1	Simultaneous Measurement of Urban and Rural Single Particles in Beijing, Part I:						
2	Chemical Composition and Mixing State						
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19 Abstract

20 Two single particle aerosol mass spectrometers (SPAMS) were deployed simultaneously 21 at an urban and a rural site in Beijing during an intensive field campaign from 1st to 29th 22 Nov 2016 to investigate the source and process of airborne particles in Beijing. In the first 23 part of this research, we report the single-particle chemical composition, mixing state, and 24 evolution at both sites. 96% and 98% of collected particles were carbonaceous at the urban 25 and rural sites, respectively. Five particle categories, including elemental carbon (EC), 26 organic carbon (OC), internal-mixed EC and OC (ECOC), potassium-rich (K-rich), and 27 Metals were observed at both sites. The categories were partitioned into particle types 28 depending on different atmospheric processing stages. Seventeen particle types were 29 shared at both sites. In the urban area, nitrate-containing particle types, such as EC-Nit and 30 ECOC-Nit, were enriched, especially at night; sulfate-containing particles were transported 31 when wind speed was high; ECOC-Nit-Sul were mostly local-aged. In sum, these 32 processed particles took up to 85.3% in the urban areas. In the rural area, regional particles 33 were abundant, but freshly emitted ECOC and OC had distinct patterns that were 34 pronounced at cooking and heating time. Biomass burning, traffic, and coal burning were 35 major sources of PM_{2.5} in both rural and urban areas. Besides, the particles from the steel 36 industry located in the south were also identified. In summary, the chemical composition 37 of urban and rural particle types was similar in Beijing; the urban particles were influenced 38 significantly by rural processing and transport. The work is useful to understand the 39 evolution of urban and rural particles in Beijing during winter.

40 **1. Introduction**

China has experienced severe haze events caused by extremely high concentrations of fine particulate matter ($PM_{2.5}$) since January 2013. In the worst cases, an area of 2.0 million km² and a population of 800 million were affected (Huang et al., 2014). In the Beijing-Tianjin-Hebei (BTH) area, extreme haze events frequently occur during winter, with $PM_{2.5}$ mass reaching rapidly up to 200 µg m⁻³ and sustaining such levels for hours (Guo et al., 2014).

47 Over the last two decades, comprehensive studies have been conducted on urban PM in 48 Beijing. He et al. (2001) reported the first characterization of $PM_{2.5}$. Since then, numerous 49 studies have been published on characterization (Huang et al., 2010), sources (Guo et al., 50 2012; Sun et al., 2014a), and processing of PM (Sun et al., 2013). The mechanism of rapid-51 boosting PM_{2.5} in Beijing, including new particle formation and growth (Guo et al., 2014), 52 regional transport (Li et al., 2015), and both (Du et al., 2017; Sun et al., 2014a), have been 53 proposed. However, discrepancies remain among these studies. For example, the mass loading of PM_{2.5} can rapidly increase to hundreds $\mu g m^{-3}$. Both Wang et al. (2016) and 54 55 Cheng et al. (2016) suggested the secondary formation of sulfate from the oxidation of 56 NO₂, while (Guo et al., 2014) proposed a mechanism of particle formation and growth. 57 Different from local secondary formation and accumulation, Li et al. (2015) proposed that 58 particles via long-range transport cause the elevation of PM_{2.5}. According to Sun et al. 59 (2014b) and Zhai et al. (2016), regional transport plays an important role during heavy haze 60 episodes. However, most studies have focused on the urban areas of Beijing, with limited attention paid to rural areas. To illustrate the sources, evolution, and transport of particles,the investigation of rural areas around Beijing is necessary.

63 Single particle mass spectrometers (SPMS) have been used to investigate the size-resolved 64 chemical composition and mixing state of atmospheric particles (Gard et al., 1997; Pratt 65 and Prather, 2012). More recently, single particle aerosol mass spectrometers (SPAMS) 66 have been used in Chinese megacities such as Beijing (Li et al., 2014), Shanghai (Tao et 67 al., 2011), Guangzhou (Bi et al., 2011), Xi'an (Chen et al., 2016; Chen et al., 2019a), Nanjing (Wang et al., 2015), and Chongqing (Chen et al., 2017). SPAMS has been proven 68 69 a useful tool for characterizing the single-particle chemical composition, mixing state, and 70 processing of atmospheric particles. Due to the nature of laser desorption/ionization (LDI), 71 the instrument is very sensitive to dust and other types of particles containing sodium and 72 potassium, and this may cause bias in the matrix of ionic intensities of chemical species 73 (Pratt and Prather, 2012).

74 In Beijing, particle types, such as carbonaceous, metal, dust, K-rich, and others during 75 spring and fall, were reported (Liu et al., 2016b; Li et al., 2014). Besides, lead-containing 76 particles have also been investigated in recent studies (Ma et al., 2016; Cai et al., 2017). 77 Organics, sulfate, nitrate, ammonium and other species have been found internally mixed 78 in the atmospheric particles, and these particle types are mostly from the combustion of 79 fuel or biomass. The abundance of secondary species can indicate the degree of aging 80 during atmospheric processing. Particles with higher amounts of secondary species have 81 been processed more extensively. However, the previous studies mentioned above lack the 82 use of data of relative intensities of secondary species to provide a view of the dynamic 83 particulate processing. Therefore, we used the relative abundance of secondary species to 84 adequately illustrate the processing of single particles at both sites, providing a tracing85 system on a regional scale.

