

1 An optimized tracer-based approach for estimating organic carbon emissions from  
2 biomass burning in Ulaanbaatar, Mongolia

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13 **Abstract**

14 The impact of biomass burning (BB) on atmospheric particulate matter of  $<2.5 \mu\text{m}$   
15 diameter ( $\text{PM}_{2.5}$ ) at Ulaanbaatar, Mongolia, was investigated using an optimized tracer-  
16 based approach during winter and spring, 2017. Integrated 24 h  $\text{PM}_{2.5}$  samples were  
17 collected on quartz fiber filters using a  $30 \text{ L min}^{-1}$  air sampler at an urban site in  
18 Ulaanbaatar. The aerosol samples were analyzed for organic carbon (OC) and elemental  
19 carbon (EC), anhydrosugars (levoglucosan, mannosan, and galactosan), and water-  
20 soluble ions. OC was found as the predominant species, contributing 64% and 56% to the  
21 quantified aerosol components in  $\text{PM}_{2.5}$  in winter and spring, respectively. BB was  
22 identified as a major source of  $\text{PM}_{2.5}$ , followed by dust and secondary aerosols.  
23 Levoglucosan/mannosan and levoglucosan/ $\text{K}^+$  ratios indicate that BB in Ulaanbaatar was  
24 mainly originated from burning of softwood. Because of the large uncertainty associated  
25 with quantitative estimation of OC emitted from BB ( $\text{OC}_{\text{BB}}$ ), a novel approach was  
26 developed to optimize the OC/levoglucosan ratio for estimating  $\text{OC}_{\text{BB}}$ . The optimum  
27 OC/levoglucosan ratio in Ulaanbaatar was obtained by regression analysis between  
28  $\text{OC}_{\text{non-BB}}$  ( $\text{OC}_{\text{total}} - \text{OC}_{\text{BB}}$ ) and levoglucosan concentrations that gives the lowest  
29 coefficient of determination ( $R^2$ ) and slope. The optimum OC/levoglucosan ratio was  
30 found to be 27.6 and 18.0 for winter and spring, respectively, and these values were  
31 applied in quantifying  $\text{OC}_{\text{BB}}$ . It was found that 68% and 63% of the OC were emitted  
32 from BB during winter and spring, respectively. This novel approach can also be applied  
33 to other study site to quantify  $\text{OC}_{\text{BB}}$  using their own chemical measurements. In addition  
34 to  $\text{OC}_{\text{BB}}$ , sources of  $\text{OC}_{\text{non-BB}}$  were also investigated through multivariate correlation  
35 analysis. It was found that  $\text{OC}_{\text{non-BB}}$  was originated mainly from coal burning, vehicles,  
36 and vegetative emissions.

37

38 **Keywords:** Source identification, Biomass burning, Optimized organic-  
39 carbon/levoglucosan ratio

## 40 **1. Introduction**

41 Organic aerosol (OA) contributes a significant fraction (10%–90%) of atmospheric  
42 particulate matter (PM), which can affect human health and air quality (Jimenez et al.,  
43 2009; Maenhaut et al., 2011; Fu et al., 2012; Allan et al., 2014; Chen et al., 2018). An  
44 understanding of the sources of PM is highly relevant for air-quality remediation.  
45 Biomass burning (BB) is a major source of organic carbon (OC) in PM<sub>2.5</sub> (PM with  
46 aerodynamic diameter  $\leq 2.5$   $\mu\text{m}$ ) and it may become more significant **in the future** as air-  
47 quality regulations restrict other anthropogenic emissions (Sullivan et al., 2019). Coal  
48 combustion, thermal **power plant**, and traffic emissions also make **potential** contributions  
49 to the OC content of PM (Watson et al., 2001a, b; **Pei et al., 2016**; Deshmukh et al., 2019;  
50 Haque et al., 2019), modifying PM characteristics such as hygroscopicity, light-  
51 attenuating properties, and health impacts (Jung et al., 2009; Sullivan et al., 2019).  
52 Previous studies have observed that the toxicity of PM<sub>2.5</sub> increases with the oxidation  
53 potential of BB species because of the water-soluble fraction of OC (Verma et al., 2014).

54 Previous studies have identified and quantified OC emitted from BB (OC<sub>BB</sub>) using  
55 the BB tracers (levoglucosan, mannosan, galactosan, and K<sup>+</sup>). Levoglucosan is produced  
56 from the pyrolysis of cellulose at temperatures of  $>300^\circ\text{C}$  (Simoneit et al., 1999; Claeys  
57 et al., 2010; Maenhaut et al., 2011; Nirmalkar et al., 2015; Achad et al., 2018); and two  
58 isomers of levoglucosan, mannosan and galactosan are produced by the burning of  
59 hemicellulose (Reche et al., 2012). The atmospheric concentration of levoglucosan is  
60 higher than those of the two isomers because of the lower content of hemicellulose  
61 (20%–30%, dry weight) than cellulose (40%–50%) in softwood and hardwood (Reche et  
62 al., 2012; Sharma et al., 2015). Water-soluble K<sup>+</sup> can also be used as a BB tracer (**Pio et**  
63 **al., 2008**; Cheng et al., 2013; Nirmalkar et al., 2015; Chen et al., 2018; Chantara et al.,

64 2019). The proportion of these BB tracers in PM depends on various factors such as the  
65 type of biomass (softwood, hardwood, crop, grass, etc.), where it is burnt (traditional  
66 stoves, fireplaces, field burning, burning in closed chambers, etc.), the type of burning  
67 (smoldering, flaming, etc.), and the burning season (Fu et al., 2012; Cheng et al., 2013;  
68 Jung et al., 2014). Levoglucosan/mannosan, levoglucosan/K<sup>+</sup>, and OC/levoglucosan  
69 ratios were used to identify major biomass types and quantify OC<sub>BB</sub> (Reche et al., 2012;  
70 Cheng et al., 2013; Jung et al., 2014; Chen et al., 2018). However, OC/levoglucosan ratios  
71 are quite variable even with the same type of BB because of variations in burning type,  
72 place, and season (Cheng et al., 2013; Thepnuan et al., 2019 and references therein). It is  
73 therefore essential to optimize the OC/levoglucosan ratio to better estimate OC<sub>BB</sub>.

74 Ulaanbaatar, with a population of about 1 million, is an atmospheric pollution  
75 ‘hotspot’ because of its topography, being situated in the Tuul river valley and surrounded  
76 by the Khentei mountains, with a high elevation (1300 m–1949 m above sea level) and  
77 large variations in temperature (–28°C to +16°C) and relative humidity (17.7%–72.7%;  
78 Table 1; Batmunkh et al., 2013; Jung et al., 2014). As the world’s coldest capital city  
79 during winter, it requires additional fuel for space heating. The topography and low-  
80 temperature conditions cause an increase in PM concentrations, which are exacerbated by  
81 low wind speeds and atmospheric temperature inversions (Jung et al., 2010).

82 A half of residents in Ulaanbaatar lives in 160,000 Gers (traditional Mongolian  
83 dwelling) (Guttikunda and Jawahar, 2014). Biomass is used as fuel for cooking and  
84 heating in many of low-income Gers at Ulaanbaatar. The common tree species in  
85 Mongolia are larch, pine, cedar, spruce, birch these are mostly softwood  
86 (<http://www.fao.org/3/w8302e/w8302e05.htm>; <http://www.fao.org/3/a-am616e.pdf>,  
87 excess date 17-12-2019). Each Ger burns an average of 3 m<sup>3</sup> of wood per year

88 (Guttikunda, 2008; Zhamsueva et al., 2018). Organic carbon (OC) has severe effects on  
89 human health and global climate change (Sun et al., 2019). But there is very few estimate  
90 of OC emitted from biomass burning (OC<sub>BB</sub>) in Ulaanbaatar. Few studies have  
91 investigated the chemical characteristics of organic aerosol in Ulaanbaatar (Jung et al.,  
92 2010; Batmunkh et al., 2013), with none examining the contribution of OC<sub>BB</sub> and type of  
93 biomass. Therefore, this study estimated appropriate concentration of OC<sub>BB</sub> and identify  
94 the type of biomass at Ulaanbaatar, Mongolia.

95 In this study, we quantified the BB tracers levoglucosan, mannosan, galactosan, K<sup>+</sup>,  
96 and other chemical species. Potential sources of PM<sub>2.5</sub> were identified by principal  
97 component analysis (PCA), with levoglucosan/K<sup>+</sup> and levoglucosan/mannosan ratios  
98 being used to identify major biomass types. OC<sub>BB</sub> can be quantified from  
99 OC/levoglucosan ratios and levoglucosan concentrations in PM. However, uncertainties  
100 of OC<sub>BB</sub> are high because OC/levoglucosan ratios can vary depending on fuel type,  
101 burning conditions, and burning place (Duan et al., 2004; Cheng et al., 2013; Jung et al.,  
102 2014). Therefore, it is required to determine the most suitable OC/levoglucosan ratio of  
103 BB emissions for estimating appropriate concentration of OC<sub>BB</sub>. Here, for the first time,  
104 optimized OC/levoglucosan ratios were investigated for estimating concentrations of  
105 OC<sub>BB</sub> during winter and spring. OC<sub>non-BB</sub> sources were also investigated using  
106 multivariate correlation analysis with ions and elemental carbon (EC).

107

## 108 **2. Methods**

### 109 2.1 Sampling site and aerosol sampling

110 Aerosol sampling was carried out in Ulaanbaatar during the winter (17 January to 03  
111 February) and spring (17 April to 4 May) of 2017, with 24 h periods commencing daily

112 at 11:00 local time. An aerosol sampler was installed on the rooftop of the National  
113 Agency for Meteorology and Environmental Monitoring station in Ulaanbaatar (47°92'  
114 N, 106°90' E, Fig. 1), 10 m above ground level. The sampling site was located at 8 km–  
115 10 km far from two coal based thermal power plants to the west (Chung and Chon, 2014).  
116 PM<sub>2.5</sub> samples were collected on 47 mm diameter quartz fiber filters (Pall-Life Sciences,  
117 USA) using an aerosol sampler (Murata Keisokuki Service, Japan) at a flow rate of 30 L  
118 min<sup>-1</sup>. Field blank filter was collected during winter (n=1) and spring (n=1). The quartz  
119 fiber filter was loaded in the sampler for 5 minutes without operating a pump. The  
120 concentration of all chemical analyte has been corrected using blank filters concentration.  
121 Sampled filters were wrapped in aluminum foil and heated at 550°C for 12 h to remove  
122 adsorbed impurities before use and stored at –20°C before and after sampling.

123

## 124 2.2 Filter analysis

125 A one-fourth part of each quartz fiber filter sample was extracted in 10 mL ultrapure  
126 water (resistivity 18.2 MΩ, total OC content < 1 ppb,) under ultrasonication for 30 min.  
127 The water extract was then filtered using a syringe filter (Millipore, Millex–GV, 0.45µm)  
128 and stored at 4°C pending analysis. Water-soluble cations (K<sup>+</sup>, Na<sup>+</sup>, Ca<sup>2+</sup>, Mg<sup>2+</sup>, and NH<sub>4</sub><sup>+</sup>)  
129 were quantified by an ion chromatograph (Dionex ICS 5000, Thermo Fisher Scientific,  
130 USA). Water-soluble cations were separated using an IonPac CS–12A column (Thermo  
131 Fisher Scientific, USA) with 20 mM methanesulfonic acid as eluent at a flow rate of 1.0  
132 mL min<sup>-1</sup>. Water-soluble anions (Cl<sup>-</sup>, NO<sub>3</sub><sup>-</sup>, and SO<sub>4</sub><sup>2-</sup>) were separated using an IonPac  
133 AS–15 column (Thermo Fisher Scientific, USA) with 40 mM KOH as eluent at a flow  
134 rate of 1.2 mL min<sup>-1</sup>. The detection limits for major inorganic ions based on 3σ of blanks  
135 were 0.01 µg m<sup>-3</sup>, 0.01 µg m<sup>-3</sup>, and 0.03 µg m<sup>-3</sup> for NO<sub>3</sub><sup>-</sup>, SO<sub>4</sub><sup>2-</sup>, and NH<sub>4</sub><sup>+</sup>, respectively.

136 Levoglucosan, mannosan, and galactosan were analyzed by a high-performance  
137 anion-exchange chromatograph (Dionex, ICS-5000, Thermo Fisher Scientific, USA)  
138 with pulsed amperometric detection involving an electrochemical detector with a gold  
139 working electrode. Details of the method are given elsewhere (Jung et al., 2014). In brief,  
140 separation involved a CarboPak MA1 (4 × 250 mm, Thermo Fisher Scientific, USA)  
141 analytical column and NaOH eluent (360 mM, 0.4 mL min<sup>-1</sup>). Limits of detection were  
142 3.0 ng m<sup>-3</sup>, 0.7 ng m<sup>-3</sup>, and 1.0 ng m<sup>-3</sup> for levoglucosan, mannosan, and galactosan,  
143 respectively.

144 Aerosol samples were analyzed for OC and EC using a thermal optical OC/EC  
145 analyzer (Sunset Laboratory Inc. Forest Grove, OR, USA) with laser transmittance-based  
146 correction of pyrolysis. Details of the analyzer and quality-control parameters are  
147 reported elsewhere (Jung et al., 2014). In brief, 1.5 cm<sup>2</sup> punch samples of the quartz fiber  
148 filter were placed in a quartz dish inside the thermal desorption oven of the analyzer. OC  
149 and EC were quantified using a temperature program developed by the US National  
150 Institute for Occupational Safety and Health (NIOSH) in an inert atmosphere (100% He)  
151 and in an oxidizing atmosphere (98% He + 2% O<sub>2</sub>), respectively. Detection limits of OC  
152 and EC were 0.04 and 0.01 μg C m<sup>-3</sup>, and analytical uncertainties of them were 1.3% and  
153 3.7%, respectively.

154

### 155 2.3. Conditional Probability Function

156 The Conditional Probability Function (CPF) calculates the probability that a source  
157 is located within a particular wind direction sector, ΔΘ:

158 
$$CPF = \frac{m_{\Delta\Theta}}{n_{\Delta\Theta}}$$



159 where  $n_{\Delta\Theta}$  is the number of times that the wind passed through direction sector  $\Delta\Theta$ ,  
160 and  $m_{\Delta\Theta}$  is the number of times that the source contribution peaked while the wind passed  
161 through sector  $\Delta\Theta$  (Ashbaugh et al., 1985). To use CPF with the Ulaanbaatar data, the 24  
162 h averaged source contribution data have been applied to all 1 h wind direction averages  
163 recorded at the site for each date. The angular interval  $\Delta\Theta$  was set at  $10^\circ$ . To calculate  
164  $m_{\Delta\Theta}$ , the 75<sup>th</sup> percentile of source contribution concentrations were counted. CPF is useful  
165 in determining the direction of a source from a receptor site; however, it cannot determine  
166 the actual location of the source.

#### 167 168 2.4 Principal component analysis

169 In order to identify the source groupings of chemical species in  $PM_{2.5}$ , principal  
170 component analysis (PCA) was applied. PCA is done using a commercially available  
171 software package (SPSS, version 10.0). PCA applies projection dimension reduction  
172 methods, converting several concentrations sets into significant sets of columns (principal  
173 components, PC) without damaging the original data. PCA is a widely used statistical  
174 technique to quantitatively identify a small number of independent factors among the  
175 species concentrations, which can explain the variance of the data, by using the  
176 eigenvector decomposition of a matrix of pair-wise correlations. PCA with varimax  
177 rotation and retention of principal components having eigenvalues  $>1.0$  was used to  
178 identify major species associated with different sources. It was widely used for  
179 identification of pollution sources in the atmosphere (Fang et al., 2003, Nirmalkar et al.,  
180 2015).

### 181 182 3. Results and Discussion

### 183 3.1 Chemical characteristics of PM<sub>2.5</sub> and source identification

184 Mass concentrations of carbonaceous aerosol, BB tracers, and water-soluble ions in  
185 PM<sub>2.5</sub> samples collected at Ulaanbaatar during winter and spring of 2017 are summarized  
186 in Table 1. OC contributed  $64 \pm 5.1\%$  and  $56 \pm 6.0\%$  of the quantified aerosol components  
187 in PM<sub>2.5</sub> in winter and spring, respectively (Table 1). Average concentrations of OC during  
188 winter were five times those obtained in spring (Fig. 2). This may be attributed to  
189 additional BB emission for home heating, and temperature inversions with low wind  
190 speeds (average wind speed of  $1.43 \pm 0.73 \text{ m s}^{-1}$ ; Table 1 and Fig. 3a). OC concentrations  
191 decreased with increasing wind speed during winter (Fig. 3a) and spring (Fig. 3b), over  
192 all air temperature ranges. The inverse relationship between OC and wind speed during  
193 winter (Fig. 3a) and spring (Fig. 3b) suggests a predominance of local sources, with higher  
194 wind speeds flushing air pollutants out of the area whereas low wind speeds allow them  
195 to accumulate (Khan et al., 2010; Wang et al., 2018).

196 Average concentration of EC during winter ( $1.71 \pm 0.58 \mu\text{g m}^{-3}$ ) was higher than that  
197 in spring ( $1.11 \pm 0.42 \mu\text{g m}^{-3}$ ) (Table 1), consistent with general urban observations in  
198 cities of China (Ji et al., 2016) and India (Panda et al., 2016). During both winter and  
199 spring, EC concentrations at the study site were lower and having different trends  
200 compared to those observed in a suburban site ( $2.3 \pm 1.0 \mu\text{g m}^{-3}$  and  $3.1 \pm 1.5 \mu\text{g m}^{-3}$ ,  
201 respectively) and an urban site ( $2.3 \pm 1.0 \mu\text{g m}^{-3}$  and  $3.3 \pm 1.2 \mu\text{g m}^{-3}$ , respectively) in  
202 Shanghai, China (Feng et al., 2009).

203 The potential source direction of EC during winter and spring was west as shown in  
204 Fig. 5 that can be explained by the influence of emission from thermal power plants.  
205 Correlation of EC was strong with Ca<sup>2+</sup> during spring as shown in Fig. 4. CPF analysis  
206 suggested that potential source direction of EC and Ca<sup>2+</sup> was similar (Fig. 5). High

207 abundances of  $\text{Ca}^{2+}$  and EC is observed from stack emission of coal fired thermal power  
208 plant (Pei et al., 2016; Zhang et al., 2015). Thus, EC and  $\text{Ca}^{2+}$  in Ulaanbaatar might be  
209 strongly related to emission from thermal power plants.

