# Photolysis and oxidation by OH radicals of two carbonyl

## 2 nitrates: 4-nitrooxy-2-butanone and 5-nitrooxy-2-pentanone

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## 12 Abstract

Multifunctional organic nitrates, including carbonyl nitrates, are important species formed in NOx 14 rich atmospheres by the degradation of VOCs. These compounds have been shown to play a key role in the transport of reactive nitrogen and consequently in the ozone budget, but also to be important 16 components of the total organic aerosol. However, very little is known about their reactivity in both gas and condensed phases. Following a previous study we published on the gas-phase reactivity of  $\alpha$ -18 nitrooxy ketones, the photolysis and the reaction with OH radicals of 4-nitrooxy-2-butanone and 5nitrooxy-2-pentanone, respectively a β-nitrooxy ketone and a γ-nitrooxy ketone, were investigated for 20 the first time in simulation chambers. The photolysis rates were directly measured in CESAM chamber which is equipped with a very realistic irradiation system. The ratios  $J_{\text{nitrate}}/J_{\text{NO2}}$  were found to be  $(5.9 \pm 0.9) \times 10^{-3}$  for 4-nitrooxy-2-butanone and  $(3.2 \pm 0.9) \times 10^{-3}$  for 5-nitrooxy-2-pentanone 22 under our experimental conditions. From these results, it was estimated that ambient photolysis frequencies calculated for 40° latitude North (1st July, noon) are  $(6.1 \pm 0.9) \times 10^{-5}$  s<sup>-1</sup> and  $(3.3 \pm 0.9) \times 10^{-5}$ 24 4-nitrooxy-2-butanone and 5-nitrooxy-2-pentanone, respectively. 26 demonstrate that photolysis is a very efficient sink for these compounds with atmospheric lifetimes of few hours. They also suggest that, similarly to α-nitrooxy ketones, β-nitrooxy ketones have enhanced 28 UV absorption cross sections and quantum yields equal or close to unity and that γ-nitrooxy ketones have lower enhancement of cross sections which can easily be explained by the larger distance 30 between the two chromophore groups. Thanks to a product study, branching ratio between the two possible photodissociation pathways are also proposed. Rate constants for the reaction with OH radicals were found to be  $(2.9 \pm 1.0) \times 10^{-12}$  cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup> and  $(3.3 \pm 0.9) \times 10^{-12}$  cm<sup>3</sup> molecule<sup>-1</sup> 32 s<sup>-1</sup>, respectively. These experimental data are in good agreement with rate constants estimated by the 34 SAR of Kwok and Atkinson (1995) when using the parametrization proposed by Suarez-Bertoa et al. (2012) for carbonyl nitrates. Comparison with photolysis rates suggests that OH-initiated oxidation of carbonyl nitrates is a less efficient sink than photodissociation but is not negligible in polluted area. 36

## 1 Introduction

Organic nitrates play an important role as sinks or temporary reservoirs of NOx, as well as on ozone production in the atmosphere (Perring et al., 2013; Perring et al., 2010; Ito et al., 2007). They are formed by the degradation of VOCs in presence of NOx through two main processes: i) the reaction of peroxy radical, produced by the oxidation of VOCs, with NO. The major pathway is generally the reaction (1a) that leads to NO<sub>2</sub> formation. The reaction (1b) is a minor channel but it becomes gradually more important with increasing peroxy radical carbon chain length (Atkinson and Arey, 2003; Finlayson-Pitts and Pitts, 2000).

$$44 RO2 + NO \rightarrow RO + NO2 (1a)$$

$$RO_2 + NO \rightarrow RONO_2$$
 (1b)

- ii) The reaction of unsaturated VOCs with NO<sub>3</sub> radical, which proceeds mainly by addition of the nitrate radical on the double bond to produce nitro-alkyl radicals that can evolve into organic nitrates.
- 48 Among the organic nitrates, a variety of multifunctional species such as hydroxy-nitrates, carbonyl-nitrates and dinitrates are formed. The formed species have been shown to significantly contribute to the nitrogen budget in 50 both rural and urban areas (Perring et al. 2013). Beaver et al. (2012) have observed that carbonyl nitrates, formed as second generation nitrates from isoprene, are an important fraction of the total organic nitrates observed over 52 Sierra Nevada in summer. These observations are supported by several studies that investigated the photooxidation of isoprene in simulation chambers (Paulot et al., 2009, Müller et al., 2014). These 54 multifunctional organic nitrates are also semi-/non-volatile and highly soluble species and are thus capable of partitioning into the atmospheric condensed phases (droplets, aerosols). Numerous field observations of the 56 chemical composition of atmospheric particles have shown that organic nitrates represent a significant fraction (up to 75% in mass) of the total organic aerosol (OA) demonstrating that these species are important components 58 of total OA (Ng et al., 2017).
- Several modeling studies have also confirmed that multifunctional organic nitrates, in particular isoprene nitrates, play a key role in the transport of reactive nitrogen and consequently in the formation of ozone and other secondary pollutants at the regional and global scales (Horowitz et al., 2007; Mao et al., 2013; Squire et al., 2015). In particular, Mao et al. (2013) have performed simulations based on data from the ICARTT aircraft campaign across the eastern U.S. in 2004. They have shown that organic nitrates, which are mainly composed of secondary organic nitrates, including a large fraction of carbonyl nitrates, provide an important pathway for exporting NOx from the U.S.'s boundary layer, even exceeding the export of peroxy acyl nitrates (PANs). However, these modeling studies also point out the need for additional experimental data to better describe the sinks in both gas and condensed phases of multifunctional organic nitrates in models.
- Recent experimental studies have revealed that hydrolysis in aerosol phase may be a very efficient sink of organic nitrates in the atmosphere (Bean and Hildebrand Ruiz, 2015; Rindelaub et al., 2015). These studies also suggest that the rate of these reactions strongly depends on the organic nitrate chemical structure and that additional work is needed to better understand these processes. In the gas-phase, photolysis and reaction with OH radical are expected to dominate the fate of organic nitrates (Roberts et al., 1990; Turberg et al., 1990). In a previous study, we have measured the photolysis frequencies and the rate constants for the OH-oxidation of 3

carbonyl nitrates (α-nitrooxyacetone, 3-nitrooxy-2-butanone, and 3-methyl-3-nitrooxy-2-butanone) and we have shown that photolysis is the dominant sink for these compounds (Suarez-Bertoa et al., 2012). By comparison with absorption cross sections provided by Barnes et al. (1993), Müller et al. (2014) suggested i) that the α-nitrooxy ketones have enhanced absorption cross sections, due to the interaction between the -C=O and the -ONO2 chromophore groups and ii) that the photolysis quantum yield is close to unity and O-NO2 dissociation is the likely major channel. They also showed that this enhancement was larger at the higher wavelengths, where the absorption by the nitrate chromophore is very small. Therefore, they concluded that the absorption by the carbonyl chromophore was the one enhanced due to the neighbouring nitrate group.