This study is a part of the APHH-Beijing (Atmospheric Pollution and Human Health in a 86 87 Chinese Megacity of Beijing) intensive field campaign during winter 2016 (Shi et al., 2019). 88 Two SPAMSs were deployed simultaneously at Peking University (PKU) and Pinggu (PG) 89 in order to observe both urban and rural particles in the Beijing region. The aims of the 90 study are 1) to characterize the single-particle chemical composition and mixing state; 2) 91 to investigate particulate evolution at both sites during haze events. These two objectives 92 are presented in two parts. In Part I, particle types and their atmospheric processing (e.g., 93 origination, source, and diurnal profiles) at both sites are reported; in Part II, the detailed 94 analysis of haze events, effects of heating activities, and evidence of regional transport are 95 addressed.

96 2. Methodology

97 **2.1 Sampling sites**

The campaigns were performed simultaneously at PKU (116.32°E, 39.99°N) and PG (117.05°E, 40.17°N) from 11/01/2016 to 11/29/2016. A Description of the PKU site is available in the literature (Huang et al., 2006). Briefly, the site is located on the rooftop (15 m above the ground) on the PKU campus which is surrounded by residential and commercial blocks. Trace gases (Thermo Inc. series), meteorological parameters (Vaisala Inc.), and PM_{2.5} (TEOM 1430) were recorded during the observation. The PG site (117.053°E, 40.173°N) is 3 km from the PG center. The site is located in the northeast of the PKU site with a distance of 70 km. The PG site also acts as a host of the AIRLESS (Effects of AIR pollution on the cardiopulmonary disease in urban and periurban residents in Beijing) Project. The meteorological data is acquired from the local meteorological office. The PG village is surrounded by orchards and farmland with no main road nearby on a scale of 3 km. Coal and biomass are used for domestic heating and cooking in the nearby villages.

111 **2.2 Instrumentation and data analysis**

112 Two SPAMSs (Model 0515, Hexin Inc., Guangzhou, China) were deployed at both PKU 113 and PG. A technical description of SPAMS is available in (Li et al., 2011). Briefly, a 114 SPAMS has three functional parts: sampling, sizing, and mass spectrometry. In the 115 sampling part, particles within a 0.1–2.0 µm size range pass efficiently through an 116 aerodynamic lens. In the sizing unit, the aerodynamic diameter (Dva) is calculated using 117 the time-of-flight of particles. The particles are then decomposed and ionized into ions one-118 by-one using a 266 nm laser. A bipolar time-of-flight mass spectrometer measures the ions 119 and generates the positive and negative mass spectra of each particle. The two instruments 120 were maintained and calibrated following the standard procedures before sampling (Chen 121 et al., 2017).

A neural network algorithm based on adaptive resonance theory (ART-2a) was used to resolve particle types from both datasets (Song et al., 1999). The parameters used were: a vigilance factor of 0.70, a learning rate of 0.05, and 20 iterations. This procedure generated 771 and 792 particle groups. Then, the groups were combined into particle types based on

126 similar mass spectra, temporal trends, and size distributions (Dall'osto and Harrison, 2006). 127 During combining, relative areas of nitrate and sulfate were used to distinguish the stages 128 of processing, assuming that more sulfate and nitrate can be measured if a particle is more 129 processed during its lifetime. Thus, particles with relative peak areas of sulfate and nitrate 130 larger than 0.1 were marked with nitrate (-Nit), sulfate (-Sul), respectively, or both. Indeed, 131 matrix effect can affect ionic intensities between different particles during single-particle 132 mass spectrometer analysis. However, the effect can be reduced using average mass spectra 133 of particles within the similar size distribution and chemical composition. Finally, the 134 strategy resulted in 20 and 19 particle types at PKU and PG respectively. Among them, 17 types appeared at both sites, and each type has identical mass spectra ($\mathbb{R}^2 > 0.80$) between 135 136 each other.

137 **3. Results**

A total of 4,499,606 and 4,063,522 particles were collected at PKU and PG sites, respectively. The size distributions peaked at 0.48 μ m and 0.52 μ m (Figure 1). The smaller size distribution was due to a more substantial fraction of freshly-emitted particles at PG, as described in Table 1. Seventeen particle types (R² > 0.80, mass spectra) were observed both at PKU and PG (Table 1). These particle types were labeled with the suffixes "_PKU" or "_PG" to indicate their locations. The term "particle category" stands for a group of particle types with variable stages of processing.



