

# Marine organic matter in the remote environment of the Cape Verde Islands – An introduction and overview to the MarParCloud campaign

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## Abstract

The project MarParCloud (Marine biological production, organic aerosol Particles and marine Clouds: a process chain) aims at improving our understanding of the genesis, modification and impact of marine organic matter (OM), from its biological production, via its export to marine aerosol particles and, finally, towards its ability to act as ice nucleating particles (INP) and cloud condensation nuclei (CCN). A field campaign at the Cape Verde Atmospheric Observatory (CVAO) in the tropics in September/October 2017 formed the core of this project that was jointly performed with the project MARSU (MARine atmospheric Science Unravelled). A suite of chemical, physical, biological and meteorological techniques was applied and comprehensive measurements of bulk water, the sea surface microlayer (SML), cloud water and ambient aerosol particles collected at a ground-based and a mountain station took place.

Key variables comprised the chemical characterization of the atmospherically relevant OM components in the ocean and the atmosphere as well as measurements of INP and CCN. Moreover, bacterial cell counts, mercury species and trace gases were analysed. To interpret the results, the measurements were accompanied by various auxiliary parameters such as air mass back trajectory analysis, vertical atmospheric profile analysis, cloud observations and pigment measurements in seawater. Additional modelling studies supported the experimental analysis.

During the campaign, the CVAO exhibited marine air masses with low and partly moderate dust influences. The marine boundary layer was well mixed as indicated by an almost uniform particle number size distribution within the boundary layer. Lipid biomarkers were present in the aerosol particles in typical concentrations of marine background conditions. Accumulation and coarse mode particles served as CCN and were efficiently transferred to the cloud water. The ascent of ocean-derived compounds, such as sea salt and sugar-like compounds, to the cloud level, as derived from chemical analysis and atmospheric transfer modelling results, denote an influence of marine emissions on cloud formation. Organic nitrogen compounds (free amino acids) were enriched by several orders of magnitude in submicron aerosol particles and in cloud water compared to seawater. However, INP measurements indicated also a significant contribution of other non-marine sources to the local INP concentration, as (biologically active) INP were mainly present in supermicron aerosol particles that are not suggested to undergo strong enrichment during ocean-atmosphere transfer. In addition, the number of CCN at the supersaturation of 0.30% was about 2.5 times higher during dust periods compared to marine periods. Lipids, sugar-like compounds, UV absorbing humic-like substances and low molecular weight neutral components were important organic compounds in the seawater and highly surface-active lipids were enriched within the SML. The selective enrichment of specific organic compounds in the SML needs to be studied in further detail and implemented in an OM source function for emission modelling to better understand transfer patterns, mechanisms of marine OM transformation in the atmosphere and the role of additional sources.

In summary, when looking at particulate mass, we do see oceanic compounds transferred to the atmospheric aerosol and to the cloud level, while from a perspective of particle number concentrations, sea spray aerosol (i.e. primary marine aerosol) contributions to both CCN and INP are rather limited.

## Keywords

MarParCloud, MARSU, organic matter, seawater, sea surface microlayer, aerosol particles, cloud water, Cape Verde Atmospheric Observatory (CVAO)

## 1 Introduction and Motivation

The ocean covers around 71% of the Earth's surface and acts as a source and sink for atmospheric gases and particles. However, the complex interactions between the marine boundary layer (MBL) and the ocean surface are still largely unexplored (Cochran, et al. 2017; de Leeuw, et al. 2011; Gantt and Meskhidze 2013; Law, et al. 2013). In particular, the role of marine organic matter (OM) with its sources and contribution to marine aerosol particles, is still elusive. For example, where this particle fraction might lead to a variety of effects such as impacting health through the generation of reactive oxygen species, OM composition increasing or decreasing the absorption of solar radiation and therefore radiative properties, and impacting marine ecosystems via atmospheric deposition (e.g. Abbatt, et al. 2019; Brooks and Thornton 2018; Burrows, et al. 2013; Gantt and Meskhidze 2013; Pagnone, et al. 2019; Patel and Rastogi 2020). Furthermore, knowledge on the properties of marine organic aerosol particles and their ability to act as cloud condensation nuclei (CCN) or ice nucleating particle (INP) is not fully understood. The fraction of marine CCN made up of sea spray aerosol is still debated and suggested to comprise about 30% on a global scale (excluding the high southern latitudes) (Quinn, et al. 2017) and important pieces of information about marine CCN are still missing (e.g. Bertram, et al. 2018). Ocean-derived INPs were proposed to play a dominating role in determining INP concentrations in near-surface-air over the remote areas such as the Southern Ocean, however their source strength in other oceanic regions as well as knowledge about which physicochemical properties determine the INP efficiency are still largely unknown (Burrows, et al. 2013; McCluskey, et al. 2018a; McCluskey, et al. 2018b). In recent years, it was clearly demonstrated that marine aerosol particles contain a significant organic mass fraction derived from primary and secondary processes (Middlebrook, et al. 1998; Prather, et al. 2013; Putaud, et al. 2000; van Pinxteren, et al. 2017; van Pinxteren, et al. 2015). Although it is known that the main OM groups show similarities to the oceanic composition and comprise carbohydrates, proteins, lipids as well as humic-like and refractory organic matter, a large fraction of OM in the marine environment is still unknown at a molecular level, thereby limiting our ability to constrain interlinked processes (e.g. Gantt and Meskhidze 2013).

The formation of ocean-derived aerosol particles and their precursors is influenced by the uppermost layer of the ocean, the sea surface microlayer (SML) which forms due to different physicochemical properties of air and water (Engel, et al. 2017; Wurl, et al. 2017). Recent investigations suggest that the SML is stable up to wind speeds of  $> 10 \text{ m s}^{-1}$  and is therefore existent at the global average wind speed of  $6.6 \text{ m s}^{-1}$  and a fixed component influencing the ocean atmosphere interaction on global scales (Wurl, et al. 2011). The SML is involved in the generation of sea spray (or primary) particles including their organic fraction by transfer of OM to rising bubbles before they burst out to jet droplets and film droplets (de Leeuw, et al. 2011). A mechanistic and predictable understanding of these complex and interacting processes is still lacking (e.g. Engel, et al. 2017). Moreover, surface films influence air-sea gas exchange and may undergo (photo)chemical reactions leading to a production of unsaturated and

functionalized volatile organic compounds (VOCs) acting as precursors for the formation of secondary organic aerosol (SOA) particles (Brueggemann, et al. 2018; Ciuraru, et al. 2015). Thus, dynamics of OM and especially surface-active compounds present at the air-water interface may have global impacts on the air-sea exchange processes necessary to understand oceanic feedbacks on the atmosphere (e.g. Pereira, et al. 2018).

Within the SML, OM is a mixture of different compounds including polysaccharides, amino acids, proteins, lipids and chromophoric dissolved organic matter (CDOM) that are either dissolved or particulate (e.g. Gašparović, et al. 1998a; Gašparović, et al. 2007; Stolle, et al. 2019). In addition, the complex microbial community is assumed to exert a strong control on the concentration and the composition of OM (Cunliffe, et al. 2013). In calm conditions, bacteria accumulate in the SML (Rahlff, et al. 2017) and are an integral part of the biofilm-like habitat forming at the air-sea interface (Stolle, et al. 2010; Wurl, et al. 2016).

A variety of specific organic compounds such as surface-active substances (SAS), volatile organic compounds (VOC), and acidic polysaccharides aggregating to transparent exopolymer particles (TEP), strongly influence the physico-chemical properties of OM in the SML. SAS (or surfactants) are highly enriched in the SML relative to bulk water and contribute to the formation of surface films (Frka, et al. 2009; Frka, et al. 2012; Wurl, et al. 2009). SAS are excreted by phytoplankton, during zooplankton grazing and bacterial activities (e.g. Gašparović, et al. 1998b). The enrichment of SAS in the SML occurs predominantly via advective and diffusive transport at low wind speeds or bubble scavenging at moderate to high wind speeds (Wurl, et al. 2011). When transferred to the atmosphere, OM with surfactant properties, ubiquitously present in atmospheric aerosol particles, has the potential to affect the cloud droplet formation ability of these particles (e.g. Kroflič, et al. 2018).

Sticky and gel-like TEP are secreted by phytoplankton and bacteria and can form via abiotic processes (Wurl, et al. 2009). Depending on their buoyancy they may contribute to sinking particles (marine snow) or can rise and accumulate at the sea surface. Due to their sticky nature TEP is called the “marine glue” and as such it contributes to the formation of hydrophobic films by trapping other particulate and dissolved organic compounds (Wurl, et al. 2016). Additionally, TEP is suspected to play a pivotal role in the release of marine particles into the air via sea spray and bursting bubbles (Bigg and Leck 2008).

Many studies recognize a possible link between marine biological activity and marine-derived organic aerosol particles (Facchini, et al. 2008; O'Dowd, et al. 2004; Ovadnevaite, et al. 2011), and thus to the SML due to the linkages outlined before. Yet, the environmental drivers and mechanisms for the OM enrichment are not very clear (Brooks and Thornton 2018; Gantt and Meskhidze 2013) and individual compound studies can only explain a small part of OM cycling (e.g. van Pinxteren, et al. 2017; van Pinxteren and Herrmann 2013). The molecular understanding of the occurrence and the processing of OM in all marine compartments is essential for a deeper understanding and for an evidence-based implementation of organic aerosol particles and their relations to the oceans in coupled ocean-atmosphere models. Synergistic measurements in comprehensive interdisciplinary field campaigns in representative areas of the ocean and also laboratory studies under controlled conditions are required to explore the biology, physics and chemistry in all marine compartments (e.g. Quinn, et al. 2015). Accordingly, the project MarParCloud (Marine biological production, organic aerosol Particles and marine Clouds: a process chain) addresses central aspects of ocean atmosphere interactions

focusing on the marine OM within an interdisciplinary field campaign at the Cape Verde Islands that took place from September 13<sup>th</sup> to October 13<sup>th</sup> 2017. Together with contributions from the Research and Innovation Staff Exchange EU project MARSU (MARine atmospheric Science Unravelled: Analytical and mass spectrometric techniques development and application) synergistic measurements will deliver an improved understanding of the role of marine organic matter. MarParCloud focuses on the following main research questions:

- To what extent is seawater a source of OM to aerosol particles (regarding number, mass, chemical composition, CCN and INP concentration) and in cloud water?
- What are the important chemically-defined OM groups (proteins, lipids, carbohydrates - as sum parameters and on molecular level) in oceanic surface films, aerosol particles and cloud water and how are they linked?
- What are the main biological and physical factors responsible for the occurrence and accumulation of OM in the surface film and in other marine compartments (aerosol particles, cloud water)?
- Which functional role do bacteria play in aerosol particles?
- Does the SML contribute to the formation of ice nuclei, and at what temperatures do these nuclei become ice-active? Are these ice nuclei found in cloud water?
- Does the presence of marine OM in the surface ocean drive the concentration of CCN in the MBL?
- How must an emission parameterization for OM (including individual species) be designed in order to best reflect the concentrations in the aerosol depending on those in seawater or biological productivity under given ambient conditions?

The tropics with a high photochemical activity are of central importance in several aspects of the climate system. Approximately 75% of the tropospheric production and loss of ozone occurs within the tropics, and in particular in the tropical upper troposphere (Horowitz, et al. 2003). The Cape Verde islands are located downwind of the Mauritanian coastal upwelling region off northwest in the islands. In addition, they are in a region of the Atlantic that is regularly impacted by dust deposition from the African Sahara (Carpenter, et al. 2010). The remote station of CVAO is therefore an excellent site for process-oriented campaigns embedded into the long-term measurements of atmospheric constituents, which are essential for understanding the atmospheric processes and its impact on climate.

## 2 Strategy of the campaign

The present contribution intends to provide an introduction, overview and first results of the comprehensive MarParCloud field campaign to the MarParCloud Special Issue. We will describe the oceanic and atmospheric ambient conditions at the CVAO site that have not been synthesized elsewhere and are valuable in themselves because of the sparseness of the existing

information at such a tropical remote location. Next, we will describe the sampling and analytical strategy during MarParCloud, taking into account all marine compartments i.e. the seawater (SML and bulk water), ambient aerosol particles (at ground-level and the Mt Verde, elevation: 744 m a.s.l.), and cloud water. Detailed aerosol investigations were carried out, both for the chemical composition and for physical properties at both stations. In addition, vertical profiles of meteorological parameters were measured at CVAO using a helikite. These measurements were combined with modelling studies to determine the MBL height. In conjunction, they are an indicator for the mixing state within the MBL providing further confidence for ground-level measured aerosol properties being representative for those at cloud level. The chemical characterization of OM in the aerosol particles as well as in the surface ocean and cloud water included sum parameters (e.g. OM classes like biopolymers and humic-like substances) and molecular analyses (e.g. lipids, sugars and amino acids). Additionally, to address the direct oceanic transfer (bubble bursting), seawater and aerosol particle characterization obtained from a systematic plunging waterfall tank are presented. Ocean surface mercury (Hg) associated with OM was investigated. Marine pigments and marine microorganisms were analysed to investigate their relation to OM and to algae produced trace gases. Marine trace gases such as dimethyl sulphide (DMS), other VOCs and oxygenated (O)VOCs were measured and discussed. Furthermore, a series of continuous nitrous acid (HONO) measurements was conducted at the CVAO with the aim of elucidating the possible contribution of marine surfaces at the production of this acid. To explore whether marine air masses exhibit a significant potential to form SOA, an oxidation flow reactor (OFR) was deployed at the CVAO. Finally, modelling studies to describe the vertical transport of selected marine organic compounds from the ocean to the atmosphere up to cloud level taking into account advection and wind conditions will be applied. From the obtained results of organic compound measurements, a new source function for the oceanic emission of OM will be developed. The measurements, first interpretations and conclusions aggregated here will provide a basis for upcoming detailed analysis.

## 3 Experimental

### 3.1 General CVAO site and meteorology

The Cape Verde archipelago Islands are situated in the Eastern Tropical North Atlantic (ETNA). The Archipelago experiences strong North-East trade winds that divide the islands into two groups, the Barlavento (windward) and Sotavento (leeward) islands. The North-Western Barlavento Islands of São Vicente and Santo Antão, as well as São Nicolao, are rocky and hilly making them favourable for the formation of orographic clouds.

The CVAO is part of a bilateral initiative between Germany and the UK to conduct long-term studies in the tropical north-east Atlantic Ocean ( $16^{\circ} 51.49' \text{ N}$ ,  $-24^{\circ} 52.02' \text{ E}$ ). The station is located directly at the shoreline at the northeastern tip of the island of São Vicente at 10 m a.s.l. The air temperature varies between 20 and 30 °C with a mean of 23.6 °C. The relative humidity is in average at 79% and precipitation is very low (Carpenter, et al. 2010). Due to the trade winds, this site is free from local island pollution and provides reference conditions for studies

of ocean-atmosphere interactions. However, it also lies within the Saharan dust outflow corridor to the Atlantic Ocean and experiences strong seasonal dust outbreaks with peaks between late November and February (Fomba, et al. 2014; Patey, et al. 2015; Schepanski, et al. 2009). Air mass inflow to this region can vary frequently within a day leading to strong inter-day temporal variation in the aerosol mass and chemical composition (Fomba, et al. 2014, Patey, et al. 2015). Despite the predominant NE trade winds, air masses from the USA as well as from Europe are partly observed. However, during autumn, marine air masses are mainly present with few periods of dust outbreaks because at these times the dust is transported at higher altitudes in the Saharan Air Layer (SAL) over the Atlantic to the Americas (Fomba, et al. 2014). During autumn, there is no significant transport of the dust at lower altitudes and only intermittent effects of turbulence in the SAL leads to occasional dust deposition and sedimentation from the SAL to lower altitudes and at ground level. Furthermore, during autumn the mountain site (Mt. Verde) is often covered with clouds as surface temperatures drop after typically very hot summer months. Due to the frequent cloud coverage and less dust influence in autumn, the MarParCloud campaign was scheduled from September 13<sup>th</sup> to October 13<sup>th</sup> 2017.

### 3.2 CVAO equipment during MarParCloud

The setup of the CVAO station is explained in detail in Carpenter, et al. (2010) and Fomba, et al. (2014). During the MarParCloud campaign, the 30 m high tower was equipped with several aerosol particle samplers, including high volume PM<sub>1</sub>, PM<sub>10</sub> (Digitel, Riemer, Germany), and total suspended particle (TSP, Sieria Anderson, USA) samplers, low volume TSP (homebuilt) and PM<sub>1</sub> (Comde-Derenda, Germany) samplers and a size-resolved aerosol particle Berner impactor (5 stages). The sampling times were usually set to 24 h (more details in the SI). On-line aerosol instruments included a Cloud Condensation Nuclei counter (CCNC, Droplet Measurement Technologies, Boulder, USA) (Roberts and Nenes 2005) to measure cloud condensation nuclei number concentration (N<sub>CCN</sub>). A TROPOS-type Scanning Mobility Particle Sizer (SMPS) (Wiedensohler, et al. 2012), and an APS (Aerodynamic Particle Sizer, model 3321, TSI Inc., Paul, MN, USA) with PM<sub>10</sub> inlet were used to measure in the size range from 10 nm to 10  $\mu$ m. The particles hygroscopicity (expressed as  $\kappa$  (Petters and Kreidenweis 2007)) was derived from combined N<sub>CCN</sub> and particle number size distributions (PNSDs) measurements from the SMPS and APS. Vertical profiles of meteorological parameters were measured using a 16 m<sup>3</sup> Helikite (Allsopp Helikites Ltd, Hampshire, UK), a combination of a kite and a tethered balloon. Additional equipment at the CVAO station on ground included the plunging waterfall tank, the LOng Path Absorption Photometer (LOPAP), and the Gothenburg Potential Aerosol Mass Reactor (Go:PAM) chamber. Further details on the measurements are listed and explained in the SI and all instruments can be found in the Table S1.

### 3.3 Mt. Verde

Mt. Verde was a twin site for aerosol particle measurements and the only site with cloud water-sampling during the MarParCloud campaign. It is the highest point of the São Vicente Island (744 m) situated in the northeast of the Island (16° 86.95' N, -24° 93.38' E) and northwest to the CVAO. Mt. Verde also experiences direct trade winds from the ocean with no significant

influence of anthropogenic activities from the island. Mt. Verde was in clouds during roughly 58% of the time during the campaign. However, the duration of the cloud coverage varied between 2 h and 18 h with longer periods of cloud coverage observed in the nights when surface temperatures dropped.

During the campaign, Mt. Verde was, for the first time, equipped with similar collectors as operated at the CVAO, namely the high volume Digital sampler for the  $PM_1$  and  $PM_{10}$  bulk aerosol particles, a low volume TSP sampler and a five-stage Berner impactor for the size-resolved aerosol particle sampling. Bulk cloud water was collected using six (4 plastic and 2 stainless steel) compact Caltech Active Strand Cloud water Collectors (CASC2) (Demoz, et al. 1996). The six samplers were run in parallel for a sampling time between 2.5 and 13 hours collecting between 78 to 544 mL cloud water per sampler in an acid-precleaned plastic bottle. It needs to be pointed out that the aerosol particle samplers run continuously and aerosol particles were also sampled during cloud events. The cloud droplets were efficiently removed due to the pre-conditioning of the aerosol particles sampled with the Berner impactor (more information in the SI) and due to the size cut the  $PM_1$  sampler. However, for aerosol particles sampled with the  $PM_{10}$  sampler, small cloud droplets can be collected as well. In addition, the particles sampled with the low volume TSP sampler can be influenced by cloud droplets to some extent. The cloud liquid water content was measured continuously by a particle volume monitor (PVM-100, Gerber Scientific, USA), which was mounted on a support at the same height with the cloud water samplers. The same suite of on-line aerosol instruments as employed at the CVAO (SMPS, APS, CCNC) was installed at the mountain side. All instruments employed at the Mt. Verde site are listed in the Table S2.

### 3.4 Oceanographic setting and seawater sampling site

The ETNA around Cape Verde is characterized by a so-called oxygen minimum zone (OMZ) at a water depth of approximately 450 m and by sluggish water velocities (Brandt, et al. 2015). The region is bounded by a highly productive eastern-boundary upwelling system (EBUS) along the African coast, by the Cape Verde Frontal Zone (CVFZ) on its western side, and by zonal current bands towards the equator (Stramma, et al. 2005). Upper water masses towards the archipelago are dominated by North Atlantic Central Water masses (NACW) with enhanced salinity, whereas the South Atlantic Central Water mass (SACW) is the dominating upper layer water mass in the EBUS region (Pastor, et al. 2008). Filaments and eddies generated in the EBUS region are propagating westwards into the open ocean and usually dissipate before reaching the archipelago. However, observations from the Cape Verde Ocean Observatory (CVOO) 60 nautical miles northeast of the Sao Vicente island ( $17^\circ 35.00\text{ N}$ ,  $-24^\circ 17.00\text{ E}$ , <http://cvo0.geomar.de>) also revealed the occurrence of water masses originating from the EBUS region which got advected by stable mesoscale eddies (Fiedler, et al. 2016; Karstensen, et al. 2015).

For the MarParCloud campaign, the water samples were taken at Bahia das Gatas, a beach that is situated upwind of the CVAO about 4 km northwest in front of the station. The beach provided shallow access to the ocean that allowed the employment of the fishing boats for manual SML and bulk water sampling and the other equipment. For SML sampling, the glass plate technique as one typical SML sampling strategy was applied (Cunliffe and Wurl 2014).



