

1 **Impact of topography on black carbon transport to the southern Tibetan**
2 **Plateau during pre-monsoon season and its climatic implication**

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24 **Key points:**

25 1. The black carbon (BC) transport across the Himalayas can overcome a majority of mountain
26 ridges, but the valley transport is much more efficient during the pre-monsoon season.

27 2. The complex topography results in stronger overall crossing-Himalayas transport during the
28 study period primarily due to the strengthened efficiency of near-surface meridional transport
29 towards the TP, enhanced wind speed at some valleys, and deeper valley channels associated
30 with larger transported BC mass volume.

31 3. The complex topography generates 50% higher transport flux of BC across the Himalayas
32 and 30-50% stronger BC radiative heating in the atmosphere up to 10 km over the Tibetan
33 Plateau (TP) than that with the smoother topography, which implies that the smooth topography
34 used by global climate models with relatively coarse resolution may introduce significant
35 negative biases in estimating BC radiative forcing over the TP during the pre-monsoon season.

36 4. The different topography also leads to different distributions of snow cover and BC forcing
37 in snow over the TP.

39 **Abstract**

40 Most of previous modeling studies about black carbon (BC) transport and impact over the
41 Tibetan Plateau (TP) conducted simulations with horizontal resolutions coarser than 10 km that
42 may not be able to resolve well the complex topography of the Himalayas. In this study, the
43 two experiments covering entire Himalayas with the Weather Research and Forecasting Model
44 coupled with chemistry (WRF-Chem) at the horizontal resolution of 4 km but with two
45 different topography datasets (4-km complex topography and 20-km smooth topography) are
46 conducted for pre-monsoon season (April, 2016) to investigate the impacts of topography on
47 modeling the transport and distribution of BC over the TP. Both experiments show evident
48 accumulation of aerosols near the southern Himalayas during the pre-monsoon season,
49 consistent with the satellite retrievals. The observed episode of high surface BC concentration
50 at the station near the Mt. Everest due to heavy biomass burning near the southern Himalayas
51 is well captured by the simulations. The simulations indicate that the prevailing up-flow across
52 the Himalayas driven by the large-scale westerly and small-scale southerly circulations during
53 the daytime is the dominant transport mechanism of South Asian BC into the TP, and is much
54 stronger than that during the nighttime. The simulation with 4-km topography resolves more
55 valleys and mountain ridges, and shows that the BC transport across the Himalayas can
56 overcome a majority of mountain ridges but the valley transport is more efficient. The complex
57 topography results in stronger overall crossing-Himalayas transport during the simulation
58 period primarily due to the strengthened efficiency of near-surface meridional transport
59 towards the TP, enhanced wind speed at some valleys, and deeper valley channels associated
60 with larger transported BC mass volume. This results in 50% higher transport flux of BC across
61 the Himalayas and 30-50% stronger BC radiative heating in the atmosphere up to 10 km over
62 the TP from the simulation with 4-km complex topography than that with 20-km smoother
63 topography. The different topography also leads to different distributions of snow cover and
64 BC forcing in snow. This study implies that the smoother topography used by global climate
65 models generally with even coarser resolutions than 20 km may introduce significant negative
66 biases in estimating light absorbing aerosol radiative forcing over the TP during the pre-
67 monsoon season.

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73 **1. Introduction**

74 The Tibetan Plateau (TP) is the highest plateau in the world with an average elevation
75 over 4 km and an area of approximately $2.5 \times 10^6 \text{ km}^2$, known as the world's third pole (Qiu,
76 2008), and its enormous dynamic and thermal effects have a huge impact on large-scale
77 atmospheric circulation through the energy exchange with the atmosphere especially the
78 troposphere, such as Asian monsoon (e.g., Ye and Wu, 1998; Duan and Wu, 2005; Wu et al.,
79 2007, 2012a; Boos and Kuang, 2013; Chen and Bordoni, 2014; He et al., 2019; Zhao et al.,
80 2019). In addition, the glacial melting water of TP is one of the important sources of water
81 resources of the Indus River, Ganges River, Yangtze River, and Yellow River in Asia (e.g.,
82 Singh and Bengtsson, 2004; Barnett et al., 2005; Immerzeel et al., 2010; Lutz et al., 2014).
83 Previous studies found aerosols in the atmosphere over/around the TP could change the
84 regional climate of Asia (e.g., Qian et al., 2011, 2015; Lau et al., 2017, 2018). Model
85 simulations showed that the absorptive aerosols changed the surface radiative flux over the TP
86 by $5\text{-}25 \text{ W m}^{-2}$ during the pre-monsoon season in April and May and led to the changes in
87 summer monsoon circulations (Qian et al., 2011). Meanwhile, aerosol may affect the
88 atmosphere by modulating the vertical structure of cloud and precipitation around the TP, and
89 thus change the distribution of atmospheric latent heat around the TP, which is the main driving
90 force of regional atmosphere circulations (e.g., Li et al., 2010, 2017, 2019). Moreover, when
91 absorbing aerosols settle on the snow-covered areas, they will blacken the surface of snow
92 cover and glacier to a large extent (e.g., Hansen and Nazarenko, 2004; Ramanathan and
93 Carmichael, 2008; Lau et al., 2010, 2018; Lee et al., 2013; Zhang et al., 2017, 2018), reduce
94 the snow albedo so as to absorb more solar radiation and cause the consequences of accelerated
95 melting (e.g., Ramanathan et al., 2007; Ming et al., 2009; Yasunari et al., 2010; Ji et al., 2015;
96 Zhang et al., 2015). According to the Intergovernmental Panel on Climate Change Fifth
97 Assessment Report (IPCC AR5), the radiative forcing caused by the important component of
98 absorbing aerosols, black carbon (BC), on the surface snow is 0.04 W m^{-2} ($0.02\text{-}0.09 \text{ W m}^{-2}$)
99 on global average, and the regional forcing (such as over the Arctic and the Himalayas) can be
100 considerably large.

101 The TP is surrounded by various sources of pollutants. Over the South of TP, previous
102 studies have suggested that South Asia was the main source of pollutants transported to the
103 plateau (e.g., Cong et al., 2009, 2015a, b; Kopacz et al., 2011; Lu et al., 2012; Zhao et al., 2013;
104 Wang et al., 2015; Zhang et al., 2015; Kang et al., 2016, 2019; Li et al., 2016; Chen et al.,
105 2018). A huge blanket or layer of “haze” composes of light-absorbing carbonaceous aerosol

106 particles that often erupts in the pre-monsoon season over South Asia and has a significant
107 influence on the plateau (e.g., Prasad and Singh, 2007; Engling and Gelencser, 2010). Among
108 them, biomass burning emission reaching the maximum in pre-monsoon season over South
109 Asia is one of the dominant sources (e.g., Cong et al., 2015b). Many studies investigated the
110 transport mechanisms of South Asian pollutants to the TP and found that the pollutants
111 transported across the Himalayas were mainly due to the combination of large-scale circulation
112 and regional wind (e.g., Hindman and Upadhyay, 2002; Cao et al., 2010; Dumka et al., 2010;
113 Marinoni et al., 2010; Cong et al., 2015a; Kang et al., 2016; Lüthi et al., 2015; Zhang et al.,
114 2017). Cong et al. (2015b) suggested that strong large-scale westerly and local small-scale
115 mountain-valley wind passed through western Nepal, northwest India and Pakistan (i.e.,
116 southern Himalayas) in the pre-monsoon season. Dumka et al. (2010) and Kang et al. (2016)
117 inferred from the trajectory analysis that long-distance transport from Africa and Europe may
118 also affect the BC concentration of Himalayas in addition to the influence of regional pollution.
119 The synoptic troughs and ridges were also found favoring the transport of pollutants into the
120 TP from South Asia (Lüthi et al., 2015).

121 Although previous studies have confirmed the transport of pollutants across the Himalayas,
122 the complex topography of Himalayas complicates transport mechanisms. On one hand, Cao
123 et al. (2010) revealed that the Himalayas acted as a huge barrier to the transport of a large
124 amount of BC over the plateau based on model simulations. On the other hand, some studies
125 found that the valleys across the Himalayas served as channels for efficient transport of
126 pollutants (e.g., Hindman and Upadhyay, 2002; Marinoni et al., 2010). Marinoni et al. (2010)
127 analyzed the observation of wind at a station of the southern Himalayas and found that a distinct
128 valley wind system with the prominent southerly continuously transported pollutants to the
129 plateau. Most of these studies used observations and back-trajectory models to demonstrate the
130 transport pathways of pollutants to the TP, which cannot explicitly reveal the transport
131 mechanisms underneath, in particular quantifying the impacts of complex topography.

132 A few of modeling studies investigated the pollutant transport mechanisms using 3-D
133 chemical transport models (e.g., Kopacz et al., 2011; Liu et al., 2015; Zhang et al., 2017; Yang
134 et al., 2018). However, most of them simulated transport processes at relatively coarse
135 horizontal resolutions (e.g., 20-100 km), which cannot resolve well the complex topography of
136 Himalayas. It is noteworthy that studies about the aerosol climatic impact over the TP also used
137 climate models at relatively coarse horizontal resolutions (e.g., Flanner and Zender, 2005;
138 Menon et al., 2010; Kopacz et al., 2011; Qian et al., 2011, 2015; He et al., 2014; Zhang et al.,
139 2015; Ji et al., 2016). So far, there is only one study that used a chemical transport model at a

140 horizontal resolution of sub-10 km to investigate pollutant transport mechanisms over the
141 eastern Himalayas (Cao et al., 2010). Furthermore, none of studies assessed quantitatively the
142 impacts of topography on modeling the pollutant transport across the Himalayas and hence on
143 estimating aerosol distribution and radiative forcing over the TP.

144 In order to examine the potential impacts of complex topography on pollutant transport
145 across the Himalayas over the TP, this study conducts multiple experiments with the Weather
146 Research and Forecasting Model coupled with chemistry (WRF-Chem, Grell et al., 2005;
147 Skamarock et al., 2008). The WRF-Chem model is selected because it includes the interaction
148 between meteorology and aerosol and is widely used for regional modeling of aerosol and its
149 climatic impact (e.g., Cao et al., 2010; Zhao et al., 2010, 2011, 2012, 2014; Wu et al., 2013;
150 Gao et al., 2014; Huang et al., 2015; Fan et al., 2015; Feng et al., 2016; Zhong et al., 2017;
151 Sarangi et al., 2019; Liu et al., 2020). The model has also been used to investigate the aerosol
152 transport and climatic impact over the Himalayas region (e.g., Feng et al., 2016; Cao et al.,
153 2010; Sarangi et al., 2019). The model is suitable for simulations at hydrostatic and non-
154 hydrostatic scales and thus can be used for investigating the impacts of resolution-dependent
155 feature, such as topography, on modeling results. In particular, the meteorological part of the
156 model (WRF) has been systematically evaluated and used to investigate the impacts of
157 resolutions on simulations of moisture transport and climate over the Himalayas region (e.g.,
158 Shi et al., 2008; Karki et al., 2017; Lin et al., 2018; Zhou et al., 2017, 2018; Wang et al., 2020).
159 All of these previous studies with the model lay the foundation for this modeling study.

160 Two experiments with different topography representations are conducted to investigate
161 the impacts of topography complexity on the pollutant transport across the Himalayas and the
162 resulting radiative forcing over the TP. The simulations are conducted for April 2016 in pre-
163 monsoon season, because South Asia is seriously polluted during this period and the pollutants
164 transported to the TP during the period may have significant impacts on Asian monsoon system
165 (e.g., Lau et al., 2006a, b; Ding et al., 2009; Kuhlmann and Quaas, 2010; Qian et al., 2011,
166 2015). In addition, the observed concentration of BC at the observation station besides Mt.
167 Everest shows an evident pollution episode from April 5th to 16th of 2016, deserving the
168 investigation of the transport mechanisms. The rest of the paper is organized as follows. Section
169 2 describes briefly the WRF-Chem model, the physics parameterizations, and the model
170 configuration for this study, followed by a description of data for evaluation. The series of
171 numerical experiments at different resolutions are analyzed in Section 3. The findings are then
172 summarized and discussed in Section 4 and 5.