146 Figure 1. The size distribution of SPAMS particles at PKU and PG sites.

	PKU	PKU	PG	PG	Comments
Particle type	Number	Percentage	Number	Percentage	
EC-Nit	313574	7.0	79082	2.0	Solid fuel burning, traffic
EC-Nit-Sul	473908	10.5	140107	3.5	
EC-Sul	30365	0.7	4096	0.1	
ECOC-Nit-Sul	539533	12.0	755279	18.6	Traffic, coal burning
ECOC-Sul	572548	12.7	397367	9.8	
K-rich	322731	7.2	259287	6.4	Aged biomass burning
K-Nit	359281	8.0	334547	8.2	
K-Nit-Sul	717280	16.0	76954	1.9	
K-Sul	26301	0.6	183571	4.5	
NaK	16680	0.4	74943	1.8	Coal, peat
NaK-Nit	289259	6.4	69760	1.7	
NaK-Nit-Sul	114387	2.5	77555	1.9	
NaK-Sul	7509	0.2	16578	0.4	
OC-Nit-Sul	334870	7.4	865821	21.3	Traffic,
OC-Sul	40800	0.9	279322	6.9	coal burning
Ca-dust	19869	0.4	3035	0.1	dust
Fe-rich	137600	3.1	70920	1.8	Steel industry
ECOC-Nit	137470	3.1%			Solid fuel burning
OC-Nit	41159	0.9%			Traffic, coal burning
K-Amine-Nit-					Coal burning
Sul	4482	0.1%			
ECOC			239953	5.9%	Coal burning
OC			135345	3.3%	Traffic, coal burning

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150 Note: Nit stands for nitrate, Sul for sulfate.

151 **3.1 Meteorological conditions and overview**

152 Temperature, relative humidity (RH), and wind speed at both sites during the sampling

153 period are summarized in Table 2. Their temporal trends are available in Part II. The

154 average temperature at PKU (urban, 5.7±2.3 °C) was higher than at PG (rural, 3.1±2.2 °C). 155 Correspondingly, relative humidity was higher at PG ($67\pm32\%$) than at PKU ($49\pm30\%$). The wind was stronger at PKU ($2.5\pm 1.8 \text{ ms}^{-1}$) than at PG ($1.7\pm 0.9 \text{ ms}^{-1}$). As shown in 156 157 Figure 2, at PKU, wind speed peaked at noon (local time, UTC+8), while at PG, wind speed 158 reached its maxima at 15:00. Various wind speeds determined the different dispersion patterns of pollutants near the surface. It should be noticed that wind speed up to 2 ms⁻¹ 159 160 representing a scale of 172 km in diurnal transport. Therefore, at PKU, the wind could 161 bring the pollutants from Hebei province under stagnant air conditions.

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164 Figure 2. Diurnal plots of (a) RH and (b) wind speed, and rose plots of wind at (c)PKU and165 (d) PG.

167 Table 2. Meteorological parameters at PKU and PG during the campaign.

	PKU	PG	
Temperature (°C)	5.7±2.3	$3.1{\pm}2.2$	
RH (%)	49±30	67±32	
Wind speed (ms ⁻¹)	2.5 ± 1.8	$1.7{\pm}~0.9$	

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169 **3.2 Common particle categories at both PKU and PG**

170 **3.2.1 Elemental carbon (EC)**

171 As shown in Figure 3a, the elemental carbon (EC) particle category was represented by 172 ions peaking at m/z 12, 24, 36, 48, and 60 in positive mass spectra (Sodeman et al., 2005; 173 Toner et al., 2008). EC is emitted from solid fuel combustion, traffic (Sodeman et al., 2005; 174 Toner et al., 2008), and industrial activities (Healy et al., 2012; Liu et al., 2019a). Due to 175 the various ionic intensities of nitrate (m/z - 46 and -62) and sulfate (m/z - 80 and -97), the 176 EC category has four types including EC-Nitrate (EC-Nit), EC-Sulfate (EC-Sul), and EC-177 Nit-Sul. Besides, the EC category was more abundant after the heating began rather than 178 before (Part II), indicating that coal burning was one of the primary sources.

EC-Nit_PKU and EC-Nit_PG accounted for 7.0% and 2.0% in PKU and PG datasets, respectively. In the diurnal profiles of EC-Nit_PKU, there was an apparent early morning peak at 5:00 (UTC+8, local time), along with an evening peak (22:00). There was also an early morning NO_x peak in the urban area of Beijing, providing sufficient precursors for secondary nitrate (Shi et al., 2019). Wang et al. (2018) validated the role of N₂O₅ uptake on the nitrate formation in PM. Therefore, the early morning peak of EC-Nit_PKU occurred due to the uptake of nitrate on the freshly emitted EC in the early morning (Sun et al., 2014a). The evening peak could be due to the low temperature after the heating
supply started (Liu et al., 2019a). Diurnally, EC-Nit_PG exhibited an early morning peak
(5:00) but no evening peak and mainly came from the southeast.

189 EC-Nit-Sul was more abundant at the rural site (18.6%) than the urban site (11.6%). EC-190 Nit-Sul PKU (10.5%) had early morning (04:00), morning (7:00), and afternoon peaks 191 (around 16:00), while EC-Nit-Sul_PG (3.5%) had early morning (04:00), noon, and 192 afternoon peaks (17:00, Figure 3a). However, they showed relatively small diurnal variations. For example, EC-Nit-Sul_PKU varied between 800 h⁻¹ and 1,000 count h⁻¹, and 193 EC-Nit-Sul PG shifted between 200 count h^{-1} and 250 count h^{-1} . Thus, the EC-Nit-Sul at 194 195 both sites was most likely acting as background and regional particles (Dall'Osto et al., 196 2016). Additionally, EC-Nit-Sul PKU mainly came from the surrounding area in the city 197 pollutant plume, while EC-Nit-Sul_PG mainly came from the southeast (Figure 3c).

