



1 Formation mechanisms of atmospheric nitrate and sulfate during the
2 winter haze pollution periods in Beijing: gas-phase, heterogeneous
3 and aqueous-phase chemistry

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15 **Abstract**

16 A vast area in China is currently going through severe haze episodes with drastically elevated
17 concentrations of PM_{2.5} in winter. Nitrate and sulfate are main constituents of PM_{2.5} but their
18 formations via NO₂ and SO₂ oxidation are still not comprehensively understood, especially under
19 different pollution or atmospheric relative humidity (RH) conditions. To elucidate formation
20 pathways of nitrate and sulfate in different polluted cases, hourly samples of PM_{2.5} were collected
21 continuously in Beijing during the wintertime of 2016. Three serious pollution cases were
22 identified reasonably during the sampling period and the secondary formations of nitrate and
23 sulfate were found to make a dominant contribution to atmospheric PM_{2.5} under the relatively high
24 RH condition. The significant correlation between NOR and NO₂ × O₃ during the nighttime under
25 the RH_≥60% condition indicated that the heterogeneous hydrolysis of N₂O₅ involving aerosol
26 liquid water was responsible for the nocturnal formation of nitrate at the extremely high RH levels.
27 The more coincident trend of NOR and HONO × DR (direct radiation) × NO₂ than Dust × NO₂



28 during the daytime under the $30\% < RH < 60\%$ condition provided convincing evidence that the
29 gas-phase reaction of NO_2 with OH played a pivotal role in the diurnal formation of nitrate at
30 moderate RH levels. The extremely high mean values of SOR during the whole day under the
31 $RH \geq 60\%$ condition could be ascribed to the evident contribution of SO_2 aqueous-phase oxidation
32 to the formation of sulfate during the severe pollution episodes. Based on the parameters measured
33 in this study and the known sulfate production rate calculation method, the oxidation pathway of
34 H_2O_2 rather than NO_2 was found to contribute greatly to the aqueous-phase formation of sulfate.

35 **1. Introduction**

36 In recent years, severe haze has occurred frequently in Beijing as well as the North China
37 Plain (NCP) during the wintertime, which has aroused great attention from the public due to its
38 adverse impact on atmospheric visibility, air quality and human health (Chan and Yao,
39 2008; Zhang et al., 2012; Zhang et al., 2015).

40 To mitigate the severe haze pollution situations, a series of regulatory measures for primary
41 pollution sources have been implemented by the Chinese government. For example, coal
42 combustion for heating in winter has gradually been replaced with electricity and natural gas in
43 the NCP, coal-fired power plants have been strictly required to install flue-gas denitration and
44 desulfurization systems (Chen et al., 2014), the stricter control measures such as terminating
45 production in industries and construction as well as the odd and even number rule for vehicles
46 have been performed in megacities during the period of the red alert for haze and so on. These
47 actions have made tremendous effects to decline pollution levels of primary pollutants including
48 $\text{PM}_{2.5}$ (fine particulate matter with an aerodynamic diameter less than $2.5 \mu\text{m}$) in recent years (Li
49 et al., 2019). However, the serious pollution events still occurred in many areas of



50 Beijing-Tianjin-Hebei (BTH) region in December 2016 and January 2017 (Li et al., 2019). It has
51 been acknowledged that the severe haze pollution is mainly ascribed to stagnant meteorological
52 conditions with high atmospheric relative humidity (RH) and low mixed boundary layer height,
53 strong emissions of primary gaseous pollutants and rapid formation of secondary inorganic
54 aerosols (SIAs, the sum of sulfate, nitrate and ammonium), especially sulfate and nitrate (Cheng et
55 al., 2016;Guo et al., 2014;Huang et al., 2014). Some studies suggested that the contribution of
56 SIAs to PM_{2.5} was higher than 50 % during the most serious haze days (Quan et al., 2014;Xu et al.,
57 2017;Zheng et al., 2015a).

58 Generally, atmospheric sulfate and nitrate are formed through the oxidations of the precursor
59 gases (SO₂ and NO₂) by oxidants (e.g. OH radical, O₃) via gas-phase, heterogeneous and
60 aqueous-phase reactions (Ravishankara, 1997;Wang et al., 2013;Yang et al., 2015). It should be
61 noted that the recent study proposed the remarkable emissions of primary sulfate from residential
62 coal combustion with the sulfur contents of coal in range of 0.81-1.88 % in Xi'an (Dai et al.,
63 2019), but the primary emissions of sulfate could be neglected due to the extremely low sulfur
64 content of coal (0.26-0.34 %) used prevalingly in the NCP (Du et al., 2016;Li et al., 2016).
65 Atmospheric RH is a key factor that facilitates the SIAs formation and aggravates the haze
66 pollution (Wu et al., 2019), and hence the secondary formations of sulfate and nitrate are simply
67 considered to be mainly via gas-phase reaction at relatively low atmospheric RH levels (RH<30 %)
68 and heterogeneous reactions and aqueous-phase reactions at relatively high atmospheric RH levels
69 (RH>60 %) (Li et al., 2017). However, their formation mechanisms at different atmospheric RH
70 levels still remain controversial and unclear (Cheng et al., 2016;Ge et al., 2017;Guo et al., 2017;Li
71 et al., 2018;Liu et al., 2017a;Wang et al., 2016;Yang et al., 2017). For example, the recent studies



72 proposed that atmospheric SO₂ oxidation by NO₂ dissolved in aqueous aerosol phases under the
73 extremely high atmospheric RH conditions played a dominant role in sulfate formation under
74 almost neutral aerosol solutions (a pH range of 5.4-7.0) during the serious pollution periods
75 (Cheng et al., 2016; Wang et al., 2018a; Wang et al., 2016). However, Liu et al. (2017a) and Guo et
76 al. (2017) found that the aerosol pH estimated by ISORROPIA-II model was moderately acidic (a
77 pH range of 3.0-4.9) and thus the pathway of SO₂ aqueous-phase oxidation by dissolved NO₂ was
78 unimportant during severe haze events in China. Additionally, although the pathway of N₂O₅
79 heterogeneous hydrolysis has been recognized as being responsible for the nocturnal formation of
80 NO₃⁻ under relatively high atmospheric RH conditions (Tham et al., 2018; Wang et al.,
81 2018b; Wang et al., 2018c), the effects of NO₂ gas-phase chemistry and NO₂ heterogeneous
82 chemistry on the diurnal formation of NO₃⁻ under moderate atmospheric RH conditions
83 (30 % < RH < 60 %) have not yet been understood. Therefore, measurements of the species in PM_{2.5}
84 in different polluted cases during the wintertime are urgently needed to elucidate formation
85 pathways of sulfate and nitrate.

