# Statistical characteristics of raindrop size distribution over Western Ghats of India: wet versus dry spells of Indian Summer Monsoon

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### Abstract:

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The nature of raindrop size distribution (DSD) is analyzed during wet and dry spells of the Indian Summer Monsoon (ISM) in the Western Ghats (WGs) region by using Joss-Waldvogel Disdrometer (JWD) measurements. The observed DSDs are fitted with gamma distribution, and the DSD characteristics are studied during ISM season-period (June-September) of 2012-2015. The DSD spectra show distinct diurnal variation during the-wet and dry spells. The dry spells exhibit a strong diurnal cycle with two peaks, while the diurnal cycle is not so prominent in the wet spells. Results 15 reveal the microphysical characteristics of warm rain during both the wet and dry periods, . Even though the warm rain processes are dominant in the WGs region, however, the underlying dynamical processes cause the differences in DSD characteristics during the wet and dry spells. In addition, the differences in DSD spectra with different rain rates are also observed. The DSD spectra are further analyzed by separating into stratiform and convective rain types. The different dynamical and microphysical processes influencing DSD characteristics are discussed. Finally, an empirical 20 relationship between the slope parameter,  $\Lambda$  and shape parameter,  $\mu$  is derived by best fitting the quadratic polynomial for the observed data during both wet and dry spells as well as for the stratiform and convective types of rain. The  $\mu$ -A relations obtained in the present study are slightly different in comparison with the previous studies.

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Keywords: Raindrop size distribution, Wet and dry spells, Monsoon, Western Ghats, Disdrometer.

### 1. Introduction

Western Ghats (WGs) is one of the heavy rainfall regions in India. WGs receives a large amount of rainfall (~6000 mm) during the Indian Summer Monsoon (ISM) period (Das et al., 2017, and 30 references therein). Shallow clouds contribute significantly to the monsoon rainfall on the windward side (Kumar et al., 2013; Das et al., 2017; Utsav et al., 2017, 2019) and deep convection in the leeward side (Utsav et al., 2017, 2019; Maheskumar et al., 2014) of the-WGs. In addition, thunderstorms also occur over WGs., hHowever, they are very few during the the monsoon period. The rainfall distribution in the-WGs region is complex in which topography plays a significant role (Houze-et al., 2012, and references therein). The distribution of rainfall on the WGs region-depends on the area, whether it is on 35 the windward side or leeward side of the mountains on the mountain's windward side or leeward side. These different properties correspond to different physical mechanisms. The intense rainfall in the windward side of the mountains, usually called the orographic precipitationmountain's windward side, usually called the orographic precipitation, comes from shallower clouds with long-lasting convection (Das et al., 2017; Utsav et al., 2019). One of the significant issues in precipitation rainfall measurements

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in the WGs region is the unavailability of a stable platform.

The ISM rainfall shows large spatial and temporal variability. It is known that during the active (with a high amount of rainfall) and break (with a little or no rain) spells of the ISM, there are different behaviours in the formation of weather systems and large-scale instability. The strength of the-ISM rainfall depends on the frequency and duration of active and break spells (Kulkarni et al., 2011). This intra-seasonal oscillation of precipitation-rainfall is considered as as one of the most critical sources of weather variability in the Indian region (Hoyos and Webster, 2007). From the earlier studies of Ramamurthy (1969), active and break spells of the–ISM have been extensively studied, especially during the last two decades (e.g., Goswami and Ajaya Mohan, 2001; Gadgil and Joseph, 2003; Uma et al., 20112012; Rajeevan et al., 20122013; Mohan and Rao, 2012; Das et al., 2013; Rao et al., 2016). The characteristic features of ISM active and break spells have been well-understoodextensively studied; for example, their identification (Rajeeven et al., 2006; Rajeevan et al., 2010), spatial distribution (Ramamurthy, 1969; Rajeevan et al., 2010), circulation patterns (Goswami and Ajaya Mohan 2001; Rajeevan et al., 2010), vertical wind and thermal structure (Uma et al., 20112012), rainfall variability (Deshpande and Goswami, 2014; Rao et al., 2016) and the macro and micro physical features of cloud\_properties (Rajeevan et al., 20122013; Das et al., 2013). Even though different dynamical mechanisms for the observed rainfall distribution during the-wet and dry spells of ISM are well understood, the investigation on microphysical processes for rain formation is still lacking.

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Raindrop size distribution (DSD) is a fundamental microphysical property of the-precipitation. The DSD characteristics are related to processes such as hydrometeor condensation, coalescence, and evaporation. In addition, tThese are important parameters affecting the microphysical processes in the parameterization schemes of the numerical weather prediction models (Gao et al., 2011). The altitudinal variation in DSD parameters provides the cloud and rain microphysical processes (Harikumar et al., 2012). These are important parameters affecting the microphysical processes (Harikumar et al., 2012). These are important parameters affecting the microphysical processes in the parameterization schemes of numerical weather prediction models (Gao et al., 2011). Hence, numerous observations of DSDDSD observations during different types of precipitation, different seasons, and different intraseasonal periods at different-several locations are essential for better representation of physical processes in the parameterization schemes. As a result, the numerical weather prediction model 70

communities are continuing continue their efforts to improve the simulation of clouds and precipitation at the monsoon intra-seasonal scales by better representing the microphysical processes through parameterization schemes. Different DSD characteristics lead to different reflectivity (Z) and rainfall rate (R) relations. Hence, understanding the variability in DSDDSD variability is also vital to improve the reliability and accuracy in the quantitative precipitation estimation from radars and satellites (Rajopadhyaya et al., 1998; Atlas et al.e the quantitative precipitation estimation's reliability and accuracy from radars and satellites (Rajopadhyaya et al., 1998; Atlas et al., 1999; Viltard et al., 2000; Ryzhkov et al., 2005).

The active and break spells in theover WGs region-are nearly identical with the active and break phases over the core monsoon zone (Gadgil and Joseph, 2003). The distribution of convective clouds in the WGs region exhibits distinct spatiotemporal variability at intra-seasonal time scales (wet: analogous to an-active period of ISM and dry: similar to a break period of ISM) during the ISM. Recently, Utsav et al. (2019) studied the characteristics of convective clouds over WGs using X-band radar observations along with European Center for Medium-range Weather Forecasting (ECMWF) interim reanalysis (ERA-Interim), and Tropical Rainfall Measuring Mission (TRMM) satellite datasets. Their study revealed that the wet spells are associated with negative geopotential height anomalies at 500 hPa, negative outgoing long-wave radiation (OLR) anomalies, and positive precipitable water anomalies. All these features promote the anomalous south-westerlies, which favours the growth of convective elements over WGs. In contrast, positive geopotential height anomalies, positive OLR anomalies, and negative precipitable water anomalies are observed during the dry spells. These atmospheric conditions suppress the convective activity in the Arabian Sea, and hence little to no rain is seen over WGs during

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90 the dry periods. These different dynamical properties affect the convection during the wet and dry spells over WGs. However, the DSD (often used to infer the microphysical processes of rain) during the wet and dry periods of ISM are ISM periods is least addressed, especially in the WGs region.

Several studieds demonstrated the seasonal variations in DSD over different regions in IndiaIndian regions (e.g., Reddy and Kozu, 2003; Harikumar et al., 2009; Konwar et al., 2014; Harikumar 2016; Das et al., 2017; Lavanya et al., 2019). However, the Climatological studies of DSD 95 at several locations in a given over orographic regions are rarelimited, especially in the WGs region. Despite of its orography, the rainfall intensity is less (below 10 mm  $\frac{1}{10}$  hr  $h^{-1}$ ) over WGs (Sasikumar et al., 2007: Das et al., 2017). A few attempts have been made to understand the DSD characteristics in the WGs. For example, Konwar et al. (2014) studied the DSD characteristics by fitting three-parameter 100 gamma function during the-monsoon-season. They observed that a bimodal and monomodal DSD during low and high rainfall rates, respectively. However, their study is limited to brightband and nonbrightband conditions only. Harikumar (2016) studied the DSD differences between DSD on the coastal (Kochi) and high altitude (Munnar) stations located in the WGs region. He found that the larger drops are more at Munnar than Kochi for a given rain rate., more number of larger size drops are present at 105 Munnar than at Kochi. Das et al. (2017) studied the DSD characteristics during different precipitating systems in the WGs region using Disdrometer-disdrometer, and Micro Rain Radar, and X-band radar measurements. They noticed different Z-R relations during for different precipitating systems types of precipitation. Sumesh et al. (2019) studied the DSD differences between mid- (Braemore, 400 m above mean sea levelMSL) and high-altitude (Rajamallay, 1820 m above above mean sea levelMSL) regions in southern WGs during brightband events. They observed bimodal DSD in the mid-altitude station and 110

monomodal DSD in the high\_-altitude station. <u>However, t</u>Their study also confined to stratiform rain only.

The <u>DSD</u> studies re-are limitedinadequate studies of <u>DSDs</u> exist in the WGs region by considering long-term dataset. This work is the first study-to analyze the DSD characteristics and plausible dynamic and microphysical processes by considering the monsoon intra-seasonal oscillations (wet and dry spells). The present study brings out the results of a unique opportunity by analyzing a more extensive dataset and also-considering the different phases of the monsoon intra-seasonal oscillations in the WGs. With this background, the current study attempted to address the following issues over WGs:

- 1. How do the DSD characteristics vary during wet and dry spells in the WGs region?
  - 2. Does the wet and dry spell rainfall have different microphysical origin over the complex terrain of WGs?
  - Does the DSD show any diurnal differences like rainfall distribution during wet and dry spells-over WGs?
- 4. What are the dynamical processes influencing DSD characteristics during wet and dry spells?
- <u>5.</u> Establish the best fit for  $\mu$ - $\Lambda$  relationships during wet and dry spells.