198 EC-Sul was a minor type at both sites, accounting for 0.7% at PKU and 0.1% at PG. EC-199 Sul was pronounced in the afternoon when the wind was strong at both sites. It was unlikely 200 for either EC-Sul_PKU or EC-Sul_PG to be local because their concentrations were 201 associated with high wind speed, as shown in Figure 3c. More specifically, EC-Sul_PKU 202 came from the southeast and northeast of Hebei Province when the wind speed exceeded 6 m s^{-1} . EC-Sul PG could come from the west when the wind speed exceeded 2 m s^{-1} and 203 204 the east when the wind speed exceeded 3 m s⁻¹, as coal-using industries are located in both 205 directions. Also, at both sites, the concentrations of SO₂ were elevated in the afternoon due 206 to transport, providing sufficient precursors for the formation of sulfate (Shi et al., 2019).



Figure 3. (a) average mass spectra of EC-Nit, EC-Nit-Sul, and EC-Sul at both sites; (b)
diurnal patterns of EC-Nit, EC-Nit-Sul, and EC-Sul at both sites; (c) polar plots of EC-Nit,
EC-Nit-Sul, and EC-Sul; the grey circles indicate wind speed (m s⁻¹).

211 **3.2.2 Organic carbon (OC) category**

212 The positive mass spectra of both OC-Nit and OC-Nit-Sul contained complicated organic 213 ions such as $C_2H_3^+$ (m/z 27), C_3H^+ (m/z 37), $C_3H_7^+/C_2H_3O^+/$ CHNO⁺ (m/z 43), $C_4H_2^+$ (m/z214 50), aromatic hydrocarbons ($C_4H_3^+$, $C_5H_3^+$, and $C_6H_5^+$), and diethylamine ((C_2H_5)₂NH₂⁺, 215 m/z 74), (C₂H₅)₂NCH₂⁺ (m/z 86)). The negative mass spectra contained CN⁻ (m/z -26), Cl⁻ 216 (m/z - 35 and 37), CNO⁻ (m/z - 42), nitrate (m/z - 46 and -62), and sulfate (m/z - 97). The 217 presence of CN⁻ and CNO⁻ suggests the existence of organonitrogen species (Day et al., 2010). Peak intensities of organic fragments are relatively high in the OC-Sul particles, 218 219 indicating that it was relatively fresh, while OC-Nit-Sul was more processed (Zhai et al., 220 2015; Peng et al., 2020a). The positive mass spectrum had similar ions of Coal Combustion 221 OA (CCOA) with significant signals of PAHs in AMS studies (Sun et al., 2013). OC-Sul 222 showed different spatial distributions with 0.9% at PKU and 6.9% at PG.

223 OC-Sul PG had morning (8:00) and afternoon (16:00) peaks, while the diurnal profile of 224 OC-Sul PKU showed a trend with an early morning (3:00), morning (10:00), and 225 afternoon peaks (16:00). The diurnal trends OC-Sul at both PKU and PG were consistent 226 with the heating pattern depending on the variation of local temperature. Moreover, OC-227 Sul PG increased after the heating supply began. Polar plots suggest that OC-Sul PKU 228 came from surrounding southwest areas via transport, while OC-Sul PG came from 229 villages to the east and west (Figure 4). These results suggest that OC-Sul PG was emitted 230 from coal burning for residential heating in nearby areas.

OC-Nit-Sul accounted for 7.4 % and 21.3 % of all detected particles at PKU and PG,
respectively. OC-Nit-Sul_PKU had a diurnal peak at 7:00 in rush hours, suggesting that

OC-Nit-Sul could be formed due to the uptake of nitrate on OC-Sul. While OC-Nit-Sul_PG had a diurnal peak at 8:00 due to traffic in nearby towns. As an aged particle type, OC-Nit-Sul_PKU and OC-Nit-Sul_PG, also acting as a similar type of background types with hourly counts remained low but elevated to high levels at night. Polar plots suggest that OC-Nit-Sul_PKU mainly came from the surrounding areas, while OC-Nit-Sul_PG mainly came from the south and east, where populous villages are located (Figure 4).



Figure 4. (a): average mass spectra of OC-Nit and OC-Nit-Sul observed at both sites; (b): diurnal patterns of the hourly count of OC-Nit and OC-Nit-Sul at both sites; (c): polar plots of OC-Sul and OC-Nit-Sul; the grey circles indicate wind speed (m s⁻¹).