These results are significant as they demonstrate that photolysis rates of these multifunctional species cannot be calculated as the sum of the monofunctional species (ketone + alkyl nitrate) ones. However, only  $\alpha$ -nitrooxy ketones were studied which leaves open the question of the persistence of the enhancement effect when the distance between the two functional groups increases. More recently, Xiong et al. (2016) have studied the atmospheric degradation (photolysis, OH-oxidation and ozonolysis) of *trans*-2-methyl-4-nitrooxy-2-buten-1-al (also called 4,1-isoprene nitrooxy enal) in order to better assess – as a model compound - the reactivity of carbonyl nitrates formed by the NO<sub>3</sub>-initiated oxidation of isoprene. This compound has a conjugated chromophore –C=C-C=O in  $\beta$  position of the nitrate group. The authors measured the absorption cross sections of the nitroxy enal and compared them to those for the monofunctional species, i.e. methacrolein and isopropyl nitrate. They concluded that molecules containing  $\beta$ -nitrooxy ketones functionalities have also enhanced UV absorption cross sections. They also studied the kinetic and mechanisms for the oxidation of the nitroxy enal by OH and O<sub>3</sub>. They conclude that photolysis and reaction with OH are thus the two main loss processes of *trans*-2-methyl-4-nitrooxy-2-buten-1-al, leading to a tropospheric lifetime of less than 1 h.

Given the large contribution of the carbonyl nitrates to the organic nitrate pool and the importance of their photochemistry for the NOx budget, we present a study that aims at providing new experimental data on the gasphase reactivity of these compounds. The study also seeks to disclose how photolysis and reaction with OH radical of carbonyl nitrates are affected by modifying their carbon chain length and the position of the two functional groups present in their molecular structure. Here, we provide the first photolysis frequencies and also the first rate constants for the OH-oxidation of two carbonyl nitrates: 4-nitrooxy-2-butanone and 5-nitrooxy-2-pentanone.

## 2 Experimental section

## 2.1 Reactants syntheses

As a usual OH precursor in simulation chamber experiments, isopropyl nitrite was synthesized by dropwise addition of a dilute solution of H<sub>2</sub>SO<sub>4</sub> into a mixture of NaNO<sub>2</sub> and isopropanol following the classical protocol proposed by Taylor et al. (1980).

On the contrary, 4-nitroxy-2-butanone and 5-nitroxy-2-pentanone were synthesized for the first time. A great care was taken to the development of a robust process: 4-nitroxy-2-butanone and 5-nitroxy-2-pentanone syntheses are based on Kames' method (Kames et al., 1993). This method consists in a liquid/gas phase reaction

where the corresponding hydroxy-ketone reacts with NO<sub>3</sub> radicals released from the dissociation of N<sub>2</sub>O<sub>5</sub>. N<sub>2</sub>O<sub>5</sub> was preliminarily synthesized in a vacuum line by reaction of NO<sub>2</sub> with ozone, as described by Scarfogliero et al. (2006). The synthesis of the carbonyl nitrate is performed in a dedicated vacuum line connected to two bulbs, one containing the hydroxy-ketone, the other one containing N<sub>2</sub>O<sub>5</sub>. The two bulbs are also connected to each other. In a first step, the bulbs are placed in a liquid N<sub>2</sub> cryogenic trap and pumped in order to remove air and impurities. In a second step, the cryogenic trap is removed from the bulb containing N<sub>2</sub>O<sub>5</sub> in order to let it warm and transfer into the bulb containing the hydroxy-ketone. Then, the bulb containing both reactants is stirred and kept at ice temperature for approximatively 1h. Finally, the resulting carbonyl nitrate and nitric acid, its coproduct, were separated by liquid-liquid extraction using dichloromethane and water. The carbonyl nitrate structure and purity were verified by FT-IR and GC-MS. Traces of impurities (HCOOH and CH<sub>3</sub>COOH) have been detected. The carbonyl nitrates were stored at -18° C and under nitrogen atmosphere to prevent them from decomposition. Infrared spectra of 4-nitroxy-2-butanone and 5-nitroxy-2-pentanone are available on EUROCHAMP Data Centre (https://data.eurochamp.org).

## 2.2 Determination of photolysis frequencies

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The photolysis frequencies of the two carbonyl nitrates were determined by carrying out experiments in the CESAM simulation chamber which is only briefly described here as detailed information can be found in Wang et al. (2011). The chamber consists of a 4.2 m<sup>3</sup> stainless steel vessel equipped with a multiple reflection optical system interfaced to a FTIR spectrometer (Bruker Tensor 37) and also with NO, NO<sub>2</sub> and O<sub>3</sub> analyzers (Horiba) to monitor the composition of the gas phase. The chamber is also equipped with three high pressure xenon arc lamps (MH-Diffusion, MacBeam 4000) which are combined with 7 mm Pyrex filters. This irradiation device provides a very realistic actinic flux (see comparison with the solar actinic flux in Figure S1) which allows measuring photolysis frequencies under realistic conditions (Wang et al., 2011; Suarez-Bertoa et al., 2012). However, since the intensity of the irradiation in CESAM chamber is lower than the one in ambient environment,  $J_{\text{nitrate}}/J_{\text{NO2}}$  were provided in order to allow calculating  $J_{\text{nitrate}}$  under various sunlight conditions. Hence, the intensity of the actinic flux was determined by measuring the photolysis rate of NO<sub>2</sub> (J<sub>NO2</sub>) during dedicated experiments. 400 ppbv of NO<sub>2</sub> in 1000 mbar of N<sub>2</sub> were injected into CESAM chamber and kept in the dark for 20 min. The lights were then turned on during 20 min, and finally the mixture was left in the dark for an additional 20 min period. The photolysis frequency was subsequently determined using a kinetic numeric model developed for previous NOx photo-oxidation experiments in CESAM (Wang et al., 2011). The fitting of modeled values from the measured data provided a NO<sub>2</sub> photolysis frequency equal to  $2.2 \times 10^{-3} \text{ s}^{-1}$  (± 0.01,  $2\sigma$ error).

