

# Source Apportionment of Carbonaceous Aerosols in Beijing with Radiocarbon and Organic Tracers: Insight into the Differences between Urban and Rural Sites

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**Abstract.** Carbonaceous aerosol is the dominant component of fine particles in Beijing. However, it is challenging to apportion its sources. Here, we applied a newly developed method which combined radiocarbon (<sup>14</sup>C) with organic tracers to apportion the sources of fine carbonaceous particles at an urban (IAP) and a rural (PG) site of Beijing. PM<sub>2.5</sub> filter samples (24-h) were collected at both sites from 10 November to 11 December 2016 and from 22 May to 24 June 2017. <sup>14</sup>C was determined in aerosol samples (13 at IAP and 12 at PG) representing low pollution to haze conditions. Biomass burning tracers (levoglucosan, mannosan and galactosan) in the samples were also determined using GC-MS. Higher contributions of fossil-derived OC (OC<sub>f</sub>) were found at the urban site. OC<sub>f</sub> to OC ratio decreased in the summer samples (IAP: 67.8 ± 4.0% in winter and 54.2 ± 11.7% in summer; PG: 59.3 ± 5.7% in winter and 50.0 ± 9.0% in summer) due to less consumption of coal in the warm season. A novel extended Gelencsér method incorporating the <sup>14</sup>C and organic tracer data was developed to estimate the fossil and non-fossil sources of primary and secondary OC (POC and SOC). It showed that fossil-derived POC was the largest contributor to OC (35.8 ± 10.5% and 34.1 ± 8.7% in winter time for IAP and PG, 28.9 ± 7.4% and 29.1 ± 9.4% in summer), regardless of

season. SOC contributed  $50.0 \pm 12.3\%$  and  $47.2 \pm 15.5\%$  at IAP, and  $42.0 \pm 11.7\%$  and  $43.0 \pm 13.4\%$  at PG in the winter and summer sampling periods respectively, within which the fossil-derived SOC was predominant and contributed more in winter.

35 The non-fossil fractions of SOC increased in summer due to a larger biogenic component. Concentrations of biomass burning OC ( $OC_{bb}$ ) are resolved by the extended Gelencsér method with average contributions (to total OC) of  $10.6 \pm 1.7\%$  and  $10.4 \pm 1.5\%$  in winter at IAP and PG, and  $6.5 \pm 5.2\%$  and  $17.9 \pm 3.5\%$  in summer, respectively. Correlations of water-insoluble OC (WINSOC), water-soluble OC (WSOC) with POC and SOC showed that although WINSOC was the major contributor to POC, a non-negligible fraction of WINSOC was found in SOC for both fossil and non-fossil sources especially during winter.

40 In summer, a greater proportion of WSOC from non-fossil sources was found in SOC. Comparisons of the source apportionment results with those obtained from a Chemical Mass Balance model were generally good, except for the cooking aerosol.

## 1 Introduction

Carbonaceous aerosols, often one of the most abundant components (20-80%) in atmospheric aerosol particles, have a crucial

45 impact on the global climate, air quality and human health (He et al., 2001; Huang et al., 2014; Jimenez et al., 2009; Song et al., 2007; Zhou et al., 2018). The total content of carbonaceous aerosols (i.e., total carbon, TC) can be divided into organic carbon (OC) and elemental carbon (EC) according to their physical, chemical and optical properties. The source of EC is from incomplete combustion of fossil fuel or biomass, while OC mainly originates from primary emissions from sources such as coal combustion, traffic emissions, cooking, and biomass burning as well as from gas-particle conversion (Yang et al., 2016).

50 It is very challenging to quantify the contributions from different sources to OC and EC because of the limited information on the sources, atmospheric loading and composition of organic aerosols (Huang et al., 2014). Radiocarbon ( $^{14}C$ ) analysis is a powerful tool for the quantification of fossil and non-fossil contributions to carbonaceous aerosols, as non-fossil sources contain a high contemporary  $^{14}C$  content, while the fossil fractions are free of  $^{14}C$  (Zotter et al., 2014; Bernardoni et al., 2013; Liu et al., 2013; Szidat et al., 2004; Szidat et al., 2006; Szidat et al., 2009). A previous study in north-east China found a

55 dominant fossil-fuel contribution to EC ( $76 \pm 11\%$ ), and that non-fossil sources are major contributors to OC ( $66 \pm 11\%$ ) (Zhang et al., 2016). Non-fossil sources of OC were major contributors to the fine particle pollution in Beijing during the APEC summit (Liu et al., 2016a). Moreover, clear seasonal trends of non-fossil and fossil source contributions to water-insoluble OC (WINSOC) and water-soluble OC (WSOC) were found. Non-fossil sources were the major contributor (59 %) to WINSOC in summer and autumn, whereas fossil fuel emissions were predominant in winter and spring (Liu et al., 2013).

60 Proportions of non-fossil sources in TC and WSOC associated with biogenic emissions increased during spring and summer with maxima (85 % and 117 %, respectively) in May (Pavuluri et al., 2013). However,  $^{14}C$  measurements do not permit direct discrimination of specific sources (e.g. biomass burning or secondary OC - SOC) of modern carbon. A combination with other

techniques gives further insight into the characteristics of SOC (Minguillon et al., 2011; Szidat et al., 2006; Szidat et al., 2009; Yttri et al., 2011). For example, applying Latin hypercube sampling with different OC/EC ratios, relative contributions of primary and secondary organic carbon were estimated (Zhang et al., 2016).  $^{14}\text{C}$  analysis combined with AMS-PMF data has contributed to the identification of sources from primary emissions and secondary formation (Barrett et al., 2015; Zhang et al., 2018; Zhang et al., 2017; Vlachou et al., 2018).

The Gelencsér method provides a first-order source apportionment of organic aerosol from fossil fuel combustion, biomass burning, biogenic emissions and secondary organic aerosol, using measurements of specific organic tracers emitted by fossil and non-fossil sources and their OC/EC ratios derived from literature (Gelencsér et al., 2007). This method was derived in a European context, but for China the inclusion of food cooking and coal combustion is required. Based upon this consideration, an extended Gelencsér method that includes quantification of fossil and contemporary EC and OC by  $^{14}\text{C}$  analysis has been developed in this study. The diversity of fuel types and combustion conditions make the selection of OC/EC ratios for biomass burning difficult due to large uncertainties. For non-fossil sources of SOC, quantification by the method of Gelencsér et al. (2007) is totally dependent on the source apportionment of OC from biomass burning, and thus a cautious selection of ratios has been adopted in this study.

Biomass burning is an important source of both EC and OC, which can affect large areas of the world through long-range transport (Andreae and Merlet, 2001). It is also a key component when applying source apportionment by the Gelencsér method. As levoglucosan (1,6-anhydro- $\beta$ -D-glucopyranose, LG) is an almost specific biomass burning tracer as the main pyrolysis product from cellulose (Puxbaum et al., 2007; Simoneit et al., 1999), concentrations of OC from biomass burning can be obtained by multiplying LG with suitable OC/LG ratios (Gelencsér et al., 2007; Zdrahal et al., 2002). However, the wide range of OC/LG ratios associated with changes in the biofuel types and combustion conditions cause great uncertainty in the estimation (Cheng et al., 2013; Fu et al., 2012; Gelencsér et al., 2007). To mitigate these differences from types of material and the burning conditions, a typical ratio of 12.2–12.5 (12.3 on average) was documented in Andreae and Merlet (2001) by considering the biofuels of savanna and crop residues. This ratio is widely accepted in many studies (Andreae and Merlet, 2001; Fu et al., 2012; Zhang et al., 2008a; Zhang et al., 2007), and has been used to estimate the contributions of biomass burning in Beijing which ranged from 8–50 % (Cheng et al., 2013; Kang et al., 2018; Liu et al., 2017; Zhang et al., 2008a; Zhang et al., 2017). However, a single ratio is not representative of all local conditions, and more specific methods are needed.  $^{14}\text{C}$  analysis can provide accurate concentrations of EC from biomass burning, assuming that EC from non-fossil sources is exclusively from biomass burning. Introducing  $\text{EC}_{\text{nf}}$  concentrations from  $^{14}\text{C}$  analysis into the Gelencsér method of using OC/LG ratios provides valuable extra information, and allows the method to be extended to include other sources.

Beijing, capital of China, has experienced severe PM<sub>2.5</sub> pollution for decades. This has been subjected to extensive research. However, few studies have sought to differentiate the fossil and non-fossil sources of SOC, even though they provide key information on the precursors and formation mechanisms of SOC. In this study, measurements of PM<sub>2.5</sub>, OC and EC along with biomass burning tracers were conducted simultaneously at urban and rural sites of Beijing in the winter of 2016 and summer of 2017. <sup>14</sup>C measurements of EC, OC, WINSOC and WSOC were carried out subsequently on filter samples to enable source apportionment of fossil vs non-fossil sources. A novel extended Gelencsér method combining <sup>14</sup>C analysis has been developed to explore the source apportionment of OC and EC, with SOC from fossil and non-fossil sources being quantified. The source apportionment results were compared with those by Chemical Mass Balance (CMB). Correlations of WINSOC and WSOC with different sources of OC were also performed to study the formation mechanisms of SOC.

## 2 Methodology

### 2.1 Aerosol Sampling

Daily PM<sub>2.5</sub> (particles with aerodynamic diameter less than 2.5 µm) samples were collected at an urban site (39.98° N, 116.39° E, Institute of Atmospheric Physics, IAP), and a rural site (40.17° N, 117.05° E, Pinggu, PG) in Beijing during a winter campaign (10 November–11 December 2016) and a summer campaign (22 May–24 June 2017) as part of the Atmospheric Pollution and Human Health in a Chinese megacity (APHH-China) programme; further information on the sampling sites is available in Shi et al. (2019). The urban site is a typical urban background site but may be subject to multiple local influences such as cooking emissions from nearby restaurants. The rural site is located in Pinggu District, close to a village surrounded by farmland. It is ~60 km northeast from urban Beijing at the junction of Beijing, Tianjin and Hebei provinces. A two-lane road is about 200–300 meters north of the sampling site, but its traffic volume is relatively low.

Hi-Vol air samplers (Tisch, USA) with a flow rate of 1.1 m<sup>3</sup> min<sup>-1</sup> were collected on pre-combusted (450 °C, 6 h) quartz filters (Pallflex, 8×10 inch). Field blanks were collected by placing filters onto the filter holder for a few minutes without pumping before and after the campaign. After sampling, each exposed or blank filter was wrapped individually with aluminium foil and stored at -20 °C in the dark prior to analysis. The details of the sample collection are described elsewhere (Shi et al., 2019).

### 2.2 Chemical Analysis

#### 2.2.1 OC, EC and major inorganic ions

OC and EC mass concentrations were determined with the DRI2015 carbon analyser with the EUSAAR\_2 (European Supersites for Atmospheric Aerosol Research) transmittance protocol. Replicate analyses were conducted once every ten samples. Blank samples (corresponding to 0.40 and 0.01 µg m<sup>-3</sup> for OC and EC) were analysed to correct the sample results.

120 The limits of detection of OC and EC were estimated to be 0.03 and 0.05  $\mu\text{g m}^{-3}$ . Details of the OC/EC measurement method are described elsewhere (Paraskevopoulou et al., 2014). Major ions including  $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$ ,  $\text{NH}_4^+$ ,  $\text{Na}^+$ ,  $\text{K}^+$  and  $\text{Cl}^-$  were determined on water extracts using an ion chromatograph (Dionex, Sunnyvale, CA, USA), with detection limits less than 0.01  $\mu\text{g m}^{-3}$ . The uncertainties for OC and EC were less than 10% and less than 5% for inorganic ions (Xu et al., 2020a).

### 2.2.2 Biomass Burning Tracers

125 The methodology to determine biomass burning tracers, including levoglucosan, mannosan and galactosan was described elsewhere (Fu et al., 2016). Recoveries for target compounds were better than 80 % as obtained by spiking standards to pre-combusted quartz filters followed by extraction and derivatization. Field blank filters were analysed by the procedure used for the samples above, but no target compounds were detected. Duplicate analyses showed analytical errors less than 15 %.