243 **3.2.3 ECOC category**

As shown in Figure 5a, the ECOC category contained two major particle types: ECOC-

245 Nit-Sul and ECOC-Sul. The positive mass spectrum of ECOC-Nit-Sul contained C_n^+ (*m*/*z*

246 12, 24, 36...), NH₄⁺ (*m*/*z* 18), C₂H₃⁺ (*m*/*z* 27), K⁺ (*m*/*z* 39 and 41), C₃H₇⁺/C₂H₃O⁺/ CHNO⁺ 247 (m/z 43), C₄H_{2⁺} (m/z 50), and $[(C_2H_5)_2NH_2]^+$ (m/z 74); in the negative mass spectrum, ions 248 such as sulfate (m/z - 80 and -97), nitrate (m/z - 46 and -62), C_n^- , and CN^- (m/z - 26) were 249 abundant. This mixture of EC and OC particle types was common in single particle studies. 250 ECOC could be local, and from incomplete combustion processes (Chen et al., 2017), or 251 regional transport, e.g., after aging (McGuire et al., 2011; Huang et al., 2013; Zhao et al., 252 2019). The diurnal profile of ECOC-Sul PG showed early morning (1:00), morning (8:00), 253 and afternoon (17:00) peaks, which is consistent with local cooking and heating patterns. 254 Also, heating activities enhanced the fraction of ECOC-Sul_PG. ECOC-Sul_PKU did not 255 show a clear diurnal profile, suggesting that ECOC-Sul_PKU was mainly a background 256 type. Similarly, ECOC-Nit-Sul_PKU and ECOC-Nit-Sul_PG were also background types 257 with less obvious diurnal variations (Dall'Osto et al., 2016). Polar plots (Figure 5c) 258 suggested that both ECOC-Nit-Sul_PKU and ECOC-Sul_PKU had both local and regional sources. Wind speed up to 4 m s⁻¹ could cause a transport with a distance of 346 km 259 260 diurnally, indicating that it was possible for the particles from Hebei province to arrive at 261 the sampling place.



Figure 5. (a): average mass spectra of ECOC-Nit and ECOC-Nit-Sul observed at both sites;
(b): diurnal patterns of the hourly count of ECOC-Sul and ECOC-Nit-Sul at both sites; (c):
polar plots of ECOC-Sul and ECOC-Nit-Sul; the grey circles indicate wind speed (ms⁻¹).

266 3.2.4 K-rich category

Figure 6 shows a series of potassium-rich (K) particle types. K-rich contained Na⁺ (m/z 23),

268 $C_2H_3^+$ (*m*/*z* 27), C_n^+ , C_3H^+ (*m*/*z* 37), K^+ , aromatic hydrocarbons (C₄H₃⁺, C₅H₃⁺, and C₆H₅⁺),

levoglucosan (m/z -45, -59, and -71), sulfate, and nitrate. According to the ionic intensities

270 of sulfate and nitrate, the K-rich particle category had several branches such as K-rich, K-

271 Nit, K-Sul, and K-Nit-Sul. K-rich particles are commonly found in biomass burning

- emissions (Silva et al., 1999; Pagels et al., 2013; Chen et al., 2017). Cl⁻ was unabundant in
- all K-rich particle types, suggesting that the K-rich particles had undergone aging during

atmospheric processing (Sullivan et al., 2007; Chen et al., 2016), but K-Nit, K-Nit-Sul, and
K-Sul were more processed.

276 All K-rich category particles showed different atmospheric evolution process at both PKU 277 and PG. K-rich_PKU illustrated a typical pattern that was at low levels in the daytime but 278 high levels at nighttime (22:00). As shown in Figure 6c, at an average wind speed of 3 m 279 s^{-1} , it took five hours for particles from a distance of 50 km to arrive at PKU. This is also 280 the reason why BB-related particles were abundant in urban Beijing where the household 281 BB is prohibited. The origination of K-rich PKU was from nearby and southwest. K-282 rich PG, however, showed a pattern with cooking and heating activities, peaking at 7:00 283 and 17:00. The peak at 7:00 was due to the local emissions; the 17:00 could be transported from a distance of 50 km at a wind speed of 3 m s^{-1} from the east and west. 284

285 The secondary process contributed to the early morning peak (5:00) of K-Nit_PKU due to 286 the nighttime formation of nitrate via hydrolysis of N₂O₅ in the NO_x-rich urban areas (Wang 287 et al., 2017). In the day time, after the rush hours, the number concentration of K-Nit_PKU 288 increased again via the uptake of nitrate due to day time photoactivity. K-Nit_PKU mainly 289 originated from the local and southerly areas (Figure 6c). Besides the early morning peak, 290 K-Nit_PG showed cooking and heating patterns that they were abundant when the 291 temperature was low in the early morning and afternoon. K-Nit_PG had wide originated 292 from both local and region via long-range transport.



Figure 6. (a): average mass spectra of BB, K-Nit, K-Sul, and K-Nit-Sul observed at both sites; (b): diurnal patterns of the hourly count of K-rich, K-Nit, K-Sul, and K-Nit-Sul at both sites; (c): polar plots of BB, K-Nit, K-Sul, and K-Nit-Sul; the grey circles indicate wind speed (m s⁻¹).

