

# Answers to the Interactive comment on “Ice injected into the tropopause by deep convection – Part 2: Over the Maritime Continent” by Iris-Amata Dion et al.

Dear Dr Michelle Santee and Anonymous Referee #2, thank you very much for your very detailed comments that were very helpful for the improvement of our study. We tried as much as possible to answer all of your comments. Please consider in this document your comments in black, our answers in dark blue and the change on the text in clear blue. Pages 1 to 27 present the answers to Dr Michelle Santee’s review, and pages 28 to the end present the answers to Anonymous Referee #2 ‘s review.

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Referee #1      Michelle Santee  
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Received and published: 11 November 2019

This manuscript is a follow-on study from Dion et al. [ACP, 2019], which reported a novel method of correlating the twice-daily measurements of cloud ice water content (IWC) from the Aura Microwave Limb Sounder (MLS) with higher temporal resolution measurements of precipitation (Prec) from the Tropical Rainfall Measurement Mission (TRMM) to reconstruct the diurnal variation of ice in the upper troposphere (UT, 146 hPa) and tropopause level (TL, 100 hPa), thereby estimating the amount of ice injected at those levels by deep convection ( $\Delta$ IWC). Since the previous study found the largest convective injection of IWC over the Maritime Continent (MariCont), here that region is divided into separate island, sea, and coastal zones. The approach to deriving  $\Delta$ IWC in the UT and TL from MLS IWC and TRMM Prec data is also applied to TRMM lightning (Flash) data. Results using both TRMM data sets are compared to those based on IWC from ERA5. Java island is found to be the area with the highest  $\Delta$ IWC. The roles of small-scale processes in controlling the  $\Delta$ IWC over the different areas are assessed. In general, I think that this is a very interesting and valuable paper that demonstrates the great potential of the authors’ innovative technique to “fill in” the climatological diurnal cycle of IWC and the estimates of  $\Delta$ IWC in the UT and TL at  $2^{\circ} \times 2^{\circ}$  horizontal resolution that have been derived from it. Thus I would very much like to see this paper in print. Unfortunately, however, the manuscript is riddled with inaccurate, erroneous, or inconsistent statements, many instances of unclear wording, and numerous typos. In my opinion, it requires a substantial amount of “cleaning up” before it can be published. A (fairly long) list of specific issues is detailed below. In most cases these concerns can be allayed simply by correcting and clarifying the discussion, with few if any requiring additional analysis. But, although each point is perhaps minor when considered in isolation, in aggregate they add up to major revisions. Moreover, even after the large number of minor corrections listed below, the manuscript will need copy-editing to improve the English.

Specific substantive comments and questions (in sequential order through the manuscript):

L9, L45-46, L105-106: I believe that the representation of the temporal resolution of the

TRMM Prec measurements in the Abstract (L9) and Introduction (L45-46) is somewhat misleading. In both places it is stated that Prec data are available at 1-hr resolution. My understanding, however, is that the TRMM-3B42 data are provided as 3-hr averages. Only by taking advantage of the precessing orbit of TRMM and the long study period (13 years) are the authors able to average the data in 1-hr bins. This binning is obliquely alluded to in L105-106 in the TRMM description subsection, but it should be explained more clearly.

Thank you, we decided to change the TRMM description in L105-106 by :

~~As the TRMM orbit precesses, the diurnal cycle of Prec averaged over the study period is~~<sup>105</sup>~~calculated with a 1-h temporal resolution. The granule temporal coverage of TRMM-3B42 data is 3 hours, but the temporal resolution of individual measurements is 1 minute. Thus, it is statistically possible to degrade the resolution to 1 hour. TRMM-3B42 are provided in Universal Time that we converted into local time (LT). Details of the binning methodology of TRMM-3B42 is provided by Huffman and Bolvin (2018).~~

The reference is : G. J. Huffman, D. T. Bolvin, E. J. Nelkin, D. B. Wolff, R. F. Adler, G. Gu, Y. Hong, K. P. Bowman, and E. F. Stocker. The TRMM multisatellite precipitation analysis (TMPA): quasi-global, multiyear, combined-sensor precipitation estimates at fine scales. *Journal of hydrometeorology*, 8(1):38–55, 2007.

L72: Liu & Zipser [2009] is missing from the reference list, but actually it is not the correct citation here anyway. The 2009 JGR paper did not use TRMM LIS data. A better reference here is Liu & Zipser [JGR, 2005].

Thank you, it is a mistake. We wanted to write Liu and Zipser (2008) with the following reference:

Liu, C., and Zipser, E. J. (2008), Diurnal cycles of precipitation, clouds, and lightning in the tropics from 9 years of TRMM observations, *Geophys. Res. Lett.*, 35, L04819, doi:10.1029/2007GL032437.

### **Section 2.1:**

Several aspects of the MLS description require revision. The most significant issue is the implication that the MLS team should have but failed to provide averaging kernels for the IWC measurements (L90-92). This statement and related discussion in Section 2.4 (L130) and Section 7.2 (L383-385) suggest that the authors have misconstrued how the MLS IWC product is derived. In fact, although optimal estimation is used to retrieve almost all other MLS products, that is not the case for IWC, for which a cloud-induced radiance technique is used. Consequently, no averaging kernels are calculated for IWC. It would be appropriate to reference two of the first papers describing and validating the MLS IWC retrievals: Wu et al. [JGR, 2008] and Wu et al. [JGR, 2009]. According to Wu et al. [2008], the IWC measurements represent spatially averaged quantities whose volume can be approximated by a box with dimensions of ~4 km high by ~300 km long; a simple box like this could have been used to degrade the vertical resolution of the ERA5 IWC rather than the unitary triangular function the authors devised, likely leading to slightly different results.

In agreement with the reviewers' comments, we have used an unitary box function instead of a unitary triangular function to degraded the vertical resolution of ERA5 data. L383-385, the incriminated sentence has been changed into:

Consistently with the MLS observations, we have degraded the ERA5 vertical resolution to assess the impact of the vertical resolution on  $\Delta IWC^{ERA5}$ . According to Wu et al. (2008),  $IWC^{MLS}$  estimation derived from MLS represent spatially-averaged quantities within a volume that can be approximated by a box of  $\sim 300 \times 7 \times 4 \text{ km}^3$  near the pointing tangent height.

In order to compare  $IWC^{MLS}$  and  $IWC^{ERA5}$ , we degraded: 1) the horizontal resolution of ERA5 from  $0.25^\circ \times 0.25^\circ$  to  $2^\circ \times 2^\circ$  and 2) ERA5 data by connecting the vertical profiles of  $IWC^{ERA5}$  with a unitary box function whose width is 5 and 4 km at 100 and 146 hPa, respectively.

L. 168 has been changed as follow:

$IWC^{ERA5}$  have been degraded along the vertical at 100 and 150 hPa ( $<IWC^{ERA5}>$ ) consistently with the MLS vertical resolution of  $IWC^{MLS}$  (5 and 4 km at 100 and 146 hPa, respectively) using an unitary box function (see section 7.2).

Other issues are: (1) Information on the quality of the IWC measurements and the screening steps taken to filter out poor-quality data points should be given.

(1) L94, we added the following sentence:

The IWC measurements were filtered following the recommendations of the MLS team described in Livesey et al. (2018).

(2) MLS provides IWC measurements at 6 levels in the UTLS, not just at 146 and 100 hPa.

(2) L88, the following sentence has been added:

The Microwave Limb Sounder (MLS, Version 4.2) instrument on board the NASA's Earth Observing System (EOS) Aura platform (Livesey et al., 2017) launched in 2004 provides ice water content ( $IWC^{MLS}$ ,  $\text{mg m}^{-3}$ ) measurements at 146 hPa (in the UT) and at 100 hPa (in the TL). MLS provides  $IWC^{MLS}$  are given at 6 levels in the UTLS (82, 100, 121, 146, 177 and 215 hPa). However, we have chosen to study only two levels: an upper and a lower level of the TTL. Because the level at 82 hPa does not provide enough significant measurements of IWC to have a good signal-to-noise, we have selected 2 levels: 1) at 100 hPa as the uppermost level of the TTL (named TL for tropopause level). Then, the level at 146 hPa has been chosen as the lowermost level of the TTL (named UT for upper troposphere). MLS follows a sun-synchronous near-polar orbit, ...