173

174 **2. Methodology**

175 **2.1 Model and experiments**

176 2.1.1 WRF-Chem model

177 In this study, the version of WRF-Chem updated by University of Science and Technology
178 of China (USTC version of WRF-Chem) is used. This USTC version of WRF-Chem includes
179 some additional capabilities such as the diagnosis of radiative forcing of aerosol species, land
180 surface coupled biogenic volatile organic compound (VOC) emission, aerosol-snow
181 interaction compared with the publicly released version (Zhao et al., 2013a, b, 2014, 2016; Hu
182 et al., 2019; Du et al., 2020). The Model for Simulating Aerosol Interactions and Chemistry
183 (MOSIAC) (Zaveri et al., 2008) and the Carbon Bond Mechanism-Z (CBM-Z) gas phase
184 mechanisms (Zaveri and Peters, 1999) are selected. The MOSAIC aerosol scheme uses an
185 approach of segmentation to represent aerosol size distribution with four or eight discrete size
186 bins (Fast et al., 2006). It consists of a range of physical and chemical processes such as
187 nucleation, condensation, coagulation, aqueous phase chemistry, and water uptake by aerosol.
188 The parameterization of dry deposition of aerosol mass and number is according to the method
189 of Binkowski and Shankar (1995), including particle diffusion and gravitational effects.
190 Aerosol-cloud interactions were included in the model by Gustafson et al. (2007) for
191 calculating the activation and re-suspension between dry aerosols and cloud droplets. The wet
192 removal of grid-resolved stratiform clouds/precipitation includes two aspects, namely in-cloud
193 removal (rainout) and below-cloud removal (washout) by Easter et al. (2004) and Chapman et
194 al. (2009), respectively. Aerosol optical properties such as single scattering albedo (SSA) and
195 scattering asymmetry and so on are calculated at each model grid through the function of
196 wavelength. The shortwave (SW) and longwave (LW) refractive indices of aerosols use the
197 Optical Properties of Aerosols and Clouds (OPAC) data set (Hess et al., 1998), with a detailed
198 description of the computation of aerosol optical properties can be found in Barnard et al. (2010)
199 and Zhao et al. (2013a). For both short wave and long wave radiation, aerosol radiation
200 feedback combined with the Rapid Radiative Transfer Model (RRTMG) (Mlawer et al., 1997;
201 Iacono et al., 2000) was implemented by Zhao et al. (2011). For the diagnosis of the optical
202 properties and direct radiative forcing of various aerosol species in the atmosphere, the method
203 described by Zhao et al (2013a) is adopted. The radiative forcing of light absorbing aerosol in
204 surface snow is estimated with the Snow, Ice, and Aerosol Radiative model (SNICAR)
205 (Flanner and Zender, 2005) in the land surface scheme as introduced by Zhao et al. (2014).
206 Please note that the SNICAR model was recently updated by He et al. (2018) to include the

207 impact of non-spherical snow grains on aerosol snow-albedo effect that is not included in this
208 study. More details about the coupling between the WRF-Chem and SNICAR models can be
209 found in Zhao et al. (2014).

210

211 2.1.2 Numerical experiments

212 In this study, the WRF-Chem simulations are performed with two nested domains (one-
213 way nesting), one outer domain at 20-km horizontal resolution with 350×250 grid cells (62°E
214 -112°E, 1°N -38°N) and one inner domain at 4-km horizontal resolution with 400×300 grid
215 cells (75°E -92°E, 23°N -35°N) (Fig. 1). The inner domain roughly covers the entire Himalayas.
216 The WRF-Chem simulations conducted in this study use the terrain following coordinate
217 (Skamarock et al., 2008). To resolve the vertical structure of transport across the Himalayas,
218 the simulations are configured with 54 vertical layers and denser layers near the surface. For
219 example, averaged over a region (26°N-28°N, 76°E-80°E) near the southern Himalayas, there
220 are about 17 layers below 2 km above the ground (Fig. 2). The goal of this study is to investigate
221 the impacts of different representations of topography on the transport of BC across the
222 Himalayas. Therefore, besides this control experiment, one sensitivity (idealized) experiment
223 is also conducted with the same configuration as the control one except that the terrain heights
224 of the inner domain at 4-km resolution are bilinearly interpolated from the terrain heights at
225 20-km resolution similar as previous studies (e.g., Shi et al., 2008; Wu et al., 2012b; Lin et al.,
226 2018). The two experiments are referred to the simulations with complex and smooth
227 topography, respectively, hereafter.

228 Fig. 3 shows the spatial distribution of terrain height over the inner domain with complex
229 (4-km dataset) and smooth (20-km dataset) topography. It is evident that the terrain is much
230 smoother from the 20-km dataset than from the 4-km dataset. The mountain ridges and valleys
231 can be resolved to some extent in the 4-km dataset but mostly missed or underestimated at 20-
232 km. The probability distributions of terrain height over Himalayas from the 20-km and 4-km
233 datasets (Fig. S1 in the supporting material) show that the difference between the two datasets
234 is small for the terrain height lower than ~4.5 km but is significant for the terrain height above
235 ~4.5 km. In addition, the slopes between the neighboring grids are significantly reduced in
236 general with the smooth topography compared to with the complex topography, particularly
237 over the Himalayas region (Fig. S2 in the supporting material). The difference of results from
238 the two experiments over the inner domain is analyzed as the impacts of topography
239 representations. Therefore, all the results shown below are from the simulations of the inner

240 domain at 4-km resolution with different topography if not otherwise stated. It is noteworthy
241 that this study focuses on understanding the impact of complex topography resolved by 4 km
242 instead of the difference between 4-km and 20-km simulations. Prescribing the topography at
243 4 km following the 20-km resolution distribution is just one way to smooth the topography. In
244 fact, the sensitivity experiment at 4-km resolution with the topography from the one-degree
245 resolution dataset is also conducted, and the result is consistent. In addition, although the
246 topography at 4-km resolution resolves much better topography of Himalayas than that at 20-
247 km resolution, it still cannot fully resolve the complexity of topography of Himalayas. The
248 higher resolution (e.g., 1 km or sub-1 km) may be needed. Previous studies have found that the
249 simulations at the resolutions between 1 km and 4 km can produce generally consistent features,
250 but the simulation at 1 km with better representation of topography can produce a little better
251 meteorological field compared to the observations (e.g., Karki et al., 2017). One sensitivity
252 experiment at 1.5-km resolution is also conducted in this study and found the difference
253 between the simulations at 1.5-km and 4-km resolutions is relatively small. However, it should
254 be noted that the simulation at 1.5-km resolution is only conducted covering a much smaller
255 region for a shorter period due to the computational cost. The experiment at 4-km instead of
256 1.5-km resolution is conducted finally for the study region and period due to the balance of
257 resolving the complex topography to some extent and affordable computational cost.

258 The simulations are conducted for March 29th-April 20 of 2016 for the reason as discussed
259 in the introduction. The results of April 1th-20th are analyzed for the observed pollution episode
260 to allow a few days spin-up for chemical initial condition. The meteorological initial and lateral
261 boundary conditions are derived from the European Centre for Medium-Range Weather
262 Forecasts (ECMWF) reanalysis data at $0.5^\circ \times 0.66^\circ$ horizontal resolution and 6 h temporal
263 intervals (ERA-Interim dataset). The modeled u and v component wind, atmospheric
264 temperature, and geopotential height over the outer domain are nudged towards the reanalysis
265 data with a nudging timescale of 6 h following previous studies (e.g., Stauffer and Seaman,
266 1990; Seaman et al., 1995; Liu et al., 2012; Zhao et al., 2014; Karki et al., 2017; Hu et al., 2016,
267 2020). Spectral nudging method is applied to balance the performance of simulation at the large
268 and small scales (Liu et al., 2012), and only to the layers above the planetary boundary layer
269 (PBL) with nudging coefficients of $3 \times 10^{-4} \text{ s}^{-1}$. A wave number of three is selected for both
270 south-north and west-east directions. Please note that the choices of nudging coefficients and
271 wave numbers for spectral nudging in this study are empirical. The purpose of nudging is to
272 simulate reasonably large-scale feature so that small-scale impacts from the complex

273 topography can be focused. Therefore, the modeling sensitivity to these choices is not tested in
274 this study. The results show that the simulations with nudging method can reproduce the large-
275 scale circulation at 700 hPa and higher over the outer domain compared to the reanalysis dataset
276 with the spatial correlation coefficient of 0.96-0.98.

277 The Mellor-Yamada-Nakanishi-Niino (MYNN) planetary boundary layer scheme
278 (Nakanishi and Niino, 2006), Community Land Model (CLM) land surface scheme (Oleson et
279 al., 2010), Morrison 2-moment microphysics scheme (Morrison et al., 2009), Kain-Fritsch
280 cumulus scheme (Kain, 2004), and Rapid Radiative Transfer Model (RRTMG) longwave and
281 shortwave radiation schemes (Iacono et al., 2000) are used in this study. It is noteworthy that
282 the cumulus scheme is only used in the outer domain at 20 km resolution and is turned off in
283 the inner domain at 4 km resolution. The chemical initial and boundary conditions are provided
284 by a quasi-global WRF-Chem simulation for the same time period to include long-range
285 transported chemical species. The quasi-global WRF-Chem simulation is performed at $1^{\circ}\times 1^{\circ}$
286 horizontal resolution using a quasi-global channel configuration with 360×130 grid cells
287 ($180^{\circ}\text{W}-180^{\circ}\text{E}$, $60^{\circ}\text{S}-70^{\circ}\text{N}$). More details about the general configuration of quasi-global
288 WRF-Chem simulation can be found in Zhao et al. (2013b) and Hu et al. (2016). The detailed
289 configuration of WRF-Chem experiments is summarized in Table 1. Due to the lack of publicly
290 available in-situ observations, this study does not tend to evaluate systematically the simulated
291 meteorological fields over the Himalayas region. However, as shown in Table 1, the choice of
292 physical parameterizations in this study follows that of one previous study (Karki et al., 2017)
293 that evaluated systematically the WRF simulation for one entire year over the Himalayas region.
294 Their results showed that the WRF simulation at convection-permitting scale could generally
295 capture the essential features of meteorological fields such as precipitation, temperature, and
296 wind over the Himalayas region. Therefore, the WRF-Chem simulations in this study are
297 reliable to investigate the impacts of topography over the Himalayas region.

298

299 2.1.3 Emissions

300 Anthropogenic emissions for outer and inner simulation domains are obtained from the
301 Hemispheric Transport of Air Pollution version-2 (HTAPv2) at $0.1^{\circ}\times 0.1^{\circ}$ horizontal resolution
302 and a monthly temporal resolution for year 2010 (Janssens-Maenhout et al., 2015), except that
303 emissions of East Asia are from the MIX Asian anthropogenic emission inventory at $0.1^{\circ}\times 0.1^{\circ}$
304 horizontal resolution for 2015 (Li et al., 2017). Biomass burning emissions are obtained from
305 the Fire Inventory from National Center for Atmospheric Research (FINN) with hourly

306 temporal resolution and 1-km horizontal resolution (Wiedinmyer et al., 2011) for the
307 simulation period, and are vertically distributed following the injection heights suggested by
308 Dentener et al. (2006) from the Aerosol Comparison between Observations and Models
309 (AeroCom) project. Sea-salt emission follows Zhao et al. (2013b), which includes correction
310 of particles with radius less than 0.2 μm (Gong, 2003) and dependence of sea-salt emission on
311 sea surface temperature (Jaeglé et al., 2011). The vertical dust fluxes are calculated with the
312 Georgia Tech/Goddard Global Ozone Chemistry Aerosol Radiation and Transport (GOCART)
313 dust emission scheme (Ginoux et al., 2001), and the emitted dust particles are distributed into
314 the MOSAIC aerosol size bins following a theoretical expression based on the physics of scale-
315 invariant fragmentation of brittle materials derived by Kok (2011). More details about the dust
316 emission scheme coupled with MOSAIC aerosol scheme in WRF-Chem can be found in Zhao
317 et al. (2010, 2013b).

318 As shown in Fig. 1, anthropogenic fossil fuel emissions of BC are high over Northeast
319 India. The fossil fuel BC emissions over Nepal, the country nearby the southern Himalayas,
320 are relatively low. Instead, biomass burning emissions of BC are extremely high in Nepal and
321 Northwest India (South Himalayas, 26°N-29°N). Averaged over the South Himalayas of inner
322 domain that may significantly affect the pollutant transport into the TP, the biomass burning
323 emissions of BC are much higher than its anthropogenic fossil fuel emissions, particularly for
324 the pollution episode (Fig. 4). The anthropogenic BC emissions are set constant through April,
325 while biomass burning emissions show a strong fire event in April 5-16. During the event, the
326 biomass burning BC emissions can be a factor of 2 of the anthropogenic fossil fuel BC
327 emissions over South Himalayas.

328

329 **2.2 Dataset**

330 Three datasets are used to compare with the modeling results to demonstrate the pollutant
331 episode and spatial distribution. One is from the Moderate Resolution Imaging
332 Spectroradiometer (MODIS) instruments on Aqua and Terra satellites. The MODIS Aerosol
333 Product monitors the ambient aerosol optical thickness over the oceans globally and over the
334 continents. Daily Level 2 Aerosol Optical Depth (AOD) at 550 nm products with the spatial
335 resolution of 10 km \times 10 km (at nadir) from both Aqua and Terra are applied. When compared
336 with the modeling results, the simulations are sampled at the satellite overpass time and
337 location. The second one is from the Aerosol Robotic Network (AERONET) (Holben et al.,
338 1998) that has ~100 similar globally distributed sun and sky scanning ground-based automated
339 radiometers, which provide measurements of aerosol optical properties throughout the world

340 (Dubovik and King, 2000; Dubovik et al., 2002). In this study, AERONET measured AOD at
341 675 nm and 440 nm from two sites over the TP, QOMS_CAS site (86.95°E, 28.36°N) and
342 NAM_CO site (90.96°E, 30.77°N) are used to derive the AOD at 550 nm (using the Angström
343 exponent) for comparison with modeling results at 550 nm. All of the retrievals of AOD are at
344 quality level 2, and the uncertainty of AOD measurements is about 0.01 (Holben et al., 2001).
345 In this study, the available data in April 2016 are used to evaluate the modeling results during
346 the same period.

347 The third one is the measurement of surface BC mass concentration collected during the
348 simulation period for April 4-20 of 2016 at the Qomolangma Station for Atmospheric and
349 Environmental Observation and Research (QOMS, 86.95°E, 28.36°N) which is located at the
350 northern slope of the Mt. Everest, about 4276 meters above sea level. The BC mass
351 concentration is measured with the widely-used instrument Aethalometer (AE-33) that can
352 provide real-time BC mass concentration measurements. The calibration of air flow is routinely
353 conducted to maintain the data quality. The instrument estimates the BC mass concentration
354 based on the optical method through measuring the reduction in light intensity induced by BC.
355 The method assumes that the relationship between attenuation and BC surface loading is linear
356 for low attenuation values. However, this relationship becomes nonlinear when the attenuation
357 values are high due to a filter saturation effect, which may lead to underestimation of the high
358 BC concentration. The detection limit of AE-33 instrument is 5 ng/m³, and the uncertainty is
359 estimated to be within 10% (e.g., Chen et al., 2018; Bansal et al., 2019; Kant et al., 2019). The
360 dataset of BC mass concentration used in this study was reported by Chen et al., (2018), where
361 more details about the measurements can be found.