210 Daily concentrations of levoglucosan, mannosan and galactosan have similar trends  
211 during winter and spring (Fig. 2), possibly because of combustion of similar biomass fuels  
212 in both seasons. Changes in concentrations of these BB tracers might be attributed to  
213 changes in relative proportions of cellulose and hemicellulose in different biomass fuels  
214 (Zhu et al., 2015; Nirmalkar et al., 2015). Concentrations of anhydrosugars were four  
215 times higher in winter than in spring (Table 1) due to increased heating requirements in  
216 winter. The higher relative humidity (58.5%–72.7%) and lower temperature ( $-10.5^{\circ}\text{C}$  to  
217  $-27.8^{\circ}\text{C}$ ; Table 1) in winter can also contribute to longer atmospheric residence times due  
218 to increased levoglucosan stability (Lai et al., 2014). Higher concentrations of BB tracers  
219 in winter than spring have previously been observed in Beijing, China, (Liang et al., 2016)  
220 and were attributed to meteorological conditions similar to those of Ulaanbaatar.

221 Among water-soluble ions,  $\text{SO}_4^{2-}$  ( $9.7 \pm 3.4 \mu\text{g m}^{-3}$ ) was the most dominant  $\text{PM}_{2.5}$   
222 species during winter, followed by  $\text{NH}_4^+$  ( $6.2 \pm 2.4 \mu\text{g m}^{-3}$ ) and  $\text{NO}_3^-$  ( $4.2 \pm 1.7 \mu\text{g m}^{-3}$ ),  
223 whereas  $\text{SO}_4^{2-}$  ( $1.9 \pm 0.5 \mu\text{g m}^{-3}$ ) was the dominant species during spring, followed by  
224  $\text{Ca}^{2+}$  ( $0.9 \pm 0.4 \mu\text{g m}^{-3}$ ) and  $\text{NH}_4^+$  ( $0.7 \pm 0.3 \mu\text{g m}^{-3}$ ). The total  $\text{SO}_4^{2-} + \text{NH}_4^+ + \text{NO}_3^-$   
225 content accounted for 27% and 23% of the total measured chemical species during winter  
226 and spring, respectively (Fig. 2 and Table 1).  $\text{SO}_4^{2-}$  is the most prevalent water-soluble  
227 ion in  $\text{PM}_{2.5}$  in Wuhan, Guangzhou, and Tianjin (China) due to industrial emissions and  
228 coal burning (Gu et al., 2011; Tao et al., 2014; Huang et al., 2016; Pei et al., 2016). This  
229 suggests that the higher  $\text{SO}_4^{2-}$  concentration in Ulaanbaatar may be attributable to  
230 emissions from the three major coal-fired thermal power plants near the study site.

231 The atmospheric concentrations of OC (11–17  $\mu\text{g m}^{-3}$ ) and levoglucosan (0.46–0.73  
232  $\mu\text{g m}^{-3}$ ) were higher for samples collected during 27–30 April 2017 than on almost all  
233 remaining days in spring (Fig. 2b). Backward atmospheric trajectories based on the  
234 Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) model provided by  
235 the US National Oceanic and Atmospheric Administration (NOAA) Air Resources  
236 Laboratory (ARL) indicate that during those days' air masses originated from a region  
237 where a significant number of fires were detected [US Fire Information for Resource  
238 Management System (FIRMS); National Aeronautics and Space Administration (NASA);  
239 Fig. 6a, b)]. Thus, the elevated OC and levoglucosan concentrations during 27–30 April  
240 might be influenced by long-range transport of BB from north of Mongolia.

241

### 242 3.2 Principal Component Analysis

243 Principal component analysis (PCA) is a useful tool for reducing the dimensionality  
244 of large aerosol datasets to principal components using varimax rotation for source  
245 identification (Cao et al., 2005; Lin et al., 2018; Nirmalkar et al., 2019). Four principal  
246 components (PCs) in winter and three in spring were identified with eigenvalues  $>1$  after  
247 varimax rotation explaining 96% and 92%, respectively, of the total variance (Tables 2  
248 and 3). The PCs were categorized on the basis of loadings of chemical components as  
249 follows. In winter, PC1 includes BB characterized by high loadings of levoglucosan,  
250 mannosan, and galactosan; PC2 includes dust characterized by  $\text{Ca}^{2+}$  and  $\text{Mg}^{2+}$  content;  
251 PC3 includes secondary formation characterized by  $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$ , and  $\text{NH}_4^+$  content; and  
252 PC4 includes fossil fuel combustion characterized by EC. In spring, PC1 includes BB  
253 (levoglucosan, mannosan, and galactosan); PC2 includes dust ( $\text{Ca}^{2+}$  and  $\text{Mg}^{2+}$ ) and fossil  
254 fuel combustion (EC); and PC3 includes secondary formation ( $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$ , and  $\text{NH}_4^+$ ).

255 The PCA results showed that the chemical components of PM<sub>2.5</sub> in Ulaanbaatar were  
256 mainly affected by BB during winter and spring. Further, OC was primarily influenced  
257 by BB because it correlated well with the total variance of PC1 during winter (0.82; Table  
258 2) and spring (0.77; Table 3).

259

### 260 3.3 Relationship among BB tracers

261 The correlations among the three BB tracers levoglucosan, mannosan, and galactosan  
262 are shown in Fig. 7a (winter) and 7b (spring). The correlations between levoglucosan and  
263 mannosan and between levoglucosan and galactosan are strong during winter ( $R^2 = 0.99$   
264 for both pairs) and spring ( $R^2 = 0.95$  and  $0.83$ , respectively; Fig. 7a, b). Concentrations of  
265 levoglucosan and OC are strongly correlated during both winter ( $R^2 = 0.78$ ) and spring  
266 ( $R^2 = 0.86$ ; Fig. 8a), suggesting that a major fraction of OC might be originated from BB  
267 in Ulaanbaatar. The similar strong correlation and steep slope observed in OC–  
268 levoglucosan plots for PM collected in Chiang Mai Province (Thailand) and Daejeon  
269 (Korea) were attributed mainly to BB (Jung et al., 2014; Thepnuan et al., 2019).

270 Fine mode  $K^+$  is considered as biomass burning tracers in previous studies (Louie et  
271 al., 2005; Deshmukh et al., 2011; Cheng et al., 2013). The moderate correlation between  
272 levoglucosan and  $K^+$  concentrations ( $R^2 = 0.68$ ) in winter indicates that they are produced  
273 from similar sources (Fig. 8b), with BB contributing most of the  $K^+$ . However, the  
274 correlation between levoglucosan and  $K^+$  was weak in spring ( $R^2 = 0.49$ ; Fig. 8b).  
275 Because  $K^+$  is typically emitted at a higher mass fraction in flaming phase combustion  
276 compared to smoldering (Lee et al., 2010), smoldering combustion tended to have higher  
277 levoglucosan/ $K^+$  emission ratio compared to flaming combustion (Schkolnik et al., 2005;  
278 Gao et al., 2003). High levoglucosan/ $K^+$  ratio was observed during winter (8.92)

279 compared to spring (4.21) in this site. Thus, week correlation between levoglucosan and  
280  $K^+$  concentrations at Ulaanbaatar in spring can be explained by mixed burning condition  
281 such as smoldering and flaming.

282 OC and  $K^+$  concentrations correlated well during winter ( $R^2 = 0.79$ ; Fig. 9a) and  
283 spring ( $R^2 = 0.73$ ; Fig. 9b), suggesting that they might be originated from similar sources.  
284 Because most of the aerosol particles emitted from BB belongs to  $PM_{2.5}$ , the correlation  
285 between OC and  $K^+$  as well as levoglucosan suggests that BB is one of the potential  
286 sources of OC in winter and spring. Because biomass fuel is burned in traditional stoves  
287 with no pollution control devices in Ulaanbaatar (Batmunkh et al., 2013), soil and ash  
288 particles are entrained in convective processes and uplifted in the atmosphere together  
289 with smoke particles (Deshmukh et al., 2011; Nirmalkar et al., 2019).

290

#### 291 3.4 Tracing the source of BB aerosol

292 OC is a major contributor of the quantified aerosol components in  $PM_{2.5}$  in  
293 Ulaanbaatar during spring and winter (Table 1). To quantify the  $OC_{BB}$ , it is necessary to  
294 identify the BB fuel type. Several investigators used levoglucosan/mannosan and  
295 levoglucosan/ $K^+$  ratios to identify BB fuel types (Puxbaum et al., 2007; Cheng et al., 2013;  
296 Jung et al., 2014; Chen et al., 2018; Thepnuan et al., 2019).

297 The levoglucosan/mannosan ratio is source-specific and can be used to identify BB  
298 fuel types due to the unique cellulose and hemicellulose compositions of different  
299 biomass fuels (Zhang et al., 2007; Cheng et al., 2013). A previous study suggested that  
300 the levoglucosan/mannosan ratio is strongly dependent on wood type, rather than on the  
301 site where the wood is grown (Cheng et al., 2013). Therefore, the levoglucosan/mannosan  
302 ratio was used to trace the type of wood burnt during winter and spring for indoor heating

303 and cooking purposes. Previous studies have used levoglucosan/mannosan ratios to  
304 investigate the BB fuel types (Cheng et al., 2013; Jung et al., 2014).

305 However, the levoglucosan/mannosan ratio can't distinguish crop residuals ( $29 \pm 15$ )  
306 (Sheesley et al., 2003, Sullivan et al., 2008, Engling et al., 2009, Oanh et al., 2011) and  
307 hardwood ( $28 \pm 28$ ) (Fine et al. 2001, 2002, 2004a, b; Engling et al., 2006; Schmidl et al.,  
308 2008; Bari et al., 2009; Goncalves et al., 2010) due to the overlap of ratios between these  
309 fuel types (Cheng et al., 2013; Fine et al. 2001, 2002, 2004a, b; Engling et al., 2006).

310 However, levoglucosan/ $K^+$  ratio can distinguish between the two groups (Jung et al., 2014,  
311 Chen et al., 2018). Both levoglucosan/mannosan and levoglucosan/ $K^+$  ratios are therefore  
312 useful in distinguishing various types of fuel (Cheng et al., 2013; Puxbaum et al., 2007).

313 A levoglucosan/mannosan–levoglucosan/ $K^+$  scatter plot based on results of the  
314 present and previous studies is shown in Fig. 10, using data from Schauer et al. (2001),  
315 Fine et al. (2001, 2002, 2004a, b), and Engling et al. (2006) for hardwood grown in the  
316 USA; Schauer et al. (2001), Hays et al. (2002), Fine et al. (2001, 2002, 2004a, b), and  
317 Engling et al. (2006) for US softwood; Schmidl et al. (2008), Bari et al. (2009) and  
318 Goncalves et al. (2010) for hardwood grown in Europe; Iinuma et al. (2007), Schmidl et  
319 al. (2008), and Goncalves et al. (2010) for European softwood; Engling et al. (2006) and  
320 Sullivan et al. (2008) for needles and duff found in the USA; Sullivan et al. (2008) for US  
321 grass; and from Sheesley et al. (2003), Sullivan et al. (2008), Engling et al. (2009) and  
322 Oanh et al. (2011) for Asian rice straw.

323 The average levoglucosan/mannosan ratio was  $3.6 \pm 0.2$  (range: 3.4 – 4.1) in winter  
324 and  $4.1 \pm 1.0$  (2.12 – 7.05) in spring, whereas the levoglucosan/ $K^+$  ratio was  $8.9 \pm 1.8$   
325 (5.5 – 12.4) in winter and  $4.2 \pm 2.1$  (0.58 – 7.49) in spring at the study site (Fig. 10),  
326 within the ranges reported for softwood burning sources (2.5 – 6.7 and 4.6 – 261,

327 respectively) (Fine et al., 2001; Schauer et al., 2001; Fine et al., 2002, 2004a, b; Hays et  
328 al., 2002; Engling et al., 2006; Iinuma et al., 2007; Schmidl et al., 2008; Goncalves et al.,  
329 2010; Cheng et al., 2013). During winter and spring, the levoglucosan/ $K^+$  and  
330 levoglucosan/mannosan ratios in Ulaanbaatar appeared in the softwood region (Fig. 10).

331 Therefore, softwood burning seems to be the major source of BB aerosol in  
332 Ulaanbaatar during both winter and spring, consistent with previously reported softwood-  
333 burning emissions from fireplaces of northern and southern regions of the USA (Fine et  
334 al., 2001, 2002), from household combustion in Zhengzhou, China (Chen et al., 2018),  
335 and from stove wood combustion in the mid-European region (Austria; Schmidl et al.,  
336 2008).

337

### 338 3.5 Optimization of OC/levoglucosan ratio for estimating $OC_{BB}$ emission

339  $OC_{BB}$  was estimated by multiplying OC/levoglucosan ratio and levoglucosan  
340 concentration. Previous studies have used the OC/levoglucosan ratio obtained from  
341 sources of BB aerosol to estimate  $OC_{BB}$ . A ratio of 7.35 reported for burning of four types  
342 of US hardwood (Fine et al., 2002) was used for estimating  $OC_{BB}$  at four background sites  
343 in Europe (Puxbaum et al., 2007). Later, mean value of 11.2 of OC/levoglucosan ratio  
344 derived from ratios ranged between 4.5 – 24.6 was used for estimating  $OC_{BB}$  in the UK  
345 (Harrison et al., 2012). However, such estimates may not be accurate as the  
346 OC/levoglucosan ratio is highly variable in BB emissions. For example, the average  
347 OC/levoglucosan ratio from softwood burning (23.8) is much higher than that of  
348 hardwood burning (7.35) (Fine et al., 2002; Schmidl et al., 2008), differences are more  
349 than ten-fold among studies of softwood-burning OC/levoglucosan ratios (Fine et al.,  
350 2002; Hays et al., 2002; Engling et al., 2006; Iinuma et al., 2007; Goncalves et al., 2010).



351 Combustion conditions may also significantly influence OC/levoglucosan ratios. For  
352 example, the OC/levoglucosan ratio varied by a factor of about seven between burning  
353 the same wood (Loblolly pine) in a fireplace (27.6; Fine et al., 2002) and in a stove (3.4;  
354 Fine et al., 2004b). Therefore, it is necessary to optimize the OC/levoglucosan ratio for  
355 use in estimating  $OC_{BB}$ .

356 This study has used an optimized OC/levoglucosan ratio to estimate precise  
357 concentration of  $OC_{BB}$  for the Ulaanbaatar study site. First, candidate  $OC_{BB}$  (Fig. 11) in  
358 this study was estimated from OC/levoglucosan ratios for softwood burning in a previous  
359 chamber experiment (Cheng et al., 2013; Schauer et al., 2001; Hays et al., 2002; Fine et  
360 al., 2001, 2002, 2004a, b; Engling et al., 2006; Iinuma et al., 2007; Schmidl et al., 2008;  
361 Goncalves et al., 2010, Fig 11) and levoglucosan concentration at this site. Second,  $OC_{non-}$   
362  $BB$  concentration was calculated by subtracting  $OC_{BB}$  from corresponding total OC. If  
363 calculated  $OC_{non-BB}$  doesn't contain OC emitted from biomass burning, both regression  
364 slope and  $R^2$  between  $OC_{non-BB}$  versus levoglucosan will be close to zero. As shown in  
365 Fig. 12, the lowest  $R^2$  and regression slope were observed when OC/levoglucosan ratios  
366 of 27.6 and 18.0 in winter and spring, respectively. Thus, the optimized OC/levoglucosan  
367 ratios for our site were determined to be 27.6 and 18.0 in winter and spring, respectively.

368 During winter higher optimum ratio of OC/levoglucosan might be due to incomplete  
369 combustion during smoldering phenomena. As smoldering fires are characterized by  
370 lower temperatures and thus it has lower combustion efficiency, they release more un-  
371 combusted condensable products, resulting in the production of more unbroken organic  
372 compounds (Engling et al., 2006). Smoldering combustion generally leads to increased  
373 emissions of volatile organic compounds (VOCs) and particulate organic matter (OM)  
374 (Obrist et al., 2007). In contrast, the relatively lower optimum ratio of OC/levoglucosan

375 during spring might be due to the higher combustion efficiency during flaming  
376 phenomena.

377 The OC<sub>BB</sub> concentrations at the Ulaanbaatar study site were calculated from the  
378 optimized OC/levoglucosan ratios and levoglucosan concentrations. The OC<sub>BB</sub>  
379 concentration was estimated to be  $33.1 \pm 11.9 \mu\text{g C m}^{-3}$  (range 16.0–58.5  $\mu\text{g C m}^{-3}$ ) and  
380  $5.64 \pm 3.29 \mu\text{g C m}^{-3}$  (range 0.57–13.1  $\mu\text{g C m}^{-3}$ ), accounting for 68% and 63% of the  
381 total OC in winter and spring, respectively (Fig. 13). The average of previously published  
382 OC/levoglucosan ratios,  $10.1 \pm 7.9$  (range 1.90 – 27.6), gives an estimated OC<sub>BB</sub>  
383 concentration of  $12.1 \pm 4.4 \mu\text{g C m}^{-3}$  (range 5.9–21.4  $\mu\text{g C m}^{-3}$ ) and  $3.2 \pm 1.8 \mu\text{g C m}^{-3}$   
384 ( $0.32\text{--}7.34 \mu\text{g C m}^{-3}$ ) in winter and spring, respectively. Their values are 2.7 (winter) and  
385 1.8 (spring) times lower than values estimated using our optimized OC/levoglucosan ratio.

386 Our estimated contribution of OC<sub>BB</sub> was higher than that in Daejeon, South Korea  
387 (24%–68% of total OC, mean  $45\% \pm 12\%$ ; Jung et al., 2014) and Beijing, China (50% of  
388 total OC; Cheng et al., 2013), where BB aerosols are produced mainly by the burning of  
389 crop residues. The contribution of OC<sub>BB</sub> to total OC is 57% and 31% during heating  
390 (average temperature 0.6°C) and non-heating (average temperature 14°C) seasons in  
391 Krynica Zdroj, Poland (Klejnowski et al., 2017), significantly lower than that of  
392 Ulaanbaatar during both winter (average temperature –21°C) and spring (average  
393 temperature 6°C). Such high concentrations of OC<sub>BB</sub> in Ulaanbaatar and Krynica Zdroj  
394 are likely due to intense wood burning for heating during winter.

