



## Photolysis and oxidation by OH radicals of two carbonyl

### 2 nitrates: 4-nitrooxy-2-butanone and 5-nitrooxy-2-pentanone

Bénédicte Picquet-Varrault<sup>1</sup>, Ricardo Suarez-Bertoa<sup>2</sup>, Marius Duncianu<sup>3</sup>, Mathieu Cazaunau<sup>1</sup>,

4 Edouard Pangui<sup>1</sup>, Marc David<sup>1</sup>, Jean-François Doussin<sup>1</sup>

<sup>1</sup> LISA, UMR CNRS 7583, Université Paris-Est Créteil, Université de Paris, Institut Pierre Simon Laplace

6 (IPSL), Créteil, France

<sup>2</sup> European Commission Joint Research Centre (JRC), Ispra, Italy

8 <sup>3</sup> System Analyst Interscience BV, Brussels, Belgium

10 *Correspondence to:* B. Picquet-Varrault ([benedicte.picquet-varrault@lisa.u-pec.fr](mailto:benedicte.picquet-varrault@lisa.u-pec.fr))

#### 12 Abstract

Multifunctional organic nitrates, including carbonyl nitrates, are important species formed in NO<sub>x</sub> rich atmospheres by the degradation of VOCs. These compounds have been shown to play a key role in the transport of reactive nitrogen and consequently in the ozone budget, but also to be important components of the total organic aerosol. However, very little is known about their reactivity in both gas and condensed phases. Following a previous study we published on the gas-phase reactivity of  $\alpha$ -nitrooxy ketones, the photolysis and the reaction with OH radicals of 4-nitrooxy-2-butanone and 5-nitrooxy-2-pentanone, respectively a  $\beta$ -nitrooxy ketone and a  $\gamma$ -nitrooxy ketone, were investigated for the first time in simulation chambers. Ambient photolysis frequencies calculated for 40° latitude North were found to be  $(4.2 \pm 0.6) \times 10^{-5} \text{ s}^{-1}$  and  $(2.2 \pm 0.7) \times 10^{-5} \text{ s}^{-1}$  for 4-nitrooxy-2-butanone and 5-nitrooxy-2-pentanone, respectively. These results demonstrate that photolysis is a very efficient sink for these compounds with atmospheric lifetimes of few hours. It was also concluded that, similarly to  $\alpha$ -nitrooxy ketones,  $\beta$ -nitrooxy ketones have enhanced UV absorption cross sections and quantum yields equal or close to unity.  $\gamma$ -nitrooxy ketones have been shown to have lower enhancement of cross sections which can easily be explained by the increasing distance between the two chromophore groups. Thanks to a products study, branching ratio between the two possible photodissociation pathways are also proposed. Rate constants for the reaction with OH radicals were found to be  $(2.9 \pm 1.0) \times 10^{-12} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$  and  $(3.3 \pm 0.9) \times 10^{-12} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ , respectively. These experimental data are in good agreement with rate constants estimated by the SAR of Kwok and Atkinson (1995) when using the parametrization proposed by Suarez-Bertoa et al. (2012) for carbonyl nitrates. Comparison with photolysis rates suggests that OH-initiated oxidation of carbonyl nitrates is a less efficient sink than photodissociation but is not negligible in polluted area.

#### 34 1 Introduction



36 Organic nitrates (ONs) play an important role as sinks or temporary reservoirs of NO<sub>x</sub>, as well as on ozone  
37 production in the atmosphere (Perring et al., 2013; Perring et al., 2010; Ito et al., 2007). They are formed by the  
38 degradation of VOCs in NO<sub>x</sub> rich air (typically urban areas) through two main processes: i) the reaction of  
39 peroxy radical, produced by the oxidation of VOCs, with NO. The major pathway is generally the reaction (1a)  
40 that leads to NO<sub>2</sub> formation. The reaction (1b) is a minor channel but it becomes gradually more important with  
41 increasing peroxy radical carbon chain length (Atkinson and Arey, 2003; Finlayson-Pitts and Pitts, 2000).



44 ii) The reaction of unsaturated VOCs with NO<sub>3</sub> radical, which proceeds mainly by addition of the nitrate radical  
45 on the double bond to produce nitro-alkyl radicals that can evolve into organic nitrates.

46 Among the organic nitrates, a variety of multifunctional species such as hydroxy-nitrates, carbonyl-nitrates and  
47 dinitrates are formed. The formed species have been shown to significantly contribute to the nitrogen budget in  
48 both rural and urban areas (Perring et al. 2013). Beaver et al. (2012) have observed that carbonyl nitrates, formed  
49 as second generation nitrates from isoprene, are an important fraction of the total organic nitrates observed over  
50 Sierra Nevada in summer. These observations are supported by several studies that investigated the  
51 photooxidation of isoprene in simulation chambers (Paulot et al., 2009, Müller et al., 2014). These  
52 multifunctional organic nitrates are also semi-/non-volatile and highly soluble species and are thus capable of  
53 partitioning into the atmospheric condensed phases (droplets, aerosols). Numerous field observations of the  
54 chemical composition of atmospheric particles have shown that organic nitrates represent a significant fraction  
55 (up to 75% in mass) of the total organic aerosol (OA) demonstrating that these species are important components  
56 of total OA (Ng et al., 2017).

57 Several modeling studies have also confirmed that multifunctional organic nitrates, in particular isoprene  
58 nitrates, play a key role in the transport of reactive nitrogen and consequently in the formation of ozone and  
59 other secondary pollutants at the regional and global scales (Horowitz et al., 2007; Mao et al., 2013; Squire et al.,  
60 2015). In particular, Mao et al. (2013) have performed simulations based on data from the ICARTT aircraft  
61 campaign across the eastern U.S. in 2004. They have shown that ONs, which are mainly composed of secondary  
62 organic nitrates, including a large fraction of carbonyl nitrates, provide an important pathway for exporting NO<sub>x</sub>  
63 from the U.S.'s boundary layer, even exceeding the export of PANs. However, these modeling studies also point  
64 out the need for additional experimental data to better describe the sinks in both gas and condensed phases of  
65 multifunctional organic nitrates in models.

