

## Editor's Comments:

- *Though the referee viewed the difference between the real topography and the 4 km-resolution case as a minor issue, I am not fully convinced because of the steep terrain condition. It has been suggested that really high resolution in the vertical and in the horizontal are both needed to accurately represent the complex topography (Seaman et al. 2009; Saide et al. 2011). Could you further justify if the 4-km resolution can represent the real transport in comparison to even higher resolution simulations (e.g., 2 km).*

### Reference:

*Seaman, N., Gaudet, B., Zielonka, J., Stauffer, D., 2009. Sensitivity of Vertical Structure in the Stable Boundary Layer to Variations of the WRF Model's MELLORYAMADA-JANJIC Turbulence Scheme. 9th WRF Users' Workshop, Boulder, 23e27 June, pp. 7*

*Saide et al. (2011) Forecasting urban PM10 and PM2.5 pollution episodes in very stable nocturnal conditions and complex terrain using WRFeChem CO tracer model, doi:10.1016/j.atmosenv.2011.02.001*

Thanks for this comment. It is a very important point. It is for sure that 4-km resolution still cannot fully resolve the complex topography of Himalayas. Previous studies have found that ~4 km and ~1 km simulations can produce generally consistent features over the Himalayas, but the simulation at ~1 km with better representation of topography can produce a little better meteorological fields compared to the observations (e.g., Karki et al., 2017). We also conducted the sensitivity experiment at 1.5 km resolution and found the difference between 1.5 km resolution and 4 km resolution is relatively smaller. However, it should be noted that the 1.5 km resolution simulation is only conducted over a much smaller region for a shorter period due to the computational cost. All the previous studies with the simulations at the resolutions higher than 4 km (~1 km or ~2 km) around the study region can only conduct the simulations covering a small part of the Himalayas. We select 4 km instead of 1.5 km resolutions to conduct the final experiments and analysis due to the balance of the reasonable results and computational cost. Please note, this study conducted the simulations with full chemistry at 4 km resolution covering the entire Himalayas (first time as far as we are aware) that is much more computationally expensive than the meteorology-only simulation with WRF. In fact, it may need even sub-1 km resolution to fully resolve the complex topography of Himalayas. However, at this moment, it is not computationally affordable to conduct the simulation at such high resolution covering the entire Himalayas with full chemistry for even a month simulation.

Therefore, the purpose of this study is to examine the potential impact of complex topography on pollutant transport and hence the aerosol forcing over the TP instead of producing a so-called “realistic” simulation (in fact, none of modeling studies can produce a “realistic” simulation without any assumption and simplification). We selected the 4-km

resolution as it is also considered as the convection-permitting scale that can be used for future studies to investigate the impact of aerosols on regional climate of TP and is also proved to better resolve convection system and its interaction with aerosols without convective parameterization. This study can be considered as the one-step forward investigation in this field, because most of previous studies about modeling aerosol climatic impacts over the TP applied the horizontal resolutions of tens of kilometers. In future, if computational resource allows, higher resolution than 4-km is definitely needed in a region with such complex topography.

Now we discuss the limit of 4-km resolution for the complex topography of Himalayas in the revised manuscript as “**In addition, although the topography at 4-km resolution resolves much better topography of Himalayas than that at 20-km resolution, it still cannot fully resolve the complexity of topography of Himalayas. The higher resolution (e.g., 1 km or sub-1 km) may be needed.** Previous studies have found that the simulations at the resolutions between 1 km and 4 km can produce generally consistent features, but the simulation at 1 km with better representation of topography can produce a little better meteorological field compared to the observations (e.g., Karki et al., 2017). One sensitivity experiment at 1.5-km resolution is also conducted in this study and found the difference between the simulations at 1.5-km and 4-km resolutions is relatively small. However, it should be noted that the simulation at 1.5-km resolution is only conducted covering a much smaller region for a shorter period due to the computational cost. The experiment at 4-km instead of 1.5-km resolution is conducted finally for the study region and period due to the balance of resolving the complex topography to some extent and affordable computational cost.”

## Anonymous Referee #1

We thank the reviewer again for keeping helping to improve the quality of manuscript. The comments suggest that there are still some unclear discussion in the manuscript. We feel sorry not to explain our methodology more clearly. We further clarify them in this revision. For Methodology, we add more explanation to justify its validity and include the discussion about wet deposition. For results to conclusion, we revise part of conclusion to include more discussion about the comparison with previous studies and add more convincing results. Please see our detailed response below.

### *Specific comments:*

- **1, METHODOLOGY:**  
*i) The authors replaced the 20-km simulation by a 4-km simulation but with ‘smooth’ topography to be compared with. As I argued in the last round of review, the such topography (identically over 5 by 5 grid cells) cannot represent the smooth one of 20-km resolution. Taking the slope between neighboring grid cells as example, the values will be 0, 0, 0, 0,  $dz/4$ , which definitely defer from those of 20-km resolution ( $dz/20$ ). Thus, we cannot say that the such topography is smoothed, but stepped. In fact, it is rather unrealistic. The authors did not provide any reasonable explanation but argued that some other studies applied such a method. Following others but without a respect to the truth cannot be acceptable in the science. Moreover, it is not appropriate that the 4-km topography refers to as ‘original’ topography. But this is a minor issue.*

To explain the smoothing effect, let's follow the example provided by the reviewer to figure out what we miss and what we get in below. To simplify the example of a mountain case as reviewer suggested in one dimension, if there are 15 grid cells at 4 km resolution that represent 3 grid cells at 20 km resolution, their terrain heights are (0, 0, 0, 0, 0), (0, 0, 1 km, 0, 0), (0, 0, 0, 0, 0) at 4 km and 0, 0.2 km, 0 at 20 km. In this case, the slopes between neighboring grid cells will be (0, 0, 0, 0), (0, 0, 1/4, 1/4, 0, 0), (0, 0, 0, 0) (14 slopes within 15 grids) at 4 km and 0.2/20 and 0.2/20 at 20 km. After applying the terrain heights at 20-km resolution for the 4-km resolution simulation, the terrain heights of these 15 grid cells will be (0, 0, 0, 0, 0), (0.2 km, 0.2 km, 0.2 km, 0.2 km, 0.2 km), (0, 0, 0, 0, 0). The slopes will become (0, 0, 0, 0), (0.2/4, 0, 0, 0, 0.2/4), (0, 0, 0, 0). It is evident that after applying the 20-km resolution topography, the 4-km simulation has smoother terrain and slope (smaller values) compared to the original 4-km simulation. The terrain height distributions within the same distance between the two simulations are same, although the slopes between neighboring grids at the 20-km resolution and the smooth 4-km resolution simulations are still different.

Therefore, we agree that the sensitivity (or idealized) experiment at 4-km resolution with smooth topography does not exactly represent the simulation at 20-km resolution. Since they are two experiments at different resolutions, there is no way to create identical impact from the topography between the two experiments. That's why we revised our manuscript to focus on the impact of smoothing topography on simulated results instead of comparing the simulations at two resolutions. In the revised manuscript, we did not focus on using the difference between the two experiments at 4-km resolution to explain the difference between the simulations at resolutions of 4 km and 20 km. The focus of this study has switched to understand the impact of complex topography resolved by 4 km. In our designed experiments, we believe that the difference between the two 4-km experiments with different topography can reflects the impact of complex topography against the smooth topography, at least to some extent. Using 20-km resolution topography is just one example to smooth the topography. We can also smooth the topography to 50 km or 100 km resolution if necessary. In fact, we also conducted the sensitivity experiment at 4-km resolution with the topography from one-degree resolution. The result is consistent that the complex topography will increase the transport of BC over the TP although the magnitudes are different. We selected 20-km topography as the smooth topography for the 4-km simulation, because a majority of climate and air quality modeling studies around this region used the horizontal resolutions of tens of kilometers. Therefore, the results found in this study can provide some evidence that the modeling at relatively coarse resolutions may underestimate the transport due to the smooth topography of Himalayas during our study period.

Now, we add the clarification in the methodology part of the revised manuscript as “**It is noteworthy that this study focuses on understanding the impact of complex topography resolved by 4 km instead of the difference between 4-km and 20-km simulations. Prescribing the topography at 4 km following the 20-km resolution distribution is just one way to smooth the topography. In fact, the sensitivity experiment at 4-km resolution with the topography from the one-degree resolution dataset is also conducted, and the result is consistent.**”

In terms of reality, we never call a sensitivity experiment as the “realistic” simulation. It is generally designed to compare with the control simulation that can be considered as a “realistic” simulation, at least relatively if we don't argue that models are never perfect. We cited others' studies with the similar methodology is not meant to say that we just followed previous studies. Instead, we would like to say that this kind of methodology through comparing the control and sensitivity (idealized) numerical experiment to isolate the impact of one factor out of many influential factors is reasonable and acceptable in modeling community. In fact, this is one of the unique features of modeling studies compared to observational studies that is normally hard to isolate different influential

factors. Therefore, definitely, the results from this study will contribute to the community. Now, we specifically highlight that the sensitivity experiment is idealized in the revised manuscript as “Therefore, besides this control experiment, one sensitivity (idealized) experiment is also conducted with the same configuration as the control one .....

Finally, in the revised manuscript, we have replaced “original topography” to “complex topography” in the main text and figures. We are not sure why the reviewer still has this concern. Please provide more specific places so that we can further revise.

- *ii) The authors intended to investigate the impact of topography (particularly valley-representation on the BC transport and further the local climate (precipitation) via radiative forcing. However, the precipitation can also influence the BC concentration via wet deposition, which is totally ignored in the MS.*

First of all, we agree that aerosol (including BC) and precipitation have two-way feedback. They can affect each other in the atmosphere. In our study, we did include this feedback in the experiment. We described it in the methodology part as

“Aerosol-cloud interactions were included in the model by Gustafson et al. (2007) for calculating the activation and re-suspension between dry aerosols and cloud droplets. The wet removal of grid-resolved stratiform clouds/precipitation includes two aspects, namely in-cloud removal (rainout) and below-cloud removal (washout) by Easter et al. (2004) and Chapman et al. (2009), respectively.”

Although the wet deposition is included in the simulations, we didn’t emphasize this process because its impact is quite small during our simulation period. During the pre-monsoon season, precipitation over the region to the south of Himalayas is quite small (Fig. S9). Along the Himalayas and over the TP, the precipitation is relatively large. We have discussed in our last revised manuscript that, based on our analysis of the contribution of each model process (transport, dry-deposition, emission, PBL mixing, and wet deposition) to the increase of BC column mass averaged over the TP during the simulation period, the two main processes affecting the BC column mass over the TP during the period are transport and dry deposition. The impact of wet deposition between the two experiments with different topography is different, however, the difference is much smaller than that of transport (source) and dry deposition (sink). That’s why we didn’t emphasize the analysis of wet deposition impacts. Now, we further clarify this in the revised manuscript as

“Although the impacts of PBL mixing and wet deposition on the BC column mass over the TP are also different between the simulations with different topography, their impacts are much smaller than those of transport and dry deposition during the study period.”

One reason of relatively small impact of wet deposition may be because of inactive convection during the pre-monsoon season. We have discussed in the revised manuscript

that the aerosol-climate interaction with the consideration of complex topography during the monsoon season deserves further investigation, as following:

“In addition, the active convection during the monsoon season may also play an important role on pollutant transport across the Himalayas, which deserves further investigation. Furthermore, aerosol impact on cloud and precipitation, particularly during the monsoon season, and thus on the latent heat in the atmosphere and the associated responses may also depend on the complex topography.”

- **2. RESULTS to CONCLUSIONS:**

*i), Their results obviously show that the BC transport difference between two simulations is due to the higher BC concentration simulated by the 4-km resolution rather than wind speed (fig 11, 14, s4, s5a,b, s6, s7), although I was confused by their bi-yaxis (do not know which, pressure or height, the plots relate to and whether they made interpolation. Do they mean that pressure level can be certainly converted to height?) of their cross-section plots and wind directions (do they take vertical wind into consideration?).*

Sorry for the confusion. In last revision, the figures used two Y-axis to show both pressure and height coordinates, however the modeling results were only interpolated to the pressure levels. The heights of the figures were estimated based on the pressure-height relationship in the situation of Standard Atmosphere. This method is suggested by the official website of NCL plotting program ([https://www.ncl.ucar.edu/Applications/height\\_lat.shtml](https://www.ncl.ucar.edu/Applications/height_lat.shtml)) for demonstration. We agree that this includes a few assumptions. Therefore, according to your comment, now, we revise all figures to have one single coordinate of height to be more straightforward. Now the modeling results are interpolated into the height coordinate and then plot. In the revised manuscript, the vertical wind is included in the cross-section plots of Fig. 10-11.

We would like to kindly remind the reviewer again that both simulations in the revised manuscript are at 4 km resolution. The only influential factor leading to the difference is topography. It is not quite appropriate to conclude that the transport difference is due to the BC concentration difference based on the results along the Himalayas or over the TP. This is like the question of “which come first, chicken or egg?”. The Himalayas and TP are not the source of BC. The BC there comes from the transport. The higher concentration along the Himalayas and over the TP in the simulation with the complex topography is due to the stronger transport from the source region instead of causing the stronger transport. The higher BC concentration over the TP from the simulation with complex topography is the main point of this study, which is resulted from the stronger transport. It can be partly reflected from Fig. 5. It shows that the BC column mass loading is actually lower over the source region from the simulation with the complex topography but higher over the Himalayas and TP areas. This indicates that the stronger transport reduces the mass loading

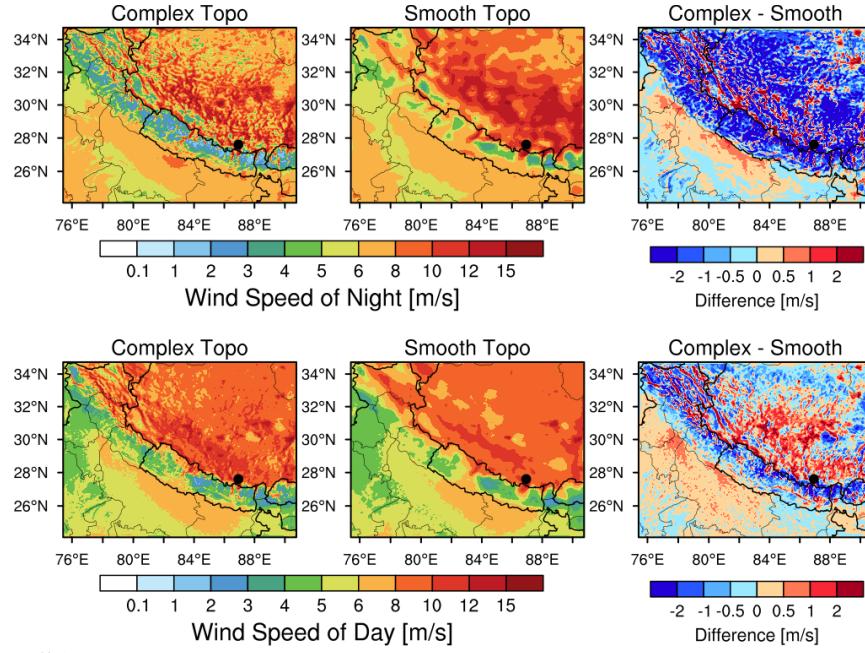
over the source region and increases the mass loading over the relatively clean region. Now we add the clarification in the revised manuscript as “**In general, the column BC mass loading from the simulation with complex topography is higher over the TP and lower over the region to the south of Himalayas compared with the smooth topography, reflecting the stronger transport of BC from the source region to the Himalayas and TP due to the complex topography (see the discussion in Section 3.2).**”

In terms of wind speed, we agree that the wind speed can be reduced overall except along some valley channels. With the new analysis added in this revised manuscript, we found the stronger transport is not necessarily linked with overall stronger wind speed but with stronger southerly wind towards the TP, which also depends on the wind direction. Now we add more discussion about the impacts on wind speed and transport in the revised manuscript. See our response to your comments below about the details.

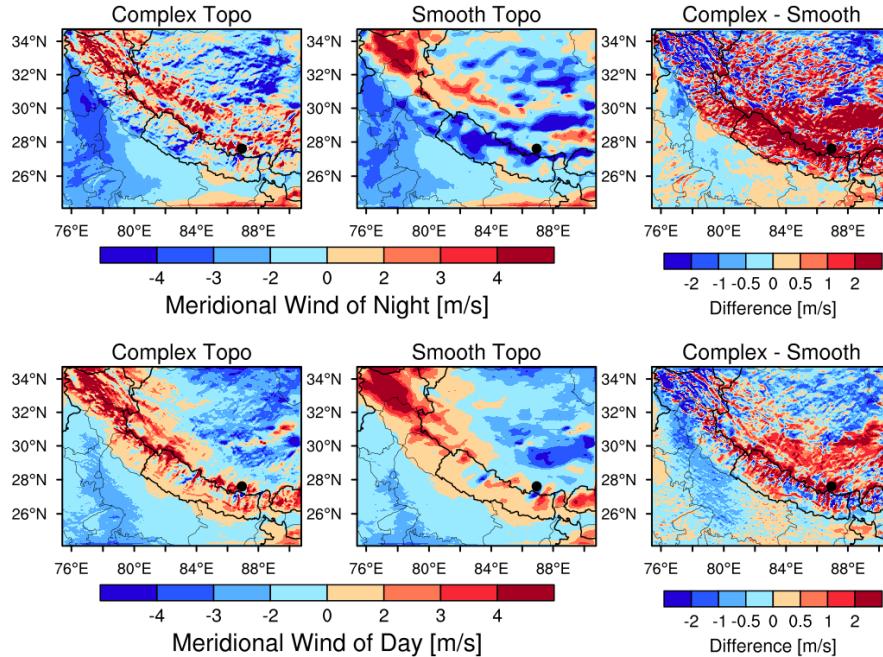
- *Again, weaker wind speeds are expected from finer-resolution simulation according to previous study considering that gravity-wave-drag and turbulence-orographic-form-drag to be explicitly resolved. The authors intended to emphasize the more valleys represented by finer-resolution, but they ignored the blocking effect of more and higher mountains. They discussed only one paper (Lin et al. 2018), but there are more studies addressing the weaker near-surface (and lower-model-level) including ones focusing on a broader domain.*

Yes, we agree that the complex topography could weaken the near-surface wind speed. Now, we clarify it in the revised manuscript and add our analysis of near-surface wind in the revised manuscript. More comparison with previous related studies and the discussion about the difference are added as well.

In the revised manuscript, one new figure (Fig. S4) is added into the supporting material about the changes of near-surface wind speed (no direction), and another new figure (Fig. 13) is added into the main text about the changes of near-surface meridional wind (with direction), during our simulation period due to the impacts of complex topography. As shown in Fig. S4, what we found is that the overall near-surface wind speed is reduced over the mountainous regions with the complex topography compared to with the smooth topography, which is consistent with previous studies. However, the near-surface southerly wind during the daytime of simulation period is increased with the complex topography over the Himalayas (Fig. 13), which indicates that the transport towards the TP is strengthened with the complex topography in the study period, particularly over the central and eastern Himalayas where the BC mass loading is higher. Therefore, although the complex topography weakens the overall near-surface wind speed around the Himalayas, it favors the BC transport across the Himalayas in the study period.



**Figure S4.** Spatial distributions of wind speed averaged within 500 m above the ground for daytime and nighttime of April 1-20, 2016 from the simulations with complex and smooth topography. The difference between the two is also shown. Nighttime is local time 21:00-6:00, and daytime is 9:00-18:00.



**Figure 13.** Spatial distributions of meridional wind speed averaged within 500 m above the ground for day and night during April 1-20, 2016 from the simulations with complex and smooth topography. The difference between the two is also shown. Nighttime is defined as local time 21:00-6:00, and daytime is defined as 9:00-18:00. Positive value denotes southerly, and negative value denotes northerly.

Since some previous studies showed the overall moisture transported across the Himalayas towards the TP should be weaker with the complex topography due to the orographic drags, we further investigate the difference between this study and previous ones, although this study focuses on air pollutants instead of moisture. We found most of previous studies focused on monsoon season instead of pre-monsoon season. Therefore, we also conducted the meteorological simulations (WRF instead of WRF-Chem to reduce significantly the computational cost) for monsoon season (June-July-August) at different resolutions (4 km versus 20 km). We found that the moisture transport and precipitation in monsoon season are reduced at the higher resolution with complex topography and the meridional wind is overall weakened particularly over the central and eastern Himalayas and TP, which is consistent with previous studies. This may indicate that the different large-scale circulations between the two seasons may also lead to different impacts of complex topography on meridional winds and hence cross-Himalayas transport. This further indicates a longer-term study should be conducted to examine the impacts of topography on aerosol climatic effect over the TP in both pre-monsoon and monsoon seasons as we acknowledged in the discussion part.

Now we clarify this in the Section 3.2 of revised manuscript as

“However, it is noteworthy that previous studies have found that the orographic drag (including gravity wave drag and turbulence orographic form drag) over the region with complex topography, such as the Himalayas and other mountainous areas, would weaken the overall near-surface wind speed (e.g., Beljaars et al., 2004; Horvath et al., 2012; Jiménez and Dudhia, 2012; Zhou et al., 2017, 2018; Lin et al., 2018; Wang et al., 2020). Therefore, the near-surface wind speed is also examined. The complex topography does lead to the overall reduction of near-surface wind speed over the Himalayas area (Fig. S4 in the supporting material), which is consistent with previous studies. However, it is interesting to note that the near-surface southerly wind during the daytime of the simulation period is overall increased over the Himalayas area with the complex topography (Fig. 13), which indicates that the transport towards the TP is strengthened with the complex topography in the daytime, particularly over the central and eastern Himalayas where the BC mass loading is higher (Fig. 5). During the night, the meridional wind is dominated by northerly over the Himalayas region in the simulation with the smooth topography. The complex topography weakens the transport away from the TP or change the wind direction from northerly to southerly over some areas of Himalayas. Both effects enhance the overall transport efficiency across the Himalayas towards the TP. Therefore, although the complex topography weakens the overall near-surface wind speed around the Himalayas, it induces more realistic small-scale mountain-valley circulation that favors the BC transport across the Himalayas towards TP during the study period.”

In the conclusion part of revised manuscript as

“Previous studies also found the induced change of circulation and transport due to the complex topography at convection-permitting scales with the focus on the meteorological fields over the Himalayas and TP regions (e.g., Karki et al., 2017; Zhou et al., 2017, 2018; Lin et al., 2018; Wang et al., 2020). Most of them either conducted the sub-10 km simulations covering a relatively smaller region (e.g., 101×96 grids at 5 km in Karki et al., 2017; 181×121 grids at 2 km in Lin et al., 2018; ~330×230 grids at 3 km in Wang et al., 2020) compared to this study (400×300 grids at 4 km) or conducted the simulations covering the entire Himalayas but at the resolutions above 10 km and with the sub-grid orographic drag parameterization to consider the impact of complex topography. Although some of previous studies also showed that the resolved complex topography yielded more realistic small-scale mountain-valley circulations and enhanced valley winds over the Himalayas region compared to the smoother topography, the overall moisture transport across the Himalayas towards the TP was weaker with the complex topography due to the orographic drags.

The difference between previous studies and this study can be due to several factors. First, previous studies focused on moisture instead of air pollutants. The spatial (horizontal and vertical) distributions between air pollutants and moisture are different and may contribute to the different impacts of topography on the overall transport flux across the Himalayas. However, the analysis of the moisture from the simulations in this study shows the increase of moisture transport (not shown) and hence the increase of precipitation over the TP with the complex topography (Fig. S9). Second, most of previous studies focused on monsoon season instead of pre-monsoon season. Therefore, the meteorological simulations for monsoon season (June-July-August) at different resolutions are also conducted in this study. The results show that the moisture transport and precipitation are reduced at the higher resolution with complex topography and the meridional wind is overall weakened particularly over the central and eastern Himalayas and TP (not shown), which is consistent with previous studies. This may indicate that the different large-scale circulations between the two seasons (much stronger southerly during the monsoon season) may also lead to different impacts of complex topography on meridional winds and hence cross-Himalayas transport.”

- *ii) The author revised their conclusions as the enhanced BC transport in their finer resolution simulation is due to deeper-valleys plus PBL height and small-scale circulations. I did not find in any part of their results they have investigated the quantified difference in PBL height or circulations between the simulations. It can be agreed that the PBL height can somehow influence the BC transport but this study cannot lead to this conclusion. The case for resolved small-scale circulations is likewise. To diagnose the BC transport, the BC transport and wind are direct (and sufficient) factors. The resolved small-scale circulations may only mean the more realistically-simulated local winds but not stronger wind speeds.*

We did mention the effects of deeper-valleys and small-scale circulation, however, we found the PBL effect is relatively small. We discussed about its impact only for daytime and nighttime difference that is not the focus of this study. Now we clarify it in the revised manuscript as “**The strong transport is primarily within the PBL during the daytime, and the deeper PBL during the daytime allows BC over the source region mixed to higher altitude, which also leads to stronger import transport during the day than the night. The relatively small difference in simulated PBL heights and structure between the two experiments can be due to their different surface heating resulted from different topography complexity (e.g., Wagner et al., 2014).**”

We agree that the eventual factors influencing the BC transport should be BC mass and wind. In terms of favorable small-scale circulation, now we demonstrate it more clearly in the revised manuscript as we respond to your comment above. The results show that the near-surface southerly wind is increased during the daytime of the simulation period over the Himalayas with the complex topography, which indicates that the transport towards the TP is strengthened with the complex topography in the study period, particularly over the central and eastern Himalayas where the BC mass loading is higher.

In terms of BC mass, we mentioned deeper valley in the manuscript that does not mean deeper PBL. What we mean is that the resolved deeper valleys lead to the higher volume of relatively-high-concentration BC, which can also result in stronger transport towards the TP even with similar near-surface wind speed. For example, the altitude (above the ground) below which the BC mass concentration is larger than  $0.3 \text{ ug/m}^3$  is much higher along the valleys with the complex topography than with the smooth topography. We add a figure (Fig. S6) in the supporting material to demonstrate this. The correlation coefficient between the difference of terrain heights of valleys and of volumes of relatively high-concentration BC can reach -0.76, indicating the lower the valleys are, the higher the volumes of BC mass can be transported across the Himalayas. This is clarified in the revised manuscript as “**Another effect of resolving valleys is that the volume of relatively-high-concentration BC could be higher with deeper valleys (Fig. S5 in the support material), which can also result in stronger transport towards the TP even if the wind condition is similar. For example, the altitude (above the ground) below which the BC mass concentration is larger than  $0.3 \text{ ug/m}^3$  is much higher along the valleys with the complex topography than with the smooth topography (Fig. S6 in the support material). The correlation coefficient between the difference of terrain heights of valleys and of volumes of relatively-high-concentration BC can reach -0.76, indicating that the lower the valleys are, the higher the volumes of BC mass can be transported across the Himalayas. The combined influence of these factors results in significantly enhanced BC transport towards the TP with the complex topography (Fig. 12), which can also be demonstrated by the distributions of wind**

and BC mass concentration along the longitudinal cross section (Fig. S7a, b in the support material).”

Now we further clarify this part of our conclusions in the Highlight, Abstract, and Conclusion as

“The complex topography results in stronger overall crossing-Himalayas transport during the study period primarily due to the strengthened efficiency of near-surface meridional transport towards the TP, enhanced wind speed at some valleys, and deeper valley channels associated with larger transported BC mass volume.”

“The complex topography results in stronger overall crossing-Himalayas transport during the simulation period primarily due to the strengthened efficiency of near-surface meridional transport towards the TP, enhanced wind speed at some valleys, and deeper valley channels associated with larger transported BC mass volume.”

“The complex topography results in 50% higher overall transport flux across the Himalayas during the simulation period than that with the smooth topography, primarily due to the strengthened efficiency of near-surface meridional transport towards the TP, enhanced wind speed at some valleys, and deeper valley channels associated with larger BC mass volume that can be transported into the TP, although the overall wind speed is weakened due to the orographic drags with the complex topography.”

## Editor's Comments:

- *Though the referee viewed the difference between the real topography and the 4 km-resolution case as a minor issue, I am not fully convinced because of the steep terrain condition. It has been suggested that really high resolution in the vertical and in the horizontal are both needed to accurately represent the complex topography (Seaman et al. 2009; Saide et al. 2011). Could you further justify if the 4-km resolution can represent the real transport in comparison to even higher resolution simulations (e.g., 2 km).*

### Reference:

*Seaman, N., Gaudet, B., Zielonka, J., Stauffer, D., 2009. Sensitivity of Vertical Structure in the Stable Boundary Layer to Variations of the WRF Model's MELLORYAMADA-JANJIC Turbulence Scheme. 9th WRF Users' Workshop, Boulder, 23e27 June, pp. 7*

*Saide et al. (2011) Forecasting urban PM10 and PM2.5 pollution episodes in very stable nocturnal conditions and complex terrain using WRFeChem CO tracer model, doi:10.1016/j.atmosenv.2011.02.001*

Thanks for this comment. It is a very important point. It is for sure that 4-km resolution still cannot fully resolve the complex topography of Himalayas. Previous studies have found that ~4 km and ~1 km simulations can produce generally consistent features over the Himalayas, but the simulation at ~1 km with better representation of topography can produce a little better meteorological fields compared to the observations (e.g., Karki et al., 2017). We also conducted the sensitivity experiment at 1.5 km resolution and found the difference between 1.5 km resolution and 4 km resolution is relatively smaller. However, it should be noted that the 1.5 km resolution simulation is only conducted over a much smaller region for a shorter period due to the computational cost. All the previous studies with the simulations at the resolutions higher than 4 km (~1 km or ~2 km) around the study region can only conduct the simulations covering a small part of the Himalayas. We select 4 km instead of 1.5 km resolutions to conduct the final experiments and analysis due to the balance of the reasonable results and computational cost. Please note, this study conducted the simulations with full chemistry at 4 km resolution covering the entire Himalayas (first time as far as we are aware) that is much more computationally expensive than the meteorology-only simulation with WRF. In fact, it may need even sub-1 km resolution to fully resolve the complex topography of Himalayas. However, at this moment, it is not computationally affordable to conduct the simulation at such high resolution covering the entire Himalayas with full chemistry for even a month simulation.

Therefore, the purpose of this study is to examine the potential impact of complex topography on pollutant transport and hence the aerosol forcing over the TP instead of producing a so-called “realistic” simulation (in fact, none of modeling studies can produce a “realistic” simulation without any assumption and simplification). We selected the 4-km resolution as it is also considered as the convection-permitting scale that can be used for future studies to investigate the impact of aerosols on regional

climate of TP and is also proved to better resolve convection system and its interaction with aerosols without convective parameterization. This study can be considered as the one-step forward investigation in this field, because most of previous studies about modeling aerosol climatic impacts over the TP applied the horizontal resolutions of tens of kilometers. In future, if computational resource allows, higher resolution than 4-km is definitely needed in a region with such complex topography.

Now we discuss the limit of 4-km resolution for the complex topography of Himalayas in the revised manuscript as “**In addition, although the topography at 4-km resolution resolves much better topography of Himalayas than that at 20-km resolution, it still cannot fully resolve the complexity of topography of Himalayas. The higher resolution (e.g., 1 km or sub-1 km) may be needed. Previous studies have found that the simulations at the resolutions between 1 km and 4 km can produce generally consistent features, but the simulation at 1 km with better representation of topography can produce a little better meteorological field compared to the observations (e.g., Karki et al., 2017). One sensitivity experiment at 1.5-km resolution is also conducted in this study and found the difference between the simulations at 1.5-km and 4-km resolutions is relatively small. However, it should be noted that the simulation at 1.5-km resolution is only conducted covering a much smaller region for a shorter period due to the computational cost. The experiment at 4-km instead of 1.5-km resolution is conducted finally for the study region and period due to the balance of resolving the complex topography to some extent and affordable computational cost.”**

## Anonymous Referee #1

We thank the reviewer again for keeping helping to improve the quality of manuscript. The comments suggest that there are still some unclear discussion in the manuscript. We feel sorry not to explain our methodology more clearly. We further clarify them in this revision. For Methodology, we add more explanation to justify its validity and include the discussion about wet deposition. For results to conclusion, we revise part of conclusion to include more discussion about the comparison with previous studies and add more convincing results. Please see our detailed response below.

