

# 1 Measurement report: Comparison of wintertime individual particles 2 at ground level and above the mixed layer in urban Beijing

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## 13 Abstract:

14 Beijing has been suffering from frequent severe air pollution events, with concentrations affected  
15 significantly by the mixed layer height. Major efforts have been made to study the physico-chemical  
16 properties, compositions, and sources of aerosol particles at ground level. However, little is known  
17 about the morphology, elemental composition, and mixing state of aerosol particles above the mixed  
18 layer. In this work, we collected individual aerosol particles simultaneously at ground level (2 m  
19 above ground) and above the mixed layer in urban Beijing (within the Atmospheric Pollution and  
20 Human Health in a Chinese Megacity (APHH-Beijing) 2016 winter campaign). The particles were  
21 analyzed off-line by transmission electron microscopy coupled with energy dispersive X-ray  
22 spectroscopy. Our results showed that the relative number contribution of mineral particles to all  
23 measured particles was much higher during non-haze periods (42.5%) than haze periods (18.1%);  
24 on the contrary, internally mixed particles contributed more during haze periods (21.9%) than non-  
25 haze periods (7.2%) at ground level. In addition, more mineral particles were found at ground level  
26 than above the mixed layer height. Around 20% of individual particles showed core-shell structures  
27 during haze periods, whereas only a few core-shell particles were observed during non-haze periods  
28 (2%). The results showed that the particles above the mixed layer were more aged with a larger  
29 proportion of organic particles originated from coal combustion. Our results indicate that a large  
30 fraction of the airborne particles above the mixed layer come from surrounding areas influenced by  
31 coal combustion activities. This source contributes to the surface particle concentrations in Beijing  
32 when polluted air is mixed down to the ground level.

33 **Keywords:** haze; individual particle; organic particle; core-shell structure; mixed layer.

34 **1. Introduction**

35 Atmospheric aerosols emitted from anthropogenic or natural sources are composed of a variety  
36 of chemical components (e.g., organic matter, black carbon, nitrate, sulfate, ammonium, metals,  
37 mineral dust) (Merikallio et al., 2011; Guo et al., 2014; Wang et al., 2016; Peng et al., 2016; Shao et  
38 al., 2017; Tao et al., 2017). Anthropogenic aerosols have received increasing attention in recent  
39 decades due to their effects on climate and the environment. In fact, anthropogenic aerosols affect  
40 climate through cloud condensation nuclei activity (Kerminen et al., 2012), hygroscopic growth  
41 (Brock et al., 2016), and light scattering and absorption (Jacobson, 2001; Bond and Bergstrom, 2006;  
42 Merikallio et al., 2011; China et al., 2013; Peng et al., 2016; Bhandari et al., 2019b). They can also  
43 have adverse effects on human health, for example, by carrying toxic and carcinogenic compounds  
44 (Chen et al., 2013; Shao et al., 2016, 2017). High concentrations of aerosol particles in urban air  
45 can cause cardiovascular, respiratory, and even nervous system diseases (Xia et al., 2018; De Marco  
46 et al., 2019; Shou et al., 2019). It is suggested that outdoor air pollution causes 3.3 million people  
47 premature deaths worldwide each year (Lelieveld et al., 2015). Atmospheric aerosol particles also  
48 affect regional and global geochemical cycles as they are transported over long distances (Heald et  
49 al., 2006; Weijun Li et al., 2017; Rodriguez-Navarro et al., 2018).

50 Recently, China has suffered from severe air pollution conditions, like other countries  
51 undergoing rapid social and economic development (Huang et al., 2014). In China, urban air  
52 pollution is characterized by frequent occurrence of haze events, high PM<sub>2.5</sub> mass level, and  
53 expanded haze areas (Guo et al., 2014; Huang et al., 2014; Sun et al., 2014). For example, the  
54 maximum hourly average PM<sub>2.5</sub> mass concentrations in winter in Beijing reached more than 1000  
55  $\mu\text{g m}^{-3}$  (Li et al., 2017a; Zhang et al., 2017), 40 times above the safe level of 25  $\mu\text{g m}^{-3}$  recommended  
56 by the World Health Organization (WHO).

57 As the megacity capital, Beijing has received much attention being one of the most polluted  
58 cities in China. Atmospheric researchers have been studying aerosol particles to understand haze  
59 formation in China (Sun et al., 2013; Huang et al., 2014; Zhou et al., 2018b). Measurements and  
60 model analyses highlight the key roles of secondary aerosol formation by trace gases (e.g., volatile  
61 organic compounds, and SO<sub>2</sub>, NO<sub>x</sub>) and stagnant meteorological conditions in the regional haze  
62 formation (Wang et al., 2013; Guo et al., 2014; Huang et al., 2014).

63 Because the characterization of aerosol particles is mainly focused on surface level  
64 observations, the understanding of aerosol properties at higher altitudes in urban areas is still  
65 insufficient (Zhou et al., 2018a). Vertical differences between precursors, oxidants and temperature  
66 gradients might influence gas-particle partitioning and heterogeneous reactions of  $N_2O_5$  (Zhou et  
67 al., 2018a). Previous measurements at the Institute of Atmospheric Physics (IAP) meteorological  
68 tower in Beijing showed complex vertical distributions of particulate matter and gaseous pollutants  
69 (Meng et al., 2008; Sun et al., 2015; Wang et al., 2018; Zhou et al., 2018b). However, most of these  
70 studies focused on non-refractory submicron species. Research showed that the mixed layer height  
71 (MLH) could explain some of the vertical difference of aerosol particle chemical composition (Sun  
72 et al., 2015; Wang et al., 2018; Zhang et al., 2012). For example, vertical distributions of aerosol  
73 particles were more uniform during periods with higher MLH (Wang et al., 2018). As heavily  
74 increased air pollution could reduce boundary layer heights by diminishing incoming solar energy  
75 and therefore by weakening vertical turbulence, near-surface aerosol concentrations become  
76 elevated (Petaja et al., 2016). Moreover, the upper layer particles could influence those below the  
77 MLH by downward entrainment or mixing plumes, making the lower layer particles more complex  
78 (Wehner et al., 2010; Platis et al., 2015; Qi et al., 2019). Previous studies showed that the particles  
79 above the MLH considerably influenced cloud formation (Carnerero et al., 2018) and showed strong  
80 aerosol-radiation effect (Bond and Bergstrom, 2006). The differences in aerosol types at ground  
81 level and at higher altitudes could lead to large differences in aerosol direct forcing estimates  
82 (Ramanathan et al., 2002; Li et al., 2010). The vertical difference of aerosol particles also increases  
83 the uncertainties in the assessment of the climate system (Li et al., 2017b). Therefore, a detailed  
84 knowledge of the vertical distribution and chemical composition of aerosols is important for  
85 understanding the impact on climate and the aerosol evolution process (Zhang et al., 2009; Wang et  
86 al., 2018).