66 Recent experimental studies have revealed that hydrolysis in aerosol phase may be a very efficient sink of  
67 organic nitrates in the atmosphere (Bean and Hildebrand Ruiz, 2015; Rindelaub et al., 2015). These works also  
68 suggest that the rate of these reactions strongly depends on the organic nitrate chemical structure and that  
69 additional works are needed to better understand these processes. In the gas-phase, photolysis and reaction with  
70 OH radical are expected to dominate the fate of organic nitrates (Roberts et al., 1990; Turberg et al., 1990). In a  
71 previous study, we have measured the photolysis frequencies and the rate constants for the OH-oxidation of 3  
72 carbonyl nitrates ( $\alpha$ -nitrooxyacetone, 3-nitrooxy-2-butanone, and 3-methyl-3-nitrooxy-2-butanone) and we have  
73 shown that photolysis is the dominant sink for these compounds (Suarez-Bertoa et al., 2012). By comparison



74 with absorption cross sections provided by Barnes et al. (1993), Müller et al. (2014) suggested i) that the  $\alpha$ -  
nitrooxy ketones have enhanced absorption cross sections, due to the interaction between the  $\text{-C=O}$  and  
76 the  $\text{-ONO}_2$  chromophore groups and ii) that the quantum yield is close to unity photolysis and  $\text{O-NO}_2$   
dissociation is the likely major channel. They also showed that this enhancement was larger at the higher  
78 wavelengths, where the absorption by the nitrate chromophore is very small. Therefore, they concluded that the  
absorption by the carbonyl chromophore was the one enhanced due to the neighbouring nitrate group.

These results are significant as they demonstrate that photolysis rates of these multifunctional species cannot be  
80 calculated as the sum of the monofunctional species (ketone + alkyl nitrate) ones. However, only  $\alpha$ -nitrooxy  
ketones were studied in those works which leaves open the question of the persistence of the enhancement effect  
82 when the distance between the two functional groups increases. More recently, Xiong et al. (2016) have studied  
the photochemical degradation (photolysis, OH-oxidation and ozonolysis) of *trans*-2-methyl-4-nitrooxy-2-buten-  
84 1-al (also called 4,1-isoprene nitrooxy enal) in order to better assess – as a model compound - the reactivity  
carbonyl nitrates formed by the  $\text{NO}_3$ -initiated oxidation of isoprene. This compound has a conjugated  
86 chromophore  $\text{-C=C-C=O}$  in  $\beta$  position of the nitrate group. The authors measured the absorption cross sections  
of the nitroxy enal and compared them to those for the monofunctional species, i.e. methacrolein and isopropyl  
88 nitrate. They concluded that molecules containing  $\beta$ -nitrooxy ketones functionalities have also enhanced UV  
absorption cross sections. They also studied the kinetic and mechanisms for the oxidation of the nitroxy enal by  
90 OH and  $\text{O}_3$  and showed that the reaction with OH radicals is fairly fast. Photolysis and reaction with OH are thus  
the two main loss process *trans*-2-methyl-4-nitrooxy-2-buten-1-al leading to a tropospheric lifetime of less than  
92 1 h.

Given the large contribution of the carbonyl nitrates to the organic nitrate pool and the importance of their  
94 photochemistry for the  $\text{NO}_x$  budget, we present a study that aims at providing new experimental data on the gas-  
phase reactivity of these compounds. The study also seeks to disclose how photolysis and reaction with OH  
96 radical of carbonyl nitrates are affected by modifying their carbon chain length and the position of the two  
functional groups present in their molecular structure. Here, we provide the first photolysis frequencies and also  
98 the first rate constants for the OH-oxidation of two carbonyl nitrates: 4-nitrooxy-2-butanone and 5-nitrooxy-2-  
pentanone.

## 100 2 Experimental section

### 2.1 Reactants syntheses

102 As a usual OH precursor in simulation chamber experiments, isopropyl nitrite was synthesized by dropwise  
addition of a dilute solution of  $\text{H}_2\text{SO}_4$  into a mixture of  $\text{NaNO}_2$  and isopropanol following the classical protocol  
104 proposed by Taylor et al. (1980).

On the contrary, 4-nitroxy-2-butanone and 5-nitroxy-2-pentanone were synthesized for the first time. Because of  
106 their importance for atmospheric chemistry, a great care was taken to the development of a robust process: 4-  
nitroxy-2-butanone and 5-nitroxy-2-pentanone syntheses are based on the Kames' method (Kames et al., 1993).

108 This method consists in a liquid/gas phase reaction where the corresponding hydroxy-ketone reacts with  $\text{NO}_3$



radicals released from the dissociation of  $N_2O_5$ .  $N_2O_5$  was preliminarily synthesized in a vacuum line by reaction of  $NO_2$  with ozone, as described by Scarfoglierio et al. (2006). The synthesis of the carbonyl nitrate is performed in a dedicated vacuum line connected to two bulbs, one containing the hydroxy-ketone, the other one containing  $N_2O_5$ . The two bulbs are also connected to each other. In a first step, the bulbs are placed in a liquid  $N_2$  cryogenic trap and pumped in order to remove air and impurities. In a second step, the cryogenic trap is removed from the bulb containing  $N_2O_5$  in order to let it warm and transfer into the bulb containing the hydroxy-ketone. Then, the bulb containing both reactants is stirred and kept at ice temperature for approximately 1h. Finally, the resulting carbonyl nitrate and nitric acid, its co-product, were separated by liquid-liquid extraction using dichloromethane and water. The carbonyl nitrate structure and purity were verified by FT-IR and GC-MS. Traces of impurities (HCOOH and  $CH_3COOH$ ) have been detected. The carbonyl nitrates were stored at  $-18^\circ C$  and under nitrogen atmosphere to prevent them from decomposition. Infrared spectra of 4-nitroxy-2-butanone and 5-nitroxy-2-pentanone are available on EUROCHAMP Data Centre (<https://data.eurochamp.org>).

## 2.2 Determination of photolysis frequencies

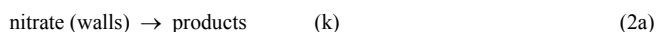
The photolysis frequencies of the two carbonyl nitrates were determined by carrying out experiments in the CESAM simulation chamber which is only briefly described here as detailed information can be found in Wang et al. (2011). The chamber consists of a  $4.2 m^3$  stainless steel vessel equipped with a multiple reflection optical system interfaced to a FTIR spectrometer (Bruker Tensor 37) and also with  $NO$ ,  $NO_2$  and  $O_3$  analyzers (Horiba) to monitor the composition of the gas phase. The chamber is also equipped with three high pressure xenon arc lamps (MH-Diffusion, MacBeam 4000) which provide a very realistic actinic flux (in comparison to the solar one) allowing measuring photolysis frequencies under realistic conditions (Wang et al., 2011; Suarez-Bertoa et al., 2012). The intensity of the actinic flux was determined by measuring the photolysis rate of  $NO_2$  ( $J_{NO_2}$ ) during dedicated experiments. Hence, 400 ppbv of  $NO_2$  in 1000 mbar of  $N_2$  were injected into CESAM chamber and kept in the dark for 20 min. The lights were then turned on during 20 min, and finally the mixture was left in the dark for an additional 20 min period. The photolysis frequency was subsequently determined using a kinetic numeric model developed for previous  $NO_x$  photo-oxidation experiments in CESAM (Wang et al., 2011). The fitting of modeled values from the measured data provided a  $NO_2$  photolysis frequency equal to  $3.0 \times 10^{-3} s^{-1}$  ( $\pm 0.01$ ,  $2\sigma$  error). Since the  $J_{NO_2}$  on July 1 at noon at  $40^\circ$  latitude North (overhead ozone column 200, albedo 0.1; TUV NCAR Model, [http://www.cprm.acd.ucar.edu/Models/TUV/Interactive\\_TUV/](http://www.cprm.acd.ucar.edu/Models/TUV/Interactive_TUV/)) is estimated to be equal to  $9.6 \times 10^{-3} s^{-1}$  and considering how close from the real sunlight is the irradiation light in CESAM, we assumed that a proportional factor of 3.2 can be directly applied.