### *Specific comments:*

- **1, METHODOLOGY:**  
*i) The authors replaced the 20-km simulation by a 4-km simulation but with 'smooth' topography to be compared with. As I argued in the last round of review, the such topography (identically over 5 by 5 grid cells) cannot represent the smooth one of 20-km resolution. Taking the slope between neighboring grid cells as example, the values will be 0, 0, 0, 0,  $dz/4$ , which definitely defer from those of 20-km resolution ( $dz/20$ ). Thus, we cannot say that the such topography is smoothed, but stepped. In fact, it is rather unrealistic. The authors did not provide any reasonable explanation but argued that some other studies applied such a method. Following others but without a respect to the truth cannot be acceptable in the science. Moreover, it is not appropriate that the 4-km topography refers to as 'original' topography. But this is a minor issue.*

To explain the smoothing effect, let's follow the example provided by the reviewer to figure out what we miss and what we get in below. To simplify the example of a mountain case as reviewer suggested in one dimension, if there are 15 grid cells at 4 km resolution that represent 3 grid cells at 20 km resolution, their terrain heights are (0, 0, 0, 0), (0, 0, 1 km, 0, 0), (0, 0, 0, 0, 0) at 4 km and 0, 0.2 km, 0 at 20 km. In this case, the slopes between neighboring grid cells will be (0, 0, 0, 0), (0, 0, 1/4, 1/4, 0, 0), (0, 0, 0, 0) (14 slopes within 15 grids) at 4 km and 0.2/20 and 0.2/20 at 20 km. After applying the terrain heights at 20-km resolution for the 4-km resolution simulation, the terrain heights of these 15 grid cells will be (0, 0, 0, 0, 0), (0.2 km, 0.2 km, 0.2 km, 0.2 km, 0.2 km), (0, 0, 0, 0, 0). The slopes will become (0, 0, 0, 0), (0.2/4, 0, 0, 0, 0.2/4), (0, 0, 0, 0). It is evident that after applying the 20-km resolution topography, the 4-km simulation has smoother terrain and slope (smaller values) compared to the original 4-km simulation. The terrain height distributions within the same distance between the two simulations are same, although the slopes between neighboring grids at the 20-km resolution and the smooth 4-km resolution simulations are still different.

Therefore, we agree that the sensitivity (or idealized) experiment at 4-km resolution with smooth topography does not exactly represent the simulation at 20-km resolution. Since they are two experiments at different resolutions, there is no way to create identical impact from the topography between the two experiments. That's why we

revised our manuscript to focus on the impact of smoothing topography on simulated results instead of comparing the simulations at two resolutions. In the revised manuscript, we did not focus on using the difference between the two experiments at 4-km resolution to explain the difference between the simulations at resolutions of 4 km and 20 km. The focus of this study has switched to understand the impact of complex topography resolved by 4 km. In our designed experiments, we believe that the difference between the two 4-km experiments with different topography can reflects the impact of complex topography against the smooth topography, at least to some extent. Using 20-km resolution topography is just one example to smooth the topography. We can also smooth the topography to 50 km or 100 km resolution if necessary. In fact, we also conducted the sensitivity experiment at 4-km resolution with the topography from one-degree resolution. The result is consistent that the complex topography will increase the transport of BC over the TP although the magnitudes are different. We selected 20-km topography as the smooth topography for the 4-km simulation, because a majority of climate and air quality modeling studies around this region used the horizontal resolutions of tens of kilometers. Therefore, the results found in this study can provide some evidence that the modeling at relatively coarse resolutions may underestimate the transport due to the smooth topography of Himalayas during our study period.

Now, we add the clarification in the methodology part of the revised manuscript as “**It is noteworthy that this study focuses on understanding the impact of complex topography resolved by 4 km instead of the difference between 4-km and 20-km simulations. Prescribing the topography at 4 km following the 20-km resolution distribution is just one way to smooth the topography. In fact, the sensitivity experiment at 4-km resolution with the topography from the one-degree resolution dataset is also conducted, and the result is consistent.**”

In terms of reality, we never call a sensitivity experiment as the “realistic” simulation. It is generally designed to compare with the control simulation that can be considered as a “realistic” simulation, at least relatively if we don’t argue that models are never perfect. We cited others’ studies with the similar methodology is not meant to say that we just followed previous studies. Instead, we would like to say that this kind of methodology through comparing the control and sensitivity (idealized) numerical experiment to isolate the impact of one factor out of many influential factors is reasonable and acceptable in modeling community. In fact, this is one of the unique features of modeling studies compared to observational studies that is normally hard to isolate different influential factors. Therefore, definitely, the results from this study will contribute to the community. Now, we specifically highlight that the sensitivity experiment is idealized in the revised manuscript as “**Therefore, besides this control experiment, one sensitivity (idealized) experiment is also conducted with the same configuration as the control one .....**”

Finally, in the revised manuscript, we have replaced “original topography” to “complex topography” in the main text and figures. We are not sure why the reviewer still has this concern. Please provide more specific places so that we can further revise.

- *ii) The authors intended to investigate the impact of topography (particularly valley) -representation on the BC transport and further the local climate (precipitation) via radiative forcing. However, the precipitation can also influence the BC concentration via wet deposition, which is totally ignored in the MS.*

First of all, we agree that aerosol (including BC) and precipitation have two-way feedback. They can affect each other in the atmosphere. In our study, we did include this feedback in the experiment. We described it in the methodology part as

“Aerosol-cloud interactions were included in the model by Gustafson et al. (2007) for calculating the activation and re-suspension between dry aerosols and cloud droplets. The wet removal of grid-resolved stratiform clouds/precipitation includes two aspects, namely in-cloud removal (rainout) and below-cloud removal (washout) by Easter et al. (2004) and Chapman et al. (2009), respectively.”

Although the wet deposition is included in the simulations, we didn’t emphasize this process because its impact is quite small during our simulation period. During the pre-monsoon season, precipitation over the region to the south of Himalayas is quite small (Fig. S9). Along the Himalayas and over the TP, the precipitation is relatively large. We have discussed in our last revised manuscript that, based on our analysis of the contribution of each model process (transport, dry-deposition, emission, PBL mixing, and wet deposition) to the increase of BC column mass averaged over the TP during the simulation period, the two main processes affecting the BC column mass over the TP during the period are transport and dry deposition. The impact of wet deposition between the two experiments with different topography is different, however, the difference is much smaller than that of transport (source) and dry deposition (sink). That’s why we didn’t emphasize the analysis of wet deposition impacts. Now, we further clarify this in the revised manuscript as

“Although the impacts of PBL mixing and wet deposition on the BC column mass over the TP are also different between the simulations with different topography, their impacts are much smaller than those of transport and dry deposition during the study period.”

One reason of relatively small impact of wet deposition may be because of inactive convection during the pre-monsoon season. We have discussed in the revised manuscript that the aerosol-climate interaction with the consideration of complex topography during the monsoon season deserves further investigation, as following:

“In addition, the active convection during the monsoon season may also play an important role on pollutant transport across the Himalayas, which deserves further investigation. Furthermore, aerosol impact on cloud and precipitation, particularly

“during the monsoon season, and thus on the latent heat in the atmosphere and the associated responses may also depend on the complex topography.”

- **2. RESULTS to CONCLUSIONS:**

*i), Their results obviously show that the BC transport difference between two simulations is due to the higher BC concentration simulated by the 4-km resolution rather than wind speed (fig 11, 14, s4, s5a,b, s6, s7), although I was confused by their bi-yaxis (do not know which, pressure or height, the plots relate to and whether they made interpolation. Do they mean that pressure level can be certainly converted to height?) of their cross-section plots and wind directions (do they take vertical wind into consideration?).*

Sorry for the confusion. In last revision, the figures used two Y-axis to show both pressure and height coordinates, however the modeling results were only interpolated to the pressure levels. The heights of the figures were estimated based on the pressure-height relationship in the situation of Standard Atmosphere. This method is suggested by the official website of NCL plotting program ([https://www.ncl.ucar.edu/Applications/height\\_lat.shtml](https://www.ncl.ucar.edu/Applications/height_lat.shtml)) for demonstration. We agree that this includes a few assumptions. Therefore, according to your comment, now, we revise all figures to have one single coordinate of height to be more straightforward. Now the modeling results are interpolated into the height coordinate and then plot. In the revised manuscript, the vertical wind is included in the cross-section plots of Fig. 10-11.

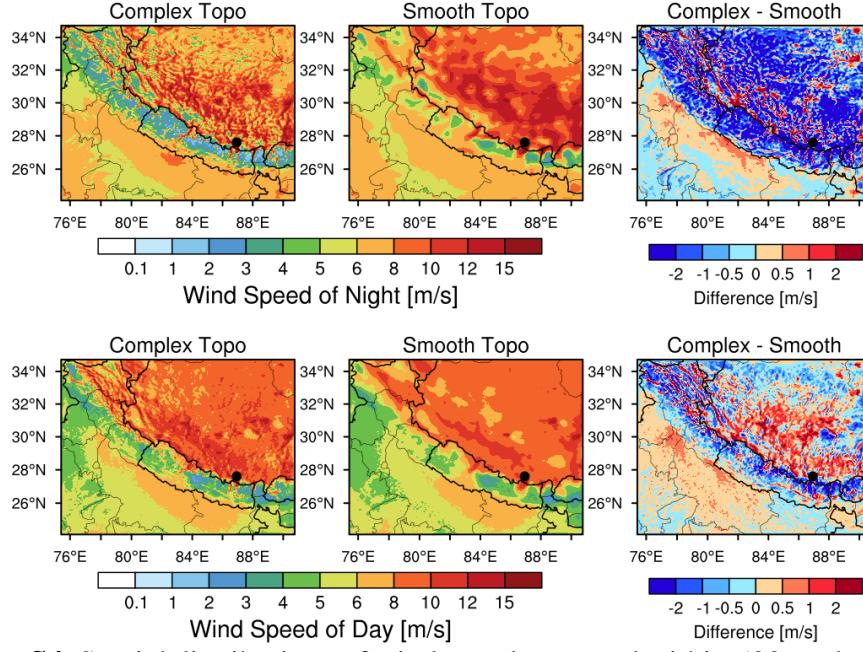
We would like to kindly remind the reviewer again that both simulations in the revised manuscript are at 4 km resolution. The only influential factor leading to the difference is topography. It is not quite appropriate to conclude that the transport difference is due to the BC concentration difference based on the results along the Himalayas or over the TP. This is like the question of “which come first, chicken or egg?”. The Himalayas and TP are not the source of BC. The BC there comes from the transport. The higher concentration along the Himalayas and over the TP in the simulation with the complex topography is due to the stronger transport from the source region instead of causing the stronger transport. The higher BC concentration over the TP from the simulation with complex topography is the main point of this study, which is resulted from the stronger transport. It can be partly reflected from Fig. 5. It shows that the BC column mass loading is actually lower over the source region from the simulation with the complex topography but higher over the Himalayas and TP areas. This indicates that the stronger transport reduces the mass loading over the source region and increases the mass loading over the relatively clean region. Now we add the clarification in the revised manuscript as “In general, the column BC mass loading from the simulation with complex topography is higher over the TP and lower over the region to the south of Himalayas compared with the smooth topography, reflecting the stronger transport of BC from the source region to the Himalayas and TP due to the complex topography (see the discussion in Section 3.2).”

In terms of wind speed, we agree that the wind speed can be reduced overall except along some valley channels. With the new analysis added in this revised manuscript, we found the stronger transport is not necessarily linked with overall stronger wind speed but with stronger southerly wind towards the TP, which also depends on the wind direction. Now we add more discussion about the impacts on wind speed and transport in the revised manuscript. See our response to your comments below about the details.

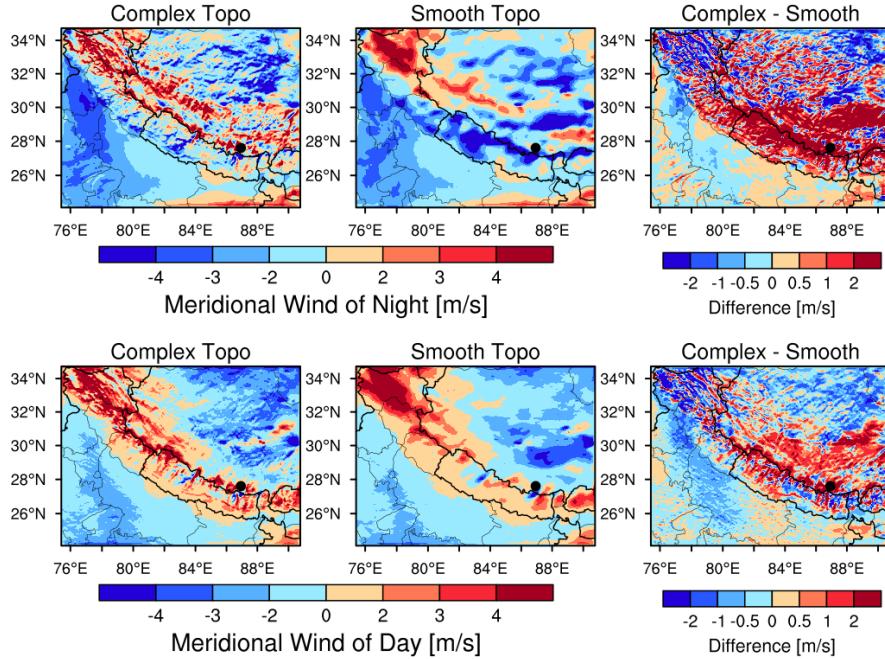
- *Again, weaker wind speeds are expected from finer-resolution simulation according to previous study considering that gravity-wave-drag and turbulence-orographic-form-drag to be explicitly resolved. The authors intended to emphasize the more valleys represented by finer-resolution, but they ignored the blocking effect of more and higher mountains. They discussed only one paper (Lin et al. 2018), but there are more studies addressing the weaker near-surface (and lower-model-level) including ones focusing on a broader domain.*

Yes, we agree that the complex topography could weaken the near-surface wind speed. Now, we clarify it in the revised manuscript and add our analysis of near-surface wind in the revised manuscript. More comparison with previous related studies and the discussion about the difference are added as well.

In the revised manuscript, one new figure (Fig. S4) is added into the supporting material about the changes of near-surface wind speed (no direction), and another new figure (Fig. 13) is added into the main text about the changes of near-surface meridional wind (with direction), during our simulation period due to the impacts of complex topography. As shown in Fig. S4, what we found is that the overall near-surface wind speed is reduced over the mountainous regions with the complex topography compared to with the smooth topography, which is consistent with previous studies. However, the near-surface southerly wind during the daytime of simulation period is increased with the complex topography over the Himalayas (Fig. 13), which indicates that the transport towards the TP is strengthened with the complex topography in the study period, particularly over the central and eastern Himalayas where the BC mass loading is higher. Therefore, although the complex topography weakens the overall near-surface wind speed around the Himalayas, it favors the BC transport across the Himalayas in the study period.



**Figure S4.** Spatial distributions of wind speed averaged within 500 m above the ground for daytime and nighttime of April 1-20, 2016 from the simulations with complex and smooth topography. The difference between the two is also shown. Nighttime is local time 21:00-6:00, and daytime is 9:00-18:00.



**Figure 13.** Spatial distributions of meridional wind speed averaged within 500 m above the ground for day and night during April 1-20, 2016 from the simulations with complex and smooth topography. The difference between the two is also shown. Nighttime is defined as local time 21:00-6:00, and daytime is defined as 9:00-18:00. Positive value denotes southerly, and negative value denotes northerly.

Since some previous studies showed the overall moisture transported across the Himalayas towards the TP should be weaker with the complex topography due to the orographic drags, we further investigate the difference between this study and previous ones, although this study focuses on air pollutants instead of moisture. We found most

of previous studies focused on monsoon season instead of pre-monsoon season. Therefore, we also conducted the meteorological simulations (WRF instead of WRF-Chem to reduce significantly the computational cost) for monsoon season (June-July-August) at different resolutions (4 km versus 20 km). We found that the moisture transport and precipitation in monsoon season are reduced at the higher resolution with complex topography and the meridional wind is overall weakened particularly over the central and eastern Himalayas and TP, which is consistent with previous studies. This may indicate that the different large-scale circulations between the two seasons may also lead to different impacts of complex topography on meridional winds and hence cross-Himalayas transport. This further indicates a longer-term study should be conducted to examine the impacts of topography on aerosol climatic effect over the TP in both pre-monsoon and monsoon seasons as we acknowledged in the discussion part.

Now we clarify this in the Section 3.2 of revised manuscript as

“However, it is noteworthy that previous studies have found that the orographic drag (including gravity wave drag and turbulence orographic form drag) over the region with complex topography, such as the Himalayas and other mountainous areas, would weaken the overall near-surface wind speed (e.g., Beljaars et al., 2004; Horvath et al., 2012; Jiménez and Dudhia, 2012; Zhou et al., 2017, 2018; Lin et al., 2018; Wang et al., 2020). Therefore, the near-surface wind speed is also examined. The complex topography does lead to the overall reduction of near-surface wind speed over the Himalayas area (Fig. S4 in the supporting material), which is consistent with previous studies. However, it is interesting to note that the near-surface southerly wind during the daytime of the simulation period is overall increased over the Himalayas area with the complex topography (Fig. 13), which indicates that the transport towards the TP is strengthened with the complex topography in the daytime, particularly over the central and eastern Himalayas where the BC mass loading is higher (Fig. 5). During the night, the meridional wind is dominated by northerly over the Himalayas region in the simulation with the smooth topography. The complex topography weakens the transport away from the TP or change the wind direction from northerly to southerly over some areas of Himalayas. Both effects enhance the overall transport efficiency across the Himalayas towards the TP. Therefore, although the complex topography weakens the overall near-surface wind speed around the Himalayas, it induces more realistic small-scale mountain-valley circulation that favors the BC transport across the Himalayas towards TP during the study period.”

In the conclusion part of revised manuscript as

“Previous studies also found the induced change of circulation and transport due to the complex topography at convection-permitting scales with the focus on the meteorological fields over the Himalayas and TP regions (e.g., Karki et al., 2017; Zhou et al., 2017, 2018; Lin et al., 2018; Wang et al., 2020). Most of them either conducted the sub-10 km simulations covering a relatively smaller region (e.g., 101×96 grids at 5 km in Karki et al., 2017; 181×121 grids at 2 km in Lin et al., 2018; ~330×230 grids at

3 km in Wang et al., 2020) compared to this study (400×300 grids at 4 km) or conducted the simulations covering the entire Himalayas but at the resolutions above 10 km and with the sub-grid orographic drag parameterization to consider the impact of complex topography. Although some of previous studies also showed that the resolved complex topography yielded more realistic small-scale mountain-valley circulations and enhanced valley winds over the Himalayas region compared to the smoother topography, the overall moisture transport across the Himalayas towards the TP was weaker with the complex topography due to the orographic drags.

The difference between previous studies and this study can be due to several factors. First, previous studies focused on moisture instead of air pollutants. The spatial (horizontal and vertical) distributions between air pollutants and moisture are different and may contribute to the different impacts of topography on the overall transport flux across the Himalayas. However, the analysis of the moisture from the simulations in this study shows the increase of moisture transport (not shown) and hence the increase of precipitation over the TP with the complex topography (Fig. S9). Second, most of previous studies focused on monsoon season instead of pre-monsoon season. Therefore, the meteorological simulations for monsoon season (June-July-August) at different resolutions are also conducted in this study. The results show that the moisture transport and precipitation are reduced at the higher resolution with complex topography and the meridional wind is overall weakened particularly over the central and eastern Himalayas and TP (not shown), which is consistent with previous studies. This may indicate that the different large-scale circulations between the two seasons (much stronger southerly during the monsoon season) may also lead to different impacts of complex topography on meridional winds and hence cross-Himalayas transport.”

- *ii) The author revised their conclusions as the enhanced BC transport in their finer resolution simulation is due to deeper-valleys plus PBL height and small-scale circulations. I did not find in any part of their results they have investigated the quantified difference in PBL height or circulations between the simulations. It can be agreed that the PBL height can somehow influence the BC transport but this study cannot lead to this conclusion. The case for resolved small-scale circulations is likewise. To diagnose the BC transport, the BC transport and wind are direct (and sufficient) factors. The resolved small-scale circulations may only mean the more realistically-simulated local winds but not stronger wind speeds.*

We did mention the effects of deeper-valleys and small-scale circulation, however, we found the PBL effect is relatively small. We discussed about its impact only for daytime and nighttime difference that is not the focus of this study. Now we clarify it in the revised manuscript as “The strong transport is primarily within the PBL during the daytime, and the deeper PBL during the daytime allows BC over the source region mixed to higher altitude, which also leads to stronger import transport during the day than the night. The relatively small difference in simulated PBL heights and structure between the two experiments can be due to their different surface heating resulted from different topography complexity (e.g., Wagner et al., 2014).”

We agree that the eventual factors influencing the BC transport should be BC mass and wind. In terms of favorable small-scale circulation, now we demonstrate it more clearly in the revised manuscript as we respond to your comment above. The results show that the near-surface southerly wind is increased during the daytime of the simulation period over the Himalayas with the complex topography, which indicates that the transport towards the TP is strengthened with the complex topography in the study period, particularly over the central and eastern Himalayas where the BC mass loading is higher.

In terms of BC mass, we mentioned deeper valley in the manuscript that does not mean deeper PBL. What we mean is that the resolved deeper valleys lead to the higher volume of relatively-high-concentration BC, which can also result in stronger transport towards the TP even with similar near-surface wind speed. For example, the altitude (above the ground) below which the BC mass concentration is larger than  $0.3 \text{ ug/m}^3$  is much higher along the valleys with the complex topography than with the smooth topography. We add a figure (Fig. S6) in the supporting material to demonstrate this. The correlation coefficient between the difference of terrain heights of valleys and of volumes of relatively high-concentration BC can reach -0.76, indicating the lower the valleys are, the higher the volumes of BC mass can be transported across the Himalayas. This is clarified in the revised manuscript as “**Another effect of resolving valleys is that the volume of relatively-high-concentration BC could be higher with deeper valleys (Fig. S5 in the support material), which can also result in stronger transport towards the TP even if the wind condition is similar. For example, the altitude (above the ground) below which the BC mass concentration is larger than  $0.3 \text{ ug/m}^3$  is much higher along the valleys with the complex topography than with the smooth topography (Fig. S6 in the support material). The correlation coefficient between the difference of terrain heights of valleys and of volumes of relatively-high-concentration BC can reach -0.76, indicating that the lower the valleys are, the higher the volumes of BC mass can be transported across the Himalayas. The combined influence of these factors results in significantly enhanced BC transport towards the TP with the complex topography (Fig. 12), which can also be demonstrated by the distributions of wind and BC mass concentration along the longitudinal cross section (Fig. S7a, b in the support material).**”

Now we further clarify this part of our conclusions in the Highlight, Abstract, and Conclusion as

“**The complex topography results in stronger overall crossing-Himalayas transport during the study period primarily due to the strengthened efficiency of near-surface meridional transport towards the TP, enhanced wind speed at some valleys, and deeper valley channels associated with larger transported BC mass volume.**”

“**The complex topography results in stronger overall crossing-Himalayas transport during the simulation period primarily due to the strengthened efficiency of near-surface meridional transport towards the TP, enhanced wind speed at some valleys, and deeper valley channels associated with larger transported BC mass volume.**”

“The complex topography results in 50% higher overall transport flux across the Himalayas during the simulation period than that with the smooth topography, primarily due to the strengthened efficiency of near-surface meridional transport towards the TP, enhanced wind speed at some valleys, and deeper valley channels associated with larger BC mass volume that can be transported into the TP, although the overall wind speed is weakened due to the orographic drags with the complex topography.”

1      **Impact of topography on black carbon transport to the southern Tibetan**  
2      **Plateau during pre-monsoon season and its climatic implication**

3      <sup>1</sup>Meixin Zhang, <sup>1</sup>Chun Zhao\*, <sup>2,3</sup>Zhiyuan Cong, <sup>1</sup>Qiuyan Du, <sup>1</sup>Mingyue Xu, <sup>1</sup>Yu Chen, <sup>4</sup>Ming  
4      Chen, <sup>1</sup>Rui Li, <sup>1</sup>Yunfei Fu, <sup>1</sup>Lei Zhong, <sup>3,5</sup>Shichang Kang, <sup>6</sup>Delong Zhao, <sup>6</sup>Yan Yang

5

6

7      <sup>1</sup>School of Earth and Space Sciences, University of Science and Technology of China, Hefei,  
8      China

9      <sup>2</sup>Key Laboratory of Tibetan Environment Changes and Land Surface Processes, Institute of  
10     Tibetan Plateau Research, Chinese Academy of Sciences (CAS), Beijing 100101, China

11     <sup>3</sup>CAS Center for Excellence in Tibetan Plateau Earth Sciences, Institute of Tibetan Plateau  
12     Research, CAS, Beijing 100101, China

13     <sup>4</sup>National Center for Atmospheric Research, Boulder, CO, USA

14     <sup>5</sup>State Key Laboratory of Cryosphere Science, Northwest Institute of Eco-Environment and  
15     Resources, CAS, Lanzhou 730000, China

16     <sup>6</sup>Beijing Weather Modification Office, Beijing 100101, China

17

18                    Manuscript for submission to Atmos. Chem. Phys.

19

20

21                    \*Corresponding author:    Chun Zhao (chunzhao@ustc.edu.cn)

22

23

24      **Key points:**

25      1. The black carbon (BC) transport across the Himalayas can overcome a majority of mountain  
26      ridges, but the valley transport is much more efficient during the pre-monsoon season.

27      2. The complex topography results in stronger overall crossing-Himalayas transport during the  
28      study period primarily due to the strengthened efficiency of near-surface meridional transport  
29      towards the TP, enhanced valley wind, speed at some valleys, and deeper valley channels,  
30      and induced small scale favorable circulation associated with larger transported BC mass  
31      volume.

32      3. The complex topography generates 50% higher transport flux of BC across the Himalayas  
33      and 30-50% stronger BC radiative heating in the atmosphere up to 10 km over the Tibetan  
34      Plateau (TP) than that with the smoother topography, which implies that global climate models  
35      with relatively coarse resolution may introduce significant negative biases in estimating BC  
36      radiative forcing over the TP due to smooth topography.

37      4. The different topography also leads to different distributions of snow cover and BC forcing  
38      in snow over the TP.

39

40 **Abstract**

41 Most of previous modeling studies about black carbon (BC) transport and impact over the  
42 Tibetan Plateau (TP) conducted simulations with horizontal resolutions coarser than 10 km that  
43 may not be able to resolve well the complex topography of the Himalayas. In this study, the  
44 two experiments covering entire Himalayas with the Weather Research and Forecasting Model  
45 coupled with chemistry (WRF-Chem) at the horizontal resolution of 4 km but with two  
46 different topography datasets (4-km complex topography and 20-km smooth topography) are  
47 conducted for pre-monsoon season (April, 2016) to investigate the impacts of topography on  
48 modeling the transport and distribution of BC over the TP. Both experiments show evident  
49 accumulation of aerosols near the southern Himalayas during the pre-monsoon season,  
50 consistent with the satellite retrievals. The observed episode of high near-surface BC  
51 concentration at the station near the Mt. Everest due to heavy biomass burning near the  
52 southern Himalayas is well captured by the simulations. The simulations indicate that the  
53 prevailing up-flow across the Himalayas driven by the large-scale circulationwesterly and  
54 small-scale southerly circulations during the daytime is the dominant transport mechanism of  
55 South Asian BC into the TP, and is much stronger than that during the nighttime. The  
56 simulation with 4-km topography resolves more valleys and mountain ridges, and shows that  
57 the BC transport across the Himalayas can overcome a majority of mountain ridges but the  
58 valley transport is more efficient. The complex topography results in stronger overall crossing-  
59 Himalayas transport during the simulation period primarily due to the strengthened efficiency  
60 of near-surface meridional transport towards the TP, enhanced valley wind, speed at some  
61 valleys, and deeper valley channelsand induced small scale favorable circulation associated  
62 with larger transported BC mass volume. This results in 50% higher transport flux of BC across  
63 the Himalayas and 30-50% stronger BC radiative heating in the atmosphere up to 10 km over  
64 the TP from the simulation with 4-km complex topography than that with 20-km smoother  
65 topography. The different topography also leads to different distributions of snow cover and  
66 BC forcing in snow. This study implies that global climate models generally with even coarser  
67 resolutions than 20 km and therefore relatively smoother topography may introduce significant  
68 negative biases in estimating light absorbing aerosol radiative forcing over the TP.

69

70

71

72

73

74 **1. Introduction**

75 The Tibetan Plateau (TP) is the highest plateau in the world with an average elevation  
76 over 4 km and an area of approximately  $2.5 \times 10^6 \text{ km}^2$ , known as the world's third pole (Qiu,  
77 2008), and its enormous dynamic and thermal effects have a huge impact on large-scale  
78 atmospheric circulation through the energy exchange with the atmosphere especially the  
79 troposphere, such as Asian monsoon (e.g., Ye and Wu, 1998; Duan and Wu, 2005; Wu et al.,  
80 2007, 2012a; Boos and Kuang, 2013; Chen and Bordoni, 2014; He et al., 2019; Zhao et al.,  
81 2019). In addition, the glacial melting water of TP is one of the important sources of water  
82 resources of the Indus River, Ganges River, Yangtze River, and Yellow River in Asia (e.g.,  
83 Singh and Bengtsson, 2004; Barnett et al., 2005; Immerzeel et al., 2010; Lutz et al., 2014).  
84 Previous studies found aerosols in the atmosphere over/around the TP could change the  
85 regional climate of Asia (e.g., Qian et al., 2011, 2015; Lau et al., 2017, 2018). Model  
86 simulations showed that the absorptive aerosols changed the surface radiative flux over the TP  
87 by  $5\text{-}25 \text{ W m}^{-2}$  during the pre-monsoon season in April and May and led to the changes in  
88 summer monsoon circulations (Qian et al., 2011). Meanwhile, aerosol may affect the  
89 atmosphere by modulating the vertical structure of cloud and precipitation around the TP, and  
90 thus change the distribution of atmospheric latent heat around the TP, which is the main driving  
91 force of regional atmosphere circulations (e.g., Li et al., 2010, 2017, 2019). Moreover, when  
92 absorbing aerosols settle on the snow-covered areas, they will blacken the surface of snow  
93 cover and glacier to a large extent (e.g., Hansen and Nazarenko, 2004; Ramanathan and  
94 Carmichael, 2008; Lau et al., 2010, 2018; Lee et al., 2013; Zhang et al., 2017, 2018), reduce  
95 the snow albedo so as to absorb more solar radiation and cause the consequences of accelerated  
96 melting (e.g., Ramanathan et al., 2007; Ming et al., 2009; Yasunari et al., 2010; Ji et al., 2015;  
97 Zhang et al., 2015). According to the Intergovernmental Panel on Climate Change Fifth  
98 Assessment Report (IPCC AR5), the radiative forcing caused by the important component of  
99 absorbing aerosols, black carbon (BC), on the surface snow is  $0.04 \text{ W m}^{-2}$  ( $0.02\text{-}0.09 \text{ W m}^{-2}$ )  
100 on global average, and the regional forcing (such as over the Arctic and the Himalayas) can be  
101 considerably large.

102 The TP is surrounded by various sources of pollutants. Over the South of TP, previous  
103 studies have suggested that South Asia was the main source of pollutants transported to the  
104 plateau (e.g., Cong et al., 2009, 2015a, b; Kopacz et al., 2011; Lu et al., 2012; Zhao et al., 2013;  
105 Wang et al., 2015; Zhang et al., 2015; Kang et al., 2016, 2019; Li et al., 2016; Chen et al.,  
106 2018). A huge blanket or layer of "haze" composes of light-absorbing carbonaceous aerosol

107 particles that often erupts in the pre-monsoon season over South Asia and has a significant  
108 influence on the plateau (e.g., Prasad and Singh, 2007; Engling and Gelencser, 2010). Among  
109 them, biomass burning emission reaching the maximum in pre-monsoon season over South  
110 Asia is one of the dominant sources (e.g., Cong et al., 2015b). Many studies investigated the  
111 transport mechanisms of South Asian pollutants to the TP and found that the pollutants  
112 transported across the Himalayas were mainly due to the combination of large-scale circulation  
113 and regional wind (e.g., Hindman and Upadhyay, 2002; Cao et al., 2010; Dumka et al., 2010;  
114 Marinoni et al., 2010; Cong et al., 2015a; Kang et al., 2016; Lüthi et al., 2015; Zhang et al.,  
115 2017). Cong et al. (2015a) ~~conducted seven-day backward air mass trajectories experiment and~~  
116 ~~found~~ 2015b) suggested that strong large-scale westerly and local small-scale mountain-valley  
117 wind passed through western Nepal, northwest India and Pakistan (i.e., southern Himalayas)  
118 in the pre-monsoon season. Dumka et al. (2010) and Kang et al. (2016) inferred from the  
119 trajectory analysis that long-distance transport from Africa and Europe may also affect the BC  
120 concentration of Himalayas in addition to the influence of regional pollution. The synoptic  
121 troughs and ridges were also found favoring the transport of pollutants into the TP from South  
122 Asia (Lüthi et al., 2015).

