

1 Simultaneous Measurement of Urban and Rural Single Particles in Beijing, Part I:
2 Chemical Composition and Mixing State

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19 **Abstract**

20 Two single particle aerosol mass spectrometers (SPAMS) were deployed simultaneously
21 at an urban and a rural site in Beijing during an intensive field campaign from 1st to 29th
22 Nov 2016 to investigate the source and process of airborne particles in Beijing. In the first
23 part of this research, we report the single-particle chemical composition, mixing state, and
24 evolution at both sites. 96% and 98% of collected particles were carbonaceous at the urban
25 and rural sites, respectively. Five particle categories, including elemental carbon (EC),
26 organic carbon (OC), internal-mixed EC and OC (ECOC), potassium-rich (K-rich), and
27 Metals were observed at both sites. The categories were partitioned into particle types
28 depending on different atmospheric processing stages. Seventeen particle types were
29 shared at both sites. In the urban area, nitrate-containing particle types, such as EC-Nit and
30 ECOC-Nit, were enriched, especially at night; sulfate-containing particles were transported
31 when wind speed was high; ECOC-Nit-Sul were mostly local-aged. In sum, these
32 processed particles took up to 85.3% in the urban areas. In the rural area, regional particles
33 were abundant, but freshly emitted ECOC and OC had distinct patterns that were
34 pronounced at cooking and heating time. Biomass burning, traffic, and coal burning were
35 major sources of PM_{2.5} in both rural and urban areas. Besides, the particles from the steel
36 industry located in the south were also identified. In summary, the chemical composition
37 of urban and rural particle types was similar in Beijing; the urban particles were influenced
38 significantly by rural processing and transport. The work is useful to understand the
39 evolution of urban and rural particles in Beijing during winter.

40 **1. Introduction**

41 China has experienced severe haze events caused by extremely high concentrations of fine
42 particulate matter (PM_{2.5}) since January 2013. In the worst cases, an area of 2.0 million
43 km² and a population of 800 million were affected (Huang et al., 2014). In the Beijing-
44 Tianjin-Hebei (BTH) area, extreme haze events frequently occur during winter, with PM_{2.5}
45 mass reaching rapidly up to 200 µg m⁻³ and sustaining such levels for hours (Guo et al.,
46 2014).

47 Over the last two decades, comprehensive studies have been conducted on urban PM in
48 Beijing. He et al. (2001) reported the first characterization of PM_{2.5}. Since then, numerous
49 studies have been published on characterization (Huang et al., 2010), sources (Guo et al.,
50 2012; Sun et al., 2014a), and processing of PM (Sun et al., 2013). The mechanism of rapid-
51 boosting PM_{2.5} in Beijing, including new particle formation and growth (Guo et al., 2014),
52 regional transport (Li et al., 2015), and both (Du et al., 2017; Sun et al., 2014a), have been
53 proposed. However, discrepancies remain among these studies. For example, the mass
54 loading of PM_{2.5} can rapidly increase to hundreds µg m⁻³. Both Wang et al. (2016) and
55 Cheng et al. (2016) suggested the secondary formation of sulfate from the oxidation of
56 NO₂, while (Guo et al., 2014) proposed a mechanism of particle formation and growth.
57 Different from local secondary formation and accumulation, Li et al. (2015) proposed that
58 particles via long-range transport cause the elevation of PM_{2.5}. According to Sun et al.
59 (2014b) and Zhai et al. (2016), regional transport plays an important role during heavy haze
60 episodes. However, most studies have focused on the urban areas of Beijing, with limited

61 attention paid to rural areas. To illustrate the sources, evolution, and transport of particles,
62 the investigation of rural areas around Beijing is necessary.

63 Single particle mass spectrometers (SPMS) have been used to investigate the size-resolved
64 chemical composition and mixing state of atmospheric particles (Gard et al., 1997; Pratt
65 and Prather, 2012). More recently, single particle aerosol mass spectrometers (SPAMS)
66 have been used in Chinese megacities such as Beijing (Li et al., 2014), Shanghai (Tao et
67 al., 2011), Guangzhou (Bi et al., 2011), Xi'an (Chen et al., 2016; Chen et al., 2019a),
68 Nanjing (Wang et al., 2015), and Chongqing (Chen et al., 2017). SPAMS has been proven
69 a useful tool for characterizing the single-particle chemical composition, mixing state, and
70 processing of atmospheric particles. Due to the nature of laser desorption/ionization (LDI),
71 the instrument is very sensitive to dust and other types of particles containing sodium and
72 potassium, and this may cause bias in the particle matrix (Pratt and Prather, 2012).

73 In Beijing, particle types, such as carbonaceous, metal, dust, K-rich, and others during
74 spring and fall, were reported (Liu et al., 2016b; Li et al., 2014). Besides, lead-containing
75 particles have also been investigated in recent studies (Ma et al., 2016; Cai et al., 2017).
76 Organics, sulfate, nitrate, ammonium and other species have been found internally mixed
77 in the atmospheric particles, and these particle types are mostly from the combustion of
78 fuel or biomass. The abundance of secondary species can indicate the degree of aging
79 during atmospheric processing. Particles are with more secondary species with deeper
80 processing. However, these studies lack the use of this data to provide a view of the
81 dynamic particulate processing. Therefore, we used the relative abundance of secondary
82 species to adequately illustrate the process of single particles at both sites, providing a
83 tracing system on a regional scale.

84 This study is a part of the APHH-Beijing (Atmospheric Pollution and Human Health in a
85 Chinese Megacity of Beijing) intensive field campaign during winter 2016 (Shi et al., 2019).
86 Two SPAMSs were deployed simultaneously at Peking University (PKU) and Pinggu (PG)
87 in order to observe both urban and rural particles in the Beijing region. The aims of the
88 study are 1) to characterize the single-particle chemical composition and mixing state; 2)
89 to investigate particulate evolution at both sites during haze events. These two objectives
90 are presented in two parts. In Part I, particle types and their atmospheric processing (e.g.,
91 origination, source, and diurnal profiles) at both sites are reported; in Part II, the detailed
92 analysis of haze events, effects of heating activities, and evidence of regional transport are
93 addressed.

94 **2. Methodology**

95 **2.1 Sampling sites**

96 The campaigns were performed simultaneously at PKU (116.32°E, 39.99°N) and PG
97 (117.05°E, 40.17°N) from 11/01/2016 to 11/29/2016. A Description of the PKU site is
98 available in the literature (Huang et al., 2006). Briefly, the site is located on the rooftop (15
99 m above the ground) on the PKU campus which is surrounded by residential and
100 commercial blocks. Trace gases (Thermo Inc. series), meteorological parameters (Vaisala
101 Inc.), and PM_{2.5} (TEOM 1430) were recorded during the observation.

102 The PG site (117.053°E, 40.173°N) is 3 km from the PG center. The site is located in the
103 northeast of the PKU site with a distance of 70 km. The PG site also acts as a host of the
104 AIRLESS (Effects of AIR pollution on the cardiopulmonary disease in urban and peri-

105 urban residents in Beijing) Project. The meteorological data is acquired from the local
106 meteorological office. The PG village is surrounded by orchards and farmland with no
107 main road nearby on a scale of 3 km. Coal and biomass are used for domestic heating and
108 cooking in the nearby villages.

109 **2.2 Instrumentation and data analysis**

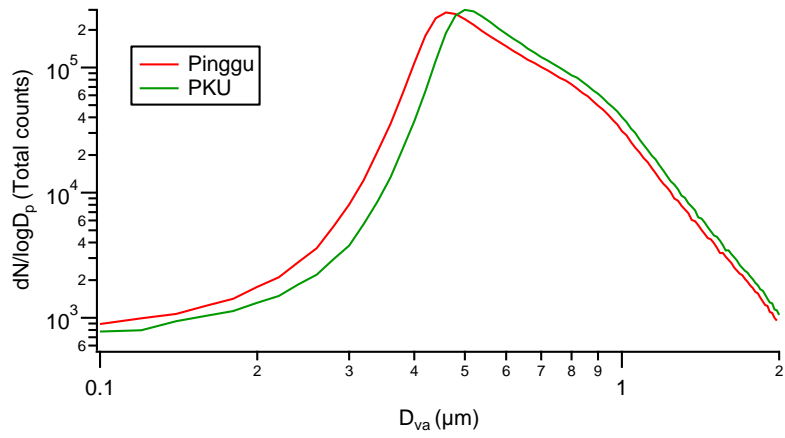
110 Two SPAMSs (Model 0515, Hexin Inc., Guangzhou, China) were deployed at both PKU
111 and PG. A technical description of SPAMS is available in (Li et al., 2011). Briefly, a
112 SPAMS has three functional parts: sampling, sizing, and mass spectrometry. In the
113 sampling part, particles within a 0.1–2.0 μm size range pass efficiently through an
114 aerodynamic lens. In the sizing unit, the aerodynamic diameter (D_{va}) is calculated using
115 the time-of-flight of particles. The particles are then decomposed and ionized into ions one-
116 by-one using a 266 nm laser. A bipolar time-of-flight mass spectrometer measures the ions
117 and generates the positive and negative mass spectra of each particle. The two instruments
118 were maintained and calibrated following the standard procedures before sampling (Chen
119 et al., 2017).

