Ensemble daily simulations for elucidating cloud-aerosol interactions under a large spread of realistic environmental conditions

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8 <u>Abstract</u>

9 Aerosol effects on cloud properties and the atmospheric energy and radiation budgets are 10 studied through ensemble simulations over two month-long periods during the NARVAL 11 campaigns (December 2013 and August 2016). For each day, two simulations are conducted 12 with low and high cloud droplet number concentrations (CDNC), representing low and high 13 aerosol concentrations, respectively. This large data-set, which is based on a large spread of 14 co-varying realistic initial conditions, enables robust identification of the effect of CDNC changes on cloud properties. We show that increases in CDNC drive a reduction in the top of 15 atmosphere (TOA) net shortwave flux (more reflection) and a decrease in the lower 16 17 tropospheric stability for all cases examined, while the TOA longwave flux and the liquid and 18 ice water path changes are generally positive. However, changes in cloud fraction or 19 precipitation, that could appear significant for a given day, are not as robustly affected, and, at 20 least for the summer month, are not statistically distinguishable from zero. These results 21 highlight the need for using large statistics of initial conditions for cloud-aerosol studies for 22 identifying the significance of the response. In addition, we demonstrate the dependence of the 23 aerosol effects on the season, as it is shown that the TOA net radiative effect is doubled during 24 the winter month as compared to the summer month. By separating the simulations into 25 different dominant cloud regimes, we show that the difference between the different months 26 emerge due to the compensation of the longwave effect induced by an increase in ice content 27 as compared to the shortwave effect of the liquid clouds. The CDNC effect on the longwave is 28 stronger in the summer as the clouds are deeper and the atmosphere is more unstable.

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34 Introduction

Cloud droplets form on suitable aerosols which can serve as cloud condensation nuclei. Thus, 35 36 for vertical velocities which are sufficient to sustain aerosol activation, cloud droplet number 37 concentration (CDNC) increases with increasing aerosol concentrations. Concomitantly with 38 the increase in the CDNC, and assuming constant liquid water content, the initial cloud hydrometeor (liquid and ice particles) size distribution shifts to smaller sizes and becomes 39 40 narrower, which may modulate cloud micro- and macro-physical properties (Khain et al., 2005;Koren et al., 2005;Heikenfeld et al., 2019;Chen et al., 2017;Altaratz et al., 2014;Seifert 41 42 and Beheng, 2006a;Koren et al., 2014;Dagan et al., 2017;Dagan et al., 2018b), the rain production (Levin and Cotton, 2009; Albrecht, 1989; Tao et al., 2012; Dagan et al., 2015b) and 43 the clouds' radiative effect (Koren et al., 2010;Storelvmo et al., 2011;Twomey, 1977;Albrecht, 44 1989). Anthropogenic aerosol emissions may thus perturb Earth's radiation budget both 45 directly, by scattering and absorption, and also indirectly, through these cloud-mediated 46 47 mechanisms. However, despite decades of effort of trying to better understand the processes 48 involved, cloud-aerosol interactions are still considered one of the most uncertain 49 anthropogenic effects on climate (Boucher et al., 2013).

The aerosol effect on clouds was previously shown to be cloud regime dependent (Altaratz et al., 2014;Lee et al., 2009;Mülmenstädt and Feingold, 2018;van den Heever et al., 2011;Rosenfeld et al., 2013;Glassmeier and Lohmann, 2016;Gryspeerdt and Stier, 2012;Christensen et al., 2016). In addition, even for a given cloud regime, small changes in the meteorological conditions may change the sign and magnitude of the aerosol effect (Dagan et al., 2015b;Fan et al., 2009;Fan et al., 2007;Kalina et al., 2014;Khain et al., 2008;Liu et al., 2019).