395

### 396 3.6 Tracing sources of OC<sub>non-BB</sub>

397 High concentration of OC<sub>non-BB</sub> was found during winter compared to spring (Fig.  
398 13). Elevated OC<sub>non-BB</sub> could be attributed to enhanced emission from combustions and

399 favorable meteorological conditions (cold temperatures and inversion conditions, etc.)  
400 during the winter. There is strong correlation between  $OC_{\text{non-BB}}$  and  $SO_4^{2-}$ ,  $NH_4^+$ , and  $K^+$   
401 in winter and  $OC_{\text{non-BB}}$  and  $NO_3^-$ ,  $Na^+$ ,  $K^+$ ,  $Mg^{2+}$ ,  $Ca^{2+}$ , and EC in spring (Table 4).  
402 Residential combustion of coal emits significant amounts of OC, EC, and inorganic  
403 species ( $SO_4^{2-}$  and metals) due to incomplete combustion and lack of pollution control  
404 devices (Garcia et al., 1992; Li et al., 2016; Watson et al., 2001a, b). Garcia et al. (1992)  
405 studied emissions of volatile organic compounds from coal burning and vehicle engines.  
406 In Ulaanbaatar, the use of wood and coal for cooking and heating, and emissions  
407 from old vehicles are reported as potential sources of OC (Batmunkh et al., 2013;  
408 Zhamsueva et al., 2018). The three thermal power plants in Ulaanbaatar are point sources  
409 for emissions of carbonaceous aerosol (Batmunkh et al., 2013), burning ~5 million tons  
410 of coal per year (Batmunkh et al., 2013). High concentrations of anions ( $SO_4^{2-}$  and  $NO_3^-$ )  
411 and cations ( $NH_4^+$  and  $Na^+$ ) are reported in China (Zhou et al., 2003), the USA (Caiazzo  
412 et al., 2013), Brazil (Flues et al., 2002), India (Guttikunda and Jawahar, 2014), Korea  
413 (Park and Kim, 2004; Park et al., 2015), and Spain (Alastuey et al., 1999) near coal-fired  
414 thermal power plants. Emissions of volatile organic compounds from vegetation have also  
415 been observed in previous studies (Fehsenfeld et al., 1992; Shao et al., 2001; Acton et al.,  
416 2016). The correlations of  $OC_{\text{non-BB}}$  with ions and EC are thus likely due to volatile  
417 organic compounds emitted from coal-burning and vehicles, and vegetative emissions.

418

#### 419 4. Conclusions

420 BB was identified as a major source of the quantified aerosol components in  $PM_{2.5}$   
421 in Ulaanbaatar, Mongolia, during the winter and spring of 2017, based on PCA. OC was  
422 the major component of the quantified aerosol components during the entire sampling

423 period, winter and spring. For determination of OC<sub>BB</sub>, the fuel type must be identified and  
424 levoglucosan/mannosan and levoglucosan/K<sup>+</sup> ratios obtained from previous studies and  
425 our on-site measurements were used for this purpose.

426 Softwood burning was identified as a major source of OC<sub>BB</sub>. However,  
427 OC/levoglucosan ratios from softwood burning are highly variable, and an optimum ratio  
428 was derived by regression analysis between daily concentrations of OC<sub>non-BB</sub> and  
429 levoglucosan, yielding values of 27.6 and 18.0 for winter and spring, respectively. The  
430 application of these ratios indicates that 68% and 63% of the OC originated from BB  
431 during winter and spring, respectively, which is about double that estimated using average  
432 values of previous studies. The atmospheric concentration of OC<sub>BB</sub> was higher in winter  
433 than in spring due mainly to additional BB for heating and cooking. BB aerosols in  
434 Ulaanbaatar originate mainly from local softwood burning. The approach developed here  
435 may be applied elsewhere for screening region-specific OC/levoglucosan ratios for  
436 estimating atmospheric appropriate concentrations of OC<sub>BB</sub>, aiding the establishment of  
437 BB control measures.

438

#### 439 **Author contribution**

440 Jinsang Jung and Tsatsral Batmunkh designed the study and carried out the field work.  
441 Jinsang Jung performed chemical analyses and quality-control measures. Jayant  
442 Nirmalkar wrote the manuscript under the guidance of Jinsang Jung. All authors  
443 commented on and discussed the manuscript.

444

#### 445 **Competing interests**

446 The authors declare that they have no conflict of interests.

447

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455 Management System (FIRMS) of the National Aeronautics and Space Administration  
456 (NASA), United States (<https://firms.modaps.eosdis.nasa.gov/alerts/>) used in this study.

457

#### 458 **Data availability**

459 The data used in this study are available from the corresponding author upon request  
460 ([jsjung@kriss.re.kr](mailto:jsjung@kriss.re.kr)).

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Table 1. Concentrations ( $\mu\text{g m}^{-3}$ ) of organic carbon, elemental carbon, levoglucosan, mannosan, galactosan, and water-soluble ions in  $\text{PM}_{2.5}$  samples collected from Ulaanbaatar, Mongolia, during the winter (n = 17) and spring (n = 17) of 2017.

	OC	EC	Levoglucosan	Mannosan	Galactosan	Cl <sup>-</sup>	SO <sub>4</sub> <sup>2-</sup>	NO <sub>3</sub> <sup>-</sup>	Na <sup>+</sup>	NH <sub>4</sub> <sup>+</sup>	K <sup>+</sup>	Mg <sup>2+</sup>	Ca <sup>2+</sup>	Temperature (°C)	Wind Speed (m sec <sup>-1</sup> )	RH (%)
<b>Winter</b>																
Mean	49.06	1.71	1.20	0.33	0.24	1.69	9.74	4.17	0.64	6.18	0.13	0.05	0.60	-20.8	1.36	66.1
SD	17.32	0.58	0.43	0.13	0.09	0.76	3.37	1.69	0.44	2.42	0.04	0.02	0.24	4.74	0.73	4.56
Min	24.62	0.79	0.58	0.15	0.10	0.26	2.17	0.76	0.10	3.16	0.08	0.02	0.22	-27.8	0.41	58.5
Max	79.07	3.34	2.12	0.61	0.43	2.89	16.06	7.51	1.34	11.59	0.18	0.08	1.04	-10.5	3.55	72.7
<b>Spring</b>																
Mean	8.50	1.11	0.31	0.08	0.04	0.30	1.90	0.70	0.13	0.74	0.08	0.04	0.93	6.11	2.60	35.1
SD	3.55	0.42	0.18	0.04	0.02	0.11	0.50	0.32	0.04	0.28	0.05	0.02	0.36	6.16	0.79	13.9
Min	2.80	0.60	0.03	0.01	0.00	0.11	1.04	0.10	0.07	0.33	0.02	0.02	0.48	-1.52	1.64	17.8
Max	16.63	2.03	0.73	0.15	0.08	0.51	3.02	1.40	0.21	1.47	0.22	0.08	1.61	15.9	4.56	65.2

802

803 Table 2. Source identification of chemical species using principal component (PC) analysis and varimax rotation at Ulaanbaatar, Mongolia,  
 804 during winter of 2017.

Winter	Component			
	PC1 (Biomass Burning)	PC2 (Dust)	PC3 (Secondary formation)	PC4 (Fossil fuel combustion)
Chemical species				
Levogluconan	<b>0.96</b>	-0.06	0.24	0.06
Mannosan	<b>0.95</b>	-0.08	0.27	0.06
Galactosan	<b>0.95</b>	-0.07	0.28	0.04
Cl <sup>-</sup>	0.19	<b>0.94</b>	-0.05	-0.07
SO <sub>4</sub> <sup>2-</sup>	0.43	0.01	<b>0.88</b>	0.09
NO <sub>3</sub> <sup>-</sup>	0.28	0.20	<b>0.87</b>	0.20
Na <sup>+</sup>	-0.27	<b>0.87</b>	-0.33	-0.17
NH <sub>4</sub> <sup>+</sup>	0.48	-0.12	<b>0.86</b>	0.07
K <sup>+</sup>	<b>0.70</b>	0.11	0.61	0.25
Mg <sub>2</sub> <sup>+</sup>	-0.15	<b>0.90</b>	0.25	0.26
Ca <sub>2</sub> <sup>+</sup>	-0.12	<b>0.92</b>	0.19	0.24
OC	<b>0.82</b>	-0.17	0.47	0.07
EC	0.14	0.14	0.19	<b>0.95</b>
Eigenvalues	4.54	3.44	3.30	1.20
% of Variance	34.95	26.49	25.37	9.21
Cumulative %	34.95	61.44	86.81	96.02

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806

807 Table 3. Source identification of chemical species using PCA and varimax rotation at Ulaanbaatar, Mongolia, during spring of 2017.

Spring	Component		
	PC1 (Biomass Burning)	PC2 (Dust and Fossil fuel combustion)	PC3 (Secondary formation)
Levogluconan	<b>0.88</b>	0.13	0.39
Mannosan	<b>0.94</b>	0.00	0.30
Galactosan	<b>0.95</b>	-0.11	0.20
Cl <sup>-</sup>	<b>0.81</b>	0.32	-0.03
SO <sub>4</sub> <sup>2-</sup>	0.18	0.12	<b>0.93</b>
NO <sub>3</sub> <sup>-</sup>	0.59	0.54	0.52
Na <sup>+</sup>	0.08	<b>0.91</b>	-0.09
NH <sub>4</sub> <sup>+</sup>	0.44	0.05	<b>0.88</b>
K <sup>+</sup>	0.41	0.67	0.55
Mg <sup>2+</sup>	0.05	<b>0.90</b>	0.35
Ca <sup>2+</sup>	0.10	<b>0.97</b>	0.15
OC	<b>0.77</b>	0.41	0.46
EC	0.10	<b>0.94</b>	0.01
Eigenvalues	4.59	4.53	2.87
% of Variance	35.30	34.84	22.04
Cumulative %	35.30	70.14	92.18

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Table 4. Correlation coefficients (r) from Spearman correlation analysis for OC<sub>non-BB</sub> and water-soluble ions during winter and spring of 2017 at Ulaanbaatar, Mongolia.

		Cl <sup>-</sup>	SO <sub>4</sub> <sup>2-</sup>	NO <sub>3</sub> <sup>-</sup>	Na <sup>+</sup>	NH <sub>4</sub> <sup>+</sup>	K <sup>+</sup>	Mg <sup>2+</sup>	Ca <sup>2+</sup>	EC
OC <sub>non-BB</sub>	Winter	-0.26	0.71**	0.44	-0.58*	0.72**	0.64**	-0.16	-0.16	0.15
	Spring	0.29	0.37	0.59*	0.74**	0.23	0.65**	0.78**	0.77**	0.74**

\*Correlation is significant at the .05 level (2-tailed); \*\*Correlation is significant at the .01 level (2-tailed).

810

811 **Figure captions**

812 Fig. 1 Sampling site in Ulaanbataar, Mongolia (<https://www.google.com/earth/versions/#earth-pro>).

813 Fig. 2 Daily variations in atmospheric concentrations ( $\mu\text{g m}^{-3}$ ) of chemical species in Ulaanbaatar during winter (a) and spring (b) of 2017.

814 Fig. 3 Daily atmospheric concentrations of OC ( $\mu\text{g C m}^{-3}$ ) as a function of wind speed ( $\text{m s}^{-1}$ ) and temperature ( $^{\circ}\text{C}$ ) during winter (a) and  
815 spring (b) of 2017.

816 Fig. 4 Relationship between  $\text{PM}_{2.5}$  concentrations of  $\text{Ca}^{2+}$  and EC ( $\mu\text{g m}^{-3}$ ) during spring of 2017.

817 Fig. 5 Conditional Probability Function (CPF) of levoglucosan (levo), OC,  $\text{K}^+$ , EC,  $\text{Ca}^{2+}$  during winter (a) and spring (b) of 2017.

818 Fig. 6 (a) Five-day backward air-mass trajectories (<https://ready.arl.noaa.gov/HYSPLIT.php>) and (b) FIRMS fire counts  
819 (<https://firms.modaps.eosdis.nasa.gov/alerts/>) around Ulaanbaatar during spring of 2017.

820 Fig. 7 Correlations of  $\text{PM}_{2.5}$  concentrations ( $\mu\text{g m}^{-3}$ ) of mannosan and galactosan with levoglucosan during winter (a) and spring (b) of  
821 2017.

822 **Fig. 8 Correlation between  $\text{PM}_{2.5}$  concentrations of (a) OC ( $\mu\text{g C m}^{-3}$ ) and levoglucosan ( $\mu\text{g m}^{-3}$ ) and (b)  $\text{K}^+$  and levoglucosan ( $\mu\text{g m}^{-3}$ )**  
823 **during winter and spring of 2017.**

824 Fig. 9 Correlation between  $\text{PM}_{2.5}$  concentrations of OC ( $\mu\text{g C m}^{-3}$ ) and  $\text{K}^+$  ( $\mu\text{g m}^{-3}$ ) during winter (a) and spring (b) of 2017.

825 Fig. 10 Scatter plot of levoglucosan/ $\text{K}^+$  versus levoglucosan/mannosan from different types of BB emissions, including those measured in

826 Ulaanbaatar (blue circles and red squares).

827 Fig. 11 Comparison of previously reported OC/levoglucosan ratios for softwood burning.

828 Fig. 12 Graphical determination of optimized OC/levoglucosan ratios used to estimate PM<sub>2.5</sub> concentrations of OC<sub>BB</sub> in Ulaanbaatar in  
829 winter (a) and spring (b) of 2017.

830 Fig. 13 Relative contributions ( $\mu\text{g C m}^{-3}$ ) of OC<sub>BB</sub> and OC<sub>non-BB</sub> to PM<sub>2.5</sub> in Ulaanbaatar during winter and spring of 2017.

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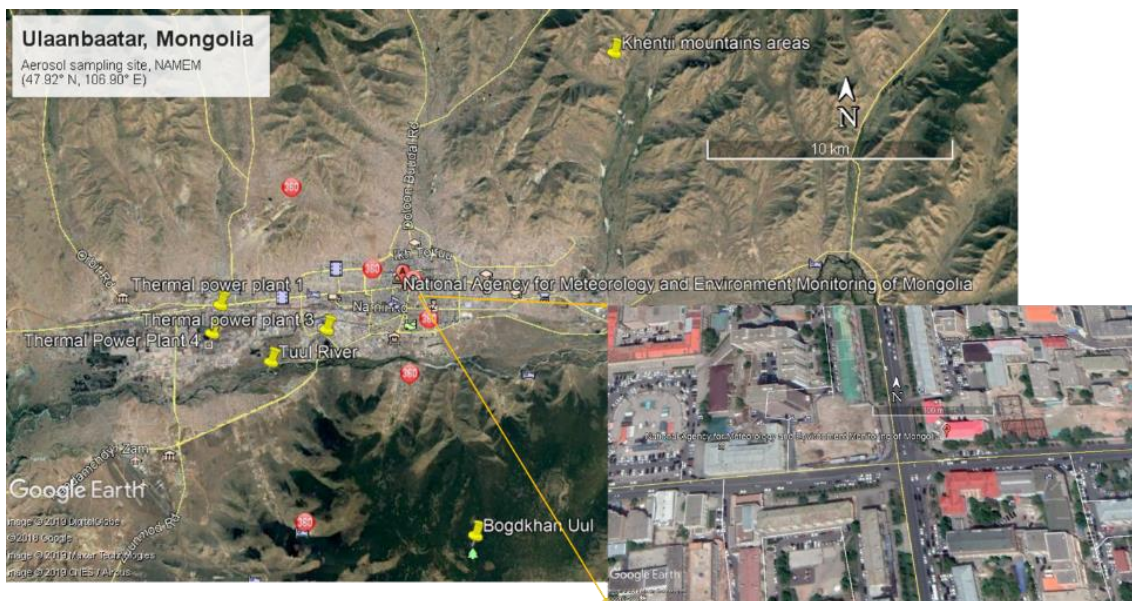
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Fig. 1