During a typical experiment, carbonyl nitrates were introduced into the chamber which was preliminarily filled at atmospheric pressure with  $N_2/O_2$  (80/20). For the injection, the bulb containing the carbonyl nitrate was connected to the chamber and slightly heated while it was flushed with  $N_2$ . Mixing ratios of carbonyl nitrates ranged from hundreds ppb to ppm. Because carbonyl nitrates may decompose during the injection, large amounts of  $NO_2$  (hundreds ppb) were present in the mixture. Cyclohexane was also added to the mixture as an OH-scavenger with mixing ratios of approx. 4 ppm. Considering the fact that cyclohexane is much more reactive with OH radicals than ketonitrates (see section results), it was estimated that more than 95% of the OH radicals were scavenged. The mixture was kept under dark conditions during two hours to be able to assess the impact of the reactor's walls and to minimize their effects by passivation. Then, the mixture was irradiated during 3 hours.



148 For most experiments, the mixture was finally left in the dark for approximately 1 hour after the irradiation  
period to allow for verifying if wall losses were constant during the entire duration of the experiment.

150 During the experiment, the carbonyl nitrate loss processes can be described as:



$$-\frac{d[\text{nitrate}]}{dt} = (J + k) \times [\text{nitrate}] \quad (\text{Eq. 1})$$

$$\ln[\text{nitrate}]_t = \ln[\text{nitrate}]_0 - (k + J) \times t \quad (\text{Eq. 2})$$

The reaction (2a) had to be added to the system to take into account the interaction or adsorption of the carbonyl  
156 nitrates on the stainless steel walls of CESAM during the experiments. By plotting  $\ln[\text{nitrate}]_t$  vs. time, where  
 $[\text{nitrate}]_t$  is the concentration of the carbonyl nitrate at time  $t$ , a straight line is obtained with a slope of  $(k + J)$ .  
158 The same approach was applied to each of the ‘dark’ periods, before and after irradiation, to determine their  
respective nitrate decay rates, namely  $k_{\text{before}}$  and  $k_{\text{after}}$  and  $k$  was calculated as the average of  $k_{\text{before}}$  and  $k_{\text{after}}$  for  
160 each experiment. Finally,  $J$  was calculated as the difference between the loss rate during the irradiation period ( $k + J$ ) and the averaged loss rate during the dark periods ( $k$ ).

162 The uncertainties were calculated by adding the respective statistical errors ( $2\sigma$ ) associated to the dark and light  
periods, the former set as the average of the uncertainties determined for both dark periods (i.e., before and after  
164 irradiation). However, for some experiments, it was observed that the dark decay rate before irradiation was  
significantly higher than the one after, suggesting that wall losses may decrease with time due to a passivation  
166 effect. In this case, the uncertainty was not calculated using the approach detailed above, the statistical error  
being too low compared to the difference between  $k_{\text{before}}$  and  $k_{\text{after}}$ . The uncertainty was thus estimated in order to  
168 include the lowest and the highest  $J$  values calculated with the highest and the lowest  $k$  value, respectively.  
Finally, the overall uncertainty associated with the photolysis rate of each of the carbonyl nitrates was calculated  
170 as the average of the uncertainties obtained for each experiment, divided by the square root of the number of  
experiments (2 or 3).

### 172 2.3 Determination of the OH-oxidation rate constants

The kinetic experiments for the OH-oxidation of the carbonyl nitrates were performed in the CSA chamber at  
174 room temperature and atmospheric pressure, in a mixture of  $\text{N}_2/\text{O}_2$  (80/20). The chamber consists of a 977 L  
Pyrex<sup>TM</sup> vessel irradiated by two sets of 40 fluorescent tubes (Philips TL05 and TL03) that surround the  
176 chamber. The emissions of these black lamps are centered on 360 and 420 nm, respectively. The chamber is  
equipped with a multiple reflection optical system with a path length of 180 m interfaced to a FTIR spectrometer  
178 (Vertex 80 from Bruker). Additional details about this smog chamber are given elsewhere (Doussin et al., 1997;  
Duncan et al., 2017).

180 The relative rate technique was used to determine the rate constant for the OH-oxidation of the carbonyl nitrates  
with methanol as reference compound. We used the IUPAC recommended value  $k_{(\text{methanol}+\text{OH})} = (9.0 \pm 1.8) \times$   
182  $10^{-13} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$  (<http://www.iupac-kinetic.ch.cam.ac.uk/>). Hydroxyl radicals were generated by



184 photolyzing isopropyl nitrite. Initial mixing ratios of reactants (carbonyl nitrate, isopropyl nitrite, methanol and  
185 NO) were in the ppm range. As previously described, the carbonyl nitrate was introduced into the chamber by  
186 connecting the bulb to the chamber and by slightly heating and flushing it with N<sub>2</sub>. NO was added to the mixture  
187 in order to enhance the formation of OH radicals by reaction with HO<sub>2</sub> radicals which are formed by isopropyl  
188 nitrite photolysis. All experiments were conducted during a 1 h period of continuous irradiation.

189 Prior to the experiments, it was verified that photolysis and wall losses of the studied compounds were negligible  
190 under our experimental conditions. This can be explained by the facts that i) the irradiation system of CSA  
191 chamber emits photons at significantly higher wavelengths than the one of CESAM chamber, and ii) the walls of  
192 the chamber are made of Pyrex which is more “chemically inert” than stainless steel. It was therefore assumed  
193 that reaction with OH is the only fate of both, the studied compound (nitrate) and the reference compound  
194 (methanol) and that neither of these compounds is reformed at any stage during the experiment. Based on these  
195 hypotheses, it can be shown that (Atkinson, 1986):

$$\ln \frac{[\text{nitrate}]_0}{[\text{nitrate}]_t} = \frac{k_{\text{nitrate}}}{k_{\text{methanol}}} \times \frac{[\text{methanol}]_0}{[\text{methanol}]_t} \quad (\text{Eq. 3})$$

196 where [nitrate]<sub>0</sub> and [methanol]<sub>0</sub>, and [nitrate]<sub>t</sub> and [methanol]<sub>t</sub> stand for the concentration of the carbonyl nitrate  
197 and the reference compound at times 0 and t, respectively. The plot ln([nitrate]<sub>0</sub>/[nitrate]<sub>t</sub>) vs.  
198 ln([methanol]<sub>0</sub>/[methanol]<sub>t</sub>) is linear with a slope equal to  $k_{\text{nitrate}}/k_{\text{methanol}}$  and an intercept of zero. The uncertainty  
199 on  $k_{\text{nitrate}}$  was calculated by adding the relative uncertainty corresponding to the statistical error on the linear  
200 regression ( $2\sigma$ ) and the error on the reference rate constant (here 20 % for methanol).

