

Photolysis and oxidation by OH radicals of two carbonyl

2 nitrates: 4-nitrooxy-2-butanone and 5-nitrooxy-2-pentanone

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12 Abstract

Multifunctional organic nitrates, including carbonyl nitrates, are important species formed in NO_x rich atmospheres by the degradation of VOCs. These compounds have been shown to play a key role in the transport of reactive nitrogen and consequently in the ozone budget, but also to be important components of the total organic aerosol. However, very little is known about their reactivity in both gas and condensed phases. Following a previous study we published on the gas-phase reactivity of α -nitrooxy ketones, the photolysis and the reaction with OH radicals of 4-nitrooxy-2-butanone and 5-nitrooxy-2-pentanone, respectively a β -nitrooxy ketone and a γ -nitrooxy ketone, were investigated for the first time in simulation chambers. The photolysis frequencies were directly measured in CESAM chamber which is equipped with a very realistic irradiation system. The ratios $j_{\text{nitrate}}/j_{\text{NO}_2}$ were found to be $(5.9 \pm 0.9) \times 10^{-3}$ for 4-nitrooxy-2-butanone and $(3.2 \pm 0.9) \times 10^{-3}$ for 5-nitrooxy-2-pentanone under our experimental conditions. From these results, it was estimated that ambient photolysis frequencies calculated for typical tropospheric irradiation conditions corresponding to the 1st July at noon and at 40° latitude North (overhead ozone column 300, albedo 0.1) are $(6.1 \pm 0.9) \times 10^{-5} \text{ s}^{-1}$ and $(3.3 \pm 0.9) \times 10^{-5} \text{ s}^{-1}$ for 4-nitrooxy-2-butanone and 5-nitrooxy-2-pentanone, respectively. These results demonstrate that photolysis is a very efficient sink for these compounds with atmospheric lifetimes of few hours. They also suggest that, similarly to α -nitrooxy ketones, β -nitrooxy ketones have enhanced UV absorption cross sections and quantum yields equal or close to unity and that γ -nitrooxy ketones have lower enhancement of cross sections which can easily be explained by the larger distance between the two chromophore groups. Thanks to a product study, branching ratio between the two possible photodissociation pathways are also proposed. Rate constants for the reaction with OH radicals were found to be $(2.9 \pm 1.0) \times 10^{-12} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ and $(3.3 \pm 0.9) \times 10^{-12} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$, respectively. These experimental data are in good agreement with rate constants estimated by the SAR of Kwok and Atkinson (1995) when using the parametrization proposed by Suarez-Bertoa et al. (2012) for carbonyl nitrates. Comparison with photolysis rates suggests that OH-

initiated oxidation of carbonyl nitrates is a less efficient sink than photodissociation but is not negligible in polluted area.

1 Introduction

Organic nitrates play an important role as sinks or temporary reservoirs of NO_x, as well as on ozone production in the atmosphere (Perring et al., 2013; Perring et al., 2010; Ito et al., 2007). They are formed by the degradation of VOCs in presence of NO_x through two main processes: i) the reaction of peroxy radical, produced by the oxidation of VOCs, with NO. The major pathway is generally the reaction (1a) that leads to NO₂ formation. The reaction (1b) is a minor channel but it becomes gradually more important with increasing peroxy radical carbon chain length (Atkinson and Arey, 2003; Finlayson-Pitts and Pitts, 2000).



ii) The reaction of unsaturated VOCs with NO₃ radical, which proceeds mainly by addition of the nitrate radical on the double bond to produce nitro-alkyl radicals that can evolve into organic nitrates.

Among the organic nitrates, a variety of multifunctional species such as hydroxy-nitrates, carbonyl-nitrates and dinitrates are formed. The formed species have been shown to significantly contribute to the nitrogen budget in both rural and urban areas (Perring et al. 2013). Beaver et al. (2012) have observed that carbonyl nitrates, formed as second generation nitrates from isoprene, are an important fraction of the total organic nitrates observed over Sierra Nevada in summer. These observations are supported by several studies that investigated the photooxidation of isoprene in simulation chambers (Paulot et al., 2009, Müller et al., 2014). These multifunctional organic nitrates are also semi-/non-volatile and highly soluble species and are thus capable of partitioning into the atmospheric condensed phases (droplets, aerosols). Numerous field observations of the chemical composition of atmospheric particles have shown that organic nitrates represent a significant fraction (up to 75% in mass) of the total organic aerosol (OA) demonstrating that these species are important components of total OA (Ng et al., 2017).

Several modeling studies have also confirmed that multifunctional organic nitrates, in particular isoprene nitrates, play a key role in the transport of reactive nitrogen and consequently in the formation of ozone and other secondary pollutants at the regional and global scales (Horowitz et al., 2007; Mao et al., 2013; Squire et al., 2015). In particular, Mao et al. (2013) have performed simulations based on data from the ICARTT aircraft campaign across the eastern U.S. in 2004. They have shown that organic nitrates, which are mainly composed of secondary organic nitrates, including a large fraction of carbonyl nitrates, provide an important pathway for exporting NO_x from the U.S.'s boundary layer, even exceeding the export of peroxy acyl nitrates (PANs). However, these modeling studies also point out the need for additional experimental data to better describe the sinks in both gas and condensed phases of multifunctional organic nitrates in models.

Recent experimental studies have revealed that hydrolysis in aerosol phase may be a very efficient sink of organic nitrates in the atmosphere (Bean and Hildebrand Ruiz, 2015; Rindelaub et al., 2015). These studies also suggest that the rate of these reactions strongly depends on the organic nitrate chemical structure and that

74 additional work is needed to better understand these processes. In the gas-phase, photolysis and reaction with
76 OH radical are expected to dominate the fate of organic nitrates (Roberts et al., 1990; Turberg et al., 1990). In a
78 previous study, we have measured the photolysis frequencies and the rate constants for the OH-oxidation of 3
80 carbonyl nitrates (α -nitrooxyacetone, 3-nitrooxy-2-butanone, and 3-methyl-3-nitrooxy-2-butanone) and we have
82 shown that photolysis is the dominant sink for these compounds (Suarez-Bertoa et al., 2012). By comparison
with absorption cross sections provided by Barnes et al. (1993), Müller et al. (2014) suggested i) that the α -
nitrooxy ketones have enhanced absorption cross sections, due to the interaction between the C=O and
the -ONO_2 chromophore groups and ii) that the photolysis quantum yield is close to unity and O-NO_2
dissociation is the likely major channel. They also showed that this enhancement was larger at the higher
wavelengths, where the absorption by the nitrate chromophore is very small. Therefore, they concluded that the
absorption by the carbonyl chromophore was the one enhanced due to the neighbouring nitrate group.