### 2.3 Radiocarbon ( $^{14}\text{C}$ ) Analysis

130 The  $^{14}\text{C}$  in total carbon (TC), water-insoluble TC (WINSTC) and EC was determined on 25 (13 from IAP and 12 from PG) time-integrated Hi-Vol  $\text{PM}_{2.5}$  quartz fibre (QF) filter samples. Samples collected during both haze and non-haze days were selected in winter to better understand the pollution sources.  $\text{PM}_{2.5}$  concentrations on 22 November and 1 December at IAP and PG sites were lower than 75  $\mu\text{g m}^{-3}$  and regarded as non-haze air days, in contrast to other wintertime samples collected during haze pollution days. During the summer, typical samples were selected with  $\text{PM}_{2.5}$  concentrations of  $42.5 \pm 26.5$  and  
135  $42.7 \pm 21.2 \mu\text{g m}^{-3}$  at IAP and PG, respectively. The concentrations of  $\text{PM}_{2.5}$ , EC, OC and the corresponding non-fossil fractions of these selected days are shown in Table 2.

The method of  $^{14}\text{C}$  measurement of carbonaceous aerosols has been described elsewhere (Agrios et al., 2015; Levin et al., 2010; Szidat et al., 2014; Vlachou et al., 2018; Zhang et al., 2012; Zhang et al., 2016; Zotter et al., 2014). The  $^{14}\text{C}$  of TC and WINSTC was measured by using an one-step protocol under pure  $\text{O}_2$  (99.9995%) at 760  $^\circ\text{C}$  for 400 s (Vlachou et al., 2018)  
140 using an Elemental Analyzer coupled with the accelerator mass spectrometer Mini Carbon Dating System (MICADAS) at the Laboratory for the Analysis of Radiocarbon (LARA, University of Bern) (Zhang et al., 2012; Szidat et al., 2014). The EC fraction was separated by an OC/EC analyser (Model 4L, Sunset Laboratory, USA) with the use of the Swiss\_4S protocol (Zhang et al., 2012), which was coupled online with the MICADAS (Agrios et al., 2015). Each filter sample was extracted with water before the measurements to minimize the charring effect during the separation of EC from the WINSOC.

145  $^{14}\text{C}$  results were expressed as fractions of modern ( $f_M$ ), i.e., the fraction of the  $^{14}\text{C}/^{12}\text{C}$  ratio of the sample related to that of the reference year 1950. The data analysis was carried out accounting for the blank correction (one field blank per site was analysed, not relevant for EC), decay of  $^{14}\text{C}$  since the 1950's, nuclear bomb correction, charring of WINSOC (~1%) and EC yield after OC removal (IAP:  $62 \pm 6\%$ ; PG:  $76 \pm 8\%$ ) (Zhang et al., 2012; Zhang et al., 2016; Zotter et al., 2014).

Non-fossil fractions ( $f_{NF}$ ) were determined from their corresponding  $f_M$  values and reference values for pure non-fossil sources  
 150 by:

$$f_{NF} = \frac{f_M}{f_{NF,ref}} \quad (1)$$

Different values for the  $f_{NF,ref}$  were applied for the bomb peak correction (Levin, et al. 2010). For EC, the  $f_{NF,ref}$  is  $1.10 \pm 0.05$  (Lewis et al., 2004; Palstra and Meijer, 2014), given that biomass burning is assumed to be the only non-fossil source of EC. For OC, it is calculated as

$$155 \quad f_{NF,ref} = p_{bio} \times f_{M,bio} + p_{bb} \times f_{M,bb} \quad (2)$$

where  $f_{M,bb}$  and  $f_{M,bio}$  is coming from biomass burning and biogenic sources respectively, which are  $1.10 \pm 0.05$  and  $1.023 \pm 0.015$  (Lewis et al., 2004; Zotter et al., 2014), while  $p_{bio}$  and  $p_{bb}$  are the proportions of biogenic source and biomass burning respectively, which are 0.1 and 0.9 in winter and 0.5 and 0.5 in summer (Levin et al., 2010).

Analogously, the non-fossil fractions of OC, WSOC and WINSOC ( $f_{NF,OC}$ ,  $f_{NF,WSOC}$  and  $f_{NF,WINSOC}$ ) were calculated by  
 160 following a mass balance-like approach:

$$OC_{nf} = OC \times f_{NF,OC} = TC \times f_{NF,TC} - EC \times f_{NF,EC} \quad (3)$$

$$WSOC_{nf} = WSOC \times f_{NF,WSOC} \approx WSOC \times f_{NF,WSTC} = TC \times f_{NF,TC} - WINSTC \times f_{NF,WINSTC} \quad (4)$$

$$WINSOC_{nf} = WINSOC \times f_{NF,WINSOC} = OC \times f_{NF,OC} - WSOC \times f_{NF,WSOC} \quad (5)$$

where TC and EC are the concentrations of total and elemental carbon, respectively, and  $f_{NF,TC}$ ,  $f_{NF,EC}$ ,  $f_{NF,WINSTC}$  are the non-  
 165 fossil fractions of TC, EC and WINSTC, respectively. The fraction of fossil-fuel sources was calculated by  $f_{FF} = 1 - f_{NF}$ . The uncertainties were determined by error propagation. The mass concentration errors were assumed to be 10 % for EC and 6 % for OC and TC (typical values for EUSAAR2) (Zhang et al., 2016).

## 2.4 Extended Gelencsér method including $^{14}C$ data

An extended Gelencsér method including  $^{14}C$  data was developed to quantify the fossil and non-fossil sources of primary and  
 170 secondary OC (POC and SOC) along with OC from biomass burning and cooking ( $OC_{bb}$  and  $OC_{ck}$ ). The equations for the extended Gelencsér method are list in Table 1. The detailed selection of the OC/EC ratios will be discussed in Sect. 3.2.

## 2.5 Chemical Mass Balance (CMB) model and AMS/ACSM-PMF Analysis

Results on the same sets of samples from a chemical mass balance (US EPA CMB8.2) model and AMS/ACSM-PMF analysis (Positive matrix factorization analysis of data from online Aerodyne Aerosol Mass Spectrometer at IAP and Aerosol Chemical Speciation Monitor at PG) were compared with  $^{14}\text{C}$ -based source apportionment. Details on the experimental details and data analyses can be found in Xu et al. (2020b) and Wu et al. (2020). The CMB utilizes a linear least squares solution considering both uncertainties in source profiles and ambient measurements to ensure reliable fitting results. In order to better represent the source characteristics, the source profiles applied in this model were mostly from local studies in China (Cai et al., 2017; Wang et al., 2009; Zhang et al., 2007; Zhang et al., 2008b; Zhao et al., 2015), except vegetative detritus (Rogge et al., 1993; Wang et al., 2009). The detail of selecting organic marker species can be found in Yin et al. (2010; 2015).

Experimental details of the AMS and ACSM-PMF method can be found elsewhere (Ng et al., 2011; Sun et al., 2016; Xu et al., 2019). The ACSM data were analysed for the mass concentrations and size distributions of non-refractory submicron aerosol (NR-PM<sub>1</sub>) species using the high-resolution data analysis software package PIKA (Sun et al., 2020). Positive matrix factorization was performed on high-resolution mass spectra of V-mode and W-mode to retrieve potential OA factors from different sources (Paatero and Tapper, 1994; Ulbrich et al., 2009). The OM/OC factor used for cooking OA (COA) is 1.38 (Xu et al., 2019).

## 3 Results and discussion

### 3.1 Overall results

#### 3.1.1 Characteristics of PM<sub>2.5</sub>, OC and EC concentrations

Mass concentrations of PM<sub>2.5</sub>, OC, EC and biomass burning tracers are shown in Fig. 1 and are summarized in Table 2 along with the meteorological conditions during the observation campaign in IAP and PG. The average concentrations of PM<sub>2.5</sub> were  $91.2 \pm 63.7 \mu\text{g m}^{-3}$  and  $99.7 \pm 77.8 \mu\text{g m}^{-3}$  at the IAP and PG sites respectively in winter, and  $30.2 \pm 14.8 \mu\text{g m}^{-3}$ , and  $27.5 \pm 12.9 \mu\text{g m}^{-3}$  at the IAP and PG sites in summer. The highest 24-h concentration in winter is  $239.9 \mu\text{g m}^{-3}$  (IAP) and  $294.3 \mu\text{g m}^{-3}$  (PG), and more than 53 % and 46 % of the days have a daily PM<sub>2.5</sub> concentrations higher than the Chinese air quality standard (PM<sub>2.5</sub> concentrations exceeding  $75 \mu\text{g m}^{-3}$  are defined as haze conditions) in IAP and PG during the observation period. In summer, the air quality was improved, with PM<sub>2.5</sub> concentrations ranging from 12.2 to  $78.8 \mu\text{g m}^{-3}$  and 11.6 to  $70.3 \mu\text{g m}^{-3}$  in IAP and PG, respectively.

Organic carbon and elemental carbon (OC and EC) are important constituents of PM<sub>2.5</sub>, accounting for  $30.9 \pm 9.3 \%$  and  $43.6 \pm 17.9 \%$  of PM<sub>2.5</sub> mass at the IAP and PG sites in winter, and  $26.8 \pm 9.2 \%$  and  $37.3 \pm 12.6 \%$  in summer. The concentrations of EC showed a strong correlation with OC at both sites during the winter and summer (Table S1). The average EC

concentrations for  $^{14}\text{C}$  analysis varied from  $3.8 \pm 2.1 \mu\text{g m}^{-3}$  (IAP) and  $5.4 \pm 2.6 \mu\text{g m}^{-3}$  (PG) in winter and  $1.1 \pm 0.3 \mu\text{g m}^{-3}$  (IAP) and  $2.0 \pm 0.7 \mu\text{g m}^{-3}$  (PG) in summer for the urban and rural sites respectively. The mass concentration of OC for  $^{14}\text{C}$  analysis was  $4.1\text{--}44.9 \mu\text{g m}^{-3}$  and  $12.1\text{--}85.0 \mu\text{g m}^{-3}$  at IAP and PG in winter, and  $4.7\text{--}12.7 \mu\text{g m}^{-3}$  and  $6.2\text{--}17.9 \mu\text{g m}^{-3}$  in summer. The selected samples are well representative as their concentrations were very close to those from the whole campaign. The average OC concentration for  $^{14}\text{C}$  in winter was 3.2 and 4.3 times higher than in summer at IAP and PG, respectively. The OC/EC ratios at IAP and PG were in the range of 4.1–14.9 and 6.2–14.6 in winter, and 4.6–14.8 and 4.4–28.3 in summer, which were all higher than 2.0 or 1.1 (Chow et al., 1996; Castro et al., 1999), suggesting an important contribution from secondary organic carbon (SOC).

### 3.1.2 Fossil and non-fossil sources of EC and OC based on radiocarbon ( $^{14}\text{C}$ ) analysis

Fig. 2 shows the absolute concentrations of fossil and non-fossil fractions of OC and EC, and the relative contributions.  $\text{EC}_f$  refers to EC from coal combustion and liquid fossil fuel (i.e., mainly vehicle emissions) and  $\text{EC}_{nf}$  from biomass burning (Gray and Cass 1998). As shown in Fig. 2, high concentrations of  $\text{EC}_f$  and  $\text{EC}_{nf}$  were found in both urban and rural sites in winter, suggesting elevated emissions from primary fossil and non-fossil sources like coal combustion and biomass burning. Residential coal consumption and biomass burning are still important in winter especially in rural areas, due to intensive heating activities in the cold season.  $\text{EC}_f$  contributed  $7.6 \pm 2.1 \%$  and  $6.0 \pm 1.4 \%$  to TC at the IAP and PG sites in winter and  $6.9 \pm 1.6 \%$  and  $8.9 \pm 2.6 \%$  in summer. Most of the fossil fractions of EC are within the range of previous studies in urban Beijing (67–96% of EC) (Liu et al., 2020; Liu et al., 2017; Zhang et al., 2016; Zhang et al., 2017). Higher contributions of  $\text{EC}_f$  were found on the polluted days in wintertime (Table 2), showing that the  $\text{PM}_{2.5}$  concentrations may be elevated due to direct emission from coal combustion and vehicle exhaust. Fractions of  $\text{EC}_{nf}$  increased slightly in summer due to the regional open burning activities during the post-harvest period of wheat, which is common in Northern China (Li et al., 2016; Yan et al., 2006).

