

## REPLY to Anonymous Reviewer #1

We thank the Reviewer for the positive comments. We accept her/his invitation to integrate the Introduction highlighting the importance of organic marine aerosols along with a summary of Dall'Osto et al. 2017 reporting the first results of atmospheric and seawater measurements carried out during the PEGASO cruise.

The following text was added to the manuscript:

(After line 50 in the Introduction): “DMS and other reactive volatile species are known precursors to the secondary marine aerosol which contribute to the aerosol population in the marine boundary layer together with primary sea-spray particles. Marine aerosols impact global climate by reducing the amount of solar radiation reaching dark surface of the ocean, both directly (through scattering) and indirectly (by modulating cloud formation and life-time) (O’Dowd and de Leeuw 2007). Furthermore, in polar regions, cloud seeding by marine aerosols transported over glaciated regions also affects the longwave radiation budget (Willis et al. 2018).”

And lines 58 to 64 of the Introduction are rewritten with a more detailed summary of the Dall'Osto et al. (2017) study including information about the tank experiments which are key in this study:

“By contrast, other biological parameters of seawater, like chlorophyll a, total organic carbon (TOC) and transparent exopolymeric particles (TEP), showed higher concentrations in the open Southern Ocean north of the SBACC. Results of bubble bursting experiments conducted on nascent seawater as well as using melted sea ice showed that organic nitrogen and organic carbon were more abundant in the aerosol in the latter case. Moreover, the production of organic-rich particles was better traced by markers of the ice biota, such as mycosporines, than by macro-tracers of biological productivity (chlorophyll). These results indicate that not only productivity per se but also the composition and ecophysiological state of the microbiota affect the production of aerosol precursors in seawater. Indeed, the observations of organic nitrogen in the aerosol – carried out by both online and offline chemical methods – pointed to strong sources in the area of the Weddell Sea which, at the time of the field campaign, was heavily covered by sea ice.”

Replies to the specific Referee’s comments are provided below:

*1-Are the nascent sea spray generation experiments running with the same seawater (closed loop) or continuously flushed with fresh seawater? If performed in a closed loop fashion, what impact on an eventual depletion of surfactant organics from the sample with time? I it said that 9 samples were performed but only one sample is analyzed by HNMR. It would be useful to state what was the spatial variability of the general chemical composition of these 9 samples, and how the one sample analysed with HNRM compared to the rest of the samples.*

**REPLY:** The bubble bursting experiments with seawater were conducted in the tank continuously flushed with a fresh sea water provided by the ship’s underway pumping system resulting in the water residence time inside the tank of approximately 10-20min. The sea-ice experiments were instead performed in the tank in a closed loop fashion because of the limited amount of water volume available from the melted sea ice samples. We agree with the Referee that under such conditions, modifications in seawater can be induced by the forced aerosolization itself, with dependence on the technical characteristics of the apparatus for bubble bursting. During past sea-spray generation experiments with the same equipment and

closed loop system, the online monitoring of organic aerosol concentrations did not show any decline with time (O'Dowd et al., 2015) with no evidence of surfactant depletion effects of the film. Therefore, it is unlikely that the depletion could have occurred in a continuously flushed system. However, the focus of the present study is not organic enrichment factor of sea spray aerosol but rather its organic composition. We will insert a new short paragraph to comment the possible effects of the bubble bursting experimental conditions:

(appending after line 134 of Section 2.2) "In six cases, bubble bursting experiments were conducted in the tank continuously flushed with fresh seawater conveyed from the ship's pumping system. In the three sea-ice experiments instead bubble bursting was carried out in a closed loop system because of the limited amount of water volume available from the melted sea ice samples. In this case, the bubble bursting process could lead to chemical and biological modifications in the samples like a progressive depletion of surfactants on the film. Quantification of such artefacts is unavailable. Nevertheless, past studies carried out in different geographical region of North East Atlantic but with the same apparatus showed no evidence of decreasing organic enrichment in the generated sea spray when operated in a closed loop system (O'Dowd et al., 2015)."

The nine bubble bursting experiments conducted during the campaign included 6 carried out with seawater (in a continuously flushed tank) and 3 with melted sea ice (in a closed loop tank). The three sea ice samples are the same discussed in Dall'Osto et al. (2017). Two of the three experiments provided enough material for off-line chemical analysis by  $^1\text{H}$ -NMR spectroscopy, and these are the samples discussed in the present manuscript (BB Sealce-1 and BB Sealce-3). All the samples of sea ice were collected at the northern edge of the Weddell Sea marginal ice zone, south of South Orkney islands. The other six samples from the tank were obtained by bubble bursting of seawater and a summary of the online aerosol measurements is also included in Dall'Osto et al. (2017). Water was collected mostly in highly productive oceanic regions from diverse geographical areas: from the blooms by the South Orkney, to those nearby South Georgia, and finally in the highly productive coastal areas of the Antarctic peninsula. Only during one of the bubble bursting experiment, more oligotrophic waters were collected during the transect from the South Georgia to the Antarctic Peninsula. The sample selected for NMR analysis (BB W1101) was obtained from seawater in a bloom area west and north of the South Orkney. We will include a short explanation in the new version of the paper:

(At line 231 at the beginning of Section 3.2): "The three primary marine aerosol samples collected in the tank and analysed by  $^1\text{H}$ -NMR spectroscopy included the following samples. One sample was collected from bubble bursting of nascent seawater (BB W1101) obtained during almost four days of navigation west and north of the South Orkney Islands with seawater continuously flushed onboard the RV maintaining continuous sea spray production in the tank. The other two samples (BB Sealce-1 and BB Sealce-3) were obtained from two of the three sea ice samples melted in the tank and run in a closed loop system. Sea ice was collected from the marginal ice zone around 100 km south of the South Orkneys by using small inflatable boats and clean laboratory ware. The chemical information obtained for these bubble bursting aerosols is, therefore, representative for primary marine particles in the northern sector of the Weddell Sea."

*2-In general, variability among samples is not discussed much neither for the seawater samples. What differences amongst the 45 POC samples of seawater? Is a comparison between bloom versus non bloom POC content possible?*

**REPLY:** The four samples analysed by  $^1\text{H-NMR}$  spectroscopy had a similar POC content: 10, 12, 9.8 and 11  $\mu\text{molC L}^{-1}$ . This corresponds to ca. to the 75%-percentile of the POC distribution of the 45 POC samples (average  $\pm$  standard deviation for the full set was  $8.7 \pm 4.1 \mu\text{molC L}^{-1}$ ). All four selected samples originated from bloom areas, where POC concentration ranged between 8 and 12  $\mu\text{molC L}^{-1}$  (with peaks above 15  $\mu\text{molC L}^{-1}$ ), while the oligotrophic areas of the Southern Ocean showed concentrations of about 4  $\mu\text{molC L}^{-1}$ . The text in section 3.1 (lines 203 to 207) was modified and integrated as follows:

“Figure 2 shows the proton NMR spectra of three POC samples, one from seawater (POC W3101) and two from melted sea ice (POC Sealce-1, and POC Sealce-3) as examples. It is worth noting that the samples were pre-filtered through a polycarbonate membrane of 10  $\mu\text{m}$  porosity, hence the analyzed POC fraction represents only the fine fraction (between  $\sim 0.45$  and 10  $\mu\text{m}$ ). During PEGASO, the concentration of fine POC fraction (0.45 – 10  $\mu\text{m}$ ) ranged between 8 and 12  $\mu\text{molC L}^{-1}$  in bloom areas. The sub-set of samples analysed by  $^1\text{H-NMR}$  spectroscopy exhibited a concentration of  $10.6 \pm 0.7 \mu\text{molC L}^{-1}$  ( $n = 4$ ). Sample POC W3101 originated from the bloom area west of South Georgia island, while the two sea ice samples were collected in the marginal ice zone of the Weddell Sea. The interpretation of the spectra was carried out by comparison with the datasets and spectra provided in the literature on metabolomics...”

*3-For comparing aerosol Organic carbon characteristics with those of organic carbon in the seawater, the results on the seawater DOC analysis should be known as both are expected to contribute to the aerosol organic matter. As these analysis are presumably not available, there should be a discussion on the fact that the POC 10-45micron composition does not represent the full organic matter present in the seawater. This has implications on the conclusions made on preferential organic transfer to the atmosphere.*

**REPLY:** The concentrations of  $\text{POC}_{0.45-10\mu\text{m}}$  determined in this study ( $8.7 \pm 4.1 \mu\text{molC L}^{-1}$ ) represents a small amount with respect to the TOC concentrations reported in Dall’Osto et al. (2017) ( $50 - 70 \mu\text{molC L}^{-1}$ ), and we agree with the Reviewer that a large fraction of TOC could not be characterized by our analytical techniques. Nevertheless, not all TOC components can contribute to sea spray composition. The pre-filtration of our seawater samples through 10  $\mu\text{m}$  -pore membranes was carried out with the purpose of excluding POC particles that are too large to form primary marine aerosols. The DOC pool as well includes compounds, like marine refractory fulvic material, which are homogeneously distributed in the water column with a small enrichments in the surface film (Hertkorn et al., 2013). We will specify the limitations of our methodology with respect to seawater sample analysis as follows:

(To append after line 202 in Section 3.1): “The chemical characterization of the smallest POC component (0.45 – 10  $\mu\text{m}$ ) aims to provide information about composition of the buoyant particles, while the contribution from DOC to the surface film composition could not be determined in this study.”

*4- Again, there is only one sample or primary marine aerosol (PMA) generated the tank experiment, so we do not know the variability of the organic composition of PMA in this region. This should be discussed, especially when stating that creatinine was not detected in the PMA (this could be true for the one sample presented but not for the others..?).*

**REPLY:** We agree with the reviewer. Nevertheless, the single PMA sample derived from bubble bursting in seawater shows very similar spectroscopic features with the other two PMA samples obtained by aerosolizing melted sea ice (Figure 3). Sample BB W1101 was obtained from sea water collected in an area west and north of the South Orkney islands, approximately 100 km north of the edge of the marginal sea ice zone where the ice samples were subsequently collected. Our data, though based on a small sample

number (but sample BB W1101 corresponds to almost four days of navigation), indicate that sea spray aerosol in the northern sector of the Weddell Sea does not contain creatinine. However, little can be said about the PMA composition from other geographical regions of the Southern Ocean (South Georgia, etc.). This will be acknowledged in the revised version of the manuscript. We copy the proposed text from above in relation to Major comment #1:

(At line 231 at the beginning of Section 3.2): “The three primary marine aerosol samples collected in the tank and analysed by  $^1\text{H}$ -NMR spectroscopy included the following samples. One sample was collected from bubble bursting of nascent seawater (BB W1101) obtained during almost four days of navigation west and north of the South Orkney Islands with seawater continuously flushed onboard the RV maintaining continuous sea spray production in the tank. The other two samples (BB Sealce-1 and BB Sealce-3) were obtained from two of the three sea ice samples melted in the tank and run in a closed loop system. Sea ice was collected from the marginal ice zone around 100 km south of the South Orkneys by using small inflatable boats and clean laboratory ware. The chemical information obtained for these bubble bursting aerosols is, therefore, representative for primary marine particles in the northern sector of the Weddell Sea.”

All minor Reviewer comments have been addressed accordingly.

#### **References:**

Dall’Osto et al., Antarctic sea ice region as a source of biogenic organic nitrogen in aerosols, *Scientific Reports*, 7, 6047, 2017.

Hertkorn et al., High-field NMR spectroscopy and FTICR mass spectrometry: powerful discovery tools for the molecular level characterization of marine dissolved organic matter, *Biogeosciences*, 10 (3), 1583–1624, 2013.

O’Dowd, C. D. & de Leeuw, G. Marine aerosol production: a review of the current knowledge. *Philosophical Transactions of the Royal Society A: Mathematical, Physical and Engineering Sciences* 365, 1753, 2007.