84 These results are significant as they demonstrate that photolysis frequencies of these multifunctional species
cannot be calculated as the sum of the monofunctional species (ketone + alkyl nitrate) ones. However, only α -
86 nitrooxy ketones were studied which leaves open the question of the persistence of the enhancement effect when
the distance between the two functional groups increases. More recently, Xiong et al. (2016) have studied the
88 atmospheric degradation (photolysis, OH-oxidation and ozonolysis) of *trans*-2-methyl-4-nitrooxy-2-buten-1-al
(also called 4,1-isoprene nitrooxy enal) in order to better assess – as a model compound - the reactivity of
90 carbonyl nitrates formed by the NO_3 -initiated oxidation of isoprene. This compound has a conjugated
chromophore -C=C-C=O in β position of the nitrate group. The authors measured the absorption cross sections
92 of the nitroxy enal and compared them to those for the monofunctional species, i.e. methacrolein and isopropyl
nitrate. They concluded that molecules containing β -nitrooxy ketones functionalities have also enhanced UV
94 absorption cross sections. They also studied the kinetic and mechanisms for the oxidation of the nitroxy enal by
OH and O_3 . They conclude that photolysis and reaction with OH are thus the two main loss processes of *trans*-2-
96 methyl-4-nitrooxy-2-buten-1-al, leading to a tropospheric lifetime of less than 1 h.

Given the large contribution of the carbonyl nitrates to the organic nitrate pool and the importance of their
98 photochemistry for the NO_x budget, we present a study that aims at providing new experimental data on the gas-
phase reactivity of these compounds. The study also seeks to disclose how photolysis and reaction with OH
100 radical of carbonyl nitrates are affected by modifying their carbon chain length and the position of the two
functional groups present in their molecular structure. Here, we provide the first photolysis frequencies and also
102 the first rate constants for the OH-oxidation of two carbonyl nitrates: 4-nitrooxy-2-butanone and 5-nitrooxy-2-
pentanone.

104 **2 Experimental section**

2.1 Reactants syntheses

106 As a usual OH precursor in simulation chamber experiments, isopropyl nitrite was synthesized by dropwise
addition of a dilute solution of H_2SO_4 into a mixture of NaNO_2 and isopropanol following the classical protocol
108 proposed by Taylor et al. (1980).

110 On the contrary, 4-nitroxy-2-butanone and 5-nitroxy-2-pentanone were synthesized for the first time. A great
112 care was taken to the development of a robust process: 4-nitroxy-2-butanone and 5-nitroxy-2-pentanone
114 syntheses are based on Kames' method (Kames et al., 1993). This method consists in a liquid/gas phase reaction
116 where the corresponding hydroxy-ketone reacts with NO₃ radicals released from the dissociation of N₂O₅. N₂O₅
118 was preliminarily synthesized in a vacuum line by reaction of NO₂ with ozone, as described by Scarfoglio et
120 al. (2006). The synthesis of the carbonyl nitrate is performed in a dedicated vacuum line connected to two bulbs,
122 one containing the hydroxy-ketone, the other one containing N₂O₅. The two bulbs are also connected to each
124 other. In a first step, the bulbs are placed in a liquid N₂ cryogenic trap and pumped in order to remove air and
impurities. In a second step, the cryogenic trap is removed from the bulb containing N₂O₅ in order to let it warm
and transfer into the bulb containing the hydroxy-ketone. Then, the bulb containing both reactants is stirred and
kept at ice temperature for approximately 1h. Finally, the resulting carbonyl nitrate and nitric acid, its co-
product, were separated by liquid-liquid extraction using dichloromethane and water. The carbonyl nitrate
structure and purity were verified by FT-IR and GC-MS. Traces of impurities (HCOOH and CH₃COOH) have
been detected. The carbonyl nitrates were stored at -18° C and under nitrogen atmosphere to prevent them from
decomposition. Infrared spectra of 4-nitroxy-2-butanone and 5-nitroxy-2-pentanone are available on
EUROCHAMP Data Centre (<https://data.eurochamp.org>).

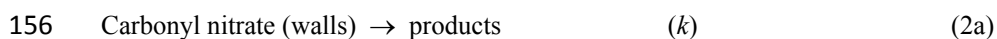
2.2 Determination of photolysis frequencies

126 The photolysis frequencies of the two carbonyl nitrates were determined by carrying out experiments in the
128 CESAM simulation chamber which is only briefly described here as detailed information can be found in Wang
130 et al. (2011). The chamber consists of a 4.2 m³ stainless steel vessel equipped with a multiple reflection optical
132 system interfaced to a FTIR spectrometer (Bruker Tensor 37) and also with NO, NO₂ and O₃ analyzers (Horiba)
134 to monitor the composition of the gas phase. The chamber is also equipped with three high pressure xenon arc
lamps (MH-Diffusion, MacBeam 4000) which are combined with 7 mm Pyrex filters. This irradiation device
136 provides a very realistic actinic flux (see comparison with the solar actinic flux in Figure S1) which allows
measuring photolysis frequencies under realistic conditions (Wang et al., 2011; Suarez-Bertoa et al., 2012).
138 However, since the intensity of the irradiation in CESAM chamber is lower than the one in ambient
environment, $j_{\text{nitrate}}/j_{\text{NO}_2}$ were provided in order to allow calculating j_{nitrate} under various sunlight conditions.
140 Hence, the intensity of the actinic flux was determined by measuring the photolysis frequency of NO₂ (J_{NO_2})
during dedicated experiments. 400 ppbv of NO₂ in 1000 mbar of N₂ were injected into CESAM chamber and
142 kept in the dark for 20 min. The lights were then turned on during 20 min, and finally the mixture was left in the
dark for an additional 20 min period. The photolysis frequency was subsequently determined using a kinetic
numeric model developed for previous NO_x photo-oxidation experiments in CESAM (Wang et al., 2011). The
fitting of modeled values from the measured data provided a NO₂ photolysis frequency equal to $2.2 \times 10^{-3} \text{ s}^{-1}$ (\pm
0.01, 2 σ error).

During a typical experiment, carbonyl nitrates were introduced into the chamber which was preliminarily filled
144 at atmospheric pressure with N₂/O₂ (80/20). For the injection, the bulb containing the carbonyl nitrate was
connected to the chamber and slightly heated while it was flushed with N₂. Mixing ratios of carbonyl nitrates
146 ranged from hundreds ppb to ppm. Because carbonyl nitrates may decompose during the injection, large amounts
of NO₂ (hundreds ppb) were present in the mixture. Cyclohexane was also added to the mixture as an OH-

148 scavenger with mixing ratios of approx. 4 ppm. Considering the fact that cyclohexane is approximately twice
 150 more reactive with OH radicals than the carbonyl nitrates (see Results section), it was estimated that between 80
 152 and 98% of the OH radicals were scavenged (depending on the experiment considered). The mixture was kept
 154 under dark conditions during two hours to be able to assess the impact of the reactor's walls and to minimize
 their effects by passivation. Then, the mixture was irradiated during 3 hours. For most experiments, the mixture
 was finally left in the dark for approximately 1 hour after the irradiation period to allow for verifying if wall
 losses were constant during the entire duration of the experiment.