362

363 **3. Results**

364 **3.1 Spatial distribution of BC around the TP**

365 Figure 5 shows the spatial distributions of column integrated BC mass within the inner
366 domain from the simulations at 4-km resolution with complex and smooth topography
367 averaged for April 1-20, 2016, and the difference between the two is also shown. For both
368 experiments, the Himalayas is an apparent boundary line for the distribution of BC with a sharp
369 gradient across the Himalayas. The high BC mass loading exists near the southern Himalayas
370 reaching over 10 mg/m², which is largely contributed by the biomass burning emissions during
371 the period (Fig. 4), while the value reduces significantly to less than 0.4 mg/m² over the TP.
372 The BC mass loading near the central and eastern Himalayas is higher than that near the

373 western Himalayas. In general, the column BC mass loading from the simulation with complex
374 topography is higher over the TP and lower over the region to the south of Himalayas compared
375 with the smooth topography, reflecting the stronger transport of BC from the source region to
376 the Himalayas and TP due to the complex topography (see the discussion in Section 3.2). Figure
377 6 displays the spatial distributions of AOD from the MODIS retrievals and the simulations at
378 4 km with two different topography averaged for April 1-20, 2016. In general, both simulations
379 reproduce the overall spatial distribution of AOD, with the large values near the southern
380 Himalayas, consistent with the BC mass loading. In addition, both the simulations and satellite
381 retrievals show higher AOD near the central and eastern Himalayas than that near the western
382 Himalayas during the study period. The difference between the simulations and retrievals may
383 be partly related to the uncertainties in emissions particularly for biomass burning emissions.
384 Other than intense emissions, the wind circulation around the TP may also play an important
385 role in accumulating BC near the southern Himalayas. Because of the block of Himalayas, the
386 wind circulation at 500 hPa is divided into two branches as westerly and northwesterly. Both
387 of them are relatively dry airflows with little effect on pollutant removal, favor the
388 accumulation of pollutants near the southern Himalayas, and carry the pollutants to the TP (e.g.,
389 Dumka et al., 2010; Kang et al., 2016; Cong et al., 2015a).

390 The AOD retrieved at two AERONET sites over the TP are compared with the two
391 simulations for April 1-20, 2016 (Fig. 7). The AOD at the QOMS_CAS site near the northern
392 Himalayas is higher than that at the NAM_CO site inside of the TP. Both simulations can
393 capture this gradient. The simulation with complex topography produces higher AOD than
394 does the one with smooth topography at both sites. The modeling biases (normalized mean bias,
395 NMB) reduce from -46% (smooth topography) to 9% (complex topography) at the
396 QOMS_CAS site and from -26% (smooth topography) to -10% (complex topography) at the
397 NAM_CO site. Although the correlation coefficient between the simulations and observation
398 increases from 0.37 (smooth topography) to 0.53 (complex topography) at the QOMS_CAS
399 site, it is similar (~0.2) between the two simulations at the NAM_CO site. The correlation
400 coefficient is higher at the QOMS_CAS site near the source region than the NAM_CO site
401 farther away, which may indicate the model processes affecting the transport over the TP still
402 need examination with more observations. The NAM_CO site over the eastern TP may also be
403 affected by other sources that are not counted in this study. The modeling of temporal variations
404 of pollutants over the TP deserves further investigation with more observations.

405 There is one in-situ observational station (QOMS) near the Mt. Everest (black dot shown
406 in Fig. 1) to collect the surface BC concentration. The observed surface BC concentration at

407 this station is compared with the corresponding simulations for this period as shown in Figure
408 8. Without local emission source, the surface BC concentration at QOMS is primarily
409 contributed by the transport. The temporal variation of observed surface BC concentration
410 correlates highly with the biomass burning emissions as shown in Fig. 4, with the peak value
411 on April 11 reaching $\sim 3 \text{ ug/m}^3$. One sensitivity experiment without biomass burning emissions
412 shows that the simulated BC concentration at QOMS will be significantly reduced without the
413 peak (not shown), which further proves that the BC concentration over the northern Himalayas
414 can be largely influenced by the pollution episode near the southern Himalayas. It is noteworthy
415 that both simulations can reproduce the episode in time and magnitude, and the difference at
416 this station is small. The spatial distribution of difference in near-surface BC concentration
417 between the two simulations (Fig. S3) is more heterogeneous than that of column BC mass
418 (Fig. 5), reflecting the impact of topography on near-surface transport (see the discussion in
419 Section 3.2).

420

421 **3.2 Transport flux into the TP**

422 To further understand the difference in BC surface concentration and column mass loading
423 over the TP between the two simulations with different topography, Figure 9 shows the
424 longitude-height cross section of BC transport flux along the cross line (shown as the black
425 dash line in Fig. 3) from the two simulations at local time (LT) 03:00 and 15:00 averaged for
426 April 1-20 to represent nighttime and daytime transport, respectively. The PBL height along
427 the cross line is also shown as the black dash line. The transport flux is calculated by projecting
428 the wind field perpendicularly to the cross line and then multiplying the BC mass concentration
429 along the cross line. More specifically, the transport flux is calculated as following:

$$430 \quad \text{TF} = C * (u * \sin \alpha + v * \sin \beta) \quad (1)$$

431 Where α is the angle between east-west wind component and the cross line, β is the angle
432 between south-north wind component and the cross line, and C is the BC mass concentration
433 at the grid along the cross line. The flux is estimated at each model level. Positive values
434 represent the transport towards the TP, while negative values represent the transport away from
435 the TP. It is evident that BC is imported into the TP during the day and night on the west of
436 $\sim 85^\circ\text{E}$, although the transport flux is much larger during the daytime than nighttime. On the
437 east of $\sim 85^\circ\text{E}$, BC is imported into the TP during the day but exported slightly from the TP
438 during the night. The difference of transport flux between the western and eastern Himalayas
439 is primarily due to the influence of large-scale westerly that is weak over the eastern Himalayas

440 (Fig. 5). The transport across the western Himalayas is controlled by the large-scale westerly,
441 while local southerly dominates the transport across the eastern Himalayas and also influences
442 the transport across the central Himalayas (Fig. S4 in the supporting material). The stronger
443 diurnal variation of local southerly (towards the TP in the daytime to away from the TP in the
444 nighttime) than that of westerly near the surface (Fig. S4) leads to the large difference in diurnal
445 variation of transport between the western and eastern Himalayas. The strong transport is
446 primarily within the PBL during the daytime, and the deeper PBL during the daytime allows
447 BC over the source region mixed to higher altitude, which also leads to stronger import
448 transport during the day than the night. The relatively small difference in simulated PBL
449 heights and structure between the two experiments can be due to their different surface heating
450 resulted from different topography complexity (e.g., Wagner et al., 2014).

451 The difference between the simulations with two different topography is evident. The
452 mountain ridges are much higher and valleys are much deeper with the complex topography
453 than with the smooth topography. The simulation with smooth topography produces
454 overwhelming crossing-Himalayas transport towards the TP within the PBL, in particular
455 during the daytime. Although, in the simulation with complex topography, the mountain ridges
456 resolved weaken the crossing-Himalayas transport compared to the simulation with smooth
457 topography, the overall positive values near the surface indicate that the transport can overcome
458 most mountain ridges along the Himalayas. The transport fluxes near the surface from the
459 simulation with complex topography become close-to-zero only at a few mountain ridges that
460 are 6.5 km or higher. To better demonstrate the transport pathway across mountain ridges, one
461 cross-section across the mountain ridge as shown as one black solid line in Fig. 3 is taken as
462 one example. Figure 10 shows the latitude-height cross section of BC mass concentration and
463 transport flux across one mountain ridge from the simulations with complex and smooth
464 topography at local time (LT) 03:00 and 15:00 averaged for April 1-20, 2016. Near the southern
465 part of mountain, the elevated concentration of BC mass accumulates and can mix up reaching
466 as high as 5 km with the much stronger transport during the daytime. It is obvious that the
467 mountain ridge in the simulation with smooth topography is quite low. With the high mountain
468 ridge resolved by the complex topography, the simulated BC transport flux can still cross the
469 mountain. Analysis of transport flux across a few more mountain ridges indicates similar
470 results (not shown). The results above indicate that the transport of pollutants can cross a
471 majority of mountain ridges of Himalayas, which is consistent with the observation-based
472 estimate by Gong et al. (2019) that also found pollutants could overcome the blocking effect
473 of mountain ridges of Himalayas as a transport pathway. On the other hand, the resolved deeper

474 valleys in the simulation with complex topography enhance the transport flux compared to the
 475 one with the smooth topography. Similarly, Figure 11 shows one example of latitude-height
 476 cross section of BC mass concentration and transport flux across one valley from the
 477 simulations with complex and smooth topography at local time (LT) 03:00 and 15:00 averaged
 478 for April 1-20, 2016. The transport is much stronger and deeper along the valley from the
 479 simulation with complex topography than the one with smooth topography. Again, analysis of
 480 transport flux across a few more valleys does not show different results (not shown).

481 In order to further demonstrate the overall inflow flux across the Himalayas, the vertically
 482 integrated BC mass flux along the longitudinal cross section (as shown in Fig. 9) from the
 483 simulations with different topography is shown in Figure 12. The terrain heights from the two
 484 simulations along the cross section are also shown as black lines. The total mass flux is
 485 calculated by integrating the right-hand term of equation (1) as following:

$$486 \quad \text{ITF} = \int_{z=z_{sfc}}^{z=z_{top}} \delta z * C * (u * \sin \alpha + v * \sin \beta) \quad (2)$$

487 Where δz is the thickness of each vertical model level. Similarly, positive values represent
 488 the transport towards the TP, while negative values represent the transport away from the TP.
 489 More evidently, the positive BC inflows towards the TP occur not only through the valleys but
 490 also across the mountain ridges with both topography. The negative values only exist to the
 491 east of 88°E. With complex topography, higher mountain ridges can reduce the transport flux
 492 to some extent compared to the smooth topography. The complex topography results in
 493 significantly larger BC inflow towards the TP compared to the smooth topography, particularly
 494 corresponding to the deep valleys, such as the Karnali River Valley around 82°E and the Kali
 495 Gandaki Valley around 84°E.

496 One reason for the enhanced transport across the Himalayas with the complex topography
 497 is the resolved deeper valleys that lead to the increased valley wind. The wind across some
 498 valleys can be significantly larger with the complex topography than the smooth one (Fig. S4).
 499 The enhanced valley wind across the Himalayas has also been found by previous studies with
 500 observations and numerical simulations (e.g., Egger et al., 2000; Zängl et al., 2001; Carrera et
 501 al., 2009; Karki et al., 2017; Lin et al., 2018). However, it is noteworthy that previous studies
 502 have found that the orographic drag (including gravity wave drag and turbulence orographic
 503 form drag) over the region with complex topography, such as the Himalayas and other
 504 mountainous areas, would weaken the overall near-surface wind speed (e.g., Beljaars et al.,
 505 2004; Horvath et al., 2012; Jiménez and Dudhia, 2012; Zhou et al., 2017, 2018; Lin et al., 2018;
 506 Wang et al, 2020). Therefore, the near-surface wind speed is also examined. The complex

507 topography does lead to the overall reduction of near-surface wind speed over the Himalayas
508 area (Fig. S5 in the supporting material), which is consistent with previous studies. However,
509 it is interesting to note that the near-surface southerly wind during the daytime of the simulation
510 period is overall increased over the Himalayas area with the complex topography (Fig. 13),
511 which indicates that the transport towards the TP is strengthened with the complex topography
512 in the daytime, particularly over the central and eastern Himalayas where the BC mass loading
513 is higher (Fig. 5). During the night, the meridional wind is dominated by northerly over the
514 Himalayas region in the simulation with the smooth topography. The complex topography
515 weakens the transport away from the TP or change the wind direction from northerly to
516 southerly over some areas of Himalayas. Both effects enhance the overall transport efficiency
517 across the Himalayas towards the TP. Therefore, although the complex topography weakens
518 the overall near-surface wind speed around the Himalayas, it induces more realistic small-scale
519 mountain-valley circulation that favors the BC transport across the Himalayas towards TP
520 during the study period. The wind in free troposphere (4 km above the ground and above) is
521 also examined, and the change due to the topography is relatively small (not shown). Another
522 effect of resolving valleys is that the volume of relatively-high-concentration BC could be
523 higher with deeper valleys (Fig. S6 in the support material), which can also result in stronger
524 transport towards the TP even if the wind condition is similar. For example, the altitude (above
525 the ground) below which the BC mass concentration is larger than $0.3/\mu\text{g m}^3$ is much higher
526 along the valleys with the complex topography than with the smooth topography (Fig. S7 in
527 the support material). The correlation coefficient between the difference of terrain heights of
528 valleys and of volumes of relatively-high-concentration BC can reach -0.76, indicating that the
529 lower the valleys are, the higher the volumes of BC mass can be transported across the
530 Himalayas. The combined influence of these factors results in significantly enhanced BC
531 transport towards the TP with the complex topography (Fig. 12), which can also be
532 demonstrated by the distributions of wind and BC mass concentration along the longitudinal
533 cross section (Fig. S8a, b in the support material).