5.6. What are the dynamical processes influencing DSD characteristics during wet and dry spells?

The paper is organized as follows: the details of the instrument and dataset usedare presented in section 2. The methodology adopted for the separation of separating rainy days

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into wet and dry spells is given in section 3. A brief overview of the DSD variation with topography is in section 4. The observational results of DSDs during the wet and dry spells and the possible reasons are reported in section 5. The summary of this study is provided in section 6.

#### 2. Instrument and Datasets

Four years (June to September; 2012-2015) Joss-Waldvogel Disdrometer (JWD) measurements during the monsoon months (June to September) at the-High Altitude Cloud Physics Laboratory (HACPL; located in the windward slopes of the WGs), Mahabaleshwar (17.92°N, 73.6°E, ~1.4 km 140 above mean sea level) in the WGs is utilized to understand the DSD variations during the wet and dry spells of ISM. Figure 1 shows the topography map along with the dDisdrometer site (HACPL)-is shown in Figure 1. The background surface meteorological parameters like temperature, relative humidity, rainfall accumulation, wind speed, and wind direction measured with automatic weather station over the study site can be found in Das et al. (2020).

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The JWD is an impact type disdrometer, which measures the hydrometeors with sizes ranging from 0.3 to 5.1 mm and arranges them in 20 channels (Joss and Waldvogel, 1969). The JWD has styrofoam conea sensor to estimate-measure the diameters of hydrometeors. Once the hydrometeors hit the 50 cm<sup>2</sup> styrofoam cone, the a voltage is induced by the downward displacement, which is directly correlated with the drop size. The accuracy of JWD is 5% of the measured drop diameter. Although the JWD is generally accepted to be the standard instrument for DSD measurements (Tokay et al., 2005), it several shortcomings, such as noise, sampling errors, andas thea standard instrument for DSD

measurements (Tokay et al., 2005), it has several shortcomings, such as noise, sampling errors, wind, etc. (Tokay et al., 2001; Tokay et al., 2003). In addition to the above shortcoming, the JWD miscounts raindrops in the-lower-size-sized bins, specifically for drop diameters below 1 mm (Tokay et al., 2003). An effort has been made to overcome this deficiency by discarding noisy measurements and applying the error correction matrix provided by the manufacturer manufacturer's error correction matrix. To reduce the sampling error arising due to insufficient drop counts at lower rain rates, the rain rates from insufficient drop counts at lower rain rates, the rain rates of less than 0.1 mm  $hrh^{-1}$  are discarded in the present study. During heavy rain, the-JWD underestimates the number of smaller drops, known as 160 disdrometer dead time. To account the aforementioned error in the-JWD estimates, the rain rates during wet and dry spells are analyzed. It is observed that ~85% (90%) of the rain rates lies below 8 mm  $hrh^{-1}$ during wet (dry) spells (figure not shown). By uUsing the noise-limit diagram of Joss and Gori (1976), Tokay et al. (2001) investigated the underestimation of small drops by JWD. They found that 50% of the drops below 0.4 mm cannot be detected by the-JWD when the rainfall rate is above 20 mm  $hrh^{-1}$ . 165 Here, oIn the present study, only 4% (1%) of the rain rates exceed 20 mm hr<sup>+</sup>during wet (dry) spellsnly 4% (1%) of the rain rates exceed 20 mm h<sup>-1</sup> during wet (dry) spells in the present study. Hence and hence, the underestimation of small drops by JWD is negligible in this the study region. Tokay et al. (2001) further demonstrated that the gamma parameters (such as normalized intercept parameter, rain 170 rate, etc.) derived from long-term observations by JWD and two-dimensional video disdrometer (2DVD) are in good agreement. In the present study, we examined the DSD differences between wet of the ISM using by considering long term (four seasons for vears) datasetWe examined the DSD differences between the ISM's wet and dry spells using long-term (four

monsoonseasons for 4 years) dataset in the present study. So it is appropriate to considerthat the
 undercounting of small drops may not affect much the gamma DSD. Further, the underestimation of
 smaller drops for higher rain rate (4% for wet spells and 1% for dry spells) may not affect the
 conclusion, as this work does not intend to quantify the DSD variations. Instead, it aims to understand
 the DSD variability during wet and dry spells over the complex terrain. Further, there is no consensus
 regarding the JWD sampling period. The undersized integration period can contribute to numerical
 fluctuations in DSDs, whereas higher sampling time may miscount actual physical deviations (Testud et
 al., 2001). Hence, in the present study, As there is no consensus regarding the JWD sampling period, we

The concentration of raindrops, N(D) (mm<sup>-1</sup>-m<sup>-3</sup>) at an instant of time is

have averaged the JWD measurements into 1--min period to filter out these deviations.

$$N(D) = \sum_{i=1}^{20} \frac{n_i}{A\Delta t \nu(D_i) \Delta D_i} \tag{1}$$

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where A is the surface area of observation (50 cm<sup>2</sup>), *t* is the integration time,  $n_i$  is the number of raindrops in the size class *i*, and  $D_i$  is the mean diameter of size class *i*. $v(D_i)$  is the terminal velocity of the raindrop in *i* channel and is estimated from Gunn and Kinzer (1949) as

 $v(D_i) = 9.65 - 10.3e^{-6D_i}$ (2)

The rain rate (R) and reflectivity (Z) are estimated by assuming that the momentum is entirely 190 due to the terminal fall velocity of the raindrops and the raindrops are spherical and assume Rayleigh scattering and expressed as

$$R = \frac{\pi}{6} \frac{3.6}{10^3} \frac{1}{A \times t} \sum_{i=1}^{20} (n_i D_i^3)$$
(3)

$$Z = \sum_{i=1}^{20} N(D_i) D_i^{\ 6} \Delta D_i - (4)$$

 JWD provides rain integral parameters, like, raindrop concentration, rain rate, reflectivity, etc. at

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 onel-minute\_integration\_time (Krishna et al., 2016; Das et al., 2017). The onel-minute DSD

 measurements obtained from JWD-are fitted with a three-parameter gamma distribution, as mentioned

 in\_suggested by UlbrichUlbrich\_suggested (1983). The details about the DSDs used in the present study

 can be found in Das et al. (2017) and Krishna et al. (2017).

The functional form of the gamma distribution assumed for the DSD is expressed as

$$N(D) = N_0 D^{\mu} exp\left[-(3.67 + \mu)\frac{D}{D_0}\right]$$
(15)

where, N(D) is the number of drops per unit volume per unit size interval,  $N_0$  (in m<sup>-3</sup> mm<sup>-(1+µ)</sup>) is the number concentration parameter, D (in mm) is the drop diameter,  $D_0$  (in mm) is the median volume diameter, and  $\mu$  (unitless) is the shape parameter (Ulbrich, 1983; Ulbrich and Atlas, 1984). The gamma DSD parameters are calculated using moments proposed by Cao and Zhang (2009). Here, 2<sup>nd</sup>, 3<sup>rd</sup>, and 4<sup>th</sup> moments are utilized to estimate the Gamma gamma parameters. For WGs, tThis<u>This</u> method gives relatively fewer errors compared tothan other methods over WGs (Konwar et al., 2014). The '*n*' order moment of the gamma distribution can be calculated as

$$M_n = \int_0^\infty D^n N(D) \,\mathrm{d}D \tag{62}$$

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The shape parameter,  $\mu$ , and the slope parameter,  $\Lambda$  are given by expressed as

$$\mu = \frac{1}{(1-G)} - 4 \tag{73}$$
$$\Lambda = \frac{M_2}{M_3} (\mu + 3) \tag{84}$$

Where

$$G = \frac{M_3^2}{M_2 M_4} = \frac{\left[\int_0^{\infty} D^3 N(D) dD\right]^2}{\left[\int_0^{\infty} D^2 N(D) dD\right] \left[\int_0^{\infty} D^4 N(D) dD\right]} \quad (95)$$

The other parameters, normalized intercept parameter,  $N_w$  (in mm<sup>-1</sup> m<sup>-3</sup>), mass-weighted mean diameter,  $D_m$  (in mm), and liquid water content, *LWC* (in gm m<sup>-3</sup>), are calculated following Bringi and Chandrasekar (2001).

$$D_{m} = \frac{\int_{0}^{\infty} D^{4} N(D) dD}{\int_{0}^{\infty} D^{3} N(D) dD}$$
(406)  
LWC =  $10^{-3} \frac{\pi}{6} \rho \int_{0}^{\infty} D^{3} N(D) dD$  (417)  
 $N_{W} = \frac{4^{4}}{\pi \rho_{W}} \left( \frac{10^{3} LWC}{D_{m}^{4}} \right)$ (428)

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### where, $\rho_w$ is the density of water.

Apart from JWD<u>measurements</u>, the ERA-Interim (Dee et al., 2011) dataset is also used to understand the dynamical properties responsible forprocesses influencing different DSD characteristics during wet and dry spells. The ERA-Interim provides atmospheric data on 60 levels in the vertical from the surface to 0.1 hPaat different pressure and time intervals. The ERA Interim data are available at 3hourly and 6-hourly intervals. <u>Here</u>In the present study, temperature (K), and specific humidity (kg kg<sup>-1</sup>), horizontal and vertical winds at 700-850 hPa with a spatial resolution of  $0.25^{\circ} \times 0.25^{\circ}$  at 0000 UTC are considered during ISM period\_of 2012-2015. The specific humidity at 700-850 hPa infers the amount of water vapour available for the cloud formation over the study region, WGs.

