



- Marine organic matter in the remote environment of the Cape Verde 1
- Islands An introduction and overview to the MarParCloud campaign 2
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# 47 Abstract

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The project MarParCloud (Marine biological production, organic aerosol Particles and marine 49 Clouds: a process chain) aims at improving our understanding of the genesis, modification and 50 51 impact of marine organic matter (OM), from its biological production, via its export to marine aerosol particles and, finally, towards its ability to act as ice nucleating particles (INP) and 52 53 cloud condensation nuclei (CCN). A field campaign at the Cape Verde Atmospheric 54 Observatory (CVAO) in the tropics in September/October 2017 formed the core of this project that was jointly performed with the project MARSU (MARine atmospheric Science 55 Unravelled). A suite of chemical, physical, biological and meteorological techniques was 56 57 applied and comprehensive measurements of bulk water, the sea surface microlayer (SML), cloud water and ambient aerosol particles collected at a ground-based and a mountain station 58 59 took place.

Key variables comprised the chemical characterization of the atmospherically relevant OM components in the ocean and the atmosphere as well as measurements of INP and CCN. Moreover, bacterial cell counts, mercury species and trace gases were analysed. To interpret the results, the measurements were accompanied by various auxiliary parameters such as air mass back trajectory analysis, vertical atmospheric profile analysis, cloud observations and pigment measurements in seawater. Additional modelling studies supported the experimental analysis.

67 During the campaign, the CVAO exhibited marine air masses with low and partly moderate 68 dust influences. The marine boundary layer was well mixed as indicated by an almost uniform particle number size distribution within the boundary layer. Lipid biomarkers were present in 69 70 the aerosol particles in typical concentrations of marine background conditions. Accumulation 71 and coarse mode particles served as CCN and were efficiently transferred to the cloud water. 72 The ascent of ocean-derived compounds, such as sea salt and sugar-like compounds, to the 73 cloud level as derived from chemical analysis and atmospheric transfer modelling results denote 74 an influence of marine emissions on cloud formation. However, INP measurements indicated also a significant contribution of other non-marine sources to the local INP concentration or 75 76 strong enrichment processes during upward transport. In addition, the number of CCN at the 77 supersaturation of 0.30% was about 2.5 times higher during dust periods compared to marine periods. Lipids, sugar-like compounds, UV absorbing humic-like substances and low molecular 78 79 weight neutral components were important organic compounds in the seawater and highly surface-active lipids were enriched within the SML. The selective enrichment of specific 80 organic compounds in the SML needs to be studied in further detail and implemented in an OM 81 source function for emission modelling to better understand transfer patterns, mechanisms of 82 marine OM transformation in the atmosphere and the role of additional sources. 83

In summary, when looking at particulate mass, we do see oceanic compounds transferred to the
 atmospheric aerosol and to the cloud level, while from a perspective of particle number
 concentrations, marine contributions to both CCN and INP are rather limited.

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# 89 Keywords

- 90 MarParCloud, MARSU, organic matter, seawater, sea surface microlayer, aerosol particles,
- 91 cloud water, Cape Verde Atmospheric Observatory (CVAO)

## 92 1 Introduction and Motivation

The ocean covers around 71% of the earth's surface and acts as a source and sink for 93 94 atmospheric gases and particles. However, the complex interactions between the marine 95 boundary layer (MBL) and the ocean surface are still largely unexplored (Cochran, et al. 2017; de Leeuw, et al. 2011; Gantt and Meskhidze 2013; Law, et al. 2013). In particular, the role of 96 97 marine organic matter (OM) with its sources and contribution to marine aerosol particles, is still 98 poorly understood, where this particle fraction might lead to a variety of effects such as 99 changing health effects, changing radiative properties, changing effects of marine particles deposited to the ecosystems (e.g. Abbatt, et al. 2019; Brooks and Thornton 2018; Burrows, et 100 101 al. 2013; Gantt and Meskhidze 2013; Pagnone, et al. 2019). Furthermore, knowledge on the 102 properties of marine organic aerosol particles and their ability to act as cloud condensation nuclei (CCN) or ice nucleating particle (INP) is still elusive. Ocean-derived INPs were 103 suggested to play a dominating role in determining INP concentrations in near-surface-air over 104 the remote areas such as the Southern Ocean, however their source strength in other oceanic 105 106 regions is still largely unknown (Burrows, et al. 2013; McCluskey, et al. 2018a; McCluskey, et al. 2018b). 107

During recent years, it was clearly demonstrated that marine aerosol particles contain a significant organic mass fraction derived from primary and secondary processes (Middlebrook, et al. 1998; Prather, et al. 2013; Putaud, et al. 2000; van Pinxteren, et al. 2017; van Pinxteren, et al. 2015). Although it is known that the main OM groups show similarities to the oceanic composition and comprise carbohydrates, proteins, lipids as well as humic-like and refractory organic matter, a large fraction of OM in the marine environment is still unknown on a molecular level (e.g. Gantt and Meskhidze 2013).

The formation of ocean-derived aerosol particles and their precursors is influenced by the 115 uppermost layer of the ocean, the sea surface microlayer (SML) formed due to different 116 physicochemical properties of air and water (Engel, et al. 2017; Wurl, et al. 2017). Recent 117 investigations suggest that the SML is stable up to wind speeds of > 10 m s<sup>-1</sup> and is therefore 118 existent at the global average wind speed of 6.6 m s<sup>-1</sup> and a fixed component influencing the 119 ocean atmosphere interaction on global scales (Wurl, et al. 2011). The SML is involved in the 120 generation of sea-spray (or primary) particles including their organic fraction by either transfer 121 of OM to rising bubbles before they burst out or through a more direct transfer of OM from the 122 123 ocean compartments to the marine particles. A mechanistic and predicable understanding of these complex and interacting processes is still lacking (e.g. Engel, et al. 2017). Moreover, 124 125 surface films influence air-sea gas exchange and may undergo (photo)chemical reactions leading to a production of unsaturated and functionalized volatile organic compounds (VOCs) 126 acting as precursors for the formation of secondary organic aerosol (SOA) particles 127 128 (Brueggemann, et al. 2018; Ciuraru, et al. 2015). Thus, dynamics of OM and especially surfaceactive compounds present at the air-water interface may have global impacts on the air-sea 129





exchange processes necessary to understand oceanic feedbacks on the atmosphere (e.g. Pereira,et al. 2018).

132 Within the SML, OM is a mixture of different compounds such as polysaccharides, amino acids,

proteins, lipids and it occurs as particulate and chromophoric dissolved organic matter (CDOM) (e.g. Gašparović, et al. 1998a; Gašparović, et al. 2007; Stolle, et al. 2019). In addition, the complex microbial community is assumed to exert a strong control on the concentration and the composition of OM (Cunliffe, et al. 2013). In calm conditions, bacteria accumulate in the SML (Rahlff, et al. 2017) and are an integral part of the biofilm-like habitat forming at the airsea interface (Stolle, et al. 2010; Wurl, et al. 2016).

A variety of specific organic compounds such as surface-active substances (SAS), volatile 139 organic compounds (VOC), and acidic polysaccharides aggregating to transparent exopolymer 140 particles (TEP), strongly influence the physico-chemical properties of OM in the SML. SAS 141 142 (or surfactants) are highly enriched in the SML relative to bulk water and contribute to the formation of surface films (Frka, et al. 2009; Frka, et al. 2012; Wurl, et al. 2009). SAS are 143 144 excreted by phytoplankton, during zooplankton grazing and bacterial activities (e.g. Gašparović, et al. 1998b). The enrichment of SAS in the SML occurs predominantly via 145 advective and diffusive transport at low wind speeds or bubble scavenging at moderate to high 146 147 wind speeds (Wurl, et al. 2011). When transferred to the atmosphere, OM with surfactant 148 properties, ubiquitously present in atmospheric aerosol particles, has the potential to affect the 149 cloud droplet formation ability of these particles (e.g. Kroflič, et al. 2018).

Sticky and gel-like TEP are secreted by phytoplankton and bacteria and can form via abiotic processes (Wurl, et al. 2009). Depending on their buoyancy they may contribute to sinking particles (marine snow) or can rise and accumulate at the sea surface. Due to their sticky nature TEP is called the "marine glue" and as such it contributes to the formation of hydrophobic films by trapping other particulate and dissolved organic compounds (Wurl, et al. 2016). Additionally, TEP is suspected to play a pivotal role in the release of marine particles into the air via sea spray and bursting bubbles (Bigg and Leck 2008).

Many studies recognize a possible link between marine biological activity and marine-derived 157 organic aerosol particles (Facchini, et al. 2008; O'Dowd, et al. 2004; Ovadnevaite, et al. 2011), 158 159 and thus to the SML due to the linkages outlined before. Yet, the environmental drivers and 160 mechanisms for the OM enrichment are not very clear (Brooks and Thornton 2018; Gantt and 161 Meskhidze 2013) and individual compound studies can only explain a small part of OM (e.g. 162 van Pinxteren, et al. 2017; van Pinxteren and Herrmann 2013). The molecular understanding of the occurrence and the processing of OM in all marine compartments is essential for a deeper 163 164 understanding and for an evidence-based implementation of organic aerosol particles and their relations to the oceans in coupled ocean-atmosphere models. Synergistic measurements in 165 comprehensive interdisciplinary field campaigns in representative areas of the ocean and also 166 laboratory studies under controlled conditions are required to explore the biology, physics and 167 168 chemistry in all marine compartments (e.g. Quinn, et al. 2015).

Accordingly, the project MarParCloud together with contributions from the project MARSU
 addresses central aspects of ocean atmosphere interactions focusing on the marine OM within
 an interdisciplinary field campaign at the Cape Verde Islands. Synergistic measurements will
 deliver an improved understanding of the role of marine organic matter. MarParCloud focuses

173 on the following main research questions:





174 175	•	To what extent is seawater a source of OM on aerosol particles and cloud water?
176 177 178	•	What are the important OM groups in oceanic surface films, aerosol particles and cloud water (and how are they linked)?
179 180 181 182	•	Is the occurrence and accumulation of OM in the surface film and in other marine compartments (aerosol particles, cloud water) controlled by biological and meteorological factors?
183 184	•	Which functional role do bacteria play in aerosol particles?
185 186 187 188	•	Does the surface film contribute to the formation of ice nuclei, and at what temperatures do these nuclei become ice-active? Are these ice nuclei found in cloud water?
189 190	•	Is the marine OM connected to the CCN concentration in the MBL?
191 192 193 194	•	How must an emission parameterization for OM (including individual species) be designed in order to best reflect the concentrations in the aerosol depending on those in seawater or biological productivity under given ambient conditions?

The tropics with a high photochemical activity are of central importance in several aspects of 195 the climate system. Approximately 75% of the tropospheric production and loss of ozone occurs 196 197 within the tropics, and in particular in the tropical upper troposphere (Horowitz, et al. 2003). The Cape Verde islands are located downwind of the Mauritanian coastal upwelling region off 198 northwest in the islands. In addition, they are in a region of the Atlantic that is regularly 199 200 impacted by dust deposition from the African Sahara (Carpenter, et al. 2010). The remote station of CVAO is therefore an excellent site for process-oriented campaigns embedded into 201 202 the long-term measurements of atmospheric constituents, which are essential for understanding the atmospheric processes and its impact on climate. 203

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# 205 2 Strategy of the campaign

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207 The present contribution intends to provide an introduction, overview and first results of the comprehensive MarParCloud field campaign to the MarParCloud Special Issue. We will 208 209 describe the oceanic and atmospheric ambient conditions at the CVAO site that have not been 210 synthesized elsewhere and are valuable in themselves because of the sparseness of the existing information at such a tropical remote location. Next, we will describe the sampling and 211 212 analytical strategy during MarParCloud, taking into account all marine compartments i.e. the seawater (SML and bulk water), ambient aerosol particles (at ground-level and the Mt Verde, 213 elevation: 744 m a.s.l.), and cloud water. Detailed aerosol investigations were carried out, both 214 215 for the chemical composition and for physical properties at both stations. In addition, vertical profiles of meteorological parameters were measured at CVAO using a helikite. These 216 measurements were combined with modelling studies to determine the MBL height. In 217 conjunction, they are an indicator for the mixing state within the MBL providing further 218





219 confidence for ground-level measured aerosol properties being representative for those at cloud 220 level. The chemical characterization of OM in the aerosol particles as well as in the surface 221 ocean and cloud water included sum parameters (e.g. OM classes like biopolymers and humiclike substances) and molecular analyses (e.g. lipids, sugars and amino acids). Additionally, to 222 223 address the direct oceanic transfer (bubble bursting), seawater and aerosol particle characterization obtained from a systematic plunging waterfall tank are presented. As an 224 225 example for trace metals, ocean surface mercury (Hg) associated with OM was studied. Marine pigments and marine microorganisms were captured to investigate their relation to OM and to 226 227 algae produced trace gases. Marine trace gases such as dimethyl sulphide (DMS), VOCs and 228 oxygenated (O)VOCs were measured and discussed. Furthermore, a series of continuous nitrous acid (HONO) measurements was conducted at the CVAO with the aim of elucidating 229 230 the possible contribution of marine surfaces at the production of this acid. To explore whether 231 marine air masses exhibit a significant potential to form SOA, an oxidation flow reactor (OFR) was deployed at the CVAO. Finally, modelling studies to describe the vertical transport of 232 233 selected marine organic compounds from the ocean to the atmosphere up to cloud level taking into account advection and wind conditions will be applied. From the obtained results of organic 234 235 compound measurements, a new source function for the oceanic emission of OM will be 236 developed. The measurements, first interpretations and conclusions aggregated here will 237 provide a basis for upcoming detailed analysis.

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## 239 3 Experimental

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## 241 3.1 General CVAO site and meteorology

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243 The Cape Verde archipelago Islands are situated in the Eastern Tropical North Atlantic 244 (ETNA). The Archipelago experiences strong North-East trade winds that divide the islands 245 into two groups, the Barlavento (windward) and Sotavento (leeward) islands. The North-246 Western Barlavento Islands of São Vicente and Santo Antão, as well as São Nicolao, are rocky 247 and hilly making them favourable for the formation of orographic clouds.