120 A neural network algorithm based on adaptive resonance theory (ART-2a) was used to
121 resolve particle types from both datasets (Song et al., 1999). The parameters used were: a
122 vigilance factor of 0.70, a learning rate of 0.05, and 20 iterations. This procedure generated
123 771 and 792 particle groups. Then, the groups were combined into particle types based on
124 similar mass spectra, temporal trends, and size distributions (Dall'osto and Harrison, 2006).
125 During combining, relative areas of nitrate and sulfate were used to distinguish the stages
126 of processing, assuming that more sulfate and nitrate can be measured if a particle is more

127 processed during its lifetime. Thus, particles with relative peak areas of sulfate and nitrate
128 larger than 0.1 were marked with nitrate (-Nit), sulfate (-Sul), respectively, or both. *Indeed,*
129 *matrix effect can affect ionic intensities between different particles during single-particle*
130 *mass spectrometer analysis. However, the effect can be reduced using average mass spectra*
131 *of particles within the similar size distribution and chemical composition.* Finally, the
132 strategy resulted in 20 and 19 particle types at PKU and PG respectively. Among them, 17
133 types appeared at both sites, and each type has identical mass spectra ($R^2 > 0.80$) between
134 each other.

135 **3. Results**

136 A total of 4,499,606 and 4,063,522 particles were collected at PKU and PG sites,
137 respectively. The size distributions peaked at 0.48 μm and 0.52 μm (Figure 1). The smaller
138 size distribution was due to a more substantial fraction of freshly-emitted particles at PG,
139 as described in Table 1. Seventeen particle types ($R^2 > 0.80$, mass spectra) were observed
140 both at PKU and PG (Table 1). These particle types were labeled with the suffixes “_PKU”
141 or “_PG” to indicate their locations. The term “particle category” stands for a group of
142 particle types with variable stages of processing.



143

144 Figure 1. The size distribution of SPAMS particles at PKU and PG sites.

145

146 Table 1. SPAMS particle types identified at PKU and PG sites.

Particle type	PKU	PKU	PG	PG	Comments
	Number	Percentage	Number	Percentage	
EC-Nit	313574	7.0	79082	2.0	Solid fuel burning, traffic
EC-Nit-Sul	473908	10.5	140107	3.5	
EC-Sul	30365	0.7	4096	0.1	
ECOC-Nit-Sul	539533	12.0	755279	18.6	Traffic, coal burning
ECOC-Sul	572548	12.7	397367	9.8	
K-rich	322731	7.2	259287	6.4	Aged biomass burning
K-Nit	359281	8.0	334547	8.2	
K-Nit-Sul	717280	16.0	76954	1.9	
K-Sul	26301	0.6	183571	4.5	
NaK	16680	0.4	74943	1.8	Coal, peat
NaK-Nit	289259	6.4	69760	1.7	
NaK-Nit-Sul	114387	2.5	77555	1.9	
NaK-Sul	7509	0.2	16578	0.4	
OC-Nit-Sul	334870	7.4	865821	21.3	Traffic, coal burning
OC-Sul	40800	0.9	279322	6.9	
Ca-dust	19869	0.4	3035	0.1	dust
Fe-rich	137600	3.1	70920	1.8	Steel industry
ECOC-Nit	137470	3.1%			Solid fuel burning
OC-Nit	41159	0.9%			Traffic, coal burning
K-Amine-Nit-Sul	4482	0.1%			Coal burning
ECOC			239953	5.9%	Coal burning
OC			135345	3.3%	Traffic, coal burning

147

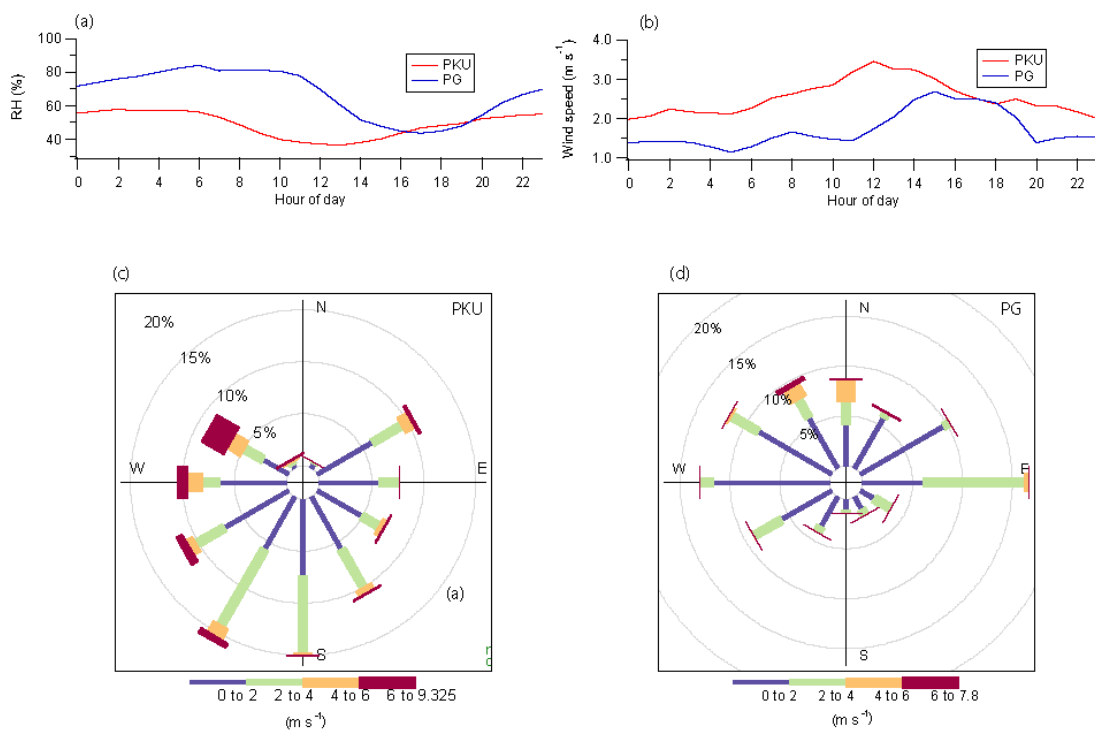
148 Note: Nit stands for nitrate, Sul for sulfate.

149 **3.1 Meteorological conditions and overview**

150 Temperature, relative humidity (RH), and wind speed at both sites during the sampling
 151 period are summarized in Table 2. Their temporal trends are available in Part II. The

152 average temperature at PKU (urban, 5.7 ± 2.3 °C) was higher than at PG (rural, 3.1 ± 2.2 °C).
 153 Correspondingly, relative humidity was higher at PG ($67\pm 32\%$) than at PKU ($49\pm 30\%$).
 154 The wind was stronger at PKU (2.5 ± 1.8 ms⁻¹) than at PG (1.7 ± 0.9 ms⁻¹). As shown in
 155 Figure 2, at PKU, wind speed peaked at noon (local time, UTC+8), while at PG, wind speed
 156 reached its maxima at 15:00. Various wind speeds determined the different dispersion
 157 patterns of pollutants near the surface. It should be noticed that wind speed up to 2 ms⁻¹
 158 representing a scale of 172 km in diurnal transport. Therefore, at PKU, the wind could
 159 bring the pollutants from Hebei province under stagnant air conditions.

160



161

162 Figure 2. Diurnal plots of (a) RH and (b) wind speed, and rose plots of wind at (c)PKU and
 163 (d) PG.

164

165 Table 2. Meteorological parameters at PKU and PG during the campaign.

	PKU	PG
Temperature (°C)	5.7±2.3	3.1±2.2
RH (%)	49±30	67±32
Wind speed (ms ⁻¹)	2.5± 1.8	1.7± 0.9