534 The enhanced transport across the Himalayas turns out that the overall BC inflow with the
535 complex topography is much stronger than that with the smooth topography. Figure 14 shows
536 the accumulated integrated total transport flux of BC across the Himalayas estimated from the
537 simulations with complex and smooth topography for April 1-20, 2016. The accumulated
538 import flux of BC increases during the period in both experiments, and the difference between
539 the two experiments gradually increases with the time. At the end of period, the simulation

540 with complex topography estimates a total import flux of BC of $\sim 1.5 \times 10^4$ Ton that is $\sim 50\%$
541 higher than $\sim 1.0 \times 10^4$ Ton estimated based on the simulation with smooth topography. The
542 analysis of the transport fluxes at different altitudes indicates that the transport fluxes below 2
543 km (above the ground) dominate ($> 80\%$) the total flux. The sensitivity analysis by moving the
544 cross line (cross-section of the analysis in Fig. 9, 12, 14) towards or away from the TP within
545 a certain distance and re-calculating the flux indicates that the impacts of topography on the
546 simulated results do not change significantly.

547 All the analysis above focuses on investigating the BC transport flux across the Himalayas.
548 Although the inflow can reflect the impact of transport on the BC mass over the TP to some
549 extent, the change of BC mass concentration is eventually determined by the convergence of
550 transport. Therefore, the contribution of each model process (transport, dry-deposition,
551 emission, PBL mixing, and wet deposition) to the increase of BC column mass averaged over
552 the TP (with elevation > 4 km) during this episode is analyzed for both simulations following
553 the methodology introduced by Du et al. (2020). The results show that the two main processes
554 affecting the BC column mass over the TP during the period are transport and dry deposition.
555 The transport is the dominant process that increases the BC column mass over the TP, while
556 the dry deposition reduces it. The contribution of transport to the increase of BC column mass
557 over the TP during the episode from the simulation with complex topography is significantly
558 larger than that with the smooth topography, which is consistent with the results shown by
559 analyzing the transport flux across the Himalayas. Although the impacts of PBL mixing and
560 wet deposition on the BC column mass over the TP are also different between the simulations
561 with different topography, their impacts are much smaller than those of transport and dry
562 deposition during the study period.

563

564 **3.3 Radiative forcing of BC over the TP**

565 The BC transported over the TP could significantly influence the regional climate and
566 water resources over Asia through heating the atmosphere and accelerating the melting of snow
567 and glacier (e.g., Qian et al., 2011, 2015; Lau et al., 2017). Therefore, the impact of the complex
568 topography on estimating the BC radiative heating profile in the atmosphere and radiative
569 forcing in surface snow deserves investigation. Figure 15 shows the vertical profiles of BC
570 induced radiative heating rate in the atmosphere averaged over the TP (with elevation > 4 km)
571 within the inner domain shown in Fig.1 for April 1-20, 2016 from the simulations with complex
572 and smooth topography. Both simulations generate higher BC heating rate near the surface and

573 the rate gradually decreases with altitude, which is consistent with the vertical profiles of BC
574 mass concentration averaged over the TP (Fig. S9 in the supporting material). The BC heating
575 rate over the TP from the simulation with complex topography is ~ 0.17 K/day near the surface
576 and reduces to ~ 0.08 K/day at 8 km, which is $\sim 50\%$ and $\sim 30\%$, respectively, higher than that
577 from the simulation with smooth topography at the corresponding altitudes. The higher BC
578 heating rate over the TP estimated by the simulation with complex topography is consistent
579 with its higher BC column mass (Fig. 5) and concentration profile (Fig. S9).

580 The BC radiative forcing in surface snow is controlled by both the distributions of BC
581 mass concentration and snow coverage (e.g., Zhao et al., 2014). Figure 16 shows the spatial
582 distributions of snow water equivalent (SWE) averaged for April 1-20, 2016 from the
583 simulations with two topography. The difference between the two is also shown. It shows that
584 the simulation with complex topography generates more areas with higher SWE compared to
585 that with the smooth topography over the TP. Along the Himalayas, the simulated SWE is
586 higher over the mountain ridges with the complex topography, particularly for the East
587 Himalayas, while the smooth topography leads to broader snow coverage over the West
588 Himalayas. The difference in SWE between the two simulations is highly correlated with their
589 difference in precipitation (Fig. S10 in the supporting material). Along the Himalayas, the
590 simulated precipitation with the complex topography is larger than that with the smooth
591 topography at the mountain ridges and smaller at the valleys. Over the TP, the overall
592 precipitation is larger with the complex topography than that with the smooth topography (Fig.
593 S10). Previous studies have found that the topography could significantly affect the
594 precipitation over the Himalayas region (e.g., Bookhagen and Burbank, 2010; Wulf et al., 2016;
595 Cannon et al., 2017; Karki et al., 2017).

596 Figure 17 shows the spatial distributions of BC radiative forcing in the surface snow over
597 the TP averaged for April 1-20, 2016 from the simulations with two topography, and the
598 difference between the two is also shown. The BC radiative forcing in surface snow is largely
599 coincident with the spatial distributions of SWE as shown in Fig. 16, mainly due to the
600 heterogeneous distributions of snow cover over the TP. The BC radiative forcing in surface
601 snow over the TP from the simulation with complex topography reaches 5 W/m^2 where the
602 snow exists, larger than that with the smooth topography. Along the Himalayas, the simulation
603 with complex topography produces higher BC snow forcing over the mountain ridges,
604 particularly over the eastern Himalayas, while the one with the smooth topography simulates
605 higher BC snow forcing over most areas of western Himalayas due to its broader snow
606 coverage there. Overall, the complex topography leads to higher BC forcing in snow over the

607 TP and the eastern Himalayas and lower BC forcing in snow over the western Himalayas, and
608 therefore results in the different distribution of BC forcing in snow over the TP and Himalayas,
609 compared to that with the smooth topography.

610

611 **4. Summary**

612 In this study, the model experiments with different topography are conducted to illustrate
613 the impacts of complexity of topography of Himalayas on BC transport from South Asia to the
614 TP. The observed pollution episode at the QOMS station besides the Mt. Everest during the
615 pre-monsoon season is simulated. The observed surface BC concentration shows a peak of ~3
616 $\mu\text{g}/\text{m}^3$ much larger than the background value of $< 0.4 \mu\text{g}/\text{m}^3$ over the TP. The observed
617 temporal variation of surface BC concentrations correlates highly with that of biomass burning
618 emissions near the southern Himalayas, indicating the significant impacts of biomass burning
619 on the pollutants over the TP. The simulations can reproduce the episode in time and magnitude,
620 and are used to investigate the BC transport mechanisms and the impacts of topography.

621 The high BC mass loading during the simulation period accumulates near the southern
622 Himalayas driven by the large-scale westerly and small-scale southerly circulations, which is
623 also observed by satellites. The modeling results demonstrate that the circulations favor the
624 accumulation of pollutants near the Himalayas, particularly the central and eastern parts, and
625 can carry the pollutants to the TP during the study period, which is consistent with previous
626 modeling studies (e.g., Kopacz et al., 2011). It is noteworthy that the BC accumulated near the
627 southern Himalayas can be transported across the Himalayas overcoming a majority of
628 mountain ridges, which is consistent with the observation-based estimate by Gong et al. (2019)
629 that also found pollutants could overcome the blocking effect of the mountain ridges of
630 Himalayas. However, the transport through the valleys is found much stronger and more
631 efficient than across the mountain ridges and the enhancement effect cannot be ignored. The
632 complex topography results in 50% higher overall transport flux across the Himalayas during
633 the simulation period than that with the smooth topography, primarily due to the strengthened
634 efficiency of near-surface meridional transport towards the TP, enhanced wind speed at some
635 valleys, and deeper valley channels associated with larger BC mass volume that can be
636 transported into the TP, although the overall wind speed is weakened due to the orographic
637 drags with the complex topography. This turns out that the simulation with complex
638 topography produces 30-50% higher BC radiative heating rate in the atmosphere up to 10 km
639 averaged over the TP than does the simulation with smooth topography.

640 For the BC radiative forcing in surface snow, the simulation with complex topography
641 produces stronger forcing over the TP than that with the smooth one. The complex topography
642 makes the distribution of BC forcing in surface snow quite different from the simulation with
643 smooth topography, partly due to its different distribution of surface snow. The simulated BC
644 radiative forcing in snow is distributed more heterogeneously than those in previous studies
645 using global models at relatively coarse resolutions (e.g., Qian et al., 2011). He et al. (2014)
646 used a global chemical transport model to simulate the BC forcing in snow at the horizontal
647 resolution of $\sim 0.2^\circ$ and obtained the similar distribution as the simulation with smooth
648 topography in this study with the high values over the western Himalayas. However, their
649 simulated values near the Himalayas are higher than the simulated results of this study, which
650 may be due to their estimation are averaged for November-April.

651 This study highlights the importance of resolving complex topography of the Himalayas
652 in modeling the aerosol transport across the Himalayas and radiative impact over the TP.
653 Although this study focuses on the impacts of topography on the simulated results, the
654 additional analysis (Fig. S11-13 in the supporting material) of the outer domain simulation at
655 20-km resolution and the inner domain simulation at 4 km with different topography indicates
656 that the resolution-dependent difference between 20 km and 4 km is largely contributed by
657 their different representations of topography over the Himalayas region, consistent with
658 previous studies (e.g., Karki et al., 2017; Lin et al., 2018). Therefore, the relatively smooth
659 topography used by climate models at coarser horizontal resolutions than 20 km may result in
660 the underestimation of aerosol transport from South Asia to the TP during the pre-monsoon
661 season and lead to the biases in distributions of aerosol radiative forcing in the atmosphere and
662 surface snow over the TP.

663

664 **5. Discussion**

665 Previous studies also found the induced change of circulation and transport due to the
666 complex topography at convection-permitting scales with the focus on the meteorological
667 fields over the Himalayas and TP regions (e.g., Karki et al., 2017; Zhou et al., 2017, 2018; Lin
668 et al., 2018; Wang et al., 2020). Most of them either conducted the sub-10 km simulations
669 covering a relatively smaller region (e.g., 101×96 grids at 5 km in Karki et al., 2017; 181×121
670 grids at 2 km in Lin et al., 2018; $\sim 330 \times 230$ grids at 3 km in Wang et al., 2020) compared to
671 this study (400×300 grids at 4 km) or conducted the simulations covering the entire Himalayas
672 but at the resolutions above 10 km and with the sub-grid orographic drag parameterization to

673 consider the impact of complex topography. Although some of previous studies also showed
674 that the resolved complex topography yielded more realistic small-scale mountain-valley
675 circulations and enhanced valley winds over the Himalayas region compared to the smoother
676 topography, the overall moisture transport across the Himalayas towards the TP was weaker
677 with the complex topography due to the orographic drags.

678 The difference between previous studies and this study can be due to several factors. First,
679 previous studies focused on moisture instead of air pollutants. The spatial (horizontal and
680 vertical) distributions between air pollutants and moisture are different and may contribute to
681 the different impacts of topography on the overall transport flux across the Himalayas.
682 However, the analysis of the moisture from the simulations in this study shows the increase of
683 moisture transport (not shown) and hence the increase of precipitation over the TP with the
684 complex topography (Fig. S10). Second, most of previous studies focused on monsoon season
685 instead of pre-monsoon season. Therefore, the meteorological simulations for monsoon season
686 (June-July-August) at different resolutions are also conducted in this study. The results show
687 that the moisture transport and precipitation are reduced at the higher resolution with complex
688 topography and the meridional wind is overall weakened particularly over the central and
689 eastern Himalayas and TP (not shown), which is consistent with previous studies. This may
690 indicate that the different large-scale circulations between the two seasons (much stronger
691 southerly during the monsoon season) may also lead to different impacts of complex
692 topography on meridional winds and hence cross-Himalayas transport.

693 Since this study only demonstrates the potential impacts for a relatively short period, a
694 longer-term study should be conducted to examine the impacts of topography on aerosol
695 climatic effect over the TP in both pre-monsoon and monsoon seasons. In addition, the active
696 convection during the monsoon season may also play an important role on pollutant transport
697 across the Himalayas, which deserves further investigation. Furthermore, aerosol impact on
698 cloud and precipitation, particularly during the monsoon season, and thus on the latent heat in
699 the atmosphere and the associated responses may also depend on the complex topography.
700 Previous studies based on observations found that the rain frequency and intensity reached the
701 highest and the cloud thickness reached the deepest at the foothill of Himalayas and decreased
702 as the elevation increased up to the TP (e.g., Chen et al., 2017; Fu et al., 2018; Zhang et al.,
703 2018), which was explained by Fu et al. (2018) due to the blocking of the air flow by the steep
704 slope of southern Himalayas. However, the large amount of transported aerosol along the slope
705 from the foothill up to the TP may also play a role. These potential impacts of aerosols on

706 regional hydro-climate around the TP and over Asia using high-resolution model that can
707 resolve the complex topography of Himalayas and TP deserve further investigation.

708

709 **Data availability**

710 The released version of WRF-Chem can be downloaded from
711 http://www2.mmm.ucar.edu/wrf/users/download/get_source.html. The updated USTC
712 version of WRF-Chem can be downloaded from <http://aemol.ustc.edu.cn/product/list/> or
713 contact chunzhao@ustc.edu.cn. Also, the code modifications will be incorporated the release
714 version of WRF-Chem in future.

715

716 **Author contributions**

717 Meixin Zhang and Chun Zhao designed the experiments, conducted and analyzed the
718 simulations. All authors contributed to the discussion and final version of the paper.