57 The fact that the aerosol effect on clouds and precipitation is dependent on the cloud regime 58 and meteorological conditions, makes the quantification of its global effect challenging and 59 uncertain (Mülmenstädt and Feingold, 2018;Bellouin et al., 2019). One way to overcome this challenge is by examining the aerosol effect for an ensemble of realistic co-varying initial 60 61 conditions (as opposed to perturbing each environmental condition separately). This can be done by conducting ensemble/routine numerical simulations (such as those conducted in 62 63 previous studies (Gustafson and Vogelmann, 2015;Gustafson et al., 2017;Klocke et al., 2017)) 64 focusing on aerosol effects. This methodology enables identifying, using large statistics, clouds 65 and radiative properties that respond in a consistent manner to aerosol (noting that in a single-66 case studies some of the differences between different simulations could be just due to different realizations of the model (Grabowski, 2015)). This methodology also enables investigation ofthe aerosol effect on cloud and precipitation as a function of the initial conditions.

In a recent paper, focusing on two specific cases (each one for two days) and a relatively large 69 domain (22° x 11°), the physical processes controlling the aerosol effect on the atmospheric 70 71 energy budget were investigated (Dagan et al., 2019). It was shown that the total column atmospheric radiative warming $(Q_R = (F_{SW}^{TOA} - F_{SW}^{SFC}) + (F_{LW}^{TOA} - F_{LW}^{SFC})$, defined as the rate of net 72 73 atmospheric diabatic warming due to radiative shortwave (SW) and longwave (LW) fluxes at 74 the surface (SFC) and top of the atmosphere (TOA), when all fluxes positive downwards), is substantially increased with CDNC in a deep-cloud dominated case (by $\sim 10 \text{ W/m}^2$), while a 75 much smaller increase ($\sim 1.6 \text{ W/m}^2$) is shown in a shallow-cloud dominated case. This trend is 76 77 caused by an increase in the upward mass flux of ice and water vapor to the upper troposphere 78 that leads to reduced outgoing longwave radiation (Fan et al., 2012). The increase in mass flux 79 is caused partially by an increase in vertical velocities (Koren et al., 2005;Rosenfeld et al., 80 2008; Dagan et al., 2018a) and mostly by an increase in the water content at the mid-troposphere 81 (due to warm rain suppression) that increases the upward mass flux, even for a give vertical velocity. The change in net radiative fluxes at the TOA (F_{SW+LW}^{TOA}) was shown to be -5.2 W/m² 82 for the shallow-cloud dominated case and -1.9 W/m^2 for the deep-cloud dominated case. Dagan 83 84 et al. (2019) also show that the cloud fraction responds in opposite ways to CDNC perturbations in the different cases, increasing in the deep-cloud dominated case and decreasing in the 85 86 shallow-cloud dominated case. However, it is unclear how representative these results are as 87 they are based on two specific cases. The ensemble simulations presented in this study could 88 be used to examine the robustness of these aerosol effects using large statistics.

The focus of this study is on clouds over the Atlantic Ocean near Barbados (Fig. 1). Barbados is located north of the mean intertropical convergence zone (ITCZ) location, in a way that samples both the trade region, dominated by shallow cumulus during the boreal winter, and the transition to deep convection as the ITCZ migrates northward during boreal summer (Stevens et al., 2016). Hence, this location enables investigation of different cloud regimes and different meteorological conditions. In addition, the clouds near Barbados have been shown to be representative of clouds across the trade region (Medeiros and Nuijens, 2016).

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97 Methodology

98 Ensemble daily simulations using the icosahedral nonhydrostatic (ICON) atmospheric model
99 (Zängl et al., 2015) in a limited area configuration are conducted. ICON's dynamical core has
100 been validated against several idealized cases as well as against numerical weather prediction