87 Vertical comparisons of individual aerosol particles and their morphologies, mixing states, and  
88 elemental compositions are very limited. Transmission Electron Microscopy (TEM) can provide  
89 detailed individual particle characterization and help to explain heterogeneous reactions and aging  
90 process (Li et al., 2016a). In this study, we compare particles simultaneously collected at ground  
91 level and above the MLH based on the meteorological tower at IAP in Beijing, as part of the UK-

92 CHINA atmospheric pollution and Human Health (APHH) 2016 winter campaign.

## 93 **2. Experimental**

### 94 **2.1. Aerosol sampling**

95 Individual aerosol samples were collected at the tower division of IAP, Chinese Academy of  
96 Science (39°58'N, 116°22'E), in Beijing from 1 to 9 December of 2016. The site, located between  
97 the north 3<sup>rd</sup> and 4<sup>th</sup> ring roads in Beijing, is influenced by surrounding and regional traffic, and  
98 commercial, as well as, residential activities (Sun et al., 2016). **There is a highway 250 m East of**  
99 **IAP.**

100 Two DKL-2 single stage cascade impactors, with a 0.5-mm-diameter jet nozzle and a flow rate  
101 of 1 L min<sup>-1</sup> were used. The sampler collection efficiency is ~ 100% at an aerodynamic diameter of  
102 0.5 μm if the particle density is 2 g·cm<sup>-3</sup> (Li et al., 2016b). Copper (Cu) TEM grids, coated with  
103 carbon film (300-mesh; Tianld Co.; Beijing, China), were used to collect the aerosol samples. The  
104 sampling duration varied from 30 seconds to less than 5 minutes depending on the air pollution load.  
105 Simultaneous observations at ground level (Z1; 2 m above ground) and an elevated altitude (Z2;  
106 280 m above ground) enabled us to obtain the vertical profile of the particles. The collected samples  
107 were stored in a dry plastic tube and placed in an air dryer to minimize particle changes before  
108 analysis.

109 Automatic Lidar and Ceilometer (ACL) observations of attenuated backscatter were conducted  
110 at the site using a Vaisala CL31 sensor. Measurements were corrected to account for instrument-  
111 related background and near range artefacts (Kotthaus et al., 2016). The MLH was derived from  
112 profile measurements using the automatic CABAM algorithm (Kotthaus and Grimmond, 2018).  
113 Since the TEM samples were collected for less than 5 minutes, the MLH at 15 min resolution was  
114 used to determine whether the Z2 observations were located within the MLH or above the MLH  
115 (Shi et al., 2019).

116 Samples were obtained during the periods shown (solid dots and dashed lines) in Fig. 1.  
117 Detailed sample information is provided in Table 1. Other measurements including PM<sub>2.5</sub>, SO<sub>2</sub>, NO<sub>2</sub>,  
118 and O<sub>3</sub> mass concentrations at ground level were obtained from the Olympic Park monitor site,  
119 which is the closest national air quality monitor station to IAP (~1.5 km) (Shi et al., 2019). City  
120 average temperature (T) and relative humidity (RH) at ground level were obtained from the Ministry

121 of Ecology and Environment of China (<https://www.aqistudy.cn/>). In this study, the particles were  
122 all collected in the morning and midnight when the MLH was the lowest and the height of the tower  
123 could reach the MLH at that time.

## 124 **2.2. Individual particle analysis**

125 Individual particles were analyzed using a JEOL JEM-2800 TEM at an accelerating voltage of  
126 200 kV. The morphology and mixing state of individual particles were determined from the TEM  
127 images. Semi-quantitative elemental composition was determined using Energy Dispersive X-ray  
128 Spectroscopy (EDS), by which elements heavier than Boron ( $Z>5$ ) can be detected. Cu was not  
129 included because the TEM grids were made of copper. The EDS collection duration of each  
130 individual particle was about 15 s to reduce damage of particles from the electron beam. For most  
131 particles, only one spectrum of each particle was collected and the spot size of beam would be  
132 adjusted according to the size of the particles. Therefore, we obtained the average elemental  
133 compositions of each particle. However, more than one spots per particle were collected if the  
134 particles were inhomogeneous particles according to the TEM images. The aerosol particles were  
135 not evenly distributed on the TEM grids; the coarser particles occurred near the center and the finer  
136 particles occurred on the periphery. To ensure a representative data analysis, three or four meshes  
137 from the center to the periphery were selected and analyzed. The projected areas of individual  
138 particles were determined using the Image-J software (Schneider et al., 2012), which was commonly  
139 used for counting and measuring the projected area of atmospheric particles acquired by electron  
140 microscopes (Unga et al., 2018). First, the gray-scale images of the particles were converted into  
141 binary images, in which black pixels represented the particles and white pixels represented the  
142 background. The area equivalent diameters ( $D_{Aeq}$ ) of the particles are calculated by the following  
143 formula:  $D_{Aeq} = 2 \cdot (A/\pi)^{1/2}$ , where A is the projected area of the particles shown in the TEM images  
144 (Bhandari et al., 2019a). Most of the particles with diameter larger than 100 nm were analyzed in  
145 this study.

## 146 **3. Results and discussions**

### 147 **3.1. Mass concentration of air pollutants**

148 The temporal variations of different air pollutants and meteorological conditions at ground  
149 level are shown in Fig. 1. The hourly averaged PM<sub>2.5</sub> mass concentration at the Olympic Park

150 monitoring site ranged from 3 to 530  $\mu\text{g m}^{-3}$ , with a sample period average of 113.3  $\mu\text{g m}^{-3}$ ,  
151 significantly exceeding the safe level of 75  $\mu\text{g m}^{-3}$  according to the Chinese National Ambient Air  
152 Quality Standard (GB3095-2012). The MLH ranged from 54 to 1496 m, with an average of 397 m.  
153 The MLH showed obvious diurnal variation. The hourly mean RH ranged from 17% to 97%, with  
154 a 9 day mean of 50.3%. The RH and  $\text{PM}_{2.5}$  were positively correlated (correlation coefficient=0.75;  
155 Fig. S1) according to the 216 groups of hourly data, suggesting that higher RH favors the formation  
156 of haze (Sun et al., 2014; Wang et al., 2016). As expected, RH and temperature were negatively  
157 correlated (correlation coefficient=-0.51; Fig. S2). The variation trend of  $\text{SO}_2$  was similar to that of  
158  $\text{NO}_2$ . However, the average concentration of  $\text{NO}_2$  (83.2  $\mu\text{g m}^{-3}$ ) was nearly 5.5 times higher than  
159 that of  $\text{SO}_2$  (15.2  $\mu\text{g m}^{-3}$ ). The concentration of  $\text{O}_3$  showed a different trend compared with  $\text{NO}_2$  and  
160  $\text{SO}_2$  (Fig. 1), with a 9 days hourly mean concentration of 20  $\mu\text{g m}^{-3}$ .