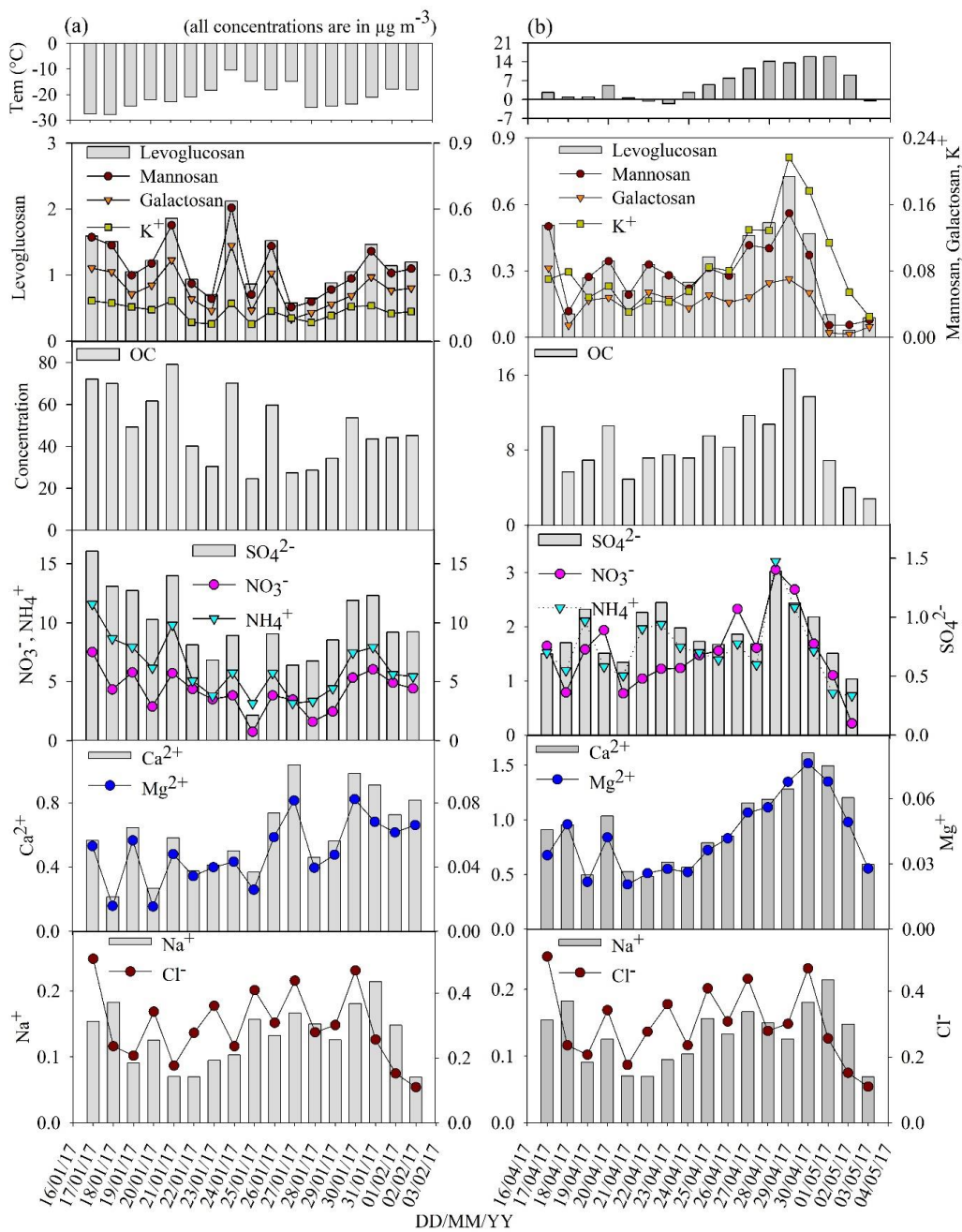


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Fig. 2

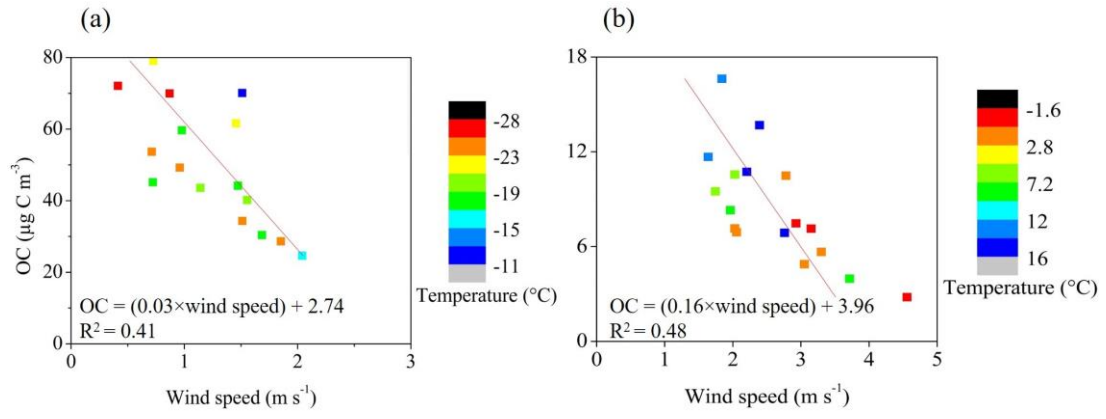


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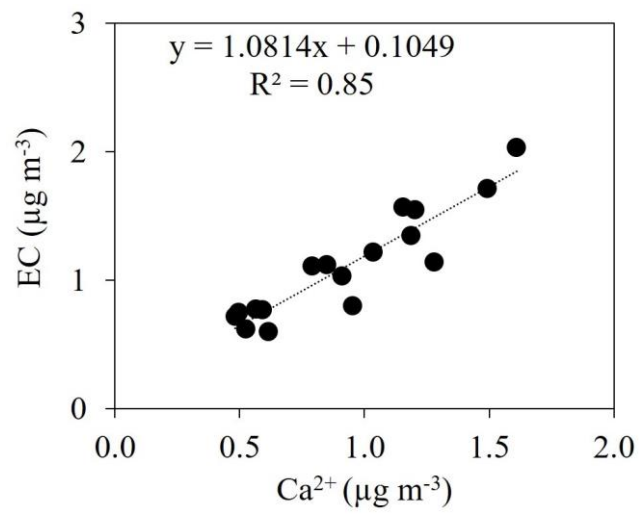
Fig. 3



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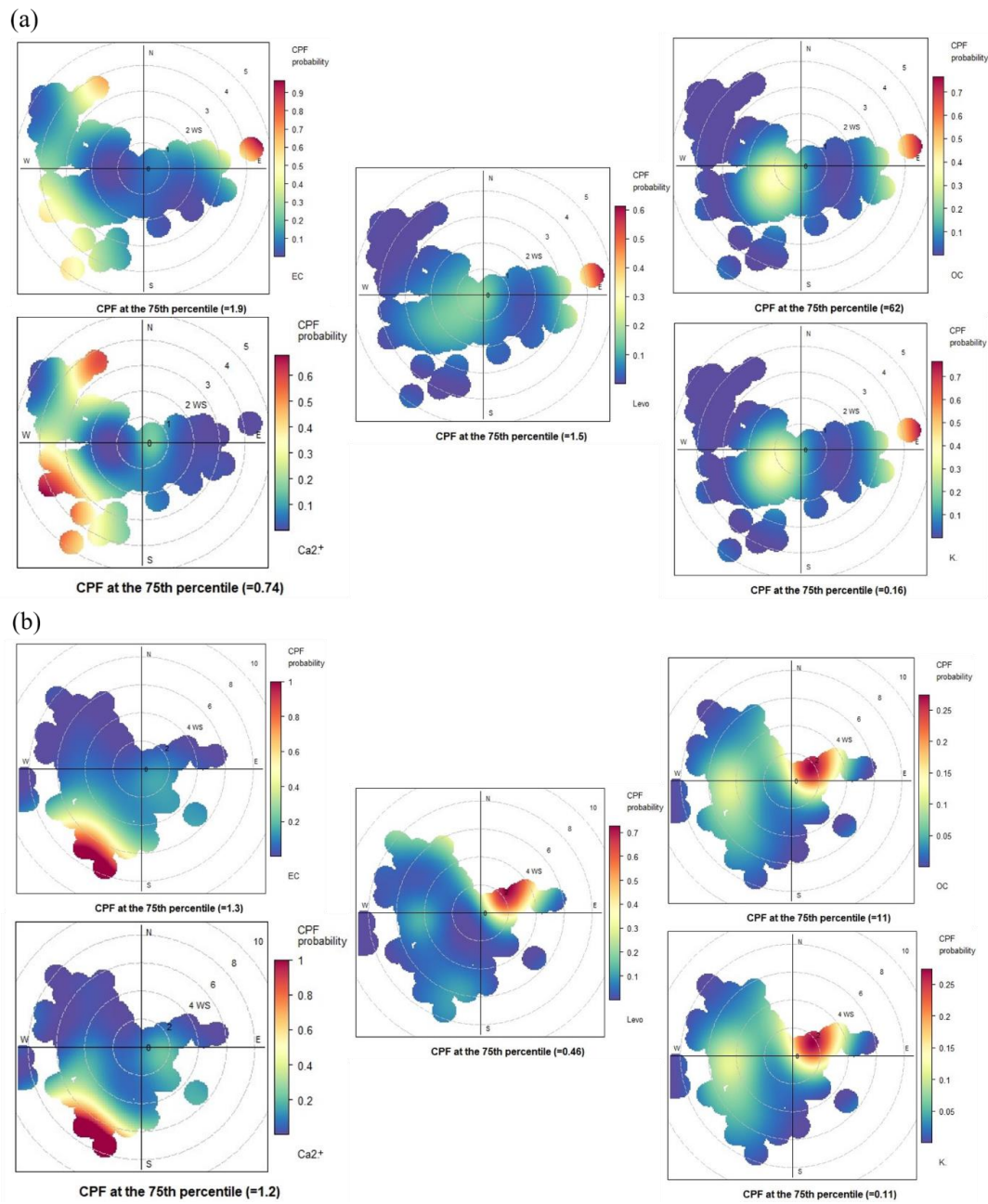
Fig. 4



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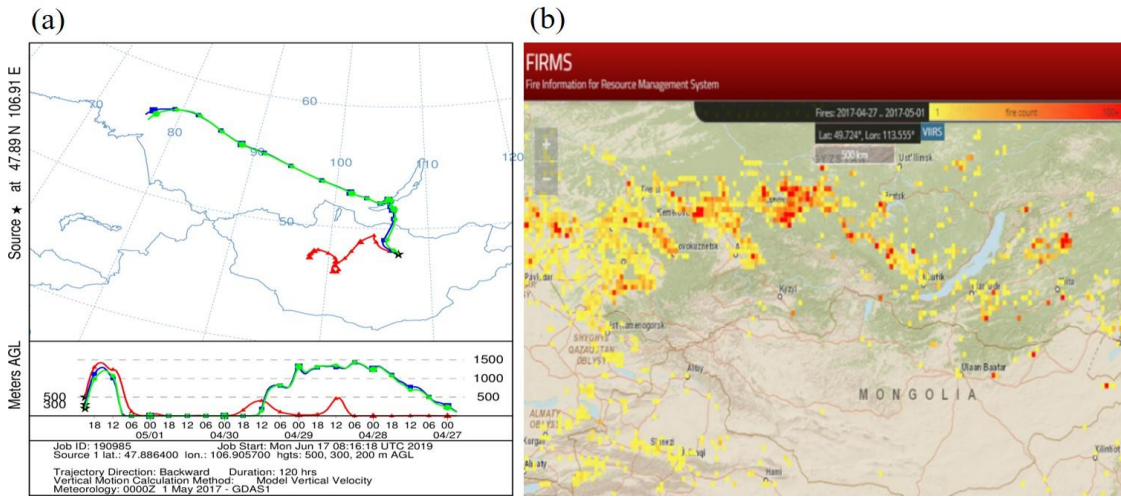
Fig. 5



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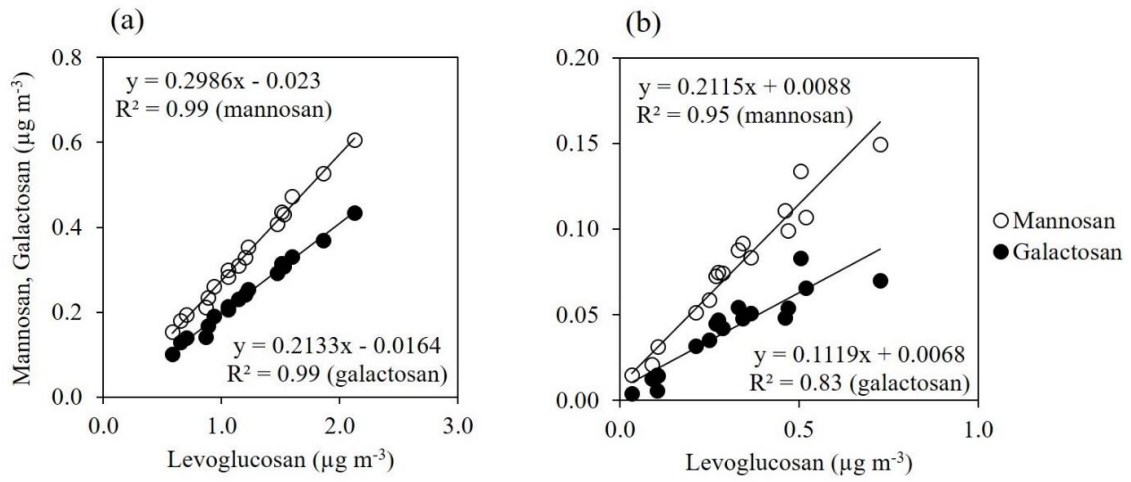
Fig. 6



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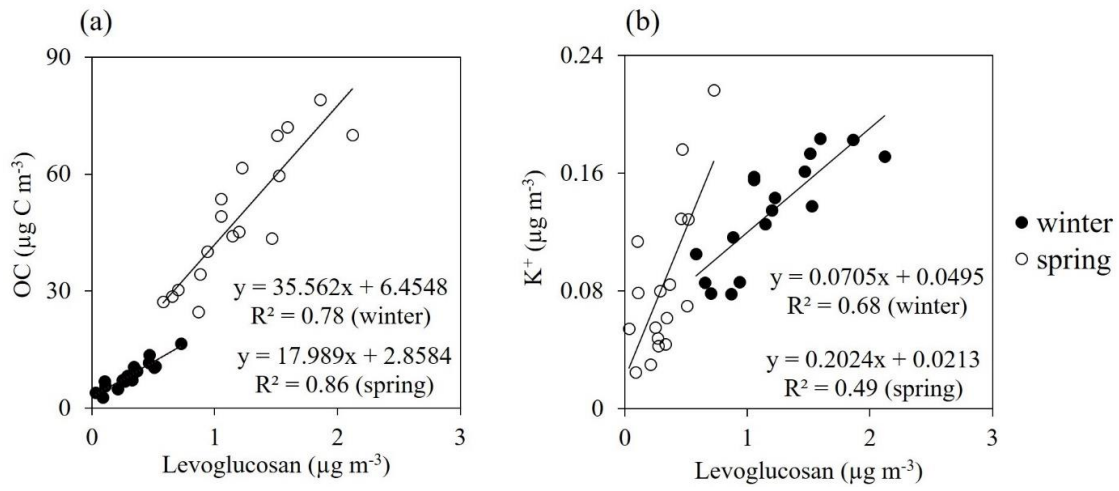
Fig. 7



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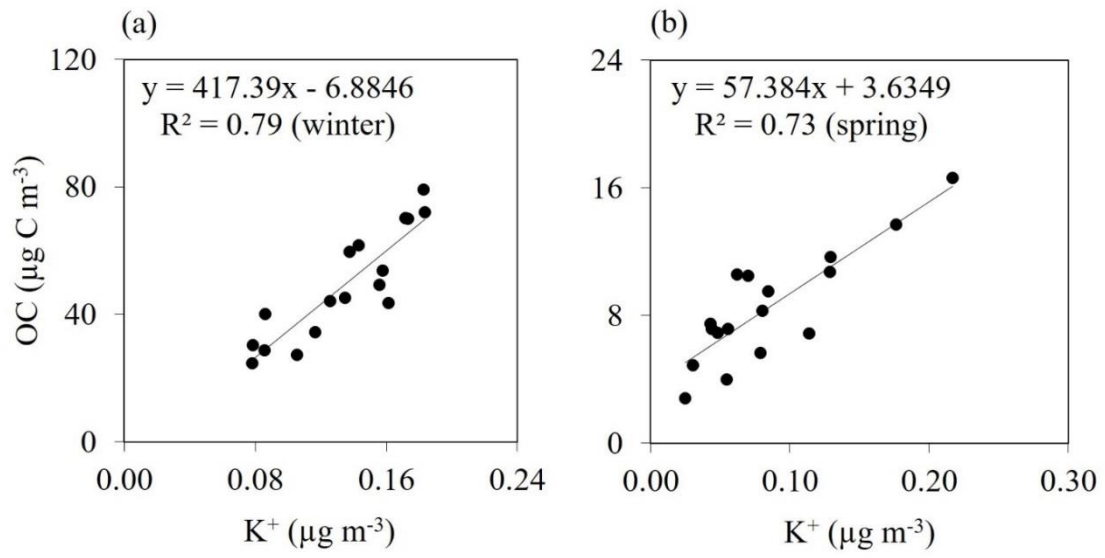
Fig. 8



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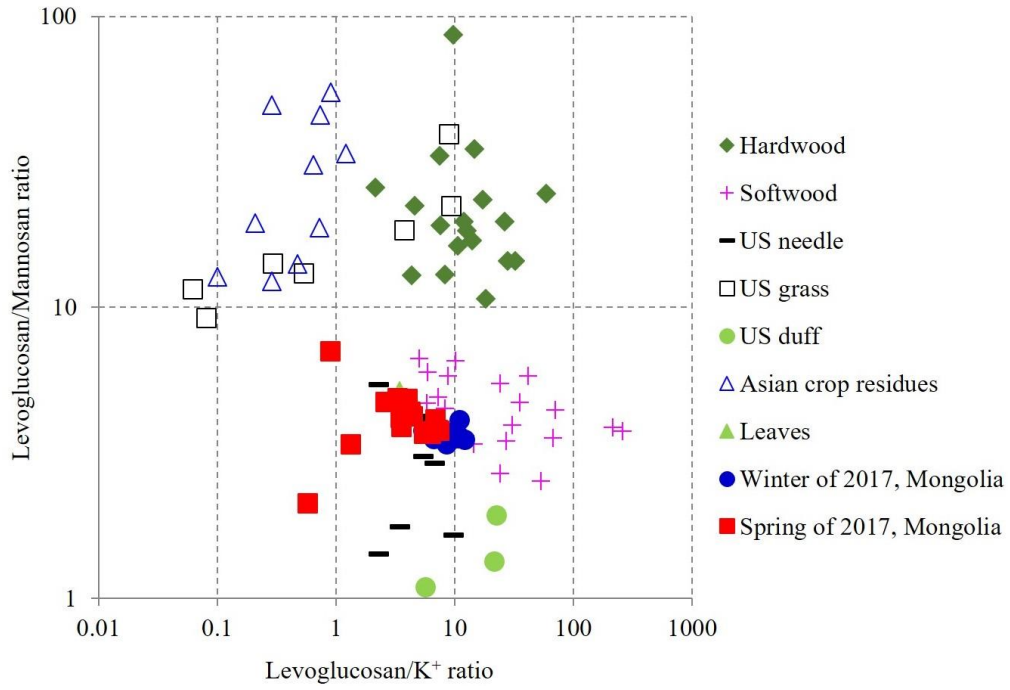
Fig. 9