101 skill scores (Zängl et al., 2015). The domain is located east of Barbados island and covers $\sim 3^{\circ}$ x 3° (Fig. 1). The simulations are aligned with the NARVAL (Next-generation Aircraft 102 103 Remote-Sensing for Validation Studies (Klepp et al., 2014; Stevens et al., 2019; Stevens et al., 104 2016)) campaigns which took place during December 2013 (NARVAL 1) and August 2016 105 (NARVAL 2) in the northern tropical Atlantic. We use existing NARVAL convectionpermitting simulations (Klocke et al., 2017) as initial and boundary conditions for our 106 107 simulations and a two-moment bulk microphysical scheme (Seifert and Beheng, 2006b). For each day during these two months, two different simulations are started with identical initial 108 conditions with different CDNC of 20 cm⁻³ (clean) and 200 cm⁻³ (polluted), resulting in an 109 ensemble of 124 simulations. The different CDNC scenarios serve as proxy for different 110 111 aerosol concentration conditions and are chosen as they represent the range typically observed over the ocean (Rosenfeld et al., 2019;Gryspeerdt et al., 2019). Using fixed CDNC avoids the 112 uncertainties involved in the representation of aerosol processes in numerical models 113 114 (Rothenberg et al., 2018), however, it limits potential feedbacks between clouds and aerosols, 115 such as through aerosol scavenging (Yamaguchi et al., 2017). In addition, we note that use of 116 a microphysical scheme which assumes saturation adjustment reduces the sensitivity of the 117 clouds to some of the aerosol effect (Koren et al., 2014; Dagan et al., 2015a; Heiblum et al., 118 2016; Fan et al., 2018).

Each simulation is conducted for 24 hours, starting from 12 UTC - 12 hours after the original 119 120 simulations of Klocke et al., 2017 were initialized from reanalysis data, to reduce spin-up effects. Using initial and boundary conditions based on ICON simulations with similar 121 122 resolution, as in Klocke et al. (2017), reduces the spin-up effects. The horizontal resolution is 123 set to 1200 m and 75 vertical levels are used. The temporal resolution is 12 seconds and the 124 output interval is 30 minutes. Interactive radiation is calculated every 12 minutes using the RRTM-G scheme (Clough et al., 2005; Iacono et al., 2008; Mlawer et al., 1997). The simulations 125 126 include an interactive surface flux scheme and a fixed (for each day) sea surface temperature. 127 As in Dagan et al. (2019), the simulations include representation of the Twomey effect, 128 calculated with diagnosed cloud droplet effective radii from the microphysical scheme 129 (Twomey, 1977). However, due to the large uncertainty involved in the ice microphysics and 130 morphology, no Twomey effect due to changes in the ice particles size distribution was considered. 131

In addition, the domain is setup to include the Barbados Cloud Observatory (BCO, (Stevens et al., 2016)) while minimising the island effect of Barbados (most of the domain is east of the island and only the east part of the island, which includes the BCO (13°N, 59°W), is included

in the domain). Observations from the BCO are used for model evaluation (Figs. S1 and S2,
supporting information), and demonstrate that the model performs well for low surface-SWflux days but underestimates the flux for high-SW-flux days (usually under low cloud fraction).
We note that although a 3 ° x 3° domain is larger than the domains used in many previous
studies, it is still possible that the use of fixed boundary conditions for the different simulations
under different CDNC conditions reduces some of the sensitivity as compared to simulations
with larger domains such as in Dagan et al. (2019) (22 ° x 11°).

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Figure 1. The domain of the simulations (the box in the middle) and the area around it. Inside the domain
is presented the average cloud fraction over the first 30 mins of the simulation for 1/8/2016, CDNC = 20
cm⁻³. The island of Barbados is marked with a red arrow.

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148 <u>Results</u>

149 Conducting daily simulations over two months at different seasons allows us to sample a large150 ensemble of initial conditions and cloud types (see Fig. 2 and Table 1). To identify statistically

significant differences between the two months, we conduct independent t-test (p-values are presented in Table 1). This demonstrates that the lower tropospheric stability (LTS), top of atmosphere shortwave flux (F_{SW}^{TOA}), and the atmospheric column radiative term (Q_R) are different in a statistically significant manner (p-value < 0.05) between the two different months. The differences in other parameters (cloud fraction – CF, liquid water path - LWP, ice water path – IWP, latent heat of precipitation – LP, and top of atmosphere longwave flux - F_{LW}^{TOA}) are not statistically significant (Table 1).



