

Responses to reviews of “Technical Note: Equilibrium droplet size distributions in a turbulent cloud chamber with uniform supersaturation” by Krueger (acp-2019-932)

## 1 Reviewer Comments 1

The author thanks Reviewer 1 for his/her careful reading of the manuscript and helpful suggestions and comments. All were incorporated.

### Specific Comments

Size distribution at  $r = r_a$

From Eq. (9), it can be written as below

$$v(r_a) = \frac{r_a}{\xi} \int_{r_0}^{r_a} A(r) dr.$$

On the other hand, from the general solution Eq. (12),

$$v(r) = D \exp(-Cr^4/4).$$

Does this mean that Eq. (9) can be related to Eq. (12) by substituting  $r = r_a$  in Eq. (12)? I think it might be informative for readers if the author adds an explanation on how Eq. (9) is connected to the general solution Eq. (12).

*RESPONSE:* Eq. (12) is now solved for  $D$  when  $r = r_a$ . The resulting expression is given by Eq. (13) which contains the boundary condition,  $v(r_a)/r_a$  given by Eq. (9). The link between Eq. (12) and Eq. (9) should now be clear.

## Nominal supersaturation in Fig. 6

In page 14, line 4, the author cites Rogers and Yau (1989) and explains that the critical radius for injected NaCl particles is about  $r_* \sim 0.6\mu\text{m}$ . I think the same textbook also gives an estimation of the critical supersaturation for those particles and I expect it to be about  $S_* \sim 0.1\%$ . On the other hand, according to Figure 6, the inferred nominal mean supersaturations for the Pi-chamber experiments with two largest number densities of cloud droplets are smaller than 0.01% ( $\bar{S}_{\text{nominal}} < 0.01\%$ ). This seems somehow strange, because aerosol particles cannot be activated to cloud droplets if the supersaturation  $\bar{S}_{\text{nominal}}$  is much smaller than the critical supersaturation  $S_*$ . Does the author have possible explanations for this apparent discrepancy? If so, providing those explanations in the manuscript might be helpful for readers.

*RESPONSE:* This comment motivated an important extension to the original study. The apparent discrepancy occurs because the analytic solution omits droplet curvature and solute effects, and therefore exhibits no dependence on aerosol properties, and does not have a critical supersaturation. In response, I modified the manuscript extensively in section 6.

On page 15, I gave a more complete characterization of the aerosol size distributions used in the cloud chamber and stated that “The potential impacts of both droplet curvature and solute effects on comparisons of analytic and measured DSDs will be discussed below, in section 6.2.”

I added section 6.2 (pages 17–19) on inferred mean supersaturation and droplet activation. In this section, I noted that 99% of the injected aerosol particles have a dry diameter less than about 170 nm. The critical supersaturation for a NaCl particle with a dry diameter of 170 nm is 0.052%. I also noted that 6 DSDs in Figure 6 have inferred supersaturations less than 0.052%. The rest of section 6.2 discusses the implications of this situation. I discussed the following possibilities (excerpted from the revised manuscript):

1. Neglecting droplet curvature and solute effects in the analytic DSD governing equation produces significant underestimates of the inferred supersaturations. It could be that once curvature and solute effects are included in the droplet growth equation, the inferred mean supersaturations for all 11 measured DSDs will be large enough to activate at least the largest of the injected aerosols.

To investigate this possibility, we used the droplet growth equation, both with and without the curvature and solute terms included, in the Monte Carlo model described in section 3 to calculate mean droplet radius versus supersaturation for 100 supersaturation values (Figure 8). Figure 8 shows that the mean droplet radius is smaller when these terms are included, for the same fixed supersaturation. This is due to the slower initial growth of the droplets. The differences in mean radius are largest for supersaturations slightly larger than the critical supersaturation.

How do the curvature and solute terms affect the inferred supersaturation? For a given droplet radius, the inferred supersaturation is larger with solute and curvature terms included. In our specific case, Figure 8 suggests that a measured DSD ( $r > 2.5 \mu\text{m}$  only) with a mean radius of about  $4.4 \mu\text{m}$  or larger could have been activated and grown with a fixed supersaturation of 0.055%. Figure 6 shows that this requirement excludes the measured DSDs with the 5 smallest mean radii.

2. Even after including droplet curvature and solute effects, the inferred supersaturations of the 5 measured DSDs with the smallest mean radii are less than the critical supersaturation of the largest of the injected aerosols. In this case, we conclude that there must have been supersaturation fluctuations somewhere in the cloud chamber that exceeded the critical supersaturation for at least the larger injected aerosols. There are two possible situations:
  - (a) Large supersaturation fluctuations occur only near the bottom and top boundaries of the cloud chamber, as is typical of Rayleigh-Bénard convection. In this case, it could be that activated droplets are transported away from the boundaries and then continue to grow consistent with inferred mean supersaturations calculated with droplet curvature and solute effects included. This scenario is analogous to droplets growing in a cumulus updraft: The droplets are activated by relatively large supersaturations just above cloud base, but then continue to grow in lower supersaturations at higher levels (Rogers and Yau 1989).
  - (b) Droplet growth in the chamber for these DSDs is primarily or entirely due to supersaturation fluctuations throughout the cloud chamber. In this case, the analytic DSD solution, which assumes that there are no supersaturation fluctuations, is not valid. Chandrakar et al. (2020b) found that analytic solutions for DSDs when mean supersaturation is absent (but fluctuations are present) have nearly the same shape as DSDs for no supersaturation fluctuations. As a result, it is difficult to distinguish the two cases based only on the consistency of the moments.

I also revised the conclusions by adding the following text to pages 25–26:

We found that neglecting the curvature and solute terms in the droplet growth rate equation can sometimes affect the inferred supersaturations. For a given droplet radius, the inferred supersaturation is larger with solute and curvature terms included. Calculations with a Monte Carlo model with solute and curvature terms included suggest that for the aerosols injected into the cloud chamber, a measured DSD ( $r > 2.5 \mu\text{m}$  only) with a mean radius of about  $4.4 \mu\text{m}$  or larger could have been activated and grown with a fixed supersaturation of 0.055%. This excludes the DSDs with the 5 smallest mean radii. To produce these DSDs, there must have been supersaturation fluctuations somewhere in

the cloud chamber that exceeded the critical supersaturation for at least the larger injected aerosols.

### Technical Corrections

1. p. 3, Sec 2.3, line 1 :  $u/h\Delta t = k_1 r^2/h\Delta t \longrightarrow (u/h)\Delta t = (k_1 r^2/h)\Delta t$
2. p. 4, line 6 :  $k_1 r^2/h\Delta t \longrightarrow (k_1 r^2/h)\Delta t$
3. p. 9, Figs. 3 & 4, y-axis :  $\text{pdf}(\mu\text{m})^{-1} \longrightarrow \text{pdf}((\mu\text{m})^{-1})$  or  $\text{pdf}(\mu\text{m}^{-1})$

*All done.*

## 2 Reviewer Comments 2

The author thanks Reviewer 2 for his/her careful reading of the manuscript and helpful suggestions and comments. Essentially all were incorporated.

### Minor Comments

**Sec. 2.4** I believe that Srivastava (1991) also requires some recognition in this subsection. He investigated, also analytically, the mean, standard deviation, and dispersion of droplet spectra, including the effects of droplet surface tension.

*Srivastava (1991) is now cited in section 2.1 (p. 3, line 9).*

**P. 4, ll. 28 ff.** A supersaturation of 10 % is relatively high for a typical cloud. For plotting the analytical solutions, a more realistic value of 0.1 % is used. I suggest to also use this lower supersaturation in the Monte-Carlo calculations of section 3. However, this will not change any conclusions.

*Done. (Figures 1 and 2 replaced.)*

**P. 5, ll. 11–12** I would emphasize that the “stochastic nature of the droplet fallout process” includes the assumed stochastic rearrangements of the droplets along the z-axis, i.e., turbulent motions, since the sedimentation process itself is deterministic.

*Done. (P. 5, last sentence.)*

**Sec. 4.2** It is possible to speed up the derivation of  $w(s)$  using  $v(r)$ ...

*Replaced original derivation with this one (Sec. 4.2, pp. 7–8).*

**Eqs. (12) and (17)** Because Eqs. (12) and (17) clearly violate the assumptions  $v(r_0) = w(s_0) = 0$ , it might be helpful to state—again—that the analytical solutions are only defined for  $r > r_a > r_0 > 0$  and  $s > s_a > s_0 > 0$ .

*Done. (P. 8, line 12).*

**P. 7, l. 10** The first term of the equation contains one minus sign too much.

*Eq. no longer included because of comment on Sec 4.2.*

**Eq. (26)** Also this deviation can be shortened: ...

*Done. (Eq. (22) on p. 10.)*

**P. 21, l. 20** The usual citation for the phase relaxation timescale is Squires (1952).

*Replaced. (P. 25, line 10.)*

### Technical Comments

P. 2, l. 26: Throughout the paper, the author uses plural personal pronouns (“we” or “us”). Thus, this single “I” feels odd.

P. 3, l. 27; p. 4, l. 6; p. 4, l. 26: For clarity, add parentheses to the equations for the fall out probability:  $(u/h)\Delta t$  and  $(k_1 r^2/h)\Delta t$  instead of  $u/h\Delta t$  and  $k_1 r^2/h\Delta t$ , respectively.

P. 4, l. 31; p. 5, l. 9: Since the analytical solution will be introduced further below, I suggest adding a “to-be-determined” in front of “analytical solution”.

P. 6, l. 1:  $A(r)$  has been previously introduced as the “production of (activated) droplets from the injected aerosol” (p. 3, ll. 8 – 9). Here, it is called the “production of droplets by activation”. Although these processes are identical in the described framework, I suggest homogenizing the terminology.

Eq. (18): I would add a comma (“,”) to the end of the equation.

Figs. 3 and 4: An opening parenthesis (“(”) is missing in the ordinate title.

P. 10, l. 14: I would add a comma (“,”) to the end of the equation.

P. 11, ll. 20 – 22: This comment feels too technical. I would omit it or state this information in a footnote.

*All of these were done except for the last one. The ACP Author’s Guide states that footnotes should be avoided, as they tend to disrupt the flow of the text. In addition, there was not a good place to add a footnote.*

### 3 Notes on the marked-up manuscript version

Following is a marked-up manuscript version showing the changes made (using latexdiff in LaTeX).

Blue indicates added text, red indicates deleted text. New references are highlighted in yellow.