86 In this study, hourly filter samples of PM_{2.5} were collected continuously in Beijing during the
87 wintertime of 2016, and the pollution characteristics and formation mechanisms of sulfate and
88 nitrate in the PM_{2.5} samples were investigated comprehensively under different atmospheric RH
89 conditions in relation to gas-phase, heterogeneous and aqueous-phase chemistry.

90 **2. Materials and Methods**

91 **2.1. Sampling and analysis**

92 The sampling site was chosen on the rooftop (around 25 m above the ground) of a six-story
93 building in Research Center for Eco-Environmental Sciences, Chinese Academy of Sciences



94 (RCEES, CAS), which was located in the northwest of Beijing and had been described in detail by
95 our previous studies (Liu et al., 2016a; Liu et al., 2017b). The location of the sampling site
96 (40°00'29.85" N, 116°20'29.71" E) is presented in Figure S1. Hourly PM_{2.5} samples were collected
97 on prebaked quartz fiber filters (90mm, Munktell) from January 7th to 23th of 2016 by
98 median-volume samplers (Laoying-2030) with a flow rate of 100 L min⁻¹. Water-soluble ions
99 (WSI), including Na⁺, NH₄⁺, Mg²⁺, Ca²⁺, K⁺, Cl⁻, NO₂⁻, NO₃⁻ and SO₄²⁻, as well as carbon
100 components including organic carbon (OC) and element carbon (EC) in the filter samples were
101 analyzed by ion chromatography (Wayeal IC6200) and thermal optical carbon analyzer
102 (DRI-2001A), respectively (Liu et al., 2017b). Analysis relevant for quality assurance & quality
103 control (QA/QC) was presented in detail in section M1 of the Supplementary Information (SIs).
104 Atmospheric H₂O₂ and HONO were monitored by AL2021-H₂O₂ monitor (AERO laser, Germany)
105 and a set of double-wall glass stripping coil sampler coupled with ion chromatography (SC-IC),
106 respectively (Ye et al., 2018; Xue et al., 2019a; Xue et al., 2019b). More details about the
107 measurements of H₂O₂ and HONO were ascribed in section M2 of the SIs. Meteorological data,
108 including wind speed, wind direction, ambient temperature and RH, as well as air quality index
109 (AQI) derived by PM_{2.5}, SO₂, NO_x, CO and O₃ were obtained from Beijing urban ecosystem
110 research station in RCEES, CAS (<http://www.bjurban.rcees.cas.cn/>).

111 2.2. Aerosol liquid water contents and pH prediction by ISORROPIA-II model

112 The ISORROPIA-II model was employed to calculate the equilibrium composition for
113 Na⁺-K⁺-Ca²⁺-Mg²⁺-NH₄⁺-Cl⁻-NO₃⁻-SO₄²⁻-H₂O aerosol system, which is widely used in regional
114 and global atmospheric models and has been successfully applied in numerous studies for
115 predicting the physical state and composition of atmospheric inorganic aerosols (Fountoukis and



116 Nenes, 2007;Guo et al., 2015;Shi et al., 2017). It can be used in two modes: forward mode and
117 reverse mode. Forward mode calculates the equilibrium partitioning given the total concentrations
118 of gas and aerosol species, whereas reverse mode involves predicting the thermodynamic
119 compositions based only on the concentrations of aerosol components. Forward mode was
120 adopted in this study because reverse mode calculations have been verified to be not suitable to
121 characterize aerosol acidity (Guo et al., 2015;Hennigan et al., 2015;Murphy et al., 2017;Pathak et
122 al., 2004;Weber et al., 2016). The ISORROPIA-II model is available in “metastable” or “solid +
123 liquid” state solutions. Considering the relatively high RH during the sampling period, the
124 metastable state solution was selected in this study due to its better performance than the latter
125 (Bougiatioti et al., 2016;Guo et al., 2015;Liu et al., 2017a;Weber et al., 2016). Additionally,
126 although the gaseous HNO₃, H₂SO₄, HCl and NH₃ were not measured in this study, gas-phase
127 input with the exception of NH₃ has an insignificant impact on the aerosol liquid water contents
128 (ALWC) and pH calculation due to the lower concentrations of HNO₃, H₂SO₄ and HCl than NH₃
129 in the atmosphere (Ding et al., 2019;Guo et al., 2017). Based on the long-term measurement in the
130 winter of Beijing, an empirical equation between NO_x and NH₃ concentrations was derived from
131 the previous study (Meng et al., 2011), that is, NH₃ (ppb) = 0.34 × NO_x (ppb) + 0.63, which was
132 employed for estimating the NH₃ concentration in this study. The predicted daily average
133 concentrations of NH₃ varied from 3.3 μg m⁻³ to 36.9 μg m⁻³, with a mean value of 16.6 μg m⁻³
134 and a median value of 14.6 μg m⁻³, which were in line with those (7.6-38.1 μg m⁻³, 18.2 μg m⁻³
135 and 16.2 μg m⁻³ for the daily average concentrations, the mean value and the median value of NH₃,
136 respectively) during the winter of 2013 in Beijing in the previous study (Zhao et al., 2016).

137 Then, the aerosol pH could be calculated by the following equation:



138
$$pH = -\log_{10} \frac{1000 \times H^+}{W}$$

139 where H^+ ($\mu\text{g m}^{-3}$) and W ($\mu\text{g m}^{-3}$) are the equilibrium particle hydrogen ion concentration
140 and aerosol water contents, respectively, both of which could be output from ISORROPIA-II.

141 **2.3. Production of sulfate in aqueous-phase reactions**

142 The previous studies showed that there were six pathways of the aqueous-phase oxidation of
143 SO_2 to the production of sulfate, i.e. H_2O_2 oxidation, O_3 oxidation, NO_2 oxidation, transition metal
144 ions (TMI) + O_2 oxidation, methyl hydrogen peroxide (MHP) oxidation and peroxyacetic acid
145 (PAA) oxidation (Cheng et al., 2016; Zheng et al., 2015a). Because some TMIs, such as Ti(III),
146 V(III), Cr(III), Co(II), Ni(II), Cu(II) and Zn(II), displayed much less catalytic activities (Cheng et
147 al., 2016), only Fe(III) and Mn(II) were considered in this study. In addition, due to the extremely
148 low concentrations of MHP and PAA in the atmosphere, their contributions to the production of
149 sulfate could be ignored (Zheng et al., 2015a). To investigate the formation mechanism of sulfate
150 during the serious pollution episodes, the contributions of O_3 , H_2O_2 , NO_2 and Fe(III) + Mn(II) to
151 the production of sulfate in aqueous-phase reactions were calculated by the formulas as follows
152 (Cheng et al., 2016; Ibusuki and Takeuchi, 1987; Seinfeld and Pandis, 2006):

