1 Haze pollution under a high atmospheric oxidization capacity in summer in

2 Beijing: Insights into formation mechanism of atmospheric physicochemical

- 3 processes
- 4 Dandan Zhao<sup>†1,2</sup>; Guangjing Liu<sup>†3,1</sup>; Jinyuan Xin<sup>\*1,2,4</sup>; Jiannong Quan<sup>5</sup>; Yuesi Wang<sup>1</sup>; Xin Wang<sup>3</sup>;
- 5 Lindong Dai<sup>1</sup>; Wenkang Gao<sup>1</sup>; Guiqian Tang<sup>1</sup>; Bo Hu<sup>1</sup>; Yongxiang Ma<sup>1</sup>; Xiaoyan Wu<sup>1</sup>; Lili
- 6 Wang<sup>1</sup>; Zirui Liu<sup>1</sup>; Fangkun Wu<sup>1</sup>
- 7 1 State Key Laboratory of Atmospheric Boundary Layer Physics and Atmospheric Chemistry (LAPC),
- 8 Institute of Atmospheric Physics, Chinese Academy of Sciences, Beijing 100029, China
- 9 2 University of Chinese Academy of Sciences, Beijing 100049, China
- 10 3 College of Atmospheric Sciences, Lanzhou University, Lanzhou 730000, China.

11 4 Collaborative Innovation Center on Forecast and Evaluation of Meteorological Disasters, Nanjing

- 12 University of Information Science and Technology, Nanjing 210044
- 13 5 Institute of Urban Meteorology, Chinese Meteorological Administration, Beijing, China
- 14 (†) These authors contributed equally to this study.
- 15 (\*) Correspondence: Jinyuan Xin (<u>xjy@mail.iap.ac.cn</u>)

16 Abstract: Under a high atmospheric oxidization capacity, the synergistic effect of the physicochemical processes in the atmospheric boundary layer (ABL) caused summer 17 haze pollution in Beijing. The south/southwest areas, generally 60-300 km away from 18 Beijing, were seriously polluted, in contrast to Beijing, which remained clean. 19 Southerly winds moving faster than 20-30 km h<sup>-1</sup> since the early morning primarily 20 caused haze pollution initiation. The  $PM_{2.5}$  (particulate matter with a dynamic 21 equivalent diameter smaller than 2.5  $\mu$ m) level increased to 75  $\mu$ g m<sup>-3</sup> over several hours 22 during the daytime, which was simultaneously affected by the ABL structure. 23 Additionally, the  $O_3$  concentration was quite high during the daytime (250 µg m<sup>-3</sup>), 24 corresponding to a high atmospheric oxidation capacity. Much sulfate and nitrate were 25 produced through active atmospheric chemical processes, with sulfur oxidation ratios 26 (SORs) up to  $\sim 0.76$  and nitrogen oxidation ratios (NORs) increasing from 0.09 to 0.26, 27 which further facilitated particulate matter (PM) level enhancement. However, the 28 29 increase in sulfate was mainly linked to southerly transport. At midnight, the PM<sub>2.5</sub>

concentration sharply increased from 75 to 150 µg m<sup>-3</sup> over 4 hours and remained at its 30 highest level until the next morning. Under an extremely stable ABL structure, 31 secondary aerosol formation dominated by nitrate was quite intense, driving the haze 32 pollution outbreak. The PM levels in the south/southeast area of Beijing were 33 significantly lower than those in Beijing at this time, even below air quality standards; 34 thus, the contribution of pollution transport had almost disappeared. With the formation 35 of a nocturnal stable boundary layer (NSBL) at an altitude ranging from 0-0.3 km, the 36 extremely low turbulence kinetic energy (TKE) ranging from 0-0.05 m<sup>2</sup> s<sup>-2</sup> inhibited 37 the spread of particles and moisture, ultimately resulting in elevated near-surface PM<sub>2.5</sub> 38 and relative humidity (~90%) levels. Due to the very high humidity and ambient 39 oxidization capacity, NOR rapidly increased from 0.26 to 0.60, and heterogeneous 40 hydrolysis reactions at the moist particle surface were very notable. The nitrate 41 concentration steeply increased from 11.6 to 57.8  $\mu$ g m<sup>-3</sup>, while the sulfate and organics 42 concentrations slightly increased by 6.1 and 3.1 µg m<sup>-3</sup>, respectively. With clean and 43 strong winds passing through Beijing, the stable ABL dissipated with the potential 44 45 temperature gradient becoming negative and the ABL height (ABLH) increasing to ~2.5 km. The high turbulence activity with a TKE ranging from  $3-5 \text{ m}^2 \text{ s}^{-2}$  notably promoted 46 pollution diffusion. The self-cleaning capacity of the atmosphere is commonly 47 responsible for air pollution dispersion. However, reducing the atmospheric oxidization 48 capacity, through strengthening collaborative control of nitrogen oxide (NOx) and 49 volatile organic compounds (VOCs), is urgent, as well as continuously deepening 50 regional joint air pollution control. 51

52 **1 In** 

# Introduction

53 Due to a series of stringent emission control measures (China's State Council 2013 for Pollution Prevention and 54 Action Plan Air Control available at http://gov.cn/zwgk/2013-09/12/), including shutting down heavily polluting factories and 55 replacing coal with clean energy sources, the annual mean PM<sub>2.5</sub> (particulate matter 56 with a dynamic equivalent diameter smaller than 2.5 µm) concentration in major 57 regions, especially in Beijing, has continuously decreased in recent years (Chen et al., 58

2019; Liu et al., 2019a; Cheng et al., 2019a; Ding et al., 2019). However, the ground-59 level O<sub>3</sub> concentration across China has increased rapidly in recent years, especially in 60 61 summer, despite recent reductions in SO<sub>2</sub> and nitrogen oxide (NOx) emissions (Chen et al., 2018; Anger et al., 2016; Wang et al., 2018; Wang et al., 2017b). This discrepancy 62 in the variation trend between O<sub>3</sub> and PM<sub>2.5</sub> may be attributed to inappropriate reduction 63 64 ratios of NOx and volatile organic compounds (VOCs) in PM2.5-control-oriented emission reduction measures, which mainly focus on NOx reduction (Liu et al., 2013a; 65 Cheng et al., 2019b). In addition, a number of studies have demonstrated that reducing 66 ambient particles influences surface ozone generation by affecting heterogeneous 67 reactions and decreasing the photodecomposition rate (O<sub>3</sub> and its precursors) through 68 aerosol-radiation interactions (Liu et al., 2019b; Wang et al., 2019b; He and Carmichael, 69 1999; Dickerson et al., 1997; Tie et al., 2001; Martin et al., 2003; Tie et al., 2005). 70 Recently, even though the PM<sub>2.5</sub> level in Beijing has generally been low due to stringent 71 emission control 72 measures, several haze pollution episodes with alternating/synchronous high ozone concentrations have still occurred in the summer 73 74 of 2019. Regarding the causes of particulate matter (PM) pollution, numerous previous studies have reported that stationary synoptic conditions, local emissions and regional 75 transport, an adverse atmospheric boundary layer (ABL) structure and meteorological 76 conditions as well as secondary aerosol formation are major factors in haze pollution 77 formation (Li et al., 2019; Sun et al., 2012; Wang et al., 2016; Liu et al., 2019c; Huang 78 et al., 2017; Luan et al., 2018; Han et al., 2019). Huang et al. (2017) demonstrated that 79 80 haze pollution in Beijing-Tianjin-Hebei usually occurred when air masses originating from polluted industrial regions in the south prevailed and are characterized by high 81 82 PM<sub>2.5</sub> loadings with considerable contributions from secondary aerosols. Bi et al. (2017) 83 stated that the strong winds and vertical mixing in the daytime scavenged pollution, and the weak winds and stable inversion layer in the nighttime promoted air pollutant 84 accumulation near the surface. Zhong et al. (2018) showed that positive ABL 85 86 meteorological feedback on the PM2.5 mass concentration explains over 70% of the outbreak of pollution. Zhao et al. (2019) also revealed that the constant feedback effect 87

between aerosol radiative forcing and ABL stability continually reduced the 88 atmospheric environmental capacity and aggravated air pollution. The dominant PM 89 90 components, including sulfate, nitrate, ammonium, and organics (Org), are mostly formed via the homogeneous/heterogeneous reactions of gas-phase precursors in the 91 atmosphere (Orrling et al., 2011; Wang et al., 2016) and account for over 50% of the 92 PM<sub>2.5</sub> mass (Wang et al., 2013; Liu et al., 2019a; Sun et al., 2015; Yao et al., 2002). 93 Ming et al. (2017) proved that the contribution of secondary aerosol formation during 94 95 haze pollution episodes was much higher than that before and after haze pollution episodes. 96

Although the causes of high PM<sub>2.5</sub> loadings have been widely examined, most of 97 these studies have focused on haze pollution in winter and only involved one or several 98 key factors. In summer in Beijing, with high solar radiation, O<sub>3</sub> can be quickly formed 99 via photochemical reactions among precursors, including volatile organic compounds 100 (VOCs) and nitrogen oxides (NOx), which contributes to an increase in the ambient 101 oxidizing capacity (Wang et al., 2017c; Ainsworth et al., 2012; Hassan et al., 2013; 102 103 Trainer et al., 2000; Sillman, 1999). Meteorological conditions, including solar radiation, temperature, relative humidity, wind speed and direction, and cloud cover, 104 also play an important role in short-term ozone variations, further affecting the 105 atmospheric oxidization capacity (Lu et al., 2019; Cheng et al., 2019b; Toh et al., 2013; 106 Wang et al., 2017d; Zeng et al., 2018). As ozone pollution is increasingly becoming 107 prominent and the atmospheric oxidation capacity is gradually increasing, the 108 109 formation mechanism of haze pollution under a high atmospheric oxidization capacity needs to be concerned. Previous studies have demonstrated that intense atmospheric 110 photochemical reactions in summer enhanced secondary aerosol formation and led to 111 112 the synchronous occurrence of high  $PM_{2.5}$  and  $O_3$  concentrations on a regional scale (Pathak et al., 2009; Wang et al., 2016; Shi et al., 2015). Nevertheless, the mechanisms 113 of how the overall regional transport, ABL structure, meteorological conditions and 114 secondary aerosol formation interact to quantitatively influence haze pollution under 115 a high atmospheric oxidization capacity in summer remain unclear. Therefore, by 116

closely monitoring air temperature and relative and absolute humidity profiles, vertical 117 velocity and horizontal wind vector profiles, atmospheric backscattering coefficient 118 (BSC) profiles and the ABL height (ABLH), as well as the mass concentration and 119 composition of PM<sub>2.5</sub>, aerosol optical depth (AOD) and mass concentrations of gas 120 pollutants including O<sub>3</sub>, SO<sub>2</sub>, and NO<sub>2</sub>, this paper comprehensively examines the 121 122 formation mechanism of haze pollution under a high ambient oxidization capacity insights into atmospheric physics and chemistry to propose select recommendations 123 for model forecasting and cause analysis of complex air pollution in summer in Beijing. 124

125 2 Instruments and data



126

Figure 1. The geographical location of Beijing city (BJ) marked with a red star as well as surrounding regions and relevant measurement instruments implemented in this paper. The lefttop panel is the topographic distribution of most of China with Beijing and surrounding areas marked, and the right-top panel is the topographic distribution of the Beijing-Tianjin-Hebei

(BTH) region, with the Yanshan Mountains to the north, the Taihang Mountains to the west,
and Bohai Bay to the east. The blue words are abbreviations of city names in the BTH region
(a: microwave radiometer; b: 3D Doppler wind lidar; c: CIMEL sun-photometer; d: ceilometer;
e: Aerodyne aerosol chemical speciation monitor (ACSM); f: multiangle absorption
photometer).