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The daily accumulated rainfall collected by the India Meteorological Department (IMD) rain gauge<u>s are-is</u> used to identify the wet and dry spells of ISMISM's wet and dry spells. The-IMD receives the rainfall accumulations at 08:30 LT (LT=UTC+05:30 hrs) every day. To examine the JWD data quality, the daily accumulated rainfall measured by the-JWD is compared with the daily accumulated

rainfall collected from the-rain gauge. For comparison, JWD rainfall data accumulated at 08:30 LT is
calculated for all the days during the-2015 monsoon-season of 2015. The daily accumulated rainfall collected by rain gauge and JWD above 1 mm is considered for the comparison. A total of 76 days of data is utilized. The non-availability of data for this period maymight occur either due to maintenance activity or due to non-rainy days. Figure 1-2 shows the scattered plot of daily accumulated rainfall between JWD and rain gauge. A linear fit is carried out to the scatter plot and is displayed with the grey line in the figure. The correlation coefficient is about 0.99 between the two measurements despite their diverge different physical and sampling characteristics. The bias in JWD measured rainfallJWD measured rainfall bias is about -0.7 mm, and root mean square error is about 2.9 mm. These results suggest that the JWD measurements can be utilized to understand the DSD characteristics during the wet and dry spells in the WGs region of ISM in the WGs region.

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### 3. Identification of wet and dry spells

In the present study, aPai et al. (2014) proposed an objective methodologyn objective methodology proposed by Pai et al. (2014) is used to identify the wet and dry spells of ISM. The IMD generated A long-term (1979-2011), high-resolution (0.25°×0.25°) gridded daily rainfall data using a collected from IMD rain gauge network is used to classify the wet and dry spells of ISM. over the Indian region. High-resolution (0.25°×0.25°) daily gridded IMD rainfall dataset is utilized for 32 years (1979-2011) over HACPL, Mahabaleshwar (17.75°N 18°N and 73.5°E 73.75°E), grid to identify the wet and dry spells. The area-averaged daily rainfall time series is constructed for <u>HACPL</u>, <u>Mahabaleshwar (17.75°N-18°N and 73.5°E-73.75°E)</u> this-region during the monsoon season period-(1<sup>st</sup>

June to 30<sup>th</sup> September) for the four years (2012-2015) as well as the monsoon period for the 32 255 yearsfor long-ternm data. For a given monsoon period, tThe difference of daily average rainfallaily average rainfall difference for four seasons monsoon and the daily average of long-term data provides the daily anomalies. The standard deviation of daily average rainfall is calculated from 32 years of rain gauge data from IMD long-term data. The standardized anomaly time series is obtained by normalizing the daily anomalies with the corresponding standard deviations. 260

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$$Events = \frac{(Av.ofdailyrain-Av.oflongtermrain)}{St.dev.ofdailyrain}$$
(139)

These standardized anomaly time series are used to separate the wet and dry spells. A period in this standardized anomaly time series is marked as wet (dry) if the standardized anomaly exceedsed a value of 0.5 (-0.5) for consecutive three days or more (Utsav et al., 2019). Figure 2-3 shows the standardized rainfall anomalies calculated using eq. (139). Table 1 shows the number of wet and dry days during the study period. It is observed that there is are more number of dry days during 2012-2015 monsoon seasons, and July has comparatively more <u>number of wet days</u>. In this work, TA total of 44,640 (149,760) 1-min raindrop spectra are analyzed during the-wet (dry) days for 2012-2015 of ISM.

#### 4. DSD overview-Topographic perspective: 270

The A single point-wise instrument is not sufficient to address the orographic impacts on DSD characteristics. One of the difficulties in studying the effect of orography on DSD properties is the unavailability of many disdrometers deployed in the windward and leeward sides of in the WGs region, which could capture the topography variations across the WGs region. However, in the present studyHere, an overview of the DSD characteristics are presented on the windward and leeward sides

of over the WGs is shown by using the Global Precipitation Measurement (GPM) mission satellite products. The GPM level 3 data provides different DSD parameters like  $D_m$  and  $N_w$  at a spatial resolution of  $0.25^\circ \times 0.25^\circ$  from  $60^\circ$ S to  $60^\circ$ N. The GPM is the first space-borne dual-frequency precipitation radar (DPR) contains Ku band at ~13.6 GHz and Ka-band at ~35.5 GHz. The details of the satelliteGPM mission can be found in Huffman et al. (2015), and the dataset used in the present analysis can be found in Krishna et al. (2017).

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The GPM-DPR estimate  $D_m$ , and  $N_w$  using the dual-frequency ratio (DFR) method. However, the GPM-DPR suffers limitations. The DSD parameterization used in the GPM-DPR is the gamma distribution with a constant shape parameter,  $\mu$ =3 (Liao et al., 2014). The constant value of ' $\mu$ ' introduces errors in the retrievals. The retrieval of  $D_m$  using the DFR method is iterative, and the  $D_m$  has two solutions when the DFR is less than 0 (Meneghini et al., 1997; Liao et al., 2003; Mardiana et al., 2004). The uncertainties in the GPM-DPR in estimating the DSD are detailed in Seto et al. (2013), and Liao et al. (2014), etc. Recently, Krishna et al. (2017) assessed the DSD measurements from GPM in the WGs region by comparing them with the ground-based disdrometer. They showed that the seasonal variations in  $D_m$  and  $N_w$  are well represented in the GPM measurements. However, they—GPM underestimates  $D_m$  and overestimate  $N_w$  value in comparison compared to the ground-based disdrometer measurement. Radhakrishna et al. (2016) also found—that—the showed GPM underestimates (overestimates) the mean  $D_m$  ( $N_w$ ) during the southwest and northeast monsoons over Gadanki, a semiarid region of India. They showed that the single-frequency algorithm underestimates the mean  $D_m$ by ~0.1 mm below 8 mm hth<sup>-1</sup>, and the underestimation is a-little higher at higher rain rates. Whereas in the dual frequency DFR algorithm, the mean  $D_m$  is nearly the same below 8 mm hth<sup>-1</sup> but underestimates (~0.1 mm) at higher rain rates. Further, the underestimation is very small for  $D_m$  values below 1.5 mm. In the present study, most of the<u>In most of the cases</u>, the rainfall intensity is below 8 mm hrh<sup>-1</sup> (as discussed in previous section), and also the  $D_m$  is values present below 1.5 mm in the WGs region. Hence, it is reasonable to consider the GPM measurements to have an overview of overview DSD characteristics over the WGs.

Three locations (ocean, windward, and leeward sides of WGs) are selected to understand the rain microphysical processes at different topographic regions in WGs. These locations are the ocean, HACPL high altitude cloud physics laboratory (HACPL; located on the windward slope of the WGs), 305 and leeward side of the WGs. The DSD differences in these three sites can partially infer the effect of orography on DSD. Figure  $\frac{3-4}{2}$  shows the distribution of  $D_m$  distribution over the ocean, windward, and leeward sides of the-WGs. In this plot, the box represents the data between first and third quartiles, and the whiskers show the data from 12.5 and 87.5 percentiles. The horizontal line within the box represents the median value of the distribution. The distribution of  $D_m$  is smaller over the ocean and windward 310 sideshigh altitude site, whereas the  $D_m$  shows large variability on the leeward side. Further, the median value of  $D_m D_m$  median value is low over the ocean compared to the windward and leeward sides of the mountain. The smaller distribution of  $D_m$  over the ocean and high altitude site windward sides can be attributed to the predominance of shallow clouds/cumulus congestus. In addition, the lower median  $D_m$ represents the shallow convection over the ocean. The broader distribution and relatively higher median 315 value of the  $D_m$  represent the continental convection over <u>on</u> the leeward side of the mountainsmountain's leeward side. Zagrodnik et al. (2019) also observed narrow  $D_m$  distribution during the Olympic Mountains Experiment (OLYMPEX) on the windward side of the Olympic

peninsula Olympic peninsula's windward side. Similarly, the large variability in  $D_m$  on the leeward side of the mountain represents the presence of deeper clouds.

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### 5. Results and Discussion

The DSD and rain integral parameters during the wet and dry spells are examined in terms of diurnal and with different types of precipitation (convective and stratiform). We considered In this study, the raindrops with diameters less than 1 mm are considered as small drops, with diameters between in the range 1 and 4 mm are regarded as mid-size drops and with diameters above 4 mm are considered as large drops.

#### 5.1. Raindrop size distribution during wet and dry spells

The information on the background microphysical processes, which are responsible precipitation formation in convective and stratiform systems, could be inferred from observed variations the DSDs at the ground. Figure 4-5 shows the temporal evolution of normalized raindrop concentration during wet and dry spells, exhibiting distinct diurnal features. The concentration of smaller drops (Figure 4a5a) is higher during the dry periods. The higher concentration of small drops in dry spells indicates the influence of orography on rainfall predominance of orographic convection over WGs. In the mountain regions, DSDs evolved through warm/shallow rain processes. This warm rainfall 335 is produced when the upslope wind is stronger, and moisture availability is high (White et al., 2003). In such a situation, the strong orographic wind enhances the growth of cloud droplets cloud droplet's growth via condensation, collision, and coalescence (Konwar et al., 2014). Further, a large number of small raindrops during the-dry spells indicate that the efficient drop breakup and evaporation processes

may be more efficient during the dry periods. In the smaller drop spectra, dry spells exhibit a strong diurnal cycle with a primary maximum in the afternoon hours (1500-1900 LT) and a secondary peak in 340 the night time (2300-0500 LT). This diurnal feature is also noted by Utsav et al. (2019)Utsav et al. (2019) also stated this diurnal feature in the 15-dBZ echo top height (ETH) from X band-radar observations during the dry spells. However, such a diurnal cycle is not present in smaller drops during the wet spells. These smaller drops show a little higher concentration during morning hours (0500-0700 LT), representing the oceanic nature of rainfall (Rao et al., 2009; Krishna et al., 2016).