160 10 12 14 16 18 -300 -250 -200 -150 200 250 300 350 -150 -100 -50 161 Figure 2. Histograms of mean (time and space) cloud and atmospheric properties for the base simulations 162 with CDNC = 20 cm⁻³ (clean simulations) for each day of the two months that were simulated. Blue 163 represents the NARVAL 1 month (December 2013), while orange the NARVAL 2 month (August 2016). a) 164 cloud fraction – CF, b) liquid water path - LWP, c) ice water path – IWP, d) precipitation latent heat flux 165 - LP, e) lower tropospheric stability – LTS, f) top of atmosphere longwave flux - F_{LW}^{TOA} , g) top of atmosphere 166 shortwave flux - F_{SW}^{TOA} , and h) atmospheric column radiative term - Q_R .

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177 Table 1. The monthly mean value of each of the properties presented in Fig. 2 ± 1 standard deviation for

each month and the p-value of the two-sample independent t-test. The p-values which demonstrate a
significant difference between the months (<0.05) are presented in bold.

	Mean NARVAL 1	Mean NARVAL 2	p-value t-test
CF [%]	57.2 ± 13.7	52.3 ± 13.4	0.16
LWP [kg/m ²]	$4.8 \cdot 10^{-2} \pm 2.8 \cdot 10^{-2}$	$4.5 \cdot 10^{-2} \pm 2.2 \cdot 10^{-2}$	0.66
IWP [kg/m ²]	$5.7 \cdot 10^{-3} \pm 1.1 \cdot 10^{-2}$	$1.2 \cdot 10^{-2} \pm 2.4 \cdot 10^{-2}$	0.19
LP [W/m ²]	43.8 ± 47.8	52.2 ± 78.2	0.6
LTS [K]	13.9 ± 1.4	13.1 ± 0.7	7·10 ⁻³
F_{LW}^{TOA} [W/m ²]	-254.2 ± 21.2	-251.7 ± 23.5	0.66
F_{SW}^{TOA} [W/m ²]	241.7 ± 22.5	321.9 ± 26.4	1.4 [.] 10 ⁻¹⁸
$Q_R [W/m^2]$	-129.2 ± 17.8	-107.8 ± 21.7	9.8 ·10 ⁻⁵

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Figures 3 and 4 present vertical profiles of the total water (liquid and ice) mixing ratio from 183 the different simulations during NARVAL 2 (August 2016) and NARVAL 1 (December 2013), 184 respectively. Generally, during the winter month (NARVAL 1) the clouds are shallower than 185 in the summer month (NARVAL 2), although there is significant variability. This is expected 186 due to the seasonality of the ITCZ location (Stevens et al., 2016). The simulated days are 187 manually separated to three different cloud regimes based on the domain and time mean total 188 189 water mixing ratio vertical profiles. The cloud regimes considered here are: shallow clouds 190 (shallow-cloud dominated days), two-layer clouds (shallow cloud layer and a cirrus cloud 191 layer) and deep clouds (deep-cloud dominated days). 192



193 194 Figure 3. Mean (time and space) vertical profiles of the total water (liquid and ice) mixing ratio in each 195 simulation (each last for 24 hours) for the NARVAL 2 month (August 2016). Blue: clean conditions (20 cm⁻ 196 ³), red: polluted conditions (200 cm⁻³). The simulated days are separated into three different cloud regimes: 197 shallow clouds (blue date box), two-layer clouds (shallow cloud layer and a cirrus cloud layer - orange date 198 box) and deep clouds (green date box).

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202 Figure 4. Same as Fig. 3 but for the NARVAL 1 month (December 2013).