Please note that:

1. Figures 1 and 2 are new versions of the originals, as requested by Reviewer 1, even though this is not evident in the marked-up manuscript.
2. The location of Figure 6 in the LaTeX file was moved, but the caption was NOT revised despite the blue color.
3. The location of Figure 7 was also moved, but the caption was not changed, despite the red and blue colors.
4. Figure 8 is new, as is its entire caption.
5. Section 8.1 Liquid water content is new (added on my own volition)
6. Section 8.3 Precipitation flux was moved and now immediately follows Droplet sedimentation flux.

# Technical Note: Equilibrium droplet size distributions in a turbulent cloud chamber with uniform supersaturation

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## Abstract.

In a laboratory cloud chamber that is undergoing Rayleigh-Bénard convection, supersaturation is produced by isobaric mixing. When aerosols (cloud condensation nuclei) are injected into the chamber at a constant rate, and the rate of droplet activation is balanced by the rate of droplet loss, an equilibrium droplet size distribution (DSD) can be achieved. We derived analytic equilibrium DSDs and PDFs of droplet radius and squared radius for conditions that could occur in such a turbulent cloud chamber when there is uniform supersaturation. [We neglected the effects of droplet curvature and solute on the droplet growth rate.](#) The loss rate due to fall out that we used assumes that (1) the droplets are well-mixed by turbulence, (2) when a droplet becomes sufficiently close to the lower boundary, the droplet's terminal velocity determines its probability of fall out per unit time, and (3) a droplet's terminal velocity follows Stokes' Law (so it is proportional to its radius squared). Given the chamber height, the analytic PDF is determined by the mean supersaturation alone. From the expression for the PDF of the radius, we obtained analytic expressions for the first five moments of the radius, including moments for truncated DSDs. We used statistics from a set of measured DSDs to check for consistency with the analytic PDF. We found consistency between the theoretical and measured moments, but only when the truncation radius of the measured DSDs was taken into account. This consistency allows us to infer the mean supersaturations that would produce the measured PDFs in the absence of supersaturation fluctuations. We found that accounting for the truncation radius of the measured DSDs is particularly important when comparing the theoretical and measured relative dispersions of the droplet radius. We also included some additional quantities derived from the analytic DSD: droplet sedimentation flux, precipitation flux, and condensation rate.

## 1 Introduction

In a laboratory cloud chamber, such as the II Chamber at Michigan Technological University (Chang et al. 2016), it is possible to produce Rayleigh-Bénard convection by applying an unstable temperature gradient between the top and bottom water-saturated surfaces of the chamber. Supersaturation is produced by isobaric mixing within the turbulent flow. When aerosols (cloud condensation nuclei) are injected at a constant rate, an equilibrium state is achieved in which the rate of droplet activation is balanced by the rate of droplet loss. After a droplet is activated, it continues to grow by condensation until it falls out (i.e., contacts the bottom surface).

Although the resulting equilibrium droplet size distributions (DSDs) have been extensively measured in the II chamber, and theoretical models proposed for some aspects of the DSDs (e.g., Chandrakar et al. 2016, Chandrakar et al. 2017, Chandrakar et al. 2018a,b, Saito et al. 2019), obtaining a complete quantitative theory for the equilibrium DSDs has been elusive. The reasons for this include the difficulty of accurately measuring supersaturation in a cloud chamber (e.g., Chandrakar et al. 2016), as well  
5 as uncertainties in our knowledge of the physical processes that determine the DSD. In particular, we don't know the relative importance of mean supersaturation and supersaturation fluctuations, nor do we have a quantitative understanding of droplet fall out.

In this study, we will assume that (1) droplets grow subject to a uniform mean supersaturation, and that (2) the effects of droplet curvature and solute on the droplet growth rate can be neglected, and (3) droplets fall relative to the turbulent  
10 flow at their Stokes' fall speed (for example, they are not affected by turbophoresis or thermophoresis). In section 1, we derive the equations which govern the evolution of the droplet radius and squared radius distributions, including the loss rate due to sedimentation. In section 2, we show how the equilibrium radius distribution is realized by using a Monte Carlo method, and compare the results to those that are obtained analytically in later sections. In section 3, we derive the analytic equilibrium solutions for the distributions and PDFs of radius and of squared radius, and from these obtain expressions for the median  
15 and mode radii. In section 4, we derive the first five moments of the radius from the analytic equilibrium PDFs, including moments for truncated DSDs (those with positive lower limits). In section 6, we use statistics from a set of measured DSDs to check for consistency with the analytic DSD. We also demonstrate the importance of taking into account a non-zero truncation radius when comparing theoretical moments to moments from a measured but truncated DSD. In section 7, we present some additional quantities derived from the analytic DSD: droplet sedimentation flux, mean and PDF of the droplet residence time,  
20 precipitation flux, and condensation rate. Finally, section 8 contains the conclusions.

## 2 Governing equations

Our initial goal is to develop and solve the equations that govern the equilibrium droplet radius distribution under conditions that might be found in the II chamber. Specifically, we will assume that (1) droplets grow subject to a uniform mean supersaturation, and (2) droplets fall relative to the turbulent flow at their Stokes' fall speed (for example, they are not affected by turbophoresis  
25 or thermophoresis).

### 2.1 Distribution of $r$

We will follow the notation used in Rogers and Yau (1989). They derived the following equation (their Eq. (7.31)) which governs the evolution of the droplet radius distribution,  $v(r, t)$ , subject to condensation:

$$\frac{\partial v(r)}{\partial t} = -\frac{\partial}{\partial r} \left( v \frac{dr}{dt} \right). \quad (1)$$



Here  $v(r) dr$  is the number of cloud droplets per unit mass of air with radii in the interval  $(r, r + dr)$ . The condensational growth rate is  $dr/dt = \xi/r$ , where

$$\xi = \frac{S - 1}{F_k + F_d},$$

$S = e/e_s(T)$  is the saturation ratio,  $e$  is the vapor pressure,  $e_s(T)$  is the equilibrium vapor pressure over a plane water surface at temperature  $T$ ,  $F_k$  represents the thermodynamic term in the denominator that is associated with heat conduction, and  $F_d$  is the term associated with vapor diffusion (Rogers and Yau 1989). The effects of droplet curvature and solute on droplet condensational growth are negligible because we consider only usually considered to be negligible for activated droplets (Rogers and Yau 1989, Siewert et al. 2017). However, in cloud chambers with low mean supersaturation, and therefore large droplet residence times, curvature and solute effects might become significant (Srivastava, 1991). Nevertheless, we will neglect both effects in the governing equations, but briefly address the consequences of doing so in section 6.2.

To generalize this to the cloud chamber in the presence of aerosol injection (which produces new droplets at a steady rate) and sedimentation (which removes droplets that fall to the bottom of the chamber), we add two terms to (1) so that it becomes

$$\frac{\partial v(r)}{\partial t} = -\frac{\partial}{\partial r} \left( \xi \frac{v}{r} \right) - v \frac{u}{h} + A(r), \quad (2)$$

where  $u = k_1 r^2$  is the Stoke's Law droplet terminal velocity,  $h$  is the height of the chamber, and  $A(r)$  is the rate of production of (activated) droplets from the injected aerosol.

## 2.2 Distribution of $r^2$

Analogous to (1), the following equation governs the evolution of the squared radius distribution,  $w(s, t)$ , subject to condensation:

$$\frac{\partial w(s)}{\partial t} = -\frac{\partial}{\partial s} \left( w \frac{ds}{dt} \right). \quad (3)$$

Here  $w(s) ds$  is the number of cloud droplets per unit mass of air with  $s \equiv r^2$  in the interval  $(s, s + ds)$ . The condensational growth rate is  $ds/dt = dr^2/dt = 2\xi$ . When this is substituted into (3), the result is

$$\frac{\partial w(s)}{\partial t} = -2\xi \frac{\partial w}{\partial s}, \quad (4)$$

which has the form of the 1-D advection equation, with solution

$$w(s, t) = w_0(s - 2\xi t), \quad (5)$$

where the initial condition  $w_0(s)$  is an arbitrary function. The solution (5) states that the initial distribution of  $s = r^2$  simply translates at a rate  $2\xi$  towards larger values of  $r^2$  without any change of shape.

To generalize (4) to the cloud chamber in the presence of aerosol injection and sedimentation, we add two terms to (4) so that it becomes

$$\frac{\partial w(s)}{\partial t} = -2\xi \frac{\partial w}{\partial s} - w \frac{k_1}{h} s + B(s), \quad (6)$$

where  $u = k_1 s$  is the Stokes' Law droplet terminal velocity and  $B(s)$  is the rate of production of (activated) droplets from the injected aerosol.

### 2.3 Loss rate due to sedimentation

The probability that a droplet of radius  $r$  will fall out due to sedimentation in a small time interval  $\Delta t$  is  $u/h \Delta t = k_1 r^2/h \Delta t (u/h) \Delta t =$   
5  $(k_1 r^2/h) \Delta t$ . This can be derived as follows: We assume that the droplets are well-mixed, in which case the  $z$ -coordinate of each droplet is a random variable. Droplets are well-mixed if the turbulent flow velocities are predominantly larger than the terminal velocities of the droplets, in which case the droplets generally move with the flow. As a fluid element approaches the bottom wall, its vertical velocity approaches zero. However, a droplet in this fluid element will continue to fall at its terminal velocity. In a small time interval  $\Delta t$ , the droplet will fall a distance  $\Delta z(r) = u \Delta t = k_1 r^2 \Delta t$ . Therefore, all droplets  
10 with  $z < \Delta z(r)$  will reach the bottom ("fall out") during  $\Delta t$ . Because the droplets are well-mixed, a droplet's vertical coordinate  $z$  may have any value between 0 and  $h$ . Therefore, a droplet's probability of falling out during  $\Delta t$  is  $\Delta z(r)/h = k_1 r^2/h \Delta t$ ,  
 $\Delta z(r)/h = (k_1 r^2/h) \Delta t$ , as stated above.

### 2.4 Related studies

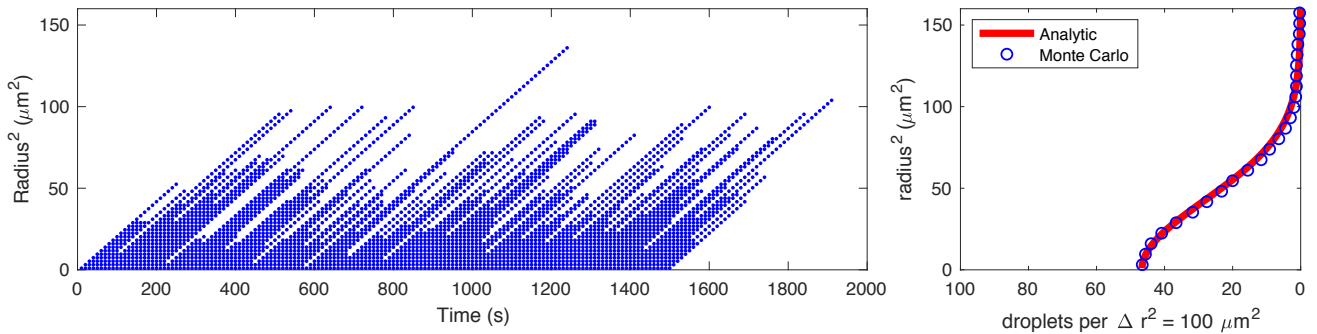
Saito et al. (2019) derived governing equations for the distribution of  $r^2$  in the presence of supersaturation fluctuations, both  
15 with and without mean supersaturation, and in which the droplet residence time is a specified constant for all droplets, rather than depending on  $r^2$  as in (6). Saito et al. (2019) also obtained analytical steady state PDFs of  $r^2$  for these two governing equations.