O’Dowd et al., Connecting marine productivity to sea-spray via nanoscale biological processes: Phytoplankton Dance or Death Disco? *Scientific Reports*, 5, 14883, 2015.

Willis et al., Processes controlling the composition and abundance of Arctic aerosol. *Reviews of Geophysics*, 56, 621–671. <https://doi.org/10.1029/2018RG000602>, 2018.

## REPLY to Anonymous Reviewer #2

We thank the Reviewer for the very positive comments. We report our point-by-point response to the specific comments raised in her/his review:

1. In section 2.5 of the manuscript, the details for the operating method and condition of UHPLC-HESI-Orbitrap-MS are provided. However, the information for the target compounds including creatinine is not provided. The analytical method for the target compounds including calibration results, QA/QC should be included in this section.

Calibration results of the UHPLC-HESI-HRMS measurements are now given in a more detailed manner in the Supplement, including calibration function, regression coefficient and retention times (new table S2):

“Table S2. Creatinine calibration results by UHPLC-HESI-HRMS

Calibration std.	Conc. (ng/mL)	Peak area (a.u.)	RT (min)
1	1.2	6302965	0.33
2	12	56953602	0.33
3	120	440017098	0.33
Calib. Function*	$(3.61E6 \pm 0.009E6)x$	$+(7.53E6 \pm 6.21E6)$	
R <sup>2</sup>	0.999		

\*Linear least squares fit in MS Excel 2010”

2. This study analyzed seawater generating aerosol using bubble chamber. However, the methodology and information how to generate aerosol from seawater is not available in this manuscript.

The methodology is described in the second paragraph of Section 2.2. We included a more comprehensive description clarifying the protocols used to produce the samples discussed in this study in comparison with the methodology employed for the online measurements discussed in the previous publication by Dall’Osto et al. (2017):

“Seawater was pumped from a depth of 4 m to fill an airtight high grade stainless steel tank (200 L) designed for aerosol generation experiment. Sea ice samples were also introduced and melted in the tank for dedicated experiments. Water was dropped from the top of the tank as a plunging jet at a flow rate of 20 L min<sup>-1</sup>. The entrained air formed bubbles that, upon bursting, produced sea-spray aerosol, as reported in O’Dowd et al. (2015). Particle-free compressed air was blown into the tank headspace (120 L min<sup>-1</sup>), which had outlet ports leading to samplers for the collection of filters and the subsequent off-line chemical characterization of the produced sea-spray. In particular nine sea-spray aerosol samples were collected for approximately 72h by a PM1 sampler (flow rate 40 lpm) equipped with pre-washed and pre-baked quartz-fiber filters (PALL, Ø= 47mm). Parallel bubble-bursting aerosol generation experiments with the same seawater and sea ice samples were carried out using a smaller glass tank (10 L) continuously flushed with particle-free air (11 L min<sup>-1</sup>) (Schwier et al. 2015) and were dedicated to seaspray aerosol characterization using online mass spectrometers (HR-ToF-AMS and ATOFMS). The results from the bubble bursting experiments in the small tank are already reported in Dall’Osto et al. (2017).”

3. In 3.3.1. Ambient aerosols from the Weddell Sea: Check the sample labelling in Figure 1. There are no information for sample A-0911.

The correct labelling was indeed A-0901. We corrected the text.

*4. English expression is ambiguous in the manuscript. Please revise the whole of the paper to improve English expression.*

We have now removed the phrases with awkward syntax or with ambiguous expressions.

**References:**

Dall'Osto et al., Antarctic sea ice region as a source of biogenic organic nitrogen in aerosols, *Scientific Reports*, 7, 6047, doi:10.1038/s41598-017-06188-x, 2017.

Schwier et al. Primary marine aerosol emissions from the Mediterranean Sea during pre-bloom and oligotrophic conditions: correlations to seawater chlorophyll a from a mesocosm study. *Atmos. Chem. Phys.* 15, 7961–7976 (2015).

Below, we provide a copy of the revised manuscript with all relevant changes highlighted in blue. We performed a few additional small changes with respect to the paragraphs included in the replies to the Referees, to make the text more clear and fluent.

Other minor changes (not highlighted) were made to the text to remove typos and ambiguous or incorrect English expressions in the first submission.

# Shipborne measurements of Antarctic submicron organic aerosols: an NMR perspective linking multiple sources and bioregions

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Keywords: Antarctic aerosols, natural sources of aerosol in polar regions, marginal ice zones, organic aerosol, NMR spectroscopy, primary marine particles, secondary organic aerosol, atmospheric amines

## 1 Abstract

2 The concentrations of submicron aerosol particles in maritime regions around Antarctica are influenced by  
3 the extent of sea ice. This effect is two ways: on one side, sea ice regulates the production of particles by  
4 sea spray (primary aerosols) while, on the other side, it hosts complex communities of organisms emitting  
5 precursors for secondary particles. Past studies documenting the chemical composition of fine aerosols in  
6 Antarctica indicate various potential primary and secondary sources active in coastal areas, in offshore  
7 marine regions as well as in the sea ice itself. In particular, beside the well-known sources of organic and  
8 sulfur material originating from the oxidation of dimethyl-sulfide (DMS) produced by microalgae, recent  
9 findings obtained during the 2015 PEGASO cruise suggest that nitrogen-containing organic compounds are  
10 also produced by the microbiota colonizing the marginal ice zone. To complement the aerosol source  
11 apportionment performed using online mass spectrometric techniques, here we discuss the outcomes of  
12 offline spectroscopic analysis performed by nuclear magnetic resonance (NMR) spectroscopy. In this study  
13 we (i) present the composition of ambient aerosols over open ocean waters across bioregions, and  
14 compared it to the composition of (ii) seawater samples and (iii) bubble bursting aerosols produced in a sea  
15 spray chamber on board the ship. Our results show that the process of aerosolization in the tank enriches  
16 primary marine particles with lipids and sugars while depleting them of free aminoacids, providing an  
17 explanation for why aminoacids occurred only at trace concentrations in the marine aerosol samples  
18 analyzed. The analysis of water-soluble organic carbon (WSOC) in ambient submicron aerosol samples  
19 shows distinct NMR fingerprints for three bioregions: 1) the open Southern Ocean pelagic environments, in



20 which aerosols are enriched with primary marine particles containing lipids and sugars; 2) sympagic areas in  
21 the Weddell Sea where secondary organic compounds, including methanesulfonic acid and semivolatile  
22 amines abound in the aerosol composition; and 3) terrestrial coastal areas, traced by sugars such as  
23 sucrose, emitted by land vegetation. Finally, a new biogenic chemical marker, creatinine, was identified in  
24 the samples from the Weddell Sea, providing another confirmation of the importance of nitrogen-  
25 containing metabolites in Antarctic polar aerosols.

26

## 27 **1. Introduction**

28 The Antarctic continent is one of the last pristine areas of our planet but its natural ecosystems are now  
29 threatened by an acceleration of the effects of global warming. Although at the beginning of the XXI  
30 century the signals of climate change looked still weak in the region, the ice-sheet mass loss in Western  
31 Antarctica has greatly accelerated in the last ten years along with an increasing warming of the Southern  
32 Ocean (Shepherd et al., 2018). Climate change impacts on Antarctic maritime and coastal environments  
33 may lead to stronger westerly winds, reduced summer sea ice extent, shifting geographical ranges of bird  
34 communities, expanding terrestrial vegetation, increasing glacier melt and freshwater formation over land  
35 (Rintoul et al.; 2018). As all these specific ecosystem impacts involve factors deemed to be important for  
36 aerosol production in Antarctica (Davison et al., 2006; Schmale et al., 2013; Kyrö et al., 2013; Barbaro et al.  
37 2017), significant climate change feedbacks on atmospheric concentrations of aerosols and cloud  
38 condensation nuclei (CCN) are expected. The field studies performed in maritime and coastal areas around  
39 Antarctica in the austral summer since the 90's (Davison et al., 1996) have provided precious information  
40 on the contribution of the emissions from natural ecosystems to atmospheric composition. In summer, sea  
41 ice recedes allowing wind stress over the oceanic surface and sea spray to occur closer to the continent,  
42 hence increasing the primary marine aerosol production. At the same time, the thinning of sea ice in its  
43 marginal zone and the increased intensity of solar radiation allow microalgae colonize the ice (Fryxell and  
44 Kendrick, 1988; Roukaerts et al. 2016). The microbiota produce low-molecular weight metabolites as  
45 cryoprotectors and osmoregulators, like dimethylsulfoniopropionate (DMSP) and quaternary nitrogen  
46 compounds (Dallosto et al., 2017). Once released in seawater, such compounds become precursors of  
47 atmospheric reactive volatile compounds, such as dimethylsulfide (DMS) and methylamines, which  
48 eventually contribute to secondary aerosol formation. Davison et al. (1996) observed concentrations of  
49 DMS south of 60°S more than four times higher than in the Atlantic Ocean. [DMS and other reactive volatile  
50 species are known precursors to secondary marine aerosol which contribute to the aerosol population in  
51 the marine boundary layer together with primary sea-spray particles. Marine aerosols impact global climate  
52 by reducing the amount of solar radiation reaching dark surface of the ocean, both directly \(through  
53 scattering\) and indirectly \(by modulating cloud formation and lifetime\) \(O'Dowd and de Leeuw 2007\). In  
54 polar regions, cloud seeding by marine aerosols transported over glaciated regions also affects the  
55 longwave radiation budget \(Willis et al. 2018\).](#)

56 During the 2015 PEGASO cruise (Dall'Osto et al., 2017, 2019; Fossum et al., 2018), we conducted  
57 continuous atmospheric observations for over 42 days, providing one of the longest shipborne aerosol  
58 measurement records in this area of the world. We contrasted the composition of seawater north and  
59 south of the Southern Boundary of the Antarctic Circumpolar Current (SBACC), which represents the  
60 approximate boundary between the Southern Ocean and the waters directly affected by sea ice formation  
61 and melting around Antarctica. Dall'Osto et al. (2017) showed that not only DMSP and DMS occurred in  
62 greater concentrations in sympagic waters (south of the SBACC), but so did quaternary nitrogen  
63 compounds and methyl amines. [By contrast, other biological parameters of seawater, like chlorophyll a,  
64 total organic carbon \(TOC\) and transparent exopolymeric particles \(TEP\), showed higher concentrations in](#)

65 the open Southern Ocean north of the SBACC. Results of bubble bursting experiments conducted on  
66 nascent seawater as well as using melted sea ice showed that organic nitrogen and organic carbon were  
67 more abundant in the aerosol in the latter case. Moreover, the production of organic-rich particles was  
68 better traced by markers of the ice biota, such as mycosporines, than by macro-tracers of biological  
69 productivity (chlorophyll). These results indicate that not only productivity *per se* but also the composition  
70 and ecophysiological state of the microbiota affect the production of aerosol precursors in seawater.  
71 Indeed, the observations of organic nitrogen in the aerosol – carried out by both online and offline  
72 chemical methods – pointed to strong sources in the area of the Weddell Sea that, at the time of the field  
73 campaign, was heavily covered by sea ice.