298 **3.2.5 Metal category**

299 Two metal-rich particles types were identified, namely Fe-rich and Ca-rich. Fe-rich 300 contained iron (m/z 56 and 54), K⁺, Na⁺, NH4⁺, Cl⁻ (m/z -35 and -37), sulfate, and nitrate. 301 Ca-rich was composed of Ca⁺ (m/z 40), CaO (m/z 56), K⁻, Na⁺, Cl⁻, sulfate, and nitrate. As 302 shown in Figure 6b, Ca-rich PKU (0.4%) and Ca-rich PG (0.1%) were likely of regional 303 origin with no distinct diurnal variations. Since SiO_2^- or SiO_3^- (m/z -60 and -76) were not 304 abundant in the Ca-rich particles, they are not likely to come from dust (Silva et al., 2000). 305 According to its weak peaks during the rush hour at PKU, a possible source of the Ca-rich 306 particles was from road dust re-suspension. Such rush hour peaks were not observed at PG. 307 Fe-rich_PKU (3.1%) and Fe-rich_PG (1.8%) had similar diurnal profiles that arose in the 308 early morning when heavy-duty vehicles were allowed to enter the 5-ring expressway. The 309 peak occurred earlier at PG (4:00) than (5:00) because these vehicles got close to PG earlier 310 than to PKU. The daytime peak occurred in the afternoon at both PKU and PG when wind 311 speed was high. Therefore, there were also multiple sources for Fe-rich particles, including 312 re-suspended dust particles from traffic and fly ash from the steel industry. In Beijing, 313 daytime Fe-rich particles were reported and assigned to long-range transport and industrial 314 sources from Heibei Province (Figure 7c) (Li et al., 2014). The steel industry moved out 315 of Beijing more than a decade ago (Liu et al., 2016b). Currently, most of these steel 316 industries were located in the Heibei Province.



Figure 7. (a): average mass spectra of Fe-rich and Ca-rich observed at both sites; (b):
diurnal patterns of the hourly count of Fe-rich and Ca-rich at both sites; (c): polar plots of
Fe-rich and Ca-rich; the grey circles indicate wind speed (ms⁻¹).

321 **3.2.6 NaK category**

322 As shown in Figure 8, mass spectra of NaK category contained Na⁺, K⁺, C_n^+ , C_n^- , nitrate,

and PO_{3⁻} (m/z -79). The aged NaK particles contained strong signals of nitrate (NaK-Nit),

324 sulfate (NaK-Sul), or both (NaK-Nit-Sul). In general, the NaK category contained stronger

- 325 signals of Na⁺ than the EC and K-rich categories. The NaK category may also come from
- incomplete solid fuel combustion processes such as coal, peat, or wood (Chen et al., 2017;
- Healy et al., 2010; Xu et al., 2017). NaK category was more abundant at PKU (9.5%) than
- 328 PG (5.8%), suggesting a stronger contribution of emission from coal boilers (Xu et al.,

2017; Xu et al., 2018). Additionally, after heating began, the fraction of NaK-Nit_PG and
NaK-Sul-Nit_PG increased by 1.2 times (see Part II).

NaK_PKU showed no distinct diurnal variations, suggesting that it was a regional particle type arriving at the PKU site via transport, while NaK_PG showed an apparent diurnal variation consistent with cooking and heating pattern. Polar plots also suggest that they are from the east and the west. NaK-Nit, with a considerable uptake of nitrate, was more abundant at PKU (6.4%) than PG (1.7%). Both NaK-Nit_PKU and NaK-Nit _PG increased in the afternoon when photochemical activities were most active (Figure 8c). Both of them may be from regional transport (Figures 8b and 8c).

338 NaK-Sul was a minor particle type at both PG and PKU, accounting for 0.2% and 0.4%, 339 respectively. The diurnal profile of NaK-Sul_PG was also following the local cooking and 340 heating pattern, while NaK-Sul_PKU showed a typical transport pattern that became 341 abundant in the afternoon as the southwestern wind speed increased. As a heavily aged 342 particle type, NaK-Nit-Sul was transported to both PKU and PG from the southwest. In 343 short, NaK-related particle types mainly came from the solid fuel burning process, e.g., 344 coal. Due to its different origins, it showed different levels of processing at PKU and PG, 345 respectively.



346

Figure 8. (a): average mass spectra of NaK, NaK-Nit, NaK-Nit-Sul, and NaK-Sul observed at both sites; (b): diurnal patterns of the hourly count of NaK, NaK-Nit, NaK-Nit-Sul, and NaK-Sul at both sites; (c): polar plots of NaK, NaK-Nit, NaK-Nit-Sul, and NaK-Sul; the grey circles indicate wind speed (m s⁻¹).

351 **3.3 Unique Particle types at the PKU site**

352 OC-Nit_PKU (0.9%) and ECOC-Nit_PKU (3.1%) with strong ion intensities of nitrate 353 were observed at the PKU site. OC-Nit_PKU and ECOC-Nit_PKU showed a peak at night 354 than at daytime, similar to the diurnal profiles of OC-Nit-Sul_PKU and ECOC-Nit-355 Sul PKU. Such nitrate-rich particle types could have come from the uptake of nitrate in 356 OC and ECOC(Qin et al., 2012; Chen et al., 2016). Polar plots suggest that both types were formed locally when the wind speed was lower than 4 ms⁻¹. The NO_x-rich environment in 357 358 urban Beijing provides a favorable condition for nitrate formation at night (Wang et al., 359 2016; Shi et al., 2019).