153
$$-\left(\frac{d[S(IV)]}{dt}\right)_{\text{O}_3} = (k_0[\text{SO}_2 \cdot \text{H}_2\text{O}] + k_1[\text{HSO}_3^-] + k_2[\text{SO}_3^{2-}])[\text{O}_3(\text{aq})] \quad (\text{R1})$$

154
$$-\left(\frac{d[S(IV)]}{dt}\right)_{\text{H}_2\text{O}_2} = \frac{k_3[H^+][\text{HSO}_3^-][\text{H}_2\text{O}_2(\text{aq})]}{1+K[H^+]} \quad (\text{R2})$$

155
$$-\left(\frac{d[S(IV)]}{dt}\right)_{\text{Fe(III)+Mn(II)}} = k_4[H^+]^a[\text{Mn(II)}][\text{Fe(III)}][\text{S(IV)}] \quad (\text{R3})$$

156
$$-\left(\frac{d[S(IV)]}{dt}\right)_{\text{NO}_2} = k_5[\text{NO}_2(\text{aq})][\text{S(IV)}] \quad (\text{R4})$$

157 where $k_0 = 2.4 \times 10^4 \text{ M}^{-1} \text{ s}^{-1}$, $k_1 = 3.7 \times 10^5 \text{ M}^{-1} \text{ s}^{-1}$, $k_2 = 1.5 \times 10^9 \text{ M}^{-1} \text{ s}^{-1}$, $k_3 = 7.45 \times 10^7 \text{ M}^{-1} \text{ s}^{-1}$, $K =$
158 13 M^{-1} , $k_4 = 3.72 \times 10^7 \text{ M}^{-1} \text{ s}^{-1}$, $a = -0.74$ ($\text{pH} \leq 4.2$) or $k_4 = 2.51 \times 10^{13} \text{ M}^{-1} \text{ s}^{-1}$, $a = 0.67$ ($\text{pH} > 4.2$), and
159 $k_5 = (1.24-1.67) \times 10^7 \text{ M}^{-1} \text{ s}^{-1}$ ($5.3 \leq \text{pH} \leq 8.7$, the linear interpolated values were used for pH between



160 5.3 and 8.7) at 298 K (Clifton et al., 1988); $[O_{3(aq)}]$, $[H_2O_{2(aq)}]$ and $[NO_{2(aq)}]$ could be calculated by
161 the Henry's constants which are $1.1 \times 10^{-2} \text{ M atm}^{-1}$, $1.0 \times 10^5 \text{ M atm}^{-1}$ and $1.0 \times 10^{-2} \text{ M atm}^{-1}$ at 298 K
162 for O_3 , H_2O_2 and NO_2 respectively (Seinfeld and Pandis, 2006). As for $[Fe(III)]$ and $[Mn(II)]$, their
163 concentrations entirely depended on the values of pH due to the precipitation equilibriums of
164 $Fe(OH)_3$ and $Mn(OH)_2$ (Graedel and Weschler, 1981). Considering the aqueous-phase ionization
165 equilibrium of SO_2 , the Henry's constants of HSO_3^- , SO_3^{2-} and S(IV) could be expressed by the
166 equations as follows (Seinfeld and Pandis, 2006):

$$167 \quad H_{HSO_3^-}^* = H_{SO_2} \frac{K_{S1}}{[H^+]} \quad (R5)$$

$$168 \quad H_{SO_3^{2-}}^* = H_{SO_2} \frac{K_{S1}K_{S2}}{[H^+]^2} \quad (R6)$$

$$169 \quad H_{S(IV)}^* = H_{SO_2} \left(1 + \frac{K_{S1}}{[H^+]} + \frac{K_{S1}K_{S2}}{[H^+]^2} \right) \quad (R7)$$

170 where $H_{SO_2} = 1.23 \text{ M atm}^{-1}$, $K_{S1} = 1.3 \times 10^{-2} \text{ M}$ and $K_{S2} = 6.6 \times 10^{-8} \text{ M}$ at 298 K. In addition, all
171 of rate constants (k), Henry's constants (H) and ionization constants (K) are evidently influenced
172 on the ambient temperature and are calibrated by the formulas as follows (Seinfeld and Pandis,
173 2006):

$$174 \quad k(T) = k(T_0) e^{\left[-\frac{E}{R} \left(\frac{1}{T} - \frac{1}{T_0} \right) \right]} \quad (R8)$$

$$175 \quad H(T) = H(T_0) e^{\left[-\frac{\Delta H}{R} \left(\frac{1}{T} - \frac{1}{T_0} \right) \right]} \quad (R9)$$

$$176 \quad K(T) = K(T_0) e^{\left[-\frac{E}{R} \left(\frac{1}{T} - \frac{1}{T_0} \right) \right]} \quad (R10)$$

177 where T is the ambient temperature, $T_0 = 298 \text{ K}$, both E/R and $\Delta H/R$ varied in the different
178 equations and their values could be found in Cheng et al., (2016).

179 Furthermore, mass transport was also considered for multiphase reactions in different
180 medium and across the interface in section M3 of the SIs.

181 3. Results and Discussion



182 **3.1. Variation characteristics of the species in PM_{2.5} and typical gaseous pollutants**

183 The concentrations of the species in PM_{2.5} and typical gaseous pollutants including NO_x, SO₂,
184 O₃, HONO and H₂O₂ as well as atmospheric RH are shown in Figure 1. The meteorological
185 parameters (wind speed, wind direction, ambient temperature and direct radiation (DR)) as well as
186 the concentrations of PM_{2.5} are displayed in Figure S2. During the sampling period, the
187 concentrations of the species in PM_{2.5} and typical gaseous pollutants varied similarly on a
188 timescale of hours with a distinct periodic cycle of 3-4 days, suggesting that meteorological
189 conditions played a vital role in accumulation and dispersion of atmospheric pollutants (Xu et al.,
190 2011;Zheng et al., 2015b). For example, the relatively high levels of PM_{2.5} (>100 μg m⁻³) usually
191 occurred under the relatively stable meteorological conditions with the low south wind speed (<2
192 m s⁻¹) and the high RH (>60 %) which favored the accumulation of pollutants. Besides
193 meteorological conditions, the extremely high concentrations of the species in PM_{2.5} might be
194 mainly ascribed to strong emissions of primary pollutants and rapid formation of secondary
195 aerosols during the wintertime in Beijing.