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204 Figure 5 presents histograms of aerosol effects (polluted minus clean) for the different 205 simulations. The distribution of changes in cloud fraction (Fig. 5a) demonstrate small mean 206 values for both months (-0.3% and 0.1% for the winter and summer month, respectively) which 207 is slightly more skewed to positive values in the summer. Examining the significance of these 208 trends with a t-test demonstrates that only the winter month response is statistically significant (Table 2). The CDNC effect on the liquid water path (LWP; Fig. 5b) and the ice water path 209 210 (IWP; Fig. 5c) is shown to be almost entirely positive (or zero) in both months and differs from zero in a statistically significant manner. The mean change in precipitation (Fig. 5d) is small 211 212 and negative (slightly more negative during the winter month). However, during the summer month it is not statistically significant and can be either positive or negative. We note that the 213

- 214 mean precipitation decreases during the winter month (which is statistically significant) is
- small and equivalent to 0.07 mm/day (-1.8 W/m²). Increasing CDNC systematically decreases
- 216 LTS (Fig. 5e), representing deepening of the boundary layer (Dagan et al., 2016;Lebo and
- 217 Morrison, 2014; Seifert et al., 2015; Stevens and Feingold, 2009). This trend is statistically
- significant for both months (Table 2).
- 219 The CDNC effect on F_{LW}^{TOA} is positive and small (average of 0.24 W/m²) in the winter month
- (but still statistically significant) and larger (average of 2.16 W/m²) in the summer month (Fig.
 5f positive flux downwards), primarily due to an increase in ice water content under polluted
- conditions (see also Figs. 3, 4 and 5c). We previously showed that an increase in CDNC drives
 an increase in the ice content at the upper troposphere and hence a reduction in the outgoing
 LW radiation (Dagan et al., 2019); here we show that this trend is statistically significant (Fig.
- 5c). However, during the winter, when deep convective clouds are less abundant and the atmosphere is more stable, the LW flux is less affected.
- The CDNC effect on F_{SW}^{TOA} is always negative (Fig. 5g) and is on average -3.6 W/m² and -3.8 227 W/m^2 in the winter and summer month, respectively (the difference between the two months 228 229 is not statistically significant; however, both differ from zero in a statistically significant manner -Table 2). The negative F_{SW}^{TOA} effect is caused mostly due to the Twomey effect 230 231 (Twomey, 1977) and the LWP/IWP effect (Albrecht, 1989;Koren et al., 2010;Malavelle et al., 232 2017) (Figs. 5b and 5c), as the CF changes are small (Fig. 5a). For exploring the relative role 233 of the Twomey and IWP/LWP effects, we ran all simulations again with the Twomey effect turned off. Without the Twomey effect the SW effect is reduced by up to a factor of 10 (-0.35 234 W/m^2 compared with -3.6 W/m^2 in the winter month, and -1.0 W/m^2 compared with -3.8 W/m^2 235 in the summer month). This demonstrates that the Twomey effect is the dominant factor 236 237 underlying the F_{SW}^{TOA} changes. Radiative effects due to changes in ice size distribution are not considered due to uncertainties in the evolution of ice morphology. Accounting for this effect 238 239 would likely further increase the relative role of the Twomey effect compared to the cloud adjustment effects (CF and LWP/IWP adjustments). 240
- The change in the atmospheric column radiative warming term Q_R is shown to be small for the winter month (-0.26 W/m² on average) but much larger and positive for the summer month (1.8 W/m² on average). The increase in Q_R during the summer is caused due to the effect of deep, ice containing clouds on the outgoing LW flux (Fig. 5f). SW flux changes due to CDNC perturbations (Fig. 5g) have a much smaller effect on Q_R as the SW absorption of clouds is small (Dagan et al., 2019).

Examining the similarity between the response of the different properties to the CDNC perturbation in the two different months (Table 2) reveals that the responses of the IWP, F_{LW}^{TOA} , Q_R and F_{SW+LW}^{TOA} (the net TOA LW and SW effects – Fig. 10 below) are different in a statistically significant manner between the two months. As will be shown below, this is related to the response of the ice content.