719

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728

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1203 **Table 1.** Summary of model configurations.

Description	Selection	References
Horizontal grid spacing	20 km (D1), 4 km (D2)	
Grid dimensions	250×350, 300×400	
Topography	30 arcsec (USGS)	
Vertical layers	54 (roughly 17 layers below 2 km)	
Model top pressure	50 hPa	
Nesting approach	One-way	
Aerosol scheme	MOSAIC 8 bin	Zaveri et al., 2008
Gas-phase chemistry	CBM-Z	Zaveri and Peters, 1999
Long wave Radiation	RRTMG	Iacono et al., 2000; Zhao et al., 2011, 2013a
Short-wave Radiation	RRTMG	
Cloud Microphysics	Morrison 2-moment	Morrison et al., 2009
Cumulus Cloud	Kain-Fritsch	Kain, 2004
Planetary boundary layer	MYNN level 2.5	Nakanishi and Niino, 2006
Land surface	CLM	Oleson et al., 2010
Meteorological Forcing	ERA-Interim, 0.5°×0.66°, 6 hourly	

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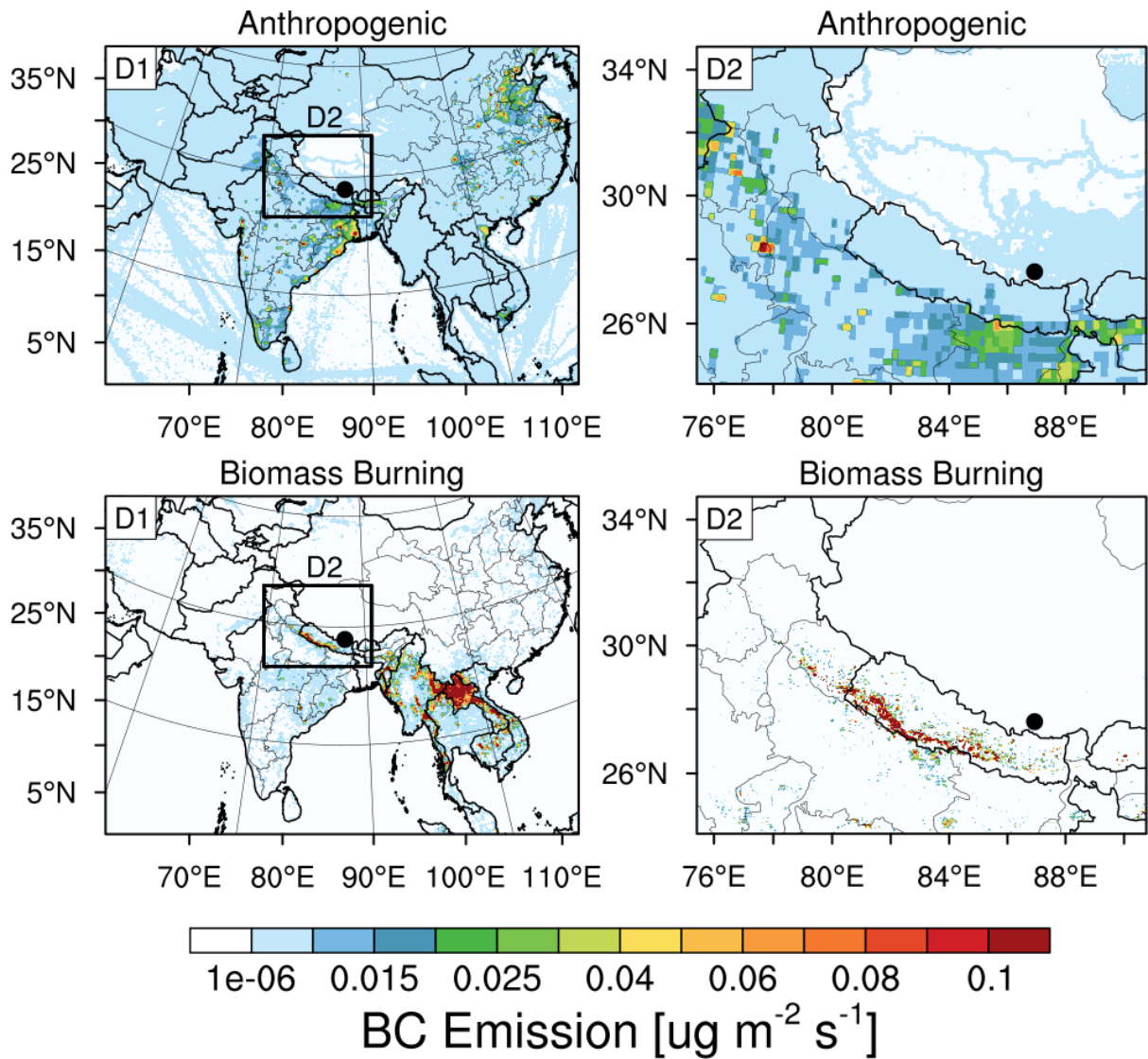
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Figure 1. Anthropogenic and fire emissions over the entire simulated regions of 20-km and 4-km resolutions, the black dot represents the Qomolangma Station (QOMS, 86.95°E, 28.36°N).

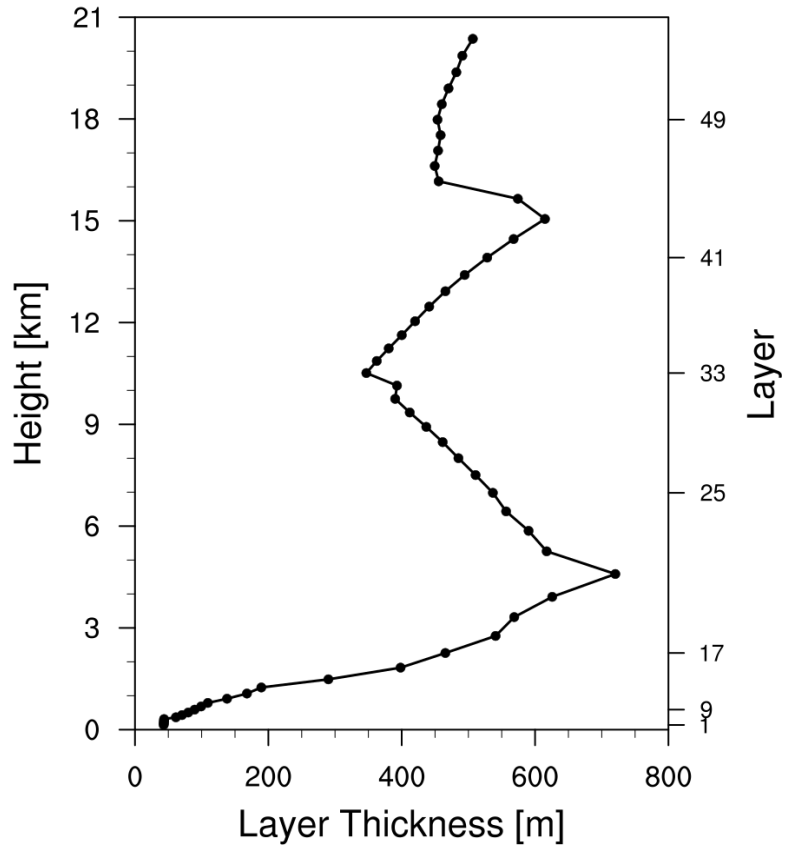
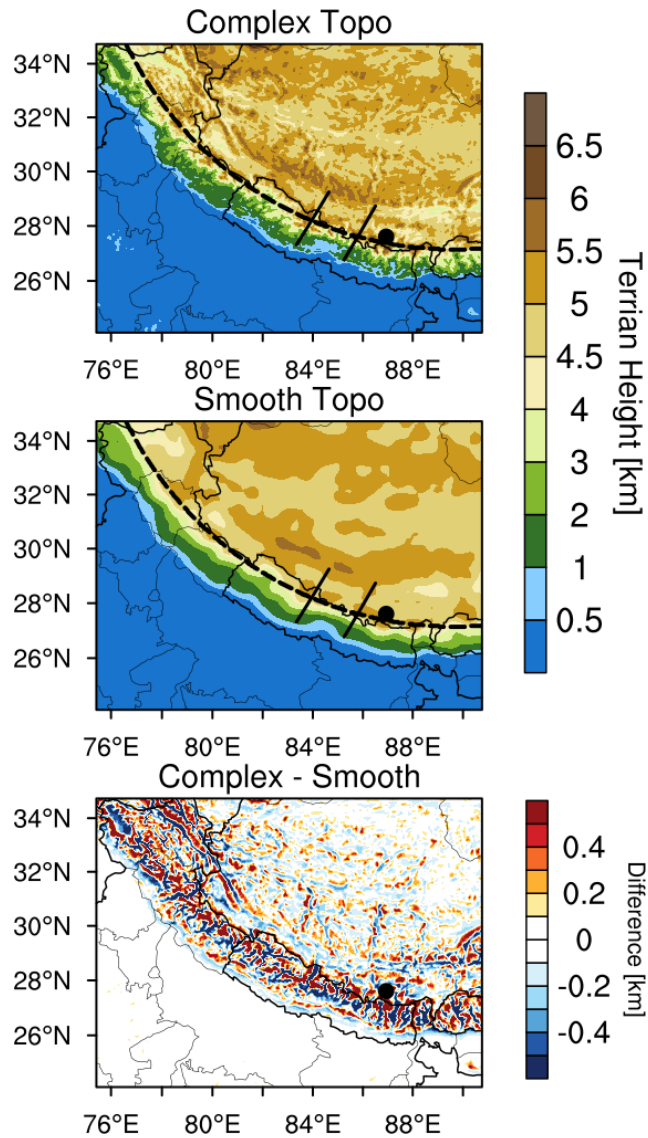


Figure 2. The thickness of each vertical layer in the simulations (54 layers in total).

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Figure 3. Spatial distributions of terrain height from the dataset at 4-km resolution (Complex Topo) and bilingually interpolated from the 20-km resolution dataset (Smooth Topo). The one dash line and two solid lines represent the cross sections for analysis in the following.

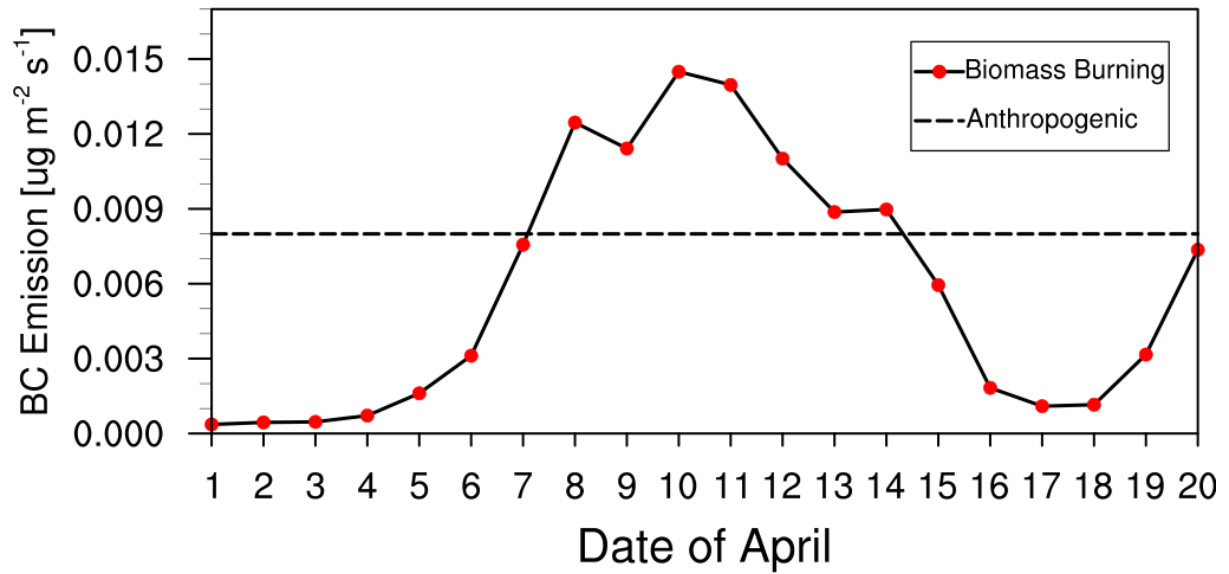
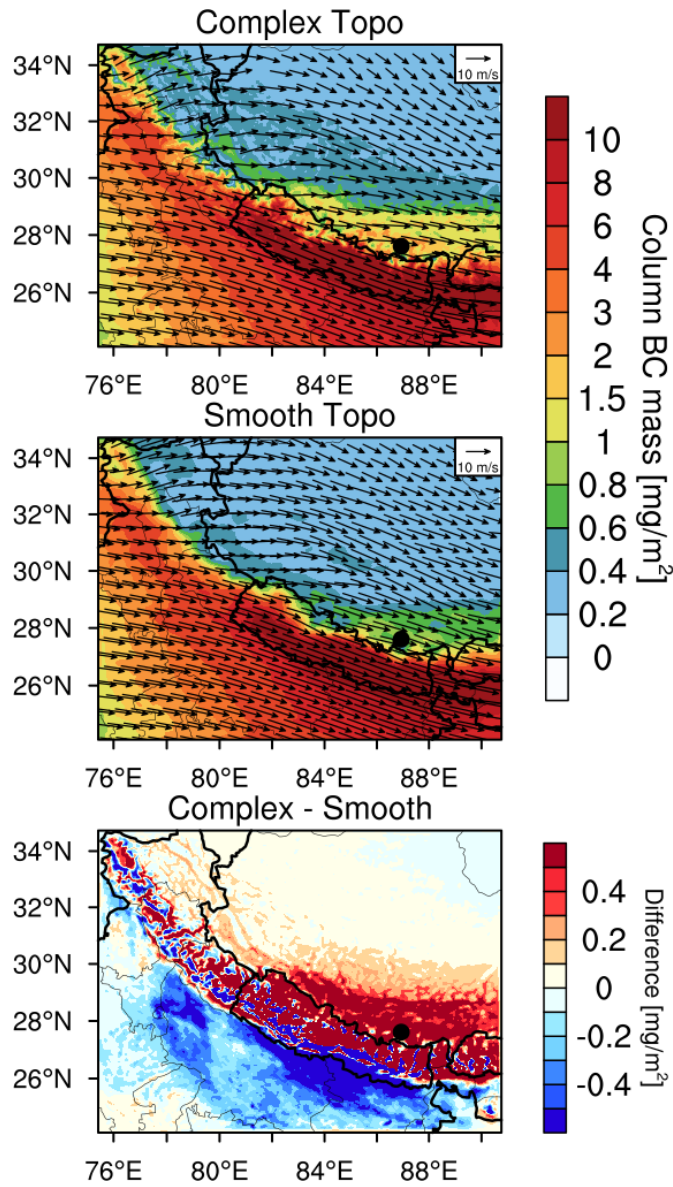


