., Niu, H., Chen, J.,
- 576 Zhang, X., Lingaswamy, A. P., Wang, Z., and Li, W.: Chemical composition, source, and process of urban
- aerosols during winter haze formation in Northeast China, Environ Pollut, 231, 357-366,
  10.1016/j.envpol.2017.07.102, 2017.
- 579 Zhang, J., Liu, L., Xu, L., Lin, Q., Zhao, H., Wang, Z., Guo, S., Hu, M., Liu, D., Shi, Z., Huang, D., and Li, W.:
- 580 Exploring wintertime regional haze in northeast China: role of coal and biomass burning, Atmos. Chem.
  581 Phys., 20, 5355-5372, 10.5194/acp-20-5355-2020, 2020.
- Zhang, Q., Ma, X., Tie, X., Huang, M., and Zhao, C.: Vertical distributions of aerosols under different
  weather conditions: Analysis of in-situ aircraft measurements in Beijing, China, Atmospheric
  Environment, 43, 5526-5535, https://doi.org/10.1016/j.atmosenv.2009.05.037, 2009.
- 585 Zhou, W., Sun, Y., Xu, W., Zhao, X., Wang, Q., Tang, G., Zhou, L., Chen, C., Du, W., Zhao, J., Xie, C., Fu, P.,
- and Wang, Z.: Vertical Characterization of Aerosol Particle Composition in Beijing, China: Insights From
   3 Month Measurements With Two Aerosol Mass Spectrometers, Journal of Geophysical Research:
   Atmospheres, 123, 13,016-013,029, 10.1029/2018jd029337, 2018a.
- 589 Zhou, W., Wang, Q., Zhao, X., Xu, W., Chen, C., Du, W., Zhao, J., Canonaco, F., Prévôt, A. S. H., Fu, P.,
- 590 Wang, Z., Worsnop, D. R., and Sun, Y.: Characterization and source apportionment of organic aerosol at
- 260 m on a meteorological tower in Beijing, China, Atmospheric Chemistry and Physics, 18,
   3951-3968, 10.5194/acp-18-3951-2018, 2018b.
- 593 Zhu, J., Crozier, P. A., and Anderson, J. R.: Characterization of light-absorbing carbon particles at three
- altitudes in East Asian outflow by transmission electron microscopy, Atmospheric Chemistry and Physics,
- 595 **13**, 6359-6371, 10.5194/acp-13-6359-2013, 2013.





Sample	Date $(2016)$	Time	$PM_{2.5}$	$SO_2$	$NO_2$	$O_3$	RH	T (°C)	MLH (m)3
Z1-1	12/1	9:10	$\frac{(\mu g m)}{12(4)}$	2 (µg m )	48	<u>(µg m)</u> 37	24	6	
Z2-1	12/1	8:40							194
Z1-2	12/2	1:00	110	25	109	3	55	2	
Z2-2	12/2	1:00							141
Z1-3	12/2	9:10	24	20	134	2	50	3	
Z2-3	12/2	8:40							134
Z1-4	12/3	1:53	142	36	102	6	79	-1	
Z2-4	12/3	3:00							232
Z1-5	12/4	1:04	530	14	180	4	93	1	
Z2-5	12/4	3:00							136
Z1-6	12/5	2:00	86	8	21	53	75	2	
Z2-6	12/5	2:00							114
Z1-7	12/8	9:10	187	2	16	72	86	2	
Z2-7	12/8	8:40							191
Z1-8	12/9	9:20	12	8	67	12	33	2	
Z2-8	12/9	8:30							250

597 Table 1 Sample information and meteorological conditions

598 (1)Samples were collected at two altitudes: Z1 was 2 m above ground and Z2 was 280 m above 599 ground. (2) Sampling duration ranged from 30 s to less than 5 minutes depending on the PM 600 pollution. (3) MLH represents the mixed layer height and the data are 15minutes average; MLH 601 was less than 280 m and the samples collected at Z2 represent samples above the mixed layer. (4) 602 If PM<sub>2.5</sub> mass concentration was less than 75  $\mu$ g m<sup>-3</sup>, samples were classified as non-haze samples 603 and if PM<sub>2.5</sub> mass concentration was more than 75  $\mu$ g m<sup>-3</sup>, samples were classified as haze samples.