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In the mid-size drops (Figure 4b5b), the concentration is higher in wet spells compared tothan drv spells. The higher concentration of mid-size drops during the wet spells are iscould be due to the the collision-coalescence process (Rosenfeld and Ulbrich, 2003), and accretion of cloud water by raindrops (Zhang et al., 2008). This result indicates suggests that the congestus clouds are omnipresent during the wet spells. Further, in the mid size drops, both the spells exhibit a diurnal cycle A clear diurnal cycle can be observed during both the spells; however, their strengths are different. The wet spells exhibit two broad maxima, one in the late afternoon (1400-1900 LT) and the other in the early morning (0500-0700 LT) times. The dry spells also show two maxima, one in the late afternoon (1400-1900 LT) as in the wet periods, and the other in the night time (2300-0500 LT). Such a diurnal cycle is also observed in rainfall features over WGs (Shige et al., 2017; Romatschke and Houze, 2011). Shige et al. (2017) found a continuous rainfall with a double-peak structure of nocturnal and afternoon-evening maxima in the WGs region. Romatschke and Houze (2011) observed a double peak rainfall pattern in the WGs region. They proposed that the morning peak is related to oceanic convection while the afternoon peak is associated with the continental convection.

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Figure 5-6 shows the mean DSDs during wet and dry spells along with the seasonal mean-DSD for the study period. Here, N(D) is plotted on a logarithmic scale to accommodate its large variability. In general, the DSDs during the dry spells are narrower than the DSDs during the wet periods. The mean-DSDs are concave downward during both the spells. The mean concentration of smaller drops (< 0.9 mm) is higher, and the mean concentration of medium and larger drops is lower in dry periods. An 365 increased concentration in smaller drops and a decrease in medium and larger drops concentration is found in the dry spells <del>compared tothan</del> the seasonal mean concentration. This indicates the collision and breakup processes, as described by Rosenfeld and Ulbrich (2003) and Konwar et al. (2014). In contrast, low concentrations of smaller drops and an increase in number concentration of drops above 0.9 mm diameter are observed in the wet spells.

370 To study the differences in DSD during the wet and dry spells with rain rate, the distribution of  $\frac{N(D)}{N(D)}$  distribution is compared at different rain rates, as shown in Figure 67. Here N(D) is plotted on a logarithmic scale. It is evident from this figure that There is A significant differences exist in N(D)from-is found between wet to-and dry spells. The contours are shifted to higher rain rates and higher diameters in the wet spells. It indicates that the mid-size drops in the range 1-2 mm are higher in wet 375 spells than in dry spells for the same rain rate. This result is more pronounced in lower rain rates below 10 mm hrh<sup>-1</sup>. Further, the concentration of raindropsraindrops concentration in the range 1-2 mm increases as the rain rate increases between 5- and 15 mm  $\frac{1}{10}$  mm  $\frac{1}{10}$  during the wet periods. At higher rain rates (above 10 mm hth<sup>-1</sup>), the smaller and mid-size drops are higher in the wet spells than in the dry periods. However, this difference decreases gradually as rain rate increases. At above 30 mm hrh<sup>-1</sup>, both the periods show a similar distribution of N(D) (not shown in the figure). However, in the for larger 380

drops-diameters above 4.5 mm, the concentration is higher in the-wet spells compared tothan the-dry periods in all rain rate intervals (not shown in the figure).

Figure 7-8 presents the histograms of DSD parameters,  $D_m$ ,  $\log_{10}(N_w)$ , A, and  $\mu$  during the wet and dry spells. The histograms of  $D_m$  are positively skewed during both wet and dry periods (Figure 385 **7a8a**). The distribution of  $D_m$  is broader in the dry spells. The  $D_m$  value varies from 0.42 to 4.8 mm, with the maximum occurrence at  $\sim 1.2$  mm during the wet periods, whereas it ranges from 0.4 to 5 mm, with the maximum appearance at ~0.8 mm during the dry spells. For  $D_m$  values < 1 mm, the distribution for the dry spells y spells distribution is higher than for the wet spells. This finding indicates the predominance of smaller drops during the-dry spells. The mean, standard deviation and skewness value 390 of  $D_m$  along with the standard deviation and skewness, are provided in Table 2. The mean value of  $D_m$ is 1.3 mm, and its standard deviation is 0.38 during the wet spells, whereas the mean  $D_m$  is 0.9 mm, and its standard deviation is 0.37 during the dry spells. A relatively large number of small drops reduce the  $D_m$  value in the dry spells, while the presence of fewer smaller drops and relatively more mid size drops increases fewer smaller drops and relatively more mid-size drops increase the  $D_m$  value in the wet 395 periods. The histograms of  $\log_{10}(N_w)$  are negatively skewed during both wet and dry spells (Figure  $\frac{7680}{7}$ ). The log<sub>10</sub>(N<sub>w</sub>) shows an inverse relation with D<sub>m</sub> and is varied from 0.52 to 5.11 during the wet spells and from 0.50 to 5.43 during the dry periods. The histogram of  $\log_{10}(N_w)$  peak at 3.9 during the wet periods, however. The histograms of  $\log_{10}(N_{\rm w})$  it shows a bimodal distribution during the dry spells. This bimodal distribution of  $\log_{10}(N_w)$  peaks at 3.9 and 5. This finding is consistent with the results of Utsav et al. (2019). They analyzed the 0--dBZ echo top heightsETH, which represent the cloud top 400 heights during wet and dry spells and. They observed a bi-modal distribution in 0 dBZ echo top

heightETH, which peaks at 3 km and 6.5 km during the dry periods. The large value of standard deviation indicates the large variations in  $D_m$  and  $N_w$  during both wet and dry periods. The histograms of slope parameter (A) and shape parameter ( $\mu$ ) are shown in Figure 78(c)-(d). The slope parameter A represents the truncation of the DSD tail with the raindrop diameter. If the A values are small, the DSD tail is extended to the larger diameter and vice-versa. The shape parameter  $\mu$  indicates the breadth of DSD. The positive (negative) values of  $\mu$  indicate the concave downward (upward) shape for the DSD. The zero value of  $\mu$  represents the exponential shape for DSD (Ulbrich, 1983). The histogram of A shows positive values during both wet and dry spells. The occurrence of A is higher below 10 mm<sup>-1</sup> during the wet periods, indicating the broader spectrum of raindrops, whereas it is distributed up to 20 mm<sup>-1</sup> during the dry spells. The extension of A towards higher values of A and  $N_w$  during the wet spells indicate that the tail of the DSD extends to large raindrop sizes. The histogram of  $\mu$  shows positive values during both wet and dry spells indicating the concave downward shape of DSD during both the periods.

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Numerous studies have been carried out to understand the DSDs during different <u>types of</u> <u>convectionstorms</u> and within a <u>storm-convective system</u> (Dolman et al., 2011; Munchak et al., 2012; Friedrich et al., 2013; Thompson et al., 2015; Dolan et al., 2018). These studies showed the combined dynamical (stratiform and convective) and microphysical processes occurring in <u>the stormsa</u> <u>precipitating system cause differences in observed DSD</u>. Therefore, to understand the effect of dynamical processes on different DSD characteristics during <u>the</u> wet and dry spells, the precipitation events are classified into stratiform and convective types. <u>Several rain classification schemes proposed</u>

in the literature using different instruments, like, **D**disdrometer, radar, profiler (Bringi et al., 2003; Thompson et al., 2015; Krishna et al., 2016; Das et al., 2017; Dolan et al., 2018; Harikumar et al., 425 2020). In this work, the precipitating systems are classified as stratiform and convective based on the criterion proposed by Bringi et al. (2003) criterion. Even though several other classification schemes available in the literature, it is the most widely used classification criterion for stratiform and convective rainfall. The main purpose here is to understand the DSD differences between convective and stratiform (rain which does not come under the convective category) rain systems, we adopted the well known 430 Bringi et al. (2003) criterion. To classify precipitation into stratiform and convective types, Bringi et al. (2003) considered 5 consecutive 2--min DSD samples. However, in the present study, 10 consecutive 1 min DSD samples are considered to classify the rainfall as stratiform and convective 10 consecutive 1min DSD samples are considered to classify the rainfall as stratiform and convective in this work. If the mean rain rate of 10 successive DSD samples is greater than 0.5 mm  $hrh^{-1}$ , and if the standard deviation of 10 consecutive DSD samples is less than 1.5 mm  $hrh^{-1}$ , then the precipitation is classified as stratiform; otherwise, it is classified as convective.

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Figure 8-9 presents the histograms of  $D_m$ ,  $\log_{10}(N_w)$ ,  $\Lambda$ , and  $\mu$  during stratiform rain events in wet and dry spells. The mean, standard deviation, and skewness of these parameters are provided in Table 3. The histograms of  $D_m$  (Figure 8a9a) are positively skewed during stratiform rain events in both the spells. The histogram of  $D_m$  is broader in stratiform rain of dry spells, and Hi varies between 0.38 and 2.77 mm with maximum occurrence near 0.42-0.58 mm-during stratiform rain in the dry spells. The distribution of  $D_m$  shows a higher frequency below 0.6 mm in the dry spells. This finding indicates that the presence of more number of smaller raindrops in stratiform rain of dry spells. The value of  $D_m D_m$ 

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value varies from 0.42 to 2.48 mm with a-maximum near 1-1.4 mm during stratiform rain in the wet periods. The distribution of  $D_m$  distribution is higher in the wet spells above 1 mm, indicating the dominance of mid-size edium size and/or larger drops in stratiform rain of wet periods. The histogram of  $\log_{10}(N_w)$  (Figure 8b9b) is positively skewed in stratiform rain in the wet spells and negatively skewed in stratiform rain in the dry periods. The distribution is narrower in the wet periods and broader in the-dry spells. The distribution peaks between 3- and 3.6 during the-wet spells, whereas it peaks at 5 during the dry spells. The distribution of  $\Lambda$  (Figure 8e9c) is broader in the stratiform rain events during 450 both wet and dry periods. The distribution varies from 1.2 mm<sup>-1</sup> to 52 mm<sup>-1</sup> with a mode at 10 mm<sup>-1</sup> in the stratiform rain of wet spells. This result further supports the presence of mid-size drops during the in wet periods. The distribution of  $\Lambda$  shows higher occurrences above 15 mm<sup>-1</sup> during the dry spells, indicating the truncation of DSD at relatively smaller drop diameters. The histograms of  $\mu$  (Figure <del>8d</del>9d) show a concave downward shape for DSDs during stratiform rain events in both wet and dry 455 spells.

