

1 **Sensitivity of modeled Indian Monsoon to Chinese and Indian aerosol**  
2 **emissions**

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16

17 **Abstract**

18 The South Asian summer monsoon supplies over 80% of India's precipitation. Industrialization  
19 over the past few decades has resulted in severe aerosol pollution in India. Understanding  
20 monsoonal sensitivity to aerosol emissions in general circulation models (GCMs) could improve  
21 predictability of observed future precipitation changes. The aims here are (1) to assess the role of  
22 aerosols on India's monsoon precipitation and (2) to determine the roles of local and regional  
23 emissions. For (1), we study the Precipitation Driver Response Model Intercomparison Project  
24 experiments. We find that the precipitation response to changes in black carbon is highly uncertain  
25 with a large intermodel spread due in part to model differences in simulating changes in cloud  
26 vertical profiles. Effects from sulfate are clearer; increased sulfate reduces Indian precipitation, a  
27 consistency through all of the models studied here. For (2), we study bespoke simulations, with  
28 reduced Chinese and/or Indian emissions in three GCMs. A significant increase in precipitation  
29 (up to ~20%) is found only when both countries' sulfur emissions are regulated, which has been  
30 driven in large part by dynamic shifts in the location of convective regions in India. These changes  
31 have the potential to restore a portion of the precipitation losses induced by sulfate forcing over  
32 the last few decades.

33

34 **Significance Statement**

35 The aims here are to assess the role of aerosols on India's monsoon precipitation and to determine  
36 the relative contributions from Chinese and Indian emissions using CMIP6 models. We find that  
37 increased sulfur emissions reduce precipitation, which is primarily dynamically driven due to  
38 spatial shifts in convection over the region. A significant increase in precipitation (up to ~20%) is  
39 found only when both Indian and Chinese sulfate emissions are regulated.

40

## 41 **1. Introduction**

42 The South Asian summer monsoon is the dominant weather pattern over India, lasting typically  
43 from June to September. Over this period, southwesterly winds transport warm, moist air from the  
44 Arabian Sea onto the Indian subcontinent, supplying roughly 80% of the region's annual rainfall  
45 (Turner and Annamalai, 2012). Since the monsoon provides such a significant source for India's  
46 water supply, changes in its strength and position would have important socioeconomic  
47 implications including though not simply confined to agricultural production (Kumar et al., 2004;  
48 Douglas et al., 2009) and drought frequency (Subbiah, 2002). Given the rugged orography of the  
49 surrounding region and difficulties in modelling intense precipitation, resolving the future roles of  
50 natural variability and the externally forced signal for the monsoon is a fundamentally difficult –  
51 but important – problem.

52

53 Interannual changes in the monsoon have been linked to internal (natural) variability inherent to  
54 the climate system. For instance, numerous studies have found a potential connection between  
55 variability in the El Niño-Southern Oscillation (ENSO) and the monsoon (Sikka 1980; Shukla and  
56 Paolino 1983; Annamalai and Liu 2005). Such links could be used to improve predictability of  
57 Indian rainfall. While internal variability likely plays a non-negligible role in modulating the South  
58 Asian summer monsoon – and is expected to continue to do so in the future, even in high emissions  
59 scenarios (Annamalai et al. 2007) – changes in the monsoon's mean state associated with external  
60 forcings are also of fundamental importance. Specifically, determining the anthropogenic impacts  
61 on monsoonal changes associated with emissions of greenhouse gases (GHGs) and aerosols can  
62 provide critical insights that can help better inform policymaking decisions regarding emission  
63 regulations.

64

65 The steady rise in GHGs over the 20<sup>th</sup> century has increased the atmosphere's average temperature  
66 and water vapor content through the Clausius-Clapeyron relation, and might be expected as a result  
67 to contribute to increased rainfall events over India (Goswami et al., 2006; Turner and Slingo,  
68 2009; Salzmann et al., 2014). CMIP6 models run with just an increase in CO<sub>2</sub> forcing generally  
69 exhibit such an increase uniformly across India (Figure S1). However, in reality the picture is more  
70 complex as the literature has indicated no such observed trend for India over the last half century  
71 (Ramesh and Goswami, 2014; Saha and Ghosh, 2019). Observed monsoon precipitation  
72 aggregated over all of continental India (Figure 1) actually indicates a slight drying trend over the  
73 last few decades. While these trends are not statistically significant at a 95% confidence level, the  
74 purpose of Figure 1 is to illustrate that the increase in monsoon precipitation expected from the  
75 growing greenhouse forcing has certainly not been detected. There may be several mechanisms  
76 invoked to explain why Indian monsoon precipitation has not increased. Land use changes over  
77 the Indo-Gangetic Plain have been implicated as one of the causes, where decreased  
78 evapotranspiration may have limited the amount of available precipitable water in the region (Paul  
79 et al., 2016). It has been shown also that aerosol effects have counterbalanced the precipitation  
80 changes attributable to the greenhouse warming (Bollasina et al., 2011; Turner and Annamalai,  
81 2012; Westervelt et al., 2020). Ramanathan et al. (2005) found that aerosols over India reduce  
82 surface shortwave radiation, which limits the amount of evaporation and thereby reduces monsoon  
83 precipitation. Additionally, it has been shown that the atmospheric brown cloud (originally so  
84 termed in Ramanathan and Crutzen, 2003, referring to the pervasive light absorbing aerosol layer  
85 akin to the stratocumulus cloud decks observed over the oceans) over the Northern Indian Ocean  
86 is associated with a stable atmosphere that limits convection. Atmospheric brown clouds consist

87 primarily of black and organic carbon, dust and other anthropogenic aerosols. Sources of aerosols  
88 and their precursors in South and East Asia (indicated in Figure S2), are tied particularly to energy  
89 production and biomass combustion, which have grown steadily in response to industrialization in  
90 the region, though recent trends in these two regions differ. Meehl et al. (2008) similarly found  
91 that an increased aerosol load reduced precipitation over India during the monsoon season, but that  
92 it also increased rainfall in the pre-monsoon season. Wang et al. (2009) found that absorbing  
93 aerosols were particularly important in influencing the summer monsoon system. **This has been  
94 validated further by a number of studies (highlighted in Li et al., 2016), who found aerosols can  
95 influence the atmospheric dynamics and the formation of clouds, with consequent impacts on daily  
96 (Singh et al., 2019), seasonal (Lau et al., 2017) and intraseasonal (Hazra et al., 2013) precipitation.**  
97 The issue with many of these studies is that they focus on individual models. There is a large  
98 spread in the precipitation response across models reflecting differing representations of cloud and  
99 aerosol processes (e.g. Wilcox et al., 2015), factors that may bias results given the already complex  
100 nature of modelling precipitation over India (Ramanathan et al., 2005; Bollasina et al., 2011;  
101 Turner and Annamalai, 2012; Ramesh and Goswami, 2014; Paul et al., 2016; Saha and Ghosh,  
102 2019). Multimodel ensembles can improve our understanding and help constrain uncertainty on  
103 the impacts of different aerosol constituents on the monsoon.