The CVAO is part of a bilateral initiative between Germany and the UK to conduct long-term 248 studies in the tropical north-east Atlantic Ocean (16° 51.49' N, -24° 52.02' E). The station is 249 250 located directly at the shoreline at the northeastern tip of the island of São Vicente at 10 m a.s.l. The air temperature varies between 20 and 30 °C with a mean of 23.6 °C. The relative humidity 251 is in average at 79% and precipitation is very low (Carpenter, et al. 2010). Due to the trade 252 253 winds, this site is free from local island pollution and provides reference conditions for studies 254 of ocean-atmosphere interactions. However, it also lies within the Saharan dust outflow corridor 255 to the Atlantic Ocean and experiences strong seasonal dust outbreaks with peaks between late November and February (Fomba, et al. 2014; Patey, et al. 2015; Schepanski, et al. 2009). Air 256 mass inflow to this region can vary frequently within a day leading to strong inter-day temporal 257 variation in the aerosol mass and chemical composition (Fomba, et al. 2014, Patey, et al. 2015). 258





259 Despite the predominant NE trade winds, air masses from the USA as well as from Europe are partly observed. However, during autumn, marine air masses are mainly present with few 260 261 periods of dust outbreaks because at these times the dust is transported at higher altitudes in the 262 Saharan Air Layer (SAL) over the Atlantic to the Americas (Fomba, et al. 2014). During 263 autumn, there is no significant transport of the dust at lower altitudes and only intermittent effects of turbulence in the SAL leads to occasional dust deposition and sedimentation from the 264 SAL to lower altitudes and at ground level. Furthermore, during autumn the mountain site (Mt. 265 Verde) is often covered with clouds as surface temperatures drop after typically very hot 266 267 summer months. Due to the frequent cloud coverage and less dust influence in autumn, the MarParCloud campaign was scheduled from September 13th to October 13th 2017. 268

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270 3.2 CVAO equipment during MarParCloud

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272 The setup of the CVAO station is explained in detail in Carpenter, et al. (2010) and Fomba, et 273 al. (2014). During the MarParCloud campaign, the 30 m high tower was equipped with several aerosol particle samplers, including high volume  $PM_1$ ,  $PM_{10}$  (Digitel, Riemer, Germany), and 274 total suspended particle (TSP, Sieria Anderson, USA) samplers, low volume TSP (homebuilt) 275 276 and PM<sub>1</sub> (Comde-Derenda, Germany) samplers and a size-resolved aerosol particle Berner 277 impactor (5 stages). The sampling times were usually set to 24 h (more details in the SI). On-278 line aerosol instruments included a Cloud Condensation Nuclei counter (CCNc, Droplet 279 Measurement Technologies, Boulder, USA) (Roberts and Nenes 2005) to measure cloud condensation nuclei number concentration (N<sub>CCN</sub>). A TROPOS-type Scanning Mobility 280 281 Particle Sizer (SMPS) (Wiedensohler, et al. 2012), and an APS (Aerodynamic Particle Sizer, model 3321, TSI Inc., Paul, MN, USA) were used to measure in the size range from 10 nm to 282 283 10  $\mu$ m. The particles hygroscopicity (expressed as  $\kappa$  (Petters and Kreidenweis 2007)) was derived from combined N<sub>CCN</sub> and particle number size distributions (PNSDs) measurements 284 285 from the SMPS and APS. Vertical profiles of meteorological parameters were measured using a 16 m<sup>3</sup> Helikite (Allsopp Helikites Ltd, Hampshire, UK), a combination of a kite and a tethered 286 balloon. Additional equipment at the CVAO station on ground included the plunging waterfall 287 288 tank, the LOng Path Absorption Photometer (LOPAP), and the Gothenburg Potential Aerosol Mass Reactor (Go:PAM) chamber. Further details on the measurements are listed and explained 289 290 in the SI and all instruments can be found in the Table S1.

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293 3.3 Mt. Verde

294 Mt. Verde was a twin site for aerosol particle measurements and the only site with cloud watersampling during the MarParCloud campaign. It is the highest point of the São Vicente Island 295 296 (744 m) situated in the northeast of the Island (16° 86.95' N, -24° 93.38' E) and northwest to 297 the CVAO. Mt. Verde also experiences direct trade winds from the ocean with no significant influence of anthropogenic activities from the island. Mt. Verde was in clouds during roughly 298 58% of the time during the campaign. However, the duration of the cloud coverage varied 299 300 between 2 h and 18 h with longer periods of cloud coverage observed in the nights when surface 301 temperatures dropped.





302 During the campaign, Mt. Verde was, for the first time, equipped with similar collectors as operated at the CVAO, namely the high volume Digitel sampler for the  $PM_1$  and  $PM_{10}$  bulk 303 304 aerosol particles, a low volume TSP sampler and a five-stage Berner impactor for the sizeresolved aerosol particle sampling. Bulk cloud water was collected using six (4 plastic and 2 305 306 stainless steel) compact Caltech Active Strand Cloud water Collectors (CASCC2) (Demoz, et al. 1996). The six samplers were run in parallel for a sampling time between 2.5 and 13 hours 307 collecting between 78 to 544 mL cloud water per sampler in an acid-precleared plastic bottle. 308 It needs to be pointed out that the aerosol particle samplers run continuously and aerosol 309 310 particles were also sampled during cloud events. The cloud liquid water content was measured 311 continuously by a particle volume monitor (PVM-100, Gerber Scientific, USA), which was mounted on a support at the same height with the cloud water samplers. The same suite of on-312 line aerosol instruments as employed at the CVAO (SMPS, APS, CCNc) was installed at the 313 314 mountain side. All instruments employed at the Mt. Verde site are listed in the Table S2.

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316 3.4 Oceanographic setting and seawater sampling site

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The ETNA around Cape Verde is characterized by a so-called oxygen minimum zone (OMZ) 318 319 at a water depth of approximately 450 m and by sluggish water velocities (Brandt, et al. 2015). 320 The region is bounded by a highly productive eastern-boundary upwelling system (EBUS) 321 along the African coast, by the Cape Verde Frontal Zone (CVFZ) on its western side, and by zonal current bands towards the equator (Stramma, et al. 2005). Upper water masses towards 322 the archipelago are dominated by North Atlantic Central Water masses (NACW) with enhanced 323 324 salinity, whereas the South Atlantic Central Water mass (SACW) is the dominating upper layer water mass in the EBUS region (Pastor, et al. 2008). Filaments and eddies generated in the 325 326 EBUS region are propagating westwards into the open ocean and usually dissipate before reaching the archipelago. However, observations from the Cape Verde Ocean Observatory 327 (CVOO) 60 nautical miles northeast of the Sao Vicente island (17° 35.00 N', -24° 17.00 E', 328 http://cvoo.geomar.de) also revealed the occurrence of water masses originating from the EBUS 329 region which got advected by stable mesoscale eddies (Fiedler, et al. 2016; Karstensen, et al. 330 331 2015).

332 For the MarParCloud campaign, the water samples were taken at Bahia das Gatas, a beach that 333 is situated upwind of the CVAO about 4 km northwest in front of the station. The beach 334 provided shallow access to the ocean that allowed the employment of the fishing boats for manual SML and bulk water sampling and the other equipment. For SML sampling, the glass 335 plate technique as one typical SML sampling strategy was applied (Cunliffe and Wurl 2014). 336 A glass plate with a sampling area of 2000 cm<sup>2</sup> was vertically immersed into the water and then 337 slowly drawn upwards with a withdrawal rate between 5 and 10 cm s<sup>-1</sup>. The surface film adheres 338 to the surface of the glass and is removed using framed Teflon wipers (Stolle, et al. 2010; van 339 340 Pinxteren, et al. 2012). Bulk seawater was collected from a depth of 1 m using a specially designed device consisting of a glass bottle mounted on a telescopic rod used to monitor 341 sampling depth. The bottle was opened underwater at the intended sampling depth with a 342 specifically conceived seal-opener. 343

In addition, the MarParCat, a remotely controllable catamaran, was applied for SML sampling
using the same principle as manual sampling (glass plate). The MarParCat sampled bulk water





in a depth of 70 cm. A more detailed description of the MarParCat can be found in the SI. Using
the two devices, manual sampling and the MarParCat, between one and six liters of SML were

- sampled at each sampling event. For the sampling of the SML, great care was taken that all parts that were in contact with the sample (glass plate, bottles, catamaran tubing) underwent an intervent element of the sample of
- intense cleaning with 10% HCl to avoid contamination and carry over problems.
- The sampling sites with the different set up and equipment are illustrated in Figure 1. All
- obtained SML and bulk water samples and their standard parameters are listed in Table S3.
- 353 4 Ambient conditions
- 4.1 Atmospheric conditions during the campaign
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## 356 4.1.1 Marine and dust influences

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358 During autumn, marine background air masses are mainly observed at the CVAO, interrupted by a few periods of dust outbreaks (Carpenter, et al. 2010; Fomba, et al. 2014). A 5 years' 359 360 average dust record showed low concentrations with average values of 25  $\mu$ g m<sup>-3</sup> and 17  $\mu$ g m<sup>-3</sup> <sup>3</sup> during September and October, respectively (Fomba, et al. 2014). The dust concentrations 361 during the campaign were generally  $< 30 \,\mu g \, m^{-3}$  however, strong temporal variation of mineral 362 dust markers were observed (Table 1). According to Fomba, et al. (2013, 2014), a classification 363 364 into: marine conditions (dust  $< 5\mu$ g/m<sup>3</sup>, typically Fe < 50 ng m<sup>-3</sup>), low dust (dust  $< 20 \mu$ g/m<sup>3</sup>) and moderate dust (dust  $< 60 \mu g/m^3$ ) conditions was used to describe the dust influence during 365 this period. Following this classification, one purely marine period was defined from September 366 22<sup>nd</sup> to 24<sup>th</sup>, which was also evident from the course of the back trajectories (Fig SI1). For the 367 other periods, the air masses were classified as mixed with marine and low or moderate dust 368 influences as listed in Table 1. Based on a three-modal parameterization method that regarded 369 the number concentrations in different aerosol particle modes, a similar but much finer 370 371 classification of the aerosol particles was obtained as discussed in Gong, et al. (2019a).

372 The classification of the air masses was complemented by air mass backward trajectory analyses. 96 hours back trajectories were calculated on an hourly basis within the sampling 373 374 intervals, using the HYSPLIT model (HYbrid Single-Particle Lagrangian Integrated Trajectory, 375 http://www.arl.noaa.gov/ready/hysplit4.html, 26.07.19) published by the National Oceanic and 376 Atmospheric Administration (NOAA) in the ensemble mode at an arrival height of 500 m  $\pm$ 200 m (van Pinxteren, et al. 2010). The back trajectories for the individual days of the entire 377 campaign, based on the sampling interval for aerosol particle sampling, were calculated and are 378 379 listed in Figure SI1. Air parcel residence times over different sectors are plotted in Figure 2. The comparison of dust concentration and the residence time of the back trajectories revealed 380 that in some cases low dust contributions were observed although the air masses travelled 381 382 almost completely over the ocean (e.g. first days of October). In such cases, entrainment of dust 383 from higher altitudes might explain this finding. The related transport of Saharan dust to the Atlantic during the measurement period can be seen in a visualization based on satellite 384 observations (https://svs.gsfc.nasa.gov/12772, last visited on Oct. 1<sup>st</sup>, 2019). For specific days 385 386 with a low MBL height, it might be more precise to employ back trajectories that start at a lower height and therefore exclude entrainment effects from the free troposphere for the 387





388 characterisation of CVAO data. Similarly, for investigating long-lived components, it might be helpful to analyse longer trajectory integration times (e.g. 10 days instead of 4 days). However, 389 390 the longer the back trajectories, the higher is the level of uncertainty. Regarding aerosol analysis, it is important to notice that dust influences are generally more pronounced on super-391 392 micron particles than on sub-micron particles (e.g. Fomba, et al. 2013; Müller, et al. 2009; Müller, et al. 2010) meaning that bigger particles may be affected by dust sources whereas 393 394 smaller particles may have stronger oceanic and anthropogenic as well as long-range transport influences. Consequently, the herein presented classification represents a first general 395 396 characterisation of the air mass origins. Depending on the sampling periods of other specific 397 analysis, slight variations may be observed and this will be indicated in the specific analysis and manuscripts. 398

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#### 400 4.1.2 Meteorological condition

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Air temperature, wind direction, wind speed measured between September 15th and October 6th 402 (17.5 m a.s.l.) are shown in Figure 3 together with the mixing ratios of the trace gases ozone, 403 ethane, ethene, acetone, methanol and DMS. During this period the air temperature ranged from 404 25.6 °C (6:00 UTC) to 28.3 °C (14:00 UTC) with an average diurnal variation of 0.6 °C. The 405 wind direction was north-easterly (30 to 60 °), except for a period between September  $19^{th}$  and 406 20<sup>th</sup> and again on September 21<sup>st</sup> when northerly air, and lower wind speeds, prevailed. The 407 408 meteorological conditions observed during the campaign were typical for this site (e.g. 409 Carpenter, et al. 2010, Fomba, et al. 2014). The concentrations of the different trace gases will be more thoroughly discussed in section 5.3. 410

411 4.1.3 Measured and modelled marine boundary layer (MBL) height

412

The characterization of the MBL is important for the interpretation of both the ground-based as well as the vertically-resolved measurements, because the MBL mixing state allows to elucidate the possible connections between ground-based processes (e.g. aerosol formation) and the higher (e.g. mountain and cloud level) altitudes. The Cape Verdes typically exhibit a strong inversion layer with a sharp increase in the potential temperature and a sharp decrease of the humidity (Carpenter, et al. 2010).

419 The vertical measurements of meteorological parameters were carried out at CVAO with a 16 m<sup>3</sup> Helikite. The measurements demonstrate that a Helikite is a reliable and useful instrument 420 421 that can be deployed under prevailing wind conditions such as at this measurement site. 19 profiles on ten different days could be obtained and Figure 4 shows an exemplary profile, from 422 423 September 17<sup>th</sup>. During the campaign, the wind speed varied between 2 and 14 m s<sup>-1</sup> and the MBL height was found to be between about 600 and 1100 m (compare to Fig. 5). Based on the 424 measured vertical profiles, the MBL was found to be often well mixed. However, there are 425 426 indications for a decoupled boundary layer in a few cases that will be further analysed. As it was not possible to obtain information of the MBL height for the entire campaign from 427

428 online measurements, the MBL height was also simulated using the Bulk-Richardson number.