74 These findings contribute to the growing observational dataset of aerosol chemical compositions for  
75 coastal Antarctic and sub-Antarctic marine areas. Past measurements relied on the analysis of filter and  
76 impactor samples (Davison et al., 2006; Virkkula et al., 2006) and, more recently, on the application of  
77 online aerosol mass spectrometric techniques (Zorn et al., 2008; Schmale et al., 2013; Giordano et al.,  
78 2017). All the chemical observations performed so far agree on showing a reduction of sea salt aerosol  
79 concentrations from the Southern Ocean to the coasts of Antarctica, while secondary species including  
80 non-sea salt sulfate and methanesulfonate (MSA) were found in relatively higher concentrations at higher  
81 latitudes as a result of the DMS emissions from marginal ice zone waters. Open questions remain about a)  
82 the amount of non-MSA organic matter in Antarctic air masses, and b) its origin (either primary or  
83 secondary). Recent studies suggest that blowing snow at high wind speeds can be another important yet  
84 hitherto underestimated source (Giordano et al, 2018; Frey et al., 2019), adding complexity onto the source  
85 apportioning of organic aerosols. First observations of organic carbon (OC) in size-segregated aerosol  
86 samples collected at a coastal site in the Weddell Sea (Virkkula et al., 2006) showed that MSA represented  
87 only a few % of the total OC in the submicron fraction. In contrast with such findings, aerosol mass  
88 spectrometric (AMS) measurements showed that the organic matter in submicron aerosols transported in  
89 Antarctic air masses was almost totally accounted for by MSA, while non-MSA organic compounds were  
90 associated to aerosols originating from highly productive waters in the Southern Ocean (Zorn et al., 2008).  
91 Non-MSA OC was also associated to insular terrestrial biomass emissions (Schmale et al., 2013). In  
92 particular, organic particles emitted from seabird colonies contain large amounts of nitrogen exhibiting MS  
93 spectral fingerprints overlapping with those of natural aminoacids. In the paper by Liu et al. (2018), FTIR  
94 spectroscopy was employed to probe the sources of particulate organic compounds at another coastal  
95 Antarctic site, and the results point to a contribution of marine polysaccharides transported in sea spray  
96 aerosols. Finally, detailed organic speciation using offline analytical techniques with high sensitivity and  
97 selectivity suggest that OC concentrations are contributed by marine proteinaceous material, terrestrial  
98 lipids and secondary organic compounds (Bendle et al 2007; Barbaro et al., 2015; 2017). It is unclear,  
99 however, to what extent the concentrations of compounds occurring at  $\text{pg m}^{-3}$  relate to that of bulk organic  
100 matter. We present here the organic characterization of Antarctic aerosol employing proton nuclear  
101 magnetic resonance ( $^1\text{H-NMR}$ ) spectroscopy. NMR spectroscopy has been used for decades in several fields  
102 of biogeochemistry for its ability to fingerprint several classes of biomolecules and natural organic matter in  
103 aquatic and terrestrial environments (e.g., Pautler et al., 2012; Hertkorn et al., 2013). In this study, which  
104 focuses on the analysis of samples collected during the PEGASO 2015 cruise, we contrast the NMR  
105 composition of submicron aerosol samples with that of seawater samples and bubble-bursting aerosols.  
106 The results provide new hints on the origin of non-MSA aerosol organic matter in fine aerosol particles in  
107 the Antarctic and sub-Antarctic marine environment.

108

## 109 **2. Experimental**

110 **2.1. Ambient aerosol sampling on filters.**

111 The PEGASO (Plankton-derived Emissions of trace Gases and Aerosols in the Southern Ocean) cruise was  
112 conducted on board of *RV Hesperides* in the regions of Antarctic Peninsula, South Orkney and South  
113 Georgia Islands from 2 January to 11 February 2015 (Dall'Osto et al. 2017). A high volume sampler (TECORA  
114 ECO-HIVOL, equipped with Digital PM<sub>1</sub> sampling inlet) collected ambient aerosol particles with D<sub>p</sub> < 1 μm  
115 on pre-washed and pre-baked quartz-fibre filters, at a controlled flow of 500 L min<sup>-1</sup>. Sampling was allowed  
116 only when the samplers were upwind the ship exhaust with a relative wind speed threshold of 5 m s<sup>-1</sup>. Due  
117 to the necessity of collecting sufficient amounts of samples for detailed chemical analyses, sampling time  
118 was of the order of ~50 h for each sample. A total of eight PM<sub>1</sub> samples were collected during the cruise  
119 (Figure 1). The samples were stored at -20 °C until extraction and NMR analysis.

120 For HPLC-MS analyses, aerosol samples were collected on PTFE fiber filters (70 mm diameter, Pallflex  
121 T60A20, Pall Life Science) with flow rates of 2.31 – 2.41 m<sup>3</sup>/h through a PM<sub>2.5</sub> inlet. Sampling times ranged  
122 from 12 - 24 h, resulting sampling volumes of 28.1 – 56.1 m<sup>3</sup> of air. As outlined above, sampling was only  
123 allowed when the sampler was upwind the ship exhaust.

124

125 **2.2. Seawater sampling and tank experiments.**

126 Seawater samples were collected from a depth of 4 m using either the uppermost Niskin bottle of the CTD  
127 rosette casts or the ship's flow-through underway pumping system. The samples were filtered with a  
128 Millipore filtration apparatus on quartz-fiber filters (Whatman, Ø= 47mm) after a previous cut off at 10 μm  
129 performed with a polycarbonate filter (Millipore, Isopore, porosity=10 μm, Ø= 47mm). In total 45 samples  
130 were collected for subsequent quantification of the Particulate Organic Carbon (POC) and 20 mL of the  
131 filtrates were stored for subsequent analysis of Dissolved Organic Carbon (DOC). All the samples were  
132 stored at -20 °C until the chemical analyses. Three samples of sea ice from the marginal ice zone in the  
133 northern Weddell Sea were also collected using the methodology described in Dall'Osto et al. (2017). The  
134 samples, once melted, were filtered and treated similarly to the seawater samples.

135 For the bubble bursting experiments, seawater was pumped through the same ship's pumping system to fill  
136 an airtight high grade stainless steel tank (200 L) designed for aerosol generation experiment. The sea ice  
137 samples were also introduced and melted in the tank for dedicated experiments. Water was dropped from  
138 the top of the tank as a plunging jet at a flow rate of 20 LPM. The entrained air formed bubbles that, upon  
139 bursting, produced sea-spray aerosol, as reported in O'Dowd et al. (2015). Particle-free compressed air was  
140 blown into the tank headspace (120 L min<sup>-1</sup>), which had outlet ports leading to samplers for the collection  
141 of filters and the subsequent off-line chemical characterization of the produced sea-spray. In particular,  
142 nine sea-spray aerosol samples were collected for approximately 72 h by a PM<sub>1</sub> sampler (flow rate 40 L  
143 min<sup>-1</sup>) equipped with pre-washed and pre-baked quartz-fiber filters (PALL, Ø= 47mm). In six cases, bubble  
144 bursting experiments were conducted in the tank continuously flushed with fresh seawater. In the three  
145 sea-ice experiments, instead, bubble bursting was carried out in a closed loop system because of the  
146 limited amount of water volume available from the melted sea ice samples. In this case, the bubble  
147 bursting process could lead to chemical and biological modifications in the samples like a progressive  
148 depletion of surfactants on the film. Quantification of such artefacts is unavailable. Nevertheless, past  
149 studies carried out in different geographical region of North East Atlantic but with the same apparatus  
150 showed no evidence of decreasing organic enrichment in the generated sea spray when operated in a  
151 closed loop system (O'Dowd et al., 2015).

152 Parallel bubble-bursting aerosol generation experiments with the same seawater and sea ice samples were  
153 carried out using a smaller glass tank (10 L) continuously flushed with particle-free air (11 L min<sup>-1</sup>) (Schwier  
154 et al. 2015) and were dedicated to seaspray aerosol characterization using online mass spectrometers (HR-  
155 ToF-AMS and ATOFMS). The results from the bubble bursting experiments in the small tank are discussed in  
156 Dall'Osto et al. (2017).

157

158

### 159 **2.3. <sup>1</sup>H-NMR spectroscopy.**

160 Quartz-fiber filters from both ambient, POC filter samples and sea-spray generation experiments were  
161 extracted with deionized ultra-pure water (Milli-Q) in a mechanical shaker for 1 h and the water extract was  
162 filtered on PTFE membranes (pore size: 0.45 μm) in order to remove suspended particles. The water-  
163 soluble organic carbon (WSOC) content was quantified using a TOC-TN thermal combustion analyser (Multi  
164 N/C 2100 by Analytik Jena) (Rinaldi et al., 2007). Aliquots of the aerosol extract were dried under vacuum  
165 and re-dissolved in deuterium oxide (D<sub>2</sub>O) for organic functional group characterization by <sup>1</sup>H-NMR  
166 spectroscopy, as described in Decesari et al. (2000). The <sup>1</sup>H-NMR spectra were acquired at 600 MHz in a 5  
167 mm probe using a Varian Unity INOVA spectrometer, at the NMR facility of the Department of Industrial  
168 Chemistry (University of Bologna). Sodium 3-trimethylsilyl-(2,2,3,3-d<sub>4</sub>) propionate (TSP-d<sub>4</sub>) was used as an  
169 internal standard by adding 50 μL of a 0.05% TSP-d<sub>4</sub> (by weight) in D<sub>2</sub>O to the standard in the probe. To  
170 avoid the shifting of pH-sensitive signals, the extracts were buffered to pH ~ 3 using a deuterated-  
171 formate/formic-acid (DCOO<sup>-</sup>/HCOOH) buffer prior to the analysis. The speciation of hydrogen atoms bound  
172 to carbon atoms can be provided by <sup>1</sup>H-NMR spectroscopy in protic solvents. On the basis of the range of  
173 frequency shifts, the signals can be attributed to H-C containing specific functionalities (Decesari et al.,  
174 2000, 2007). A total of eight HiVol PM1 ambient aerosol samples (+ one blank), four POC samples from  
175 seawater and two POC samples from melted sea ice, and [three samples from the tank experiments \(from  
176 aerosolization of one seawater sample and two melted sea ice samples\)](#) + one blank for the 47mm filters  
177 were characterized by NMR spectroscopy.

178

### 179 **2.4. UHPLC-HESI-Orbitrap-MS.**

180 One half of each filter sample was extracted according to the following protocol: three times sonication in  
181 1.5 mL, 1 mL, and 1 mL ACN/H<sub>2</sub>O (9:1, v/v) for 30 min. The extracts were filtered through PTFE membranes  
182 (pore size: 0.45 μm), combined, dried at 50 °C under a gentle stream of N<sub>2</sub>, resuspended in 200 μL  
183 ACN/H<sub>2</sub>O (1:4, v/v), and stored at -20 °C until analysis. Samples were analyzed in triplicate by UHPLC-HESI-  
184 HRMS using an Orbitrap mass analyzer (Q-Exactive hybrid quadrupole orbitrap mass spectrometer, Thermo  
185 Scientific, Germany) equipped with an UHPLC-System (Dionex UltiMate 3000 UHPLC system, Thermo  
186 Scientific, Germany) and a Hypersil Gold, C18, 50 x 2.0 mm column with 1.9 μm particle size (Thermo  
187 Scientific, Germany). The injection volume was 20 μL and the eluents were ultrapure water with 2%  
188 acetonitrile and 0.04% formic acid (eluent A), and acetonitrile with 2% water (eluent B). The gradient of the  
189 mobile phase with a flowrate of 0.5 mL min<sup>-1</sup> was as follows: starting with 2% B isocratic for 1 min,  
190 increasing to 20% B in 0.5 min, isocratic for 2 min, increasing to 90% B in 2.5 min, isocratic for 4 min and  
191 decreasing to 2% B in 0.5 min. Mass spectrometric analyses were performed using a ESI source under the  
192 following conditions: 30°C ESI temperature, 4 kV spray voltage, 40 psi sheath gas flow, 20 psi auxiliary gas  
193 flow and 350°C capillary temperature. Mass resolution was 70000 and the acquired mass range was m/z  
194 80–550. [Creatinine calibration results are shown in Table S2 of the supplementary information.](#)

195

### 196 **2.5. Air mass back-trajectories.**

197 Five-day back trajectories arriving at the ship's position at 03:00, 09:00, 16:00 and 21:00 every day were  
198 calculated using the HYSPLIT model (Draxler & Rolph, 2010) with GDAS data. In total, 140 air mass back  
199 trajectories were obtained. A Polar Stereographic map was used to classify 24 x 24 km grid cells as land, sea  
200 and ice. From this information we calculated the percentage of time spent by each trajectory over each  
201 surface type, and particularly over sea ice. Daily maps of sea ice percentage concentration measured on a  
202 12.5 km grid were used for this calculation. Sea ice abundance was derived from satellite microwave data  
203 (Ezraty et al., 2007) available at IFREMER. This analysis allowed also assigning air mass trajectories (and  
204 percentages of surface type overflow) to the aerosol samples collected on the filters (Figure 1).