OC from fossil sources ( $\text{OC}_f$ ) arises mainly from coal combustion and vehicle emissions, while OC from non-fossil sources ( $\text{OC}_{nf}$ ) comes mainly from biomass burning, biogenic emissions and cooking. Although fossil sources are the main contributor to OC ( $67.8 \pm 4.0 \%$  and  $59.3 \pm 5.7 \%$  at IAP and PG sites in winter, compared to  $54.2 \pm 11.7 \%$  and  $50.0 \pm 9.0 \%$  in summer), relative contributions of  $\text{OC}_{nf}$  were significantly increased in summer. These results are similar to a previous study in urban Beijing, which found a contribution of  $66 \pm 11 \%$  ranging from 45 to 82 % in  $\text{PM}_1$  collected in 2013–2014 (Zhang et al., 2017). The increased contribution of  $\text{OC}_{nf}$  in summer is likely to due to the enhancement of biomass burning and biogenic emissions (both primary and through SOC) as well as the decline in emissions from fossil sources such as coal burning, considering that cooking OC emission is unlikely to change with season.

230 Fossil and non-fossil sources of WSOC and WINSOC were also quantified by the  $^{14}\text{C}$  measurement. Among the four fractions, WINSOC<sub>f</sub> had the highest contribution to TC in winter ( $37.9 \pm 4.5\%$  at IAP and  $36.2 \pm 4.7\%$  at PG) followed by WSOC<sub>f</sub> ( $22.1 \pm 5.2\%$ ) at IAP and WINSOC<sub>nf</sub> ( $20.8 \pm 3.4\%$ ) at PG. In summer, the fraction of WINSOC<sub>f</sub> fell to  $24.4 \pm 9.3\%$  at IAP and  $21.8 \pm 4.6\%$  at PG, accompanied by increased fossil and non-fossil contributions from WSOC fractions. The increase of WSOC<sub>f</sub> fractions in summer implied an enhanced contribution from oxidised VOCs and aged primary fossil-derived OC, and

235 WSOC<sub>nf</sub> is probably associated with biomass burning and secondary OC. Moreover, WINSOC was dominated by fossil sources at both sites in winter (IAP:  $72.6 \pm 3.6\%$ ; PG:  $63.4 \pm 6.5\%$ ), while the non-fossil fractions significantly increased from winter to summer. Similarly, WSOC was mainly fossil-derived in winter, while it tends to be accumulated in non-fossil fractions in summer (fossil fraction in WSOC, IAP:  $60.5 \pm 6.6\%$  in winter,  $50.8 \pm 12.3\%$  in summer; PG:  $51.6 \pm 8.7\%$  in winter,  $47.7 \pm 17.4\%$  in summer). The contribution of OC<sub>nf</sub> to OC increased with both non-fossil fractions in WINSOC and WSOC

240 increasing. Even though WINSOC<sub>nf</sub> and WSOC<sub>nf</sub> cannot be attributed specifically to biomass burning, biogenic emissions, cooking or secondary formation, it is likely that biogenic- derived POC and SOC make a pronounced contribution. Details of contributions of each fraction to primary and secondary OC will be discussed in Sect. 3.2.

### 3.2 Source apportionment by an extended Gelencsér method

Gelencsér et al. (2007) reported a method for the source apportionment of carbonaceous aerosol into fractions from biomass

245 burning, road traffic and secondary organic aerosol, applicable to Europe where these are the dominant sources. In order to use the same methodological concepts in China, the method required extending to include two further sources: coal combustion and cooking. To do so, the  $^{14}\text{C}$  data were utilized.

#### 3.2.1 Biomass burning

Levoglucosan (LG) is a typical biomass burning tracer, as the main pyrolysis product from cellulose. Much higher

250 concentrations of LG were observed in the winter ( $311 \pm 193\text{ ng m}^{-3}$  at IAP and  $634 \pm 483\text{ ng m}^{-3}$  at PG) than those in the summer ( $27.9 \pm 29.6\text{ ng m}^{-3}$  at IAP and  $74.0 \pm 34.2\text{ ng m}^{-3}$  at PG). In addition, LG concentrations at the rural site were higher than those at the urban site in both winter and summer. This pattern is consistent with previous measurements in Table 4 (Chen et al., 2018; Kang et al., 2018; Li et al., 2018; Liu et al., 2016b; Salma et al., 2017; Sullivan et al., 2019; Yan et al., 2019; Zhu et al., 2017). The Pearson correlations of LG with PM<sub>2.5</sub>, OC and EC at IAP and PG are shown in Table S1. During winter,

255 LG correlated well with PM<sub>2.5</sub>, OC and EC at PG with correlation coefficients of 0.89, 0.89 and 0.81 respectively. These are higher than those at IAP (correlation coefficients of 0.56, 0.60 and 0.74, respectively), suggesting a more significant influence of biomass burning upon PM<sub>2.5</sub> in PG. The correlation coefficients in summer were much lower than those in winter for both sites, evidencing a reduced contribution of biomass burning activities to PM<sub>2.5</sub>. During the wintertime, the increasing use of biofuel for heating exacerbates the biomass pollution, and the stable atmospheric conditions also enhance the accumulation of

260 LG (Shi et al., 2019). Compared with widely used cleaner fossil energy (i.e., natural gas, electricity, and liquefied petroleum gas) and renewable energy (i.e., solar energy) available in urban areas, the rural households are still largely using straw and wood for cooking and heating (Hou et al., 2017). Moreover, open burning of crop residues during the post-harvest months (May to July and October to November in North China) in rural areas is still frequently performed in spite of prohibition by the government (Chen et al., 2017; Li et al., 2016). Water-soluble potassium ion ( $K^+$ ) has been used as biomass burning tracer  
 265 previously, due to its good relationship with LG. However, in this study, the Pearson correlation coefficients of  $K^+$  with LG are 0.51 and 0.86 in winter and 0.85 and 0.51 in summer for IAP and PG (Table S1), indicating other non-biomass sources of  $K^+$ . Indeed, the sources of  $K^+$  in the atmosphere are diverse, including sea salt, cooking, dust, coal combustion, and waste incineration, which makes  $K^+$  less suitable as biomass burning tracer (Zhang, et al., 2010).

According to Gelencsér et al. (2007), EC from biomass burning ( $EC_{bb}$ ) can be derived by multiplying the LG concentrations  
 270 first with  $(OC/LG)_{bb}$  to give  $OC_{bb}$  and then with  $(EC/OC)_{bb}$ . However, the  $(OC/LG)_{bb}$  ratio is highly variable depending on the type of material and the conditions of burning, and the ratios can vary by orders of magnitude. Therefore, EC concentrations from non-fossil sources determined by radiocarbon analysis ( $EC_{nf}$ ), assumed to arise almost solely from biomass burning were used as an estimate of  $EC_{bb}$ . Hence,

$$EC_{nf} \approx EC_{bb} = LG \times (OC/LG)_{bb} \times (EC/OC)_{bb} \quad (6)$$

275 The ratios of levoglucosan to mannosan (LG/MN) and to galactosan (LG/GA) can help us to infer the  $(OC/LG)_{bb}$  and  $(EC/OC)_{bb}$  ratios from certain types of biomass fuel (Kawamura et al., 2012). Fig. 3 shows the source profile for LG/MN and LG/GA ratios measured in emissions from controlled biomass burning experiments in previous studies (Cheng et al., 2013; Sun et al., 2019a; 2019b). There is a clear boundary of LG/MN ratios ( $\sim 10$ ) between softwood and hardwood burning (Kawamura et al., 2012). Using LG/GA ratios can help to identify the burning of straws, woods, needles and grasses, where  
 280 most of the LG/GA ratios from burning of hardwood, straws and grasses are higher than 10, apparently different from those of needle plants. LG/MN and LG/GA ratios cannot be used to separate the burning of straws from hardwood, but the burning of hardwood can be neglected considering the local forest types around Beijing (Cheng et al., 2013).

The measured LG/MN and LG/GA ratios in this study (Fig. 3) implied that the use of biofuels was mainly a mixture of softwood and crop straws. Compared with ratios in summer, LG/MN and LG/GA ratios in winter have a narrower range at  
 285 both IAP and PG sites and most of them converge at values lower than 10, suggesting wood burning may be dominant in winter and the contribution of straw burning increases in summer. It has been reported that firewood burning emissions in Beijing represent 47–90 % of the total biomass burning, and could contribute more than 80 % in winter (Zhou et al., 2017). Although the LG/MN and LG/GA ratios of maize burning and wheat burning are similar and cannot be used to distinguish the

two, research into the yield of main crops and farming practices showed that the main straw burning in Beijing and nearby  
 290 provinces may be associated with maize straw in October to November and wheat straw in May to July (Zhang et al., 2019).

These results suggest that softwood burning and straw burning are the main sources of aerosols from biomass burning in Beijing. Thus, EC from softwood burning and straw burning can be calculated as follows:

$$\begin{aligned} EC_{nf} &\approx EC_{bb} = EC_{wood} + EC_{straw} \\ &= LG \times f_{wood} \times (OC/LG)_{wood} \times (EC/OC)_{wood} + LG \times f_{straw} \times (OC/LG)_{straw} \times (EC/OC)_{straw} \end{aligned} \quad (7)$$

295 where,  $f_{wood}$  represents the fraction of LG from softwood burning, and  $f_{straw}$  represents the fraction of LG from straw burning.  $f_{straw} = 1 - f_{wood}$ , neglecting other sources of biomass burning.  $f_{wood}$  can be expressed as,

$$f_{wood} = \frac{EC_{nf} - LG \times (OC/LG)_{straw} \times (EC/OC)_{straw}}{((OC/LG)_{wood} \times (EC/OC)_{wood} - (OC/LG)_{straw} \times (EC/OC)_{straw}) \times LG} \quad (8)$$

As  $f_{wood}$  should in the range of 0~1, it can be used as the limits of selected EC/OC and OC/LG ratios from softwood and straw. The details of the ratio selection can be found in the Supplement. Once values of  $f_{wood}$  are confirmed, OC from softwood  
 300 ( $OC_{wood}$ ) and straw burning ( $OC_{straw}$ ) can be obtained by:

$$OC_{wood} = LG \times f_{wood} \times (OC/LG)_{wood} \quad (9)$$

$$OC_{straw} = LG \times (1 - f_{wood}) \times (OC/LG)_{straw} \quad (10)$$

$$OC_{bb} = OC_{wood} + OC_{straw} \quad (11)$$

The mass concentrations of  $OC_{bb}$  and the contributions of  $OC_{wood}$  and  $OC_{straw}$  are shown in Fig. 4. The average concentration  
 305 of  $OC_{bb}$  in IAP winter was  $2.7 \pm 1.3 \mu\text{g m}^{-3}$ , with a contribution of  $10.6 \pm 1.7 \%$  to total OC, which is about half that in PG winter ( $4.8 \pm 2.4 \mu\text{g m}^{-3}$ ,  $10.4 \pm 1.5 \%$ ). The  $OC_{bb}$  concentrations fell in summer ( $0.6 \pm 0.7 \mu\text{g m}^{-3}$  at IAP,  $2.0 \pm 0.8 \mu\text{g m}^{-3}$  at PG), the contributions are  $6.5 \pm 5.2 \%$  and  $17.9 \pm 3.5 \%$ , respectively. The rural site always has a higher  $OC_{bb}$ , which is consistent with our previous discussions.  $OC_{wood}$  normally dominated  $OC_{bb}$  while the increase of  $OC_{straw}$  fraction may be attributed to the local open burning activities. The contributions of  $OC_{bb}$  in this study are slightly different from previous  
 310 studies (Table 4), with lower percentage contributions in the winter sampling period. However, if applying a value of 12.2, a typical OC/LG ratio widely used in previous studies (Andreae and Merlet, 2001; Fu et al., 2012; Zhang et al., 2008a; Zhang et al., 2007), contributions of  $OC_{bb}$  to OC would be 17.7 % and 26.3 % for IAP and PG in winter, 6.1 % and 10.8 % in summer,

respectively. Compared with the results in this study, the ratio 12.2 may significantly overestimate the contributions of biomass burning in winter and underestimate the contributions in summer.