166

167 **3.2 Common particle categories at both PKU and PG**

168 **3.2.1 Elemental carbon (EC)**

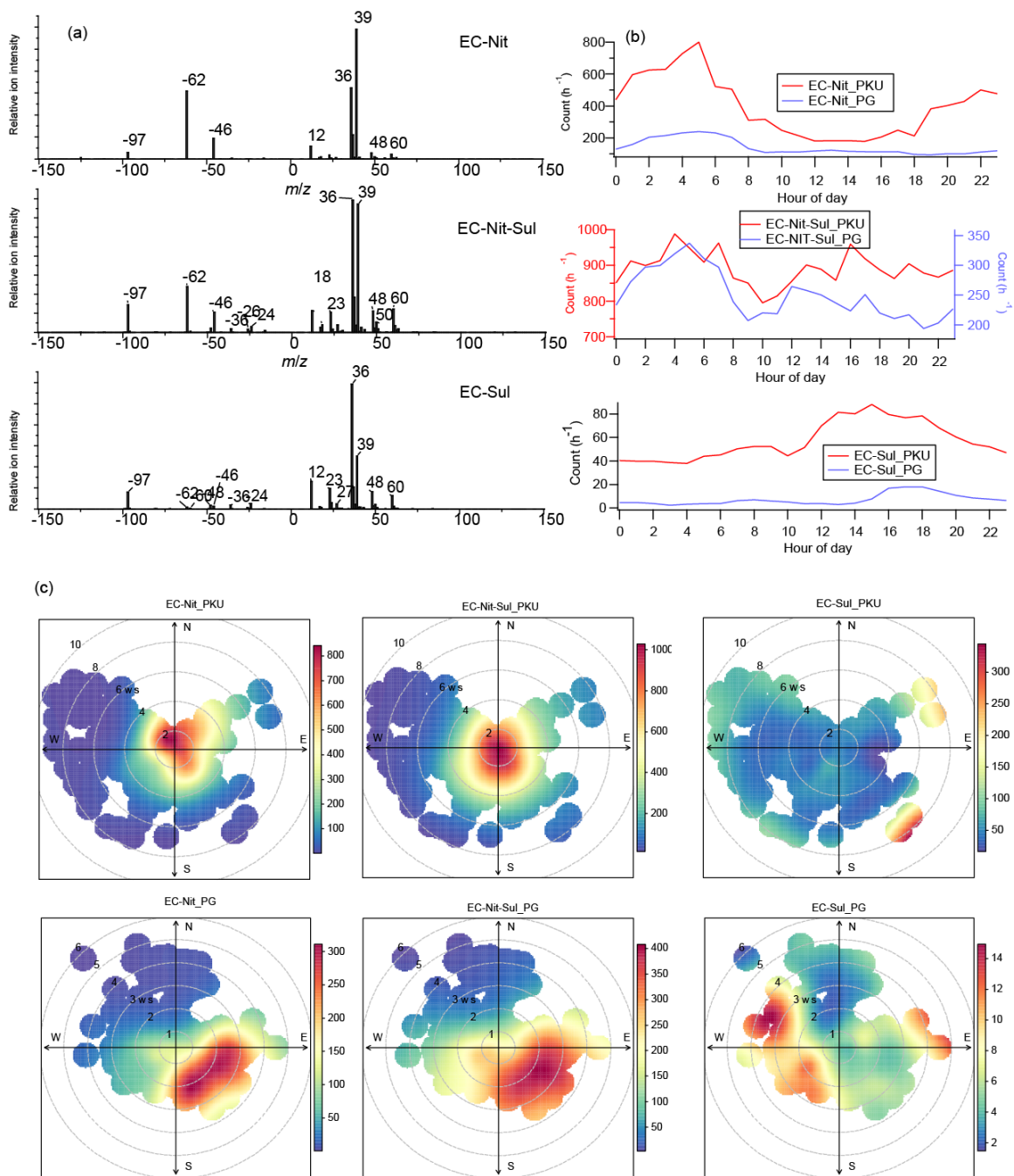
169 As shown in Figure 3a, the elemental carbon (EC) particle category was represented by
170 ions peaking at m/z 12, 24, 36, 48, and 60 in positive mass spectra (Sodeman et al., 2005;
171 Toner et al., 2008). EC is emitted from solid fuel combustion, traffic (Sodeman et al., 2005;
172 Toner et al., 2008), and industrial activities (Healy et al., 2012; Liu et al., 2019a). Due to
173 the various ionic intensities of nitrate (m/z -46 and -62) and sulfate (m/z -80 and -97), the
174 EC category has four types including EC-Nitrate (EC-Nit), EC-Sulfate (EC-Sul), and EC-
175 Nit-Sul. Besides, the EC category was more abundant after the heating began rather than
176 before (Part II), indicating that coal burning was one of the primary sources.

177 EC-Nit_PKU and EC-Nit_PG accounted for 7.0% and 2.0% in PKU and PG datasets,
178 respectively. In the diurnal profiles of EC-Nit_PKU, there was an apparent early morning
179 peak at 5:00 (UTC+8, local time), along with an evening peak (22:00). There was also an
180 early morning NO_x peak in the urban area of Beijing, providing sufficient precursors for
181 secondary nitrate (Shi et al., 2019). Wang et al. (2018) validated the role of N₂O₅ uptake
182 on the nitrate formation in PM. Therefore, the early morning peak of EC-Nit_PKU
183 occurred due to the uptake of nitrate on the freshly emitted EC in the early morning (Sun

184 et al., 2014a). The evening peak could be due to the low temperature after the heating
185 supply started (Liu et al., 2019a). Diurnally, EC-Nit_PG exhibited an early morning peak
186 (5:00) but no evening peak and mainly came from the southeast.

187 EC-Nit-Sul was more abundant at the rural site (18.6%) than the urban site (11.6%). EC-
188 Nit-Sul_PKU (10.5%) had early morning (04:00), morning (7:00), and afternoon peaks
189 (around 16:00), while EC-Nit-Sul_PG (3.5%) had early morning (04:00), noon, and
190 afternoon peaks (17:00, Figure 3a). However, they showed relatively small diurnal
191 variations. For example, EC-Nit-Sul_PKU varied between 800 h^{-1} and $1,000 \text{ count h}^{-1}$, and
192 EC-Nit-Sul_PG shifted between 200 count h^{-1} and 250 count h^{-1} . Thus, the EC-Nit-Sul at
193 both sites was most likely acting as background and regional particles (Dall'Osto et al.,
194 2016). Additionally, EC-Nit-Sul_PKU mainly came from the surrounding area in the city
195 pollutant plume, while EC-Nit-Sul_PG mainly came from the southeast (Figure 3c).

196 EC-Sul was a minor type at both sites, accounting for 0.7% at PKU and 0.1% at PG. EC-
197 Sul was pronounced in the afternoon when the wind was strong at both sites. It was unlikely
198 for either EC-Sul_PKU or EC-Sul_PG to be local because their concentrations were
199 associated with high wind speed, as shown in Figure 3c. More specifically, EC-Sul_PKU
200 came from the southeast and northeast of Hebei Province when the wind speed exceeded
201 6 m s^{-1} . EC-Sul_PG could come from the west when the wind speed exceeded 2 m s^{-1} and
202 the east when the wind speed exceeded 3 m s^{-1} , as coal-using industries are located in both
203 directions. Also, at both sites, the concentrations of SO_2 were elevated in the afternoon due
204 to transport, providing sufficient precursors for the formation of sulfate (Shi et al., 2019).



205

206 Figure 3. (a) average mass spectra of EC-Nit, EC-Nit-Sul, and EC-Sul at both sites; (b)
 207 diurnal patterns of EC-Nit, EC-Nit-Sul, and EC-Sul at both sites; (c) polar plots of EC-Nit,
 208 EC-Nit-Sul, and EC-Sul; the grey circles indicate wind speed (m s^{-1}).