360 A minor amount (0.10%) of amine-containing particles was observed at the PKU site, and 361 trimethylamine ion fragments (m/z 58 and 59) were influential in the mass spectrum of K-362 amine-Nit-Sul_PKU (Figure 9a). The diurnal profile of K-amine-Nit-Sul_PKU showed an 363 afternoon peak, indicating a regional source (Figure 9c). K-amine-Nit-Sul_PKU was 364 transported to the site from nearby locations. The amines may come from animal husbandry, 365 BB, traffic, or vegetation (Chen et al., 2019b). Amines were ubiquitous in the atmospheric 366 environment, playing essential roles in new particle formation and growth, as well as fog 367 and cloud processing (Ge et al., 2011; Chen et al., 2019b).



Figure 9. (a): average mass spectra of OC-Nit_PKU, ECOC-Nit_PKU, and K-amine-NitSul_PKU observed at the PKU site; (b): diurnal patterns of the hourly count of OCNit_PKU, ECOC-Nit_PKU, and K-amine-Nit-Sul_PKU at the PKU site; (c): polar plots of
OC-Nit_PKU, ECOC-Nit_PKU, and K-amine-Nit-Sul_PKU, and the grey circles indicate
wind speed (m s⁻¹).

374 3.4 Unique Particle types at the PG site

375 OC_PG (5.9%) and ECOC_PG (3.3%) were only observed at the rural site PG (Figure 10).

376 The major components of these two types were consistent with the OC and ECOC

categories, respectively, but with limited uptake of sulfate and nitrate, suggesting that they were possibly freshly emitted particles(Peng et al., 2020b). Their diurnal profiles are consistent with cooking and heating patterns which peaked at 07:00 in the morning and 17:00. Polar plots suggest that OC_PG mainly came from nearby and other remote areas in all directions except the north. ECOC mainly came from the east of the PG site. These results supported the assumption that the two types were mainly from local emission sources. Also, the emission of OC_PG and ECOC_PG is common in the region.



Figure 10. (a) Average mass spectra of OC_PG and ECOC_PG, (c) diurnal plots of OC_PG
and ECOC_PG, and (c) polar plots of OC_PG and ECOC_PG. All these particle types
appeared at the PG site.

389 Multiple source apportionment models have been used in Beijing to quantify the sources 390 of particles (Sun et al., 2014a; Xu et al., 2015; Zhai et al., 2016). Biomass burning, coal 391 combustion, traffic, and dust are the key sources of PM (Sun et al., 2014a; Liu et al., 2018; 392 Huang et al., 2014). Multiple studies confirmed that biomass burning is an essential source 393 of PM in urban Beijing (Gao et al., 2014; Huang et al., 2014; Sun et al., 2014a; Zheng et 394 al., 2017). In this study, biomass burning, and other solid fuel burning were identified as 395 crucial sources of PM in not only urban but also rural areas of Beijing. We observed that 396 BB-related particles (K-rich category) were more abundant at PG than at PKU. In particular, 397 we found fresh-emitted K-containing particles at the Pinggu site, confirmed the importance 398 of local emissions to PM. Furthermore, K-containing particles in the urban area were more 399 aged, suggested that they are aged and mostly from the surrounding areas. The result is 400 consistent with the results from (Liu et al., 2019b) based on a combined receptor and 401 footprint models. Nevertheless, household emissions in the BHT region caused 32% and 402 15% of primary $PM_{2.5}$ and SO_2 . These studies have proved the importance of household 403 emission from BB in the BHT area (Liu et al., 2016a). Especially at the PG site, the ambient 404 PM was mainly controlled by long-range transport and household emissions from cooking 405 and heating.

406 Due to the nature of SPAMS, the chemical composition of PM cannot be precisely 407 quantified. However, single particle aerosol mass spectrometers have advantages in 408 studying the chemical composition, mixing state, source, and process of particles (Pratt and 409 Prather, 2012). Mass-based technologies can not differentiate the origin of the bulk of 410 nitrate, whether it is transported or formed locally. Indeed, single particle types in urban 411 Beijing have been reported in previous studies (Li et al., 2014; Liu et al., 2016b), and the 412 major types are consistent with this study. However, in this study, we adopted a cluster 413 strategy considering the relative ion peak area of sulfate and nitrate as indicators of particle 414 processing. Therefore, more details could be extracted from both two simultaneous datasets. 415 We confirmed that the source, origination, and processes were different for these particles 416 in the urban and rural areas. For example, the seriously processed particles, such as K-Nit-417 Sul, OC-Nit-Sul, and NaK-Nit-Sul, acted with no distinct diurnal patterns as background 418 or regional sources (Xie et al., 2019). The processed particles, such as OC-Nit, ECOC-Nit, 419 and NaK-Nit, were affected by emissions and secondary formations.