During the experiment, the carbonyl nitrate loss processes can be described as:



158 $-\frac{d[\text{nitrate}]}{dt} = (j_{\text{nitrate}} + k) \times [\text{nitrate}]$ (Eq. 1)

$\ln[\text{nitrate}]_t = \ln[\text{nitrate}]_0 - (k + j_{\text{nitrate}}) \times t$ (Eq. 2)

160 The reaction (2a) had to be added to the system to take into account the interaction or adsorption of the carbonyl
 nitrates on the stainless steel walls of CESAM during the experiments. By plotting $\ln[\text{nitrate}]_t$ vs. time, where
 162 $[\text{nitrate}]_t$ is the concentration of the carbonyl nitrate at time t, a straight line is obtained with a slope of $(k +$
 $j_{\text{nitrate}})$. The same approach was applied to each of the 'dark' periods, before and after irradiation, to determine
 164 their respective dark decay rates, namely k_{before} and k_{after} and k was calculated as the average of k_{before} and k_{after} for
 each experiment. Finally, j_{nitrate} was calculated as the difference between the loss rate during the irradiation
 166 period $(k + j_{\text{nitrate}})$ and the averaged loss rate during the dark periods (k) .

The uncertainties were calculated by adding the respective statistical errors (2σ) associated to the dark and light
 168 periods, the former set as the average of the uncertainties determined for both dark periods (i.e., before and after
 irradiation). However, for some experiments, it was observed that the dark decay rate before irradiation was
 170 significantly higher than the one after, suggesting that wall loss process is more complex than a "simple" first
 order process and may decrease with time due to a passivation of the walls. More generally, interactions of gases
 172 with walls remain poorly understood and are currently subject to intensive investigations by the scientific
 community. Here, in order to determine wall loss decays which are as representative as possible of the one
 174 during the irradiation period, the first experimental points (after the injection of the carbonyl nitrate) were not
 taken into account for the linear fit leading to the determination of k_{before} . In addition, the uncertainty was not
 176 calculated using the approach detailed above, the statistical error being too low compared to the difference
 between k_{before} and k_{after} . The uncertainty was thus estimated in order to include the lowest and the highest J_{nitrate}
 178 values calculated with the highest and the lowest k value, respectively. Finally, the overall uncertainty associated
 with the photolysis frequency of each of the carbonyl nitrates was calculated as the average of the uncertainties
 180 obtained for each experiment, divided by the square root of the number of experiments (2 or 3).

2.3 Determination of the OH-oxidation rate constants

182 The kinetic experiments for the OH-oxidation of the carbonyl nitrates were performed in the CSA chamber at
room temperature and atmospheric pressure, in a mixture of N₂/O₂ (80/20). The chamber consists of a 977 L
184 Pyrex™ vessel irradiated by two sets of 40 fluorescent tubes (Philips TL05 and TL03) that surround the
chamber. The emissions of these black lamps are centered on 360 and 420 nm, respectively. The chamber is
186 equipped with a multiple reflection optical system with a path length of 180 m interfaced to a FTIR spectrometer
(Vertex 80 from Bruker). Additional details about this smog chamber are given elsewhere (Doussin et al., 1997;
188 Duncianu et al., 2017).

The relative rate technique was used to determine the rate constant for the OH-oxidation of the carbonyl nitrates
190 with methanol as reference compound. We used the IUPAC recommended value $k_{(\text{methanol}+\text{OH})} = (9.0 \pm 1.8) \times$
 $10^{-13} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ (<http://www.iupac-kinetic.ch.cam.ac.uk/>). Hydroxyl radicals were generated by
192 photolyzing isopropyl nitrite. Initial mixing ratios of reactants (carbonyl nitrate, isopropyl nitrite, methanol and
NO) were in the ppm range. As previously described, the carbonyl nitrate was introduced into the chamber by
194 connecting the bulb to the chamber and by slightly heating and flushing it with N₂. NO was added to the mixture
in order to enhance the formation of OH radicals by reaction with HO₂ radicals which are formed by isopropyl
196 nitrite photolysis. All experiments were conducted during a 1 h period of continuous irradiation.

Prior to the experiments, it was verified that photolysis and wall losses of the studied compounds were negligible
198 under our experimental conditions. This can be explained by the facts that i) the irradiation system of CSA
chamber emits photons at significantly higher wavelengths than the one of CESAM chamber, and ii) the walls of
200 the chamber are made of Pyrex which is more chemically inert than stainless steel. It was therefore assumed that
reaction with OH is the only fate of both, the studied compound (carbonyl nitrate) and the reference compound
202 (methanol) and that neither of these compounds is reformed at any stage during the experiment. Based on these
hypotheses, it can be shown that (Atkinson, 1986):

$$204 \quad \ln \frac{[\text{nitrate}]_0}{[\text{nitrate}]_t} = \frac{k_{\text{nitrate}}}{k_{\text{methanol}}} \times \frac{[\text{methanol}]_0}{[\text{methanol}]_t} \quad (\text{Eq. 3})$$

where [nitrate]₀ and [methanol]₀, and [nitrate]_t and [methanol]_t stand for the concentration of the carbonyl nitrate
206 and the reference compound at times 0 and t, respectively. The plot $\ln([\text{nitrate}]_0/[\text{nitrate}]_t)$ vs.
 $\ln([\text{methanol}]_0/[\text{methanol}]_t)$ is linear with a slope equal to $k_{\text{nitrate}}/k_{\text{methanol}}$ and an intercept of zero. The uncertainty
208 on k_{nitrate} was calculated by adding the relative uncertainty corresponding to the statistical error on the linear
regression (2σ) and the error on the reference rate constant (here 20 % for methanol).

210 2.4 Chemicals and gases

Dry synthetic air was generated using N₂ (from liquid nitrogen evaporation, >99.995% pure, <5 ppm H₂O, Linde
212 Gas) and O₂ (quality N45, >99.995% pure, <5 ppm H₂O, Air Liquide). Chemicals obtained from commercial
sources are: NO (quality N20, >99% Air Liquide), NO₂ (quality N20, >99% Air Liquide), 4-hydroxy-2-butanone
214 (95% Aldich), 5-hydroxy-2-pentanone (95% Aldich), cyclohexane (VWR), methanol (J.T. Baker), H₂SO₄ (95%
VWR), NaNO₂ (≥99 Prolabo), isopropanol (VWR).

216 3 Results and discussion

3.1 Photolysis of carbonyl nitrates

218 Figure 1 presents the kinetic plots obtained for the two compounds, where $\ln[\text{nitrate}]$ was plotted as a function of
220 time. A significant decrease was observed for both compounds during the dark period, before and after
222 irradiation, suggesting that they adsorb or decompose on the walls. Photolysis frequencies were thus calculated
224 as the difference between the decay rates in the dark and the one under irradiation (see section 2.2). Results
226 obtained for both compounds and for all experiments are given in Table 1. For 4-nitrooxy-2-butanone, photolysis
228 frequencies are in good agreement despite the fact that decay rates in the dark differ from an experiment to
230 another. For 5-nitrooxy-2-butanone, it can be seen that the decay rate in the dark before irradiation is
232 significantly higher than the one after (in particular for experiments 3 and 4), suggesting that wall losses may
234 decrease with time due to a passivation of the walls. Despite this, comparison of the three experiments showed
236 good agreement. For CESAM irradiation conditions, the photolysis frequencies are $(1.3 \pm 0.2) \times 10^{-5} \text{ s}^{-1}$ for 4-
238 nitrooxy-2-butanone and $(0.7 \pm 0.2) \times 10^{-5} \text{ s}^{-1}$ for 5-nitrooxy-2-pentanone. Hence, $j_{\text{nitrate}}/j_{\text{NO}_2}$ were found to be
 $(5.9 \pm 0.9) \times 10^{-3}$ for 4-nitrooxy-2-butanone and $(3.2 \pm 0.9) \times 10^{-3}$ for 5-nitrooxy-2-pentanone.