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Fig. 10

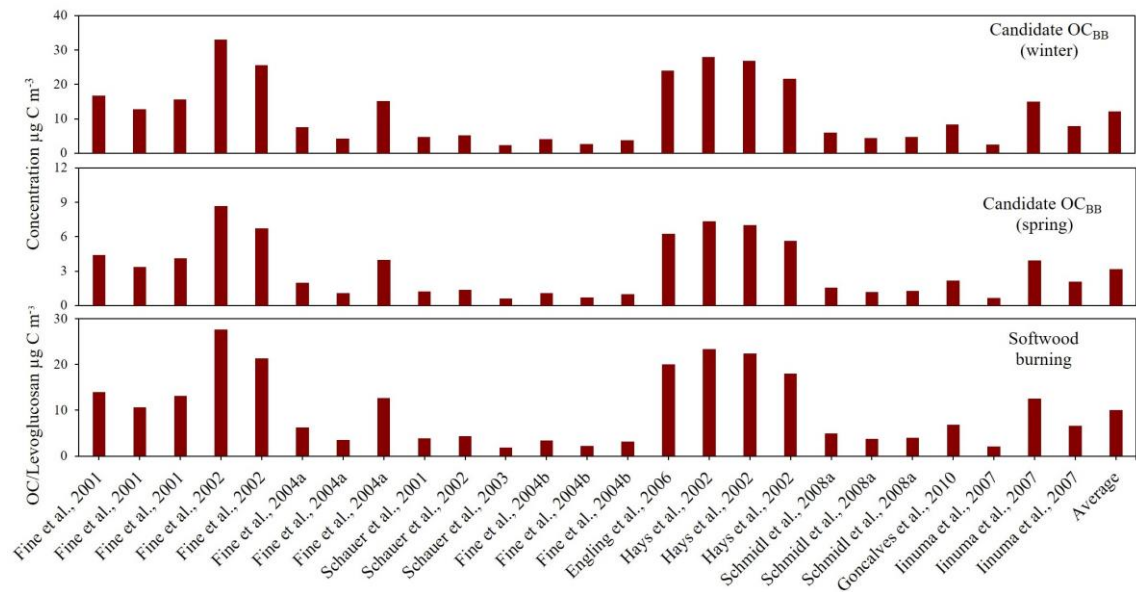


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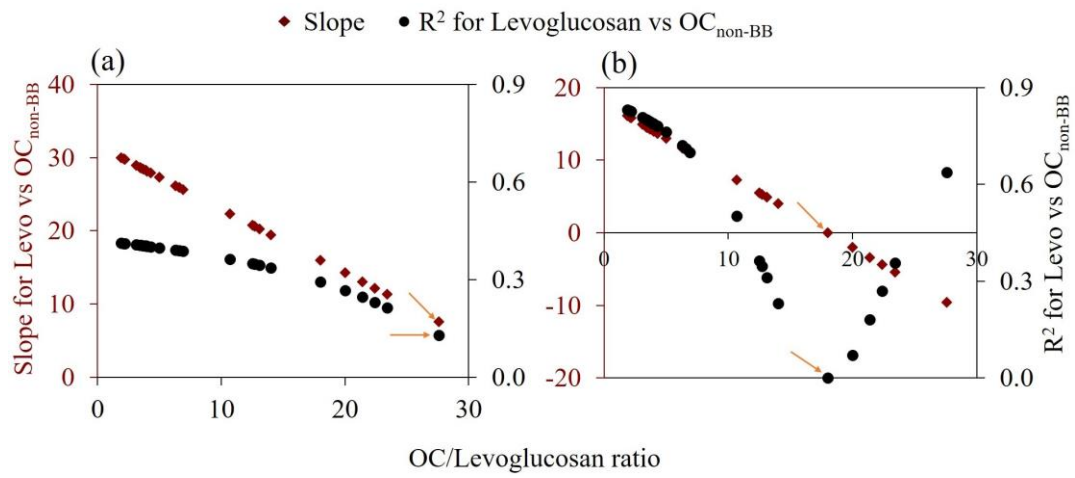
Fig. 11



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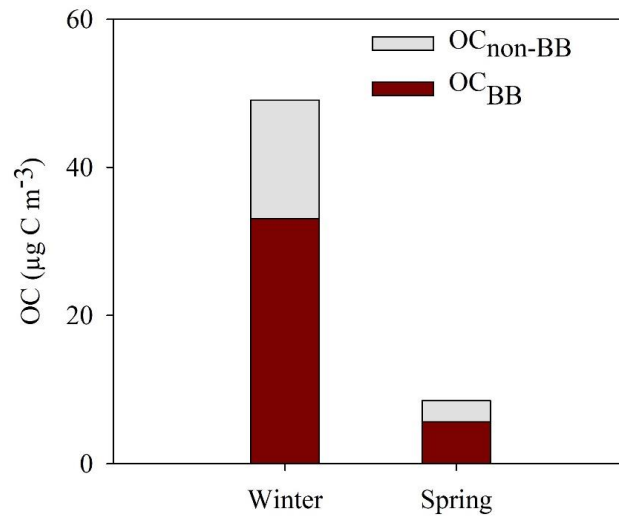
Fig. 12



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Fig. 13



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## Reply to Comments of Anonymous Referee # 1

*An optimized tracer-based approach for estimating organic carbon emissions from biomass burning in Ulaanbaatar, Mongolia by Nirmalkar et al This paper by Nirmalkar et al analysed the chemical composition of daily PM<sub>2.5</sub> filter samples collected in Ulaanbaatar during winter and spring, with the aim of determining the contribution of biomass burning to the PM<sub>2.5</sub> load. The authors then applied multivariate correlation analysis (PCA) to determine the main sources based on the chemical composition and used diagnostic ratios to apportion the contribution from biomass burning. The authors concluded that biomass burning was a significant source, accounting for 68 and 63% of the organic carbon in winter and spring, respectively and that the very high contributions reflected the practice of wood burning for heating in the city. Ulaanbaatar has a well-known air pollution problem, and this is a nice dataset for investigating the sources during winter. While the dataset appears sound, in my opinion the data interpretation/analysis a bit light. There are much more the authors could do with the dataset to strengthen and support their conclusions.*

*Furthermore, there have been numerous studies already investigating air pollution in Ulaanbaatar, yet the authors curiously do not mention how their findings relate to this body of work, choosing instead to focus on similar studies in other Asian cities.*

Reply: Thank very much for the reviewer for the appreciating comments and important inputs to improve the quality of the manuscript. We follow all the reviewer's comments very carefully and answer accordingly. We have incorporated all the modifications in the revised manuscript (RMS). Please refer to the revised manuscript where we highlighted the changes by [turquoise color](#). We provide here below a reply to the specific comments and modifications made in the revised manuscript based on the line number provided in RMS. Please follow the line numbers to reviewing the changes in RMS.

Following sentences have been added in lines 82-94 in the revised MS.

[“A half of residents in Ulaanbaatar lives in 160,000 Gers \(traditional Mongolian dwelling\) \(Guttikunda and Jawahar, 2014\). Biomass is used as fuel for cooking and heating in many of low-income Gers at Ulaanbaatar. The common tree species in Mongolia are larch, pine, cedar, spruce, birch these are mostly softwood \(<http://www.fao.org/3/w8302e/w8302e05.htm>; <http://www.fao.org/3/a-am616e.pdf>, excess date 17-12-2019\). Each Ger burns an average of 3 m<sup>3</sup> of wood per year \(Guttikunda, 2008; Zhamsueva et al., 2018\). Organic carbon \(OC\) has severe effects on human health and global climate change \(Sun et al., 2019\). But there is very few estimate of OC emitted from biomass burning \(OC<sub>BB</sub>\) in Ulaanbaatar. Few studies have investigated the chemical characteristics of organic aerosol in Ulaanbaatar \(Jung et al., 2010; Batmunkh et al., 2013\), with none examining the contribution of OC<sub>BB</sub> and type of biomass. Therefore, this study estimated appropriate concentration of OC<sub>BB</sub> and identify the type of biomass at Ulaanbaatar, Mongolia.](#)”

Following sentences have been added in lines 99-103 in the revised MS.

[“However, uncertainties of OC<sub>BB</sub> are high because OC/levoglucosan ratios can vary depending on fuel type, burning conditions, and burning place \(Duan et al., 2004; Cheng et al., 2013; Jung et al., 2014\). Therefore, it is required to determine the most suitable OC/levoglucosan ratio of BB emissions for estimating appropriate concentration of OC<sub>BB</sub>.”](#)

Four references have been added in the reference section.

“Sun, J., Shen, Z., Zhang, Y., Zhang, Q., Wang, F., Wang, T., Chang, X., Lei, Y., Xu, H., Cao, J., and Zhang, N.: Effects of biomass briquetting and carbonization on PM<sub>2.5</sub> emission from residential burning in Guanzhong Plain, China, *Fuel*, 244, 379–387, <https://doi.org/10.1016/j.fuel.2019.02.031>, 2019.

Guttikunda, S.: Urban Air Pollution Analysis for Ulaanbaatar, Mongolia, SIM Working Paper No. 2008-005, <http://dx.doi.org/10.2139/ssrn.1288328>, September 22, 2008.

Guttikunda, S. K. and Jawahar, P.: Atmospheric emissions and pollution from the coal-fired thermal power plants in India, *Atmos. Environ.*, 92, 449–460, <https://doi.org/10.1016/j.atmosenv.2014.04.057>, 2014.”

*As the authors mention, the OC/Levoglucosan ratio from biomass burning is highly variable and dependent on many variables such as fuel and burn conditions. I am not entirely convinced by proposed method for optimising the OC/Levoglucosan ratio source apportionment and would have liked to have seen more analysis justifying the proposed ‘optimal’ ratio. For example, some discussion on how did the optimal OC/Levoglucosan from winter and summer compare to the literature values?*

Reply: Thank for the reviewer’s comments. We have compared OC/levoglucosan value obtained by literature values using  $R^2$  and slope values of regression analysis between the concentration of levoglucosan and OC<sub>non-BB</sub> (OC-OC<sub>BB</sub>) in this site during winter and spring separately. The literature value of OC/levoglucosan ratio, which gives the lowest coefficient of determinant ( $R^2$ ) and slope value, is treated as optimised OC/levoglucosan ratio. Based on the regression analysis we found two different optimised OC/levoglucosan ratios for winter (27.6) and spring (18). Further, these ratios are used for estimating OC<sub>BB</sub> during winter and spring separately for Ulaanbaatar.

Following sentences have been added in lines 368-376 in the revised MS.

“During winter higher optimum ratio of OC/levoglucosan might be due to incomplete combustion during smoldering phenomena. As smoldering fires are characterized by lower temperatures and thus it has lower combustion efficiency, they release more un-combusted condensable products, resulting in the production of more unbroken organic compounds (Engling et al., 2006). Smoldering combustion generally leads to increased emissions of volatile organic compounds (VOCs) and particulate organic matter (OM) (Obrist et al., 2007). In contrast, the relatively lower optimum ratio of OC/levoglucosan during spring might be due to the higher combustion efficiency during flaming phenomena.”

A reference has been added in the reference section.

“Obrist, D., Moosmüller, H., Schürmann, R., Chen, L. W. A., and Kreidenweis, S. M. Particulate-phase and gaseous elemental mercury emissions during biomass combustion: controlling factors and correlation with particulate matter emissions. *Environ. Sci. Technol.*, 42, 721-727, <https://doi.org/10.1021/es071279n>, 2007.”

*Does the optimal OC/Levoglucosan ratio make sense in terms what would be expected based on the main fuel used in Ulaanbaatar?*

Reply: Thank you for the reviewer’s comment. The estimation of OC<sub>BB</sub> in this study is relevant to the main fuel used in Ulaanbaatar. Majority of Ulaanbaatar’s population lives in a Ger (traditional dwelling) and each Ger family burns an average of 3 m<sup>3</sup> of wood per year (~6 tons/year) (Guttikunda, 2008). The common tree species in Mongolia are larch, pine, cedar, spruce, birch these are mostly softwood (<http://www.fao.org/3/w8302e/w8302e05.htm>; <http://www.fao.org/3/a-am616e.pdf>, excess date 17-12-2019). This showed that the softwood burning is one of the major sources in Ulaanbaatar for heating home and cooking food.

Following sentences have been added in lines 82-88 in the revised MS.

“A half of residents in Ulaanbaatar lives in 160,000 Gers (traditional Mongolian dwelling) (Guttikunda and Jawahar, 2014). Biomass is used as fuel for cooking and heating in many of low-income Gers at Ulaanbaatar. The common tree species in Mongolia are larch, pine, cedar, spruce, birch these are mostly softwood (<http://www.fao.org/3/w8302e/w8302e05.htm>; <http://www.fao.org/3/a-am616e.pdf>, excess date 17-12-2019). Each Ger burns an average of 3 m<sup>3</sup> of wood per year (Guttikunda, 2008; Zhamsueva et al., 2018).”

*What about if the source of biomass burning changed over time during the sampling period, and therefore presumably the ambient OC/Levoglucosan?*

Reply: Thank you for the comment. Based on scatter plot analysis between levoglucosan/mannosan and levoglucosan/K<sup>+</sup> ratios shown in Fig. 10 in the revised MS, it was found that softwood was the major type of biomass burning during winter and spring. However, OC/levoglucosan ratio of softwood burning can vary depending on burning type such as smoldering or flaming. Thus, we determined optimum OC/levoglucosan ratio during winter and spring.

*One potential pitfall in this approach not discussed would be if some of the non-BB sources of OC had similar temporal trends to biomass burning emissions, which would mean that they would also be high when the levoglucosan was high, thus affecting the correlation analysis. For example, coal burning was noted by the authors to be a source of OC, yet I could imagine that during cold periods power station emissions would be also be high at the same time as wood burning due to the heating load.*

Reply: To follow the reviewer comments we analysed potential source direction of OC using Conditional Probability Function (CPF). The thermal power plants are situated west side to the study site. Yes, reviewer is rightly pointing out that coal burning is also source of OC. CPF analysis suggested that the potential source direction is west for both OC and levoglucosan but with low wind speed ( $\sim \leq 2$  m/s). Therefore, power plant emission (potential source for OC<sub>non-BB</sub>) may not influencing the concentration of OC. So, OC concentration mainly influenced by the nearby residential biomass emissions. Therefore, OC<sub>BB</sub> concentration estimated by optimised OC/Levoglucosan ratio was not affected by coal burning in thermal power plant. Further, potential source direction of levoglucosan, K<sup>+</sup> and OC was similar suggested by CPF analysis. The correlation of levoglucosan and K<sup>+</sup> with OC during winter ( $R^2=0.78$  and  $0.79$ , respectively) and spring ( $R^2=0.86$  and  $0.73$ , respectively) was strong which suggested the tight association of OC with biomass burning. This supported the preciseness of this novel approach for estimating the OC<sub>BB</sub> at Ulaanbaatar.

Following sentences have been added in lines 155-166 in the revised MS.

### “2.3. Conditional Probability Function

The Conditional Probability Function (CPF) calculates the probability that a source is located within a particular wind direction sector,  $\Delta\Theta$ :

$$CPF = \frac{m_{\Delta\Theta}}{n_{\Delta\Theta}}$$

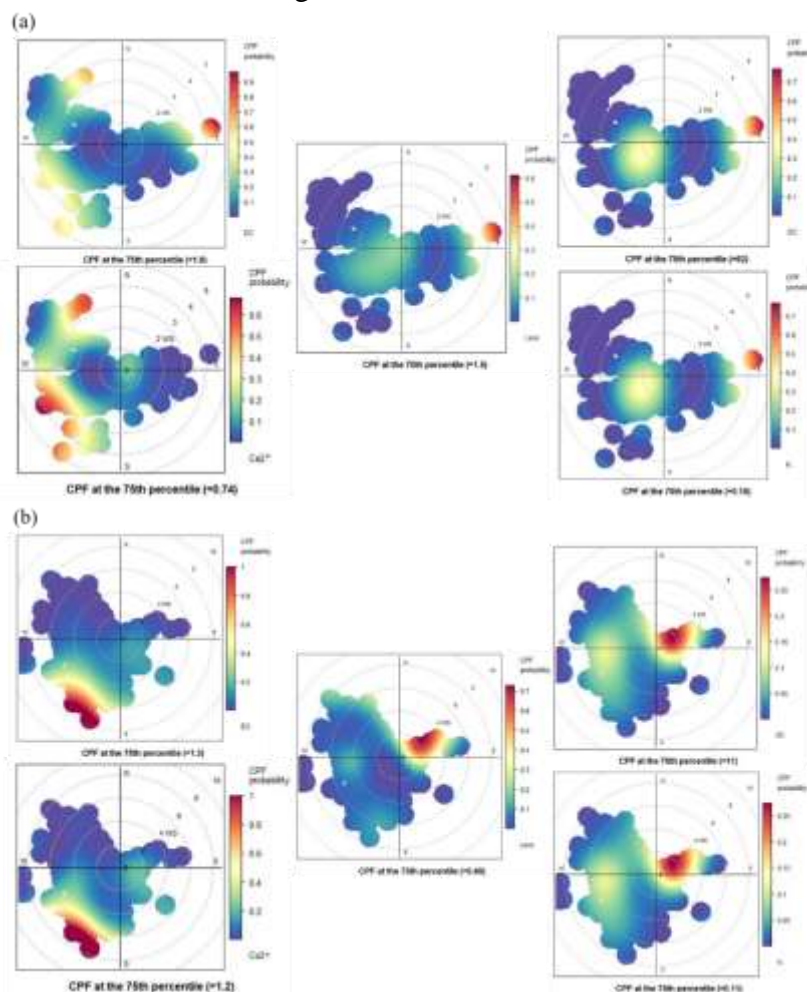
where  $n_{\Delta\Theta}$  is the number of times that the wind passed through direction sector  $\Delta\Theta$ , and  $m_{\Delta\Theta}$  is the number of times that the source contribution peaked while the wind passed through sector  $\Delta\Theta$  (Ashbaugh et al., 1985). To use CPF with the Ulaanbaatar data, the 24 h

averaged source contribution data have been applied to all 1 h wind direction averages recorded at the site for each date. The angular interval  $\Delta\Theta$  was set at  $10^\circ$ . To calculate  $m_{\Delta\Theta}$ , the 75<sup>th</sup> percentile of source contribution concentrations were counted. CPF is useful in determining the direction of a source from a receptor site; however, it cannot determine the actual location of the source.”

Following sentences have been added in lines 203-209 in the revised MS.

“The potential source direction of EC during winter and spring was west as shown in Fig. 5 that can be explained by the influence of emission from thermal power plants. Correlation of EC was strong with  $\text{Ca}^{2+}$  during spring as shown in Fig. 4. CPF analysis suggested that potential source direction of EC and  $\text{Ca}^{2+}$  was similar (Fig. 5). High abundances of  $\text{Ca}^{2+}$  and EC is observed from stack emission of coal fired thermal power plant (Pei et al., 2016; Zhang et al., 2015). Thus, EC and  $\text{Ca}^{2+}$  in Ulaanbaatar might be strongly related to emission from thermal power plants.”

Following figure has been added in Fig. 5.



Two references have been added in the reference section.

“Ashbaugh, L.L., Malm, W.C., Sadeh, W.Z.: A residence time probability analysis of sulfur concentrations at Grand Canyon National Park, Atmos. Environ., 19(8), 1263–1270, [https://doi.org/10.1016/0004-6981\(85\)90256-2](https://doi.org/10.1016/0004-6981(85)90256-2), 1985.

Pei, B., Wang, X., Zhang, Y., Hu, M., Sun, Y., Deng, J., Dong, L., Fu, Q. and Yan N.: Emissions and source profiles of  $\text{PM}_{2.5}$  for coal-fired boilers in the Shanghai megacity, China, Atmos. Pollut. Res. 7, 577-584, <https://doi.org/10.1016/j.apr.2016.01.005>, 2016.”

*The uncertainties associated with this approach to determining the optimal ratio should be discussed in detail.*

Reply: Thank you for the comment. There is no uncertainty is associated with optimised ratio. In this approach, we screened optimised OC/levoglucosan ratio individually for winter and spring from various ratios reported in BB chamber experiments using regression analysis. The details about the approach is given in section 3.5. However, a large amount of uncertainty associated with OC/levoglucosan ratio for biomass fuel due to different kinds (hard, softwood, crop etc.), burning place (open or inside stove), burning condition (mouldering or flaming) etc. Even sometime same wood type (e.g. softwood) has different OC/levoglucosan ratio might be due to the causes mentioned above (line number 383-388). Therefore, it is important to select a suitable OC/levoglucosan ratio for any of the study site for estimating appropriate concentration of OC<sub>BB</sub>. This study provides us a novel approach to select the suitable OC/levoglucosan ratio for different study site for understanding the impact of BB in OC fraction.