During a typical experiment, carbonyl nitrates were introduced into the chamber which was preliminarily filled at atmospheric pressure with  $N_2/O_2$  (80/20). For the injection, the bulb containing the carbonyl nitrate was connected to the chamber and slightly heated while it was flushed with  $N_2$ . Mixing ratios of carbonyl nitrates ranged from hundreds ppb to ppm. Because carbonyl nitrates may decompose during the injection, large amounts of  $NO_2$  (hundreds ppb) were present in the mixture. Cyclohexane was also added to the mixture as an OH-scavenger with mixing ratios of approx. 4 ppm. Considering the fact that cyclohexane is approximatively twice more reactive with OH radicals than the carbonyl nitrates (see Results section), it was estimated that between 80 and 98% of the OH radicals were scavenged (depending on the experiment considered). The mixture was kept

under dark conditions during two hours to be able to assess the impact of the reactor's walls and to minimize
their effects by passivation. Then, the mixture was irradiated during 3 hours. For most experiments, the mixture
was finally left in the dark for approximately 1 hour after the irradiation period to allow for verifying if wall
losses were constant during the entire duration of the experiment.

During the experiment, the carbonyl nitrate loss processes can be described as:

154 Carbonyl nitrate (walls) 
$$\rightarrow$$
 products (k) (2a)

Carbonyl nitrate + hv 
$$\rightarrow$$
 products  $(J_{\text{nitrate}})$  (2b)

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$$-\frac{d[nitrate]}{dt} = (J_{nitrate} + k) \times [nitrate]$$
 (Eq. 1)

$$ln[nitrate]_t = ln[nitrate]_0 - (k + J_{nitrate}) \times t$$
 (Eq. 2)

The reaction (2a) had to be added to the system to take into account the interaction or adsorption of the carbonyl nitrates on the stainless steel walls of CESAM during the experiments. By plotting ln[nitrate]<sub>t</sub> vs. time, where
[nitrate]<sub>t</sub> is the concentration of the carbonyl nitrate at time t, a straight line is obtained with a slope of (k + J<sub>nitrate</sub>). The same approach was applied to each of the 'dark' periods, before and after irradiation, to determine
their respective dark decay rates, namely k<sub>before</sub> and k<sub>after</sub> and k was calculated as the average of k<sub>before</sub> and k<sub>after</sub> for each experiment. Finally, J<sub>nitrate</sub> was calculated as the difference between the loss rate during the irradiation period (k + J<sub>nitrate</sub>) and the averaged loss rate during the dark periods (k).

The uncertainties were calculated by adding the respective statistical errors ( $2\sigma$ ) associated to the dark and light periods, the former set as the average of the uncertainties determined for both dark periods (i.e., before and after irradiation). However, for some experiments, it was observed that the dark decay rate before irradiation was significantly higher than the one after, suggesting that wall loss process is more complex than a "simple" first order process and may decrease with time due to a passivation of the walls. More generally, interactions of gases with walls remain poorly understood and are currently subject to intensive investigations by the scientific community. Here, in order to determine wall loss decays which are as representative as possible of the one during the irradiation period, the first experimental points (after the injection of the carbonyl nitrate) were not taken into account for the linear fit leading to the determination of  $k_{\text{before}}$ . In addition, the uncertainty was not calculated using the approach detailed above, the statistical error being too low compared to the difference between  $k_{\text{before}}$  and  $k_{\text{after}}$ . The uncertainty was thus estimated in order to include the lowest and the highest  $J_{\text{nitrate}}$  values calculated with the highest and the lowest k value, respectively. Finally, the overall uncertainty associated with the photolysis rate of each of the carbonyl nitrates was calculated as the average of the uncertainties obtained for each experiment, divided by the square root of the number of experiments (2 or 3).

## 2.3 Determination of the OH-oxidation rate constants

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The kinetic experiments for the OH-oxidation of the carbonyl nitrates were performed in the CSA chamber at room temperature and atmospheric pressure, in a mixture of N<sub>2</sub>/O<sub>2</sub> (80/20). The chamber consists of a 977 L

Pyrex<sup>TM</sup> vessel irradiated by two sets of 40 fluorescent tubes (Philips TL05 and TL03) that surround the chamber. The emissions of these black lamps are centered on 360 and 420 nm, respectively. The chamber is

equipped with a multiple reflection optical system with a path length of 180 m interfaced to a FTIR spectrometer (Vertex 80 from Bruker). Additional details about this smog chamber are given elsewhere (Doussin et al., 1997;
 Duncianu et al., 2017).

The relative rate technique was used to determine the rate constant for the OH-oxidation of the carbonyl nitrates with methanol as reference compound. We used the IUPAC recommended value  $k_{\text{(methanol+OH)}} = (9.0 \pm 1.8) \times 10^{-13} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1} \text{ (http://www.iupac-kinetic.ch.cam.ac.uk/)}$ . Hydroxyl radicals were generated by photolyzing isopropyl nitrite. Initial mixing ratios of reactants (carbonyl nitrate, isopropyl nitrite, methanol and NO) were in the ppm range. As previously described, the carbonyl nitrate was introduced into the chamber by connecting the bulb to the chamber and by slightly heating and flushing it with N<sub>2</sub>. NO was added to the mixture in order to enhance the formation of OH radicals by reaction with HO<sub>2</sub> radicals which are formed by isopropyl nitrite photolysis. All experiments were conducted during a 1 h period of continuous irradiation.