429 The simulations showed that the MBL height was situated where the Bulk-Richardson number





430 exceeded the critical value 0.25. Figure 5 shows, that the simulated MBL height was always lower compared to the measured one during the campaign and also compared to previous 431 432 measurements reported in the literature. Based on long-term measurements, Carpenter, et al. 433 (2010) observed an MBL height of 713  $\pm$  213 m at the Cape Verdes. In the present study a 434 simulated MBL height of  $452 \pm 184$  m was found, however covering solely a period over one month. The differences might be caused by the grid structure of the applied model (more details 435 in the SI). The vertical resolution of 100 to 200 m might lead to a misplacement of the exact 436 437 position of the MBL-height. Moreover, the model calculations were constructed to identify the 438 lowest inversion layer. Therefore, the modelled MBL height might represent a low, weak internal layer within the MBL and not the actual MBL. These issues will be further analysed. 439 440

441 4.1.4 Cloud conditions

442

443 The Cape Verde Islands are dominated by a marine tropical climate and as mentioned above, marine air is constantly supplied from a north-easterly direction which also transports marine 444 boundary-layer clouds towards the islands. Average wind profiles derived from the European 445 Center for Medium-Range Weather Forecasts (ECWMF) model simulations are shown in 446 Figure 6a. On the basis of the wind profiles, different cloud scenes have been selected and 447 quantified (Derrien and Le Gleau 2005) using geostationary Meteosat SEVIRI data with a 448 spatial resolution of 3 km (Schmetz, et al. 2002) and are shown in Figure 6b – f. The island Sao 449 450 Vicente is located in the middle of each picture. The first scene at 10:00 UTC on September 19th was characterized by low wind speeds throughout the atmospheric column (Fig. 6b). In this 451 calm situation, a compact patch of low-level clouds was located north-west of the Cape Verde 452 453 Islands. The cloud field was rather spatially homogeneous, i.e. marine stratocumulus, which transitioned to more broken cumulus clouds towards the island. South-eastwards of the islands, 454 high-level ice clouds dominated and possibly mask lower-level clouds. For the second cloud 455 scene at 10:00 UTC on September  $22^{nd}$  (Fig. 6c), wind speed was higher with more than 12 m 456  $s^{-1}$  in the boundary layer. Similarly, coverage of low- to very low-level clouds was rather high 457 in the region around Cape Verde Islands. A compact stratocumulus cloud field approached the 458 islands from north-easterly direction. The clouds that had formed over the ocean dissolved when 459 the flow traverses the islands. Pronounced lee effects appeared downstream of the islands. 460 Cloud scene three at 10:00 UTC on September 27th was again during a calm phase with wind 461 speed of a few m  $s^{-1}$  only (Fig. 6d). The scene was dominated by fractional clouds (with a 462 significant part of the spatial variability close to or below the sensor resolution). These clouds 463 formed locally and grew. Advection of clouds towards islands was limited. The last two cloud 464 scenes (at 10:00 UTC on October 1st in Fig. 6e and at 10:00 UTC on October 11th in Fig. 6f) 465 were shaped by higher boundary-layer winds and changing wind directions in higher 466 467 atmospheric levels. The scene in Fig. 6e shows a complex mixture of low-level cloud fields and higher-level cirrus patches. The scene in Fig. 6f was again dominated by low- to very low-level 468 469 clouds. The eastern part of the islands was embedded in a rather homogeneous stratocumulus field. A transition of the spatial structure of the cloud field happened in the centre of the domain 470 with more cumuliform clouds and cloud clumps west to the Cape Verde Island. Overall, the 471 majority of low-level clouds over the islands were formed over the ocean and ocean-derived 472 473 aerosol particles, e.g. sea salt and marine biogenic compounds, might be expected to have some





influence on cloud formation. Infrequent instances of locally formed clouds influenced by the
orography of the islands could be also identified in the satellite data. However, the rather coarse
horizontal resolution of the satellite sensor and the missing information about time-resolved
vertical profiles of thermodynamics and cloud condensate limits a further detailed
characterization of these low-level cloud fields and their formation processes. A synergistic
combination with ground-based in-situ and remote sensing measurements would be highly
beneficial for future investigations.

481 4.2 Biological seawater conditions

482 4.2.1 Pigment concentration in seawater

483

To characterize the biological conditions at CVAO, a variety of pigments including 484 chlorophyll-a (chl-a) were measured in the samples of Cape Verdean bulk water (data in Table 485 S4 and illustrated in section 5.4.1). Chl-a is the most prominently used tracer for biomass in 486 seawater; however information of phytoplankton composition can only be determined by also 487 determining marker pigments. Therefore, each time when a water sample was taken, also 488 489 several liters of bulk water were collected for pigment analysis (more details in the SI). Phytoplankton biomass expressed in chl-a was very low with 0.11  $\mu$ g L<sup>-1</sup> at the beginning. 490 Throughout the campaign two slight increases of biomass occurred, but were always followed 491 by a biomass depression. The biomass increase occurred towards the end of the study, where 492 pre-bloom conditions were reached with values up to  $0.6 \ \mu g \ L^{-1}$ . These are above the typical 493 494 chl-a concentration in this area. In contrast, the abundance of chlorophyll degradation products as phaeophorbide a and phaeophythin a decreased over time. The low concentrations of the 495 chlorophyll degradation products suggested that only moderate grazing took place and the 496 pigment-containing organisms were fresh and in a healthy state. The most prominent pigment 497 498 throughout the campaign was zeaxanthin, suggesting cyanobacteria being the dominant group in this region. This is in a good agreement with the general low biomass in the waters of the 499 500 Cape Verde region and in line with previous studies, reporting the dominance of cyanobacteria 501 during the spring and summer seasons (Franklin, et al. 2009; Hepach, et al. 2014; Zindler, et al. 502 2012). However, once the biomass increased, cyanobacteria were repressed by diatoms as 503 indicated by the relative increase of fucoxanthin. The *prymnesiophyte* and *haptophyte* marker 19-hexanoyloxyfucoxanthin and the *pelagophyte* and haptophytes marker 19-504 butanoyloxyfucoxanthin were present and also increased when cyanobacteria decreased. In 505 contrast, dinoflagellates and chlorophytes were background communities as indicted by their 506 respective markers peridinin and chlorophyll b. Still, chlorophytes were much more abundant 507 then dinoflagellates. In summary, the pigment composition indicated the presence of 508 cyanobacteria, haptophytes and diatoms with a change in dominating taxa (from cyanobacteria 509 510 to *diatoms*). The increasing concentration of chl-a and fucoxanthin implied that a bloom started to develop within the campaign dominated by *diatoms*. The increasing concentrations could 511 also be related to changing water masses, however, since the oceanographic setting was 512 513 relatively stable, the increasing chl-a concentrations suggest that a local bloom had developed, 514 that might be related to the low but permanent presence of atmospheric dust input, which needs 515 further verification. In the course of further data analysis of the campaign, the phytoplankton groups will be related to the abundance of e.g. DMS (produced by *haptophytes*) or isoprene that 516





has been reported to be produced by *diatoms* or *cyanobacteria* (Bonsang , et al. 2010), as well
as to other VOCs.

- 519 4.2.2 Wave glider fluorescence measurements
- 520

Roughly at the same time as the MarParCloud field campaign took place, an unmanned surface vehicle (SV2 Wave Glider, Liquid Robotics Inc.) equipped with a biogeochemical sensor package, a conductivity-temperature-depth sensor (CTD) and a weather station was operated in the vicinity of the sampling location. The Wave Glider carried out continuous measurements of surface water properties (water intake depth: 0.3 m) along a route near the coast (Fig. 7a), and on October 5<sup>th</sup> it was sent on a transect from close to the sampling location towards the open ocean in order to measure lateral gradients in oceanographic surface conditions.

528 The glider measurements delivered information on the spatial resolution of several parameters. 529 Fluorescence measurements, which can be seen as a proxy of chl-a concentration in surface 530 waters and hence of biological production, indicated some enhanced production leeward of the 531 islands and also at one location upwind of the island of Santa Luzia next to São Vicente. In the vicinity of the MarParCloud sampling site the glider observed a slight enhancement in 532 fluorescence when compared to open-ocean waters. This is in agreement with the measured 533 pigment concentration. The overall pattern of slightly enhanced biological activity was also 534 confirmed by satellite fluorescence measurements (Fig. 7b). However, both in situ glider and 535 536 sample data as well as remote sensing data did not show any particular strong coastal bloom events and thus indicate that the MarParCloud sampling site well represented the open-ocean 537 538 regime during the sampling period.

539

# 540 5 Measurements and selected results

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542 5.1 Vertical resolution measurements

543

544 5.1.1 Physical aerosol characterization

545

Based on aerosol particles measured during the campaign, air masses could be classified into 546 different types, depending on differences in PNSDs. Marine type and dust type air masses could 547 548 be clearly distinguished, even if the measured dust concentrations were only low to medium, 549 according to the annual mean at the CVAO (Fomba, et al. 2013, 2014). The median of PNSDs 550 during marine conditions is illustrated in Figure 8 and showed three modes, i.e., Aitken, accumulation and coarse mode. There was a minimum between the Aitken- and accumulation-551 mode of PNSDs (Hoppel minimum; see (Hoppel, et al. 1986) at roughly 70 nm. PNSDs 552 553 measured during marine type air masses featured the lowest Aitken, accumulation and coarse mode particle number concentrations, with median values of 189, 143 and 7 cm<sup>-3</sup>, respectively. 554 555 The PNSDs present during times with dust influences featured a single mode in the sub-micron size range (Fig. 8), and no visible Hoppel minimum was found. The dust type air masses 556 featured the highest total particle number concentration (994 cm<sup>-3</sup>) and a median coarse-mode 557





particle number concentration of 44 cm<sup>-3</sup>. The particle number concentrations for the coarse 558 559 mode of the aerosol particles that is attributed to sea spray aerosol (SSA) accounted for about 3.7% of N<sub>CCN</sub>, 0.30% (CCN number concentration at 0.30% supersaturation) and for 1.1% to 4.4% 560 of  $N_{total}$  (total particle number concentration). A thorough statistical analysis of  $N_{CCN}$  and 561 particle hygroscopicity concerning different aerosol types is reported in Gong, et al. (2019a). 562 Figure 9a shows the median of marine type PNSDs for cloud free conditions and cloud events 563 at CVAO and Mt. Verde. Figure 9b shows the scatter plot of N<sub>CCN</sub> at CVAO versus those on 564 Mt. Verde. For cloud free conditions, all data points are close to the 1:1 line, indicating  $N_{CCN}$ 565 being similar at the CVAO and Mt. Verde. However, during cloud events, larger particles, 566 mainly accumulation- and coarse-mode particles, were activated to cloud droplet and were, 567 consequently, removed by the inlet. Therefore, N<sub>CCN</sub> at the CVAO was larger than those on Mt. 568 Verde. Altogether, these measurements suggested that, for cloud free conditions, the aerosol 569 570 particles measured at ground level (CVAO) represent the aerosol particles at the cloud level 571 (Mt. Verde).

572

573 5.1.2 Chemical composition of aerosol particles and cloud water

574

Between October 2<sup>nd</sup> and 9<sup>th</sup>, size-resolved aerosol particles at the CVAO and the Mt. Verde 575 were collected simultaneously. The relative contribution of their main chemical constituents 576 (inorganic ions, water-soluble organic matter (WSOM), and elemental carbon) at both sites is 577 shown in Figure 10. Sulfate, ammonium, and WSOM dominated the sub-micron particles. The 578 super-micron particles were mainly composed of sodium and chloride at both stations. These 579 findings agreed well with previous studies at the CVAO (Fomba, et al. 2014; van Pinxteren, et 580 al. 2017). The absolute concentrations of the aerosol constituents were lower at the Mt. Verde 581 582 compared to the CVAO site (Table S5); they were reduced by factor of seven (super-micron particle) and by a factor of four (sub-micron particles). This decrease in the aerosol mass 583 concentrations and the differences in chemical composition between the ground-based aerosol 584 585 particles and the ones at Mt. Verde, could be due to cloud effects as described in the previous section. Different types of clouds consistently formed and disappeared during the sampling 586 period of the aerosol particles at the Mt. Verde (more details about the frequency of the cloud 587 events are available in the SI and in Gong, et al., (2019a) and potentially affected the aerosol 588 589 chemical composition. These effects will be more thoroughly examined in further analysis.

A first insight in the cloud water composition of a connected cloud water sampling event from 590 October 5<sup>th</sup> till October 6<sup>th</sup> is presented in Figure 11. Sea salt, sulfate and nitrate compounds 591 dominated the chemical composition making up more than 90% of the mass of the investigated 592 593 chemical constituents. These compounds were also observed in the coarse fraction of the 594 aerosol particles, indicating that the coarse mode particles served as efficient CCN and were efficiently transferred to the cloud water. No strong variations were found for the main cloud 595 596 water constituents over the here reported sampling period. However, the WSOM contributed with maximal 10% to the cloud water composition and with higher contributions in the 597 beginning and at the end of the sampling event, which warrants further analysis. The measured 598 pH values of the cloud water samples ranged between 6.3 and 6.6 and are in agreement with 599 literature data for marine clouds (Herrmann, et al. 2015). In summary, cloud water chemical 600 601 composition seemed to be controlled by coarse mode aerosol particle composition, and the





presence of inorganic marine tracers (sodium, methane sulfonic acid) strongly suggested anoceanic influence on cloud water.