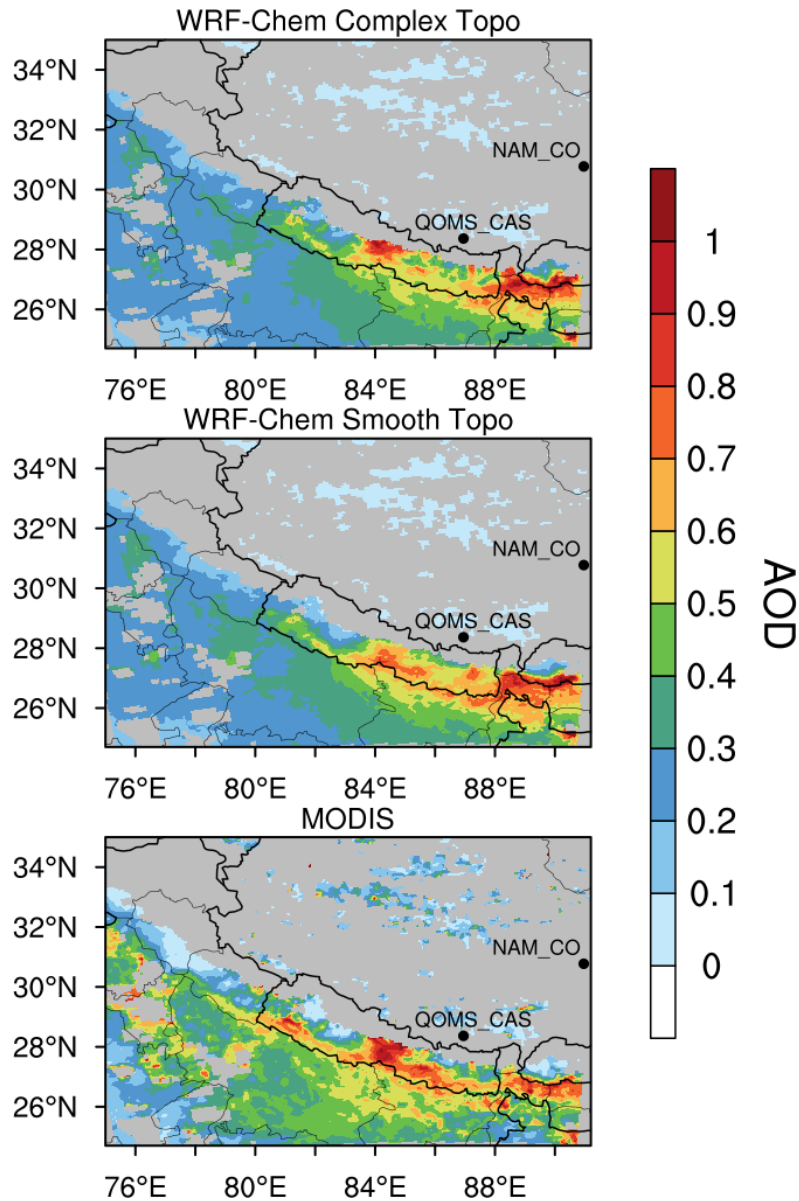
Figure 4. Time series of area-averaged daily fire emissions between 26°N and 29°N over the simulation domain at 4-km resolution (The dash line in the figure represents the anthropogenic emissions).

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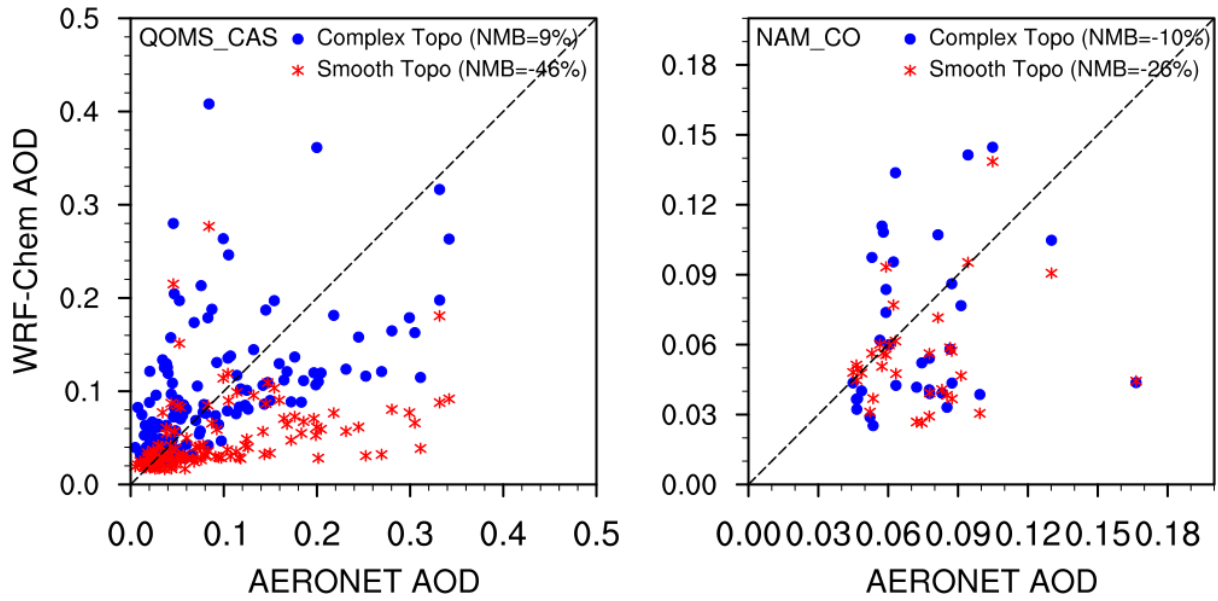
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Figure 5. Spatial distributions of column integrated BC mass and the horizontal wind field at 500 hPa from the simulations with complex and smooth topography (Complex Topo and Smooth Topo) averaged for April 1-20, 2016. The difference between the two is also shown.



1349 **Figure 6.** Spatial distributions of AOD from the MODIS retrievals and the simulations with
 1350 complex and smooth topography averaged for April 1-20, 2016. The two black dots represent
 1351 the two AERONET sites over the TP (QOMS_CAS, 86.95°E, 28.36°N; NAM_CO, 90.96°E,
 1352 30.77°N).
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 1369 **Figure 7.** Hourly AOD from the measurements of AERONET and simulations by WRF-Chem
 1370 at the two sites over the TP (QOMS_CAS, 86.95°E, 28.36°N; NAM_CO, 90.96°E, 30.77°N)
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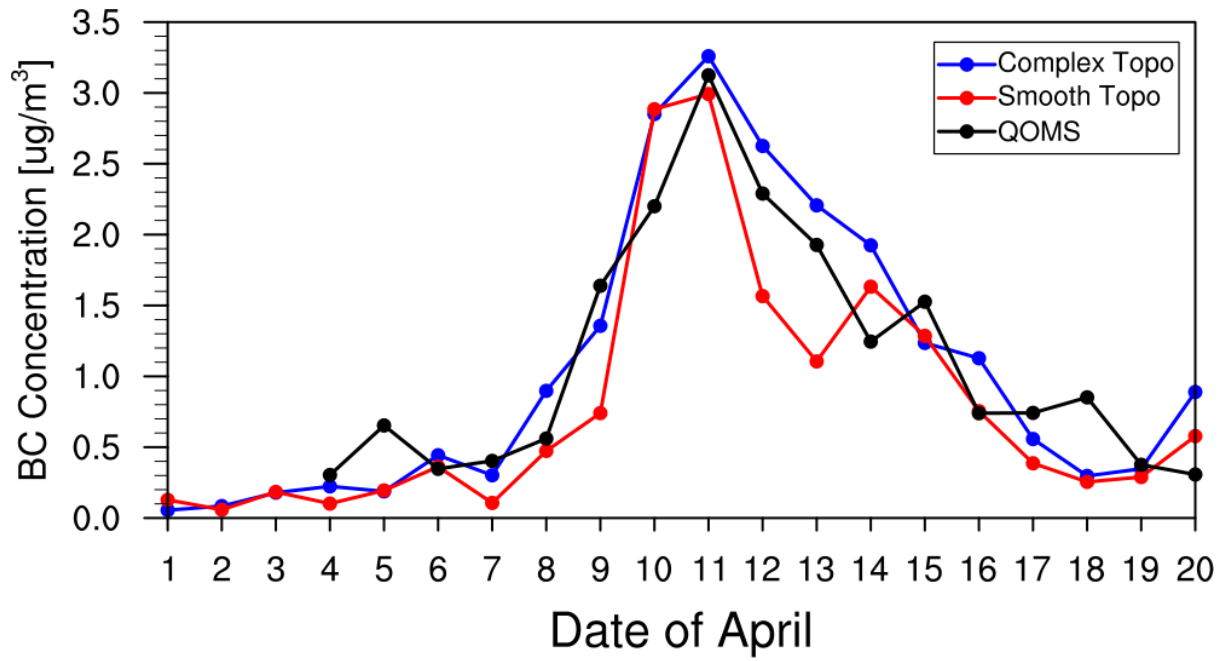
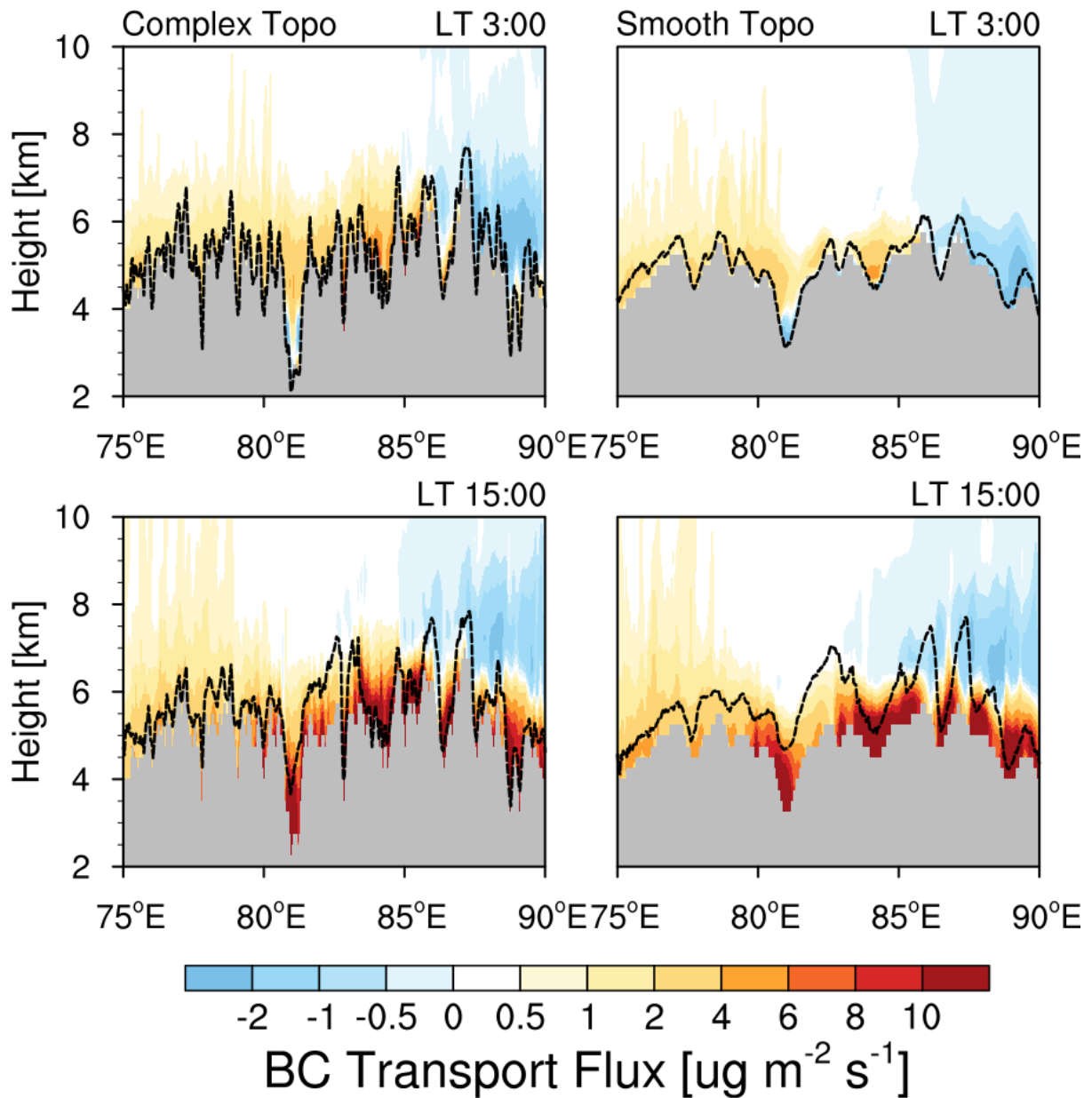