230 These photolysis frequencies have been compared in Table 2 to those we obtained in a previous study (Suarez-
232 Bertoa et al., 2012) for 3-nitrooxy-2-propanone, 3-nitrooxy-2-butanone and 3-methyl-3-nitrooxy-2-butanone
234 using the same experimental conditions and methodology. Experimental photolysis frequencies have also been
236 compared to those calculated using cross sections published in the literature and by assuming a quantum yield
238 equal to unity. The intensity of the actinic flux in CESAM chamber was determined by combining measurement
of the spectrum of the lamps with a spectroradiometer and determination of j_{NO_2} by chemical actinometry (see
section 2.2). For 3-nitrooxy-2-propanone and 3-nitrooxy-2-butanone, cross sections were taken from Barnes et
al. (1993). For 4-nitrooxy-2-butanone and 5-nitrooxy-2-pentanone, for which no data have been provided in the
literature, cross sections were estimated using those for corresponding monofunctional species and by applying
the enhancement factor (r_{nk}) obtained for 3-nitrooxy-2-propanone (Müller et al., 2014):

$$240 \quad r_{nk} = \frac{s_{nk}}{s_n + s_k} \quad (\text{Eq.4})$$

Where s_{nk} , s_n and s_k are the absorption cross sections of the keto nitrate, the alkyl nitrate and the ketone,
242 respectively. For 4-nitrooxy-2-butanone, cross sections of 2-butanone and 1-butyl nitrate were taken from
IUPAC, 2006. For 5-nitrooxy-2-pentanone, cross sections of 2-pentanone (http://satellite.mpic.de/spectral_atlas)
244 and 1-pentyl nitrate (Clemitshaw et al., 1997) were used. From these results, it can be observed that the
246 experimental photolysis frequencies (j_{exp}) obtained for 3-nitrooxy-2-propanone and 4-nitrooxy-2-butanone are
very close and can be considered as equal within uncertainties. This suggests that the strong enhancement in the
cross sections induced by the interaction between the two functional groups, which has been observed for α -
248 nitrooxy ketones, also exists with the same amplitude for β -nitrooxy ketones. This is confirmed by the fact the
experimental value for 4-nitrooxy-2-butanone is in very good agreement with the calculated value, obtained by
250 assuming that the enhancement factor is the same as the one for 3-nitrooxy-2-propanone. This effect,
nonetheless, seems to fade away when the two functions are one carbon further away: The experimental
252 photolysis frequency obtained for 5-nitrooxy-2-pentanone is indeed significantly lower than those for 3-
nitrooxy-2-propanone and 4-nitrooxy-2-butanone. It is also much lower than the J value calculated by assuming
254 the same enhancement factor as for 3-nitrooxy-2-propanone. This result suggests that the enhancement is

256 significantly reduced for γ -nitrooxy ketones even if it is probably not totally absent. This can easily be explained
258 by the fact that the inductive effect of the nitrate group is expected to decrease when the distance between the
260 functional groups increases. Another explanation would be that the quantum yield is significantly lower than
262 unity. Finally, by comparing results for 3-nitrooxy-2-propanone, 3-nitrooxy-2-butanone and 3-methyl-3-
nitrooxy-2-butanone, it can be observed that photolysis frequencies increase with the substitution of the alkyl
chain. From these kinetic data, it can be concluded that photolysis frequencies of α - and β -nitrooxy ketones are
much higher than those obtained when considering the sum of the photolysis frequencies for monofunctional
species.

Products formed by the photolysis of the carbonyl nitrates were investigated by FTIR spectrometry. For both
264 compounds, only peroxy acetyl nitrate (PAN) was detected. To calculate its formation yield, concentration of
PAN was plotted as a function of $-\Delta[\text{nitrate}]_{\text{photolysis}}$, i.e., the carbonyl nitrate loss rate due to photolysis. This one
266 was calculated by subtracting the loss rate measured during the dark period before the photolysis to the one
measured during the photolysis. Because the yield was calculated as the initial slope of the plot, it was
268 considered that the dark period before irradiation was more representative than the one after. The uncertainty on
the yield was calculated by taking into account the uncertainties on the infrared absorption cross sections of PAN
270 (10%) and carbonyl nitrates (10%) as well as the uncertainty on j (see table 1). For 5-nitrooxy-2-pentanone, this
uncertainty is quite large because the photolysis rate is relatively slow in comparison to loss to the reactor walls.
272 PAN formation yields obtained for both compounds are given in Table 1.

For 4-nitrooxy-2-butanone, PAN is formed with a yield equal to unity. Its formation can be explained by the
274 dissociation of the C(O)-C bond as shown in Scheme 1. This pathway also leads to the formation of the alkyl
radical $\cdot\text{CH}_2\text{-CH}_2\text{ONO}_2$ which reacts with O_2 to form the corresponding peroxy radical, this latter evolving to the
276 formation of the alkoxy by reaction with NO. Two pathways have been considered for the evolution of the
alkoxy radical: i) the decomposition which may lead to the formation of NO_2 and two molecules of HCHO and
278 ii) the reaction with O_2 which produces nitrooxy ethanal, also called ethanal nitrate ($\text{CH}_2(\text{ONO}_2)\text{-CH(O)}$). None
of these two products have been detected by FTIR. However, absorption bands of ethanal nitrate are expected to
280 be very similar to those of the reactant and it may thus be difficult to distinguish them. In addition, ethanal
nitrate is expected to photodissociate much faster than the keto nitrate. The other photodissociation pathway is
282 the cleavage of the O- NO_2 bond. It leads to the formation of the radical $\text{CH}_3\text{C(O)CH}_2\text{CH}_2\text{O}\cdot$ which is expected
to react with O_2 to form a dicarbonyl product. This product was not observed and this is in good agreement with
284 the formation of PAN with a yield equal to unity by the other photodissociation pathway. It should be noticed
that PAN has been detected as a primary product suggesting that its formation via the photolysis of the
286 dicarbonyl product is not expected. In our experiments, it was not possible to measure NO_2 formation yield
because large amounts of NO_2 (hundreds ppb) were introduced with the carbonyl nitrate (probably due to its
288 decomposition during the injection).