604

5.2 Lipid biomarkers in aerosol particles

Lipids from terrestrial sources such as plant waxes, soils and biomass burning have frequently 606 been observed in the remote marine troposphere (Kawamura, et al. 2003; Simoneit, et al. 1977) 607 and are common in marine deep-sea sediments. Within MarParCloud, marine-derived lipids 608 were characterized in aerosol particles using lipid biomarkers in conjunction with compound 609 610 specific stable carbon isotopes. Bulk aerosol filters sampled at the CVAO and PM<sub>10</sub> filter sampled at the Mt. Verde (not reported here) were extracted and the lipids were separated into 611 612 functional groups for molecular and compound specific carbon isotope analysis. The content of identifiable lipids was highly variable and ranged from 4 to 140 ng m<sup>3</sup>. These concentrations 613 614 are in the typical range for marine aerosol particles (Mochida, et al. 2002; Simoneit, et al. 2004) but somewhat lower than previously reported for the tropical North East Atlantic (Marty & 615 Saliot, 1979) and 1 to 2 orders of magnitude lower than reported from urban and terrestrial rural 616 617 sites (Simoneit, 2004). It mainly comprised the homologue series of n-alkanoic acids, nalkanols and n-alkanes. Among these the c16:0 acid and the c18:0 acids were by far the 618 dominant compounds, each contributing 20 to 40% to the total observed lipids. Among the 619 620 terpenoids, dehydroabietic acid, 7-oxo-dehydroabietic acid and friedelin were in some samples present in remarkable amounts. Other terpenoid biomarker in particular phytosterols were rarely 621 622 detectable. The total identifiable lipid content was inversely related to dust concentration, as shown exemplary for the fatty acids (Fig. 12) with generally higher lipid concentrations in 623 624 primary marine air masses. This is consistent with previous studies reporting low lipid yields 625 in Saharan dust samples and higher yields in dust from the more vegetated Savannahs and dry tropics (Simoneit, et al. 1977). First measurements of typical stable carbon isotope ratios of the 626 lipid fractions were (-28.1  $\pm$  2.5) ‰ for the fatty acids and (-27.7  $\pm$  0.7) ‰ for the n-alkanes 627 suggesting a mixture of terrestrial c3 and c4, as well as marine sources. In a separate 628 629 contribution the lipid fraction of the aerosol particles in conjunction with its typical stable carbon isotope ratios will be further resolved. 630

631

632 5.3 Trace gas measurements

633 5.3.1 Dimethyl sulphide, ozone and (oxygenated) volatile organic compounds

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Trace gases such as dimethyl sulfide (DMS), volatile organic compounds (VOCs) and 635 636 oxygenated (O)VOCs have been measured during the campaign and the results are presented together with the meteorological data in Figure 3. The atmospheric mixing ratios of DMS 637 during this period ranged between 68 ppt and 460 ppt with a mean of  $132 \pm 57$  ppt (1 $\sigma$ ). These 638 levels were higher than the annual average mixing ratio for 2015 of  $57 \pm 56$  ppt, however this 639 may be due to seasonably high and variable DMS levels observed during summer and autumn 640 at Cape Verde (observed mean mixing ratios were 86 ppt and 107 ppt in September and October 641 2015). High DMS concentrations on September 19th - 20th occurred when air originated 642





predominantly from the Mauritanian upwelling region and on September 26<sup>th</sup> and 27<sup>th</sup> when the 643 footprint was influenced by southern hemisphere (Figure SI1). These elevated concentrations 644 645 will be linked to the phytoplankton composition reported in section 4.2.1. to elucidate associations for example between DMS and coccolith (individual plates of calcium carbonate 646 formed by coccolithophores phytoplankton) as observed by Marandino, et al. (2008). Ethene 647 showed similar variability to DMS, with coincident peaks (> 300 ppt DMS and > 40 ppt ethene) 648 on September 20<sup>th</sup>, 26<sup>th</sup> and 27<sup>th</sup>, consistent with an oceanic source for ethene. Ethene can be 649 emitted from phytoplankton (e.g. McKay, et al. 1996) and therefore it is possible that it 650 651 originated from the same biologically active regions as DMS. In the North Atlantic atmosphere, alkenes such as ethene emitted locally have been shown to exhibit diurnal behaviour with a 652 maximum at solar noon, suggesting photochemical production in seawater (Lewis, et al. 2005). 653 There was only weak evidence of diurnal behaviour at Cape Verde (data not shown), possibly 654 655 because of the very short atmospheric lifetime of ethene (8 hours assuming  $[OH] = 4 \times 10^6$ molecules cm<sup>-3</sup>, Vaughan, et al. 2012) in this tropical environment, which would mask 656 657 photochemical production. Mean acetone and methanol mixing ratios were 782 ppt (566 ppt -1034 ppt) and 664 ppt (551 ppt - 780 ppt), respectively. These are similar to previous 658 measurements at Cape Verde and in the remote Atlantic at this time of year (Lewis, et al. 2005; 659 Read, et al. 2012). Methanol and acetone showed similar broad-scale features, indicating 660 common sources. Highest monthly methanol and acetone concentrations have often been 661 662 observed in September at Cape Verde, likely as a result of increased biogenic emissions from vegetation or plant matter decay in the Sahel region of Africa (Read, et al. 2012). In addition to 663 biogenic sources, (O)VOCs are anthropogenically produced from fossil fuels and solvent usage 664 665 in addition to having a secondary source from the oxidation of precursors such as methane. Carpenter, et al. (2010) showed that air masses originating from North America (determined 666 via 10-day back trajectories) could impact (O)VOCs at the CVAO. 667 The average ozone mixing ratio during the campaign was 28.7 ppb (19.4 ppb – 37.8 ppb). Lower

668 ozone concentrations on September 27th to 28th were associated with influence from southern 669 hemispheric air. Ozone showed daily photochemical loss, as expected in these very low-NOx 670 conditions, on most days with an average daily (from 9:00 UTC to 17:00 UTC) loss of 4 ppbV. 671 It was previously shown that the photochemical loss of  $O_3$  at Cape Verde and over the remote 672 ocean is attributable to halogen oxides (29% at Cape Verde) as well as ozone photolysis (54%) 673 674 (e.g. Read, et al. 2008). Altogether, for the trace gases, a variety of conditions were observed 675 in this three-week period with influence from ocean-atmosphere exchange and also potential 676 impacts of long-range transport.

677

#### 678 5.3.2 Nitrous acid

679 Nitrous acid (HONO) plays a significant role in the atmospheric chemistry as an important 680 source of hydroxyl radical ('OH). It is well recognized that significant uncertainties remain on 681 its emission sources as well as on its in-situ tropospheric formation processes. During the 682 campaign, a series of continuous measurements of HONO has been conducted, aiming at 683 evaluating the possible contribution of marine surfaces to the production of HONO. The 684 measurements indicated that HONO concentrations exhibited diurnal variations peaking at 685 noontime. The concentrations during daytime (08:00 to 17:00, local time) and nighttime (17:30





to 07:00 local time) periods were around 20 ppt and 5 ppt on average, respectively. The fact that the observed data showed higher values during the day compared to the nighttime was quite surprising since HONO is expected to be photolyzed during the daytime. If confirmed, the measurements conducted here may indicate that there is an important HONO source in the area of interest. In a separate paper, the data obtained will be described and discussed and tentative explanation of the observed phenomena will be developed.

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694 5.4 Organic Matter and related compounds in seawater

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696 5.4.1. Dissolved organic carbon

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698 Dissolved organic carbon (DOC) comprise a complex mixtures of different compound groups 699 and is diverse in its composition. For a first overview, DOC as a sum parameter was analyzed in all SML and bulk water samples (data in Table S4). DOC concentration varied between 1.8 700 and 3.2 mg  $L^1$  in the SML and 0.9 and 2.8 mg  $L^{-1}$  in the bulk water and were in general 701 agreement with previous studies at this location (e.g. van Pinxteren, et al. 2017). A slight 702 enrichment in the SML with an enrichment factor (EF) =  $1.66 (\pm 0.65)$  was found, i.e. SML 703 concentrations contain roughly 70% more DOC that the corresponding bulk water. The 704 705 concentrations of DOC in the bulk water together with the temporal evolution of biological indicators (pigments and the total bacterial cell numbers) and atmospheric dust concentrations 706 707 are presented in Figure 13. First analysis show that the DOC concentrations were not directly 708 linked to the increasing chl-a concentrations, however their relation to single pigments, the 709 background dust concentrations and to wind speed and solar radiation will be further resolved 710 to elucidate potential biological and meteorological controls on the concentration and enrichment of DOC. 711

712 For several dates, both SML sampling devices (glass plate and catamaran) were applied in parallel to compare the efficiency of different sampling approaches: manual glass plate and the 713 catamaran sampling (Fig. 14). As mentioned above both techniques used the same principle, 714 i.e. the collection of the SML on a glass plate and its removal with a Teflon wiper. The deviation 715 between both techniques concerning DOC measurements was below 25% in 17 out of 26 716 717 comparisons and therefore within the range of variability of these measurements. However, in roughly 30% of all cases the concentration differences between manual glass plate and 718 719 catamaran were larger than 25%. The discrepancy for the bulk water results could be related to the slightly different bulk water sampling depths using the MarParCat bulk water sampling 720 system (70 cm) and the manual sampling with the telescopic rods (100 cm). Although the upper 721 722 meters of the ocean are assumed to be well mixed, recent studies indicate that small scale variabilities can be observed already within the first 100 cm of the ocean (Robinson, et al. 723 724 2019a).

The variations within the SML measurements could be due to the patchiness of the SML that has been tackled in previous studies (e.g. Mustaffa, et al. 2017, 2018). Small-scale patchiness was recently reported as a common feature of the SML. The concentrations and compositions probably undergo more rapid changes due to a high physical and biological fluctuations.





Mustaffa, et al. (2017) have recently shown that the enrichment of fluorescence dissolved matter (a part of DOC) showed short time-scale variability, changing by 6% within ten-minute intervals. The processes leading to the enrichment of OM in the SML are probably much more complex than previously assumed (Mustaffa, et al. 2018). In addition, the changes in DOC concentrations between the glass plate and the catamaran could result from the small variations of the sampling location as the catamaran was typically 15 to 30 m apart from the boat where the manual glass plate sampling was carried out.

Given the high complex matrix of seawater and especially the SML, the two devices applied
were in quite good agreement considering DOC measurements. However, this is not necessarily
the case for the single parameters like specific organic compounds and INP concentrations.
Especially low concentrated constituents might be more affected by small changes in the
sampling procedure and this remains to be evaluated for the various compound classes.

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742 5.4.2. Surfactants and lipids in seawater

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744 Due to their physicochemical properties, surfactants (SAS) are enriched in the SML relative to the bulk water and form surface films (Frka, et al. 2009; Frka, et al. 2012; Wurl, et al. 2009). 745 746 During the present campaign, the SAS in the dissolved fraction of the SML samples ranged from 0.037 to 0.125 mg TX-100 eqL<sup>-1</sup> (Triton-X-100 equivalents) with a mean of  $0.073 \pm 0.031$ 747 mg TX-100 eqL<sup>-1</sup> (n = 7). For bulk water, the dissolved SAS ranged from 0.020 to 0.068 mg 748 TX-100 eqL<sup>-1</sup> (mean  $0.051 \pm 0.019$  mg TX-100 eqL<sup>-1</sup>, n = 12). The SAS enrichment showed 749 EFs from 1.01 to 3.12 (mean EF =  $1.76 \pm 0.74$ ) (Fig. 15) and was slightly higher than that for 750 751 the DOC (mean  $EF = 1.66 \pm 0.65$ ) indicating some higher surfactant activity of the overall DOM in the SML in respect to the bulk DOM. An accumulation of the total dissolved lipids 752 753 (DL) in the SML was observed as well (mean  $EF = 1.27 \pm 0.12$ ). Significant correlation was observed between the SAS and DL concentrations in the SML (r = 0.845, n = 7, p < 0.05) while 754 no correlation was detected for the bulk water samples. Total DL concentrations ranged from 755 82.7 to 148  $\mu$ g L<sup>-1</sup> (mean 108 ± 20.6  $\mu$ g L<sup>-1</sup>, n = 8) and from 66.5 to 156  $\mu$ g L<sup>-1</sup> (mean 96.9 ± 756 21.7  $\mu$ g L<sup>-1</sup>, n = 17) in the SML and the bulk water, respectively. In comparison to the bulk 757 758 water, the SML samples were enriched with lipid degradation products e.g. free fatty acids and long chain alcohols (DegLip; mean EF =  $1.50 \pm 0.32$ ), particularly free fatty acids and long-759 760 chain alcohols (Fig. 15), pointing to their accumulation from the bulk and/or enhanced OM degradation within the SML. DegLip are strong surface-active compounds (known as dry 761 surfactants), which play an important role in surface film establishment (Garrett 1965). The 762 763 overall surfactant activity of the SML is the result of the competitive adsorption of highly surface-active lipids and other less surface-active macromolecular compounds 764 (polysaccharides, proteins, humic material) (Ćosović and Vojvodić 1998) dominantly present 765 766 in seawater. The presence of even low amounts of lipids results in their significant contribution to the overall surface-active character of the SML complex organic mixture (Frka, et al. 2012). 767 The observed biotic and/or abiotic lipid degradation processes within the SML will be further 768 resolved by combining surfactant and lipid results with detailed pigment characterisation and 769 770 microbial measurements. The same OM classes of the ambient aerosol particles will be investigated and compared with the seawater results. This will help to tackle the questions to 771





what extent the seawater exhibits a source of OM on aerosol particles and which importantaerosol precursors are formed or converted in surface films.

774

5.5 Seawater Untargeted Metabolomics

776 For a further OM characterization of SML and bulk seawater an ambient MS-based 777 metabolomics method using direct analysis in real time quadrupole time-of-flight mass spectrometry (DART-QTOF-MS) coupled to multivariate statistical analysis was designed 778 779 (Zabalegui, et al., 2019). A strength of a DART ionization source is that it is less affected by high salt levels than an electrospray ionization source (Kaylor, et al. 2014), allowing the 780 analysis of seawater samples without observing salt deposition at the mass spectrometer inlet, 781 782 or having additional limitations such as low ionization efficiency due to ion suppression (Tang, et al. 2004). Based on these advantages, paired SML/bulk water samples were analyzed without 783 the need of desalinization by means of a transmission mode (TM) DART-QTOF-MS-based 784 analytical method that was optimized to detect lipophilic compounds (Zabalegui, et al., 2019). 785 786 An untargeted metabolomics approach, addressed as seaomics, was implemented for sample analysis. SML samples were successfully discriminated from ULW samples based on a panel 787 of ionic species extracted using chemometric tools. The coupling of the DART ion source to 788 high-resolution instrumentation allowed generating elemental formulae for unknown species 789 790 and tandem MS capability contributed to the identification process. Tentative identification of 791 discriminant species and the analysis of relative compound abundance changes among sample 792 classes (SML and bulk water) suggested that fatty alcohols, halogenated compounds, and oxygenated boron-containing organic compounds may be involved in water-air transfer 793 processes and in photochemical reactions at the water-air interface of the ocean (Zabalegui, et 794 al., 2019). These identifications (e.g. fatty alcohols) agree well with the abundance of lipids in 795 796 the respective samples. In this context, TM-DART-HR-MS appears to be an attractive strategy 797 to investigate the seawater OM composition without requiring a desalinization step.