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Figure 5. Histograms of the domain and time mean response of cloud and atmospheric properties to CDNC perturbation (polluted simulations minus clean simulations) for each day of the two months that were simulated. Blue represents the NARVAL 1 month (December 2013), while orange the NARVAL 2 month (August 2016). a) cloud fraction – CF, b) liquid water path - LWP, c) ice water path – IWP, d) precipitation latent heat flux - LP, e) lower tropospheric stability – LTS, f) top of atmosphere longwave flux - F_{LW}^{TOA} , g) top of atmosphere shortwave flux - F_{SW}^{TOA} , and h) atmospheric column radiative term - Q_R .

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- Table 2. Summary of monthly mean response of cloud and atmospheric properties (presented in Fig. 5) to
- 272 the CDNC perturbation (polluted simulations minus clean simulations) ± 1 standard deviation for each
- 273 month. In addition, the p-values of the two-sample independent t-test are presented, as well as the p-values
- 274 for comparing the CDNC response in each month to zero. The p-values which demonstrate significant
- 275 difference (<0.05) are presented in bold.

	Mean NARVAL 1	Mean NARVAL 2	p-value t-test	p-value one sample t- test compare to 0 - NARVAL 1	p-value one sample t- test compare to 0 - NARVAL 2
δCF [%]	-0.32 ± 0.31	0.11 ± 1.15	0.053	8.1 [.] 10 ⁻⁶	0.6
δLWP [kg/m ²]	$6.5 \cdot 10^{3} \pm 1.2 \cdot 10^{2}$	$4.0\cdot10^{-3}\pm5.4\cdot10^{-3}$	0.3	4.4 ·10 ⁻³	3.5 ·10 ⁻⁴
δIWP [kg/m ²]	$5.6 \cdot 10^{-4} \pm 1.3 \cdot 10^{-3}$	$8.2^{\cdot}10^{-3} \pm 1.9^{\cdot}10^{-2}$	0.035	0.02	0.03
$\delta LP [W/m^2]$	-1.8 ± 4.1	-1.2 ± 7.0	0.7	0.02	0.37
δLTS [K]	-0.075 ± 0.031	-0.062 ± 0.042	0.18	3.2·10 ⁻¹⁴	4.3·10 ⁻⁹
δF_{LW}^{TOA} [W/m ²]	0.24 ± 0.60	2.16 ± 3.25	0.002	0.03	0.001
δF_{SW}^{TOA} [W/m ²]	-3.6 ± 3.5	-3.8 ± 2.9	0.8	3.3.10-6	4.7 [.] 10 ⁻⁸
$\delta Q_R [W/m^2]$	-0.26 ± 0.39	1.8 ± 2.8	1.8·10 ⁻⁴	9.7·10 ⁻⁴	1.4·10 ⁻³
δF_{SW+LW}^{TOA}	-3.36 ± 3.02	-1.67 ± 1.93	0.01	1.1·10 ⁻⁶	5.1·10 ⁻⁵

277 CDNC effect on different cloud regimes

For better understanding the trend demonstrated in Fig. 5 and Table 2, we split the simulated 278 279 days into different dominant cloud types/regimes (see Figs. 3 and 4). Figures 6 and 7 present histograms of the same atmospheric properties presented in Fig. 2 but separated by different 280 cloud regimes - shallow clouds, two-layer clouds (shallow clouds with cirrus cloud layer 281 above), and deep clouds. These figures demonstrate that the cloud fraction, LWP, IWP, 282 precipitation, F_{LW}^{TOA} and Q_R are generally higher on days dominated by deep-clouds as compared 283 to days dominated by shallow clouds, while the LTS and F_{SW}^{TOA} are lower in the deep-cloud 284 285 dominated days compared to shallow-cloud dominated days (with the two-layer cloud days 286 generally in-between them). The separation into different cloud regimes also demonstrates that 287 more deep-cloud days are occurring during the summer month as compared to the winter month 288 (12 compare to 8) and that the deep clouds during summer are deeper and contain more water. 289 The larger occurrence of deep convection during the summer month is consistent with the 290 statistically significant reduction in LTS (Fig. 2 and Table 1) and is expected based on the local 291 seasonality (Stevens et al., 2016).