205

## 206 3. Results

### 207 3.1. Organic composition of seawater: POC samples.

208 The composition of seawater in terms of pigments, metabolites, fluorescent organic matter and other  
209 organic constituents from the PEGASO cruise has been characterized in great detail (Dall'Osto et al., 2017;  
210 Nunes et al., 2019; Zamanillo et al., 2019). Marine organic substances occur in the ocean in dissolved and  
211 particulate form. Particulate organic carbon (POC) is defined operationally by a filtration cutoff at 0.45  $\mu\text{m}$ ,  
212 and recovers phytoplankton cells, bacteria and of the large colloids, such as transparent exopolymeric  
213 particles ("TEPs") (Passow et al., 2002). Dissolved organic carbon (DOC) is mostly contributed by the excreta  
214 and metabolites of the marine biota but it also accounts for a pool of refractory compounds, resistant to  
215 microbial degradation, and well mixed in the water column (Hertkorn et al., 2013). Past studies have  
216 extensively characterized the NMR features of labile and refractory organic constituents of marine organic  
217 matter (Repeta 2015). However, the NMR characterization of the dissolved organic substances was limited  
218 to desalted fractions of DOC isolated by solid-phase extraction or ultrafiltration (Koprivnjak et al., 2009).  
219 Therefore, the NMR analysis of low-molecular weight polar organic constituents of marine DOC remains  
220 elusive. In our study, we screened the NMR features of POC in phytoplankton bloom areas. In addition,  
221 samples of bubble bursting aerosols generated from seawater and melted sea ice were used to obtain  
222 chemical fingerprints for primary marine aerosol (Dall'Osto et al., 2017). During the process of bubble  
223 bursting performed in the tank experiments, aerosol particles became depleted in sea salt with respect to  
224 seawater and enriched in surface-active DOC components and in buoyant POC substances. The chemical  
225 characterization of the smallest POC component (0.45 – 10  $\mu\text{m}$ ) aims to provide information about  
226 composition of the buoyant particles, while the contribution from DOC to the surface film composition  
227 could not be determined in this study.

228 Figure 2 shows the proton NMR spectra of three POC samples, one from seawater (POC W3101) and two  
229 from melted sea ice (POC Sealce-1, and POC Sealce-3) as examples. It is worth noting that the samples were  
230 pre-filtered through a polycarbonate membrane with 10  $\mu\text{m}$  porosity, hence the analyzed POC fraction is  
231 representative for only the small particles (with diameters between  $\sim$  0.45 and 10  $\mu\text{m}$ ). During PEGASO, the  
232 concentration of the fine POC fraction (0.45 – 10  $\mu\text{m}$ ) ranged between 8 and 12  $\mu\text{molC L}^{-1}$  in bloom areas.  
233 The sub-set of samples analysed by  $^1\text{H-NMR}$  spectroscopy exhibited a concentration of  $10.6 \pm 0.7 \mu\text{molC L}^{-1}$   
234 ( $n = 4$ ). The sample POC W3101 whose spectrum is shown in Figure 2 originates from the bloom area west  
235 of South Georgia island, while the two sea ice samples were collected in the marginal ice zone of the  
236 Weddell Sea. The interpretation of the  $^1\text{H-NMR}$  spectra was carried out by comparison with NMR datasets  
237 provided by the literature on metabolomics (e.g., Bertram et al., 2009; Matulova et al., 2014; Li et al., 2015;  
238 Upadhyay et al., 2016) as well as by the analysis of commercial standard compounds. Characteristic  
239 patterns of NMR resonances for specific compounds (e.g., patterns in multiplicity) enabled an accurate  
240 identification, while only a tentative attribution of the most simple NMR resonances (singlets) was  
241 attempted when standards were not available because deviations with respect to published NMR data are  
242 always possible when different experimental conditions (e.g., different pH) are used. Nevertheless, the  $^1\text{H-}$   
243 NMR spectra of the POC extracts (Figure 2) show several NMR features overlapping with the typical ones  
244 for other biological matrices. In particular, the occurrence of most common aliphatic aminoacids was  
245 observed in all three samples analysed and particularly in sample POC Sealce-1. Acidic aminoacids  
246 dominated over the basic ones, while aromatic residuals were detected only in trace amounts (Figure S1).  
247 The identification of modified aminoacids among the most typical natural products of the Antarctic  
248 microbiota, such as mycosporines (Oyamada et al., 2007), could not be carried out in detail because of the  
249 lack of suitable spectral libraries. The presence of metabolites such as low-molecular weight nitrogen-  
250 containing compounds (choline, betaine, etc.) is confirmed by the singlets in the chemical shift range 3.1 –



251 3.3 ppm from methyls bound to nitrogen atoms ( $\text{H}_3\text{C-N}$ ). Resonances at higher chemical shift, between 3.4  
252 and 4.2, recovered the  $\text{-NCHRCO-}$  groups of alpha-aminoacids and the  $\text{H-C-O}$  groups of sugars and polyols:  
253 traces of glycerol were found in all three samples analyzed, while glucose was found in trace amounts in  
254 sample POC W3101 and, as a major component, in sample POC Sealce-3 (Figure S2). These results confirm  
255 the potential of  $^1\text{H-NMR}$  spectroscopy for the characterization of marine metabolites and natural products.  
256 The small set of POC samples analyzed in this study is, however, mainly aimed to provide spectral  
257 fingerprints useful for the interpretation of the results of the aerosol sample analyses discussed in the  
258 following sections.

259

### 260 **3.2. Organic composition of bubble bursting aerosols.**

261 The three primary marine aerosol samples collected in the 200 L tank and analysed by  $^1\text{H-NMR}$   
262 spectroscopy included the following samples. One sample was collected from bubble bursting of nascent  
263 seawater (BB W1101) obtained during almost four days of navigation west and north of the South Orkney  
264 Islands with seawater continuously flushed onboard the RV maintaining continuous sea spray production in  
265 the tank. The other two samples (BB Sealce-1 and BB Sealce-3) were obtained from two of the three sea ice  
266 samples melted in the tank and run in a closed loop system. Sea ice was collected from the marginal ice  
267 zone around 100 km south of the South Orkneys. The chemical information obtained for these bubble  
268 bursting aerosols is, therefore, representative for primary marine particles in the northern sector of the  
269 Weddell Sea. The natural process of sea spray – mimicked by the experiments carried out in the tank  
270 onboard *RV Hesperides*– selectively transfers organic compounds from seawater into the aerosol  
271 depending on the ability of the specific pools of organic substances to enrich in the surface microlayer  
272 and/or to be scavenged by rising air bubbles. The selective nature of such process is witnessed by our NMR  
273 data, showing that the seawater composition dominated by aminoacids, osmolytes and sugars/polyols  
274 (Figure 2) differs quite substantially from that of bubble bursting aerosols from the tank experiments  
275 (Figure 3, Figure S3). Bubble bursting aerosol was characterized by the occurrence of low-molecular weight  
276 metabolites like lactic acid and amines (dimethylamine, DMA and traces of monomethyl- and trimethyl-  
277 amines) which likely originated from DOC components of seawater. The most characteristic feature of the  
278 spectra is, however, the bands at 0.9 and 1.3 ppm of chemical shift. These correspond to aliphatic chains  
279 with terminal methyl moieties typical of lipids. Their occurrence in the aerosolized seawater and not in the  
280 POC samples can be explained by an enrichment of surface-active compounds from DOC in the surface  
281 microlayer. Lipid enrichment in the aerosol during past bubble bursting experiments was reported by  
282 Facchini et al. (2008) and Schmitt-Kopplin et al. (2012). Nevertheless, our findings clearly show that, beside  
283 lipids, there are specific constituents of POC taking part in the formation of primary aerosol particles in the  
284 tank experiments. In particular, the spectral region for sugars and polyols in bubble bursting aerosols is  
285 completely consistent with the spectral features of POC (Figure S4), although the contribution of the  $\text{-}$   
286  $\text{NCHRCO-}$  groups of aminoacids in the same spectral window is clearly missing in the aerosol. The presence  
287 of nitrogen-containing metabolites (betaine) is confirmed in the aerosol samples from the tank. It is  
288 plausible that betaine, glycerol and other sugars are chemically bond to lipids (glycolipids and  
289 phospholipids) which can explain their preferential enrichment during the aerosolization process with  
290 respect to other POC constituents like the aminoacids. It is a matter of fact that aminoacids could be  
291 detected only in very trace amounts (the doublet of alanine at 1.45 ppm is barely visible) in the sea spray  
292 samples. Other molecular tracers found in previous sea-spray experiments in other geographical regions,  
293 such as acrylic acid (Schmitt-Kopplin et al., 2012), which is also product of DMSP degradation, were not  
294 found in our experiment.

295

### 296 **3.3. Organic composition of ambient submicron WSOC samples.**

297 The eight ambient PM<sub>1</sub> HiVol samples analyzed for organic composition include six that were collected in  
298 parallel to the impactor samples discussed in Dall'Osto et al. (2017). The proton NMR spectra of the eight  
299 samples are reported in Figures S5-S7. Air mass origin varied largely during the cruise, with transport from  
300 the Weddell sea prevalent during the first half of the cruise turning into open ocean prevailing air masses  
301 during the second half (Fig. 1). Two samples (A-0701 and A-0102) of mixed origin had been omitted by  
302 Dall'Osto et al (2017), who focused on the comparison between aerosols from the sympagic regions and  
303 those from the open ocean. We applied hierarchical cluster analysis to investigate if a dual classification  
304 also held with the <sup>1</sup>H-NMR spectra (Figure 4). The original spectra were normalized to their integrals and  
305 binned to 354 points before clustering. Two main clusters were indeed identified: a first one recovering  
306 three samples collected downwind the Weddell Sea during the first half of the cruise, and a second cluster  
307 with samples representative of a greater diversity of conditions, from the Drake Channel, to the Antarctic  
308 Peninsula and to the productive waters around South Georgia. This second cluster corresponds to the  
309 samples characteristic for the open ocean conditions in Dall'Osto et al. (2017) plus samples A-0701 and A-  
310 0102. Unexpectedly, sample A-0701, whose air mass spent most of time over sympagic waters (Fig. 1)  
311 clustered together with the samples from the open ocean according to NMR composition. It is noticeable,  
312 however, that binned NMR spectra can only trace the distribution of the major organic functional groups  
313 while the information carried by fine spectral features, which is critical to detect the presence of specific  
314 molecular markers, is not accounted for by the cluster analysis. In the following sections, we will show that  
315 sample A-0701 exhibits a peculiar NMR composition which must be put in relation to terrestrial sources of  
316 organic compounds. On the basis of the back-trajectories (Figs. 1 and 8), the likely land sources were  
317 located in the Antarctic Peninsula. In summary, the variability in the distribution of NMR functional groups  
318 in ambient PM<sub>1</sub> samples (Table 1) was primarily driven by the air mass origin over sympagic (Weddell Sea)  
319 or pelagic waters, in agreement with the results on inorganic compounds, WSOC and amines reported by  
320 Dall'Osto et al. (2017; 2019). Nevertheless, the analysis of fine NMR spectral features supports the  
321 existence of a third source area over land. In the following discussion, we will provide an in-depth  
322 description of the NMR compositions for these three source sectors.