Garrett (2019) derived analytical steady-state size distributions of rain and snow particles from a governing equation similar to (2) in which the rain and snow particles grow from cloud droplets by collection and are lost by precipitation. However,  
20 collection differs from growth by condensation in that collection reduces the number of particles as the particles grow. To represent both collection and precipitation realistically, Garrett included the dependence of fall speed on particle size.

## 3 Monte Carlo equilibrium solutions

The steady-state (equilibrium) radius distribution,  $v(r)$ , which is governed by (2), and the equilibrium squared radius distribution,  $w(s)$ , which is governed by (6), can each be obtained using a Monte Carlo method. Because we are interested in  
25 equilibrium solutions, the supersaturation will be steady and uniform, so that  $\xi$  is a constant, and the aerosol injection rate will be constant. Because  $r^2$  increases at a constant rate due to condensation in this case, and because the fallout probability depends linearly on  $r^2$ , the relationship between the mathematical solution and the physical processes is more obvious for  $r^2$  than for  $r$ , so we will apply a Monte Carlo method to determine the  $r^2$  distribution,  $w(r^2)$ .

A Monte Carlo method for solving (6) does so by calculating the injection, condensational growth, and fall out for many  
30 individual droplets as a function of time. We inject droplets with  $r^2 = 1 \mu\text{m}^2$  after equal time intervals. After injection,  $r^2$  for each droplet grows by condensation at a constant rate,  $dr^2/dt = 2\xi$ . As described previously in section 2.3, the probability



**Figure 1.** (Left) Radius squared versus time for 150 droplets growing by condensation in 100.1% supersaturation with probability of fallout per unit time of  $u/h = k_1 r^2/h$   $u/h = k_1 r^2/h$  for  $h = 1$  m. (Right) Frequency distributions of the radius squared from the Monte Carlo model (for 6000  $1.5 \times 10^6$  droplets) and from the analytic solution (20) for the same parameters.

that a droplet will fall out in a small time interval  $\Delta t$  is  $P = k_1 r^2/h \Delta t$   $P = (k_1 r^2/h) \Delta t$ . Fall out is implemented by removing a droplet after a time step if  $P < X$ , where  $X$  is a uniformly distributed random number between 0 and 1.

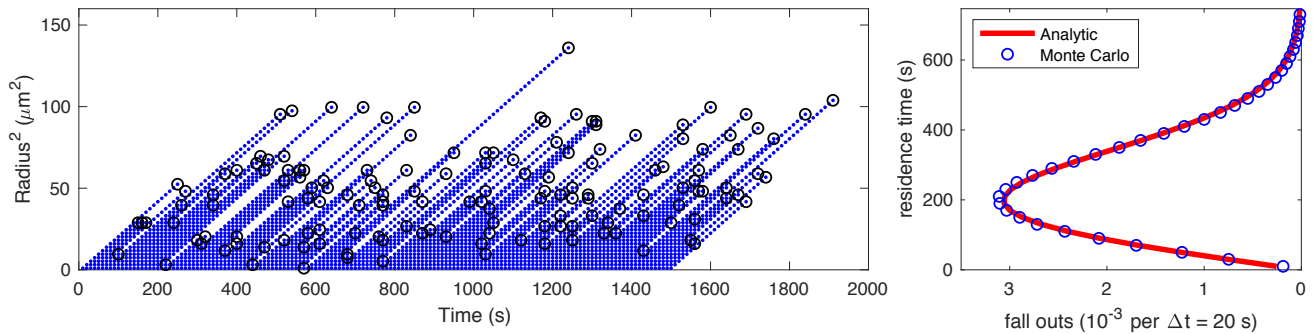
Figure 1 (left) displays the radius squared versus time for 150 droplets growing by condensation in 10% supersaturation. The frequency distribution of  $r^2$  is easily obtained from the Monte Carlo results because it is equal to the average number of droplets present in each  $r^2$  interval at a given time. Figure 1 (right) compares the equilibrium frequency distributions of the radius squared from the Monte Carlo model (for 6000 droplets) and from the to-be-determined analytic solution (20) for the same parameters. This confirms that (20) is indeed the equilibrium solution to (6). Note that the droplet injection interval (or rate) has no impact on the PDF of  $r^2$ .

The left panel of Figure 2 is the same as the left panel of Figure 1 except that the droplet fallout times are indicated by black circles. The droplet residence time,  $\tau$ , is the difference between the injection time,  $t_i$ , and the fall out time,  $t_f$ , and is practically proportional to  $r^2$  at the fall out time because

$$r^2(t_f) \approx r^2(t_f) - r^2(t_i) = 2\xi(t_f - t_i) = 2\xi\tau. \quad (7)$$

The frequency distribution of droplet residence times is easily visualized from the Monte Carlo results. Figure 2 (right) compares the frequency distributions of the droplet residence times from the Monte Carlo model (for 300,000 droplets) and from the analytic solution (56) for the same parameters. We used (7) to relate residence time to  $r^2(t_f)$ . Figure 2 (right) confirms that (56) is the frequency distribution of the droplet residence times.

Figures 1 and 2 demonstrate that the  $r^2$  and residence time distributions are closely related because each is determined by the stochastic nature of the droplet fallout process which is strongly affected by the stochastic vertical rearrangements of the droplets by the turbulent flow.



**Figure 2.** (Left) Same as the left panel of Figure 1 except that the droplet fallout times are indicated by black circles. (Right) Frequency distributions of the droplet residence time from the Monte Carlo model (for  $300,000$   $1.5 \times 10^6$  droplets) and from the analytic solution (56) for the same parameters.

#### 4 Analytic equilibrium solutions

We will now derive the analytic equilibrium solutions for the distributions of  $r$  and  $r^2$ ,  $v(r)$  and  $w(s)$ , respectively.

##### 4.1 Analytic equilibrium solution for the distribution of $r$

In a steady state, (2) becomes

$$5 \quad 0 = -\frac{d}{dr} \left( \xi \frac{v}{r} \right) - v \frac{k_1}{h} r^2 + A, \quad (8)$$

If the production of droplets by activation,  $A(r)$ , (activated) droplets from the injected aerosol occurs only for  $0 < r_0 < r < r_a$ , and the loss due to sedimentation for  $r < r_a$  is negligible, then we can integrate (8) from  $r = r_0$  to  $r = r_a$  to obtain

$$0 = -\int_{r_0}^{r_a} \frac{d}{dr} \left( \xi \frac{v}{r} \right) dr + \int_{r_0}^{r_a} A dr,$$

which becomes

$$10 \quad 0 = -\left( \xi \frac{v}{r} \right) \Big|_{r_0}^{r_a} + \int_{r_0}^{r_a} A dr,$$

then

$$0 = -\xi \left( \frac{v(r_a)}{r_a} - \frac{v(r_0)}{r_0} \right) + \int_{r_0}^{r_a} A dr,$$

and finally, using  $v(r_0) = 0$ ,

$$\frac{v(r_a)}{r_a} = \frac{1}{\xi} \int_{r_0}^{r_a} A dr. \quad (9)$$

Eq. (9) allows us to consider the following o.d.e. instead of (8) for  $r_a < r < \infty$ :

$$0 = -\frac{d}{dr} \left( \xi \frac{v}{r} \right) - v \frac{k_1}{h} r^2, \quad (10)$$

with the boundary condition at  $r = r_a$  given by (9). When the supersaturation is steady and uniform,  $\xi$  is a constant so we can write (10) as

$$5 \quad 0 = -\frac{d}{dr} \left( \frac{v}{r} \right) - C v r^2, \quad (11)$$

where  $C \equiv k_1/(\xi h)$  is a constant with units of  $(\text{length})^{-4}$ . The general solution to (11) is

$$v(r) = D r \exp(-C r^4/4), \quad (12)$$

where  $D$  is an integration constant with units of  $(\text{mass})^{-1}(\text{length})^{-2}$ . [which can be determined from  \$v\(r\_a\)/r\_a\$  which in turn is given by \(9\):](#)

$$10 \quad D = \frac{v(r_a)}{r_a} \exp(C r_a^4/4). \quad (13)$$

Most of the solutions of the ordinary differential equations and integrals that appear in this study were obtained using WolframAlpha (Wolfram Alpha LLC, 2019).

## 4.2 Analytic equilibrium solution for the distribution of $r^2$

The derivation of [One way to derive](#)  $w(s) = w(r^2)$  is analogous to that for  $v(r)$ . [In a steady state, \(6\) becomes](#)

$$15 \quad 0 = -2\xi \frac{dw}{ds} - w \frac{k_1}{h} s + B(s),$$

If the production of droplets by activation,  $B(s)$ , occurs only for  $0 < s_0 < s < s_a$ , and the loss due to sedimentation for  $s < s_a$  is negligible, then we can integrate (??) from  $s = s_0$  to  $s = s_a$  to obtain

$$0 = -\int_{s_0}^{s_a} -2\xi \frac{dw}{ds} ds + \int_{s_0}^{s_a} B ds,$$

which becomes

$$20 \quad 0 = -2\xi w \Big|_{s_0}^{s_a} + \int_{s_0}^{s_a} B ds,$$

then

$$0 = -2\xi (w(s_a) - w(s_0)) + \int_{s_0}^{s_a} B ds,$$

and finally, using  $w(s_0) = 0$ ,

$$w(s_a) = \frac{1}{2\xi} \int_{s_0}^{s_a} B ds.$$

As before, we will consider the following Another way is to recognize that  $\rho v = dN/dr$  and  $\rho w = dN/ds = dN/dr^2$ , where  $\rho$  is the air density and  $dN$  is the number of droplets per unit volume with  $r$  and  $r^2$  in the intervals  $[r, r + dr]$  and  $[r^2, r^2 + dr^2]$ , respectively, from which we obtain  $dN/\rho = v dr = w dr^2$ . Hence,

$$w = \frac{v}{dr^2/dr} = \frac{v}{2r} = \frac{D}{2} \exp(-Cr^4/4) = G \exp(-Cs^2/4) \quad (14)$$

5 using (12),  $s = r^2$ , and  $G = D/2$ . Just as for the o.d.e. instead of (??) for  $s_a < s < \infty$ :

$$0 = -2\xi \frac{dw}{ds} - w \frac{k_1}{h} s,$$

with the boundary condition at  $s = s_a$  given by (??). When the supersaturation is steady,  $\xi$  is a constant so we can write (??) as

$$0 = -\frac{dw}{ds} - \frac{C}{2} ws.$$

The general solution to (??) is

$$10 \quad w(s) = G \exp(-Cs^2/4)$$

where  $G$  is an integration constant with units of  $(\text{mass})^{-1}(\text{length})^{-2}$ . (10), the corresponding solution (gen-sol) is valid only for  $r > r_a > r_0 > 0$ . Similarly, (14) is valid only for  $s > s_a > s_0 > 0$ .