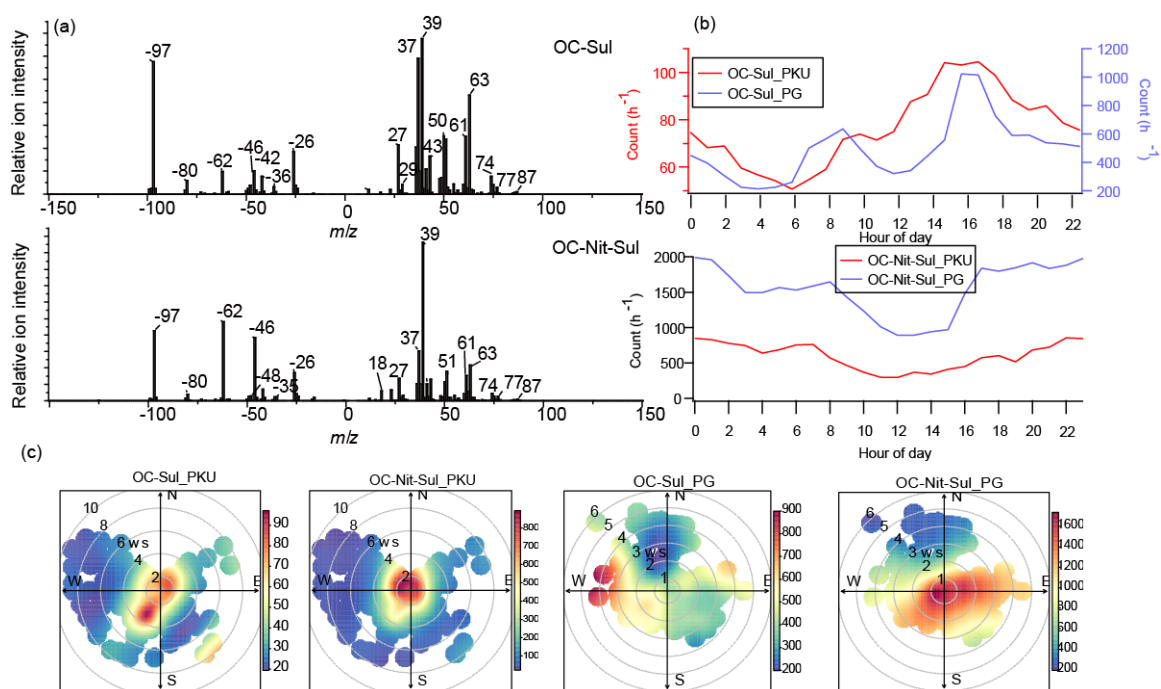
209 3.2.2 Organic carbon (OC) category

210 The positive mass spectra of both OC-Nit and OC-Nit-Sul contained complicated organic
211 ions such as $C_2H_3^+$ (m/z 27), C_3H^+ (m/z 37), $C_3H_7^+/C_2H_3O^+/CHNO^+$ (m/z 43), $C_4H_2^+$ (m/z
212 50), aromatic hydrocarbons ($C_4H_3^+$, $C_5H_3^+$, and $C_6H_5^+$), and diethylamine ($((C_2H_5)_2NH_2^+$,
213 m/z 74), $(C_2H_5)_2NCH_2^+$ (m/z 86)). The negative mass spectra contained CN^- (m/z -26), Cl^-
214 (m/z -35 and 37), CNO^- (m/z -42), nitrate (m/z -46 and -62), and sulfate (m/z -97). The
215 presence of CN^- and CNO^- suggests the existence of organonitrogen species (Day et al.,
216 2010). Peak intensities of organic fragments are relatively high in the OC-Sul particles,
217 indicating that it was relatively fresh, while OC-Nit-Sul was more processed (Zhai et al.,
218 2015; Peng et al., 2020a). The positive mass spectrum had similar ions of Coal Combustion
219 OA (CCOA) with significant signals of PAHs in AMS studies (Sun et al., 2013). OC-Sul
220 showed different spatial distributions with 0.9% at PKU and 6.9% at PG.

221 OC-Sul_PG had morning (8:00) and afternoon (16:00) peaks, while the diurnal profile of
222 OC-Sul_PKU showed a trend with an early morning (3:00), morning (10:00), and
223 afternoon peaks (16:00). The diurnal trends OC-Sul at both PKU and PG were consistent
224 with the heating pattern depending on the variation of local temperature. Moreover, OC-
225 Sul_PG increased after the heating supply began. Polar plots suggest that OC-Sul_PKU
226 came from surrounding southwest areas via transport, while OC-Sul_PG came from
227 villages to the east and west (Figure 4). These results suggest that OC-Sul_PG was emitted
228 from coal burning for residential heating in nearby areas.

229 OC-Nit-Sul accounted for 7.4 % and 21.3 % of all detected particles at PKU and PG,
230 respectively. OC-Nit-Sul_PKU had a diurnal peak at 7:00 in rush hours, suggesting that

231 OC-Nit-Sul could be formed due to the uptake of nitrate on OC-Sul. While OC-Nit-Sul_PG
 232 had a diurnal peak at 8:00 due to traffic in nearby towns. As an aged particle type, OC-Nit-
 233 Sul_PKU and OC-Nit-Sul_PG, also acting as a similar type of background types with
 234 hourly counts remained low but elevated to high levels at night. Polar plots suggest that
 235 OC-Nit-Sul_PKU mainly came from the surrounding areas, while OC-Nit-Sul_PG mainly
 236 came from the south and east, where populous villages are located (Figure 4).

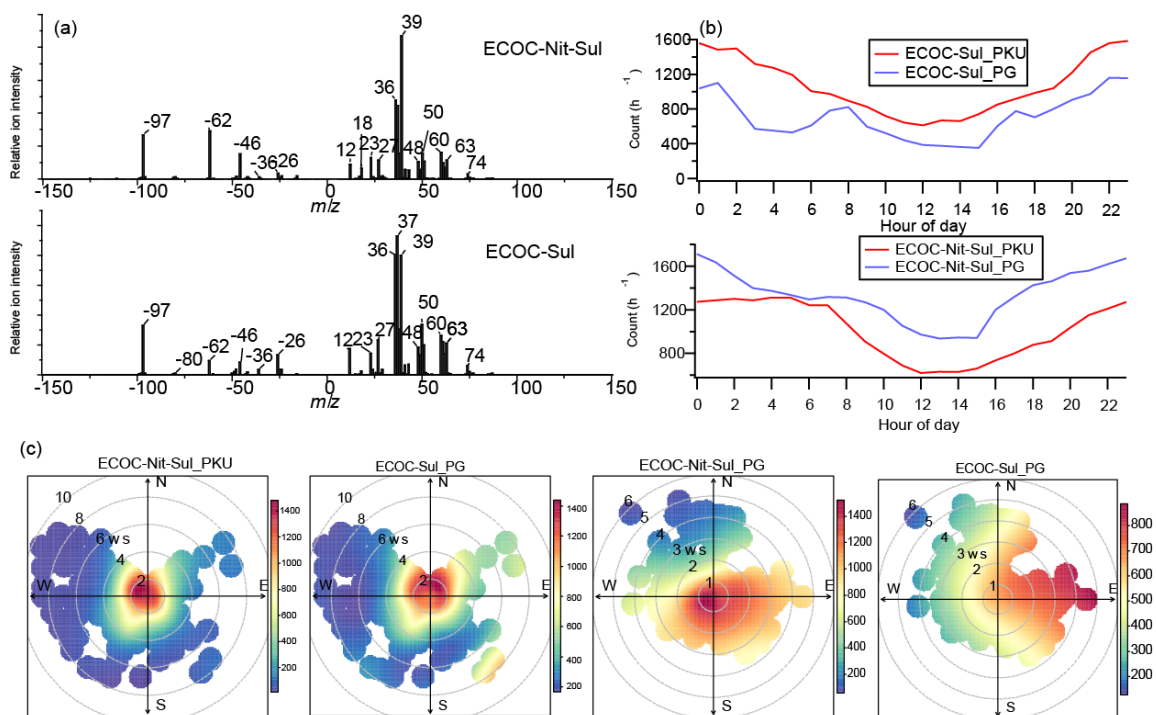


237
 238 Figure 4. (a): average mass spectra of OC-Nit and OC-Nit-Sul observed at both sites; (b):
 239 diurnal patterns of the hourly count of OC-Nit and OC-Nit-Sul at both sites; (c): polar plots
 240 of OC-Sul and OC-Nit-Sul; the grey circles indicate wind speed (m s^{-1}).

241 3.2.3 ECOC category

242 As shown in Figure 5a, the ECOC category contained two major particle types: ECOC-
 243 Nit-Sul and ECOC-Sul. The positive mass spectrum of ECOC-Nit-Sul contained C_n^+ (m/z

244 12, 24, 36...), NH_4^+ (m/z 18), C_2H_3^+ (m/z 27), K^+ (m/z 39 and 41), $\text{C}_3\text{H}_7^+/\text{C}_2\text{H}_3\text{O}^+/\text{CHNO}^+$
245 (m/z 43), C_4H_2^+ (m/z 50), and $[(\text{C}_2\text{H}_5)_2\text{NH}_2]^+$ (m/z 74); in the negative mass spectrum, ions
246 such as sulfate (m/z -80 and -97), nitrate (m/z -46 and -62), C_n^- , and CN^- (m/z -26) were
247 abundant. This mixture of EC and OC particle types was common in single particle studies.
248 ECOC could be local, and from incomplete combustion processes (Chen et al., 2017), or
249 regional transport, e.g., after aging (McGuire et al., 2011; Huang et al., 2013; Zhao et al.,
250 2019). The diurnal profile of ECOC-Sul_PG showed early morning (1:00), morning (8:00),
251 and afternoon (17:00) peaks, which is consistent with local cooking and heating patterns.
252 Also, heating activities enhanced the fraction of ECOC-Sul_PG. ECOC-Sul_PKU did not
253 show a clear diurnal profile, suggesting that ECOC-Sul_PKU was mainly a background
254 type. Similarly, ECOC-Nit-Sul_PKU and ECOC-Nit-Sul_PG were also background types
255 with less obvious diurnal variations (Dall'Osto et al., 2016). Polar plots (Figure 5c)
256 suggested that both ECOC-Nit-Sul_PKU and ECOC-Sul_PKU had both local and regional
257 sources. Wind speed up to 4 m s^{-1} could cause a transport with a distance of 346 km
258 diurnally, indicating that it was possible for the particles from Hebei province to arrive at
259 the sampling place.



260

261 Figure 5. (a): average mass spectra of ECOC-Nit and ECOC-Nit-Sul observed at both sites;
 262 (b): diurnal patterns of the hourly count of ECOC-Sul and ECOC-Nit-Sul at both sites; (c):
 263 polar plots of ECOC-Sul and ECOC-Nit-Sul; the grey circles indicate wind speed (ms^{-1}).