104

105 Here, we analyze results from two climate model intercomparisons to better understand the  
106 summer monsoonal impacts from sulfur and black carbon aerosols, two of the dominant  
107 constituents of India's aerosol pollution. First, we study the Precipitation Driver Response Model  
108 Intercomparison Project (PDRMIP; Samset et al. 2016) experiments to assess the summer  
109 monsoon response to extreme aerosol conditions. The purpose of the PDRIMP experiments **here**

110 is to determine if a precipitation signal **in the South Asian summer monsoon** can be detected **in**  
111 **scenarios with large emissions perturbations of** sulfur and black carbon. Previous analysis of a set  
112 of PDRMIP experiments which increase global BC levels tenfold found a slight enhancement in  
113 P-E during the South Asian summer monsoon, driven by a strengthened land-sea temperature  
114 gradient (Xie et al., 2020). We focus the first section of our analysis on Asian perturbation  
115 experiments as significant emissions changes are expected over this region in the coming decades  
116 (e.g. Samset et al., 2019). We note that these experiments use artificially large emission  
117 perturbations to enable isolation of signal detection from climatic variability. Second, we study a  
118 set of regional aerosol emissions intercomparison experiments (labeled RAEI experiments for the  
119 rest of the paper for convenience) to assess the relative contributions of Indian and Chinese  
120 anthropogenic aerosol emissions to the monsoon. Because **emissions outside of India may play an**  
121 **important role on its summer** monsoon (Bollasina et al., 2014; Shawki et al. 2018), in addition to  
122 Indian emissions we choose to study emissions from China because this country is presently the  
123 world leading emitter of BC and SO<sub>2</sub>, is in close proximity to India and its emissions of both  
124 pollutants are expected to decline rapidly over the coming decade. Emissions in more remote  
125 regions are less likely to change in a major way. A robust analysis of these intercomparisons should  
126 refine our understanding of the anthropogenic influence on the South Asian summer monsoon and  
127 reduce uncertainty on future changes given that India's anthropogenic emissions are expected to  
128 increase at least in the near term, while China's will likely decrease (Rao et al. 2016). We  
129 decompose precipitation changes into dynamic (i.e. circulation changes) and thermodynamic (i.e.  
130 specific humidity changes) components to assess how aerosols interact with the monsoon. The rest  
131 of the paper is structured as follows: section 2 discusses the simulations used in the analysis,

132 section 3 presents and analyzes potential monsoonal impacts associated with sulfur and black  
133 carbon emissions and section 4 summarizes the study and highlights needs for future work.

134

## 135 **2. Data and Methods**

### 136 *2.1 PDRMIP intercomparison*

137 We first study the Precipitation Driver Response Model Intercomparison Project (PDRMIP)  
138 experiments. PDRMIP is an idealized set of modelling experiments, used to better understand  
139 drivers of regional precipitation change. We focus specifically on two experiments that involve  
140 perturbations to Asian concentrations or emissions (see Table 1), where Asia is defined by the  
141 regional box of 60-140°E and 10-50°N. The first is BC10xASIA, representing a tenfold increase  
142 in present-day BC concentrations or emissions in Asia at all vertical levels, and the second is  
143 SULF10xASIA, which explores a similar tenfold increase in present-day sulfate concentrations or  
144 emissions. The BC10xASIA and SULF10xASIA scenarios are compared with control simulations  
145 (henceforth called CTRL<sub>PDRMIP</sub>) where aerosol concentrations or emissions are maintained at near  
146 current values (either year 2000 or 2005 for each model). We study the six models involved in the  
147 PDRMIP experiments that conduct the Asian perturbation experiments (Table 1). These  
148 experiments will be used to better constrain uncertainty on the direction of precipitation and  
149 circulation changes under anthropogenic aerosol emissions changes. Since these are extreme  
150 perturbations to aerosol concentrations, we use these scenarios not as representative of a future  
151 emissions trajectory, but rather as a way to check if different models with different process  
152 representations indicate a consistent response. Due to inter-model differences in spatial resolution,  
153 all data are rescaled to the lowest model resolution ( $3.75^\circ \times 2.0^\circ$ ) when comparing model output.  
154 Variations in aerosol schemes and direct and indirect aerosol effects across the six models will



155 affect the spread in predicted precipitation changes associated with the increased aerosol  
 156 concentrations (Table 1). The different schemes and their effects on precipitation will be discussed  
 157 further in the section 3.

158  
 159 **Table 1.** Details of the models analyzed in this work. For the models participating in the  
 160 PDRMIP Asian aerosol perturbation simulations, each simulation lasts 100 years. Cloud scheme  
 161 refers to the microphysical cloud scheme that describes cloud formation, where a one-moment  
 162 scheme considers only changes in mass and a two-moment scheme considers changes in mass  
 163 and number concentration. The first indirect effect refers to the aerosol effect on cloud albedo  
 164 and the second indirect effect refers to the aerosol effect on cloud lifetime.

Model	Spatial resolution	Cloud scheme	Indirect effects	Model reference	Aerosol microphysics	MIP
CESM1-CAM5 <sup>†</sup>	2.5° × 1.875°	Two moment	First, second	Neale et al. (2012)	Full aerosol	PDRMIP, RAEI
GISS-E2-R	2.5° × 2.0°	One moment	None*	Schmidt et al. (2014)	No aerosol	PDRMIP, RAEI
HadGEM3	1.875° × 1.25°	One moment	First, second	Hewitt et al. (2011)	No BC; aerosol-cloud interaction included	PDRMIP
IPSL-CM	3.75° × 1.875°	Two moment	First	Dufresne et al. (2013)	Aerosol microphysics for Twomey effect	PDRMIP
MIROC-SPRINTARS <sup>†</sup>	1.41° × 1.41°	One moment	First, second	Watanabe et al. (2011)	Full aerosol	PDRMIP
NorESM	2.5° × 1.875°	Two moment	First, second	Bentsen et al. (2013)	Full aerosol	PDRMIP
UKESM1-0-LL	1.875° × 1.25°	Two moment	First, second	Sellar et al. (2019)	Full aerosol	RAEI

165 \*Indirect effects in the PDRMIP simulations were turned off since these simulations had prescribed aerosol fields  
 166 and so changes in the hydrologic cycle could not change the aerosols. The first effect was included in the GISS RAEI  
 167 simulations, however, as those are emissions-driven and hence physically consistent.