A glass plate with a sampling area of 2000 cm<sup>2</sup> was vertically immersed into the water and then slowly drawn upwards with a withdrawal rate between 5 and 10 cm s<sup>-1</sup>. The surface film adheres to the surface of the glass and is removed using framed Teflon wipers (Stolle, et al. 2010; van Pinxteren, et al. 2012). Bulk seawater was collected from a depth of 1 m using a specially designed device consisting of a glass bottle mounted on a telescopic rod used to monitor sampling depth. The bottle was opened underwater at the intended sampling depth with a specifically conceived seal-opener.

In addition, the MarParCat, a remotely controllable catamaran, was applied for SML sampling using the same principle as manual sampling (glass plate). The MarParCat sampled bulk water in a depth of 70 cm. A more detailed description of the MarParCat can be found in the SI. Using the two devices, manual sampling and the MarParCat, between one and six liters of SML were sampled at each sampling event. For the sampling of the SML, great care was taken that all parts that were in contact with the sample (glass plate, bottles, catamaran tubing) underwent an intense cleaning with 10% HCl to avoid contamination and carry over problems.

The sampling sites with the different set up and equipment are illustrated in Figure 1. All obtained SML and bulk water samples and their standard parameters are listed in Table S3.

## 4 Ambient conditions

### 4.1 Atmospheric conditions during the campaign

#### 4.1.1 Marine and dust influences

During autumn, marine background air masses are mainly observed at the CVAO, interrupted by a few periods of dust outbreaks (Carpenter, et al. 2010; Fomba, et al. 2014). A 5 years' average dust record showed low concentrations with average values of 25 µg m<sup>-3</sup> and 17 µg m<sup>-3</sup> during September and October, respectively (Fomba, et al. 2014). The dust concentrations during the campaign were generally < 30 µg m<sup>-3</sup> however, strong temporal variation of mineral dust markers were observed (Table 1). According to Fomba, et al. (2013, 2014), a classification into: marine conditions (dust < 5 µg/m<sup>3</sup>, typically Fe < 50 ng m<sup>-3</sup>), low dust (dust < 20 µg/m<sup>3</sup>) and moderate dust (dust < 60 µg/m<sup>3</sup>) conditions was used to describe the dust influence during this period. Following this classification, one purely marine period was defined from September 22<sup>nd</sup> to 24<sup>th</sup>, which was also evident from the course of the back trajectories (Fig SII). For the other periods, the air masses were classified as mixed with marine and low or moderate dust influences as listed in Table 1. Based on a three-modal parameterization method that regarded the number concentrations in different aerosol particle modes, a similar but much finer classification of the aerosol particles was obtained as discussed in Gong, et al. (2020a).

The classification of the air masses was complemented by air mass backward trajectory analyses. 96 hours back trajectories were calculated on an hourly basis within the sampling intervals, using the HYSPLIT model (HYbrid Single-Particle Lagrangian Integrated Trajectory, <http://www.arl.noaa.gov/ready/hysplit4.html>, 26.07.19) published by the National Oceanic and Atmospheric Administration (NOAA) in the ensemble mode at an arrival height of 500 m ± 200 m (van Pinxteren, et al. 2010). The back trajectories for the individual days of the entire campaign, based on the sampling interval for aerosol particle sampling, were calculated and are

listed in Figure SI1. Air parcel residence times over different sectors are plotted in Figure 2. The comparison of dust concentration and the residence time of the back trajectories revealed that in some cases low dust contributions were observed although the air masses travelled almost completely over the ocean (e.g. first days of October). In such cases, entrainment of dust from higher altitudes might explain this finding. The related transport of Saharan dust to the Atlantic during the measurement period can be seen in a visualization based on satellite observations (<https://svs.gsfc.nasa.gov/12772>, last visited on Oct. 1<sup>st</sup>, 2019). For specific days with a low MBL height, it might be more precise to employ back trajectories that start at a lower height and therefore exclude entrainment effects from the free troposphere for the characterisation of CVAO data. Similarly, for investigating long-lived components, it might be helpful to analyse longer trajectory integration times (e.g. 10 days instead of 4 days). However, the longer the back trajectories, the higher is the level of uncertainty. Regarding aerosol analysis, it is important to notice that dust influences are generally more pronounced on super-micron particles than on sub-micron particles (e.g. Fomba, et al. 2013; Müller, et al. 2009; Müller, et al. 2010) meaning that bigger particles may be affected by dust sources whereas smaller particles may have stronger oceanic and anthropogenic as well as long-range transport influences. Consequently, the herein presented classification represents a first general characterisation of the air mass origins. Depending on the sampling periods of other specific analysis, slight variations may be observed and this will be indicated in the specific analysis and manuscripts.

#### 4.1.2 Meteorological condition

Air temperature, wind direction, wind speed measured between September 15<sup>th</sup> and October 6<sup>th</sup> (17.5 m a.s.l.) are shown in Figure 3 together with the mixing ratios of the trace gases ozone, ethane, ethene, acetone, methanol and DMS. During this period the air temperature ranged from 25.6 °C (6:00 UTC) to 28.3 °C (14:00 UTC) with an average diurnal variation of 0.6 °C. The wind direction was north-easterly (30 to 60 °), except for a period between September 19<sup>th</sup> and 20<sup>th</sup> and again on September 21<sup>st</sup> when northerly air, and lower wind speeds, prevailed. The meteorological conditions observed during the campaign were typical for this site (e.g. Carpenter, et al. 2010, Fomba, et al. 2014). The concentrations of the different trace gases will be more thoroughly discussed in Sect. 5.3.

#### 4.1.3 Measured and modelled marine boundary layer (MBL) height

The characterization of the MBL is important for the interpretation of both the ground-based as well as the vertically-resolved measurements, because the MBL mixing state allows to elucidate the possible connections between ground-based processes (e.g. aerosol formation) and the higher (e.g. mountain and cloud level) altitudes. The Cape Verdes typically exhibit a strong inversion layer with a sharp increase in the potential temperature and a sharp decrease of the humidity (Carpenter, et al. 2010).

The vertical measurements of meteorological parameters were carried out at CVAO with a 16 m<sup>3</sup> Helikite. The measurements demonstrate that a Helikite is a reliable and useful instrument

that can be deployed under prevailing wind conditions such as at this measurement site. 19 profiles on ten different days could be obtained and Figure 4 shows an exemplary profile, from September 17<sup>th</sup>. During the campaign, the wind speed varied between 2 and 14 m s<sup>-1</sup> and the MBL height was found to be between about 600 and 1100 m (compare to Fig. 5). Based on the measured vertical profiles, the MBL was found to be often well mixed. However, there are indications for a decoupled boundary layer in a few cases that will be further analysed. As it was not possible to obtain information of the MBL height for the entire campaign from online measurements, the MBL height was also simulated using the Bulk-Richardson number. The simulations showed that the MBL height was situated where the Bulk-Richardson number exceeded the critical value 0.25. Figure 5 shows, that the simulated MBL height was always lower compared to the measured one during the campaign and also compared to previous measurements reported in the literature. Based on long-term measurements, Carpenter, et al. (2010) observed an MBL height of  $713 \pm 213$  m at the Cape Verdes. In the present study a simulated MBL height of  $452 \pm 184$  m was found, however covering solely a period over one month. The differences might be caused by the grid structure of the applied model (more details in the SI). The vertical resolution of 100 to 200 m might lead to a misplacement of the exact position of the MBL-height. Moreover, the model calculations were constructed to identify the lowest inversion layer. Therefore, the modelled MBL height might represent a low, weak internal layer within the MBL and not the actual MBL. These issues will be analysed in further studies.

#### 4.1.4 Cloud conditions

The Cape Verde Islands are dominated by a marine tropical climate and as mentioned above, marine air is constantly supplied from a north-easterly direction which also transports marine boundary-layer clouds towards the islands. Average wind profiles derived from the European Center for Medium-Range Weather Forecasts (ECWMF) model simulations are shown in Figure 6a. On the basis of the wind profiles, different cloud scenes have been selected and quantified (Derrien and Le Gleau 2005) using geostationary Meteosat SEVIRI data with a spatial resolution of 3 km (Schmetz, et al. 2002) and are shown in Figure 6b – f. The island Sao Vicente is located in the middle of each picture. The first scene at 10:00 UTC on September 19<sup>th</sup> was characterized by low wind speeds throughout the atmospheric column (Fig. 6b). In this calm situation, a compact patch of low-level clouds was located north-west of the Cape Verde Islands. The cloud field was rather spatially homogeneous, i.e. marine stratocumulus, which transitioned to more broken cumulus clouds towards the island. South-eastwards of the islands, high-level ice clouds dominated and possibly mask lower-level clouds. For the second cloud scene at 10:00 UTC on September 22<sup>nd</sup> (Fig. 6c), wind speed was higher with more than 12 m s<sup>-1</sup> in the boundary layer. Similarly, coverage of low- to very low-level clouds was rather high in the region around Cape Verde Islands. A compact stratocumulus cloud field approached the islands from north-easterly direction. The clouds that had formed over the ocean dissolved when the flow traverses the islands. Pronounced lee effects appeared downstream of the islands. Cloud scene three at 10:00 UTC on September 27<sup>th</sup> was again during a calm phase with wind speed of a few m s<sup>-1</sup> only (Fig. 6d). The scene was dominated by fractional clouds (with a significant part of the spatial variability close to or below the sensor resolution). These clouds

formed locally and grew. Advection of clouds towards islands was limited. The last two cloud scenes (at 10:00 UTC on October 1<sup>st</sup> in Fig. 6e and at 10:00 UTC on October 11<sup>th</sup> in Fig. 6f) were shaped by higher boundary-layer winds and changing wind directions in higher atmospheric levels. The scene in Fig. 6e shows a complex mixture of low-level cloud fields and higher-level cirrus patches. The scene in Fig. 6f was again dominated by low- to very low-level clouds. The eastern part of the islands was embedded in a rather homogeneous stratocumulus field. A transition of the spatial structure of the cloud field happened in the centre of the domain with more cumuliform clouds and cloud clumps west to the Cape Verde Island. Overall, the majority of low-level clouds over the islands were formed over the ocean and ocean-derived aerosol particles, e.g. sea salt and marine biogenic compounds, might be expected to have some influence on cloud formation. Infrequent instances of locally formed clouds influenced by the orography of the islands could be also identified in the satellite data. The different cloud scenes reflect typical situations observed in conditions with either weaker or stronger winds. The average in-cloud time of an air parcel might depend on cloud type and cloud cover that in turn impacts in-cloud chemical processes (e.g. Lelieveld and Crutzen 1991), such as the formation of methane-sulfonic acid and other organic acids (Hoffmann, et al. 2016; Chen, et al. 2018). Future studies will relate the chemical composition of the aerosol particles and cloud water to the cloud scenes and their respective oxidation capacity. However, the rather coarse horizontal resolution of the satellite sensor and the missing information about time-resolved vertical profiles of thermodynamics and cloud condensate limits a further detailed characterization of these low-level cloud fields and their formation processes. A synergistic combination with ground-based in-situ and remote sensing measurements would be highly beneficial for future investigations to elucidate how cloud chemistry might be different for the varying cloud scenes depending on horizontal cloud patterns and vertical cloud structures.

## 4.2 Biological seawater conditions

### 4.2.1 Pigment and bacteria concentration in seawater

To characterize the biological conditions at CVAO, a variety of pigments including chlorophyll-*a* (chl-*a*) were measured in the samples of Cape Verdean bulk water (data in Table S4 and illustrated in Sect. 5.4.1). Chl-*a* is the most prominently used tracer for biomass in seawater; however information of phytoplankton composition can only be determined by also determining marker pigments. Therefore, each time when a water sample was taken, also several liters of bulk water were collected for pigment analysis (more details in the SI). Chl-*a* concentrations varied between 0.11  $\mu\text{g L}^{-1}$  and 0.6  $\mu\text{g L}^{-1}$ , and are more thoroughly discussed together with the pigment composition in Sect. 5.4.1. Moreover, as other but phytoplankton organisms can contribute to the OM pool, bacterial abundance was analysed in the SML and bulk water samples and these data are reported in Sect. 5.7.3.

### 4.2.2 Wave glider fluorescence measurements

Roughly at the same time as the MarParCloud field campaign took place, an unmanned surface vehicle (SV2 Wave Glider, Liquid Robotics Inc.) equipped with a biogeochemical sensor package, a conductivity-temperature-depth sensor (CTD) and a weather station was operated in

the vicinity of the sampling location. The Wave Glider carried out continuous measurements of surface water properties (water intake depth: 0.3 m) along a route near the coast (Fig. 7a), and on October 5<sup>th</sup> it was sent on a transect from close to the sampling location towards the open ocean in order to measure lateral gradients in oceanographic surface conditions. The glider measurements delivered information on the spatial resolution of several parameters. Fluorescence measurements, which can be seen as a proxy of chl-*a* concentration in surface waters and hence of biological production, indicated some enhanced production leeward of the islands and also at one location upwind of the island of Santa Luzia next to São Vicente. In the vicinity of the MarParCloud sampling site the glider observed a slight enhancement in fluorescence when compared to open-ocean waters. This is in agreement with the measured pigment concentration. The overall pattern of slightly enhanced biological activity was also confirmed by the MODIS-Terra satellite fluorescence measurements (Fig. 7b). However, both in situ glider and sample data as well as remote sensing data did not show any particular strong coastal bloom events and thus indicate that the MarParCloud sampling site well represented the open-ocean regime during the sampling period.

## 5 Measurements and selected results

### 5.1 Vertical resolution measurements

#### 5.1.1 Physical aerosol characterization

Based on aerosol particles measured during the campaign, air masses could be classified into different types, depending on differences in PNSDs. Marine type and dust type air masses could be clearly distinguished, even if the measured dust concentrations were only low to medium, according to the annual mean at the CVAO (Fomba, et al. 2013, 2014). The median of PNSDs during marine conditions is illustrated in Figure 8 and showed three modes, i.e., Aitken, accumulation and coarse mode. There was a minimum between the Aitken- and accumulation-mode of PNSDs (Hoppel minimum; see (Hoppel, et al. 1986) at roughly 70 nm. PNSDs measured during marine type air masses featured the lowest Aitken, accumulation and coarse mode particle number concentrations, with median values of 189, 143 and 7 cm<sup>-3</sup>, respectively. The PNSDs present during times with dust influences featured a single mode in the sub-micron size range (Fig. 8), and no visible Hoppel minimum was found. The dust type air masses featured the highest total particle number concentration (994 cm<sup>-3</sup>) and a median coarse-mode particle number concentration of 44 cm<sup>-3</sup>.

$N_{CCN}$  at different supersaturations were compared during dust and marine periods, as shown in Figure 9. During dust periods, the aerosol particles show a great enhancement in Aitken, accumulation and coarse mode number concentrations, such that overall  $N_{CCN}$  increases distinctly.  $N_{CCN}$  at a supersaturation of 0.30% (proxy for the supersaturation encountered in clouds present during the campaign) during the strongest observed dust periods is about 2.5 times higher than that during marine periods. The fraction of sea spray aerosol, i.e. primary aerosol originating from the ocean, was determined based on three-modal fits from which the particle number concentrations in the different modes were determined (Modini, et al. 2015, Wex, et al. 2016 and Quinn, et al. 2017). The SSA mode in this study covered a size range from

~30 nm to 10  $\mu$ m with a peak at ~600 nm (Fig. 8b). More details on the method and calculations are given in Gong, et al. (2020a). During marine periods, SSA accounted for about 3.7% of CCN number concentrations at 0.30% supersaturation and for 1.1% to 4.4% of  $N_{\text{total}}$  (total particle number concentration). The hygroscopicity parameter kappa ( $\kappa$ ) averaged 0.28, suggesting the presence of OM in the particles (see Gong, et al. 2020a). Particle sizes for which  $\kappa$  was determined (i.e., the critical diameters determined during CCN analysis) were roughly 50 to 130 nm. The low value determined for  $\kappa$  is in line with the fact that sodium chloride from sea salt was below detection limit in the size segregated chemical analysis for particles in this size range (Figure 11, while insoluble EC and WSOM made up 30% of the main constituents at CVAO on average).

A thorough statistical analysis of  $N_{\text{CCN}}$  and particle hygroscopicity concerning different aerosol types is reported in Gong, et al. (2020a). Figure 10a shows the median of marine type PNSDs for cloud free conditions and cloud events at CVAO and Mt. Verde. Figure 10b shows the scatter plot of  $N_{\text{CCN}}$  at CVAO versus those on Mt. Verde. For cloud free conditions, all data points are close to the 1:1 line, indicating  $N_{\text{CCN}}$  being similar at the CVAO and Mt. Verde. However, during cloud events, larger particles, mainly accumulation- and coarse-mode particles, were activated to cloud droplet and were, consequently, removed by the inlet. Therefore, during these times,  $N_{\text{CCN}}$  at the CVAO was larger than the respective values measured on Mt. Verde. Altogether, these measurements suggested that, for cloud free conditions, the aerosol particles measured at ground level (CVAO) represent the aerosol particles at the cloud level (Mt. Verde).

### 5.1.2 Chemical composition of aerosol particles and cloud water

Between October 2<sup>nd</sup> and 9<sup>th</sup>, size-resolved aerosol particles at the CVAO and the Mt. Verde were collected simultaneously. The relative contribution of their main chemical constituents (inorganic ions, water-soluble organic matter (WSOM), and elemental carbon) at both sites is shown in Figure 11. Sulfate, ammonium, and WSOM dominated the sub-micron particles and the chemical composition aligned well with the  $\kappa$  value from the hygroscopicity measurements (Gong, et al. 2020a). The super-micron particles were mainly composed of sodium and chloride at both stations. These findings agreed well with previous studies at the CVAO (Fomba, et al. 2014; van Pinxteren, et al. 2017). From the chemical composition no indications for anthropogenic influences was found as concentrations of elemental carbon and submicron potassium were low (see Tab. S5). However, according to the dust concentrations (Table 2) and the air mass origins (Fig. S1), as well as the PNSD (Gong, et al. 2020a), the air masses during this period experienced low dust influences, that was however not visible from the main chemical constituents studied here. These findings warrant more detailed chemical investigations (like size-resolved dust measurements), a distinction between mass-based and number-based analysis as well as detailed source investigations that are currently ongoing. The absolute concentrations of the aerosol constituents were lower at the Mt. Verde compared to the CVAO site (Table S5); they were reduced by factor of seven (super-micron particle) and by a factor of four (sub-micron particles). This decrease in the aerosol mass concentrations and the differences in chemical composition between the ground-based aerosol particles and the ones at Mt. Verde, could be due to cloud effects as described in the previous section. Different types

of clouds consistently formed and disappeared during the sampling period of the aerosol particles at the Mt. Verde (more details about the frequency of the cloud events are available in the SI and in Gong, et al., 2020a) and potentially affected the aerosol chemical composition. These effects will be more thoroughly examined in further analysis.

A first insight in the cloud water composition of a connected cloud water sampling event from October 5<sup>th</sup> till October 6<sup>th</sup> is presented in Figure 12. Sea salt, sulfate and nitrate compounds dominated the chemical composition making up more than 90% of the mass of the investigated chemical constituents. These compounds were also observed in the coarse fraction of the aerosol particles, suggesting that the coarse mode particles served as efficient CCN and were efficiently transferred to the cloud water. To emphasize, these chemical analyses are based on mass, but the control of the cloud droplet number concentration comes from CCN number concentrations, including all particles with sizes of roughly above 100 nm. As larger particles contribute more to the total mass, chemical bulk measurements give no information about a direct influence of sea spray particles on cloud droplet concentrations, but it can show that the chemical composition is consistent with an (expected) oceanic influence on cloud water. No strong variations were found for the main cloud water constituents over the here reported sampling period. However, the WSOM contributed with maximal 10% to the cloud water composition and with higher contributions in the beginning and at the end of the sampling event, which warrants further analysis. The measured pH values of the cloud water samples ranged between 6.3 and 6.6 and agreed with previous literature data for marine clouds (Herrmann, et al. 2015). In summary, cloud water chemical composition seemed to be dominated by coarse mode aerosol particle composition, and the presence of inorganic marine tracers (sodium, methane-sulfonic acid) shows that material from the ocean is transported to the atmosphere where it can become immersed in cloud droplets. More detailed investigations on the chemical composition, including comparison of constituents from submicron aerosol particles and the SML with the cloud water composition are planned.

## 5.2 Lipid biomarkers in aerosol particles

Lipids from terrestrial sources such as plant waxes, soils and biomass burning have frequently been observed in the remote marine troposphere (Kawamura, et al. 2003; Simoneit, et al. 1977) and are common in marine deep-sea sediments. Within MarParCloud, marine-derived lipids were characterized in aerosol particles using lipid biomarkers in conjunction with compound specific stable carbon isotopes. Bulk aerosol filters sampled at the CVAO and PM<sub>10</sub> filter sampled at the Mt. Verde (not reported here) were extracted and the lipids were separated into functional groups for molecular and compound specific carbon isotope analysis. The content of identifiable lipids was highly variable and ranged from 4 to 140 ng m<sup>-3</sup>. These concentrations are in the typical range for marine aerosol particles (Mochida, et al. 2002; Simoneit, et al. 2004) but somewhat lower than previously reported for the tropical North East Atlantic (Marty and Saliot 1979) and 1 to 2 orders of magnitude lower than reported from urban and terrestrial rural sites (Simoneit, 2004). It mainly comprised the homologue series of n-alkanoic acids, n-alkanols and n-alkanes. Among these the c16:0 acid and the c18:0 acids were by far the dominant compounds, each contributing 20 to 40% to the total observed lipids. This result aligns well with the findings of Cochran, et al. (2016) from sea spray tank studies that connected

the transfer of lipid-like compounds to their physicochemical properties such as solubility and surface activity. Among the terpenoids, dehydroabiatic acid, 7-oxo-dehydroabiatic acid and friedelin were in some samples present in remarkable amounts. Other terpenoid biomarker in particular phytosterols were rarely detectable. The total identifiable lipid content was inversely related to dust concentration, as shown exemplary for the fatty acids (Fig. 13) with generally higher lipid concentrations in primary marine air masses. This is consistent with previous studies reporting low lipid yields in Saharan dust samples and higher yields in dust from the more vegetated Savannahs and dry tropics (Simoneit, et al. 1977). First measurements of typical stable carbon isotope ratios of the lipid fractions were  $(-28.1 \pm 2.5) \text{‰}$  for the fatty acids and  $(-27.7 \pm 0.7) \text{‰}$  for the n-alkanes suggesting a mixture of terrestrial  $c_3$  and  $c_4$ , as well as marine sources. In a separate contribution the lipid fraction of the aerosol particles in conjunction with its typical stable carbon isotope ratios will be further resolved.