196 The average concentrations of the species in PM_{2.5} and typical gaseous pollutants during
197 clean or slightly polluted (C&SP) episodes (PM_{2.5}<75 μg m⁻³), during polluted or heavy polluted
198 (P&HP) episodes (PM_{2.5}≥75 μg m⁻³) and during the whole sampling period are illustrated in Table
199 1. It is evident that the average concentrations of NO₃⁻, SO₄²⁻, NH₄⁺, OC and EC during P&HP
200 episodes were about a factor of 5.0, 4.1, 6.1, 3.6 and 3.2 greater than those during C&SP episodes,
201 respectively, indicating that the formations of SIAs were more efficient compared to other species
202 in PM_{2.5} during the serious pollution episodes. Given that the average concentrations of gaseous
203 precursors (NO₂ and SO₂) during P&HP episodes were approximately a factor of 2.0-2.2 greater



204 than those during C&SP episodes, the obviously higher elevation of NO_3^- and SO_4^{2-} implied that
205 the oxidations of NO_2 and SO_2 by the major atmospheric oxidizing agents (OH radicals, O_3 and
206 H_2O_2 etc.) might be greatly accelerated due to the relatively high concentrations of oxidants and
207 atmospheric RH during the serious pollution episodes (Figure 1). The average concentration of
208 H_2O_2 was found to be a factor of 1.7 greater during P&HP episodes than during C&SP episodes,
209 indicating that atmospheric H_2O_2 might contribute to the formation of SIAs especially sulfate
210 during the serious pollution episodes with high atmospheric RH, which will be discussed in Sect.
211 3.3.2. However, the obvious decrease in O_3 average concentration was observed during P&HP
212 episodes compared to C&SP episodes, which was mainly attributed to the relatively weak solar
213 radiation and the titration of NO during the serious pollution episodes (Ye et al., 2018). In addition,
214 the evidently higher average concentration of HONO during P&HP episodes than during C&SP
215 episodes might be also due to the relatively weak solar radiation as well as the heterogeneous
216 reaction of NO_2 on particle surfaces during the serious pollution episodes (Tong et al., 2016; Wang
217 et al., 2017).

218 **3.2. Three serious pollution cases during the sampling period**

219 Based on the transition from the clean to polluted periods, three haze cases were identified
220 during the sampling period (Figure 1 and Figure S2): from 13:00 on January 8th to 1:00 on January
221 11th (Case 1), from 14:00 on January 14th to 7:00 on January 17th (Case 2), and from 8:00 on
222 January 19th to 2:00 on January 22nd (Case 3). The serious pollution duration in the three cases
223 could last 1-3 days due to the differences of their formation mechanisms.

224 In Case 1, the variation trends of the concentrations of the species in $\text{PM}_{2.5}$, NO_x , SO_2 ,
225 HONO and H_2O_2 were almost identical and exhibited three pollution peaks at night (Figure 1),



226 which might be ascribed to the possibility that the decrease of nocturnal mixed boundary layer
227 accelerated the pollutant accumulation (Bei et al., 2017; Zhong et al., 2019). Considering the
228 relatively low RH (15-40 %) and wind speeds ($<2 \text{ m s}^{-1}$) in Case 1 (Figure S2), primary emissions
229 around the sampling site were suspected to be a dominant source for the increase in the $\text{PM}_{2.5}$
230 concentrations. Further evidence is that the correlation between the concentrations of $\text{PM}_{2.5}$ and
231 CO is better in Case 1 ($R^2=0.55$) than in Case 2 and Case 3 ($R^2=0.20\text{--}0.52$) (Figure S3). Identical
232 to Case 1, three obvious pollution peaks were also observed in Case 2 (Figure 1). The variation
233 trends of the concentrations of the species in $\text{PM}_{2.5}$ and typical gaseous pollutants at the first peak
234 in Case 2 were found to be similar with those in Case 1, which were mainly attributed to their
235 similar formation mechanism. However, the evident decreases in NO_x and SO_2 were observed
236 when the concentrations of the species in $\text{PM}_{2.5}$ were increasing and the atmospheric oxidation
237 pollutant (e.g. H_2O_2) concentration peaks were prior to others at the last two peaks in Case 2,
238 suggesting that secondary formation from gaseous precursors might be dominant for $\text{PM}_{2.5}$
239 pollution. The relatively high RH (50-80 %) and the low south wind speeds ($<2 \text{ m s}^{-1}$) in Case 2
240 (Figure S2) provided further evidence for the above speculation. In contrast to Case 1 and Case 2,
241 the relatively high south wind speeds ($>3 \text{ m s}^{-1}$) (Figure S2) with the concentrations of the species
242 in $\text{PM}_{2.5}$ and typical gaseous pollutants increasing slowly (Figure 1) at the beginning of Case 3
243 indicated that regional transportation might be responsible for the atmospheric species.
244 Subsequently, the concentrations of the species in $\text{PM}_{2.5}$ remained relatively high when the
245 atmospheric RH lasted more than 60 %, implying that secondary formation from gaseous
246 precursors dominated $\text{PM}_{2.5}$ pollution during the late period of Case 3.

247 The average mass proportions of the species in $\text{PM}_{2.5}$ in the three cases are illustrated in



248 Figure S4, the proportions of the primary species such as EC (10-13 %), Cl⁻ (6-7 %) and Na⁺ (4 %)
249 in the three cases were almost identical, indicating that primary particle emissions were relatively
250 stable during the sampling period. However, the proportions of SIA in Case 2 (42 %) and Case 3
251 (38 %) were conspicuously greater than that in Case 1 (28 %), further confirming that secondary
252 formation of inorganic ions (e.g. nitrate, sulfate) made a significant contribution to atmospheric
253 PM_{2.5} in Case 2 and Case 3.