(3) Although it is essential to specify the version of the MLS data being used in this study, as written the sentence in L84 makes it sound like it is Version 4.2 of the instrument itself

and not the data processing algorithms.

(3) L84 the sentence has been changed into:

The Microwave Limb Sounder (MLS, [data processing algorithm version 4.2](#)) instrument ...

(4) It would be appropriate to cite the original paper describing the Aura MLS instrument, Waters et al. [2006], in addition to the MLS Data Quality Document.

(4) L85 the following reference has been added:

... on board the NASA's Earth Observing System (EOS) Aura platform ([Waters et al., 2006](#); [Livesey et al., 2018](#)) launched in 2004

*Waters, J. W., Froidevaux, L., Harwood, R. S., Jarnot, R. F., Pickett, H. M., Read, W. G., ... & Holden, J. R. (2006). The earth observing system microwave limb sounder (EOS MLS) on the Aura satellite. IEEE Transactions on Geoscience and Remote Sensing, 44(5), 1075-1092.*

(5) The most up-to-date version of the latter document is Livesey et al. [2018], not 2017.

(5) Thank you, it has been changed.

(6) It might be better to say "horizontal" rather than "spatial" in L92.

(6) L92, Thank you, we changed the incriminated word as follow:

In our study, high [horizontal](#) resolution study is now possible because...

## Section 2.2:

It is stated that TRMM provided observations until 2015 and that the Prec product has been extended through 2019, but the source of the data for the most recent years is not explained (GPM?). No mention is made of Prec data quality (e.g., biases, random errors).

Yes, this is a GPM. L99, we added the following sentences to clarify this point:

The Tropical Rainfall Measurement Mission (TRMM) has been launched in 1997 and has been able to provide measurements of Prec until 2015. TRMM is composed by five instruments, three of them are complementary sensor rainfall suite (PR, TMI, VIRS). TRMM had an almost circular orbit at 350 km altitude height performing a complete revolution in one and a half hour. [Since, the TRMM satellites re-entered the Earth's atmosphere on 2015, the 3B42 algorithm product \(TRMM-3B42\) \(version V7\) has been created to estimate the precipitation and extend the precipitation product through 2019. TRMM-3B42 is a multi-satellite precipitation analysis composing a Global Precipitation Measurement \(GPM\) Mission. TRMM-3B42 is computed from the various precipitation-relevant satellite passive Microwave \(PMW\) sensors using GPROF2017 computed at the Precipitation Processing System \(PPS\) \(e.g., GMI, DPR, Ku, Ka, Special Sensor Microwave Imager/Sounder \[SSMIS\], etc.\) and including TRMM measurements from 1997 to 2015 \(Huffman et al., 2007, 2010; and Huffman and Bolvin, 2018\). Work is currently underway with NASA funding to develop more appropriate estimators for random error, and to introduce estimates of bias error \(Huffman and Bolvin, 2018\). Thus, the TRMM-3B42, a Prec dataset is based on TRMM observations from 1997 to 2015 and provides Prec data are provided from 1997 to 2019 at a 0.25°×0.25°](#)

(~29.2 km) horizontal resolution, extending from 50°S to 50°N (<https://pmm.nasa.gov/data-access/downloads/trmm>, last access: April 2019).

### Section 2.3:

Not a single reference for the LIS instrument is cited, nor is there any discussion of data quality, detection limits, etc. I do not understand what is meant by “allowing to observe a point within 90 seconds with a temporal resolution of 2 milliseconds” (L110-111). Within 90 seconds of what?

L110-111, we changed the paragraph by the following one:

The Lightning Imaging Sensor (LIS) aboard of the TRMM satellite measures several parameters relative to lightning. According to Christian et al. (2000), LIS used a Real-Time Event Processor (RTEP) that discriminates lightning event from Earth albedo light. ~~in itself was composed by a grid of 128×128 detectors allowing to observe a point within 90 seconds with a temporal resolution of 2 milliseconds.~~ A lightning event corresponds to the detection of a light anomaly on a pixel representing the most fundamental detection of the sensor. After a spatial and temporal processing, the sensor was able to characterize a flash from several detected events. The instrument detects lightning with storm-scale resolution of 3-6 km (3 km at nadir, 6 km at limb) over a large region (550-550 km) of the Earth's surface. A significant amount of software filtering has gone into the production of science data to maximize the detection efficiency and confidence level. Thus, each datum is a lightning signal and not noise. Furthermore, the weak lightning signals that occur during the day are hard to detect because of background illumination. A real-time event processor removes the background signal to enable the system and detect weak lightning and achieve a 90% detection efficiency during the day. LIS horizontal resolution is provided at 0.25°×0.10°. LIS is thus able to provide the number of flashes (Flash) measured. The TRMM LIS detection efficiency ranges from 69% near noon to 88% at night. The LIS instrument performed measurements between 1 January 1998 and 8 April 2015. To be as consistent as possible to the MLS and TRMM-3B42 period of study, we are using LIS measurements during DJF from 2004 to 2015. ~~LIS spatial resolution varies between 3 km at nadir and 6 km off-nadir.~~ The observation range of the sensor is between 38°N and 38°S. As LIS is on the TRMM platform, with an orbit that precesses, Flash from LIS can be averaged to obtain the full 24-h diurnal cycle of Flash over the study period with a 1-h temporal resolution. In our study, Flash measured by LIS is studied at 0.25°×0.25° horizontal resolution to be compared to Prec from TRMM-3B42.

Reference added: *Christian, H. J., Blakeslee, R. J., Goodman, S. J., & Mach, D. M. (2000). Algorithm Theoretical Basis Document (ATBD) for the Lightning Imaging Sensor (LIS), 53 pp. NASA/Marshall Space Flight Cent., Alabama.*

### Section 2.4:

As noted by Duncan & Eriksson [ACP, 2018], ERA5 differs from other reanalyses in that it differentiates between precipitating ice, classified as snow water, and non-precipitating ice,

classified as cloud ice water. In their study, Duncan & Eriksson typically combined the two products. Presumably only cloud ice water was used here, so it would be good for the authors to comment on whether that approach has any impact on their results. In addition, it might be useful to discuss the conclusions of Duncan & Eriksson regarding the ability of ERA5 to capture both seasonal and diurnal variability in cloud ice.

L121-132, we changed sentences in the section 2.4 as follow:

.... ERA5 provides hourly estimates for a large number atmospheric, ocean and land surface quantities and covers the Earth on a 30 km grid with 137 levels from the surface up to a height of 80 km. Reanalyses such as ERA5 provide a physically constrained, continuous, global, and homogeneous representation of the atmosphere through a large number of observations (space-borne, air-borne, and ground-based) with short-range forecasts. Although there is no direct observation of atmospheric ice content in ERA5, the specific cloud ice water content (mass of condensate / mass of moist air) ( $IWC^{ERA5}$ ) corresponds to the changes in the analysed temperature (and at low levels, humidity) which is mostly driven by the assimilation of temperature-sensitive radiances from satellite instruments (<https://cds.climate.copernicus.eu/cdsapp!/dataset/reanalysis-era5-pressure-levels-monthly-means?tab=form>, last access: July 2019).  $IWC^{ERA5}$  used in our analysis is representative of non-precipitating ice, classified as cloud ice water. Precipitating ice, referred to as classified as snow water, is also provided by ERA5 but not used in this study in order to focus only on the injected and non-precipitating ice into the TTL. Furthermore, results from Duncan and Eriksson (2018) have highlighted that ERA5 is able to capture both seasonal and diurnal variability in cloud ice water but the reanalyses exhibit noisier and higher amplitude diurnal variability than borne out by the satellite estimates. The present study uses the  $IWC^{ERA5}$  at 100 and 150 hPa averaged over DJF from 2005 to 2016 with one-hour temporal resolution. ....