168 <sup>†</sup>Indicate models that change emissions in the PDRMIP experiments. Rows that do not include this mark indicate  
 169 models that change concentrations in the PDRMIP experiments.

170  
 171 2.2 RAEI experiments

172 The purpose of the RAEI experiments is to assess the relative contributions of aerosol emissions  
173 from China and India on monsoon precipitation over India. Three GCMs with coupled chemistry-  
174 climate components are used to study the effects of regional perturbations in aerosol emissions on  
175 the Indian monsoon: GISS-E2-R (Schmidt et al., 2014), CESM1-CAM5 (Neale et al., 2012) and  
176 UKESM1-0-LL (Sellar et al., 2019). Past research has used some of these models to explore the  
177 effects of regional aerosol reductions on global precipitation, including emissions changes in the  
178 US, Europe, China and India. Some of the experiments from RAEI have been used to study the  
179 global effects of US SO<sub>2</sub> emissions on global precipitation (Westervelt et al., 2017) as well as local  
180 and remote precipitation responses to regional reductions in aerosols (Westervelt et al., 2018).  
181 Here, we study the South Asian summer monsoon response to reductions in anthropogenic aerosol  
182 emissions in China and India, focusing specifically on a set of three experiments: (1) no SO<sub>2</sub>  
183 emissions in India (IND NO SO<sub>2</sub>), (2) 80% SO<sub>2</sub> emissions reduction in China (CHN 20% SO<sub>2</sub>)  
184 and (3) no SO<sub>2</sub> emissions in India and China (IND+CHN NO SO<sub>2</sub>). We have run additional BC  
185 experiments that are included only in the SI because we find that changes in BC do not have a  
186 clear impact on precipitation in the summer monsoon. The three SO<sub>2</sub> experiments will be compared  
187 to control simulations (CTRL) with emissions set near present-day values (year 2000 or 2005  
188 depending on the model) to determine the relative importance on summer monsoon precipitation  
189 of regional aerosol emissions from India and China. The UKESM experiments were run over a  
190 shorter period (40 years), relative to the other models (~200 years). We found from resampling  
191 that 40 years is sufficient to observe the general **seasonally aggregated** precipitation statistics over  
192 India. For climatological variables studied in our PDRMIP and RAEI analysis, we take mean  
193 values over the full simulation period, excluding the first 10 years to allow for spin-up.  
194

### 195 2.3 Precipitation decomposition

196 In addition to calculating overall precipitation changes due to sulfur and BC emissions, we seek  
197 also to determine the dynamic and thermodynamic components of the changes attributable to these  
198 forcing agents. The dynamic component is representative of precipitation changes caused by a  
199 change in atmospheric circulation, and the thermodynamic component is representative of  
200 variations in precipitation due to changes in moisture under constant circulation. To perform this  
201 decomposition, we follow the methodology of Chadwick et al. 2016. The total precipitation change  
202  $\Delta P$  can be expressed as

$$203 \quad \Delta P = \Delta q M^* + q \Delta M^* + \Delta q \Delta M^*,$$

204 where  $q$  is the near-surface specific humidity and  $M^*$  is a proxy for convective mass flux ( $M^* =$   
205  $P/q$ ). The first term on the right hand side is representative of thermodynamic changes ( $\Delta P_{\text{therm}}$ ),  
206 the second dynamic changes ( $\Delta P_{\text{dyn}}$ ) and the third the nonlinear interaction of these two  
207 components ( $\Delta P_{\text{cross}}$ ).  $\Delta P_{\text{dyn}}$  can be further decomposed into shifts in the circulation patterns  
208 ( $\Delta P_{\text{shift}}$ ) and changes in the mean strength of the tropical circulation ( $\Delta P_{\text{strength}}$ ) as

$$209 \quad \Delta P_{\text{shift}} = q \Delta M^*_{\text{shift}},$$

$$210 \quad \Delta P_{\text{strength}} = q \Delta M^*_{\text{strength}},$$

211 where  $\Delta M^*_{\text{strength}} = -\alpha M^*_{\text{strength}}$  (where  $\alpha = \text{tropical mean } \Delta M^* / \text{tropical mean } M^*$ ).  $\Delta M^*_{\text{shift}}$  is  
212 computed as the residual of  $\Delta M^*$  and  $\Delta M^*_{\text{strength}}$ . This decomposition follows the methodology in  
213 Chadwick et al. 2016 and Monerie et al. 2019.

214

## 215 3. Results

216 3.1 PDRMIP analysis: summertime Indian precipitation response to large BC and sulfur  
217 perturbations

218 We start with an evaluation using the PDRMIP experiments (Table 1) of summertime Indian  
219 precipitation changes caused by large BC and sulfate concentration increases over all of Asia. The  
220 difference in summer precipitation between the BC10xASIA and CTRL<sub>PDRMIP</sub> experiments  
221 provides an estimate for the role of BC in monsoonal changes and is shown in Figures 2a-g. From  
222 the individual models (Figures 2a-f), there is a noticeably large ensemble spread in the  
223 precipitation response over India due to the increase in BC. In north India, for example, HadGEM3  
224 shows a precipitation decrease of up to 70%, while SPRINTARS exhibits effectively a null  
225 response and GISS is identified with a strong precipitation increase of ~50%. PDRMIP simulations  
226 that globally increase BC tenfold also do not show a consistent multimodel response over India  
227 (Samset et al. 2016). **The first regional analysis of the PDRMIP experiments by Liu et al. (2018)**  
228 **found also a weak precipitation response to BC changes, attributed to insignificant circulation**  
229 **changes relative to those induced by the sulfur experiments.** While HadGEM3 and GISS generally  
230 underestimate precipitation over India (Figure S3), it does not appear that these biases are manifest  
231 in consistent precipitation changes in the BC10xASIA experiments. **The weak precipitation over**  
232 **India in HadGEM3 in the CTRL simulation (Figure S3) also likely explains the large percent**  
233 **changes indicated in the BC and sulfate experiments.** Additionally, while two of the six models  
234 studied increase BC emissions rather than BC concentrations, this does not appear to alter the BC  
235 vertical profile except in the stratosphere (see Figure S4). It is likely that different aerosol schemes  
236 across models (Table 1) may be implicated as **one of the dominant** sources of the large ensemble  
237 spread **by altering simulated clouds radiative properties and lifetimes, as has been shown in**  
238 **previous studies testing different aerosol schemes in the same coupled climate model (Nazarenko**  
239 **et al., 2017).** Additionally, both the boundary layer scheme and modelling impacts of absorbing  
240 aerosols on cloud formation could play important roles. **Specifically, Koch and Del Genio (2010)**