### 4.3 Droplet number concentration and integration constants constant

As already noted,  $v(r) dr$  is the number of cloud droplets per unit mass of air with radii in the interval  $(r, r + dr)$ .

15 Therefore, the number of cloud droplets per unit volume of air is

$$N = \rho \int_0^{\infty} v(r) dr = \rho D \int_0^{\infty} r \exp(-Cr^4/4) dr = \rho D \frac{\sqrt{\pi}}{2\sqrt{C}}$$

$$N = \rho \int_0^{\infty} v(r) dr = \rho D \int_0^{\infty} r \exp(-Cr^4/4) dr = \rho D \frac{\sqrt{\pi}}{2\sqrt{C}}, \quad (15)$$

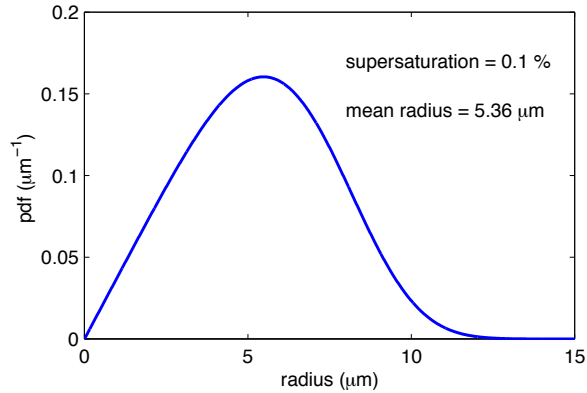
where  $\rho$  is the air density and  $v(r)$  is given by (12). We see that  $N$  depends on is related to both  $D$  and  $C$ . We can solve (15) for the

20 integration constant  $D$  in (12):

$$D = N \frac{2\sqrt{C}}{\rho\sqrt{\pi}}. \quad (16)$$

To determine the integration constant  $G$  in (14), integrate over the distribution  $w(s)$  given by (14) to obtain

$$N = \rho \int_0^{\infty} w(s) ds = \rho G \int_0^{\infty} \exp(-Cs^2/4) ds = \rho G \frac{\sqrt{\pi}}{\sqrt{C}}.$$



**Figure 3.** PDF of the droplet radius distribution given by (19) for a supersaturation of 0.1% and  $h = 1$  m.

Eqs. (??) and (15) imply that  $G = D/2$ .

The number of cloud droplets per unit volume with radii larger than  $a$  is

$$N(a) = \rho \int_a^{\infty} v(r) dr = \rho D \int_a^{\infty} r \exp(-Cr^4/4) dr = \rho D \frac{\sqrt{\pi}}{2\sqrt{C}} \operatorname{erfc}(a^2\sqrt{C}/2) = N \operatorname{erfc}(a^2\sqrt{C}/2), \quad (17)$$

where  $\operatorname{erfc}(z) \equiv 1 - \operatorname{erf}(z)$  is the complementary error function. From (17), we obtain the fraction of the total number of droplets with radii larger than  $a$ ,

$$f(a) \equiv \frac{N(a)}{N} = \operatorname{erfc}\left(\frac{a^2\sqrt{C}}{2}\right). \quad (18)$$

#### 4.4 PDFs of the equilibrium droplet size distribution

The PDF of the droplet radius distribution given by (12) is

$$p(r) = \frac{\rho v(r)}{N} = \frac{2\sqrt{C}}{\sqrt{\pi}} r \exp(-Cr^4/4). \quad (19)$$

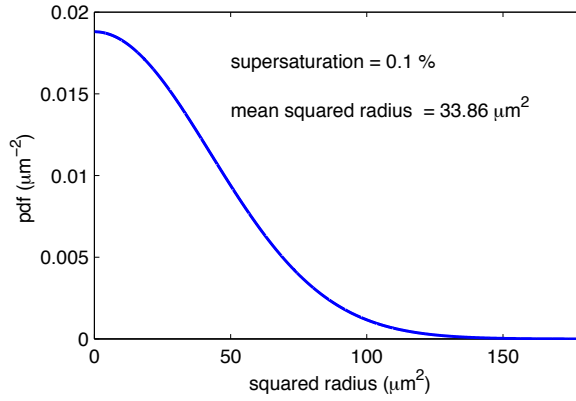
The PDF of the droplet squared radius distribution given by (14) is

$$q(s) = \frac{\rho w(s)}{N} = \frac{\sqrt{C}}{\sqrt{\pi}} \exp(-Cs^2/4). \quad (20)$$

Both depend only on  $C$ . Figures 3 and Figure 4 display  $p(r)$  and  $q(s)$ , respectively, for a supersaturation of 0.1% and  $h = 1$  m.

By changing the independent variable from  $s \equiv r^2$  to the non-dimensional variable  $y \equiv s\sqrt{C}/2$ , we obtain the non-dimensional PDF,

$$Q(y) = \frac{2}{\sqrt{\pi}} \exp(-y^2). \quad (21)$$



**Figure 4.** PDF of the droplet squared radius distribution given by (20) for a supersaturation of 0.1% and  $h = 1$  m.

#### 4.5 Median radius and CDF of the equilibrium droplet size distribution

The median radius,  $\tilde{r}$ , is defined by

$$\int_0^{\tilde{r}} p(r) dr = 0.5.$$

The cumulative density function (CDF) is the integral from 0 to  $R$  of  $p(r)$ :

$$5 \quad I(R) \equiv \int_0^R p(r) dr = \frac{2\sqrt{C}}{\sqrt{\pi}} \int_0^R r \exp(-Cr^4/4) dr = \operatorname{erf}\left(\frac{\sqrt{C}R^2}{2}\right).$$

$$I(R) \equiv \int_0^R p(r) dr = 1 - f(R) = \operatorname{erf}\left(\frac{\sqrt{C}R^2}{2}\right), \quad (22)$$

where  $f(R)$  is given by (18). One can use (22) to determine  $C$  given  $R$  for any percentile  $I$  of the cumulative distribution function. In general,

$$10 \quad \sqrt{C} = \frac{2}{R^2} \operatorname{erf}^{-1}(I). \quad (23)$$

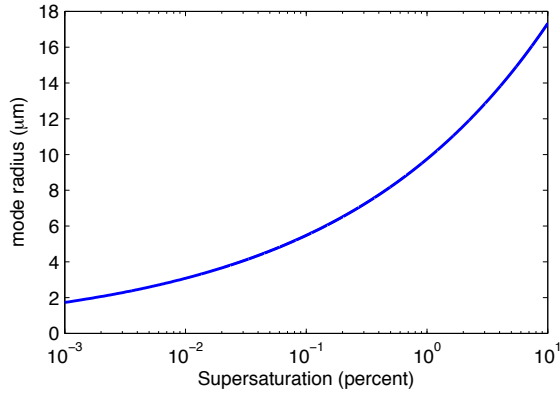
If given the median radius,  $\tilde{r}$ , then  $I = 0.5$  and

$$\sqrt{C} = \frac{2}{\tilde{r}^2} \operatorname{erf}^{-1}(0.5) \approx \frac{0.953873}{\tilde{r}^2}$$

so that

$$C \approx \frac{0.909873}{\tilde{r}^4}. \quad (24)$$





**Figure 5.** The mode radius versus the supersaturation for  $h = 1$  m as given by (25).

#### 4.6 Mode radius

We derive the mode radius,  $\hat{r}$ , by expanding the derivative in (11) to obtain

$$0 = \frac{dv}{dr} - \frac{v}{r} + Cvr^3,$$

then applying  $(dv/dr)_{r=\hat{r}} = 0$  and solving for  $\hat{r}$ :

$$5 \quad \hat{r}^4 = \frac{1}{C} = \frac{\xi h}{k_1}. \quad (25)$$

The relationship between the supersaturation and the mode radius for  $h = 1$  m is shown in Figure 5. This plot indicates that as the supersaturation increases by four orders of magnitude, from 0.001% to 10%, the mode radius increases from about  $2 \mu\text{m}$  to  $17 \mu\text{m}$ .

By writing (25) in the form

$$10 \quad \frac{\hat{r}^2}{\xi} = \frac{h}{k_1 \hat{r}^2}$$

$$\frac{\hat{r}^2}{\xi} = \frac{h}{k_1 \hat{r}^2},$$

we see that  $\hat{r}$  is the droplet radius for which the timescale for droplet number growth due to condensation,  $r^2/\xi$ , equals the timescale for droplet number depletion due to sedimentation,  $h/u = h/(k_1 r^2)$ .