### 161 3.2. Classification and mixing state of individual particles

162 Aerosol particles are classified using their morphologies and elemental compositions into  
163 seven main types, namely: 1) organic particles (OPs), 2) sulfur-rich (S-rich) particles, 3) soot  
164 particles, 4) mineral particles, 5) metal particles, 6) internally mixed organic and sulfur-rich particles  
165 (OP-S), and 7) other mixed particles. The detailed characteristics of each particle type are shown in  
166 Table 2.

167 OPs are mainly composed of C and O, usually with a small amount of Si, S, Cl, and K. OPs  
168 are relatively stable under the electron beam irradiation. Based on the morphologies, OPs can be  
169 further divided into spherical (Fig. 2a) and irregularly shapes (Fig. 2b). They are mainly from  
170 combustion process of biomass and fossil fuel (Li et al., 2016a).

171 S-rich particles (Figs. 2c and 2d) are mainly composed of O, S, and N, and sometimes also  
172 contain some amount of K. S-rich particles are beam-sensitive and volatilize under strong beam  
173 irradiation. S-rich particles generally represent secondary inorganic components (e.g.,  $\text{SO}_4^{2+}$ ,  $\text{NO}_3^-$   
174 and  $\text{NH}_4^+$ ) (Xu et al., 2019).

175 Soot particles are mainly composed of C, minor amount of O, and sometimes Si. Soot particles  
176 consist of a number of C-dominated spherical monomers less than 100 nm in diameter (Figs. 2e and  
177 2f) and can be easily identified under high-resolution TEM (Buseck et al., 2014; Bhandari et al.,  
178 2017). Soot particles, stable under the electron beam, show chain-like or compact morphologies in

179 the atmosphere (Sorensen et al., 2001; Adachi et al., 2007; China et al., 2013, 2015; Bhandari et al.,  
180 2019a). Soot particles are mainly from incomplete combustion of biomass and fossil fuel.

181 Metal particles (Figs. 2g and 2h) and mineral particles (Fig. 2i) are stable under the beam  
182 irradiation. Mineral particles are mostly irregularly shaped containing crustal elements (e.g., Si, Al,  
183 Ca, Fe, Na, K, Mg, Ti, and S) in addition to O. They can be generated from windblown soil dust or  
184 road dust. Metal particles are spherical or near spherical and are mainly composed of Fe, Zn, Mn,  
185 Ti, and Pb. Metal particles are mainly originated from industries, coal-fired power plants, and oil  
186 refineries (Xu et al., 2019) or vehicle brakes (Hou et al., 2018).

187 Internally mixed particles (Figs. 2j-p) are particles with at least two of the above components.  
188 They usually show relative larger diameter. We further classify them as internally mixed organic  
189 and sulfur-rich particles (OP-S) (Figs. 2j-l), and other mixed particles (Figs. 2m-p).

### 190 **3.3. Comparison of haze and non-haze individual particle at ground level**

191 Haze periods are defined as hourly average PM<sub>2.5</sub> mass concentration greater than 75  $\mu\text{g m}^{-3}$   
192 during collection time; the rest are defined as non-haze periods. A total of 1538 individual particles  
193 among 8 samples at ground level were analyzed based on the TEM results. The relative number  
194 percentage ( $N(\text{type } i) / N(\text{total}) * 100$ ) of particles in each sample was calculated. The results are  
195 provided in Table 3 and shown in Fig.3. During non-haze periods, the particles were composed of  
196 mineral particles (42.5%), OPs (21.1%), S-rich particles (20.0%), soot particles (6.4%), other mixed  
197 particles (5.6%), metal particles (2.83%), and OP-S (1.6%) in descending order. During haze periods,  
198 the particles were composed of OPs (28.3%), S-rich particles (23.5%), mineral particles (18.1%),  
199 OP-S (13.1%), other mixed particles (8.8%), soot particles (6.6%), and metal particles (1.7%) in  
200 descending order.

201 The mineral particles are mainly from re-suspended road dust, soil dust, and construction dust  
202 during non-desert transport dust episodes (Sun et al., 2006; Gao et al., 2016; Wang et al., 2017). The  
203 relative number percentage of mineral particles was much higher during non-haze periods (42.5%)  
204 than during haze periods (18.1%), as shown in Fig.3.

205 However, the content of mixed particles including OP-S and other mixed particles during haze  
206 periods (21.9%) was much higher than during non-haze periods (7.2%), suggesting that there was  
207 more secondary aerosol formation during haze periods. High secondary aerosol formation in winter

208 in Beijing during the pollution periods was also found in previous studies (Huang et al., 2014; Sun  
209 et al., 2016; Li et al., 2017a). Secondary aerosol formation was expected since the RH during the  
210 haze periods were relatively higher than during non-haze periods, as shown in Table 1 and Fig.1,  
211 which facilitated chemical reactions of gaseous pollutants (Liu et al., 2016; Wang et al., 2016). Also,  
212 the average content of OPs and S-rich were higher during haze periods than during non-haze periods.

### 213 **3.4. Comparison of individual particles at ground level and above the MLH**

214 A total of 1519 individual particles among 8 samples above the MLH were analyzed. The results  
215 are provided in Table 3 and shown in Fig. 3. We found that the relative number percentage of mineral  
216 particles at ground level was larger than that above the MLH. For example, mineral particles at  
217 ground level and above the MLH during non-haze periods accounted for 42.5% and 23.2%,  
218 respectively, and during haze periods the values are 18.1% and 9.5%, respectively. S-rich particles  
219 during non-haze periods accounted for 20.0% at ground level, less than the value of 30.7% above  
220 the MLH. However, not all the samples above the MLH during haze periods showed higher relative  
221 number percentage of S-rich particles than at ground level. This might because some of the S-rich  
222 particles above the MLH were mixed with other particles, forming mixed particles. Another reason  
223 might be that higher relative number percentage of mixed particles diluted the relative number  
224 percentage of S-rich particles. The mixed particles during haze periods accounted for 32.0% above  
225 the MLH, higher than that of 21.9% at ground level. We also found that OPs above the MLH  
226 accounted for higher relative number percentage than at ground level, although there was some  
227 variance. For example, samples 4 and 6 showed higher relative number percentage of OPs at ground  
228 level. That might because that some of the OPs were mixed with S-rich particles and OP-S showed  
229 higher relative number percentage above the MLH than at ground level in samples 4 and 6. Metals  
230 and soot only accounted for a few relative number percentages in all samples and they didn't show  
231 much difference at ground level and above the MLH. Particles above the MLH were either  
232 transported from the surrounding areas or from ground sources. In both cases, they were subject to  
233 atmospheric process, leading to their aging.