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799 5.6 Ocean surface mercury associated with organic matter

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801 Several trace metals are known to accumulate in the SML. In the case of Hg, the air-sea exchange plays an important role in its global biogeochemical cycle and hence processing of 802 Hg in the SML is of particular interest. Once deposited from the atmosphere to the ocean surface 803 via dry and wet deposition, the divalent mercury (Hg<sup>II</sup>) can be transported to the deeper ocean 804 by absorbing on sinking OM particles, followed by methylation. On the other hand,  $Hg^{II}$ 805 806 complexed by DOM in the ocean surface can be photo-reduced to  $Hg^0$ , which evades into the gas phase. In both processes, OM, dissolved or particulate, is the dominant factor influencing 807 808 the complexation and adsorption of Hg. To explore the Hg behaviour with OM, the concentrations of total and dissolved Hg as well as the methylmercury (MeHg) were determined 809 in the SML and in the bulk water using the US EPA method 1631 and 1630, as described in Li, 810 et al. (2018). Figure 16 shows the concentrations of Hg and MeHg associated with DOC and 811 POC in the SML and bulk water. The total Hg concentrations were 3.6 and 4.6 ng L<sup>-1</sup> in the 812 SML but 3.1 and 1.3 ng  $L^{-1}$  in the bulk water on September 26<sup>th</sup> and 27<sup>th</sup>, respectively, which 813 were significantly enriched compared to data reported for the deep North Atlantic ( $0.18 \pm 0.06$ 814





815 ng  $L^{-1}$ ) (Bowman, et al. 2015). Atmospheric deposition and more OM adsorbing Hg are supposed to result in the high total Hg at ocean surface. The dissolved Hg concentrations were 816 enriched by 1.7 and 2.7 times in the SML relative to bulk water, consistent with the enrichments 817 of DOC by a factor of 1.4 and 1.9 on September 26<sup>th</sup> and 27<sup>th</sup>, respectively. Particulate Hg in 818 the SML accounted for only 6% of the total Hg concentration on September 26<sup>th</sup> but 55% on 819 September 27<sup>th</sup>, in contrast to their similar fractions of ~35% in the bulk water on both days. 820 According to the back trajectories (Figure SI1) stronger contribution of African continental 821 sources (e.g., dust) was observed on September 27th that might be linked to in the higher 822 concentrations of particulate Hg in the SML on this day. The water-particle partition 823 coefficients (logK<sub>d</sub>) for Hg in the SML (6.8 L kg<sup>-1</sup>) and bulk water (7.0 L kg<sup>-1</sup>) were similar 824 regarding POC as the sorbent, but one unit higher than the reported  $\log K_d$  values in seawater 825 (4.9–6.1 L kg<sup>-1</sup>) (Batrakova, et al. 2014). MeHg made up lower proportions of the total Hg 826 827 concentrations in the SML (2.0%) than bulk water (3.4%) and 4.2%, probably due to photo-828 degradation or evaporation of MeHg at the surface water (Blum, et al. 2013). From the first 829 results, it seems that the SML is the major compartment where Hg associated with OM is enriched, while MeHg is more likely concentrated in deeper water. The limited data underlines 830 the importance of SML in Hg enrichment dependent on OM, which needs further studies to 831 understand the air-sea exchange of Hg. 832

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5.7 Ocean-atmosphere transfer of organic matter and related compounds

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836 5.7.1 Dissolved organic matter classes

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To investigate the complexity of dissolved organic matter (DOM) compound groups, liquid 838 chromatography, organic carbon detection, organic nitrogen detection, UV absorbance 839 detection (LC-OCD-OND-UVD; Huber, et al. (2011), more details in the SI) was applied to 840 identify five different DOM classes. These classes include (i) biopolymers (likely hydrophobic, 841 high molecular weight >> 20.000 g mol<sup>-1</sup>, largely non-UV absorbing extracellular polymers); 842 (ii) "humic substances" (higher molecular weight ~ 1000 g mol<sup>-1</sup>, UV absorbing); (iii) "building 843 blocks" (lower molecular weight 300-500 g mol<sup>-1</sup>, UV absorbing humics); (iv) low molecular 844 weight "neutrals" (350 g mol<sup>-1</sup>, hydro- or amphiphilic, non-UV absorbing); and (v) low 845 molecular weight acids (350 g mol<sup>-1</sup>). These measurements were performed from a first set of 846 samples from all the ambient marine compartments. That comprised three SML samples and 847 the respective bulk water, three aerosol particle filter samples ( $PM_{10}$ ) from the CVAO and two 848 from the Mt. Verde and finally four cloud water samples collected during the campaign. The 849 SML EFs for DOM varied from 0.83 to 1.46, which agreed very well to the DOC measurements 850 851 described in section 5.4.1. A clear compound group that drove this change could not be identified so far. Figure 17 shows the relative composition of the measured DOM groups in the 852 distinct marine compartments as an average of the single measurements (concentrations are 853 854 listed in Table S6). In the SML and in the bulk water, the low molecular weight neutral 855 (LMWN) compounds generally dominated the overall DOM pool (37 to 51%). Humic-like 856 substances, building blocks, and biopolymeric substances contributed 22 to 32%, 16 to 23%, and 6 to 12%, respectively. Interestingly, low molecular weight acids (LMWA) were 857





858 predominantly observed in the SML (2 to 8%) with only one bulk water time point showing any traces of LMWA. This finding agreed well with the presence of free amino acids (FAA) in 859 860 the SML; e.g. the sample with highest LMWA concentration showed highest FAA concentration (more details in Triesch, et al., 2019). Further interconnections between the DOM 861 862 fractions and single organic markers and groups (e.g. sugars, lipids and surfactants, see section 5.4.2) are subject to ongoing work. In contrast, aerosol particles were dominated by building 863 blocks (46 to 66%) and LMWN (34 to 51%) compound groups, with a minor contribution of 864 LMWA (> 6%). Interestingly, higher molecular weight compounds of humic-like substances 865 866 and biopolymers were not observed. Cloud water samples had a variable contribution of substances in the DOM pool with humic substances and building blocks generally dominating 867 (27 to 63% and 16 to 29%, respectively) and lower contributions of biopolymers (2 to 4%) and 868 LMW acids and neutrals (1 to 20% and 18 to 34%) observed. The first measurements indicate 869 870 that the composition of the cloud waters is more consistent with the SML and bulk water and different from the aerosol particle's composition. This observation suggests a two-stage process 871 872 where selective aerolisation mobilises lower molecular weight humics (building blocks) into the aerosol particle phase, which may aggregate in cloud waters to form larger humic substances 873 874 in cloud waters. These preliminary observations need to be further studied with a larger set of 875 samples and could relate to either different solubilities of the diverse OM groups in water, the 876 interaction between DOM and particulate OM (POM), including TEP formation, as well as 877 indicating the different OM sources and transfer pathways. In addition, the chemical conditions, like pH-value or redox, could preferentially preserve or mobilise DOM fractions within the 878 879 different types of marine waters. In summary, all investigated compartments showed a 880 dominance of LMW neutrals and building blocks, which suggests a link between the seawater, aerosol particles and cloud water at this location and possible transfer processes. Furthermore, 881 882 the presence of humic-like substances and biopolymers and partly LMWA in the seawater and cloud water, but not in the aerosol particles, suggests an additional source or formation pathway 883 884 of these compounds. For a comprehensive picture; however, additional samples need to be analysed and interpreted in future work. It is worth noting that the result presented here are the 885 first for such a diverse set of marine samples and demonstrate the potential usefulness in 886 identifying changes in the flux of DOM between marine compartments. 887

889 5.7.2. Transparent exopolymer particles: field and tank measurements

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As part of the OM pool, gel particles, such as positive buoyant transparent exopolymer particles 891 (TEP), formed by the aggregation of precursor material released by plankton and bacteria, 892 accumulate at the sea surface. The coastal water in Cape Verde has shown to be oliogotrophic 893 894 with low chl-a abundance during the campaign (more details in section 4.2.1). Based on previous work (Wurl, et al. 2011) it is expected that surfactant enrichment, which is closely 895 linked to TEP enrichment, in the SML would be higher in oliogotrophic waters but have a lower 896 897 absolute concentration. This compliments the here achieved findings, which showed low TEP abundance in these nearshore waters; the abundance in the bulk water ranged from 37 to 144 898  $\mu$ gXeqL<sup>-1</sup> (xanthan gum equivalents) and 99 to 337  $\mu$ gXeqL<sup>-1</sup> in the SML. However while the 899 SML layer was relatively thin ( $\sim$ 125 µm) there was positive enrichment of TEP in the SML 900





with an average EF of  $2.0 \pm 0.8$  (Fig. 18a). The enrichment factor for TEP was furthermore very similar to surfactant enrichment (section 5.4.2).

903 In addition to the field samples, a tank experiment was run simultaneously using the same source of water (Fig. 18b). Breaking waves were produced via a waterfall system (details in the 904 905 SI) and samples were collected from the SML and bulk water after a wave simulation time of 3 h. TEP abundance in the tank experiment matched the field samples at the beginning but 906 quickly increased to 1670 µgXeqL<sup>-1</sup> in the SML with an EF of 13.2 after the first day of 907 bubbling. The enrichment of TEP in the SML during the tank experiment had a cyclical increase 908 909 and decrease pattern. Interestingly, in the field samples, even on days with moderate wind speeds (> 5 m s<sup>-1</sup>) and occasional presence of white caps, TEP abundance or enrichment didn't 910 increase, but it did increase substantially due to the waves in the tank experiment. This suggests 911 that the simulated waves are very effective in enriching TEP in the SML and TEP were more 912 913 prone to transport or formation by bubbling than by other physical forces, confirming bubble-914 induced TEP enrichment in recent artificial set-ups (Robinson, et al. 2019b). Besides the 915 detailed investigations of TEP in seawater, first analyses show a clear abundance of TEP in the aerosol particles and in cloud water. Interestingly, a major part of TEP seems to be located in 916 the sub-micron aerosol particles (Fig. 19). Sub-micron aerosol particles represent the longest 917 918 living aerosol particle fraction and have a high probability to reach cloud level and the 919 occurrence of TEP in cloud water strongly underlines a possible vertical transport of these 920 ocean-derived compounds.

5.7.3 Bacterial abundance in distinct marine samples: field and tank measurements

The OM concentration and composition is closely linked with biological and especially 923 924 microbial processes within the water column. Throughout the sampling period, the temporal 925 variability of bacterial abundance in SML and bulk water was studied (data listed in Tab.SI4). Mean absolute cell numbers were  $1.3 \pm 0.2 \times 10^6$  cells mL<sup>-1</sup> and  $1.2 \pm 0.1 \times 10^6$  cells mL<sup>-1</sup> for 926 SML and bulk water, respectively (Fig. 20a, all data listed in Table S4). While comparable 927 SML data is lacking for this oceanic province, our data is in range with previous reports for 928 929 surface water of subtropical regions (Zäncker, et al. 2018). A strong day-to-day variability of absolute cell numbers was partly observed (e.g. the decline between September  $25^{\text{th}}$  and  $26^{\text{th}}$ ), 930 931 but all these changes were found in both, in the SML and bulk water (Fig. 20a). This indicates that the upper water column of the investigated area experienced strong changes, e.g. by inflow 932 of different water masses and/or altered meteorological forcing. As for the absolute abundance, 933 934 the enrichment of bacterial cells in the SML was also changing throughout the sampling period, 935 with EFs ranging from 0.88 to 1.21 (Fig. 20b). A detailed investigation of physical factors (e.g. 936 wind speed, solar radiation) driving OM concentration and bacterial abundance in the SML and bulk water will be performed to explain the short-term variability observed. During the tank 937 experiment, cell numbers ranged between 0.6 and 2.0 x  $10^6$  cells mL<sup>-1</sup> (Fig 20c); the only 938 939 exception being observed on October 3rd, when cell numbers in the SML reached 4.9 x 10<sup>6</sup> cells mL<sup>-1</sup>. Both, in the SML and bulk water, bacterial cell numbers decreased during the experiment, 940 941 which may be attributed to limiting substrate supply in the closed system. Interestingly, SML cell numbers always exceeded those from the bulk water (Fig. 20d), although the SML was 942 943 permanently disturbed by bursting bubbles throughout the entire experiment. This seems to be





944 in line with the high TEP concentrations observed for the SML in the tank (section 5.7.2). A recent study showed that bubbles are very effective transport vectors for bacteria into the SML, 945 946 even within minutes after disruption (Robinson, et al. 2019a). The decline of SML bacterial cell numbers (both absolute and relative) during the experiment may be partly caused by permanent 947 948 bacterial export into the air due to bubble bursting. Although this conclusion remains speculative as cell abundances of air samples are not available for our study, previous studies 949 950 have shown that aerolisation of cells may be quite substantial (Rastelli, et al. 2017). Bacterial abundance in cloud water samples taken at the Mt. Verde during the MarParCloud campaign 951 952 ranged between 0.4 and 1.5 x  $10^5$  cells mL<sup>-1</sup> (Fig 20a). Although only few samples are available, 953 these numbers agree well with previous reports (e.g. Hu, et al. 2018).

- 954 5.7.4 Ice-nucleating particles
- 955

The properties of ice-nucleating particles (INP) in the SML and in bulk seawater, airborne in 956 the marine boundary layer as well as the contribution of sea-spray aerosol particles to the INP 957 958 population in clouds were examined during the campaign. The numbers of INP (N<sub>INP</sub>) at -12, -15 and -18 °C in the PM<sub>10</sub> samples from the CVAO varied from 0.000318 to 0.0232, 0.00580 959 to 0.0533 and 0.0279 to 0.100 std  $L^{-1}$ , respectively. INP measurements in the ocean water 960 showed that enrichment as well as depletion of INP in SML compared to the bulk seawater 961 occurred and enrichment factors EF varied from 0.36 to 11.40 and 0.36 to 7.11 at -15 and -20 962 °C, respectively (details in Gong, et al. 2019b). N<sub>INP</sub> (per volume of water) of the cloud water 963 964 was roughly similar or slightly above that of the SML (Fig. 21), while concentrations of sea salt 965 were clearly lower in cloud water compared to ocean water. Assuming sea salt and the INP to be similarly distributed in both, sea and cloud water (i.e., assuming that INP would not be 966 967 enriched or altered during the production of sea spray), N<sub>INP</sub> is at least four orders of magnitude 968 higher than what would be expected if all airborne INP originated from sea spray. These first measurements indicate that other sources besides the ocean, such as mineral dust or other long 969 970 ranged transported particles, contributed to the local INP concentration (details in Gong, et al. 2019b). 971

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5.8 The SML potential to form secondary organic aerosol particles

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975 To explore if marine air masses exhibit a significant potential to form SOA, a Gothenburg 976 Potential Aerosol Mass Reactor (Go:PAM) was used, that relies on providing a highly oxidizing medium reproducing atmospheric oxidation on timescales ranging from a day to several days 977 978 in much shorter timescales (i.e., a few minutes). During the campaign, outdoor air and gases 979 produced from a photochemical reactor was flowed through the Go:PAM (Watne, et al. 2018), and exposed to high concentrations of OH radicals formed via the photolysis of ozone and 980 subsequent reaction with water vapour (Zabalegui, et al. 2019 and refs. therein). The aerosol 981 particles produced at the outlet of the OFR were monitored by means of an SMPS i.e., only size 982 983 distribution and number concentration were monitored. A subset of the collected SML samples were investigated within the Go:PAM and showed varying trends briefly discussed below. 984





985 Ozone is known to react with iodide anions to produce different iodinated gases acting as aerosol precursors (Carpenter, et al. 2012; Carpenter and Nightingale 2015). In principle, this 986 987 chemistry is mainly a bulk process and not related to the SML composition. However, a daily variation of the number of particles formed was observed (but from a very limited set of 988 989 samples, n = 3) probably related to the daily sampling conditions. To explain these observations, two different hypothesis can be postulated: (i) the ozone bulk reaction is not efficient enough 990 for our lab-to-the-field approach, (ii) ozone is scavenged away by the organic SML constituents 991 992 and the products of these reactions are producing, or not, the aerosol particles in the Go:PAM. 993 Due to the limited number of samples, no firm conclusions can be made, but we observed the 994 clear need to have concentrated SML samples (reproduced here by centrifugation of the authentic samples) as a prerequisite of aerosol formation which is pointing toward a specific 995 "organic-rich" chemistry. Outdoor air masses were also investigated for their secondary mass 996 997 production potential. During the campaign, northeast wind dominated i.e., predominantly clean 998 marine air masses were collected. Those did not show any distinct diurnal difference for their 999 secondary aerosols formation potential. However, a significant decrease of secondary organic mass was observed on September 30<sup>th</sup>, which will be analysed in more detail. 1000