Figure 9-10 shows the distribution of  $D_m$ ,  $\log_{10}(N_w)$ ,  $\Lambda$ , and  $\mu$  during convective rain events in wet and dry spells. The histograms of  $D_m$  histograms are positively skewed in convective rain during both wet and dry spells (Figure  $\frac{9a10a}{2}$ ). In convective rain, the distribution of  $D_m$  is broader in wet spells. It can be seen that the presence of small drops is higher in the dry spells even in convective rain also. The distribution of  $\log_{10}(N_w)$  shows an inverse relation with  $D_m$  in convective rain (Figure 9b10b). The  $\log_{10}(N_w)$  is negatively skewed in the wet spells, whereas it is positively skewed in the dry spells. The distribution of  $\Lambda$  (Figure 9e10c) indicates the presence of larger drops in convective rain compared to stratiform rain in both wet and dry spells. The histograms of  $\mu$  (Figure 9410d) show the concave

downward shape of DSDs in convective rain of both wet and dry spells. The mean, standard deviation, and skewness of these parameters are provided in Table 4.

Several points can be noted from the above discussion:

*a*. The maximum value for mean  $D_m$  and the largest standard deviation is found for convective rain in wet spells.

470 *b*. The maximum value for  $\log_{10}(N_w)$  and higher standard deviation are observed during stratiform rain in dry spells.

*c*. A considerable difference is found in the histograms of  $D_m$  and  $\log_{10}(Nw)$  during the stratiform rain in dry and wet periods. However, this difference is small in convective rain.

d. In histograms of A and  $\mu$ , t<u>T</u>he distinct differences exist in <u>A and  $\mu$  of stratiform rain during wet and</u>

475 dry spells.

The above results indicate that the rainfall over WGs is associated with warm rain processes during both both-wet and dry spells. The microphysical processes in warm rain include rain evaporation, accretion of cloud water by raindrops and rain sedimentation –(Zhang et al., 2008). Giangrande et al. (2017) observed the predominance of larger cloud droplets in warm clouds during the-wet spells over Amazon. Similarly, Machado et al. (2018) showed that the larger  $D_m$  values are associated with the mixed-phase clouds during the dry periods over Amazon. Recently, Utsav et al. (2019) showed that the presence of cumulus congestus is higher during the wet spells, and shallow clouds are dominant during the dry periods. Thus, the larger values of  $D_m$  may be due to the presence of  $D_m$  may be due to cumulus congestus during the wet spells. The differences in  $D_m$  during wet and dry spells might occurred either at the cloud formation stage and/or during descent of the-precipitation particles to the ground. The

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microphysical and dynamical processes during descent of the precipitation particles are responsible for the spatial temporal variability of spatial-temporal variability in  $D_m$  (Rosenfeld and Ulbrich, 2003). The dominant dynamical processes that affect the D<sub>m</sub> are updrafts/downdrafts, and advection by horizontal winds. To understand the dynamical mechanisms leading to different microphysical processes during wet and dry periods, we have analyzed temperature, and specific humidity, horizontal and vertical winds for 2012-2015 monsoon-seasons during 2012 2015 over WGs. Figure 10 shows the mean specific humidity (kg kg<sup>-1</sup>) and temperature anomalies (K) at 700 hPa derived from the ERA Interim reanalysis dataset. In this plot, the colour bar represents the mean specific humidity, and the contours represent the temperature anomalies. Figure 11 shows the anomalies in specific humidity (kg kg<sup>-1</sup>, shading), temperature (K, contours), and horizontal winds (vectors) at 850 hPa derived from ERA-Interim dataset. This level is selected, as the temperature anomaly and moisture availability aid the growth of active convection. The daily 0000 UTC ERA-Interim data for ten years (2006-2015) is considered to find anomalies. The seasonal averages are calculated and the anomalies are ealculated estimated as the difference between wet/dry period mean and seasonal mean. Here, positive anomalies in specific humidity (temperature) represents increase in moisture content (heating), and negative anomaly represents decrease in specific humidity (cooling). This level is chosen, as here the temperature anomaly and the availability of moisturemoisture availability at this level aid the growth of active eonvection. It is observed that the temperature is cooler over the west coast of India (including the study region) in the-wet spells compared to that in than the-dry periods. The figure also shows that the anomalous winds are maritime, and continental during wet and dry spells, respectively. The anomalous winds coming from the oceanic region brings more moisture (positive anomalies in specific humidity)

over WGs during wet spells. Whereas, the anomalous winds coming from the continent brings dry (negative anomalies in specific humidity) air during dry spells. Further, the mean specific humidity is higher over WGs during the wet periods. The thermal gradient between WGs and surrounding regions and the availability of more moisture favours the growth of active convection in the wet spells. It is known that the vertical velocity during the wet periods is stronger compared to the dry spells (Uma et al., 2012). The strong updrafts aid the growth of cloud liquid water particles and thereby increase the size of the drops. Whereas, positive temperature anomalies in the dry spell can lead to the evaporation of raindrops, which can subsequently can break the drops, thereby leading to lesser diameter drops-in the dry spell.

To understand the effect of updrafts/downdrafts on the observed variability in  $D_m$  distribution, the profile of vertical velocity around the study region is analysed and isomega (vertical motion in pressure coordinate) field is analyszed in the region 17-18°N and 73-74°E. profile around the study region is analyszed andFigure 12 shows the vertical profile of omega during wet and dry spells. Here, negative values of omega represents updrafts and veiceice-versa. The mean vertical winds are negative in wet spells indicating updrafts. Whereas the mean vertical winds are small and positive indicating downdrafts during dry spells. The updrafts does not allow the smaller draftsops to fall, which are carried aloft, where they can fall out later. Hence, the smaller draftops have enough time to grow by-the collision-coalescence process, to form mediumid-size- or large-size drops. Therefore, the midsizeedium- or large-size drops increase at the expense of smaller drops, which leads to larger  $D_m$  values during wet spells. Whereas the downward flux of raindrops increases due to the downdrafts, which

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causes <u>more</u>-smaller drops reaching the surface. The large density of smaller drops decreases  $D_m$  value during dry spells.

The diurnal variation in mean rain rate during wet and dry spells is shown in Figure 4413. The

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mean rain rate is higher during wet periods throughout the day. The relatively lower rain rates are due to the presence of a higher concentration of smaller drops during the dry spells. The diurnal variation in rain rate shows bi-modal distribution during both wet and dry spells. The primary maximum is in the afternoon hours and the secondary maximum is present during morning hours. The raindrop concentration increases monotonically (Figure 5.4), with an increase in rain rate for all the drop sizes during the dry spells. This finding indicates that the increase in rain rate is responsible for the rise in 535 both concentration and raindrop size during the dry spells. However, in the wet periods, the concentration of smaller drops is constant throughout the day, and the increase in rain rate is due to the rise in concentration and size of mid-size raindrops. This further indicates that the collision and coalescence processes as well as deposition of water vapour on to the cloud drops, which nd deposition 540 of water vapour on to the cloud drops are responsible for the increase inincreased the concentration (afternoon and early morning hours) of mid-size raindrops during the wet spells. In addition, the raindrop diameter depends on the rain rate, which varies between wet and dry spells. The distribution of  $D_m$  distribution during wet and dry spells at different rain rates are shown in Figure 1214. For lower rain rates (below 10 mm hr<sup>-1</sup>), the raindrops falling from the cloud tops can grow by deposition of water vapour and accretion of cloud water during the wet spells. The  $D_m$  values are is higher in wet spells than 545 dry spells below 10 mm  $h_{\rm H}h^{-1}$ . This could be due to the deposition of water vapour and accretion of <u>cloud water on raindrops.</u> This result in larger  $D_m$  values during the wet spells compared to dry spells.

At higher rain rates (above 20 mm  $hrh^{-1}$ ), the  $D_m$  distribution remains the same during both the spells. This is due to the equilibrium of DSD by the collision, coalescence, and breakup mechanisms, as described in Hu and Srivastava (1995) and Atlas and Ulbrich (2000). The above analysis indicates that the dynamical mechanisms are different during wet and dry spells, resulting in different DSD characteristics.

#### 5.2. Implications of DSD during wet and dry spells: $\mu$ -A relation

The gamma distribution function has been is widely used in the microphysical parameterization schemes in the atmospheric numerical models to describe various DSDs. However,  $\mu$  is often 555 considered to be constant. Milbrandt and Yau (2005) found that  $\mu$  plays a vital role in determining sedimentation and microphysical growth rates. In this context, the microphysical properties of clouds and precipitation are sensitive to variations in  $\mu$ . Several researchers showed that the value of  $\mu$  varies during the precipitation (Ulbrich, 1983; Ulbrich and Atlas, 1998; Testud et al., 2001; Zhang et al., 2001; Islam et al., 2012). Zhang et al. (2003) proposed an empirical  $\mu$ -A relationship using 2DVD data 560 collected in Florida. They examined the  $\mu$ - $\Lambda$  relation with different rain types of precipitation. These  $\mu$ - $\Lambda$  relations are useful in reducing the bias in <u>estimating</u> rain parameters from remote sensing measurements (Zhang et al., 2003). Recent studies have demonstrated the variability in  $\mu$ - $\Lambda$  relation in different types of rain and at various geographical locations (Chang et al., 2009; Kumar et al., 2011; Wen et al., 2016). Hence, it is necessary to derive different  $\mu$ -A relations based on local DSD

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observations, in particular, over the WGs.