### 234 **3.5. Aging of particles**

235 In the atmosphere, particles are subjected to aging process. During the aging process of aerosol  
236 particles, secondary species can coat pre-existing particles (Li and Shao, 2009; Laskin et al., 2016;



237 Li et al., 2016b; Niu et al., 2016; Tang et al., 2016; Chen et al., 2017; Hou et al., 2018; Unga et al.,  
238 2018; Xu et al., 2019). Using high-resolution TEM images, it is possible to identify the core-shell  
239 structure of particles (Li et al., 2016a). For example, Figs. 4a and 4b showed S-rich particles coated  
240 by secondary species. Figs. 4c and 4d were OPs coated with secondary species. Figs. 4e-h showed  
241 core-shell structured particles with some mixed particle cores. **In this study, we found that the core-**  
242 **shell structured particles accounted for 20% during haze periods with 17% at ground level and 23%**  
243 **above the MLH, but only 2% during non-haze periods. These results demonstrated a general trend**  
244 **that the core-shell structured particles during haze periods were much higher than during non-haze**  
245 **periods.** Also, the average  $D_{Aeq}$  of particles was larger during haze periods than during non-haze  
246 periods as shown in Fig. S3. These results confirmed that particles during haze periods underwent  
247 more extensive aging than during non-haze periods.

248 The coating of atmospheric particles is often caused by aging mechanisms such as coagulation,  
249 condensation, and heterogeneous chemical reactions (Kahnert, 2015; Müller et al., 2017, Zhang et  
250 al., 2012). Fig. 5 shows low magnification images of particles at ground level and above the MLH.  
251 More core-shell particles were found above the MLH. The core/shell ratio (R), which is the ratio of  
252 the  $D_{Aeq}$  of core to the  $D_{Aeq}$  of whole particle including the coating, has been used to evaluate the  
253 aging process of aerosol particles in different studies (Niu et al., 2012, 2016; Hou et al., 2018). The  
254 value of R ranged from 0 to less than 1. A smaller R value means the particles are more coated, thus  
255 are subjected to a more extensive degree of aging (Hou et al., 2018). Because high number  
256 percentage of core-shell structured particles were only found during haze periods, we only measured  
257 R of core-shell structured particles during the haze periods (including the samples 2, 4, 5, 6 and 7).  
258 Fig. 6a shows the R value of each samples during the haze periods. We can see from Fig. 6a that all  
259 the samples showed a smaller average R value above the MLH compared with those from the ground  
260 level. The average R value above the MLH (0.54) was smaller than ground level (0.59). Additionally,  
261 the relative number percentage of core-shell structured particles was higher above the MLH than at  
262 ground level, except for sample 4. These findings indicated that the particles above the MLH were  
263 more aged than those at ground level.

264 Fig. S3 shows the total particle number-size distribution; the relative number percentage of the  
265 larger size particles clearly increased when considering the coatings compared to only considering

266 the core size during haze periods. The change in optical properties due to coating was calculated in  
267 various studies by using different methods (Cappa et al., 2012; Scarnato et al., 2013; Liu et al., 2015;  
268 Saliba et al., 2016; Unga et al., 2018). When host particles were coated, their optical properties  
269 might be amplified (Khalizov et al., 2009; Peng et al., 2016). Also, organic coating can influence  
270 the hygroscopic properties and the viscosity of mixed particles (Sharma et al., 2018; Unga et al.,  
271 2018), and thus can influence cloud formation activity (Kerminen et al., 2012).

### 272 **3.6. Possible sources of organic particles**

273 Our results showed higher relative number percentage of OPs both during non-haze (21.1%)  
274 and haze periods (28.3%) in winter Beijing, compared with a tunnel environment (~5%), where the  
275 vehicle emissions were the main pollution sources (Hou et al., 2018). Also, recent studies did not  
276 find abundant OPs in North China during Spring and Summer (Yuan et al., 2015; Li et al., 2016b;  
277 Xu et al., 2019;). Instead, a larger number percentage of OPs have been found in winter using  
278 electron microscopy in previous studies, including an outflow of a haze plume in East Asia (Zhu et  
279 al., 2013), a coal-burning region in China's Loess Plateau (Li et al., 2012), three sampling sites in  
280 North China Plain (Chen et al., 2017) and urban and rural sites in Northeast China (Xu et al., 2017;  
281 Zhang et al., 2017). These results suggested that OPs accounted for a large number percentage of  
282 the particles in north China in winter.

283 Most of the OPs in our study were spherical or nearly spherical in shape according to the  
284 projected images and they were stable under strong electron beam irradiation and appear dark  
285 features in TEM images, which reflected their high thickness and refractory properties (Ebert et al.,  
286 2016), suggesting that they were formed through cooling process after the biomass or fossil fuel  
287 combustion pyrolysis products of volatile organic compounds were emitted into the atmosphere  
288 (Wang et al., 2015; Chen et al., 2017; Zhang et al., 2017).

289 These spherical or near spherical OPs are considered to be brown carbon (Zhang et al., 2020).  
290 Brown carbon plays a significant role in atmospheric shortwave absorption and can cause warming  
291 of the atmosphere (Adachi and Buseck, 2011; Hoffer et al., 2016). Some researchers have found that  
292 the primary OPs from coal combustion have more Si than those from biomass burning (Li et al.,  
293 2012; Chen et al., 2017). The weight ratio of C-O-Si at ground level and above the MLH is shown  
294 in Fig. 7. More coal burning related OPs were found above the MLH. Since the relative number

295 percentage of primary OPs affected by coal burning are higher above the MLH than at ground level,  
296 OPs above the MLH are not all from ground level and might be originated from surrounding areas  
297 influenced by coal combustion. **The results were supported by the 24-h backward trajectories, which**  
298 **showed that air masses above the MLH during haze periods were from the North and West direction**  
299 **of Beijing as shown in Fig. S4.** It is reasonable that Beijing has implemented strict air pollution  
300 controls measures, including using natural gas to replace domestic coal burning. The particles above  
301 the MLH can contribute to Beijing air pollution by mixing down to the ground.