## 2.4 Chemicals and gases

202 Dry synthetic air was generated using N<sub>2</sub> (from liquid nitrogen evaporation, >99.995% pure, <5 ppm H<sub>2</sub>O, Linde  
203 Gas) and O<sub>2</sub> (quality N45, >99.995% pure, <5 ppm H<sub>2</sub>O, Air Liquide). Chemicals obtained from commercial  
204 sources are: NO (quality N20, >99% Air Liquide), NO<sub>2</sub> (quality N20, >99% Air Liquide), 4-hydroxy-2-butanone  
205 (95% Aldich), 5-hydroxy-2-pentanone (95% Aldich), cyclohexane (VWR), methanol (J.T. Baker), H<sub>2</sub>SO<sub>4</sub> (95%  
206 VWR), NaNO<sub>2</sub> (≥99 Prolabo), isopropanol (VWR).

## 3 Results and discussion

### 208 3.1 Photolysis of carbonyl nitrates

209 Photolyses of 4-nitrooxy-2-butanone and 5-nitrooxy-2-pentanone were investigated for the first time. Photolysis  
210 frequencies were determined by measuring the decay of the carbonyl nitrates in CESAM chamber under  
211 irradiation using a multipath FT-IR spectrometer. Figure 1 presents the kinetic plots obtained for the two  
212 compounds, where ln[nitrate] were plotted as a function of time. As explained in the experimental section,  
213 carbonyl nitrates were kept in the dark before and after the irradiation period in order to determine their decay  
214 rates due to wall losses. In this figure, a significant decrease was observed before and after irradiation for both  
215 compounds, suggesting that they adsorb or decompose on the walls. However, decay rates during irradiation  
216 periods are significantly faster than those in the dark showing that they are subject to additional loss by



218 photolysis. Photolysis frequencies were calculated as the difference between the decay rates in the dark and the  
220 one under irradiation. Results obtained for both compounds and for all experiments are given in Table 1. For 4-  
222 nitrooxy-2-butanone, photolysis frequencies are in good agreement despite the fact that decay rates in the dark  
differ from an experiment to another. For 5-nitrooxy-2-butanone, it can be seen that the decay rate in the dark  
before irradiation is significantly higher than the one after (in particular for experiments 3 and 4), suggesting that  
wall losses may decrease with time due to a passivation effect. Despite this, comparison of the three experiments  
showed good agreement.

224 The photolysis rates calculated for typical tropospheric irradiation conditions (see section 2.1) are  $(4.2 \pm$   
 $0.6) \times 10^{-5} \text{ s}^{-1}$  for 4-nitrooxy-2-butanone and  $(2.2 \pm 0.7) \times 10^{-5} \text{ s}^{-1}$  for 5-nitrooxy-2-pentanone. These results have  
226 been compared in Table 2 to those we obtained in a previous study (Suarez-Bertoa et al., 2012) for 3-nitrooxy-2-  
propanone, 3-nitrooxy-2-butanone and 3-methyl-3-nitrooxy-2-butanone using the same experimental approach.  
228 Experimental photolysis frequencies have also been compared to those calculated using cross sections published  
in the literature and by assuming a quantum yield equal to unity. Solar actinic flux was calculated from TUV  
230 NCAR model for the same conditions as those described in section 2.1. For 3-nitrooxy-2-propanone and 3-  
nitrooxy-2-butanone, cross sections were taken from Barnes et al. (1993). For 4-nitrooxy-2-butanone and 5-  
232 nitrooxy-2-pentanone, for which no data have been provided in the literature, cross sections were estimated using  
those for corresponding monofunctional species and by applying the enhancement factor ( $r_{nk}$ ) obtained for 3-  
234 nitrooxy-2-propanone (Müller et al., 2014):

$$r_{nk} = \frac{s_{nk}}{s_n + s_k} \quad (\text{Eq.4})$$

236 Where  $s_{nk}$ ,  $s_n$  and  $s_k$  are the absorption cross sections of the keto nitrate, the alkyl nitrate and the ketone,  
respectively. For 4-nitrooxy-2-butanone, cross sections of 2-butanone and 1-butyl nitrate were taken from  
238 IUPAC, 2006. For 5-nitrooxy-2-pentanone, cross sections of 2-pentanone ([http://satellite.mpic.de/spectral\\_atlas](http://satellite.mpic.de/spectral_atlas))  
and 1-pentyl nitrate (Clemishaw et al., 1997) were used. From these results, it can be observed that the  
240 experimental photolysis frequencies ( $J_{\text{exp}}$ ) obtained for 3-nitrooxy-2-propanone and 4-nitrooxy-2-butanone are  
very close and can be considered as equal within uncertainties. This suggests that the strong enhancement in the  
242 cross sections induced by the interaction between the two functional groups, which has been observed for  $\alpha$ -  
nitrooxy ketones, also exists with the same amplitude for  $\beta$ -nitrooxy ketones. This is confirmed by the fact the  
244 experimental value for 4-nitrooxy-2-butanone is in very good agreement with the calculated value, obtained by  
assuming that the enhancement factor is the same as the one for 3-nitrooxy-2-propanone. This effect,  
246 nonetheless, is fading away when the two functions are one carbon further away: The experimental photolysis  
frequency obtained for 5-nitrooxy-2-pentanone is indeed significantly lower than those for 3-nitrooxy-2-  
248 propanone and 4-nitrooxy-2-butanone. It is also much lower than the J value calculated by assuming the same  
enhancement factor as for 3-nitrooxy-2-propanone. This result demonstrates that the enhancement is  
250 significantly reduced for  $\gamma$ -nitrooxy ketones even if it is probably not totally annihilated. This can easily be  
explained by the fact that the inductive effect of the nitrate group is expected to decrease when the distance  
252 between the functional groups increases. Finally, by comparing results for 3-nitrooxy-2-propanone, 3-nitrooxy-  
2-butanone and 3-methyl-3-nitrooxy-2-butanone, it can be observed that photolysis frequencies increase with the  
254 substitution of the alkyl chain. From these kinetic data, it can be concluded that photolysis frequencies of  $\alpha$ - and



256  $\beta$ -nitrooxy ketones are much higher than those obtained when considering the sum of the photolysis frequencies  
for monofunctional species (see Table 2).