123 Although previous studies have confirmed the transport of pollutants across the Himalayas,  
124 the complex topography of Himalayas complicates transport mechanisms. On one hand, Cao  
125 et al. (2010) revealed that the Himalayas acted as a huge barrier to the transport of a large  
126 amount of BC over the plateau based on model simulations. On the other hand, some studies  
127 found that the valleys across the Himalayas served as channels for efficient transport of  
128 pollutants (e.g., Hindman and Upadhyay, 2002; Marinoni et al., 2010). Marinoni et al. (2010)  
129 analyzed the observation of wind at a station of the southern Himalayas and found that a distinct  
130 valley wind system with the prominent southerly continuously transported pollutants to the  
131 plateau. Most of these studies used observations and back-trajectory models to demonstrate the  
132 transport pathways of pollutants to the TP, which cannot explicitly reveal the transport  
133 mechanisms underneath, in particular quantifying the impacts of complex topography.

134 A few of modeling studies investigated the pollutant transport mechanisms using 3-D  
135 chemical transport models (e.g., Kopacz et al., 2011; Liu et al., 2015; Zhang et al., 2017; Yang  
136 et al., 2018). However, most of them simulated transport processes at relatively coarse  
137 horizontal resolutions (e.g., 20-100 km), which cannot resolve well the complex topography of  
138 Himalayas. It is noteworthy that studies about the aerosol climatic impact over the TP also used  
139 climate models at relatively coarse horizontal resolutions (e.g., Flanner and Zender, 2005;  
140 Menon et al., 2010; Kopacz et al., 2011; Qian et al., 2011, 2015; He et al., 2014; Zhang et al.,

141 2015; Ji et al., 2016). So far, there is only one study that used a chemical transport model at a  
142 horizontal resolution of sub-10 km to investigate pollutant transport mechanisms over the  
143 eastern Himalayas (Cao et al., 2010). Furthermore, none of studies assessed quantitatively the  
144 impacts of topography on modeling the pollutant transport across the Himalayas and hence on  
145 estimating aerosol distribution and radiative forcing over the TP.

146 In order to examine the potential impacts of complex topography on pollutant transport  
147 across the Himalayas over the TP, this study conducts multiple experiments with the Weather  
148 Research and Forecasting Model coupled with chemistry (WRF-Chem, Grell et al., 2005;  
149 Skamarock et al., 2008). The WRF-Chem model is selected because it includes the interaction  
150 between meteorology and aerosol and is widely used for regional modeling of aerosol and its  
151 climatic impact (e.g., Cao et al., 2010; Zhao et al., 2010, 2011, 2012, 2014; Wu et al., 2013;  
152 Gao et al., 2014; Huang et al., 2015; Fan et al., 2015; Feng et al., 2016; Zhong et al., 2017;  
153 Sarangi et al., 2019; Liu et al., 2020). The model has also been used to investigate the aerosol  
154 transport and climatic impact over the Himalayas region (e.g., Feng et al., 2016; Cao et al.,  
155 2010; Sarangi et al., 2019). The model is suitable for simulations at hydrostatic and non-  
156 hydrostatic scales and thus can be used for investigating the impacts of resolution-dependent  
157 feature, such as topography, on modeling results. In particular, the meteorological part of the  
158 model (WRF) has been systematically evaluated and used to investigate the impacts of  
159 resolutions on simulations of moisture transport and climate over the Himalayas region (e.g.,  
160 Shi et al., 2008; Karki et al., 2017; Lin et al., 2018); Zhou et al., 2017, 2018; Wang et al.,  
161 2020). All of these previous studies with the model lay the foundation for this modeling study.

162 Two experiments with different topography representations are conducted to investigate  
163 the impacts of topography complexity on the pollutant transport across the Himalayas and the  
164 resulting radiative forcing over the TP. The simulations are conducted for April 2016 in pre-  
165 monsoon season, because South Asia is seriously polluted during this period and the pollutants  
166 transported to the TP during the period may have significant impacts on Asian monsoon system  
167 (e.g., Lau et al., 2006a, b; Ding et al., 2009; Kuhlmann and Quaas, 2010; Qian et al., 2011,  
168 2015). In addition, the observed concentration of BC at the observation station besides Mt.  
169 Everest shows an evident pollution episode from April 5<sup>th</sup> to 16<sup>th</sup> of 2016, deserving the  
170 investigation of the transport mechanisms. The rest of the paper is organized as follows. Section  
171 2 describes briefly the WRF-Chem model, the physics parameterizations, and the model  
172 configuration for this study, followed by a description of data for evaluation. The series of  
173 numerical experiments at different resolutions are analyzed in Section 3. The findings are then  
174 summarized and discussed in Section 4 and 5.

175

176 **2. Methodology**

177 **2.1 Model and experiments**

178 **2.1.1 WRF-Chem model**

179 In this study, the version of WRF-Chem updated by University of Science and Technology  
180 of China (USTC version of WRF-Chem) is used. This USTC version of WRF-Chem includes  
181 some additional capabilities such as the diagnosis of radiative forcing of aerosol species, land  
182 surface coupled biogenic volatile organic compound (VOC) emission, aerosol-snow  
183 interaction compared with the publicly released version (Zhao et al., 2013a, b, 2014, 2016; Hu  
184 et al., 2019; Du et al., 2020). The Model for Simulating Aerosol Interactions and Chemistry  
185 (MOSIAC) (Zaveri et al., 2008) and the Carbon Bond Mechanism-Z (CBM-Z) gas phase  
186 mechanisms (Zaveri and Peters, 1999) are selected. The MOSIAC aerosol scheme uses an  
187 approach of segmentation to represent aerosol size distribution with four or eight discrete size  
188 bins (Fast et al., 2006). It consists of a range of physical and chemical processes such as  
189 nucleation, condensation, coagulation, aqueous phase chemistry, and water uptake by aerosol.  
190 The parameterization of dry deposition of aerosol mass and number is according to the method  
191 of Binkowski and Shankar (1995), including particle diffusion and gravitational effects.  
192 Aerosol-cloud interactions were included in the model by Gustafson et al. (2007) for  
193 calculating the activation and re-suspension between dry aerosols and cloud droplets. The wet  
194 removal of grid-resolved stratiform clouds/precipitation includes two aspects, namely in-cloud  
195 removal (rainout) and below-cloud removal (washout) by Easter et al. (2004) and Chapman et  
196 al. (2009), respectively. Aerosol optical properties such as single scattering albedo (SSA) and  
197 scattering asymmetry and so on are calculated at each model grid through the function of  
198 wavelength. The shortwave (SW) and longwave (LW) refractive indices of aerosols use the  
199 Optical Properties of Aerosols and Clouds (OPAC) data set (Hess et al., 1998), with a detailed  
200 description of the computation of aerosol optical properties can be found in Barnard et al. (2010)  
201 and Zhao et al. (2013a). For both short wave and long wave radiation, aerosol radiation  
202 feedback combined with the Rapid Radiative Transfer Model (RRTMG) (Mlawer et al., 1997;  
203 Iacono et al., 2000) was implemented by Zhao et al. (2011). For the diagnosis of the optical  
204 properties and direct radiative forcing of various aerosol species in the atmosphere, the method  
205 described by Zhao et al (2013a) is adopted. The radiative forcing of light absorbing aerosol in  
206 surface snow is estimated with the Snow, Ice, and Aerosol Radiative model (SNICAR)  
207 (Flanner and Zender, 2005) in the land surface scheme as introduced by Zhao et al. (2014).

208 More details about the coupling between the WRF-Chem and SNICAR models can be found  
209 in Zhao et al. (2014).

210

### 211 2.1.2 Numerical experiments

212 In this study, the WRF-Chem simulations are performed with two nested domains (one-  
213 way nesting), one outer domain at 20-km horizontal resolution with  $350 \times 250$  grid cells ( $62^{\circ}\text{E}$   
214 - $112^{\circ}\text{E}$ ,  $1^{\circ}\text{N}$  - $38^{\circ}\text{N}$ ) and one inner domain at 4-km horizontal resolution with  $400 \times 300$  grid  
215 cells ( $75^{\circ}\text{E}$  - $92^{\circ}\text{E}$ ,  $23^{\circ}\text{N}$  - $35^{\circ}\text{N}$ ) (Fig. 1). The inner domain roughly covers the entire Himalayas.  
216 The WRF-Chem simulations conducted in this study use the terrain following coordinate  
217 (Skamarock et al., 2008). To resolve the vertical structure of transport across the Himalayas,  
218 the simulations are configured with 54 vertical layers and denser layers near the surface. For  
219 example, averaged over a region ( $26^{\circ}\text{N}$ - $28^{\circ}\text{N}$ ,  $76^{\circ}\text{E}$ - $80^{\circ}\text{E}$ ) near the southern Himalayas, there  
220 are about 17 layers below 2 km above the ground (Fig. 2). The goal of this study is to investigate  
221 the impacts of different representations of topography on the transport of BC across the  
222 Himalayas. Therefore, besides this control experiment, one sensitivity [\(idealized\)](#) experiment  
223 is also conducted with the same configuration as the control one except that the  
224 [topography](#)[terrain height](#) of the inner domain at 4-km resolution is prescribed to follow that at  
225 20-km resolution similar as previous studies (e.g., Shi et al., 2008; Wu et al., 2012b; Lin et al.,  
226 2018). More specifically, the sensitivity experiment applies a single value for each nested  $5 \times 5$   
227 grids over the inner domain as the corresponding grid of 20 km over the outer domain. The two  
228 experiments are referred to the simulations with complex and smooth topography, respectively,  
229 hereafter.

230 Fig. 3 shows the spatial distribution of terrain height over the inner domain with complex  
231 (4-km dataset) and smooth (20-km dataset) topography. It is evident that the terrain is much  
232 smoother from the 20-km dataset than from the 4-km dataset. The mountain ridges and valleys  
233 can be resolved to some extent in the 4-km dataset but mostly missed or underestimated at 20-  
234 km. The probability distributions of terrain height from the 20-km and 4-km datasets (Fig. S1  
235 in the supporting material) show that the difference between the two datasets is small for the  
236 terrain height lower than  $\sim 4.5$  km but is significant for the terrain height above  $\sim 4.5$  km. The  
237 difference of results from the two experiments over the inner domain is analyzed as the impacts  
238 of topography representations. Therefore, all the results shown below are from the simulations  
239 of the inner domain at 4-[km resolution with different topography if not otherwise stated. It is](#)  
240 [noteworthy that this study focuses on understanding the impact of complex topography](#)

241 resolved by 4 km instead of the difference between 4-km and 20-km simulations. Prescribing  
242 the topography at 4 km following the 20-km resolution distribution is just one way to smooth  
243 the topography. In fact, the sensitivity experiment at 4-km resolution with the topography from  
244 the one-degree resolution dataset is also conducted, and the result is consistent. In addition,  
245 although the topography at 4-km resolution resolves much better topography of Himalayas than  
246 that at 20-km resolution, it still cannot fully resolve the complexity of topography of Himalayas.  
247 The higher resolution (e.g., 1 km or sub-1 km) may be needed. Previous studies have found  
248 that the simulations at the resolutions between 1 km and 4 km can produce generally consistent  
249 features, but the simulation at 1 km with better representation of topography can produce a  
250 little better meteorological field compared to the observations (e.g., Karki et al., 2017).  
251 km resolution with different topography if not otherwise stated.). One sensitivity experiment at  
252 1.5-km resolution is also conducted in this study and found the difference between the  
253 simulations at 1.5-km and 4-km resolutions is relatively small. However, it should be noted  
254 that the simulation at 1.5-km resolution is only conducted covering a much smaller region for  
255 a shorter period due to the computational cost. The experiment at 4-km instead of 1.5-km  
256 resolution is conducted finally for the study region and period due to the balance of resolving  
257 the complex topography to some extent and affordable computational cost.

258 The simulations are conducted for March 29th-April 20 of 2016 for the reason as discussed  
259 in the introduction. The results of April 1<sup>th</sup>-20<sup>th</sup> are analyzed for the observed pollution episode  
260 to allow a few days spin-up for chemical initial condition. The meteorological initial and lateral  
261 boundary conditions are derived from the European Centre for Medium-Range Weather  
262 Forecasts (ECMWF) reanalysis data at  $0.5^\circ \times 0.66^\circ$  horizontal resolution and 6 h temporal  
263 intervals (ERA-Interim dataset). The modeled u and v component wind, atmospheric  
264 temperature, and geopotential height over the outer domain are nudged towards the reanalysis  
265 data with a nudging timescale of 6 h following previous studies (e.g., Stauffer and Seaman,  
266 1990; Seaman et al., 1995; Liu et al., 2012; Zhao et al., 2014; Karki et al., 2017; Hu et al., 2016,  
267 2020). Spectral nudging method is applied to balance the performance of simulation at the large  
268 and small scales (Liu et al., 2012), and only to the layers above the planetary boundary layer  
269 (PBL) with nudging coefficients of  $3 \times 10^{-4} \text{ s}^{-1}$ . A wave number of three is selected for both  
270 south-north and west-east directions. Please note that the choices of nudging coefficients and  
271 wave numbers for spectral nudging in this study are empirical. The purpose of nudging is to  
272 simulate reasonably large-scale feature so that small-scale impacts from the complex  
273 topography can be focused. Therefore, the modeling sensitivity to these choices is not tested in

274 this study. The results show that the simulations with nudging method can reproduce the large-  
275 scale circulation at 700 hPa and higher over the outer domain compared to the reanalysis dataset  
276 with the spatial correlation coefficient of 0.96-0.98.

277 The Mellor-Yamada-Nakanishi-Niino (MYNN) planetary boundary layer scheme  
278 (Nakanishi and Niino, 2006), Community Land Model (CLM) land surface scheme (Oleson et  
279 al., 2010), Morrison 2-moment microphysics scheme (Morrison et al., 2009), Kain-Fritsch  
280 cumulus scheme (Kain, 2004), and Rapid Radiative Transfer Model (RRTMG) longwave and  
281 shortwave radiation schemes (Iacono et al., 2000) are used in this study. The chemical initial  
282 and boundary conditions are provided by a quasi-global WRF-Chem simulation for the same  
283 time period to include long-range transported chemical species. The quasi-global WRF-Chem  
284 simulation is performed at  $1^\circ \times 1^\circ$  horizontal resolution using a quasi-global channel  
285 configuration with  $360 \times 130$  grid cells ( $180^\circ\text{W}-180^\circ\text{E}$ ,  $60^\circ\text{S}-70^\circ\text{N}$ ). More details about the  
286 general configuration of quasi-global WRF-Chem simulation can be found in Zhao et al.  
287 (2013b) and Hu et al. (2016). The detailed configuration of WRF-Chem experiments is  
288 summarized in Table 1. Due to the lack of publicly available in-situ observations, this study  
289 does not tend to evaluate systematically the simulated meteorological fields over the Himalayas  
290 region. However, as shown in Table 1, the choice of physical parameterizations in this study  
291 follows that of one previous study (Karki et al., 2017) that evaluated systematically the WRF  
292 simulation for one entire year over the Himalayas region. Their results showed that the WRF  
293 simulation at convection-permitting scale could generally capture the essential features of  
294 meteorological fields such as precipitation, temperature, and wind over the Himalayas region.  
295 Therefore, the WRF-Chem simulations in this study are reliable to investigate the impacts of  
296 topography over the Himalayas region.

297

### 298 2.1.3 Emissions

299 Anthropogenic emissions for outer and inner simulation domains are obtained from the  
300 Hemispheric Transport of Air Pollution version-2 (HTAPv2) at  $0.1^\circ \times 0.1^\circ$  horizontal resolution  
301 and a monthly temporal resolution for year 2010 (Janssens-Maenhout et al., 2015), except that  
302 emissions of East Asia are from the MIX Asian anthropogenic emission inventory at  $0.1^\circ \times 0.1^\circ$   
303 horizontal resolution for 2015 (Li et al., 2017). Biomass burning emissions are obtained from  
304 the Fire Inventory from National Center for Atmospheric Research (FINN) with hourly  
305 temporal resolution and  $1\text{-km}$  horizontal resolution (Wiedinmyer et al., 2011) for the  
306 simulation period, and are vertically distributed following the injection heights suggested by

307 Dentener et al. (2006) from the Aerosol Comparison between Observations and Models  
308 (AeroCom) project. Sea-salt emission follows Zhao et al. (2013b), which includes correction  
309 of particles with radius less than 0.2  $\mu\text{m}$  (Gong, 2003) and dependence of sea-salt emission on  
310 sea surface temperature (Jaeglé et al., 2011). The vertical dust fluxes are calculated with the  
311 Georgia Tech/Goddard Global Ozone Chemistry Aerosol Radiation and Transport (GOCART)  
312 dust emission scheme (Ginoux et al., 2001), and the emitted dust particles are distributed into  
313 the MOSAIC aerosol size bins following a theoretical expression based on the physics of scale-  
314 invariant fragmentation of brittle materials derived by Kok (2011). More details about the dust  
315 emission scheme coupled with MOSAIC aerosol scheme in WRF-Chem can be found in Zhao  
316 et al. (2010, 2013b).

317 As shown in Fig. 1, anthropogenic fossil fuel emissions of BC are high over Northeast  
318 India. The fossil fuel BC emissions over Nepal, the country nearby the southern Himalayas,  
319 are relatively low. Instead, biomass burning emissions of BC are extremely high in Nepal and  
320 Northwest India (South Himalayas, 26°N-29°N). Averaged over the South Himalayas of inner  
321 domain that may significantly affect the pollutant transport into the TP, the biomass burning  
322 emissions of BC are much higher than its anthropogenic fossil fuel emissions, particularly for  
323 the pollution episode (Fig. 4). The anthropogenic BC emissions are set constant through April,  
324 while biomass burning emissions show a strong fire event in April 5-16. During the event, the  
325 biomass burning BC emissions can be ~~close to~~ a factor of 2 of the anthropogenic fossil fuel BC  
326 emissions over South Himalayas.

327

## 328 **2.2 Dataset**

329 Three datasets are used to compare with the modeling results to demonstrate the pollutant  
330 episode and spatial distribution. One is from the Moderate Resolution Imaging  
331 Spectroradiometer (MODIS) instruments on Aqua and Terra satellites. The MODIS Aerosol  
332 Product monitors the ambient aerosol optical thickness over the oceans globally and over the  
333 continents. Daily Level 2 Aerosol Optical Depth (AOD) at 550 nm products with the spatial  
334 resolution of 10 km $\times$ 10 km (at nadir) from both Aqua and Terra are applied. When compared  
335 with the modeling results, the simulations are sampled at the satellite overpass time and  
336 location. The second one is from the Aerosol Robotic Network (AERONET) (Holben et al.,  
337 1998) that has  $\sim$ 100 similar globally distributed sun and sky scanning ground-based automated  
338 radiometers, which provide measurements of aerosol optical properties throughout the world  
339 (Dubovik and King, 2000; Dubovik et al., 2002). In this study, AERONET measured AOD at  
340 675 nm and 440 nm from two sites over the TP, QOMS CAS site (86.95°E, 28.36°N) and

341 NAM\_CO site (90.96°E, 30.77°N) are used to derive the AOD at 550 nm (using the Angström  
342 exponent) for comparison with modeling results at 550 nm. All of the retrievals of AOD are at  
343 quality level 2, and the uncertainty of AOD measurements is about 0.01 (Holben et al., 2001).  
344 In this study, the available data in April 2016 are used to evaluate the modeling results during  
345 the same period.

346 The third one is the measurement of near-surface BC mass concentration collected during  
347 the simulation period for April 4-20 of 2016 at the Qomolangma (Mt. Everest)-Station for  
348 Atmospheric and Environmental Observation and Research (QOMS, 86.95°E, 28.36°N) which  
349 is located at the northern slope of the HimalayasMt. Everest, about 4276 meters above sea level.  
350 The BC mass concentration is measured with the widely-used instrument Aethalometer (AE-  
351 33) that can provide real-time BC mass concentration measurements. The calibration of air  
352 flow is routinely conducted to maintain the data quality. The instrument estimates the BC mass  
353 concentration based on the optical method through measuring the reduction in light intensity  
354 induced by BC. The method assumes that the relationship between attenuation and BC surface  
355 loading is linear for low attenuation values. However, this relationship becomes nonlinear  
356 when the attenuation values are high due to a filter saturation effect, which may lead to  
357 underestimation of the high BC concentration. The detection limit of AE-33 instrument is 5  
358 ng/m<sup>3</sup>, and the uncertainty is estimated to be within 10% (e.g., Chen et al., 2018; Bansal et al.,  
359 2019; Kant et al., 2019). The dataset of BC mass concentration used in this study was reported  
360 by Chen et al., (2018), where more details about the measurements can be found.

361

### 362 **3. Results**

#### 363 **3.1 Spatial distribution of BC around the TP**

364 Figure 5 shows the spatial distributions of column integrated BC mass within the inner  
365 domain from the simulations at 4km resolution with complex and smooth topography  
366 averaged for April 1-20, 2016, and the difference between the two is also shown. For both  
367 experiments, thesouthern Himalayas is an apparent boundary line for the distribution of BC  
368 with a sharp gradient across the Himalayas. The high BC mass loading exists near the southern  
369 Himalayas reaching over 10 mg/m<sup>2</sup>, which is largely contributed by the biomass burning  
370 emissions during the period (Fig. 4), while the value reduces significantly to less than 0.4  
371 mg/m<sup>2</sup> over the TP. The BC mass loading near the central and eastern Himalayas is higher than  
372 that near the western Himalayas. In general, the column BC mass loading from the simulation  
373 with complex topography is higher over the TP and lower over the region to the south of

374 Himalayas compared with the smooth topography, reflecting the stronger transport of BC from  
375 the source region to the Himalayas and TP due to the complex topography (see the discussion  
376 in Section 3.2). Figure 6 displays the spatial distributions of AOD from the MODIS retrievals  
377 and the simulations at 4 km with two different topography averaged for April 1-20, 2016. In  
378 general, both simulations reproduce the overall spatial distribution of AOD, with the large  
379 values near the southern Himalayas, consistent with the BC mass loading. In addition, both the  
380 simulations and satellite retrievals show higher AOD near the central and eastern Himalayas  
381 than that near the western Himalayas during the study period. The difference between the  
382 simulations and retrievals may be partly related to the uncertainties in emissions particularly  
383 for biomass burning emissions. Other than intense emissions, the wind circulation around the  
384 TP may also play an important role in accumulating BC near the southern Himalayas. Because  
385 of the block of Himalayas, the wind circulation at 500 hPa is divided into two branches as  
386 westerly and northwesterly. Both of them are relatively dry airflows with little effect on  
387 pollutant removal, favor the accumulation of pollutants near the southern Himalayas, and carry  
388 the pollutants to the TP (e.g., Dumka et al., 2010; Kang et al., 2016; Cong et al., 2015a).

389 The AOD retrieved at two AERONET sites over the TP are compared with the two  
390 simulations for April 1-20, 2016 (Fig. 7). The AOD at the QOMS CAS site near the northern  
391 Himalayas is higher than that at the NAM\_CO site inside of the TP. Both simulations can  
392 capture this gradient. The simulation with complex topography produces higher AOD than  
393 does the one with smooth topography at both sites. The modeling biases (normalized mean bias,  
394 NMB) reduce from -46% (smooth topography) to 9% (complex topography) at the  
395 QOMS CAS site and from -26% (smooth topography) to -10% (complex topography) at the  
396 NAM\_CO site. Although the correlation coefficient between the simulations and observation  
397 increases from 0.37 (smooth topography) to 0.53 (complex topography) at the QOMS CAS  
398 site, it is similar (~0.2) between the two simulations at the NAM\_CO site. The correlation  
399 coefficient is higher at the QOMS CAS site near the source region than the NAM\_CO site  
400 farther away, which may indicate the model processes affecting the transport over the TP still  
401 need examination with more observations. The NAM\_CO site over the eastern TP may also be  
402 affected by other sources that are not counted in this study. The modeling of temporal variations  
403 of pollutants over the TP deserves further investigation with more observations.

404 There is one in-situ observational station (QOMS) near the Mt. Everest (black dot shown  
405 in Fig. 1) to collect the near-surface BC concentration. The observed near-surface BC  
406 concentration at this station is compared with the corresponding simulations for this period as  
407 shown in Figure 8. Without local emission source, the near-surface BC concentration at QOMS

408 is primarily contributed by the transport. The temporal variation of observed near-surface BC  
409 concentration correlates highly with the biomass burning emissions as shown in Fig. 4, with  
410 the peak value on April 11 reaching  $\sim 3$   $\mu\text{g}/\text{m}^3$ . One sensitivity experiment without biomass  
411 burning emissions shows that the simulated BC concentration at QOMS will be significantly  
412 reduced without the peak (not shown), which further proves that the BC concentration over the  
413 northern Himalayas can be largely influenced by the pollution episode near the southern  
414 Himalayas. It is noteworthy that both simulations can reproduce the episode in time and  
415 magnitude, and the difference at this station is small. The spatial distribution of difference in  
416 near-surface BC concentration between the two simulations (Fig. S2) is more heterogeneous  
417 than that of column BC mass (Fig. 5), reflecting the impact of topography on near-surface  
418 transport (see the discussion in Section 3.2).

419

### 420 3.2 Transport flux into the TP

421 To further understand the difference in BC near-surface concentration and column mass  
422 loading over the TP between the two simulations with different topography, Figure 9 shows  
423 the longitude-height cross section of BC transport flux along the cross line (shown as the black  
424 dash line in Fig. 3) from the two simulations at local time (LT) 03:00 and 15:00 averaged for  
425 April 1-20 to represent nighttime and daytime transport, respectively. The PBL height along  
426 the cross line is also shown as the black dash line. The transport flux is calculated by projecting  
427 the wind field perpendicularly to the cross line and then multiplying the BC mass concentration  
428 along the cross line. More specifically, the transport flux is calculated as following:

$$429 \quad \text{TF} = C * (u * \sin \alpha + v * \sin \beta) \quad (1)$$

430 Where  $\alpha$  is the angle between east-west wind component and the cross line,  $\beta$  is the angle  
431 between south-north wind component and the cross line, and  $C$  is the BC mass concentration  
432 at the grid along the cross line. The flux is estimated at each model level. Positive values  
433 represent the transport towards the TP, while negative values represent the transport away from  
434 the TP. It is evident that BC is imported into the TP during the day and night on the west of  
435  $\sim 85^\circ\text{E}$ , although the transport flux is much larger during the daytime than nighttime. On the  
436 east of  $\sim 85^\circ\text{E}$ , BC is imported into the TP during the day but exported slightly from the TP  
437 during the night. The difference of transport flux between ~~on the western and east of  $\sim 85^\circ\text{E}$~~   
438 eastern Himalayas is primarily due to the influence of large-scale westerly that is ~~relatively~~  
439 ~~weak on over the east of  $\sim 85^\circ\text{E}$  compared with the western Himalayas~~ (Fig. 5). ~~If removing~~  
440 ~~the mean flux during the simulation period, the~~ ~~The~~ transport flux anomalies show evident

441 ~~diurnal variation between the day and night (Fig. across the western Himalayas is controlled~~  
442 ~~by S3 in the supporting material). This suggests that on average, the large-scale westerly is one~~  
443 ~~of the key mechanisms transporting BC across the Himalayas into the TP, while the circulation~~  
444 ~~anomalies strengthen the prevailing import local southerly dominates the transport across the~~  
445 ~~eastern Himalayas and also influences the transport across the central Himalayas (Fig. S3 in~~  
446 ~~the supporting material). during the The stronger diurnal variation of local southerly (towards~~  
447 ~~the TP in the daytime to away from the TP in the nighttime) than that of westerly near the~~  
448 ~~surface (Fig. S3) leads to the large difference in diurnal variation of transport between the~~  
449 ~~western and weaken the import during the night, particularly in the west of 85°E.eastern~~  
450 ~~Himalayas. The strong transport is primarily within the PBL during the daytime, and the deeper~~  
451 ~~PBL during the daytime allows BC over the source region mixed to higher altitude, which also~~  
452 ~~leads to stronger import transport during the day than the night. The relatively small difference~~  
453 ~~in simulated PBL heights and structure between the two experiments can be due to their~~  
454 ~~different surface heating resulted from different topography complexity (e.g., Wagner et al.,~~  
455 ~~2014).~~

456 The difference between the simulations with two different topography is evident. The  
457 mountain ridges are much higher and valleys are much deeper with the complex topography  
458 than with the smooth topography. The simulation with smooth topography produces  
459 overwhelming crossing-Himalayas transport towards the TP within the PBL, in particular  
460 during the daytime. Although, in the simulation with complex topography, the mountain ridges  
461 resolved weaken the crossing-Himalayas transport compared to the simulation with smooth  
462 topography, the overall positive values near the surface indicate that the transport can overcome  
463 most mountain ridges along the Himalayas. The transport fluxes near the surface from the  
464 simulation with complex topography become close-to-zero only at a few mountain ridges that  
465 are 6.5 km or higher. To better demonstrate the transport pathway across mountain ridges, one  
466 cross-section across the mountain ridge as shown as one black solid line in Fig. 3 is taken as  
467 one example. Figure 10 shows the latitude-height cross section of BC mass concentration and  
468 transport flux across one mountain ridge from the simulations with complex and smooth  
469 topography at local time (LT) 03:00 and 15:00 averaged for April 1-20, 2016. Near the southern  
470 part of mountain, the elevated concentration of BC mass accumulates and can mix up reaching  
471 as high as 5 km with the much stronger transport during the daytime. It is obvious that the  
472 mountain ridge in the simulation with smooth topography is quite low. With the high mountain  
473 ridge resolved by the complex topography, the simulated BC transport flux can still cross the  
474 mountain. Analysis of transport flux across a few more mountain ridges indicates similar

475 results (not shown). The results above indicate that the transport of pollutants can cross a  
476 majority of mountain ridges of Himalayas, which is consistent with the observation-based  
477 estimate by Gong et al. (2019) that also found pollutants could overcome the blocking effect  
478 of mountain ridges of Himalayas as a transport pathway. On the other hand, the resolved deeper  
479 valleys in the simulation with complex topography enhance the transport flux compared to the  
480 one with the smooth topography. Similarly, Figure 11 shows one example of latitude-height  
481 cross section of BC mass concentration and transport flux across one valley from the  
482 simulations with complex and smooth topography at local time (LT) 03:00 and 15:00 averaged  
483 for April 1-20, 2016. The transport is much stronger and deeper along the valley from the  
484 simulation with complex topography than the one with smooth topography. Again, analysis of  
485 transport flux across a few more valleys does not show different results (not shown).

486 In order to further demonstrate the overall inflow flux across the Himalayas, the vertically  
487 integrated BC mass flux along the longitudinal cross section (as shown in Fig. 9) from the  
488 simulations with different topography is shown in Figure 12. The terrain heights from the two  
489 simulations along the cross section are also shown as black lines. The total mass flux is  
490 calculated by integrating the right-hand term of equation (1) as following:

$$491 \quad \text{ITF} = \int_{z=z_{sfc}}^{z=z_{top}} \delta z * C * (u * \sin \alpha + v * \sin \beta) \quad (2)$$

492 Where  $\delta z$  is the thickness of each vertical model level. Similarly, positive values represent  
493 the transport towards the TP, while negative values represent the transport away from the TP.  
494 More evidently, the positive BC inflows towards the TP occur not only through the valleys but  
495 also across the mountain ridges with both topography. The negative values only exist to the  
496 east of 88°E. With complex topography, higher mountain ridges can reduce the transport flux  
497 to some extent compared to the smooth topography. The complex topography results in  
498 significantly larger BC inflow towards the TP compared to the smooth topography, particularly  
499 corresponding to the deep valleys, such as the Karnali River Valley around 82°E and the Kali  
500 Gandaki Valley around 84°E.