L134: The statement that ERA5 does not provide winds at 100 and 150 hPa is incorrect. This was a mistake. As explained below (P18 of the present document), we decided to suppress the paragraph 2.5 as well as the Fig. 12.

Section 3: The algebra is backwards here: either the correlation should be flipped in Eq n. (1) or  $Prec(t)$  should be multiplied by  $1/C$  in Eqn. (2).

Section 3, thank you. This is a mistake, we changed the equation 1 into:

$$C = IWC_x^{MLS} / Prec_x$$

#### Section 4.2:

I am confused about exactly what message Fig. 3 is conveying. As I understand it, a pixel is represented in the maps for 1:30 and 13:30 LT only if it is experiencing the growing phase of convection at that time. Thus all pixels in the map for 1:30 LT are undergoing increasing deep convection then, and likewise for the map at 13:30 LT. The description is

ambiguous, but when I read it I assumed that the mean was calculated for each individual pixel, as was done in Fig. 2c and 2e, and not over the MariCont as a whole.

Thank you to highlight that it is not clear about which « mean » we are talking about. This is the average of the whole IWC or Prec at 01:30 LT or 13:30 LT over the whole MariCont. Thus, the anomaly (deviation from the mean) shows the areas where Prec of IWC at 01:30 LT or 13:30 LT (per pixel) deviate from the MariCont mean of Prec of IWC at these hours.

In order to detail the explanations about these figures, we propose to describe the Figure 3a only. In Fig. 3a, the Prec values at 01:30 LT are presented as an anomaly (i. e. deviation from the average of the Prec values at 01:30 LT for the entire MariCont area). However, in this figure, it is shown only the pixels where the values from Prec to 01:30 LT are during the increasing phase of convection. Since we know the diurnal cycle of Prec for some pixels, the value of Prec at 01:30 LT is during the decreasing phase of convection. However, we decided to highlight the pixels when 01:30 LT is during the decreasing phase of the convection in grey. Furthermore, pixels with a reddish tending color indicates regions where precipitation (Prec) is greater than the average at 01:30 LT (when observations at 01:30 LT is during the increasing phase of convection). Conversely, pixels with a bluish color indicate regions where there is little precipitation compared to the Prec average at 01:30 LT.

Finally, Figures 3b, c and d are similar to Figure 3a but for Prec at 13:30 LT, IWC at 01:30 LT and IWC at 13:30 LT, respectively

We modified the incriminated sentences (L181) into:

Figures 3a and b present the anomaly (deviation from the mean) of Prec measured by TRMM-3B42 over the MariCont at 01:30 LT and 13:30 LT, respectively, only over pixels when the convection is in the growing phase. The anomaly of IWC measured by MLS over the MariCont is shown in Figs. 3c and d, over pixels when the convection is in the growing phase at 01:30 LT and 13:30 LT, respectively. Thus, Each pixel of Prec at 01:30 LT or 13:30 LT during the growing phase of the convection deviates from the average of the all Prec at 01:30 LT or 13:30 LT during the growing phase of the convection over the whole MariCont. The gray color denotes pixels for which convection is not ongoing. Some pixels can be presented on both sets of Prec and IWC panels in Figs. 3 when: 1) the onset of the convection is before 01:30 LT and the end is after 13:30 LT or 2) the onset of the convection is before 13:30 LT and the end is after 01:30 LT. Note that, within each  $2^{\circ} \times 2^{\circ}$  pixel, at least 60 measurements of Prec or IWC at 13:30 LT or 01:30 LT over the period 2004-2017 have been selected for the average.

The caption of the Fig. 3 has been modified as follow:

Anomaly (deviation from the mean) of Prec (a-b) and Ice Water Content (IWC M LS ) at 146 hPa (c-d), at 01:30 LT (left) and at 13:30 LT (right) over pixels where 01:30 LT and 13:30 LT are during the growing phase of the convection,

respectively, averaged over the period of DJF 2004-2017. The gray color denotes pixels for which convection is not ongoing.

If so, then the sign of the deviations from the mean value in a particular pixel indicates whether deep convection is in the early stages (negative) or late stages (positive) of the increasing phase at that time, and the magnitude merely identifies whether the convection is just getting started or is just about to reach its peak (large) versus whether it is near the middle of the increasing phase (small). If that is the case, then I do not see how the inferences being drawn from this plot are supported.

We realize that our first explanation of the Fig.3 has not been easy to understand. For that reason, we have better explained the Fig. 3 in the previous answer.

It is stated (L188-189) that the growing phase of convection is mainly over land at 13:30 LT, but colored (i.e., non-grey, if indeed grey is meant to denote pixels for which convection is not ongoing, which is not at all clear) pixels seem to be present over nearly the entire domain in Fig. 3b and 3d, and IWC and, especially, Prec show fairly large anomalies over most of the sea areas.

We clarified this point in the previous answer Section 4.2. Furthermore, the sentence (L188-189) has been changed into:

~~At 13:30 LT, † The growing phase of the convection over land is mainly at 13:30 LT while, at 01:30 LT, the growing phase of the convection is mainly over seas and coastlines.~~

The strongest Prec anomaly at 13:30 LT is stated (L190) to be over Java Island, but (a) that may only mean that convection is not in the middle of the growing phase there,

See answer above (P7-8), explaining that the colors do not refer to the timing of the growing phase but to the anomalies of Prec.

and (b) the one pixel with the largest deviation from the mean over the island of Java does not stand out above the similarly large anomalies in the surrounding seas. It is stated (L190-191) that the strongest Prec anomaly at 1:30 LT occurs over coastlines and coastal seas, but equally large anomalies are seen in several pixels over Borneo and New Guinea.

Our analyses are describing the land and the sea separately. To be clearer, the sentence L190-191 has been changed as follow:

At 13:30 LT, over land, the strongest Prec and IWC anomalies (+0.15 mm h<sup>-1</sup> and 2.50 mg m<sup>-3</sup>, respectively) are found over the Java island, (and north of Australia for IWC). At 01:30 LT, the growing phase of the convection is found mainly over sea (while the pixels of the land are mostly gray), with maxima of Prec and IWC anomalies over coastlines and seas close to the coasts such as the Java Sea and the Bismark Sea.

It is stated (L192) that the strongest IWC anomaly at 13:30 LT is located over Java, but again comparably large values are located over North Australia and the North Australian Sea.

The previous answer presents the changes we performed to clarify L192:

Furthermore, L192, we canceled the following sentence :

~~The strongest value of IWC anomaly at 13:30 LT is found over Java, while the strongest values of IWC anomaly at 01:30 LT is found over coastlines and seas close to the coasts, such as the North Australia Sea, JavaSea, China Sea and coast around the New Guinea.~~

Finally, the region over the North Australian Sea is identified as having a negative Prec anomaly and a positive IWC anomaly, but that is really only true at 1:30 LT – at 13:30 LT, both anomalies are largely positive in that area.

We changed the sentence L197 into:

... iii) area where Prec anomaly is negative and IWC anomaly is positive (e.g. over the North Australia Sea at 01:30 LT).

#### **Section 4.3:**

The discussion is muddled in places. (1) It is not true that the anomalies of Prec and IWC during the growing phase are positive over the West Sumatran Sea (L207-208); in fact, this area was identified in Section 4.2 to fall into category #2, with positive Prec anomalies but negative IWC anomalies, and this discrepancy is why it is discussed in detail in Section 8.2.

It is a mistake. It should be North Australia Sea instead of West Sumatra Sea. We changed the incriminated sentence L207 as follow:

We can note that the anomalies of Prec and IWC during the growing phase of the convection over North Australia Sea at 13:30 LT are positive ( $> 0.2$   ~~$0.15$~~   $\text{mg m}^{-3}$ , Fig. 3a and b and  $> 2.50$   $\text{mg m}^{-3}$ , Fig. 3c and d, respectively).