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1002 5.9 The way to advanced modelling

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1004 5.9.1 Modelling of cloud formation and vertical transfer of ocean-derived compounds 1005

1006 Besides for the assessment of the cloud types (section 4.1.4) it is intended to apply modelling 1007 approaches to simulate the occurrence and formation of clouds at the Mt. Verde site including 1008 advection, wind, effective transport and vertical transport. This will allow to model chemical multiphase processes under the given physical conditions. Furthermore, the potential vertical 1009 1010 transfer of ocean-derived compounds to cloud level will be modelled. To this end, the meteorological model data by the Consortium for Small-scale Modelling-Multiscale Chemistry 1011 Aerosol Transport Model (COSMO) (Baldauf, et al. 2011) will be used to define a vertical 1012 1013 meteorological data field. First simulations show that clouds frequently occurred at heights of 700 m to 800 m (Fig. 22) in strong agreement with the observations. This demonstrates that 1014 1015 clouds at Mt. Verde can form solely due to the local meteorological conditions and not 1016 necessarily due to orographic effects. Accordingly, the combination of the ground-based 1017 aerosol measurements and the in-cloud measurements at the top of Mt. Verde will be applied 1018 to examine important chemical transformations of marine aerosol particles during horizontal and vertical transport within the MBL. From the here presented measurements, a transfer of 1019 1020 ocean-derived compounds to cloud level is very likely. To link and understand both measurement sites, in terms of important multiphase chemical pathways, more detailed 1021 modelling studies regarding the multiphase chemistry within the marine boundary layer 1022 1023 combined with the impact of the horizontal and vertical transport on the aerosol and cloud droplet composition will be performed by using different model approaches (more details in the 1024 1025 SI). In general, both projected model studies will focus on (i) determining the oxidation 1026 pathways of key marine organics and (ii) the evolution of aerosol and cloud droplet acidity by





chemical aging of the sea spray aerosol. The model results will finally be linked to the
measurements and compared with the measured aerosol particle concentration and composition
and the in-cloud measurements at the top of the Mt. Verde.

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1031 5.9.2 Development of a new organic matter emission source function

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1033 The link of ocean biota with marine derived organic aerosol particles has been recognized (e.g. O'Dowd, et al. 2004). However, the usage of a single parameter like chl-a as indicator for 1034 1035 biological processes and its implementation in oceanic emission parameterisations is 1036 insufficient as it does not reflect pelagic community structure and associated ecosystem functions. It is strongly suggested to incorporate process-based models for marine biota and 1037 OM rather than relying on a simple parameterizations (Burrows, et al. 2014). A major challenge 1038 is the high level of complexity of the OM in marine aerosol particles as well as in the bulk water 1039 and the SML as potential sources. Within MarParCloud modelling, a new source function for 1040 the oceanic emission of OM will be developed as a combination of the sea spray source function 1041 1042 of Salter, et al. (2015) and a new scheme for the enrichment of OM within the emitted sea spray 1043 droplets. This new scheme will be based on the Langmuir-Adsorption of organic species at the bubble films. The oceanic emissions will be parameterised following Burrows, et al. (2014), 1044 1045 where the OM is partitioned into several classes based on their physicochemical properties. The 1046 measured concentration of the species in the ocean surface water and the SML (e.g. lipids, 1047 sugars and proteins) will be included in the parameterisation scheme. Finally, size class resolved enrichment functions of the organic species groups within the jet droplets will be 1048 implemented in the new scheme. The new emission scheme will be implemented to the aerosol 1049 model MUSCAT (Multi-Scale Chemistry Aerosol Transport) and be validated via small and 1050 meso-scale simulations using COSMO-MUSCAT (Wolke, et al. 2004). 1051

1052 6 Summary and Conclusion

Within MarParCloud and with substantial contributions from MARSU, an interdisciplinary
campaign in the remote tropical ocean took place in autumn 2017. This paper delivers a
description of the measurement objectives including first results and provides an overview for
upcoming detailed investigations.

Typical for the measurement site, the wind direction was almost constant from the north-1057 1058 easterly sector  $(30 - 60^{\circ})$ . The analysis of the air masses and dust measurements showed that 1059 dust input was generally low, however, partly moderate dust influences were observed. Based on very similar particle number size distributions at the ground and mountain sites, it was found 1060 1061 that the MBL was generally well mixed with a few exceptions and the MBL height ranged from 600 to 1100 m. Differences in the PNSDs arose from the dust influences. The chemical 1062 1063 composition of the aerosol particles and the cloud water indicated that the coarse mode particles served as efficient CCN. Furthermore, lipid biomarkers were present in the aerosol particles in 1064 typical concentrations of marine background conditions and anti-correlated with dust 1065 1066 concentrations.





1067 From the satellite cloud observations and supporting modelling studies, it was suggested that the majority of low-level clouds observed over the islands formed over the ocean and could 1068 1069 form solely due to the local meteorological conditions. Therefore, ocean-derived aerosol 1070 particles, e.g. sea salt and marine biogenic compounds, might be expected to have some 1071 influence on cloud formation. The presence of compounds of marine origin in cloud water samples (e.g. sodium, methane sulfonic acid, TEP, distinct DOM classes) at the Mt. Verde 1072 1073 supported an ocean-cloud link. The transfer of ocean-derived compounds, e.g. TEP, from the ocean to the atmosphere was confirmed in controlled tank measurements. The DOM 1074 1075 composition of the cloud waters was consistent with the SML and bulk water composition and 1076 partly different from the aerosol particle's composition. However, INP measurements indicated 1077 that other sources besides the ocean and/or atmospheric transformations significantly contribute 1078 to the local INP concentration.

1079 The bulk water and SML analysis comprised a wide spectrum of biological and chemical 1080 constituents and consistently showed enrichment in the SML. Especially for the complex OM 1081 characterisation, some of the methods presented here have been used for the first time for such diverse sets of marine samples (e.g. DOM fractioning, metabolome studies with DART-HR-1082 1083 MS). Chl-a concentrations were typical for oligotrophic regions such as Cape Verde. The pigment composition indicated the presence of cyanobacteria, haptophytes and diatoms with a 1084 1085 temporal change in dominating groups (from cyanobacteria to diatoms) suggests the start of the 1086 diatom bloom. Possible linkages to the background dust input will be resolved. Concentrations and SML enrichment of DOC were comparable to previous campaigns at the same location. . 1087 1088 For the DOC as a sum parameter, the two applied sampling devices (manual and catamaran 1089 glass plate) provided very similar results. However, if this is also true for the various compound 1090 classes remains to be evaluated. Lipids established an important organic compound group in 1091 the SML and a selective enrichment of surface-active lipid classes within the SML was found. Observed enrichments also indicated on biotic and/or abiotic lipid degradation processing 1092 1093 within the SML. The temporal variability of bacterial abundance was studied and provided first 1094 co-located SML and cloud water measurements for this particular oceanic province. Whether the strong day-to-day variability of absolute cell numbers in the SML and bulk water derived 1095 1096 from changing water bodies and/or altered meteorological forcing needs to be further 1097 elucidated. Regarding mercury species, results indicate that the SML is the major compartment 1098 where (dissolved plus particulate) Hg were enriched, while MeHg was more likely concentrated 1099 in the bulk water, underlining the importance of SML in Hg enrichment dependent on OM.

1100 For the trace gases, a variety of conditions were observed showing influences from ocean as 1101 well as long-range transport of pollutants. High sunlight and high humidity in this tropical region are key in ensuring that primary and secondary pollutants (e.g. ethene and ozone) are 1102 removed effectively, however additional processes need to be regarded. Measurements within 1103 1104 the marine boundary layer and at the ocean-atmosphere interface, such as those shown here, are 1105 essential to understand the various roles of these short-lived trace gases with respect to 1106 atmospheric variability and wider climatic changes. The Cape Verde islands are likely a source region for HONO and the potential of the SML to form secondary particles needs to be further 1107 1108 elucidated.

1109 This paper shows the proof of concept of the connection between organic matter emission from 1110 the ocean to the atmosphere and up to the cloud level. We clearly see a link between the ocean





1111 1112 1113 1114 1115 1116 1117 1118 1119 1120 1121 1122 1123	and the atmosphere as (i) the particles measured at the surface are well mixed within the marine boundary layer up to cloud level and (ii) ocean-derived compounds can be found in the aerosol particles at mountain height and in the cloud water. The organic measurements will be implemented in a new source function for the oceanic emission of OM. From a perspective of particle number concentrations, the marine contributions to both CCN and INP are rather limited. However, a clear description of any potential transfer patterns and the quantification of additional important sources must await the complete analysis of all the samples collected. The main current objective is to finalize all measurements and interconnect the meteorological, physical, biological and chemical parameters also to be implemented as key variables in model runs. Finally, we aim to achieve a comprehensive picture of the seawater and atmospheric conditions for the period of the campaign to elucidate in particular the abundance and cycling of organic matter between the marine environmental compartments.
1124	Data availability. Data can be made available by the authors upon request.
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1128 1129	Appendix A1: List of acronyms
1130	APS – Aerodynamic particle sizer
1131	CCN – Cloud condensation nuclei
1132	CCNc – Cloud condensation nuclei counter
1133	CDOM – Chromophoric dissolved organic matter
1134	chl- <i>a</i> – Chlorophyll- <i>a</i>
1135	COSMO – Consortium for small-scale modelling-multiscale chemistry aerosol transport model
1136	CTD – Conductivity-temperature-depth sensor
1137	CVAO – Cape Verde atmospheric observatory
1138	CVFZ – Cape Verde frontal zone
1139	CVOO – Cape Verde ocean observatory
1140	DART-QTOF-MS - Direct analysis in real time quadrupole time-of-flight mass spectrometry
1141	DegLip – Lipid degradation products
1142	DL – Dissolved lipids
1143	DMS – Dimetly sulfide
1144	DOC – Dissolved organic carbon
1145	DOM – Dissolved organic matter
1146	ECWMF - European center for medium-range weather forecasts
1147	EBUS – Eastern-boundary upwelling system
1148	EF - Enrichment factor (analyte concentration in the SML in respect to the analyte concentration in
1149	the bulk water)
1150	ETNA – Eastern tropical north Atlantic
1151	FAA – Free amino acids
1152	Go:PAM – Gothenburg potential aerosol mass reactor
1153	HONO – Nitrous acid





- 1154 HYSPLIT Hybrid single-particle lagrangian integrated trajectory
- 1155 INP Ice nucleating particle(s)
- 1156 LOPAP Long path absorption photometer
- 1157 LMWA Low molecular weight acids
- 1158 LMWN Low molecular weight neutrals
- 1159 MarParCat Catamaran with glass plates for SML sampling
- 1160 MarParCloud Marine biological production, organic aerosol Particles and marine Clouds: a process
- 1161 chain
- 1162 MARSU <u>MAR</u>ine atmospheric <u>S</u>cience <u>U</u>nravelled
- 1163 MBL Marine boundary layer
- 1164 MeHg Methylmercury (MeHg)
- 1165 Mt. Verde Highest point of the São Vicente island (744 m)
- 1166 MUSCAT Multi-scale chemistry aerosol transport
- 1167 NACW North Atlantic central water masses
- $\label{eq:ccn} 1168 \qquad N_{CCN}-Cloud\ condensation\ nuclei\ number\ concentration$
- $1169 \qquad N_{INP}-Numbers \ of \ INP$
- 1170 OH Hydroxyl radical
- 1171 OFR Oxidation flow reactor
- 1172 OM Organic matter
- 1173 OMZ Oxygen minimum zone
- 1174 (O)VOC (Oxygenated) volatile organic compounds
- 1175  $PM_1$  Particulate matter (aerosol particles) smaller than 1  $\mu$ m
- $1176 \qquad PM_{10}-Particulate\ matter\ (aerosol\ particles)\ smaller\ than\ 10\ \mu m$
- 1177 PNSDs Particle number size distributions
- 1178 POM Particulate organic matter
- 1179 PVM Particle volume monitor
- 1180 SACW South Atlantic central water mass
- 1181 SAL –Saharan air layer
- 1182 SAS Surface-active substances/surfactants
- 1183 SML Sea surface microlayer
- 1184 SOA Secondary organic aerosol
- $1185 \qquad SSA-Sea \ spray \ aerosol$
- 1186 SMPS Scanning mobility particle sizer
- 1187 TEP Transparent exopolymer particles
- 1188 TSP Total suspended particle
- 1189 TM Transmission mode
- 1190 WSOM Water-soluble organic matter
- 1191
- 1192

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- 1614

## 1615 **Caption of Figures:**

- Figure 1: Illustration of the different sampling sites during the campaign. 1616
- 1617 Figure 2: The residence time of the air masses calculated from 96 h (4 days) back trajectories 1618 in ensemble mode.
- 1619 Figure 3: Time-series of air temperature, wind direction, wind speed, ethene, dimethyl sulfide, 1620 methanol, acetone, ethane and ozone.
- Fig. 4: The measured temperature and humidity profiles at the CVAO on September 17th 1621
- using a 16 m<sup>3</sup> Helikite. From the measurements the boundary layer height was determined 1622 (here: ~ 850 m).
- 1623
- 1624 Fig. 5: Time series and vertical profiles of the MBL height simulated with COSMO-1625 MUSCAT on the N2 domain and measured with the helikite.
- 1626 Fig. 6: (a) ECMWF wind forecasts and (b - f) cloud scenery derived from Meteosat SEVIRI observations for the Cape Verde Islands region using a, a state-of-the-art cloud classification 1627 algorithm (the cloud retrieval software of the Satellite Application Facility on support to 1628 1629 Nowcasting and Very Short-Range Forecasting version 2016 (a) Average horizontal winds have been derived from a 2.5 x 2.5 degree (250 km x 250 km) domain centered on Cape 1630 1631 Verde Islands and are plotted for each pressure level from 1000 to 250 hPa against time using 1632 arrows. The arrow colours refer to the pressure level. Gray vertical lines mark the times of the subsequently shown cloud scenes. (b - f) Different cloud scenes observed with Meteosat 1633 1634 SEVIRI for a domain of size 1500 km x 1000 km centered on the Cape Verde Islands. The shadings refer to different cloud types derived with the cloud classification algorithm of the 1635 NWC-SAF v2016. 1636
- Fig. 7: (a) The mission track of a SV2 Wave Glider as color-coded fluorescence data derived 1637 1638 from a Wetlabs FLNTURT sensor installed on the vehicle (data in arbitrary units) (b). Chlorophyll-a surface ocean concentrations derived from the MODIS-Terra satellite (mean 1639 concentration for October 2017). Please note that logarithmic values are shown. 1640
- Fig. 8: (a) The median of PNSDs of marine type (blue) and dust type2 (black), with a linear 1641
- 1642 and (b) a logarithmic scaling on the y axis, measured from September 21<sup>st</sup> 03:30:00 to
- 1643 September 21<sup>st</sup> 20:00:00 (UTC) and from September 28th 09:30:00 to September 30<sup>th</sup>
- 18:30:00 (UTC). The error bar indicates the range between 25% and 75% percentiles. 1644
- 1645 Fig. 9: (a) The median of PNSDs for marine type particle during cloud events and non-cloud 1646 events at CVAO and MV; (b) Scatter plots of N<sub>CCN</sub> at CVAO against those at MV at 1647 supersaturation of ~ 0.30%. Slope and  $R^2$  are given.
- Fig.10: (a) Percentage aerosol composition at the CVAO (mean value of 5 blocks) and (b) at 1648 1649 the Mt. Verde (mean value of 6 blocks) between October 2<sup>nd</sup> and October 9<sup>th</sup>. Aerosol particles 1650 were samples in five different size stages from  $0.05-0.14 \,\mu\text{m}$  (stage 1),  $0.14-0.42 \,\mu\text{m}$  (stage 2), 1651 0.42-1.2µm (stage 3), 1.2-3.5 µm (stage 4) and 3.5-10 µm (stage 5).