315 In addition, coal combustion may also emit LG, accounting for about 7 % of LG in Beijing (Yan et al., 2018; Zhang et al., 2008b). Considering that the reported LG/MN and LG/GA ratios of coal combustion (both are in the range of 5–10) are close to those in our measurements, there may be a contribution from coal burning to levoglucosan, but if this amounts to 7 % of levoglucosan, the percentage contribution of biomass burning to OC in winter falls to  $10.3 \pm 1.6$  % and  $10.2 \pm 1.5$  % at IAP and PG respectively.

320 Besides the local emissions, regional transport of air masses can elevate the local OC and EC concentrations. To study the influence of regional transport on biomass burning particles, back trajectories and fire spots are plotted in Fig. S1 and Fig. S2. As shown in Fig. S1, air masses at IAP and PG in winter originated in from Hebei, Shanxi and Inner Mongolia, and the fire spots showed low intensity of open burning activities along the air mass transport path during the measurement period. It suggests a less important effect of open burning through regional transport. During the summer, the open burning activities  
325 were greatly increased due to the harvesting of wheat (Zhang et al., 2019), which is confirmed by the fire spots distribution in Fig. S2. Air masses from Hebei, Liaoning and Shandong provinces, which contain particles from wheat straw burning, may have enhanced the concentrations of OC and EC in Beijing. Considering the open burning of straw is linked with a sudden increase of LG in the ambient atmosphere, the high LG concentrations accompanied with low fire spot intensity suggests a strong local emission, while days with high fire spot intensities may also be affected by regional transport. Combining the  
330 analysis of fire spots intensity and the  $OC_{wood}$  fractions can help to identify the influence of local emissions and regional transport. The details of regional transport and sources of biomass burning are summarized in Table S2.

### 3.2.2 Other sources of OC and consistency with CMB and AMS/ACSM-PMF model results

More detailed source apportionment of OC can be achieved by combining  $^{14}C$  analysis with primary OC/EC ratios for each source.  $POC_f$  can be determined from  $EC_f$  with primary fossil-fuel OC/EC emission ratios  $(POC/EC)_f$ . However, the  $(POC/EC)_f$   
335 ratios in previous studies (1.12–2.08 in winter, 0.40–0.77 in summer, Zhang et al., 2017) give much lower  $POC_f$  values compared to CMB results (Fig. S5) even though mostly good correlations were found. In reality,  $(POC/EC)_f$  ratios vary greatly according to combustion conditions, fuel types and even measurement method for OC and EC (Chow et al., 2001; Han et al., 2016), and it is very hard to accurately predict the  $(POC/EC)_f$  ratio for a given area. Hence, we used the lowest  $(OC/EC)_f$  ratios  $(OC/EC)_{f, min}$  as the  $(POC/EC)_f$  to estimate  $POC_f$ . Due to the limited number of samples for  $^{14}C$  analysis, the measured lowest  
340  $(OC/EC)_f$  ratios may be higher than the ratios for the whole sampling period, which will result in an overestimation of  $POC_f$ . It is necessary to evaluate  $(OC/EC)_{f, min}$  ratios for the whole sampling period. The evaluation method is described in the Supporting Information. In the same way, primary OC from non-fossil sources ( $POC_{nf}$ ) can be calculated from  $EC_{nf}$  and lowest

(OC/EC)<sub>nf</sub> ratios, thereby concentrations of secondary OC from fossil sources (SOC<sub>f</sub>), non-fossil sources (SOC<sub>nf</sub>) and OC from cooking (OC<sub>ck</sub>) can be obtained by equations in Table 1. The averaged source apportionment results are presented in Table 5.

345 Primary fossil-derived OC is mainly from coal combustion and traffic emissions in China. However, it cannot be distinguished by <sup>14</sup>C analysis. OC/EC ratios from coal combustion and traffic emissions are dependent on various factors, such as the types of coal, stoves, engines, the vehicle operating modes and test method. Typical OC/EC ratios of coal combustion and traffic emissions in Beijing are  $2.38 \pm 0.44$  and  $0.85 \pm 0.16$ , respectively (Ni et al., 2018). An upper limit of POC from traffic emissions (POC<sub>tr</sub>) can be obtained by multiplying EC<sub>f</sub> with the (OC/EC)<sub>tr</sub> ratio ( $0.85 \pm 0.16$ ) considering all EC<sub>f</sub> to come from traffic emissions. A lower limit of POC from coal combustion (POC<sub>cc</sub>) is obtained by subtracting POC<sub>tr</sub> from POC<sub>f</sub>. Such calculation shows that POC<sub>cc</sub> dominated POC at both sites in winter and summer campaigns. The maximum contribution of POC<sub>tr</sub> to OC was 7.3 % and 5.7 % in winter, and 6.8 % and 8.9 % in summer, for IAP and PG respectively, and POC<sub>cc</sub> contributed at least 28.5 % and 28.4 % to OC for IAP and PG in winter, and 22.2 % and 20.1 % in summer.

355 This is a relatively crude method for source apportionment of primary OC from fossil and non-fossil sources. Ni et al. (2018, 2019) reported  $\delta^{13}\text{C}$  signatures of biomass burning, coal combustion and traffic emissions as well as the OC/EC ratios from previous literature. By combining stable carbon isotopic composition analysis of EC with <sup>14</sup>C analysis, the proportions of coal combustion and traffic emission to EC can be derived using Bayesian statistics. The introduction of stable carbon isotopic analysis is suggested as a way to improve our EG method.

360 Further comparisons with results from application of a CMB method and from application of PMF to ACSM data were conducted to understand the uncertainties in source apportionment from different methods. The source contributions to OC at the IAP and PG sites in winter and summer from the CMB model (Xu et al., 2020b; Wu et al., 2020) are presented in Table 5. In brief, seven primary OC sources were apportioned, including emissions from vegetative detritus, biomass burning, cooking, gasoline vehicles, diesel engines, industrial coal combustion, residential coal combustion, along with Other (secondary) OC. Among these sources, coal combustion (the total of residential and industrial coal combustion) accounted for 32.6 % to OC at IAP in winter and 40.0 % to OC at PG in summer, while other OC dominated OC at IAP in summer and at PG in winter, with contributions of 48.2 % and 32.5 %, respectively.

370 For comparison, OC from gasoline vehicles, diesel engines, industrial coal combustion and residential coal combustion resolved by the CMB model are summed up as POC<sub>f</sub>, and OC from vegetative detritus, biomass burning and cooking are summed up as POC<sub>nf</sub>. Correlations of different OC sources from the extended Gelencsér method (EG method) and from the CMB model are shown in Fig. 5. Good correlations were found for POC<sub>f</sub>, POC<sub>nf</sub>, SOC and OC<sub>bb</sub> despite the combination of sites and seasons ( $R^2=0.96, 0.74, 0.85$  and  $0.91$ ). The EG method reported lower POC<sub>f</sub>, POC<sub>nf</sub>, and OC<sub>bb</sub> values than those

from CMB with slopes of 0.77, 0.66 and 0.53, respectively. More specifically,  $OC_{bb}$  by the EG method is 51 % of that by CMB in winter, but 1.33 times higher than CMB in summer (Fig. S6). The main discrepancy within the apportionment of  $OC_{bb}$  is caused by different parameters for the calculations. As the CMB model used source profiles from three major types of cereal straw (wheat, corn, and rice) and two types of wood (pine and mixed wood), it may lead to an overestimation of OC from straw burning. Closer values of  $POC_{nf}$  and SOC were found between the two methods in summer, when samples are almost all belonging to the non-haze period (Fig. S6, Fig. S7). It indicated that the EG method may perform better when OC concentrations are low. Although poor agreement of  $OC_{ck}$  was found between the EG method and the CMB model, the former correlated better with results from the application of PMF to AMS/ACSM data (slope=0.74,  $R^2=0.61$ ). It had previously been shown that discrepancies existed between CMB and PMF model in the quantification of  $OC_{ck}$ . ACSM-PMF may overestimate  $OC_{ck}$  by approximately 2 times (Reyes-Villegas, et al., 2018; Yin et al., 2015), whereas, CMB may not be sensitive enough to the source profile of cooking aerosols (Abdullahi et al., 2018). Overall, the EG method resolves primary and secondary sources of OC well.

Time variations of OC fractions by EG method and their average contributions are shown in Fig. 6.  $POC_f$  was the largest contributor to OC at both sites through winter and summer. Comparable contributions of  $POC_f$  were observed at the urban and rural sites, which reached to  $35.8 \pm 10.5$  % and  $34.1 \pm 8.7$  % in wintertime, and fell to  $28.9 \pm 7.4$  % and  $29.1 \pm 9.4$  % in summer, respectively. Pronounced  $POC_f$  in wintertime implied a significant elevation of coal combustion and traffic emissions. Fossil and non-fossil sources of SOC are distinguished by the EG method in this study for the first time. Average contributions to OC from  $SOC_f$  are higher in winter. They decreased from  $32.0 \pm 12.5$  % to  $25.2 \pm 7.6$  % at IAP, and from  $25.2 \pm 10.4$  % to  $21.0 \pm 14.4$  % at PG from winter to summer. The contributions of  $SOC_{nf}$  are slightly greater in summer ( $18.0 \pm 2.9$  %,  $22.0 \pm 17.6$  % for IAP in winter and summer and  $16.9 \pm 10.8$  %,  $21.7 \pm 16.1$  % for PG in winter and summer, respectively). Significant contributions of  $SOC_f$  in the winter sampling period indicated a greater fraction of  $OC_f$  from ageing and oxidation. The elevated contributions of  $SOC_{nf}$  (as a percentage) in the summer sampling period may be assigned to the reduced coal combustion and enhanced biogenic-derived SOC formation. Similar variations of  $SOC_f$  and  $OC_{onf}$  (all OC from non-fossil sources excluding  $OC_{bb}$ ) between winter and summer were found in the urban area of Beijing by Zhang et al. (2016). The total SOC accounts for  $50.0 \pm 12.3$  % and  $42.0 \pm 11.7$  % of OC for IAP and PG site in winter, demonstrating an important role of secondary formation processes especially at the urban site. The average contributions of  $OC_{ck}$  were  $3.6 \pm 2.7$  % and  $13.4 \pm 5.8$  % in winter for IAP and PG, and  $17.4 \pm 12.5$  % and  $10.4 \pm 6.7$  % in summer, close to those estimated in previous studies ( $19 \pm 4$  %, Zhang et al., 2017). The slightly lower value of the  $OC_{ck}$  contribution in winter at the IAP site (Fig. 5) was due to  $OC_{bb}$  being the overwhelming contributor to  $POC_{nf}$ .