501 One reason for the enhanced transport across the Himalayas with the complex topography  
502 is the resolved deeper valleys that lead to the increased valley wind. ~~The wind across the valleys  
503 can be significantly larger with the complex topography than the smooth one (Fig. S4). The  
504 enhanced valley wind across the Himalayas has also been found by previous studies with  
505 observations and numerical simulations (Egger et al., 2000; Zängl et al., 2001; Carrera et al.,  
506 2009; Karki et al., 2017; Lin et al., 2018). The second impact of resolved complex topography  
507 on the BC transport is that more BC masses can be transported with the deeper valley channels~~

508 (Fig. S5a, b). With deeper valley, the column of high concentration BC is deeper. Even with  
509 similar wind velocity, the transport flux can be larger. The third impact is through changing  
510 the small scale circulation around the Himalayas due to the increase of topography complexity  
511 of Himalayas. The simulation with complex topography produces more near surface winds  
512 following the direction towards the TP compared to the one with smooth topography (Fig. S6),  
513 which favors the BC transport across the Himalayas. Lastly, the simulated PBL heights from  
514 the two experiments are a little different (Fig. 9), which may also contribute partly to the  
515 different transport flux. The sensitivity of PBL height and structure to topography complexity  
516 that can result in different surface heat has been studied before (e.g., Wagner et al., 2014). The  
517 wind across some valleys can be significantly larger with the complex topography than the  
518 smooth one (Fig. S3). The enhanced valley wind across the Himalayas has also been found by  
519 previous studies with observations and numerical simulations (e.g., Egger et al., 2000; Zängl  
520 et al., 2001; Carrera et al., 2009; Karki et al., 2017; Lin et al., 2018). However, it is noteworthy  
521 that previous studies have found that the orographic drag (including gravity wave drag and  
522 turbulence orographic form drag) over the region with complex topography, such as the  
523 Himalayas and other mountainous areas, would weaken the overall near-surface wind speed  
524 (e.g., Beljaars et al., 2004; Horvath et al., 2012; Jiménez and Dudhia, 2012; Zhou et al., 2017,  
525 2018; Lin et al., 2018; Wang et al., 2020). Therefore, the near-surface wind speed is also  
526 examined. The complex topography does lead to the overall reduction of near-surface wind  
527 speed over the Himalayas area (Fig. S4 in the supporting material), which is consistent with  
528 previous studies. However, it is interesting to note that the near-surface southerly wind during  
529 the daytime of the simulation period is overall increased over the Himalayas area with the  
530 complex topography (Fig. 13), which indicates that the transport towards the TP is strengthened  
531 with the complex topography in the daytime, particularly over the central and eastern  
532 Himalayas where the BC mass loading is higher (Fig. 5). During the night, the meridional wind  
533 is dominated by northerly over the Himalayas region in the simulation with the smooth  
534 topography. The complex topography weakens the transport away from the TP or change the  
535 wind direction from northerly to southerly over some areas of Himalayas. Both effects enhance  
536 the overall transport efficiency across the Himalayas towards the TP. Therefore, although the  
537 complex topography weakens the overall near-surface wind speed around the Himalayas, it  
538 induces more realistic small-scale mountain-valley circulation that favors the BC transport  
539 across the Himalayas towards TP during the study period. Another effect of resolving valleys  
540 is that the volume of relatively-high-concentration BC could be higher with deeper valleys (Fig.  
541 S5 in the support material), which can also result in stronger transport towards the TP even if

542 the wind condition is similar. For example, the altitude (above the ground) below which the  
543 BC mass concentration is larger than 0.3 ug/m<sup>3</sup> is much higher along the valleys with the  
544 complex topography than with the smooth topography (Fig. S6 in the support material). The  
545 correlation coefficient between the difference of terrain heights of valleys and of volumes of  
546 relatively-high-concentration BC can reach -0.76, indicating that the lower the valleys are, the  
547 higher the volumes of BC mass can be transported across the Himalayas. The combined  
548 influence of these factors results in significantly enhanced BC transport towards the TP with  
549 the complex topography (Fig. 12), which can also be demonstrated by the distributions of wind  
550 and BC mass concentration along the longitudinal cross section (Fig. S7a, b in the support  
551 material).

552 ThisThe enhanced transport across the Himalayas turns out that the overall BC inflow with  
553 the complex topography is much stronger than that with the smooth topography. Figure 1314  
554 shows the accumulated integrated total transport flux of BC across the Himalayas estimated  
555 from the simulations with complex and smooth topography for April 1-20, 2016. The  
556 accumulated import flux of BC increases during the period in both experiments, and the  
557 difference between the two experiments gradually increases with the time. At the end of period,  
558 the simulation with complex topography estimates a total import flux of BC of  $\sim 1.5 \times 10^4$  Ton  
559 that is  $\sim 50\%$  higher than  $\sim 1.0 \times 10^4$  Ton estimated based on the simulation with smooth  
560 topography. The sensitivity analysis by moving the cross line (cross-section of the analysis in  
561 Fig. 9, 12, 1314) towards or away from the TP within a certain distance and re-calculating the  
562 flux indicates that the impacts of topography on the simulated results do not change  
563 significantly.

564 All the analysis above focuses on investigating the BC transport flux across the Himalayas.  
565 Although the inflow can reflect the impact of transport on the BC mass over the TP to some  
566 extent, the change of BC mass concentration is eventually determined by the convergence of  
567 transport. Therefore, the contribution of each model process (transport, dry-deposition,  
568 emission, PBL mixing, and wet deposition) to the increase of BC column mass averaged over  
569 the TP (with elevation  $> 4$  km) during this episode is analyzed for both simulations following  
570 the methodology introduced by Du et al. (2020). The results show that the two main processes  
571 affecting the BC column mass over the TP during the period are transport and dry deposition.  
572 The transport is the dominant process that increases the BC column mass over the TP, while  
573 the dry deposition reduces it. The contribution of transport to the increase of BC column mass  
574 over the TP during the episode from the simulation with complex topography is significantly

575 larger than that with the smooth topography, which is consistent with the results shown by  
576 analyzing the transport flux across the Himalayas. Although the impacts of PBL mixing and  
577 wet deposition on the BC column mass over the TP are also different between the simulations  
578 with different topography, their impacts are much smaller than those of transport and dry  
579 deposition during the study period.

580

### 581 3.3 Radiative forcing of BC over the TP

582 The BC transported over the TP could significantly influence the regional climate and  
583 water resources over Asia through heating the atmosphere and accelerating the melting of snow  
584 and glacier (e.g., Qian et al., 2011, 2015; Lau et al., 2017). Therefore, the impact of the complex  
585 topography on estimating the BC radiative heating profile in the atmosphere and radiative  
586 forcing in surface snow deserves investigation. Figure 4415 shows the vertical profiles of BC  
587 induced radiative heating rate in the atmosphere averaged over the TP (with elevation > 4 km)  
588 within the inner domain shown in Fig.1 for April 1-20, 2016 from the simulations with complex  
589 and smooth topography. Both simulations generate higher BC heating rate near the surface and  
590 the rate gradually decreases with altitude, which is consistent with the vertical profiles of BC  
591 mass concentration averaged over the TP (Fig. S7S8 in the supporting material). The BC  
592 heating rate over the TP from the simulation with complex topography is ~0.17 K/day near the  
593 surface and reduces to ~0.08 K/day at 8 km, which is ~50% and ~30%, respectively, higher  
594 than that from the simulation with smooth topography at the corresponding altitudes. The  
595 higher BC heating rate over the TP estimated by the simulation with complex topography is  
596 consistent with its higher BC column mass (Fig. 5) and concentration profile (Fig. S7S8).

597 The BC radiative forcing in surface snow is controlled by both the distributions of BC  
598 mass concentration and snow coverage (e.g., Zhao et al., 2014). Figure 4516 shows the spatial  
599 distributions of snow water equivalent (SWE) averaged for April 1-20, 2016 from the  
600 simulations with two topography. The difference between the two is also shown. It shows that  
601 the simulation with complex topography generates more areas with higher SWE compared to  
602 that with the smooth topography over the TP. Along the Himalayas, the simulated SWE is  
603 higher over the mountain ridges with the complex topography, particularly for the East  
604 Himalayas, while the smooth topography leads to broader snow coverage over the West  
605 Himalayas. The difference in SWE between the two simulations is highly correlated with their  
606 difference in precipitation (Fig. S8S9 in the supporting material). Along the Himalayas, the  
607 simulated precipitation with the complex topography is larger than that with the smooth  
608 topography at the mountain ridges and smaller at the valleys. Over the TP, the overall

609 precipitation is larger with the complex topography than that with the smooth topography (Fig.  
610 [S8S9](#)). Previous studies have found that the topography could significantly affect the  
611 precipitation over the Himalayas region (e.g., Bookhagen and Burbank, 2010; Wulf et al., 2016;  
612 Cannon et al., 2017; Karki et al., 2017).

613 Figure [4617](#) shows the spatial distributions of BC radiative forcing in the surface snow  
614 over the TP averaged for April 1-20, 2016 from the simulations with two topography, and the  
615 difference between the two is also shown. The BC radiative forcing in surface snow is largely  
616 coincident with the spatial distributions of SWE as shown in Fig. [4516](#), mainly due to the  
617 heterogeneous distributions of snow cover over the TP. The BC radiative forcing in surface  
618 snow over the TP from the simulation with complex topography reaches  $5 \text{ W/m}^2$  where the  
619 snow exists, larger than that with the smooth topography. Along the Himalayas, the simulation  
620 with complex topography produces higher BC snow forcing over the mountain ridges,  
621 particularly over the eastern Himalayas, while the one with the smooth topography simulates  
622 higher BC snow forcing over most areas of western Himalayas due to its broader snow  
623 coverage there. Overall, the complex topography leads to higher BC forcing in snow over the  
624 TP and the eastern Himalayas and lower BC forcing in snow over the western Himalayas, and  
625 therefore results in the different distribution of BC forcing in snow over the TP and Himalayas,  
626 compared to that with the smooth topography.

627

#### 628 **4. Summary-and-discussion**

629 In this study, the model experiments with different topography are conducted to illustrate  
630 the impacts of complexity of topography of Himalayas on BC transport from South Asia to the  
631 TP. The observed pollution episode at the QOMS station besides the Mt. Everest during the  
632 pre-monsoon season is simulated. The observed [near](#)-surface BC concentration shows a peak  
633 of  $\sim 3 \text{ ug/m}^3$  much larger than the background value of  $< 0.4 \text{ ug/m}^3$  over the TP. The observed  
634 temporal variation of [near](#)-surface BC concentrations correlates highly with that of biomass  
635 burning emissions near the southern Himalayas, indicating the significant impacts of biomass  
636 burning on the pollutants over the TP. The simulations can reproduce the episode in time and  
637 magnitude, and are used to investigate the BC transport mechanisms and the impacts of  
638 topography.

639 The high BC mass loading during the simulation period accumulates near the southern  
640 Himalayas driven by the large-scale [circulation](#)[westerly](#) and [small-scale](#) [southerly](#) [circulations](#),  
641 which is also observed by satellites. The modeling results demonstrate that the [westerly](#)

642 ~~favors circulations favor~~ the accumulation of pollutants near the ~~southern~~ Himalayas,  
643 ~~particularly over the central and eastern parts,~~ and can carry the pollutants to the TP during the  
644 ~~day and night~~study period, which is consistent with previous modeling studies (e.g., Kopacz et  
645 al., 2011). ~~The transport is stronger across the West Himalayas than that across the East. The~~  
646 ~~deeper PBL during the daytime allows BC over the source region mixed to higher altitude,~~  
647 ~~which also leads to stronger import transport during the day than the night.~~ It is noteworthy  
648 that the BC accumulated near the southern Himalayas can be transported across the Himalayas  
649 overcoming a majority of mountain ridges, which is consistent with the observation-based  
650 estimate by Gong et al. (2019) that also found pollutants could overcome the blocking effect  
651 of the mountain ridges of Himalayas. However, the transport through the valleys is found much  
652 stronger and more efficient than across the mountain ridges and the enhancement effect cannot  
653 be ignored. The complex topography results in 50% higher overall transport flux across the  
654 Himalayas during the simulation period than that with the smooth topography, primarily due  
655 to the ~~enhanced valley wind, deeper valley channels, and induced small-scale favorable~~  
656 ~~circulation strengthened efficiency of near-surface meridional transport towards the TP,~~  
657 ~~enhanced wind speed at some valleys, and deeper valley channels associated with larger BC~~  
658 ~~mass volume that can be transported into the TP, although the overall wind speed is weakened~~  
659 ~~due to the orographic drags with the complex topography.~~ This turns out that the simulation  
660 with complex topography produces 30-50% higher BC radiative heating rate in the atmosphere  
661 up to 10 km averaged over the TP than does the simulation with smooth topography.

662 ~~Previous studies also found the induced change of circulation and transport due to the~~  
663 ~~complex topography at convection permitting scales with the focus on the meteorological~~  
664 ~~fields (e.g., Karki et al., 2017; Lin et al., 2018). However, most of them conducted the sub-10~~  
665 ~~km simulations over a much smaller region (e.g., 101×96 grids at 5 km in Karki et al., 2017,~~  
666 ~~and 181×121 grids at 2 km in Lin et al., 2018) compared to this study (400×300 grids at 4 km).~~  
667 ~~Karki et al. (2017) found that the complex topography resolving more valleys and mountain~~  
668 ~~ridges yielded more realistic strong and narrower winds and also small-scale mountain valley~~  
669 ~~circulations over the Himalayas region compared to the smoother topography. Lin et al. (2018)~~  
670 ~~analyzed the simulations over the region situated in the central Himalayas (87°E 89°E) with~~  
671 ~~very complex terrain including several high mountains and low valleys, e.g., Mt. Everest, Mt.~~  
672 ~~Kanchenjunga, and the Yadong Valley. Although Lin et al. (2018) simulated enhanced~~  
673 ~~moisture flux along the valley, the overall moisture transported was lower with the complex~~  
674 ~~topography (10 km resolution) compared to that with the smooth topography (30 km~~

675 ~~resolution). The difference between their study and this study can be due to several factors.~~  
676 ~~First, Lin et al. (2018) focused on a relatively small region of Himalayas (87°E–89°E) compared~~  
677 ~~to that in this study (75°E–92°E). The lower lever transport flux simulated in this study also~~  
678 ~~exhibits weaker wind with complex topography between 87°E and 89°E (Fig. 9 and 12), maybe~~  
679 ~~due to several very high mountains such as Mt. Everest and Mt. Kanchenjunga over this area.~~  
680 ~~Second, the spatial (horizontal and vertical) distributions between air pollutants and moisture~~  
681 ~~are also different and may contribute partly to the different impacts of topography on the overall~~  
682 ~~transport flux across the Himalayas.~~

683 For the BC radiative forcing in surface snow, the simulation with complex topography  
684 produces stronger forcing over the TP than that with the smooth one. The complex topography  
685 makes the distribution of BC forcing in surface snow quite different from the simulation with  
686 smooth topography, partly due to its different distribution of surface snow. The simulated BC  
687 radiative forcing in snow is distributed more heterogeneously than those in previous studies  
688 using global models at relatively coarse resolutions (e.g., Qian et al., 2011). He et al. (2014)  
689 used a global chemical transport model to simulate the BC forcing in snow at the horizontal  
690 resolution of  $\sim 0.2^\circ$  and obtained the similar distribution as the simulation with smooth  
691 topography in this study with the high values over the western Himalayas. However, their  
692 simulated values near the Himalayas are higher than the simulated results of this study, which  
693 may be due to their estimation are averaged for November–April.

694 This study highlights the importance of resolving complex topography of the Himalayas  
695 in modeling the aerosol transport across the Himalayas and radiative impact over the TP.  
696 Although this study focuses on the impacts of topography on the simulated results, the  
697 additional analysis (Fig. [S9–11S10–12](#) in the supporting material) of the outer domain  
698 simulation at 20–km resolution and the inner domain simulation at 4 km with different  
699 topography indicates that the resolution-dependent difference between 20 km and 4 km is  
700 largely contributed by their different representations of topography over the Himalayas region,  
701 consistent with previous studies (e.g., Karki et al., 2017; Lin et al., 2018). Climate models at  
702 coarser horizontal resolutions than 20 km and thus with relatively smooth topography may  
703 underestimate the aerosol transport from South Asia to the TP during the pre-monsoon season  
704 and represent inappropriately the aerosol radiative forcing in the atmosphere and surface snow  
705 over the TP.

706

707 **5. Discussion**

708 Previous studies also found the induced change of circulation and transport due to the  
709 complex topography at convection-permitting scales with the focus on the meteorological  
710 fields over the Himalayas and TP regions (e.g., Karki et al., 2017; Zhou et al., 2017, 2018; Lin  
711 et al., 2018; Wang et al., 2020). Most of them either conducted the sub-10 km simulations  
712 covering a relatively smaller region (e.g., 101×96 grids at 5 km in Karki et al., 2017; 181×121  
713 grids at 2 km in Lin et al., 2018; ~330×230 grids at 3 km in Wang et al., 2020) compared to  
714 this study (400×300 grids at 4 km) or conducted the simulations covering the entire Himalayas  
715 but at the resolutions above 10 km and with the sub-grid orographic drag parameterization to  
716 consider the impact of complex topography. Although some of previous studies also showed  
717 that the resolved complex topography yielded more realistic small-scale mountain-valley  
718 circulations and enhanced valley winds over the Himalayas region compared to the smoother  
719 topography, the overall moisture transport across the Himalayas towards the TP was weaker  
720 with the complex topography due to the orographic drags.

721 The difference between previous studies and this study can be due to several factors. First,  
722 previous studies focused on moisture instead of air pollutants. The spatial (horizontal and  
723 vertical) distributions between air pollutants and moisture are different and may contribute to  
724 the different impacts of topography on the overall transport flux across the Himalayas.  
725 However, the analysis of the moisture from the simulations in this study shows the increase of  
726 moisture transport (not shown) and hence the increase of precipitation over the TP with the  
727 complex topography (Fig. S9). Second, most of previous studies focused on monsoon season  
728 instead of pre-monsoon season. Therefore, the meteorological simulations for monsoon season  
729 (June-July-August) at different resolutions are also conducted in this study. The results show  
730 that the moisture transport and precipitation are reduced at the higher resolution with complex  
731 topography and the meridional wind is overall weakened particularly over the central and  
732 eastern Himalayas and TP (not shown), which is consistent with previous studies. This may  
733 indicate that the different large-scale circulations between the two seasons (much stronger  
734 southerly during the monsoon season) may also lead to different impacts of complex  
735 topography on meridional winds and hence cross-Himalayas transport.

736 Since this study only demonstrates the potential impacts for a relatively short period, a  
737 longer-term study should be conducted to examine the impacts of topography on aerosol  
738 climatic effect over the TP, in both pre-monsoon and monsoon seasons. In addition, the active  
739 convection during the monsoon season may also play an important role on pollutant transport  
740 across the Himalayas, which deserves further investigation. Furthermore, aerosol impact on

741 cloud and precipitation, particularly during the monsoon season, and thus on the latent heat in  
742 the atmosphere and the associated responses may also depend on the complex topography.  
743 Previous studies based on observations found that the rain frequency and intensity reached the  
744 highest and the cloud thickness reached the deepest at the foothill of Himalayas and decreased  
745 as the elevation increased up to the TP (e.g., Chen et al., 2017; Fu et al., 2018; Zhang et al.,  
746 2018), which was explained by Fu et al. (2018) due to the blocking of the air flow by the steep  
747 slope of southern Himalayas. However, the large amount of transported aerosol along the slope  
748 from the foothill up to the TP may also play a role. These potential impacts of aerosols on  
749 regional hydro-climate around the TP and over Asia using high-resolution model that can  
750 resolve the complex topography of Himalayas and TP deserve further investigation.

751

## 752 **Data availability**

753 The released version of WRF-Chem can be downloaded from  
754 [http://www2.mmm.ucar.edu/wrf/users/download/get\\_source.html](http://www2.mmm.ucar.edu/wrf/users/download/get_source.html). The updated USTC  
755 version of WRF-Chem can be downloaded from <http://aemol.ustc.edu.cn/product/list/> or  
756 contact [chunzhao@ustc.edu.cn](mailto:chunzhao@ustc.edu.cn). Also, the code modifications will be incorporated the release  
757 version of WRF-Chem in future.

758

## 759 **Author contributions**

760 Meixin Zhang and Chun Zhao designed the experiments, conducted and analyzed the  
761 simulations. All authors contributed to the discussion and final version of the paper.

762

## 763 **Acknowledgements**

764 This research was supported by the National Key Research and Development Program of  
765 China (2016YFA0602001), the National Natural Science Foundation of China NSFC (Grant  
766 No. 91837310), the second Tibetan Plateau Scientific Expedition and Research Program (STEP)  
767 (2019QZKK0605), and the Fundamental Research Funds for the Central Universities. The  
768 study used computing resources from the High-Performance Computing Center of University  
769 of Science and Technology of China (USTC) and the TH-2 of National Supercomputer Center  
770 in Guangzhou (NSCC-GZ).

771

772 **Reference**

777 Bansal, O., Singh, A., and Singh, D.: Characteristics of Black Carbon aerosols over Patiala  
778 Northwestern part of the IGP: Source apportionment using cluster and CWT analysis,  
779 Atmospheric Pollution Research, 10, 244–256, doi:10.1016/j.apr.2018.08.001, 2019.

780 Barnard, J. C., Fast, J. D., Paredes-Miranda, G., Arnott, W. P., and Laskin, A.: Technical Note:  
781 Evaluation of the WRF-Chem "Aerosol Chemical to Aerosol Optical Properties" Module  
782 using data from the MILAGRO campaign, Atmos. Chem. Phys., 10, 7325–7340,  
783 doi:10.5194/acp-10-7325-2010, 2010.

784 Beljaars, A. C., Brown, A. R., and Wood, N.: A new parametrization of turbulent orographic  
785 form drag, QJ Roy. Meteorol. Soc., 130, 1327-1347, doi: 10.1256/qj.03.73, 2004.

786 Barnett, T. P., Adam, J. C., and Lettenmaier, D. P.: Potential impacts of a warming climate on  
787 water availability in snow-dominated regions, Nature, 438, 303–309,  
788 doi:10.1038/nature04141, 2005.

789 Binkowski, F. S. and Shankar, U.: The Regional Particulate Matter Model: 1. Model  
790 description and preliminary results, J. Geophys. Res., 100, 26191, doi:10.1029/95JD02093,  
791 1995.

792 Bookhagen, B. and Burbank, D. W.: Toward a complete Himalayan hydrological budget:  
793 Spatiotemporal distribution of snowmelt and rainfall and their impact on river discharge, J.  
794 Geophys. Res., 115, 39, doi:10.1029/2009JF001426, 2010.

795 Boos, W. R. and Kuang, Z.: Sensitivity of the South Asian monsoon to elevated and non-  
796 elevated heating, Scientific reports, 3, 1192, doi:10.1038/srep01192, 2013.

797 Cannon, F., Carvalho, L. M. V., Jones, C., Norris, J., Bookhagen, B., and Kiladis, G. N.: Effects  
798 of topographic smoothing on the simulation of winter precipitation in High Mountain Asia,  
799 J. Geophys. Res. Atmos., 122, 1456–1474, doi:10.1002/2016JD026038, 2017.

800 Cao, J., Tie, X., Xu, B., Zhao, Z., Zhu, C., Li, G., and Liu, S.: Measuring and modeling black  
801 carbon (BC) contamination in the SE Tibetan Plateau, Journal of Atmospheric Chemistry,  
802 67, 45-60, doi:10.1007/s10874-011-9202-5, 2010.

803 Carrera, M. L., Gyakum, J. R., and Lin, C. A.: Observational Study of Wind Channeling within  
804 the St. Lawrence River Valley, J. Appl. Meteor. ClimatolMeteorol. Clim., 48, 2341–2361,  
805 doi:10.1175/2009JAMC2061.1, 2009.

806 Chapman, E. G., Gustafson, W. I., Easter, R. C., Barnard, J. C., Ghan, S. J., Pekour, M. S., and  
807 Fast, J. D.: Coupling aerosol-cloud-radiative processes in the WRF-Chem model:

808 Investigating the radiative impact of elevated point sources, *Atmos. Chem. Phys.*, 9, 945–  
809 964, doi:10.5194/acp-9-945-2009, 2009.

810 Chen, J. and Bordoni, S.: Orographic Effects of the Tibetan Plateau on the East Asian Summer  
811 Monsoon: An Energetic Perspective, *J. Climate*, 27, 3052–3072, doi:10.1175/JCLI-D-13-  
812 00479.1, 2014.

813 Chen, X., Kang, S., Cong, Z., Yang, J., and Ma, Y.: Concentration, temporal variation, and  
814 sources of black carbon in the Mt. Everest region retrieved by real-time observation and  
815 simulation, *Atmos. Chem. Phys.*, 18, 12859–12875, doi:10.5194/acp-18-12859-2018, 2018.

816 Chen, Y., Fu, Y., Xian, T., and Pan, X.: Characteristics of cloud cluster over the steep southern  
817 slopes of the Himalayas observed by CloudSat, *Int. J. Climatol.*, 37, 4043–4052,  
818 doi:10.1002/joc.4992, 2017.

819 Cong, Z., Kang, S., and Qin, D.: Seasonal features of aerosol particles recorded in snow from  
820 Mt. Qomolangma (Everest) and their environmental implications, *Journal of environmental  
821 sciences (China)*, 21, 914–919, doi:10.1016/S1001-0742(08)62361-X, 2009.

822 Cong, Z., Kang, S., Kawamura, K., Liu, B., Wan, X., Wang, Z., Gao, S., and Fu, P.:  
823 Carbonaceous aerosols on the south edge of the Tibetan Plateau: concentrations, seasonality  
824 and sources, *Atmos. Chem. Phys.*, 15, 1573–1584, doi:10.5194/acp-15-1573-2015, 2015a.

825 Cong, Z., Kawamura, K., Kang, S., and Fu, P.: Penetration of biomass-burning emissions from  
826 South Asia through the Himalayas: new insights from atmospheric organic acids, *Scientific  
827 reports*, 5, 9580, doi:10.1038/srep09580, 2015b.

828 Dentener, F., Kinne, S., Bond, T., Boucher, O., Cofala, J., Generoso, S., Ginoux, P., Gong, S.,  
829 Hoelzemann, J. J., Ito, A., Marelli, L., Penner, J. E., Putaud, J. P., Textor, C., Schulz, M.,  
830 van der Werf, G. R., and Wilson, J.: Emissions of primary aerosol and precursor gases in the  
831 years 2000 and 1750, prescribed data-sets for AeroCom, *Atmos. Chem. Phys.*, 6, 4321–4344,  
832 doi:10.5194/acp-6-4321-2006, 2006.

833 Ding, Y., Sun, Y., Wang, Z., Zhu, Y., and Song, Y.: Inter-decadal variation of the summer  
834 precipitation in China and its association with decreasing Asian summer monsoon Part II:  
835 Possible causes, *Int. J. Climatol.*, 29, 1926–1944, doi:10.1002/joc.1759, 2009.

836 Du, Q., Zhao, C., Zhang, M., Dong, X., Chen, Y., Liu, Z., Hu, Z., Zhang, Q., Li, Y., Yuan, R.,  
837 , and Miao, S.: Modelling diurnal variation of surface PM2.5 concentration over East China  
838 with WRF-Chem: Impacts from boundary layer mixing and anthropogenic  
839 emission, *Atmos. Chem. Phys. Discuss.*, [https://doi.org/10.5194/acp-2019-  
840 739](https://doi.org/10.5194/acp-2019-739), in review, 2020.

841 Duan, A. M. and Wu, G. X.: Role of the Tibetan Plateau thermal forcing in the summer climate  
842 patterns over subtropical Asia, *Climate Dynamics*, 24, 793–807, doi:10.1007/s00382-004-  
843 0488-8, 2005.

844 Dubovik, O. and King, M. D.: A flexible inversion algorithm for retrieval of aerosol optical  
845 properties from Sun and sky radiance measurements, *J. Geophys. Res.*, 105, 20673–20696,  
846 doi:10.1029/2000JD900282, 2000.

847 Dubovik, O., Holben, B., Eck, T. F., Smirnov, A., Kaufman, Y. J., King, M. D., Tanré, D., and  
848 Slutsker, I.: Variability of Absorption and Optical Properties of Key Aerosol Types  
849 Observed in Worldwide Locations, *J. Atmos. Sci.*, 59, 590–608, doi:10.1175/1520-  
850 0469(2002)059<0590:VOAAOP>2.0.CO;2, 2002.

851 Dumka, U. C., Moorthy, K. K., Kumar, R., Hegde, P., Sagar, R., Pant, P., Singh, N., and Babu,  
852 S. S.: Characteristics of aerosol black carbon mass concentration over a high altitude location  
853 in the Central Himalayas from multi-year measurements, *Atmospheric Research*, 96, 510–  
854 521, doi:10.1016/j.atmosres.2009.12.010, 2010.

855 Easter, R. C., Ghan, S. J., Zhang, Y., Saylor, R. D., Chapman, E. G., Laulainen, N. S., Abdul-  
856 Razzak, H., Leung, L. R., Bian, X., and Zaveri, R. A.: MIRAGE: Model Description and  
857 Evaluation of Aerosols and Trace Gases, *J. Geophys. Res.*, 109, D20210,  
858 doi:10.1029/2004JD004571, 2004.

859 Egger, J., Bajracharya, S., Egger, U., Heinrich, R., Reuder, J., Shakya, P., Wendt, H., and Wirth,  
860 V.: Diurnal winds in the Himalayan Kali Gandaki Valley. Part I: Observations, *Mon. Weather Rev.*, 128, 1106–1122, 2000.

862 Engling, G. and Gelencser, A.: Atmospheric Brown Clouds: From Local Air Pollution to  
863 Climate Change, *Elements*, 6, 223–228, doi:10.2113/gselements.6.4.223, 2010.

864 Fan, J., Rosenfeld, D., Yang, Y., Zhao, C., Leung, L. R., and Li, Z.: Substantial contribution  
865 of anthropogenic air pollution to catastrophic floods in Southwest China, *Geophys. Res. Lett.*,  
866 42, 6066–6075, doi:10.1002/2015GL064479, 2015.

867 Fast, J. D., Gustafson Jr, W. I., Easter, R. C., Zaveri, R. A., Barnard, J. C., Chapman, E. G.,  
868 Grell, G. A., and Peckham, S. E.: Evolution of ozone, particulates, and aerosol direct  
869 radiative forcing in the vicinity of Houston using a fully coupled meteorology-chemistry-  
870 aerosol model, *J. Geophys. Res.*, 111, D21305, doi:10.1029/2005JD006721, 2006.

871 Feng, Y., Kotamarthi, V. R., Coulter, R., Zhao, C., and Cadeddu, M.: Radiative and  
872 thermodynamic responses to aerosol extinction profiles during the pre-monsoon month over  
873 South Asia, *Atmos. Chem. Phys.*, 16, 247–264, doi:10.5194/acp-16-247-2016, 2016.

874 Flanner, M. G. and Zender, C. S.: Snowpack radiative heating: Influence on Tibetan Plateau  
875 climate, *Geophys. Res. Lett.*, 32, L06501, doi:10.1029/2004GL022076, 2005.

876 Fu, Y., Pan, X., Xian, T., Liu, G., Zhong, L., Liu, Q., Li, R., Wang, Y., and Ma, M.:  
877 Precipitation characteristics over the steep slope of the Himalayas in rainy season observed  
878 by TRMM PR and VIRS, *Climate dynamics*, 51, 1971-1989, doi: 10.1007/s00382-017-  
879 3992-3, 2018.

880 Gao, Y., Zhao, C., Liu, X., Zhang, M., and Leung, L. R.: WRF-Chem simulations of aerosols  
881 and anthropogenic aerosol radiative forcing in East Asia, *Atmospheric Environment*, 92,  
882 250–266, doi:10.1016/j.atmosenv.2014.04.038, 2014.

883 Ginoux, P., Chin, M., Tegen, I., Prospero, J. M., Holben, B., Dubovik, O., and Lin, S.-J.:  
884 Sources and distributions of dust aerosols simulated with the GOCART model, *J. Geophys.  
885 Res.*, 106, 20255–20273, doi:10.1029/2000JD000053, 2001.

886 Gong, P., Wang, X., Pokhrel, B., Wang, H., Liu, X., Liu, X., and Wania, F.: Trans-Himalayan  
887 Transport of Organochlorine Compounds: Three-Year Observations and Model-Based Flux  
888 Estimation, *Environ. Sci. Technol.*, 53, 6773–6783, doi:10.1021/acs.est.9b01223, 2019.

889 Gong, S. L.: A parameterization of sea-salt aerosol source function for sub- and super-micron  
890 particles, *Global Biogeochem. Cycles*, 17, n/a-n/a, doi:10.1029/2003GB002079, 2003.

891 Grell, G. A., Peckham, S. E., Schmitz, R., McKeen, S. A., Frost, G., Skamarock, W. C., and  
892 Eder, B.: Fully coupled “online” chemistry within the WRF model, *Atmospheric  
893 Environment*, 39, 6957–6975, doi:10.1016/j.atmosenv.2005.04.027, 2005.

894 Gustafson, W. I., E. G. Chapman, S. J. Ghan, R. C. Easter, and J. D. Fast: Impact on modeled  
895 cloud characteristics due to simplified treatment of uniform cloud condensation nuclei  
896 during NEAQS 2004, *Geophys. Res. Lett.*, 34, L19809, doi:10.1029/2007GL030021, 2007.

897 Hansen, J. and Nazarenko, L.: Soot climate forcing via snow and ice albedos, *Proceedings of  
898 the National Academy of Sciences*, 101, 423–428, doi:10.1073/pnas.2237157100, 2004.