(2) In L207, “ $< 0.15 \text{ mg m}^{-3}$ ” should be “ $> 0.15 \text{ mm h}^{-1}$ ”.

This has been corrected.

(3) The sentence in L208-209 doesn't make sense: the quoted TL  $\Delta\text{IWC}$  max and min values overlap (3 and 2-3  $\text{mg m}^{-3}$ , respectively), the min value in the TL is clearly much lower than 2  $\text{mg m}^{-3}$  in Fig. 4, and the difference between the values in the TL and the UT is larger than a factor of 3-4 – indeed, it is stated to be a factor of 6 over land on L210.

We change the min value into 0.2-0.3  $\text{mg m}^{-3}$  and the factor values as follow :

In the TL, the maxima (up to  $3.0 \text{ mg m}^{-3}$ ) and minima (down to  $0.2 - 0.3 \text{ mg m}^{-3}$ ) of  $\Delta\text{IWC}$  are located within the same pixels as in the UT, although 3 to 6 times lower than in the UT.

(4) The TL is mentioned in L213, but Fig. 5 shows only the UT.

The sentence L213 has been changed into:

In order to better understand the impact of deep convection on the strongest  $\Delta\text{IWC}$  injected per pixel up to the TTL, ~~into the UT~~ isolated pixels selected in Fig. 4a are presented separately in Figure 5a and f.

(5) In L215, it should be “large enough to observe the diurnal cycle of IWC between 2 and  $5 \text{ mg m}^{-3}$ ”, not Prec.

The sentence has been changed.

(But large enough to observe the diurnal cycles of IWC between 2.0 and  $5.0 \text{ mg m}^{-3}$ , Fig. 5g, h, i, j).

(6) It is stated (L225) that pixels with large  $\Delta\text{IWC}$  have IWC values between 4.5 and  $5.7 \text{ mg m}^{-3}$ , but that is not true for New Guinea point #2, for which the IWC is much lower.

We changed the sentence L225:

For pixels with large values of  $\Delta\text{IWC}$ , IWC observed by MLS is between 4.5 and  $5.7 \text{ mg m}^{-3}$  over North Australia Sea, South Sumatra and New Guinea.

Moreover, the range of IWC values ( $1.9$  to  $4.7 \text{ mg m}^{-3}$ ) for low- $\Delta\text{IWC}$  points overlaps that of high- $\Delta\text{IWC}$  points. Thus, large  $\Delta\text{IWC}$  values are not always associated with large IWC values at 13:30 LT over land, as asserted in L227-228. Nor is it possible on the basis of Fig. 5 to make a similar assertion for 1:30 LT over the seas, since no such cases were actually examined in that figure.

We changed the sentence L227-228:

To summarize, large values of  $\Delta\text{IWC}$  are observed over land in combination to i) longer growing phase of deep convection ( $> 9$  hours), ii) ~~high value of IWC ( $> 4.5 \text{ mg m}^{-3}$ , excepted) at 13:30 LT over land and 01:30 LT over seas, and/or i-ii) large diurnal amplitude of Prec ( $> 0.5 \text{ mm h}^{-1}$ ).~~

(7) L228-229 states “This shows that  $\Delta\text{IWC}$  is strongly correlated with the shape of the diurnal cycle of Prec”. But isn't that true by definition, since  $\Delta\text{IWC}$  is simply scaled from the min and max in the diurnal cycle of Prec (Eqn. 3)?

We suppressed the incriminated sentence:

~~This shows that  $\Delta\text{IWC}$  is strongly correlated with the shape of the diurnal cycle of Prec.~~

## Section 5.2:

A number of points need clarification. (1) The discussion throughout this section is inconsistent with Fig. 7, which shows the coastlines of the MariCont in the middle panel, not the bottom one. The figure caption is also incorrect.

We changed the Fig. 7 caption as follow:

Figure 7. Diurnal cycle of Prec (solid line) and diurnal cycle of Flash (dashed line) over MariCont\_L (top), MariCont\_C (middle) and Mari-Cont\_O (bottom).

We changed the L251-253 as follow:

Diurnal cycles of Prec and Flash over the MariCont land, coastlines and offshore (MariCont\_L, MariCont\_C, MariCont\_O, respectively) are shown in Figs. 7a–c, respectively. Within each  $0.25^\circ \times 0.25^\circ$  bin, land/coast/ocean filters were applied from the Solar Radiation Data (SoDa, <http://www.soda-pro.com/web-services/altitude/srtm-in-a-tile>). MariCont\_C is the average of all coastlines defined as 5 pixels extending into the sea from the land limit.

And we changed the organisation of the paragraph L264-275 in order to describe results over coastline before results over ocean as follow:

Over coastlines (Fig. 7c), the Prec diurnal cycle is delayed by about + 2 to 7 h with respect to the Flash diurnal cycle. Prec minimum is around 18:00 LT while Flash minimum is around 11:30 LT. Maxima of Prec and Flash are found around 04:00 LT and 02:00 LT, respectively. This means that the increasing phase of Flash is 2-3 h longer than that of Prec. These results are consistent with Mori et al. (2004) showing a diurnal maximum of precipitation in the early morning between 02:00 LT and 03:00 LT and a diurnal minimum of precipitation around 11:00 LT, over coastal zones of Sumatra. According to Petersen and Rutledge (2001) and Mori et al. (2004), coastal zones are areas where precipitation results more from convective activity than from stratiform activity and the amplitude of diurnal maximum of Prec decreases with the distance from the coastline.

Over offshore areas (Fig. 7b), minima of diurnal cycle of Prec and diurnal cycle of Flash are in the late afternoon, between 16:00 LT and 17:00 LT (Flash) and 17:00 LT and 18:00 LT (Prec), whilst maxima of diurnal cycle of Prec and Flash are reached in the early morning, between 06:00 LT and 07:00 LT (Flash) and around 08:00 LT – 09:00 LT (Prec). Results over offshore areas are consistent with diurnal cycle of Flash and Prec calculated by Liu and Zipser (2008) over the whole tropical ocean, showing the increasing phase of the diurnal cycle of Flash starting 1–2 hours before the increasing phase of the diurnal cycle of Prec.

Finally we changed the sentence (L280-285) as follow:

To summarize, diurnal cycles of Prec and Flash show that:

i) over land, Flash increases proportionally with Prec during the growing phase of the convection,

- ii) over coastlines, Flash increasing phase is advanced by more than 6–7 hours compared to Prec increasing phase,
- iii) over offshore areas, Flash increasing phase is advanced by about 1–2 hours compared to Prec increasing phase.

(2) I think the description of how coastlines are defined is unclear; it would help to say “extending into” rather than “over” the sea in L255.

We changed the sentence L254-255 as follow:

MariCont-C is the average of all coastlines defined as 5 pixels ~~over~~ extending into the sea from the lands limits.

It is clear from previous figures that a number of pixels straddle coastlines – are they categorized into the land or the coastal bins?

The calculation of the pixel value is not well described. We added the following sentences to make it clearer:

Within each  $0.25^{\circ} \times 0.25^{\circ}$  bin, land/ocean/coast filters were applied from the Solar Radiation Data (SoDa, <http://www.soda-pro.com/web-services/altitude/srtm-in-a-tile>). MariCont-C is the average of all coastlines defined as 5 pixels ~~over~~ extending into the sea from the lands limits. The MariCont\_O is the average of all offshore pixels defined as sea pixels excluding 10 pixels over the sea from the land coasts, thus coastline pixels are excluded, as well as all the coastal influences. MariCont\_L is the area of all land pixels. A given  $0.25^{\circ} \times 0.25^{\circ}$  pixel can contain information from different origins : land/coastlines or sea/coastlines. In that case, we can easily discriminate between land and coastlines or sea and coastlines by applying the land/ocean/coastlines filter. Consequently, this particular pixel will be flagged both as land and coastlines or sea and coastlines.