#### 136 **2.1 Instruments and related data**

The observation site was located at the Tower Branch of the Institute of 137 Atmospheric Physics (IAP), Chinese Academy of Sciences (39°58'N, 116°22'E; 138 altitude: 58 m). The IAP site is located at the intersection of the north ring-3 and north 139 ring-4 roads in Beijing, China, among educational, commercial and residential areas, 140 and represents a typical urban site in Beijing (hereinafter BJ site). All the sampling 141 instruments are placed at the same location to conduct simultaneous monitoring. All the 142 data used in this paper were recorded from July 22 to 27, 2019, and are reported in 143 Beijing Standard Time. 144

Air temperature and relative and absolute humidity profiles were collected with a microwave radiometer (RPG-HATPRO-G5 0030109, Germany). The microwave radiometer (hereinafter MWR) produces profiles with a resolution ranging from 10-30 m up to 0.5 km, profiles with a resolution ranging from 40-70 m between 0.5 and 2.5 km and profiles with a resolution ranging from 100-200 m from 2 to 10 km at a temporal resolution of 1 s. A detailed description of RPG-HATPRO-type instruments can be found at http://www.radiometer-physics.de.

Vertical wind speed and horizontal wind vector profiles were retrieved with a 3D Doppler wind lidar (Windcube 100s, Leosphere, France). The wind measurement results have a spatial resolution ranging from 1-20 m up to 0.3 km and one of 25 m from 0.3 to 3 km, with a temporal resolution of 1 s. More instrument details can be found on www.leosphere.com.

A ceilometer (CL51, Vaisala, Finland) recorded atmospheric BSC profiles. The
 CL51 ceilometer digitally sampled the return backscattering signal from 0 to 100 μs
 and provided BSC profiles with a spatial resolution of 10 m from the ground to a height

of 15 km. As PM mostly suspends in the ABL and is barely present in the free
atmosphere, the ABLH was determined by the sharp change in the negative gradient of
BSC profiles (Muenkel et al., 2007). More detailed information on ABLH calculation
and screening can be found in previous studies (Tang et al., 2016; Zhu et al., 2018).

The aerosol optical depth (AOD) is observed by a CIMEL sun-photometer (CE318, France), and the AOD at 500 nm is adopted in this paper. The CE318 instrument is a multichannel, automatic sun-and-sky-scanning radiometer and only acquires measurements during daylight hours (with the sun above the horizon). Detailed information on the AOD inversion method and the CE318 instrument have been presented in Gregory (2011).

The real-time hourly mean  $PM_{2.5}$ ,  $PM_{10}$ ,  $O_3$ ,  $NO_2$  and  $SO_2$  ground levels were downloaded from the China National Environmental Monitoring Center (CNEMC) (available at <u>http://106.37.208.233:20035/</u>). All operational procedures are strictly conducted following the Specification of Environmental Air Quality Automatic Monitoring Technology (HJ/T193-2005, available at

175 <u>http://kjs.mep.gov.cn/hjbhbz/bzwb/dqhjbh/jcgfffbz/200601/t20060101\_71675.htm</u>).

The PM chemical species, including the organics (Org), sulfate  $(SO_4^{2-})$ , nitrate  $(NO_3^{-})$ , 176 ammonium (NH4<sup>+</sup>) and chloride (Cl<sup>-</sup>), were measured every hour with an aerosol 177 chemical speciation monitor (ACSM). More detailed descriptions of the ACSM have 178 been given in Ng et al. (2011). The black carbon (BC) mass concentration was measured 179 with a multiangle absorption photometer (MAAP5012, Thermo Electron). A more 180 detailed description of the MAAP5012 instrument can be found in Petzold and 181 Schonlinner (2004). As shown in Fig. 2, the ACSM-measured PM2.5 mass concentration 182 183 (=organics + sulfate + nitrate + ammonium + chloride + BC) tracked the online PM<sub>2.5</sub> mass concentration well, which was directly measured with a PM analyzer (from 184 CNEMC), with a correlation coefficient ( $\mathbb{R}^2$ ) of 0.82. On average, the ACSM-measured 185 PM<sub>2.5</sub> mass concentration accounts for 80% of the online PM<sub>2.5</sub> mass concentration. All 186 chemical compositions measured by the ACSM, including organics, sulfate, nitrate 187 ammonium and chloride, as well as BC, represent the dominant species of PM<sub>2.5</sub>. 188





Figure 2. Scatter plot of the relationship between the directly measured  $PM_{2.5}$  mass concentration (with the particulate matter analyzer of the China National Environmental Monitoring Center) and the ACSM-measured  $PM_{2.5}$  mass concentration (the sum of the chemical constituent mass concentrations measured with the aerosol chemical speciation monitor (ACSM) and the black carbon (BC) mass concentration measured with the multiangle absorption photometer).

# 196 **2.2 Other datasets**

197 The virtual potential temperature ( $\theta_V$ ) and pseudoequivalent potential temperature 198 ( $\theta_{se}$ ) are calculated by Eqs. (1) and (2), respectively:

199 
$$\theta_{\rm v} = T(1+0.608q)(\frac{1000}{p})^{0.286}$$
 (1)

200 
$$\theta_{se} = T(\frac{1000}{P})^{0.286} exp(\frac{r_s L_v}{C_{pd}T})$$
 (2)

where *T* is the air temperature, *q* is the specific humidity, *p* is the air pressure,  $r_s$  is the saturation mixing ratio, *Lv* is the latent heat of vaporization, i.e.,  $2.5 \times 10^6$  J kg<sup>-1</sup>, and  $C_{pd}$ is the specific heat of air, i.e., 1005 J kg<sup>-1</sup> K<sup>-1</sup>. All the relevant parameters can be calculated from the MWR-measured temperature and humidity profile data, and the  $\theta_v$ and  $\theta_{se}$  values at the different altitudes can then be further obtained. The hourly turbulence kinetic energy (TKE) is calculated as: 207 TKE =  $0.5 \times (\delta_u^2 + \delta_v^2 + \delta_w^2)$  (3)

The one-hour vertical velocity standard deviation  $(\delta_w^2)$  and the one-hour horizontal wind standard deviation  $(\delta_u^2 \text{ and } \delta_v^2)$  are calculated with Eqs. (4), (5) and (6), respectively:

211 
$$\delta_w^2 = \frac{1}{N-1} \sum_{i=1}^N (w_i - \overline{w})^2$$
 (4)

212 
$$\delta_u^2 = \frac{1}{N-1} \sum_{i=1}^N (u_i - \bar{u})^2$$
(5)

213 
$$\delta_{\nu}^{2} = \frac{1}{N-1} \sum_{i=1}^{N} (\nu_{i} - \bar{\nu})^{2}$$
(6)

where N is the number of records each hour,  $w_i$  is the  $i_{th}$  vertical wind velocity (m s<sup>-1</sup>),  $u_i(v_i)$  is the  $i_{th}$  horizontal wind speed (m s<sup>-1</sup>),  $\overline{w}$  is the mean vertical wind speed (m s<sup>-1</sup>), and  $\overline{u}(\overline{v})$  is the mean horizontal wind speed (m s<sup>-1</sup>) (Wang et al., 2019a; Banta et al., 2006). Atmospheric reanalysis data from the National Centers for Environmental Prediction (NCEP) were collected 4 times a day at 0200, 0800, 1400, and 2000 (local time) at a horizontal resolution of  $2.5^{\circ} \times 2.5^{\circ}$ .

220 3 Results and discussion

# 221 **3.1 Typical air pollution episodes in summer in Beijing**



222

Figure 3. (a) Temporal variations in the  $PM_{2.5}$ ,  $PM_{10}$  and  $O_3$  mass concentrations as well as in the aerosol optical depth (AOD) at the BJ site from July 22-27, 2019; (b) temporal variations

in the vertical atmospheric backscattering coefficient (BSC) profiles at the BJ site from July 226 22-27, 2019 (the yellow mark represents the light-haze pollution period, and the red mark 227 represents the heavy-haze pollution episode).

Considering that the daily mean PM<sub>2.5</sub> mass concentration on both 22 July and 228 from 26-27 July exceeded the national secondary standard (75  $\mu$ g m<sup>-3</sup>) (GB3095-2012) 229 with maximum hourly averages up to 131 and 152  $\mu$ g m<sup>-3</sup>, respectively, two severe PM 230 pollution processes occurred, defined as Haze I and Haze II. During these two haze 231 232 periods, high atmospheric BSC levels mainly occurred below an altitude of 0.5 km, with values ranging from 4-6 M m<sup>-1</sup> sr<sup>-1</sup>. This reflects the vertical distribution of 233 ambient particles from the aspect of aerosol scattering to a certain degree, namely, only 234 do the suspended particles concentrated in the lower layer of the atmosphere. Besides, 235 an ozone pollution day is any day when the hourly mean O<sub>3</sub> concentration is higher than 236 160 µg m<sup>-3</sup>; thus, during the observation periods, each day was a severe ozone pollution 237 day. As reported by the Ministry of Ecology and Environment, in 2018, the number of 238 motor vehicles reached 327 million, up by 5.5% year-on-year (available at 239 240 http://www.mee.gov.cn/xxgk2018/xxgk/xxgk15/201909/t20190904 732374.html).

Although stringent pollution control measures have been implemented regarding 241 factories, motor vehicles still discharge large amounts of primary pollutants into the 242 atmosphere, including NOx, HC, VOCs, and CO. Under high solar radiation and 243 temperature levels in summer, photochemical processes are prominent, contributing to 244 a high O<sub>3</sub> concentration along with many highly reactive radicals, which further 245 enhance the oxidizing capacity of the atmosphere (Frischer et al., 1999; Sharma et al., 246 2013). Haze pollution under a high atmospheric oxidation capacity had likely occurred 247 248 on 22 July and from 26-27 July. Generally, due to the stringent pollutant emission control measures, the emission of primary aerosols is low, with a very low PM<sub>2.5</sub> level 249 in summer in Beijing. The sudden elevated ambient particle concentration (the Haze I 250 and Haze II periods) resulted in the worst PM pollution in Beijing that summer and has 251 been widely concerned by the public. Thus, the formation mechanism of the Haze I and 252 Haze II periods during which the PM<sub>2.5</sub> and O<sub>3</sub> concentrations 253 were 254 simultaneously/alternately high should be systematically examined. The key point is to 255 determine the oxidation capacity of the regional atmosphere and to clarify the formation mechanism of secondary aerosols. In addition, the occurrence and evolution patterns of 256 these two haze processes were different, which could refer to the diverse accumulation 257 mechanisms, regional transfer contributions, ABL structures, and removal processes. 258 Therefore, by clarifying the various pollution processes, it should be possible to 259 determine the leading factors of these haze phenomena in Beijing in summer. In 260 261 summary, we will examine the haze pollution causes under a high atmospheric oxidization capacity in terms of the physical processes, such as pollutant sources and 262 sinks and ABL structure influence, and chemical processes, namely, aerosol 263 transformation processes. 264

# 265 **3.2 The formation mechanism of haze pollution in summer in Beijing**



266

Figure 4. Temporal variation in the vertical profiles of the (a) horizontal wind vector (the white arrows denote wind vectors), (b) temperature (T), (c) absolute humidity (AH), and (d) relative humidity (RH) at the BJ site from July 22-27, 2019 (the yellow mark represents the light-haze pollution period, and the red mark represents the heavy-haze pollution episode).



Figure 5. Temporal variation in the vertical profiles of the (a) virtual potential temperature gradient (VPTG:  $\partial \theta_{v}/\partial z$ ), (b) pseudoequivalent potential temperature gradient (EPTG:  $\partial \theta_{se}/\partial z$ ) and (c) turbulent kinetic energy (TKE), along with the corresponding (d) atmospheric boundary layer height (ABLH) at the BJ site from July 22-27, 2019 (the yellow mark represents the lighthaze pollution period, and the red mark represents the heavy-haze pollution episode).