## 5 Moments derived from the analytic equilibrium PDFs

### 5.1 Mean radius

The mean radius is

$$\bar{r} = \int_0^{\infty} r p(r) dr = \frac{2\sqrt{C}}{\sqrt{\pi}} \int_0^{\infty} r^2 \exp(-Cr^4/4) dr = \frac{\sqrt{2}}{\sqrt{\pi}} \frac{\Gamma(\frac{3}{4})}{C^{1/4}}, \quad (26)$$

5 which depends only on  $C$ . Solve this for  $C^{1/4}$  to obtain

$$C^{1/4} = \frac{\sqrt{2}}{\sqrt{\pi}} \frac{\Gamma(\frac{3}{4})}{\bar{r}},$$

so

$$C \approx \frac{0.913893}{\bar{r}^4}. \quad (27)$$

Eqs. (24) and (27) imply that

$$10 \frac{\tilde{r}}{\bar{r}} \approx 0.998898.$$

The mean radius of droplets with radii larger than  $a$  is

$$\bar{r}(a) = \frac{\int_a^{\infty} r p(r) dr}{\int_a^{\infty} p(r) dr} = \frac{\frac{2\sqrt{C}}{\sqrt{\pi}} \int_a^{\infty} r^2 \exp(-Cr^4/4) dr}{f(a)} = \frac{\frac{\sqrt{2}}{\sqrt{\pi}} \frac{\Gamma(\frac{3}{4}, \frac{a^4 C}{4})}{C^{1/4}}}{\operatorname{erfc}\left(\frac{a^2 \sqrt{C}}{2}\right)} \quad (28)$$

where  $f(a)$  is the fraction of the total number of droplets with radii larger than  $a$  and  $\Gamma(b, x)$  is the upper incomplete gamma function. Because

$$15 \int_a^{\infty} p(r) dr = \frac{N(a)}{N},$$

we can use

$$\frac{N(a)}{N} \equiv f(a) = \operatorname{erfc}\left(\frac{a^2 \sqrt{C}}{2}\right)$$

from (18). The upper incomplete gamma function is defined here as

$$\Gamma(b, x) \equiv \int_x^{\infty} t^{b-1} e^{-t} dt.$$

20 Note that the MATLAB<sup>®</sup> upper incomplete gamma function is defined differently, as

$$\frac{1}{\Gamma(b)} \int_x^{\infty} t^{b-1} e^{-t} dt,$$

and is called using `gammainc(x, b, 'upper')`; note the reversed argument order.

## 5.2 Mean squared radius

The mean of the squared radius is

$$\overline{r^2} = \int_0^{\infty} s q(s) ds = \frac{\sqrt{C}}{\sqrt{\pi}} \int_0^{\infty} s \exp(-C s^2/4) ds = \frac{2}{\sqrt{\pi}\sqrt{C}} \quad (29)$$

which depends only on  $C$ . Solve for  $C$ :

$$5 \quad C = \frac{4}{\pi(\overline{r^2})^2} \approx \frac{1.273240}{(\overline{r^2})^2}. \quad (30)$$

Eqs. (27) and (30) imply that

$$\frac{\overline{r^2}}{\overline{r}^2} \approx 1.180341. \quad (31)$$

The mean of the squared radius of droplets with radii larger than  $a$  is

$$\overline{r^2}(a) = \frac{\int_a^{\infty} s q(s) ds}{\int_a^{\infty} q(s) ds} = \frac{\frac{\sqrt{C}}{\sqrt{\pi}} \int_a^{\infty} s \exp(-C s^2/4) ds}{f(a)} = \frac{\frac{2}{\sqrt{\pi}\sqrt{C}} \exp(-a^4 C/4)}{\operatorname{erfc}\left(\frac{a^2\sqrt{C}}{2}\right)}. \quad (32)$$

## 10 5.3 Mean cubed radius

The mean cubed radius is

$$\overline{r^3} = \int_0^{\infty} r^3 p(r) dr = \frac{2\sqrt{C}}{\sqrt{\pi}} \int_0^{\infty} r^4 \exp(-C r^4/4) dr = \frac{2\sqrt{2} \Gamma(\frac{5}{4})}{\sqrt{\pi} C^{3/4}} \quad (33)$$

which depends only on  $C$ . Solve (33) for  $C$ :

$$C = \frac{4}{\pi^{2/3}} \left( \frac{\Gamma(\frac{5}{4})}{\overline{r^3}} \right)^{4/3} \approx \frac{1.635767}{(\overline{r^3})^{4/3}}. \quad (34)$$

15 Eqs. (26) and (33) imply that

$$\frac{\overline{r^3}}{\overline{r}^3} = \frac{\pi \Gamma(\frac{5}{4})}{\Gamma(\frac{3}{4})^3} \approx 1.547460. \quad (35)$$

The mean cubed radius of droplets with radii larger than  $a$  is

$$\overline{r^3}(a) = \frac{\int_a^{\infty} r^3 p(r) dr}{\int_a^{\infty} p(r) dr} = \frac{\frac{2\sqrt{C}}{\sqrt{\pi}} \int_a^{\infty} r^4 \exp(-C r^4/4) dr}{f(a)} = \frac{\frac{2\sqrt{2} \Gamma(\frac{5}{4}, \frac{a^4 C}{4})}{\sqrt{\pi} C^{3/4}}}{\operatorname{erfc}\left(\frac{a^2\sqrt{C}}{2}\right)}. \quad (36)$$

## 5.4 Mean $r^4$

20 The mean  $r^4$  is

$$\overline{r^4} = \int_0^{\infty} r^4 p(r) dr = \frac{2\sqrt{C}}{\sqrt{\pi}} \int_0^{\infty} r^5 \exp(-C r^4/4) dr = \frac{2}{C} \quad (37)$$

which depends only on  $C$ . Solve (37) for  $C$ :

$$C = \frac{2}{r^4}. \quad (38)$$

Eqs. (26) and (37) imply that

$$\frac{\overline{r^4}}{\bar{r}^4} = \frac{\pi^2}{2\Gamma(\frac{3}{4})^4} \approx 2.188440. \quad (39)$$

5 The mean  $r^4$  of droplets with radii larger than  $a$  is

$$\overline{r^4}(a) = \frac{\int_a^\infty r^4 p(r) dr}{\int_a^\infty p(r) dr} = \frac{\frac{2\sqrt{C}}{\sqrt{\pi}} \int_a^\infty r^5 \exp(-Cr^4/4) dr}{f(a)} = \frac{2}{C} + \frac{\frac{2a^2}{\sqrt{\pi}\sqrt{C}} \exp(-a^4 C/4)}{\operatorname{erfc}\left(\frac{a^2\sqrt{C}}{2}\right)}. \quad (40)$$

### 5.5 Mean $r^5$

The mean  $r^5$  is

$$\overline{r^5} = \int_0^\infty r^5 p(r) dr = \frac{2\sqrt{C}}{\sqrt{\pi}} \int_0^\infty r^6 \exp(-Cr^4/4) dr = \frac{4\sqrt{2}}{\sqrt{\pi}} \frac{\Gamma(7/4)}{C^{5/4}} \quad (41)$$

10 which depends only on  $C$ . Solve (41) for  $C$ :

$$C = \frac{4}{\pi^{2/5}} \left( \frac{\Gamma(7/4)}{\overline{r^5}} \right)^{4/5} \approx \frac{2.365245}{(\overline{r^5})^{4/5}}. \quad (42)$$

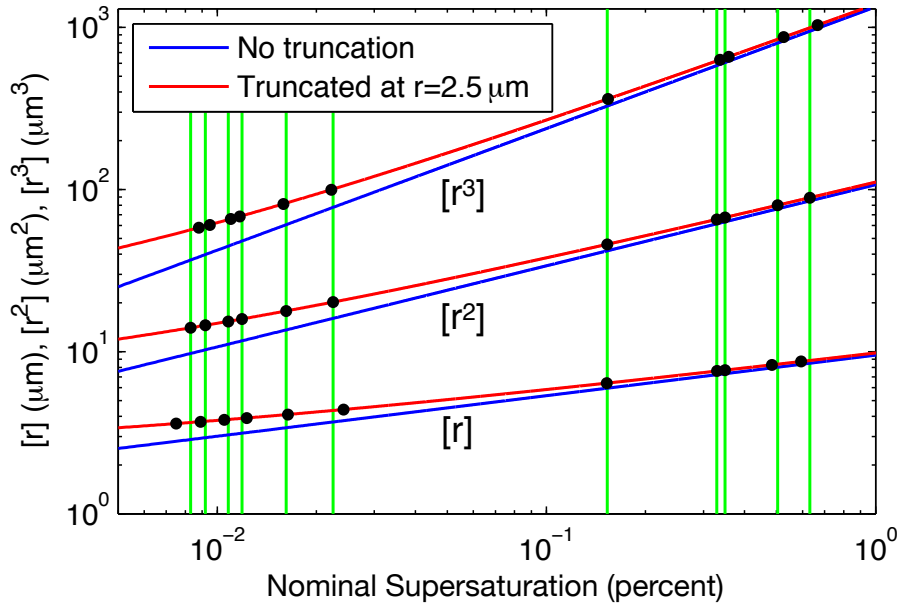
The mean  $r^5$  of droplets with radii larger than  $a$  is

$$\overline{r^5}(a) = \frac{\int_a^\infty r^5 p(r) dr}{\int_a^\infty p(r) dr} = \frac{\frac{2\sqrt{C}}{\sqrt{\pi}} \int_a^\infty r^6 \exp(-Cr^4/4) dr}{f(a)} = \frac{\frac{4\sqrt{2}}{\sqrt{\pi}} \Gamma(7/4, \frac{a^4 C}{4})}{C^{5/4} \operatorname{erfc}\left(\frac{a^2\sqrt{C}}{2}\right)}. \quad (43)$$

## 6 **Supersaturation inferred from Consistency between analytical and measured moments**DSDs

15 This study was motivated by the question of whether fluctuations in supersaturation are needed to explain the steady-state DSDs measured in the Michigan Tech turbulent cloud chamber (II chamber) under conditions of constant aerosol injection rate. In this section, we use statistics from a set of measured DSDs to check for consistency with the analytic DSD, which was derived neglecting **droplet curvature and solute effects**, the effects of supersaturation fluctuations, and deviations from Stokes' fall speed.

20 We will use statistics from a set of 11 DSDs with a wide range of droplet number concentrations (Chandrakar et al. 2018c) that were measured by Chandrakar et al. (2018a) when the temperature difference between the the top and bottom boundaries was 19 K. The DSDs were measured using a phase Doppler interferometer and were truncated at a radius of  $2.5 \mu\text{m}$  because smaller droplets were not reliably detected (Chandrakar et al. 2018b). Measurements were made over an interval of about 200 minutes for each DSD.



**Figure 6.** Mean radius,  $[r]$ , mean squared radius,  $[r^2]$ , and cubed mean radius,  $[r^3]$ , versus nominal mean supersaturation for  $h = 1$  m for DSDs with no truncation (blue) and for DSDs truncated at  $r = 2.5 \mu\text{m}$  (red). The black dots indicate the nominal mean supersaturation values implied by  $[r]$ ,  $[r^2]$ , and  $[r^3]$  obtained from 11 measured DSDs truncated at  $r = 2.5 \mu\text{m}$  (Chandrarak et al. 2018c). The vertical green lines pass through the nominal mean supersaturation values implied by the measured  $[r^2]$  values, and allow a visual assessment of the consistency of the supersaturation values implied by the three measured moments for each of the 11 DSDs.