As discussed above, since the enhancement in the cross sections is larger at the higher wavelengths, where
290 absorption by the nitrate chromophore is very small, it was proposed by Müller et al. (2014) that the absorption
by the carbonyl chromophore is enhanced due to the neighboring nitrate group. The authors also suggest that the
292 photodissociation proceeds by a dissociation of the weak O- NO_2 bond, i.e. that a photon absorption by one
chromophore (carbonyl group) causes dissociation in another part of the molecule (nitro group). This is not in

294 line with what we observed in our study. From our experiments, we conclude that the photolysis of 4-nitrooxy-2-
butanone proceeds mainly by a dissociation of the C(O)-C bond. In the former study on the photolysis of 3-
296 nitrooxy-2-propanone, 3-nitrooxy-2-butanone and 3-methyl-3-nitrooxy-2-butanone (Suarez-Bertoa et al., 2012),
PAN and carbonyl compounds (respectively, formaldehyde, acetaldehyde and acetone) were detected as major
298 products. However, branching ratio of the two pathways (dissociation of O-NO₂ and C(O)-C bonds) could not be
determined as the formation of these products, in particular PAN, can be explained by the two pathways.

300 For 5-nitrooxy-2-pentanone, formation yield of PAN has been observed to be much lower: 0.16 ± 0.08 . As for 4-
nitrooxy-2-butanone, its formation can be explained by the dissociation of the C(O)-C bond (see Scheme 2). This
302 result suggests that both dissociation pathways may occur and that O-NO₂ dissociation could be the major one.
However, this was not confirmed by the detection of the dicarbonyl compound (2-oxo-pentanal) which is
304 expected to be formed by this pathway. Despite the fact that no standard was available for this compound, no
characteristic band was observed in the residual spectrum (after subtraction of reactants and PAN spectra).
306 Because the photolysis rate of 5-nitrooxy-2-pentanone is very low, we suspect that the concentration of this
product is below the detection limit. Nevertheless, the low PAN yield is a strong indication that O-NO₂
308 dissociation may be the major pathway, contrary to what has been observed for 4-nitrooxy-2-butanone. This
should be considered in the light of the low enhancement of absorption cross sections which has been assumed
310 for this compound. Hence, in the case of γ -nitrooxy ketones, the enhancement of the absorption by the carbonyl
chromophore seems to significantly decrease, leading to a lower branching ratio of the C(O)-C bond
312 dissociation.

3.2 OH-oxidation of carbonyl nitrates

314 Rate constants of the OH-oxidation have been measured for 4-nitrooxy-2-butanone and 5-nitrooxy-2-pentanone.
Prior to the experiments, it was checked that the carbonyl nitrates do not photolyse nor decompose/adsorb on the
316 walls of the chamber. Figure 2 represents the kinetic plots obtained for the two carbonyl nitrates. For each
compound, several independent kinetic experiments were performed and data were combined to provide the
318 $k_{\text{ketonitrate}}/k_{\text{methanol}}$ for each compound (see Figure 3). In order to limit errors in the quantification of reactants due
to the possible formation of carbonyl nitrates as products, only the very beginning of the experiments was taken
320 into account for the kinetic plots. This explains the small number of experimental points. The obtained rate
constants are given in Table 3. These data are, to our knowledge, the first determinations of the rate constants for
322 the reaction of OH with these two carbonyl nitrates. From these data, it can be concluded that 4-nitrooxy-2-
butanone and 5-nitrooxy-5-pentanone have similar reactivity towards OH radicals with rate constants equal to
324 $(2.9 \pm 1.0) \times 10^{-12}$ and $(3.3 \pm 0.9) \times 10^{-12} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$, respectively.

Rate constants provided in this study, as well as those previously reported for a series of α -nitrooxy-ketones
326 (Suarez-Bertoa et al., 2012) have been compared to those estimated using structure-activity relationships (SARs)
in Table 4. Different SARs have been evaluated: i) the one developed by Kwok and Atkinson (1995) with
328 updated factors $F(-\text{ONO}_2) = 0.14$ and $F(-\text{C-ONO}_2) = 0.28$ from Bedjanian et al. (2018); ii) the one developed by
Kwok and Atkinson (1995) with updated factors $F(-\text{ONO}_2) = 0.8$ and $F(-\text{C-ONO}_2) = 0.1$ from Suarez-Bertoa et
330 al. (2012); iii) the one developed by Neeb (2000) which proposes a different type of parametrization and has
been observed to be particularly accurate for oxygenated species; and iv) the one developed by Jenkin et al.

332 (2018) which proposes a parametrization very similar to the one from Kwok and Atkinson (1995) and Bedjanian
et al. (2018). The rate constant for 5-nitrooxy-2-pentanone is reasonably well reproduced by all SARs (within a
334 factor of 2). For 4-nitrooxy-2-butanone, only the parametrization provided by Suarez-Bertoa et al. (2012)
succeeds in reproducing the experimental value. This can be explained by the fact that this parametrization has
336 been optimized for carbonyl nitrates while the others have been developed using the entire dataset for
compounds containing a nitrate group (-ONO₂) (Jenkin et al., 2018) or using the dataset for alkyl nitrates
338 (Bedjanian et al., 2018). The main difference between these parametrizations is that in Suarez-Bertoa et al.
(2012), the factor F(-ONO₂) is much less deactivating than for the others. This could result from electronic
340 interactions between the two functional groups. However, Suarez-Bertoa et al. (2012) noticed that their
parametrization gives poor results for alkyl nitrates, suggesting that a specific parametrization has to be used for
342 multifunctional species. This also suggests that the principle of SARs based on the group additivity method may
not be suitable for multifunctional molecules.

344 From these experiments, several oxidation products have been detected: HCHO, PAN and methylglyoxal for 4-
nitrooxy-2-butanone, and HCHO, PAN and 3-nitrooxy-propanal for 5-nitrooxy-2-pentanone. However, their
346 quantification was highly uncertain because the infrared spectra were complex due to the presence of methanol,
isopropyl nitrite, impurities (in particular acetic acid) and their oxidation products. Dedicated mechanistic
348 experiments should now/in the near future be performed using HONO as OH source in order to simplify the
chemical mixture.

350 3.3 Atmospheric implications

Atmospheric lifetimes of the investigated compounds are presented in Table 5. The photolysis frequencies were
352 estimated using $j_{\text{nitrate}}/j_{\text{NO}_2}$ ratios measured in this study and using j_{NO_2} for typical tropospheric irradiation
conditions corresponding to the 1st July at noon and at 40° latitude North (overhead ozone column: 300, albedo:
354 0.1; aerosol optical depth: 0.235; cloud optical depth: 0 ; TUV NCAR Model,
http://www.cprm.acd.ucar.edu/Models/TUV/Interactive_TUV/). For $j_{\text{NO}_2} = 1.03 \times 10^{-2} \text{ s}^{-1}$, photolysis frequencies
356 of carbonyl nitrates are: $(6.1 \pm 0.9) \times 10^{-5} \text{ s}^{-1}$ for 4-nitrooxy-2-butanone and $(3.3 \pm 0.9) \times 10^{-5} \text{ s}^{-1}$ for 5-nitrooxy-
2-pentanone. Under these irradiation conditions, lifetimes ($\tau_{\text{hv}} = 1/j$) were found to be 4 and 8 hours,
358 respectively. For OH-oxidation, lifetimes ($\tau_{\text{OH}} = 1/(k_{\text{OH}}[\text{OH}])$) were calculated using typical OH concentrations
of $2 \times 10^6 \text{ molecule cm}^{-3}$ (Atkinson and Arey, 2003). They are both equal to approximately two days. Hence, it
360 appears that for 4-nitrooxy-2-butanone and for 5-nitrooxy-2-pentanone, photolysis is a more efficient sink than
oxidation by OH radicals. An identical conclusion was obtained for α -nitrooxy carbonyls (Suarez-Bertoa et al.,
362 2012; Barnes et al., 1993; Zhu et al., 1991). However, OH-initiated oxidation is not negligible, especially under
polluted conditions where OH concentrations can be higher than $1 \times 10^7 \text{ molecule cm}^{-3}$.