Figure 6. Histograms of mean (time and space) cloud and atmospheric properties for the base simulations with CDNC = 20 cm⁻³ (clean simulations) for each day of the NARVAL 1 month (December 2013) separated into different cloud regimes: shallow clouds (blue), two-layer clouds (shallow clouds with cirrus clouds layer above - orange), and deep clouds (green). a) cloud fraction – CF, b) liquid water path - LWP, c) ice water path – IWP, d) precipitation latent heat flux - LP, e) lower tropospheric stability – LTS, f) top of atmosphere longwave flux - F_{LW}^{TOA} , g) top of atmosphere shortwave flux - F_{SW}^{TOA} , and h) atmospheric column radiative term - Q_R .

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304 Figure 7. Same as Fig. 6 but for the NARVAL 2 month (August 2016).

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Examining the response of the different cloud regimes to the CDNC perturbation (Figs. 8 and 9) demonstrates that the response of the cloud fraction, LWP, IWP and F_{LW}^{TOA} in the deep-cloud days is generally more positive, while the response of F_{LW}^{TOA} and LTS is generally more negative. These trends are more pronounced during the summer month as compared to the winter month. The response of Q_R is more positive in the deep-cloud dominated days in the summer month but does not show any different trend in the winter month. The precipitation response does not show any distinct different trend for the different cloud types in both months.

The findings presented in Figs. 8 and 9 demonstrate that the IWP response in the deep-cloud dominated days is generally stronger in the summer month as compare to the winter month. The increase in the IWP with the increase in CDNC drives a reduction in F_{LW}^{TOA} and hence increase in Q_R (Dagan et al., 2019). We note that the largest difference between the two months emerges due to the stronger response of the ice content in the summer month as compared to the winter month. This fact can explain the statistically significant different response of the IWP, F_{LW}^{TOA} and Q_R shown in Table 2.

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Figure 8. Histograms of the domain and time mean response of cloud and atmospheric properties to the CDNC perturbation (polluted simulations minus clean simulations) for each day of the NARVAL 1 month (December 2013) separated into the different cloud regimes: shallow clouds (blue), two-layer clouds (shallow clouds with cirrus clouds layer above - orange), and deep clouds (green). a) cloud fraction – CF, b) liquid water path - LWP, c) ice water path – IWP, d) precipitation latent heat flux - LP, e) lower

330 tropospheric stability – LTS, f) top of atmosphere longwave flux - F_{LW}^{TOA} , g) top of atmosphere shortwave 331 flux - F_{SW}^{TOA} , and h) atmospheric column radiative term - Q_R .

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Figure 9. Same as Fig. 8 but for the NARVAL 2 month (August 2016).

The combined CDNC effect on the total net TOA radiation (F_{SW+LW}^{TOA}) is shown in Fig. 10. It 337 338 demonstrates that during the winter month the effect on F_{SW+LW}^{TOA} is always negative and has a mean value of -3.4 W/m². However, during the summer month, the mean effect is less negative 339 (-1.7 W/m^2) and for some of the days it could even be positive due to the effect of the CDNC 340 on the ice water content (Fig. 5 and Table 2). The difference between the two months in F_{SW+LW}^{TOA} 341 342 is statistically significant (Table 2). We note that during the summer month all days for which 343 $F_{SW+LW}^{TOA} \ge 0$ are deep-cloud dominated days, supporting the hypothesis that the difference 344 between the different months are driven by the different response of the deep clouds, which are 345 deeper and contain more water in the summer month.