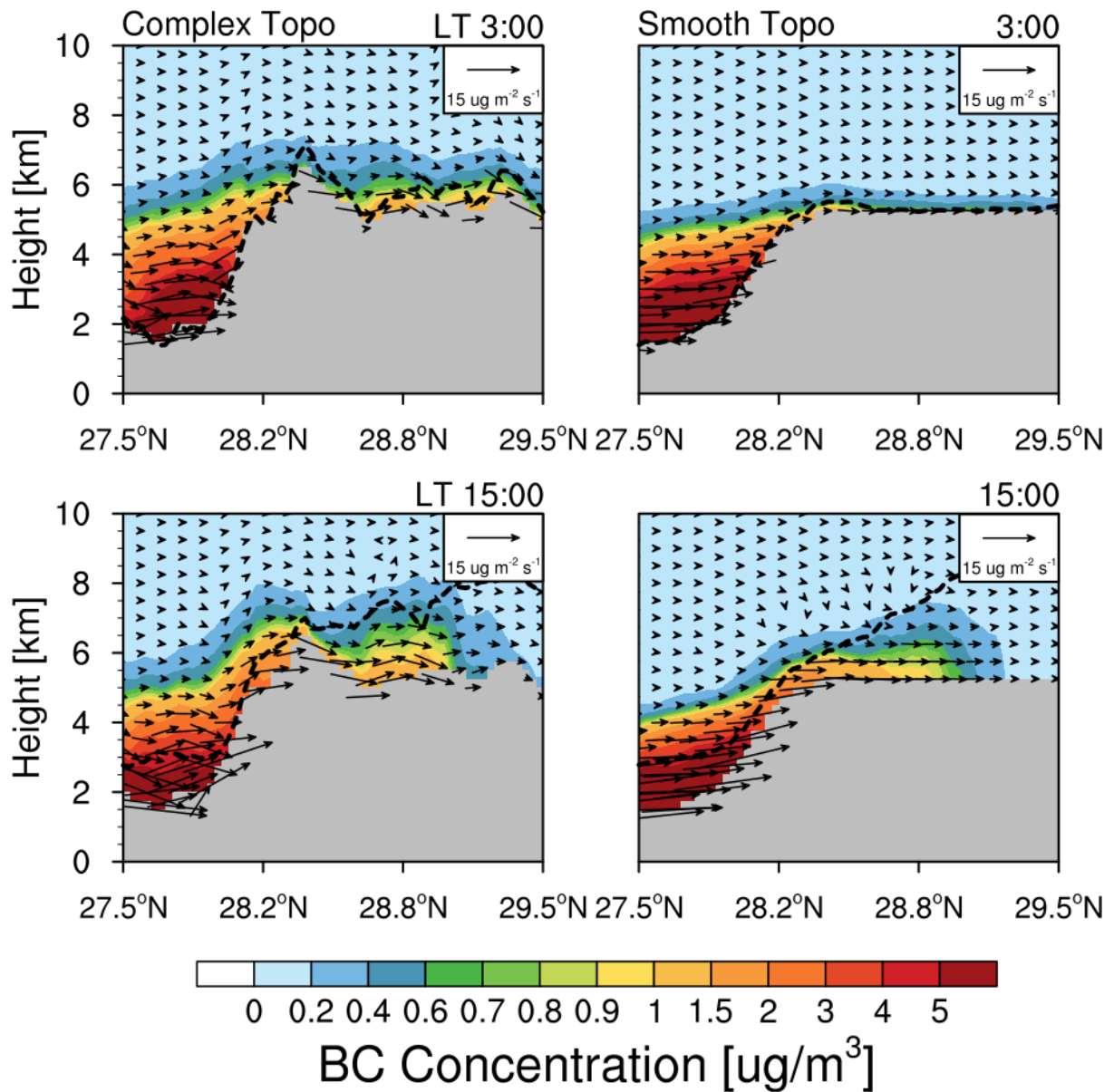
Figure 8. The simulated (colored) and observed (black) temporal variability of surface BC mass concentration at the measurement station during April 1-20 in 2016.

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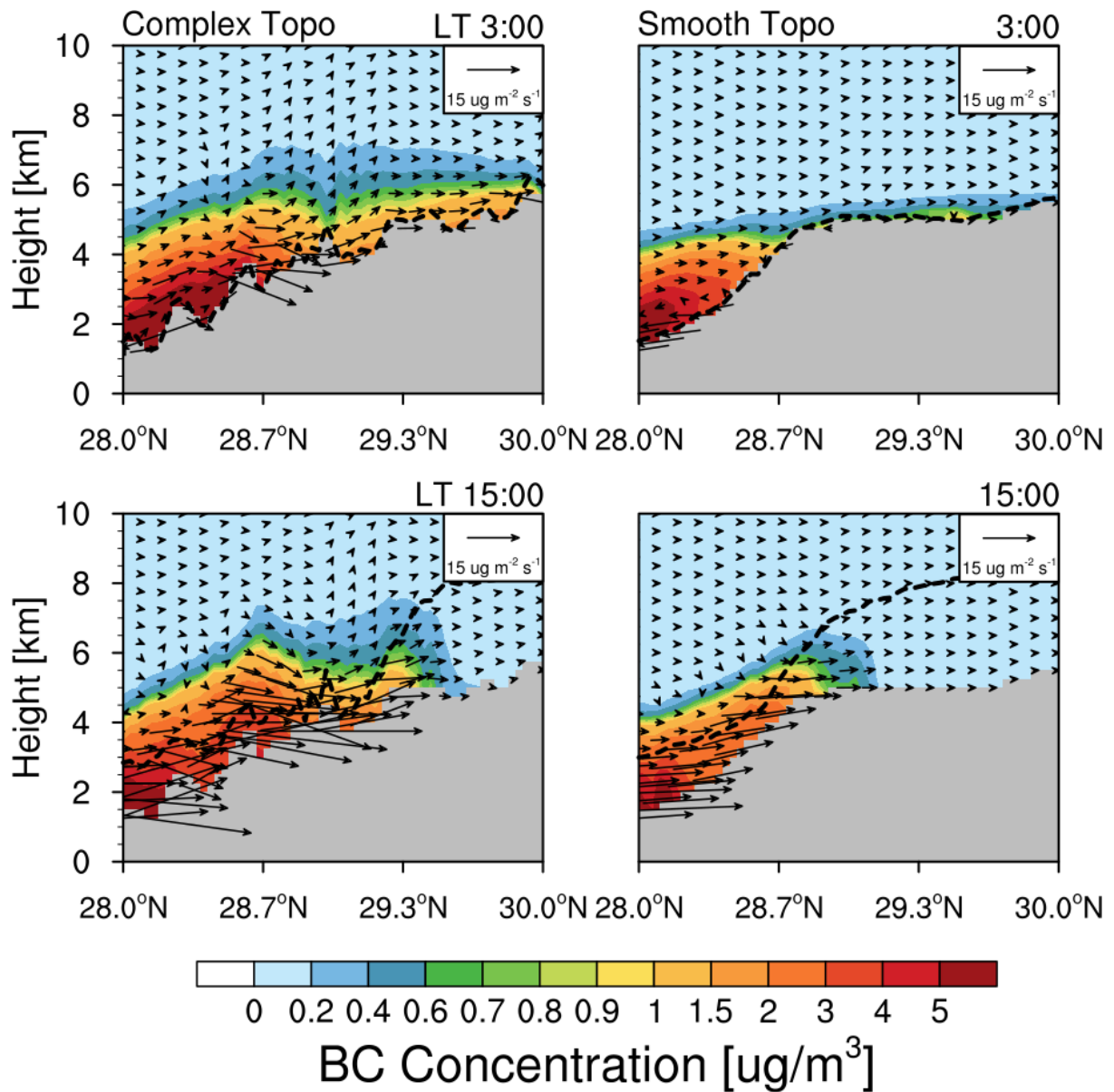
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Figure 9. Longitude-height cross section of BC transport flux along the cross line (shown as the black dash line in Fig. 3) from the simulations with complex and smooth topography at local time (LT) 03:00 and 15:00 averaged for April 1-20. The PBL height along the cross section is shown here as the black dash line.



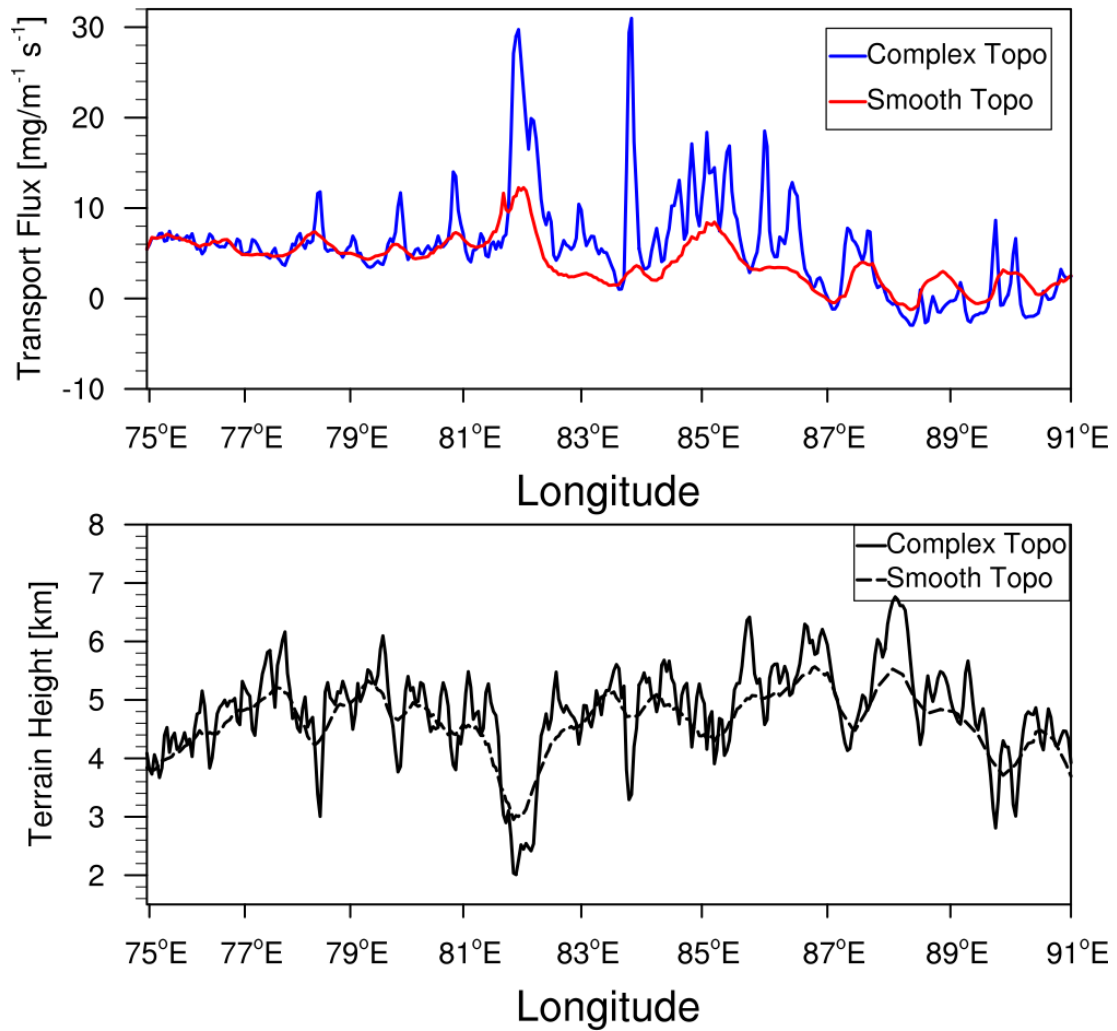
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Figure 10. Latitude-height cross section of BC flux (vector) across the mountain (shown as the East black solid line in Fig.3) from the simulations with complex and smooth topography at local time (LT) 03:00 and 15:00 averaged for April 1-20, 2016. Contour represents the BC concentration.