Prior to the experiments, it was verified that photolysis and wall losses of the studied compounds were negligible under our experimental conditions. This can be explained by the facts that i) the irradiation system of CSA chamber emits photons at significantly higher wavelengths than the one of CESAM chamber, and ii) the walls of the chamber are made of Pyrex which is more chemically inert than stainless steel. It was therefore assumed that reaction with OH is the only fate of both, the studied compound (carbonyl nitrate) and the reference compound (methanol) and that neither of these compounds is reformed at any stage during the experiment. Based on these hypotheses, it can be shown that (Atkinson, 1986):

$$202 ln \frac{[nitrate]_0}{[nitrate]_t} = \frac{k_{nitrate}}{k_{methanol}} \times \frac{[methanol]_0}{[methanol]_t}$$
 (Eq. 3)

where [nitrate]<sub>0</sub> and [methanol]<sub>0</sub>, and [nitrate]<sub>t</sub> and [methanol]<sub>t</sub> stand for the concentration of the carbonyl nitrate and the reference compound at times 0 and t, respectively. The plot  $\ln([\text{nitrate}]_0/[\text{nitrate}]_t)$  *vs.*  $\ln([\text{methanol}]_0/[\text{methanol}]_t)$  is linear with a slope equal to  $k_{\text{nitrate}}/k_{\text{methanol}}$  and an intercept of zero. The uncertainty on  $k_{\text{nitrate}}$  was calculated by adding the relative uncertainty corresponding to the statistical error on the linear regression (2 $\sigma$ ) and the error on the reference rate constant (here 20 % for methanol).

## 208 2.4 Chemicals and gases

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Dry synthetic air was generated using N₂ (from liquid nitrogen evaporation, >99.995% pure, <5 ppm H₂O, Linde

210 Gas) and O₂ (quality N45, >99.995% pure, <5 ppm H₂O, Air Liquide). Chemicals obtained from commercial sources are: NO (quality N20, >99% Air Liquide), NO₂ (quality N20, >99% Air Liquide), 4-hydroxy-2-butanone

212 (95% Aldich), 5-hydroxy-2-pentanone (95% Aldich), cyclohexane (VWR), methanol (J.T. Baker), H₂SO₄ (95% VWR), NaNO₂ (≥99 Prolabo), isopropanol (VWR).

## 214 3 Results and discussion

## 3.1 Photolysis of carbonyl nitrates

Figure 1 presents the kinetic plots obtained for the two compounds, where ln[nitrate] was plotted as a function of time. A significant decrease was observed for both compounds during the dark period, before and after

irradiation, suggesting that they adsorb or decompose on the walls. Photolysis frequencies were thus calculated as the difference between the decay rates in the dark and the one under irradiation (see section 2.2). Results obtained for both compounds and for all experiments are given in Table 1. For 4-nitrooxy-2-butanone, photolysis frequencies are in good agreement despite the fact that decay rates in the dark differ from an experiment to another. For 5-nitrooxy-2-butanone, it can be seen that the decay rate in the dark before irradiation is significantly higher than the one after (in particular for experiments 3 and 4), suggesting that wall losses may decrease with time due to a passivation of the walls. Despite this, comparison of the three experiments showed good agreement. For CESAM irradiation conditions, the photolysis rates are  $(1.3 \pm 0.2) \times 10^{-5}$  s<sup>-1</sup> for 4-nitrooxy-2-butanone and  $(0.7 \pm 0.2) \times 10^{-5}$  s<sup>-1</sup> for 5-nitrooxy-2-pentanone. Hence,  $J_{\text{nitrate}}/J_{\text{NO2}}$  were found to be  $(5.9 \pm 0.9) \times 10^{-3}$  for 4-nitrooxy-2-butanone and  $(3.2 \pm 0.9) \times 10^{-3}$  for 5-nitrooxy-2-pentanone.

These photolysis rates have been compared in Table 2 to those we obtained in a previous study (Suarez-Bertoa et al., 2012) for 3-nitrooxy-2-propanone, 3-nitrooxy-2-butanone and 3-methyl-3-nitrooxy-2-butanone using the same experimental conditions and methodology. Experimental photolysis frequencies have also been compared to those calculated using cross sections published in the literature and by assuming a quantum yield equal to unity. The intensity of the actinic flux in CESAM chamber was determined by combining measurement of the spectrum of the lamps with a spectroradiometer and determination of  $J_{NO2}$  by chemical actinometry (see section 2.2). For 3-nitrooxy-2-propanone and 3-nitrooxy-2-butanone, cross sections were taken from Barnes et al. (1993). For 4-nitrooxy-2-butanone and 5-nitrooxy-2-pentanone, for which no data have been provided in the literature, cross sections were estimated using those for corresponding monofunctional species and by applying the enhancement factor ( $r_{nk}$ ) obtained for 3-nitrooxy-2-propanone (Müller et al., 2014):