(3) Liu and Zipser [2008] is not included in the reference list, but it is unlikely to be the correct citation in any case. Perhaps the authors meant Liu et al. [JAMC, 2008], but I am not sure that that paper made the specific points about the diurnal cycles of Prec and Flash being made in L259 and L267.

Liu and Zipser [2008] has been forgotten in the reference list. In this paper, authors made similar comparison between diurnal cycle of Prec and Flash showing consistent results. We included them in the reference list:

*Liu, C., and Zipser, E. J. ( 2008), Diurnal cycles of precipitation, clouds, and lightning in the tropics from 9 years of TRMM observations, Geophys. Res. Lett., 35, L04819, doi:10.1029/2007GL032437.*

(4) The max in the diurnal cycle of Flash over MariCont\_O is stated (L266) to be reached between 4 and 9 LT, but the peak is more like 6-7 LT and values are fairly low by 9 LT.

We changed the sentence L266 :

Over offshore areas (Fig. 7b), minima of diurnal cycle of Prec and diurnal cycle of Flash are in the late afternoon, between 16:00 LT and 17:00 LT (Flash) and 17:00 LT and 18:00 LT (Prec), whilst maxima of diurnal cycle of Prec and Flash are reached in the early morning, between 06:00 LT and 07:00 LT (Flash) and around 08:00 LT – 09:00 LT (Prec).

(5) Petersen & Rutledge [2001] is also missing from the reference list.

We inserted them in the reference list:

Petersen, W. A., & Rutledge, S. A. (2001). Regional variability in tropical convection: Observations from TRMM. *Journal of Climate*, 14(17), 3566-3586.

(6) I think that another sentence or two of discussion to put the results of the Love et al. [2011] paper into the context of this study would be helpful.

We updated the incriminated paragraph:

The time of transition from maximum to minimum of Prec is always longer than that of Flash. The period after the maximum of Prec is likely more representative of stratiform rainfall than deep convective rainfall. Consistently, over the MariCont ocean, model results from Love et al. (2011) have shown the suppression of the deep convection over offshore area in West of Sumatra from the early afternoon due to downwelling wavefront highlighted by deep warm anomalies around noon. According to the authors, later in the afternoon, gravity waves are forced by the stratiform heating profile and propagate slowly offshore. They also highlighted that the diurnal cycle of the offshore convection responds strongly to the gravity wave forcing at the horizontal scale of 4 km.

### Section 5.3:

(1) Sulawesi is singled out (L301-302) for exhibiting the same onset of the growing phase of convection as Java, but it seems to me that all of the islands in Fig. 8 show fairly similar timing for the increase in Prec and Flash as Java; rather, it is the declining phase when Sulawesi more closely resembles the steeper decrease over Java than the other islands do.

We changed the incriminated sentence L301-302 into:

~~Sulawesi is also a small island and presents the same onset of growing phase for the convection than Java, consistently with results presented in Nesbitt and Zipser (2003) and Qian (2008).~~

Sulawesi is also a small island with high topography as Java. However, the amplitude of the diurnal cycle of Prec and Flash over Sulawesi is not as strong as over Java.

(2) It is stated (L287) that Prec and Flash are studied at  $0.25^{\circ} \times 0.25^{\circ}$  resolution in this subsection. Therefore, couldn't the fact that the diurnal max in Prec over the 5 small islands in Fig. 8 is much higher than that reported by Dion et al. [2019] over the broad

tropical regions of South America, SouthAfrica, and MariCont\_L – based on 2° bins – merely be a consequence of the much greater horizontal resolution used here?

You are right, thank you. We modified the following sentence L295-297 into:

The particularity of Java is related to the increasing phase of the diurnal cycle of Prec (6 h), that is faster than over all the other land areas considered in our study (7 – 8 h). ~~and is very consistent with the diurnal cycle of Prec over South America and South Africa (Dion et al., 2019).~~

(3) In L323-324, it is stated that Flash reaches a max of only 0.1 flashes h<sup>-1</sup> over the North Australian and Bismark Seas, but (a) the value should be 0.1 x 10<sup>-3</sup> and (b) it is not true for NAuSea, for which the max is about 0.6 x 10<sup>-3</sup> flashes h<sup>-1</sup>.

We corrected L323-324 as follow:

Over China Sea and Bismark Sea, the diurnal cycle of Flash shows a very weak amplitude with maxima reaching only 0.1-0.2 x10<sup>-3</sup> flashes h<sup>-1</sup>.

(4) While the diurnal min in Prec is around 18:00 LT over the Bismark Sea, there are several local min in Flash (8, 14, 18 LT).

We changed the sentence L324-325 by the following one:

~~However, the diurnal minima of Prec and Flash over Bismark Sea are found to be at the same time (~17:00 LT).~~

Furthermore, over the Bismark Sea, while the diurnal minimum in Prec is around 18:00 LT, there are several local minima in Flash (08:00, 14:00 and 18:00 LT).

## Section 6:

(1) The duration of the increasing phase of the diurnal cycles of Prec, Flash, and ERA5 IWC is stated (L349) to be 4-5 h over islands, but in L296 this interval for Prec was given as 8-10 h over all land areas besides Java (6 h).

We firstly corrected L296 as follow:

The particularity of Java is related to the increasing phase of the diurnal cycle of Prec (6 h) that is faster than over all the other land areas considered in our study (7 – 8 h).

Then, we changed L349 as follow:

The duration of the increasing phase of the diurnal cycles of Prec, Flash and IWCERA5 are all consistent to each other (6 – 8 h).

(2) Over sea areas, the max of the diurnal cycle of ERA5 IWC is stated (L350) to occur mainly between 7 and 10 LT, but this is not true for the Bismark Sea (~3 LT), WSumSea (there is another essentially equal peak at 17 UT, as noted in L354-355), or China Sea (16

UT), nor is it true in those cases that the timing is consistent with the max in Prec. The statement that the max in the diurnal cycle comes 2-3 h after that in Flash is in consistent with what was said in L330-331 (4-7 h).

We changed L349-351 as follow:

Over sea (Fig. 9), the maximum of the diurnal cycle of  $IWC^{ERA5}$  is mainly found between 07:00 LT and 10:00 LT over Java Sea and North Australia Sea, consistently with the diurnal cycle of Prec and a second peak is found around 16:00 LT. ~~(which is 2–3 hours after the diurnal maxima for Flash).~~ Thus, the duration of the increasing phase of the diurnal cycles of  $IWC^{ERA5}$  is consistent with the one of Prec over these two sea study zones (~10 hours), but not with the one of Flash. Over Bismark Sea, the diurnal maxima of  $IWC^{ERA5}$  are found at 04:00 LT with a second peak later at noon. Over West Sumatra Sea, two diurnal maxima are found at 08:00 LT and 17:00 LT. Over China Sea, the diurnal maxima of  $IWC^{ERA5}$  are found at 16:00 LT with a second peak at 08:00 LT.

(3) The sentence in L353-355 appears to contradict itself (“consistent with the one of Prec...but not with the one of Prec”) – perhaps “Flash” was meant in the latter case.

Yes, it should be ‘Flash’ instead of ‘Prec’. The incriminated sentence has been corrected.

(4) Although the comparisons with ERA5 IWC are interesting, I am wondering what the main goal in including them is. Is the intention to use ERA5IWC, and the  $\Delta IWC$  estimated from it, to confirm the observationally derived values? Or, conversely, is the idea to use the Prec and Flash to “validate” the new ERA5 values?