Do we expect the neglect of droplet curvature and solute effects in the analytic DSDs to significantly affect the comparison of analytic and measured DSDs? These effects should be negligible for droplets with radii greater than the critical radius,  $r^*$ . The size distribution of aerosol residuals (aerosols from evaporated droplets) The distributions of the dry diameters of the injected NaCl aerosol particles for the measured DSDs is probably similar to that reported in Chandrakar et al. (2017)'s Figure 3, which indicates are approximately log normal, with a mode diameter of 110 40 to 60 nm and a standard deviation of about 40 nm for the NaCl particles. According to Table 6.2 in 30 nm (Chandrarak et al., 2018b). For a mode diameter of 60 nm—which corresponds to a mean diameter of about 80 nm in a log normal distribution—and a standard deviation of 30 nm, 99% of the injected aerosol particles have diameters less than about 170 nm. For NaCl aerosol particles with a dry diameter of 170 nm, the critical radius  $r^* \approx 1.5 \mu\text{m}$  (Rogers and Yau (1989),  $r^* \approx 0.6 \mu\text{m}$  for such aerosol particles. Because the truncation radius of  $2.5 \mu\text{m}$  is much larger than  $r^*$ , droplet curvature and solute effects should be negligible. solute effects should generally be negligible. However, droplet curvature affects the equilibrium saturation ratio for droplets with radii larger than  $r^*$ , as shown by Figure 6.2 in Rogers and Yau (1989). The potential impacts of both droplet curvature and solute effects on comparisons of analytic and measured DSDs will be discussed below, in section 6.2.

## 6.1 Supersaturation inferred from measured moments

Because the PDF of the equilibrium droplet radius distribution, (19), depends only on  $C \equiv k_1/(\xi h)$ , the moments of the PDF also depend only on  $C$ . The dependence of the first five moments on  $C$  are given by (26), (29), (33), (37), and (41). Measurements of one or more moments would allow one to determine  $C$ .

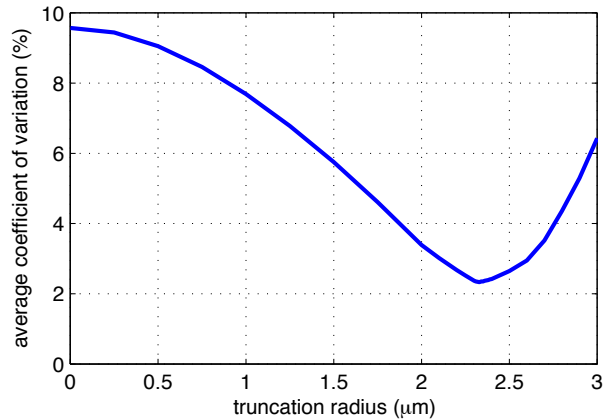
5 However, measured DSDs are often truncated due to lack of detectability of small cloud droplets or difficulty in differentiating unactivated aerosol particles from small cloud droplets. To deal with such DSDs, we derived the dependence of the first five moments of the droplet radius on  $C$  and the truncation radius,  $a$ . These are given by (28), (32), (36), (40), and (43). With these, one can determine  $C$  from a moment and the DSD's truncation radius.

Knowing  $C$ , one can solve for the supersaturation,  $S - 1$ , given  $k_1$ ,  $h$ , and the thermodynamic parameter  $(F_k + F_d)^{-1}$ . If the  
10 droplets fall at their Stokes' fall speeds, then  $k_1$  is the Stokes' fall speed parameter, which is known. However, if the droplet fall speeds are affected by turbophoresis or thermophoresis, for example, then the fall speed parameter may not be known. Even if the actual fall speed parameter is unknown, it is still useful to calculate the supersaturation from  $C$  using  $k_1$  equal to the Stokes' fall speed parameter. We will call this the "nominal supersaturation."

In Figure 6 we plotted the mean radius,  $[r]$ , mean squared radius,  $[r^2]$ , and mean cubed radius,  $[r^3]$ , versus the nominal mean  
15 supersaturation for  $h = 1$  m for DSDs with no truncation (blue) and for DSDs truncated at  $r = 2.5 \mu\text{m}$  (red). The black dots indicate the nominal mean supersaturation values implied by  $[r]$ ,  $[r^2]$ , and  $[r^3]$  obtained from 11 measured DSDs truncated at  $r = 2.5 \mu\text{m}$  (Chandrakar et al. 2018c). The inferred nominal mean supersaturations range from 0.008% to 0.6%. The vertical green lines pass through the nominal mean supersaturation values implied by the measured  $[r^2]$  values, and allow a visual assessment of the consistency of the supersaturation values implied by the three measured moments for each of the 11 DSDs.

20 If each DSD measured in the II chamber was determined by the mean supersaturation alone, we would expect all three of the moments from a DSD to imply the same nominal mean supersaturation. However, even if moments of the analytic PDF derived in this study are consistent with the corresponding measured moments, that would not prove that supersaturation fluctuations were absent. It could be that the effects of supersaturation fluctuations on the PDF are nearly the same as those of the mean supersaturation, and are therefore difficult to discern. Or it could be that the effects are small despite the fluctuations being  
25 significant due to a low correlation between the fluctuations of supersaturation and droplet radius (Chandrakar et al. 2016).

Figure 7 quantifies the degree of consistency of the three measured moments with the corresponding derived moments for truncation radii ranging from 0 to 3  $\mu\text{m}$ . For each of the 11 DSDs, we used the supersaturation values implied by each of the three moments to calculate the mean and standard deviation of the implied supersaturation. We then calculated the average coefficient of variation of the implied supersaturation, which is plotted versus truncation radius in Figure 7. The  
30 average coefficient of variation exhibits a pronounced minimum at  $r \approx 2.3 \mu\text{m}$ , which is nearly the same radius as the reported truncation radius ( $r = 2.5 \mu\text{m}$ ). Such agreement is expected if (1) the derived PDF is similar to the measured PDF and (2) the actual truncation radius is about 2.5  $\mu\text{m}$ . The value of the average coefficient of variation at the truncation radius is a measure of the degree of consistency of the three measured moments with the corresponding derived moments. The value obtained



**Figure 7.** Mean radius,  $[r]$ , mean squared radius,  $[r^2]$ , and cubed mean radius,  $[r^3]$ , versus nominal mean supersaturation for  $h = 1$  m for DSDs with no truncation (blue) and for DSDs truncated at  $r = 2.5 \mu\text{m}$  (red). The black dots indicate Average over the nominal mean supersaturation values implied by  $[r]$ ,  $[r^2]$ , and  $[r^3]$  obtained from 11 measured DSDs truncated at  $r = 2.5 \mu\text{m}$  (Chandrakar et al. 2018c). The vertical green lines pass through the nominal mean supersaturation values implied by the measured  $[r^2]$  values, and allow a visual assessment of the consistency coefficient of variation of the nominal mean supersaturation values implied by the three measured moments for each versus the 11 DSDs truncation radius.

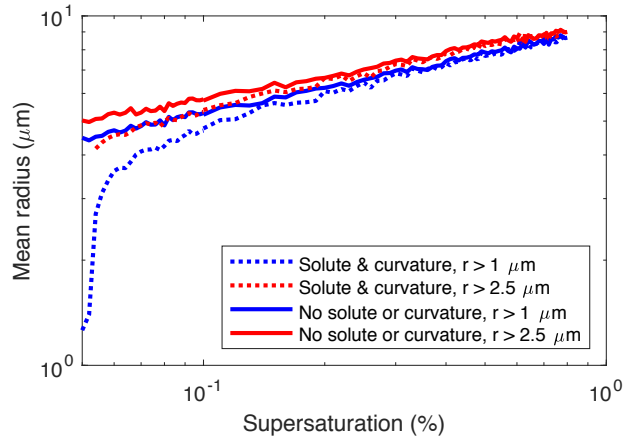
( $\sim 2.5\%$ ) could be compared to values obtained using other PDFs, such as ones that include the effects of supersaturation fluctuations.

In Figure 7, the minimum value of the average coefficient of variation is less than 25% of the no-truncation value, which demonstrates that it is essential to consider the truncation radius when comparing theoretical moments to moments from a measured but truncated DSD. Figure 9 in section 7.2 adds further support to this conclusion.

## 6.2 Inferred mean supersaturation and droplet activation

Figure 6 shows that the inferred nominal mean supersaturations range from 0.008% to 0.6%. It is of interest to compare the range of the inferred mean supersaturations to the range of critical supersaturations for the measured injected aerosol size distributions. We noted above that for a mode diameter of 60 nm and a standard deviation of 30 nm, 99% of the aerosol particles have a diameter less than about 170 nm. The critical supersaturation for a NaCl particle with a dry diameter of 170 nm is 0.052%. In other words, about 1% of the injected aerosols would be activated with a mean supersaturation of 0.052%. What does this imply for the 6 DSDs in Figure 6 with inferred mean supersaturations that are considerably less than 0.052%? There are several possibilities, and they are not mutually exclusive:

1. Neglecting droplet curvature and solute effects in the analytic DSD governing equation produces significant underestimates of the inferred supersaturations. It could be that once curvature and solute effects are included in the droplet growth equation, the inferred mean supersaturations for all 11 measured DSDs will be large enough to activate at least the largest of the injected aerosols. To investigate this possibility, we used the droplet growth equation,



**Figure 8.** Average over the 11 DSDs of the coefficient of variation of the nominal mean Mean droplet radius versus supersaturation values implied by from the three measured moments versus the truncation radius Monte Carlo model: with solute and droplet curvature effects (dotted lines) and without (solid lines) for all droplets (blue) and excluding droplets with radii  $< 2.5 \mu\text{m}$ .

both with and without the curvature and solute terms included, in the Monte Carlo model described in section 3 to calculate mean droplet radius versus supersaturation for 100 supersaturation values (Figure 8). With the curvature and solute terms included, the equation for the droplet growth rate becomes

$$r \frac{dr}{dt} = (S - 1) - \frac{a}{r} + \frac{b}{r^3 F_k + F_d},$$

5 where  $-a/r$  is the curvature term, and  $b/r^3$  is the solute term (Rogers and Yau 1989). Figure 8 shows that the mean droplet radius is smaller when these terms are included, for the same fixed supersaturation. This is due to the slower initial growth of the droplets. The differences in mean radius are largest for supersaturations slightly larger than the critical supersaturation.

10 How do the curvature and solute terms affect the inferred supersaturation? For a given droplet radius, the inferred supersaturation is larger with solute and curvature terms included. In our specific case, Figure 8 suggests that a measured DSD ( $r > 2.5 \mu\text{m}$  only) with a mean radius of about  $4.4 \mu\text{m}$  or larger could have been activated and grown with a fixed supersaturation of 0.055%. Figure 6 shows that this requirement excludes the measured DSDs with the 5 smallest mean radii.