254 3.3. Formation mechanism of nitrate and sulfate during serious pollution episodes

255 As for nitrate and sulfate in the three cases, the highest mass proportion (18 %) of nitrate was
256 observed in Case 2, whereas the highest mass proportion (15 %) of sulfate was found in Case 3
257 (Figure S4). Although the concentrations of SO₂ were about a factor of 5 lower than the
258 concentrations of NO₂ in both Case 2 and Case 3 (Figure 1), the extremely high proportion of
259 sulfate in Case 3 might be ascribed to the long-lasting plateau of RH (Figure S2) because the
260 aqueous-phase reaction could accelerate the conversion of SO₂ to SO₄²⁻. To further investigate the
261 pollution characteristics of nitrate and sulfate during the serious pollution episodes, the relations
262 between NOR (NOR = NO₃⁻ / (NO₃⁻+NO_x)) as well as SOR (SOR = SO₄²⁻ / (SO₄²⁻+SO₂)) and RH
263 are shown in Figure 2. There were obvious differences in the variations of NOR and SOR under
264 different atmospheric RH conditions. The variation trends of NOR and SOR almost stayed the
265 same when atmospheric RH was below 30 %, and then simultaneously increased with atmospheric
266 RH in the range of 30-60 %. The enhanced gas-phase reaction and the heterogeneous reaction
267 involving aerosol liquid water might make a remarkable contribution to the elevation of NOR and
268 SOR, respectively, which were further discussed in the following section. Subsequently, the
269 variation trend of NOR slowly decreased whereas the variation trend of SOR significantly



270 increased when atmospheric RH was above 60 %. The reduction of NOR might be due to the
271 deliquescence of nitrate at atmospheric RH around 60 % (Kuang et al., 2016;Liu et al., 2016b;Xue
272 et al., 2014), while the elevation of SOR revealed the dominant contribution of the aqueous-phase
273 reaction to the formation of sulfate.

274 3.3.1. Formation mechanism of nitrate

275 Atmospheric nitrate is considered to be mainly from NO₂ oxidation by OH radical in the gas
276 phase, heterogeneous uptake of NO₂ on the surface of particles and heterogeneous hydrolysis of
277 N₂O₅ on wet aerosols or chloride-containing aerosols (He et al., 2014;He et al., 2018;Nie et al.,
278 2014;Ravishankara, 1997;Wang et al., 2018b). Since atmospheric N₂O₅ is usually produced by the
279 reaction of NO₃ radical with NO₂ as well as both NO₃ radical and N₂O₅ are easily photolytic
280 during the daytime, the heterogeneous hydrolysis of N₂O₅ is a nighttime pathway for the
281 formation of atmospheric nitrate (He et al., 2018;Wang et al., 2018b). As shown in Figure 3a, the
282 mean values of NOR during the nighttime remarkably elevated with atmospheric RH increasing,
283 the disproportionation of NO₂ and the heterogeneous hydrolysis of N₂O₅ involving aerosol liquid
284 water were suspected to dominate the nocturnal formation of nitrate under high RH conditions
285 during the sampling period (Ma et al., 2017;Wang et al., 2018b;Li et al., 2018). However, the
286 productions of HONO and nitrate should be equal through the disproportionation of NO₂ (Ma et
287 al., 2017), which could not explain the wide gaps between the average concentrations of HONO
288 (about 6.5 μg m⁻³) and nitrate (about 20.1 μg m⁻³) observed at the nighttime under high RH
289 conditions during the sampling period. Thus, the disproportionation of NO₂ made insignificant
290 contribution to the nocturnal formation of nitrate under high RH conditions. Considering that the
291 formation of atmospheric NO₃ radical is mainly via the oxidation of NO₂ by O₃, the heterogeneous



292 hydrolysis of N_2O_5 occurs only at high O_3 and NO_2 levels during the nighttime (He et al.,
293 2018; Wang et al., 2018b). Therefore, the correlation between $\text{NO}_2 \times \text{O}_3$ and NOR can represent
294 roughly the contribution of the heterogeneous hydrolysis of N_2O_5 to atmospheric nitrate at night.
295 As shown in Figure 3b, the more significant correlation between $\text{NO}_2 \times \text{O}_3$ and NOR under the
296 $\text{RH} \geq 60\%$ condition ($R^2=0.534$) than under the $\text{RH} < 60\%$ condition ($R^2 < 0.005$) at the nighttime
297 (19:00-6:00) during the sampling period further confirmed that the heterogeneous hydrolysis of
298 N_2O_5 on wet aerosols made a great contribution to atmospheric nocturnal nitrate under high RH
299 conditions.

300 However, the obvious increase in the mean values of NOR during the daytime (especially for
301 10:00-17:00) under the $30\% < \text{RH} < 60\%$ condition (Figure 3a) indicated that additional sources
302 rather than the heterogeneous hydrolysis of N_2O_5 were responsible for the formation of nitrate. To
303 explore the possible formation mechanisms of nitrate in this case, the daily variations of Dust (the
304 sum of Ca^{2+} and Mg^{2+}) $\times \text{NO}_2$ and HONO (the main source of OH) $\times \text{DR} \times \text{NO}_2$, which can
305 represent roughly the heterogeneous reaction of NO_2 on the surface of mineral aerosols and the
306 gas-phase reaction of NO_2 with OH, are shown in Figure 3c and Figure 3d, respectively. The mean
307 values of HONO $\times \text{DR} \times \text{NO}_2$ during the daytime were found to be remarkably greater under the
308 $30\% < \text{RH} < 60\%$ condition than under the $\text{RH} \leq 30\%$ condition, whereas the mean values of Dust \times
309 NO_2 almost stayed the same under the two different RH conditions. Considering the coincident
310 trend of NOR and HONO $\times \text{DR} \times \text{NO}_2$ during the daytime (10:00-17:00) under the $30\% < \text{RH} < 60\%$
311 condition, the gas-phase reaction of NO_2 with OH played a key role in the diurnal formation of
312 nitrate at moderate RH levels with the haze pollution accumulating. It should be noted that the
313 mean values of HONO $\times \text{DR} \times \text{NO}_2$ decreased dramatically from 14:00 to 17:00 (Figure 3d),



314 which was not responsible for the high mean values of NOR at that time (Figure 3a). However, the
315 slight increase in the mean values of $\text{Dust} \times \text{NO}_2$ after 14:00 was observed under the
316 $30\% < \text{RH} < 60\%$ condition (Figure 3c) and hence the heterogeneous reaction of NO_2 on the
317 surface of mineral aerosols was suspected to contribute to the diurnal formation of nitrate at that
318 time under moderate RH condition.