277

271

278



Figure 6. Temporal variation in the (a) PM<sub>2.5</sub>, O<sub>3</sub>, NO<sub>2</sub> and SO<sub>2</sub> mass concentrations, (b) PM<sub>2.5</sub>

chemical composition, including organics (Org), sulfate  $(SO_4^{2-})$ , nitrate  $(NO_3^{-})$ , ammonium salt 281 282  $(NH_4^+)$ , chlorine salt (Cl<sup>-</sup>) and black carbon (BC) at the BJ site from July 22-27, 2019. (c) Temporal variation in the relative contributions of the chemical components to the PM<sub>2.5</sub> mass 283 284 concentration at the BJ site from July 22-27, 2019. (d) Temporal variation in the mass concentrations of the dominant PM2.5 chemical components, sulfur oxidation ratio (SOR) and 285 nitrogen oxidation ratio (NOR) at the BJ site from July 22-27, 2019. (e) Temporal variation in 286 the relative humidity (RH) and temperature (T) at the BJ site from July 22-27, 2019 (the yellow 287 288 mark represents the light-haze pollution period, and the red mark represents the heavy-haze pollution episode). 289

290

### **3.2.1** The occurrence stage

Fig. 3a reveals that the PM level in Beijing gradually increased from 8:00 to 22:00 on 26 July (the Haze II episode) and from 4:00 to 22:00 on 22 July (the Haze I episode), with the PM<sub>2.5</sub> mass concentration eventually reaching 75 and 131  $\mu$ g m<sup>-3</sup>, respectively. These two stages are regarded as the Haze I and Haze II occurrence stages.

295

# a. The contribution of pollution transport

296 Owing to the notable control measures in summer in Beijing, the sudden elevated PM levels very likely originated from an outside region. Clearly, since the early hours 297 on 22 and 26 July, Beijing was located behind the northwest-southeast trough of the 298 850-hPa potential height field, which bordered the Sichuan Basin to the west (Fig. 7a-299 d; Fig. 7i-l). Therefore, Beijing was always controlled by strong southerly winds at high 300 altitudes. With the Taihang Mountains to the east and the Yanshan Mountains to the 301 302 north (Fig. 2), Beijing is a semi-enclosed area; thus, the south wind belt passing through the North China Plain to Beijing will be strengthened (Su et al., 2004). The southerly 303 wind speeds ranged from 8-10 m s<sup>-1</sup> (the Haze II period) and from 5-7 m s<sup>-1</sup> (the Haze 304 I period) at altitudes >0.5 km. Under persistent southerly winds, water vapor was 305 carried to Beijing forming a moisture transport channel which increasingly intensified. 306 (Fig. 7a-d; Fig. 7i-l). In response, the humidity in Beijing increased in the morning of 307 26 July with the AH (RH) ranging from 15-17 g m<sup>-3</sup> (~75%), while the AH (RH) 308 decreased to ~13 g m<sup>-3</sup> (~70%) from 10:00 on (Fig. 4c-d). The air temperature during 309

the daytime was extremely high, ranging from 30-35 °C (Fig. 4b), and these hightemperature weather conditions reduced the humidity by evaporation to a certain degree. Considering that the air temperature was always very high (~30 °C) since the early morning on 22 July, the AH (RH) was ~13 g m<sup>-3</sup> (~65%) during the occurrence stage.

With the more densely populated industrial regions located in the south of Beijing, 314 315 the strong winds blowing from the south were also highly likely to transport large amounts of anthropogenic aerosols to Beijing (Chang et al., 2018; Liu et al., 2013b). To 316 examine the potential PM transportation, we generated PM<sub>2.5</sub> mass concentration 317 distribution maps for most parts of China (Fig. 8) and combined them with 318 corresponding background circulation fields to elucidate the pollution transportation 319 phenomenon. The regional distribution of the PM2.5 mass concentration was obtained 320 by interpolating PM<sub>2.5</sub> data from more than 1000 stations of the China National 321 Environmental Monitoring Centre into a grid  $(0.5^{\circ} \times 0.5^{\circ})$ . Notably, at 2:00 on 26 and 322 22 July, high PM<sub>2.5</sub> mass concentrations ( $\sim$ 70 µg m<sup>-3</sup> during the Haze I episode and  $\sim$ 50 323 ug m<sup>-3</sup> during the Haze II episode) mainly occurred in the south/southwest area of 324 Beijing, which were substantially higher than that in Beijing city ( $\sim 10 \ \mu g \ m^{-3}$ ) (Fig. 8a-325 b; Fig. 8i-l). The heavily polluted southern area of Beijing mainly included Baoding, 326 Langfang and Shijiazhuang, which are generally 60-300 km away from Beijing (Fig. 327 2). The southerly air mass above ~0.5 km moved faster than 20-30 km  $h^{-1}$  (estimated 328 from the measured wind speed) on 26 and 22 July, which was fast enough to transport 329 pollutants to Beijing in several hours. As expected, the area with a high PM<sub>2.5</sub> mass 330 concentration gradually spread northward corresponding to the southerly winds, and 331 consequently, the highest PM<sub>2.5</sub> level occurred in Beijing at 20:00 on both 26 July 332 (reaching  $\sim 65 \text{ µg m}^{-3}$ ) and 22 July (reaching  $\sim 80 \text{ µg m}^{-3}$ ). This was consistent with the 333 PM<sub>2.5</sub> increase trends at this time, as shown in Fig. 3a. The average increase rate of the 334 PM<sub>2.5</sub> concentration (~5.8  $\mu$ g m<sup>-3</sup> h<sup>-1</sup>) on 22 July was higher than that on the 26 July 335 (~3.73  $\mu$ g m<sup>-3</sup> h<sup>-1</sup>), possibly related to the large difference in the PM<sub>2.5</sub> concentration 336 between Beijing city and the southern area of Beijing. These results are consistent with 337 the findings reported by Zhong et al. (2019). Thus, multiple results implied that PM 338

transportation by southerly winds was primarily responsible for the PM increase at theoccurrence stage.

341

# b. The effect of the atmospheric boundary layer structure

As shown in Fig. 5a-b, in the mornings on 26 and 22 July, the positive values of 342 the virtual potential temperature gradient  $(\partial \theta_v / \partial z)$  and pseudoequivalent potential 343 temperature gradient ( $\partial \theta_{se}/\partial z$ ) at altitudes ranging from 0-2 km (the Haze II period) and 344 from 0-1 km altitude (the Haze I period) indicated that a stable atmosphere layer was 345 346 present. Generally, with no solar radiation reaching the ground and more upward longwave radiation emitted from the ground at night, the surface cools faster than the 347 upper atmosphere, thus promoting a stable atmosphere. In response, the turbulent 348 kinetic energy (TKE) was extremely low (0-1 m<sup>2</sup> s<sup>-2</sup>) along with a low ABLH of  $\sim 0.5$ 349 350 km (Fig. 5c-d). This means that on both 26 and 22 July, south winds persisted as the ABL structure was not conducive to vertical substance diffusion. The stable ABL 351 structure suppressing vertical pollution diffusion also contributed to the occurrence of 352 PM pollution to a certain degree. Both  $\partial \theta_v / \partial z$  and  $\partial \theta_{se} / \partial z$  at an altitude ranging from 0-353 354 1.5 km became negative from 14:00-16:00 on 26 July, indicating an unstable atmosphere layer. Generally, the high daytime solar radiation reaching the surface may 355 rebuild the vertical temperature structure and disrupt the stable ABL, especially in 356 summer (Andrews, 2000). Thus, turbulence was quickly generated by the 357 thermodynamic activity with the TKE increasing to 2-3  $s^2 m^{-2}$  and continuing to develop 358 upwards, causing the ABLH to gradually increase to ~1.2 km. This ABL process 359 explained the slight fluctuations in the PM increase at this time in which the PM<sub>10</sub> mass 360 concentration sharply decreased from 100 to 73 µg m<sup>-3</sup>. In contrast to the ABL condition 361 on 26 July (the Haze II stage),  $\partial \theta_{se}/\partial z$  was negative, but  $\partial \theta_v/\partial z$  was positive below ~1.5 362 km in the afternoon on 22 July (the Haze I stage). Combined with a low TKE (0-0.5 m<sup>2</sup> 363  $s^{-2}$ ) similar to that in the morning, the atmospheric stratification below ~1.5 km 364 remained absolutely stable. Maybe due to the low solar radiation gradually heating the 365 ground in the afternoon under cloudy weather conditions, the original stable ABL 366 structure previously formed in the nighttime could not be disrupted. All the above 367

368 results imply that the ABL structure also plays a role in the PM increase at the 369 occurrence stage.

c. Secondary aerosol formation driven by a high atmospheric oxidation capacity 370 When the PM<sub>2.5</sub> concentration increased due to the strong southerly winds in 371 Beijing during the Haze II (Haze I) occurrence stage, O<sub>3</sub> increased sharply, rapidly 372 increasing from 67 (26)  $\mu$ g m<sup>-3</sup> and peaking at 250 (131)  $\mu$ g m<sup>-3</sup>. As mentioned in section 373 3.1, a high O3 concentration indicates a high atmospheric photochemical reactivity (Li 374 et al., 2012; Seinfeld, 1986); thus, the atmosphere had a high oxidizing capacity with 375 large amounts of free radicals (OH, etc.) and ozone, which promoted secondary aerosol 376 formation (Pathak et al., 2009; Shi et al., 2015; Wang et al., 2016). Fig. 6b shows that 377 along with the increase in PM<sub>2.5</sub> concentration during the occurrence stage, the organics, 378 sulfate, and nitrate concentrations in PM2.5 also gradually increased. The average 379 organics, sulfate, and nitrate concentrations during the Haze II (Haze I) occurrence 380 stage were 15.6 (23.0)  $\mu$ g m<sup>-3</sup>, 10.0 (8.0)  $\mu$ g m<sup>-3</sup> and 4.3 (24.7)  $\mu$ g m<sup>-3</sup>, respectively, and 381 accounted for 40.7 (32.1)%, 25.3 (11.2)%, and 12.2 (31.5)%, respectively, of the PM<sub>2.5</sub> 382 383 concentration. The total sulfate, organics, and nitrate (SON) concentration accounted for more than 75% of the PM<sub>2.5</sub> concentration during both the Haze II and Haze I 384 occurrence stages (Fig. 6c), implying that the SON increase was the leading cause of 385 the PM<sub>2.5</sub> concentration increase. Secondary organic aerosols can be formed by the 386 photochemical oxidation reactions of the VOCs emitted by vehicles (Hennigan et al., 387 2011). Thus, the high concentration and relative contribution of organics are mainly 388 389 attributed to the notably active photochemical reactions in summer and high VOCs 390 emissions by vehicles in Beijing city. Due to the lack of VOCs data, the detailed 391 formation mechanism of secondary organics will be studied in the future. To examine the possible formation mechanism of secondary inorganic aerosols, the sulfur oxidation 392 ratio (SOR) and nitrogen oxidation ratio (NOR), defined as  $SOR = [SO_4^{2-}]/([SO_4^{2-}] +$ 393  $[SO_2]$ ) and  $NOR = [NO_3^-]/([NO_3^-] + [NO_2])$ , respectively, where [] indicates the 394 395 molar concentration, were adopted in this paper. Higher SOR and NOR values suggest a higher oxidation efficiency of sulfur and nitrogen, which means that more secondary 396

inorganic aerosols occur in the atmosphere (Liu et al., 2019c; Han et al., 2019; Yao et
al., 2002; Kong et al., 2018; Sun et al., 2006).

399 Both homogeneous gas-phase and heterogeneous reactions can promote the formation of sulfate from SO<sub>2</sub> during haze episodes (Khoder, 2002; Harris et al., 2013), 400 thereby increasing the SOR. Notably, the SOR values during the whole observation 401 period (from 22 to 27 July) were relatively high, averaging 0.62, along with relatively 402 low SO<sub>2</sub> levels, averaging 2.2  $\mu$ g m<sup>-3</sup> (Fig. 6a; d). The observed high SOR values could 403 be attributed to the relatively high RH (an average of ~66.6%) (Fig. 6e) and the 404 ubiquitous photochemical reactions in summer in Beijing (Han et al., 2019). 405 Nevertheless, compared to the very low PM level on clean days (on 25 July) (Fig. 6d), 406 the temporal variation in the sulfate concentration on 26 July (the Haze II period) and 407 22 July (the Haze I period) exhibited a distinct increasing trend during the occurrence 408 stage, gradually increasing from 3.7 to 14.4  $\mu$ g m<sup>-3</sup> and from 4.2 to 11.5  $\mu$ g m<sup>-3</sup>, 409 respectively. Moreover, the SOR values also averaged ~0.76 at higher levels during 410 both the Haze II and Haze I occurrence stages compared to clean days, which attained 411 412 an average of ~0.55 (Fig. 6c). The results indicated enhanced secondary sulfate aerosol formation during the occurrence stage. However, the PM level and sulfate concentration 413 on clean days were very low, but the O<sub>3</sub> concentration was relatively high (Fig. 6a), 414 reaching up to 214 µg m<sup>-3</sup>, which implied highly active photochemical reactions. Thus, 415 although the notable photochemical reactions occurring during the daytime on 26 and 416 22 July facilitated homogeneous gas-phase SO<sub>2</sub> oxidation to a certain extent, it was not 417 the dominant reason for the sulfate increase during the occurrence stage. Notably, the 418 PM level and total chemical component mass concentration slowly increased on 24 July 419 with no pollution transportation by south winds (Fig. 3a-b; Fig. 7e-h; Fig. 8e-h;), while 420 the average sulfate concentration was 2.8  $\mu$ g m<sup>-3</sup> and only accounted for 10.7% of the 421 PM<sub>2.5</sub> concentration, far lower than that during the Haze II period and similar to that on 422 clean days. The average RH was 61.4% and 75.3% during the Haze II and Haze I 423 occurrence stages, respectively, which was also higher than that on clean days (54.5%). 424 According to the results mentioned above, the strong winds blowing from the south and 425

southwest of Beijing transport much moisture and particles, and we infer that the increase in sulfate aerosols during the Haze II and Haze I periods can be mainly attributed to regional transport. Hence, the moisture and particles transported to Beijing further facilitated the heterogeneous reactions of SO<sub>2</sub> on the moist aerosol surface. This highlights the importance and urgency of enhancing joint regional pollution emission control.