Please see section 3.4 and 3.5 in the revised MS.

Minor comments

*Abstract: The authors could be more explicit that the optimal OC/Levoglucosan ratio determined is specific to Ulaanbaatar, and that it is method for determining it is applicable for other studies.*

Reply: The developed approach can be applicable to any study site for screening the appropriate OC/levoglucosan ratio for estimating OC<sub>BB</sub> contribution to ambient PM. To do so, the regression analysis is required between OC<sub>non-BB</sub> [(OC at any study site)-(levoglucosan at any study site\*OC/levoglucosan obtained from chamber experiments)] and levoglucosan concentration at any study site. The ratio, which give the lowest value of coefficient of determinant (R<sup>2</sup>) and slope, could use as optimised ratio of OC/levoglucosan. This optimised ratio can be applied for estimating OC<sub>BB</sub> for at any study site.

By the following of reviewer's comments, we have clearly explained the approach and applicability for the other study site.

Following sentence has been added in lines 26-29 in the revised MS.

*“The optimum OC/levoglucosan ratio in Ulaanbaatar was obtained by regression analysis between OC<sub>non-BB</sub> (OC<sub>total</sub>–OC<sub>BB</sub>) and levoglucosan concentrations that gives the lowest coefficient of determination (R<sup>2</sup>) and slope.”*

Following sentence has been added in lines 32-33 in the revised MS.

*“This novel approach can also be applied to other study site to quantify OC<sub>BB</sub> using their own chemical measurements.”*

*Page 6, line 98: I presume that you mean it is difficult to determine the most suitable OC/levoglucosan ratio of BB emissions for ambient measurements?*

Reply: Our intension is not saying like that. The chosen word “difficult” is creating inappropriate meaning.

The sentence in line 98 in the original MS has been modified as follows.

Please see lines 102-103 in the revised MS.

*“Therefore, it is required to determine the most suitable OC/levoglucosan ratio of BB emissions for estimating appropriate concentration of OC<sub>BB</sub>.”*

*Page 7, line 111: do these thermal power plants burn biomass? If so, emissions from these plants could have affected the results.*



Reply: Thank for reviewer's comments. No, they only used coal in thermal power plants. Ulaanbaatar has three coal fired thermal power plants (Chung and Chon, 2014).

Following sentence has been added in lines 114-115 in the revised MS.

“The sampling site was located at 8 km–10 km far from two coal based thermal power plants to the west (Chung and Chon, 2014).”

A reference has been added in the reference section.

“Chung, S. and Chon, H. T.: Assessment of the level of mercury contamination from some anthropogenic sources in Ulaanbaatar, Mongolia, *J. Geochem. Explor.*, 147, 237–244, <https://doi.org/10.1016/j.gexplo.2014.07.016>, 2014.”

*Section 3.1: This could perhaps be broken down into a few subsections to help the reader find relevant sections. For example, the PCA analysis could be one sub section.*

Reply: As per the reviewer's comments, PCA analysis has been discussed in separate sub section as 3.2 in RMS.

Following sentences have been added in lines 242-258 in the revised MS.

### “3.2 Principal Component Analysis

Principal component analysis (PCA) is a useful tool for reducing the dimensionality of large aerosol datasets to principal components using varimax rotation for source identification (Cao et al., 2005; Lin et al., 2018; Nirmalkar et al., 2019). Four principal components (PCs) in winter and three in spring were identified with eigenvalues >1 after Varimax rotation explaining 96% and 92%, respectively, of the total variance (Tables 2 and 3). The PCs were categorized on the basis of loadings of chemical components as follows. In winter, PC1 includes BB characterized by high loadings of levoglucosan, mannosan, and galactosan; PC2 includes dust characterized by  $\text{Ca}^{2+}$  and  $\text{Mg}^{2+}$  content; PC3 includes secondary formation characterized by  $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$ , and  $\text{NH}_4^+$  content; and PC4 includes fossil fuel combustion characterized by EC. In spring, PC1 includes BB (levoglucosan, mannosan, and galactosan); PC2 includes dust ( $\text{Ca}^{2+}$  and  $\text{Mg}^{2+}$ ) and fossil fuel combustion (EC); and PC3 includes secondary formation ( $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$ , and  $\text{NH}_4^+$ ). The PCA results showed that the chemical components of  $\text{PM}_{2.5}$  in Ulaanbaatar were mainly affected by BB during winter and spring. Further, OC was primarily influenced by BB because it correlated well with the total variance of PC1 during winter (0.82; Table 2) and spring (0.77; Table 3).”

*Page 8, line 153: Are these the average contributions of OC to the total chemical species? It would also be good to give an indication of the variability, perhaps by showing the standard deviation.*

Reply: Thank you very much for the reviewer's suggestion. Yes, this is, OC contributed  $64 \pm 5.1\%$  and  $56 \pm 6.0\%$  of the quantified aerosol components in  $\text{PM}_{2.5}$  in winter and spring, respectively. As per the reviewer's suggestion standard deviation is added accordingly.

Please see lines 186-187 in the revised MS.

“OC contributed  $64 \pm 5.1\%$  and  $56 \pm 6.0\%$  of the quantified aerosol components in  $\text{PM}_{2.5}$  in winter and spring, respectively (Table 1).”

*Page 9, line 160-3: The statement that during spring the OC increased with temperature due to SVOC volatilization appears to contradict the earlier statement that high concentrations in the winter due to increased condensation of SVOC at low temperature?*

*Why would SVOC volatilization account for the relationship of OC with temperature?*

*Could it maybe be more related to increased biogenic emissions?*

Reply: Thank you for the comment. The original statement regarding SVOC during spring in lines 160-163 in the original MS has been deleted.

*Page 9, line 179-81: I am not sure I follow the explanation for the relationship between temperature and EC. What is the source/mechanism that would explain the relationship between temperature and re-suspension of soil?*

Reply: We thank for the reviewer's comment. The association of temperature and EC was strong during spring. But did not find any explanation for source and mechanism based on temperature. Therefore, to follow the reviewer's comments we removed this line from RMS and modified Fig. 4. Now we interpreted the EC concentration by Conditional Probability Function (CPF) analysis as reviewer's suggested (Fig. 5).

We have rewritten this phrase in lines 203-209 in the revised MS as follows.

“The potential source direction of EC during winter and spring was west as shown in Fig. 5 that can be explained by the influence of emission from thermal power plants. Correlation of EC was strong with Ca<sup>2+</sup> during spring as shown in Fig. 4. CPF analysis suggested that potential source direction of EC and Ca<sup>2+</sup> was similar (Fig. 5). High abundances of Ca<sup>2+</sup> and EC is observed from stack emission of coal fired thermal power plant (Pei et al., 2016; Zhang et al., 2015). Thus, EC and Ca<sup>2+</sup> in Ulaanbaatar might be strongly related to emission from thermal power plants.”

Details of CPF analysis has been also added in lines 156-166 in the revise MS.

### “2.3 Conditional Probability Function

The Conditional Probability Function (CPF) calculates the probability that a source is located within a particular wind direction sector,  $\Delta\theta$ :

$$CPF = \frac{m_{\Delta\theta}}{n_{\Delta\theta}}$$

where  $n_{\Delta\theta}$  is the number of times that the wind passed through direction sector  $\Delta\theta$ , and  $m_{\Delta\theta}$  is the number of times that the source contribution peaked while the wind passed through sector  $\Delta\theta$  (Ashbaugh et al., 1985). To use CPF with the Ulaanbaatar data, the 24 h averaged source contribution data have been applied to all 1 h wind direction averages recorded at the site for each date. The angular interval  $\Delta\theta$  was set at 10°. To calculate  $m_{\Delta\theta}$ , the 75th percentile of source contribution concentrations were counted. CPF is useful in determining the direction of a source from a receptor site; however, it cannot determine the actual location of the source.”

Modified Fig. 4 has been modified in Revised MS.

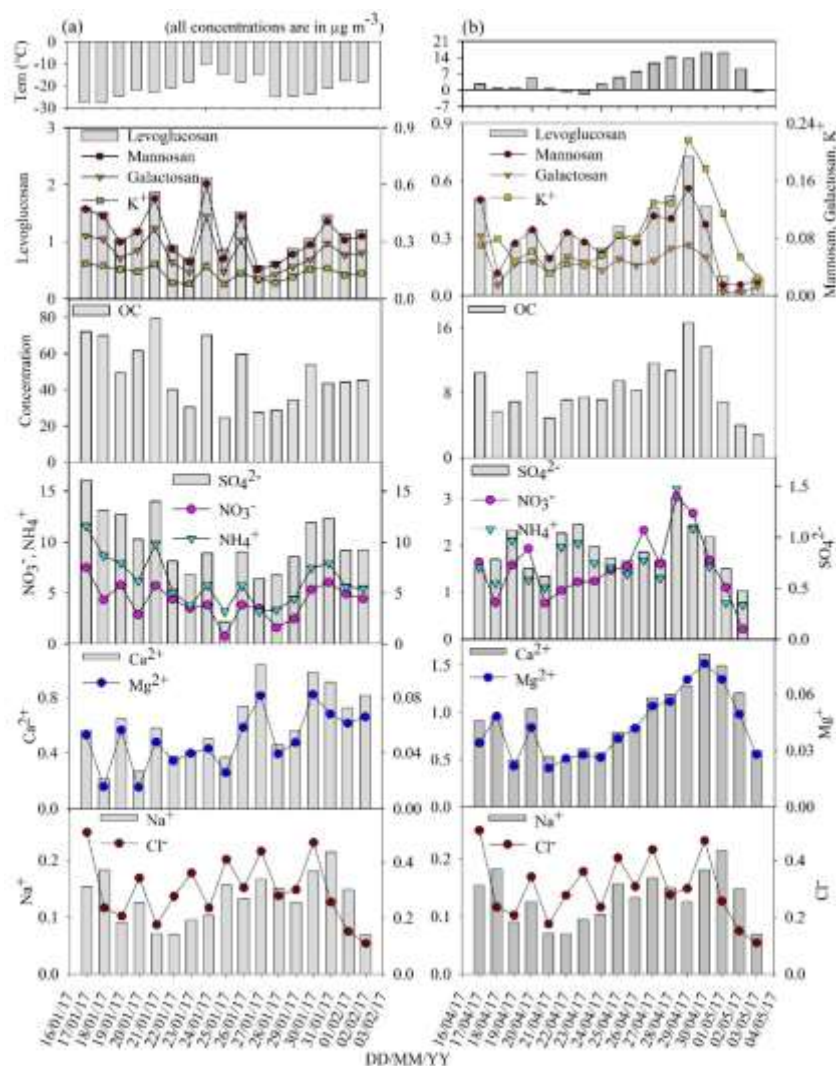
Two references have been added in the reference section in the revised MS.

“Ashbaugh, L., Malm, W. and Sadeh, W. : A Residence Time Probability Analysis of Sulfur Concentrations at Ground Canyon National Park. *Atmos. Environ.*, 19(8): 1263–1270, 1985.”

Pei, B., Wang, X., Zhang, Y., Hu, M., Sun, Y., Deng, J., Dong, L., Fu, Q. and Yan N.: Emissions and source profiles of PM<sub>2.5</sub> for coal-fired boilers in the Shanghai megacity, China, *Atmos. Pollut. Res.* 7, 577-584, <https://doi.org/10.1016/j.apr.2016.01.005>, 2016.”

*Page 10, line 183: A time series plot of these tracers with temperature would help the arguments in this paragraph*

Reply: As per the reviewer suggestion time series of temperature has been added to Fig. 2. Following figure has been added in Fig. 2.



Page10, line 201-203: As power stations are large point sources, the authors could do some wind sector analysis (e.g. polar plots, concentrations as function of wind speed and direction) to test this hypothesis. This could also help to see if any of the OC and EC was also from power stations. In addition,  $\text{Ca}^{2+}$  has also been associated with coal station emissions (see Pei et al 2016, <https://doi.org/10.1016/j.apr.2016.01.005>) and may explain the association of EC and Ca from earlier. The authors need to consider the emissions from power stations more closely in order to be confident in the OCbb apportionment later in the paper.

Reply: Thank to reviewer's suggestion for polar plots analysis for investigating potential source directional. As per the reviewer's suggestion we have incorporated the Conditional Probability Function (CPF) analysis for investigating potential source direction of EC and  $\text{Ca}^{2+}$  (Fig. 5). Based on CPF analysis during winter and spring seasons, levoglucosan, OC and  $\text{K}^+$  (Fig. 5), their potential source direction are similar, mostly from west direction with low speed (~2 m/s).

Following sentences have been added in lines 203-209 in the revised MS.

“The potential source direction of EC during winter and spring was west as shown in Fig. 5 that can be explained by the influence of emission from thermal power plants. Correlation of

EC was strong with  $\text{Ca}^{2+}$  during spring as shown in Fig. 4. CPF analysis suggested that potential source direction of EC and  $\text{Ca}^{2+}$  was similar (Fig. 5). High abundances of  $\text{Ca}^{2+}$  and EC is observed from stack emission of coal fired thermal power plant (Pei et al., 2016; Zhang et al., 2015). Thus, EC and  $\text{Ca}^{2+}$  in Ulaanbaatar might be strongly related to emission from thermal power plants.”

Following figure has been added in Fig. 5.  
Please find Fig. 5 in previous response

A reference has been added in the reference section in the revised MS.

“Pei, B., Wang, X., Zhang, Y., Hu, M., Sun, Y., Deng, J., Dong, L., Fu, Q. and Yan N.: Emissions and source profiles of  $\text{PM}_{2.5}$  for coal-fired boilers in the Shanghai megacity, China, *Atmos. Pollut. Res.* 7, 577-584, <https://doi.org/10.1016/j.apr.2016.01.005>, 2016.”

*Page 11, line 212: Since there was a large regional source of BB on these days, where they removed/accounted for in subsequent optimization of OC/Levogluconan? Local and regional sources are known to have different ratios, and therefore will affect the analysis.*

Reply: We thank to reviewer for the comment. However, wood is one of major fuel used for domestic purposes in Ulaanbaatar as shown by the high concentration of levoglucosan. Therefore, we assume that there is no significant change in the ratio of OC/levoglucosan from local wood burning or wood burning during forest fire. Further, we have also rechecked the OC/Levogluconan ratio by excluding the data points (27-04-17 to 30-04-17), we did not find any significant change in optimised ratio of OC/levoglucosan.

*Page 11, line 214: The details of how the PCA analysis was performed need to be included, perhaps in the method section*

Reply: As per the reviewer’s suggestion we have added details about PCA analysis method section in section 2.4.

Following sentences have been added in 168-180 in the revised MS.

“2.4 Principal component analysis

In order to identify the source groupings of chemical species in  $\text{PM}_{2.5}$ , principal component analysis (PCA) was applied. PCA is done using a commercially available software package (SPSS, version 10.0). PCA applies projection dimension reduction methods, converting several concentrations sets into significant sets of columns (principal components, PC) without damaging the original data. PCA is a widely used statistical technique to quantitatively identify a small number of independent factors among the species concentrations, which can explain the variance of the data, by using the eigenvector decomposition of a matrix of pair-wise correlations. PCA with varimax rotation and retention of principal components having eigenvalues  $>1.0$  was used to identify major species associated with different sources. It was widely used for identification of pollution sources in the atmosphere (Fang et al., 2003, Nirmalkar et al., 2015).”

*Page 11, line 223: Were there any other reasons for choosing vehicles as the source of PC4 as there were other sources of EC as well (e.g. biomass burning). Furthermore, I am surprised that if biomass burning was such a strong source that EC did not come out in the same PC as the BB tracers. Perhaps the authors could comment on this. I am also curious as to why there was not a vehicle source found in spring, I would have thought that vehicle*

*source would be consistent across both seasons. Why would there be a combined SIA and vehicles source in spring?*

Reply: Thank for the comment. Fine size EC is potentially associated with the traffic emission as suggested by previous study (Lonati et al., 2007; Zhang et al., 2005), thus we chosen PC4 as traffic in original MS. The reason for not chosen EC from biomass is due its weak correlation with levoglucosan during winter and spring. The correlation of EC with levoglucosan and OC was weak during winter ( $R^2 = 0.07$  and  $R^2 = 0.05$ , respectively) and spring ( $R^2 = 0.21$  and  $R^2 = 0.04$ , respectively) these might be due to their different sources or processing in air.

However, fine mode EC may be associated with various sources including vehicle emission, coal combustion and fine dust (Tao et al., 2013). Further, reviewer also suggested that there might be other sources for EC. By following the another reviewer, we analysed potential source direction of EC using polar plot (conditional probability function, CPF). CPF results indicated that potential source direction of EC was west during winter and spring. This might be suggested due to influenced of stack emission from thermal power plants situated in west direction to study site. In reviewer comment (page 10, line number 201-203 in original manuscript) also supported EC derived from coal burning. We found some study reported EC from both motor vehicle and coal combustion (Lonati et al., 2007; Song et al., 2007; Tao et al., 2013). Therefore, we have now replaced vehicular source from fossil fuel combustion in PC2 in Table 2 and PC3 in Table 3 in revised manuscript.

It should be noted that at this site in spring, the correlation between OC and EC was poor while good correlation between OC and  $K^+$  ( $R^2 = 0.73$  and  $0.79$ ) was observed in both seasons. These findings indicated that OC was significantly influenced by biomass burning, while the EC might be mostly from primary coal combustion.

The potential cause of association of EC with secondary inorganic anion (SIA) during spring might be due similar sources. In spring, EC and  $Ca^{2+}$  have strong correlation with the total variance of PC2 (Table 3). The potential source direction for both EC and  $Ca^{2+}$  was west which might be due to the influence of stalk emission from thermal power plant (Fig. 5). Pei et al., 2011 observed emission of EC and  $Ca^{2+}$  from coal combustion.

Following sentences have been added in 203-209 in the revised MS.

The potential source direction of EC during winter and spring was west as shown in Fig. 5 that can be explained by the influence of emission from thermal power plants. Correlation of EC was strong with  $Ca^{2+}$  during spring as shown in Fig. 4. CPF analysis suggested that potential source direction of EC and  $Ca^{2+}$  was similar (Fig. 5). High abundances of  $Ca^{2+}$  and EC is observed from stack emission of coal fired thermal power plant (Pei et al., 2016; Zhang et al., 2015). Thus, EC and  $Ca^{2+}$  in Ulaanbaatar might be strongly related to emission from thermal power plants.