- 1653 Fig. 11: Cloud water composition for one connected sampling event between October 5<sup>th</sup> 7:45 (start, local time, UTC-1) and October 6<sup>th</sup>, 08:45 (start, local time, UTC-1). 1654 Fig. 12: Straight chain unsaturated fatty acids ( $\Sigma$ (c12 to c33) concentrations on the PM<sub>10</sub> 1655 aerosol particles versus atmospheric dust concentrations. 1656 Fig. 13: Temporal evolution of DOC concentrations in the bulk water samples along the 1657 campaign together with the main pigment concentrations (chl-a, zeaxanthin and fucoxanthin) 1658 1659 concentrations and total cell numbers measured in the bulk water and dust concentrations in 1660 the atmosphere (yellow background area). Fig. 14: (a) Concentrations of DOC in the SML and (b) and in the bulk watersampled for 1661 paired glass plate (GP) and the MarParCat (cat) sampling events. 1662 Fig 15: Average enrichments (EF) of surfactants (SAS) and dissolved lipid classes indicating 1663 organic matter degradation (DegLip). 1664 1665 1666 Fig. 16: Concentrations of Hg, MeHg, DOC and POC in the sea surface microlayer (SML) and bulk water sampled on September 26th and 27th 2017. 1667 Fig. 17: DOM classes measured in all compartments. The data represent mean values of three 1668 SML samples and the respective bulk water, three aerosol particle samples  $(PM_{10})$  from the 1669 1670 CVAO and two aerosol samples ( $PM_{10}$ ) from the Mt. Verde and four cloud water samples, all collected between 26. - 27.09., 01. - 02.10., and 08. - 09.10.2017. 1671 1672 Fig. 18: (a) Total TEP abundance in the SML and the bulk water as well as enrichment factor 1673 (SML/ULW) of TEP for field samples taken in nearshore water Cape Verde; (b) together with tank experiment with > 3 h bubbling of water collected from nearshore Cape Verde. 1674 Fig. 19: Microscopy image of TEP in TSP aerosol particles sampled at the CVAO sampled 1675 between September 29<sup>th</sup> and 30<sup>th</sup> with a flow rate of 8 L min<sup>-1</sup>. 1676 Fig. 20: Bacterial abundance of SML and ULW from (a) field and (c) tank water samples as 1677 well as from cloud water samples (diamonds, a) taken during the campaign are shown. 1678 1679 Additionally, enrichment factors (i.e. SML versus ULW) are presented (b, d). In panel a, please note the different power values between SML/ ULW (10<sup>6</sup> cells mL<sup>-1</sup>) and cloud water 1680 1681 samples  $(10^4 \text{ cells mL}^{-1})$ . Fig. 21:  $N_{INP}$  of SML seawater (n = 9) and cloud water (n = 13) as a function of temperature. 1682 Fig. 22: Modelled 2D vertical wind field on October 5th after 12 hours of simulation time. The 1683 1684 model domain spans 222 km length and 1.5 km height. The black contour lines represent the simulated cloud liquid water content (with a minimum of 0.01 g m<sup>-3</sup> and a maximum of 0.5 g 1685  $m^{-3}$ ). The more dense the lines, the higher the simulated liquid water content of the clouds. 1686 1687 1688 1689 1690 1691





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1694	Table 1. Classification of the air masses according to dust concentrations from the impactor
1695	samples after the calculation of dust concentrations according to Fomba, et al. 2014 samples

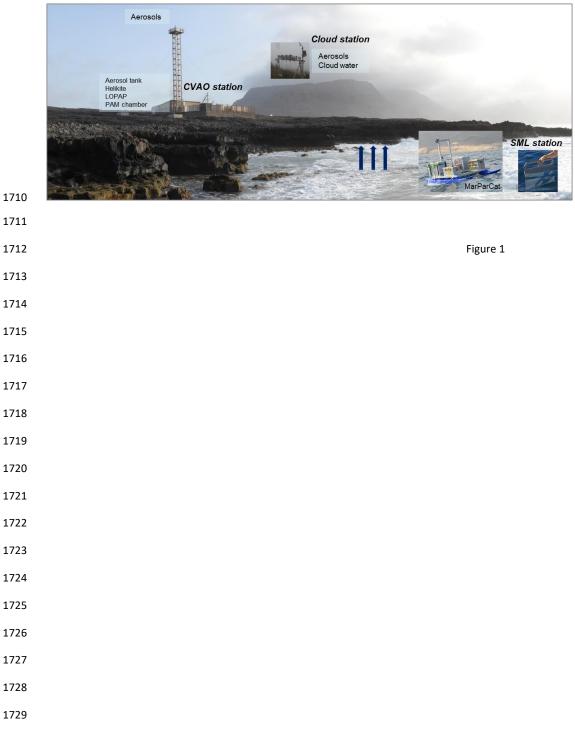
and under considerations of backward trajectories (Fig. 2).

	Start local time (UTC-1)	Stop local time (UTC-1)	Dust Conc. [ug/m³]	Classification
	2017.09.18 18:18:00	2017.09.19 14:57:00	53.5	Moderate-dust
	2017.09.19 16:30:00	2017.09.20 15:30:00	38.2	Moderate-dust
	2017.09.20 18:00:00	2017.09.21 14:00:00	30,0	Moderate-dust
	2017.09.21 15:00:00	2017.09.22 15:00:00	14,5	Low-dust
	2017.09.22 16:15:00	2017.09.24 16:46:00	4,1	Marine
	2017.09.24 17:30:00	2017.09.25 14:30:00	2,2	Marine
	2017.09.25 16:00:00	2017.09.26 15:00:00	11,6	Low-dust
	2017.09.26 15:51:33	2017.09.27 14:45:00	37,6	Moderate-dust
	2017.09.27 15:30:00	2017.09.28 16:30:00	20,6	Moderate-dust
	2017.09.28 18:10:00	2017.09.30 15:45:00	27,3	Moderate-dust
	2017.09.30 17:05:00	2017.10.01 14:15:00	42,7	Moderate-dust
	2017.10.01 15:00:00	2017.10.02 14:30:00	35,5	Moderate-dust
	2017.10.02 15:42:00	2017.10.03 14:53:00	29,1	Moderate-dust
	2017.10.03 15:45:00	2017.10.04 14:30:00	14,8	Low-dust
	2017.10.04 15:27:00	2017.10.05 15:18:00	13,2	Low-dust
	2017.10.05 16:10:00	2017.10.06 14:54:00	17,2	Low-dust
	2017.10.06 16:00:00	2017.10.07 15:30:00	17,0	Low-dust
	2017.10.07 16:10:00	2017.10.09 17:27:20	16,8	Low-dust
	2017.10.09 18:13:00	2017.10.10 15:00:00	27,6	Moderate-dust
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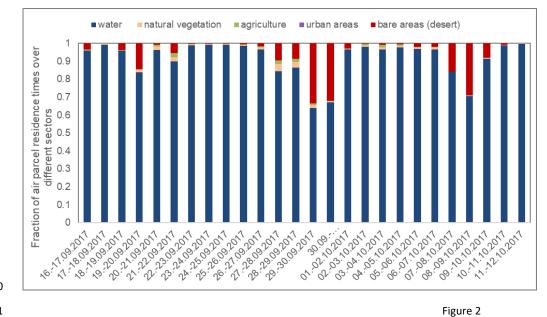








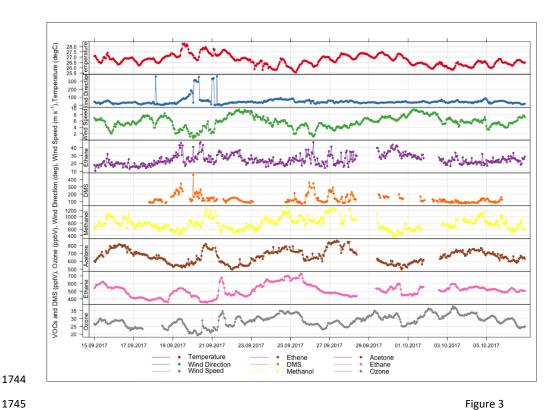






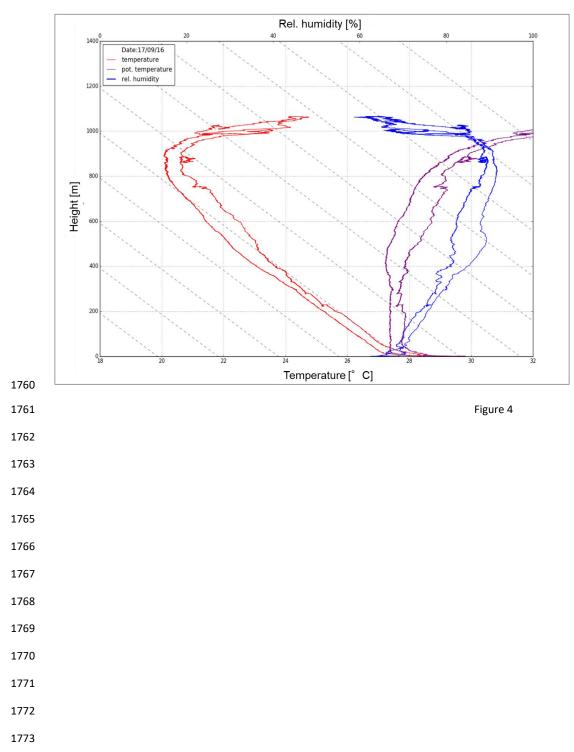






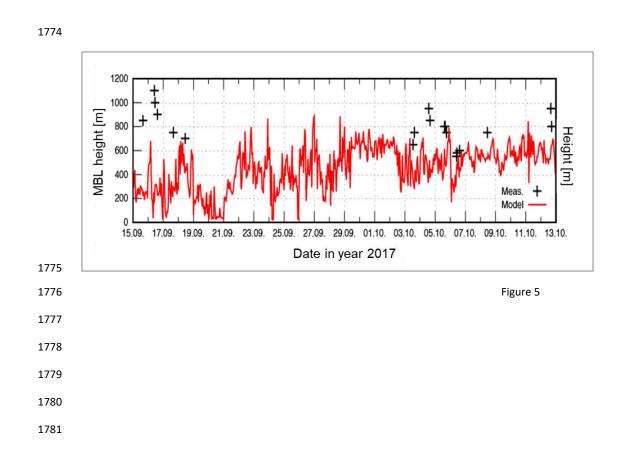






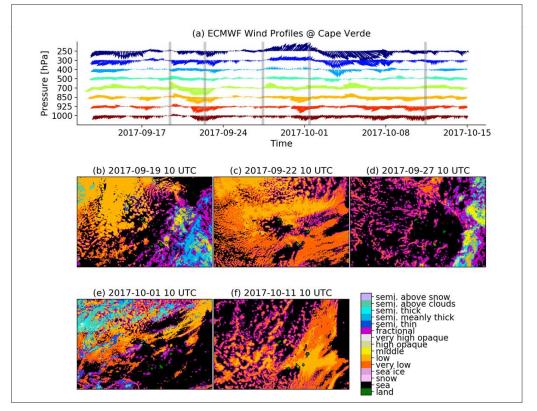










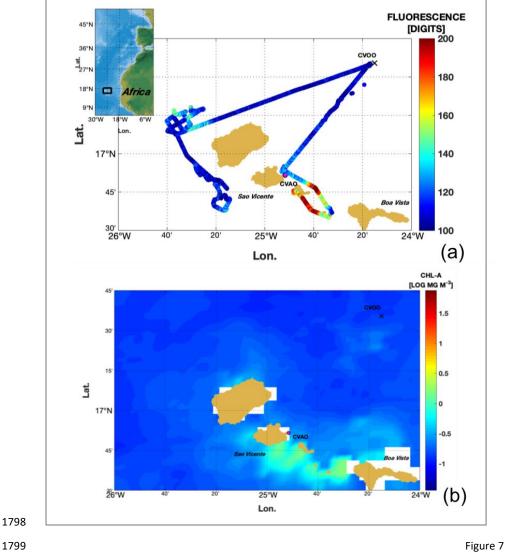










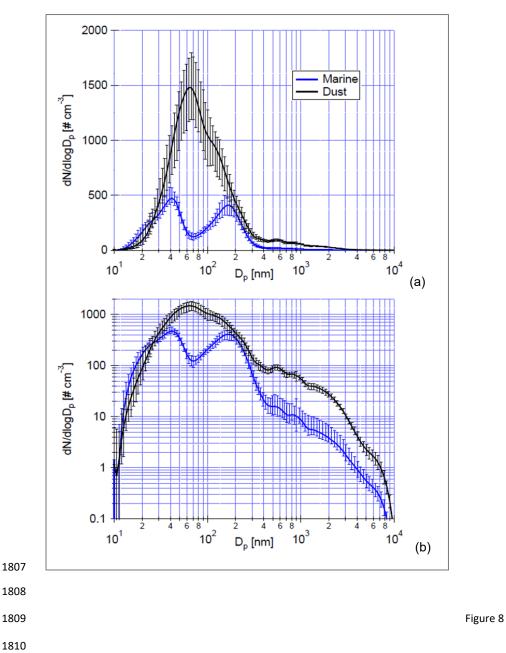




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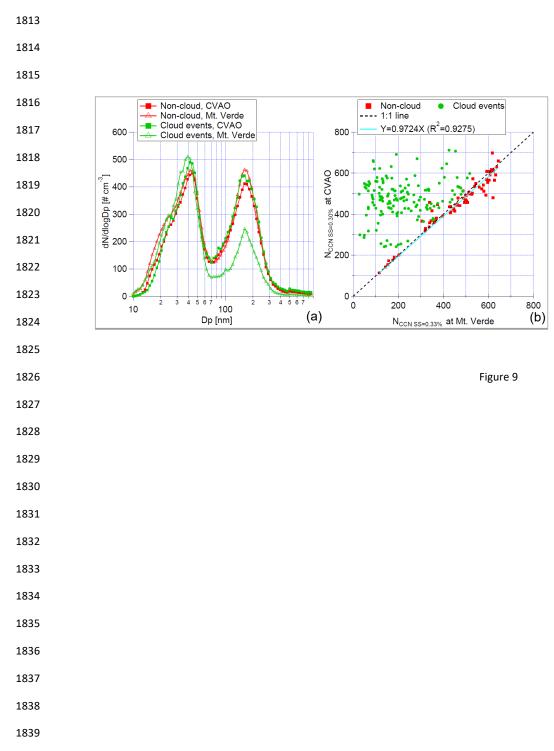