323

### 324 3.3.1. Ambient aerosols from the Weddell Sea.

325 Sample A-0901 was collected in the marginal ice zone of the Weddell Sea. Its spectrum exhibits no signals  
326 from aromatic compounds or alkenes (Figure S7). The aliphatic region (Figures 5, S8) exhibits broad  
327 similarity to that of the primary marine particles generated in the sea spray tank, but with a major  
328 difference in the chemical shift range between 1.7 and 3.0 ppm where the background broad NMR bands  
329 are much more intense in the ambient sample. This is also the region recovering the signals from acyl  
330 groups (RCH<sub>2</sub>(C=O)-) in aliphatic carboxylic acids and ketoacids, which are formed by VOC oxidation in the  
331 atmosphere (Barbaro et al., 2017). The most abundant individual compounds detected in these samples  
332 were, however, MSA (Fossum et al., 2018) and the low-molecular methylamines (MMA, DMA, TMA). The  
333 predominance of semivolatile C<sub>1</sub>-C<sub>3</sub> alkyl-amines (Ge et al., 2011) indicates that amines become enriched in  
334 the ambient aerosol thorough volatilization from the ocean surface and recondensation onto acidic aerosol  
335 particles (Dall'Osto et al., 2019). The aliphatic bands at 0.9 and 1.3 ppm in sample A-0901 show a partial  
336 overlap with the resonances of the lipids in the aerosolized seawater. However, the bands at 1.6 ppm and  
337 2.2-2.3 ppm which, in lipids, correspond to methylenes in beta and alpha position to a C=O group, are much  
338 more intense in the spectrum of A-0901 than in BB Sealce-3 (Figure S8), indicating that aliphatic chains are  
339 shorter and more substituted in the ambient aerosol than in nascent primary aerosol particles. The pattern  
340 of bands at 0.9, 1.3, 1.6, 2.2, 2.4 and 2.6 ppm follow the structure elucidated by Suzuki et al. (2001) and  
341 attributed to C<sub>7</sub>-C<sub>9</sub> aliphatic dicarboxylic acids and oxo-acids. This class of organic compounds, clearly  
342 characterizing the aliphatic composition of the ambient samples in the Weddell Sea area, can originate  
343 from degraded (oxidized) lipids (Kawamura et al., 1996) or from gas-to-particle conversion of carbonyls

344 produced by the photochemical oxidation of lipids at the air-sea interface (Bernard et al., 2016; Alpert et  
345 al., 2017). Support to the latter hypothesis (secondary formation) is given by the fact that the N-osmolytes  
346 (betaine, choline) present in the sea spray generated in the tanks were completely absent in the ambient  
347 sample. Nevertheless, the resonances in the spectral window 3.5 – 3.8 ppm in sample A-0901 are  
348 completely consistent with the occurrence of glycerol, indicating that in fact primary aerosol particles  
349 contributed to the composition of the ambient aerosol in this region (Figure S9). There is another one  
350 striking difference between the composition of the ambient aerosol and sea spray particles: the former  
351 contains significant levels ( $1.65 \text{ ng m}^{-3}$ ) of creatinine. This compound is responsible to the two singlets at  
352 3.12 ppm and 4.27 ppm of chemical shift and was identified by the comparison with a standard under  
353 identical NMR experimental conditions (Figure S11). The concentration of creatinine clearly follows that of  
354 low-molecular weight amines (Figure 6) and shows a maximum in the three samples collecting most of the  
355 air masses that travelled over the Weddell Sea. Creatinine was also determined by HPLC/-MS analysis in a  
356 parallel set of filter samples collected onboard *Hesperides* during the PEGASO cruise (see Section 2.4).  
357 Identification was based on MS/MS fragmentation patterns and retention time. Quantification was based  
358 on chromatographic peak area. Figure 7 shows extracted ion chromatograms for  $m/z$  114.0655-114.0667,  
359 corresponding to creatinine, of the filter extract of sample 0119N obtained during the PEGASO campaign  
360 and the neat creatinine standard. The HPLC/MS analysis indicate that creatinine occurred in concentrations  
361 of  $20 - 50 \text{ pg/m}^3$  in the samples from the Weddell Sea area (Table S1), much less than the concentrations  
362 determined by  $^1\text{H-NMR}$  spectroscopy ( $1.6 - 2.5 \text{ ng/m}^3$ , Table 1). Such discrepancy can be due to the  
363 different extraction protocols and to non-ideal chromatographic conditions in HPLC/MS for creatinine  
364 quantification (elution close to the void volume). Nevertheless, our findings demonstrate that high-field  
365 NMR methods can integrate HPLC/MS analysis for the identification of molecular markers in atmospheric  
366 aerosol complex organic mixtures.

367

### 368 3.3.2. Ambient aerosols in the open ocean.

369 Sample A-2401 was collected during the northern transit of the cruise, *RV Hesperides* just west to South  
370 Georgia ( $55^\circ \text{ S}$ ) (Figure 1). During sampling, the air masses had a westerly component and can be  
371 considered representative of Southern Ocean conditions. The  $^1\text{H-NMR}$  spectrum of A-2401 shares  
372 similarities with that of A-0901 described above: a) the resonances of MSA and methyl-amines are much  
373 more intense than that of other low-molecular weight compounds (such as N-osmolytes); b) the spectral  
374 region of acyls ( $1.8 - 3.0 \text{ ppm}$ ) accounting for unresolved carboxylic acids is clearly more intense than in the  
375 spectrum of primary organic aerosols; c) the pattern of bands at 0.9, 1.3, 1.6 and 2.2-2.4 ppm highlights the  
376 presence of linear aliphatic structures substituted with oxo- and carboxylic groups. Nevertheless, MSA and  
377 the low molecular weight amines were less abundant in A-2401 than in the sample from the Weddell Sea  
378 (Table 1). Also the ratio between acyl ( $\text{CH}_2\text{-C=O}$ ) and alkyl ( $\text{CH}_2\text{-CH}$ ) groups was smaller in A-2401 than in A-  
379 0901 (Figure S8). The linear aliphatic structures involved longer methylenic chains in A-2401 than in A-0901,  
380 so that in the former case they were more similar to the aliphatic structures of the aerosolized melted sea  
381 ice (Figure S8). Another difference between the two ambient aerosol samples is that the one from the  
382 Southern Ocean contains much more alkoxy groups ( $\text{HC-O}$ , in the chemical shift range  $3.4 - 4.2 \text{ ppm}$ ) of  
383 polyols than the one from the Weddell Sea (Figure 5; Table 1). When comparing the functional group  
384 distributions of the ambient aerosol samples to that of the aerosol generated during the tank experiments,  
385 clearly the samples from the Southern Ocean show a better match than the samples from the Weddell Sea  
386 do. Other similarities between the composition of A-2401 and the aerosol in the tank can be found in the  
387 fine structures of the spectra, especially in the ranges of aromatics, acetals and polyols (Figure S12). A-2401  
388 clearly contains traces of organic markers of primary aerosols and specifically glycerol, N-osmolytes (Figure  
389 S10) and aminoacids (alanine). Finally, contrary to A-0901, sample A-2401 contains only trace amounts of  
390 creatinine.



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### 3.3.3. Ambient aerosols influenced by coastal land sources.

Sample A-0701 was collected in the western sector of the Weddell Sea. The air masses showed several overpasses on the Antarctic Peninsula. The <sup>1</sup>H-NMR spectrum shows unique features: isobutyric acid was found in relatively high concentrations, together with an amine tentatively identified as cadaverine (Figure 5). The aliphatic chains occur in much lower amounts than in the samples described above, the band of acyls is not as pronounced as in A-0901 (Figure S8), whereas alcoxyls are abundant, especially due to the occurrence of sucrose at a remarkable concentration of 10 ng/m<sup>3</sup>. Finally, no creatinine was found in this sample. Clearly, the composition of A-0701 is drastically different from that of the other samples collected in the Weddell Sea. The presence of sucrose (Figure S9) points to a contribution from primary biological particles emitted from a terrestrial biota, not a marine one. Vegetation cover (scarce but existing) in the Antarctic Peninsula can be responsible for such emissions. The NMR composition of A-0701 provides evidence of the diversity of biogenic aerosol sources active in this area of the world.

## 4. Discussion

### 4.1 Source apportioning of primary and secondary organic components in different regions

407 The comparison of the NMR compositions of the ambient aerosol samples collected onboard *RV Hesperides*  
408 (Figure 8) supports the distinction of aerosol sources between the sympagic and pelagic environments  
409 already introduced by Dall'Osto et al. (2017). The higher abundance of alkyl (C-H) and alcoxy (H-C-O) groups  
410 detected in the second half of the cruise points to a larger fraction of primary organic compounds rich in  
411 lipids and polyols in the aerosols of the open Southern Ocean. Analogous compositions were obtained  
412 using FTIR spectroscopy at Ross Island (Liu et al. 2018). In our study, the attribution of compound classes  
413 and molecular markers (such as glycerol and N-osmolytes) to primary marine particles was supported by  
414 the comparison with the analysis of tank-generated sea-spray particles. According to our NMR datasets,  
415 primary marine organics were ubiquitous in the region as witnessed by the presence of glycerol in all  
416 samples. However, glycerol accounted for almost the entire polyol content in the three samples from the  
417 eastern/north Weddell Sea, while the samples from the open ocean contained much larger and more  
418 complex mixtures of polyols/sugars. Sub-ng/m<sup>3</sup> levels of free aminoacids (alanine) and trace amounts of N-  
419 osmolytes along with the greater abundance of linear aliphatic structures similar to lipids in the samples  
420 from the Southern Ocean point to a major contribution of primary organics to submicron organic aerosols  
421 in this environment. These findings provide further confirmation to the importance of sea spray as a source  
422 of marine organic particles in oceanic regions characterized by high productivity and strong wind stress.

423  
424 In sympagic waters, other mechanisms of aerosol formation take place. Sympagic waters are rich in S- and  
425 N- osmolytes produced by the algal communities colonizing the sea ice. The osmolytes degrade to VOCs  
426 which are then converted to SOA components, such as MSA (Davison et al., 1996) and low-molecular  
427 weight methyl-amines (Facchini et al., 2008). Further differences between the two regimes of aerosol  
428 formation are visible in the distribution of the oxygenated functional groups. If alcoxyl groups (H-C-O) from  
429 polyols and sugars accounted for almost 50% of total alcoxyl (H-C-O) and acyls (H-C-C=O) in the samples  
430 from the Southern Ocean, such fraction decreased to less than 30% in the three samples from the offshore  
431 areas of the Weddell Sea (Fig. 8). The mixtures of organic compounds carrying acyls, like carboxylic and  
432 oxocarboxylic acids, are not associated to primary marine aerosols and are likely components of SOA.  
433 Carboxylic acids can form photochemically (Cui et al., 2019) during the austral summer. The nature of  
434 parent VOCs for carboxylic acids in our samples is unknown, but the occurrence of linear aliphatic  
435 compounds containing oxo- and carboxylic groups indicates that one of the possible sources stands in the  
436 oxidative degradation of lipids - either in the aerosol or in the marine microlayer - as suggested by past

437 studies in Antarctica (Kawamura et al., 1996) and consistent with recent AMS observations in the Arctic  
438 marginal ice zone (Willis et al., 2017).