### 5.3 Trace gas measurements:

Dimethyl sulphide, ozone, (oxygenated) volatile organic compounds and nitrous acid

Trace gases such as dimethyl sulfide (DMS), volatile organic compounds (VOCs) and oxygenated (O)VOCs have been measured during the campaign and the results are presented together with the meteorological data in Figure 3. The atmospheric mixing ratios of DMS during this period ranged between 68 ppt and 460 ppt with a mean of  $132 \pm 57 \text{ppt}$  ( $1\sigma$ ). These levels were higher than the annual average mixing ratio for 2015 of  $57 \pm 56 \text{ppt}$ , however this may be due to seasonably high and variable DMS levels observed during summer and autumn at Cape Verde (observed mean mixing ratios were 86 ppt and 107 ppt in September and October 2015). High DMS concentrations on September 19<sup>th</sup> – 20<sup>th</sup> occurred when air originated predominantly from the Mauritanian upwelling region (Figure S11) and on September 26<sup>th</sup> and 27<sup>th</sup>. These elevated concentrations will be linked to the phytoplankton composition reported in Sect. 5.4.1 to elucidate associations for example between DMS and coccolith (individual plates of calcium carbonate formed by *coccolithophores* phytoplankton) as observed by Marandino, et al. (2008). Ethene showed similar variability to DMS, with coincident peaks ( $> 300 \text{ppt}$  DMS and  $> 40 \text{ppt}$  ethene) on September 20<sup>th</sup>, 26<sup>th</sup> and 27<sup>th</sup>, consistent with an oceanic source for ethene. Ethene can be emitted from phytoplankton (e.g. McKay, et al. 1996) and therefore it is possible that it originated from the same biologically active regions as DMS. In the North Atlantic atmosphere, alkenes such as ethene emitted locally have been shown to exhibit diurnal behaviour with a maximum at solar noon, suggesting photochemical production in seawater (Lewis, et al. 2005). There was only weak evidence of diurnal behaviour at Cape Verde (data not shown), possibly because of the very short atmospheric lifetime of ethene (8 hours assuming  $[\text{OH}] = 4 \times 10^6 \text{ molecules cm}^{-3}$ , Vaughan, et al. 2012) in this tropical environment, which would mask photochemical production. Mean acetone and methanol mixing ratios were 782 ppt (566 ppt – 1034 ppt) and 664 ppt (551 ppt – 780 ppt), respectively. These are similar to previous measurements at Cape Verde and in the remote Atlantic at this time of year (Lewis, et al. 2005; Read, et al. 2012). Methanol and acetone showed similar broad-scale features, indicating common sources. Highest monthly methanol and acetone concentrations have often been observed in September at Cape Verde, likely as a result of increased biogenic emissions from



vegetation or plant matter decay in the Sahel region of Africa (Read, et al. 2012). In addition to biogenic sources, (O)VOCs are anthropogenically produced from fossil fuels and solvent usage in addition to having a secondary source from the oxidation of precursors such as methane. Carpenter, et al. (2010) showed that air masses originating from North America (determined via 10-day back trajectories) could impact (O)VOCs at the CVAO.

The average ozone mixing ratio during the campaign was 28.7 ppb (19.4 ppb – 37.8 ppb). Lower ozone concentrations on September 27<sup>th</sup> to 28<sup>th</sup> were associated with influence from southern hemispheric air. Ozone showed daily photochemical loss, as expected in these very low-NO<sub>x</sub> conditions, on most days with an average daily (from 9:00 UTC to 17:00 UTC) loss of 4 ppbV. It was previously shown that the photochemical loss of O<sub>3</sub> at Cape Verde and over the remote ocean is attributable to halogen oxides (29% at Cape Verde) as well as ozone photolysis (54%) (e.g. Read, et al. 2008).

Finally, a series of continuous measurements of nitrous acid (HONO) has been conducted, aiming at evaluating the possible contribution of marine surfaces to the production of HONO. The measurements indicated that HONO concentrations exhibited diurnal variations peaking at noontime. The concentrations during daytime (08:00 to 17:00, local time) and night-time (17:30 to 07:00 local time) periods were around 20 ppt and 5 ppt on average, respectively. The fact that the observed data showed higher values during the day compared to the night-time was quite surprising since HONO is expected to be photolyzed during the daytime. If confirmed, the measurements conducted here may indicate that there is an important HONO source in the area of interest. Altogether, for the trace gases, a variety of conditions were observed in this three-week period with influence from ocean-atmosphere exchange and also potential impacts of long-range transport.

## 5.4 Organic Matter and related compounds in seawater

### 5.4.1. Dissolved organic carbon and pigments

Dissolved organic carbon (DOC) comprise a complex mixtures of different compound groups and is diverse in its composition. For a first overview, DOC as a sum parameter was analyzed in all SML and bulk water samples (data in Table S4). DOC concentration varied between 1.8 and 3.2 mg L<sup>-1</sup> in the SML and 0.9 and 2.8 mg L<sup>-1</sup> in the bulk water and were in general agreement with previous studies at this location (e.g. van Pinxteren, et al. 2017). A slight enrichment in the SML with an enrichment factor (EF) = 1.66 (± 0.65) was found, i.e. SML concentrations contain roughly 70% more DOC than the corresponding bulk water. The concentrations of DOC in the bulk water together with the temporal evolution of biological indicators (pigments and the total bacterial cell numbers) and atmospheric dust concentrations are presented in Figure 14.

Phytoplankton biomass expressed in chl-*a* was very low with 0.11 µg L<sup>-1</sup> at the beginning of the campaign. Throughout the campaign two slight increases of biomass occurred, but were always followed by a biomass depression. The biomass increase occurred towards the end of the study, where pre-bloom conditions were reached with values up to 0.6 µg L<sup>-1</sup>. These are above the typical chl-*a* concentration in this area. In contrast, the abundance of chlorophyll

degradation products as phaeophorbide *a* and phaeophytin *a* decreased over time. The low concentrations of the chlorophyll degradation products suggested that only moderate grazing took place and the pigment-containing organisms were fresh and in a healthy state. The most prominent pigment throughout the campaign was zeaxanthin, suggesting *cyanobacteria* being the dominant group in this region. This is in a good agreement with the general low biomass in the waters of the Cape Verde region and in line with previous studies, reporting the dominance of *cyanobacteria* during the spring and summer seasons (Franklin, et al. 2009; Hepach, et al. 2014; Zindler, et al. 2012). However, once the biomass increased, *cyanobacteria* were repressed by *diatoms* as indicated by the relative increase of fucoxanthin. The *prymnesiophyte* and *haptophyte* marker 19-hexanoyloxyfucoxanthin and the *pelagophyte* and *haptophytes* marker 19-butanoyloxyfucoxanthin were present and also increased when *cyanobacteria* decreased. In contrast, *dinoflagellates* and *chlorophytes* were background communities as indicated by their respective markers peridinin and chlorophyll *b*. Still, *chlorophytes* were much more abundant than *dinoflagellates*. In summary, the pigment composition indicated the presence of *cyanobacteria*, *haptophytes* and *diatoms* with a change in dominating taxa (from *cyanobacteria* to *diatoms*). The increasing concentration of chl-*a* and fucoxanthin implied that a bloom started to develop within the campaign dominated by *diatoms*. The increasing concentrations could also be related to changing water masses, however, since the oceanographic setting was relatively stable, the increasing chl-*a* concentrations suggest that a local bloom had developed, that might be related to the low but permanent presence of atmospheric dust input, which needs further verification. In the course of further data analysis of the campaign, the phytoplankton groups will be related to the abundance of e.g. DMS (produced by *haptophytes*) or isoprene that has been reported to be produced by *diatoms* or *cyanobacteria* (Bonsang, et al. 2010), as well as to other VOCs. First analyses show that the DOC concentrations were not directly linked to the increasing chl-*a* concentrations, however their relation to single pigments, to the microbial abundance, to the background dust concentrations and finally to wind speed and solar radiation will be further resolved to elucidate potential biological and meteorological controls on the concentration and enrichment of DOC.

#### 5.4.2 DOC concentrations: Manual glass plate vs. MarParCat sampling

For several dates, both SML sampling devices (glass plate and catamaran) were applied in parallel to compare the efficiency of different sampling approaches: manual glass plate and the catamaran sampling (Fig. 15). As mentioned above both techniques used the same principle, i.e. the collection of the SML on a glass plate and its removal with a Teflon wiper. The deviation between both techniques concerning DOC measurements was below 25% in 17 out of 26 comparisons and therefore within the range of variability of these measurements. However, in roughly 30% of all cases the concentration differences between manual glass plate and catamaran were larger than 25%. The discrepancy for the bulk water results could be related to the slightly different bulk water sampling depths using the MarParCat bulk water sampling system (70 cm) and the manual sampling with the telescopic rods (100 cm). Although the upper meters of the ocean are assumed to be well mixed, recent studies indicate that small scale variabilities can be observed already within the first 100 cm of the ocean (Robinson, et al. 2019a).

The variations within the SML measurements could be due to the patchiness of the SML that has been tackled in previous studies (e.g. Mustafa, et al. 2017, 2018). Small-scale patchiness was recently reported as a common feature of the SML. The concentrations and compositions probably undergo more rapid changes due to a high physical and biological fluctuations. Mustafa, et al. (2017) have recently shown that the enrichment of fluorescence dissolved matter (a part of DOC) showed short time-scale variability, changing by 6% within ten-minute intervals. The processes leading to the enrichment of OM in the SML are probably much more complex than previously assumed (Mustafa, et al. 2018). In addition, the changes in DOC concentrations between the glass plate and the catamaran could result from the small variations of the sampling location as the catamaran was typically 15 to 30 m apart from the boat where the manual glass plate sampling was carried out.

Given the high complex matrix of seawater and especially the SML, the two devices applied were in quite good agreement considering DOC measurements. However, this is not necessarily the case for the single parameters like specific organic compounds and INP concentrations. Especially low concentrated constituents might be more affected by small changes in the sampling procedure and this remains to be evaluated for the various compound classes.

#### 5.4.3. Surfactants and lipids in seawater

Due to their physicochemical properties, surfactants (SAS) are enriched in the SML relative to the bulk water and form surface films (Frka, et al. 2009; Frka, et al. 2012; Wurl, et al. 2009). During the present campaign, the SAS in the dissolved fraction of the SML samples ranged from 0.037 to 0.125 mg TX-100 eqL<sup>-1</sup> (Triton-X-100 equivalents) with a mean of  $0.073 \pm 0.031$  mg TX-100 eqL<sup>-1</sup> (n = 7). For bulk water, the dissolved SAS ranged from 0.020 to 0.068 mg TX-100 eqL<sup>-1</sup> (mean  $0.051 \pm 0.019$  mg TX-100 eqL<sup>-1</sup>, n = 12). The SAS enrichment showed EFs from 1.01 to 3.12 (mean EF =  $1.76 \pm 0.74$ ) (Fig. 16) and was slightly higher than that for the DOC (mean EF =  $1.66 \pm 0.65$ ) indicating some higher surfactant activity of the overall DOM in the SML in respect to the bulk DOM. An accumulation of the total dissolved lipids (DL) in the SML was observed as well (mean EF =  $1.27 \pm 0.12$ ). Significant correlation was observed between the SAS and DL concentrations in the SML ( $r = 0.845$ , n = 7,  $p < 0.05$ ) while no correlation was detected for the bulk water samples. Total DL concentrations ranged from 82.7 to 148  $\mu\text{g L}^{-1}$  (mean  $108 \pm 20.6 \mu\text{g L}^{-1}$ , n = 8) and from 66.5 to 156  $\mu\text{g L}^{-1}$  (mean  $96.9 \pm 21.7 \mu\text{g L}^{-1}$ , n = 17) in the SML and the bulk water, respectively. In comparison to the bulk water, the SML samples were enriched with lipid degradation products e.g. free fatty acids and long chain alcohols (DegLip; mean EF =  $1.50 \pm 0.32$ ), particularly free fatty acids and long-chain alcohols (Fig. 16), pointing to their accumulation from the bulk and/or enhanced OM degradation within the SML. DegLip are strong surface-active compounds (known as dry surfactants), which play an important role in surface film establishment (Garrett 1965). The overall surfactant activity of the SML is the result of the competitive adsorption of highly surface-active lipids and other less surface-active macromolecular compounds (polysaccharides, proteins, humic material) (Ćosović and Vojvodić 1998) dominantly present in seawater. The presence of even low amounts of lipids results in their significant contribution to the overall surface-active character of the SML complex organic mixture (Frka, et al. 2012).

The observed biotic and/or abiotic lipid degradation processes within the SML will be further resolved by combining surfactant and lipid results with detailed pigment characterisation and microbial measurements. The same OM classes of the ambient aerosol particles will be investigated and compared with the seawater results. This will help to tackle the questions to what extent the seawater exhibits a source of OM on aerosol particles and which important aerosol precursors are formed or converted in surface films.

## 5.5 Seawater Untargeted Metabolomics

For a further OM characterization of SML and bulk seawater an ambient MS-based metabolomics method using direct analysis in real time quadrupole time-of-flight mass spectrometry (DART-QTOF-MS) coupled to multivariate statistical analysis was designed (Zabalegui, et al. 2019). A strength of a DART ionization source is that it is less affected by high salt levels than an electrospray ionization source (Kaylor, et al. 2014), allowing the analysis of seawater samples without observing salt deposition at the mass spectrometer inlet, or having additional limitations such as low ionization efficiency due to ion suppression (Tang, et al. 2004). Based on these advantages, paired SML/bulk water samples were analyzed without the need of desalinization by means of a transmission mode (TM) DART-QTOF-MS-based analytical method that was optimized to detect lipophilic compounds (Zabalegui, et al. 2019). An untargeted metabolomics approach, addressed as seaomics, was implemented for sample analysis. SML samples were successfully discriminated from ULW samples based on a panel of ionic species extracted using chemometric tools. The coupling of the DART ion source to high-resolution instrumentation allowed generating elemental formulae for unknown species and tandem MS capability contributed to the identification process. Tentative identification of discriminant species and the analysis of relative compound abundance changes among sample classes (SML and bulk water) suggested that fatty alcohols, halogenated compounds, and oxygenated boron-containing organic compounds may be involved in water-air transfer processes and in photochemical reactions at the water-air interface of the ocean (Zabalegui, et al., 2019). These identifications (e.g. fatty alcohols) agree well with the abundance of lipids in the respective samples. In this context, TM-DART-HR-MS appears to be an attractive strategy to investigate the seawater OM composition without requiring a desalinization step.

## 5.6 Ocean surface mercury associated with organic matter

Several elements are known to accumulate in the SML. In the case of Hg, the air-sea exchange plays an important role in its global biogeochemical cycle and hence processing of Hg in the SML is of particular interest. Once deposited from the atmosphere to the ocean surface via dry and wet deposition, the divalent mercury ( $\text{Hg}^{\text{II}}$ ) can be transported to the deeper ocean by absorbing on sinking OM particles, followed by methylation. On the other hand,  $\text{Hg}^{\text{II}}$  complexed by DOM in the ocean surface can be photo-reduced to  $\text{Hg}^0$ , which evades into the gas phase. In both processes, OM, dissolved or particulate, is the dominant factor influencing the complexation and adsorption of Hg. To explore the Hg behaviour with OM, the concentrations of total and dissolved Hg as well as the methylmercury (MeHg) were determined in the SML and in the bulk water using the US EPA method 1631 and 1630, as described in Li,

et al. (2018). Figure 17 shows the concentrations of Hg and MeHg associated with DOC and POC in the SML and bulk water. The total Hg concentrations were 3.6 and 4.6 ng L<sup>-1</sup> in the SML but 3.1 and 1.3 ng L<sup>-1</sup> in the bulk water on September 26<sup>th</sup> and 27<sup>th</sup>, respectively, which were significantly enriched compared to data reported for the deep North Atlantic ( $0.18 \pm 0.06$  ng L<sup>-1</sup>) (Bowman, et al. 2015). Atmospheric deposition and more OM adsorbing Hg are supposed to result in the high total Hg at ocean surface. The dissolved Hg concentrations were enriched by 1.7 and 2.7 times in the SML relative to bulk water, consistent with the enrichments of DOC by a factor of 1.4 and 1.9 on September 26<sup>th</sup> and 27<sup>th</sup>, respectively. Particulate Hg in the SML accounted for only 6% of the total Hg concentration on September 26<sup>th</sup> but 55% on September 27<sup>th</sup>, in contrast to their similar fractions of ~35% in the bulk water on both days. According to the back trajectories (Figure SII) stronger contribution of African continental sources (e.g., dust) was observed on September 27<sup>th</sup> that might be linked to in the higher concentrations of particulate Hg in the SML on this day. The water-particle partition coefficients (logK<sub>d</sub>) for Hg in the SML (6.8 L kg<sup>-1</sup>) and bulk water (7.0 L kg<sup>-1</sup>) were similar regarding POC as the sorbent, but one unit higher than the reported logK<sub>d</sub> values in seawater (4.9–6.1 L kg<sup>-1</sup>) (Batrakova, et al. 2014). MeHg made up lower proportions of the total Hg concentrations in the SML (2.0%) than bulk water (3.4% and 4.2%), probably due to photo-degradation or evaporation of MeHg at the surface water (Blum, et al. 2013). From the first results, it seems that the SML is the major compartment where Hg associated with OM is enriched, while MeHg is more likely concentrated in deeper water. The limited data underlines the importance of SML in Hg enrichment dependent on OM, which needs further studies to understand the air-sea exchange of Hg.

## 5.7 Ocean-atmosphere transfer of organic matter and related compounds

### 5.7.1 Dissolved organic matter classes

To investigate the complexity of dissolved organic matter (DOM) compound groups, liquid chromatography, organic carbon detection, organic nitrogen detection, UV absorbance detection (LC-OCD-OND-UVI; Huber, et al. 2011), more details in the SI) was applied to identify five different DOM classes. These classes include (i) biopolymers (likely hydrophobic, high molecular weight  $\gg 20,000$  g mol<sup>-1</sup>, largely non-UV absorbing extracellular polymers); (ii) “humic substances” (higher molecular weight  $\sim 1000$  g mol<sup>-1</sup>, UV absorbing); (iii) “building blocks” (lower molecular weight 300-500 g mol<sup>-1</sup>, UV absorbing humics); (iv) low molecular weight “neutrals” (350 g mol<sup>-1</sup>, hydro- or amphiphilic, non-UV absorbing); and (v) low molecular weight acids (350 g mol<sup>-1</sup>). These measurements were performed from a first set of samples from all the ambient marine compartments. That comprised three SML samples and the respective bulk water, three aerosol particle filter samples (PM<sub>10</sub>) from the CVAO and two from the Mt. Verde and finally four cloud water samples collected during the campaign. The DOM concentrations were derived from the sum of the individual compound groups (in  $\mu\text{g L}^{-1}$ ) and the EFs for DOM varied from 0.83 to 1.46, which agreed very well to the DOC measurements described in Sect. 5.4.1. A clear compound group that drove this change could not be identified so far. Figure 18 shows the relative composition of the measured DOM groups

in the distinct marine compartments as an average of the single measurements (concentrations are listed in Table S6). In the SML and in the bulk water, the low molecular weight neutral (LMWN) compounds generally dominated the overall DOM pool (37 to 51%). Humic-like substances, building blocks, and biopolymeric substances contributed 22 to 32%, 16 to 23%, and 6 to 12%, respectively. Interestingly, low molecular weight acids (LMWA) were predominantly observed in the SML (2 to 8%) with only one bulk water time point showing any traces of LMWA. This finding agreed well with the presence of free amino acids (FAA) in the SML; e.g. the sample with highest LMWA concentration showed highest FAA concentration (more details in Triesch, et al., 2020). Further interconnections between the DOM fractions and single organic markers and groups (e.g. sugars, lipids and surfactants, see Sect. 5.4.3) are subject to ongoing work. In contrast, aerosol particles were dominated by building blocks (46 to 66%) and LMWN (34 to 51%) compound groups, with a minor contribution of LMWA (> 6%). Interestingly, higher molecular weight compounds of humic-like substances and biopolymers were not observed. Cloud water samples had a variable contribution of substances in the DOM pool with humic substances and building blocks generally dominating (27 to 63% and 16 to 29%, respectively) and lower contributions of biopolymers (2 to 4%) and LMW acids and neutrals (1 to 20% and 18 to 34%) observed. The first measurements indicate that the composition of the cloud waters is more consistent with the SML and bulk water and different from the aerosol particle's composition. This observation suggests a two-stage process where selective aerolisation mobilises lower molecular weight humics (building blocks) into the aerosol particle phase, which may aggregate in cloud waters to form larger humic substances. These preliminary observations need to be further studied with a larger set of samples and could relate to either different solubilities of the diverse OM groups in water, the interaction between DOM and particulate OM (POM), including TEP formation, as well as indicating the different OM sources and transfer pathways. In addition, the chemical conditions, like pH-value or redox, could preferentially preserve or mobilise DOM fractions within the different types of marine waters. In summary, all investigated compartments showed a dominance of LMW neutrals and building blocks, which suggests a link between the seawater, aerosol particles and cloud water at this location and possible transfer processes. Furthermore, the presence of humic-like substances and biopolymers and partly LMWA in the seawater and cloud water, but not in the aerosol particles, suggests an additional source or formation pathway of these compounds. For a comprehensive picture; however, additional samples need to be analysed and interpreted in future work. It is worth noting that the result presented here are the first for such a diverse set of marine samples and demonstrate the potential usefulness in identifying changes in the flux of DOM between marine compartments.