#### 302 4. Conclusions

303 Detailed morphologies and elemental compositions of individual aerosol particles at ground level  
304 and above the mixed layer height were analyzed in this study. Following conclusions were achieved:

305 1) Particles were classified into organic particles, S-rich particles, mineral particles, metal  
306 particles, soot, internally mixed organic and sulfur-rich particles, and other mixed particles.  
307 Compared with non-haze periods, haze periods were associated with a relative lower number  
308 percentage of mineral particles and a relative higher number percentage of mixed particles.

309 2) Compared with the aerosol samples at ground level, the samples above MLH had a lower  
310 relative number percentage of mineral particles, a higher number percentage of coated particles, a  
311 smaller core/shell ratio of coated particles. More coated particles and higher core/shell ratio in the  
312 aerosol samples above the mixed layer suggested that the particles above the mixed layer were more  
313 aged.

314 3) Relative number percentage of organic particles accounted for 21.1% during non-haze periods  
315 and 28.3% during haze periods in winter Beijing. More organic particles above the mixed layer were  
316 associated with coal combustion according to the C-O-Si ratio, and the long-range transportation of  
317 air masses from surrounding areas has an important influence for Beijing air.

318 **Data availability:** Data used in this study are available from the corresponding author upon request  
319 ([ShaoL@cumtb.edu.cn](mailto:ShaoL@cumtb.edu.cn))

320 **Author Contributions:** WW, LS, CM, JX and ZS conceived the manuscript. WW, WL, XF and  
321 MZ conducted the sample collection and analysis. SK and SG conducted the MLH measurement.  
322 CM and BJ conducted manuscript modification.

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627 Table 1 Sample information and meteorological conditions

Sample ID <sup>①</sup>	Date (2016)	Time <sup>②</sup>	PM <sub>2.5</sub> ( $\mu\text{g m}^{-3}$ )	SO <sub>2</sub> ( $\mu\text{g m}^{-3}$ )	NO <sub>2</sub> ( $\mu\text{g m}^{-3}$ )	O <sub>3</sub> ( $\mu\text{g m}^{-3}$ )	RH (%)	T (°C)	MLH (m) <sup>③</sup>
Z1-1	12/1	9:10	12 <sup>④</sup>	2	48	37	24	6	--
Z2-1	12/1	8:40	--	--	--	--	--	--	194
Z1-2	12/2	1:00	110	25	109	3	55	2	--
Z2-2	12/2	1:00	--	--	--	--	--	--	141
Z1-3	12/2	9:10	24	20	134	2	50	3	--
Z2-3	12/2	8:40	--	--	--	--	--	--	134
Z1-4	12/3	1:53	142	36	102	6	79	-1	--
Z2-4	12/3	3:00	--	--	--	--	--	--	232
Z1-5	12/4	1:04	530	14	180	4	93	1	--
Z2-5	12/4	3:00	--	--	--	--	--	--	136
Z1-6	12/5	2:00	86	8	21	53	75	2	--
Z2-6	12/5	2:00	--	--	--	--	--	--	114
Z1-7	12/8	9:10	187	2	16	72	86	2	--
Z2-7	12/8	8:40	--	--	--	--	--	--	191
Z1-8	12/9	9:20	12	8	67	12	33	2	--
Z2-8	12/9	8:30	--	--	--	--	--	--	250

628 ① Samples are collected at two altitudes: Z1 is 2 m above ground and Z2 is 280 m above ground.

629 ② Sampling duration ranges from 30 seconds to less than 5 minutes depending on the PM pollution.

630 ③ MLH represents the mixed layer height and the data are 15minutes average; MLH is less than  
631 280 m and the samples collected at Z2 represent samples above the mixed layer. ④ If PM<sub>2.5</sub> mass632 concentration is less than 75  $\mu\text{g m}^{-3}$ , samples are classified as non-haze samples and if PM<sub>2.5</sub> mass633 concentration is more than 75  $\mu\text{g m}^{-3}$ , samples are classified as haze samples.

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635 Table 2 Classification and characteristics of individual particle types.

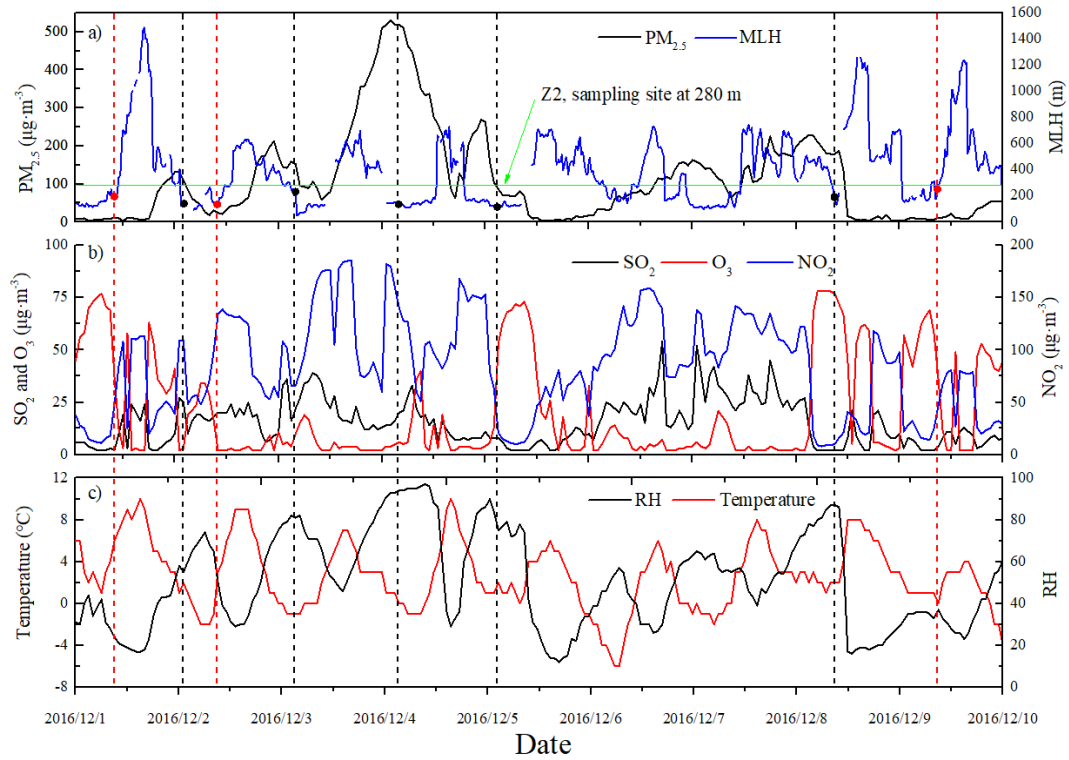
Particle type	Elemental composition	Morphology	Possible sources
Soot particles	C and minor amounts of O, Si.	Chain-like or compact C-dominated aggregates.	Incomplete combustion of biomass and fossil fuel.
Organic particles	C and O with minor amounts of Si, K, S, Cl.	Spherical, near spherical or irregular shapes.	Mainly from Combustion process of biomass and fossil fuels.
Mineral particles	O, Si, Al, Ca, Fe, Na, K, Mg, Ti, and S.	Irregular shapes.	Re-suspended from soil dust, road dust, and construction dust.
Metal particles	Fe, Zn, Mn, Ti, and Pb.	Spherical or irregular shapes.	Industries, coal-fired power plants and oil refineries.
S-rich particles	S and O with minor amounts of N, K.	Spherical, near spherical or irregular shapes.	Secondary aerosol formation.
Organic mixed with Sulfur-rich particles	C, O, and S with minor amounts of N, K or Cl.	Irregular shapes.	Secondary aerosol reaction.
Other mixed particles	Complex elemental composition.	Irregular shapes with different particle types.	Secondary aerosol reaction.