258 Products formed by the photolysis of the carbonyl nitrates were investigated by FTIR spectrometry. For both  
compounds, only PAN was detected. To calculate its formation yield, concentration of PAN was plotted as a  
260 function of  $-\Delta[\text{nitrate}]_{\text{photolysis}}$ , i.e., the carbonyl nitrate loss rate due to photolysis. This one was calculated by  
subtracting the loss rate measured during the dark period before the photolysis to the one measured during the  
262 photolysis. Because the yield was calculated as the initial slope of the plot, it was considered that the dark period  
before irradiation was more representative than the one after. The uncertainty on the yield was calculated by  
taking into account the uncertainties on the absorption cross sections of PAN (10%) and carbonyl nitrates (10%)  
264 as well as the uncertainty on J (see table 1). For 5-nitrooxy-2-pentanone, this uncertainty is quite large because  
the photolysis rate is relatively slow in comparison to loss to the reactor walls. PAN formation yields obtained  
266 for both compounds are given in Table 1.

For 4-nitrooxy-2-butanone, PAN is formed with a yield equal to unity. Its formation can be explained by the  
268 dissociation of the C(O)-C bond as shown in Scheme 2. This pathway also leads to the formation of the alkyl  
radical  $\cdot\text{CH}_2\text{-CH}_2\text{ONO}_2$  which reacts with  $\text{O}_2$  to form the corresponding peroxy radical, this latter evolving to the  
270 formation of the alkoxy by reaction with NO. Two pathways have been considered for the evolution of the  
alkoxy radical: i) the decomposition which may lead to the formation of  $\text{NO}_2$  and two molecules of HCHO and  
272 ii) the reaction with  $\text{O}_2$  which produces nitrooxy ethanal, also called ethanal nitrate ( $\text{CH}_2(\text{ONO}_2)\text{-CH(O)}$ ). None  
of these two products have been detected by FTIR. However, absorption bands of ethanal nitrate are expected to  
274 be very similar to those of the reactant and it may thus be difficult to distinguish them. In addition, ethanal  
nitrate is expected to photodissociate much faster than the keto nitrate. The other photodissociation pathway is  
276 the cleavage of the O- $\text{NO}_2$  bond. It leads to the formation of the radical  $\text{CH}_3\text{C(O)CH}_2\text{CH}_2\text{O}\cdot$  which is expected  
to react with  $\text{O}_2$  to form a dicarbonyl product. This product was not observed and this is in good agreement with  
278 the formation of PAN with a yield equal to unity by the other photodissociation pathway. It should be noticed  
that PAN has been detected as a primary product suggesting that its formation via the photolysis of the  
280 dicarbonyl product is not expected. In our experiments, it was not possible to measure  $\text{NO}_2$  formation yield  
because large amounts of  $\text{NO}_2$  (hundreds ppb) were introduced together with the carbonyl nitrate (probably due  
282 to its decomposition during the injection).

As discussed above, since the enhancement in the cross sections is larger at the higher wavelengths, where  
284 absorption by the nitrate chromophore is very small, it was proposed by Müller et al. (2014) that the absorption  
by the carbonyl chromophore is enhanced due to the neighboring nitrate group. The authors also suggest that the  
286 photodissociation proceeds by a dissociation of the weak O- $\text{NO}_2$  bond, i.e. that a photon absorption by one  
chromophore (carbonyl group) causes dissociation in another part of the molecule (nitro group). This is not in  
288 line with what we observed in our study. From our experiments, we conclude that the photolysis of 4-nitrooxy-2-  
butanone proceeds mainly by a dissociation of the C(O)-C bond. In the former study on the photolysis of 3-  
290 nitrooxy-2-propanone, 3-nitrooxy-2-butanone and 3-methyl-3-nitrooxy-2-butanone (Suarez-Bertoa et al., 2012),  
PAN and carbonyl compounds (respectively, formaldehyde, acetaldehyde and acetone) were detected as major  
292 products. However, branching ratio of the two pathways (dissociation of O- $\text{NO}_2$  and C(O)-C bonds) could not be  
determined as the formation of these products, in particular PAN, can be explained by the two pathways.





294 For 5-nitrooxy-2-pentanone, formation yield of PAN has been observed to be much lower:  $0.16 \pm 0.08$ . As for 4-  
296 nitrooxy-2-butanone, its formation can be explained by the dissociation of the C(O)-C bond (see Scheme 3). This  
result suggests that both dissociation pathways may occur and that O-NO<sub>2</sub> dissociation could be the major one.  
298 However, this was not confirmed by the detection of the dicarbonyl compound (2-oxo-pentanal) which is  
expected to be formed by this pathway. Despite no standard was available for this compound, no characteristic  
300 band was observed in the residual spectrum (after subtraction of reactants and PAN spectra). Because the  
photolysis rate of 5-nitrooxy-2-pentanone is very low, we suspect that the concentration of this product is below  
302 the detection limit. Nevertheless, the low PAN yield is a strong indication that O-NO<sub>2</sub> dissociation may be the  
major pathway, contrary to what has been observed for 4-nitrooxy-2-butanone. This should be considered in the  
304 light of the low enhancement of absorption cross sections which has been observed for this compound. Hence, in  
the case of  $\gamma$ -nitrooxy ketones, the enhancement of the absorption by the carbonyl chromophore has been  
observed to significantly decrease, leading to a lower branching ratio of the C(O)-C bond dissociation.

### 306 3.2 OH-oxidation of carbonyl nitrates

Rate constants of the OH-oxidation have been measured for 4-nitrooxy-2-butanone and 5-nitrooxy-2-pentanone.  
308 Prior to the experiments, it was checked that the carbonyl nitrates do not photolyse nor decompose/adsorb on the  
walls of the chamber. Figure 3 represents the kinetic plots obtained for the two carbonyl nitrates. For each  
310 compound, several independent kinetic experiments were performed and data were combined to provide the  
 $k_{\text{ketonitrate}}/k_{\text{methanol}}$  for each compound (see Figure 3). In order to limit errors in the quantification of reactants due  
312 to the possible formation of carbonyl nitrates as products, only the very beginning of the experiments was taken  
into account for the kinetic plots. This explains the small number of experimental points. The obtained rate  
314 constants are given in Table 3. These data are, to our knowledge, the first determinations of the rate constants for  
the reaction of OH with these two carbonyl nitrates. From these data, it can be concluded that 4-nitrooxy-2-  
316 butanone and 5-nitrooxy-5-pentanone have similar reactivity towards OH radicals with rate constants equal to  
 $(2.9 \pm 1.0) \times 10^{-12}$  and  $(3.3 \pm 0.9) \times 10^{-12} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ , respectively.