A more comprehensive set of samples was analysed for FAA on molecular level as important organic nitrogen- containing compounds (Triesch, et al. 2020). The FAA, likely resulting from the ocean, were strongly enriched in the submicron aerosol particles ( $EF_{aer (FAA)} 10^2$ - $10^4$ ) and to a lower extent enriched in the supermicron aerosol particles ( $EF_{aer (FAA)} 10^1$ ). The cloud water contained the FAA in significantly higher concentrations compared to their respective seawater concentrations and they were enriched by a factor of  $4 \cdot 10^3$  compared to the SML. These high concentrations cannot be currently explained and possible sources such as biogenic formation or enzymatic degradation of proteins, selective enrichment processes or pH dependent chemical reactions are subject to future work. The presence of high concentrations of FAA in submicron

aerosol particles and in cloud water together with the presence of inorganic marine tracers (sodium, methane-sulfonic acid) point to an influence of oceanic sources on the local clouds (Triesch, et al. 2020).

#### 5.7.2. Transparent exopolymer particles: field and tank measurements

As part of the OM pool, gel particles, such as positive buoyant transparent exopolymer particles (TEP), formed by the aggregation of precursor material released by plankton and bacteria, accumulate at the sea surface. The coastal water in Cape Verde has shown to be oligotrophic with low chl-*a* abundance during the campaign (more details in Sect. 4.2.1). Based on previous work (Wurl, et al. 2011) it is expected that surfactant enrichment, which is closely linked to TEP enrichment, in the SML would be higher in oligotrophic waters but have a lower absolute concentration. This compliments the here achieved findings, which showed low TEP abundance in these nearshore waters; the abundance in the bulk water ranged from 37 to 144  $\mu\text{gXeqL}^{-1}$  (xanthan gum equivalents) and 99 to 337  $\mu\text{gXeqL}^{-1}$  in the SML. However while the SML layer was relatively thin ( $\sim 125 \mu\text{m}$ ) there was positive enrichment of TEP in the SML with an average EF of  $2.0 \pm 0.8$  (Fig. 19a). The enrichment factor for TEP was furthermore very similar to surfactant enrichment (Sect. 5.4.3).

In addition to the field samples, a tank experiment was run simultaneously using the same source of water. Breaking waves were produced via a waterfall system (details in the SI) and samples were collected from the SML and bulk water after a wave simulation time of 3 h. TEP abundance in the tank experiment matched the field samples at the beginning but quickly increased to 1670  $\mu\text{gXeqL}^{-1}$  in the SML with an EF of 13.2 after the first day of bubbling (Fig. 19b). The enrichment of TEP in the SML during the tank experiment had a cyclical increase and decrease pattern. Interestingly, in the field samples, even on days with moderate wind speeds ( $> 5 \text{ m s}^{-1}$ ) and occasional presence of white caps, TEP abundance or enrichment didn't increase, but it did increase substantially due to the waves in the tank experiment. This suggests that the simulated waves are very effective in enriching TEP in the SML and TEP were more prone to transport or formation by bubbling than by other physical forces, confirming bubble-induced TEP enrichment in recent artificial set-ups (Robinson, et al. 2019b). Besides the detailed investigations of TEP in seawater, first analyses show a clear abundance of TEP in the aerosol particles and in cloud water. Interestingly, a major part of TEP seems to be located in the sub-micron aerosol particles (Fig. 20). Sub-micron aerosol particles represent the longest living aerosol particle fraction and have a high probability to reach cloud level and to contribute to cloud formation and the occurrence of TEP in cloud water, which strongly underlines a possible vertical transport of these ocean-derived compounds.

#### 5.7.3 Bacterial abundance in distinct marine samples: field and tank measurements

The OM concentration and composition is closely linked with biological and especially microbial processes within the water column. Throughout the sampling period, the temporal variability of bacterial abundance in SML and bulk water was studied (data listed in Tab. SI4). Mean absolute cell numbers were  $1.3 \pm 0.2 \times 10^6 \text{ cells mL}^{-1}$  and  $1.2 \pm 0.1 \times 10^6 \text{ cells mL}^{-1}$  for SML and bulk water, respectively (Fig. 21a, all data listed in Table S4). While comparable

SML data is lacking for this oceanic province, our data is in range with previous reports for surface water of subtropical regions (Zäncker, et al. 2018). A strong day-to-day variability of absolute cell numbers was partly observed (e.g. the decline between September 25<sup>th</sup> and 26<sup>th</sup>), but all these changes were found in both, in the SML and bulk water (Fig. 21a). This indicates that the upper water column of the investigated area experienced strong changes, e.g. by inflow of different water masses and/or altered meteorological forcing. As for the absolute abundance, the enrichment of bacterial cells in the SML was also changing throughout the sampling period, with EFs ranging from 0.88 to 1.21 (Fig. 21b). A detailed investigation of physical factors (e.g. wind speed, solar radiation) driving OM concentration and bacterial abundance in the SML and bulk water will be performed to explain the short-term variability observed. Further ongoing investigations aim to determine the bacterial community composition by 16S sequencing approaches. The resulting comparison of water and aerosol particle samples will help to better understand the specificity of the respective communities and to gain insights into the metabolic potential of abundant bacterial taxa in aerosol particles. During the tank experiment, cell numbers ranged between 0.6 and 2.0 x 10<sup>6</sup> cells mL<sup>-1</sup> (Fig 21c); the only exception being observed on October 3<sup>rd</sup>, when cell numbers in the SML reached 4.9 x 10<sup>6</sup> cells mL<sup>-1</sup>. Both, in the SML and bulk water, bacterial cell numbers decreased during the experiment, which may be attributed to limiting substrate supply in the closed system. Interestingly, SML cell numbers always exceeded those from the bulk water (Fig. 21d), although the SML was permanently disturbed by bursting bubbles throughout the entire experiment. This seems to be in line with the high TEP concentrations observed for the SML in the tank (Sect. 5.7.2). A recent study showed that bubbles are very effective transport vectors for bacteria into the SML, even within minutes after disruption (Robinson, et al. 2019a). The decline of SML bacterial cell numbers (both absolute and relative) during the experiment may be partly caused by permanent bacterial export into the air due to bubble bursting. Although this conclusion remains speculative as cell abundances of air samples are not available for our study, previous studies have shown that aerolisation of cells may be quite substantial (Rastelli, et al. 2017). Bacterial abundance in cloud water samples taken at the Mt. Verde during the MarParCloud campaign ranged between 0.4 and 1.5 x 10<sup>5</sup> cells mL<sup>-1</sup> (Fig 21a). Although only few samples are available, these numbers agree well with previous reports (e.g. Hu, et al. 2018).

#### 5.7.4 Ice-nucleating particles

The properties of ice-nucleating particles (INP) in the SML and in bulk seawater, airborne in the marine boundary layer as well as the contribution of sea spray aerosol particles to the INP population in clouds were examined during the campaign. The numbers of INP ( $N_{\text{INP}}$ ) at -12, -15 and -18 °C in the PM<sub>10</sub> samples from the CVAO varied from 0.000318 to 0.0232, 0.00580 to 0.0533 and 0.0279 to 0.100 std L<sup>-1</sup>, respectively. INP measurements in the ocean water showed that enrichment as well as depletion of INP in SML compared to the bulk seawater occurred and enrichment factors EF varied from 0.36 to 11.40 and 0.36 to 7.11 at -15 and -20 °C, respectively (details in Gong, et al. 2020b).  $N_{\text{INP}}$  in PM<sub>1</sub> were generally lower than those in PM<sub>10</sub> and, furthermore,  $N_{\text{INP}}$  in PM<sub>1</sub> at CVAO did not show elevated  $N_{\text{INP}}$  at warm temperatures, in contrast to  $N_{\text{INP}}$  in PM<sub>10</sub>. These elevated concentrations in PM<sub>10</sub> decreased upon heating the samples, clearly pointing to a biogenic origin of these INP. Therefore, ice active particles in general and biologically active INP in particular were mainly present in the supermicron particles, and particles in this size range are not suggested to undergo strong



enrichment of OM during oceanic transfer via bubble bursting (Quinn, et al. 2015 and refs. therein).  $N_{\text{INP}}$  (per volume of water) of the cloud water was roughly similar or slightly above that of the SML (Fig. 22), while concentrations of sea salt were clearly lower in cloud water compared to ocean water. Assuming sea salt and the INP to be similarly distributed in both sea and cloud water (i.e., assuming that INP would not be enriched or altered during the production of supermicron sea spray particles),  $N_{\text{INP}}$  is at least four orders of magnitude higher than what would be expected if all airborne INP would originate from sea spray. These first measurements indicate that other sources besides the ocean, such as mineral dust or other long ranged transported particles, contributed to the local INP concentration (details in Gong, et al. 2020b).

## 5.8 The SML potential to form secondary organic aerosol particles

To explore if marine air masses exhibit a significant potential to form SOA, a Gothenburg Potential Aerosol Mass Reactor (Go:PAM) was used, that relies on providing a highly oxidizing medium reproducing atmospheric oxidation on timescales ranging from a day to several days in much shorter timescales (i.e., a few minutes). During the campaign, outdoor air and gases produced from a photochemical reactor was flowed through the Go:PAM (Watne, et al. 2018), and exposed to high concentrations of OH radicals formed via the photolysis of ozone and subsequent reaction with water vapour (Zabalegui, et al. 2019 and refs. therein). The aerosol particles produced at the outlet of the OFR were monitored by means of an SMPS i.e., only size distribution and number concentration were monitored. A subset of the collected SML samples were investigated within the Go:PAM and showed that particles were formed when these samples were exposed to actinic irradiation. These particles resulted most likely from the reaction of ozone with gaseous products that were released from the SML as shown recently (Ciuraru et al. 2015) and the results obtained here are explained in more detail in a separate paper by Zabalegui, et al. (2019). Zabalegui, et al. (2019) also pointed out the clear need to have concentrated SML samples (achieved here by centrifugation of the authentic samples) as a prerequisite of aerosol formation which is pointing toward a specific “organic-rich” chemistry. Outdoor air masses were also investigated for their secondary mass production potential. During the campaign, northeast wind dominated i.e., predominantly clean marine air masses were collected. Those did not show any distinct diurnal difference for their secondary aerosols formation potential. However, a significant decrease of secondary organic mass was observed on September 30<sup>th</sup>, which will be analysed in more detail.

## 5.9 The way to advanced modelling

### 5.9.1 Modelling of cloud formation and vertical transfer of ocean-derived compounds

Besides for the assessment of the cloud types (Sect. 4.1.4) it is intended to apply modelling approaches to simulate the occurrence and formation of clouds at the Mt. Verde site including advection, wind, effective transport and vertical transport. This will allow to model chemical

1082 multiphase processes under the given physical conditions. Furthermore, the potential vertical  
1083 transfer of ocean-derived compounds to cloud level will be modelled. To this end, the  
1084 meteorological model data by the Consortium for Small-scale Modelling-Multiscale Chemistry  
1085 Aerosol Transport Model (COSMO) (Baldauf, et al. 2011) will be used to define a vertical  
1086 meteorological data field. COSMO is a compressible and non-hydrostatic meteorological model  
1087 and the current weather forecast model of the German Weather Service. The numerical  
1088 calculation of the weather forecast is achieved by using information of the underlying  
1089 orography and land-use, as well as boundary data of all meteorological fields. The needed  
1090 boundary and initial fields will be derived from re-analysis-data and/or input parameters from  
1091 coarse-resolved weather model data. First simulations show that clouds frequently occurred at  
1092 heights of 700 m to 800 m (Fig. 23) in strong agreement with the observations. This  
1093 demonstrates that clouds at Mt. Verde can form solely due to the local meteorological  
1094 conditions and not necessarily due to orographic effects. Accordingly, the combination of the  
1095 ground-based aerosol measurements and the in-cloud measurements at the top of Mt. Verde  
1096 will be applied to examine important chemical transformations of marine aerosol particles  
1097 during horizontal and vertical transport within the MBL. From the here presented  
1098 measurements, a transfer of ocean-derived compounds to cloud level is very likely. To link and  
1099 understand both measurement sites in terms of important multiphase chemical pathways, more  
1100 detailed modelling studies regarding the multiphase chemistry within the MBL combined with  
1101 the impact of the horizontal and vertical transport on the aerosol and cloud droplet composition  
1102 will be performed by using different model approaches (more details in the SI). In general, both  
1103 projected model studies will focus on (i) determining the oxidation pathways of key marine  
1104 organics and (ii) the evolution of aerosol and cloud droplet acidity by chemical aging of the sea  
1105 spray aerosol. The model results will finally be linked to the measurements and compared with  
1106 the measured aerosol particle concentration and composition and the in-cloud measurements at  
1107 the top of the Mt. Verde.

#### 1108 1109 5.9.2 Development of a new organic matter emission source function 1110

1111 The link of ocean biota with marine derived organic aerosol particles has been recognized (e.g.  
1112 O'Dowd, et al. 2004). However, the usage of a single parameter like chl-*a* as indicator for  
1113 biological processes and its implementation in oceanic emission parameterisations is  
1114 insufficient as it does not reflect pelagic community structure and associated ecosystem  
1115 functions. It is strongly suggested to incorporate process-based models for marine biota and  
1116 OM rather than relying on simple parameterizations (Burrows, et al. 2014). A major challenge  
1117 is the high level of complexity of the OM in marine aerosol particles as well as in the bulk water  
1118 and the SML as potential sources. Within MarParCloud modelling, a new source function for  
1119 the oceanic emission of OM will be developed as a combination of the sea spray source function  
1120 of Salter, et al. (2015) and a new scheme for the enrichment of OM within the emitted sea spray  
1121 droplets. This new scheme will be based on the Langmuir-Adsorption of organic species at the  
1122 bubble films. The oceanic emissions will be parameterised following Burrows, et al. (2014),  
1123 where the OM is partitioned into several classes based on their physicochemical properties. The  
1124 measured concentration of the species in the ocean surface water and the SML (e.g. lipids,

carbohydrates and proteins) will be included in the parameterisation scheme. Finally, size class resolved enrichment functions of the organic species groups within the jet droplets will be included in the new scheme. The new emission scheme will be implemented to the aerosol chemical transport model MUSCAT (Multi-Scale Chemistry Aerosol Transport). MUSCAT is able to treat atmospheric transport and chemical transformation of different trace gases as well as particle properties. In addition to advection and turbulent diffusion, sedimentation, dry and wet deposition through the transport processes are considered, too. MUSCAT is coupled with COSMO that provides MUSCAT with all needed meteorological fields (Wolke, et al. 2004). The multiscale model system COSMO-MUSCAT will be used further to validate the emission scheme of OM via small and meso-scale simulations.

## 6 Summary and Conclusion

Within MarParCloud and with substantial contributions from MARSU, an interdisciplinary campaign in the remote tropical ocean took place in autumn 2017. This paper delivers a description of the measurement objectives including first results and provides an overview for upcoming detailed investigations.

Typical for the measurement site, the wind direction was almost constant from the north-easterly sector (30 – 60 °). The analysis of the air masses and dust measurements showed that dust input was generally low, however, partly moderate dust influences were observed. Based on very similar particle number size distributions at the ground and mountain sites, it was found that the MBL was generally well mixed with a few exceptions and the MBL height ranged from 600 to 1100 m. Differences in the PNSDs arose from the dust influences. The chemical composition of the aerosol particles and the cloud water indicated that the coarse mode particles served as efficient CCN. Furthermore, lipid biomarkers were present in the aerosol particles in typical concentrations of marine background conditions and anti-correlated with dust concentrations.

From the satellite cloud observations and supporting modelling studies, it was suggested that the majority of low-level clouds observed over the islands formed over the ocean and could form solely due to the local meteorological conditions. Therefore, ocean-derived aerosol particles, e.g. sea salt and marine biogenic compounds, might be expected to have some influence on cloud formation. The presence of compounds of marine origin in cloud water samples (e.g. sodium, methane-sulfonic acid, FAA, TEP, distinct DOM classes) at the Mt. Verde supported an ocean-cloud link. The transfer of ocean-derived compounds, e.g. TEP, from the ocean to the atmosphere was confirmed in controlled tank measurements. The DOM composition of the cloud waters was consistent with the SML and bulk water composition and partly different from the aerosol particle's composition. However, based on the findings that (biologically active) INP were mainly present in supermicron aerosol particles that are not suggested to undergo strong enrichment during ocean-atmosphere transfer as well as the INP abundance in seawater and in cloud water, other non-marine sources most likely significantly contributed to the local INP concentration.

The bulk water and SML analysis comprised a wide spectrum of biological and chemical constituents and consistently showed enrichment in the SML. Especially for the complex OM characterisation, some of the methods presented here have been used for the first time for such

diverse sets of marine samples (e.g. DOM fractioning, metabolome studies with DART-HR-MS). Chl-*a* concentrations were typical for oligotrophic regions such as Cape Verde. The pigment composition indicated the presence of cyanobacteria, haptophytes and diatoms with a temporal change in dominating groups (from cyanobacteria to diatoms) suggests the start of the diatom bloom. Possible linkages to the background dust input will be resolved. Concentrations and SML enrichment of DOC were comparable to previous campaigns at the same location. For the DOC as a sum parameter, the two applied sampling devices (manual and catamaran glass plate) provided very similar results. However, if this is also true for the various compound classes remains to be evaluated. Lipids established an important organic compound group in the SML and a selective enrichment of surface-active lipid classes within the SML was found. Observed enrichments also indicated on biotic and/or abiotic lipid degradation processing within the SML. The temporal variability of bacterial abundance was studied and provided first co-located SML and cloud water measurements for this particular oceanic province. Whether the strong day-to-day variability of absolute cell numbers in the SML and bulk water derived from changing water bodies and/or altered meteorological forcing needs to be further elucidated. Regarding mercury species, results indicate that the SML is the major compartment where (dissolved plus particulate) Hg were enriched, while MeHg was more likely concentrated in the bulk water, underlining the importance of SML in Hg enrichment dependent on OM. For the trace gases, a variety of conditions were observed showing influences from ocean as well as long-range transport of pollutants. High amounts of sunlight and high humidity in this tropical region are key in ensuring that primary and secondary pollutants (e.g. ethene and ozone) are removed effectively, however additional processes need to be regarded. Measurements within the marine boundary layer and at the ocean-atmosphere interface, such as those shown here, are essential to understand the various roles of these short-lived trace gases with respect to atmospheric variability and wider climatic changes. The Cape Verde islands are likely a source region for HONO and the potential of the SML to form secondary particles needs to be further elucidated.