Following sentences have been added in 249-254 in the revised MS.

In winter, PC1 includes BB characterized by high loadings of levoglucosan, mannosan, and galactosan; PC2 includes dust characterized by  $Ca^{2+}$  and  $Mg^{2+}$  content; PC3 includes secondary formation characterized by  $SO_4^{2-}$ ,  $NO_3^-$ , and  $NH_4^+$  content; and PC4 includes fossil fuel combustion characterized by EC. In spring, PC1 includes BB (levoglucosan, mannosan, and galactosan); PC2 includes dust ( $Ca^{2+}$  and  $Mg^{2+}$ ) and fossil fuel combustion (EC); and PC3 includes secondary formation ( $SO_4^{2-}$ ,  $NO_3^-$ , and  $NH_4^+$ ).

Lonati, G., Ozgen, S., & Giugliano, M. (2007). Primary and secondary carbonaceous species in  $PM_{2.5}$  samples in Milan (Italy). *Atmospheric Environment*, 41(22), 4599-4610.

- Song, Y., Tang, X., Xie, S., Zhang, Y., Wei, Y., Zhang, M., Zeng, L. and Lu, S., 2007. Source apportionment of PM<sub>2.5</sub> in Beijing in 2004. *Journal of hazardous materials*, 146(1-2), pp.124-130.
- Zhang, F., Wang, Z. W., Cheng, H. R., Lv, X. P., Gong, W., Wang, X. M., & Zhang, G. (2015). Seasonal variations and chemical characteristics of PM<sub>2.5</sub> in Wuhan, central China. *Science of the Total Environment*, 518, 97-105.
- Tao, J., Zhang, L., Engling, G., Zhang, R., Yang, Y., Cao, J., Zhu, C., Wang, Q. and Luo, L., 2013. Chemical composition of PM<sub>2.5</sub> in an urban environment in Chengdu, China: Importance of springtime dust storms and biomass burning. *Atmospheric Research*, 122, pp.270-283.
- Pei, Bing, Xiaoliang Wang, Yihua Zhang, Ming Hu, Yanjing Sun, Ji Deng, Li Dong, Qingyan Fu, and Naiqiang Yan. "Emissions and source profiles of PM<sub>2.5</sub> for coal-fired boilers in the Shanghai megacity, China." *Atmospheric Pollution Research* 7, no. 4 (2016): 577-584.

*Page 11, line 229: Do the authors have any ideas why K<sup>+</sup> was associated with biomass burning in the winter but not in the spring? Was there a source change?*

Reply: We appreciated the reviewer for the comment. We apologised for the inappropriate sentences used here regarding the K<sup>+</sup> during spring. We are not saying that K<sup>+</sup> was not associated with biomass burning during spring. We highlighted that K<sup>+</sup> is emitted from biomass in winter and spring. The sentences have been re-phrased in MS for better clarity and some sentences have been deleted which are not relevant to the scope of the manuscript.

Following sentences have been added in 255-258 in the revised MS.

The PCA results showed that the chemical components of PM<sub>2.5</sub> in Ulaanbaatar were mainly affected by BB during winter and spring. Further, OC was primarily influenced by BB because it correlated well with the total variance of PC1 during winter (0.82; Table 2) and spring (0.77; Table 3).

Following sentences have been added in 273-281 in the revised MS.

“However, the correlation between levoglucosan and K<sup>+</sup> was weak in spring ( $R^2 = 0.49$ ; Fig. 8b). Because K<sup>+</sup> is typically emitted at a higher mass fraction in flaming phase combustion compared to smoldering (Lee et al., 2010), smoldering combustion tended to have higher levoglucosan/K<sup>+</sup> emission ratio compared to flaming combustion (Schkolnik et al., 2005; Gao et al., 2003). High levoglucosan/K<sup>+</sup> ratio was observed during winter (8.92) compared to spring (4.21) in this site. Thus, weak correlation between levoglucosan and K<sup>+</sup> concentrations at Ulaanbaatar in spring can be explained by mixed burning condition such as smoldering and flaming.”

Four new references have been added in MS.

- Schkolnik, G., Falkovich, A. H., Rudich, Y., Maenhaut, W., and Artaxo, P.: New analytical method for the determination of levoglucosan, polyhydroxy compounds, and 2-methylerythritol and its application to smoke and rainwater samples, *Environ. Sci. Technol.* 39, 2744-2752, <https://doi.org/10.1021/es048363c>, 2005.
- Gao, S., Hegg D. A., Hobbs P. V., Kirchstetter T. W., Magi B. I., and Sadilek M.: Water-soluble organic components in aerosols associated with savanna fires in southern Africa: Identification, evolution, and distribution, *J. Geophys. Res.*, 108(D13), 8491, doi:10.1029/2002JD002324, 2003.

Lee, T., Sullivan, A. P., Mack, L., Jimenez, J. L., Kreidenweis, S. M., Onasch, T. B., Worsnop, D. R., Malm, W., Wold, C. E., Hao, W. M., and Collett Jr, J. L.: Chemical smoke marker emissions during flaming and smoldering phases of laboratory open burning of wildland fuels, *Aerosol Sci. Technol.*, 44, i-v, <https://doi.org/10.1080/02786826.2010.499884>, 2010.

*Page 12. Line 243: It would be good to show the intercept as percentage of the total OC.*

Reply: As per the reviewer's comments. Because intercept of regression line of OC vs levoglucosan represent the OC, which is not related to biomass burning (OC<sub>non-BB</sub>). We have already discussed about OC<sub>non-BB</sub> in section 3.6. Thus, we have deleted this line 243 from original manuscript.

Please see section 3.6

*Page13, line 238: You state here that the correlation between OC and K<sup>+</sup> indicates that biomass burning was a major source but in the previous paragraph you state that K<sup>+</sup> is coming from soil re-suspension in spring? Please clarify.*

Reply: Thank you for the reviewer's comment. We apologised for the conflicting sentences. We are not saying like that K<sup>+</sup> was not associated with biomass burning during spring. We highlighted that K<sup>+</sup> is emitted from biomass in winter and spring. Now conflicting sentences have been re-written in both the paragraphs as per the comment for clarity in the explanation.

Following sentences have been added in 255-258 in the revised MS.

The PCA results showed that the chemical components of PM<sub>2.5</sub> in Ulaanbaatar were mainly affected by BB during winter and spring. Further, OC was primarily influenced by BB because it correlated well with the total variance of PC1 during winter (0.82; Table 2) and spring (0.77; Table 3).

Following sentences have been added in 273-281 in the revised MS.

“However, the correlation between levoglucosan and K<sup>+</sup> was weak in spring ( $R^2 = 0.49$ ; Fig. 8b). Because K<sup>+</sup> is typically emitted at a higher mass fraction in flaming phase combustion compared to smoldering (Lee et al., 2010), smoldering combustion tended to have higher levoglucosan/K<sup>+</sup> emission ratio compared to flaming combustion (Schkolnik et al., 2005; Gao et al., 2003). High levoglucosan/K<sup>+</sup> ratio was observed during winter (8.92) compared to spring (4.21) in this site. Thus, weak correlation between levoglucosan and K<sup>+</sup> concentrations at Ulaanbaatar in spring can be explained by mixed burning condition such as smoldering and flaming.”

Four new references have been added in MS.

Schkolnik, G., Falkovich, A. H., Rudich, Y., Maenhaut, W., and Artaxo, P.: New analytical method for the determination of levoglucosan, polyhydroxy compounds, and 2-methylerythritol and its application to smoke and rainwater samples, *Environ. Sci. Technol.* 39, 2744-2752, <https://doi.org/10.1021/es048363c>, 2005.

Gao, S., Hegg D. A., Hobbs P. V., Kirchstetter T. W., Magi B. I., and Sadilek M.: Water-soluble organic components in aerosols associated with savanna fires in southern Africa: Identification, evolution, and distribution, *J. Geophys. Res.*, 108(D13), 8491, doi:10.1029/2002JD002324, 2003.

Lee, T., Sullivan, A. P., Mack, L., Jimenez, J. L., Kreidenweis, S. M., Onasch, T. B., Worsnop, D. R., Malm, W., Wold, C. E., Hao, W. M., and Collett Jr, J. L.: Chemical

smoke marker emissions during flaming and smoldering phases of laboratory open burning of wildland fuels, *Aerosol Sci. Technol.*, 44, i-v, <https://doi.org/10.1080/02786826.2010.499884>, 2010.

*Page 12, line 267: If the excess of K<sup>+</sup> during winter was due to biomass burning for cooking, do you the same in the relationship or similar value for the intercept in the spring? I am assuming that cooking is also happening in spring and not just winter?*

Reply: Thank you for the comment. Because R<sup>2</sup> of regression line of K<sup>+</sup> versus OC is moderate (0.79 and 0.73), intercepts of the regression line may have high uncertainty. Thus, we decided to delete the discussion regarding the intercept in the original MS.

*Page 14, line 284: it would be good here to give the actual ratios for these different sources from the literature to show how much overlap there is*

Reply: As per the reviewer suggestion actual ratios has been provided in revised manuscript.

Please see line number 305-309 in the revised MS.

“However, the levoglucosan/mannosan ratio can't distinguish crop residuals (29 ± 15) (Sheesley et al., 2003, Sullivan et al., 2008, Engling et al., 2009, Oanh et al., 2011) and hardwood (28 ± 28) (Fine et al. 2001, 2002, 2004a, b; Engling et al., 2006; Schmidl et al., 2008; Bari et al., 2009; Goncalves et al., 2010) due to the overlap of ratios between these fuel types (Cheng et al., 2013; Fine et al. 2001, 2002, 2004a, b; Engling et al., 2006).”

*Page 15, line 308: Is the result that the levoglucosan/mannosan ratio is consistent with softwood expected based on people activity in Ulaanbaatar? That is do people mostly burn softwood at home for heating? Earlier you have stated that coal is mainly burnt for cooking, so it appears that it may not.*

Reply: Thank you for the comment. Ulaanbaatar Gers mostly used wood for heating and cooking purposes. The common tree species in Mongolia are larch, pine, cedar, spruce, birch these are mostly softwood (<http://www.fao.org/3/w8302e/w8302e05.htm>; <http://www.fao.org/3/a-am616e.pdf>, excess date 17-12-2019). As the concentration of levoglucosan was high during winter and significant during spring suggested that wood burning might be one of the major sources in Ulaanbaatar. A total numbers Gers (tradition dwellings) of Ulaanbaatar city consumed ~480, 000 m<sup>3</sup> per year of wood (160, 000 Gers\*each Ger consumed 3 m<sup>3</sup> of wood per year) (Guttikunda, 2008; Zhamsueva et al., 2018). In Ulaanbaatar, we identified softwood as major fuel type by regression plot between levoglucosan/mannosan and levoglucosan/K<sup>+</sup> ratio.

Now based on the above explanation we have rewritten the sentences and incorporated in RMS.

Please see line number 82-88 in the revised MS.

“A half of residents in Ulaanbaatar lives in 160,000 Gers (traditional Mongolian dwelling) (Guttikunda and Jawahar, 2014). Biomass is used as fuel for cooking and heating in many of low-income Gers at Ulaanbaatar. The common tree species in Mongolia are larch, pine, cedar, spruce, birch these are mostly softwood (<http://www.fao.org/3/w8302e/w8302e05.htm>; <http://www.fao.org/3/a-am616e.pdf>, excess date 17-12-2019). Each Ger burns an average of 3 m<sup>3</sup> of wood per year (Guttikunda, 2008; Zhamsueva et al., 2018).”

A referene has been added in the reference section in the revised MS.

“Zhamsueva, G. S., Zayakhanov, A. S., Starikov, A. V., Balzhanov, T. S., Tsydypov, V. V., Dementyeva, A. L., and Khodzher, T. V.: Investigation of chemical composition of



atmospheric aerosol in Ulaanbaatar during 2005–2014. *Geography and Natural* 39, 270–276, 10.1134/S1875372818030113, 2018.”

*Page 17, line 355: What is uncertainty associated the derived optimal OC/levoglucosan for winter and spring?*

Reply: Thank you for the comment. We did not determine uncertainty associated with optimised ratio in this study. In this approach, we screened optimised OC/levoglucosan ratio individually for winter and spring from various ratios reported in BB chamber experiments using regression analysis. The details about the approach is given in section 3.5. However, a large amount of uncertainty associated with OC/levoglucosan ratio for biomass fuel due to different kinds (hard, softwood, crop etc.), burning place (open or inside stove), burning condition (mouldering or flaming) etc. Even sometime same wood type (e.g. softwood) has different OC/levoglucosan ratio might be due to the causes mentioned above (line number 383-388). Therefore, it is important to select a suitable OC/levoglucosan ratio for any of the study site for estimating appropriate concentration of OC<sub>BB</sub>. This study provides us a novel approach to select the suitable OC/levoglucosan ratio for different study site for understanding the impact of BB in OC fraction.

Please see section 3.4 and 3.5, line number 358-360, 403-415

*Page 17, line 357: How do the optimized ratio of 27.6 and 18 compare to the literature for sources. Earlier you stated that levoglucosan/mannosan ratio was consistent with softwood combustion, so are these OC/levoglucosan ratios also consistent for softwood combustion?*

Reply: Yes, both levoglucosan/mannosan and OC/levoglucosan ratios are consistent with softwood burning. In this approach firstly, we have determined softwood as a kind of fuel used in Ulaanbaatar by comparing the ratios of levoglucosan/mannosan and levoglucosan/K<sup>+</sup> with these ratios reported in chamber experiments (section 3.5). The average levoglucosan/mannosan ratio was within the ranges reported for softwood burning sources. Thus, we identified softwood as major biomass in this study site. In the previous chamber experiment, OC/levoglucosan ratio of softwood were highly variable as shown in Fig. 11 mainly due to different burning conditions. Thus, we determined optimised OC/levoglucosan at the Ulaanbaatar during winter and spring for accurate quantification of OC from biomass burning (OC<sub>BB</sub>).

## Reply to Comments of Anonymous Referee # 2

*The manuscript is well-written; it is apparently the first study for Mongolia in which use was made of levoglucosan to assess the impact from biomass burning on the PM<sub>2.5</sub> aerosol and the authors made a noteworthy attempt to obtain the optimum OC/levoglucosan ratio to derive that impact. However, as indicated below, the manuscript has several shortcomings and major revision is definitely needed before it can be published in ACP.*

Reply: We thank the reviewer for the encouraging comments and nicely reviewing the manuscript. We follow all the reviewer's comments very carefully and answer accordingly. We have incorporated all the modifications in the revised manuscript (RMS). Please refer to the revised manuscript where we highlighted the changes by [green color](#). We provide here below a reply to the specific comments and modifications made in the revised manuscript based on the line number provided in RMS. Please follow the line numbers to reviewing the changes in RMS.

Major comments:

*1. The number of samples in this study is quite limited, i.e., only 34 in total; besides, samples were only taken in two seasons (winter and spring) and it is really unfortunate that no PM<sub>2.5</sub> mass data were obtained. Because the PM<sub>2.5</sub> mass is unknown, the authors cannot state, like they do in lines 18-19, "that OC was the predominant species in the total aerosol compositions", in line 271 "that OC is a major contributor to PM<sub>2.5</sub>", and in line 401 that "OC was the major component of PM<sub>2.5</sub>"; "in the total aerosol compositions" should be replaced by "of the quantified aerosol components", and "to PM<sub>2.5</sub>" and "of PM<sub>2.5</sub>" should be replaced by "of the quantified aerosol components in PM<sub>2.5</sub>".*

Reply: Thank you for the comment. Although the number of samples are limited, this study provides an important finding about the influence of biomass burning on the quantified aerosol components in PM<sub>2.5</sub> by new approach. Following the comment of reviewer, we have done all the modification accordingly in phrase in RMS.

Please see lines 21, 169, 292, 420, 422 in the revised MS.

*2. With regard to the application of PCA to the separate winter and spring sample sets of 17 samples each: Although the results in Tables 2 and 3 look reasonable, that application is not justified at all. Henry et al. state on page 1512 of their seminal 1984 paper (full reference: Henry, R.C., Lewis, C.W., Hopke, P.K., Williamson, H.J., 1984. Review of receptor model fundamentals. Atmos. Environ. 18, 1507-1515) that, in order for a multivariate receptor model to be acceptable, the number of samples  $N$  should be larger than  $30 + (V + 3)/2$ , with  $V$  being the number of variables. As  $V$  is 13 in Tables 2 and 3, this means that the number of samples in each of the authors' sample sets should be larger than 38. Their number of 17 is very much smaller. Although there are only 34 samples in the combination of the winter and spring sample sets and the criterion of 38 is then also not fulfilled, it might be worthwhile to apply PCA to that combined sample set. Furthermore, instead of using PCA for source apportionment, the preferred method nowadays is positive matrix factorization (PMF). I suggest that PMF be used instead of PCA, although the number of 34 samples may make the use of PMF difficult; many researchers suggest to have at least 50 samples (and some even 100) for PMF. Furthermore, the authors talk in line 217 of "eigenvalues  $>1$ ". Do they mean here eigenvalues before or after Varimax rotation? More important, the high loadings (or total variance explained by a component) do not tell anything about the importance or*

*contribution of a component to a species or the aerosol mass. In order to obtain the contribution, one has to apply absolute PCA (APCA) or PMF. Thus, the statements in lines 226, 228 and 272 that "BB is the major source of OC" are not justified.*

Reply: Thank you for the comment. The phrase in line 217, the discussed eigenvalues  $>1$  is after Varimax rotation. As per the reviewer suggestions we have added this information in the phrase. Yes, reviewer is rightly point out the PCA results interpretation as it is not quantitative estimates of source contribution. Therefore, to justify the PCA results we have rewritten the related phrase and incorporated in RMS. Also, Yes, the reviewer is right to use PMF for source apportionment studies. But in this study the scope of PCA is only to identify the sources of chemical components. To honor the reviewer's comments, we have also check PCA analysis of total number of samples. PCA results still suggested that the chemical components are highly influence by BB phenomena at Ulaanbaatar.

However, previous published papers they did PCA analysis using similar number of samples. For instance, Pavuluri et al., 2010 where, in winter:  $V=15$ , no of samples (N)=15; late winter:  $V=15$ , N=10. Therefore, the relevance of the scope of the present study we wish to retain the PCA results for winter and spring.

Following sentence has been added in lines 245-248 in the revised MS.

*"Four principal components (PCs) in winter and three in spring were identified with eigenvalues  $>1$  after Varimax rotation explaining 96% and 92%, respectively, of the total variance (Tables 2 and 3)."*

Following sentences have been added in lines 255-258 in the revised MS.