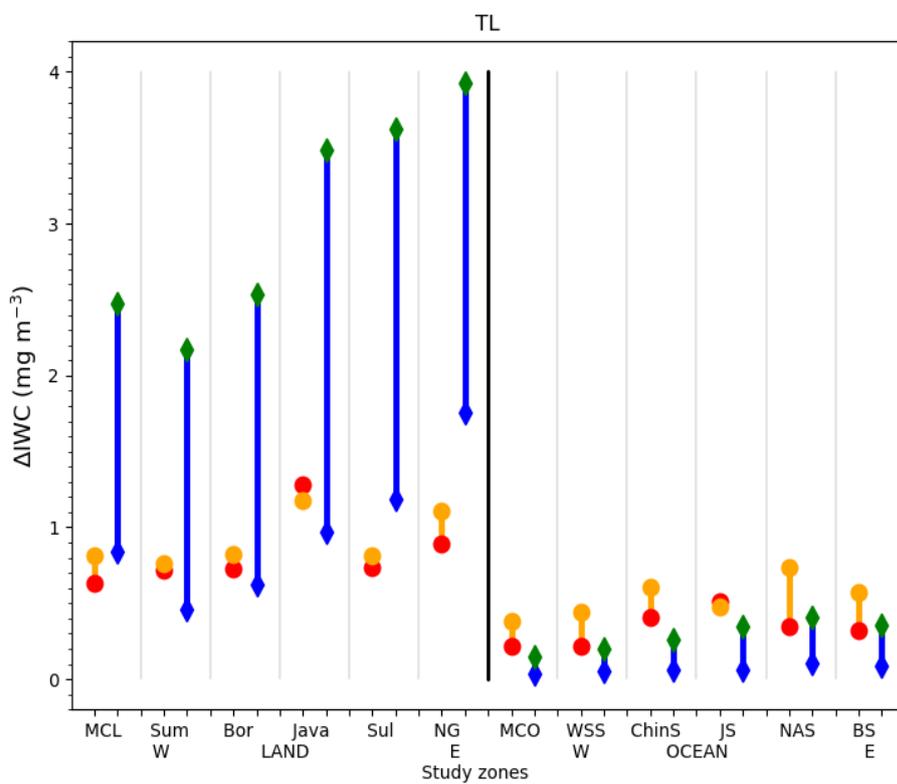
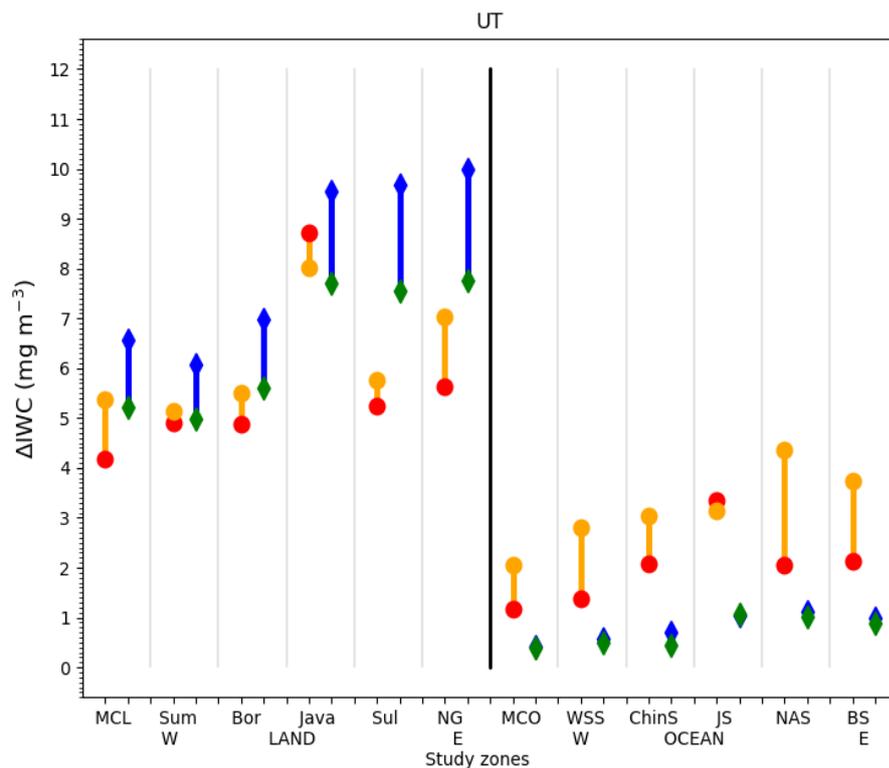
A sentence has been added L335 to clarify the motivations to use IWC from ERA5:

The ERA5 reanalyses provide hourly IWC at 150 and 100 hPa ( $IWC^{ERA5}$ ). The diurnal cycle of IWC over the MariCont from ERA5 will be used to calculate  $\Delta IWC$  from ERA5 in order to assess the horizontal distribution and the amount of ice injected in the UT and the TL deduced from our model combining MLS ice and TRMM Prec or MLS ice and LIS flash. Figures 10a, b, c and d present ...

### Section 7.1:

(1) It is very difficult for the reader to judge any of the  $\Delta IWC$  values stated here in the absence of any y-axis minor tick marks in Fig. 11.

The Fig. 11 has been changed as follow:



(2) It is not clear how the quoted percentages are being calculated (i.e., relative to what). For example, a range of values of 4.87–6.86 mg m<sup>-3</sup> is given for  $\Delta IWC$  over a subset of islands in the UT. It is then stated (L368) that  $\Delta IWC$  from Flash is greater than that from Prec by “less than 1.0 mg m<sup>-3</sup> (41%)”. I have no idea how a value of 41% could possibly have been calculated.

The percentage has been recalculated as follow: for each land study zone, the percentage is calculated as the difference between the Flash value minus the Prec value divided by the Flash value. For each study zone, results are detailed as follow:  
MCL=22%, Sum = 4%, Bor = 11%, Java=-8%, Sul = 9% and NG =19% over island and MCO=43 %, WSS=50 %, ChinS=31 %, JS =-7 %, NAS=53 % and BS = 43 % over sea). As a consequence, the previous sentences L367-372 have been changed as follow:

$\Delta IWC^{Flash}$  is generally greater than  $\Delta IWC^{Prec}$  by less than 1.0 mg m<sup>-3</sup> ( $((\Delta IWC^{Flash} - \Delta IWC^{Prec})/\Delta IWC^{Flash}) \times 100$  ranges from 4 to 22%) (41%) for all the islands, except for New Guinea where the difference reaches 1.40 mg m<sup>-3</sup> (20%). for Java where  $\Delta IWC^{Prec}$  is larger than  $\Delta IWC^{Flash}$  by 0.7 mg m<sup>-3</sup> (-8%). Conversely, over Java,  $\Delta IWC^{Prec}$  is larger than  $\Delta IWC^{Flash}$  by 0.71 mg m<sup>-3</sup> (-8%). Over sea,  $\Delta IWC$  varies from 1.172 to 4.374 mg m<sup>-3</sup>.  $\Delta IWC^{Flash}$  is greater than  $\Delta IWC^{Prec}$  from 0.6 to 2.1 mg m<sup>-3</sup> (31-53%), except for Java Sea, where  $\Delta IWC^{Prec}$  is greater than  $\Delta IWC^{Flash}$  by 0.21 mg m<sup>-3</sup> (-7%). Over North Australia Sea, probably because of the 7 hours lagged diurnal cycle of Flash compared to Prec (Fig. 9),  $\Delta IWC^{Flash}$  is almost twice as large as than  $\Delta IWC^{Prec}$  values (53%).

(3) I am not convinced that the methodology and measurements employed in this study truly allow  $\Delta IWC$  to be estimated to three significant digits.

We changed all results to two significant digits.

(4) The fact that  $\Delta IWC$  from Flash is almost twice as large as that from Prec over the North Australia Sea is attributed to the lagged diurnal cycle of Flash compared to Prec (L371-372), but (a) this is backward: it is Prec that is lagged compared to Flash, as noted in L325-326, and (b) I did not follow why a lag in the diurnal cycle would cause larger  $\Delta IWC$  values.