318 Rate constants provided in this study, as well as those previously reported for a series of  $\alpha$ -nitrooxy-ketones  
(Suarez-Bertoa et al., 2012) have been compared to those estimated using structure-activity relationships (SARs)  
320 in Table 4. Different SARs have been evaluated: i) the one developed by Kwok and Atkinson (1995) with  
updated factors  $F(-\text{ONO}_2) = 0.14$  and  $F(-\text{C}-\text{ONO}_2) = 0.28$  from Bedjanian et al. (2018); ii) the one developed by  
322 Kwok and Atkinson (1995) with updated factors  $F(-\text{ONO}_2) = 0.8$  and  $F(-\text{C}-\text{ONO}_2) = 0.1$  from Suarez-Bertoa et  
al. (2012); iii) the one developed by Neeb (2000) which proposes a different type of parametrization and has  
324 been observed to be particularly accurate for oxygenated species; and iv) the one developed by Jenkin et al.  
(2018) which proposes a parametrization very similar to the one from Kwok and Atkinson (1995) and Bedjanian  
326 et al. (2018). The rate constant for 5-nitrooxy-2-pentanone is reasonably well reproduced by all SARs (within a  
factor of 2). For 4-nitrooxy-2-butanone, only the parametrization provided by Suarez-Bertoa et al. (2012)  
328 succeeds to reproduce the experimental value. This can be explained by the fact that this parametrization has  
been optimized for carbonyl nitrates while the others have been developed using the entire dataset for  
330 compounds containing nitrate group (-ONO<sub>2</sub>) (Jenkin et al., 2018) or using the dataset for alkyl nitrates  
(Bedjanian et al., 2018). The main difference between these parametrizations is that in Suarez-Bertoa et al.



332 (2012), the factor  $F(-ONO_2)$  is much less deactivating than for the others. This could result from electronic  
interactions between the two functional groups. However, Suarez-Bertoa et al. (2012) noticed that their  
334 parametrization gives poor results for alkyl nitrates, suggesting that a specific parametrization has to be used for  
multifunctional species. This also suggests that the principle of SARs based on the group additivity method may  
336 not be suitable for multifunctional molecules.

From these experiments, several oxidation products have been detected: HCHO, PAN and methylglyoxal for 4-  
338 nitrooxy-2-butanone, and HCHO, PAN and 3-nitrooxy-propanal for 5-nitrooxy-2-pentanone. However, their  
quantification was highly uncertain because the infrared spectra were complex due to the presence of methanol,  
340 isopropyl nitrite, impurities (in particular acetic acid) and their oxidation products. Dedicated mechanistic  
experiments should now/in the near future be performed using HONO as OH source in order to simplify the  
342 chemical mixture.

### 3.3 Atmospheric implications

344 Atmospheric lifetimes of the investigated compounds have been estimated and are presented in Table 5. For the  
photolysis, lifetimes ( $\tau_{hv} = 1/J$ ) were calculated for a typical actinic flux corresponding to the 1<sup>st</sup> July at noon and  
346 at 40° latitude North. Under these irradiation conditions, they range from 5 to 13 hours. For OH-oxidation,  
lifetimes ( $\tau_{OH} = 1/(k_{OH}[OH])$ ) were calculated using typical OH concentrations of  $2 \times 10^6$  molecule  $cm^{-3}$   
348 (Atkinson and Arey, 2003). They are both equal to approximately two days. Hence, it appears that for 4-  
nitrooxy-2-butanone and for 5-nitrooxy-2-pentanone, photolysis is a more efficient sink than the oxidation by  
350 OH radicals. Same conclusion was obtained for  $\alpha$ -nitrooxy carbonyls (Suarez-Bertoa et al., 2012; Barnes et al.,  
1993; Zhu et al., 1991). However, OH-initiated oxidation is not negligible, especially under polluted conditions  
352 where OH concentrations can be higher than  $1 \times 10^7$  molecule  $cm^{-3}$ .

In order to evaluate the impact of these carbonyl nitrates on the nitrogen budget and the transport of NO<sub>x</sub>, it is  
354 crucial to determine whether their atmospheric sinks, here mainly photolysis, release NO<sub>2</sub> or not. For 4-nitrooxy-  
2-butanone, we observed that the photolysis proceeds mainly by a dissociation of the C(O)-C bond which does  
356 not necessarily lead to the release of NO<sub>2</sub> (see Scheme 2). In our experimental conditions (i.e., with high NO<sub>2</sub>  
mixing ratios), this pathway leads to the formation of PAN which was detected with a yield equal to unity.  
358 Under more realistic NO/NO<sub>2</sub> ratio, this reaction may also produce HCHO and CO<sub>2</sub>. The co-products of PAN,  
which could not be detected in our study, are expected to be formaldehyde + NO<sub>2</sub> or ethanal nitrate. One NO<sub>2</sub>  
360 molecule is hence released in the first hypothesis. Ethanal nitrate may react and undergo photolysis even faster  
than nitrooxy ketones and may thus lead to NO<sub>2</sub> release quite rapidly. However, as data on the reactivity of  
362 ethanal nitrate are not available in the literature, one cannot provide a definite conclusion. In the case of 5-  
nitrooxy-2-pentanone, the dissociation of the C(O)-C bond has been observed to be a minor pathway suggesting  
364 that the major one, which was not directly observed here, is the O-NO<sub>2</sub> dissociation. This process certainly leads  
to the release of NO<sub>2</sub>.

## 366 4 Conclusions



368 This work represents the first study on the atmospheric reactivity of 4-nitrooxy-2-butanone and 5-nitrooxy-2-  
370 pentanone. Thanks to experiments in simulation chambers, photolysis frequencies and rate constants of the OH-  
oxidation were measured for the first time. From these results, it is concluded that, similarly to  $\alpha$ -nitrooxy  
372 ketones,  $\beta$ -nitrooxy ketones have enhanced UV absorption cross sections and quantum yields equal or close to  
unity, making photolysis a very efficient sink for these compounds. For 5-nitrooxy-2-pentanone which is a  $\gamma$ -  
374 nitrooxy ketone, a lower enhancement of cross sections was observed leading to slightly longer atmospheric  
lifetimes (10-15 hours). This can easily be explained by the increasing distance between the two chromophore  
376 groups. Some photolysis products were also detected allowing estimating the branching ratio between the two  
possible pathways, i.e., the dissociation of the C(O)-C bond and the one of the O-NO<sub>2</sub> bond. For 4-nitrooxy-2-  
378 butanone, we conclude that the photolysis proceeds mainly by a dissociation of the C(O)-C bond which does not  
necessarily lead to the release of NO<sub>2</sub>. In the case of 5-nitrooxy-2-pentanone, our results suggest that the  
dissociation of the O-NO<sub>2</sub> bond, leading to NO<sub>2</sub> release, is the major pathway. Reactivity of 4-nitrooxy-2-  
380 butanone and 5-nitrooxy-2-pentanone with OH radicals was also investigated. Both compounds have similar  
reactivity towards OH radicals leading to lifetimes of approximately two days. Experimental rate constants are  
382 in good agreement with those estimated by the SAR proposed by Kwok and Atkinson (1995) when using the  
parametrization proposed by Suarez-Bertoa et al. (2012) for carbonyl nitrates. However, this specific  
384 parametrization does not allow reproducing experimental data for monofunctional alkyl nitrates, suggesting that  
specific parametrization should be used for multifunctional species. Finally, these compounds are expected to be  
removed from the atmosphere fairly rapidly and to act as (only) temporary reservoirs of NO<sub>x</sub>. If formed during  
386 the night, they could however contribute to longer range transport of NO<sub>x</sub>.