899 He, C., Li, Q., Liou, K. N., Takano, Y., Gu, Y., Qi, L., Mao, Y., and Leung, L. R.: Black carbon  
900 radiative forcing over the Tibetan Plateau, *Geophys. Res. Lett.*, 41, 7806–7813,  
901 doi:10.1002/2014GL062191, 2014.

902 He, C., Wang, Z., Zhou, T., and Li, T.: Enhanced Latent Heating over the Tibetan Plateau as a  
903 Key to the Enhanced East Asian Summer Monsoon Circulation under a Warming Climate,  
904 *J. Climate*, 32, 3373–3388, doi:10.1175/JCLI-D-18-0427.1, 2019.

905 Hess, M., Koepke, P., and Schult, I.: Optical Properties of Aerosols and Clouds: The Software  
906 Package OPAC, *Bull. Amer. Meteor. Soc.*, 79, 831–844, doi:10.1175/1520-  
907 0477(1998)079<0831:OPOAAC>2.0.CO;2, 1998.

908 Hindman, E. E. and Upadhyay, B. P.: Air pollution transport in the Himalayas of Nepal and  
909 Tibet during the 1995–1996 dry season, *Atmospheric Environment*, 36, 727–739,  
910 doi:10.1016/S1352-2310(01)00495-2, 2002.

911 Holben, B. N., Eck, T. F., Slutsker, I., Tanré, D., Buis, J. P., Setzer, A., Vermote, E., Reagan,  
912 J. A., Kaufman, Y. J., Nakajima, T., Lavenu, F., Jankowiak, I., and Smirnov, A.:  
913 AERONET—A Federated Instrument Network and Data Archive for Aerosol  
914 Characterization, *Remote Sensing of Environment*, 66, 1–16, doi:10.1016/S0034-  
915 4257(98)00031-5, 1998.

916 Holben, B. N., Tanre, D., Smirnov, A., ECK T. F., Slutsker, I., Abuhassan, N., Newcomb, W.,  
917 Schafer, J., Chatenet, B., Lavenu, F., Kaufman, Y., Vande Castle, J., Setzer, A., Markham,  
918 B., Clark, D., Frouin, R., Halthore, R., Karneli, A., O'Neill, N., Pietras, C., Pinker, R., Voss,  
919 K., and Zibordi, G.: An emerging ground-based aerosol climatology: Aerosol optical depth  
920 from AERONET, *J. Geophys. Res.*, 106, 12067-12097, doi:10.1029/2001JD900014, 2001.

923 Horvath, K., Koracin, D., Vellore, R., Jiang, J., and Belu, R.: Sub - kilometer dynamical  
924 downscaling of near - surface winds in complex terrain using WRF and MM5 mesoscale  
925 models, *J. Geophys. Res. Atmos.*, 117, D11111, doi:10.1029/2012JD017432, 2012

926 Hu, Z., Huang, J., Zhao, C., Bi, J., Jin, Q., Qian, Y., Leung, L. R., Feng, T., Chen, S., and Ma,  
927 J.: Modeling the contributions of Northern Hemisphere dust sources to dust outflow from  
928 East Asia, *Atmospheric Environment*, 202, 234–243, doi:10.1016/j.atmosenv.2019.01.022,  
929 2019.

930 Hu, Z., Huang, J., Zhao, C., Jin, Q., Ma, Y., and Yang, B.: Modeling dust sources, transport,  
931 and radiative effects at different altitudes over the Tibetan Plateau, *Atmos. Chem. Phys.*  
932 *Discuss.*, <https://doi.org/10.5194/acp-2019-431>, in press, 2020.

933 Hu, Z., Zhao, C., Huang, J., Leung, L. R., Qian, Y., Yu, H., Huang, L., and Kalashnikova, O.V.:  
934 Trans-pacific transport and evolution of aerosols: Evaluation of quasi global WRF-Chem  
935 simulation with multiple observations, *Geosci. Model Dev.*, 9, 1725–1746, doi:10.5194/  
936 gmd-9-1725-2016, 2016.

937 Huang, X., Song, Y., Zhao, C., Cai, X., Zhang, H., and Zhu, T.: Direct Radiative Effect by  
938 Multicomponent Aerosol over China, *J. Climate*, 28, 3472–3495, doi:10.1175/JCLI-D-14-  
939 00365.1, 2015.

940 Iacono, M. J., Mlawer, E. J., Clough, S. A., and Morcrette, J. J.: Impact of an improved  
941 longwave radiation model, RRTM, on the energy budget and thermodynamic properties of

942 the NCAR community climate model, CCM3, *J. Geophys. Res.*, 105, 14873–14890,  
943 doi:10.1029/2000JD900091, 2000.

944 Immerzeel, W. W., van Beek, L. P. H., and Bierkens, M. F. P.: Climate change will affect the  
945 Asian water towers, *Science* (New York, N.Y.), 328, 1382–1385,  
946 doi:10.1126/science.1183188, 2010.

947 Jaeglé, L., Quinn, P. K., Bates, T. S., Alexander, B., and Lin, J. T.: Global distribution of sea  
948 salt aerosols: new constraints from in situ and remote sensing observations, *Atmos. Chem.*  
949 *Phys.*, 11, 3137–3157, doi:10.5194/acp-11-3137-2011, 2011.

950 Janssens-Maenhout, G., Crippa, M., Guizzardi, D., Dentener, F., Muntean, M., Pouliot, G.,  
951 Keating, T., Zhang, Q., Kurokawa, J., Wankmüller, R., van der Denier Gon, H., Kuenen, J.  
952 J. P., Klimont, Z., Frost, G., Darras, S., Koffi, B., and Li, M.: HTAP\_v2.2: a mosaic of  
953 regional and global emission grid maps for 2008 and 2010 to study hemispheric transport of  
954 air pollution, *Atmos. Chem. Phys.*, 15, 11411–11432, doi:10.5194/acp-15-11411-2015,  
955 2015.

956 Ji, Z. M.: Modeling black carbon and its potential radiative effects over the Tibetan Plateau,  
957 *Advances in Climate Change Research*, 7, 139–144, doi:10.1016/j.accre.2016.10.002, 2016.

958 Ji, Z., Kang, S., Cong, Z., Zhang, Q., and Yao, T.: Simulation of carbonaceous aerosols over  
959 the Third Pole and adjacent regions: distribution, transportation, deposition, and climatic  
960 effects, *Clim Dyn*, 45, 2831–2846, doi:10.1007/s00382-015-2509-1, 2015.

961 Jiménez, P. A. and Dudhia, J.: Improving the representation of resolved and unresolved  
962 topographic effects on surface wind in the WRF model, *J. Appl. Meteorol. Clim.*, 51, 300-  
963 316, doi:10.1175/JAMC-D-11-084.1, 2012.

964 Kain, J. S.: The Kain–Fritsch Convective Parameterization: An Update, *J. Appl. Meteor.*, 43,  
965 170–181, doi:10.1175/1520-0450(2004)043<0170:TKCPAU>2.0.CO;2, 2004.

966 Kang, S, Chen P, Li C, Liu B, Cong Z: Atmospheric Aerosol Elements over the Inland Tibetan  
967 Plateau: Concentration, Seasonality, and Transport, *Aerosol Air Qual. Res.*, 16, 789–800,  
968 doi:10.4209/aaqr.2015.02.0307, 2016.

969 Kang, S., Q. Zhang, Y. Qian, Z. Ji, C. Li, Z. Cong, Y. Zhang, J. Guo, W. Du, J. Huang, Q. You,  
970 A. K. Panday, M. Rupakheti, D. Chen, O. Gustafsson, M. H. Thiemens, and D. Qin: Linking  
971 atmospheric pollution to cryospheric change in the Third Pole region: current progress and  
972 future prospects, *National Science Review*, 6, 796–809, doi:10.1093/nsr/nwz031, 2019.

973 Kant, Y., Shaik, D. S., Mitra, D., Chandola, H. C., Babu, S. S., and Chauhan, P.: Black carbon  
974 aerosol quantification over north-west Himalayas: Seasonal heterogeneity, source

975 apportionment and radiative forcing, Environmental pollution (Barking, Essex 1987),  
976 113446, doi:10.1016/j.envpol.2019.113446, 2019.

977 Karki, R., ul Hasson, S., Gerlitz, L., Schickhoff, U., Scholten, T., and Böhner, J.: Quantifying  
978 the added value of convection-permitting climate simulations in complex terrain: a  
979 systematic evaluation of WRF over the Himalayas, *Earth Syst. Dynam.*, 8, 507–528,  
980 doi:10.5194/esd-8-507-2017, 2017.

981 Kok, J. F.: A scaling theory for the size distribution of emitted dust aerosols suggests climate  
982 models underestimate the size of the global dust cycle, *Proceedings of the National Academy*  
983 *of Sciences of the United States of America*, 108, 1016–1021, doi:10.1073/pnas.1014798108,  
984 2011.

985 Kopacz, M., Mauzerall, D. L., Wang, J., Leibensperger, E. M., Henze, D. K., and Singh, K.:  
986 Origin and radiative forcing of black carbon transported to the Himalayas and Tibetan  
987 Plateau, *Atmos. Chem. Phys.*, 11, 2837–2852, doi:10.5194/acp-11-2837-2011, 2011.

988 Kuhlmann, J. and Quaas, J.: How can aerosols affect the Asian summer monsoon? Assessment  
989 during three consecutive pre-monsoon seasons from CALIPSO satellite data, *Atmos. Chem.*  
990 *Phys.*, 10, 4673–4688, doi:10.5194/acp-10-4673-2010, 2010.

991 Lau, K. M. and Kim, K. M.: Observational relationships between aerosol and Asian monsoon  
992 rainfall, and circulation, *Geophys. Res. Lett.*, 33, D22101, doi: 10.1029/2006GL027546,  
993 2006b.

994 Lau, K. M., Kim, M. K., and Kim, K. M.: Asian summer monsoon anomalies induced by  
995 aerosol direct forcing: the role of the Tibetan Plateau, *Clim Dyn.*, 26, 855–864, doi:  
996 10.1007/s00382-006-0114-z, 2006a.

997 Lau, W. K. and Kim, K. M.: Impact of Snow Darkening by Deposition of Light-Absorbing  
998 Aerosols on Snow Cover in the Himalayas–Tibetan Plateau and Influence on the Asian  
999 Summer Monsoon: A Possible Mechanism for the Blanford Hypothesis, *Atmosphere*, 9, 438,  
1000 doi:10.3390/atmos9110438, 2018.

1001 Lau, W. K. M., Kim, K. M., Shi, J. J., Matsui, T., Chin, M., Tan, Q., Peters-Lidard, C., and  
1002 Tao, W. K.: Impacts of aerosol–monsoon interaction on rainfall and circulation over  
1003 Northern India and the Himalaya Foothills, *Clim Dyn.*, 49, 1945–1960, doi:10.1007/s00382-  
1004 016-3430-y, 2017.

1005 Lau, W. K. M., Kim, M. K., Kim, K. M., and Lee, W. S.: Enhanced surface warming and  
1006 accelerated snow melt in the Himalayas and Tibetan Plateau induced by absorbing aerosols,  
1007 *Environ. Res. Lett.*, 5, 25204, doi:10.1088/1748-9326/5/2/025204, 2010.

1008 Lee, W. S., Bhawar, R. L., Kim, M. K., and Sang, J.: Study of aerosol effect on accelerated  
1009 snow melting over the Tibetan Plateau during boreal spring, *Atmospheric Environment*, 75,  
1010 113–122, doi:10.1016/j.atmosenv.2013.04.004, 2013.

1011 Li, C., Bosch, C., Kang, S., Andersson, A., Chen, P., Zhang, Q., Cong, Z., Chen, B., Qin, D.,  
1012 and Gustafsson, Ö.: Sources of black carbon to the Himalayan–Tibetan Plateau glaciers, *Nat  
1013 Commun*, 7, 4825, doi:10.1038/ncomms12574, 2016.

1014 Li, M., Zhang, Q., Kurokawa, J. i., Woo, J. H., He, K., Lu, Z., Ohara, T., Song, Y., Streets, D.  
1015 G., Carmichael, G. R., Cheng, Y., Hong, C., Huo, H., Jiang, X., Kang, S., Liu, F., Su, H.,  
1016 and Zheng, B.: MIX: a mosaic Asian anthropogenic emission inventory under the  
1017 international collaboration framework of the MICS-Asia and HTAP, *Atmos. Chem. Phys.*,  
1018 17, 935–963, doi:10.5194/acp-17-935-2017, 2017.

1019 Li, R. and Min, Q. L.: Impacts of mineral dust on the vertical structure of precipitation, *J.  
1020 Geophys. Res.*, 115, 1337, doi:10.1029/2009JD011925, 2010.

1021 Li, R., Dong, X., Guo, J., Fu, Y., Zhao, C., Wang, Y., and Min, Q.: The implications of dust  
1022 ice nuclei effect on cloud top temperature in a complex mesoscale convective system, *Sci  
1023 Rep*, 7, 291, doi:10.1038/s41598-017-12681-0, 2017.

1024 Li, R., Shao, W., Guo, J., Fu, Y., Wang, Y., Liu, G., Zhou, R., and Li, W.: A Simplified  
1025 Algorithm to Estimate Latent Heating Rate Using Vertical Rainfall Profiles Over the Tibetan  
1026 Plateau, *J. Geophys. Res. Atmos.*, 124, 942–963, doi:10.1029/2018JD029297, 2019.

1027 Lin, C., Chen, D., Yang, K., and Ou, T.: Impact of model resolution on simulating the water  
1028 vapor transport through the central Himalayas: implication for models' wet bias over the  
1029 Tibetan Plateau, *Clim Dyn*, 51, 3195–3207, doi:10.1007/s00382-018-4074-x, 2018.

1030 Liu, P., Tsimpidi, A. P., Hu, Y., Stone, B., Russell, A. G., and Nenes, A.: Differences between  
1031 downscaling with spectral and grid nudging using WRF, *Atmos. Chem. Phys.*, 12, 3601–  
1032 3610, doi:10.5194/acp-12-3601-2012, 2012.

1033 Liu, Y., Sato, Y., Jia, R., Xie, Y., Huang, J., and Nakajima, T.: Modeling study on the transport  
1034 of summer dust and anthropogenic aerosols over the Tibetan Plateau, *Atmos. Chem. Phys.*,  
1035 15, 12581–12594, doi:10.5194/acp-15-12581-2015, 2015.

1036 Liu, Z., Ming, Y., Zhao, C., Lau, N. C., Guo, J., Bollasina, M., and Yim, S. H. L.: Contribution  
1037 of local and remote anthropogenic aerosols to a record-breaking torrential rainfall event in  
1038 Guangdong Province, China, *Atmos. Chem. Phys.*, 20, 223–241, doi:10.5194/acp-20-223-  
1039 2020, 2020.

1040 Lu, Z., Streets, D. G., Zhang, Q., and Wang, S.: A novel back-trajectory analysis of the origin  
1041 of black carbon transported to the Himalayas and Tibetan Plateau during 1996-2010,  
1042 *Geophys. Res. Lett.*, 39, n/a-n/a, doi:10.1029/2011GL049903, 2012.

1043 Lüthi, Z. L., Škerlak, B., Kim, S. W., Lauer, A., Mues, A., Rupakheti, M., and Kang, S.:  
1044 Atmospheric brown clouds reach the Tibetan Plateau by crossing the Himalayas, *Atmos.*  
1045 *Chem. Phys.*, 15, 6007–6021, doi:10.5194/acp-15-6007-2015, 2015.

1046 Lutz, A. F., Immerzeel, W. W., Shrestha, A. B., and Bierkens, M. F. P.: Consistent increase in  
1047 High Asia's runoff due to increasing glacier melt and precipitation, *Nature Clim Change*, 4,  
1048 587–592, doi:10.1038/nclimate2237, 2014.

1049 Marinoni, A., Cristofanelli, P., Laj, P., Duchi, R., Calzolari, F., Decesari, S., Sellegri, K.,  
1050 Vuillermoz, E., Verza, G. P., and Villani, P.: Aerosol mass and black carbon concentrations,  
1051 a two year record at NCO-P (5079 m, Southern Himalayas), *Atmos. Chem. Phys.*, 10, 8551–  
1052 8562, doi:10.5194/acp-10-8551-2010, 2010.

1053 Menon, S., Koch, D., Beig, G., Sahu, S., Fasullo, J., and Orlikowski, D.: Black carbon aerosols  
1054 and the third polar ice cap, *Atmos. Chem. Phys.*, 10, 4559–4571, doi:10.5194/acp-10-4559-  
1055 2010, 2010.

1056 Ming, J., Xiao, C., Cachier, H., Qin, D., Qin, X., Li, Z., and Pu, J.: Black Carbon (BC) in the  
1057 snow of glaciers in west China and its potential effects on albedos, *Atmospheric Research*,  
1058 92, 114–123, doi:10.1016/j.atmosres.2008.09.007, 2009.

1059 Mlawer, E. J., Taubman, S. J., Brown, P. D., Iacono, M. J., and Clough, S. A.: Radiative  
1060 transfer for inhomogeneous atmospheres: RRTM, a validated correlated-k model for the  
1061 longwave, *J. Geophys. Res.*, 102, 16663–16682, doi:10.1029/97JD00237, 1997.

1062 Morrison, H., Thompson, G., and Tatarki, V.: Impact of Cloud Microphysics on the  
1063 Development of Trailing Stratiform Precipitation in a Simulated Squall Line: Comparison  
1064 of One- and Two-Moment Schemes, *Mon. Wea. Rev.*, 137, 991–1007,  
1065 doi:10.1175/2008MWR2556.1, 2009.

1066 Nakanishi, M. and Niino, H.: An Improved Mellor–Yamada Level-3 Model: Its Numerical  
1067 Stability and Application to a Regional Prediction of Advection Fog, *Boundary-Layer*  
1068 *Meteorol.*, 119, 397–407, doi:10.1007/s10546-005-9030-8, 2006.

1069 Oleson, K. W., Lawrence, D. M., Bonan, G. B., Flanner, M. G., Kluzek, E., Lawrence, P. J.,  
1070 Levis, S., Swenson, S. C., Thornton, P. E., Dai, A., Decker, M., Dickinson, R., Feddema, J.,  
1071 Heald, C. L., Hoffman, F., Lamarque, J. F., Mahowald, N., Niu, G. Y., Qian, T., Randerson,  
1072 J., Running, S., Sakaguchi, K., Slater, A., Stockli, R., Wang, A., Yang, Z. L., Zeng, X., and  
1073 Zeng, X.: Technical Description of version 4.0 of the Community Land Model (CLM), *Tech.*

1074 Rep. NCAR/TN-478+STR, National Center for Atmospheric Research, Boulder, Colorado,  
1075 USA, 2010.

1076 Prasad, A. K. and Singh, R. P.: Comparison of MISR-MODIS aerosol optical depth over the  
1077 Indo-Gangetic basin during the winter and summer seasons (2000–2005), *Remote Sensing*  
1078 of Environment

1079 Qian, Y., Flanner, M. G., Leung, L. R., and Wang, W.: Sensitivity studies on the impacts of  
1080 Tibetan Plateau snowpack pollution on the Asian hydrological cycle and monsoon climate,  
1081 *Atmos. Chem. Phys.*, 11, 1929–1948, doi:10.5194/acp-11-1929-2011, 2011.

1082 Qian, Y., Yasunari, T. J., Doherty, S. J., Flanner, M. G., Lau, W. K. M., Ming, J., Wang, H.,  
1083 Wang, M., Warren, S. G., and Zhang, R.: Light-absorbing particles in snow and ice:  
1084 Measurement and modeling of climatic and hydrological impact, *Adv. Atmos. Sci.*, 32, 64–  
1085 91, doi:10.1007/s00376-014-0010-0, 2015.

1086 Qiu, J.: China: The third pole, *Nature*, 454, 393–396, doi:10.1038/454393a, 2008.

1087 Ramanathan, V. and Carmichael, G.: Global and regional climate changes due to black carbon,  
1088 *Nature Geosci*, 1, 221–227, doi:10.1038/ngeo156, 2008.

1089 Ramanathan, V., Ramana, M. V., Roberts, G., Kim, D., Corrigan, C., Chung, C., and Winker,  
1090 D.: Warming trends in Asia amplified by brown cloud solar absorption, *Nature*, 448, 575–  
1091 578, doi:10.1038/nature06019, 2007.

1092 Sarangi, C., Qian, Y., Rittger, K., Bormann, K. J., Liu, Y., Wang, H., Lin, G., and Painter, T.  
1093 H.: Impact of light-absorbing particles on snow albedo darkening and associated radiative  
1094 forcing over high-mountain Asia: high-resolution WRF-Chem modeling and new satellite  
1095 observations. *Atmos. Chem. Phys.*, 19, 7105–7128, doi:10.5194/acp-19-7105-2019, 2019.

1096 Seaman, N. L., Stauffer, D. R., and Lario-Gibbs, A. M.: A Multiscale Four-Dimensional Data  
1097 Assimilation System Applied in the San Joaquin Valley during SARMAP. Part I: Modeling  
1098 Design and Basic Performance Characteristics, *J. Appl. Meteor.*, 34, 1739–1761,  
1099 doi:10.1175/1520-0450(1995)034<1739:AMFDDA>2.0.CO;2, 1995.

1100 Shi, X., Wang, Y., and Xu, X.: Effect of mesoscale topography over the Tibetan Plateau on  
1101 summer precipitation in China: A regional model study, *Geophys. Res. Lett.*, 35, 255,  
1102 doi:10.1029/2008GL034740, 2008.

1103 Singh, P. and Bengtsson, L.: Hydrological sensitivity of a large Himalayan basin to climate  
1104 change, *Hydrol. Process.*, 18, 2363–2385, doi:10.1002/hyp.1468, 2004.

1105 Skamarock, W. C., Klemp, J. B., Dudhia, J., Gill, D. O., Barker, D. M., Duda, M., Huang, X.  
1106 Y., Wang, W., and Powers, J. G.: A Description of the Advanced Research WRF Version 3,

1107 NCAR Technical Note, NCAR/TN-468+STR, available at: [http://wrf-model.org/wrfadmin/docs/arw\\_v2.pdf](http://wrf-model.org/wrfadmin/docs/arw_v2.pdf), 2008.

1109 Stauffer, D. R. and Seaman, N. L.: Use of Four-Dimensional Data Assimilation in a Limited-  
1110 Area Mesoscale Model. Part I: Experiments with Synoptic-Scale Data, *Mon. Wea. Rev.*, 118,  
1111 1250–1277, doi:10.1175/1520-0493(1990)118<1250:UOFDDA>2.0.CO;2, 1990.

1112 Wagner, J. S., Gohm, A., and Rotach, M. W.: The Impact of Horizontal Model Grid Resolution  
1113 on the Boundary Layer Structure over an Idealized Valley, *Mon. Wea. Rev.*, 142, 3446–  
1114 3465, doi:10.1175/MWR-D-14-00002.1, 2014.

1115 Wang, X., Gong, P., Sheng, J., Joswiak, D. R., and Yao, T.: Long-range atmospheric transport  
1116 of particulate Polycyclic Aromatic Hydrocarbons and the incursion of aerosols to the  
1117 southeast Tibetan Plateau, *Atmospheric Environment*, 115, 124–131,  
1118 doi:10.1016/j.atmosenv.2015.04.050, 2015.

1119 Wang, Y., Yang, K., Zhou, X., Chen, D., Lu, H., Ouyang, L., Chen, Y., Lazhu., and Wang, B.:  
1120 Synergy of orographic drag parameterization and high resolution greatly reduces biases of  
1121 WRF-simulated precipitation in central Himalaya, Climate Dynamics, 54, 1729–1740,  
1122 doi:10.1007/s00382-019-05080-w, 2020.

1123 Wiedinmyer, C., Akagi, S. K., Yokelson, R. J., Emmons, L. K., Al-Saadi, J. A., Orlando, J. J.,  
1124 and Soja, A. J.: The Fire INventory from NCAR (FINN): a high resolution global model to  
1125 estimate the emissions from open burning, *Geosci. Model Dev.*, 4, 625–641,  
1126 doi:10.5194/gmd-4-625-2011, 2011.

1127 Wu, G., Liu, Y., Dong, B., Liang, X., Duan, A., Bao, Q., and Yu, J.: Revisiting Asian monsoon  
1128 formation and change associated with Tibetan Plateau forcing: I. Formation, *Clim Dyn*, 39,  
1129 1169–1181, doi:10.1007/s00382-012-1334-z, 2012a.

1130 Wu, G., Liu, Y., He, B., Bao, Q., Duan, A., and Jin, F. F.: Thermal controls on the Asian  
1131 summer monsoon, *Scientific reports*, 2, 404, doi:10.1038/srep00404, 2012b.

1132 Wu, G., Liu, Y., Zhang, Q., Duan, A., Wang, T., Wan, R., Liu, X., Li, W., Wang, Z., and Liang,  
1133 X.: The Influence of Mechanical and Thermal Forcing by the Tibetan Plateau on Asian  
1134 Climate, *J. Hydrometeorol.*, 8, 770–789, doi:10.1175/JHM609.1, 2007.

1135 Wu, L., Su, H., and Jiang, J. H.: Regional simulation of aerosol impacts on precipitation during  
1136 the East Asian summer monsoon, *J. Geophys. Res. Atmos.*, 118, 6454–6467,  
1137 doi:10.1002/jgrd.50527, 2013.

1138 Wulf, H., Bookhagen, B., and Scherler, D.: Differentiating between rain, snow, and glacier  
1139 contributions to river discharge in the western Himalaya using remote-sensing data and

1140 distributed hydrological modeling, *Advances in Water Resources*, 88, 152–169,  
1141 doi:10.1016/j.advwatres.2015.12.004, 2016.

1142 Yang, J., Kang, S., Ji, Z., and Chen, D.: Modeling the Origin of Anthropogenic Black Carbon  
1143 and Its Climatic Effect Over the Tibetan Plateau and Surrounding Regions, *J. Geophys. Res.*  
1144 *Atmos.*, 123, 671–692, doi:10.1002/2017JD027282, 2018.

1145 Yasunari, T. J., Bonasoni, P., Laj, P., Fujita, K., Vuillermoz, E., Marinoni, A., Cristofanelli, P.,  
1146 Duchi, R., Tartari, G., and Lau, K.-M.: Estimated impact of black carbon deposition during  
1147 pre-monsoon season from Nepal Climate Observatory – Pyramid data and snow albedo  
1148 changes over Himalayan glaciers, *Atmos. Chem. Phys.*, 10, 6603–6615, doi:10.5194/acp-  
1149 10-6603-2010, 2010.

1150 Ye, D. Z. and Wu, G. X.: The role of the heat source of the Tibetan Plateau in the general  
1151 circulation, *Meteorol. Atmos. Phys.*, 67, 181–198, doi:10.1007/BF01277509, 1998.

1152 Zängl, G., Egger, J., and Wirth, V.: Diurnal Winds in the Himalayan Kali Gandaki Valley. Part  
1153 II: Modeling, *Mon. Wea. Rev.*, 129, 1062–1080, doi:10.1175/1520-  
1154 0493(2001)129<1062:DWITHK>2.0.CO;2, 2001.

1155 Zaveri, R. A. and Peters, L. K.: A new lumped structure photochemical mechanism for large-  
1156 scale applications, *J. Geophys. Res.*, 104, 30387–30415, doi:10.1029/1999JD900876, 1999.

1157 Zaveri, R. A., Easter, R. C., Fast, J. D., and Peters, L. K.: Model for Simulating Aerosol  
1158 Interactions and Chemistry (MOSAIC), *J. Geophys. Res.*, 113, 1591,  
1159 doi:10.1029/2007JD008782, 2008.

1160 Zhang, A., Fu, Y., Chen, Y., Liu, G., and Zhang, X.: Impact of the surface wind flow on  
1161 precipitation characteristics over the southern Himalayas: GPM observations, *Atmospheric*  
1162 *Research*, 202, 10–22, doi:10.1016/j.atmosres.2017.11.001, 2018.

1163 Zhang, R., Wang, H., Qian, Y., Rasch, P. J., Easter, R. C., Ma, P. L., Singh, B., Huang, J., and  
1164 Fu, Q.: Quantifying sources, transport, deposition, and radiative forcing of black carbon over  
1165 the Himalayas and Tibetan Plateau, *Atmos. Chem. Phys.*, 15, 6205–6223, doi:10.5194/acp-  
1166 15-6205-2015, 2015.

1167 Zhang, R., Wang, Y., He, Q., Chen, L., Zhang, Y., Qu, H., Smeltzer, C., Li, J., Alvarado, L. M.  
1168 A., Vrekoussis, M., Richter, A., Wittrock, F., and Burrows, J. P.: Enhanced trans-Himalaya  
1169 pollution transport to the Tibetan Plateau by cut-off low systems, *Atmos. Chem. Phys.*, 17,  
1170 3083–3095, doi:10.5194/acp-17-3083-2017, 2017.

1171 Zhang, Y., Kang, S., Cong, Z., Schmale, J., Sprenger, M., Li, C., Yang, W., Gao, T., Sillanpää,  
1172 M., Li, X., Liu, Y., Chen, P., and Zhang, X.: Light-absorbing impurities enhance glacier

1173 albedo reduction in the southeastern Tibetan plateau, *J. Geophys. Res. Atmos.*, 122, 6915–  
1174 6933, doi:10.1002/2016JD026397, 2017.

1175 Zhang, Y., Kang, S., Sprenger, M., Cong, Z., Gao, T., Li, C., Tao, S., Li, X., Zhong, X., Xu,  
1176 M., Meng, W., Neupane, B., Qin, X., and Sillanpää, M.: Black carbon and mineral dust in  
1177 snow cover on the Tibetan Plateau, *The Cryosphere*, 12, 413–431, doi:10.5194/tc-12-413-  
1178 2018, 2018.

1179 Zhao, C., Chen, S., Leung, L. R., Qian, Y., Kok, J., Zaveri, R., and Huang, J.: Uncertainty in  
1180 modeling dust mass balance and radiative forcing from size parameterization, *Atmos. Chem.*  
1181 *Phys.*, 13, 10733–10753, doi:doi:10.5194/acp-13-10733-2013, 2013b.

1182 Zhao, C., Hu, Z., Qian, Y., Leung, L. R., Huang, J., Huang, M., Jin, J., Flanner, M., Zhang, R.,  
1183 Wang, H., Yan, H., Lu, Z., and Streets, D. G.: Simulating black carbon and dust and their  
1184 radiative forcing in seasonal snow: a case study over North China with field campaign  
1185 measurements, *Atmos. Chem. Phys.*, 14, 11475–11491, doi:10.5194/acp-14-11475-2014,  
1186 2014.

1187 Zhao, C., Huang, M., Fast, J. D., Berg, L. K., Qian, Y., Guenther, A., Gu, D., Shrivastava, M.,  
1188 Liu, Y., and Walters, S.: Sensitivity of biogenic volatile organic compounds to land surface  
1189 parameterizations and vegetation distributions in California, *Geosci. Model Dev.*, 9, 1959–  
1190 1976, doi:10.5194/gmd-9-1959-2016, 2016.

1191 Zhao, C., Liu, X., and Leung, L. R.: Impact of the Desert dust on the summer monsoon system  
1192 over Southwestern North America, *Atmos. Chem. Phys.*, 12, 3717–3731, doi:10.5194/acp-  
1193 12-3717-2012, 2012.

1194 Zhao, C., Liu, X., Leung, L. R., and Hagos, S.: Radiative impact of mineral dust on monsoon  
1195 precipitation variability over West Africa, *Atmos. Chem. Phys.*, 11, 1879–1893,  
1196 doi:10.5194/acp-11-1879-2011, 2011.

1197 Zhao, C., Liu, X., Leung, L. R., Johnson, B., McFarlane, S. A., Gustafson, W. I., Fast, J. D.,  
1198 and Easter, R.: The spatial distribution of mineral dust and its shortwave radiative forcing  
1199 over North Africa: modeling sensitivities to dust emissions and aerosol size treatments,  
1200 *Atmos. Chem. Phys.*, 10, 8821–8838, doi:10.5194/acp-10-8821-2010, 2010.

1201 Zhao, C., Ruby Leung, L., Easter, R., Hand, J., and Avise, J.: Characterization of speciated  
1202 aerosol direct radiative forcing over California, *J. Geophys. Res. Atmos.*, 118, 2372–2388,  
1203 doi:10.1029/2012JD018364, 2013a.

1204 Zhao, P., Zhou, X., Chen, J., Liu, G., and Nan, S.: Global climate effects of summer Tibetan  
1205 Plateau, *Science Bulletin*, 64, 1–3, doi:10.1016/j.scib.2018.11.019, 2019.