15 2. Even after including droplet curvature and solute effects, the inferred supersaturations of the 5 measured DSDs with the smallest mean radii are less than the critical supersaturation of the largest of the injected aerosols. In this case, we conclude that there must have been supersaturation fluctuations somewhere in the cloud chamber that exceeded the critical supersaturation for at least the larger injected aerosols. There are two possible situations:



(a) Large supersaturation fluctuations occur only near the bottom and top boundaries of the cloud chamber, as is typical of Rayleigh-Bénard convection (Chandrakar et al., 2020a). In this case, it could be that activated droplets are transported away from the boundaries and then continue to grow consistent with inferred mean supersaturations calculated with droplet curvature and solute effects included. This scenario is analogous to droplets growing in a cumulus updraft: The droplets are activated by relatively large supersaturations just above cloud base, but then continue to grow in lower supersaturations at higher levels (Rogers and Yau 1989).

(b) Droplet growth in the chamber for these DSDs is primarily or entirely due to supersaturation fluctuations throughout the cloud chamber. In this case, the analytic DSD solution, which assumes that there are no supersaturation fluctuations, is not valid. Chandrakar et al. (2020b) found that analytic solutions for DSDs when mean supersaturation is absent (but fluctuations are present) have nearly the same shape as DSDs for no supersaturation fluctuations. As a result, it is difficult to distinguish the two cases based only on the consistency of the moments.

## 7 Standard deviation of the radius and related quantities

### 7.1 Standard deviation of the radius

The standard deviation is the square root of the variance. The variance of the radius is

$$\sigma^2 = \int_0^{\infty} (r - \bar{r})^2 p(r) dr = \frac{2}{\sqrt{\pi}\sqrt{C}} \left(1 - \frac{\Gamma(\frac{3}{4})^2}{\sqrt{\pi}}\right) \approx \frac{0.1724016}{\sqrt{C}} \quad (44)$$

which is easily obtained from the identity

$$\sigma^2 = \overline{r^2} - \bar{r}^2 \quad (45)$$

using (29) and (26). The variance of the radius for droplets with radii larger than  $a$  is

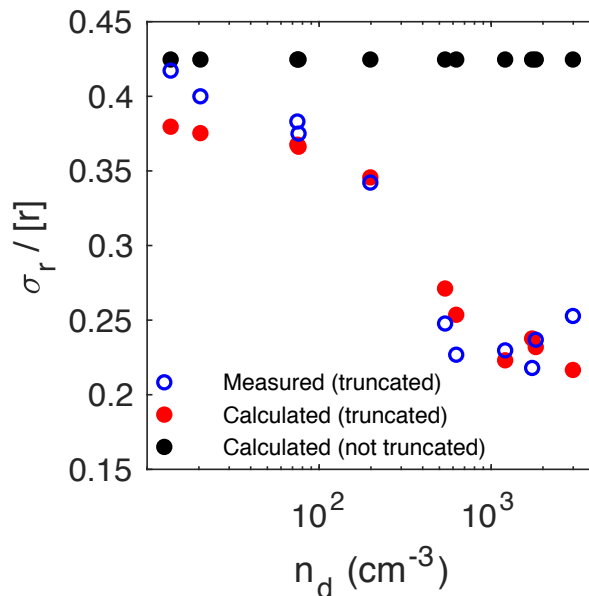
$$\sigma(a)^2 = \bar{r}_s(a) - \bar{r}(a)^2 = \frac{2}{\sqrt{\pi}\sqrt{C}} \left( \frac{\exp(-a^4 C/4)}{f(a)} - \frac{\Gamma(\frac{3}{4}, \frac{a^4 C}{4})^2}{f(a)^2 \sqrt{\pi}} \right). \quad (46)$$

### 7.2 Relative dispersion of the radius

The relative dispersion of droplet radius,  $\sigma/\bar{r}$ , is obtained from (44) and (26):

$$\frac{\sigma}{\bar{r}} = \frac{\left[ \frac{2}{\sqrt{\pi}\sqrt{C}} \left(1 - \frac{\Gamma(\frac{3}{4})^2}{\sqrt{\pi}}\right) \right]^{1/2}}{\frac{\sqrt{2}}{\sqrt{\pi}} \frac{\Gamma(\frac{3}{4})}{C^{1/4}}} = \frac{\pi^{1/4} \left(1 - \frac{\Gamma(\frac{3}{4})^2}{\sqrt{\pi}}\right)^{1/2}}{\Gamma(\frac{3}{4})} \approx 0.4246653. \quad (47)$$

The relative dispersion for a truncated DSD is obtained from (46) and (28).



**Figure 9.** Relative dispersion of the radius versus droplet number concentration. The measured values of dispersion are from DSDs truncated at  $r = 2.5 \mu\text{m}$  (blue circles) (Chandrakar et al. 2018c). The calculated values of dispersion used the average  $C$  implied by the three measured moments for each of the 11 DSDs. They were obtained by assuming either DSDs truncated at  $r = 2.5 \mu\text{m}$  (red dots) or not truncated (black dots) and used (46) and (28) or (47), respectively, with  $h = 1 \text{ m}$ .

Figure 9 displays the relative dispersion of the radius versus droplet number concentration,  $n_d$ . The measured values of dispersion are from DSDs truncated at  $r = 2.5 \mu\text{m}$  (Chandrakar et al. 2018a,b,c). The calculated values of dispersion used the average  $C$  implied by the three measured moments for each of the 11 DSDs. They were obtained by assuming either DSDs truncated at  $r = 2.5 \mu\text{m}$  (red dots) or not truncated (black dots) and used (46) and (28) or (47), respectively, with  $h = 1 \text{ m}$ . The calculated relative dispersion is constant ( $\approx 0.425$ ) for no truncation, but is in good agreement with the measured values (which range from about 0.2 to about 0.4) when DSD truncation is accounted for. This is a dramatic example of the importance of considering the truncation radius when comparing theoretical moments to moments from a measured but truncated DSD. When confronted with these measurements of relative dispersion versus droplet number concentration, Chandrakar et al. (2018a,b) concluded that the results show that relative dispersion decreases monotonically with increasing droplet number density, and attempted to explain the results theoretically.

### 7.3 Standard deviation of the squared radius

The variance of the squared radius is

$$\sigma_s^2 = \int_0^{\infty} (s - \bar{r}^2)^2 q(s) ds = \frac{2}{C} \left( 1 - \frac{2}{\pi} \right) \approx \frac{0.7267605}{C} \quad (48)$$

which is obtained from the identity

$$\sigma_s^2 = \overline{r^4} - (\overline{r^2})^2 \quad (49)$$

using (37) and (29). The variance of the squared radius for droplets with radii larger than  $a$ ,  $\sigma_s^2(a)$ , can be obtained from (49) using (40) and (32).

## 5 7.4 Relative dispersion of the squared radius

The relative dispersion of the squared radius,  $\sigma_s/\overline{r^2}$ , is obtained from (48) and (29):

$$\frac{\sigma_s}{\overline{r^2}} = \frac{[\frac{2}{C}(1 - \frac{2}{\pi})]^{1/2}}{\frac{2}{\sqrt{\pi}\sqrt{C}}} = \left[ \frac{\pi}{2} \left( 1 - \frac{2}{\pi} \right) \right]^{1/2} \approx 0.7555106. \quad (50)$$

The relative dispersion of the squared radius for a truncated DSD can be obtained using (49), (40), and (32).

## 8 Some additional quantities

### 10 8.1 Liquid water content

Liquid water content ( $\text{g m}^{-3}$ ), the mass of droplets per unit volume of air, is

$$L = \rho D \int_0^{\infty} m(r) r \exp(-Cr^4/4) dr.$$

Use  $m(r) = \rho_L 4/3\pi r^3$ , the mass of a droplet of radius  $r$ , and (33) to obtain

$$L = \rho D \rho_L \frac{4}{3} \pi \int_0^{\infty} r^4 \exp(-Cr^4/4) dr = \rho_L N \frac{8\sqrt{2\pi}}{3} \frac{\Gamma(5/4)}{C^{3/4}} = \rho_L N \frac{4}{3} \pi \overline{r^3}. \quad (51)$$

15 It is interesting that (51) is the same as for a monodisperse DSD with  $r^3$  replaced by  $\overline{r^3}$ .

### 8.2 Droplet sedimentation flux

The droplet sedimentation flux, the number of droplets that exit the chamber due to sedimentation per unit area and time, is

$$F_{\text{sed}} = \rho D \int_0^{\infty} u(r) r \exp(-Cr^4/4) dr = N k_1 \overline{r^2}. \quad (52)$$

where  $u(r) = k_1 r^2$  is the droplet terminal velocity. This result says that the droplet sedimentation flux is the same as if all

20 droplets fell at the speed of one with the r.m.s. droplet radius.

### 8.3 Precipitation flux

The precipitation flux, the mass of liquid water that exits the chamber due to sedimentation per unit area and time, is

$$P = \rho \int_0^{\infty} u(r) m(r) v(r) dr = N \int_0^{\infty} u(r) m(r) p(r) dr.$$

Substitute for  $u(r)$  and  $m(r)$  to obtain

$$P = N k_1 \rho_L \frac{4}{3} \pi \int_0^{\infty} r^5 p(r) dr = N k_1 \rho_L \frac{4}{3} \pi \bar{r}^5. \quad (53)$$

### 5 8.4 Droplet residence time: mean and PDF

The mean droplet residence time,  $\bar{\tau}$ , is given by Eq. (1.45) in Nauman and Buffham (1983):

$$\bar{\tau} \equiv \frac{hN}{F}, \quad (54)$$

where  $F$  is the total droplet flux, including the fluxes due to turbophoresis and thermophoresis. *We will assume that  $F = F_{\text{sed}}$  so that*

$$10 \quad \bar{\tau} = \frac{hN}{F_{\text{sed}}} = \frac{h}{k_1 \bar{r}^2} = \left( \frac{\pi h}{4k_1 \xi} \right)^{1/2}. \quad (55)$$

This follows from using (52) for  $F_{\text{sed}}$  and (29) for  $\bar{r}^2$ . The mean residence time in this case depends upon the chamber height, the Stokes' fall speed coefficient, and the supersaturation. Figure 10 shows  $\bar{\tau}$  versus the supersaturation for  $h = 1$  m. Figure 6 shows that the range of nominal mean supersaturations inferred from the measured moments is 0.008% to 0.8%. Figure 10 indicates that  $\bar{\tau}$  decreases from about 900 s to 90 s over this range of actual supersaturations.