**nt study, an** An empirical  $\mu$ - $\Lambda$  relationship is derived for both wet and dry spells. To minimize the sampling errors, the DSDs with a rainfall rate of less than 5 mm hr<sup>-1</sup> are excluded the DSDs

with a rainfall rate of less than 5 mm h<sup>-1</sup> are excluded to minimize the sampling errors. In addition, the total drop counts above 1000 are only considered in the analysis, as proposed by Zhang et al. (2003).
Figure 13-15 shows the μ-Λ relation for wet and dry spells, and the corresponding polynomial least-square fits are shown as solid lines. The fitted μ-Λ relations for wet and dry spells are given as follows:

(1410)

(1511)

 $\Lambda = 0.0359\mu^2 + 0.802\mu + 2.22$ 

 $\Lambda = 0.0138u^2 + 1.151u + 1.198$ 

Wet spell:

Dry spell:

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575 Similar behaviour is observed for both wet and dry spells The above equations represent that, the smaller the value of  $\Lambda$  (higher rain rates), smaller is the value of  $\mu$  in both spells. Thus, the DSDs tend to be more concave downwards with the increase in rainfall intensity rain rate. This finding suggests a higher fraction of small and mid-size drops and a lower fraction of larger drops, reflecting less evaporation of smaller drops and more drop breakup processes. However, the fitted  $\mu$ -A relation exhibits a large difference for among wet and dry spells. Comparing Eq. (4410) and (4511), one can 580 observe that the coefficient of the linear term is smaller in wet spells than that of dry spells. Hence, for a given value of  $\mu$ , the dry spells have a higher value of  $\Lambda$  compared to the wet spells. Further, the  $D_m$ value is higher during wet spells compared to dry spell for the a given rainfall rate due to different microphysical mechanisms athan dry spells for a given rainfall rate due to different microphysical mechanisms discussed above (Figure 14. 12). This leads to higher  $\mu$  values in wet spells compared to 585 dry spells- This result suggests, which indicates that different microphysical mechanisms during wet and dry spells lead to different  $\mu$ - $\Lambda$  relations. Hence, it is apparent that the single  $\mu$ - $\Lambda$  relation cannot reliably represent the observed phenomenon during different phases of the monsoon phases.

	Comparing the $\mu$ -z	1 relations in this study with that obtained	from Zhang et al. (2003), the $\mu$ -A	
590	relationship of the dry spell has a smaller slope. These differences reveal that the DSD during dry s			
	have lower values of D	<sub>w</sub> , compared to Zhang et al. (2003). T	his indicates that the underlying	
	microphysical processes in the orographic precipitating systems are different from those observed over			
	Florida in 1998 summer. Further, the $\mu$ - $\Lambda$ relationships are derived for convective and stratiform rain			
	and can be represented asfor the JWD measurements and are provided in Figure 1416. The least square			
595	polynomial fit for convective and stratiform rain is as follows:			
	Convective rain:	$\Lambda = 0.0069\mu^2 + 0.576\mu + 2.42$	( <del>16<u>12</u>)</del>	
	Stratiform rain:	$\Lambda = 0.0022\mu^2 + 0.933\mu + 1.86$	( <del>17<u>13</u>)</del>	
	that theIt is observed that the coefficients of the squared and linear term of convective			
	precipitation are smaller than those given by Zhang et al. (2003). Hence, for a given value of $\mu$ , the			
600	convective precipitation in the present study gives lower values of $A$ than that for the convective			

## precipitation from Zhang et al. (2003).

Seela et al. (2018) fitted  $\mu$ -A relations for summer and winter rainfall over North Taiwan. Chen et al. (2017) have derived an empirical  $\mu$ -A relation over Tibetan Plateau. Cao et al. (2008) analyzed the  $\mu$ - $\Lambda$  relations over Oklahoma. Different  $\mu$ - $\Lambda$  relations are derived for different weather systems over North Taiwan (Chu and Su 2008). The  $\mu$ -A relationship obtained in this worke present study differs from Zhang et al. (2003), Chu and Su (2008), and Seela et al. (2018). The differences in the  $\mu$ -A relations could be attributed to several factors like, different geographical locations, different microphysical processes, different-rainfall rates, and different-types of instruments. To explore the plausible effect of rainfall rate,  $\mu$ - $\Lambda$  relations are compared with previous studies for rain rates below 5

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610	mm h <sup>-1</sup> (as in Chu and Su, 2008), and above 5 mm h <sup>-1</sup> (as in Zhang et al., 2003) (figure not shown). It is
	observed that $\mu$ - $\Lambda$ relations in this studywork differs from previous studies in both rain rate regions. The
	slope of $\mu$ - $\Lambda$ relationship is higher over WGs than previous studies. This shows that the wet and dry
	spells have higher $\mu$ than previous studies for same $\Lambda$ indicating that the underlying microphysical
	processes are different over complex orographic region, WGs. To explore the plausible effect of rainfall
615	rate, the $\mu$ A relations are compared with the previous studies for rain rates below 5 mm hrh <sup>-1</sup> , and
	above 5 mm hrh <sup>4</sup> (figure not shown). It is observed that, when the rain rates are below 5 mm hrh <sup>4</sup> , the
	shape parameter shows bimodal distribution (above $\mu=10$ ), especially in the wet spells. In this rain rate
	region, the first distribution (with lower $\mu$ values) is comparable with Chu and Su (2008), and Zhang et
	al. (2003), whereas the other distribution (with high $\mu$ values) is comparable with Seela et al. (2018).
620	Chu and Su (2008) derived the $\mu$ A relations for rain rates above 1 mm hrh <sup>-1</sup> , as well as and rain rates
	below 5 mm hrh <sup>-1</sup> . Hence, the observed differences in $\mu$ A relation with Chu and Su (2008) could be
	attributed to the difference in the rain ratesdifference in rain rates. The second distribution is similar to
	that observed in the rain rates above 5 mm hrh <sup>-1</sup> . The slope of the $\mu$ A relation is higher compared to
	Chu and Su (2008), and Zhang et al. (2003) in the rain rates above 5 mm hrh <sup>-1</sup> . This result indicates that
625	the wet and dry spells have higher $\mu$ values compared tothan thethan previous studies for the same A
	values. This represents that, the underlying microphysical processes are different over the complex
	orographic region, WGs. It can be observed that Further, the the $D_m$ values in the present study are is
	higher compared to than the previous studies (e.g., Seela et al., 2018). The different $D_m$ distributions lead
	to different $\mu$ values as (Ulbrich, 1983). $\div$
630	$\Lambda D_m = 4 + \mu \tag{1814}$

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Thus, the relatively higher values of  $D_m D_m$ -relatively higher  $D_m$  values could contribute to higher values of  $\mu$  for the same  $\Lambda$  values in the present study. Hence, the differences in the  $\mu$ - $\Lambda$  relations with previous studies may be related to different <u>rain</u> microphysicsal processes (such as collision-coalescence, breakup, etc.), occurring in the rainfall over WGs. In addition, Zhang et al. (2003), and Chu and Su (2008) used the 2DVD measurements, whereas, in the present study, JWD data are utilized in this work. The different instruments can have different sensitivities, which can also affect  $\mu$ - $\Lambda$  relations. The  $\mu$ - $\Lambda$  relationships derived for the present current study are compared with the other orographic precipitations and are provided in Table 5. It is clear that  $\mu$ - $\Lambda$  relations vary in different types of rainfall and climatic regimes.

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## 6. Summary

The raindrop spectra measured by JWD are analyzed to understand the DSD variations during wet and dry spells of the-ISM over the-WGs. Observational results indicate that the mean-DSDs are considerably different during wet and dry periods. In addition, the DSD variability is studied with stratiform and convective rain during wet and dry spells. Key findings are listed below:

- A high concentration of smaller drops is always present in the WGs region, indicating the dominance of shallow convection the dominance of shallow convection dominance-dominance.
- ii. The DSD over WGs shows distinct diurnal features. The diurnal variation shows that the concentration of smaller dropssmaller drops concentration is higher in dry spells, while the concentration of mid-size drops is higher in wet spells throughout the day.

- iii. The dry spells exhibit a strong diurnal cycle with double-peak during late afternoon and night time in both-smaller and mid-size drops. Whereas, this diurnal cycle is weak for smaller drops in wet spells.
- iv. The higher-concentration of mid-size and larger drops is <u>observed-higher</u> in wet spells compared to dry spells. The thermal gradient between WGs and surrounding regions, higher availability of water vapour, and strong vertical winds favours the formation of cumulus congestus, which are responsible for the presence of <u>medium-mid-size/larger</u> drops during wet spells.
  - v. The DSDs over WGs are characterized by small  $D_{m}$ , and large  $N_{w}$ Small  $D_{m}$ , and large  $N_{w}$ characterize the DSDs over WGs. The  $N_{w}$  shows a bi-modal distribution during dry spells. This bimodality is weak in the wet spells.
- vi. The distribution of  $\Lambda$  shows the dominance of small drops in dry spells and the dominance of mid-size drops in wet spells. The distribution of  $\mu$  represents the concave downward shape of DSDs for both wet and dry spells.
- vii. An-<u>The</u> empirical relation is derived between  $\mu$  and  $\Lambda$ -<u>during wet and dry spells</u>. The fitted  $\mu A$ relationship for both spells exhibits <u>shows</u> a significant difference between <u>wet and dry</u> <u>spells</u>them. The different microphysical mechanisms lead to different  $\mu$ - $\Lambda$  relations-<u>during wet</u> and dry spells.
- viii. A considerable difference in raindrop size distribution<u>DSD</u> is observed in the stratiform rain of wet and dry spells. Higher amounts of smaller drops are evident in both stratiform and convective rain of dry spells compared tothan wet spells.