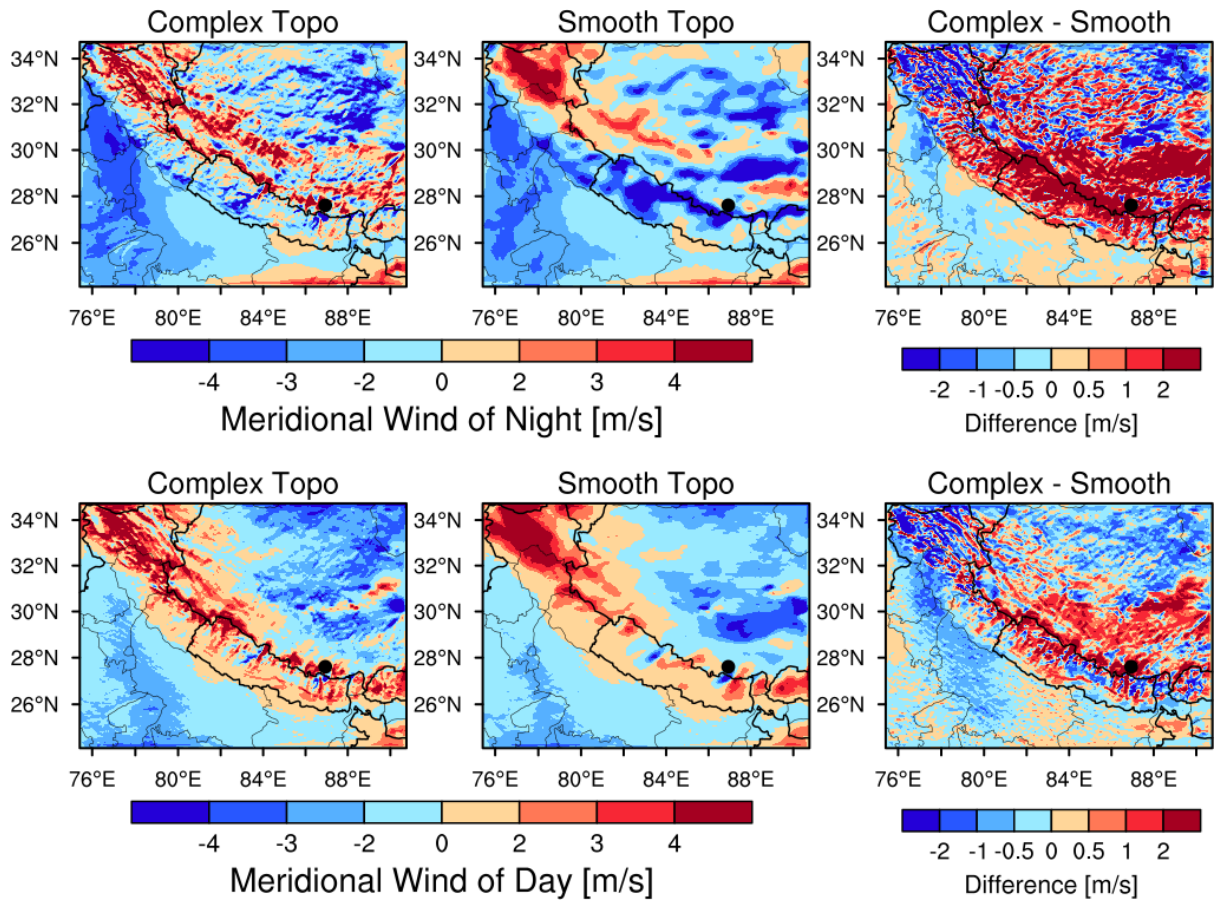
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Figure 11. Latitude-height cross section of BC flux (vector) along the valley (shown as the West black solid line in Fig. 3) from the simulations with complex and smooth topography at local time (LT) 03:00 and 15:00 averaged for April 1-20, 2016. Contour represents the BC concentration.



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 1486 **Figure 12.** Longitudinal distribution of integrated BC mass flux along the cross section in Fig.
 1487 3 from the simulations with complex and smooth topography. The black lines represent the
 1488 terrain heights with different topography.

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 1507 **Figure 13.** Spatial distributions of meridional wind speed averaged within 500 m above the
 1508 ground for day and night during April 1-20, 2016 from the simulations with complex and
 1509 smooth topography. The difference between the two is also shown. Nighttime is defined as
 1510 local time 21:00-6:00, and daytime is defined as 9:00-18:00. Positive value denotes southerly,
 1511 and negative value denotes northerly. The results averaged within 2 km above the ground are
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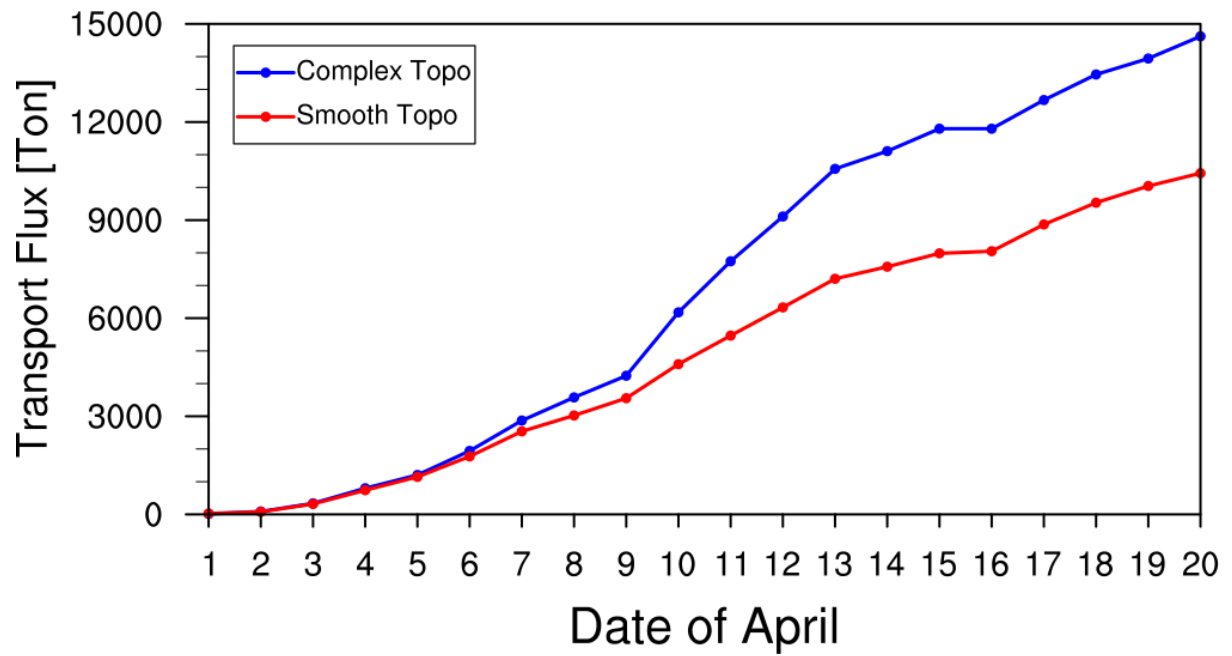


Figure 14. Accumulated integrated total transport flux of BC across the Himalayas estimated from the simulations with complex and smooth topography during April 1-20, 2016.

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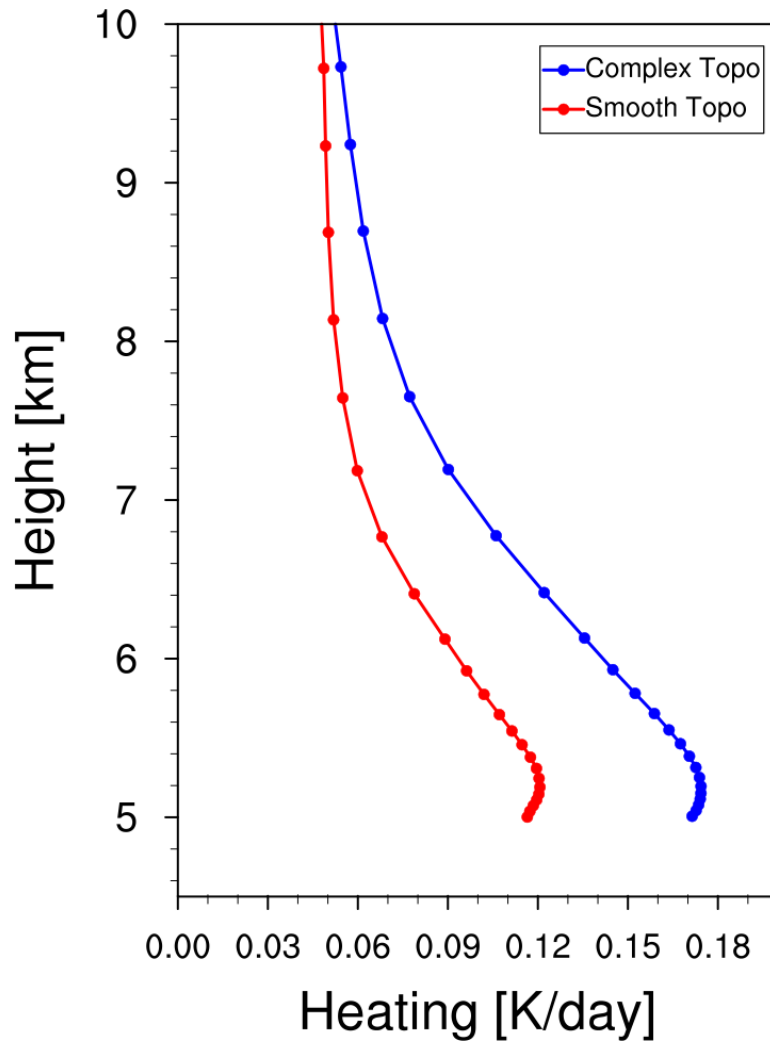
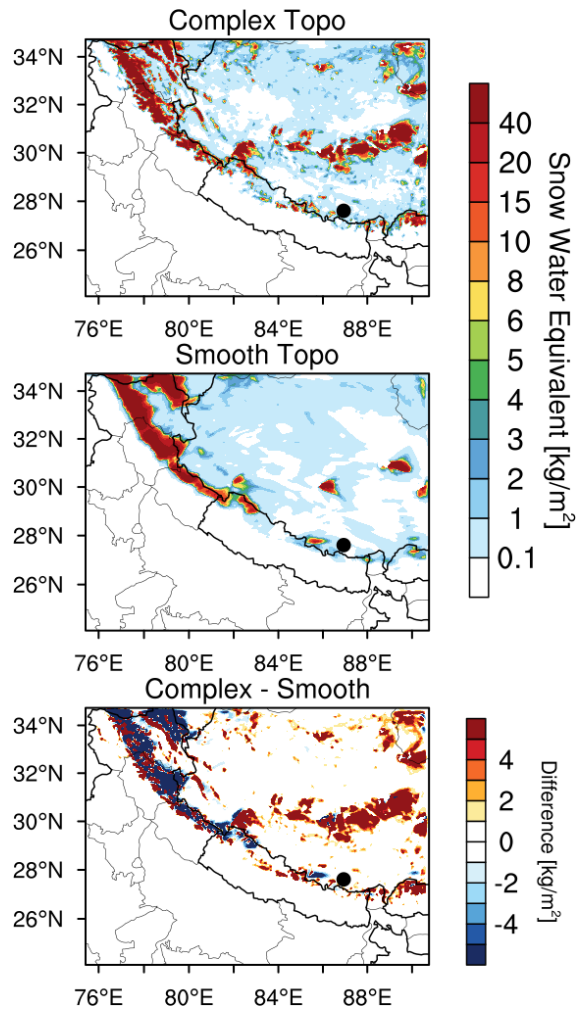


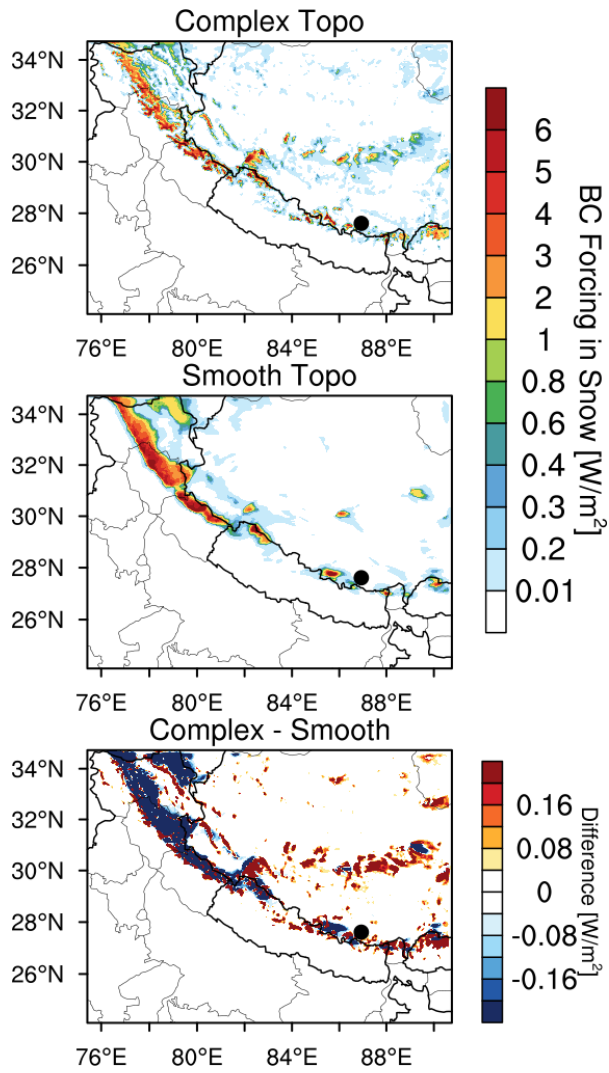
Figure 15. Vertical profiles of BC induced radiative heating rate in the atmosphere averaged over the TP (with elevation > 4 km) from the simulations with complex and smooth topography during April 1-20, 2016.

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Figure 16. Spatial distributions of snow water equivalent averaged for April 1-20, 2016 from the simulations with complex and smooth topography. The difference between the two is also shown.



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 1614 **Figure 17.** Spatial distributions of BC radiative forcing in the surface snow averaged for April
 1615 1-20, 2016 from the simulations with complex and smooth topography. The difference between
 1616 the two is also shown.

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