This paper shows the proof of concept of the connection between organic matter emission from the ocean to the atmosphere and up to the cloud level. We clearly see a link between the ocean and the atmosphere as (i) the particles measured at the surface are well mixed within the marine boundary layer up to cloud level and (ii) ocean-derived compounds can be found in the (submicron) aerosol particles at mountain height and in the cloud water. The organic measurements will be implemented in a new source function for the oceanic emission of OM. From a perspective of particle number concentrations, the SSA (i.e. primary marine aerosol) contributions to both CCN and INP are, however, rather limited. Furthermore, CCN and INP population are much lower during clean marine periods than during dust periods. These findings underline that further in depth studies differentiating between submicron and supermicron particles as well as between aerosol number and aerosol mass are strongly required. A clear description of any potential transfer patterns and the quantification of additional important sources must await the complete analysis of all the samples collected. The main current objective is to finalize all measurements and interconnect the meteorological, physical, biological and chemical parameters also to be implemented as key variables in model runs. Finally, we aim to achieve a comprehensive picture of the seawater and atmospheric conditions

1210 for the period of the campaign to elucidate the abundance, cycling and transfer mechanisms of  
1211 organic matter between the marine environmental compartments.  
1212

1213 *Data availability. The data are available through the World Data Centre PANGAEA*  
1214 *(<https://www.pangaea.de/>) under the following links:*  
1215 *<https://doi.pangaea.de/10.1594/PANGAEA.910693>, van Pinxteren, M (2020): (Table 2)*  
1216 *Concentrations of pigments, DOC and microbial parameters in the Sea surface Microlayer*  
1217 *(SML) and bulk water during MarParCloud at Cape Verde islands*  
1218 *<https://doi.pangaea.de/10.1594/PANGAEA.910692>, van Pinxteren, M (2020): (Table 1)*  
1219 *Seawater chemistry during MarParCloud at Cape Verde islands*

1220

1221 *Special issue statement.*

1222

1223 *Appendix A1: List of acronyms*

1224

1225 APS – Aerodynamic particle sizer  
1226 CCN – Cloud condensation nuclei  
1227 CCNC – Cloud condensation nuclei counter  
1228 CDOM – Chromophoric dissolved organic matter  
1229 chl-*a* – Chlorophyll-*a*  
1230 COSMO – Consortium for small-scale modelling-multiscale chemistry aerosol transport model  
1231 CTD – Conductivity-temperature-depth sensor  
1232 CVAO – Cape Verde atmospheric observatory  
1233 CVFZ – Cape Verde frontal zone  
1234 CVOO – Cape Verde ocean observatory  
1235 DART-QTOF-MS – Direct analysis in real time quadrupole time-of-flight mass spectrometry  
1236 DegLip – Lipid degradation products  
1237 DL – Dissolved lipids  
1238 DMS – Dimethyl sulfide  
1239 DOC – Dissolved organic carbon  
1240 DOM – Dissolved organic matter  
1241 ECWMF – European center for medium-range weather forecasts  
1242 EBUS – Eastern-boundary upwelling system  
1243 EF – Enrichment factor (analyte concentration in the SML in respect to the analyte concentration in  
1244 the bulk water)  
1245 ETNA – Eastern tropical north Atlantic  
1246 FAA – Free amino acids  
1247 Go:PAM – Gothenburg potential aerosol mass reactor  
1248 HONO – Nitrous acid  
1249 HYSPLIT – Hybrid single-particle lagrangian integrated trajectory  
1250 INP – Ice nucleating particle(s)  
1251 LOPAP – Long path absorption photometer  
1252 LMWA – Low molecular weight acids  
1253 LMWN – Low molecular weight neutrals

1254 MarParCat – Catamaran with glass plates for SML sampling  
 1255 MarParCloud – Marine biological production, organic aerosol Particles and marine Clouds: a process  
 1256 chain  
 1257 MARSU – MARine atmospheric Science Un unravelled  
 1258 MBL – Marine boundary layer  
 1259 MeHg – Methylmercury (MeHg)  
 1260 Mt. Verde – Highest point of the São Vicente island (744 m)  
 1261 MUSCAT – Multi-scale chemistry aerosol transport  
 1262 NACW – North Atlantic central water masses  
 1263 N<sub>CCN</sub> – Cloud condensation nuclei number concentration  
 1264 N<sub>INP</sub> – Numbers of INP  
 1265 OH – Hydroxyl radical  
 1266 OFR – Oxidation flow reactor  
 1267 OM – Organic matter  
 1268 OMZ – Oxygen minimum zone  
 1269 (O)VOC – (Oxygenated) volatile organic compounds  
 1270 PM<sub>1</sub> – Particulate matter (aerosol particles) smaller than 1 µm  
 1271 PM<sub>10</sub> – Particulate matter (aerosol particles) smaller than 10 µm  
 1272 PNSDs – Particle number size distributions  
 1273 POM – Particulate organic matter  
 1274 PVM – Particle volume monitor  
 1275 SACW – South Atlantic central water mass  
 1276 SAL –Saharan air layer  
 1277 SAS – Surface-active substances/surfactants  
 1278 SML – Sea surface microlayer  
 1279 SOA – Secondary organic aerosol  
 1280 SSA – Sea spray aerosol  
 1281 SMPS – Scanning mobility particle sizer  
 1282 TEP – Transparent exopolymer particles  
 1283 TSP – Total suspended particle  
 1284 TM – Transmission mode  
 1285 WSOM – Water-soluble organic matter  
 1286  
 1287

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## Caption of Figures:

Figure 1: Illustration of the different sampling sites during the campaign.

Figure 2: The residence time of the air masses calculated from 96 h (4 days) back trajectories in ensemble mode.

Figure 3: Time-series of air temperature, wind direction, wind speed, ethene, dimethyl sulfide, methanol, acetone, ethane and ozone.

Fig. 4: The measured temperature and humidity profiles at the CVAO on September 17<sup>th</sup> using a 16 m<sup>3</sup> Helikite. From the measurements the boundary layer height was determined (here: ~ 850 m). This Figure was closely adopted from Fig. S3 in Gong, et al. 2020a.

Fig. 5: Time series and vertical profiles of the MBL height simulated with COSMO-MUSCAT on the N2 domain and measured with the helikite.

Fig. 6: (a) ECMWF wind forecasts and (b – f) cloud scenery derived from Meteosat SEVIRI observations for the Cape Verde Islands region using a , a state-of-the-art cloud classification algorithm (the cloud retrieval software of the Satellite Application Facility on support to Nowcasting and Very Short-Range Forecasting version 2016 (a) Average horizontal winds have been derived from a 2.5 x 2.5 degree (250 km x 250 km) domain centered on Cape Verde Islands and are plotted for each pressure level from 1000 to 250 hPa against time using arrows. The arrow colours refer to the pressure level. Gray vertical lines mark the times of the subsequently shown cloud scenes. (b – f) Different cloud scenes observed with Meteosat SEVIRI for a domain of size 1500 km x 1000 km centered on the Cape Verde Islands. The shadings refer to different cloud types derived with the cloud classification algorithm of the NWC-SAF v2016.

Fig. 7: (a) The mission track of a SV2 Wave Glider as color-coded fluorescence data derived from a Wetlabs FLNTURT sensor installed on the vehicle (data in arbitrary units) (b). Chlorophyll-a surface ocean concentrations derived from the MODIS-Terra satellite (mean concentration for October 2017). Please note that logarithmic values are shown.

Fig. 8: (a) The median of PNSDs of marine type (blue) and dust type (black), with a linear and (b) a logarithmic scaling on the y axis, measured from September 21<sup>st</sup> 03:30:00 to September 21<sup>st</sup> 20:00:00 (UTC) and from September 28<sup>th</sup> 09:30:00 to September 30<sup>th</sup> 18:30:00 (UTC). Fig. (b) includes the aerosol size modes fitting with the method also used in Modini, et al., 2015. The error bar indicates the range between 25% and 75% percentiles. This Figure was closely adopted from Fig. 5 in Gong, et al. 2020a.

Fig. 9: N<sub>CCN</sub> as a function of supersaturation during dust (black line) and marine (blue line) periods. The shadows show the 25% to 75% percentiles.

Fig. 10: (a) The median of PNSDs for marine type particle during cloud events and non-cloud events at CVAO and MV; (b) Scatter plots of N<sub>CCN</sub> at CVAO against those at MV at supersaturation of ~ 0.30%. Slope and R<sup>2</sup> are given. This Figure was closely adopted from Fig. 9 in Gong, et al. 2020a.

Fig.11: (a) Percentage aerosol composition at the CVAO (mean value of 5 blocks) and (b) at the Mt. Verde (mean value of 6 blocks) between October 2<sup>nd</sup> and October 9<sup>th</sup>. Aerosol particles were samples in five different size stages from 0.05-0.14 µm (stage 1), 0.14-0.42 µm (stage 2), 0.42-1.2µm (stage 3), 1.2-3.5 µm (stage 4) and 3.5-10 µm (stage 5).

1782 Fig. 12: Cloud water composition for one connected sampling event between October 5<sup>th</sup> 7:45  
 1783 (start, local time, UTC-1) and October 6<sup>th</sup>, 08:45 (start, local time, UTC-1).

1784 Fig. 13: Straight chain unsaturated fatty acids ( $\Sigma(c12 \text{ to } c33)$ ) concentrations on the PM<sub>10</sub>  
 1785 aerosol particles versus atmospheric dust concentrations.

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1787 Fig. 14: Temporal evolution of DOC concentrations in the bulk water samples along the  
 1788 campaign together with the main pigment concentrations (chl-*a*, zeaxanthin and fucoxanthin)  
 1789 concentrations and total cell numbers measured in the bulk water and dust concentrations in  
 1790 the atmosphere (yellow background area).

1791 Fig. 15: (a) Concentrations of DOC in the SML and (b) and in the bulk water sampled for  
 1792 paired glass plate (GP) and the MarParCat (cat) sampling events.

1793 Fig 16: Average enrichments (EF) of surfactants (SAS) and dissolved lipid classes indicating  
 1794 organic matter degradation (DegLip).

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1796 Fig. 17: Concentrations of Hg, MeHg, DOC and POC in the sea surface microlayer (SML)  
 1797 and bulk water sampled on September 26<sup>th</sup> and 27<sup>th</sup> 2017.

1798 Fig. 18: DOM classes measured in all compartments. The data represent mean values of three  
 1799 SML samples and the respective bulk water, three aerosol particle samples (PM<sub>10</sub>) from the  
 1800 CVAO and two aerosol samples (PM<sub>10</sub>) from the Mt. Verde and four cloud water samples, all  
 1801 collected between 26. – 27.09., 01. – 02.10., and 08. – 09.10.2017.

1802 Fig. 19: (a) Total TEP abundance in the SML and the bulk water as well as enrichment factor  
 1803 (SML/ULW) of TEP for field samples taken in nearshore water Cape Verde; (b) together with  
 1804 tank experiment with > 3 h bubbling of water collected from nearshore Cape Verde.

1805 Fig. 20: Microscopy image of TEP in TSP aerosol particles sampled at the CVAO sampled  
 1806 between September 29<sup>th</sup> and 30<sup>th</sup> with a flow rate of 8 L min<sup>-1</sup>.

1807 Fig. 21: Bacterial abundance of SML and ULW from (a) field and (c) tank water samples as  
 1808 well as from cloud water samples (diamonds, a) taken during the campaign are shown.  
 1809 Additionally, enrichment factors (i.e. SML versus ULW) are presented (b, d). In panel a,  
 1810 please note the different power values between SML/ ULW (10<sup>6</sup> cells mL<sup>-1</sup>) and cloud water  
 1811 samples (10<sup>4</sup> cells mL<sup>-1</sup>).

1812 Fig. 22: N<sub>INP</sub> of SML seawater (n = 9) and cloud water (n = 13) as a function of temperature.

1813 Fig. 23: Modelled 2D vertical wind field on October 5<sup>th</sup> after 12 hours of simulation time. The  
 1814 model domain spans 222 km length and 1.5 km height. The black contour lines represent the  
 1815 simulated cloud liquid water content (with a minimum of 0.01 g m<sup>-3</sup> and a maximum of 0.5 g  
 1816 m<sup>-3</sup>). The more dense the lines, the higher the simulated liquid water content of the clouds.

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1822 Table 1. Classification of the air masses according to dust concentrations from the impactor  
 1823 samples after the calculation of dust concentrations according to Fomba, et al. 2014 samples  
 1824 and under considerations of backward trajectories (Fig. 2).

Start local time (UTC-1)	Stop local time (UTC-1)	Dust Conc. [ $\mu\text{g}/\text{m}^3$ ]	Classification
2017.09.18 18:18:00	2017.09.19 14:57:00	53.5	Moderate-dust
2017.09.19 16:30:00	2017.09.20 15:30:00	38.2	Moderate-dust
2017.09.20 18:00:00	2017.09.21 14:00:00	30.0	Moderate-dust
2017.09.21 15:00:00	2017.09.22 15:00:00	14.5	Low-dust
2017.09.22 16:15:00	2017.09.24 16:46:00	4.1	Marine
2017.09.24 17:30:00	2017.09.25 14:30:00	2.2	Marine
2017.09.25 16:00:00	2017.09.26 15:00:00	11.6	Low-dust
2017.09.26 15:51:33	2017.09.27 14:45:00	37.6	Moderate-dust
2017.09.27 15:30:00	2017.09.28 16:30:00	20.6	Moderate-dust
2017.09.28 18:10:00	2017.09.30 15:45:00	27.3	Moderate-dust
2017.09.30 17:05:00	2017.10.01 14:15:00	42.7	Moderate-dust
2017.10.01 15:00:00	2017.10.02 14:30:00	35.5	Moderate-dust
2017.10.02 15:42:00	2017.10.03 14:53:00	29.1	Moderate-dust
2017.10.03 15:45:00	2017.10.04 14:30:00	14.8	Low-dust
2017.10.04 15:27:00	2017.10.05 15:18:00	13.2	Low-dust
2017.10.05 16:10:00	2017.10.06 14:54:00	17.2	Low-dust
2017.10.06 16:00:00	2017.10.07 15:30:00	17.0	Low-dust
2017.10.07 16:10:00	2017.10.09 17:27:20	16.8	Low-dust
2017.10.09 18:13:00	2017.10.10 15:00:00	27.6	Moderate-dust

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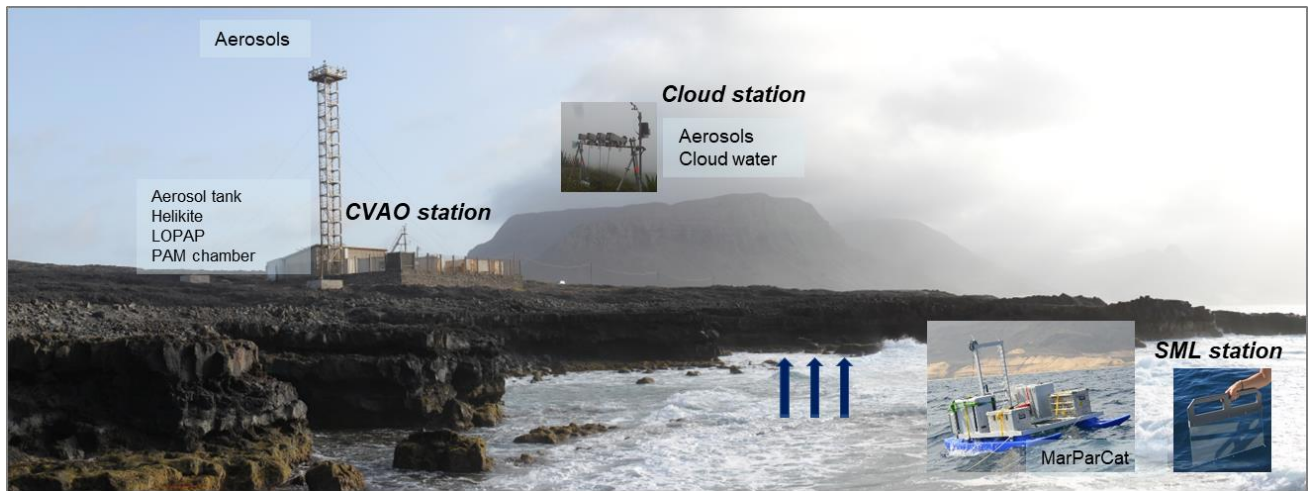


Figure 1

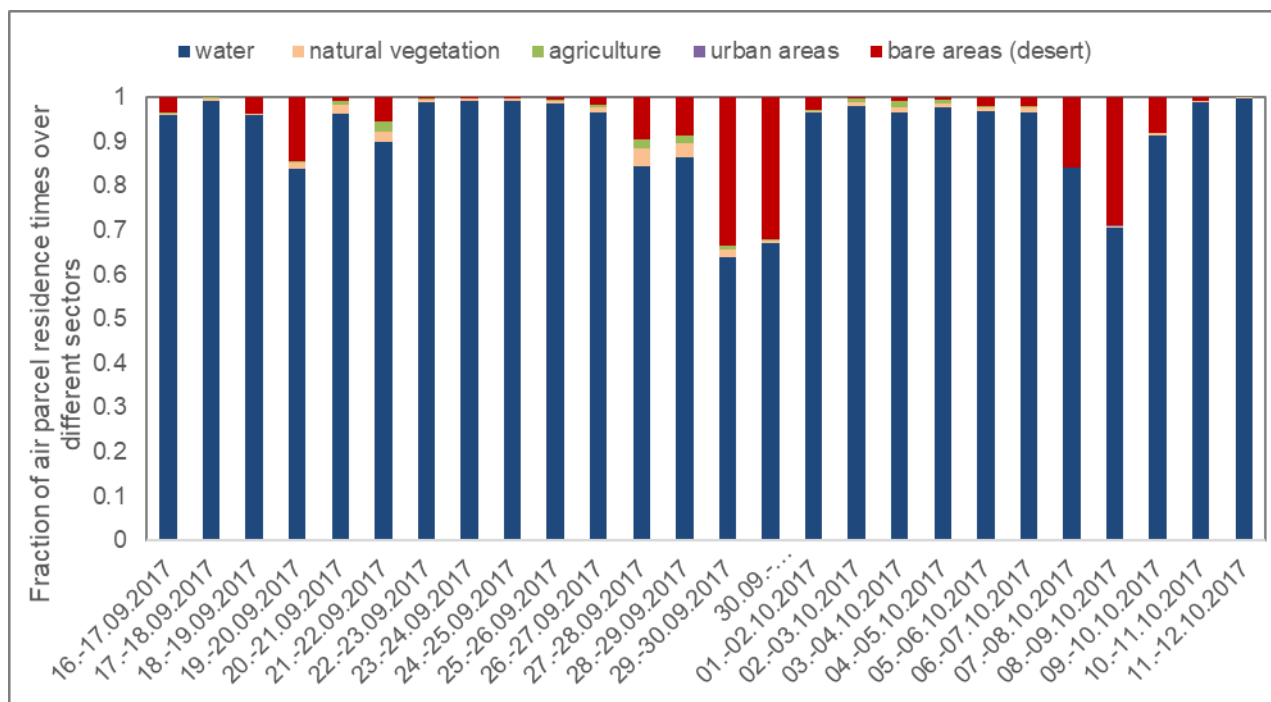


Figure 2

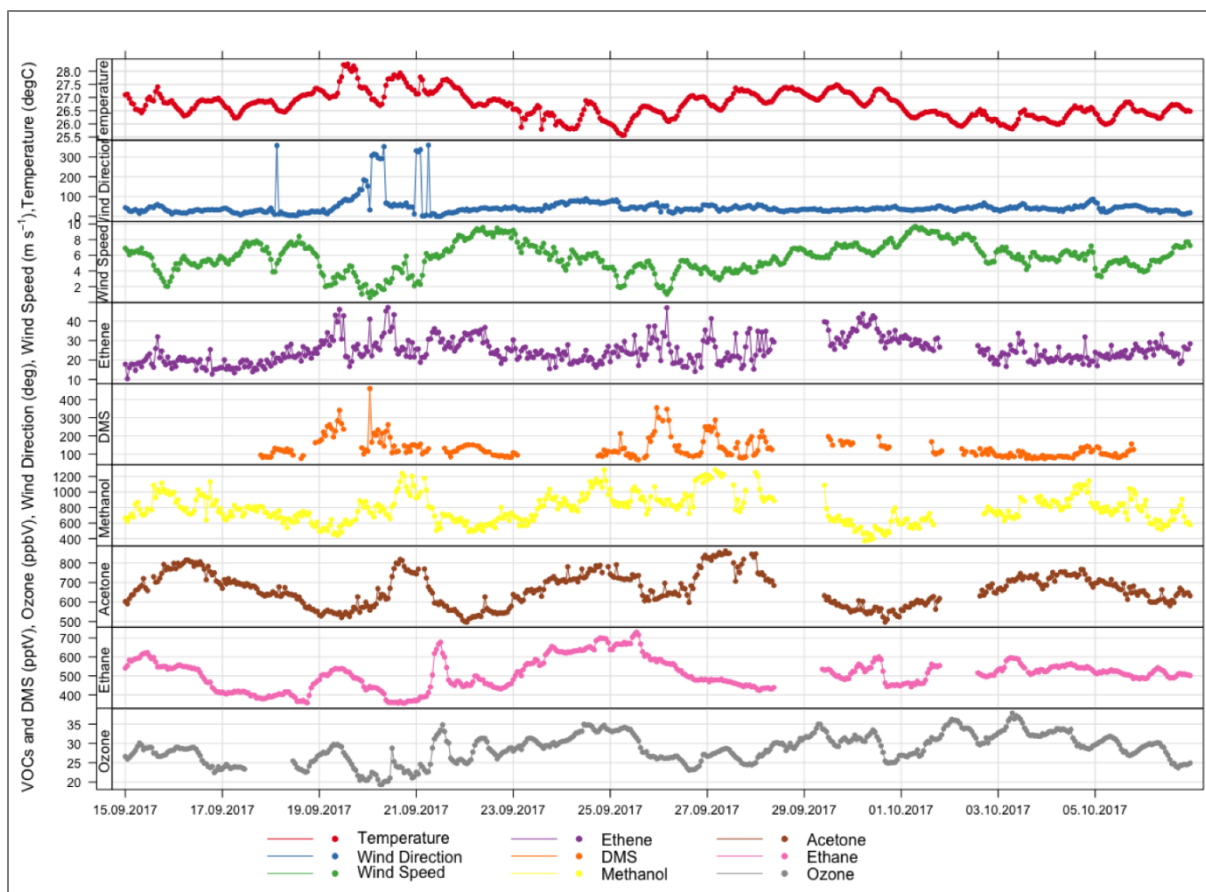


Figure 3

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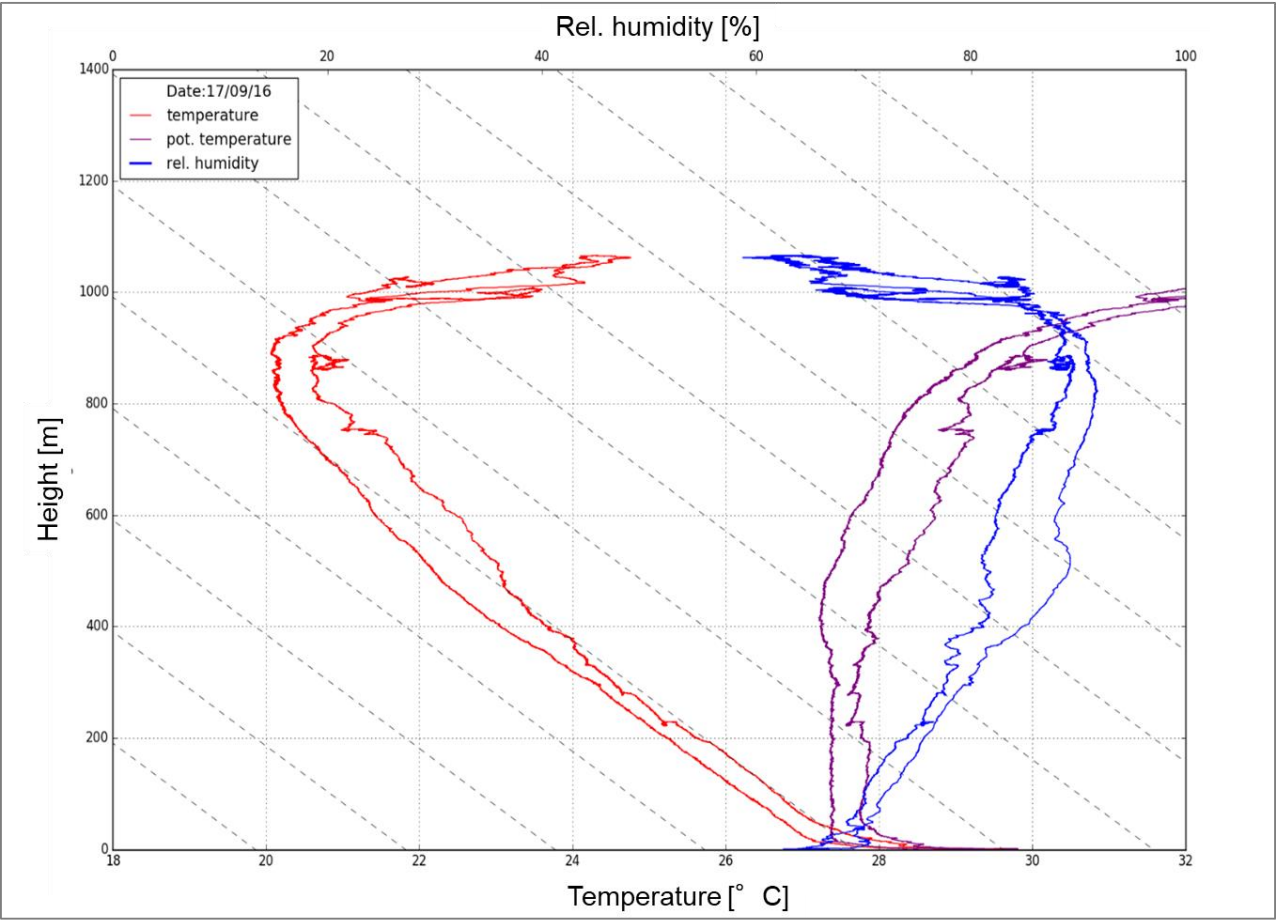