In the winter sampling campaign at IAP,  $POC_f$  was the biggest contributor to OC followed by  $SOC_f$ . Both of them were significantly enhanced during haze periods, while the non-fossil fractions,  $OC_{bb}$ ,  $OC_{ck}$  and  $SOC_{nf}$ , did not show much

difference between haze and non-haze periods. It implied the haze pollution at IAP in winter was elevated by the accumulation of coal combustion and traffic emissions under favourable weather conditions. The formation of secondary OC associated with coal combustion and traffic emissions was increased during the haze period. In the winter campaign at PG,  $\text{POC}_f$  and  $\text{SOC}_f$  were the top two contributors to OC; however, the contribution of  $\text{POC}_f$  and  $\text{SOC}_f$  did not increase much in the haze period. In contrast, the fractions of  $\text{SOC}_{nf}$  increased substantially on 3 and 4 December, on which days there were found to be open burning activities in surrounding areas (shown from the fire spots on Figure S2 in SI). It showed that a large proportion of  $\text{OC}_{bb}$  was transformed to secondary OC during the transport of biomass burning aerosols to the receptor sites. In summer, the sudden increase of the  $\text{SOC}_{nf}$  fraction on 16 and 17 June at both sites was accompanied by an increase of  $\text{PM}_{2.5}$  and OC concentrations. This is likely due to the open burning activities in surrounding areas. The enhancement of  $(\text{OC}/\text{EC})_{nf}$  ratios and  $\text{WSOC}_{nf}$  fractions also suggested secondary OC formation through oxidation of primary non-fossil sources. The standard deviations appear small, but obscure the marked differences between seasons. Also, the time series in Fig. 6 (below) shows substantial day-to-day variations in the source contributions within a season, but still suggest that meteorological drivers play a major role in determining daily concentrations.

### 3.2.3 Correlations of WINSOC, WSOC with POC and SOC

In order to better understand the origins and formation mechanism of OC, the correlations among WINSOC, WSOC, POC, SOC,  $\text{OC}_{bb}$  and  $\text{OC}_{ck}$  were investigated (Fig. 7). The regression slopes and correlation coefficients among them are summarized in Table S6.  $\text{WINSOC}_f$  is usually seen as a proxy of primary fossil-derived OC in many previous studies (Liu et al., 2016; Miyazaki et al., 2006), and in our study  $\text{WINSOC}_f$  correlated well with calculated  $\text{POC}_f$  by the EG method ( $R^2$  of 0.97, 0.93, 0.97 and 0.82 for IAP and PG in winter and summer, respectively); good correlations were also observed between  $\text{WINSOC}_f$  and  $\text{SOC}_f$  with slopes of 1.54, 1.27, 0.99 and 0.99 for IAP and PG in winter and summer (corresponding  $R^2$  is 0.96, 0.89, 0.91 and 0.43). The high  $\text{WINSOC}_f$  to  $\text{SOC}_f$  ratios implied a non-negligible fraction of  $\text{WINSOC}_f$  in  $\text{SOC}_f$ . Moreover, the ratios of  $\text{WINSOC}_f$  to  $\text{POC}_f$  decreased from winter to summer compared to the  $\text{WSOC}_f$  to  $\text{POC}_f$  ratios increasing, indicating a non-negligible fraction of  $\text{WSOC}_f$  in  $\text{POC}_f$  in summer.  $\text{WSOC}_{nf}$  and  $\text{WINSOC}_{nf}$  show good correlations with  $\text{SOC}_{nf}$  with larger  $\text{WINSOC}_{nf}$  to  $\text{SOC}_{nf}$  ratios in winter. The lower water solubility of  $\text{SOC}_f$  and  $\text{SOC}_{nf}$  in winter may be due to them originating from the less oxidized semi-volatile POC from wood burning and anthropogenic emission at low temperatures (Favez et al., 2008; Sciare et al., 2011). Weak photochemical activity would also lead to the formation of less oxidized SOC which is more water-insoluble (Donahue et al., 2006; Robinson et al., 2007). Significant contributions of WINSOC to SOC have been reported in France (Sciare et al., 2011) and Switzerland (Zhang et al., 2016).  $\text{OC}_{bb}$  correlated well with  $\text{WSOC}_{nf}$  ( $R^2$  of 0.94, 0.92, 0.93 and 0.93, at IAP and PG in winter and summer) as it is mainly composed of polar and highly oxygenated compounds (Miyazaki et al., 2006). However, more pronounced  $\text{WINSOC}_{nf}$  in  $\text{OC}_{bb}$  was found in winter especially at the rural site. It seems that more water-insoluble fractions were observed in primary OC ( $\text{POC}_f$ ,  $\text{POC}_{nf}$ ,  $\text{OC}_{bb}$  and  $\text{OC}_{ck}$ ) at the rural site in both

winter and summer. It implies the emitted primary OC at the rural site was probably fresher, and hence less aged and oxidized.

435 On the other hand, the source emission profile at rural sites may be different from urban sites, with more heavy-duty diesel trucks with a high content of water-insoluble OC emitted in rural areas.

## 4 CONCLUSIONS

Measurements of PM<sub>2.5</sub>, OC, EC and biomass burning tracers were conducted at both urban and rural sites of Beijing from 10 November to 11 December 2016 and from 22 May to 24 June 2017, accompanied by the <sup>14</sup>C analysis of 25 selected samples.

440 On most days, fossil sources dominated EC at IAP and PG in winter and summer, with contributions of 45.9–71.7 % at IAP and 48.2–76.6 % at PG. The fossil sources of OC contribute 34.7–75.0 % and 39.3–66.9 % for IAP and PG with non-fossil fractions of OC elevated in summer. An extended Gelencsér method using the <sup>14</sup>C measurements was applied for the first time to estimate fossil and non-fossil sources of primary and secondary OC, as well as OC from biomass burning and cooking (POC<sub>f</sub>, SOC<sub>f</sub>, POC<sub>nf</sub>, SOC<sub>nf</sub>, OC<sub>bb</sub> and OC<sub>ck</sub>, respectively). Fossil-derived POC is the major contributor during winter and  
445 summer at both sites. Fossil-derived SOC contributed more in winter especially at the urban site, with average contributions (to OC) of  $32.0 \pm 12.5$  % and  $25.2 \pm 7.6$  % for IAP,  $25.2 \pm 10.4$  % and  $21.0 \pm 14.4$  % for PG in winter and summer, respectively. The contribution of SOC<sub>nf</sub> increased in summer, which is probably associated with formation from biogenic emissions. A study of relationships among levoglucosan, mannosan and galactosan showed that biomass burning was mainly from softwood combustion and straw burning. The extended Gelencsér method using <sup>14</sup>C data provided a more robust calculation of OC<sub>bb</sub>.  
450 The contributions of OC<sub>bb</sub> to OC were  $10.6 \pm 1.7$  % and  $10.4 \pm 1.5$  % for IAP and PG in winter,  $6.5 \pm 5.2$  % and  $17.9 \pm 3.5$  % for IAP and PG in summer. Correlations among WINSOC, WSOC and POC, SOC showed that WINSOC<sub>f</sub> and WINSOC<sub>nf</sub> were the main components of POC<sub>f</sub> and POC<sub>nf</sub>, respectively. However, large fractions of WINSOC were found in both SOC<sub>f</sub> and SOC<sub>nf</sub> especially at the rural site, and the contributions of water-insoluble OC decreased from winter to summer, with more WSOC formed under favourable conditions in summer. Although derived from a limited number of samples, our study  
455 reflected the different formation mechanisms of SOC between winter and summer, and between the urban and rural area. It also confirms the feasibility of a new approach of direct source apportionment of carbonaceous aerosol, which was found to compare generally well with the commonly used Chemical Mass Balance and AMS/ACSM-PMF methods.

**Data availability** Data supporting this publication are openly available from the UBIRA eData repository at <https://doi.org/10.25500/edata.bham.00000572>

## 460 Author contribution

Z.S. and R.M.H. conceived the research. T.V.V. and D.L. conducted the aerosol sampling and laboratory-based OC/EC analyses. D.L. and L.J.L. carried out the GC-MS analysis. P.Q.F. supervised GC-MS laboratory work. A.V., V.M. and G.S. carried out the <sup>14</sup>C analysis. S.S. and A.S.H.P. supervised the <sup>14</sup>C analysis. X.W. and J.X. conducted the CMB modelling at PG

and IAP sites, respectively. S.H. conducted the analysis of the extended Gelencsér (EG) method incorporating  $^{14}\text{C}$  data. Y.S.  
465 provided the AMS-PMF data. S.H. and D.L. drafted the paper.

### Competing interests

The authors have no conflict of interests.

### Special issue statement

This article is part of the special issue “In-depth study of air pollution sources and processes within Beijing and its surrounding  
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**TABLE LEGENDS:**

	<b>Table 1</b>	Equations for <sup>14</sup> C-based source apportionment, see Sect. 3.2 for the details.
	<b>Table 2</b>	Statistical summary of concentrations, ratios and meteorological parameters at IAP and PG sites during winter and summer campaigns.
775	<b>Table 3</b>	Comparison of LG concentrations and OC from biomass burning in this study and related literature.
	<b>Table 4</b>	Source contribution estimates (µg m <sup>-3</sup> ) for OC at IAP and PG in winter and summer.

**FIGURE LEGENDS:**

	<b>Figure 1</b>	Time series of PM <sub>2.5</sub> and its major components at IAP and PG during winter (left) and summer (right).
780	<b>Figure 2</b>	Time series of concentrations of WSOC <sub>f</sub> , WSOC <sub>nf</sub> , WINSOC <sub>f</sub> , WINSOC <sub>nf</sub> , EC <sub>f</sub> and EC <sub>nf</sub> (left) and their relative contributions to TC (right) during winter in 2016 and summer in 2017 at IAP and PG.
785	<b>Figure 3</b>	Scatter plot of LG/MN vs. LG/GA from different types of biomass burning emissions (Cheng et al., 2013; Sun et al., 2019b; Sun et al., 2019a; LG, MN and GA referred to levoglucosan, mannosan and galactosan, respectively), including those measured in PM <sub>2.5</sub> samples at IAP and PG during winter and summer. The range of LG/MN is 7.66–13.41 and 5.48–22.40 for IAP in winter and summer, 2.45–60.20 and 3.27–17.71 for PG in winter and summer, while the range of LG/GA is 3.98–6.92, 2.78–101.43, 1.97–34.66 and 1.51–13.39, respectively.
	<b>Figure 4</b>	Concentrations of OC from softwood (OC <sub>wood</sub> ) and OC from straw (OC <sub>straw</sub> ) at IAP (upper) and PG (lower) and variations of OC <sub>wood</sub> fractions.
790	<b>Figure 5</b>	Correlations of OC sources from extended Gelencsér method with those from CMB model. EG denotes extended Gelencsér method, (a): primary OC from fossil sources, (b): primary OC from non-fossil sources, (c): secondary OC, (d): OC from biomass burning, (e): OC from cooking, (f): correlations of OC <sub>ck</sub> from extended Gelencsér method and AMS/ACSM-PMF model (AMS for IAP and ACSM for PG). Good correlations were found within the apportionments of POC <sub>f</sub> , POC <sub>nf</sub> , SOC and OC <sub>bb</sub> between CMB and EG method, while large discrepancies of OC <sub>ck</sub> . PMF model did not show certain source apportionment results from fossil, non-fossil or secondary OC, that only OC <sub>ck</sub> concentrations were compared.
795		

**Figure 6** Time variations of OC source apportionment results by extended Gelencsér method (upper) and the fractions of each source (i.e.,  $POC_f$ ,  $SOC_f$ ,  $OC_{bb}$ ,  $OC_{ck}$  and  $SOC_{nf}$ ) in OC based on the extended Gelencsér method (lower). f: fossil fuel sources, nf: non-fossil sources, bb: biomass burning, ck: cooking. The box denotes the 25th (lower line), 50th (middle line), and 75th (top line) percentiles; the solid squares within the box denote the mean values; the end of the vertical bars represents the 10th (below the box) and 90th (above the box) percentiles; and the solid dots denote maximum and minimum values.

**Figure 7** Correlations of WINSOC, WSOC with POC, SOC at IAP and PG sites in winter and summer. The slopes and correlation coefficients are summarized in Table S6.

805 **Table 1.** Equations for <sup>14</sup>C-based source apportionment, see Sect. 3.2 for the details.