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It is evident from this study that, even though the warm rain is predominant, the dynamical mechanisms underlying the microphysical processes are different, which causes the difference in observed DSD characteristics during wet and dry spells. The distinct features of DSD during the wet and dry spells of the ISMISM's wet and dry spells over WGs are summarized in Figure 15176.

#### Author contributions:

UVMK and SKD designed, analyzed, and prepared the manuscript. SKD, UVMK, and UB proposed the methodology. GSE, SMD, and GP contributed with discussion to the manuscript.

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## **Table Captions:**

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 Table 1: Total number of wet and dry days during the monsoon seasons (June-September) of 2012 

 2015.

 Table 2: Mean, Standard\_standard\_deviation, and Skewness\_skewness\_of the DSD parameters in wet and dry spells.

 Table 3: Mean, Standard\_standard\_deviation, and Skewness\_skewness\_of the DSD parameters in stratiform rain during wet and dry spells.

1000 **Table 4:** Mean, <u>Standard\_standard\_deviation</u>, and <u>Skewness\_skewness\_of</u> the DSD parameters in convective rain during wet and dry spells.

**Table 5:** Comparison of  $\mu$ - $\Lambda$  relations derived in the present study with the other orographic precipitation on other parts of the globeregions.

## 1005 Figure Captions:

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Fig 1: Topographical map of the Western Ghats of IndiaIndia's Western Ghats generated by using Shuttle Radar Topography Mission (SRTM) data (Farr et al., 2007). Location of the disdrometer installed at HACPL is shown with a black circle.

Fig\_+2: Scatter plot of daily accumulated rainfall between rain gauge and JWD. The solid grey line indicates the linear regression.

- Fig 23: The standardized rainfall anomaly for the year (a) 2012, (b) 2013, (c) 2014, and (d) 2015 during the period-June-September. The dashed line marked for 0.5 (+ve XY-axis) and -0.5 (-ve XY-axis) rainfall anomaly.
- Fig 34: Box and whisker plot of  $D_m$  distributions over the ocean, windward (HACPL), and leeward side of the mountain obtained from GPM measurements. Box represents the data between first and third quartiles, and the whiskers show the data from 12.5 and 87.5 percentiles. The horizontal line within the box represents the median value of the distribution.
- Fig 45: Diurnal variation in raindrop concentration during wet and dry spells for (a) smaller drops (< 1mm) and (b) mid-size drops (1-4 mm). The concentration of raindrops within each hour is normalized with the total concentration of raindrops in the respective spells (wet or dry). The black line represents wet spells, and the red line represents dry spells.
- Fig 56: Average DSDs during wet and dry spells.

Fig 67: The variation in N(D) as a function of D at different <u>*R*-rain rates</u> for (a) wet and (b) dry spells.

Fig 78: Histograms of  $D_m$ ,  $\log_{10}(N_w)$ ,  $\Lambda$  and  $\mu$  during wet and dry spells. The black line represents wet spells, and the red line represents dry spells.

- Fig 82: Histograms of  $D_m$ ,  $\log_{10}(N_w)$ ,  $\Lambda$  and  $\mu$  in stratiform rain during wet and dry spells. The black line represents wet spells, and the red line represents dry spells.
- Fig 910: Histograms of  $D_m$ ,  $\log_{10}(N_w)$ ,  $\Lambda$  and  $\mu$  in convective rain during wet and dry spells. The black line represents wet spells, and the red line represents dry spells.
- 1030 Fig 11: Spatial distribution of anomalies in specific humidity (kg kg<sup>-1</sup>, shading), temperature (K, contours), and horizontal winds (vectors) at 850 hPa during wet and dry spells of the monsoon seasons 2012-2015. Here, positive anomalies in specific humidity (temperature) represents increase in moisture content (heating), and negative anomaly represents decrease in moisture (cooling). The black dot represents the observational site.
- 1035 Fig 10: Spatial distribution of mean specific humidity (kg kg<sup>-1</sup>), and temperature anomalies (K) at 700 hPa during (a) wet and (b) dry spells of the monsoon seasons of 2012 2015. The colour bar represents the specific humidity, and contours represent temperature anomalies. The positive anomaly represents heating, and negative anomaly represents cooling. The black dot represents the observational site.
- 1040 Fig 12: The mean profile of vertical wind velocity during wet and dry spells.

**Fig <u>1113</u>**: Diurnal variation of mean rain rate (mm  $\frac{hrh}{h}^{-1}$ ) during wet and dry spells.

- Fig 1214: Distribution of  $D_m$  at different rain rates during wet and dry spells. The horizontal line within the box represents the median value. The boxes represent data between first and third quartiles, and the whiskers show data from 12.5 to 87.5 percentiles. The black colour represents wet spells, and the red colour represents dry spells.
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- Fig 1315: Scatter plots of  $\mu$ - $\Lambda$  values obtained from gamma DSD for (a) wet and (b) dry spells. The solid line indicates the least square polynomial fit for  $\mu$ - $\Lambda$  relation.
- **Fig 1416:** Scatter plots of  $\mu$  *A* values obtained from gamma DSD for (a) convective and (b) stratiform rain. The solid line indicates the least square polynomial fit for  $\mu$  *A* relation.
- 1050 **Fig <u>15176</u>**: Summary of the DSD characteristics during the-wet and dry spells in the WGs region.

Table 1: Total number of wet and dry days during the monsoon seasons (June-September) of 2012	
- 2015.	

Months	Months Wet (No. of. Days) Dry (	
June	15	40
July	16	38
August	0	46
September	10	35

	Table 2: Mean, Standard standard deviation, and Skewness skewness of the DSD parameters in
1055	wet and dry spells.

	Wet			Dry		
	Mean	Standard	Skewness	Mean	Standard	Skewness
		deviation			deviation	
D <sub>m</sub>	1.30	0.38	0.56	0.92	0.37	1.41
log <sub>10</sub> (N <sub>w</sub> )	3.62	0.51	-0.52	4.46	0.68	-0.23
Λ	15.42	10.25	1.17	22.01	12.43	0.48
μ	14.40	9.94	1.09	17.80	11.02	0.70
R	6.62	9.75	3.19	2.79	5.02	4.59

 Table 3: Mean, Standard standard deviation, and Skewness skewness of the DSD parameters in stratiform rain during wet and dry spells.

	Wet spells			Dry spells		
	Mean	Standard	Skewness	Mean	Standard	Skewness
		deviation			deviation	
D <sub>m</sub>	1.18	0.31	0.14	0.75	0.265	1.28
log <sub>10</sub> (N <sub>w</sub> )	3.52	0.56	0.19	4.39	0.68	-0.69
Λ	17.08	10.56	0.97	26.77	12.48	0.61
μ	15.12	10.17	1.02	20.81	10.76	0.40

1060Table 4: Mean, Standard standard deviation, and Skewness skewness of the DSD parameters in<br/>convective rain during wet and dry spells.

	Wet spells			Dry spells		
	Mean	Standard	Skewness	Mean	Standard	Skewness
		deviation			deviation	
D <sub>m</sub>	1.66	0.29	0.88	1.47	0.30	0.34
log <sub>10</sub> (N <sub>w</sub> )	3.86	0.23	-0.54	4.01	0.29	0.19
Λ	10.08	5.22	1.29	13.15	7.49	1.09
μ	11.86	6.70	0.77	14.05	8.73	1.16

Table 5: Comparison of  $\mu$ - $\Lambda$  relations derived in the present study with other orographic precipitation regionsComparison of  $\mu$ - $\Lambda$  relations derived in the present study with the orographic precipitation on other parts of the globe.

Study	Climatic Regime	μ-Λ relation
Present study	Wet spells over WGs	$\Lambda = 0.0359\mu^2 + 0.802\mu + 2.22$
Present study	Dry spells over WGs	$\Lambda = 0.0138\mu^2 + 1.151\mu + 1.198$
Present study	Stratiform precipitation	$\Lambda = 0.0022\mu^2 + 0.933\mu + 1.86$
Present study	Convective precipitation	$\Lambda = 0.0069\mu^2 + 0.576\mu + 2.42$
Seela et al. (2018)	Summer season in Taiwan	$\Lambda = 0.0235\mu^2 + 0.472\mu + 2.394$
Seela et al. (2018)	Winter season in Taiwan	$\Lambda = -0.0135\mu^2 + 1.006\mu + 3.48$
Chen et al. (2017)	Summer season in Tibetan	$\Lambda = -0.0044\mu^2 + 0.764\mu - 0.49$
	Plateau	
Cao et al. (2008)	Oklahoma	$\Lambda = -0.02\mu^2 + 0.902\mu - 1.718$
Chu and Su (2008)	Typhoons in north Taiwan	$\Lambda = 0.0433\mu^2 + 1.039\mu + 1.477$
Zhang et al. (2003)	Florida	$\Lambda = 0.0365\mu^2 + 0.735\mu + 1.935$

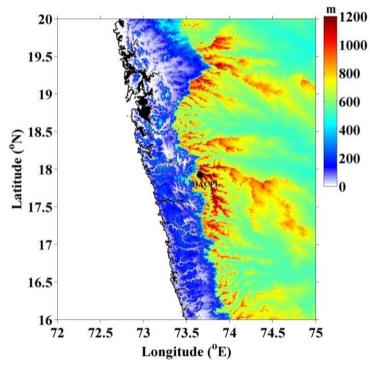
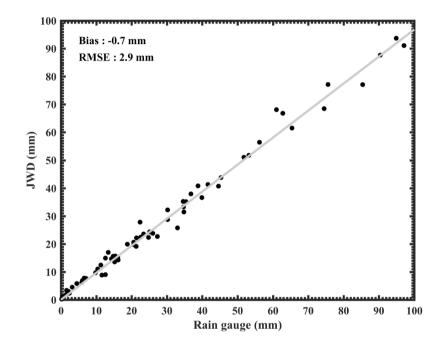


Fig 1: Topographical map of the Western Ghats of IndiaIndia's Western Ghats generated by using Shuttle Radar Topography Mission (SRTM) data (Farr et al., 2007). Location of the disdrometer installed at HACPL is shown with a black circle.