Figure 4

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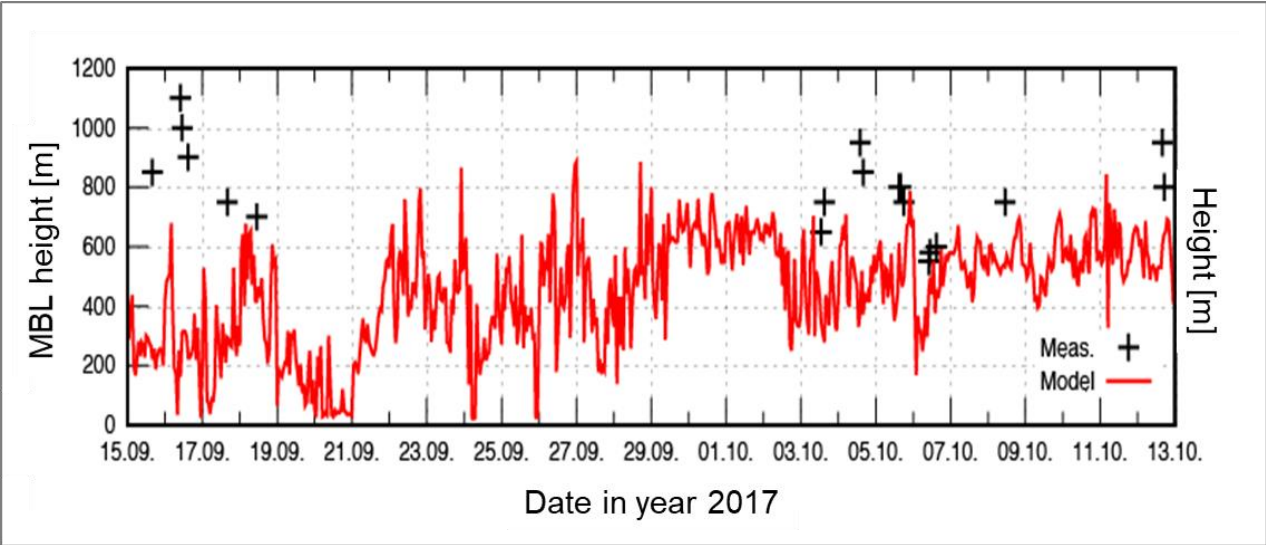


Figure 5

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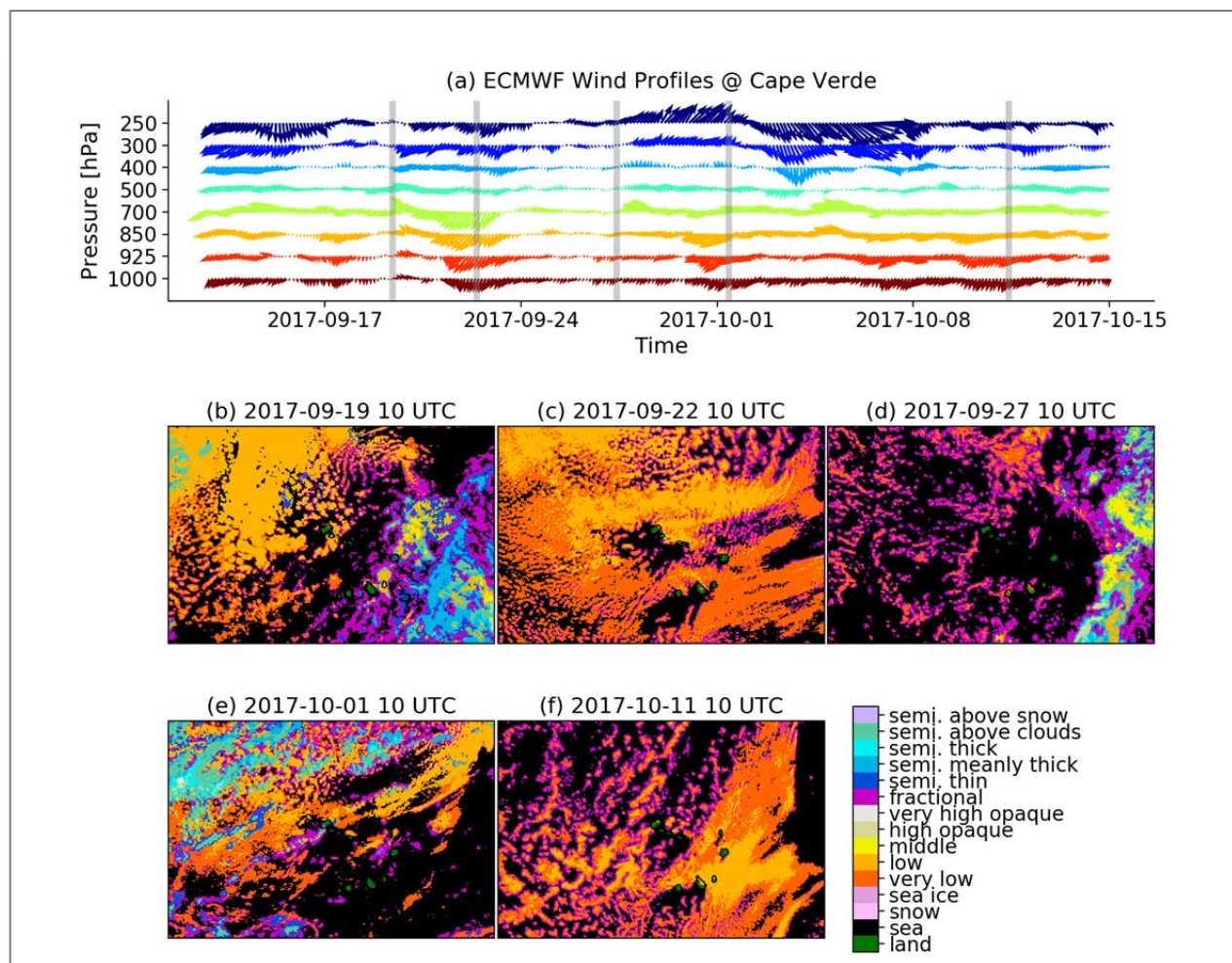


Figure 6

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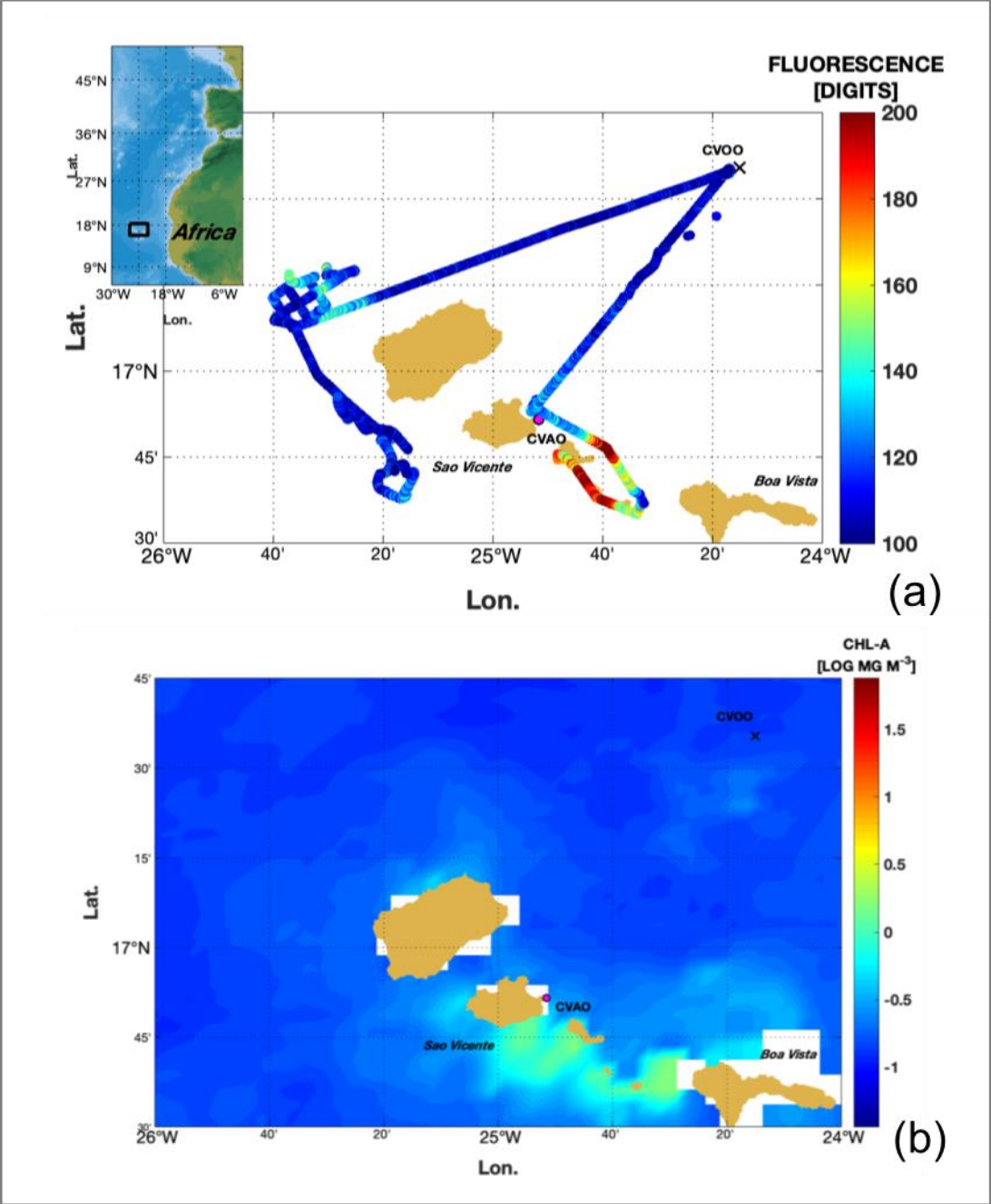


Figure 7

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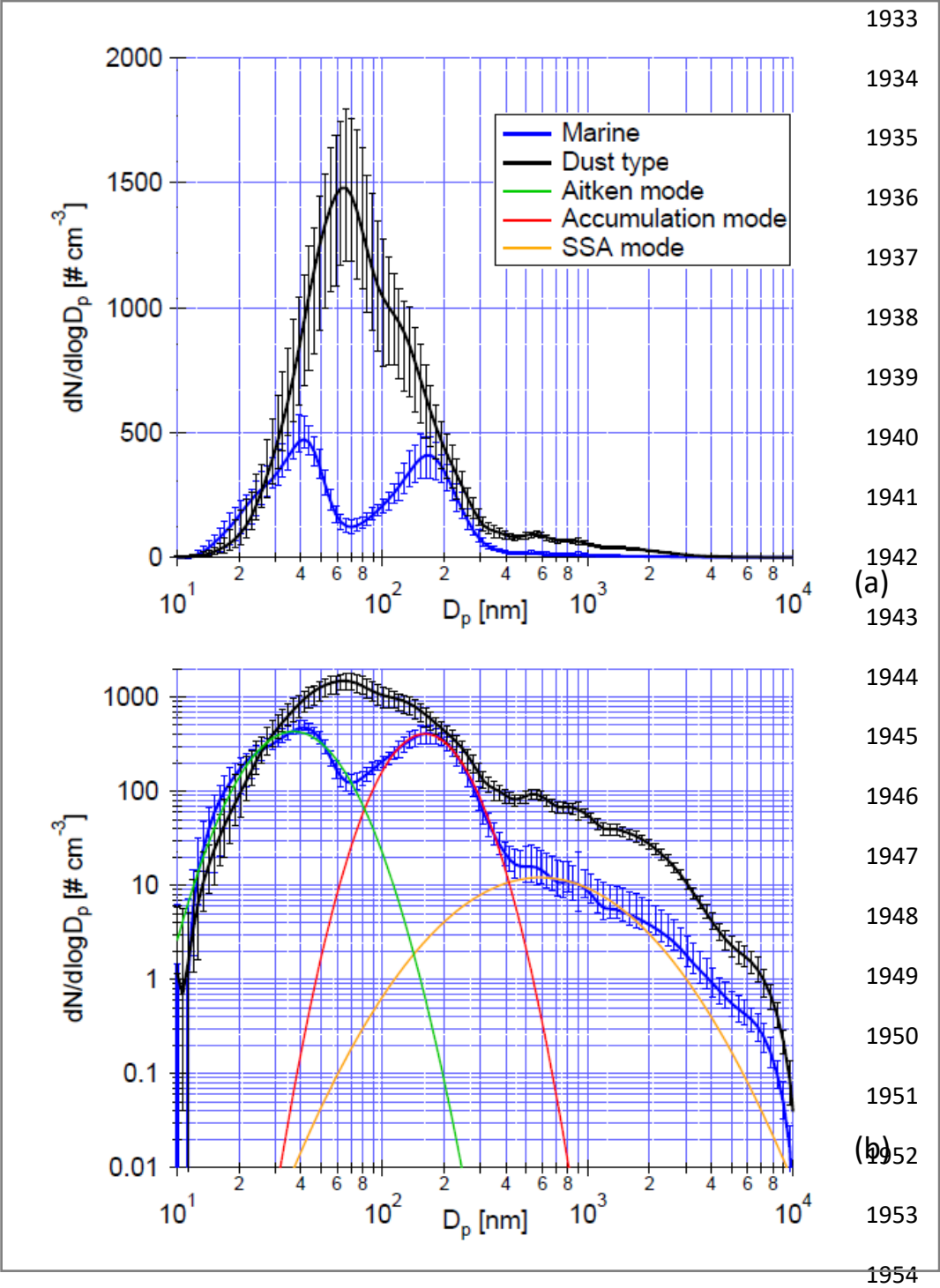


Figure 8

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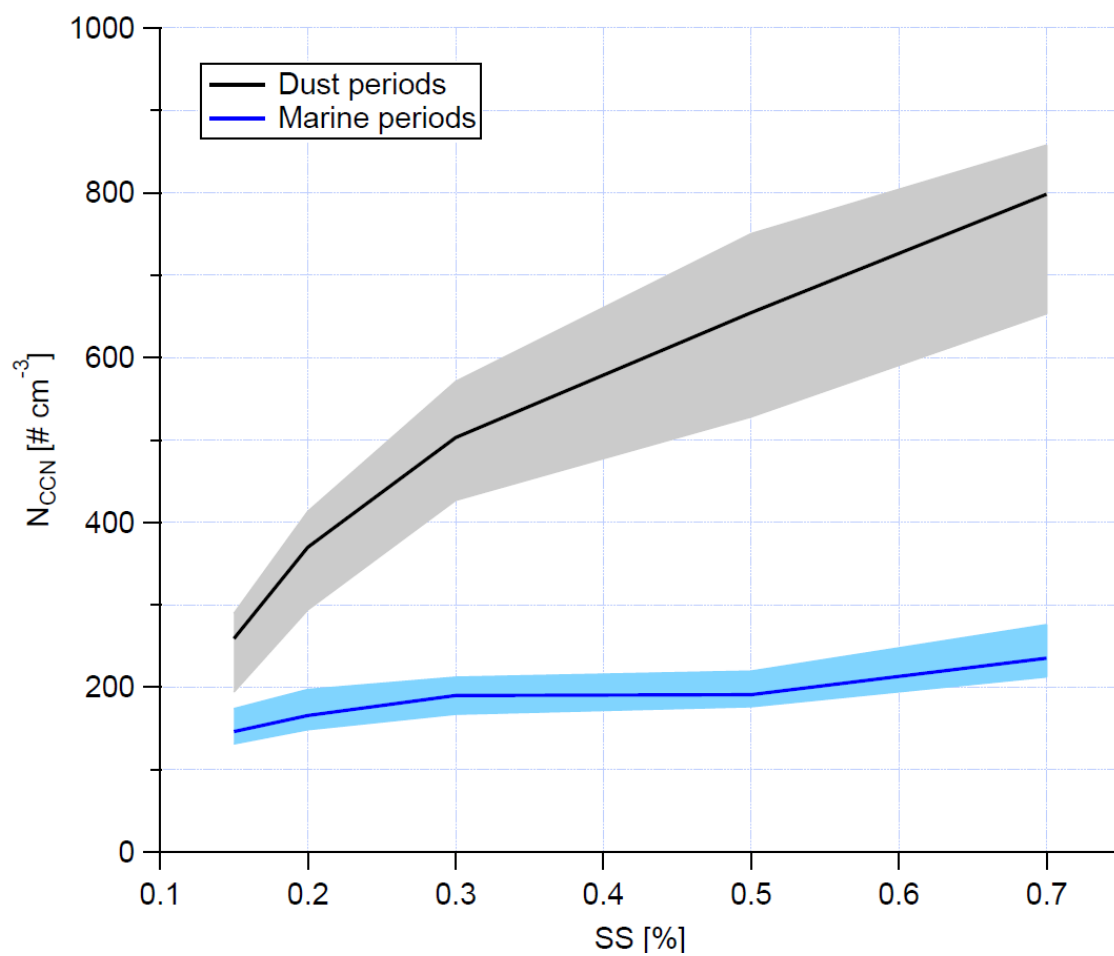