You are right, there is no specific reason why a lag in the diurnal cycle would cause larger  $\Delta IWC$  values. We deleted the part of the sentence L371-372:

~~probably because of the 7 hours lagged diurnal cycle of Flash compared to Prec (Fig. 9), ...~~

(5) The third paragraph is confusing. It starts with a sentence about Java, but then the rest of the paragraph is about the differences between Prec and Flash  $\Delta IWC$  estimates in general, making the Java sentence seem out of place. The final sentence is badly written and difficult to parse, but it appears to say that Flash (unlike Prec) is not contaminated by stratiform precipitation and thus should serve as a better proxy than Prec over the sea, but because it is negligible there it cannot be used to calculate  $\Delta IWC$  in those regions – but of course Flash has been used to do exactly that, with the results shown in Fig. 11. And the statement that Flash is a better proxy for deep convection than Prec because it is not contaminated by stratiform rainfall is repeated in Section 8.2 for the West Sumatra Sea specifically. So the discussion here needs to be clarified.

We clarified the last paragraph (L376-379) as below and replaced the last sentence which was confusing by a new one concluding that both proxies can be used in our model, with more confidence over land:

~~At both altitudes, To summarize, independently of the proxies used for the calculation of  $\Delta IWC$ , and at both altitudes, Java shows the largest injection of ice over the MariCont.  $\Delta IWC^{\text{Prec}}$  and  $\Delta IWC^{\text{Flash}}$  are consistent to within 4-20 % over islands and 6-50 % over seas in the UT and the TL. Furthermore, it has been shown that both proxies can be used in our model, with more confidence over land:  $IWC^{\text{Prec}}$  and  $\Delta IWC^{\text{Flash}}$  are consistent to each other to within 4-22% over island and 7-53% over sea in the UT and the TL. The largest difference over sea is probably due to the larger contamination of stratiform precipitation included in Prec over sea. Although Flash, is not contaminated by stratiform clouds, it could be a better proxy than Prec over sea but it is unfortunately negligible: less than 10-2 flashes per day (Fig. 6).~~

## **Section 7.2:**

(1) the definitions of the UT and TL in L381 are switched.

The definitions have been corrected:

$\Delta IWC$  from ERA5 ( $\Delta IWC^{\text{ERA5}}$ ) is calculated in the UT and the TL ( $z_0 = 150$  and 100 hPa, respectively) as the max–min difference in the amplitude of the diurnal cycle.

(2) The reference on L384, which was likely supposed to be Rodgers [2000], is missing, but as discussed above it is not relevant here anyway, as optimal estimation is not used to retrieve MLSIWC data. Thus the discussion related to that point needs to be rewritten.

Correction has been done and answers has been detailed previously page 3 of this document.

(3) There is no Livesey et al. [2019] document – the latest version of the MLS Data Quality Document is Livesey et al. [2018].

The reference has been changed.

(4) It is not clear what is meant by the statement “xx% of variability per study zones”, which appears in numerous places throughout this subsection and also in Sections 7.3 and 8.3, nor how those values are calculated. Please clarify.

Similarly as the percentage previously calculated in section 7.1 (comparing  $\Delta IWC^{Prec}$  and  $\Delta IWC^{Flash}$ ), the percentage calculated here is an average of all percentages of difference between  $\Delta IWC^{ERA5}$  and  $\langle \Delta IWC^{ERA5} \rangle$  calculated for each study zone as follow:  $((\Delta IWC^{ERA5} - \langle \Delta IWC^{ERA5} \rangle) / \langle \Delta IWC^{ERA5} \rangle) \times 100$ . For each study zone, results over land are : MCL=21%, Sum=21%, Bor=27%, Java=22%, Sul=23%, NG=23%.

To clarify, this we changed the paragraph as follow:

Figure 11 shows  $\Delta IWC^{ERA5}$  and  $\langle \Delta IWC^{ERA5}_{z_0} \rangle$  at  $z_0 = 150$  and  $100$  hPa, over the island and the sea study zones. In the UT (Fig. 11a), over island,  $\Delta IWC^{ERA5}_{150}$  and  $\langle \Delta IWC^{ERA5}_{150} \rangle$  calculated over Sumatra and Borneo vary from ~~4.75~~ ~~4.9~~ to ~~6.97~~ ~~7.0~~  $\text{mg m}^{-3}$  (the relative variation calculated as  $((\Delta IWC^{ERA5} - \langle \Delta IWC^{ERA5} \rangle) / \langle \Delta IWC^{ERA5} \rangle) \times 100$  is 18-19%) (~~~22% of variability per study zones~~) whilst  $\Delta IWC^{ERA5}_{150}$  and  $\langle \Delta IWC^{ERA5}_{150} \rangle$  over Java, Sulawesi and New Guinea reach ~~7.41~~ ~~9.97~~ ~~7.4~~ ~~10.0~~  $\text{mg m}^{-3}$  (~19-22% of variability per study zone).

(5) The convolved ERA5  $\Delta IWC$  values are greater than the unconvolved values in the TL, not lower as stated in L398.

The sentence has been corrected. :

In the TL, over land,  $\Delta IWC^{ERA5}_{100}$  and  $\langle \Delta IWC^{ERA5}_{100} \rangle$  vary from ~~0.46~~ ~~0.5~~ to ~~3.65~~ ~~3.7~~  $\text{mg m}^{-3}$  (~68% of variability per study zone) with  $\langle \Delta IWC^{ERA5}_{100} \rangle$  being larger than  $\Delta IWC^{ERA5}_{100}$  by less than  $2.12 \text{ mg m}^{-3}$ .

### Section 7.3:

(1) I assume that the statement “observation and reanalysis  $\Delta IWC$  ranges agree to within 0–0.64  $\text{mg m}^{-3}$ ” (L404-405) is meant to indicate that the ranges generally overlap, not that the estimates precisely agree. I think it might be clearer to say “observation and reanalysis  $\Delta IWC$  ranges overlap, except over New Guinea and Sulawesi, where the differences between the extrema of the two ranges are 0.64  $\text{mg m}^{-3}$  and 1.63  $\text{mg m}^{-3}$ , respectively”.

The sentence L403-405 has been changed as follow :

The comparison between the observational  $\Delta IWC$  range and the reanalysis  $\Delta IWC$  range is presented in Fig. 11. In the UT, over land, observation and reanalysis  $\Delta IWC$  ranges overlap (agree to within 0.1 to 1.0  $\text{mg m}^{-3}$ ), which

highlights the robustness of our model over land, except over Sulawesi and New Guinea, where the observational  $\Delta$ IWC range and the reanalysis  $\Delta$ IWC range differ by 1.7 and 0.7 mg m<sup>-3</sup>, respectively).

(2) Does the fact that the observational  $\Delta$ IWC range is more or less consistent with the reanalysis range over most islands but is systematically greater than the reanalysis range over all sea regions imply anything about either the validity of the methodology used here or the reliability of the ERA5 IWC values over offshore areas?

As noted in the sentence L403-405, these results highlighted the robustness of our model over land, but over sea, a systematic positive bias and a too large variability range were depicted in our model compared to ERA5.

To clarify, the sentence L405 has been completed as follow:

Over sea, the observational  $\Delta$ IWC range is systematically greater than the reanalysis  $\Delta$ IWC range to within ~1.00 – 2.192 mg m<sup>-3</sup> (75 %), showing a systematic positive bias and a too large variability range in our model over sea compared to ERA5.

(3) The combined  $\Delta$ IWC range over land in the TL is stated (L408) to be 0.63–3.65 mg m<sup>-3</sup>, but the lowest value (which occurs over Sumatra) looks smaller than that (below 0.5) to me. Again I question whether the degree of precision in all of the  $\Delta$ IWC values quoted throughout the manuscript is really supportable.

Thank you. We checked all the values quoted in the manuscript. We changed the value of 0.6 (which is the minimum for the MCL study zones) to 0.5 which is the minimum among all the study zones. Here are the changes:

Combining observational and reanalysis ranges, the total  $\Delta$ IWC variation range is estimated in the UT between ~~4.17 and 9.97~~ 4.2 and 10.0 mg m<sup>-3</sup> (~20% of variability per study zone) over land and between 0.354 and 4.374 mg m<sup>-3</sup> (~30% of variability per study zone) over sea and, in the TL, between 0.5 and 3.657 mg m<sup>-3</sup> (~70% of variability per study zone) over land, and between 0.1 and 0.7 mg m<sup>-3</sup> (~80 % of variability per study zone) over sea.