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637 Table 3 Relative number percentage of individual particles.

Air qualities	Sample ID	Number	Metals	Minerals	OPs	S-rich	Soot	OP-S	Other
Non-haze periods	Z1-1	114	2.6	30.7	19.3	36.0	5.3	1.8	4.4
	Z2-1	113	1.8	12.4	16.8	56.6	10.6	0.9	0.9
	Z1-3	135	4.4	34.1	31.9	12.6	11.1	0.7	5.2
	Z2-3	118	2.5	23.7	45.8	17.0	4.2	2.5	4.2
	Z1-8	140	1.4	62.9	12.1	11.4	2.9	2.1	7.1
	Z2-8	119	3.4	33.6	19.3	18.5	17.7	0.0	7.6
	Ave (Z1)	389	2.8	42.5	21.1	20.0	6.4	1.6	5.6
	Ave (Z2)	350	2.6	23.2	27.3	30.7	10.8	1.1	4.2
Haze periods	Z1-2	123	2.4	21.1	42.3	17.1	7.3	2.4	7.3
	Z2-2	164	4.9	14.6	37.2	25.0	4.3	9.8	4.3
	Z1-4	160	0.6	28.8	30.6	8.8	13.8	9.4	8.1
	Z2-4	266	0.0	3.8	53.0	3.4	7.1	19.6	13.2
	Z1-5	461	0.9	6.5	18.9	22.1	7.6	31.5	12.6
	Z2-5	266	0.4	0.4	32.3	7.1	2.3	44.0	13.5
	Z1-6	237	2.5	11.0	21.5	48.5	2.1	6.8	7.6
	Z2-6	281	1.8	11.0	18.9	19.6	12.8	15.3	20.6
	Z1-7	168	1.8	23.2	28.0	20.8	2.4	15.5	8.3
	Z2-7	192	1.6	17.7	32.3	27.1	1.6	15.1	4.7
	Ave (Z1)	1149	1.7	18.1	28.3	23.5	6.6	13.1	8.8
	Ave (Z2)	1169	1.7	9.5	34.7	16.4	5.6	20.7	11.3