Nitrate is predominantly formed via both the homogeneous gas-phase 432 photochemical reaction of NO2 with OH radicals in the daytime when the 433 photochemical activity is high (Wang et al., 2006; Wen et al., 2018; Seinfeld and Pandis, 434 2006) and the heterogeneous hydrolysis reaction of NO3 and N2O5 in the atmosphere in 435 the nighttime (Richards, 1983; Russell et al., 1986; Wang et al., 2009; Wang et al., 436 2017a; Pathak et al., 2011). In addition, there exists an equilibrium between particulate 437 nitrate and gaseous HNO<sub>3</sub> and NH<sub>3</sub> in the atmosphere because ammonium nitrate is 438 semi-volatile (Seinfeld, 1986). A high temperature could promote ammonium nitrate 439 decomposition; thus, the regional transport of ammonium nitrate in summer was not 440 441 considered (Li et al., 2019). Fig. 6b and d reveal that the nitrate concentration (NOR) during the occurrence Haze II stage slightly increased from 3.2  $\mu$ g m<sup>-3</sup> (0.09) at 8:00 to 442 5.2 µg m<sup>-3</sup> (0.23) at 22:00. The nitrate concentration (NOR) during the Haze I 443 occurrence stage sharply increased from 2.7  $\mu$ g m<sup>-3</sup> (0.02) at 8:00 to 38.1  $\mu$ g m<sup>-3</sup> (0.36) 444 at 16:00. The nitrate concentration and relative contribution to PM during the Haze I 445 period were markedly higher than those during the Haze II period (Fig. 6c). This 446 inconsistency could be attributed to the higher temperature (averaging ~34 °C) during 447 the Haze II period than that during the Haze I period (averaging ~27 °C) (Fig. 6e). These 448 449 results indicated that strong photochemical reactions facilitated nitrate formation, thereby increasing the PM2.5 level, while nitrate decomposed into gaseous HNO3 and 450 NH<sub>3</sub> once the temperature was high enough. After 15:00, the nitrate concentration 451 increased in the presence of large amounts of radicals, and the temperature drop 452 inhibited the reverse reaction. In the nighttime, the increase in nitrate aerosols was 453 predominantly attributed to the heterogeneous hydrolysis reactions of NO<sub>3</sub> and N<sub>2</sub>O<sub>5</sub> in 454

455 the atmosphere; more details are provided in the next section.

456 **3.2.2 The outbreak stage** 

The PM<sub>2.5</sub> mass concentration suddenly increased from 75  $\mu$ g m<sup>-3</sup> at 22:00 on 26 July to 146  $\mu$ g m<sup>-3</sup> at 4:00 on 27 July and remained high at ~150  $\mu$ g m<sup>-3</sup> until 10:00, which was identified as the outbreak stage of haze pollution (Fig. 3a). Compared to the atmospheric BSC ranging from 2.5-3 M m<sup>-1</sup> sr<sup>-1</sup> on 26 July, the ambient particle concentration below the ~0.5-km altitude sharply increased the atmospheric scattering coefficient, exceeding 6 M m<sup>-1</sup> sr<sup>-1</sup> (Fig. 3b).

463

# a. The almost negligible contribution of southerly transport

There were still strong southerly winds controlling Beijing at high altitudes (>0.5 km), accompanied by a more notable vapor transportation channel below (Fig. 7m-n). However, the PM levels in the south/southeast area of Beijing, ranging from 0 to ~60  $\mu$ g m<sup>-3</sup>, were significantly lower than those (>80 µg m<sup>-3</sup>) in Beijing, even below air quality standards (Fig. 8n-m). It was unlikely that the explosive PM growth and the persistent high PM level in Beijing were caused by pollution transportation.

470

## b. Extremely stable ABL structures are a prerequisite for pollution outbreaks

Without pollution transportation, more attention was focused on the interior of 471 the local ABL, and Fig. 5 shows the temporal variation in the ABL structure. Both the 472  $\partial \theta_{v}/\partial z$  and  $\partial \theta_{se}/\partial z$  values became positive (~1.5 °C/100 m and ~2.5 °C/100 m, 473 respectively) below the ~0.3-km altitude, as depicted in Fig. 5a-b. This implied that a 474 very stable lower layer defined as the nocturnal stable boundary layer (NSBL) had 475 formed with an ABLH of ~0.3 km. Due to the notable radiation effect of the already 476 high aerosol loading during the daytime, the surface solar radiation was greatly 477 blocked and reduced, which promoted stable stratification at midnight (Zhao et al., 478 2019; Zhong et al., 2017). In such a thermally stable state, the buoyancy transport heat 479 flux in the atmosphere continuously consumes turbulent energy, suppressing the 480 development of turbulence. Therefore, the corresponding TKE had sharply decreased 481 compared to that from 14:00-16:00 on 26 July, lower than  $\sim 0.5 \text{ m}^2 \text{ s}^{-2}$  and even 482 approaching ~0 m<sup>2</sup> s<sup>-2</sup> (Fig. 5c-d). However, the  $\partial \theta_v / \partial z$  and  $\partial \theta_{se} / \partial z$  values were positive 483

and negative, respectively, from  $\sim 0.3$  to  $\sim 1.5$  km, which implies that this atmospheric 484 layer was conditionally instable. Considering the very low TKE like that below ~0.3 485 486 km, this layer, referred to as the residual layer, was also absolutely stable. Thus, the ambient particles were restrained from vertically spreading and were concentrated 487 below the NSBL, thereby increasing the ground PM level. Similarly, ambient water 488 vapor was also not conductive to dispersed, which explained the extremely high 489 humidity during this period. As shown in Fig. 4c-d, the atmospheric humidity during 490 the outbreak stage was distinctly higher than that on 26 July with the AH (RH) reaching 491  $\sim 20$  g m<sup>-3</sup> ( $\sim 90\%$ ). In contrast to the role of the moisture transport channel, the unique 492 NSBL structure has a more notable impact on the increase in air humidity. 493

In contrast, during the Haze I period on 22 July, no PM pollution outbreak stage 494 occurred, as the PM<sub>2.5</sub> mass concentration had sharply decreased from 131 to 53 µg 495 m<sup>-3</sup> in one hour since 21:00. The ambient particles did not accumulate and maintained 496 a high level, similar to that during the Haze II period, because the ABL structure did 497 not exhibit similar characteristics. The already high  $PM_{2.5}$  level (~130 µg m<sup>-3</sup>) in the 498 499 daytime accelerated surface cooling, causing the NSBL to more readily form at a very low height of ~0.2 km. This situation was similar to that during the Haze II episode. 500 Nevertheless, the TKE above the NSBL was very high, reaching 2-3 m<sup>2</sup> s<sup>-2</sup>, in notable 501 contrast to that during the Haze II episode, where the TKE was extremely low (~0 m<sup>2</sup> 502  $s^{-2}$ ) across the whole 0-1.5 km layer. The vertical temperature structures above the 503 NSBL indicated that the atmosphere had attained conditional instability, while in terms 504 505 of the TKE distribution, the atmospheric stratification above the NSBL during the Haze I period was unstable, in contrast to the stable stratification during the Haze II 506 period. Because it rained at night with a high AH (15-20 g m<sup>-3</sup>) and RH (>90%) 507 extending from the surface up to an altitude of  $\sim$ 3 km, the convection activity was 508 quite strong accompanied by a wet deposition process. Due to the unstable ABL 509 510 structure and the accompanying wet deposition, the ambient particle concentration did 511 not sharply increase, but particles were instead removed from the atmosphere.

512 Noted that the PM level on 24 July also tended to increase, but it suddenly

decreased, similar to that during the Haze I stage. There was no transportation effect 513 contributing to the increase in PM level on 24 July under westerly circulation field 514 control (Fig. 7e-h). Similar to the Haze I and Haze II occurrence stages, a stable 515 atmosphere near the surface had formed with positive  $\partial \theta_v / \partial z$  and  $\partial \theta_{sc} / \partial z$  values. Under 516 this stable stratification, the PM from local emissions started increasing on 24 July. The 517 anomalous vertical temperature structures during the nighttime were disrupted and 518 transformed into unstable stratifications at the daytime, with negative  $\partial \theta_{se}/\partial z$  ( $\partial \theta_v/\partial z$ ) 519 520 profiles. As observed during the Haze II episode, the ABL structure characterized by an increased TKE (2-3 m<sup>2</sup> s<sup>-2</sup>) and elevated ABLH (~1.5 km) resulted in rapid pollution 521 dissipation. However, the difference between the Haze II process and the pollution 522 process on 24 July was that the unstable atmospheric stratification with a high TKE on 523 24 July continued to develop until the end of the day, while for the Haze II process, this 524 condition lasted only two or three hours at noon. Additionally, an NSBL was established 525 at midnight during the Haze II process at an ABLH of ~0.3 km, thus worsening the 526 near-stratum vertical diffusion conditions. Therefore, the subsequent stable atmospheric 527 528 stratification on 26 July was a prerequisite for the pollution outbreak during the Haze II process. Particles would not accumulate and cause pollution outbreak without a stable 529 ABL structure but were easily removed by the self-cleaning capacity of the atmosphere. 530

531

532

c. Intense secondary aerosol formation driven by the atmospheric oxidation capacity causing the pollution outbreak

Heterogeneous aqueous reactions refer to the secondary formation of sulfates and 533 nitrates largely related to the ambient humidity (Wang et al., 2012). The accumulation 534 of water vapor in the NSBL facilitated secondary aerosol formation and further 535 536 promoted the outbreak of PM pollution. To investigate the explosive growth 537 mechanisms, we divided the PM pollution outbreak stage during the Haze II process into two stages: stage I, from 22:00 on 26 July to 4:00 on 27 July; stage II, from 5:00 to 538 10:00 on 27 July. During stage I, along with the explosive growth in PM<sub>2.5</sub>, the nitrate 539 concentration rapidly increased from 11.6 to 57.8  $\mu$ g m<sup>-3</sup>, while sulfate and organics 540 slightly increased from 13.7 to 19.8  $\mu$ g m<sup>-3</sup> and from 21.8 to 24.9  $\mu$ g m<sup>-3</sup>, respectively 541