Extended Gelencsér method	
EC <sub>bb</sub>	≈EC <sub>nf</sub> , measured by <sup>14</sup> C
EC <sub>f</sub>	measured by <sup>14</sup> C
OC <sub>nf</sub>	measured by <sup>14</sup> C
OC <sub>f</sub>	measured by <sup>14</sup> C
POC <sub>f</sub>	EC <sub>f</sub> × (OC/EC) <sub>f, min</sub>
SOC <sub>f</sub>	OC <sub>f</sub> − POC <sub>f</sub>
POC <sub>nf</sub>	EC <sub>nf</sub> × (OC/EC) <sub>nf, min</sub>
SOC <sub>nf</sub>	OC <sub>nf</sub> − POC <sub>nf</sub>
OC <sub>bb</sub>	EC <sub>nf</sub> × (OC/EC) <sub>bb</sub> = LG × (OC/LG) <sub>bb</sub>
OC <sub>bio</sub>	Ignored
OC <sub>ck</sub>	POC <sub>nf</sub> − OC <sub>bb</sub>

**Table 2.** Statistical summary of concentrations, ratios and meteorological parameters at IAP and PG sites during winter and summer campaigns.

Compound/ Meteorologic al parameters	IAP					PG				
	Winter		Summer			Winter		Summer		
	All samples (n=32)	<sup>14</sup> C samples (haze, n=5)	<sup>14</sup> C samples (non-haze, n=2)	All samples (n=34)	<sup>14</sup> C samples (n=6)	All samples (n=32)	<sup>14</sup> C samples (haze, n=5)	<sup>14</sup> C samples (non-haze, n=2)	All samples (n=34)	<sup>14</sup> C samples (n=5)
PM <sub>2.5</sub> ( $\mu\text{g m}^{-3}$ )	97.7±75.3	158.7±62.1	30.1±27.3	30.2±14.8	42.5±26.5	99.7±77.8	212.1±84.9	28.9±17.1	27.5±12.9	42.7±21.2
OC( $\mu\text{g m}^{-3}$ )	20.5±12.2	33.8±8.6	9.4±7.4	6.4±2.3	8.3±3.2	33.2±22.0	62.0±19.4	16.4±6.1	7.7±3.4	11.5±4.9
EC( $\mu\text{g m}^{-3}$ )	3.3±2.0	4.8±1.3	1.2±1.4	0.9±0.4	1.1±0.3	3.7±2.3	6.7±1.6	2.0±0.5	1.2±0.7	2.0±0.7
OC/EC	6.9±2.4	7.1±0.7	10.8±5.9	7.6±2.2	7.1±1.9	9.0±1.9	9.3±2.5	8.0±1.0	9.0±6.7	6.0±1.3
LG( $\text{ng m}^{-3}$ )	310.7±196	431.6±160.3	144.2±68.1	27.9±29.6	49.7±65.3	634.3±483.2	1162.3±427.2	263.9±66.9	74.0±34.2	106.0±67.2
MN( $\text{ng m}^{-3}$ )	32.4±20.7	44.0±16.8	14.3±4.9	2.6±2.4	4.1±5.0	81.1±63.2	146.1±37.3	29.3±0.1	10.3±3.7	12.8±5.0
GA( $\text{ng m}^{-3}$ )	60.3±39.7	82.3±33.6	28.8±11.4	4.3±2.5	5.3±3.4	190.2±172.9	363.3±209.8	50.6±20.1	14.2±7.6	17.9±4.6
K <sup>+</sup> ( $\mu\text{g m}^{-3}$ )	1.2±1.0	1.6±0.8	0.3±0.2	0.4±0.4	0.7±0.7	1.6±1.3	2.8±1.3	0.4±0.2	0.5±0.3	0.8±0.5
f <sub>NF, EC</sub>	na	0.32±0.03	0.45±0.07	na	0.46±0.09	na	0.39±0.07	0.52±0.00	na	0.41±0.10
f <sub>NF, OC</sub>	na	0.32±0.05	0.32±0.03	na	0.46±0.12	na	0.40±0.07	0.42±0.02	na	0.50±0.09
WS ( $\text{m s}^{-1}$ )	2.7±1.1	2.0±0.4	3.1±1.0	3.6±0.8	4.2±1.2	1.4±0.8	0.9±0.2	2.0±1.8	1.1±0.6	0.3±0.2
RH (%)	41.8±15.1	44.9±8.0	27.6±3.9	43.7±16.6	32.0±12.1	51.7±13.2	73.8±11.7	47.3±8.0	50.2±14.3	39.9±4.3
T (°C)	7.0±3.3	7.0±1.4	4.7±4.9	24.6±3.6	27.1±3.7	1.0±3.2	0.7±1.9	-1.5±4.7	23.3±3.7	26.9±3.2

810 LG, MN and GA referred to levoglucosan, mannosan and galactosan, respectively; WS, wind speed; RH, relative humidity, T, temperature.

**Table 3.** Comparison of LG concentrations and OC from biomass burning in this study and related literature.

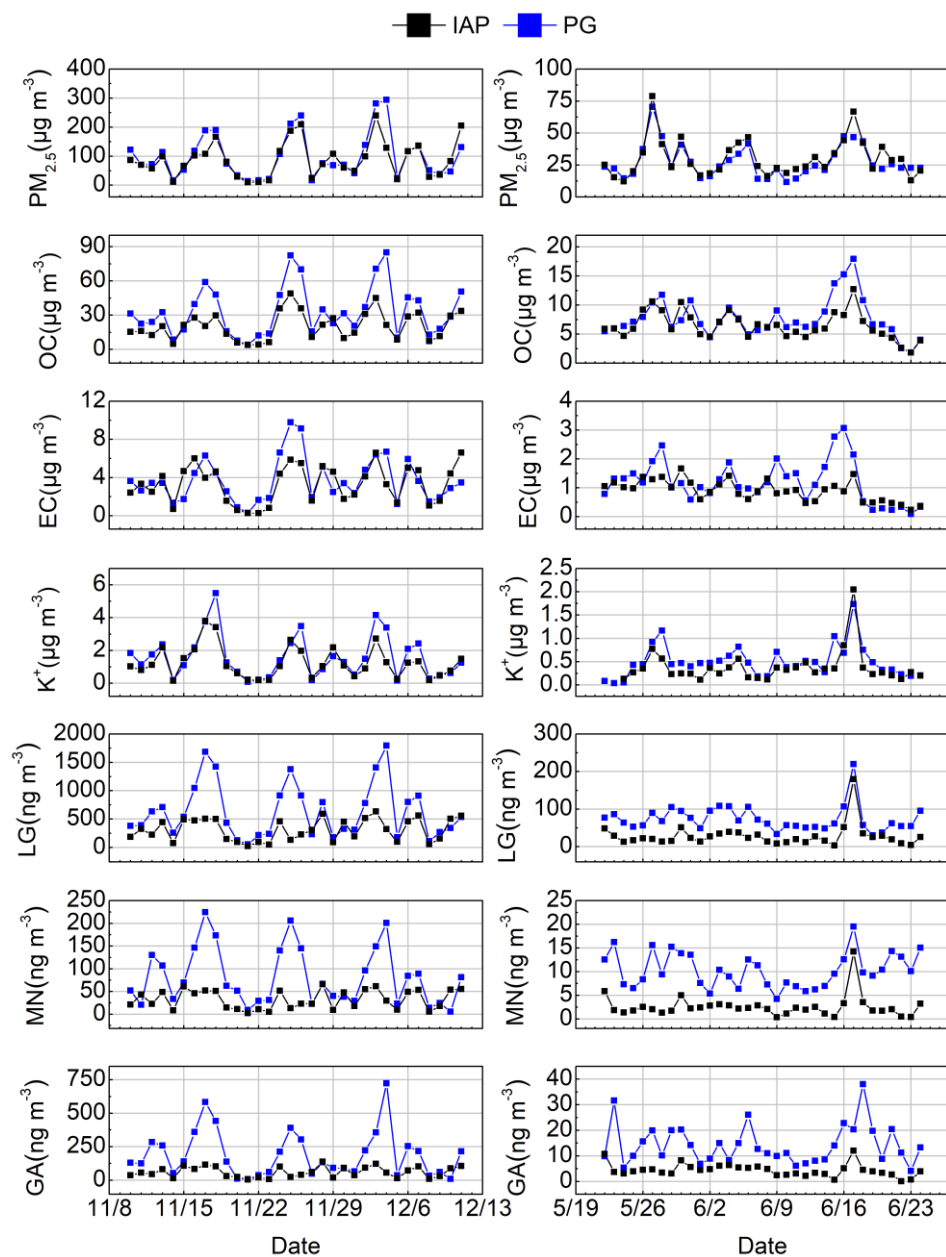
Site	Site Type	Sampling Time	LG ng m <sup>-3</sup>	OC <sub>bb</sub> contribution	OC/LG used for estimation	Reference
Beijing	Urban	Winter (16 Nov-12 Dec 2016)	310.7 ± 196.0	10.6 ± 1.7%		This Study
Beijing	Urban	Winter in 2013-2014	189, 36.1–491	12.2%, 3.61–19.5%	12.29	Kang et al., 2018
Beijing	Urban	Winter in 2012-2013	361, 171–730	16.6%, 6.06–35.2%	12.20–12.50	Li et al., 2018
Wuhan	Urban	Winter (9 January-6 February 2013)	950 ± 421	21% ± 9%	7.76 ± 1.47	Liu et al., 2016b
Beijing	Urban	Summer (22 May-22 Jun 2017)	27.9 ± 29.6	6.5 ± 5.2%		This Study
Beijing	Urban	Summer (9 June-8 July 2014)	56.37 ± 55.48	14.8 ± 9.4%	20.83	Yan et al., 2019
Beijing	Urban	Summer in 2014	12.4, 0.84–26.8	2.73%, 0.28–5.60%	12.29	Kang et al., 2018
Beijing	Urban	Summer in 2012	61.8, 13.9–317	8.39%, 2.64–12.5%	12.20–12.50	Li et al., 2018
Beijing	Rural	Winter (16 Nov-12 Dec 2016)	634.3 ± 483.2	10.4 ± 1.5%		This Study
Xi'an	Rural	Winter (17-26 January 2014)	930 ± 320 in PM <sub>0.133</sub>	24%, 19–32%	12.2	Zhu et al., 2017
Beijing	Rural	Summer (22 May-22 Jun 2017)	74.0 ± 34.2	17.9 ± 3.5%		This Study
Zhengzhou	Suburban	Summer, BB episode in 2-21 June 2015	460–1230	47.20%	13.51	Chen et al., 2018
Zhengzhou	Suburban	Summer, Non-BB in 2-21 June 2015	200–290	13.90%	11.9	Chen et al., 2018
Hebei	Rural	Summer (9 June-8 July 2014)	205.94 ± 304.35	31.3 ± 18.8%	20.83	Yan et al., 2019

815 **Table 4.** Source contribution estimates ( $\mu\text{g m}^{-3}$ ) for OC at IAP and PG in winter and summer.