605 Table 2 Classification and characteristics of individual particle types.

Particle type	Elemental composition	Morphology	Possible sources
Soot particles	C and minor amounts of O, Si.	Chain-like or compact C-dominated aggregates.	Incomplete combustion of biomass and fossil fuel.
Organic particles	C and O with minor amounts of Si, K, S, Cl.	Spherical, near spherical or irregular shapes.	Combustion process or secondary aerosol formation.
Mineral particles	O, Si, Al, Ca, Fe, Na, K, Mg, Ti, and S.	Irregular shapes.	Re-suspended from soil dust, road dust, and construction dust.
Metal particles	Fe, Zn, Mn, Ti, and Pb.	Spherical or irregular shapes.	Industries, coal-fired power plants and oil refineries.
S-rich particles	S and O with minor amounts of N, K.	Spherical, near spherical or irregular shapes.	Secondary aerosol formation.
Organic mixed with Sulfur-rich particles	C, O, and S with minor amounts of N, K or Cl.	Irregular shapes.	Secondary aerosol reaction.
Other mixed particles	Complex elemental composition.	Irregular shapes with different particle types.	Secondary aerosol reaction.





Air qualities	Sample ID	Number	Metals	Minerals	OPs	S-rich	Soot	OP-S	Other
	Z1-1	114	2.6	30.7	19.3	36.0	5.3	1.8	4.4
	Z2-1	113	1.8	12.4	16.8	56.6	10.6	0.9	0.9
	Z1-3	135	4.4	34.1	31.9	12.6	11.1	0.7	5.2
Non-haze	Z2-3	118	2.5	23.7	45.8	17.0	4.2	2.5	4.2
periods	Z1-8	140	1.4	62.9	12.1	11.4	2.9	2.1	7.1
	Z2-8	119	3.4	33.6	19.3	18.5	17.7	0.0	7.6
	Ave (Z1)	389	2.8	42.5	21.1	20.0	6.4	1.6	5.6
	Ave (Z2)	350	2.6	23.2	27.3	30.7	10.8	1.1	4.2
	Z1-2	123	2.4	21.1	42.3	17.1	7.3	2.4	7.3
	Z2-2	164	4.9	14.6	37.2	25.0	4.3	9.8	4.3
	Z1-4	160	0.6	28.8	30.6	8.8	13.8	9.4	8.1
	Z2-4	266	0.0	3.8	53.0	3.4	7.1	19.6	13.2
	Z1-5	461	0.9	6.5	18.9	22.1	7.6	31.5	12.6
Haze	Z2-5	266	0.4	0.4	32.3	7.1	2.3	44.0	13.5
periods	Z1-6	237	2.5	11.0	21.5	48.5	2.1	6.8	7.6
	Z2-6	281	1.8	11.0	18.9	19.6	12.8	15.3	20.6
	Z1-7	168	1.8	23.2	28.0	20.8	2.4	15.5	8.3
	Z2-7	192	1.6	17.7	32.3	27.1	1.6	15.1	4.7
	Ave (Z1)	1149	1.7	18.1	28.3	23.5	6.6	13.1	8.8
	Ave (Z2)	1169	1.7	9.5	34.7	16.4	5.6	20.7	11.3

## 607 Table 3 Relative number percentage of individual particles.









Fig. 1: The dashed lines represent the individual particle sampling times with green lines representing non-haze samples and black lines haze samples. (a) Temporal variations of mixed layer height (MLH) and PM<sub>2.5</sub> mass concentrations. The solid dots represent the MLH during the sampling times. (b) Temporal variations of SO<sub>2</sub>, NO<sub>2</sub>, O<sub>3</sub> at ground level at the Olympic Park monitor site, which is the closest national air quality monitor station to the sampling site (~1.5 km). (c) Temporal variations of temperature (T) and relative humidity (RH) at ground level. Date were obtained from the Ministry of Ecology and Environment of China (https://www.aqistudy.cn);







618

Fig. 2: Examples of morphologies and mixing characteristics of individual aerosol particles in
winter in Beijing at ground level and above the mixed layer. (a) Spherical organic particle, (b)
irregular shaped organic particle, (c-d) S-rich particles, (e-f) soot particles, (g-h) metal particles, (i)
mineral particles, (j-l) OP-S mixed particles, and (m-p) other mixed particle types. (q) and (r) are
EDS of (b) and (i). The difference between the particles in (b) and (i) is that organic particles (b)
mainly composed C and O while minerals (i) mainly composed O, Si, Ca and Mg.







627

Fig. 3: Relative number percentage of different particle types at ground level (Z1) and above the 629 mixed layer height (Z2). The number above each bar represents the total particle number analyzed 630 in each sample.





631



632

633

Fig. 4: Images of core-shell structured particles. (a-b) S-rich cores, (c-d) organic cores, and (e-h) 634 mixed cores.







635 636

637

Fig. 5 Low magnification images of individual particles during haze periods above the MLH (a) and at ground level (b). More coated particles are shown above the MLH.









640

641

642

Fig. 6: (a) C/S ratio (DAeq ratio of the core to the whole particle including the shell) of particles during haze periods at ground level (Z1) and above the mixed layer height (Z2); solid dots represent the average value, and (b) the corresponding relative number percentage of core-shell structured particles.