*"The PCA results showed that the chemical components of  $PM_{2.5}$  in Ulaanbaatar were mainly affected by BB during winter and spring. Further, OC was primarily influenced by BB because it correlated well with the total variance of PC1 during winter (0.82; Table 2) and spring (0.77; Table 3)."*

*3. The authors' approach to arrive at the optimum OC/levoglucosan ratio needs to be much better explained. In lines 348-350 they write "candidate  $OC_{BB}$  in this study was estimated from OC/levoglucosan ratios for softwood burning in the previous chamber experiment (Cheng et al., 2013 and papers cite therein)", but they fail to give actual numbers for the candidate(s)  $OC_{BB}$ . Why was only use made of Cheng et al. (2013) and the references cited therein? Why not also of data from other publications or even hypothetical OC/levoglucosan ratios? It seems from Fig. 11a that a higher OC/levoglucosan ratio than the highest one used could lead to better results.*

Reply: Thank you for reviewer's suggestion. As per the suggestion we have added and modified the sentences for clear explanation of approach to arrive at the optimum OC/levoglucosan ratio.

In this approach firstly, we have determined the kind of biomass (i.e. softwood wood in Ulaanbaatar) by comparing the ratios of levoglucosan/mannosan and levoglucosan/ $K^+$  in this site with these ratios reported in chamber experiments (section 3.5). The average levoglucosan/mannosan and levoglucosan/ $K^+$  ratios were within the ranges reported for softwood burning. Thus, we identified softwood as major biomass in this study site. Then we determine OC emitted from biomass burning ( $OC_{BB}$ ) using levoglucosan in this site and OC/levoglucosan ratios reported in chamber experiments. Then we calculated  $OC_{non-BB}$  ( $OC_{total}-OC_{BB}$ ) and further regress this with levoglucosan concentration. The OC/levoglucosan ratio that gives lowest  $R^2$  and slope value is treated as optimized OC/levoglucosan. Then appropriate  $OC_{BB}$  concentration is estimated by multiplying optimized OC/levoglucosan ratio obtained from chamber experiment and levoglucosan

concentration in this site. As per suggestion relevant references for OC/levoglucosan ratios of softwood have cited (please see line number 367).

The OC/levoglucosan ratios for softwood burning are consolidated from the previous chamber experiments and all relevant publication have cited in text relevant (Fig. 11). Candidate OC<sub>BB</sub> is now added in Figure 11 as per the reviewer suggestion.

In this site higher OC/levoglucosan gave better result for estimating OC<sub>BB</sub> during winter. Therefore, as per reviewer's suggestion we also check some higher hypothetical values of OC/levoglucosan ratio for estimating OC<sub>BB</sub> during winter. We found that although the R<sup>2</sup> and slope value goes closer to zero by taking high hypothetical value of OC/levoglucosan ratio but the estimated OC<sub>BB</sub> is start exceeding from the total OC concentration after 28. Thus, for this site higher hypothetical value of OC/levoglucosan is 28 or below, where estimated OC<sub>BB</sub> within the range of total OC. So, always it might not be appropriate to take higher values as optimum OC/levoglucosan. In case of hypothetical value, we highlighted to take care of optimized OC/levoglucosan for estimating OC<sub>BB</sub> so that it should be within the range of total OC in any study site. This also support that 27.6 is might be the optimum ratio for OC/levoglucosan for estimating appropriate concentration of OC<sub>BB</sub> at Ulaanbaatar during winter. However, it was not same for spring, here we found 18 as the optimized OC/levoglucosan ratio which is not a highest value among OC/levoglucosan ratios consolidated from chamber experiments. Therefore, the optimized ratio might be vary based on the concentration of OC and levoglucosan at the any study site.

*4. The authors should refer to the study of Davy et al. (full reference: Davy, P.K., Gunchin, G., Markwitz, A., Trompetter, W.J., Barry, B.J., Shagjjamba, D., Lodoysamba, S., 2011. Air particulate matter pollution in Ulaanbaatar, Mongolia: determination of composition, source contributions and source locations, Atmos. Poll. Res., 2, 126- 137). In that study coarse (PM<sub>10-2.5</sub>) and fine (PM<sub>2.5</sub>) aerosol samples were collected twice a week from 2004 to 2008 and analysed by ion beam analysis techniques. PMF was used for source apportionment. For PM<sub>2.5</sub> (see Fig. 10 in that publication) and winter, coal combustion (2 factors) was by far the major contributor and the contribution from biomass burning was almost two orders of magnitude smaller. This is in very large contrast with what is concluded in the authors' manuscript. Possible explanations for that discrepancy are definitely needed.*

Reply: We thank to reviewer to highlight the results regarding sources apportionment study at Ulaanbaatar during 2004-2008 using PMF by Davy et al., 2011. This study used K (potential tracer for biomass burning) to apportion the BB contribution to PM<sub>2.5</sub> aerosol. The concentration of K in Davy et al., 2011 is 0.324 µg/m<sup>3</sup> at Ulaanbaatar.

However, in the present study, we investigated the influence of BB in PM<sub>2.5</sub> aerosol using levoglucosan (specific tracers for biomass burning). The concentration of K<sup>+</sup> in present study was 0.13 µg m<sup>-3</sup>, whereas the levoglucosan concentration (1.2 µg m<sup>-3</sup>) was ~9 times higher than that of K<sup>+</sup>. Therefore, from the best of our experience, might be in Davy et al., 2011, the contribution of BB source is underestimated without levoglucosan as an input variable in PMF analysis. Moreover, the association of levoglucosan and K<sup>+</sup> (BB tracers) is strong with OC (major constituent in this study) showed significant influence of BB phenomena in atmospheric PM.

Minor comments:

*1. Lines 26-27: R<sup>2</sup> is not a "correlation coefficient" but a "coefficient of determination".*

Reply: As per reviewer comment correlation coefficient has been corrected as coefficient of determination.

Please see line number 29 in the revised MS.

2. Section 2.1 and Fig. 1: There is no reference made to Fig. 1 within the text; it should be made within this Section.

Reply: Thank for the reviewers' comments, now Fig. 1 has cited.

Please see section 2.1, line number 114 in the revised MS.

3. Section 2.1 and line 128: It is unclear whether blank filter samples were taken. If so how? And does the "blanks" in line 128 refer to "blank filters" or simply to procedural blanks without the use of any blank filter?

Reply: Yes, field blank filters are collected and used for correcting background interferences in concentration of chemical constituents.

Following sentences have been added in lines 118-120 in the revised MS.

“Field blank filter was collected during winter (n=1) and spring (n=1). The quartz fiber filter was loaded in the sampler for 5 minutes without operating a pump. The concentration of all chemical analyte has been corrected using blank filters concentration.”

4. Lines 160-162 and Fig. 3: It is unclear to me what the  $R^2$ , Intercept and Slope within the boxes in the Figure denote. Also, in contrast to what the authors state, the characteristics ( $R^2 = 0.36$ , slope = 1.04) of the relationship between OC and temperature are not shown in Fig. 3b.

Reply: Thank you for the comment. Now, for more clarity in explanation, we have re-phrased this paragraph and incorporated in RMS.

We are sorry for the inconvenience to the reviewer to understand the Figure, here  $R^2$  denotes of coefficient of determination of linear fit equation  $y(OC) = m \cdot x(\text{wind speed}) + c$ , intercept the denotes the wind speed not associated with OC, slope represents the variation of OC with the wind speed, different color represents the temperature values. This equation was added in Fig. 3.

Furthermore, I do not understand how "volatilization of SVOCs during periods of elevated temperature" can lead to increased OC in the particle phase.

Reply: Thank you for the comment. We agreed that discussion about SVOCs depending on temperature is not clear. Thus, we decided to delete the discussion regarding SVOCs with temperature during spring.

5. Lines 171-174: Do the numeric data given in parentheses pertain to spring and winter, respectively? If so, "In winter and spring" should be replaced by "In spring and winter". If, in contrast, the numeric data pertain to winter and spring, respectively, then, what is written here is in contrast with what the authors wrote in lines 169-171.

Reply: Thank you for reviewer comments. Here we wish to compare the both winter and spring concentration at this study site with suburban and urban site at China. We have modified the phrase as per the reviewer's comments and incorporated.

Following sentence has been added in lines 198-202 in the revised MS.

“During both winter and spring, EC concentrations at the study site were lower and having different trends compared to those observed in a suburban site ( $2.3 \pm 1.0 \mu\text{g m}^{-3}$  and  $3.1 \pm 1.5 \mu\text{g m}^{-3}$ , respectively) and an urban site ( $2.3 \pm 1.0 \mu\text{g m}^{-3}$  and  $3.3 \pm 1.2 \mu\text{g m}^{-3}$ , respectively) in Shanghai, China (Feng et al., 2009).”

6. Lines 257-259: I cannot follow why the "correlation between OC and  $K^+$  suggests that BB is one of the major sources of ambient aerosol in Ulaanbaatar".

Reply: Thank you for the comment. Water soluble  $K^+$  is used as tracer for biomass burning in various studies (Park et al., 2004; Lee et al., 2010; Deshmukh et al., 2011). In this site OC was major constituent of the quantified aerosol components in  $PM_{2.5}$ . OC and  $K^+$  concentrations are correlated well during winter ( $R^2 = 0.79$ ; Fig. 8a) and spring ( $R^2 = 0.73$ ; Fig. 8b). Thus, good correlation between  $K^+$  and OC suggested the BB phenomena primarily influences the ambient aerosol at this site. Further, influence of BB phenomena on OC is also supported by its tight association with levoglucosan.

Following sentences have been added in lines 282-289 in the revised MS.

“OC and  $K^+$  concentrations correlated well during winter ( $R^2 = 0.79$ ; Fig. 9a) and spring ( $R^2 = 0.73$ ; Fig. 9b), suggesting that they might be originated from similar sources. Because most of the aerosol particles emitted from BB belongs to  $PM_{2.5}$ , the correlation between OC and  $K^+$  as well as levoglucosan suggests that BB is one of the potential sources of OC in winter and spring. Because biomass fuel is burned in traditional stoves with no pollution control devices in Ulaanbaatar (Batmunkh et al., 2013), soil and ash particles are entrained in convective processes and uplifted in the atmosphere together with smoke particles (Deshmukh et al., 2011; Nirmalkar et al., 2019).”

Three new references have been added in the reference section.

“Lee, T., Sullivan, A. P., Mack, L., Jimenez, J. L., Kreidenweis, S. M., Onasch, T. B., Worsnop, D. R., Malm, W., Wold, C. E., Hao, W. M., and Collett Jr, J. L.: Chemical smoke marker emissions during flaming and smoldering phases of laboratory open burning of wildland fuels, *Aerosol Sci. Technol.*, 44, i-v, <https://doi.org/10.1080/02786826.2010.499884>, 2010.

Deshmukh, D. K., Deb, M. K., Tsai, Y. I., and Mkoma, S. L.: Water soluble ions in  $PM_{2.5}$  and  $PM_1$  aerosols in Durg city, Chhattisgarh, India, *Aerosol Air Qual. Res.*, 11, 696-708, 10.4209/aaqr.2011.03.0023, 2011.

Park, S. S., Kim, Y. J.:  $PM_{2.5}$  particles and size-segregated ionic species measured during fall season in three urban sites in Korea, *Atmos. Environ.*, 38, 1459–1471, <https://doi.org/10.1016/j.atmosenv.2003.12.004>, 2004.”

7. Lines 317-318: *Why are the  $K^+$  concentrations similar in both seasons? Possible explanations should be given.*

Reply: Thank for the reviewer’s comments. We agreed with the comments but we did not find any proper explanation for similar concentration of  $K^+$  during winter and spring therefore we have deleted line number 317-318 from original manuscript.

8. Line 369: *replace "where aerosols" by "where BB aerosols".*

Reply: Thank you for reviewer’s suggestion, modification has been incorporated. Please see line number 388 in the revised MS.

9. Lines 379-380: *It is unclear to me why it should be that "the similarity between seasons indicates that  $OC_{non-BB}$  originated mainly from local background sources".*

Reply: As per the reviewer comments of clear explanation for seasonal variability of  $OC_{non-BB}$ . In previous sentence the meaning of statement is not appropriate about the seasonal trend of  $OC_{non-BB}$ . Therefore, we have re-phrased this sentence as

“High concentration of  $OC_{non-BB}$  was found during winter compared to spring (Fig. 13). Elevated  $OC_{non-BB}$  could be attributed to enhanced emission from combustions and favorable

meteorological conditions (cold temperatures and inversion conditions, etc.) during the winter.”

Please see line number 397-400 in the revised MS.

*10. Line 399: replace "Conclusion" by "Conclusions".*

Reply: As per reviewer’s suggestion modification has been done.

Please see line number 419 in the revised MS.

*11. Pages 21-29, Reference list: There are several problems:*

*- Titles of journal articles should all be in lower case instead of in Title Case.*

Reply: Thank you for the comment. However, we could not find any serious problem about format of journal articles in the reference section compared to ACP reference format. Thus, no modification was done.

*- For references with three or more authors, there should be ", and" preceding the last author.*

Reply: We apologize by typo in reference section. Modification has been incorporated as per the suggestion in MS.

*For references with only two authors, there should be " and" (without a comma) preceding the second author.*

Reply: As per the reviewer’s suggestion correction has been made in reference section in MS.

*- Journal names should be properly abbreviated and the abbreviated words should end on a period (".").*

Reply: Thank you for reviewer’s comments. Abbreviation of journals have been carefully checked and modification has been incorporated in references in MS.

*- The reference "Jung et al., 2009" is incomplete; the article number is missing.*

Reply: Article number is added to references of Jung et al., 2009.

Please line number 620 in the revised MS.

*- "Jung et al., 2010" should come before "Jung et al., 2014". Besides, the reference*

Reply: As per the reviewer suggestions modification has been done in the references and incorporated in the RMS.

Please see line number 617-624 in the revised MS.

*"Jung et al., 2010" is incomplete; the article number is missing.*

Reply: As per the reviewer’s suggestion article number is added in the Jung et al., 2010.

Please see line number 624 in the revised MS.

*- "Nirmalkar et al., 2015" should come before "Nirmalkar et al., 2019".*

Reply: As per the reviewer’s suggestion modification has been incorporated.

Please line number 662-669 in the revised MS.

*- There is not referred to "Pio et al., 2008" within the text.*

Reply: Pio et al., 2008 has been cited in text.

Please see line number 62-63 in the revised MS.

- *The reference "Puxbaum et al., 2007" is incomplete; the article number is missing.*

Reply: Modification has been incorporated in Puxbaum et al., 2007, and incorporated in reference section.

Please see line number 699 in the revised MS.

- *"Sullivan et al., 2008" should come before "Sullivan et al., 2019".*

Reply: As per the reviewer's suggestion modification has been done for both mentioned references.

Please see line number 733-742 in the revised MS.

Technical corrections:

- *Lines 15 and 112: replace "quartz filters" by "quartz fibre filters".*

Reply: Thank for reviewers' suggestion. Quartz filters is replaced by Quartz fiber filters.

- *Line 30: replace "of OC" by "of the OC".*

Reply: As per the reviewer's suggestion correction has been made.

Please line number 31 in the revised MS.

- *Line 32: replace "and indicate" by "and it was found".*

Reply: As per reviewer's suggestion modification done.

Please see line number 35 in the revised MS.

- *Line 46: replace "in future" by "in the future".*

Reply: Replacement is done, thank you for suggestion.

Please see line number 46 in the revised MS.

- *Line 47: replace "power-plant" by "power plants".*

Reply: Now power-plant is written as power plants in entire revised MS.

Please line number 48 in the revised MS.

- *Line 118: replace "of quartz filter" by "of each quartz fibre filter".*

Reply: The phrase "of quartz filter" is replaced by "of each quartz fibre filter", thank you for reviewer suggestions.

Please line number 125 in the revised MS.

- *Line 130: replace "were analyzed" by "were measured".*

Reply: Thank for reviewer's comments. "were analyzed" is more appropriate thus we retain it in revised MS.

- *Lines 141-142: replace "of quartz filter" by "of the quartz fibre filter".*

Reply: The phrase "of quartz filter" is replaced by "of the quartz fibre filter".

Please see number 147-148 in the revised MS.

- *Line 146: replace "analytical errors" by "analytical uncertainties".*

Reply: The phrase "analytical errors" is replaced by "analytical uncertainties".

Please line number 152 in the revised MS.

- *Line 182: replace "Table 4" by "Fig. 4".*

Reply: Thank for reviewer's comments, Table 4 is replaced by Fig. 4

Please line number line number 205 in the revised MS.



- Line 350: replace "the previous" by "a previous" and replace "cite therein" by "cited therein".

Reply: Replacement has been incorporated in RMS. In place of cited therein we incorporated relevant references.

Please see line number 358, 359-361 in the revised MS

- Line 351: replace "in this" by "at this".

Reply: Replacement has been done.

Please see line number 361 in the revised MS.

- Line 354: replace "closed to" by "close to".

Reply: Replacement done.

Please see line number 364 in the revised MS.

- Line 374: replace "likely to be due" by "likely due".

Reply: Replacement has been incorporated.

Please see line number 394 in the revised MS.

- Line 409: replace "of OC" by "of the OC".

Reply: Re-placement is done accordingly.

Please line number 430 in the revised MS.

- Line 412: replace "spring due" by "in spring due".

Reply: Replacement has been done.

Please line number 433 in the revised MS.

- Line 419: replace "Batmunkh Tsatsral" by "Tsatsral Batmunkh".

Reply: Thank for reviewers' comments. Name has been corrected.

Please line number 440 in the revised MS.

- Line 642: replace "2008a" by "2008".

Reply: Replacement has been done.

Please line number 707 in the revised MS.

- Line 679: replace "Asia. Sci." by "Asia, Sci.".

Reply: Replacement has been made.

Please see line number 757 in the revised MS.

- Page 34, caption of Fig. 7: replace "in during" by "during".

Reply: Thank you for the reviewer's suggestion. Caption of Fig. 8 (previously Fig. 7 in original manuscript) has been modified by incorporating during in place of in during.

Modified caption has been added in page 37, lines 827-828 in the revised MS.

“Fig. 8 (previously Fig. 7) Correlation between PM<sub>2.5</sub> concentrations of (a) OC ( $\mu\text{g C m}^{-3}$ ) and levoglucosan ( $\mu\text{g m}^{-3}$ ) and (b) K<sup>+</sup> and levoglucosan ( $\mu\text{g m}^{-3}$ ) during winter and spring of 2017.”