$$r_{nk} = \frac{s_{nk}}{s_n + s_k} \tag{Eq.4}$$

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Where  $s_{nk}$ ,  $s_n$  and  $s_k$  are the absorption cross sections of the keto nitrate, the alkyl nitrate and the ketone, respectively. For 4-nitrooxy-2-butanone, cross sections of 2-butanone and 1-butyl nitrate were taken from IUPAC, 2006. For 5-nitroxy-2-pentanone, cross sections of 2-pentanone (http://satellite.mpic.de/spectral atlas) and 1-pentyl nitrate (Clemitshaw et al., 1997) were used. From these results, it can be observed that the experimental photolysis frequencies ( $J_{exp}$ ) obtained for 3-nitrooxy-2-propanone and 4-nitrooxy-2-butanone are very close and can be considered as equal within uncertainties. This suggests that the strong enhancement in the cross sections induced by the interaction between the two functional groups, which has been observed for a nitrooxy ketones, also exists with the same amplitude for  $\beta$ -nitrooxy ketones. This is confirmed by the fact the experimental value for 4-nitrooxy-2-butanone is in very good agreement with the calculated value, obtained by assuming that the enhancement factor is the same as the one for 3-nitrooxy-2-propanone. This effect, nonetheless, seems to fade away when the two functions are one carbon further away: The experimental photolysis frequency obtained for 5-nitrooxy-2-pentanone is indeed significantly lower than those for 3nitrooxy-2-propanone and 4-nitrooxy-2-butanone. It is also much lower than the J value calculated by assuming the same enhancement factor as for 3-nitrooxy-2-propanone. This result suggests that the enhancement is significantly reduced for γ-nitrooxy ketones even if it is probably not totally absent. This can easily be explained by the fact that the inductive effect of the nitrate group is expected to decrease when the distance between the functional groups increases. Another explanation would be that the quantum yield is significantly lower than unity. Finally, by comparing results for 3-nitrooxy-2-propanone, 3-nitrooxy-2-butanone and 3-methyl-3-nitrooxy-2-butanone, it can be observed that photolysis frequencies increase with the substitution of the alkyl
 chain. From these kinetic data, it can be concluded that photolysis frequencies of α- and β-nitrooxy ketones are much higher than those obtained when considering the sum of the photolysis frequencies for monofunctional
 species.

Products formed by the photolysis of the carbonyl nitrates were investigated by FTIR spectrometry. For both compounds, only peroxy acetyl nitrate (PAN) was detected. To calculate its formation yield, concentration of PAN was plotted as a function of  $-\Delta[\text{nitrate}]_{\text{photolysis}}$ , i.e., the carbonyl nitrate loss rate due to photolysis. This one was calculated by subtracting the loss rate measured during the dark period before the photolysis to the one measured during the photolysis. Because the yield was calculated as the initial slope of the plot, it was considered that the dark period before irradiation was more representative than the one after. The uncertainty on the yield was calculated by taking into account the uncertainties on the infrared absorption cross sections of PAN (10%) and carbonyl nitrates (10%) as well as the uncertainty on J (see table 1). For 5-nitrooxy-2-pentanone, this uncertainty is quite large because the photolysis rate is relatively slow in comparison to loss to the reactor walls.

PAN formation yields obtained for both compounds are given in Table 1.

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For 4-nitrooxy-2-butanone, PAN is formed with a yield equal to unity. Its formation can be explained by the dissociation of the C(O)-C bond as shown in Scheme 1. This pathway also leads to the formation of the alkyl radical \*CH<sub>2</sub>-CH<sub>2</sub>ONO<sub>2</sub> which reacts with O<sub>2</sub> to form the corresponding peroxy radical, this latter evolving to the formation of the alkoxy by reaction with NO. Two pathways have been considered for the evolution of the alkoxy radical: i) the decomposition which may lead to the formation of NO<sub>2</sub> and two molecules of HCHO and ii) the reaction with O<sub>2</sub> which produces nitrooxy ethanal, also called ethanal nitrate (CH<sub>2</sub>(ONO<sub>2</sub>)-CH(O)). None of these two products have been detected by FTIR. However, absorption bands of ethanal nitrate are expected to be very similar to those of the reactant and it may thus be difficult to distinguish them. In addition, ethanal nitrate is expected to photodissociate much faster than the keto nitrate. The other photodissociation pathway is the cleavage of the O-NO2 bond. It leads to the formation of the radical CH3C(O)CH2CH2O which is expected to react with O2 to form a dicarbonyl product. This product was not observed and this is in good agreement with the formation of PAN with a yield equal to unity by the other photodissociation pathway. It should be noticed that PAN has been detected as a primary product suggesting that its formation via the photolysis of the dicarbonyl product is not expected. In our experiments, it was not possible to measure NO<sub>2</sub> formation yield because large amounts of NO<sub>2</sub> (hundreds ppb) were introduced with the carbonyl nitrate (probably due to its decomposition during the injection).

As discussed above, since the enhancement in the cross sections is larger at the higher wavelengths, where absorption by the nitrate chromophore is very small, it was proposed by Müller et al. (2014) that the absorption by the carbonyl chromophore is enhanced due to the neighboring nitrate group. The authors also suggest that the photodissociation proceeds by a dissociation of the weak O-NO<sub>2</sub> bond, i.e. that a photon absorption by one chromophore (carbonyl group) causes dissociation in another part of the molecule (nitro group). This is not in line with what we observed in our study. From our experiments, we conclude that the photolysis of 4-nitrooxy-2-butanone proceeds mainly by a dissociation of the C(O)-C bond. In the former study on the photolysis of 3-nitrooxy-2-propanone, 3-nitrooxy-2-butanone and 3-methyl-3-nitrooxy-2-butanone (Suarez-Bertoa et al., 2012),

PAN and carbonyl compounds (respectively, formaldehyde, acetaldehyde and acetone) were detected as major products. However, branching ratio of the two pathways (dissociation of O-NO<sub>2</sub> and C(O)-C bonds) could not be determined as the formation of these products, in particular PAN, can be explained by the two pathways.

For 5-nitrooxy-2-pentanone, formation yield of PAN has been observed to be much lower:  $0.16 \pm 0.08$ . As for 4-nitrooxy-2-butanone, its formation can be explained by the dissociation of the C(O)-C bond (see Scheme 2). This result suggests that both dissociation pathways may occur and that O-NO<sub>2</sub> dissociation could be the major one. However, this was not confirmed by the detection of the dicarbonyl compound (2-oxo-pentanal) which is expected to be formed by this pathway. Despite the fact that no standard was available for this compound, no characteristic band was observed in the residual spectrum (after subtraction of reactants and PAN spectra). Because the photolysis rate of 5-nitrooxy-2-pentanone is very low, we suspect that the concentration of this product is below the detection limit. Nevertheless, the low PAN yield is a strong indication that O-NO<sub>2</sub> dissociation may be the major pathway, contrary to what has been observed for 4-nitrooxy-2-butanone. This should be considered in the light of the low enhancement of absorption cross sections which has been assumed for this compound. Hence, in the case of  $\gamma$ -nitrooxy ketones, the enhancement of the absorption by the carbonyl chromophore seems to significantly decrease, leading to a lower branching ratio of the C(O)-C bond dissociation.