1206 Zhou, X., Beljaars, A., Wang, Y., Huang, B., Lin, C., Chen, Y., and Wu, H.: Evaluation of  
1207 WRF simulations with different selections of subgrid orographic drag over the Tibetan  
1208 Plateau, *J. Geophys. Res. Atmos.*, 122, 9759–9772, doi:10.1002/2017JD027212, 2017.

1209 Zhou, X., Yang, K., and Wang, Y.: Implementation of a turbulent orographic form drag scheme  
1210 in WRF and its application to the Tibetan Plateau, *Climate dynamics*, 50, 2443-2455, doi:  
1211 10.1007/s00382-017-3677-y, 2018.

1212 Zhao, Z., Cao, J., Shen, Z., Xu, B., Zhu, C., Chen, L. W. A., Su, X., Liu, S., Han, Y., Wang,  
1213 G., and Ho, K.: Aerosol particles at a high-altitude site on the Southeast Tibetan Plateau,  
1214 China: Implications for pollution transport from South Asia, *J. Geophys. Res. Atmos.*, 118,  
1215 11,360-11,375, doi:10.1002/jgrd.50599, 2013.

1216 Zhong, S., Qian, Y., Zhao, C., Leung, R., Wang, H., Yang, B., Fan, J., Yan, H., Yang, X., and  
1217 Liu, D.: Urbanization-induced urban heat island and aerosol effects on climate extremes in  
1218 the Yangtze River Delta region of China, *Atmos. Chem. Phys.*, 17, 5439–5457,  
1219 doi:10.5194/acp-17-5439-2017, 2017.

1220

1221

1222

1223

1224

1225

1226

1227

1228

1229

1230

1231

1232

1233

---

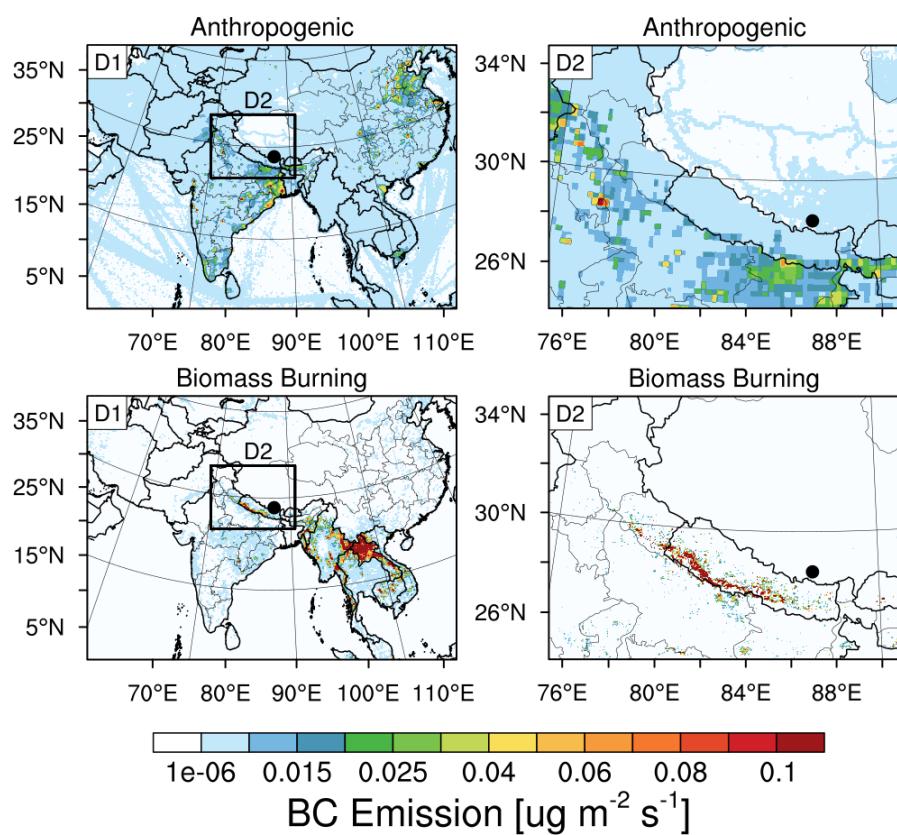
1234  
1235  
1236  
1237  
1238  
1239  
1240  
1241  
1242  
1243

1244 **Table 1.** Summary of model configurations.

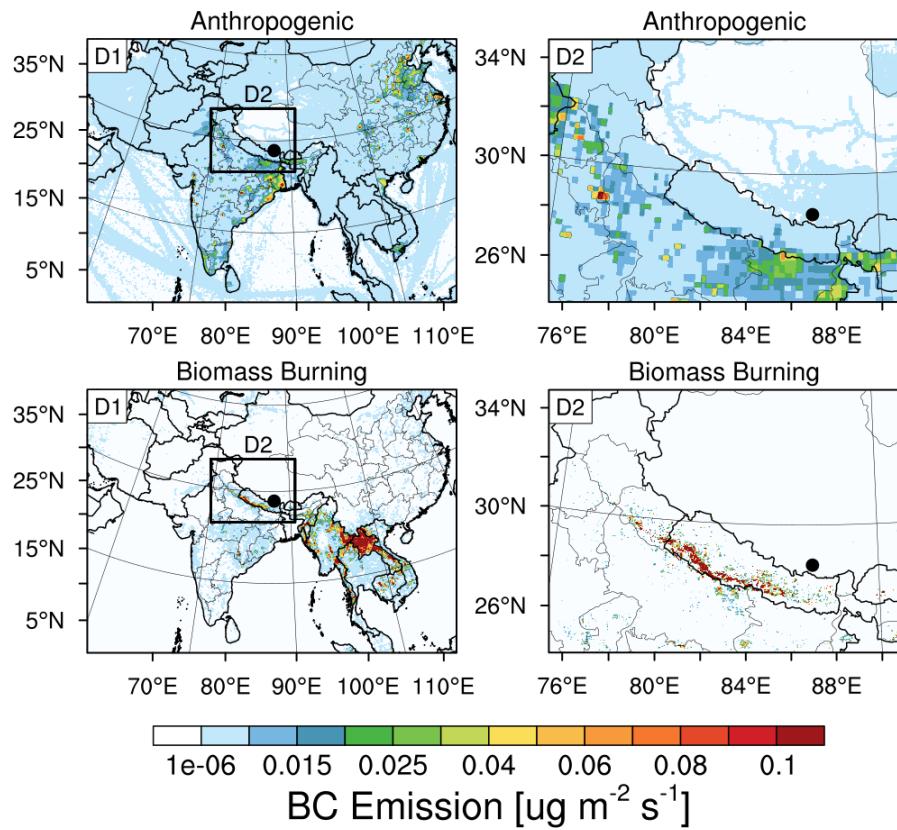
Description	Selection	References
<b>Horizontal grid spacing</b>	20 km (D1), 4 km (D2)	
<b>Grid dimensions</b>	250×350, 300×400	
<b>Topography</b>	30 arcsec (USGS)	
<b>Vertical layers</b>	54 (roughly 17 layers below 2 km)	
<b>Model top pressure</b>	50 hPa	
<b>Nesting approach</b>	One-way	
<b>Aerosol scheme</b>	MOSAIC 8 bin	Zaveri et al., 2008
<b>Gas-phase chemistry</b>	CBM-Z	Zaveri and Peters, 1999
<b>Long wave Radiation</b>	RRTMG	Iacono et al., 2000; Zhao et al., 2011, 2013a
<b>Short-wave Radiation</b>	RRTMG	
<b>Cloud Microphysics</b>	Morrison 2-moment	Morrison et al., 2009
<b>Cumulus Cloud</b>	Kain-Fritsch	Kain, 2004
<b>Planetary boundary layer</b>	MYNN level 2.5	Nakanishi and Niino, 2006
<b>Land surface</b>	CLM	Oleson et al., 2010
<b>Meteorological Forcing</b>	ERA-Interim, 0.5°×0.66°, 6 hourly	

1245  
1246  
1247  
1248  
1249  
1250  
1251  
1252  
1253  
1254  
1255  
1256  
1257  
1258  
1259  
1260  
1261  
1262  
1263

1264  
1265  
1266  
1267  
1268  
1269  
1270  
1271  
1272  
1273  
1274  
1275

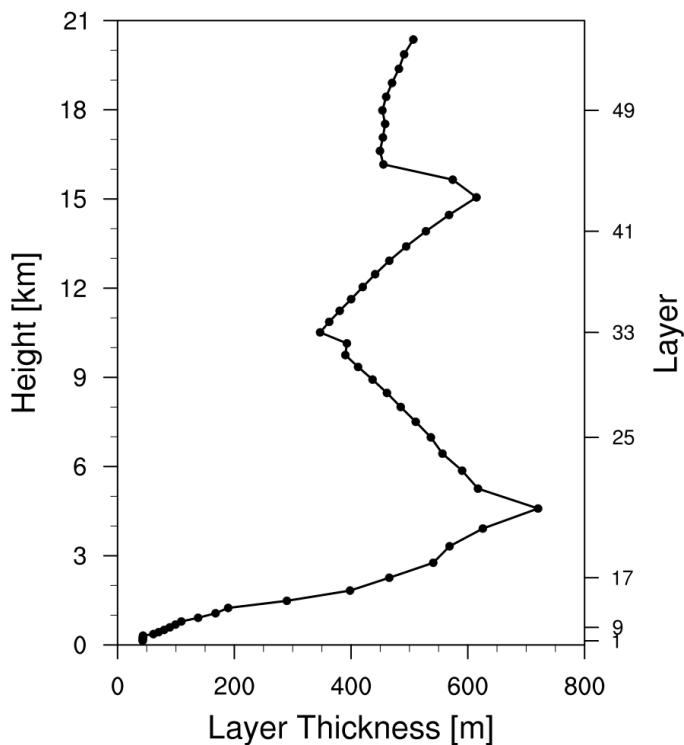


1276



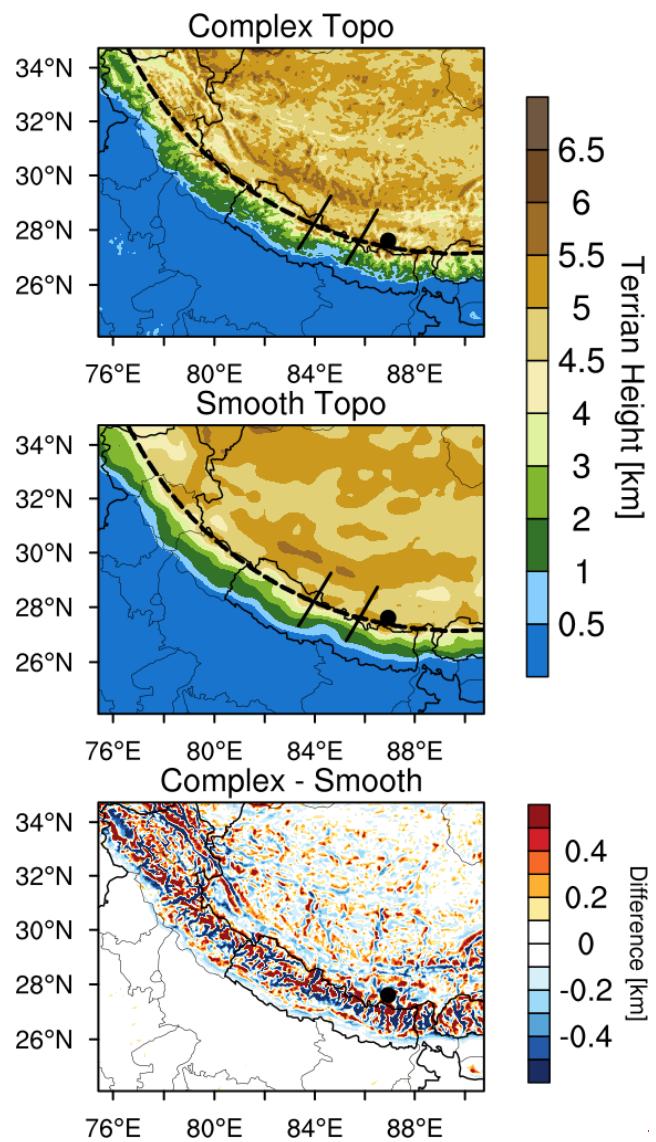
**Figure 1.** Anthropogenic and fire emissions over the entire simulated regions of 20-km and 4-km resolutions, the black dot represents the Qomolangma Station (QOMS, 86.95°E, 28.36°N).

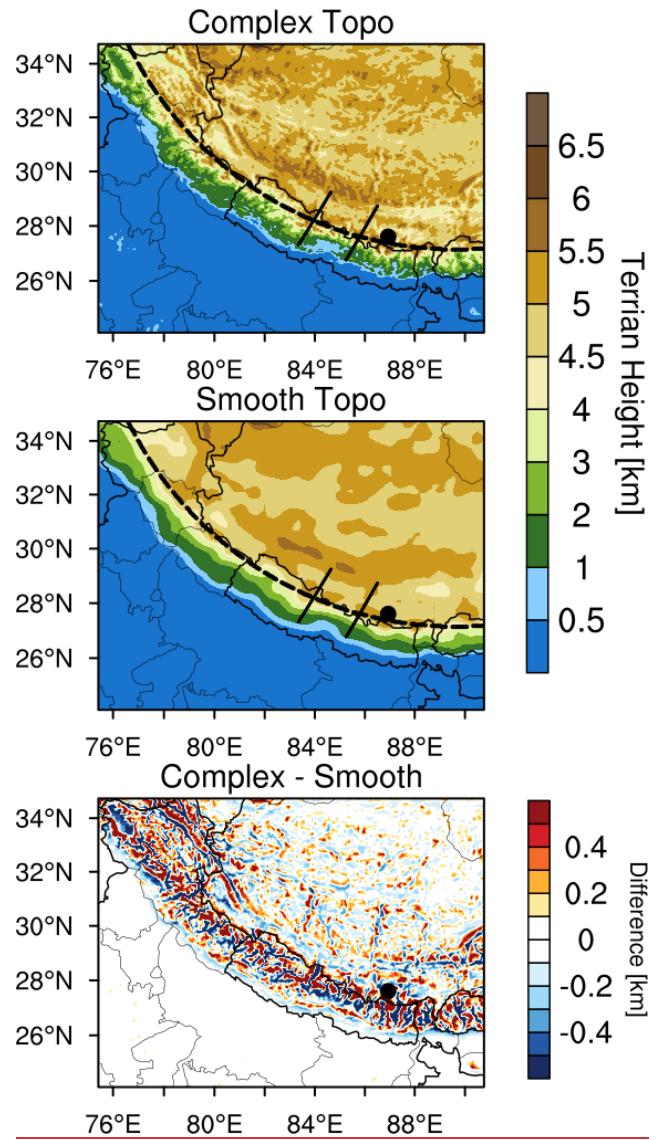
1277  
1278  
1279  
1280  
1281  
1282  
1283  
1284  
1285  
1286  
1287  
1288  
1289  
1290  
1291  
1292  
1293  
1294  
1295  
1296  
1297  
1298  
1299  
1300  
1301  
1302



**Figure 2.** The thickness of each vertical layer in the simulations (54 layers in total).

1303  
1304  
1305  
1306  
1307  
1308  
1309  
1310  
1311  
1312  
1313  
1314  
1315  
1316  
1317  
1318  
1319  
1320  
1321  
1322  
1323  
1324  
1325  
1326  
1327  
1328  
1329  
1330  
1331  
1332  
1333

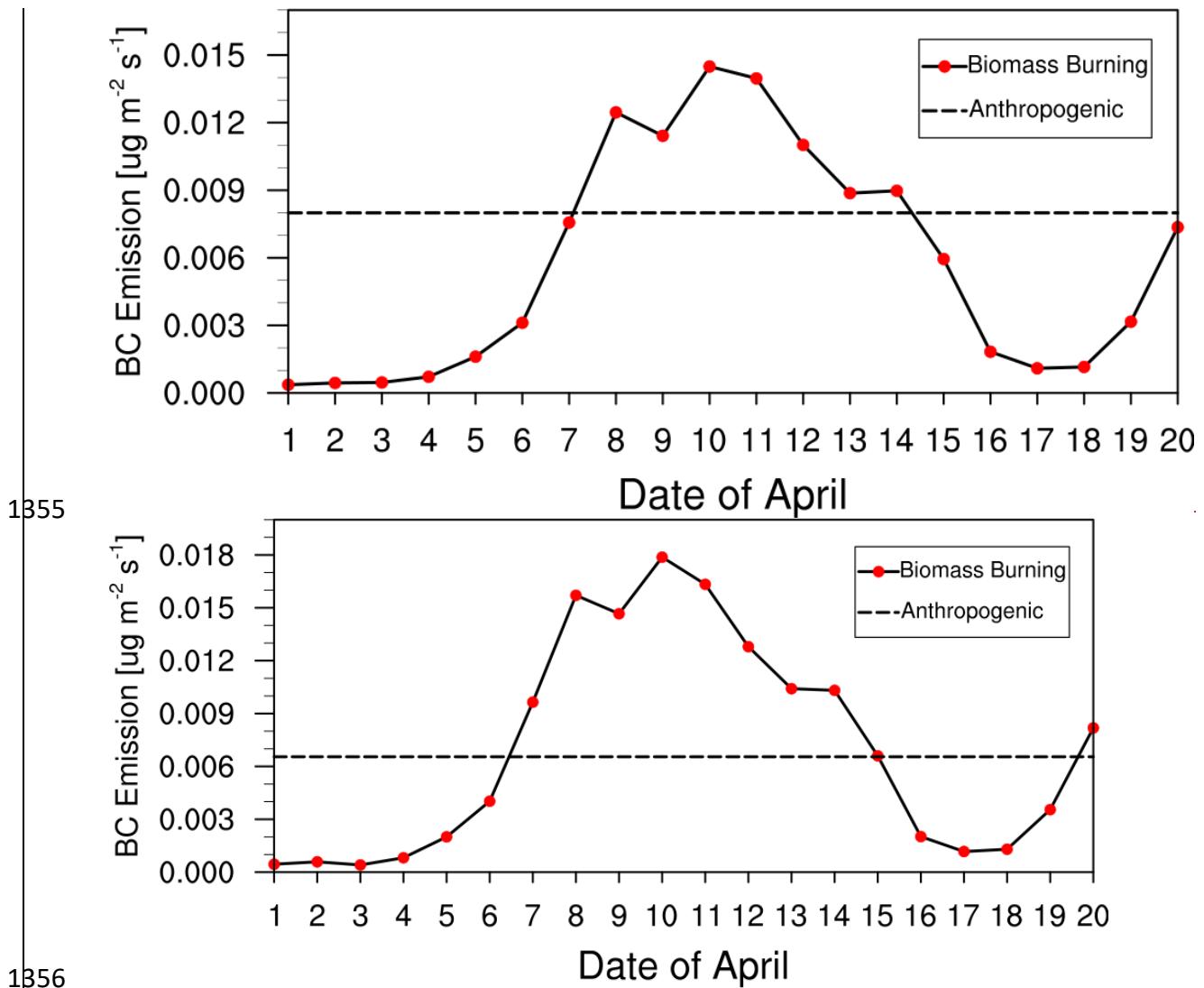




1335  
1336  
1337  
1338

**Figure 3.** Spatial distributions of terrain height from the dataset at 20 km (Smooth Topo) and 4 km (Complex Topo). The one dash line and two solid lines represent the cross sections for analysis in the following.

1339  
1340  
1341  
1342  
1343  
1344  
1345  
1346  
1347  
1348  
1349  
1350  
1351  
1352  
1353  
1354



1358 **Figure 4.** Time series of area-averaged daily fire emissions between 26°N and 29°N over the  
1359 simulation domain at 4-km resolution (The dash line in the figure represents the anthropogenic  
1360 emissions).

1361

1362

1363

1364

1365

1366

1367

1368

1369

1370

1371

1372

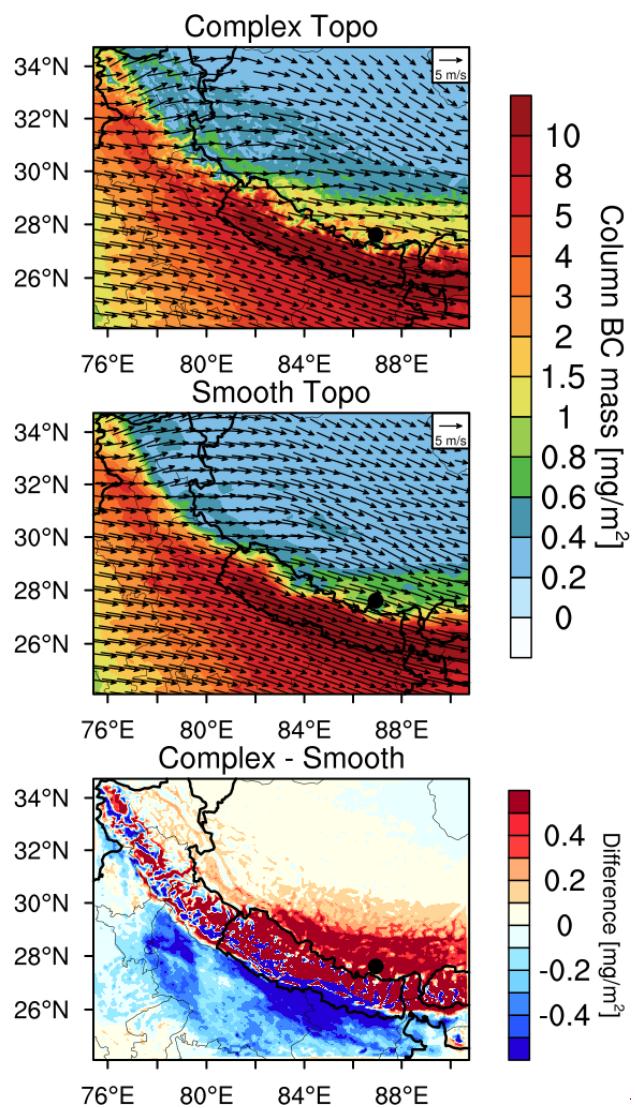
1373

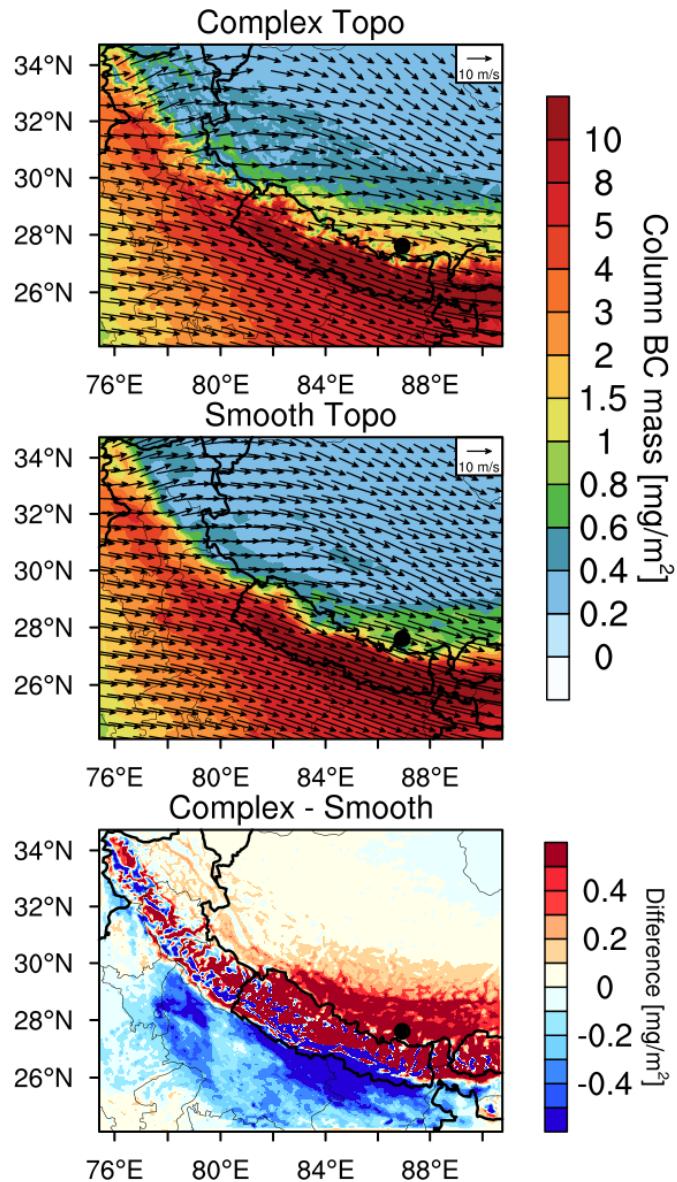
1374

1375

1376

1377  
1378  
1379  
1380  
1381  
1382  
1383  
1384  
1385  
1386  
1387  
1388  
1389  
1390  
1391  
1392



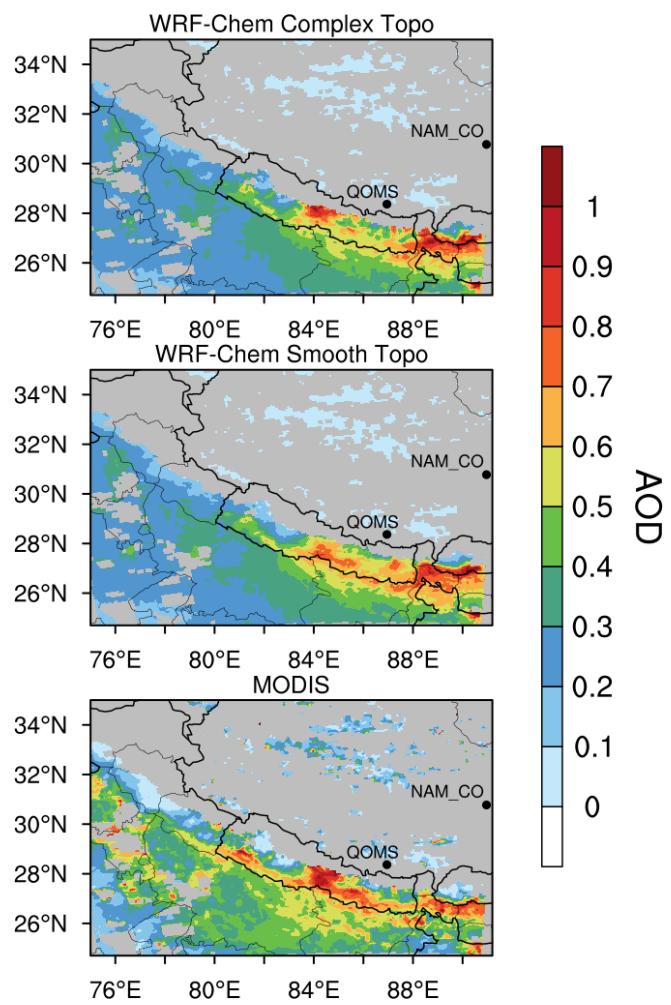


**Figure 5.** Spatial distributions of column integrated BC mass and the horizontal wind field at 500 hPa from the simulations with complex and smooth topography (Complex Topo and Smooth Topo) averaged for April 1-20, 2016. The difference between the two is also shown.

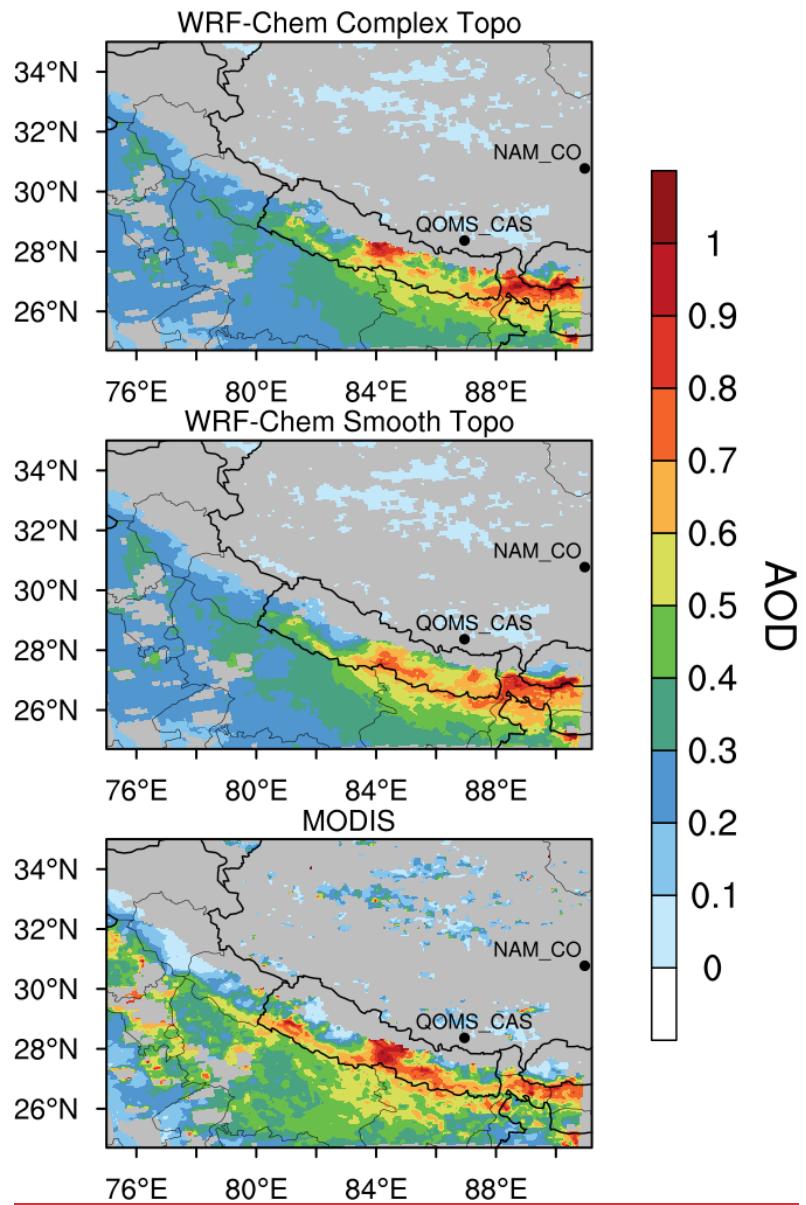
1394  
1395  
1396  
1397  
1398  
1399  
1400  
1401  
1402  
1403  
1404  
1405  
1406  
1407  
1408  
1409  
1410  
1411  
1412

1413

1414



1415

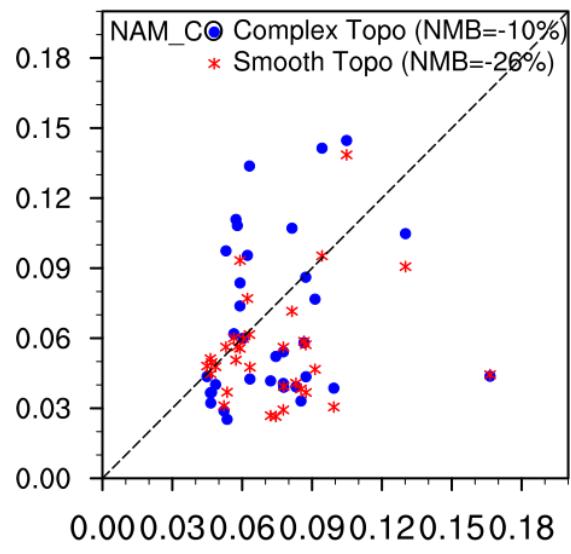
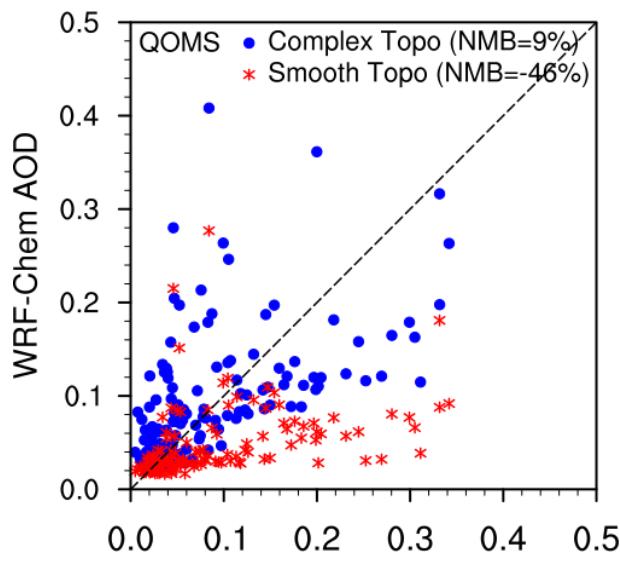


1416  
1417  
1418  
1419  
1420

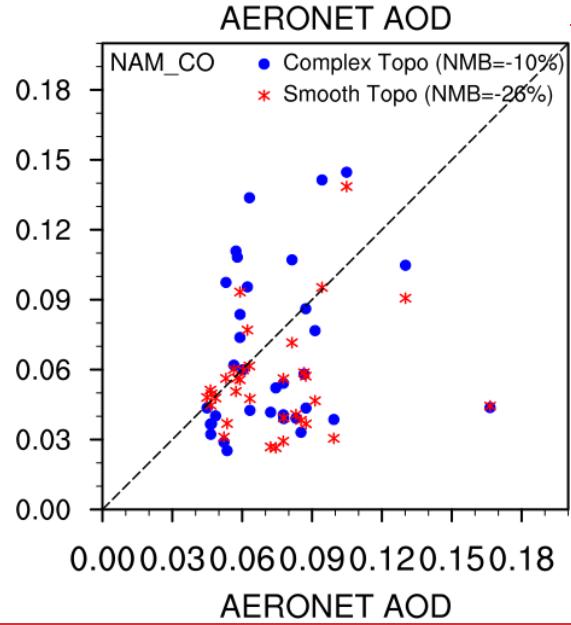
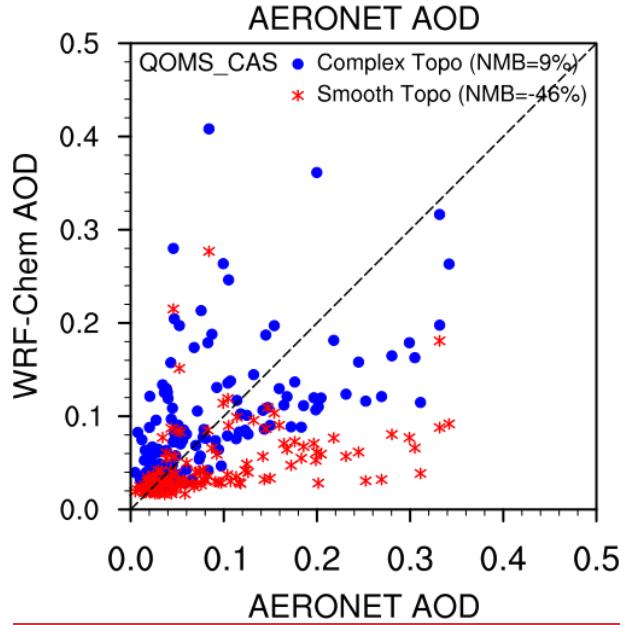
**Figure 6.** Spatial distributions of AOD from the MODIS retrievals and the simulations with complex and smooth topography averaged for April 1-20, 2016. The two black dots represent the two AERONET sites over the TP (QOMS\_CAS, 86.95°E, 28.36°N; NAM\_CO, 90.96°E, 30.77°N).