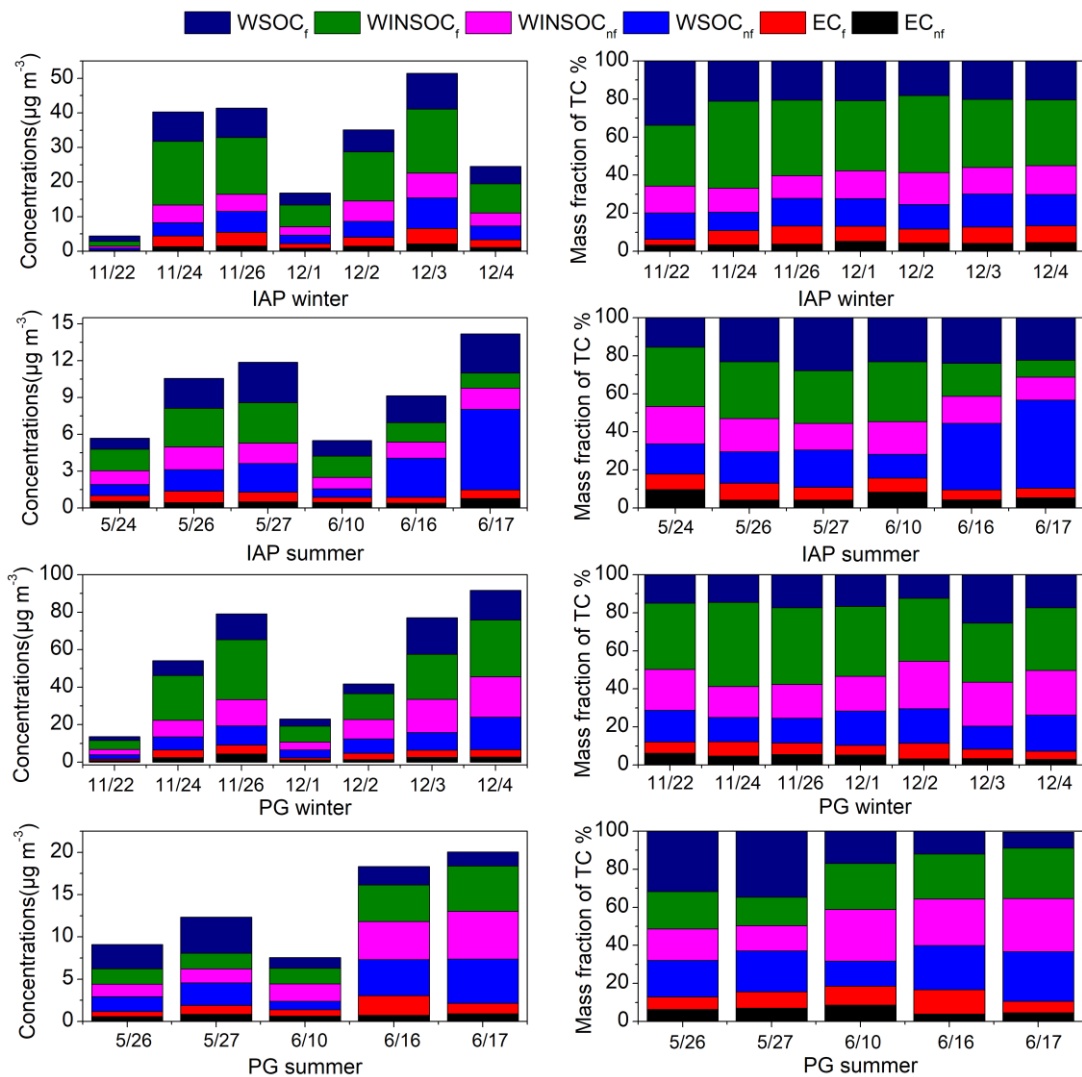
Sources	Winter			Winter			Summer	
EG method results	IAP haze (n=5)	IAP non- haze (n=2)	Winter (n=7)	PG haze (n=5)	PG non- haze (n=2)	Winter (n=7)	IAP (n=6)	PG (n=5)
Fossil-derived POC ( $\text{POC}_f$ )	13.5±3.9	3.0±3.5	10.5±6.2	20.4±2.5	5.0±1.3	16.0±7.8	2.3±0.8	3.3±1.9
Biomass burning ( $\text{OC}_{bb}$ )	3.4±0.9	1.2±0.9	2.7±1.3	6.1±1.7	1.9±0.5	4.8±2.4	0.6±0.7	2.0±0.8
Cooking ( $\text{OC}_{ck}$ )	1.3±0.5	0.4±0.7	1.1±0.7	6.8±3.7	3.0±0.7	5.8±3.6	1.1±0.4	0.9±0.4
Fossil-derived SOC ( $\text{SOC}_f$ )	9.5±3.5	3.3±1.3	7.7±4.2	16.8±10.4	4.6±2.6	13.3±10.4	2.0±0.9	2.1±1.5
Non-fossil-derived SOC ( $\text{SOC}_{nf}$ )	6.2±2.1	1.5±1.0	4.8±2.9	11.9±9.2	1.9±1.0	9.1±9.0	2.2±2.1	3.1±3.1
<b>CMB results</b>								
Gasoline vehicle	2.8±1.2	1.4±0.2	2.4±1.2	1.6±1.1	0.5±0.2	1.3±1.0	0.4±0.1	0.1±0.0
Diesel vehicle	1.3±2.1	0.0±0.0	0.9±1.8	11.4±3.9	1.9±1.5	8.7±5.7	0.1±0.2	0.6±0.3
Industrial CC	5.5±3.9	0.6±0.3	4.1±4.0	4.9±2.4	1.6±0.2	4.0±2.5	2.1±0.4	4.2±2.6
Residential CC	5.7±4.8	2.1±2.3	4.6±4.4	8.6±4.7	3.3±1.4	7.1±4.7	0.2±0.1	0.4±0.2
Vegetative Detritus	0.1±0.1	0.1±0.0	0.1±0.1	3.3±4.7	0.5±0.3	2.5±4.1	0.2±0.1	0.3±0.3
Biomass Burning	5.5±1.9	1.8±1.4	4.4±2.5	11.6±4.3	3.0±0.8	9.2±5.5	0.6±0.8	1.2±0.7
Cooking	3.6±3.3	0.7±0.5	2.8±3.1	0.2±0.2	0.6±0.1	0.3±0.3	0.6±0.3	0.6±0.4
Other OC	9.4±3.6	2.7±2.6	7.4±5.7	20.2±7.4	5.0±2.3	15.9±9.6	4.0±2.2	4.1±2.5
Total OC	33.8±8.6	9.4±7.4	26.8±14.2	62.0±19.4	16.4±6.1	48.9±27.3	8.3±3.2	11.5±4.9

CMB results are from Wu et al., 2020 and Xu et al., 2020

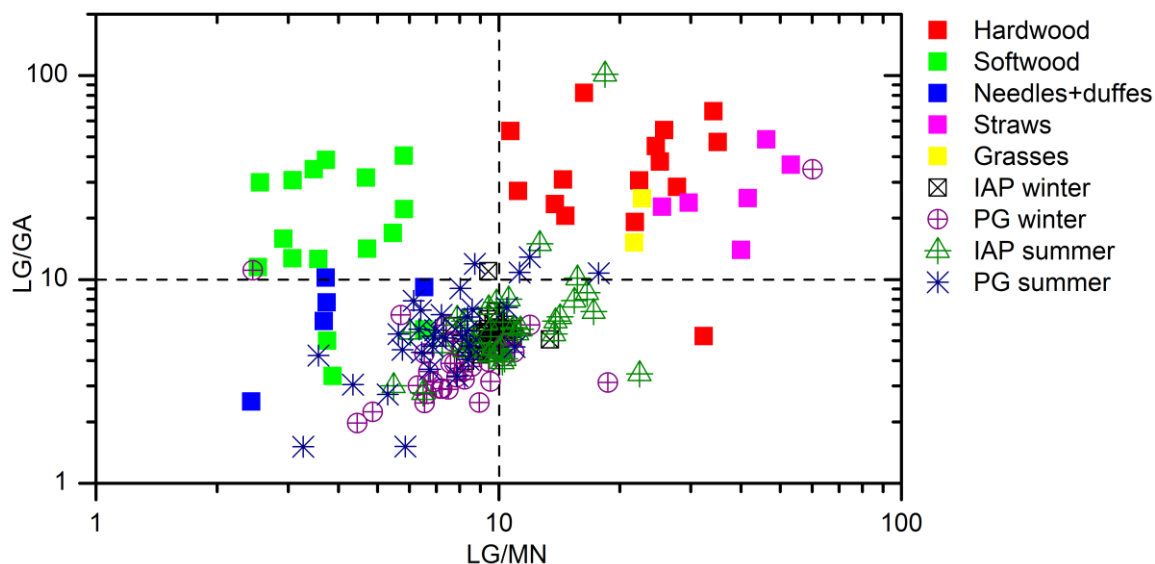
CC: coal combustion; Other OC is calculated by subtracting seven primary sources of OC from total measured OC.



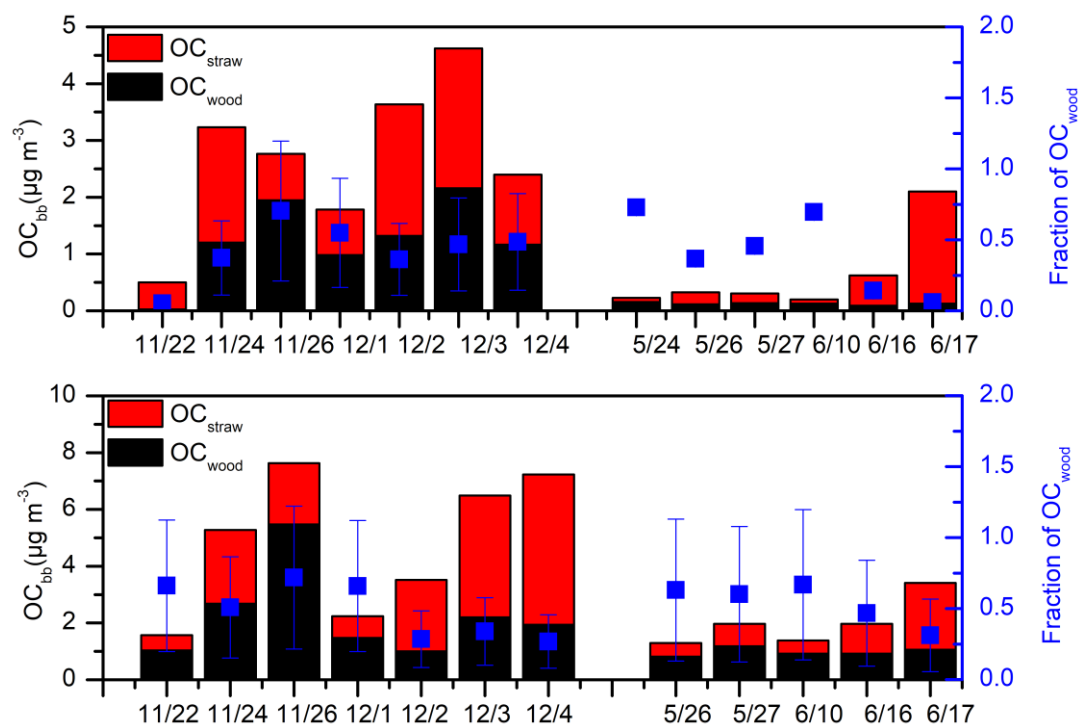
820 **Figure 1.** Time series of  $PM_{2.5}$  and its major components at IAP and PG during winter (left) and summer (right).