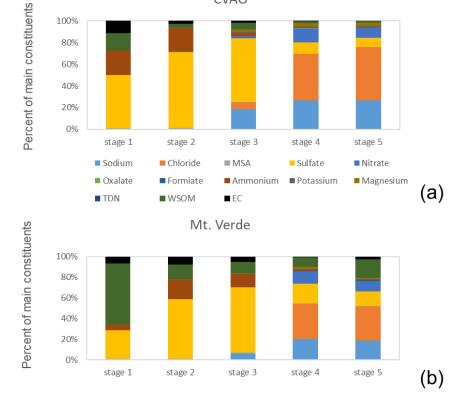


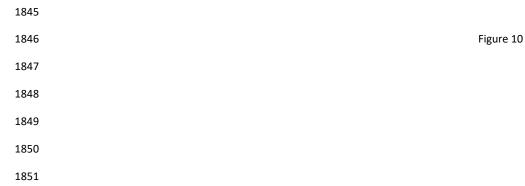






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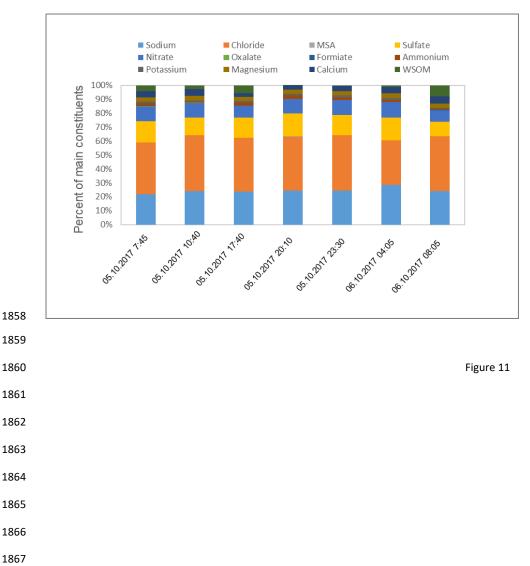






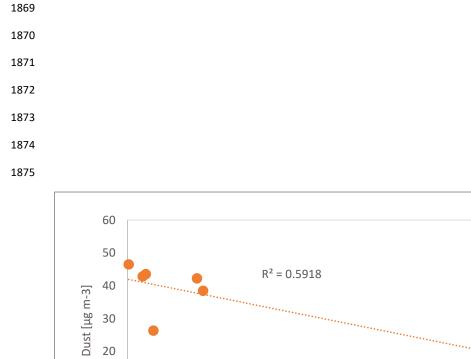


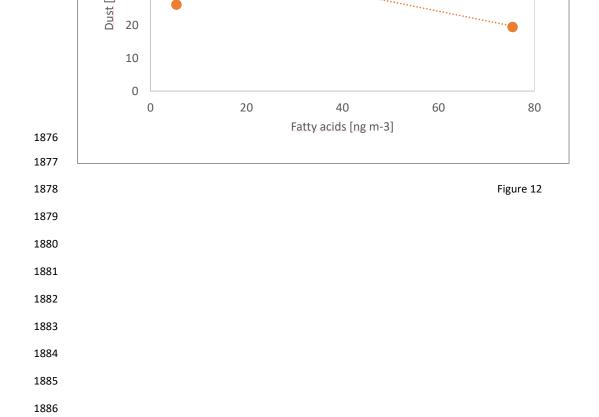








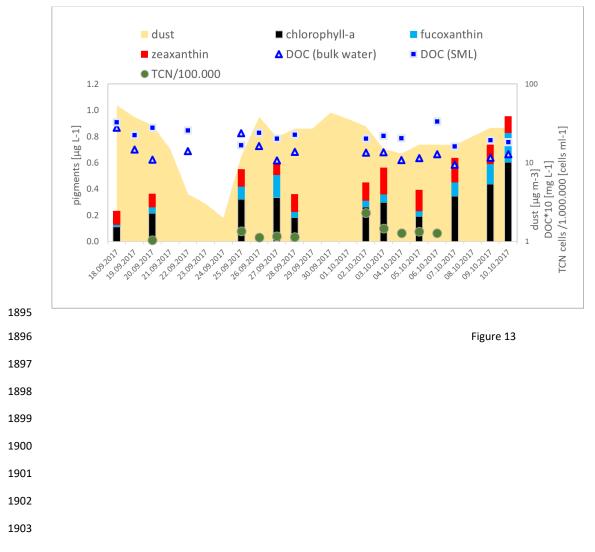






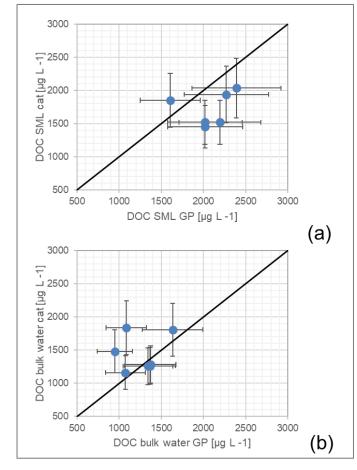








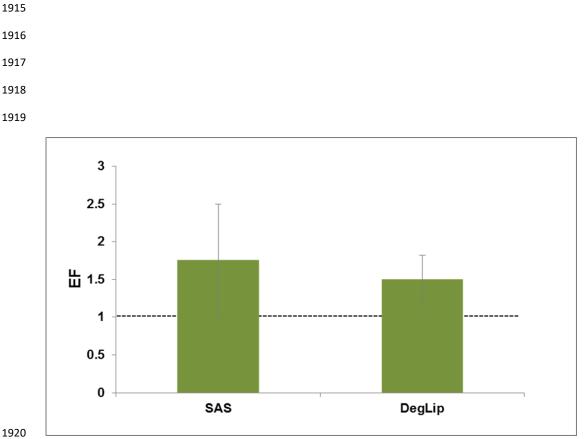


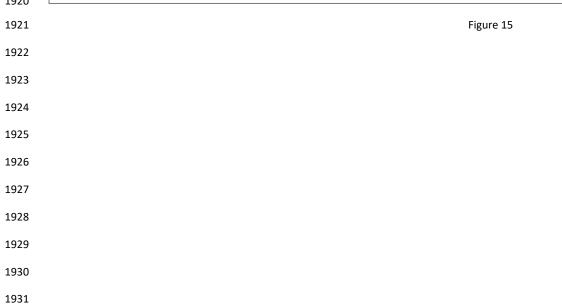






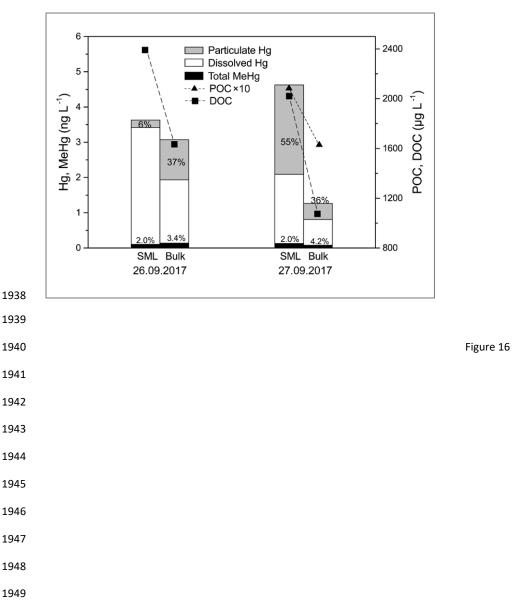






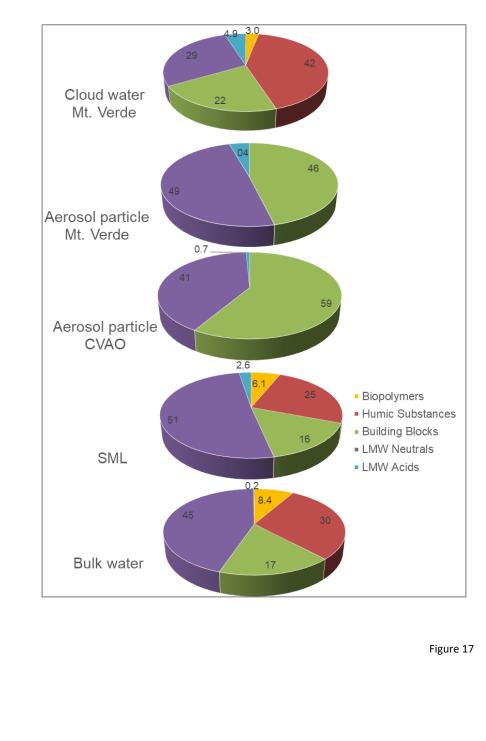








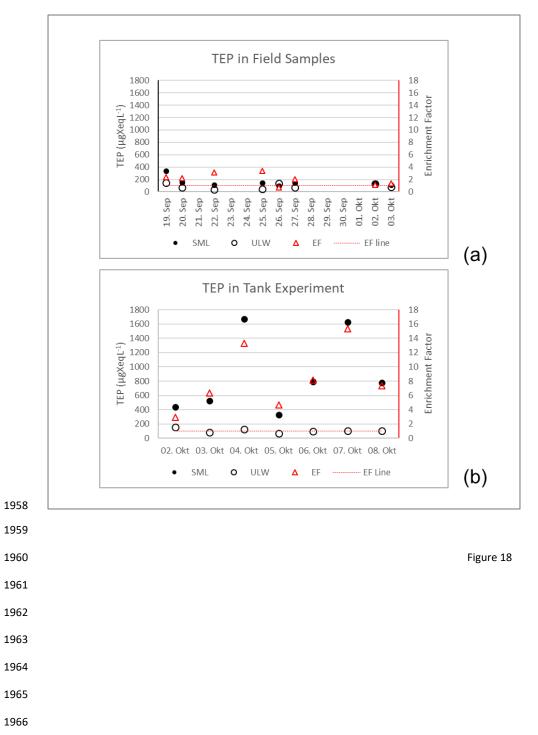








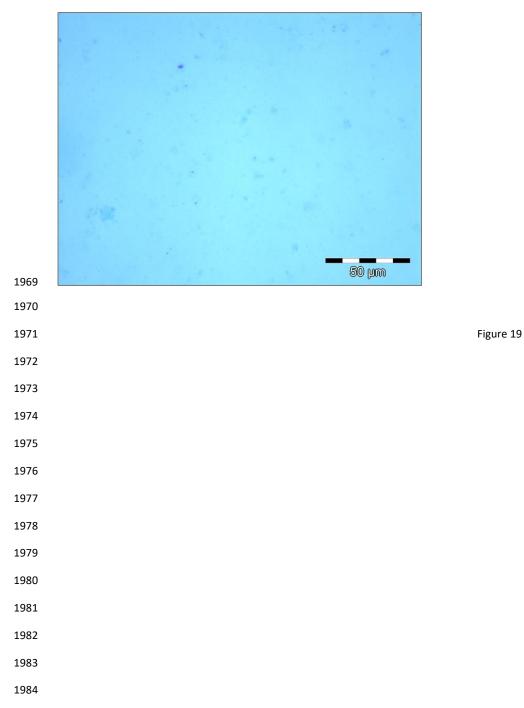
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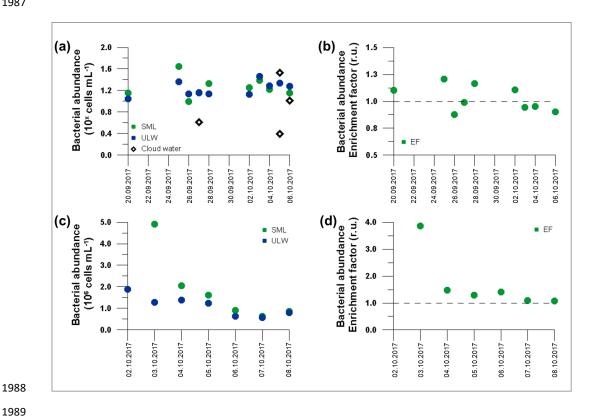
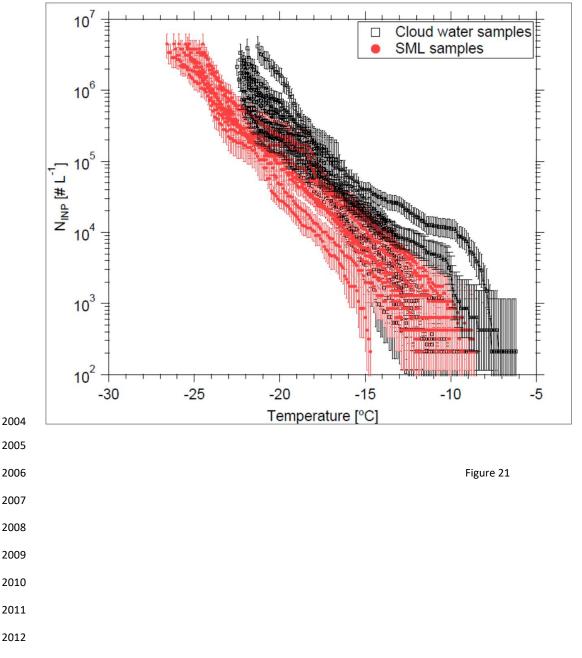


Figure 20









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