319 3.3.2. Formation mechanism of sulfate

320 Atmospheric sulfate is principally from SO_2 oxidation pathway, including gas-phase
321 reactions with OH radical or stabilized Criegee intermediates, heterogeneous-phase reactions on
322 the surface of particles and aqueous-phase reactions with dissolved O_3 , NO_2 , H_2O_2 and organic
323 peroxides, as well as autoxidation catalyzed by TMI (Cheng et al., 2016; Li et al.,
324 2018; Ravishankara, 1997; Shao et al., 2019; Wang et al., 2016; Xue et al., 2016; Zhang et al., 2018).
325 As shown in Figure 4, similar to the daily variations of NOR, the remarkable elevation of the
326 mean values of SOR after 14:00 under the $30\% < \text{RH} < 60\%$ condition compared to the $\text{RH} \leq 30\%$
327 condition might be also ascribed to the heterogeneous reaction of SO_2 on the surface of mineral
328 aerosols. The extremely high mean values of SOR during the whole day under the $\text{RH} \geq 60\%$
329 condition implied that aqueous oxidation of SO_2 dominated the formation of sulfate during the
330 severe pollution episodes, which was in line with previous studies (Zhang et al., 2018; Cheng et al.,
331 2016). A key factor that influenced the aqueous oxidation pathways for sulfate formation has been
332 considered to be the aerosol pH (Guo et al., 2017; Liu et al., 2017a), varying from 4.5 to 8.5 at
333 different atmospheric RH and sulfate levels during the sampling period (Figure 5a) on the basis of
334 the ISORROPIA-II model. Considering that the aqueous-phase chemistry of sulfate formation
335 usually occurs in severe haze events with relatively high atmospheric RH, the aerosol pH (4.5-5.3)



336 under the $RH \geq 60\%$ condition, which was lower than those (5.4-7.0) in the studies of Wang et al.,
337 (2016) and Cheng et al., (2016) but was slightly higher than those (3.0-4.9) in the studies of Liu et
338 al., (2017a) and Guo et al., (2017), was adopted for evaluating sulfate production in this study. In
339 addition, in terms of oxidants, the obvious increase in the average concentration of NO_2 (Figure 5b)
340 and the evident decrease in the average concentration of O_3 (Figure 5d) were observed with the
341 deterioration of $\text{PM}_{2.5}$ pollution. Furthermore, the average concentration of H_2O_2 was also found
342 to be extremely high (0.25 ppb) under the HP condition (Figure 5c) and was above 1 order of
343 magnitude higher than that (0.01 ppb) assumed by Cheng et al., (2016), which probably resulted in
344 the underestimation of the contribution of H_2O_2 to sulfate formation in the study of Cheng et al.,
345 (2016).

346 To further explore the contribution of H_2O_2 to sulfate production rate under the HP condition,
347 the parameters measured in this study (Table 2) and the same approach that was adopted by Cheng
348 et al., (2016) were used to calculate sulfate production. As shown in Figure 6, the relationships
349 between different aqueous oxidation pathways and aerosol pH in this study were found to be very
350 similar with those of Cheng et al., (2016). However, the contribution of H_2O_2 to sulfate production
351 rate was about a factor of 17 faster in this study (about $1.16 \mu\text{g m}^{-3} \text{h}^{-1}$) than in the study (about
352 $6.95 \times 10^{-2} \mu\text{g m}^{-3} \text{h}^{-1}$) of Cheng et al., (2016), implying that the contribution of H_2O_2 to sulfate
353 formation was largely neglected. Furthermore, considering the aerosol pH calculated under the HP
354 condition during the sampling period, the oxidation pathway of NO_2 might play an insignificant
355 role in sulfate production rate (8.96×10^{-2} - $0.56 \mu\text{g m}^{-3} \text{h}^{-1}$) and its importance proposed by the
356 previous studies (1.74 - $10.85 \mu\text{g m}^{-3} \text{h}^{-1}$) was not necessarily expected.

357 4. Conclusion



358 Based on the comprehensive analysis of the pollution levels, the variation characteristics and
359 the formation mechanisms of the key species in $PM_{2.5}$ and the typical gaseous pollutants during
360 the winter haze pollution periods in Beijing, three serious haze pollution cases were obtained
361 during the sampling period and the SIAs formations especially nitrate and sulfate were found to
362 make an evident contribution to atmospheric $PM_{2.5}$ under the relatively high RH condition. The
363 significant correlation between $NO_2 \times O_3$ and NOR at night under the $RH \geq 60\%$ condition
364 indicated that the heterogeneous hydrolysis of N_2O_5 on wet aerosols was responsible for the
365 nocturnal formation of nitrate under extremely high RH conditions. The more coincident trend of
366 NOR and $HONO \times DR \times NO_2$ than $Dust \times NO_2$ during the daytime under the $30\% < RH < 60\%$
367 condition suggested that the gas-phase reaction of NO_2 with OH played a key role in the diurnal
368 formation of nitrate under moderate RH conditions. The extremely high mean values of SOR
369 during the whole day under the $RH \geq 60\%$ condition could be explained by the dominant
370 contribution of aqueous-phase reaction of SO_2 to atmospheric sulfate formation during the severe
371 pollution episodes. According to the parameters measured in this study and the same approach that
372 was adopted by Cheng et al., (2016), the oxidation pathway of H_2O_2 rather than NO_2 was found to
373 contribute greatly to atmospheric sulfate formation.

374 Our results revealed that the heavy pollution events in winter usually occurred with high
375 concentration levels of pollutants and oxidants as well as high liquid water contents of moderately
376 acidic aerosols in the NCP. Thus, emission controls of NO_x , SO_2 and VOCs especially under the
377 extremely high RH conditions are expected to reduce largely the pollution levels of nitrate and
378 sulfate in northern China and even in other pollution regions of China.

379



380 *Data availability.* Data are available from the corresponding author upon request

381 (yjmu@rcees.ac.cn)

382

383 *Author contributions.* YJM designed the experiments. PFL carried out the experiments and

384 prepared the manuscript. CY and CYX carried out the experiments. CLZ was involved in part of

385 the work. XS provided the meteorological data and trace gases in Beijing.

386

387 *Competing interests.* The authors declare that they have no conflict of interest.

388

389 *Acknowledgement.* This work was supported by the National research program for Key issues in

390 air pollution control (No. DQGG0103, DQGG0209, DQGG0206), the National Natural Science