#### *Author contributions*

388 BPV coordinated the research project. BPV, RSB and JFD designed the experiments in simulation chambers.  
RSB performed the experiments with the technical support of MC and EP. RSB and MDa performed the organic  
390 syntheses. BPV, RSB and MDu performed the data treatment and interpretation. BPV and RSB wrote the paper  
and BPV was in charge of its final version. All coauthors revised the manuscript content, giving final approval of  
392 the version to be submitted.

#### *Competing interests*

394 The authors declare that they have no conflict of interest.

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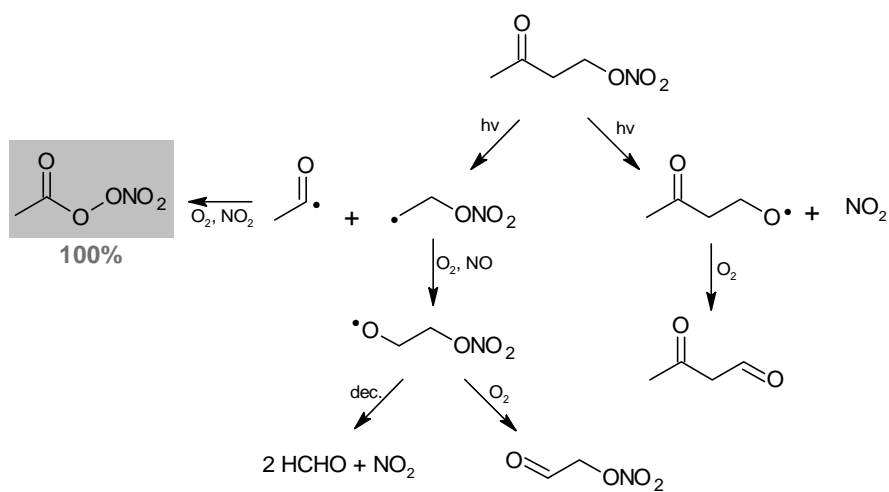
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**Figure, scheme and table captions**

- 504 **Scheme 1.** Photolysis pathways of 4-nitrooxy-2-butanone  
**Scheme 2.** Photolysis pathways of 5-nitrooxy-2-pentanone
- 506 **Figure 1.** Kinetic plots for (a) the photolysis of 4-nitrooxy-2-butanone (experiment 2) and (b) the photolysis of  
5-nitrooxy-2-pentanone (experiment 5).
- 508 **Figure 2.** Kinetic plots for the oxidation by OH radicals of 4-nitrooxy-2-butanone and 5-nitrooxy-2-pentanone.  
For 5-nitrooxy-2-pentanone, data have been shifted by 0.2 in y axis.
- 510 **Table 1.** Photolysis rate and PAN yield for 4-nitrooxy-2-butanone and 5-nitrooxy-2-pentanone  
**Table 2.** Comparison of experimental photolysis rates of carbonyl nitrates with calculated ones.
- 512 **Table 3.** Rate constants for the OH-oxidation of 4-nitrooxy-2-butanone and 5-nitrooxy-2-pentanone  
**Table 4.** Comparison of experimental rate constants for the OH-oxidation of carbonyl nitrates with those  
514 estimated by SARs  
**Table 5.** Atmospheric lifetimes of carbonyl nitrates towards photolysis and reaction with OH radicals
- 516

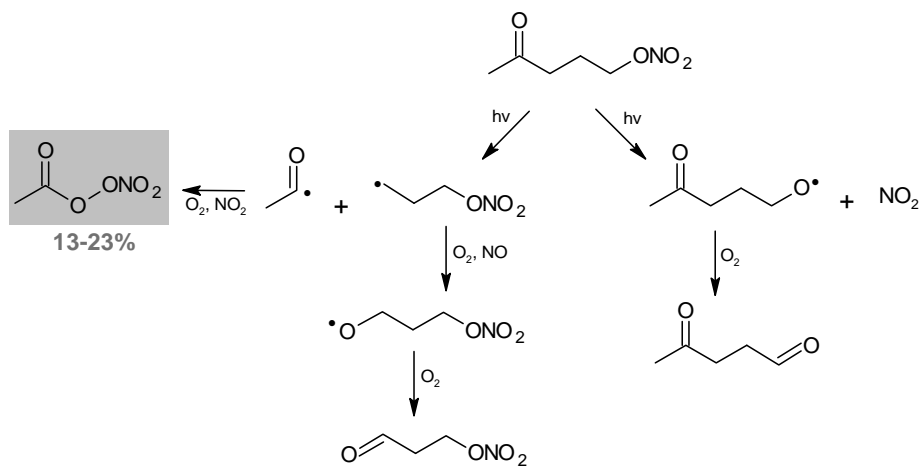


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Scheme 1.

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522 Scheme 2.



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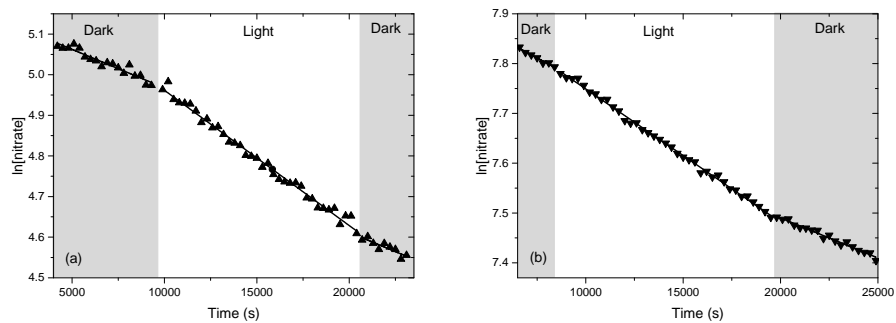
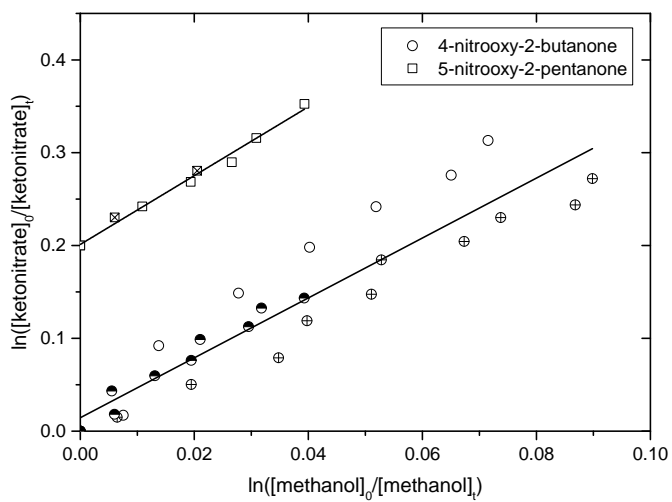


Figure 1.