364 In order to evaluate the impact of these carbonyl nitrates on the nitrogen budget and the transport of NO_x, it
is crucial to determine whether their atmospheric sinks, here mainly photolysis, release NO₂ or not. For 4-nitrooxy-
366 2-butanone, we observed that the photolysis proceeds mainly by a dissociation of the C(O)-C bond which does
not necessarily lead to the release of NO₂ (see Scheme 2). In our experimental conditions (i.e., with high NO₂
368 mixing ratios), this pathway leads to the formation of PAN which was detected with a yield equal to unity.
Under more realistic NO/NO₂ ratio, this reaction may also produce HCHO and CO₂. The co-products of PAN,

370 which could not be detected in our study, are expected to be formaldehyde + NO₂ or ethanal nitrate. One NO₂
molecule is hence released in the first hypothesis. Ethanal nitrate may react and undergo photolysis even faster
372 than nitrooxy ketones and may thus lead to NO₂ release quite rapidly. However, as data on the reactivity of
ethanal nitrate are not available in the literature, one cannot provide a definite conclusion. In the case of 5-
374 nitrooxy-2-pentanone, the dissociation of the C(O)-C bond has been observed to be a minor pathway suggesting
that the major one, which was not directly observed here, is the O-NO₂ dissociation. This process certainly leads
376 to the release of NO₂.

4 Conclusions

378 This paper presents the first study on the atmospheric reactivity of 4-nitrooxy-2-butanone and 5-nitrooxy-2-
pentanone. Thanks to experiments in simulation chambers, photolysis frequencies and rate constants of the OH-
380 oxidation were measured for the first time. From these results, it is concluded that, similarly to α -nitrooxy
ketones, β -nitrooxy ketones have enhanced UV absorption cross sections and quantum yields equal or close to
382 unity, making photolysis a very efficient sink for these compounds. Results obtained for 5-nitrooxy-2-pentanone
which is a γ -nitrooxy ketone, suggest a lower enhancement of cross sections leading to slightly longer
384 atmospheric lifetimes. This can easily be explained by the increasing distance between the two chromophore
groups. Some photolysis products were also detected allowing estimating the branching ratio between the two
386 possible pathways, i.e., the dissociation of the C(O)-C bond and the one of the O-NO₂ bond. For 4-nitrooxy-2-
butanone, we conclude that the photolysis proceeds mainly by a dissociation of the C(O)-C bond which does not
388 necessarily lead to the release of NO₂. In the case of 5-nitrooxy-2-pentanone, our results suggest that the
dissociation of the O-NO₂ bond is the major pathway. Reactivity of 4-nitrooxy-2-butanone and 5-nitrooxy-2-
390 pentanone with OH radicals was also investigated. Both compounds have similar reactivity towards OH radicals
leading to lifetimes of approximately two days. Experimental rate constants are in good agreement with those
392 estimated by the SAR proposed by Kwok and Atkinson (1995) when using the parametrization proposed by
Suarez-Bertoa et al. (2012) for carbonyl nitrates. However, this specific parametrization does not allow
394 reproducing experimental data for monofunctional alkyl nitrates, suggesting that specific parametrization should
be used for multifunctional species. Finally, these compounds are expected to be removed from the atmosphere
396 fairly rapidly and to act as (only) temporary reservoirs of NO_x. If formed during the night, they could however
contribute to longer range transport of NO_x.

398 *Author contributions*

BPV coordinated the research project. BPV, RSB and JFD designed the experiments in simulation chambers.
400 RSB performed the experiments with the technical support of MC and EP. RSB and MDa performed the organic
syntheses. BPV, RSB and MDu performed the data treatment and interpretation. BPV and RSB wrote the paper
402 and BPV was in charge of its final version. All coauthors revised the manuscript content, giving final approval of
the version to be submitted.

404 *Competing interests*

The authors declare that they have no conflict of interest.

406 *Acknowledgments*

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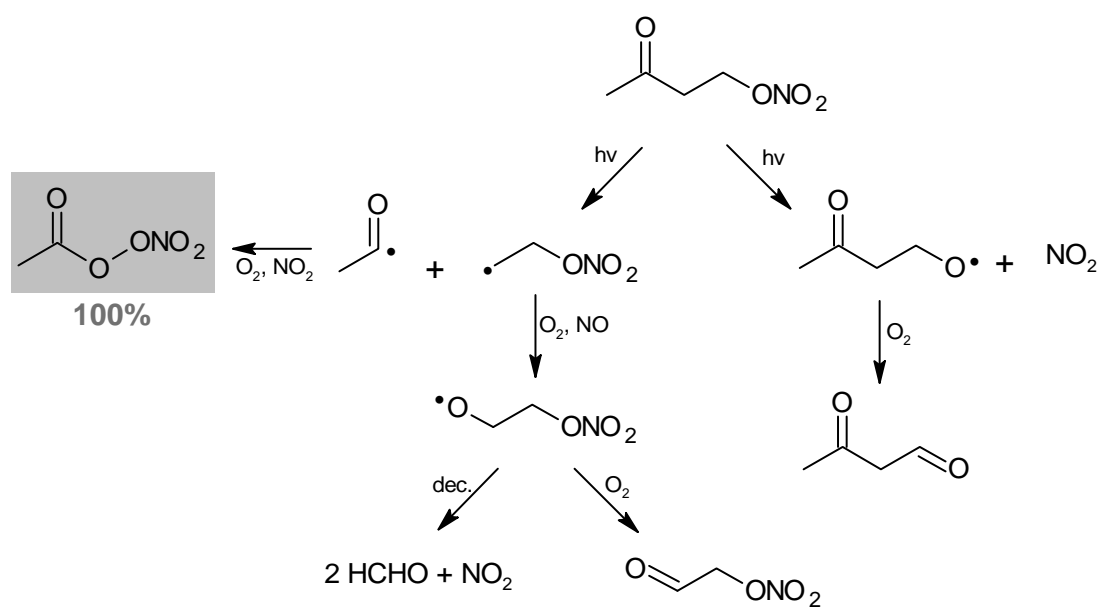
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Figure, scheme and table captions