Figure 9

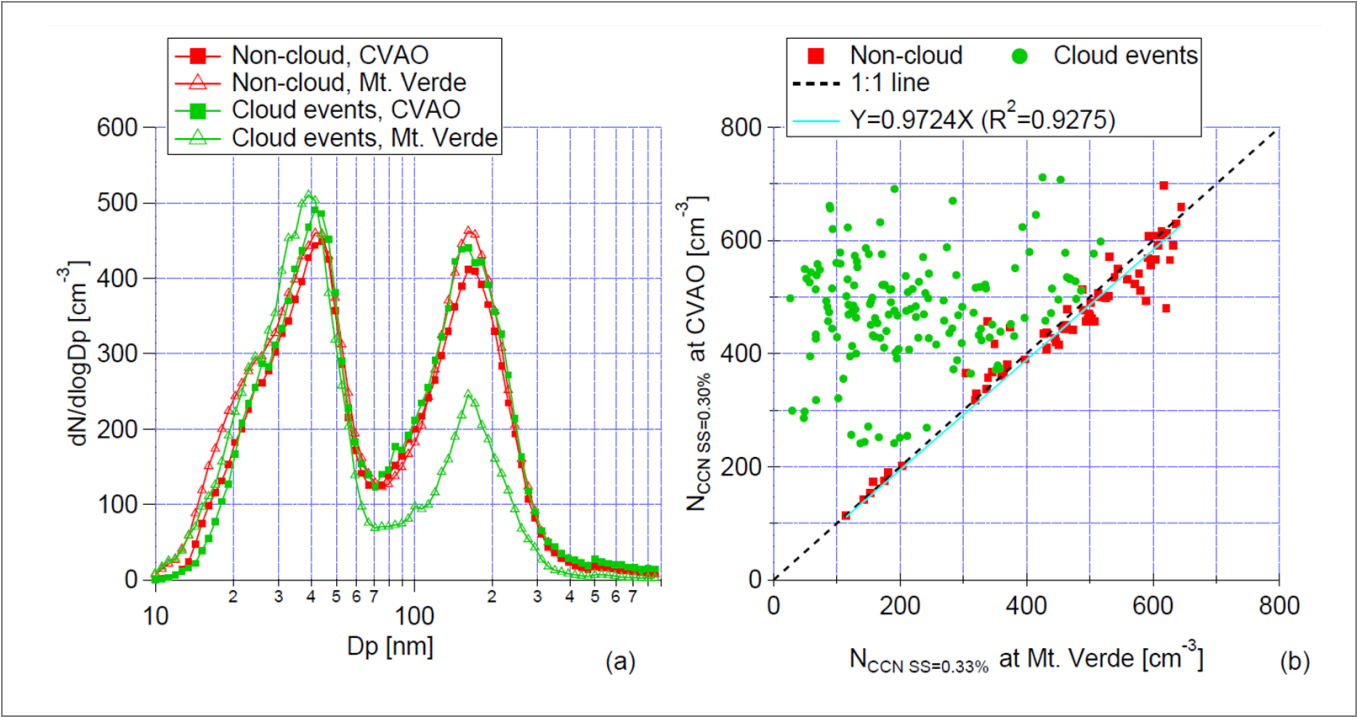
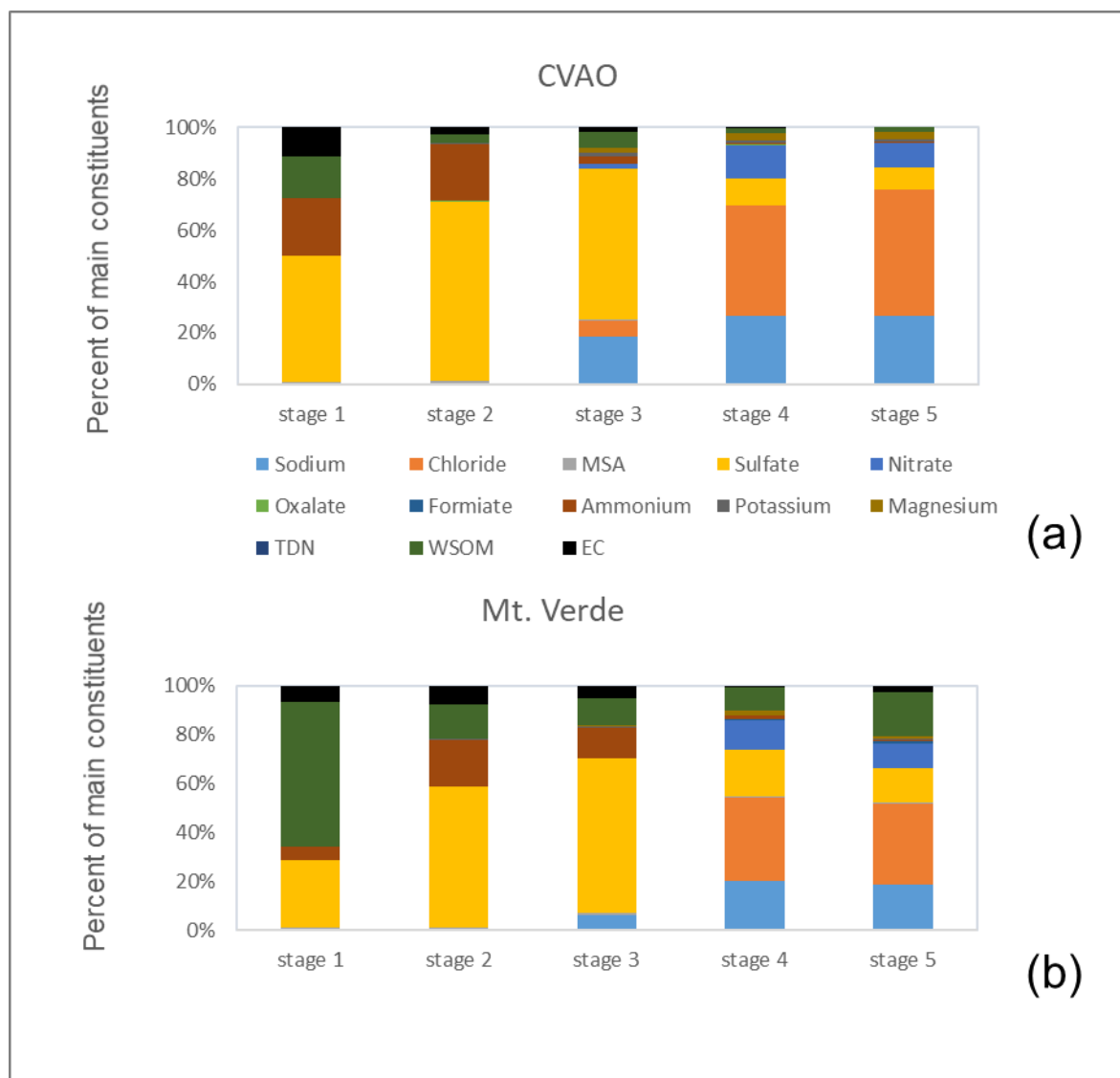


Figure 10

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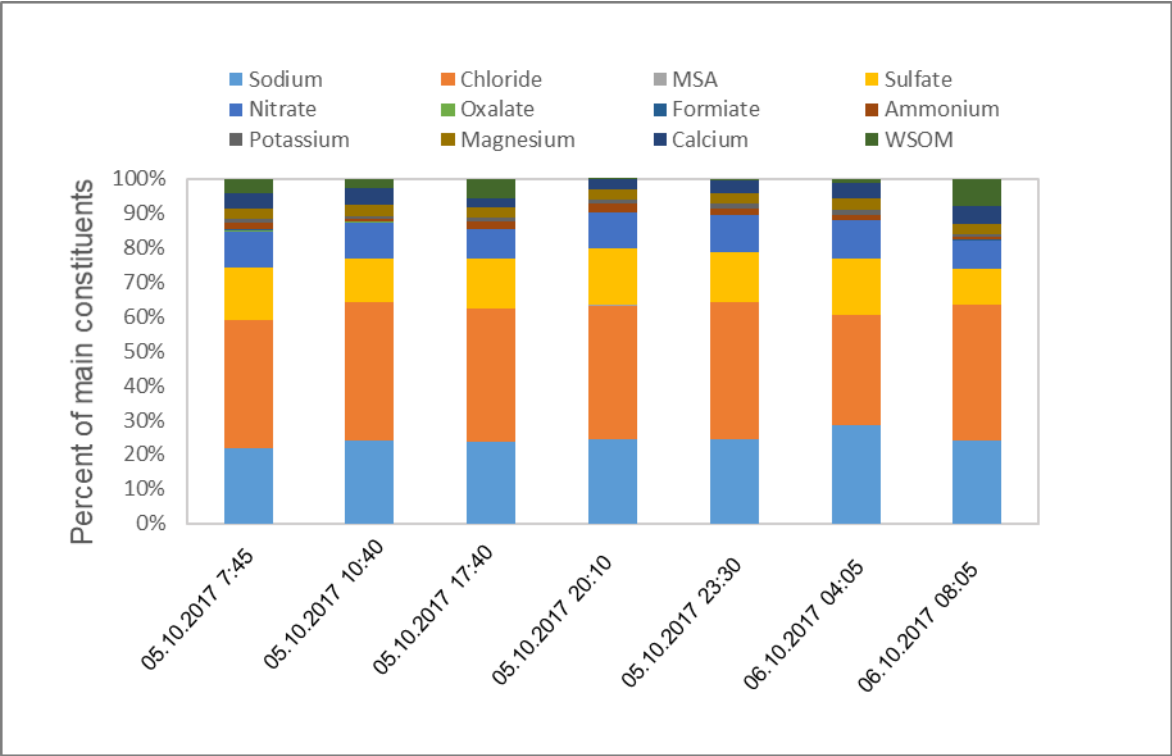


Figure 12

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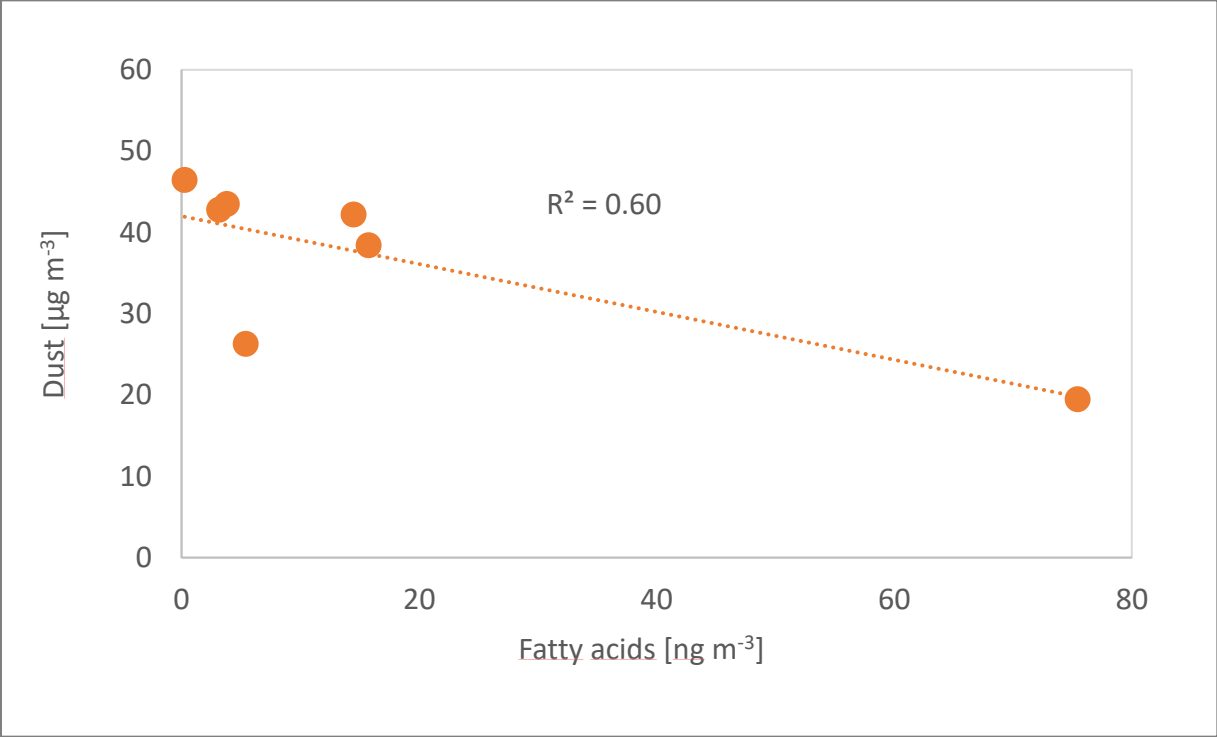


Figure 13



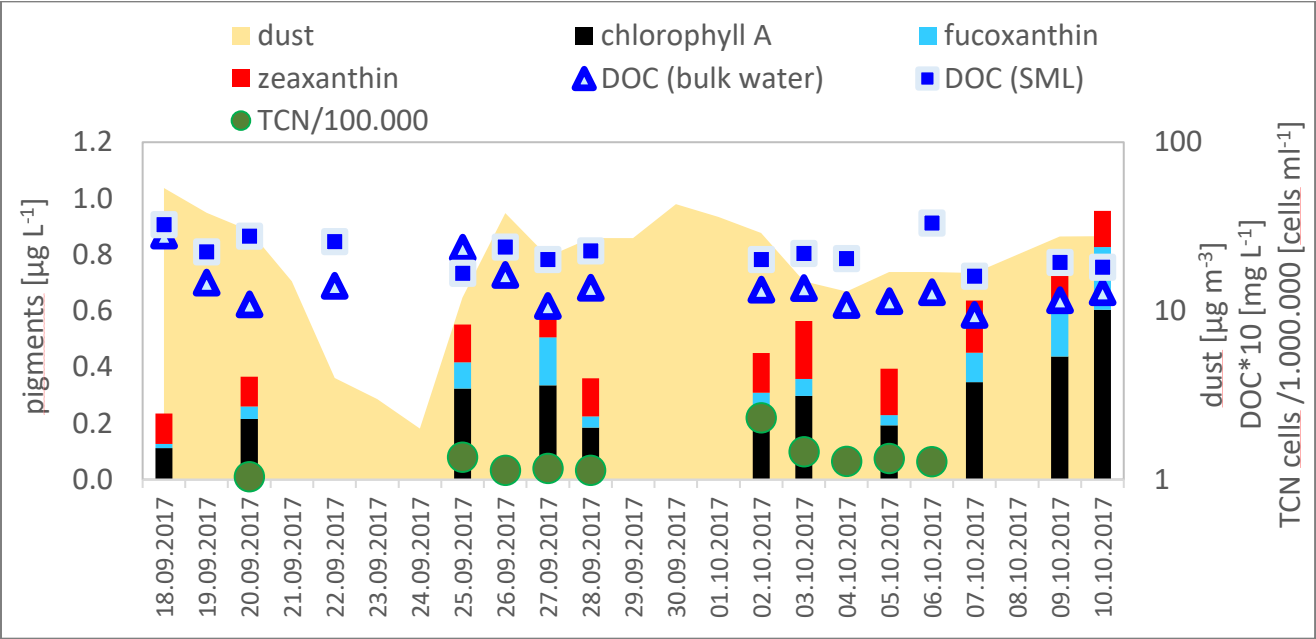
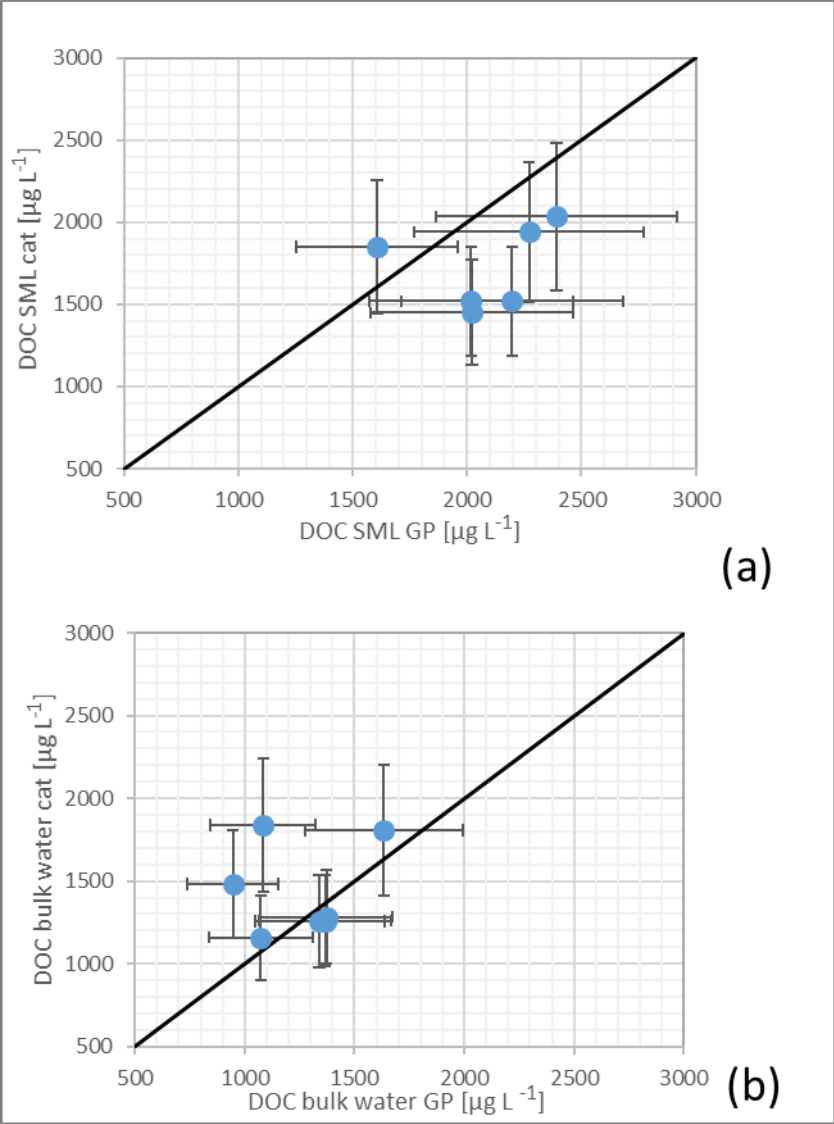


Figure 14

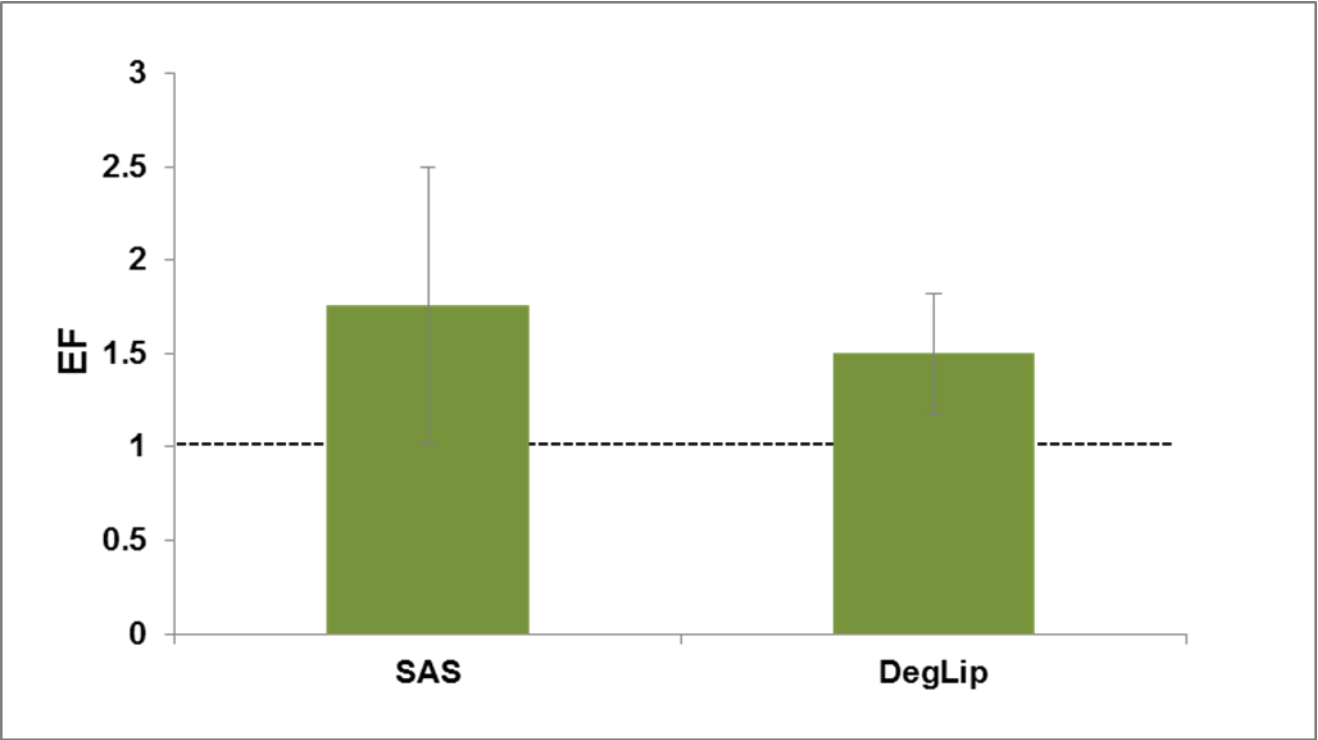
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Figure 15