439 In the Weddell Sea, under the influence of air masses that had travelled over the Peninsula (sample A-  
440 0701), the contribution of the emissions from the land biota became evident, therefore supporting the  
441 observations of Schmale et al. (2013) on the contribution of primary biological particles from the coastal  
442 land ecosystems. Our data suggest that beside animal colonies, also the land vegetation (grasses, mosses,  
443 lichens) of the Antarctic Peninsula can contribute to primary aerosols emission and to their sugar content.  
444 Other biological compounds of primary origin, the aminoacids, were not found in the Weddell Sea in our  
445 study. These results contrast with the previous findings that a significant fraction of the ambient PM<sub>1</sub> mass  
446 was accounted for by proteinaceous material at an island site in the Southern Ocean (Schmale et al., 2013).  
447 On the other hand, the observations of Schmale et al. (2013) were carried out under the direct influence of  
448 the emissions of seabird colonies, while our observations were carried out offshore. More research is  
449 needed to quantify the range and extent to which primary particles from the terrestrial biota impact the  
450 marine aerosol composition in the Antarctic region.

451

#### 452 **4.2 A new potential marker: creatinine.**

453 The sources of creatinine in the ambient aerosol is controversial. On the basis of its chemical structure, it is  
454 water-soluble but clearly less volatile than the methyl-amines and, as a consequence, its Henry coefficient  
455 must be much less favorable for transferring this amine out of seawater into the gas phase. A primary origin  
456 via sea spray is also doubtful because creatinine is not a strong surfactant. On the other hand, Prather et al.  
457 (2013) showed that sea-spray aerosols encompass several classes of organic particles, including some made  
458 of biological material: POC particles and large colloids can be scavenged by rising bubbles and injected in  
459 the atmosphere by jet drops. Jet drop emission represents a plausible mechanism to transfer primary  
460 organic compounds which are not strong surfactants from seawater to the atmosphere. If this is the  
461 relevant pathway for creatinine, it must have occurred in source areas other than the algal blooms where  
462 we conducted the tank experiments, since we did not detect any creatinine in the aerosolized sea water  
463 and sea ice. Creatinine is a common metabolite of mammals, therefore an alternative source via the  
464 excreta of sea lions in Antarctic coastal areas can be postulated. However, a much more vast potential  
465 source in seawater is also possible under the hypothesis that creatinine results from the enzymatic  
466 conversion of creatine, which is a known metabolite of the urea cycle in marine animals (Whitledge and  
467 Dugdale, 1972) and phytoplankton (Allen et al., 2011) that contributes to pelagic DOC across the world's  
468 oceans (e.g., Wawrik et al., 2017).

469

470

#### 471 **5. Conclusions**

472 Our results demonstrate that, beside MSA, a complex mixture of biogenic organic compounds contributes  
473 to the composition of submicron aerosol particles in the Antarctic atmosphere. Although individual organic  
474 markers encompassing sugars, aminoacids and carboxylic acids have already been identified in past studies,  
475 our results indicate that non-MSA biogenic organic compounds impact the bulk composition of organic  
476 aerosol in this environment (Figure 8). <sup>1</sup>H-NMR analysis provides evidence for both secondary (more  
477 important in sympagic regions) and primary marine (more important in pelagic areas) sources. A third  
478 contribution from the terrestrial biota in the Antarctic Peninsula was also identified. The emission of sea-  
479 spray organics in offshore areas was unambiguously demonstrated by the determination of molecular  
480 tracers for lipids and polyols and by the comparison of the fine structures in the <sup>1</sup>H-NMR spectra of the  
481 ambient samples and of the aerosol generated in the tank experiments. A new biogenic marker, creatinine,  
482 was identified for the first time in the ambient aerosol, extending the list of reduced nitrogen containing  
483 molecular tracers in the atmosphere. The discovery of creatinine also exemplifies the usefulness of

484 employing non-targeted analytical techniques like NMR spectroscopy for screening the organic composition  
485 of the aerosol in remote environments where the sources of atmospheric particulate matter are still poorly  
486 known. The complexity of the organic composition illustrated in this study calls for more research on  
487 suitable methodologies – both online and offline and combinations of them – to investigate the nature of  
488 non-MSA marine organic particles in off-shore regions around the Antarctic continent.  
489

#### 490 **Data Availability**

491 The NMR data sets are available on request to the corresponding author.  
492

#### 493 **Author Contribution**

494 SD wrote the paper; MDO and RS coordinated the experimental activities in the field; MP, MDO and SG  
495 collected the aerosol samples; MDO, MP and DC collected the sea ice samples; COD, JO and DC set up the  
496 bubble bursting tank; MR, MP, MR, NZ and FV performed the sample extraction and preparation for WSOC  
497 and NMR analysis; NZ and MP performed the NMR analyses; SG and CJK carried out the HPLC/MS analyses;  
498 SD, MP and ET elaborated the NMR data; MDO, RS and ET contributed to the interpretation of the analyses  
499 of the seawater samples; SD, MP, MR, MDO, TH, CJK and ET contributed to the interpretation of the  
500 analyses of the aerosol samples; all authors contributed to the general discussion and to work out the main  
501 conclusions of this study.  
502

#### 503 **Competing interests**

504 The authors declare that they have no competing interests.  
505

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514

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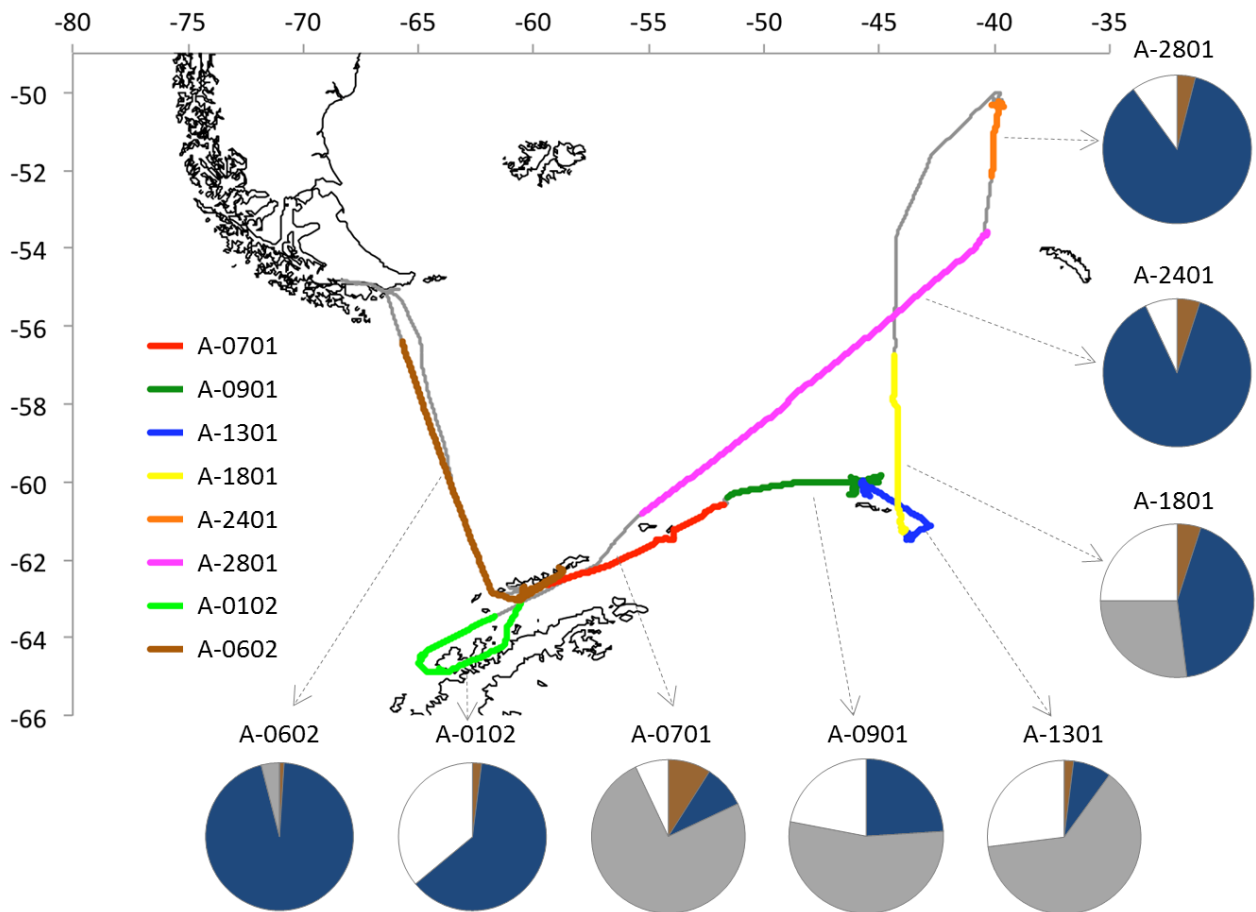
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**Table 1.**

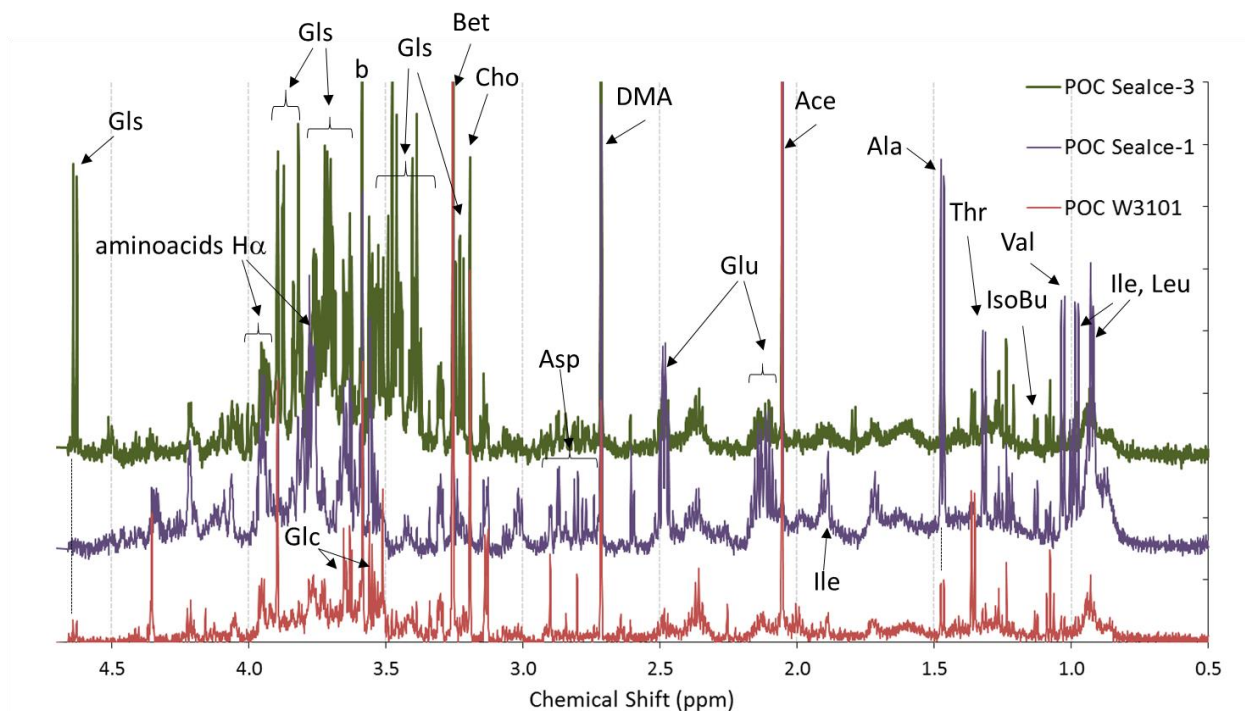
sample ID:	<b>A-0701</b>	<b>A-0901</b>	<b>A-1301</b>	<b>A-1801</b>	<b>A-2401</b>	<b>A-2801</b>	<b>A-0102</b>	<b>A-0602</b>
sampling times:	07 Jan 20:00 – 09 Jan 09:00	09 Jan 14:50 – 13 jan 13:50	13 Jan 19:20 – 18 Jan 12:20	18 Jan 13:30 – 21 jan 23:55	24 Jan 15:00 – 28 jan 05:15	28 Jan 13:30 – 31 jan 13:50	01 Feb 14:50 – 06 Feb 03:15	06 Feb 22:00 – 10 Feb 11:00
average air mass type:	Weddell Sea / Antarctic Peninsula	Weddell Sea	Weddell Sea	Weddell Sea	Open Ocean	Open Ocean	Open Ocean / mixed	Open Ocean
<i>Water-soluble organic carbon (<math>\mu\text{gC}/\text{m}^3</math>):</i>								
WSOC	0.14	0.07	0.12	0.13	0.09	0.14	0.05	0.11
<i><sup>1</sup>H-NMR functional groups (<math>\text{nmolH}/\text{m}^3</math>):</i>								
H-C	2.60	2.16	2.28	3.03	3.27	2.81	2.07	2.82
H-C-C=O	2.40	1.58	1.80	2.10	1.91	1.86	1.28	1.78
H-C-O	2.15	0.57	0.69	0.83	2.06	0.99	0.99	1.41
O-CH-O	0.20	0.07	0.05	0.04	0.08	0.09	0.07	0.09
Ar-H	0.12	0.05	0.00	0.10	0.09	0.10	0.11	0.07
MSA	2.13	1.95	2.63	4.54	1.72	2.90	2.22	1.53
Alkyl-Amines	0.30	0.79	0.53	1.32	0.34	0.49	0.13	0.15
<i>Molecular markers (<math>\text{ng}/\text{m}^3</math>):</i>								
MSA	68	62	84	145	55	93	71	49
methyl-amines	2.31	5.5	3.79	9.0	2.53	3.56	0.92	1.20
creatinine	0.09	1.65	1.52	2.21	~0.05	1.00	0.29	0.41
glycerol	NA	1.1	0.7	0.7	3.0	0.8	0.7	1.3
sucrose	11							
alanine			traces <sup>1</sup>	traces <sup>1</sup>	0.6			0.7
betaine					traces <sup>2</sup>			