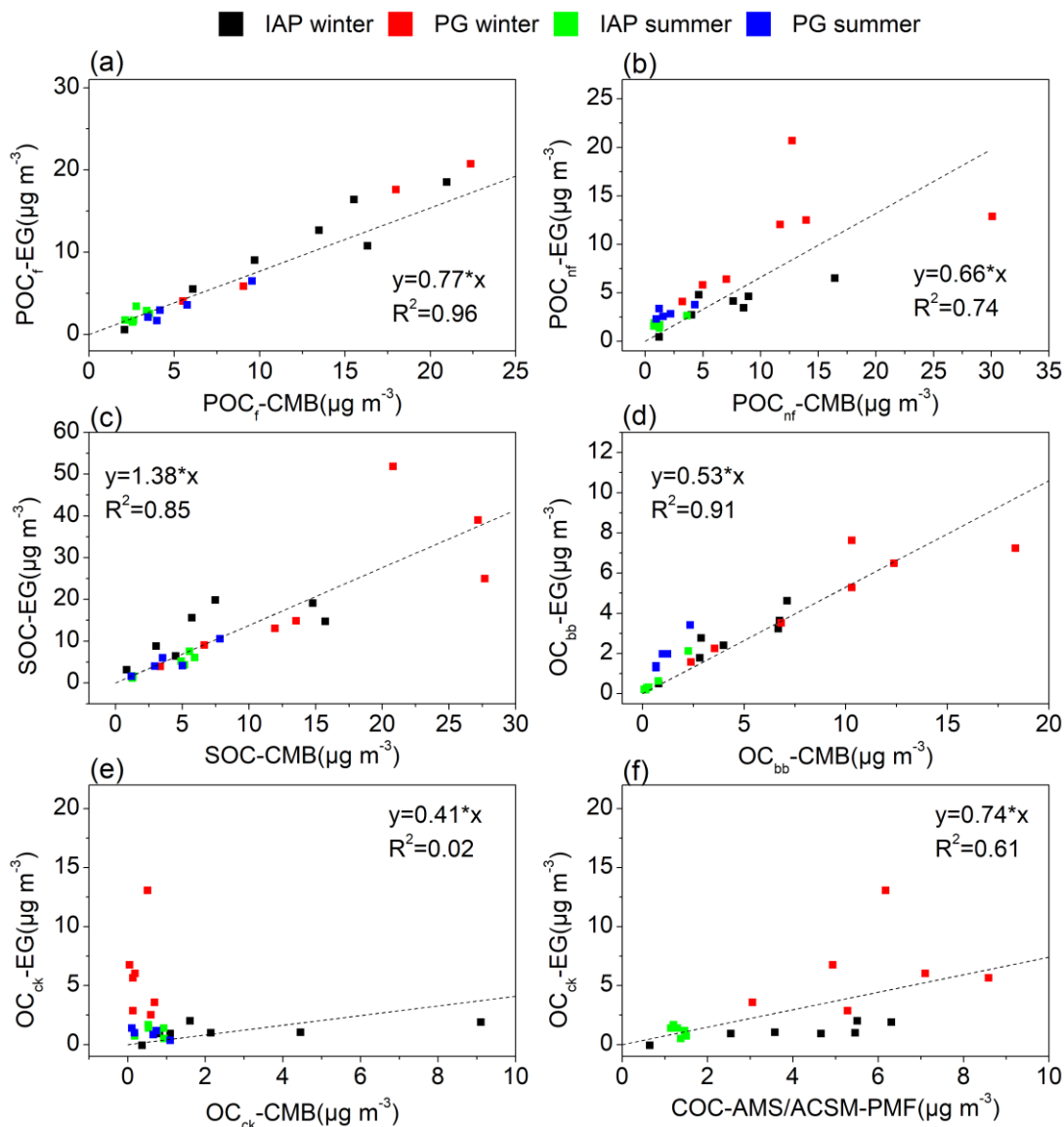
**Figure 2.** Time series of concentrations of WSOC<sub>f</sub>, WSOC<sub>nf</sub>, WINSOC<sub>f</sub>, WINSOC<sub>nf</sub>, EC<sub>f</sub> and EC<sub>nf</sub> (left) and their relative contributions to TC (right) during winter in 2016 and summer in 2017 at IAP and PG.



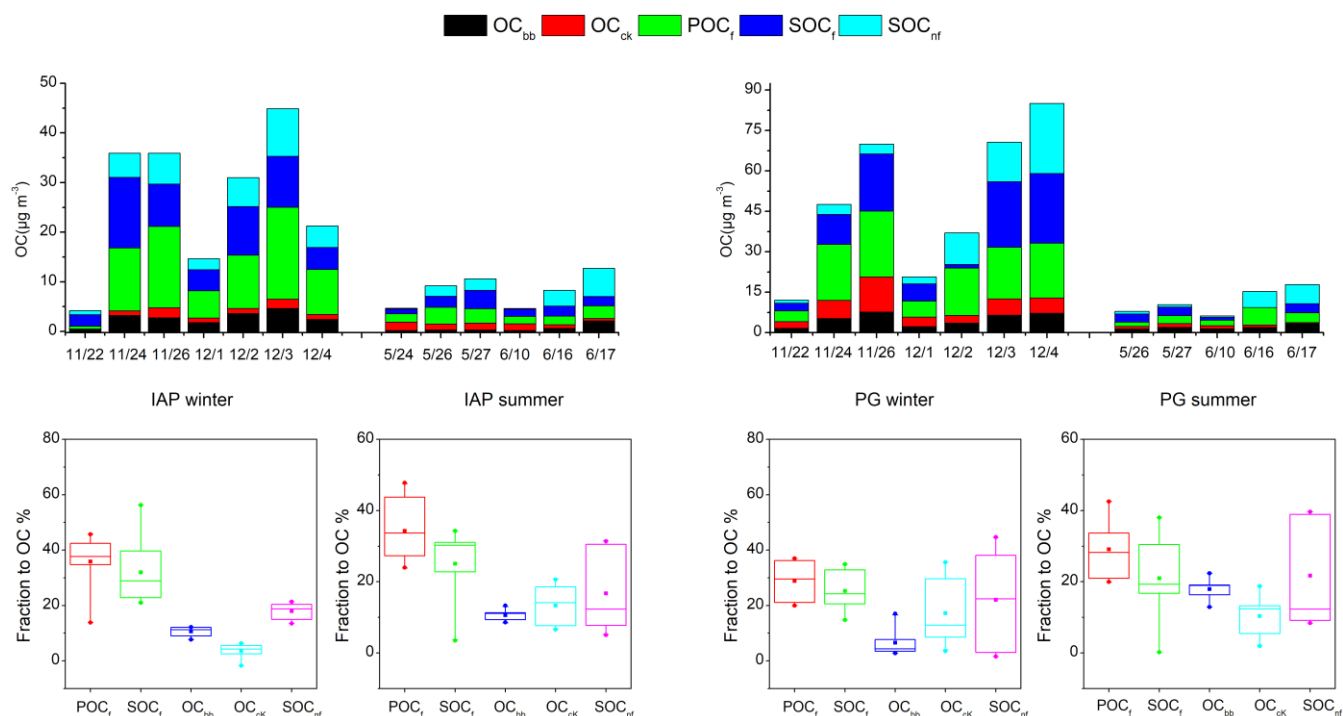
**Figure 3.** Scatter plot of LG/MN vs. LG/GA from different types of biomass burning emissions (Cheng et al., 2013; Sun et al., 2019b; Sun et al., 2019a; LG, MN and GA referred to levoglucosan, mannosan and galactosan, respectively), including those measured in PM<sub>2.5</sub> samples at IAP and PG during winter and summer. The range of LG/MN is 7.66–13.41 and 5.48–22.40 for IAP in winter and summer, 2.45–60.20 and 3.27–17.71 for PG in winter and summer, while the range of LG/GA is 3.98–6.92, 2.78–101.43, 1.97–34.66 and 1.51–13.39, respectively.



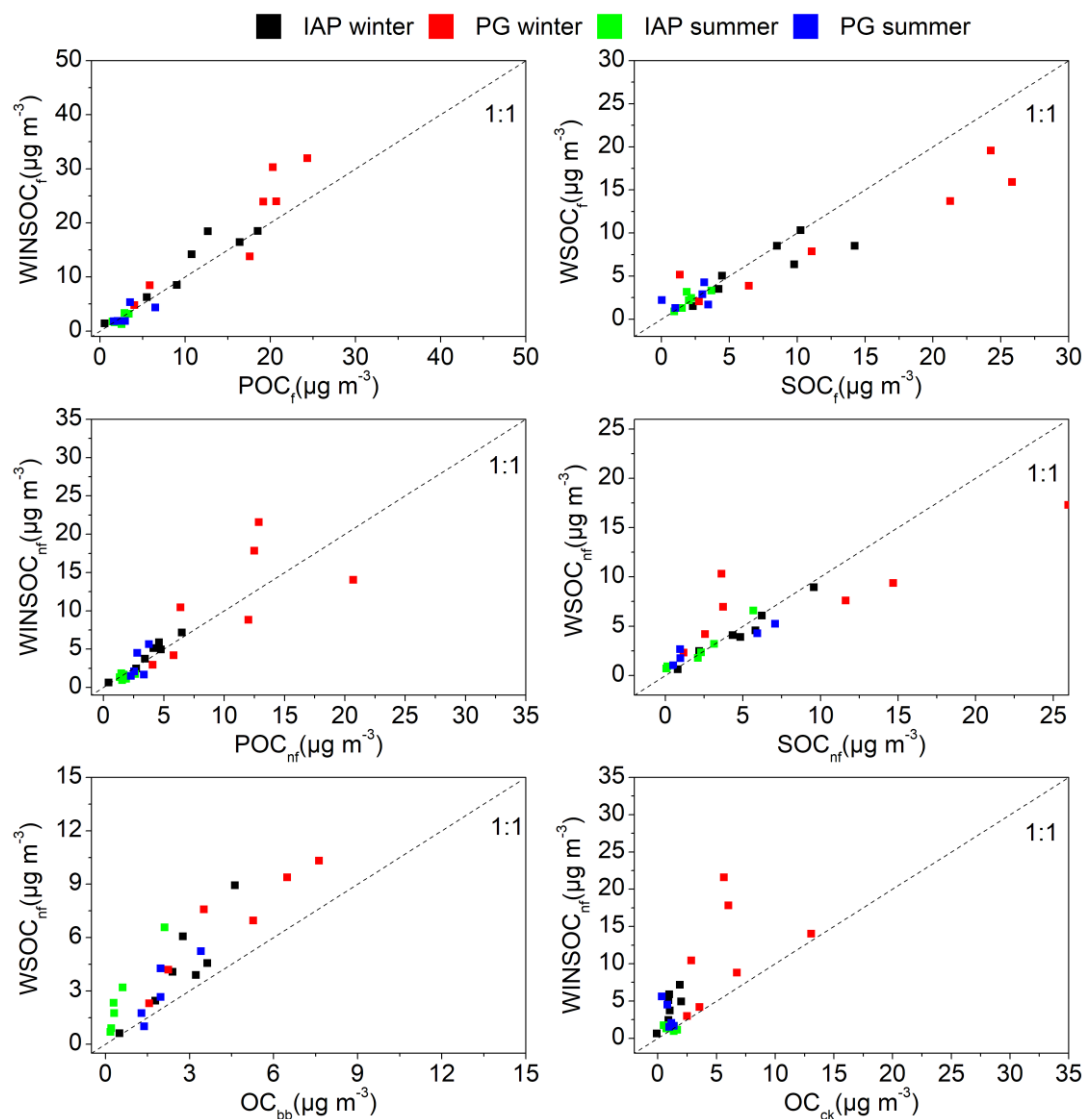
**Figure 4.** Concentrations of OC from softwood (OC<sub>wood</sub>) and OC from straw (OC<sub>straw</sub>) at IAP (upper) and PG (lower) and variations of OC<sub>wood</sub> fractions.



**Figure 5.** Correlations of OC sources from extended Gelencsér method with those from CMB model. EG denotes extended Gelencsér method, (a): primary OC from fossil sources, (b): primary OC from non-fossil sources, (c): secondary OC, (d): OC from biomass burning, (e): OC from cooking, (f): correlations of  $OC_{ck}$  from extended Gelencsér method and AMS/ACSM-PMF model (AMS for IAP and ACSM for PG). Good correlations were found within the apportionments of  $POC_f$ ,  $POC_{nf}$ , SOC and  $OC_{bb}$  between CMB and EG method, while large discrepancies of  $OC_{ck}$ . PMF model did not show certain source apportionment results from fossil, non-fossil or secondary OC, that only  $OC_{ck}$  concentrations were compared.



845 **Figure 6.** Time variations of OC source apportionment results by extended Gelencsér method (upper) and the fractions of each source (i.e., POC<sub>f</sub>, SOC<sub>f</sub>, OC<sub>bb</sub>, OC<sub>ck</sub> and SOC<sub>nf</sub>) in OC based on the extended Gelencsér method (lower). f: fossil fuel sources, nf: non-fossil sources, bb: biomass burning, ck: cooking. The box denotes the 25th (lower line), 50th (middle line), and 75th (top line) percentiles; the solid squares within the box denote the mean values; the end of the vertical bars represents the 10th (below the box) and 90th (above the box) percentiles; and the solid dots denote maximum and minimum values.



**Figure 7.** Correlations of WINSOC, WSOC with POC, SOC at IAP and PG sites in winter and summer. The slopes and correlation coefficients are summarized in Table S6.