(Fig. 6d). During stage II, the nitrate concentration remained at its highest level of ~57 542  $\mu g$  m<sup>-3</sup>, and the sulfate level remained at ~19  $\mu g$  m<sup>-3</sup>, with the organics slowly 543 decreasing (Fig. 6d). The explosive growth trend of nitrate is the most consistent with 544 that of PM<sub>2.5</sub>. In addition, the average organics, sulfate, and nitrate concentrations 545 during the whole outbreak stage were 20.6, 15.9 and 43.0 µg m<sup>-3</sup>, respectively, and 546 accounted for 22.0%, 17.8%, 34.9%, respectively, of the PM<sub>2.5</sub> concentration. 547 Compared to the occurrence stage, the relative contributions of organics and sulfate to 548 PM<sub>2.5</sub> decreased significantly, while the contribution of nitrate notably increased. These 549 results indicated that the explosive PM<sub>2.5</sub> concentration growth was driven by the sharp 550 increase in nitrate concentration. With strong photochemical reactions during the 551 daytime, the O<sub>3</sub> mass concentration was very high before the outbreak stage, up to 214 552  $\mu g$  m<sup>-3</sup>. NO<sub>2</sub> was produced by O<sub>3</sub> reacting with a large amount of NO, which was 553 discharged by vehicles during evening hours. NO<sub>2</sub> reacted with O<sub>3</sub> aloft to form NO<sub>3</sub>, 554 which rapidly reacted with NO<sub>2</sub> to form N<sub>2</sub>O<sub>5</sub> at night. During stage I, NOR rapidly 555 increased from 0.26 to 0.60, which implied that the NO<sub>2</sub> oxidization rate sharply 556 increased within a few hours. Considering that NO<sub>2</sub> remained relatively low at  $\sim 25 \ \mu g$ 557  $m^{-3}$  and O<sub>3</sub> rapidly decreased from 214 to 46 µg  $m^{-3}$  during stage I (Fig. 6a), the 558 consumption process of NO<sub>2</sub> was more significant than its generation process. The NO<sub>2</sub> 559 produced through O<sub>3</sub> consumption was constantly oxidized by O<sub>3</sub> to generate a large 560 amount of N<sub>2</sub>O<sub>5</sub>, resulting in a sharp decline in the O<sub>3</sub> concentration. Once N<sub>2</sub>O<sub>5</sub> was 561 produced, it would be adsorbed onto moist particle surfaces and react with water 562 droplets to form nitrate, resulting in a sudden nitrate increase, from 11.6 to 57.8 µg m<sup>-</sup> 563 <sup>3</sup>. During stage II,  $O_3$  slowly decreased to 34 µg m<sup>-3</sup> at 6:00 on 27 July, and NO<sub>2</sub> 564 remained relatively high (44-51  $\mu$ g m<sup>-3</sup>), which meant that the NO<sub>2</sub> generation process 565 dominated. Thus, the oxidization of NO<sub>2</sub> did not further increase as the NOR remained 566 at ~0.45 during stage II. Hence, nitrate, formed along the pathway whereby N<sub>2</sub>O<sub>5</sub> was 567 adsorbed onto surfaces and reacted with water droplets, did not further increase, 568 maintaining its highest mass concentration of  $\sim 57 \ \mu g \ m^{-3}$ . The processes mentioned 569 above were unimportant during the daytime because N<sub>2</sub>O<sub>5</sub> was in equilibrium with NO<sub>3</sub>; 570

that is, NO<sub>3</sub> was photolyzed and rapidly destroyed by NO, which in turn occurred 571 whenever  $NO_x$  and sunlight were present. During both stages I and II, the SOR always 572 remained relatively high at ~0.95, accompanied by a high RH of ~90%. A high SOR 573 and RH signified that heterogeneous reactions dominated the formation of particulate 574 sulfate during the outbreak stage. The increased sulfate amount, which was lower than 575 that of nitrate, may be related to the low SO<sub>2</sub> emissions and massive NO emissions from 576 the large number of vehicles. This highlights the importance and urgency of enhancing 577 578 NOx (vehicle) emission control.

579 Contrary to expectations, after the wet deposition process during the Haze I period, 580 the  $PM_{2.5}$  and  $NO_2$  concentrations and the total chemical composition abruptly 581 increased at 0:00 on 23 July, accompanied by a sharp increase in nitrate and NOR (from 582 9.3 to 41.5 µg m<sup>-3</sup> and from 0.26 to 0.49, respectively). These results may be related to 583 the high RH (higher than 93%), which facilitated the heterogeneous hydrolysis reaction 584 of  $NO_3$  and  $N_2O_5$ , formed from gas pollutants NOx and  $O_3$  not completely removed in 585 the wet deposition process.

## 586 **3.2.3 The diffusion stage**

After 10:00 on 27 July, the PM<sub>2.5</sub> mass concentration sharply decreased to 50 µg 587 m<sup>-3</sup> over three hours, during which the atmospheric BSC decreased to  $<1 \times 10^3$  M m<sup>-1</sup> sr<sup>-</sup> 588 <sup>1</sup> across the whole ABL (Fig. 3 and Fig. 80-p). This represented the pollution diffusion 589 590 stage. As no wet deposition process occurred, the Haze II diffusion stage was different from that of Haze I. Generally, the arrival of strong and clean air masses from the south 591 is the main factor dissipating air pollution in Beijing (Zhong et al., 2017; Zhong et al., 592 2018; Zhao et al., 2019). Calm/light winds in the lower layer dominated during the 593 594 outbreak stage, while sudden increased southeast winds persisted in the 0-2 km layer after 8:00 on 27 July, with a wind speed of 6-9 m s<sup>-1</sup> (Fig. 7n-q and Fig. 4a). The 595 southeast winds originated from the Bohai Sea and the Yellow Sea. Moreover, during 596 this diffusion stage, the air quality of the southeast of Beijing was basically clean or 597 much better than that in Beijing (Figure 8(n)-(p)). Therefore, strong southeast winds 598 would not bring pollutants aggravating the pollution in Beijing instead played a role in 599

the horizontal diffusion of the accumulated PM at the surface. On the other hand, 600 accompanied by the horizontal diffusion, the strong solar radiation at noon reached the 601 surface and changed the vertical temperature structure. The ABL was extremely 602 unstable in terms of both  $\partial \theta_v / \partial z$  and  $\partial \theta_{sc} / \partial z$ , which were negative below ~1.0 km with 603 values of -0.5 °C/100 m and -2.5 °C/100 m, respectively (Fig. 5a-b). Along with this 604 instability, the development of turbulence in the ABL was very strong and quick, with 605 the TKE suddenly increasing to 3-5 m<sup>2</sup> s<sup>-2</sup> (Fig. 5c). Accompanied by pronounced 606 turbulence development, the ABL continuously developed upward with the ABLH up 607 to ~2.5 km over a short time (Fig. 5d). The ABL structure quickly became extremely 608 suitable for vertical pollutant diffusion; thus, the PM level sharply decreased during this 609 time. 610

In contrast to PM<sub>2.5</sub>, the O<sub>3</sub> concentration rapidly increased with increasing 611 radiation, along with the high NO<sub>2</sub> and NO concentrations attributed to morning traffic 612 emissions. Along with the decline in PM2.5, organics and sulfate slowly decreased to 613 below  $\sim 3 \ \mu g \ m^{-3}$ , and nitrate decreased to below 1.0  $\mu g \ m^{-3}$ . The average organics, 614 sulfate, and nitrate concentrations were as low as 6.8, 6.2 and 1.9 µg m<sup>-3</sup>, respectively, 615 and accounted for 33.0%, 32.3%, and 6.0%, respectively, of the PM<sub>2.5</sub> concentration. 616 As the significant turbulence activity caused vertical transportation of vapor, heat, and 617 particles, the RH decreased to ~60%, accompanied by a decline in SOR (~0.75). This 618 emphasized the notable correlation between the humidity and the heterogeneous 619 formation mechanism of sulfate. In addition, the NOR rapidly decreased from 0.22 to 620 0.01, coinciding with the change in nitrate. At this stage, the temperature always 621 remained high at ~35 °C. Thus, similar to the occurrence stage, ammonium nitrate 622 evaporated at high temperatures, contributing to a decline in nitrate. In summary, during 623 624 the diffusion stage, the unstable ABL structure was not only conducive to pollution diffusion but also affected T and RH to inhibit secondary aerosol formation and further 625 626 reduced secondary aerosols.

627 Regardless of the wet deposition process during the Haze I period or the horizontal 628 and vertical diffusion during the Haze II period, air pollution eventually dissipated as

long as the atmosphere was in a specific state. In other words, this implies that the self-629 cleaning capacity of the atmosphere was responsible for air pollution dispersion. When 630 the atmosphere attains a specific state, its self-cleaning capacity removes pollution. To 631 examine this phenomenon, the key factors characterizing the self-cleaning capacity of 632 the atmosphere should be determined first. As analyzed above, once the TKE increased 633 to >1.5-2 m<sup>2</sup> s<sup>-2</sup>, the ABLH increased and exceeded ~1 km, and the  $\partial \theta_v / \partial z$  and  $\partial \theta_{sc} / \partial z$ 634 values became negative, as well as no calm/light winds persisted. The atmosphere was 635 instable with notable turbulence activities and advection transport, and air pollution was 636 immediately dissipated. Owing to the limited observation time, the results regarding 637 the characteristics of the self-cleaning capacity of the atmosphere may not be universal, 638 and a more comprehensive investigation on the self-cleaning capacity of the atmosphere 639 will be conducted in the future. 640



641

NOPE 100°E 110°E 120°E 130°E  $20^{\circ}$  yore 100°E 110°E 120°E 130°E  $10^{\circ}$  110°E 120°E 130°E  $20^{\circ}$  yore 100°E 110°E 120°E 130°E  $20^{\circ}$  yore 100°E 110°E 120°E 130°E  $20^{\circ}$  yore 100°E 110°E 120°E 130°E  $20^{\circ}$  yore 10°E  $20^{\circ}$  yore 10°E 10°E 120°E 130°E  $20^{\circ}$  yore 10°E 10°E 120°E 130°E  $20^{\circ}$  yore 10°E 110°E 120°E 120°E

642 Figure 7. Composites of the 850-hPa horizontal wind vector field (units: m s<sup>-1</sup>; white arrows),

- 643 850-hPa geopotential height field (units: m; solid lines) and 850-hPa specific humidity field
- 644 (units: g kg<sup>-1</sup>; shaded colors) at 0200, 0800, 1400, and 2000 (local time) on 22 and 24 July and
- 645 from 26-27 July, labeled as (a) (p). The star shows the location of the BJ site.
- 646



Figure 8. The PM<sub>2.5</sub> mass concentration distribution (units:  $\mu g m^{-3}$ ; shaded colors) over most of

- 649 China at 0200, 0800, 1400, and 2000 (local time) on 22 and 24 July and from 26–27 July,
- 650 labeled as (a)–(p).

647

651 4 Conclusion



652

Figure 9. Schematic diagram for the formation mechanism of haze pollution under a high atmospheric oxidization capacity in summer in Beijing (blue dashed line: atmospheric boundary layer; red solid lines: potential temperature gradient profiles; brown solid line: temporal change curve of the ozone concentration; cyan solid line: temporal change curve of the PM<sub>2.5</sub> mass concentration; gray arrow sectors: temporal change in the wind vector profiles; TKE: turbulence kinetic energy; solid dots: particulate matter in the atmosphere; droplets: water vapor).

pollution 660 The extremely serious haze episode characterized by alternating/synchronous heavy PM loadings and high ozone concentrations occurred 661 this summer in Beijing. Combined with a series of observations, the formation 662 mechanism of haze pollution under a high atmospheric oxidization capacity has been 663 systematically analyzed in terms of the atmospheric physical and chemical processes 664 665 and schematically depicted in Fig. 9. The occurrence of haze pollution in summer in Beijing was mainly attributed to southerly transport and influenced by the ABL 666 structure to a certain degree (physical process), which was further promoted by intense 667 secondary aerosol formation under a high atmospheric oxidation capacity (chemical 668 process). On the one hand, the physical process, where large amounts of moisture and 669 particles were transported to Beijing by strong southerly winds, caused haze pollution 670 initiation in Beijing, consistent with previous studies, e.g., Huang et al. (2017) and 671