<sup>1</sup> below the limit of quantification ( $0.3 \text{ ng}/\text{m}^3$ ); <sup>2</sup> below the limit of quantification ( $0.2 \text{ ng}/\text{m}^3$ )

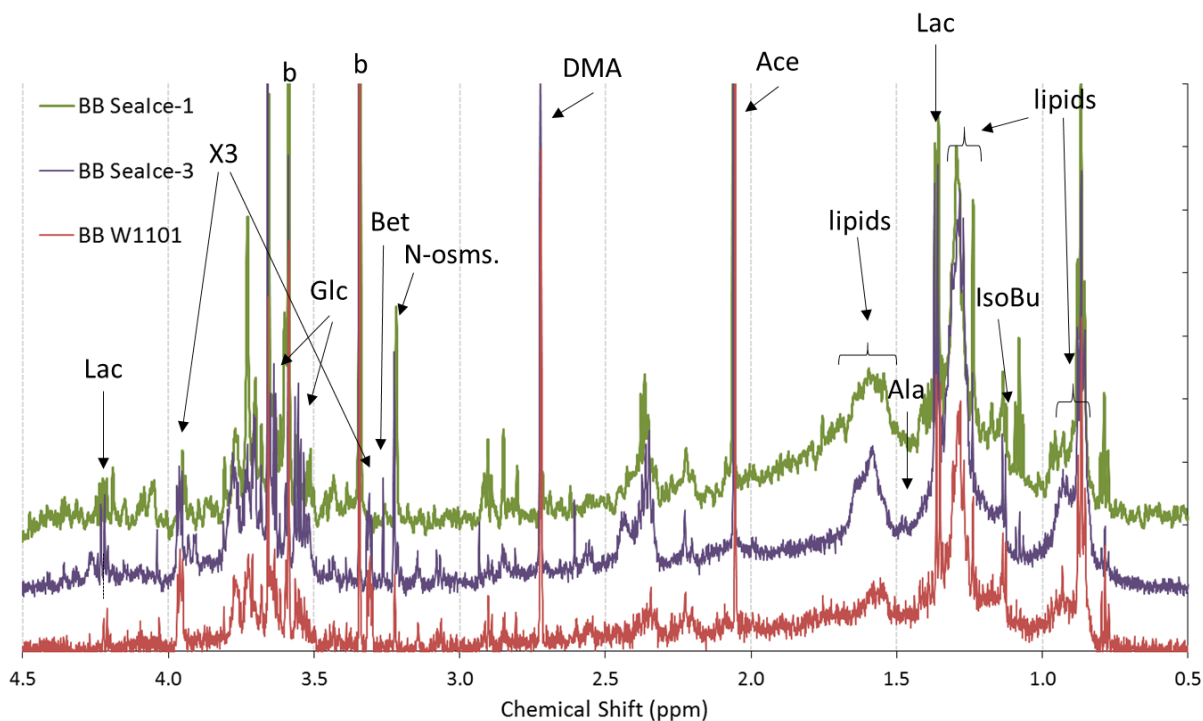




**Figure 1.** Cruise of RV Hesperides. The colors indicate the duration of the single aerosol samplings (short interruptions undertaken to avoid contamination from ship emissions are not indicated in the figure). The average time spent by air masses travelling over land (brown), marginal ice zone (1-99% surface coverage; grey), compact sea ice (100% coverage; white) and open ocean (dark blue) is indicated for each sample.



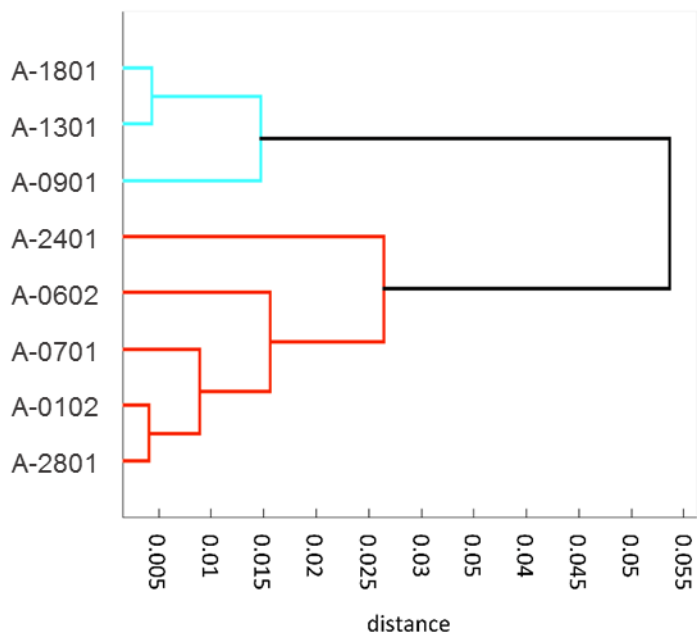
**Figure 2.** Aliphatic region of the  $^1\text{H}$ -NMR spectra of three POC sample extracts: one for the seawater sample (POC W3201) and two from melted sea ice (POC Sealce-1 and POC Sealce-3). Specific NMR resonances were assigned to: the residuals of aminoacids (Ala, Thr, Val, Ile, Leu, Glu and Asp) and their alpha hydrogen atoms, isobutyric acid (IsoBu), acetic acid (Ace), dimethylamine (DMA), N-osmolytes (Bet: betaine; Cho: choline), glycerol (Glc) and to glucose (Gls).



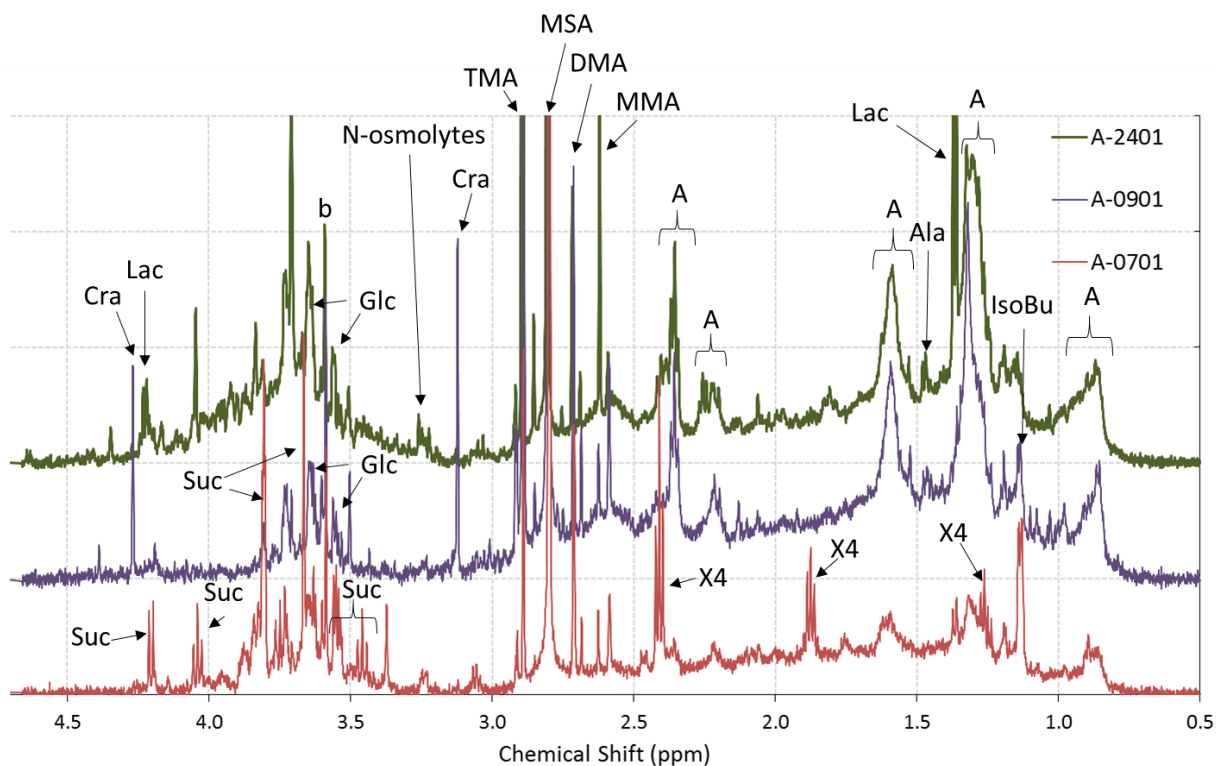
**Figure 3.** The same as Figure 2 but for the three bubble bursting aerosols: from seawater sample W1101 (BB W1101) and melted sea ice #1 and #3 (BB Sealce-1 and BB Sealce-3). Specific resonances were assigned to lactic acid (Lac), acetic acid (Ace), isobutyric acid (IsoBu), alanine (Ala), dimethylamine (DMA), glycerol (Glc), N-osmolytes (Bet: betaine;

“N-osms”: unidentified, possibly phosphocholine) and to blank contaminations (b). Unresolved mixtures of aliphatic compounds were identified as lipids.