1421  
1422  
1423  
1424  
1425  
1426  
1427  
1428  
1429  
1430  
1431  
1432  
1433  
1434

1435



1436



1437

AERONET AOD

1438

**Figure 7.** Hourly AOD from the measurements of AERONET and simulations by WRF-Chem at the two sites over the TP (QOMS CAS, 86.95°E, 28.36°N; NAM\_CO, 90.96°E, 30.77°N) for April 1-20, 2016.

1441

1442

1443

1444

1445

1446

1447

1448

1449

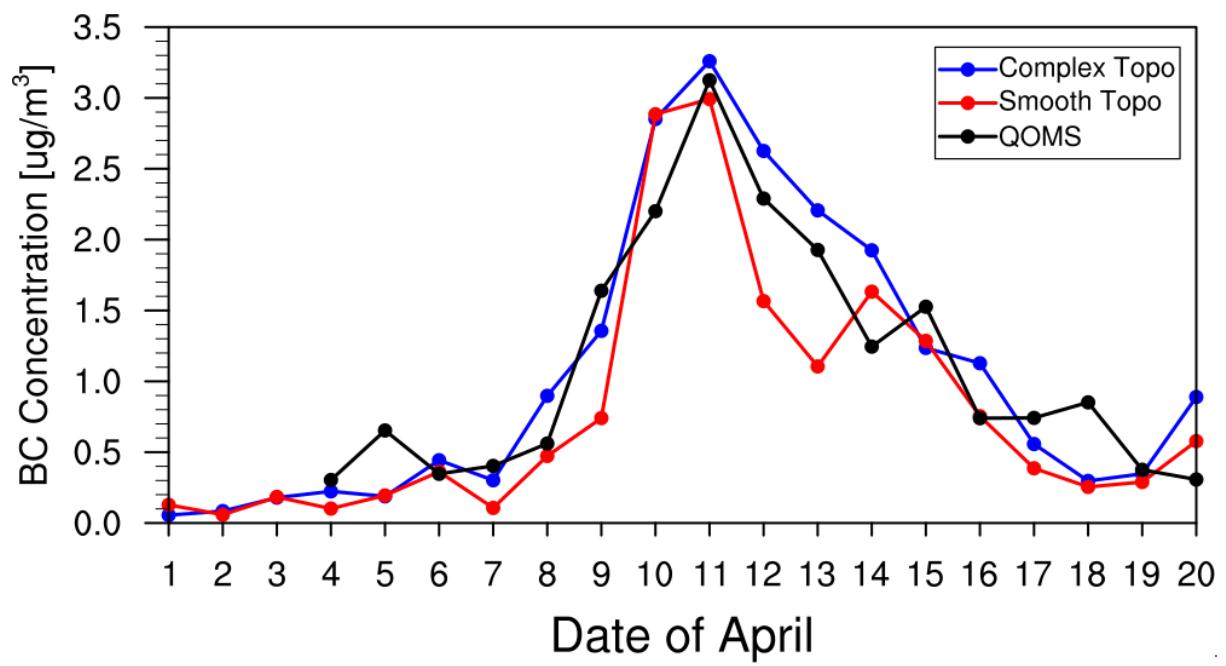
1450

1451

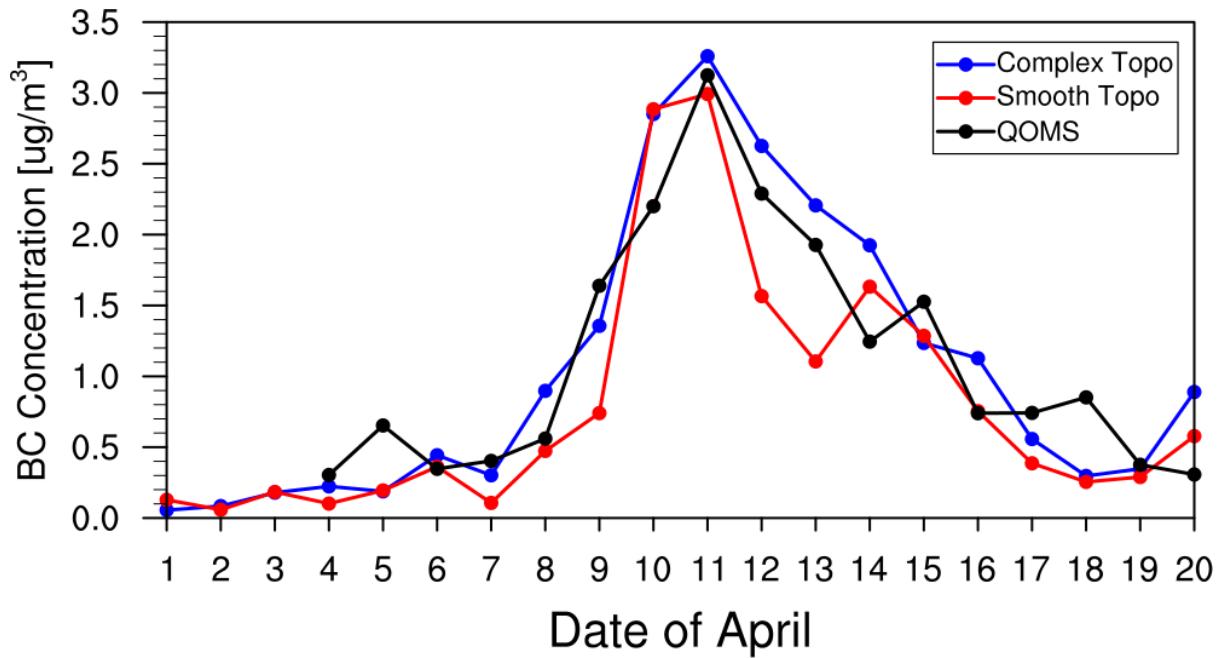
1452

1453

1454  
1455  
1456  
1457  
1458  
1459  
1460  
1461  
1462  
1463  
1464  
1465  
1466  
1467  
1468  
1469

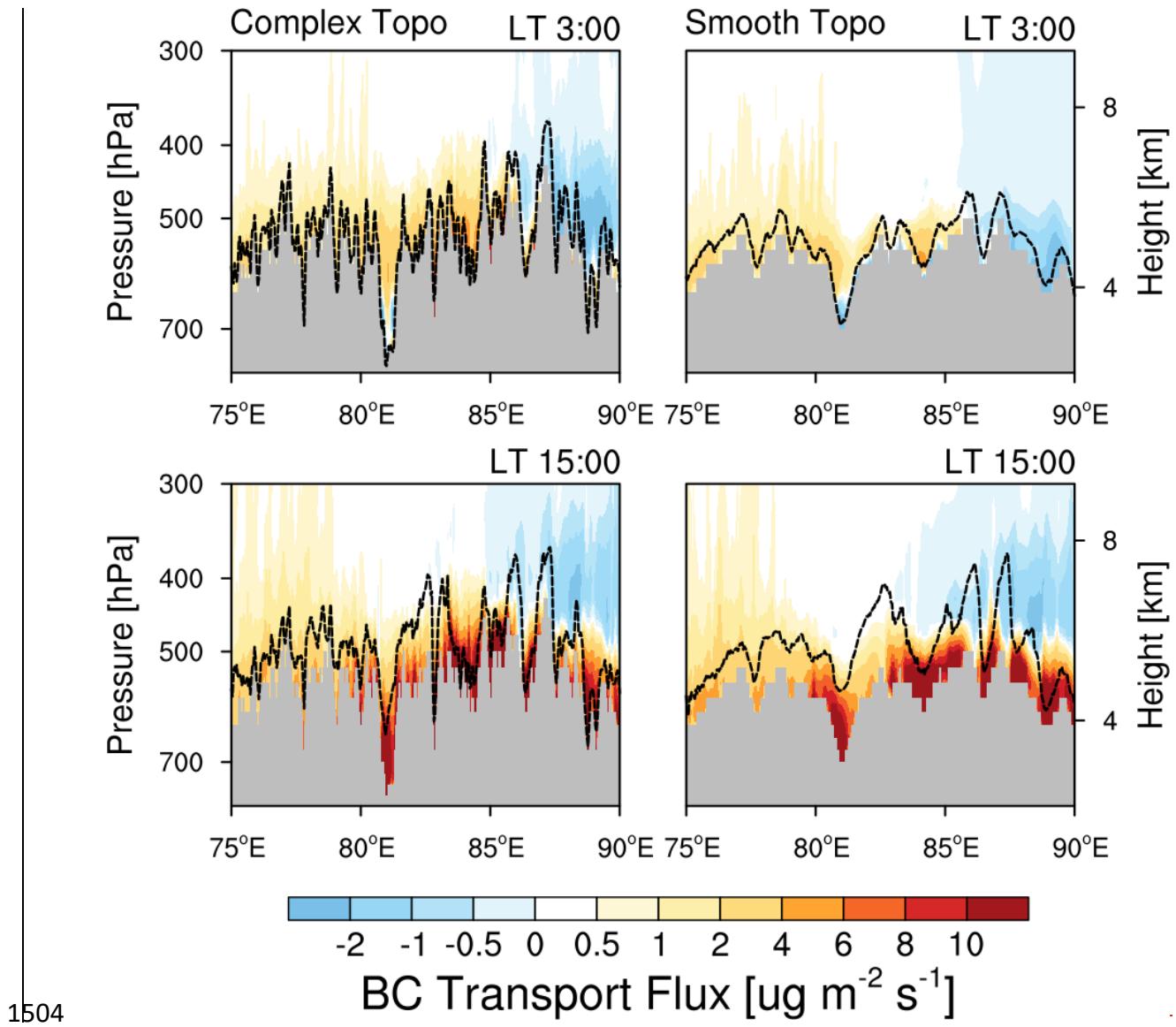


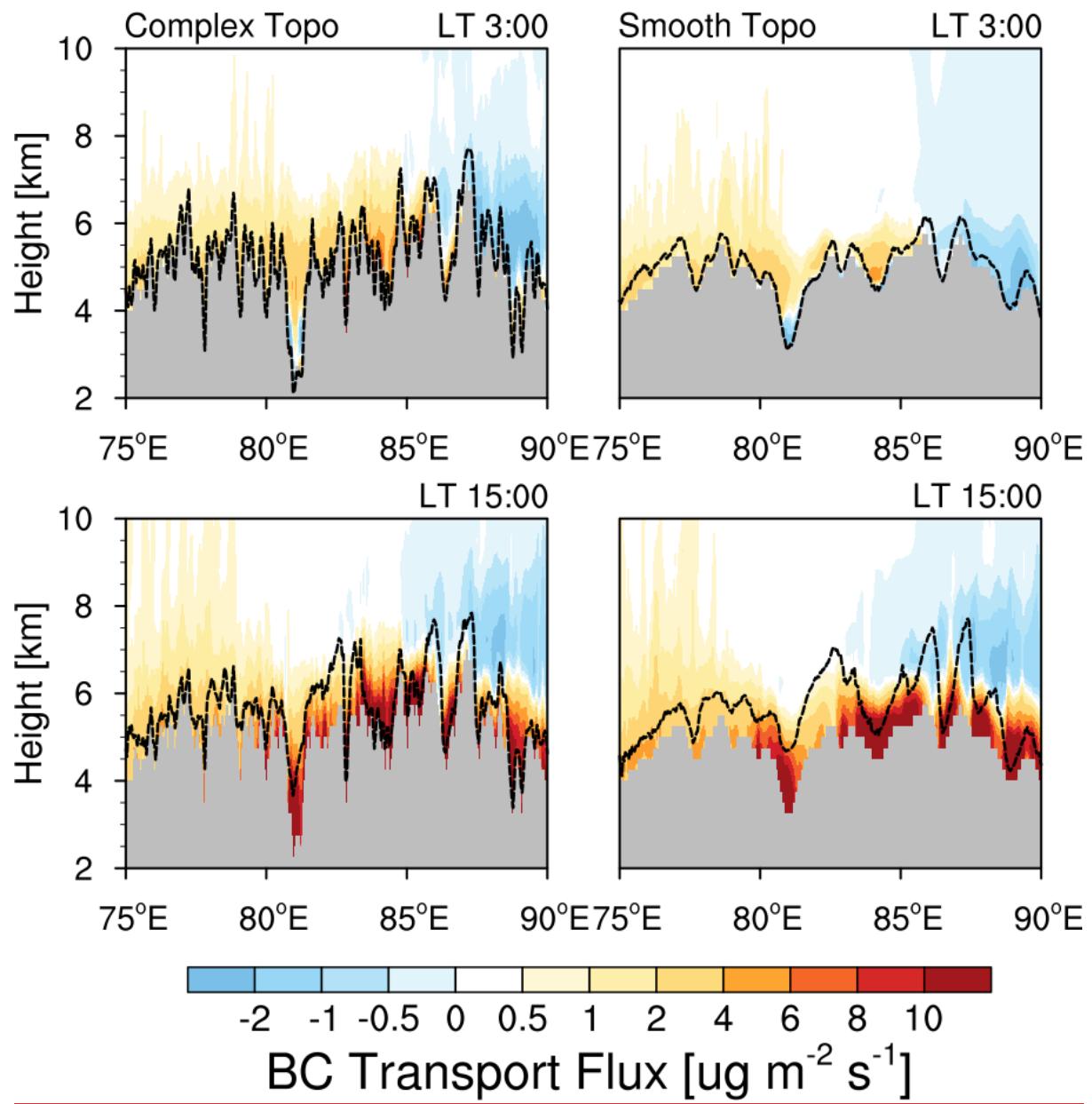
1470



**Figure 8.** The simulated (colored) and observed (black) temporal variability of near-surface BC mass concentration at the measurement station during April 1-20 in 2016.

1471  
1472  
1473  
1474  
1475  
1476  
1477  
1478  
1479  
1480  
1481  
1482  
1483  
1484  
1485  
1486  
1487  
1488  
1489  
1490  
1491  
1492  
1493  
1494  
1495  
1496  
1497  
1498  
1499  
1500  
1501  
1502  
1503

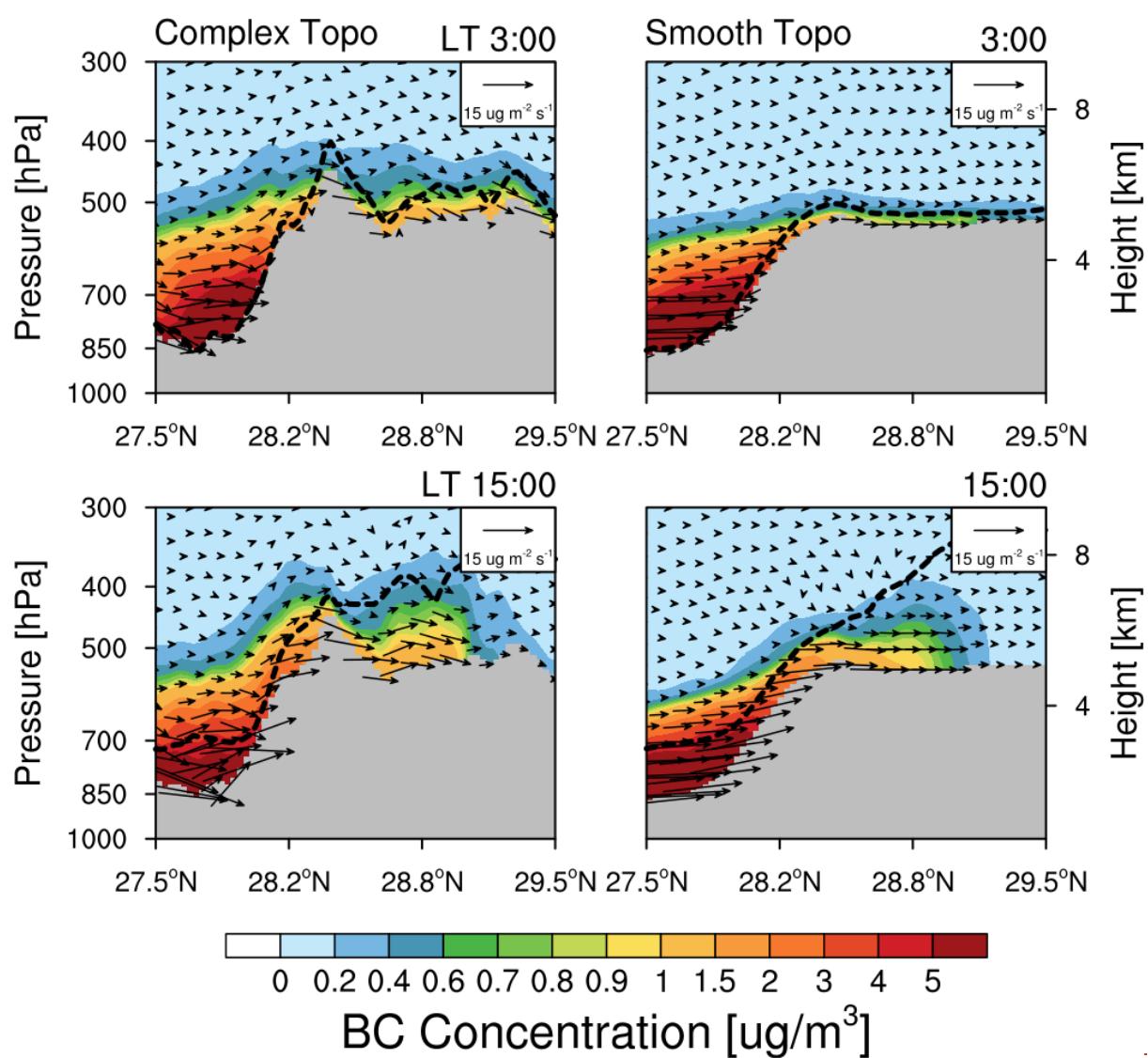




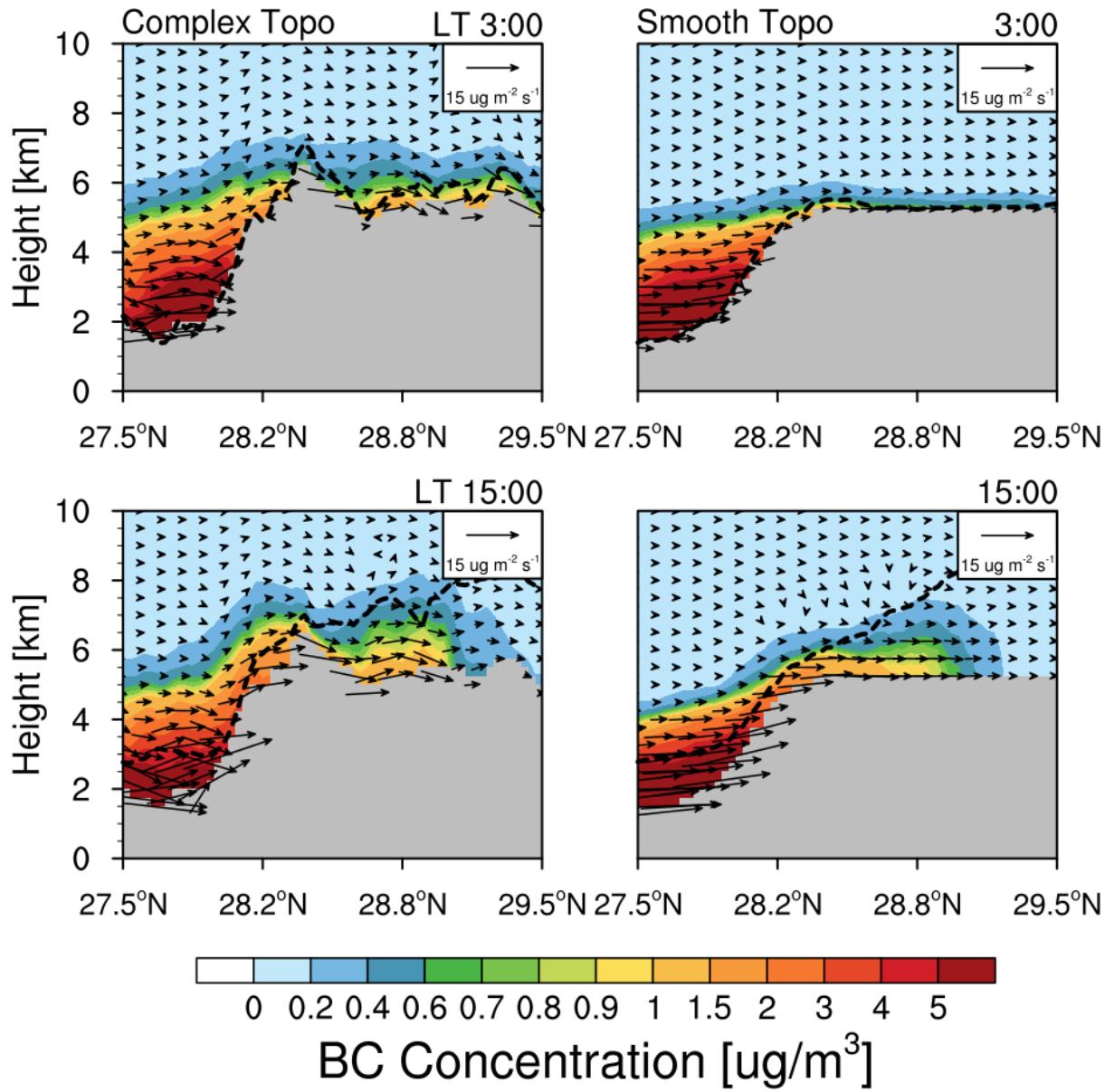
**Figure 9.** Longitude-height cross section of BC transport flux along the cross line (shown as the black dash line in Fig. 3) from the simulations with complex and smooth topography at local time (LT) 03:00 and 15:00 averaged for April 1-20. The PBL height along the cross section is shown here as the black dash line.

1505  
1506  
1507  
1508  
1509  
1510  
1511  
1512  
1513  
1514  
1515  
1516  
1517  
1518  
1519  
1520  
1521  
1522

1523  
1524

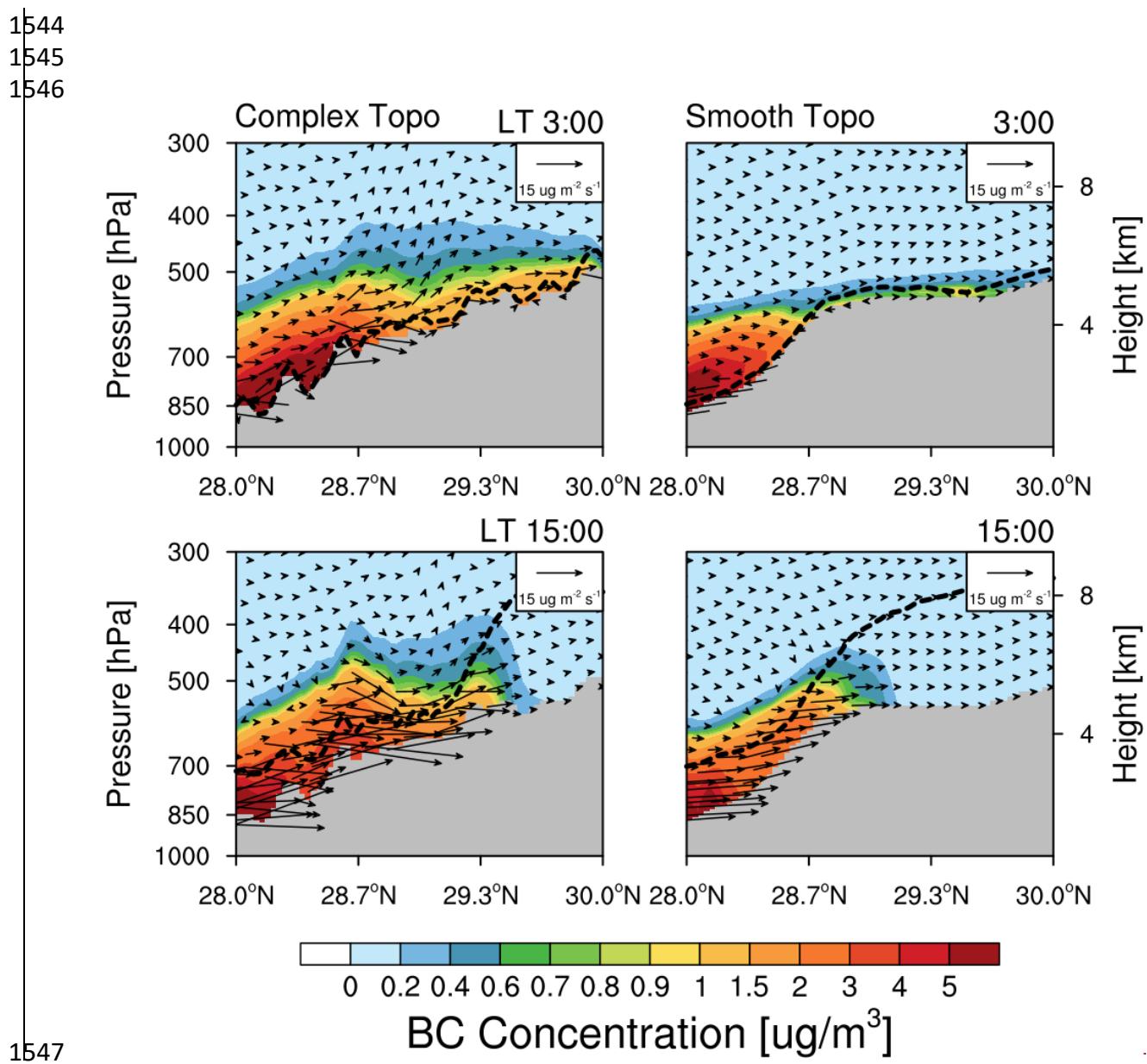


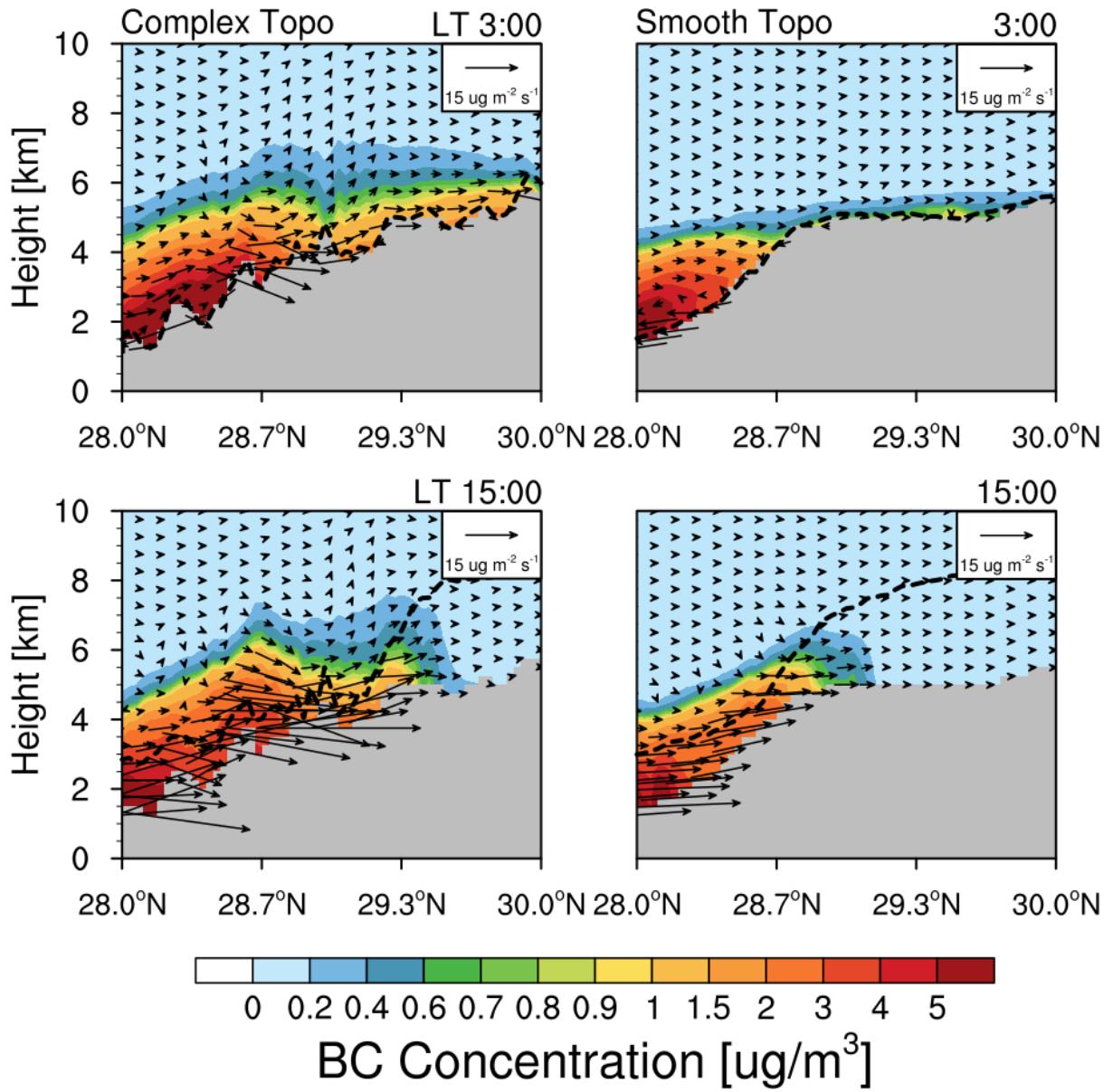
1525



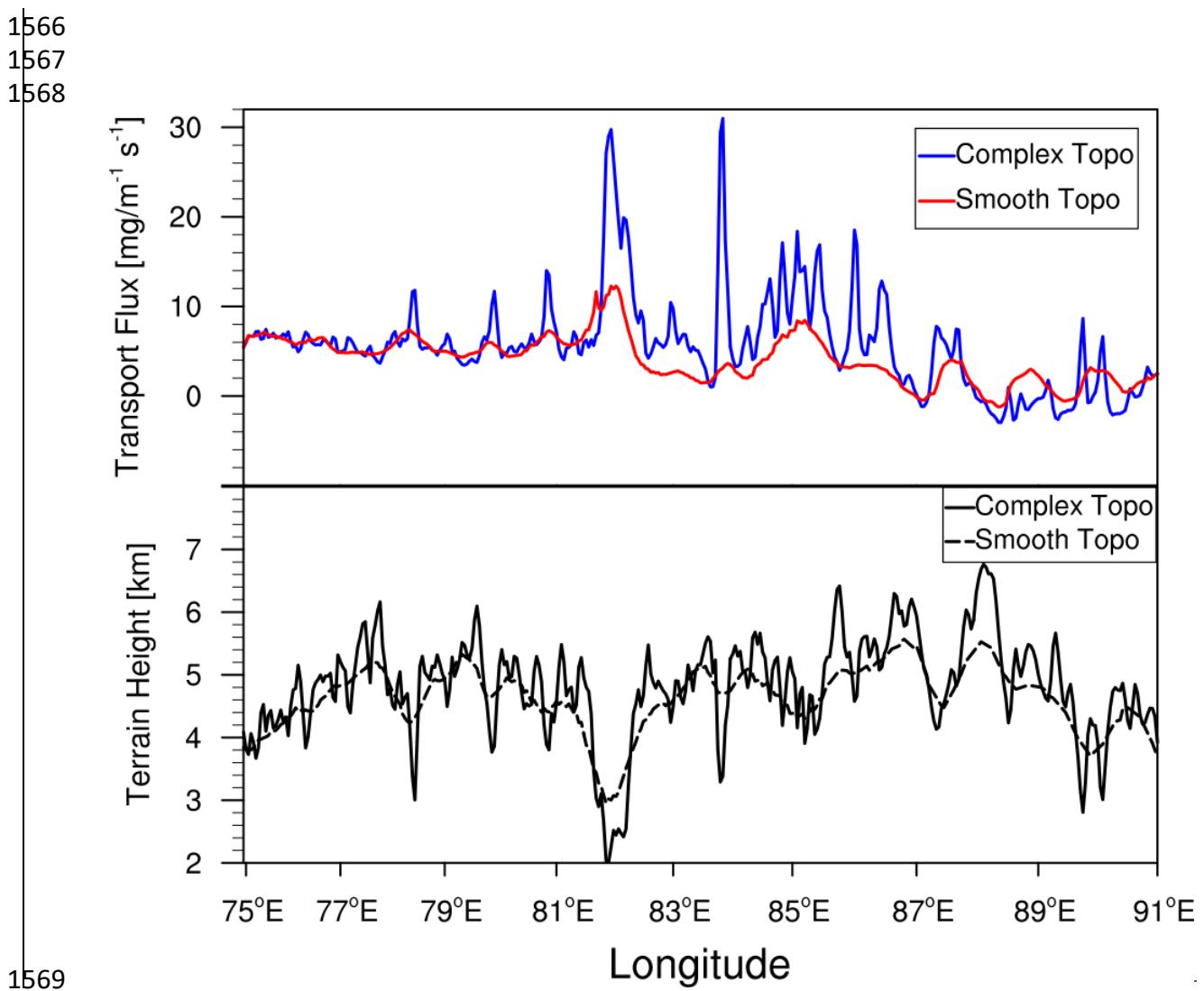
**Figure 10.** Latitude-height cross section of BC flux (vector) across the mountain (shown as the East black solid line in Fig.3) from the simulations with complex and smooth topography at local time (LT) 03:00 and 15:00 averaged for April 1-20, 2016. Contour represents the BC concentration.