Zhong et al. (2019). Moreover, we found that haze pollution occurred when the ABL 672 structure was extremely stable with a low TKE and a positive potential temperature 673 gradient  $(\partial \theta / \partial z)$ , which increased the PM level in Beijing. The stable ABL was 674 disrupted and transformed into an unstable structure (negative  $\partial \theta / \partial z$ ) with high solar 675 radiation in the afternoon (Andrews, 2000), responsible for the fluctuations in the PM 676 increase process. On the other hand, the concentration of secondary aerosols such as 677 sulfate, nitrate, and organics quickly increased. The very high O<sub>3</sub> concentration in the 678 679 daytime indicates an active atmospheric photochemical reactivity (Li et al., 2012; Seinfeld, 1986) and a high atmospheric oxidizing capacity with large amounts of free 680 radicals (OH, etc.) and ozone, which promotes secondary aerosol formation (Pathak et 681 al., 2009; Shi et al., 2015; Wang et al., 2016). However, we found that the distinct 682 increase in sulfate concentration was mainly linked to southerly transport, which carried 683 heavy sulfate aerosol loadings to Beijing. The physical process, where the extremely 684 stable ABL inhibited PM and moisture diffusion, thus increasing the ambient humidity 685 and ground-level PM<sub>2.5</sub>, was a prerequisite for haze pollution outbreak. Under a stable 686 687 ABL, secondary aerosol formation dominated by nitrate was quite intense, driving the pollution outbreak. The PM levels in the south/southeast area of Beijing were 688 significantly lower than those in Beijing, even below air quality standards. The 689 contribution of pollution transport was negligible. Owing to the already high PM<sub>2.5</sub> level 690 during the daytime, the strong aerosol radiation effect cooled the surface and heated the 691 above layer (Dickerson et al., 1997; Stone et al., 2008; Wilcox et al., 2016), which 692 facilitated NSBL formation. The  $\partial \theta / \partial z$  value in the NSBL was thus found to be positive, 693 thus increasing the atmospheric stability, decreasing the ABLH and decreasing the TKE. 694 695 The ambient particles and moisture would be restrained from vertically spreading and became concentrated below the NSBL (Stone et al., 2008), resulting in elevated PM 696 and humidity levels at the surface. In addition, there was a large increase in NOR and 697 an explosive growth in the nitrate concentration during the outbreak stage. Due to the 698 high O<sub>3</sub> level produced by the intense photochemical reactions during the daytime and 699 the NOx discharged by vehicles during evening peak hours, vast amounts of N<sub>2</sub>O<sub>5</sub> and 700

701 NO<sub>3</sub> were formed through oxidization reactions (Chang et al., 1967; Wilson Jr et al., 1972). Under a very high humidity, the heterogeneous hydrolysis reactions of  $N_2O_5$  and 702 NO<sub>3</sub> at the moist particle surface were very notable, resulting in the formation of large 703 amounts of nitrate aerosols (Richards, 1983; Russell et al., 1986; Wang et al., 2009; 704 Wang et al., 2017a; Pathak et al., 2011). Considering that pollutant transport from 705 outside considerably affected haze formation in Beijing, especially during the 706 occurrence stage, continuous regional joint control of air pollution should be enhanced. 707 708 In addition, as reported in previous studies (Li et al., 2012; Pathak et al., 2009; Seinfeld, 1986; Shi et al., 2015; Wang et al., 2016; Zhong et al., 2018) and confirmed in this study, 709 the atmospheric oxidization capacity, enhanced by photochemical reactions, largely 710 facilitated secondary aerosol formation, which further aggravated pollution. In this 711 712 study, secondary organic aerosols and secondary nitrate aerosols significantly increased and were the most important constituents of particles during the haze episodes. 713 Photolysis of NOx triggers photochemical reactions, in which the reactions with VOCs 714 are important (Hennigan et al., 2011; Seinfeld and Pandis, 2006; Wang et al., 2006; Wen 715 716 et al., 2018). Additionally, NOx and VOCs are precursors of nitrate and organics, respectively. Thus, controls should be strengthened for supervising heavy diesel 717 vehicles and collaboratively controlling NOx and VOC emissions. As the PM level 718 gradually increased, a wet deposition process and an extremely unstable ABL structure 719 were observed on 22 July (the Haze I period) and 24 July, respectively, and the ambient 720 particles sharply decreased before the outbreak stage. This emphasized that the ABL 721 structure extremely restrained the diffusion of substances and was a prerequisite for 722 pollution outbreaks. With clean and strong winds passing through Beijing, the ABL 723 724 became unstable with a negative  $\partial \theta / \partial z$  value and an increased ABLH. The high turbulence activity promoted pollution diffusion. Regardless of the wet deposition 725 process or the high turbulence activity, air pollution would eventually dissipate once 726 the atmosphere was in a specific state. The self-cleaning capacity of the atmosphere 727 was responsible for air pollution diffusion. When the atmosphere is in a specific state, 728 729 its self-cleaning capacity becomes dominant, which is worthy of further study.

#### 730 Data availability

The surface  $PM_{2.5}$  and  $PM_{10}$  data and observation data of the other trace gases in this study can be accessed at <u>http://106.37.208.233:20035/</u>. Atmospheric reanalysis data were obtained from the National Centers for Environmental Prediction (NCEP) (<u>https://www.esrl.noaa.gov/psd/data/</u>). The other datasets can be obtained upon request from the corresponding author.

## 736 Author contribution

ZD and LG performed the research and wrote the paper, contributing equally to this
study. XJ, QJ, WY and WX provided writing guidance, revised and polished the paper.
LZ, TG, HB and WL designed the experiments and DL, MY, WX and WF carried them
out. GC contributed to discussions of results. All the authors have made substantial
contributions to the work reported in the manuscript.

#### 742 **Competing interests.**

743 The authors declare that they have no conflict of interest.

## 744 Acknowledgments

745 This study was supported by the Ministry of Science and Technology of China (grant number 2016YFC0202001), the CAS Strategic Priority Research Program 746 (XDA23020301) and the National Natural Science Foundation of China (grant number 747 41375036). The authors are grateful for services rendered by the National Oceanic and 748 Atmospheric Administration (NOAA) and National Centers for Environmental 749 Prediction (NCEP). The authors are thankful for the data support from the National 750 751 Earth System Science Data Sharing Infrastructure, National Science & Technology Infrastructure of China (available at http://www.geodata.cn). 752

## 753 **References**

- Andrews, D. G.: An Introduction to Atmospheric Physics, Cambridge University Press,
  https://doi.org/10.1017/CBO9780511800788, 2000.
- Ainsworth, E. A., Yendrek, C. R., Sitch, S., Collins, W. J., and Emberson, L. D.: The effects of
- 757 tropospheric ozone on net primary productivity and implications for climate change, Annu. Rev. Plant
- 758 Biol., 63, 637-661, https://doi.org/10.1146/annurev-arplant-042110-103829, 2012.

- 759 Anger, A., Dessens, O., Xi, F., Barker, T., and Wu, R.: China's air pollution reduction efforts may result
- in an increase in surface ozone levels in highly polluted areas, Ambio, 45, 254-265,
  https://doi.org/10.1007/s13280-015-0700-6, 2016.
- 762 Banta, R. M., Pichugina, Y. L., and Brewer, W. A.: Turbulent velocity-variance profiles in the stable
- 763 boundary layer generated by a nocturnal low-level jet, J. Atmos. Sci., 63, 2700-2719,
- 764 https://doi.org/10.1175/jas3776.1, 2006.
- 765 Bi, J., Huang, J., Shi, J., Hu, Z., Zhou, T., Zhang, G., Huang, Z., Wang, X., and Jin, H.: Measurement of
- 766 scattering and absorption properties of dust aerosol in a Gobi farmland region of northwestern China a
- potential anthropogenic influence, Atmos. Chem. Phys., 17, 7775-7792, https://doi.org/10.5194/acp-17-
- 768 7775-2017, 2017.
- 769 Chang, T.Y., Kuntasal, G., Pierson, W.R.: Night-time N2O5/NO3 chemistry and nitrate in dew water,
- 770 Atmos. Environ., 21(6), 1345-1351, https://doi.org/10.1016/0004-6981(67)90081-9, 1967.
- 771 Chang, X., Wang, S., Zhao, B., Cai, S., and Hao, J.: Assessment of inter-city transport of particulate
- 772 matter in the Beijing-Tianjin-Hebei region, Atmos. Chem. Phys., 18, 4843-4858,
  773 https://doi.org/10.5194/acp-18-4843-2018, 2018.
- 774 Chen, L., Guo, B., Huang, J., He, J., Wang, H., Zhang, S., and Chen, S. X.: Assessing air-quality in
- 775 Beijing-Tianjin-Hebei region: The method and mixed tales of PM2.5 and O-3, Atmos. Environ., 193,
- 776 290-301, https://doi.org/10.1016/j.atmosenv.2018.08.047, 2018.
- 777 Chen, Z., Chen, D., Wen, W., Zhuang, Y., Kwan, M. P., Chen, B., Zhao, B., Yang, L., Gao, B., Li, R., and
- 778 Xu, B.: Evaluating the "2+26" regional strategy for air quality improvement during two air pollution
- alerts in Beijing: variations in PM2.5 concentrations, source apportionment, and the relative contribution
- 780 of local emission and regional transport, Atmos. Chem. Phys., 19, 6879-6891,
- 781 https://doi.org/10.5194/acp-19-6879-2019, 2019.
- 782 Cheng, J., Su, J., Cui, T., Li, X., Dong, X., Sun, F., Yang, Y., Tong, D., Zheng, Y., Li, Y., Li, J., Zhang,
- 783 Q., and He, K.: Dominant role of emission reduction in PM2.5 air quality improvement in Beijing during
- 784 2013-2017: a model-based decomposition analysis, Atmos. Chem. Phys., 19, 6125-6146,
- 785 https://doi.org/10.5194/acp-19-6125-2019, 2019a.
- 786 Cheng, N., Li, R., Xu, C., Chen, Z., Chen, D., Meng, F., Cheng, B., Ma, Z., Zhuang, Y., He, B., and Gao,
- 787 B.: Ground ozone variations at an urban and a rural station in Beijing from 2006 to 2017: Trend,

- meteorological influences and formation regimes, J. Cleaner Prod., 235, 11-20,
  https://doi.org/10.1016/j.jclepro.2019.06.204, 2019b.
- 790 Dickerson, R. R., Kondragunta, S., Stenchikov, G., Civerolo, K. L., Doddridge, B. G., and Holben, B.
- N.: The impact of aerosols on solar ultraviolet radiation and photochemical smog, Science, 278, 827-830,
- 792 https://doi.org/10.1126/science.278.5339.827, 1997.
- 793 Ding, A., Huang, X., Nie, W., Chi, X., Xu, Z., Zheng, L., Xu, Z., Xie, Y., Qi, X., Shen, Y., Sun, P., Wang,
- J., Wang, L., Sun, J., Yang, X.-q., Qin, W., Zhang, X., Cheng, W., Liu, W., Pan, L., and Fu, C.: Significant
- reduction of PM2.5 in eastern China due to regional-scale emission control: evidence from SORPES in
- 796 2011–2018, Atmos. Chem. Phys., 19, 11791–11801, https://doi.org/https://doi.org/10.5194/acp-19-
- 797 11791-2019., 2019.
- 798 Frischer, T., Studnicka, M., Gartner, C., Tauber, E., Horak, F., Veiter, A., Spengler, J., Kuhr, J., and
- 799 Urbanek, R.: Lung function growth and ambient ozone A three-year population study in school children,
- 800 Am. J. Resp. Cirt. Care, 160, 390-396, https://doi.org/10.1164/ajrccm.160.2.9809075, 1999.
- 801 Gregory, L.: Cimel Sunphotometer (CSPHOT) Handbook, Office of Scientific & Technical Information
- 802 Technical Reports, https://doi.org/10.2172/1020262, 2011.
- 803 Han, L., Xiang, X., Zhang, H., Cheng, S., Wang, H., Wei, W., Wang, H., and Lang, J.: Insights into
- submicron particulate evolution, sources and influences on haze pollution in Beijing, China, Atmos.
- 805 Environ., 201, 360-368, https://doi.org/10.1016/j.atmosenv.2018.12.045, 2019.
- 806 Harris, E., Sinha, B., van Pinxteren, D., Tilgner, A., Fomba, K. W., Schneider, J., Roth, A., Gnauk, T.,
- 807 Fahlbusch, B., Mertes, S., Lee, T., Collett, J., Foley, S., Borrmann, S., Hoppe, P., and Herrmann, H.:
- 808 Enhanced Role of Transition Metal Ion Catalysis During In-Cloud Oxidation of SO2, Science, 340, 727-
- 809 730, https://doi.org/10.1126/science.1230911, 2013.
- 810 Hassan, I. A., Basahi, J. M., Ismail, I. M., and Habeebullah, T. M.: Spatial Distribution and Temporal
- 811 Variation in Ambient Ozone and Its Associated NOx in the Atmosphere of Jeddah City, Saudi Arabia,
- 812 Aerosol Air. Qual. Res, 13, 1712-1722, https://doi.org/10.4209/aaqr.2013.01.0007, 2013.
- 813 He, S., and Carmichael, G. R.: Sensitivity of photolysis rates and ozone production in the troposphere to
- 814 aerosol properties, J. Geophys. Res.: Atmos., 104, 26307-26324, https://doi.org/10.1029/1999jd900789,
- 815 1999.
- 816 Hennigan, C. J., Miracolo, M. A., Engelhart, G. J., May, A. A., Presto, A. A., Lee, T., Sullivan, A. P.,