420 The emission and transport patterns were different in the urban and rural areas, resulting in 421 different characteristics of PM. For example, EC particles were a key component at PKU 422 (18.2% in total), but a minor particle type at PG (5.6%). Meanwhile, in the urban area of 423 Beijing, direct emission of K-rich particles should be limited due to strict control measures; 424 thus, the K-Nit-Sul particles are mainly from long-range transport. Transported particles 425 were aged and commonly coated a thick layer of nitrate and sulfate, but the local particles 426 were affected by both emission and the near-surface aging process. For example, at PKU, 427 the primary emission sources were traffic and central heating supply, causing a NO_x -rich 428 region in which freshly-emitted particle types could undergo processing due to the uptake 429 of nitrate (Wang et al., 2016). In the nearby villages of PG, domestic heating and cooking 430 were the major contributors of primary particles when the temperature was low in the 431 morning and afternoon, resulting in the emission of multiple primary particle types such as 432 OC_PG and ECOC_PG. In short, the characteristics of PM in urban and rural areas of Beijing were affected by local emissions and interacted with each other due to regionaltransport.

SO₂ was strictly controlled in Beijing. However, the emission of SO₂ is still significant in 435 436 the nearby Hebei and Shandong provinces (Shi et al. 2019). The different control measures 437 produced an area of low SO2 concentration around Beijing. Sulfate-rich particle types such 438 as EC-Sul, OC-Sul, K-Sul, and NaK-Sul usually arrived at the PKU site when the wind speed was high (> $3m s^{-1}$). The wind directions, along with the transport of sulfate-rich 439 440 particles, were east, southwest and south. In these directions, sulfate was either primarily 441 emitted from coal burning for residential heating, power generation and industry, or 442 secondary uptake on pre-existing particles (Zhang et al., 2015; Peng et al., 2020a). 443 Likewise, a portion of sulfate-rich particle arrived at the PG site when the wind speed was 444 high. However, locally formed sulfate was also pronounced, especially for ECOC-Sul, K-445 Sul, and NaK-Sul. As discussed in Section 3, ECOC-Sul and NaK-Sul were mainly from 446 coal burning for residential heating, and K-Sul was formed due to the uptake of secondary 447 sulfate. Conclusively, the particulate composition in rural areas around Beijing is 448 significantly influenced by residential coal burning.

Secondary nitrate formation is still a critical issue in Beijing. The daytime arising of nitrate has been reported in studies (Sun et al., 2013), and we also found a similar predominant of nitrogen-containing particles in this study. Recent studies have reported the early morning peaks of nitrate using a soot particle aerosol mass spectrometer (SP-AMS) (Wang et al., 2019), which is consistent with our results. Interestingly, the early morning peak was only observed for several particle types at both sites, including EC-Nit_PKU, K-Nit_PKU, EC-Nit-Sul-PG, and EC-Nit_PG. This result is not surprising because PG is also a NO₂-rich 456 region (Shi et al., 2019). The increasing contribution of nitrate-containing particles 457 suggests the role of night chemistry in nitrate uptake on particles. Wang et al. (2017) 458 revealed the importance of night N₂O₅ chemistry on nocturnal nitrate formation in the 459 urban area of Beijing. The heterogeneous hydrolysis of N₂O₅ was most favorable when NO 460 was at a low level. Moreover, the polar plots suggested a small role of long-range transport 461 to the nitrate in individual particles. The contribution of local traffic was insignificant at 462 the PG site as it was far from highways and major roads, the nighttime formation of nitrate 463 appeared to be important in PG as well.

464 **5. Conclusion**

465 Two SPAMSs were simultaneously deployed at urban and rural sites in Beijing in order to 466 characterize PM during wintertime. The results at both sites indicate that they shared 17 467 types of common clusters, most of which belonged to particle categories such as EC, OC, 468 ECOC, BB, and NaK. The origins and sources of these particle types at both sampling sites 469 are also comprehensively analyzed. Most of the processed PM, including EC-Nit-Sul_PKU, 470 ECOC-Nit-Sul_PKU, and NaK-Nit-Sul_PKU, were aged locally in a NOx-rich 471 environment, while EC-Nit-Sul_PG, ECOC-Nit-Sul_PG, NaK-Nit-Sul_PG, and OC-Nit-472 Sul_PG were regional. Domestic heating in the rural area was found to be an important 473 source of PM, and such heating activities typically caused three diurnal peaks in the early 474 morning, morning, and afternoon (after sunset). Moreover, the early morning peak of 475 nitrate was observed at both sites, suggesting the contribution of the heterogeneous 476 hydrolysis of N₂O₅ in the dark during the winter. The insights gained in this study can 477 provide useful references for understanding the relationship between regional transport and

local aging in both urban and rural areas in Beijing. In Part II, we focus on haze events
observed at both sites and attempt to determine the effects of heating activities and possible
regional transport between PKU and PG.

481 *Data availability*. All data described in this study are available upon request from the482 corresponding authors.

- 483 Author contributions. FY, MZ, TZ, QZ, and KH designed the experiments; YC, JC, ZW,
- 484 MT, CP, and HY carried them out; XYang, XYao, YL, GS, and ZS analyzed the
- 485 experimental data; YC prepared the manuscript with contributions from all coauthors.
- 486 *Competing interests.* The authors declare that they have no conflict of interest.
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- 490 Attribution 3.0 Unported (CC BY 3.0)

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