241 **note** that cloud formation is affected significantly by the BC vertical profile; BC within the cloud  
242 layer can burn off moisture and reduce cloud cover, BC below the cloud layer can enhance  
243 convection and increase cloud cover and BC above the cloud layer can either increase or decrease  
244 cloud cover according to the cloud type. Because of the complexities of the semi-direct effects of  
245 absorbing aerosols that are currently not heavily constrained by observations, the role of BC  
246 generally has a diverse response across climate models (Koch et al., 2009; Stjern et al. 2017).  
247 Large variance in the cloud fraction vertical profile are apparent also in the PDRMIP BC10xASIA  
248 simulations (Figure 3). This large uncertainty does not consistently favor an increase or decrease  
249 in cloud fraction across vertical layers except in NorESM and CESM where a slight increase (on  
250 the order of a couple of percent) can be detected across all layers. Variations in the BC vertical  
251 profile as well as its lifetime can result in significant changes in cloud cover and precipitation even  
252 within an individual model by changing atmospheric stability and humidity (Samset and Myhre  
253 2015). These effects are manifest in the diverse shortwave responses (Figure S5), which indicate  
254 a large spread between models in magnitude and sign over parts of India. Additionally, changes in  
255 the TOA net radiative forcing between BC10xASIA and PDRMIP<sub>CTRL</sub> are generally consistent in  
256 magnitude and direction across models over India (Figures S6a-f). By contrast, the change in  
257 Cloud Radiative Effect (CRE; Figures S6g-l) is not consistent in sign across models, suggesting  
258 that the models agree on the direct aerosol effects but differ on the aerosol-cloud interactions.  
259 While there are more causative factors on precipitation than cloud fraction, the important point is  
260 that because of the large cloud uncertainty that varies in both magnitude and sign, it is difficult to  
261 attribute future changes in Indian precipitation to changes in BC concentration. This is reflected in  
262 the precipitation change which fails to demonstrate a clear spatial coherence in the multimodel  
263 mean (Figure 2g).

264

265 The role of sulfate for Indian precipitation is much clearer. The percent change in precipitation  
266 between the SULF10xASIA and CTRL PDRMIP experiments is shown in Figures 2h-n. The sign  
267 of the precipitation change is generally consistent across models, with a large decrease in  
268 precipitation (~50%) over all of India in response to a tenfold increase in sulfate. There is also  
269 large uncertainty in the cloud fraction profile response to sulfate emissions (Figure 3), similar to  
270 the BC PDRMIP experiments. However, five of the six models on average favor a decrease in  
271 cloud fraction with increased SO<sub>2</sub> emissions, consistent with the precipitation response. So, while  
272 there is a comparable measure of intermodel spread for the BC10xASIA and SULF10xASIA cloud  
273 responses, the mean change is more consistent in the SULF10xASIA experiments. The results  
274 from the PDRMIP experiments, with their higher sulfate concentrations, constrain uncertainty on  
275 the sign of precipitation changes, and can be used as a frame of reference for the country-specific  
276 aerosol experiments described in section 3.2 and beyond.

277

### 278 *3.2 RAEI analysis: Indian aerosol burden response to Chinese and Indian aerosol emissions* 279 *changes*

280 We now consider the RAEI emissions scenarios for China and India. Percent changes in sulfate  
281 burden between the sulfate **reduction** scenarios and control runs are shown in Figures S7a-i. Indian  
282 sulfate emissions play an important role on local sulfate concentrations, contributing up to 60% of  
283 the country's aerosol burden, while China's emissions can contribute up to 60% over the  
284 Himalayas. The change in Indian aerosol burden for sulfate is notably consistent in terms of both  
285 the magnitude of the change as well as the spatial pattern across the three models studied. Since  
286 the temperature gradient between the Arabian Sea and Bay of Bengal and the Himalayas has been

287 invoked as a modulator of the South Asian Monsoon (e.g. Priya et al., 2017), both Indian and  
288 Chinese emissions could influence monsoon precipitation over India by modifying the optical  
289 properties of the atmosphere not only over the country but also over surrounding regions.

290

### 291 *3.3 RAEI analysis: summer monsoon precipitation response to regional SO<sub>2</sub> emissions changes*

292 The precipitation response associated with SO<sub>2</sub> emissions is significant over parts of India (Figures  
293 4a-i), in agreement with the PDRMIP results. All scenarios across the multi-model ensemble (with  
294 the exception of CESM's CHN 20% SO<sub>2</sub> scenario) show an increase in summer precipitation in  
295 India when SO<sub>2</sub> emissions in China and/or India are reduced. The strongest response requires  
296 reductions from both China and India, with an increase of nearly 20% in precipitation in some  
297 regions of India when SO<sub>2</sub> emissions are reduced across the three models studied here. From these  
298 results, changes in India's precipitation depend not only on local SO<sub>2</sub> emissions, but also on  
299 regional sources. These emissions can have a measurable impact on India's water availability by  
300 altering the underlying statistics in favor of greater precipitation events (e.g. Sillman et al. 2019).  
301 That being said, the spatial patterns associated with these precipitation changes vary to a large  
302 degree between models. For instance, precipitation changes in GISS exhibit greater consistency  
303 across scenarios than they do with the CESM or UKESM. Additionally, UKESM tends to estimate  
304 larger precipitation changes than the other RAEI models, consistent with the HadGEM3 results  
305 indicated in Figure 2 which uses the same physical model. There is, however, general consistency  
306 in the increase in precipitation when SO<sub>2</sub> emissions are reduced in both China and India. The  
307 precipitation responses to lower BC regional emissions are indicated in Figure S8. BC emissions  
308 play a much lesser role in GISS and CESM relative to SO<sub>2</sub> emissions, and cause an inconsistent  
309 response in UKESM across the three regional emissions experiments. For all reduced BC scenarios

310 (with the exception of two UKESM scenarios), the changes in India's precipitation are generally  
311 small (~5% locally) and not statistically significant at a 90% confidence level. The strongest  
312 precipitation response occurs when both Chinese and Indian BC emissions are eliminated, but  
313 there is a spread in the direction of change across models. This spread in precipitation change is  
314 consistent with that of the PDRMIP results in that the intermodel spread in precipitation change  
315 due to BC emissions changes tends to be larger than the magnitude of the precipitation response  
316 from any individual model. This may highlight large process uncertainty generally. Bond et al.  
317 (2013), for example, note that the impact of BC on the cloud radiative forcing in models is highly  
318 sensitive to the nucleation regime in the background atmosphere.