- 817 McMeeking, G. R., Coe, H., Wold, C. E., Hao, W. M., Gilman, J. B., Kuster, W. C., de Gouw, J., Schichtel,
- 818 B. A., Collett, J. L., Jr., Kreidenweis, S. M., and Robinson, A. L.: Chemical and physical transformations
- 819 of organic aerosol from the photo-oxidation of open biomass burning emissions in an environmental
- 820 chamber, Atmos. Chem. Phys., 11, 7669-7686, https://doi.org/10.5194/acp-11-7669-2011, 2011.
- 821 Huang, X., Liu, Z., Liu, J., Hu, B., Wen, T., Tang, G., Zhang, J., Wu, F., Ji, D., Wang, L., and Wang, Y.:
- 822 Chemical characterization and source identification of PM2.(5) at multiple sites in the Beijing-Tianjin-
- 823 Hebei region, China, Atmos. Chem. Phys., 17, 12941-12962, https://doi.org/10.5194/acp-17-12941-2017,
- 824 2017.
- Khoder, M. I.: Atmospheric conversion of sulfur dioxide to particulate sulfate and nitrogen dioxide to
  particulate nitrate and gaseous nitric acid in an urban area, Chemosphere, 49, 675-684,
  https://doi.org/10.1016/s0045-6535(02)00391-0, 2002.
- 828 Kong, L., Du, C., Zhanzakova, A., Cheng, T., Yang, X., Wang, L., Fu, H., Chen, J., and Zhang, S.: Trends
- 829 in heterogeneous aqueous reaction in continuous haze episodes in suburban Shanghai: An in-depth case
- 830 study, Sci. Total Environ., 634, 1192-1204, https://doi.org/10.1016/j.scitotenv.2018.04.086, 2018.
- 831 Li, L., Chen, C. H., Huang, C., Huang, H. Y., Zhang, G. F., Wang, Y. J., Wang, H. L., Lou, S. R., Qiao,
- 832 L. P., Zhou, M., Chen, M. H., Chen, Y. R., Streets, D. G., Fu, J. S., and Jang, C. J.: Process analysis of
- 833 regional ozone formation over the Yangtze River Delta, China using the Community Multi-scale Air
- 834 Quality modeling system, Atmos. Chem. Phys., 12, 10971-10987, https://doi.org/10.5194/acp-12-10971-
- 835 2012, 2012.
- 836 Li, W., Liu, X., Zhang, Y., Tan, Q., Feng, M., Song, M., Hui, L., Qu, Y., An, J., and Gao, H.: Insights into
- 837 the phenomenon of an explosive growth and sharp decline in haze: A case study in Beijing, J. Environ.
- 838 Sci. (China), 84, 122-132, https://doi.org/10.1016/j.jes.2019.04.015, 2019.
- Liu, G., Xin, J., Wang, X., Si, R., Ma, Y., Wen, T., Zhao, L., Zhao, D., Wang, Y., and Gao, W.: Impact of
- the coal banning zone on visibility in the Beijing-Tianjin-Hebei region, Sci. Total Environ., 692, 402-
- 841 410, https://doi.org/10.1016/j.scitotenv.2019.07.006, 2019a.
- 842 Liu, H., Wang, X. M., Pang, J. M., and He, K. B.: Feasibility and difficulties of China's new air quality
- standard compliance: PRD case of PM2.5 and ozone from 2010 to 2025, Atmos. Chem. Phys., 13, 12013-
- 844 12027, https://doi.org/10.5194/acp-13-12013-2013, 2013a.
- 845 Liu, Q., Liu, T., Chen, Y., Xu, J., Gao, W., Zhang, H., and Yao, Y.: Effects of aerosols on the surface

- 846 ozone generation via a study of the interaction of ozone and its precursors during the summer in Shanghai,
- 847 China, Sci. Total Environ., 675, 235-246, https://doi.org/10.1016/j.scitotenv.2019.04.121, 2019b.
- 848 Liu, X. G., Li, J., Qu, Y., Han, T., Hou, L., Gu, J., Chen, C., Yang, Y., Liu, X., Yang, T., Zhang, Y., Tian,
- 849 H., and Hu, M.: Formation and evolution mechanism of regional haze: a case study in the megacity
- 850 Beijing, China, Atmos. Chem. Phys., 13, 4501-4514, https://doi.org/10.5194/acp-13-4501-2013, 2013b.
- 851 Liu, Z., Hu, B., Ji, D., Cheng, M., Gao, W., Shi, S., Xie, Y., Yang, S., Gao, M., Fu, H., Chen, J., and Wang,
- 852 Y.: Characteristics of fine particle explosive growth events in Beijing, China: Seasonal variation,
- chemical evolution pattern and formation mechanism, Sci. Total Environ., 687, 1073-1086,
  https://doi.org/10.1016/j.scitotenv.2019.06.068, 2019c.
- 855 Lu, X., Zhang, L., Chen, Y., Zhou, M., Zheng, B., Li, K., Liu, Y., Lin, J., Fu, T. M., and Zhang, Q.:
- 856 Exploring 2016–2017 surface ozone pollution over China: source contributions and meteorological
- 857 influences, Atmos. Chem. Phys., 19, 8339-8361, https://doi.org/10.5194/acp-19-8339-2019, 2019.
- Luan, T., Guo, X., Guo, L., and Zhang, T.: Quantifying the relationship between PM2.5 concentration,
- visibility and planetary boundary layer height for long-lasting haze and fog-haze mixed events in Beijing,
- 860 Atmos. Chem. Phys., 18, 203-225, https://doi.org/10.5194/acp-18-203-2018, 2018.
- 861 Martin, R. V., Jacob, D. J., Yantosca, R. M., Chin, M., and Ginoux, P.: Global and regional decreases in
- tropospheric oxidants from photochemical effects of aerosols, J. Geophys. Res.: Atmos., 108,
- 863 https://doi.org/10.1029/2002jd002622, 2003.
- Ming, L., Jin, L., Li, J., Fu, P., Yang, W., Liu, D., Zhang, G., Wang, Z., and Li, X.: PM2.5 in the Yangtze
- 865 River Delta, China: Chemical compositions, seasonal variations, and regional pollution events, Environ.
- 866 Pollut., 223, 200-212, https://doi.org/10.1016/j.envpol.2017.01.013, 2017.
- 867 Muenkel, C., Eresmaa, N., Rasanen, J., and Karppinen, A.: Retrieval of mixing height and dust
- 868 concentration with lidar ceilometer, Bound-Lay. Meteorol, 124, 117-128,
  869 https://doi.org/10.1007/s10546-006-9103-3, 2007.
- 870 Ng, N. L., Herndon, S. C., Trimborn, A., Canagaratna, M. R., Croteau, P. L., Onasch, T. B., Sueper, D.,
- 871 Worsnop, D. R., Zhang, Q., Sun, Y. L., and Jayne, J. T.: An Aerosol Chemical Speciation Monitor (ACSM)
- 872 for Routine Monitoring of the Composition and Mass Concentrations of Ambient Aerosol, Aerosol Sci.
- 873 Tech., 45, 780-794, https://doi.org/10.1080/02786826.2011.560211, 2011.
- 874 Orrling, D., Fitzgerald, E., Ivanov, A., and Molina, M.: Enhanced sulfate formation on ozone-exposed

- 875 soot, J. Aerosol Sci., 42, 615-620, https://doi.org/10.1016/j.jaerosci.2011.04.004, 2011.
- 876 Pathak, R. K., Wu, W. S., and Wang, T.: Summertime PM2.5 ionic species in four major cities of China:
- 877 nitrate formation in an ammonia-deficient atmosphere, Atmos. Chem. Phys., 9, 1711-1722,
- 878 https://doi.org/10.5194/acp-9-1711-2009, 2009.
- 879 Pathak, R. K., Wang, T., and Wu, W. S.: Nighttime enhancement of PM2.5 nitrate in ammonia-poor
- atmospheric conditions in Beijing and Shanghai: Plausible contributions of heterogeneous hydrolysis of
- 881 N2O5 and HNO3 partitioning, Atmos. Environ., 45, 1183-1191,
- 882 https://doi.org/10.1016/j.atmosenv.2010.09.003, 2011.
- 883 Petzold, A., and Schonlinner, M.: Multi-angle absorption photometry a new method for the
- measurement of aerosol light absorption and atmospheric black carbon, J. Aerosol Sci., 35, 421-441,
- 885 https://doi.org/10.1016/j.jaerosci.2003.09.005, 2004.
- 886 Richards, L. W.: comments on the oxidation of NO2 to nitrate- day and night, Atmos. Environ., 17, 397-
- 402, https://doi.org/10.1016/0004-6981(83)90057-4, 1983.
- Russell, A. G., Cass, G. R., and Seinfeld, J. H.: On some aspects of nighttime atmospheric chemistry,
  Environ. Sci. Technol., 20, 1167-1172, https://doi.org/10.1021/es00153a013, 1986.
- 890 Seinfeld, J. H.: Atmospheric chemistry and physics of air pollution, Wiley, new York,
- 891 https://doi.org/10.1021/es00151a602, 1986.
- 892 Seinfeld, J. H., and Pandis, S. N.: Atmospheric chemistry and physics: from air pollution to climate
- 893 change, John Wiley & Sons, Inc, https://doi.org/10.1080/00139157.1999.10544295, 2006.
- 894 Sharma, P., Kuniyal, J. C., Chand, K., Guleria, R. P., Dhyani, P. P., and Chauhan, C.: Surface ozone
- concentration and its behaviour with aerosols in the northwestern Himalaya, India, Atmos. Environ., 71,
- 44-53, https://doi.org/10.1016/j.atmosenv.2012.12.042, 2013.
- 897 Shi, C., Wang, S., Liu, R., Zhou, R., Li, D., Wang, W., Li, Z., Cheng, T., and Zhou, B.: A study of aerosol
- optical properties during ozone pollution episodes in 2013 over Shanghai, China, Atmos. Res., 153, 235-
- 899 249, https://doi.org/10.1016/j.atmosres.2014.09.002, 2015.
- 900 Sillman, S.: The relation between ozone, NOx and hydrocarbons in urban and polluted rural
- 901 environments, Atmos. Environ., 33, 1821-1845, https://doi.org/10.1016/s1352-2310(98)00345-8, 1999.
- 902 Stull, R. B.: An Introduction to Boundary Layer Meteorology, https://doi.org/10.1007/978-94-009-3027-
- 903 8\_10, 1988.