### Hierarchical Cluster analysis of the NMR spectra

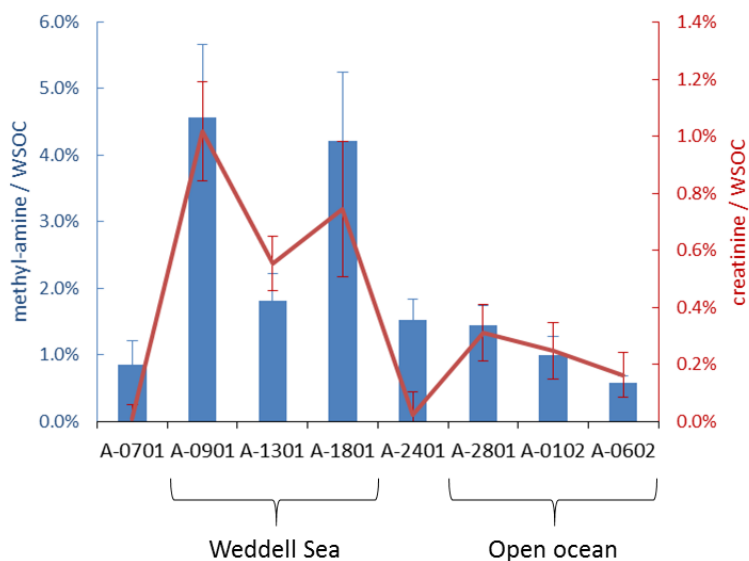


**Figure 4.** Cluster analysis of the  $^1\text{H}$ -NMR spectra of the PM1 HiVol samples of ambient aerosol.



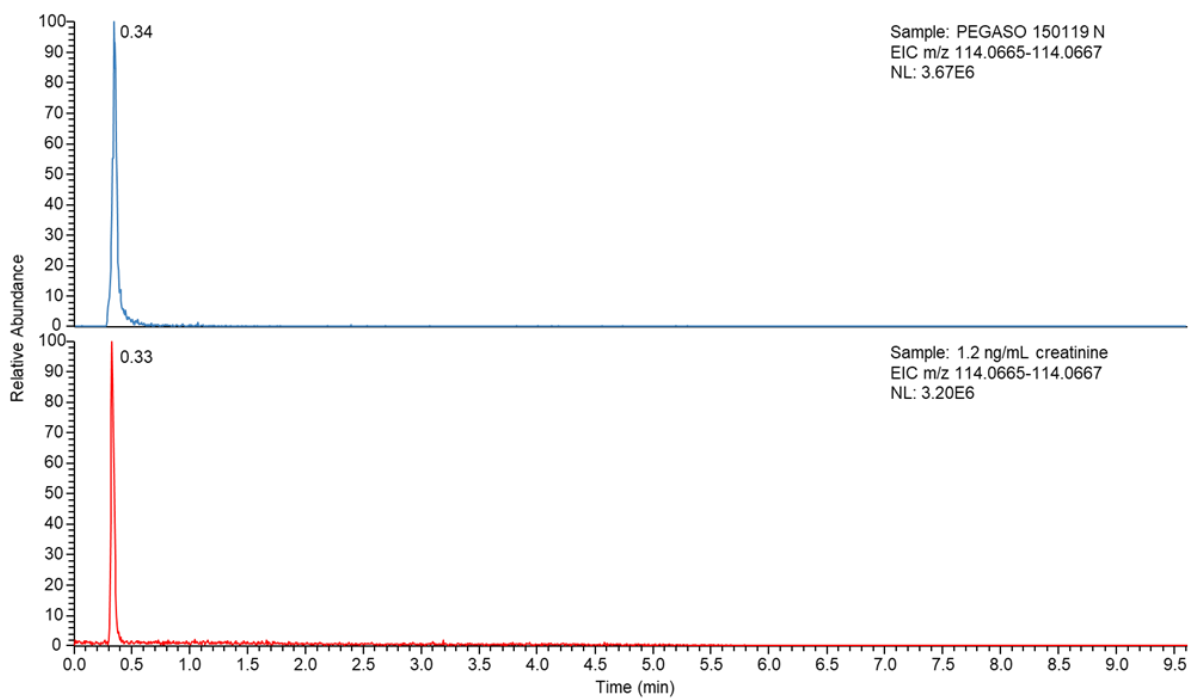
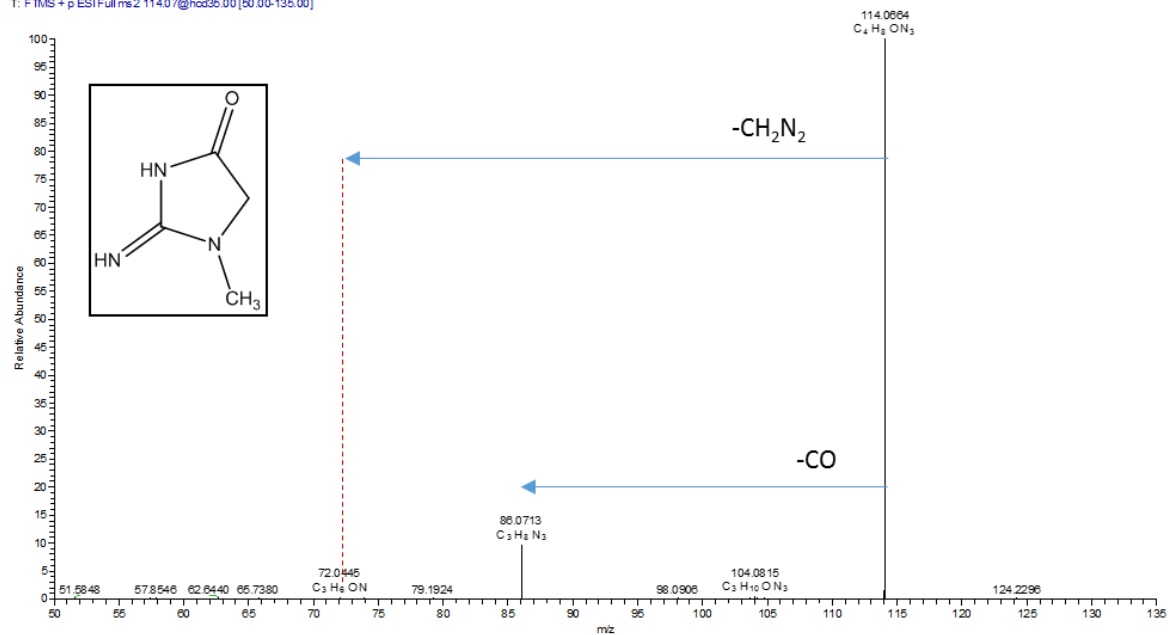
**Figure 5.** The same as Figure 2 but for the three ambient submicrometer aerosol samples. Specific resonances were assigned to lactic acid (Lac), isobutyric acid (IsoBu), alanine (Ala), monomethylamine (MMA), dimethylamine (DMA), trimethylamine (TMA), glycerol (Glc), sucrose (Suc), creatinine (Cra) and to blank contaminations (b). Unresolved

mixtures of linear aliphatic compounds (A), including possible contributions from lipids, are indicated in the spectra. Other NMR signals were only tentatively attributed to cadaverine (X4).

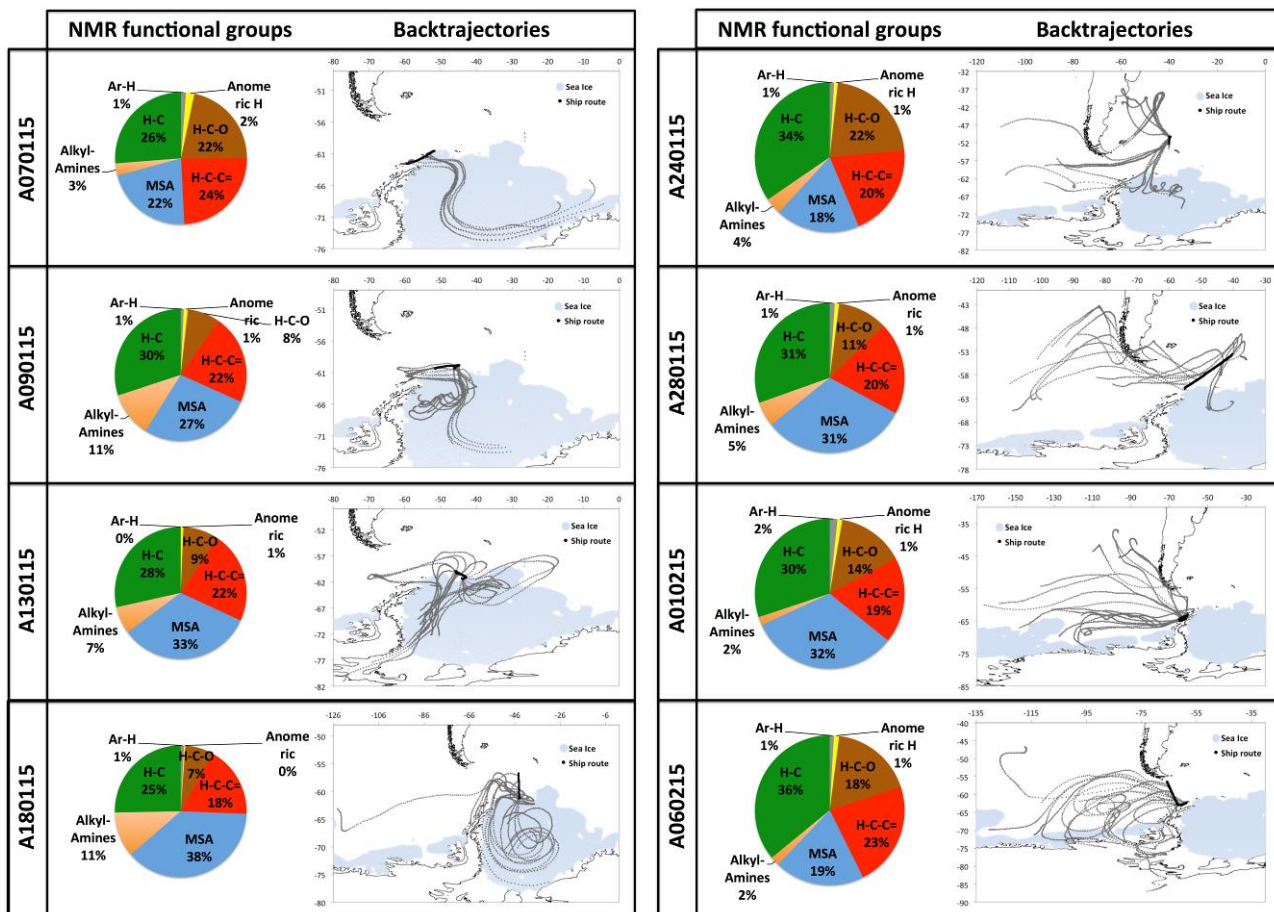


**Figure 6.** Concentrations of creatinine and methylamines in the PM1 samples. The concentrations are expressed as contributions to WSOC (mol% of carbon). “Weddell Sea” and “Open ocean” labels indicate the sampling periods identified by Dall’Osto et al. (2017) to characterize the aerosol composition in air masses travelling over sea ice and in the Southern Ocean, respectively.

creatinine 1 1000-MSMS #96 RT: 0.33 AV: 1 NL: 2.63E8  
T: FTMS + p ESI Fullms2 114.07@hcd35.00 [50.00-135.00]



**Figure 7.** (top) MS spectrum of a creatinine standard. (bottom) Extracted ion chromatograms for m/z 114.0655-114.0667, corresponding to creatinine, of the filter extract of sample O119n obtained during the PEGASO campaign and the neat creatinine standard. The retention time of creatinine was found to be 0.33 min using the conditions outlined in Section 2.4.



**Figure 8.** NMR functional group compositions of WSOC in the PM1 HiVol samples. Functionalities: H-C (alkyls), H-C-C= (acyls), H-C-O (alcoyl), MSA, amines, anomeric, Ar-H (aromatic).

## Supplementary information

### Shipborne measurements of Antarctic submicron organic aerosols: an NMR perspective linking multiple sources and bioregions by Decesari et al.

*[the Supplementary information is not changed with respect to the first submissions with the exception of the new Table S2 reported below]*

Table S2. Creatinine calibration results by UHPLC-HESI-HRMS

Calibration std.	Conc. (ng/mL)	Peak area (a.u.)	RT (min)
1	1.2	6302965	0.33
2	12	56953602	0.33
3	120	440017098	0.33
Calib. Function*	$(3.61E6 \pm 0.009E6)x$	$+(7.53E6 \pm 6.21E6)$	
R <sup>2</sup>	0.999		

\*Linear least squares fit in MS Excel 2010.