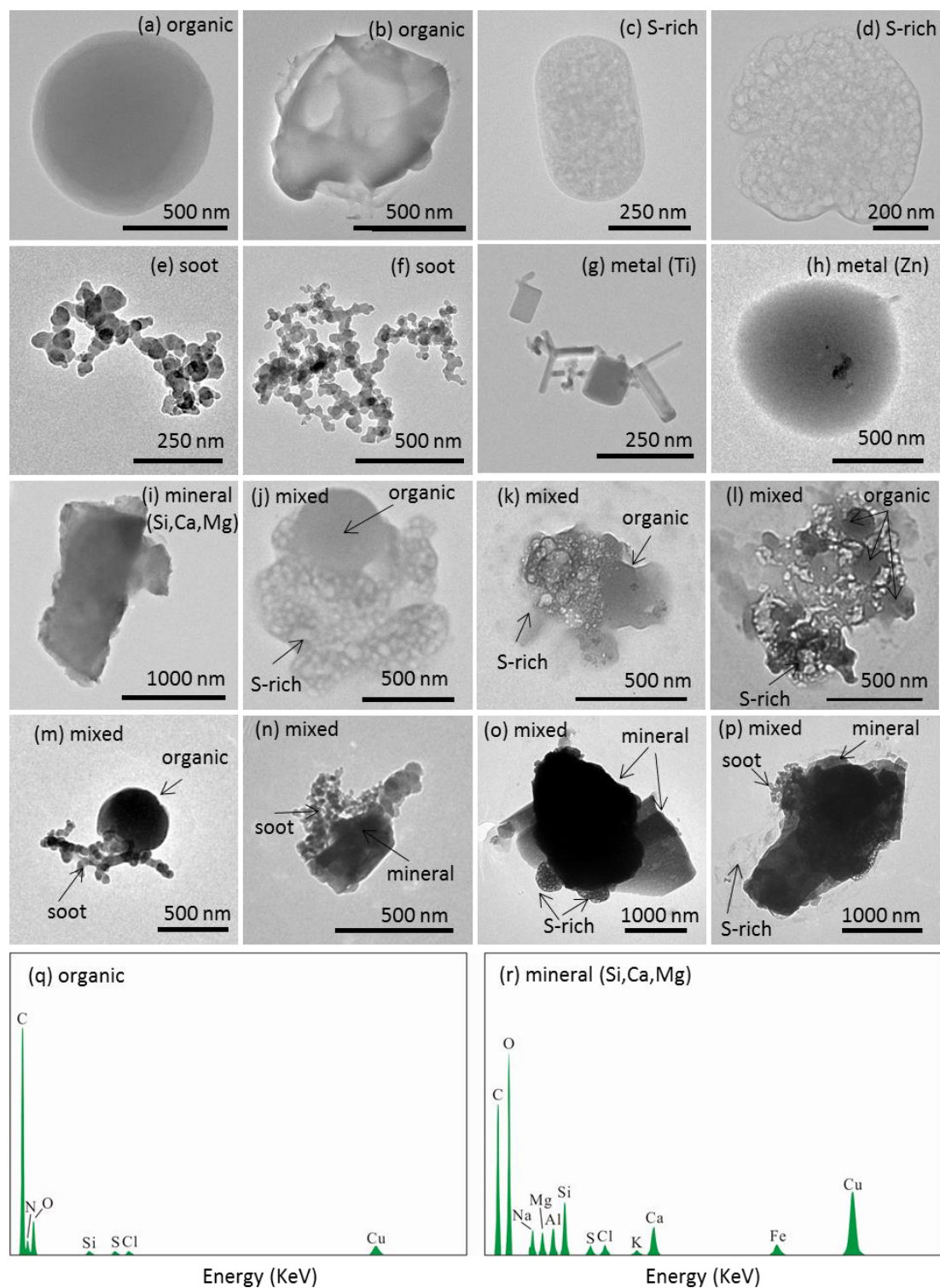
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640 Fig. 1: The dashed lines represent the individual particle sampling times with red lines representing  
 641 non-haze samples and black lines haze samples. (a) Temporal variations of mixed layer height  
 642 (MLH) and  $PM_{2.5}$  mass concentrations. The solid dots represent the MLH during the sampling times.  
 643 (b) Temporal variations of  $SO_2$ ,  $NO_2$ ,  $O_3$  at ground level at the Olympic Park monitor site, which is  
 644 the closest national air quality monitor station to the sampling site (~1.5 km). (c) Temporal variations  
 645 of temperature (T) and relative humidity (RH) at ground level. Date was obtained from the Ministry  
 646 of Ecology and Environment of China (<https://www.aqistudy.cn>).  
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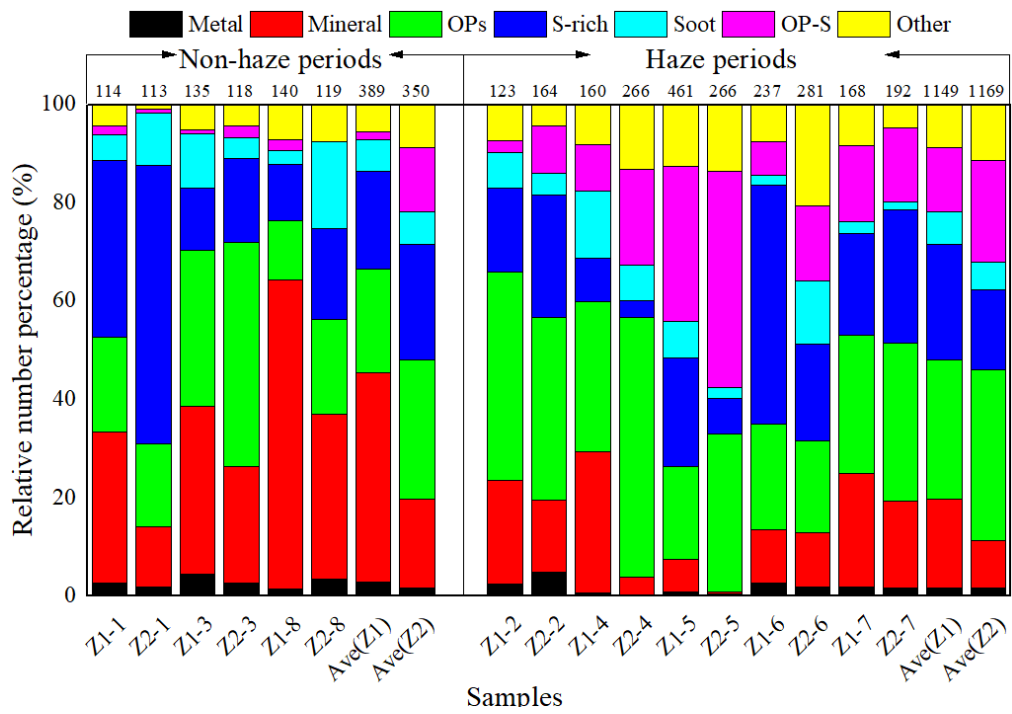
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Fig. 2: Examples of morphologies and mixing characteristics of individual aerosol particles in winter in Beijing at ground level and above the mixed layer. (a) Spherical organic particle, (b) irregular shaped organic particle, (c-d) S-rich particles, (e-f) soot particles, (g-h) metal particles, (i) mineral particles, (j-l) OP-S mixed particles, and (m-p) other mixed particle types. (q) and (r) are EDS of (b) and (i). The difference between the particles in (b) and (i) is that organic particles (b) mainly composed C and O while minerals (i) mainly composed O, Si, Ca and Mg.



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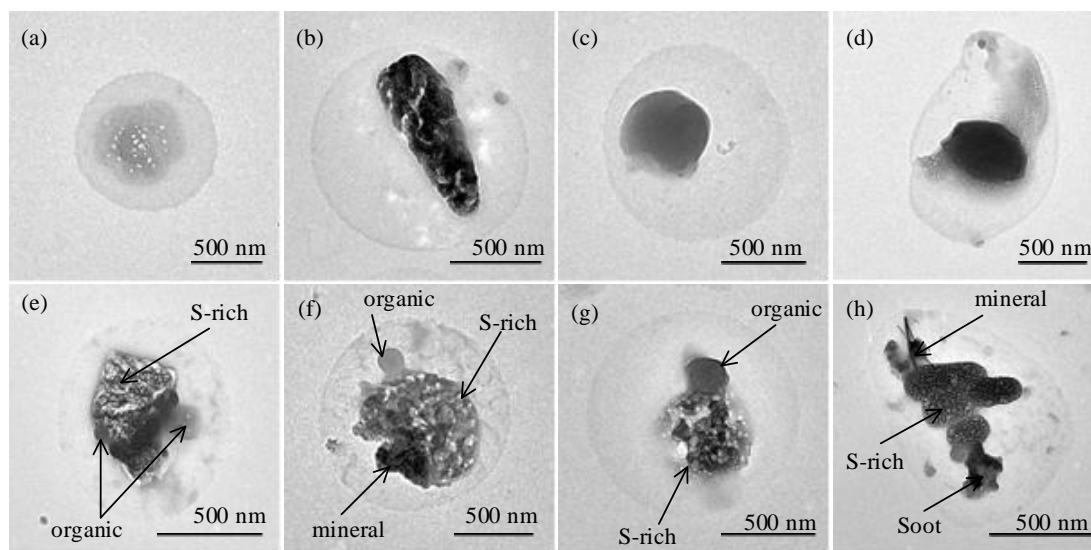
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Fig. 3: Relative number percentage of different particle types at ground level (Z1) and above the mixed layer height (Z2). The number above each bar represents the total particle number analyzed in each sample.