319

#### 320 *3.4 RAEI analysis: physical understanding of the SO<sub>2</sub>-precipitation response*

321 Physical explanations for the precipitation changes induced by SO<sub>2</sub> emissions changes are  
322 explored here. Circulation changes are typically connected to sulfate increases in India; a  
323 weakened land-sea temperature gradient associated with SO<sub>2</sub> emissions would inhibit monsoonal  
324 advection of moisture from the Arabian Sea onto the Indian subcontinent. Warming over the  
325 Himalayas can be seen in most of the simulations (Figure S9), as well as changes in 850 hPa winds,  
326 where there is a clear strengthening of the coastal winds when SO<sub>2</sub> emissions are reduced (Figure  
327 S10). The fact that the land-sea temperature gradient and 850 hPa winds change suggests that  
328 precipitation changes due to SO<sub>2</sub> emissions may be dynamically rather than thermodynamically  
329 driven, which motivates the precipitation decomposition analysis discussed later. A similar  
330 analysis by Shawki et al. (2018) also found that reduced Chinese SO<sub>2</sub> emissions strengthened the  
331 land-sea temperature contrast and consequently precipitation over India. As shown in Figure 4,  
332 strengthening of the monsoonal winds is largely consistent across models and scenarios, though



333 there are slight differences in the location of the strongest zonal wind increases; in GISS and  
334 UKESM, the greatest increase is over India itself for most scenarios, while it is further south in  
335 CESM. This suggests that a high sulfate burden reduces the strength of the monsoon winds,  
336 consistent with prior studies that connect these changes to the dimming of the downward solar flux  
337 (Kim et al. 2007). The relative contributions of thermodynamic (i.e. specific humidity) changes to  
338 dynamic (i.e. circulation) changes are indicated in Figure 5. The thermodynamic precipitation  
339 response to sulfur emissions reductions is positive for the three emissions experiments, consistent  
340 with the Clausius-Clapeyron relation as less SO<sub>2</sub> increases surface temperatures and consequently  
341 specific humidity. The interaction of dynamic and thermodynamic components (panel c,  $\Delta P_{\text{cross}}$ )  
342 plays a minimal role. The magnitude of the thermodynamic response is on the order of 50% that  
343 of the dynamic component – i.e. the dynamic component dominates. Panels (d) and (e) of Figure  
344 5 indicate that this effect is driven primarily by shifts in the convective regions, with changes in  
345 the tropical mean circulation having a minimal or slightly negative effect. It is of note that the  
346 magnitude of each component is consistent across the three models studied here, suggesting  
347 consistency in the mechanistic reasons for increased monsoon precipitation over India when sulfur  
348 emissions are reduced. Changing circulation patterns are suggested as a consequence of changes  
349 in CO<sub>2</sub> as well, and potential nonlinear effects of sulfur and greenhouse emissions on monsoon  
350 precipitation highlight an important **challenge in predicting future changes to the South Asian**  
351 **summer monsoon.**

352

#### 353 **4. Conclusions**

354 The main purpose of this study was to better understand, through the use of several GCM  
355 experiments, the sensitivity of the South Asian summer monsoon to regional anthropogenic aerosol

356 emission changes. Given that this is a modelling study, there are a number of caveats that must be  
357 acknowledged. There are often questions of how well GCMs can simulate the Indian monsoon  
358 since their spatial resolution may be too coarse to resolve the complex orography of India and the  
359 surrounding regions (Prell and Kutzbach, 1992). Additionally, representation of cloud  
360 microphysical processes is a known limitation of GCMs (e.g. Wilcox et al., 2015). We find a large  
361 intermodel spread in cloud profile and precipitation changes in the various BC emissions scenarios  
362 studied here. This suggests that discrepancies in representation of cloud processes within GCMs  
363 constrain uncertainty in the precipitation response from BC perturbations, which cannot be  
364 accounted for simply by differences in the BC vertical profiles (Figure S4). In contrast, the  
365 precipitation responses for SO<sub>2</sub> emission changes as well as the dynamic mechanism for these  
366 responses are largely consistent across models, suggesting that there is relative certainty in the  
367 models ability to simulate precipitation changes due to SO<sub>2</sub> emissions. So, while it may be difficult  
368 to extrapolate on the basis of these simulations from modelled to real-world monsoon precipitation  
369 changes induced by anthropogenic aerosols, consistency in the SO<sub>2</sub> response across models lends  
370 confidence in a potential observed response for future emissions changes.

371  
372 On investigating the response of the monsoon to a tenfold increase of Asian BC and sulfate  
373 concentrations, we found that the role of BC on Indian precipitation is uncertain but that increased  
374 sulfate concentrations over India reduce precipitation across five of the six models studied. Large  
375 uncertainty in the precipitation response to changing Asian BC is notably consistent with previous  
376 PDRMIP analysis studying monsoon changes to a tenfold increase in global BC levels (Xie et al.  
377 2020). Consistency between the global and regional PDMRIP simulations in this context suggests

378 further that a BC signal is difficult to detect for the South Asian summer monsoon (a result found  
379 also in Liu et al., 2018).

380

381 When assessing the relative contributions of Chinese and Indian anthropogenic SO<sub>2</sub> emissions to  
382 aerosol loading over South Asia (the RAEI emissions experiments), and the consequent  
383 precipitation responses, we find that there is only a statistically significant difference in monsoon  
384 precipitation when there is reduction of both China and India's SO<sub>2</sub> emissions, which leads to on  
385 the order of a 20% precipitation increase locally. Consistency in the precipitation responses  
386 between the increased sulfate scenario (PDRMIP SULF10xASIA) and the decreased sulfate  
387 scenario (RAEI) suggests that the aerosol-precipitation link may be a reversible process, and is  
388 attributable in large part to dynamical changes specifically shifts in convective patterns over the  
389 region. Additionally, these results are significant because Chinese emissions of SO<sub>2</sub> have declined  
390 over the past decade, while Indian emissions have grown steadily. There is also anticipated growth  
391 in CO<sub>2</sub> emissions and concentrations over the coming decades and this is expected to result in an  
392 increase in the atmospheric water vapor content. These concurrent events will have important  
393 implications for policy going forward, as water deficits present a major issue for India that may be  
394 exacerbated given the country's exponential population growth. Regions that exhibit large  
395 variability in summertime precipitation such as Chennai and Delhi (as indicated in Figure S11)  
396 may be particularly sensitive to future monsoon changes because interannual shifts between wet  
397 and dry years at present impose important strains on the available water resource. Moreover, the  
398 benefits of policies to control SO<sub>2</sub> emissions will have significant impacts not only on mitigating  
399 water deficits but also in terms of alleviation of air pollution, estimated to be responsible for  
400 hundreds of thousands of premature deaths per year in India (Health Effects Institute, 2019). It is,

401 however, important to bear in mind that SO<sub>2</sub> emissions reductions could also increase flooding and  
402 extreme precipitation generally (Sillmann et al., 2019).