- 516 **Scheme 1.** Photolysis pathways of 4-nitrooxy-2-butanone. Detected products are indicated with a grey background and their formation yield in given (in %).
- 518 **Scheme 2.** Photolysis pathways of 5-nitrooxy-2-pentanone. Detected products are indicated with a grey background and their formation yield in given (in %).
- 520 **Figure 1.** Kinetic plots for (a) the photolysis of 4-nitrooxy-2-butanone (experiment 2) and (b) the photolysis of 5-nitrooxy-2-pentanone (experiment 5). Red lines correspond to linear regressions.
- 522 **Figure 2.** Kinetic plots for the oxidation by OH radicals of 4-nitrooxy-2-butanone and 5-nitrooxy-2-pentanone. For 5-nitrooxy-2-pentanone, data have been shifted by 0.2 in y axis. Different symbols correspond to different
- 524 experiments.
- Table 1.** Photolysis frequencies and PAN yields for 4-nitrooxy-2-butanone and 5-nitrooxy-2-pentanone
- 526 measured in CESAM chamber.
- Table 2.** Comparison of experimental photolysis frequencies of carbonyl nitrates with those calculated for
- 528 CESAM irradiation conditions and by assuming a quantum yield equal to unity.
- Table 3.** Rate constants for the OH-oxidation of 4-nitrooxy-2-butanone and 5-nitrooxy-2-pentanone.
- 530 **Table 4.** Comparison of experimental rate constants for the OH-oxidation of carbonyl nitrates with those estimated by SARs.
- 532 **Table 5.** Atmospheric lifetimes of carbonyl nitrates towards photolysis and reaction with OH radicals.

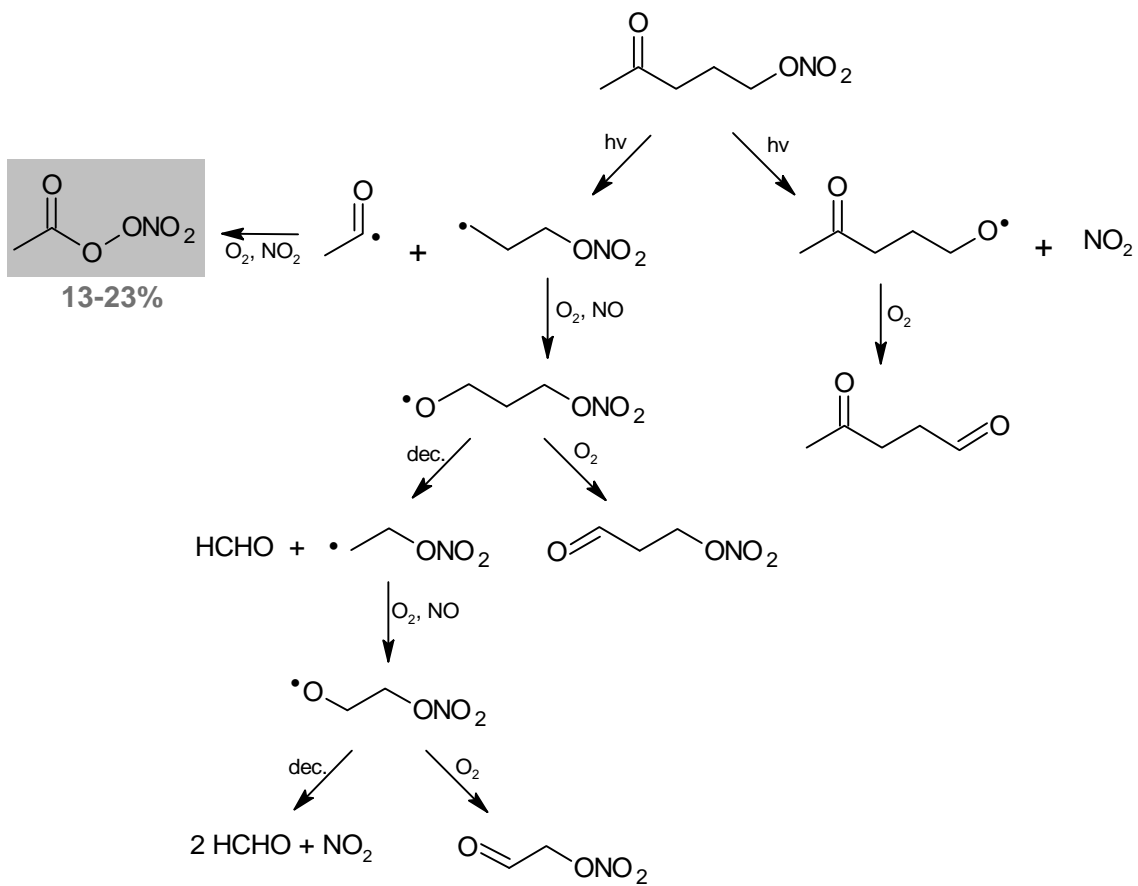
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Scheme 1.

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540 Scheme 2.

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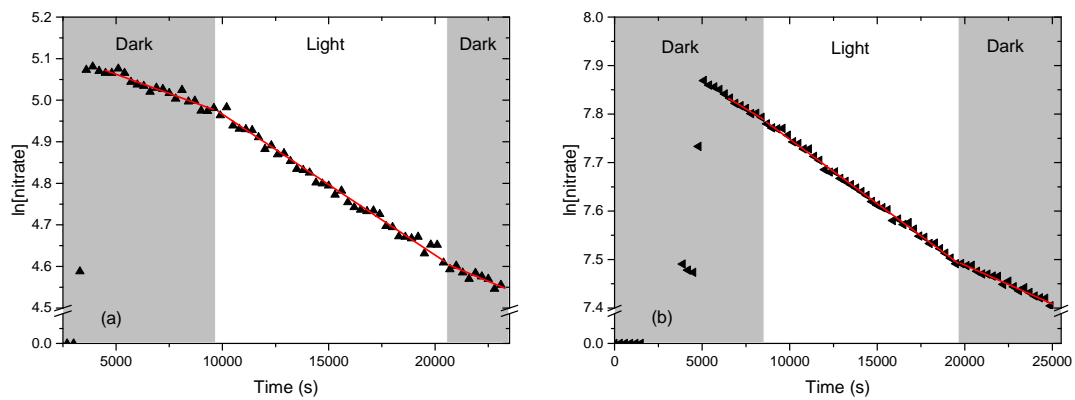
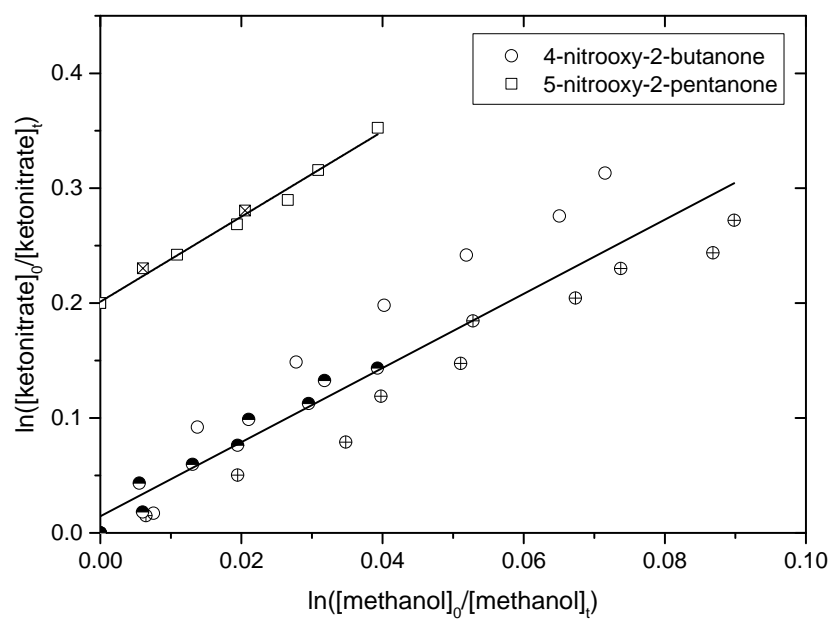