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Figure 16

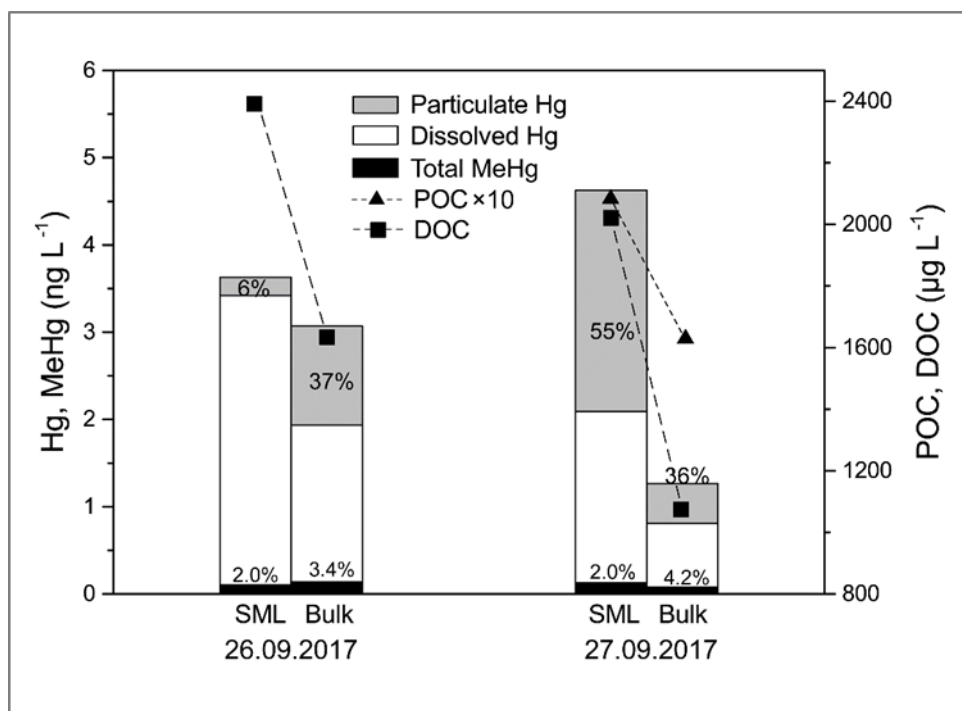


Figure 17

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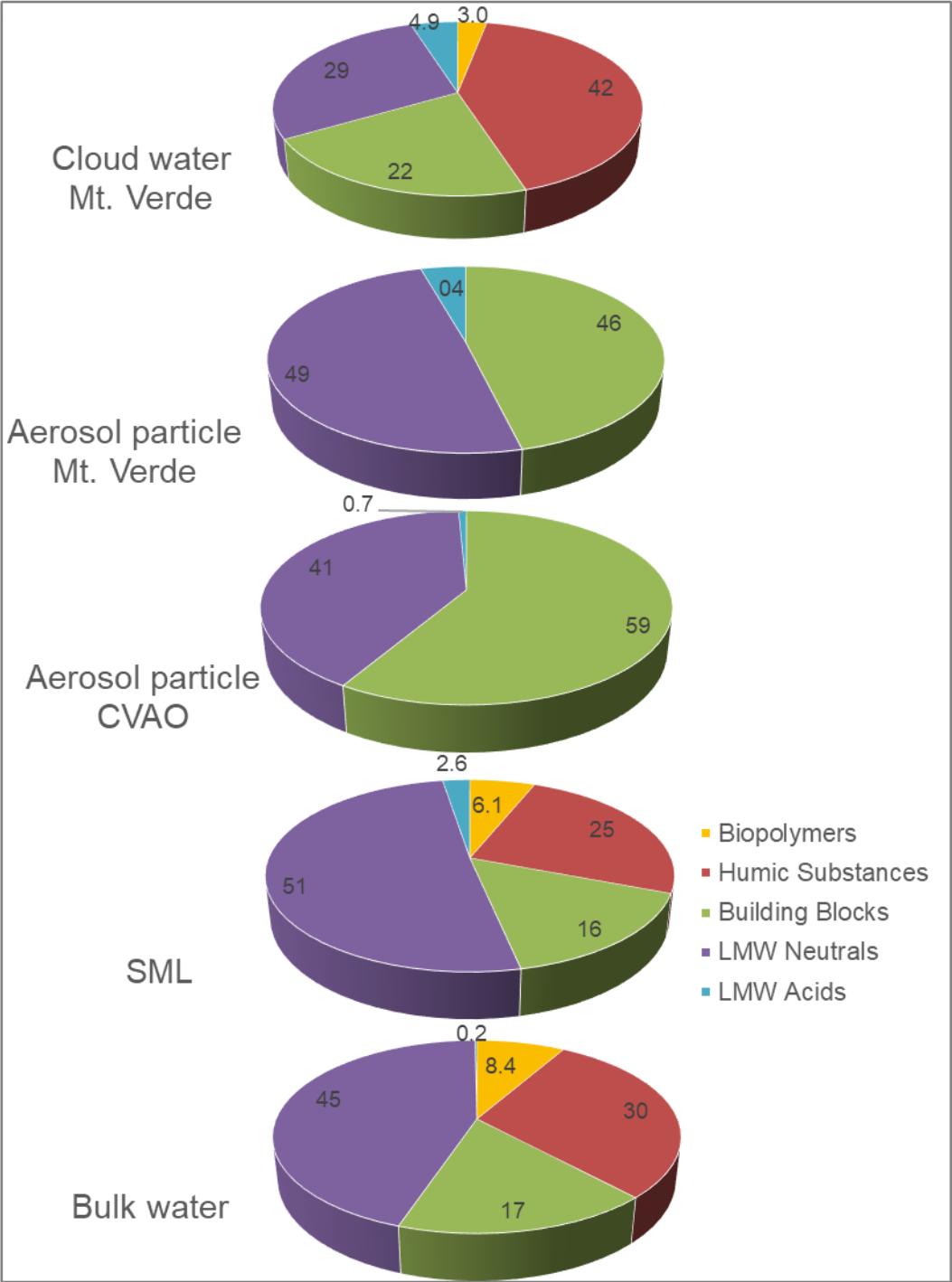


Figure 18

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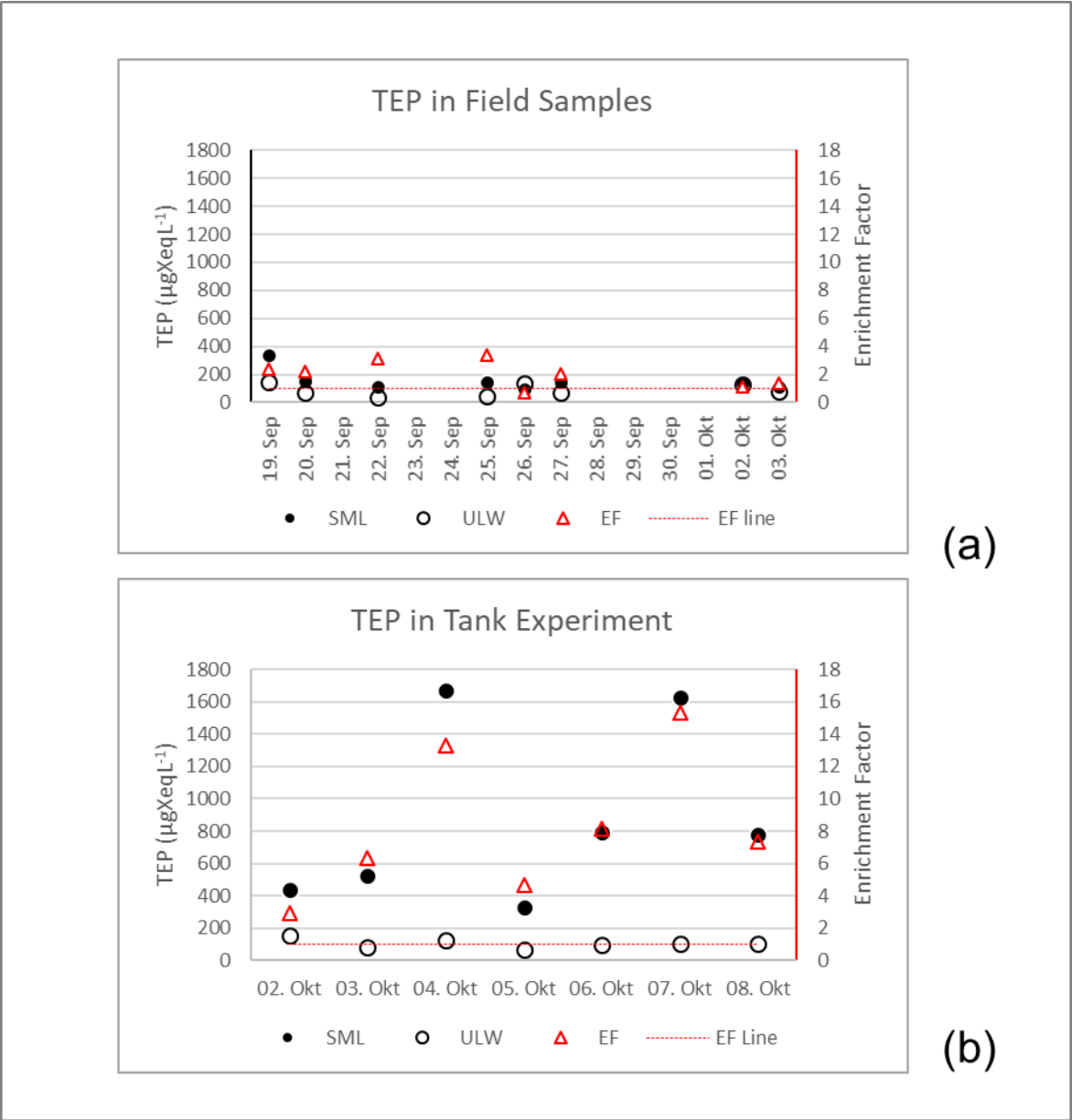
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Figure 19

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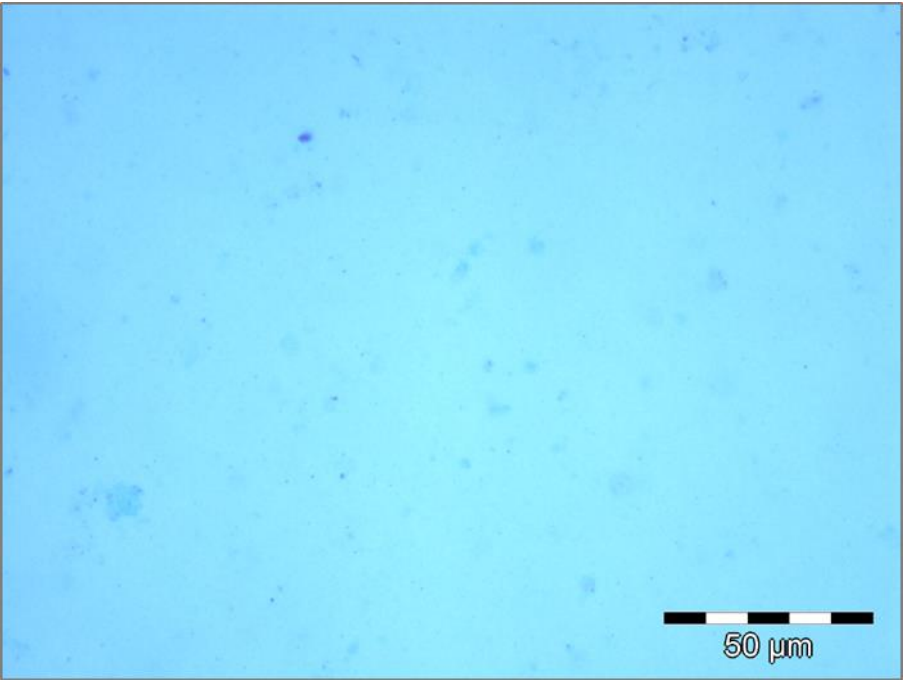


Figure 20

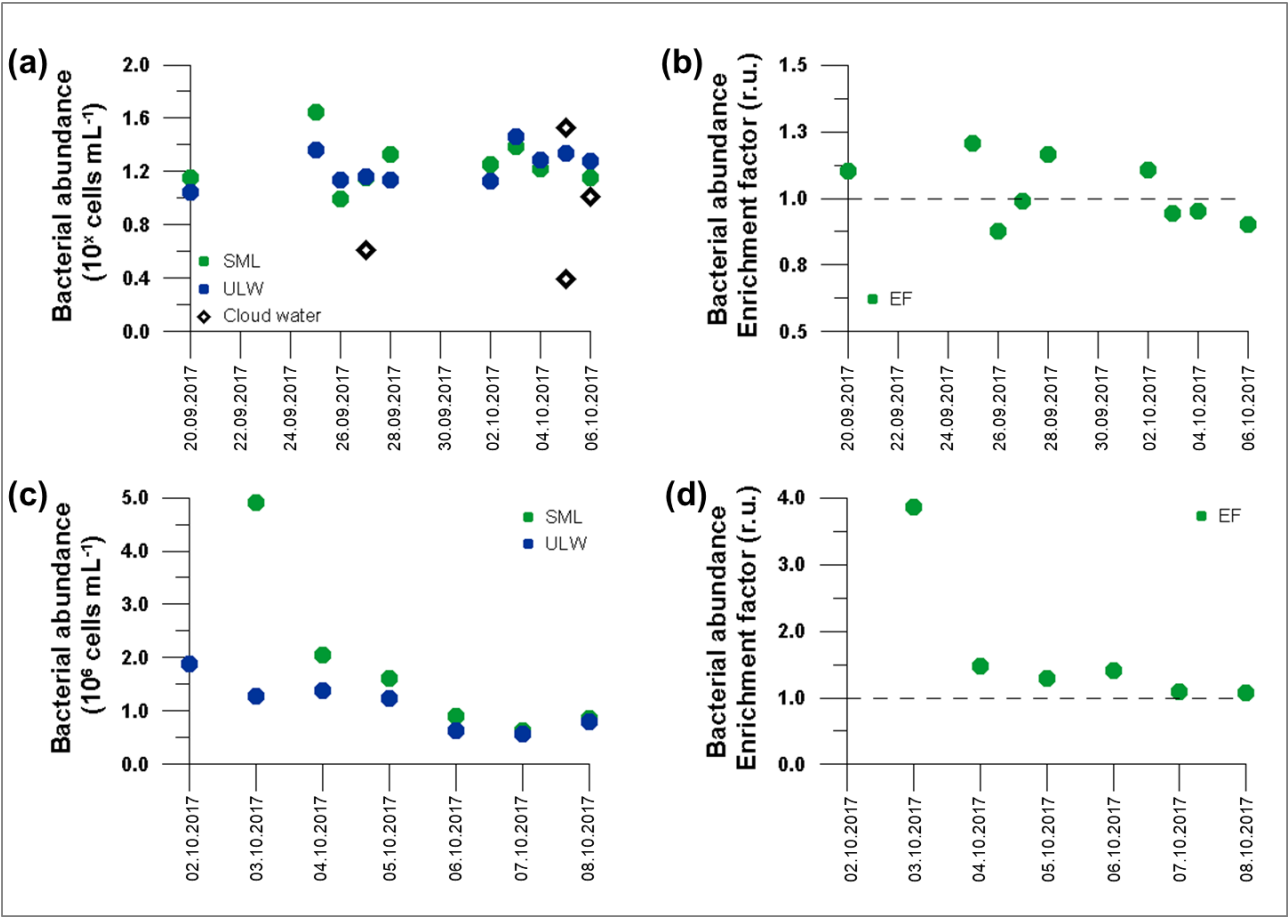
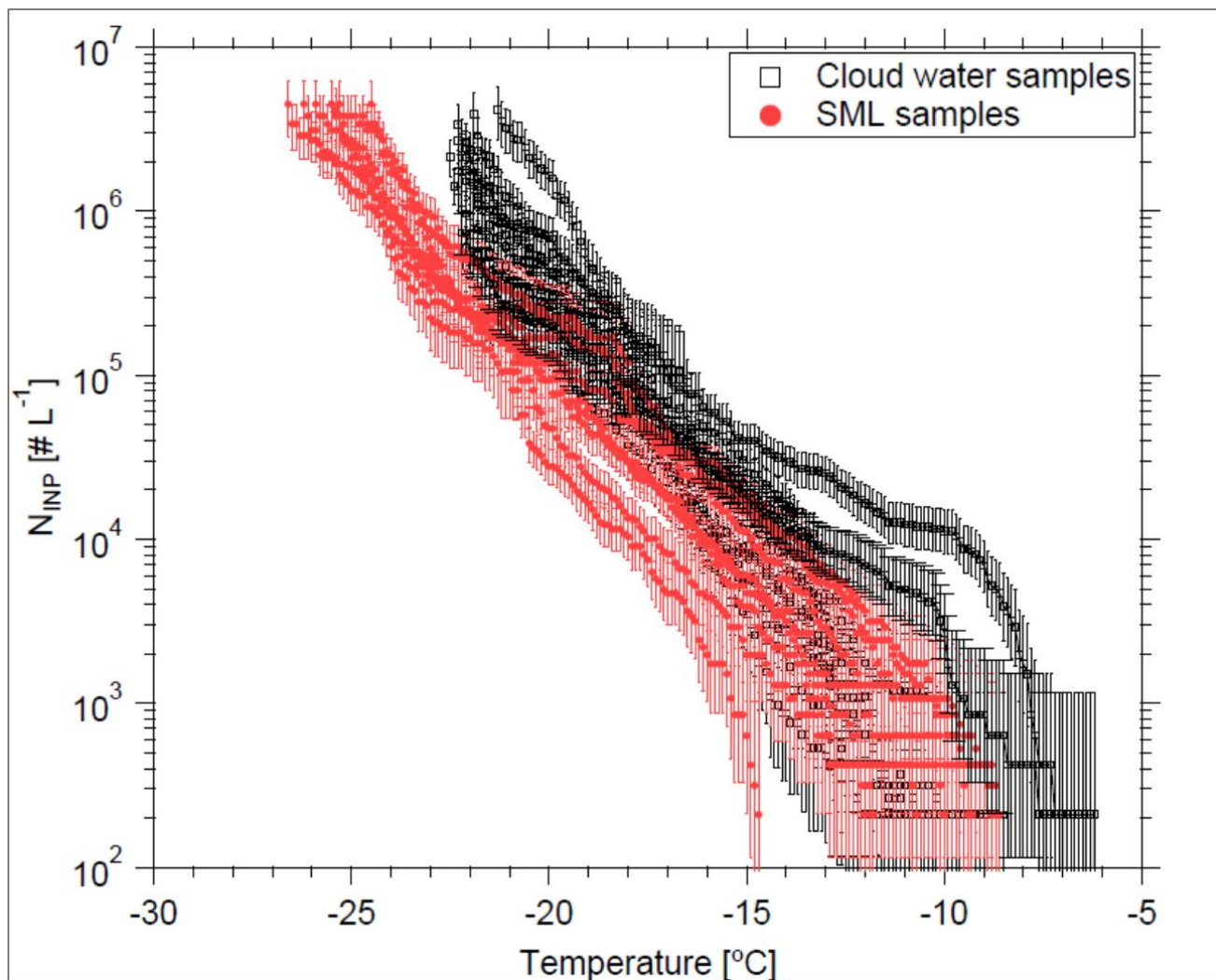


Figure 21



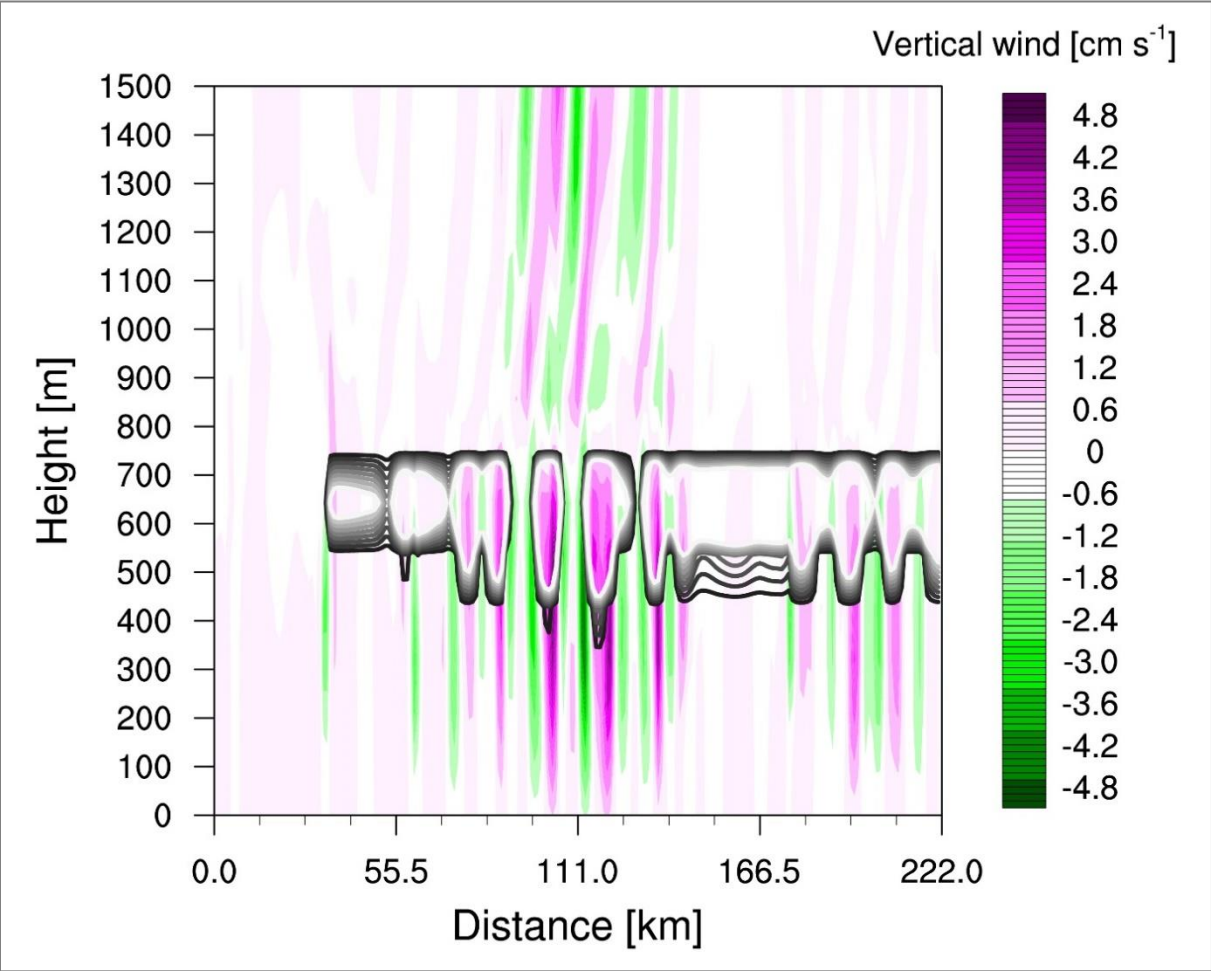
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Figure 22

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Figure 23