Fig\_2.1: Scatter plot of daily accumulated rainfall between rain gauge and JWD. The solid grey line indicates the linear regression.

Fig 23: The standardized rainfall anomaly for the year (a) 2012, (b) 2013, (c) 2014, and (d) 2015 during the period-June-September. The dashed line marked for 0.5 (+ve XY-axis) and -0.5 (-ve XY-axis) rainfall anomaly.

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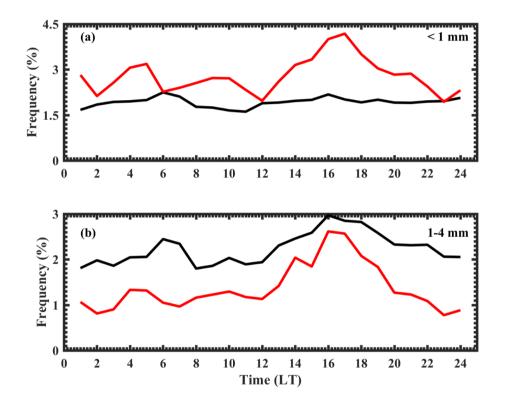


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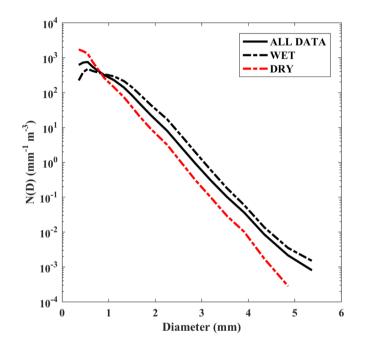


Fig <u>56</u>: Average DSDs during wet and dry spells.

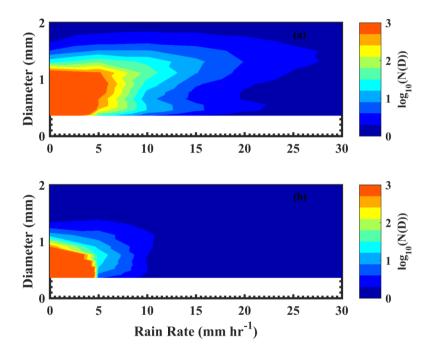
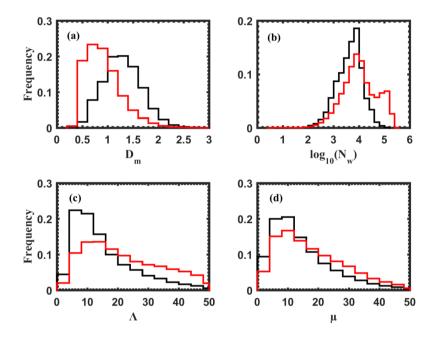
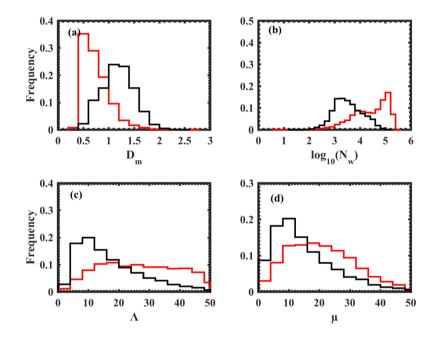


Fig 67: The variation in N(D) as a function of D at different <u>rain rates</u> for (a) wet and (b) dry spells.

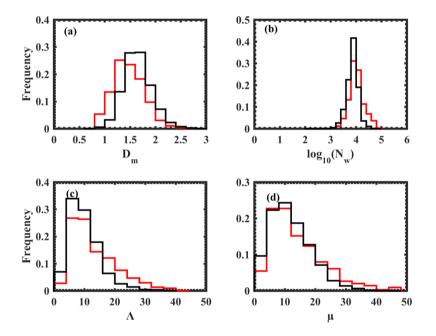


**Fig 78**: Histograms of  $D_m$ ,  $\log_{10}(N_w)$ ,  $\Lambda$  and  $\mu$  during wet and dry spells. The black line represents wet spells, and the red line represents dry spells.

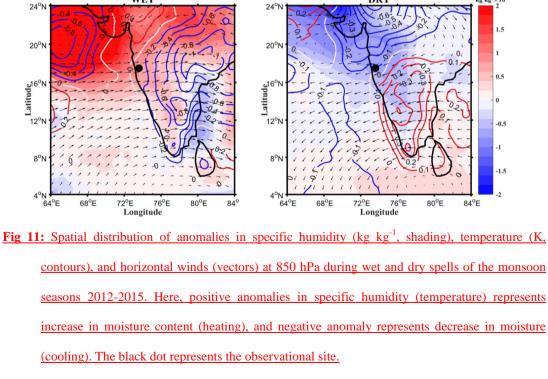




**Fig 82:** Histograms of  $D_m$ ,  $\log_{10}(N_w)$ ,  $\Lambda$  and  $\mu$  in stratiform rain during wet and dry spells. The black line represents wet spells, and the red line represents dry spells.



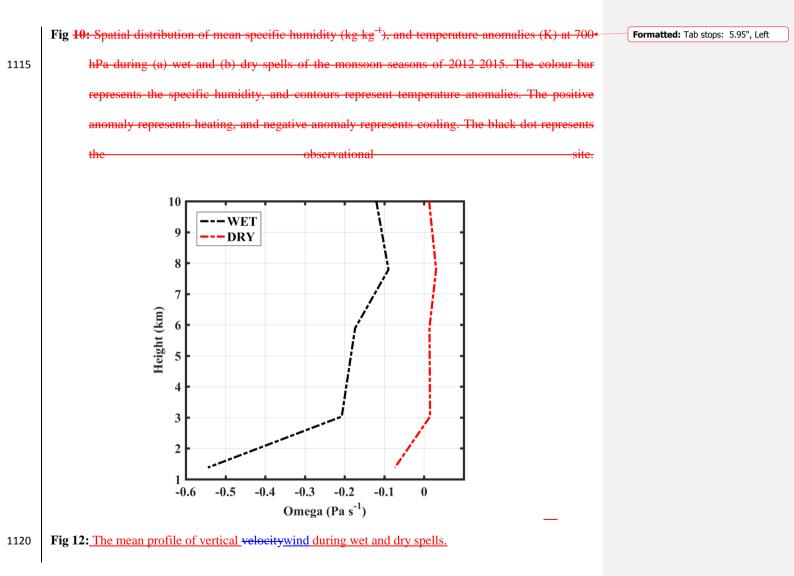
1105 Fig <u>910</u>: Histograms of  $D_m$ ,  $\log_{10}(N_w)$ ,  $\Lambda$  and  $\mu$  in convective rain during wet and dry spells. The black line represents wet spells, and the red line represents dry spells.



DRY

kg kg<sup>-1</sup>×10<sup>-3</sup>

WET



**Fig <u>1113</u>**: Diurnal variation of mean rain rate (mm  $\frac{hrh^{-1}}{h}$ ) during wet and dry spells.

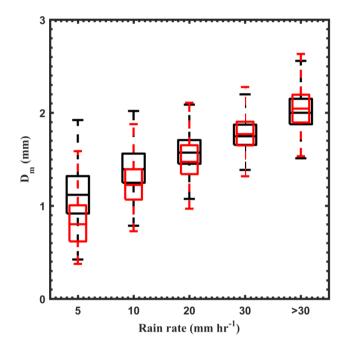


Fig <u>1214</u>: Distribution of  $D_m$  at different rain rates during wet and dry spells. The horizontal line within the box represents the median value. The boxes represent data between first and third quartiles, and the whiskers show data from 12.5 to 87.5 percentiles. The black colour represents wet spells, and the red colour represents dry spells.

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Fig 1416: Scatter plots of  $\mu$  A values obtained from gamma DSD for (a) convective and (b) stratiform

rain. The solid line indicates the least square polynomial fit for  $\mu A$  relation.

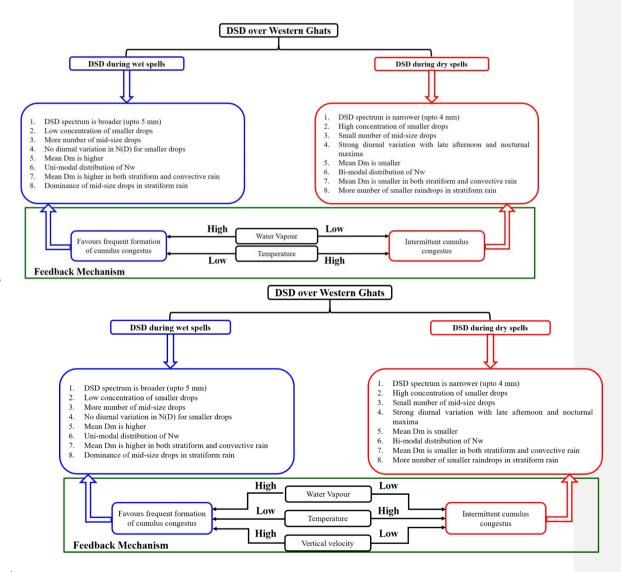


Fig 15176: Summary of the DSD characteristics during wet and dry spells in the WGs region.