15 We noted in section 6 that the actual fall speed parameter,  $k_1'$ , is unknown. However, it could be determined from measurements of  $\bar{\tau}$ ,  $\bar{r}^2$ , and  $N$  by using (52) and (54):

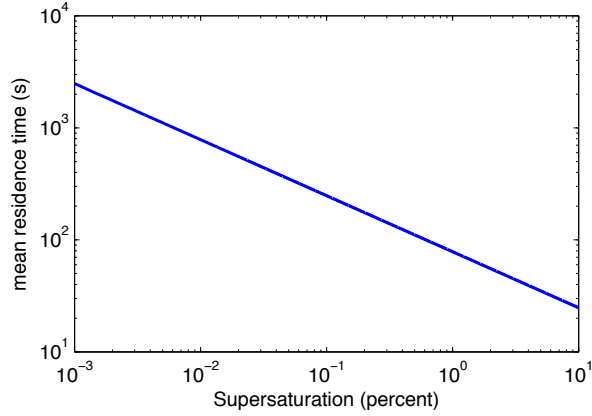
$$k_1' = \frac{hN}{\bar{\tau} \bar{r}^2}.$$

To derive the PDF of droplet residence times,  $R(\tau)$ , we start with the probability for a droplet of radius  $r$  to fall out in a small time interval,  $dt$ , which we derived in section 2.3, then use  $r^2 = 2\xi t$  to obtain

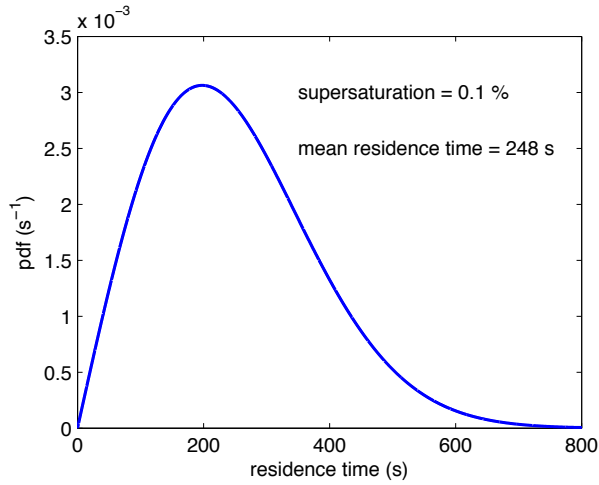
$$\frac{k_1 r^2}{h} dt = \frac{2k_1 \xi}{h} t dt \equiv b t dt.$$

This means that during a time interval  $dt$ , a fraction  $b t dt$  of the droplets fall out. If  $n(t)$  is the number of droplets injected at  $t = 0$  that remain at time  $t$ , then

$$5 \quad \frac{dn}{dt} = -b t n,$$



**Figure 10.** Mean droplet residence time versus supersaturation for  $h = 1$  m as given by (54).



**Figure 11.** PDF of droplet residence times for 0.1% supersaturation and  $h = 1$  m as given by (56).

which has the solution  $n(t) = n(0) \exp(-bt^2/2)$ . The distribution of droplet residence times is therefore  $dn(t)/dt$ , which we normalize to obtain the PDF of droplet residence times,

$$R(\tau) = b\tau \exp(-b\tau^2/2). \quad (56)$$

We verified that the mean droplet residence time obtained from (56) agrees with (54). Figure 11 displays the PDF of droplet residence times,  $R(\tau)$ , for 0.1% supersaturation and  $h = 1$  m. In Figure 2 (in section 3), we compared  $R(\tau)$  from a Monte Carlo method and  $R(\tau)$  from (56) for 10% supersaturation.

## 8.5 Precipitation flux

The precipitation flux, the mass of liquid water that exits the chamber due to sedimentation per unit area and time, is

$$P = \rho \int_0^{\infty} u(r) m(r) v(r) dr = N \int_0^{\infty} u(r) m(r) p(r) dr.$$

Use  $u(r) = k_1 r^2$  and  $m(r) = \rho_L 4/3\pi r^3$ , the mass of a droplet of radius  $r$ , to obtain

$$P = N k_1 \rho_L \frac{4}{3} \pi \int_0^{\infty} r^5 p(r) dr = N k_1 \rho_L \frac{4}{3} \pi \bar{r}^5.$$

## 8.5 Condensation rate

To derive the condensation rate of a population of droplets,  $d\bar{q}/dt$ , (mass of water condensed per mass of dry air per unit time),

5 start with the condensation rate for a single droplet,

$$\frac{dm}{dt} = \rho_L 4\pi \xi r,$$

where we used  $dr/dt = \xi/r$ . Then

$$\frac{d\bar{q}}{dt} = \int_0^{\infty} \frac{dm}{dt} v(r) dr = D \int_0^{\infty} \rho_L 4\pi \xi r^2 \exp(-Cr^4/4) dr = \frac{\rho_L 4\pi \xi}{\rho} N \frac{\sqrt{2}\Gamma(3/4)}{\sqrt{\pi} C^{1/4}}. \quad (57)$$

One can easily verify that  $d\bar{q}/dt = P/(\rho h)$  using  $P$  from (53) and  $\bar{r}^5$  from (41), along with  $C \equiv k_1/(\xi h)$  and  $\Gamma(7/4)/\Gamma(3/4) =$   
 10  $3/4$ . An equivalent form that is not specific to a particular DSD was derived by Korolev and Mazin (2003):

$$\frac{d\bar{q}}{dt} = \frac{N}{\rho} \int_0^{\infty} \frac{dm}{dt} p(r) dr = \frac{N \rho_L 4\pi \xi}{\rho} \int_0^{\infty} r p(r) dr = \frac{\rho_L 4\pi \xi}{\rho} N \bar{r}. \quad (58)$$

One can use (26) to show that (57) is equal to (58).

## 9 Conclusions

In a laboratory cloud chamber, such as the II Chamber at Michigan Technological University, it is possible to produce Rayleigh-  
 15 Bénard convection by applying an unstable temperature gradient between the top and bottom water-saturated surfaces of the chamber. Supersaturation is produced by isobaric mixing within the turbulent flow. When aerosols (cloud condensation nuclei) are injected at a constant rate, an equilibrium state is achieved in which the rate of droplet activation is balanced by the rate of droplet loss. After a droplet is activated, it continues to grow by condensation until it falls out (i.e., contacts the bottom surface).

20 Because supersaturation is difficult to measure when cloud droplets are present, it has not been generally possible to determine the magnitudes of the mean supersaturation and the supersaturation fluctuations in the Pi chamber under cloudy conditions. Therefore, it also has not been generally possible to directly determine the relative contributions of mean and fluctuating supersaturation to the measured droplet PDFs.

We derived analytic PDFs of droplet radius and squared radius for conditions that could occur in a turbulent cloud chamber in which there is uniform supersaturation and a balance, droplet curvature and solute effects on droplet growth are negligible, and a balance exists between droplet formation (activation) and loss (due to fall out). The loss rate due to fall out is based on three assumptions: (1) The droplets are well-mixed by turbulence, in which case the  $z$ -coordinate of each droplet is a random variable. (2) When a droplet becomes sufficiently close to the lower boundary, the droplet's terminal velocity determines its probability of fall out per unit time. (3) A droplet's terminal velocity is proportional to its radius squared. Given the chamber height and the droplet fall speed's dependence on squared radius, the analytic PDFs are determined by the supersaturation alone.

It should be emphasized that it is only the supersaturation that directly determines the droplet radius PDF. A cloud chamber undergoing Rayleigh-Bénard convection is analogous to an ascending parcel: in both cases a forcing process continually increases the supersaturation, while droplet growth decreases it. For an ascending parcel, the forcing process is adiabatic cooling, while for a cloud chamber, it is turbulent fluxes of sensible heat and water vapor from the walls. In both cases, the time scale for condensation to decrease supersaturation is the phase relaxation time scale, which depends inversely on droplet number concentration and mean radius (Korolev and Mazin, 2003)(Squires, 1952). The quasi-steady supersaturation is determined by a balance between these two processes.

We demonstrated how the equilibrium radius distribution is realized by using a Monte Carlo method, and compared the results to some of those that were obtained analytically. A notable feature is the wide PDF of droplet residence times. This PDF determines the width of the DSD when there is uniform supersaturation: All droplets grow at the same rate, so the greater a droplet's residence time, the larger it gets, and the more it contributes to the large-droplet tail of the PDF.

From the analytic equilibrium PDFs of radius and of squared radius, we obtained expressions for the median and mode radii. We also derived the first five moments of the radius from the analytic equilibrium PDFs, including moments for truncated DSDs (those with positive lower limits). We used statistics from a set of measured DSDs to check for consistency with the analytic PDF. The droplet number concentrations of the measured DSDs ranged from 14 to 3000  $\text{cm}^{-3}$ . We found consistency between theoretical and measured moments, but only when the truncation radius of the measured DSDs was taken into account. Because the theoretical moments depend only on the supersaturation once the chamber height, Stokes' fall speed parameter, and truncation radius are specified, consistency between theoretical and measured moments allows us to infer the mean supersaturations that would produce the measured DSDs in the absence of supersaturation fluctuations. From the mean radius, mean squared radius, and mean cubed radius for 11 measured DSDs, the inferred mean supersaturations ranged from 0.008 to 0.6%. These correspond to measured droplet number concentrations ranging from 3000 to 14  $\text{cm}^{-3}$ , respectively 0.008% to 0.6%. We found that neglecting the curvature and solute terms in the droplet growth rate equation can sometimes affect the inferred supersaturations. For a given droplet radius, the inferred supersaturation is larger with solute and curvature terms included. Calculations with a Monte Carlo model with solute and curvature terms included suggest that for the aerosols injected into the cloud chamber, a measured DSD ( $r > 2.5 \mu\text{m}$  only) with a mean radius of about 4.4  $\mu\text{m}$  or larger could have been activated and grown with a fixed supersaturation of 0.055%. This excludes the DSDs with the 5 smallest mean radii. To produce these

DSDs, there must have been supersaturation fluctuations somewhere in the cloud chamber that exceeded the critical supersaturation for at least the larger injected aerosols.

We found that accounting for the truncation radius of the measured DSDs is particularly important when comparing the theoretical and measured relative dispersions of the droplet radius. We showed that the monotonic decrease of the measured relative dispersion reported by Chandrakar et al. (2018a, c) is due to not taking truncation into account, and that when truncation of the DSD is taken into account, our theoretical values match the measured values.

Finally, we presented some additional quantities derived from the analytic DSD: droplet sedimentation flux, precipitation flux, and condensation rate.

- 5 *Code and data availability.* Most of the solutions of the ordinary differential equations and integrals that appear in this study were obtained using WolframAlpha (Wolfram Alpha LLC, 2019). Code used to generate the figures in this paper is available upon request. The measurements used are available in Chandrakar et al. (2018b).

*Author contributions.* SKK carried out the derivations, performed the analyses, and wrote the manuscript.

*Competing interests.* The author declares that he has no conflicts of interest.

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