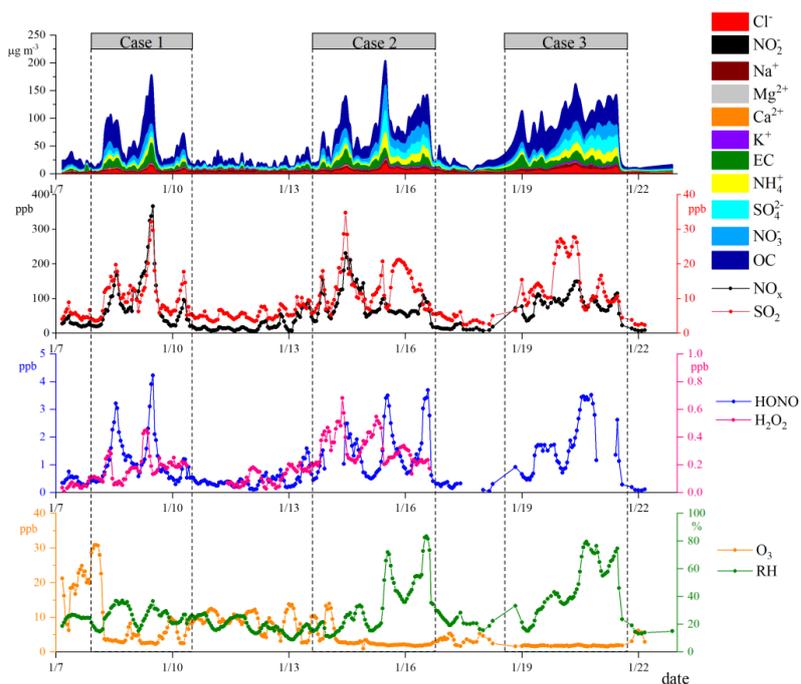
391 Foundation of China (No. 91544211, 4127805, 41575121, 21707151), the National Key Research

392 and Development Program of China (No. 2016YFC0202200, 2017YFC0209703,

393 2017YFF0108301) and Key Laboratory of Atmospheric Chemistry, China Meteorological

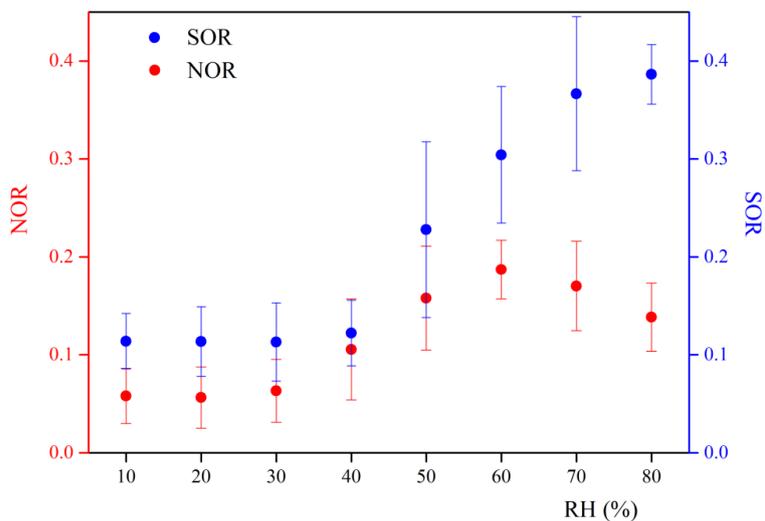
394 Administration (No. 2018B03).

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Figure 1. Time series of the species in PM_{2.5} and typical gaseous pollutants (NO_x, SO₂, O₃, HONO and H₂O₂) as well as atmospheric RH during the sampling period.

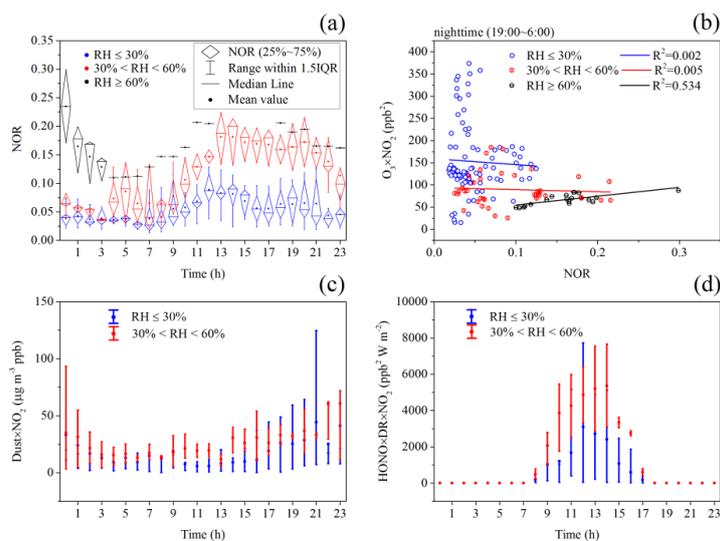


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Figure 2. The relations between NOR as well as SOR and RH during the sampling period.



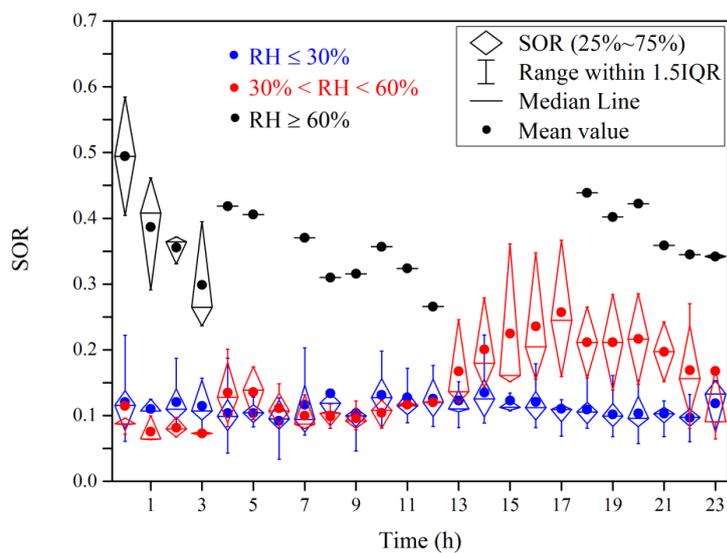
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404 **Figure 3.** Daily variation of NOR (a), the correlation between NOR and O₃ × NO₂ at the nighttime
 405 (b), daily variations of Dust × NO₂ and HONO × DR × NO₂ (c, d) under different atmospheric RH
 406 conditions during the sampling period.