403  
404 While China's pollution is expected to decline in most socio-economic projections, India's is  
405 expected to grow except under strong emissions controls (Samset et al., 2019). Regardless of the  
406 realism of these scenarios, the results should be seen as further impetus for regional policies to  
407 reduce SO<sub>2</sub> emissions given that we have found combined emissions reductions from China and  
408 India can increase monsoon precipitation over the country by 5% on average and by up to 20%  
409 locally. This effect, in combination with consequent impacts of continued growth in GHGs (Figure  
410 S1), could result in an overabundance. This calls therefore for careful consideration of implications  
411 for both precipitation and health over multiple timescales.

412

### 413 **Code and data availability**

414 All code and model data to make the figures used in this paper will be made publicly available  
415 through Zenodo following acceptance of the paper. The ESRL database makes gridded  
416 precipitation data publicly available for both the University of Delaware data  
417 ([https://www.esrl.noaa.gov/psd/data/gridded/data.UDel\\_AirT\\_Precip.html](https://www.esrl.noaa.gov/psd/data/gridded/data.UDel_AirT_Precip.html)) and for the GPCC  
418 data (<https://www.esrl.noaa.gov/psd/data/gridded/data.gpcc.html>).

419

### 420 **Author contribution**

421 ATA, NLA, JFL, DS, GF ran the RAEI experiments for their respective GCMs. PS prepared the  
422 manuscript with contributions from all co-authors.

423

424 **Competing interests**

425 The authors declare that they have no conflict of interest.

426

427 **Acknowledgments**

428 This study was supported by the Harvard Global Institute. ATA and NLA thank NERC through  
429 NCAS for funding for the ACSIS project and NE/P016383/1. The UKESM work used Monsoon2,  
430 a collaborative High Performance Computing facility funded by the Met Office and the Natural  
431 Environment Research Council. This work used JASMIN, the UK collaborative data analysis  
432 facility. The NCAR-CESM work is supported by the National Science Foundation and the Office  
433 of Science (BER) of the U.S. Department of Energy. NCAR is sponsored by the National Science  
434 Foundation. Climate modeling at GISS is supported by the NASA Modeling, Analysis and  
435 Prediction program. GISS simulations used resources provided by the NASA High-End  
436 Computing (HEC) Program through the NASA Center for Climate Simulation (NCCS) at Goddard  
437 Space Flight Center.

438

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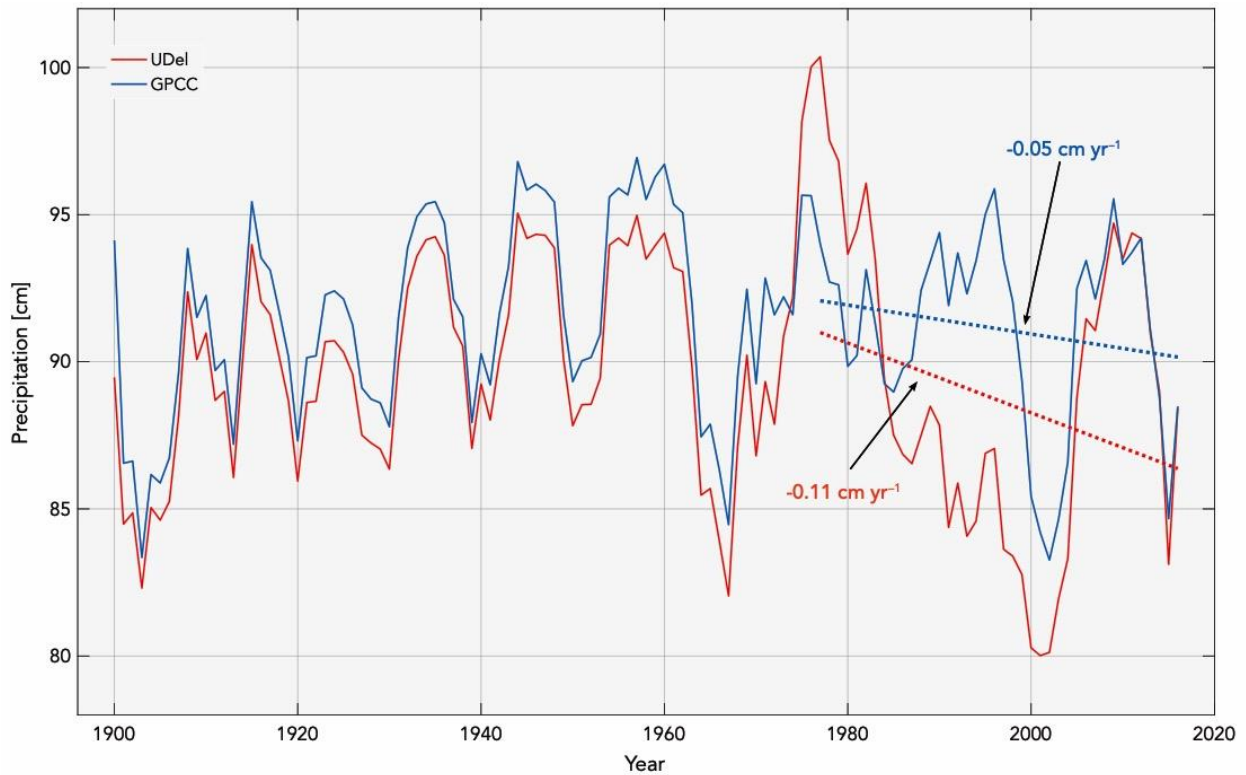
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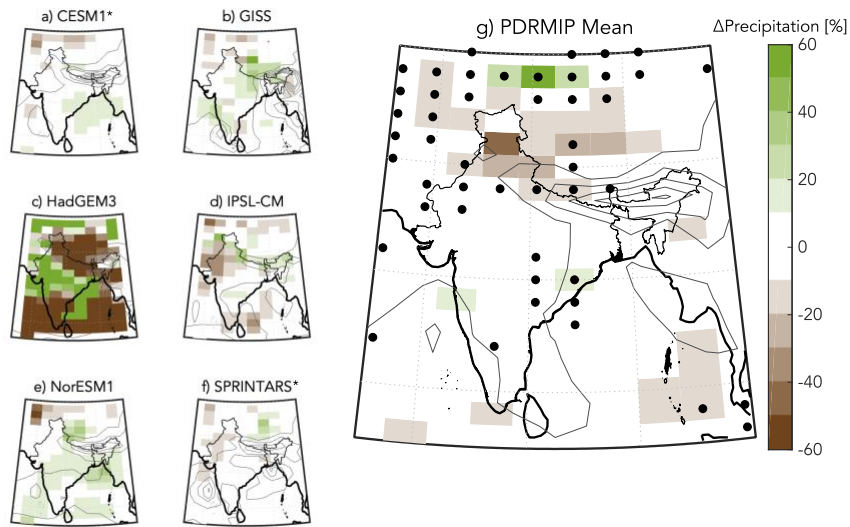
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571 **Figures**