Figure 10. Histograms of the response of the net (shortwave + longwave) top of atmosphere radiative flux (F_{SW+LW}^{TOA}) to the CDNC perturbation (polluted simulations minus clean simulations) for each of the simulated days. In a) blue represents the NARVAL 1 month (December 2013), while orange the NARVAL 2 month (August 2016). In b) and c) the NARVAL 1 and the NARVAL 2 months are separated to the different cloud regimes: shallow clouds (blue), two-layer clouds (shallow clouds with cirrus clouds layer above - orange), and deep clouds (green).

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356 Summary and Conclusions

358 Ensemble daily simulations over a region near Barbados for two separate month-long periods 359 were conducted to investigate aerosol effects on cloud properties and the atmospheric energy 360 budget. For each day, two simulations were conducted with low and high CDNC representing 361 clean and polluted conditions, respectively. These simulations are used to distinguish between 362 properties that are robustly affected by changes in CDNC and those that are not. For example, we have shown that, for the entire set of simulations (62 different days), an increase in CDNC 363 364 always drives a reduction in the lower tropospheric stability (Fig. 5). In addition, F_{SW}^{TOA} is always reduced by an increase in CDNC, representing more SW reflection. However, changes in cloud 365 366 fraction or precipitation are not as robust, and, despite the fact that for a given day they could be large, they are on average not distinguishable from zero (at least for the summer month). 367 However, we note that the aerosol response we present here may be underestimated due to the 368 effect of the fixed boundary conditions. In addition, using a microphysical scheme that assumes 369 370 saturation adjustment reduces the sensitivity of the clouds to aerosol perturbation (Koren et al., 371 2014; Dagan et al., 2015a; Heiblum et al., 2016; Fan et al., 2018). However, this might be a

small effect in our case as the phase change relaxation time of condensation and evaporation is usually on the order of a few seconds (Pinsky et al., 2013). Hence, even if we would use a microphysical scheme that explicitly resolves condensation and evaporation, the humidity is expected to get back to saturation on shorter time scales then the temporal resolution of the model (12 sec), and hence, practically we will be in "saturation adjustment" conditions anyway. We also note that using 1200 m horizontal resolution does not properly resolve all shallow cumulus clouds (Naumann and Kiemle, 2019).

The use of two month-long periods, covering different seasons dominated by different meteorological conditions and cloud type, demonstrate again (Altaratz et al., 2014;Lee et al., 2009;Mülmenstädt and Feingold, 2018;van den Heever et al., 2011;Rosenfeld et al., 2013;Glassmeier and Lohmann, 2016;Gryspeerdt and Stier, 2012;Dagan et al., 2015a), that the aerosol effect on clouds is strongly dependent on cloud regimes and meteorological conditions. For our simulations we demonstrate that the top of atmosphere net radiative effect in this region is twice as large during the winter month as compared to the summer month (Fig. 10).

386 To better understand these differences we have split the simulated days into three different 387 dominant cloud regimes. The results demonstrate that most of the differences in the response 388 to CDNC increases between the two months are driven by the response of the ice content in deep convective clouds. During the summer month, the atmosphere is less stable and the deep 389 390 convective clouds in the base-line simulations are more abundant, reach higher levels in the 391 atmosphere and contain more water. These more developed clouds respond stronger to the 392 CDNC perturbations and develop more ice content than the shallower clouds during the winter 393 month. The increased ice is driven by increase in mass flux to the upper levels. The added ice 394 content reduces the outgoing LW flux at the TOA and hence compensates some of the SW 395 effect, which itself is similar between the summer and winter months.

Our results highlight the need to use large ensembles of initial conditions for cloud-aerosol
interaction studies, even in large domain simulations, and suggest that caution is needed when
trying to draw conclusions from a single case-study experiments and short-term observations.

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400 **Author contributions.** G. D. carried out the simulations and analyses presented. P. S. assisted 401 with the design and interpretation of the analyses. G.D. prepared the manuscript with 402 contributions from P.S.

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