- 904 Stone, R. S., Anderson, G. P., Shettle, E. P., Andrews, E., Loukachine, K., Dutton, E. G., Schaaf, C., and
- 905 Roman, M. O., III: Radiative impact of boreal smoke in the Arctic: Observed and modeled, J. Geophys.
- 906 Res.: Atmos., 113, https://doi.org/10.1029/2007jd009657, 2008.
- 907 Su, F., Gao, Q., Zhang, Z., Ren, Z., and Yang, X.: Transport pathways of pollutants from outside in
- 908 atmosphere boundary layer, Res. Environ. Sci., 17(1), 26-29,40, https://doi.org/10.3321/j.issn:1001-
- 909 6929.2004.01.005, 2004.
- 910 Sun, Y., Zhuang, G., Tang, A., Wang, Y., and An, Z.: Chemical characteristics of PM2.5 and PM10 in
- 911 haze-fog episodes in Beijing, Environ. Sci. Technol., 40, 3148-3155, https://doi.org/10.1021/es051533g,
  912 2006.
- 913 Sun, Y., Wang, Z., Dong, H., Yang, T., Li, J., Pan, X., Chen, P., and Jayne, J. T.: Characterization of
- 914 summer organic and inorganic aerosols in Beijing, China with an Aerosol Chemical Speciation Monitor,
- 915 Atmos. Environ., 51, 250-259, https://doi.org/10.1016/j.atmosenv.2012.01.013, 2012.
- 916 Sun, Y. L., Wang, Z. F., Du, W., Zhang, Q., Wang, Q. Q., Fu, P. Q., Pan, X. L., Li, J., Jayne, J., and
- 917 Worsnop, D. R.: Long-term real-time measurements of aerosol particle composition in Beijing, China:
- seasonal variations, meteorological effects, and source analysis, Atmos. Chem. Phys., 15, 10149-10165,
- 919 https://doi.org/10.5194/acp-15-10149-2015, 2015.
- 920 Tang, G., Zhang, J., Zhu, X., Song, T., Muenkel, C., Hu, B., Schaefer, K., Liu, Z., Zhang, J., Wang, L.,
- 921 Xin, J., Suppan, P., and Wang, Y.: Mixing layer height and its implications for air pollution over Beijing,
- 922 China, Atmos. Chem. Phys., 16, 2459-2475, https://doi.org/10.5194/acp-16-2459-2016, 2016.
- 923 Tie, X., Brasseur, G., Emmons, L., Horowitz, L., and Kinnison, D.: Effects of aerosols on tropospheric
- 924 oxidants: A global model study, J. Geophys. Res.: Atmos., 106, 22931-22964,
- 925 https://doi.org/10.1029/2001jd900206, 2001.
- 926 Tie, X. X., Madronich, S., Walters, S., Edwards, D. P., Ginoux, P., Mahowald, N., Zhang, R. Y., Lou, C.,
- 927 and Brasseur, G.: Assessment of the global impact of aerosols on tropospheric oxidants, J. Geophys. Res.:
- 928 Atmos., 110, https://doi.org/10.1029/2004jd005359, 2005.
- 929 Toh, Y. Y., Lim, S. F., and von Glasow, R.: The influence of meteorological factors and biomass burning
- 930 on surface ozone concentrations at Tanah Rata, Malaysia, Atmos. Environ., 70, 435-446,
- 931 https://doi.org/10.1016/j.atmosenv.2013.01.018, 2013.
- 932 Trainer, M., Parrish, D. D., Goldan, P. D., Roberts, J., and Fehsenfeld, F. C.: Review of observation-

- based analysis of the regional factors influencing ozone concentrations, Atmos. Environ., 34, 2045-2061,
- 934 https://doi.org/10.1016/s1352-2310(99)00459-8, 2000.
- 935 Wang, D., Zhou, B., Fu, Q., Zhao, Q., Zhang, Q., Chen, J., Yang, X., Duan, Y., and Li, J.: Intense
- 936 secondary aerosol formation due to strong atmospheric photochemical reactions in summer: observations
- 937 at a rural site in eastern Yangtze River Delta of China, Sci. Total Environ., 571, 1454-1466,
- 938 https://doi.org/10.1016/j.scitotenv.2016.06.212, 2016.
- 939 Wang, H., Lu, K., Chen, X., Zhu, Q., Chen, Q., Guo, S., Jiang, M., Li, X., Shang, D., Tan, Z., Wu, Y.,
- 940 Wu, Z., Zou, Q., Zheng, Y., Zeng, L., Zhu, T., Hu, M., and Zhang, Y.: High N2O5 Concentrations
- 941 Observed in Urban Beijing: Implications of a Large Nitrate Formation Pathway, Environ. Sci. Tech. Let.,
- 942 4, 416-420, https://doi.org/10.1021/acs.estlett.7b00341, 2017a.
- 943 Wang, L., Zhang, F., Pilot, E., Yu, J., Nie, C., Holdaway, J., Yang, L., Li, Y., Wang, W., Vardoulakis, S.,
- and Krafft, T.: Taking Action on Air Pollution Control in the Beijing-Tianjin-Hebei (BTH) Region:
  Progress, Challenges and Opportunities, Int. J. Env. Res. Pub. He., 15,
- 946 https://doi.org/10.3390/ijerph15020306, 2018.
- 947 Wang, L., Liu, J., Gao, Z., Li, Y., Huang, M., Fan, S., Zhang, X., Yang, Y., Miao, S., Zou, H., Sun, Y.,
- 948 Chen, Y., and Yang, T.: Vertical observations of the atmospheric boundary layer structure over Beijing
- 949 urban area during air pollution episodes, Atmos. Chem. Phys., 19, 6949-6967,
  950 https://doi.org/10.5194/acp-19-6949-2019, 2019a.
- 951 Wang, T., Xue, L., Brimblecombe, P., Lam, Y. F., Li, L., and Zhang, L.: Ozone pollution in China: A
- 952 review of concentrations, meteorological influences, chemical precursors, and effects, Sci. Total Environ.,
- 953 575, 1582-1596, https://doi.org/10.1016/j.scitotenv.2016.10.081, 2017b.
- 954 Wang, T., Xue, L. K., Brimblecombe, P., Lam, Y. F., Li, L., and Zhang, L.: Ozone pollution in China: A
- 955 review of concentrations, meteorological influences, chemical precursors, and effects, Sci. Total Environ.,
- 956 575, 1582-1596, https://doi.org/10.1016/j.scitotenv.2016.10.081, 2017c.
- 957 Wang, W., Li, X., Shao, M., Hu, M., Zeng, L., Wu, Y., and Tan, T.: The impact of aerosols on photolysis
- 958 frequencies and ozone production in Beijing during the 4-year period 2012–2015, Atmos. Chem. Phys.,
- 959 19, 9413-9429, https://doi.org/10.5194/acp-19-9413-2019, 2019b.
- 960 Wang, X., Zhang, Y., Chen, H., Yang, X., Chen, J., and Geng, F.: Particulate Nitrate Formation in a Highly
- 961 Polluted Urban Area: A Case Study by Single-Particle Mass Spectrometry in Shanghai, Environ. Sci.

- 962 Technol., 43, 3061-3066, https://doi.org/10.1021/es8020155, 2009.
- 963 Wang, X., Wang, W., Yang, L., Gao, X., Nie, W., Yu, Y., Xu, P., Zhou, Y., and Wang, Z.: The secondary
- 964 formation of inorganic aerosols in the droplet mode through heterogeneous aqueous reactions under haze
- 965 conditions, Atmos. Environ., 63, 68-76, https://doi.org/10.1016/j.atmosenv.2012.09.029, 2012.
- 966 Wang, Y., Zhuang, G., Zhang, X., Huang, K., Xua, C., Tang, A., Chen, J., and An, Z.: The ion chemistry,
- seasonal cycle, and sources of PM2.5 and TSP aerosol in Shanghai, Atmos. Environ., 40, 2935-2952,
- 968 https://doi.org/10.1016/j.atmosenv.2005.12.051, 2006.
- 969 Wang, Y., Zhang, Q. Q., He, K., Zhang, Q., and Chai, L.: Sulfate-nitrate-ammonium aerosols over China:
- 970 response to 2000-2015 emission changes of sulfur dioxide, nitrogen oxides, and ammonia, Atmos. Chem.
- 971 Phys., 13, 2635-2652, https://doi.org/10.5194/acp-13-2635-2013, 2013.
- 972 Wang, Z., Pan, X., Uno, I., Li, J., Wang, Z., Chen, X., Fu, P., Yang, T., Kobayashi, H., Shimizu, A.,
- 973 Sugimoto, N., and Yamamoto, S.: Significant impacts of heterogeneous reactions on the chemical
- 974 composition and mixing state of dust particles: A case study during dust events over northern China,
- 975 Atmos. Environ., 159, 83-91, https://doi.org/10.1016/j.atmosenv.2017.03.044, 2017d.
- 976 Wen, L., Xue, L. K., Wang, X. F., Xu, C. H., Chen, T. S., Yang, L. X., Wang, T., Zhang, Q. Z., and Wang,
- 977 W. X.: Summertime fine particulate nitrate pollution in the North China Plain: increasing trends,
- 978 formation mechanisms and implications for control policy, Atmos. Chem. Phys., 18, 11261-11275,
- 979 https://doi.org/10.5194/acp-18-11261-2018, 2018.
- 980 Wilcox, E. M., Thomas, R. M., Praveen, P. S., Pistone, K., Bender, F. A. M., and Ramanathan, V.: Black
- 981 carbon solar absorption suppresses turbulence in the atmospheric boundary layer, Proc. Natl. Acad. Sci.
- 982 U. S. A., 113, 11794-11799, https://doi.org/10.1073/pnas.1525746113, 2016.
- 983 Wilson Jr, Wm. E., Levy, Arthur., and Wimmer, D.B.: A study of sulfur dioxide in photochemical smog,
- 984 J. Air Pollut. Control Assoc., 22(1), 27-32, https://doi.org/10.1080/00022470.1972.10469605, 1972.
- 985 Yao, X. H., Chan, C. K., Fang, M., Cadle, S., Chan, T., Mulawa, P., He, K. B., and Ye, B. M.: The water-
- 986 soluble ionic composition of PM2.5 in Shanghai and Beijing, China, Atmos. Environ., 36, 4223-4234,
- 987 https://doi.org/10.1016/s1352-2310(02)00342-4, 2002.
- 988 Zeng, P., Lyu, X. P., Guo, H., Cheng, H. R., Jiang, F., Pan, W. Z., Wang, Z. W., Liang, S. W., and Hu, Y.
- 989 Q.: Causes of ozone pollution in summer in Wuhan, Central China, Environ. Pollut., 241, 852-861,
- 990 https://doi.org/10.1016/j.envpol.2018.05.042, 2018.

- 991 Zhao, D., Xin, J., Gong, C., Quan, J., Liu, G., Zhao, W., Wang, Y., Liu, Z., and Song, T.: The formation
- 992 mechanism of air pollution episodes in Beijing city: Insights into the measured feedback between aerosol
- 993 radiative forcing and the atmospheric boundary layer stability, Sci. Total Environ., 692, 371-381,
- 994 https://doi.org/10.1016/j.scitotenv.2019.07.255, 2019.
- 995 Zhong, J., Zhang, X., Wang, Y., Sun, J., Zhang, Y., Wang, J., Tan, K., Shen, X., Che, H., Zhang, L., Zhang,
- 996 Z., Qi, X., Zhao, H., Ren, S., and Li, Y.: Relative Contributions of Boundary-Layer Meteorological
- 997 Factors to the Explosive Growth of PM2.5 during the Red-Alert Heavy Pollution Episodes in Beijing in
- 998 December 2016, J. Meteorol. Res., 31, 809-819, https://doi.org/10.1007/s13351-017-7088-0, 2017.
- 999 Zhong, J., Zhang, X., Dong, Y., Wang, Y., Liu, C., Wang, J., Zhang, Y., and Che, H.: Feedback effects of
- 1000 boundary-layer meteorological factors on cumulative explosive growth of PM2.5 during winter heavy
- pollution episodes in Beijing from 2013 to 2016, Atmos. Chem. Phys., 18, 247-258,
  https://doi.org/10.5194/acp-18-247-2018, 2018.
- 1003 Zhong, J., Zhang, X., Wang Y., Wang J., Shen X., Zhang H., Wang T., Xie Z., Liu C., Zhang H., Zhao T.,
- 1004 Sun J., Fan S., Gao Z., Li Y., and Wang L.: The two-way feedback mechanism between unfavorable
- 1005 meteorological conditions and cumulative aerosol pollution in various haze regions of China, Atmos.
- 1006 Chem. Phys., 19, 3287–3306, https://doi.org/10.5194/acp-19-3287-2019, 2019.
- 1007 Zhu, X., Tang, G., Lv, F., Hu, B., Cheng, M., Muenkel, C., Schafer, K., Xin, J., An, X., Wang, G., Li, X.,
- and Wang, Y.: The spatial representativeness of mixing layer height observations in the North China Plain,
- 1009 Atmos. Res., 209, 204-211, https://doi.org/10.1016/j.atmosres.2018.03.019, 2018.