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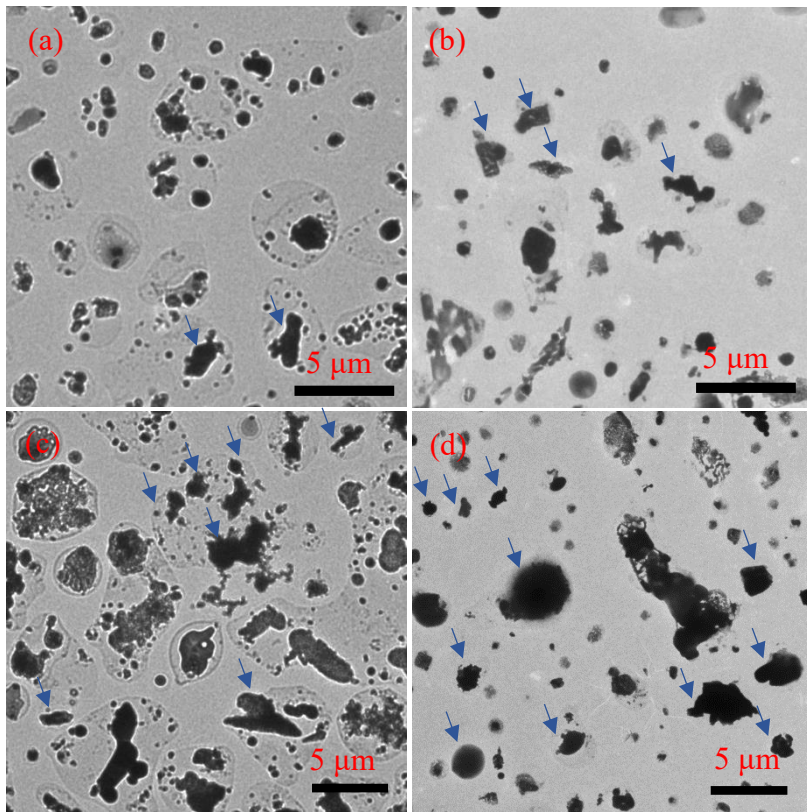


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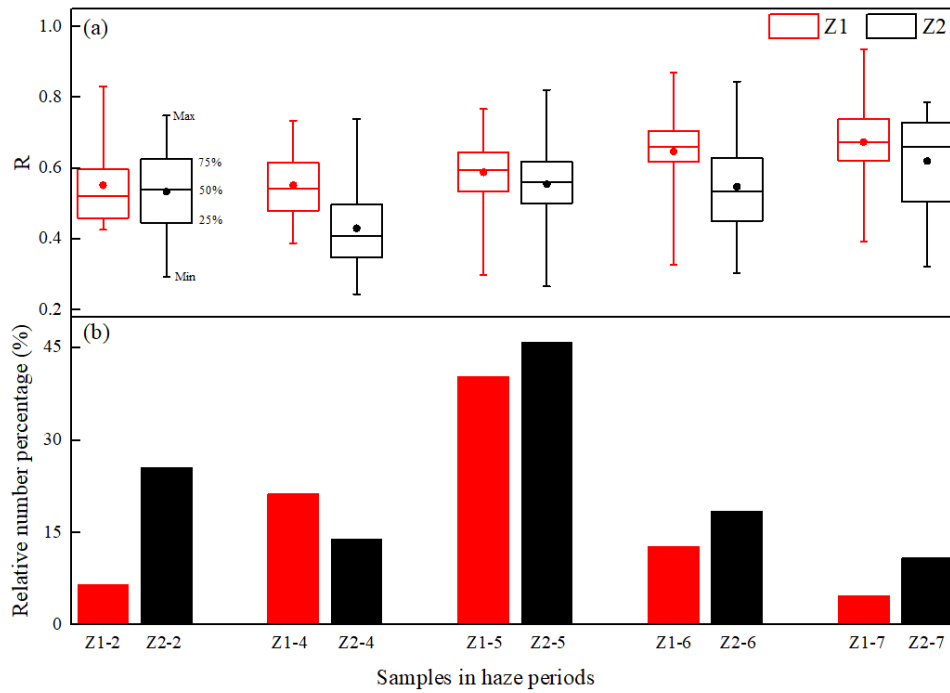
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Fig. 4: Images of core-shell structured particles. (a-b) S-rich cores, (c-d) organic cores, and (e-h) mixed cores.



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Fig. 5: Low magnification images of individual particles. (a) and (c) are particles above the mixed layer (MLH) at different size ranges. (b) and (d) are particles at ground level at different size ranges. More coated particles were found above the MLH. Arrows show part of the mineral particles.



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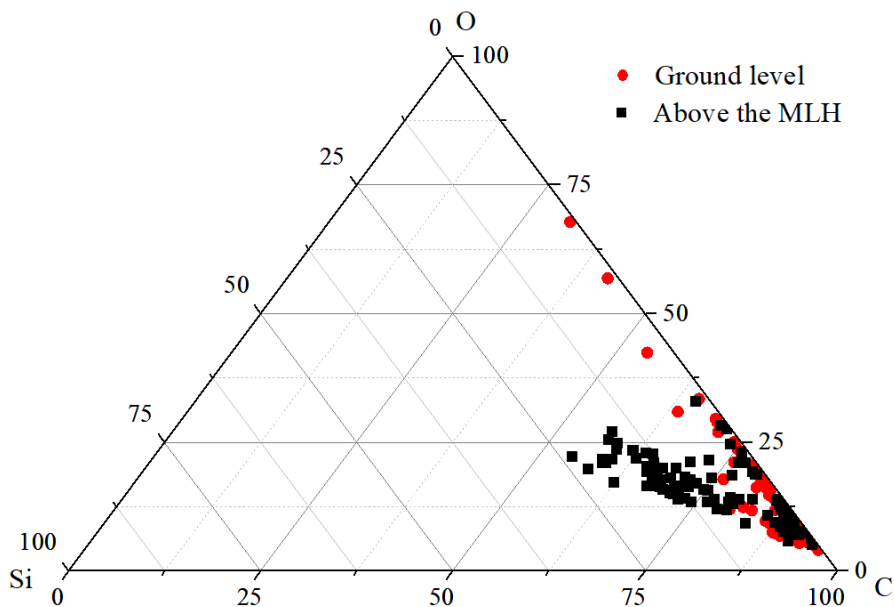
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Fig. 6: (a) R is C/S ratio ( $D_{Aeq}$  ratio of the core to the whole particle including the shell) of particles during haze periods at ground level (Z1) and above the mixed layer height (Z2); solid dots represent the average value, and (b) the corresponding relative number percentage of core-shell structured particles.



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Fig. 7: Triangular diagram showing the weight ratio of C-O-Si of organic particles (OP) at ground level and above the mixed layer height (MLH).