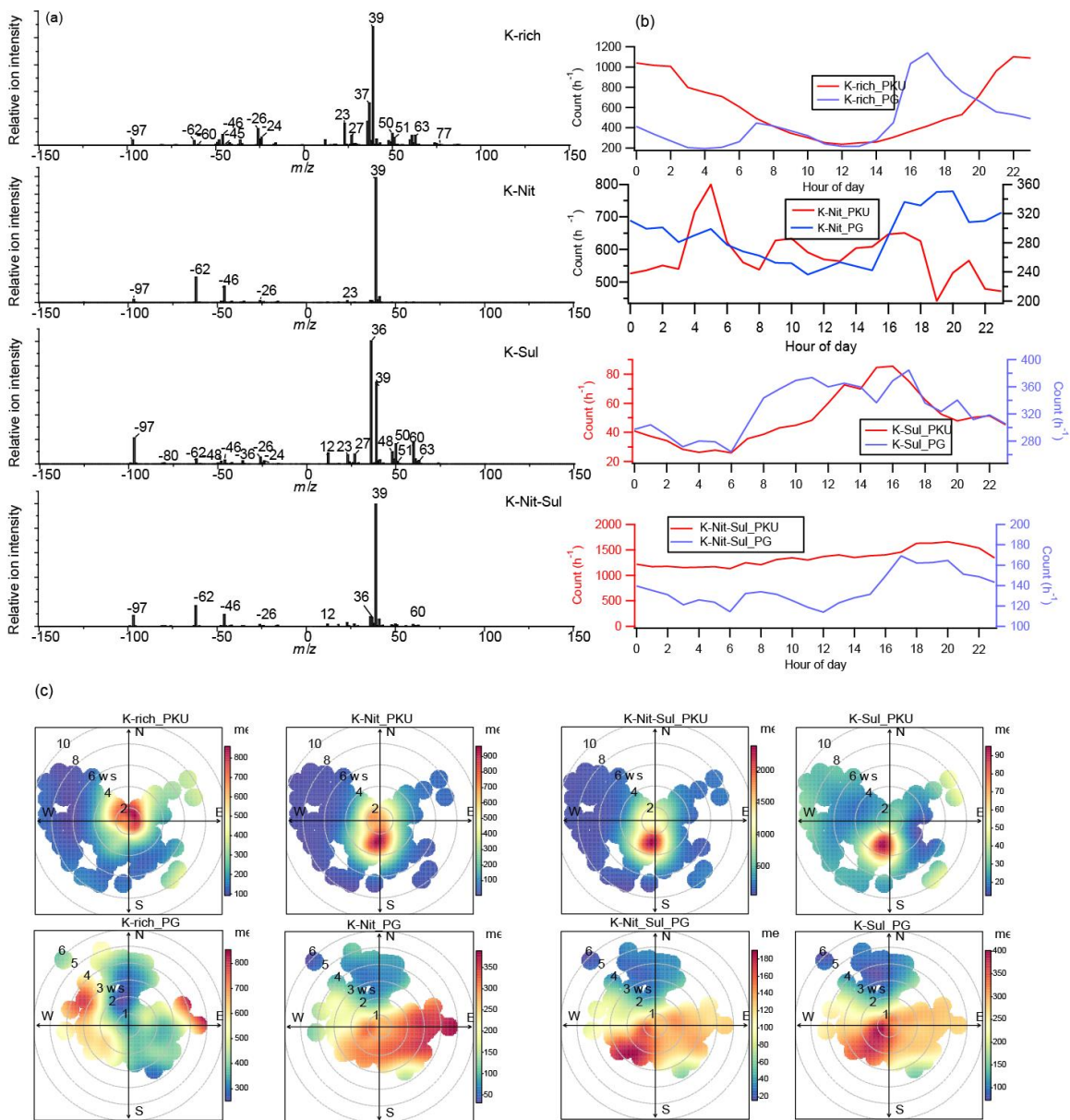
264 3.2.4 K-rich category

265 Figure 6 shows a series of potassium-rich (K) particle types. K-rich contained Na^+ (m/z 23),
 266 C_2H_3^+ (m/z 27), C_n^+ , C_3H^+ (m/z 37), K^+ , aromatic hydrocarbons (C_4H_3^+ , C_5H_3^+ , and C_6H_5^+),
 267 levoglucosan (m/z -45, -59, and -71), sulfate, and nitrate. According to the ionic intensities
 268 of sulfate and nitrate, the K-rich particle category had several branches such as K-rich, K-
 269 Nit, K-Sul, and K-Nit-Sul. K-rich particles are commonly found in biomass burning
 270 emissions (Silva et al., 1999; Pagels et al., 2013; Chen et al., 2017). Cl^- was un abundant in
 271 all K-rich particle types, suggesting that the K-rich particles had undergone aging during

272 atmospheric processing (Sullivan et al., 2007; Chen et al., 2016), but K-Nit, K-Nit-Sul, and
273 K-Sul were more processed.

274 All K-rich category particles showed different atmospheric evolution process at both PKU
275 and PG. K-rich_PKU illustrated a typical pattern that was at low levels in the daytime but
276 high levels at nighttime (22:00). As shown in Figure 6c, at an average wind speed of 3 m
277 s^{-1} , it took five hours for particles from a distance of 50 km to arrive at PKU. This is also
278 the reason why BB-related particles were abundant in urban Beijing where the household
279 BB is prohibited. The origination of K-rich_PKU was from nearby and southwest. K-
280 rich_PG, however, showed a pattern with cooking and heating activities, peaking at 7:00
281 and 17:00. The peak at 7:00 was due to the local emissions; the 17:00 could be transported
282 from a distance of 50 km at a wind speed of 3 m s^{-1} from the east and west.

283 The secondary process contributed to the early morning peak (5:00) of K-Nit_PKU due to
284 the nighttime formation of nitrate via hydrolysis of N_2O_5 in the NO_x -rich urban areas (Wang
285 et al., 2017). In the day time, after the rush hours, the number concentration of K-Nit_PKU
286 increased again via the uptake of nitrate due to day time photoactivity. K-Nit_PKU mainly
287 originated from the local and southerly areas (Figure 6c). Besides the early morning peak,
288 K-Nit_PG showed cooking and heating patterns that they were abundant when the
289 temperature was low in the early morning and afternoon. K-Nit_PG had wide originated
290 from both local and region via long-range transport.



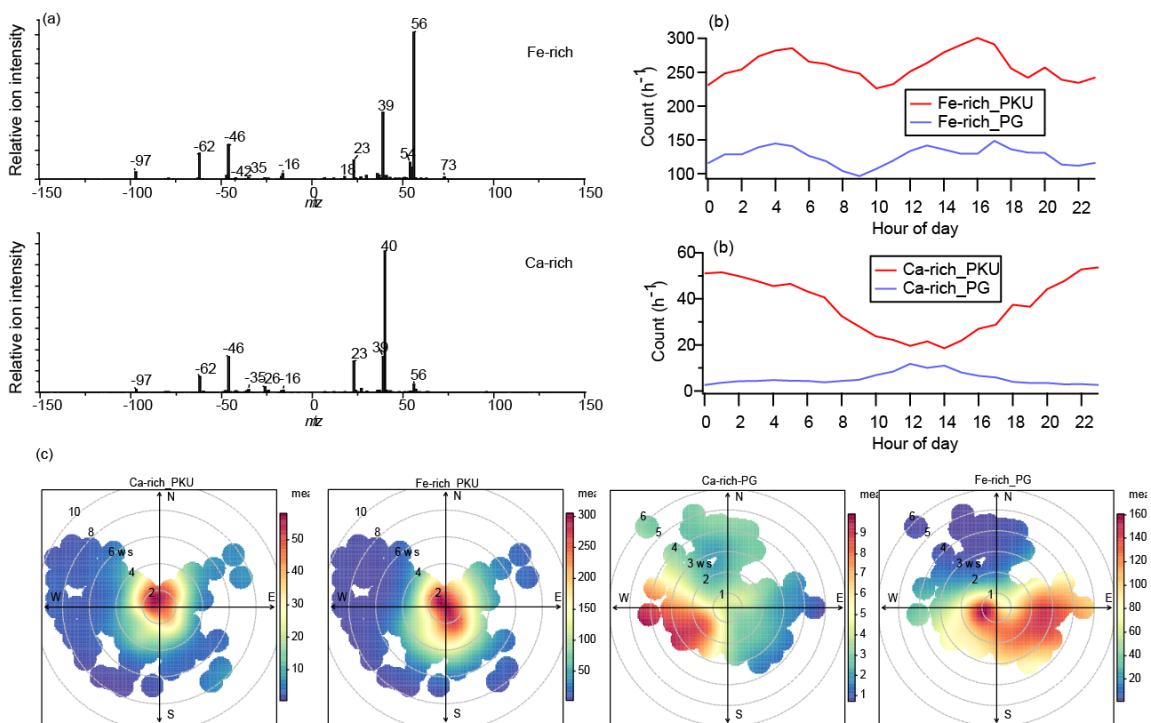
291

292 Figure 6. (a): average mass spectra of BB, K-Nit, K-Sul, and K-Nit-Sul observed at both
 293 sites; (b): diurnal patterns of the hourly count of K-rich, K-Nit, K-Sul, and K-Nit-Sul at
 294 both sites; (c): polar plots of BB, K-Nit, K-Sul, and K-Nit-Sul; the grey circles indicate
 295 wind speed (m s^{-1}).