## 3.2 OH-oxidation of carbonyl nitrates

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312 Rate constants of the OH-oxidation have been measured for 4-nitrooxy-2-butanone and 5-nitrooxy-2-pentanone. Prior to the experiments, it was checked that the carbonyl nitrates do not photolyse nor decompose/adsorb on the 314 walls of the chamber. Figure 2 represents the kinetic plots obtained for the two carbonyl nitrates. For each compound, several independent kinetic experiments were performed and data were combined to provide the 316 k<sub>ketonitrate</sub>/k<sub>methanol</sub> for each compound (see Figure 3). In order to limit errors in the quantification of reactants due to the possible formation of carbonyl nitrates as products, only the very beginning of the experiments was taken 318 into account for the kinetic plots. This explains the small number of experimental points. The obtained rate constants are given in Table 3. These data are, to our knowledge, the first determinations of the rate constants for 320 the reaction of OH with these two carbonyl nitrates. From these data, it can be concluded that 4-nitrooxy-2butanone and 5-nitrooxy-5-pentanone have similar reactivity towards OH radicals with rate constants equal to  $(2.9 \pm 1.0) \times 10^{-12}$  and  $(3.3 \pm 0.9) \times 10^{-12}$  cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup>, respectively. 322

Rate constants provided in this study, as well as those previously reported for a series of  $\alpha$ -nitrooxy-ketones (Suarez-Bertoa et al., 2012) have been compared to those estimated using structure-activity relationships (SARs) in Table 4. Different SARs have been evaluated: i) the one developed by Kwok and Atkinson (1995) with updated factors  $F(\text{-ONO}_2) = 0.14$  and  $F(\text{-C-ONO}_2) = 0.28$  from Bedjanian et al. (2018); ii) the one developed by Kwok and Atkinson (1995) with updated factors  $F(\text{-ONO}_2) = 0.8$  and  $F(\text{-C-ONO}_2) = 0.1$  from Suarez-Bertoa et al. (2012); iii) the one developed by Neeb (2000) which proposes a different type of parametrization and has been observed to be particularly accurate for oxygenated species; and iv) the one developed by Jenkin et al. (2018) which proposes a parametrization very similar to the one from Kwok and Atkinson (1995) and Bedjanian et al. (2018). The rate constant for 5-nitrooxy-2-pentanone is reasonably well reproduced by all SARs (within a factor of 2). For 4-nitrooxy-2-butanone, only the parametrization provided by Suarez-Bertoa et al. (2012)

succeeds in reproducing the experimental value. This can be explained by the fact that this parametrization has been optimized for carbonyl nitrates while the others have been developed using the entire dataset for compounds containing a nitrate group (-ONO<sub>2</sub>) (Jenkin et al., 2018) or using the dataset for alkyl nitrates (Bedjanian et al., 2018). The main difference between these parametrizations is that in Suarez-Bertoa et al. (2012), the factor F(-ONO<sub>2</sub>) is much less deactivating than for the others. This could result from electronic interactions between the two functional groups. However, Suarez-Bertoa et al. (2012) noticed that their parametrization gives poor results for alkyl nitrates, suggesting that a specific parametrization has to be used for multifunctional species. This also suggests that the principle of SARs based on the group additivity method may not be suitable for multifunctional molecules.

From these experiments, several oxidation products have been detected: HCHO, PAN and methylglyoxal for 4-nitrooxy-2-butanone, and HCHO, PAN and 3-nitrooxy-propanal for 5-nitrooxy-2-pentanone. However, their quantification was highly uncertain because the infrared spectra were complex due to the presence of methanol, isopropyl nitrite, impurities (in particular acetic acid) and their oxidation products. Dedicated mechanistic experiments should now/in the near future be performed using HONO as OH source in order to simplify the chemical mixture.

## 3.3 Atmospheric implications

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Atmospheric lifetimes of the investigated compounds are presented in Table 5. The photolysis rates were 350 estimated using  $J_{\text{nitrate}}/J_{\text{NO2}}$  ratios measured in this study and using  $J_{\text{NO2}}$  for typical tropospheric irradiation conditions corresponding to the 1st July at noon and at 40° latitude North with (overhead ozone column 300, 352 albedo 0.1; TUV NCAR Model, http://www.cprm.acd.ucar.edu/Models/TUV/Interactive\_TUV/). For  $J_{NO2} = 1.03$  $\times$  10<sup>-2</sup> s<sup>-1</sup>, photolysis frequencies of carbonyl nitrates are:  $(6.1 \pm 0.9) \times 10^{-5}$  s<sup>-1</sup> for 4-nitrooxy-2-butanone and (3.3) $\pm$  0.9) × 10<sup>-5</sup> s<sup>-1</sup> for 5-nitrooxy-2-pentanone. Under these irradiation conditions, lifetimes ( $\tau_{h\nu} = 1/J$ ) were found 354 to be 4 and 8 hours, respectively. For OH-oxidation, lifetimes ( $\tau_{OH} = (1/(k_{OH}[OH]))$ ) were calculated using typical OH concentrations of  $2 \times 10^6$  molecule cm<sup>-3</sup> (Atkinson and Arey, 2003). They are both equal to approximatively 356 two days. Hence, it appears that for 4-nitrooxy-2-butanone and for 5-nitrooxy-2-pentanone, photolysis is a more 358 efficient sink than oxidation by OH radicals. An identical conclusion was obtained for  $\alpha$ -nitrooxy carbonyls (Suarez-Bertoa et al., 2012; Barnes et al., 1993; Zhu et al., 1991). However, OH-initiated oxidation is not negligible, especially under polluted conditions where OH concentrations can be higher than  $1 \times 10^7$  molecule 360 cm<sup>-3</sup>.