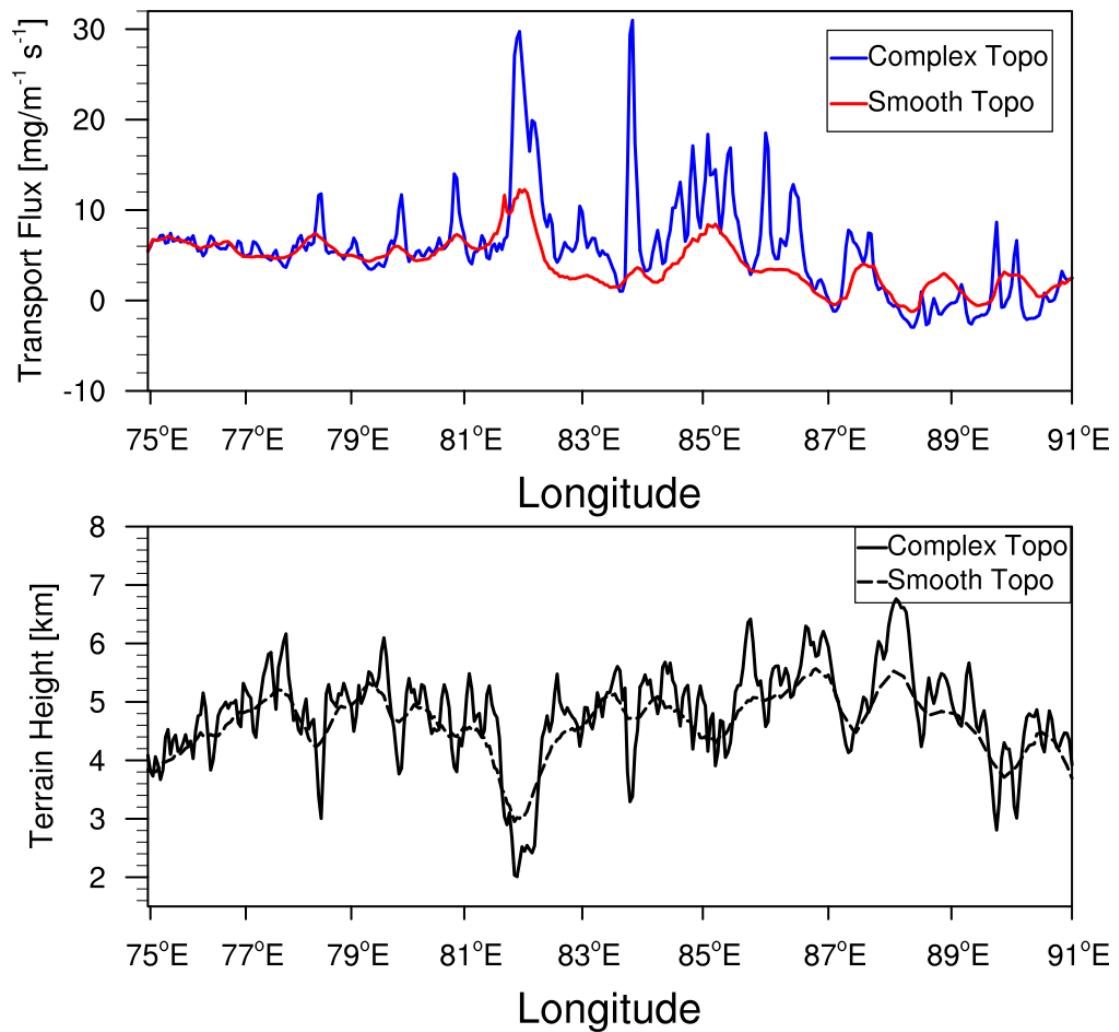
1526  
1527  
1528  
1529  
1530  
1531  
1532  
1533  
1534  
1535  
1536  
1537  
1538  
1539  
1540  
1541  
1542  
1543





1548  
1549 **Figure 11.** Latitude-height cross section of BC flux (vector) along the valley (shown as the  
1550 West black solid line in Fig. 3) from the simulations with complex and smooth topography at  
1551 local time (LT) 03:00 and 15:00 averaged for April 1-20, 2016. Contour represents the BC  
1552 concentration.  
1553  
1554  
1555  
1556  
1557  
1558  
1559  
1560  
1561  
1562  
1563  
1564  
1565





**Figure 12.** Longitudinal distribution of integrated BC mass flux along the cross section in Fig. 3 from the simulations with complex and smooth topography. The black lines represent the terrain heights with different topography.

1570  
1571  
1572  
1573  
1574  
1575  
1576  
1577  
1578  
1579  
1580  
1581  
1582  
1583  
1584  
1585  
1586  
1587  
1588  
1589  
1590  
1591  
1592

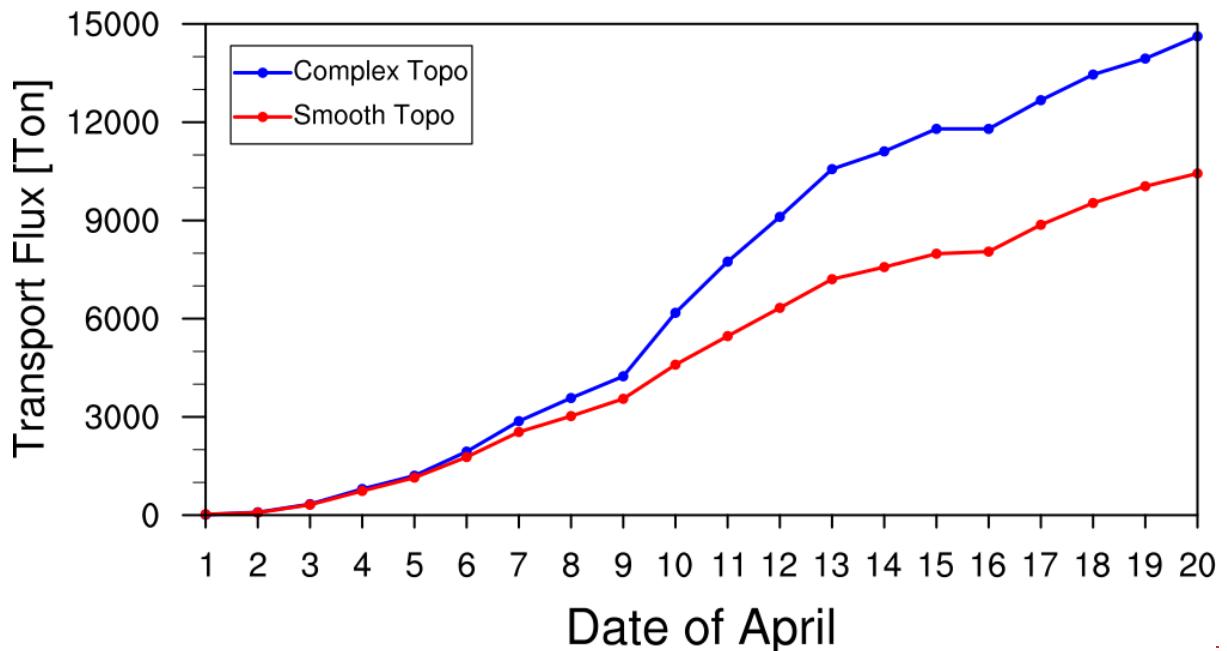


Figure 13.

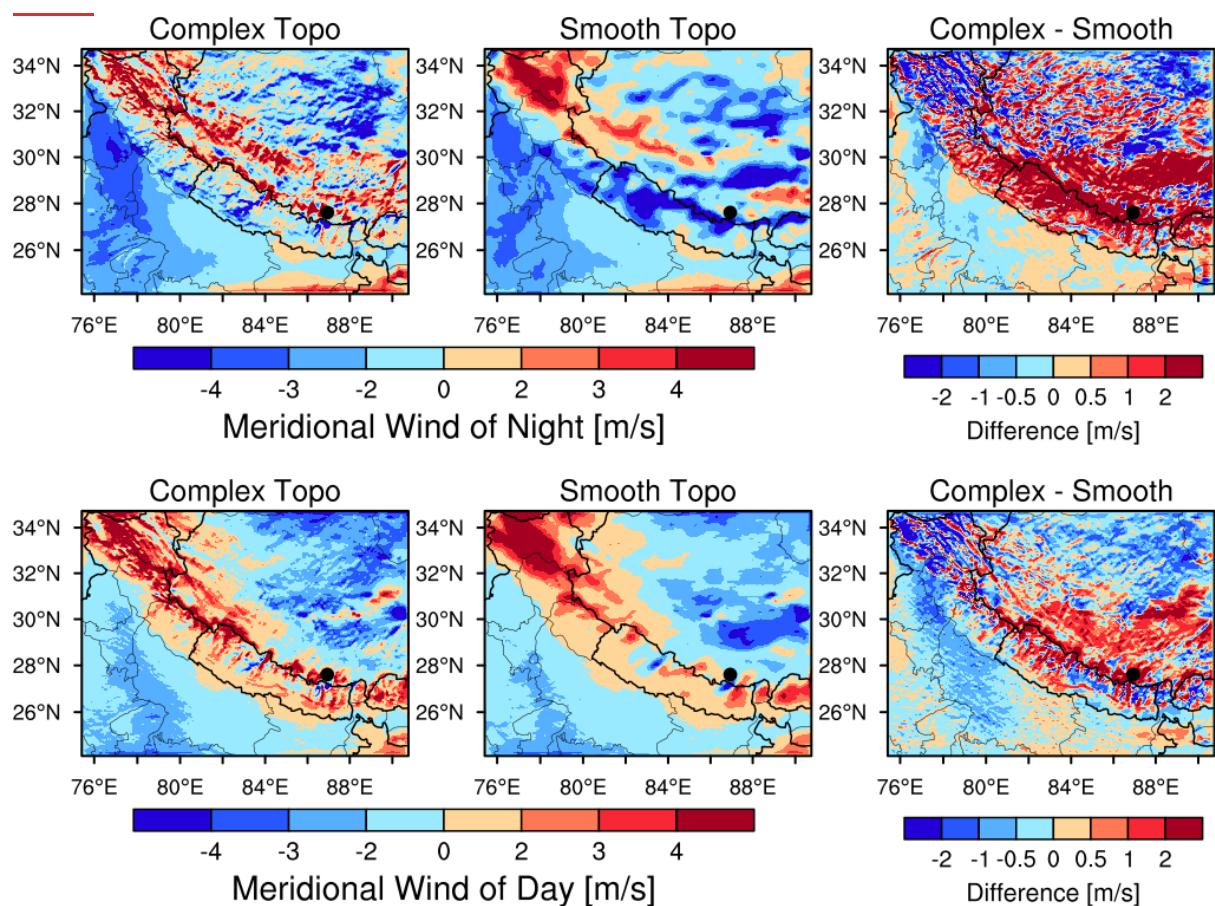
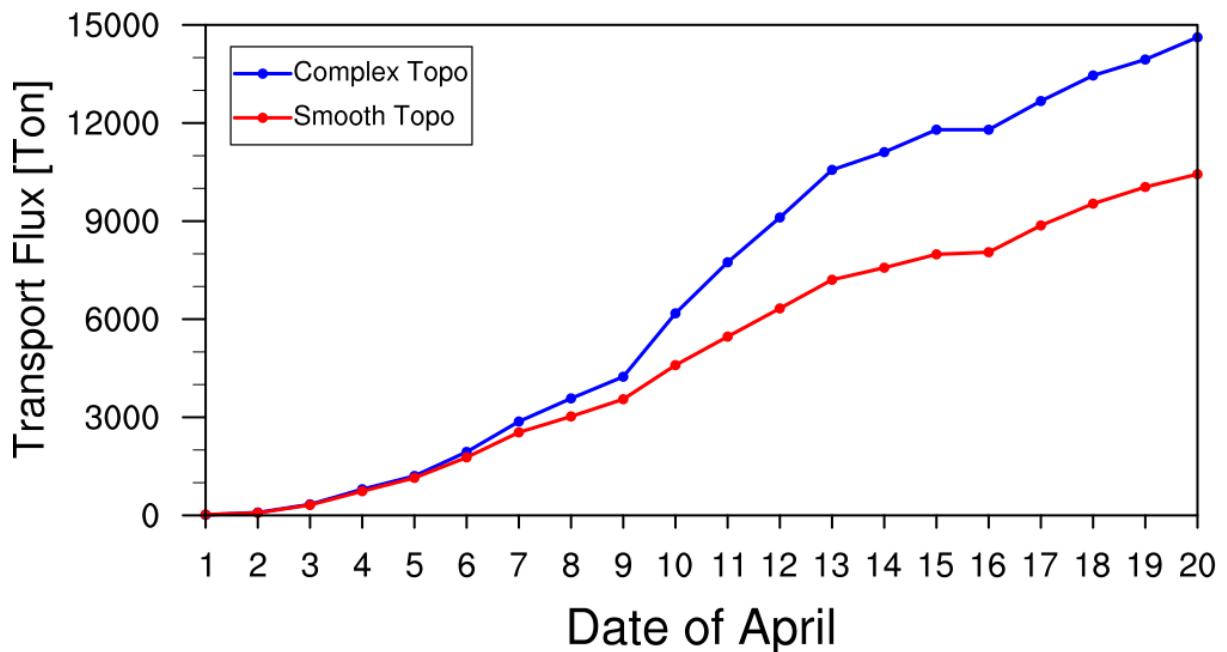
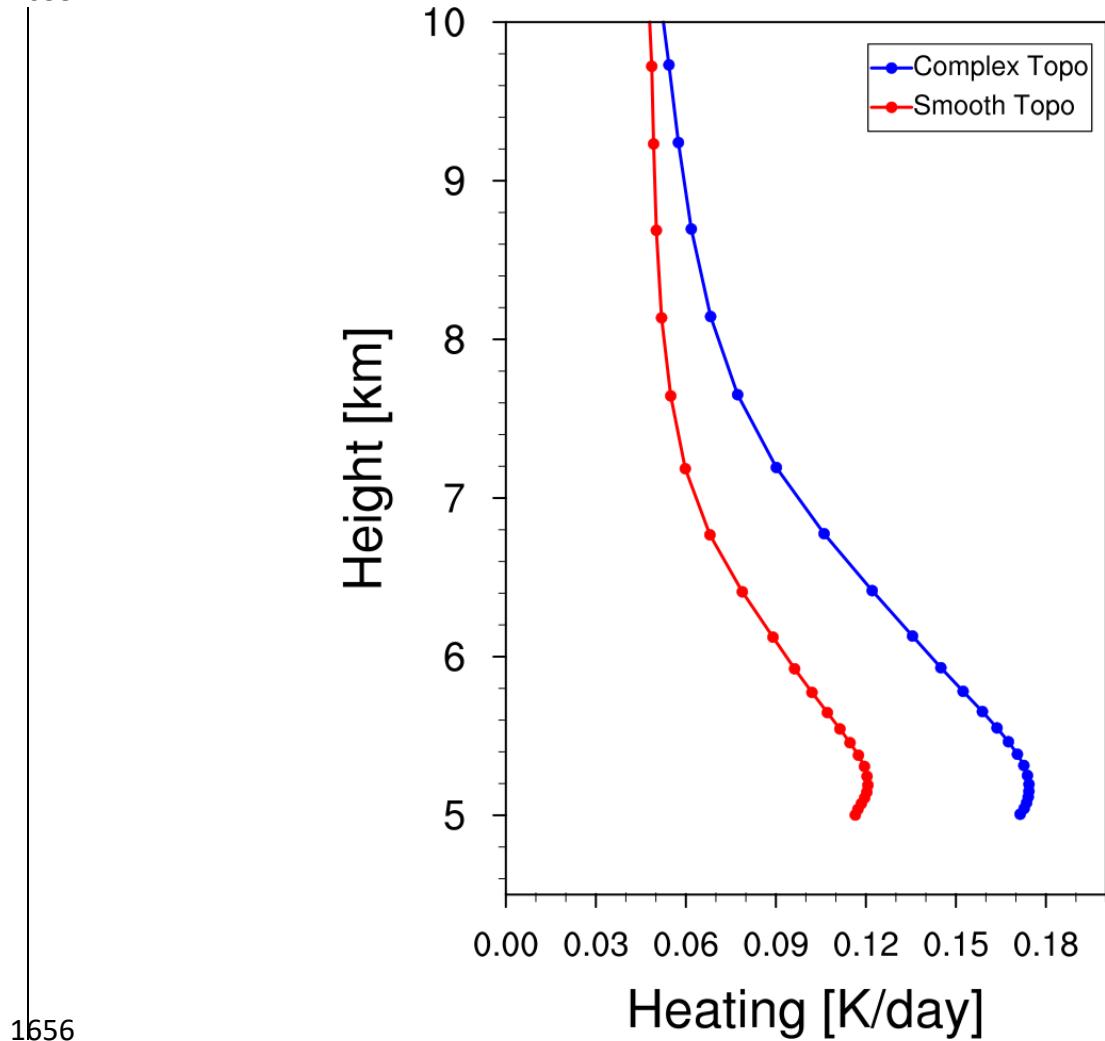


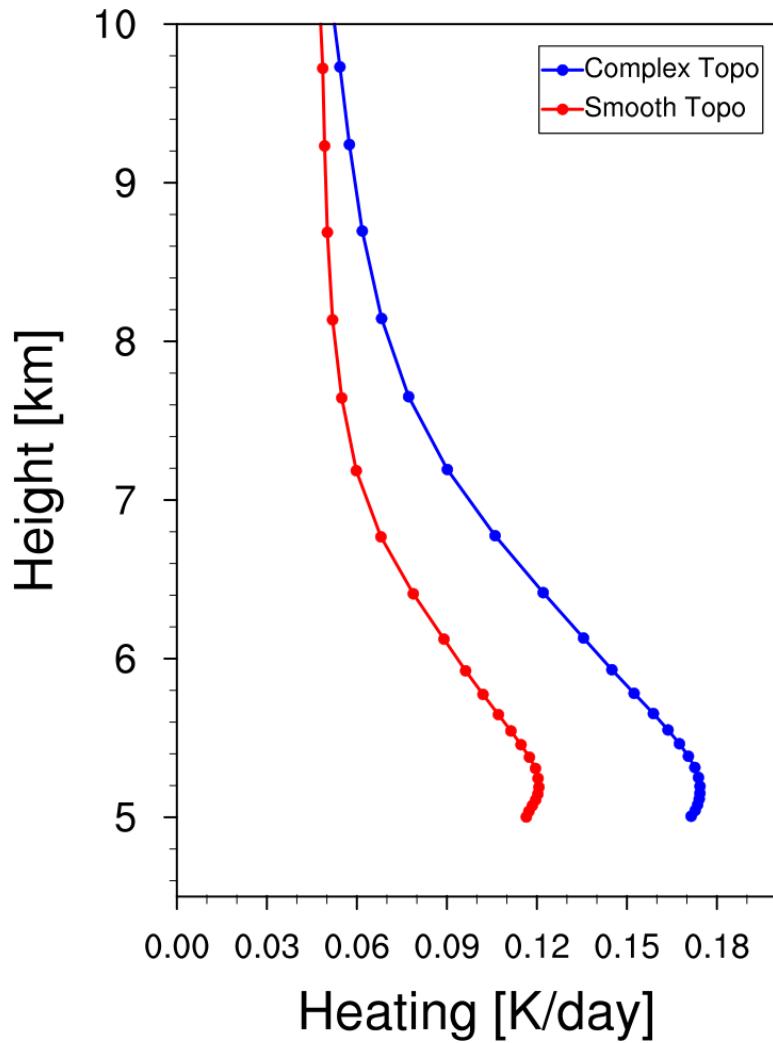
Figure 13. Spatial distributions of meridional wind speed averaged within 500 m above the ground for day and night during April 1-20, 2016 from the simulations with complex and smooth topography. The difference between the two is also shown. Nighttime is defined as local time 21:00-6:00, and daytime is defined as 9:00-18:00. Positive value denotes southerly, and negative value denotes northerly.



**Figure 14.** Accumulated integrated total transport flux of BC across the Himalayas estimated from the simulations with complex and smooth topography during April 1-20, 2016.

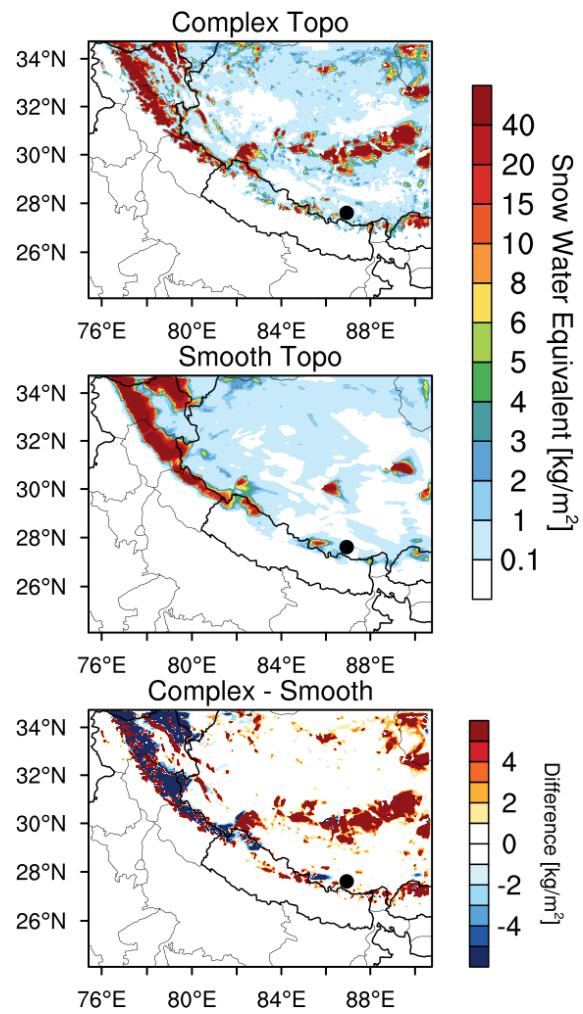
1636  
1637  
1638  
1639  
1640  
1641  
1642  
1643  
1644  
1645  
1646  
1647  
1648  
1649  
1650  
1651  
1652  
1653  
1654  
1655

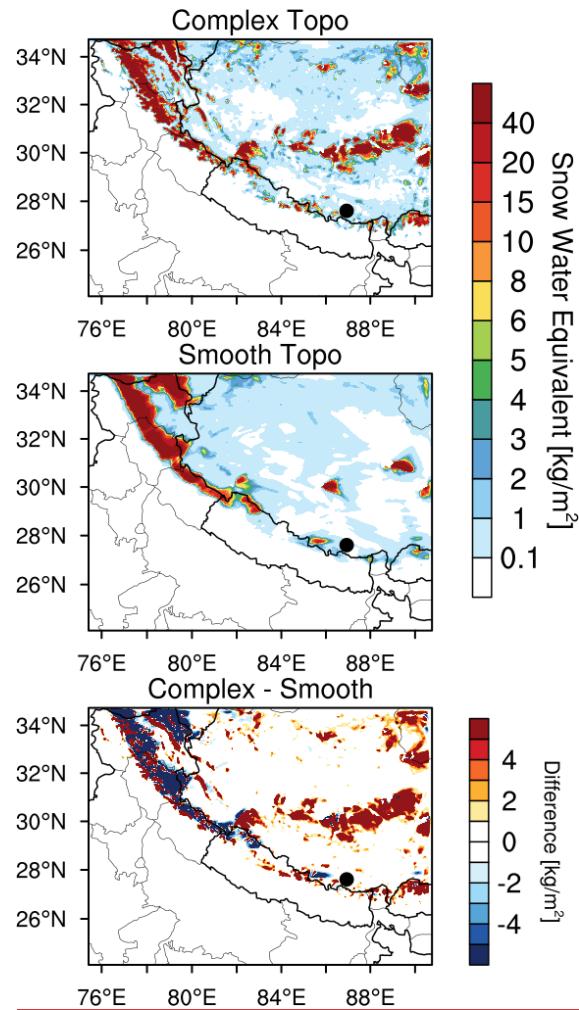




**Figure 1415.** Vertical profiles of BC induced radiative heating rate in the atmosphere averaged over the TP (with elevation  $> 4$  km) from the simulations with complex and smooth topography during April 1-20, 2016.

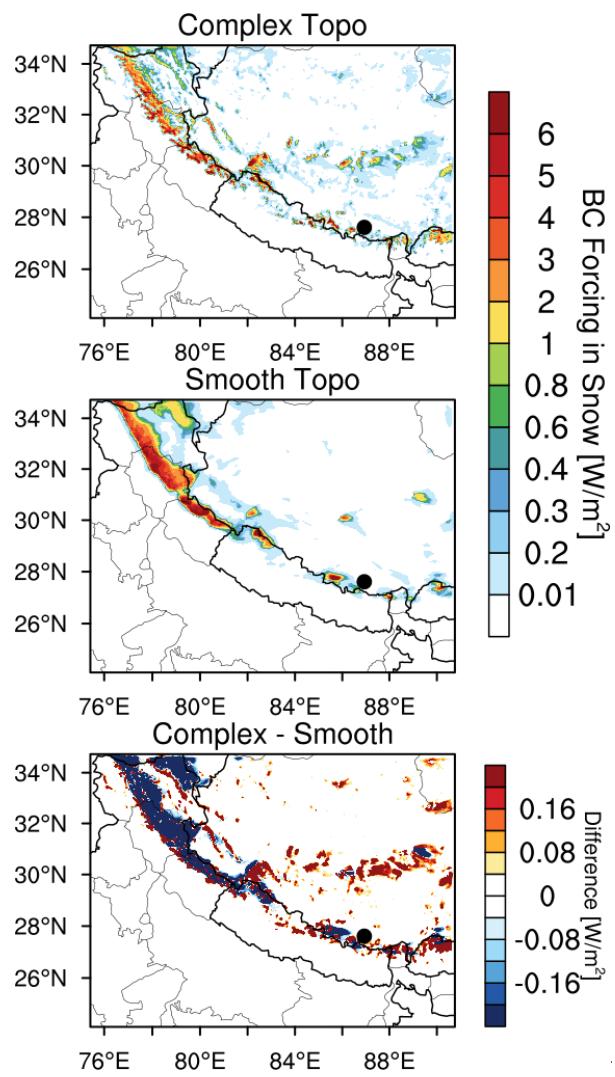
1657  
1658  
1659  
1660  
1661  
1662  
1663  
1664  
1665  
1666  
1667  
1668  
1669  
1670  
1671  
1672  
1673  
1674  
1675  
1676  
1677  
1678  
1679

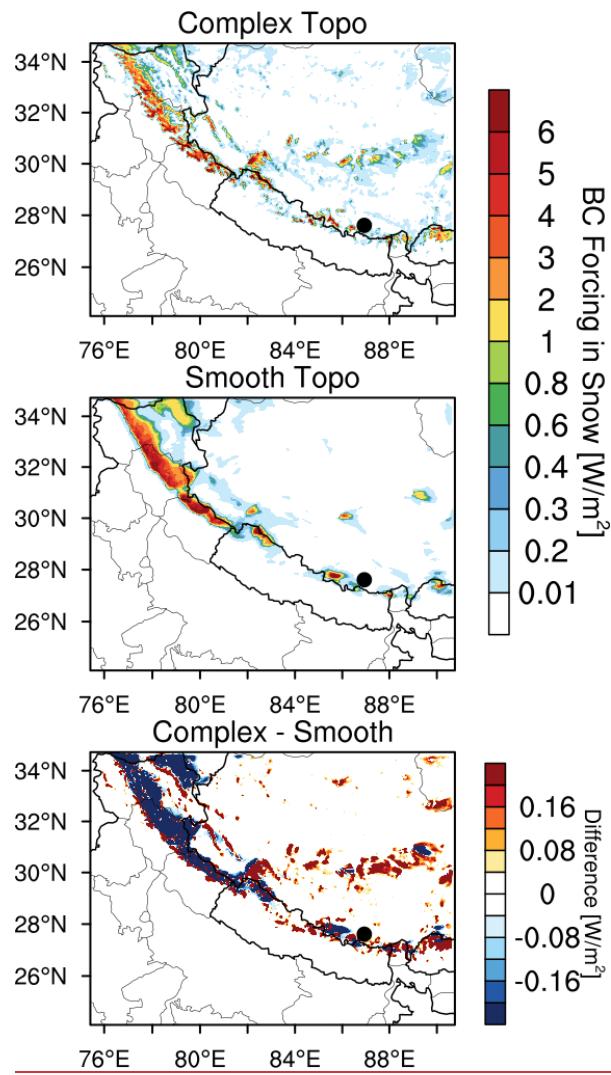




**Figure 1516.** Spatial distributions of snow water equivalent averaged for April 1-20, 2016 from the simulations with complex and smooth topography. The difference between the two is also shown.

1681  
1682  
1683  
1684  
1685  
1686  
1687  
1688  
1689  
1690  
1691  
1692  
1693  
1694  
1695  
1696  
1697  
1698  
1699  
1700  
1701  
1702  
1703  
1704





**Figure 1617.** Spatial distributions of BC radiative forcing in the surface snow averaged for April 1-20, 2016 from the simulations with complex and smooth topography. The difference between the two is also shown.

1706  
1707  
1708  
1709  
1710  
1711  
1712  
1713  
1714  
1715  
1716  
1717  
1718  
1719  
1720  
1721  
1722  
1723  
1724  
1725  
1726  
1727