296 3.2.5 Metal category

297 Two metal-rich particles types were identified, namely Fe-rich and Ca-rich. Fe-rich
298 contained iron (m/z 56 and 54), K^+ , Na^+ , NH_4^+ , Cl^- (m/z -35 and -37), sulfate, and nitrate.
299 Ca-rich was composed of Ca^+ (m/z 40), CaO (m/z 56), K^- , Na^+ , Cl^- , sulfate, and nitrate. As
300 shown in Figure 6b, Ca-rich_PKU (0.4%) and Ca-rich_PG (0.1%) were likely of regional
301 origin with no distinct diurnal variations. Since SiO_2^- or SiO_3^- (m/z -60 and -76) were not
302 abundant in the Ca-rich particles, they are not likely to come from dust (Silva et al., 2000).
303 According to its weak peaks during the rush hour at PKU, a possible source of the Ca-rich
304 particles was from road dust re-suspension. Such rush hour peaks were not observed at PG.

305 Fe-rich_PKU (3.1%) and Fe-rich_PG (1.8%) had similar diurnal profiles that arose in the
306 early morning when heavy-duty vehicles were allowed to enter the 5-ring expressway. The
307 peak occurred earlier at PG (4:00) than (5:00) because these vehicles got close to PG earlier
308 than to PKU. The daytime peak occurred in the afternoon at both PKU and PG when wind
309 speed was high. Therefore, there were also multiple sources for Fe-rich particles, including
310 re-suspended dust particles from traffic and fly ash from the steel industry. In Beijing,
311 daytime Fe-rich particles were reported and assigned to long-range transport and industrial
312 sources from Heibei Province (Figure 7c) (Li et al., 2014). The steel industry moved out
313 of Beijing more than a decade ago (Liu et al., 2016b). Currently, most of these steel
314 industries were located in the Heibei Province.



315

316 Figure 7. (a): average mass spectra of Fe-rich and Ca-rich observed at both sites; (b):
 317 diurnal patterns of the hourly count of Fe-rich and Ca-rich at both sites; (c): polar plots of
 318 Fe-rich and Ca-rich; the grey circles indicate wind speed (ms^{-1}).

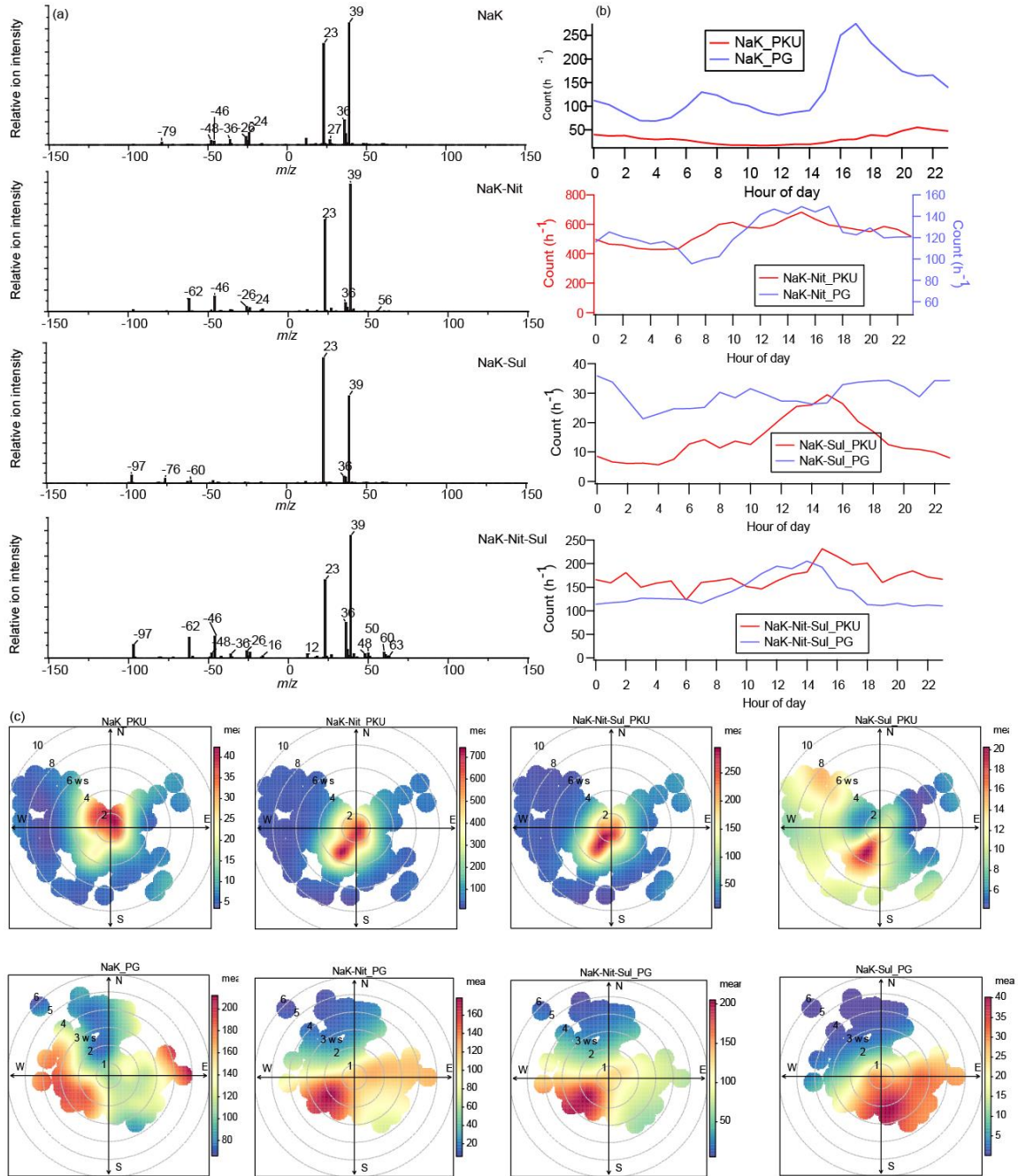
319 3.2.6 NaK category

320 As shown in Figure 8, mass spectra of NaK category contained Na^+ , K^+ , C_n^+ , C_n^- , nitrate,
 321 and PO_3^- (m/z -79). The aged NaK particles contained strong signals of nitrate (NaK-Nit),
 322 sulfate (NaK-Sul), or both (NaK-Nit-Sul). In general, the NaK category contained stronger
 323 signals of Na^+ than the EC and K-rich categories. The NaK category may also come from
 324 incomplete solid fuel combustion processes such as coal, peat, or wood (Chen et al., 2017;
 325 Healy et al., 2010; Xu et al., 2017). NaK category was more abundant at PKU (9.5%) than
 326 PG (5.8%), suggesting a stronger contribution of emission from coal boilers (Xu et al.,

327 2017; Xu et al., 2018). Additionally, after heating began, the fraction of NaK-Nit_PG and
328 NaK-Sul-Nit_PG increased by 1.2 times (see Part II).

329 NaK_PKU showed no distinct diurnal variations, suggesting that it was a regional particle
330 type arriving at the PKU site via transport, while NaK_PG showed an apparent diurnal
331 variation consistent with cooking and heating pattern. Polar plots also suggest that they are
332 from the east and the west. NaK-Nit, with a considerable uptake of nitrate, was more
333 abundant at PKU (6.4%) than PG (1.7%). Both NaK-Nit_PKU and NaK-Nit_PG increased
334 in the afternoon when photochemical activities were most active (Figure 8c). Both of them
335 may be from regional transport (Figures 8b and 8c).

336 NaK-Sul was a minor particle type at both PG and PKU, accounting for 0.2% and 0.4%,
337 respectively. The diurnal profile of NaK-Sul_PG was also following the local cooking and
338 heating pattern, while NaK-Sul_PKU showed a typical transport pattern that became
339 abundant in the afternoon as the southwestern wind speed increased. As a heavily aged
340 particle type, NaK-Nit-Sul was transported to both PKU and PG from the southwest. In
341 short, NaK-related particle types mainly came from the solid fuel burning process, e.g.,
342 coal. Due to its different origins, it showed different levels of processing at PKU and PG,
343 respectively.