In order to evaluate the impact of these carbonyl nitrates on the nitrogen budget and the transport of NOx, it is crucial to determine whether their atmospheric sinks, here mainly photolysis, release  $NO_2$  or not. For 4-nitrooxy-2-butanone, we observed that the photolysis proceeds mainly by a dissociation of the C(O)-C bond which does not necessarily lead to the release of  $NO_2$  (see Scheme 2). In our experimental conditions (i.e., with high  $NO_2$  mixing ratios), this pathway leads to the formation of PAN which was detected with a yield equal to unity. Under more realistic  $NO/NO_2$  ratio, this reaction may also produce HCHO and  $CO_2$ . The co-products of PAN, which could not be detected in our study, are expected to be formaldehyde +  $NO_2$  or ethanal nitrate. One  $NO_2$  molecule is hence released in the first hypothesis. Ethanal nitrate may react and undergo photolysis even faster than nitrooxy ketones and may thus lead to  $NO_2$  release quite rapidly. However, as data on the reactivity of

ethanal nitrate are not available in the literature, one cannot provide a definite conclusion. In the case of 5-372 nitrooxy-2-pentanone, the dissociation of the C(O)-C bond has been observed to be a minor pathway suggesting that the major one, which was not directly observed here, is the O-NO<sub>2</sub> dissociation. This process certainly leads to the release of NO<sub>2</sub>.

## 4 Conclusions

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This paper presents the first study on the atmospheric reactivity of 4-nitrooxy-2-butanone and 5-nitrooxy-2pentanone. Thanks to experiments in simulation chambers, photolysis frequencies and rate constants of the OHoxidation were measured for the first time. From these results, it is concluded that, similarly to  $\alpha$ -nitrooxy ketones, β-nitrooxy ketones have enhanced UV absorption cross sections and quantum yields equal or close to unity, making photolysis a very efficient sink for these compounds. Results obtained for 5-nitrooxy-2-pentanone which is a γ-nitrooxy ketone, suggest a lower enhancement of cross sections leading to slightly longer atmospheric lifetimes. This can easily be explained by the increasing distance between the two chromophore groups. Some photolysis products were also detected allowing estimating the branching ratio between the two possible pathways, i.e., the dissociation of the C(O)-C bond and the one of the O-NO<sub>2</sub> bond. For 4-nitrooxy-2butanone, we conclude that the photolysis proceeds mainly by a dissociation of the C(O)-C bond which does not necessarily lead to the release of NO<sub>2</sub>. In the case of 5-nitrooxy-2-pentanone, our results suggest that the dissociation of the O-NO<sub>2</sub> bond is the major pathway. Reactivity of 4-nitrooxy-2-butanone and 5-nitrooxy-2pentanone with OH radicals was also investigated. Both compounds have similar reactivity towards OH radicals leading to lifetimes of approximatively two days. Experimental rate constants are in good agreement with those estimated by the SAR proposed by Kwok and Atkinson (1995) when using the parametrization proposed by Suarez-Bertoa et al. (2012) for carbonyl nitrates. However, this specific parametrization does not allow reproducing experimental data for monofunctional alkyl nitrates, suggesting that specific parametrization should be used for multifunctional species. Finally, these compounds are expected to be removed from the atmosphere fairly rapidly and to act as (only) temporary reservoirs of NOx. If formed during the night, they could however contribute to longer range transport of NOx.

## Author contributions

BPV coordinated the research project. BPV, RSB and JFD designed the experiments in simulation chambers. RSB performed the experiments with the technical support of MC and EP. RSB and MDa performed the organic syntheses. BPV, RSB and MDu performed the data treatment and interpretation. BPV and RSB wrote the paper and BPV was in charge of its final version. All coauthors revised the manuscript content, giving final approval of the version to be submitted.

## 402 Competing interests

The authors declare that they have no conflict of interest.

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## Figure, scheme and table captions

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CESAM chamber.

- **Scheme 1.** Photolysis pathways of 4-nitrooxy-2-butanone. Detected products are indicated with a grey background and their formation yield in given (in %).
- **Scheme 2.** Photolysis pathways of 5-nitrooxy-2-pentanone. Detected products are indicated with a grey background and their formation yield in given (in %).
- **Figure 1**. Kinetic plots for *(a)* the photolysis of 4-nitrooxy-2-butanone (experiment 2) and *(b)* the photolysis of 5-nitrooxy-2-pentanone (experiment 5). Red lines correspond to linear regressions.
- Figure 2. Kinetic plots for the oxidation by OH radicals of 4-nitrooxy-2-butanone and 5-nitrooxy-2-pentanone.
   For 5-nitrooxy-2-pentanone, data have been shifted by 0.2 in y axis. Different symbols correspond to different
   experiments.
- Table 1. Photolysis rates and PAN yields for 4-nitrooxy-2-butanone and 5-nitrooxy-2-pentanone measured in
- Table 2. Comparison of experimental photolysis rates of carbonyl nitrates with those calculated for CESAMirradiation conditions and by assuming a quantum yield equal to unity.
  - **Table 3.** Rate constants for the OH-oxidation of 4-nitrooxy-2-butanone and 5-nitrooxy-2-pentanone.
- **Table 4.** Comparison of experimental rate constants for the OH-oxidation of carbonyl nitrates with those estimated by SARs.
- **Table 5.** Atmospheric lifetimes of carbonyl nitrates towards photolysis and reaction with OH radicals.

Scheme 1.

## **Scheme 2.**

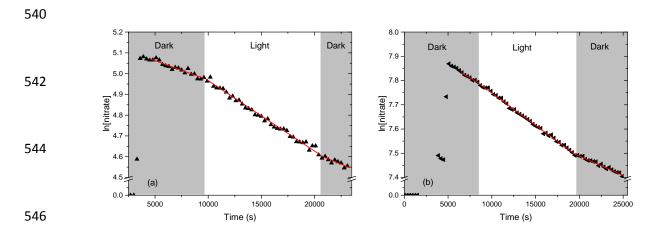
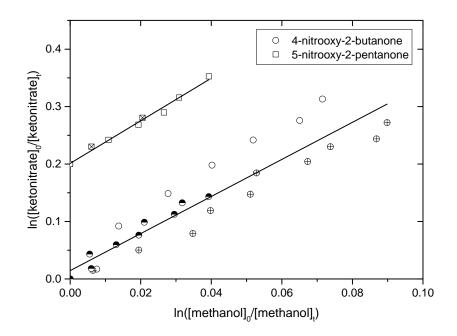


Figure 1.