Figure 1.

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552

Figure 2.

Table 1.

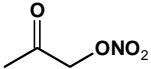
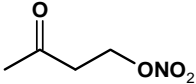
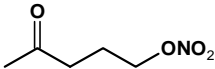
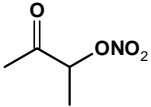
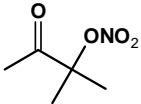
| Compound | Experim. | k_{before}^a ($\times 10^{-5} s^{-1}$) | k_{after}^b ($\times 10^{-5} s^{-1}$) | $(k+j_{nitrate})^c$ ($\times 10^{-5} s^{-1}$) | $j_{nitrate}^d$ ($\times 10^{-5} s^{-1}$) | PAN yield (%) |
|----------------------------|----------------|---|--|--|--|--------------------------------|
| 4-nitrooxy-2- butanone | 1 | 0.8 ± 0.1 | - | 2.1 ± 0.1 | 1.3 ± 0.2 | 100 ± 35 |
| | 2 | 1.9 ± 0.2 | 2.1 ± 0.1 | 3.3 ± 0.1 | 1.3 ± 0.3 | 100 ± 40 |
| | Average | | | | 1.3 ± 0.2 | 100 ± 30 |
| 5-nitrooxy-2- pentanone | 3 | 2.0 ± 0.2 | 1.1 ± 0.2 | 2.3 ± 0.1 | 0.7 ± 0.4 | 13 ± 9 |
| | 4 | 1.9 ± 0.2 | 1.1 ± 0.1 | 2.2 ± 0.1 | 0.7 ± 0.4 | 13 ± 9 |
| | 5 | 2.1 ± 0.2 | 1.6 ± 0.2 | 2.7 ± 0.1 | 0.8 ± 0.3 | 23 ± 13 |
| | Average | | | | 0.7 ± 0.2 | 16 ± 8 |

554 ^{a, b} dark decay rate due to wall loss, before and after irradiation; ^c decay rate during irradiation
555 period; ^d photolysis rate calculated as the difference between the decay rate during irradiation and
556 the average dark decay rate.

558

560

562 **Table 2.**

| Compound | $j_{exp} (\times 10^{-5} \text{ s}^{-1})$ | $j_{calc} (\times 10^{-5} \text{ s}^{-1})$ ($\phi=1$) |
|---|---|--|
| 3-nitrooxy-2-propanone  | 1.5 ± 0.1 (Suarez-Bertoa et al., 2012) | 1.4^b |
| 4-nitrooxy-2-butanone  | 1.3 ± 0.2 (This work) | 1.6^a |
| 5-nitrooxy-2-pentanone  | 0.7 ± 0.2 (This work) | 1.8^a |
| 3-nitrooxy-2-butanone  | 1.8 ± 0.1 (Suarez-Bertoa et al., 2012) | 2.2^b |
| 3-methyl-3-nitrooxy-2-butanone  | 2.31 ± 0.05 (Suarez-Bertoa et al., 2012) | ND |

^a calculated with estimated cross sections (see text); ^b calculated with experimental cross sections from literature;

564

Table 3.

| Compound | $k_{\text{nitrate}}/k_{\text{methanol}}$ | $k_{\text{nitrate}} \times 10^{-12}$ ($\text{cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$) |
|------------------------|--|--|
| 4-nitrooxy-2-butanone | 3.25 ± 0.47 | 2.9 ± 1.0 |
| 5-nitrooxy-2-pentanone | 3.70 ± 0.28 | 3.3 ± 0.9 |

566 **Table 4.**

| Compound | k_{exp} $\times 10^{-13}$ | k_{SAR} Atkinson/Bedjanian ^a $\times 10^{-13}$ | k_{SAR} Atkinson/Suarez ^b $\times 10^{-13}$ | k_{SAR} Neeb ^c $\times 10^{-13}$ | k_{SAR} Jenkin ^d $\times 10^{-13}$ |
|-------------------------------|--------------------------------|---|--|--|--|
| 3-nitrooxy-2-propanone | 6.7 ^e | 2.0 | 6.6 | 5.8 | 2.5 |
| 3-nitrooxy-2-butanone | 10.1 ^e | 4.5 | 13.2 | 6.8 | 3.7 |
| 3-methyl-3nitrooxy-2-butanone | 2.6 ^e | 4.0 | 2.1 | 2.4 | 4.3 |
| 4-nitrooxy-2-butanone | 29 ^f | 8.1 | 30.9 | 8.7 | 8.1 |
| 5-nitrooxy-2-pentanone | 33 ^f | 21.4 | 22.5 | 47.6 | 19.5 |

568 Rate constants are expressed in $\text{cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$; ^a SAR developed by Kwok and Atkinson (1995) with F(-
570 ONO_2) and F(-C- ONO_2) from Bedjanian et al., 2018; ^b SAR developed by Kwok and Atkinson (1995) with F(-
 ONO_2) and F(-C- ONO_2) from Suarez-Bertoa et al., (2012) ; ^c SAR developed by Neeb (2000); ^d SAR developed
by Jenkin et al. (2018) ; ^e experimental data from Suarez-Bertoa et al. (2012); ^f This work.

Table 5.

| Compound | $J \times 10^{-5}$ ^a (s ⁻¹) | τ_{hv} (hours) | $k_{OH} \times 10^{-12}$ (cm ³ molecule ⁻¹ s ⁻¹) | τ_{OH} ^b (hours) |
|------------------------|---|------------------------|---|-------------------------------------|
| 4-nitrooxy-2-butanone | 6.1 ± 0.9 | 4 | 2.9 ± 1.0 | 48 |
| 5-nitrooxy-2-pentanone | 3.3 ± 0.9 | 8 | 3.3 ± 0.9 | 42 |

572 ^a photolysis frequencies estimated for a typical solar actinic flux (40°N, 1st July, noon) by applying a factor 4.7
to those measured in CESAM chamber (see section 2.2); ^b estimated for [OH] = 2 × 10⁶ molecule cm⁻³

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