407

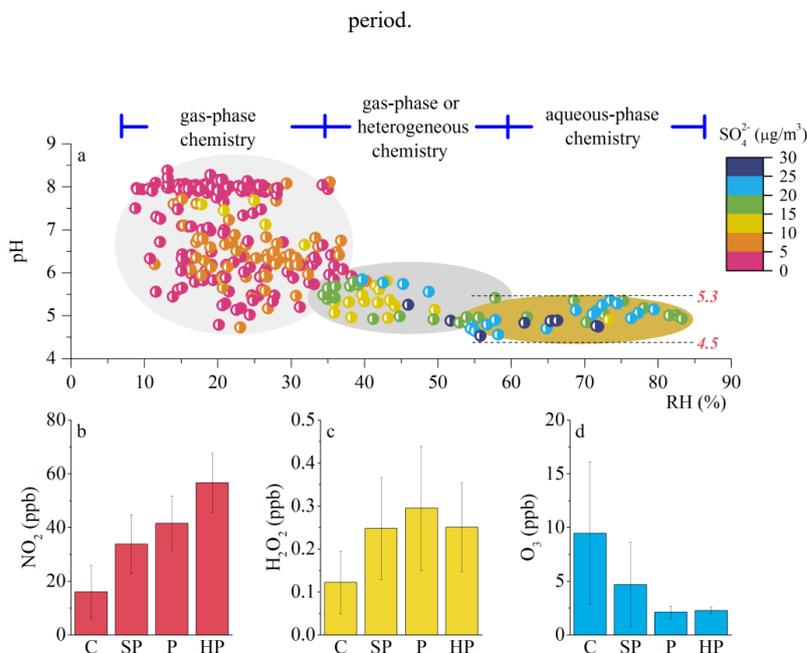


408

409 **Figure 4.** Daily variation of SOR under different atmospheric RH conditions during the sampling

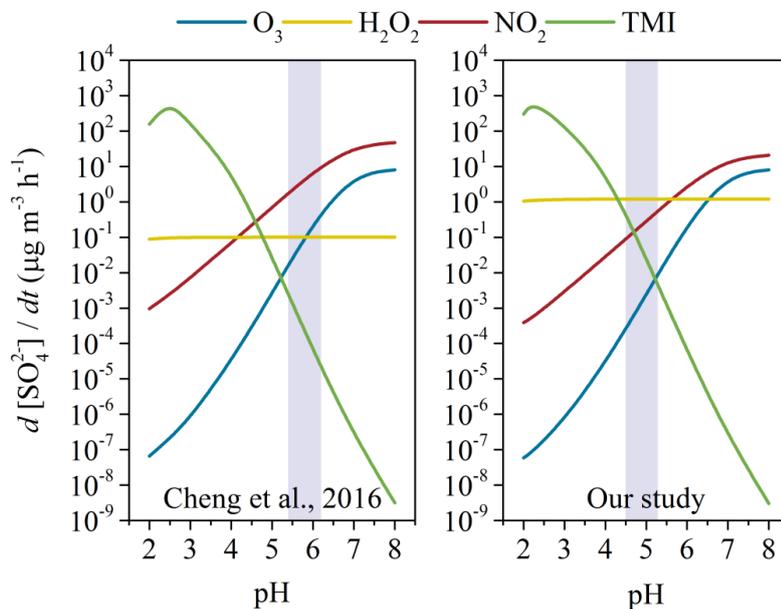


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Figure 5. The correlations among aerosol pH, atmospheric RH and atmospheric SO_4^{2-} (a), the average concentrations of NO_2 , H_2O_2 and O_3 (b, c, d) under different pollution conditions (clean (C), $\text{PM}_{2.5} < 35 \mu\text{g m}^{-3}$; slightly polluted (SP), $35 \mu\text{g m}^{-3} < \text{PM}_{2.5} < 75 \mu\text{g m}^{-3}$; polluted (P), $75 \mu\text{g m}^{-3} < \text{PM}_{2.5} < 150 \mu\text{g m}^{-3}$; heavy polluted (HP), $\text{PM}_{2.5} > 150 \mu\text{g m}^{-3}$) during the sampling period.



418



419 **Figure 6.** The comparison of aqueous-phase sulfate production by SO₂ oxidation under different
 420 aerosol pH conditions between in the study of Cheng et al., (2016) and in this study.

421
 422 **Table 1.** The average concentrations of the species in PM_{2.5} (µg m⁻³) and typical gaseous
 423 pollutants (ppb) during C&SP episodes (PM_{2.5}<75 µg m⁻³), during P&HP episodes (PM_{2.5}≥75 µg
 424 m⁻³) and during the whole sampling period.

species	during C&SP episodes (n=210)	during P&HP episodes (n=108)	total (n=318)
PM _{2.5}	30.00 ± 17.79	113.35 ± 28.10	58.31 ± 45.15
Na ⁺	2.88 ± 1.11	3.68 ± 1.19	3.15 ± 1.21
Mg ²⁺	0.05 ± 0.03	0.08 ± 0.06	0.06 ± 0.04
Ca ²⁺	0.52 ± 0.33	0.67 ± 0.48	0.58 ± 0.40
K ⁺	0.81 ± 0.42	1.84 ± 0.73	1.16 ± 0.73
NH ₄ ⁺	1.90 ± 1.90	11.52 ± 4.93	5.17 ± 5.62
SO ₄ ²⁻	3.64 ± 1.87	14.96 ± 7.80	7.47 ± 7.18
NO ₃ ⁻	3.44 ± 3.57	17.15 ± 7.36	8.10 ± 8.32
Cl ⁻	1.89 ± 1.20	7.35 ± 2.97	3.73 ± 3.26
NO ₂ ⁻	0.06 ± 0.08	0.06 ± 0.05	0.06 ± 0.07
OC	12.10 ± 9.25	43.34 ± 13.88	22.73 ± 18.48
EC	3.98 ± 3.42	12.69 ± 6.43	7.58 ± 6.51
NO _x	39.38 ± 35.25	107.71 ± 58.44	62.59 ± 54.98
NO ₂	21.46 ± 13.04	42.81 ± 10.96	28.71 ± 15.98
SO ₂	6.99 ± 3.64	15.70 ± 6.55	9.95 ± 6.35
O ₃	8.01 ± 6.35	2.13 ± 0.56	6.01 ± 5.87
HONO	0.60 ± 0.43	1.90 ± 0.97	1.01 ± 0.87
H ₂ O ₂	0.17 ± 0.11	0.29 ± 0.14	0.20 ± 0.13

425
 426 **Table 2.** The comparisons for parameters of sulfate production rate calculations between in the
 427 study of Cheng et al., (2016) and in this work during the most polluted haze periods

Parameters	This study	Cheng et al., (2016)
NO ₂	57 ppb	66 ppb
H ₂ O ₂	0.25 ppb	0.01 ppb
O ₃	2 ppb	1 ppb
SO ₂	35 ppb	40 ppb
Fe(III) ^a	18 ng m ⁻³	18 ng m ⁻³
Mn(II) ^a	42 ng m ⁻³	42 ng m ⁻³
ALWC	146 µg m ⁻³	300 µg m ⁻³
Aerosol droplet radius (R) ^a	0.15 µm	0.15 µm
Temperature	270 K	271 K
pH	4.5-5.3	5.4-6.2

428 ^a: both the concentrations of Fe(III) and Mn(II) and aerosol droplet radius were not measured in
 429 this study and were derived from Cheng et al., (2016).

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