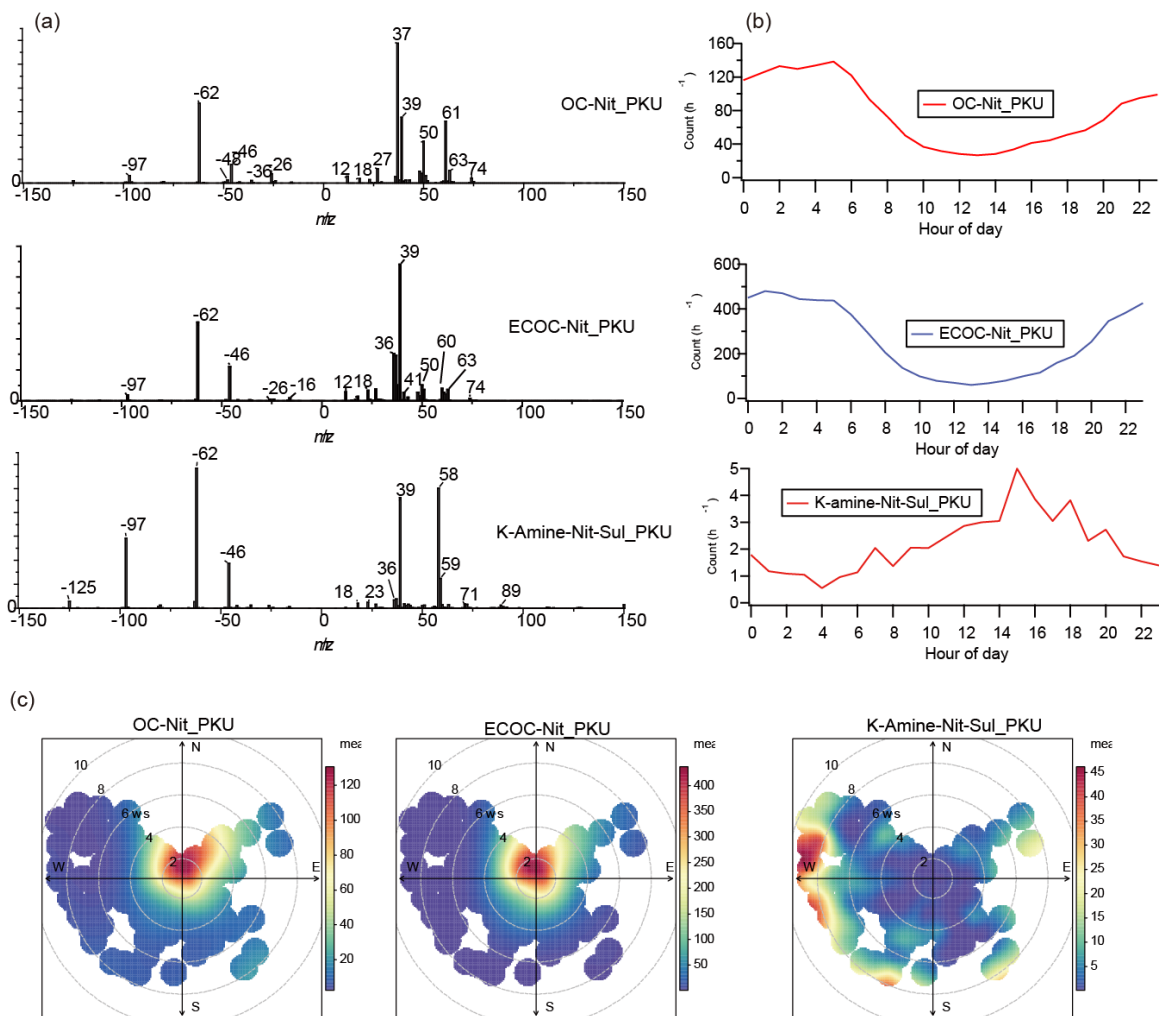
344

345 Figure 8. (a): average mass spectra of NaK, NaK-Nit, NaK-Nit-Sul, and NaK-Sul observed
 346 at both sites; (b): diurnal patterns of the hourly count of NaK, NaK-Nit, NaK-Nit-Sul, and
 347 NaK-Sul at both sites; (c): polar plots of NaK, NaK-Nit, NaK-Nit-Sul, and NaK-Sul; the
 348 grey circles indicate wind speed (m s^{-1}).

349 3.3 Unique Particle types at the PKU site

350 OC-Nit_PKU (0.9%) and ECOC-Nit_PKU (3.1%) with strong ion intensities of nitrate
351 were observed at the PKU site. OC-Nit_PKU and ECOC-Nit_PKU showed a peak at night
352 than at daytime, similar to the diurnal profiles of OC-Nit-Sul_PKU and ECOC-Nit-
353 Sul_PKU. Such nitrate-rich particle types could have come from the uptake of nitrate in
354 OC and ECOC(Qin et al., 2012; Chen et al., 2016). Polar plots suggest that both types were
355 formed locally when the wind speed was lower than 4 ms^{-1} . The NO_x -rich environment in
356 urban Beijing provides a favorable condition for nitrate formation at night (Wang et al.,
357 2016; Shi et al., 2019).

358 A minor amount (0.10%) of amine-containing particles was observed at the PKU site, and
359 trimethylamine ion fragments (m/z 58 and 59) were influential in the mass spectrum of K-
360 amine-Nit-Sul_PKU (Figure 9a). The diurnal profile of K-amine-Nit-Sul_PKU showed an
361 afternoon peak, indicating a regional source (Figure 9c). K-amine-Nit-Sul_PKU was
362 transported to the site from nearby locations. The amines may come from animal husbandry,
363 BB, traffic, or vegetation (Chen et al., 2019b). Amines were ubiquitous in the atmospheric
364 environment, playing essential roles in new particle formation and growth, as well as fog
365 and cloud processing (Ge et al., 2011; Chen et al., 2019b).



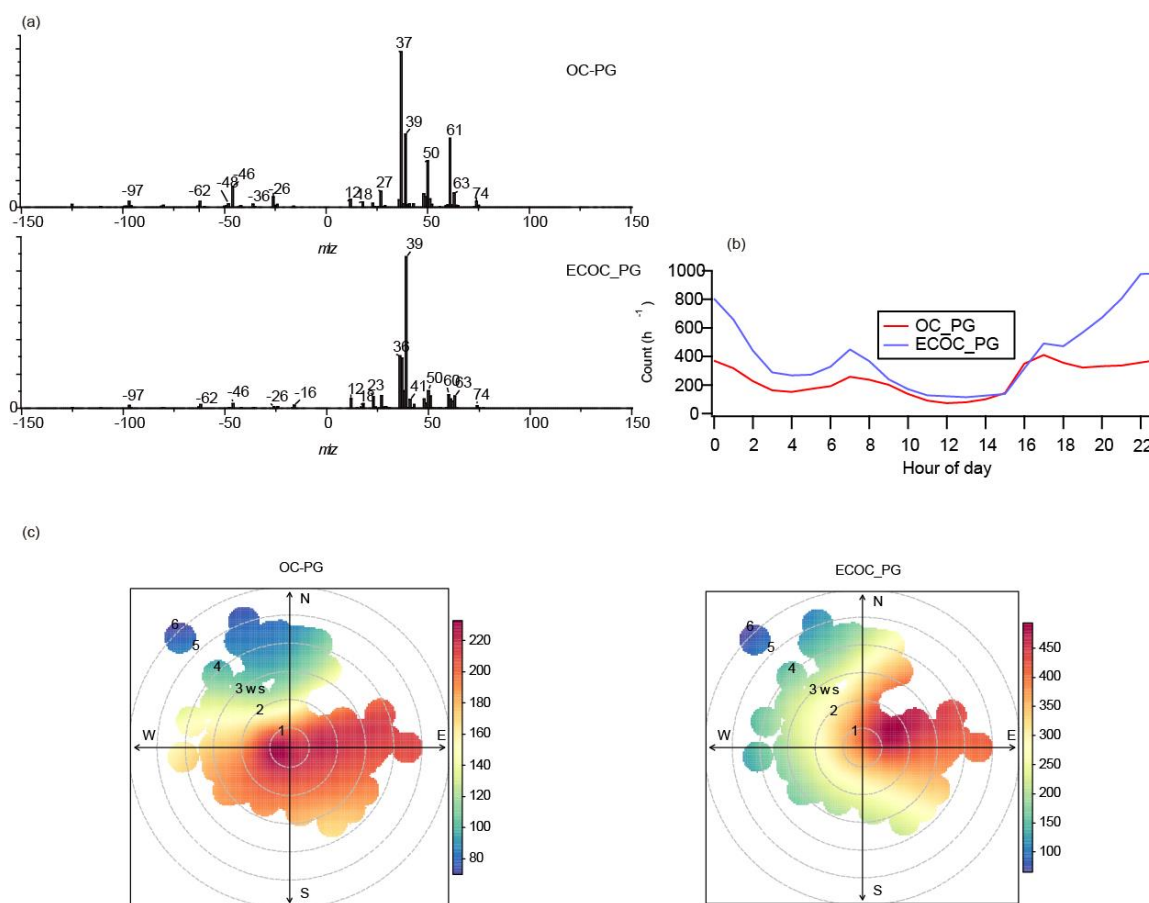
366

367 Figure 9. (a): average mass spectra of OC-Nit_PKU, ECOC-Nit_PKU, and K-amine-Nit-
 368 Sul_PKU observed at the PKU site; (b): diurnal patterns of the hourly count of OC-
 369 Nit_PKU, ECOC-Nit_PKU, and K-amine-Nit-Sul_PKU at the PKU site; (c): polar plots of
 370 OC-Nit_PKU, ECOC-Nit_PKU, and K-amine-Nit-Sul_PKU, and the grey circles indicate
 371 wind speed (m s⁻¹).