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Figure 2.



**Table 1.**

Compound	Experim.	$k_{\text{before}}^a$ ( $\times 10^{-5} \text{ s}^{-1}$ )	$k_{\text{after}}^b$ ( $\times 10^{-5} \text{ s}^{-1}$ )	$(k+J)^c$ ( $\times 10^{-5} \text{ s}^{-1}$ )	$J^d$ ( $\times 10^{-5} \text{ s}^{-1}$ )	PAN yield (%)
4-nitrooxy-2- butanone	1	$0.8 \pm 0.1$	-	$2.1 \pm 0.1$	$1.3 \pm 0.2$	$100 \pm 35$
	2	$1.9 \pm 0.2$	$2.1 \pm 0.1$	$3.3 \pm 0.1$	$1.3 \pm 0.3$	$100 \pm 40$
	Average				$1.3 \pm 0.2$	$100 \pm 30$
	Corrected average <sup>e</sup>				<b><math>4.2 \pm 0.6</math></b>	
5-nitrooxy-2- pentanone	3	$2.0 \pm 0.2$	$1.1 \pm 0.2$	$2.3 \pm 0.1$	$0.7 \pm 0.4$	$13 \pm 9$
	4	$1.9 \pm 0.2$	$1.1 \pm 0.1$	$2.2 \pm 0.1$	$0.7 \pm 0.4$	$13 \pm 9$
	5	$2.1 \pm 0.2$	$1.6 \pm 0.2$	$2.7 \pm 0.1$	$0.8 \pm 0.3$	$23 \pm 13$
	Average				$0.7 \pm 0.2$	$16 \pm 8$
	Corrected average <sup>e</sup>				<b><math>2.2 \pm 0.7</math></b>	

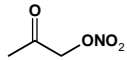
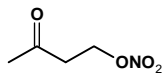
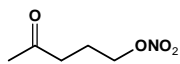
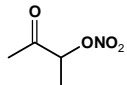
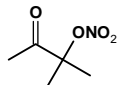
536 <sup>a</sup> and <sup>b</sup> dark decay due to wall loss, before and after irradiation; <sup>c</sup> decay during irradiation period;  
538 <sup>d</sup> photolysis rate; <sup>e</sup> atmospheric photolysis rate obtained by correcting the averaged photolysis  
rate with a factor of 3.2 (see sect. 2.1).

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542



544 **Table 2.**

Compound	$J_{\text{exp}} (\times 10^{-5} \text{ s}^{-1})$	$J_{\text{calc}} (\times 10^{-5} \text{ s}^{-1})$ ( $\phi=1$ )	$J_{\text{ketone}} + J_{\text{nitrate}}$ ( $\times 10^{-5} \text{ s}^{-1}$ ) <sup>c</sup>
<b>3-nitrooxy-2-propanone</b> 	$4.8 \pm 0.3$ (Suarez-Bertoa et al., 2012)	$4.3^{\text{b}}$	0.3
<b>4-nitrooxy-2-butanone</b> 	$4.2 \pm 0.6$ (This work)	$4.3^{\text{a}}$	0.8
<b>5-nitrooxy-2-pentanone</b> 	$2.2 \pm 0.7$ (This work)	$5.6^{\text{a}}$	ND
<b>3-nitrooxy-2-butanone</b> 	$5.7 \pm 0.3$ (Suarez-Bertoa et al., 2012)	$6.4^{\text{b}}$	0.8
<b>3-methyl-3-nitrooxy-2-butanone</b> 	$7.4 \pm 0.2$ (Suarez-Bertoa et al., 2012)	ND	ND

<sup>a</sup> calculated with estimated cross sections (see text); <sup>b</sup> calculated with experimental cross sections

546 from literature; <sup>c</sup> obtained from TUV NCAR model.



**Table 3.**

<b>Compound</b>	$k_{\text{nitrate}}/k_{\text{methanol}}$	$k_{\text{nitrate}} \times 10^{-12}$ ( $\text{cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ )
4-nitrooxy-2-butanone	$3.25 \pm 0.47$	$2.9 \pm 1.0$
5-nitrooxy-2-pentanone	$3.70 \pm 0.28$	$3.3 \pm 0.9$



548 **Table 4.**

Compound	$k_{\text{exp}}$ $\times 10^{-13}$	$k_{\text{SAR}}$ Atkinson/Bedjanian <sup>1</sup> $\times 10^{-13}$	$k_{\text{SAR}}$ Atkinson/Suarez <sup>2</sup> $\times 10^{-13}$	$k_{\text{SAR}}$ Neeb <sup>3</sup> $\times 10^{-13}$	$k_{\text{SAR}}$ Jenkin <sup>4</sup> $\times 10^{-13}$
3-nitrooxy-2-propanone	6.7 <sup>5</sup>	2.0	6.6	5.8	2.5
3-nitrooxy-2-butanone	10.1 <sup>5</sup>	4.5	13.2	6.8	3.7
3-methyl-3nitrooxy-2-butanone	2.6 <sup>5</sup>	4.0	2.1	2.4	4.3
4-nitrooxy-2-butanone	29 <sup>6</sup>	8.1	30.9	8.7	8.1
5-nitrooxy-2-pentanone	33 <sup>6</sup>	21.4	22.5	47.6	19.5

550 Rate constants are expressed in  $\text{cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ ; <sup>1</sup> SAR developed by Kwok and Atkinson (1995) with F(-  
552  $\text{ONO}_2$ ) and F(-C- $\text{ONO}_2$ ) from Bedjanian et al., 2018; <sup>2</sup> SAR developed by Kwok and Atkinson (1995) with F(-  
 $\text{ONO}_2$ ) and F(-C- $\text{ONO}_2$ ) from Suarez-Bertoa et al., (2012); <sup>3</sup> SAR developed by Neeb (2000); <sup>4</sup> SAR developed  
by Jenkin et al. (2018); <sup>5</sup> experimental data from Suarez-Bertoa et al. (2012); <sup>6</sup> This work.



**Table 5.**

Compound	$J \times 10^{-5}$ ( $s^{-1}$ )	$\tau_{hv}$ (hours)	$k_{OH} \times 10^{-12}$ ( $cm^3 \text{ molecule}^{-1} s^{-1}$ )	$\tau_{OH}^1$ (hours)
4-nitrooxy-2-butanone	$4.2 \pm 0.6$	7	$2.9 \pm 1.0$	48
5-nitrooxy-2-pentanone	$2.2 \pm 0.7$	13	$3.3 \pm 0.9$	42

554 <sup>1</sup>: estimated for  $[OH] = 2 \times 10^6 \text{ molecule cm}^{-3}$