**Figure 2.** 

Table 1.

Compound	Experim.	$k_{\text{before}}^{\text{a}}$ (×10 <sup>-5</sup> s <sup>-1</sup> )	$k_{\rm after}^{\ \ b}$ (×10 <sup>-5</sup> s <sup>-1</sup> )	$(k+J_{nitrate})^{c}$ $(\times 10^{-5} \text{ s}^{-1})$	J <sub>nitrate</sub> d (×10 <sup>-5</sup> s <sup>-1</sup> )	PAN yield (%)
4-nitrooxy-2- butanone	1	$0.8 \pm 0.1$	-	$2.1 \pm 0.1$	$1.3 \pm 0.2$	$100 \pm 35$
	2	$1.9\pm0.2$	$2.1\pm0.1$	$3.3 \pm 0.1$	$1.3 \pm 0.3$	$100 \pm 40$
	Average				$1.3 \pm 0.2$	$100 \pm 30$
5-nitrooxy-2- pentanone	3	$2.0\pm0.2$	$1.1\pm0.2$	$2.3\pm0.1$	$0.7 \pm 0.4$	$13 \pm 9$
	4	$1.9\pm0.2$	$1.1\pm0.1$	$2.2\pm0.1$	$0.7 \pm 0.4$	$13 \pm 9$
	5	$2.1\pm0.2$	$1.6\pm0.2$	$2.7\pm0.1$	$0.8 \pm 0.3$	$23 \pm 13$
	Average				$\boldsymbol{0.7 \pm 0.2}$	$16 \pm 8$

<sup>a, b</sup> dark decay rate due to wall loss, before and after irradiation; <sup>c</sup> decay rate during irradiation period; <sup>d</sup> photolysis rate calculated as the difference between the decay rate during irradiation and the average dark decay rate.

**Table 2.** 

Compound	J <sub>exp</sub> (×10 <sup>-5</sup> s <sup>-1</sup> )	$J_{calc} (\times 10^{-5} \text{ s}^{-1})$ (\$\phi=1\$)
3-nitrooxy-2-propanone O ONO <sub>2</sub>	$1.5 \pm 0.1$ (Suarez-Bertoa et al., 2012)	1.4 <sup>b</sup>
4-nitrooxy-2-butanone OOOO2	$1.3 \pm 0.2$ (This work)	1.6ª
5-nitrooxy-2-pentanone ONO2	$0.7 \pm 0.2$ (This work)	1.8ª
3-nitrooxy-2-butanone ONO2	$1.8 \pm 0.1$ (Suarez-Bertoa et al., 2012)	2.2 <sup>b</sup>
3-methyl-3-nitrooxy-2-butanone	$2.31 \pm 0.05$ (Suarez-Bertoa et al., 2012)	ND

<sup>&</sup>lt;sup>a</sup> calculated with estimated cross sections (see text); <sup>b</sup> calculated with experimental cross sections from literature;

Table 3.

Compound	$k_{nitrate}/k_{methanol}$	$k_{nitrate} \times 10^{-12}$ (cm <sup>3</sup> molecule <sup>-1</sup> s <sup>-1</sup> )
4-nitrooxy-2-butanone	$3.25 \pm 0.47$	$2.9 \pm 1.0$
5-nitrooxy-2-pentanone	$3.70 \pm 0.28$	$3.3 \pm 0.9$

**Table 4.** 

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Compound	$k_{exp}$ $\times 10^{-13}$	$\begin{array}{c} k_{SAR} \\ \text{Atkinson/Bedjanian} \\ \times 10^{-13} \end{array}$	k <sub>SAR</sub> Atkinson/Suarez  × 10 <sup>-13</sup>	$k_{SAR Neeb}^{c}$ $\times 10^{-13}$	$k_{SAR Jenkin}^{d}$ $\times 10^{-13}$
3-nitrooxy-2- propanone	6.7 <sup>5</sup>	2.0	6.6	5.8	2.5
3-nitrooxy-2-butanone	10.1 <sup>e</sup>	4.5	13.2	6.8	3.7
3-methyl-3nitrooxy-2-butanone	2.6 <sup>e</sup>	4.0	2.1	2.4	4.3
4-nitrooxy-2-butanone	29 <sup>f</sup>	8.1	30.9	8.7	8.1
5-nitrooxy-2- pentanone	33 <sup>f</sup>	21.4	22.5	47.6	19.5

Rate constants are expressed in cm³ molecule⁻¹ s⁻¹; a SAR developed by Kwok and Atkinson (1995) with F(-ONO<sub>2</sub>) and F(-C-ONO<sub>2</sub>) from Bedjanian et al., 2018; b SAR developed by Kwok and Atkinson (1995) with F(-ONO<sub>2</sub>) and F(-C-ONO<sub>2</sub>) from Suarez-Bertoa et al., (2012); SAR developed by Neeb (2000); d SAR developed by Jenkin et al. (2018); e experimental data from Suarez-Bertoa et al. (2012); This work.

Table 5.

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Compound	$J \times 10^{-5}$ a (s <sup>-1</sup> )	τ <sub>hv</sub> (hours)	k <sub>OH</sub> ×10 <sup>-12</sup> (cm³ molecule <sup>-1</sup> s <sup>-1</sup> )	τ <sub>OH</sub> <sup>b</sup> (hours)
4-nitrooxy-2-butanone	$6.1 \pm 0.9$	4	$2.9 \pm 1.0$	48
5-nitrooxy-2-pentanone	$3.3 \pm 0.9$	8	$3.3 \pm 0.9$	42

<sup>a</sup> photolysis frequencies estimated for a typical solar actinic flux (40°N, 1<sup>st</sup> July, noon) by applying a factor 4.7 to those measured in CESAM chamber (see section 2.2); <sup>b</sup> estimated for [OH] = 2 × 10<sup>6</sup> molecule cm<sup>-3</sup>