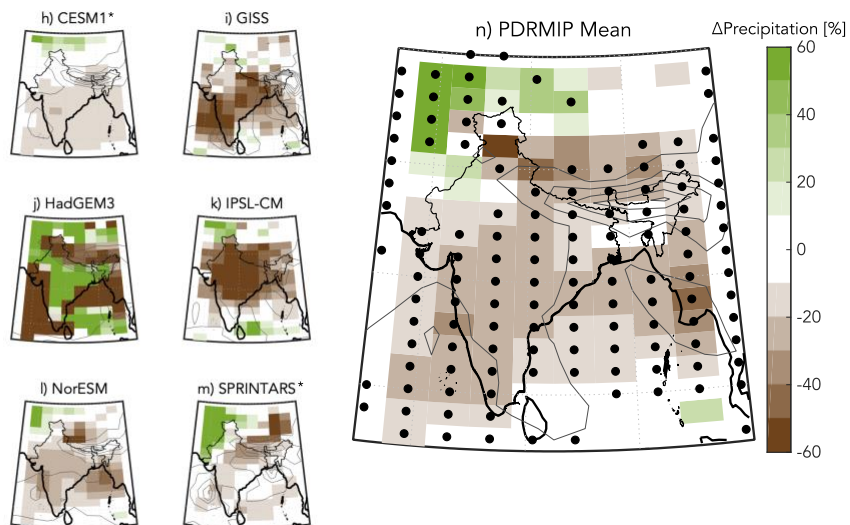


572  
573 **Figure 1.** Average cumulative summer (JJAS) precipitation [cm] over land in all of India from  
574 1900 to 2016 for two observational datasets: (red) University of Delaware (UDel; Willmot and  
575 Matsuura, 2001) (blue) the Global Precipitation Climatology Center (GPCC; Schneider et al.  
576 2018). Data are smoothed using a moving mean with a window size of five years. Linear trend  
577 lines are indicated for the last 40 years for each dataset as dashed lines, and the slopes [cm yr<sup>-1</sup>]  
578 are denoted by the arrows.