372 3.4 Unique Particle types at the PG site

373 OC_PG (5.9%) and ECOC_PG (3.3%) were only observed at the rural site PG (Figure 10).
 374 The major components of these two types were consistent with the OC and ECOC

375 categories, respectively, but with limited uptake of sulfate and nitrate, suggesting that they
 376 were possibly freshly emitted particles(Peng et al., 2020b). Their diurnal profiles are
 377 consistent with cooking and heating patterns which peaked at 07:00 in the morning and
 378 17:00. Polar plots suggest that OC_PG mainly came from nearby and other remote areas
 379 in all directions except the north. ECOC mainly came from the east of the PG site. These
 380 results supported the assumption that the two types were mainly from local emission
 381 sources. Also, the emission of OC_PG and ECOC_PG is popular in the region.



382

383 Figure 10. (a) Average mass spectra of OC_PG and ECOC_PG, (c) diurnal plots of OC_PG
 384 and ECOC_PG, and (c) polar plots of OC_PG and ECOC_PG. All these particle types
 385 appeared at the PG site.

386 **4. Discussion**

387 Multiple source apportionment models have been used in Beijing to quantify the sources
388 of particles (Sun et al., 2014a; Xu et al., 2015; Zhai et al., 2016). Biomass burning, coal
389 combustion, traffic, and dust are the key sources of PM (Sun et al., 2014a; Liu et al., 2018;
390 Huang et al., 2014). Multiple studies confirmed that biomass burning is an essential source
391 of PM in urban Beijing (Gao et al., 2014; Huang et al., 2014; Sun et al., 2014a; Zheng et
392 al., 2017). In this study, biomass burning, and other solid fuel burning were identified as
393 crucial sources of PM in not only urban but also rural areas of Beijing. We observed that
394 BB-related particles (K-rich category) were more abundant at PG than at PKU. In particular,
395 we found fresh-emitted K-containing particles at the Pinggu site, confirmed the importance
396 of local emissions to PM. Furthermore, K-containing particles in the urban area were more
397 aged, suggested that they are aged and mostly from the surrounding areas. The result is
398 consistent with the results from (Liu et al., 2019b) based on a combined receptor and
399 footprint models. Nevertheless, household emissions in the BHT region caused 32% and
400 15% of primary PM_{2.5} and SO₂. These studies have proved the importance of household
401 emission from BB in the BHT area (Liu et al., 2016a). Especially at the PG site, the ambient
402 PM was mainly controlled by long-range transport and household emissions from cooking
403 and heating.

404 Due to the nature of SPAMS, the chemical composition of PM cannot be precisely
405 quantified. However, single particle aerosol mass spectrometers have advantages in
406 studying the chemical composition, mixing state, source, and process of particles (Pratt and
407 Prather, 2012). Mass-based technologies can not differentiate the origin of the bulk of
408 nitrate, whether it is transported or formed locally. Indeed, single particle types in urban

409 Beijing have been reported in previous studies (Li et al., 2014; Liu et al., 2016b), and the
410 major types are consistent with this study. However, in this study, we adopted a cluster
411 strategy considering the relative ion peak area of sulfate and nitrate as indicators of particle
412 processing. Therefore, more [details](#) could be extracted from both two simultaneous datasets.
413 We confirmed that the source, origination, and processes were different for these particles
414 in the urban and rural areas. For example, the seriously processed particles, such as K-Nit-
415 Sul, OC-Nit-Sul, and NaK-Nit-Sul, acted with no distinct diurnal patterns as background
416 or regional sources (Xie et al., 2019). The processed particles, such as OC-Nit, ECOC-Nit,
417 and NaK-Nit, were affected by emissions and secondary formations.

418 The emission and transport patterns were different in the urban and rural areas, resulting in
419 different characteristics of PM. For example, EC particles were a key component at PKU
420 (18.2% in total), but a minor particle type at PG (5.6%). Meanwhile, in the urban area of
421 Beijing, direct emission of K-rich particles should be [limited](#) due to strict control measures;
422 thus, the K-Nit-Sul particles are mainly from long-range transport. Transported particles
423 were aged and commonly coated a thick layer of nitrate and sulfate, but the local particles
424 were affected by both emission and the near-surface aging process. For example, at PKU,
425 the primary emission sources were traffic and central heating supply, causing a NO_x-rich
426 region in which freshly-emitted particle types could undergo processing due to the uptake
427 of nitrate (Wang et al., 2016). In the nearby villages of PG, domestic heating and cooking
428 were the major contributors of primary particles when the temperature was low in the
429 morning and afternoon, resulting in the emission of multiple primary particle types such as
430 OC_PG and ECOC_PG. In short, the characteristics of PM in urban and rural areas of

431 Beijing were affected by local emissions and interacted with each other due to regional
432 transport.

433 SO₂ was strictly controlled in Beijing. However, the emission of SO₂ is still significant in
434 the nearby Hebei and Shandong provinces (Shi et al. 2019). The different control measures
435 produced a low concentration area of SO₂ around Beijing. Sulfate-rich particle types such
436 as EC-Sul, OC-Sul, K-Sul, and NaK-Sul usually arrived at the PKU site when the wind
437 speed was high ($> 3\text{ m s}^{-1}$). The wind directions, along with the transport of sulfate-rich
438 particles, were east, southwest and south. In these directions, sulfate was either primarily
439 emitted from coal burning for residential heating, power generation and industry, or
440 secondary uptake on pre-existing particles (Zhang et al., 2015; Peng et al., 2020a).
441 Likewise, a portion of sulfate-rich particle arrived at the PG site when the wind speed was
442 high. However, locally formed sulfate was also pronounced, especially for ECOC-Sul, K-
443 Sul, and NaK-Sul. As discussed in Section 3, ECOC-Sul and NaK-Sul were mainly from
444 coal burning for residential heating, and K-Sul was formed due to the uptake of secondary
445 sulfate. Conclusively, the particulate characterization in rural areas around Beijing is
446 significantly influenced by residential coal burning.

447 Secondary nitrate formation is still a critical issue in Beijing. The daytime arising of nitrate
448 has been reported in studies (Sun et al., 2013), and we also found a similar predominant of
449 nitrogen-containing particles in this study. Recent studies have reported the early morning
450 peaks of nitrate using a soot particle aerosol mass spectrometer (SP-AMS) (Wang et al.,
451 2019), which is consistent with our results. Interestingly, the early morning peak was only
452 observed for several particle types at both sites, including EC-Nit_PKU, K-Nit_PKU, EC-
453 Nit-Sul-PG, and EC-Nit_PG. This result is not surprising because PG is also a NO₂-rich

454 region (Shi et al., 2019). The increasing contribution of nitrate-containing particles
455 suggests the role of night chemistry in nitrate uptake on particles. Wang et al. (2017)
456 revealed the importance of night N_2O_5 chemistry on nocturnal nitrate formation in the
457 urban area of Beijing. The heterogeneous hydrolysis of N_2O_5 was most favorable when NO
458 was at a low level. Moreover, the polar plots suggested a small role of long-range transport
459 to the nitrate in individual particles. The contribution of local traffic was insignificant at
460 the PG site as it was far from highways and major roads, the nighttime formation of nitrate
461 appeared to be important in PG as well.

462 **5. Conclusion**

463 Two SPAMSs were simultaneously deployed at urban and rural sites in Beijing in order to
464 characterize PM during wintertime. The results at both sites indicate that they shared 17
465 types of common clusters, most of which belonged to particle categories such as EC, OC,
466 ECOC, BB, and NaK. The origins and sources of these particle types at both sampling sites
467 are also comprehensively analyzed. Most of the processed PM, including EC-Nit-Sul_PKU,
468 ECOC-Nit-Sul_PKU, and NaK-Nit-Sul_PKU, were aged locally in a NO_x -rich
469 environment, while EC-Nit-Sul_PG, ECOC-Nit-Sul_PG, NaK-Nit-Sul_PG, and OC-Nit-
470 Sul_PG were regional. Domestic heating in the rural area was found to be an important
471 source of PM, and such heating activities typically caused three diurnal peaks in the early
472 morning, morning, and afternoon (after sunset). Moreover, the early morning peak of
473 nitrate was observed at both sites, suggesting the contribution of the heterogeneous
474 hydrolysis of N_2O_5 in the dark during the winter. The insights gained in this study can
475 provide useful references for understanding the relationship between regional transport and

476 local aging in both urban and rural areas in Beijing. In Part II, we focus on haze events
477 observed at both sites and attempt to determine the effects of heating activities and possible
478 regional transport between PKU and PG.

479 *Data availability.* All data described in this study are available upon request from the
480 corresponding authors.

481 *Author contributions.* FY, MZ, TZ, QZ, and KH designed the experiments; YC, JC, ZW,
482 MT, CP, and HY carried them out; XYang, XYao, YL, GS, and ZS analyzed the
483 experimental data; YC prepared the manuscript with contributions from all coauthors.

484 *Competing interests.* The authors declare that they have no conflict of interest.

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