## BC10xASIA – CTRL<sub>PDRMIP</sub>

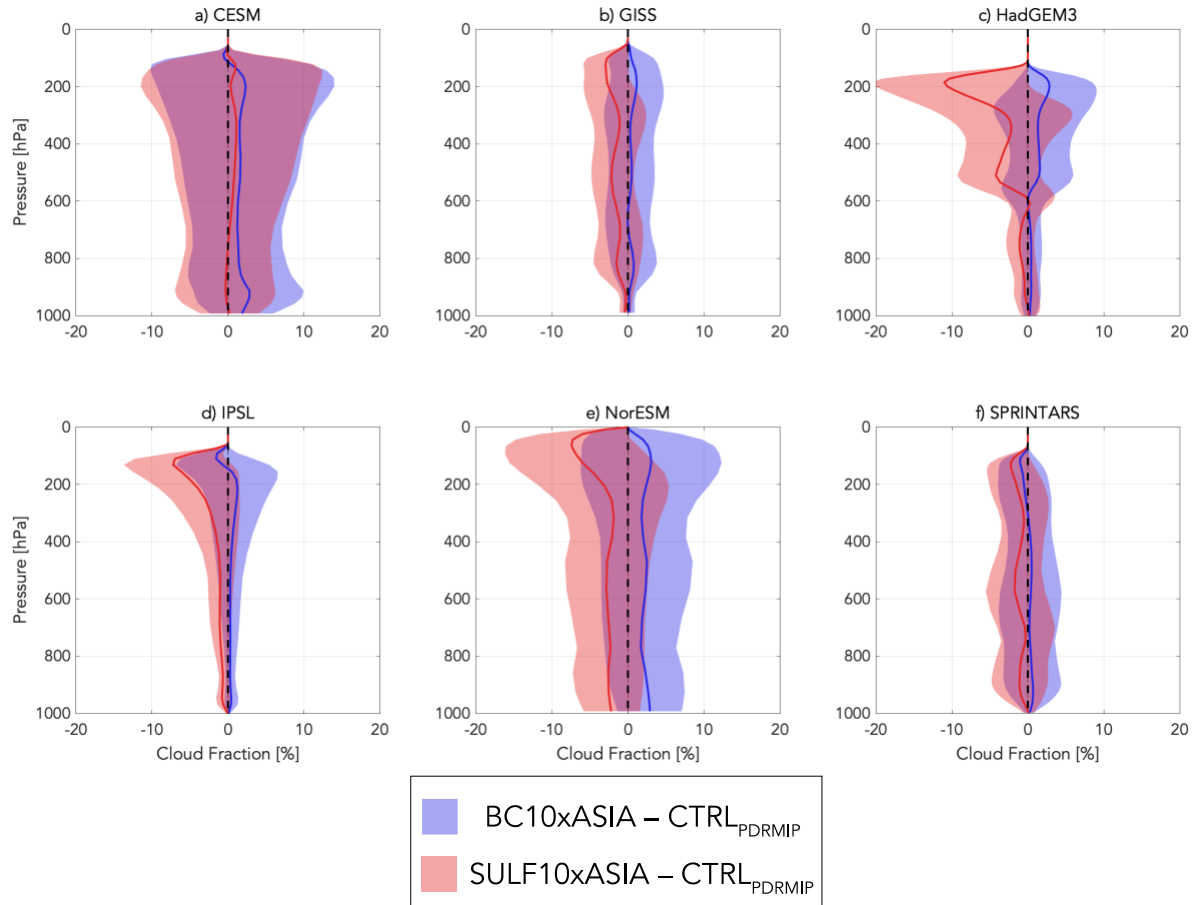


## SULF10xASIA – CTRL<sub>PDRMIP</sub>



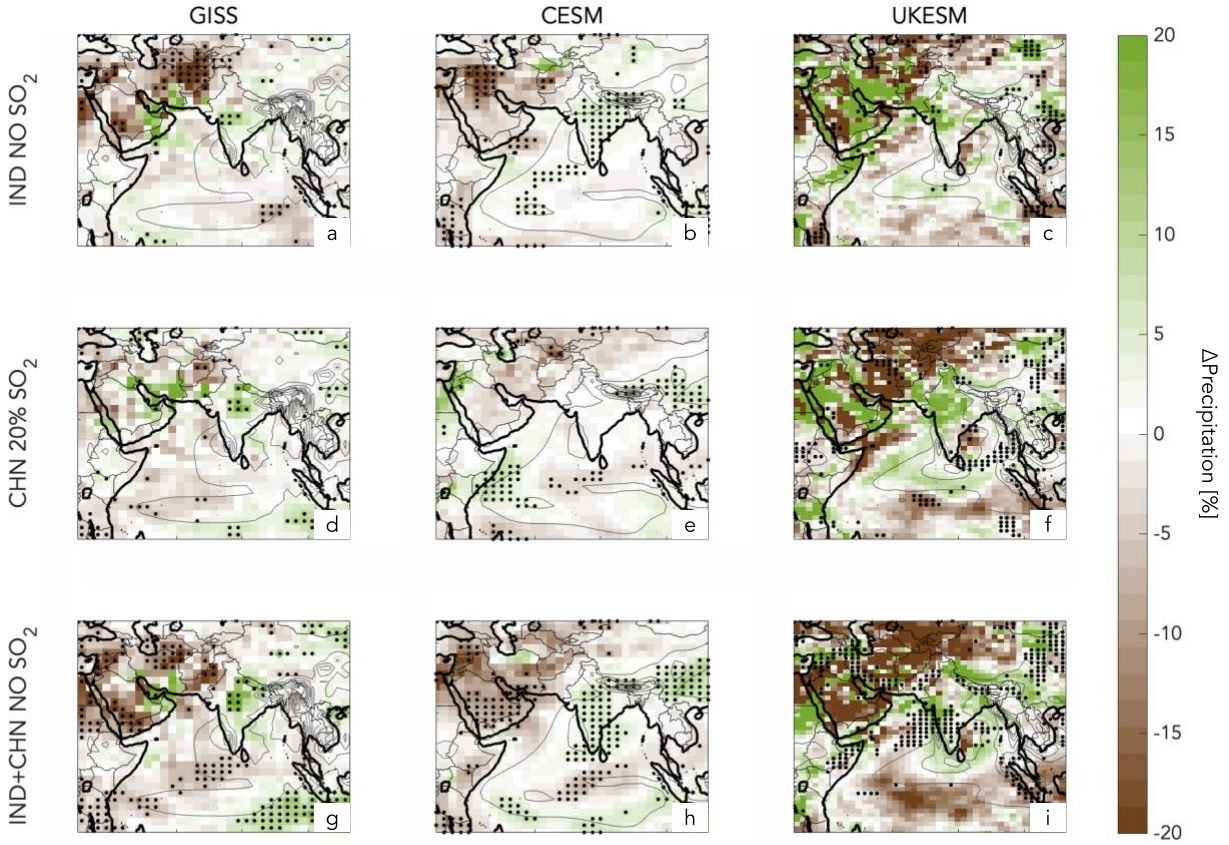
579

580 **Figure 2.** Percent change in summertime (JJAS) precipitation between (a-f) the BC10xASIA and  
 581 the CTRL<sub>PDRMIP</sub> runs; (g) the multimodel mean of the change. Similarly, (h-m) represent the  
 582 precipitation change in JJAS precipitation between the SULF10xASIA scenarios and the  
 583 CTRL<sub>PDRMIP</sub> runs, and (n) represents the multimodel mean of the change. Stippled grid cells in  
 584 (g) and (n) denote regions where at least five of the six models agree on the sign of the change.  
 585 Grey contours indicate mean JJAS precipitation from the control experiment for each model at 5  
 586 mm day<sup>-1</sup> intervals.

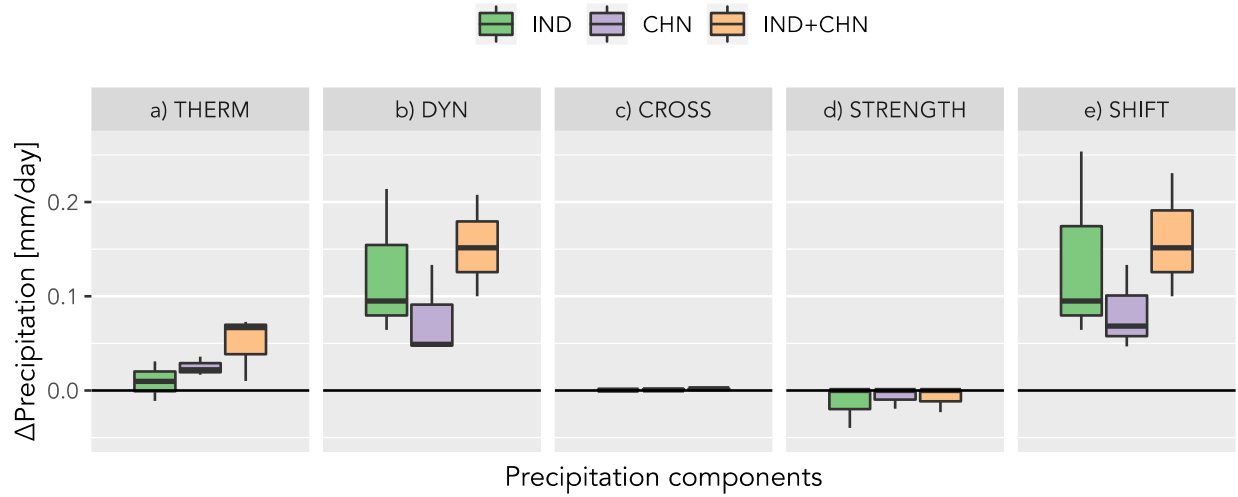


587

588 **Figure 3.** JJAS difference in cloud fraction between (blue) the BC10xASIA and the CTRL<sub>PDRMIP</sub>  
 589 runs and (red) the SULF10xASIA scenarios and the CTRL<sub>PDRMIP</sub> runs. The bold lines represent  
 590 the mean difference and the shadings represent 25<sup>th</sup> and 75<sup>th</sup> percentiles.  
 591



592  
 593 **Figure 4.** JJAS precipitation percentage difference between the SO<sub>2</sub> regional emissions scenarios  
 594 and the CTRL runs. The columns represent the different models and rows represent the different  
 595 emissions scenarios. Stippled regions denote areas where the difference is significant at a 90%  
 596 confidence level for a two-sample t-test. Grey contours indicate mean JJAS precipitation from  
 597 the control experiment for each model at 5 mm day<sup>-1</sup> intervals.  
 598



600

601 **Figure 5.** Boxplots indicating the decomposition of area averaged JJAS precipitation anomalies  
 602 [ $\text{mm day}^{-1}$ ] into a)  $\Delta P_{\text{therm}}$ , b)  $\Delta P_{\text{dyn}}$ , c)  $\Delta P_{\text{cross}}$ , d)  $\Delta P_{\text{strength}}$  and e)  $\Delta P_{\text{shift}}$  components over India.  
 603 Different colors represent the three RAEI scenarios relative to the respective CTRL run with  
 604 green representing the IND NO  $\text{SO}_2$  experiment, purple the CHN 20%  $\text{SO}_2$  experiment and  
 605 orange the IND+CHN NO  $\text{SO}_2$  experiment. The range for each boxplot corresponds to  
 606 intermodel variability from the three different models studied in the RAEI experiments.