(4) The consistency between  $\Delta$ IWC estimates is discussed in L410-412. It is not clear to me why Sumatra was left off the list of specific land areas where agreement is good. On the other hand, although MariCont\_O is identified as showing large differences, it seems to me that it should be noted that agreement is poor for all individual offshore areas.

Sumatra has been forgotten in the sentence L140-412. The sentence L410-412 has been modified into:

The amounts of ice injected in the UT deduced from observations and reanalyses are consistent to each other over MariCont\_L, Sumatra, Borneo and Java, with significant differences ~~between observations and reanalyses over Sulawesi, New Guinea~~ (within 1.7 to 0.7 mg m<sup>-3</sup>, respectively) and all individual offshore study zones (within 0.7 to 2.1 mg m<sup>-3</sup>).

**Section 8.2:** It is stated (L449-450) that Flash is a better proxy for deep convection over the West Sumatra Sea than Prec. I note that Flash shows higher  $\Delta IWC$  than Prec over the WWS (as in almost all offshore areas) in Fig. 11. But I am puzzled about how the discussion of  $\Delta IWC$  estimates in this section relates to the negative IWC anomaly in this region in Fig. 3, which is based directly on MLS IWC data, not estimates of  $\Delta IWC$  derived from either Prec or Flash. More discussion tying the IWC / Prec anomalies of Fig. 3 (and how they differ over the WSS from other regions) to the  $\Delta IWC$  estimates in Fig. 11 would be helpful here.

The whole paragraph has been changed for a better clarification:

In section 4.3, it has been shown that the West Sumatra Sea is an area with positive anomaly of Prec during the growing phase of the convection but negative anomaly of IWC, which differs from other places. ~~The diurnal cycle of Prec over West Sumatra Sea has been studied by Mori et al. (2004) using 3 years of TRMM precipitation radar (PR) datasets, following the 2A23Algorithm Awaka (1998) separating stratiform to convective rainfall type. These results suggest that Prec is representative not only of convective precipitation but also of stratiform precipitation. The diurnal cycle of stratiform and convective precipitations over West Sumatra Sea has been studied by Mori et al. (2004) using 3 years of TRMM precipitation radar (PR) datasets, following the 2A23Algorithm (Awaka, 1998). The authors have shown that rainfall over Sumatra is characterized by convective activity with a diurnal maximum between 15:00 and 22:00 LT while, over the West Sumatra Sea, the rainfall type is convective and stratiform, with a diurnal maximum during the early morning (as observed in Fig. 9). Furthermore, their analyses have shown a strong diurnal cycle of 200-hPa wind, humidity and stability, consistent with the diurnal cycle of precipitation measured by TRMM Precipitation Radar (PR) over Sumatra West Sea and Sumatra island. Stratiform and convective clouds are both at the origin of heavy rainfall in the tropics (Houze and Betts, 1981; Nesbitt and Zipser, 2003) and in the West Sumatra Sea, but stratiform clouds are mid-altitude clouds in the troposphere and do not transport ice up to the tropopause. Thus, over the West Sumatra Sea, the calculation of  $\Delta IWC$  estimated from Prec is possibly overestimated because Prec include a non-negligible amount of stratiform precipitation over this area.~~

~~Flash measured over the West Sumatra Sea would thus be a better proxy of deep convection in order to estimate  $\Delta IWC$  than Prec because Prec is contaminated by stratiform rainfall.~~

**Section 8.3:** I'm not sure that I follow the discussion in this section. The authors note that daily mean Flash rates are higher than daily mean Prec values over the North Australian Sea, and that difference is why  $\Delta IWC$  estimates from the two sources differ most strongly in that region. They then go on to suggest that IWC injected during the day over North Australia land areas is transported to the coastlines and sea areas over night. But the bottom-line point of this argument is not clear – what observations presented in this paper is it intended to explain? Are the authors contending that this transport of IWC some how affects their  $\Delta IWC$  estimates? That appears to be the case based on the final sentence

(L517-519) of the Conclusions section. If so, then I find that very confusing, because the underlying basis for their approach in estimating  $\Delta IWC$  is the assumption that deep convection is the dominant process driving the diurnal increase in IWC in the TTL and that other processes, such as horizontal advection, can be neglected. If indeed horizontal advection of IWC is a factor here, then wouldn't that mechanism operate in other regions as well? (Even just in the North Australia Sea, it seems that similar contributions from New Guinea might also play a role.). Fundamentally, it seems to me that this has potentially serious implications for the validity of their technique for deriving  $\Delta IWC$  over any offshore areas that should be discussed in more detail here and stated more explicitly in the Conclusions.

We deleted Fig. 12 and paragraph 2.5. We decided not to discuss about the assumptions of the impact of processes than the vertical deep convective processes on the ice injected over offshore because we do not have enough information to assess their implications and make hypothesis. Thus, we changed and simplified the whole paragraph as follow:

### 8.3 North Australia Sea and seas with nearby islands

The comparisons between Figs. 2c and 6a have shown strong daily mean of Flash ( $10^{-2}$  –  $10^{-1}$  flashes day<sup>-1</sup>) but low daily mean of Prec (2.0 – 8.0 mm day<sup>-1</sup>) over the North Australia Sea. Additionally, Fig. 11 shows that the strongest differences between  $\Delta IWC^{Prec}$  and  $\Delta IWC^{Flash}$  are found over the North Australia Sea, with  $\Delta IWC^{Flash}$  greater than  $\Delta IWC^{Prec}$  by 2.3 mg m<sup>-3</sup> in the UT and by 0.4 mg m<sup>-3</sup> in the TL (53% of variability between  $\Delta IWC^{Flash}$  and  $\Delta IWC^{Prec}$ ). These results imply that the variability range in our model is too large and highlight the difficulty to estimate  $\Delta IWC$  over this study zone.

Furthermore, as for Java Sea or Bismarck Sea, North Australia Sea has the particularity to be surrounding by several islands. According to the study from Pope et al. (2009), the cloud size is the largest during the afternoon over the North Australia land, during the night over North Australia coastline and during the early morning over the North Australia sea. These results suggest that deep convective activity moves from the land to the sea during the night. Over the North Australia Sea, it seems that the deep convective clouds are mainly composed by storms with lightnings but precipitations are weak or do not reach the surface and evaporating before.

Furthermore, the sentence L517 has been deleted.

### References:

- (1) There is a pervasive lack of proper capitalization throughout the references listed, as well as several instances of bizarre (and unnecessary) hyphenation. [Done](#)
- (2) The correct reference for the MLS Data Quality Document is: Livesey, N.J., Read, W.G., Wagner, P.A., Froidevaux, L., Lambert, A., Manney, G.L., Millan, L.F., Pumphrey, H.C., Santee, M.L., Schwartz, M.J., Wang, S., Fuller, R.A., Jarnot, R.F., Knosp, B.W., Martinez, E., and Lay, R.R., Version 4.2x Level 2 data quality and description document, Tech. Rep.

JPL D-33509 Rev. D, Jet Propulsion Laboratory, available at: <http://mls.jpl.nasa.gov> (last access: dd MMM yyyy), 2018. [Done](#)

Minor points of clarification, wording suggestions, and grammar / typo corrections:

L11: lightnings → lightning events [OK](#)

L14 (also L38, 166, 167,169, 189, 205, 210, 211, 216, 219, 241, 252, 255, 289, 309,311, 407, 421): lands → land [OK](#)

L16: I think it would be clearer to add “they agree” in front of “to within 4-20%” [OK](#)

L28: dimentional → dimensional [OK](#)

L29 and L31: add “e.g.” at the beginning of the lists of references on these lines [OK](#)

L35: add a comma after “respectively” L38: add a comma after “areas” [OK](#)

L41: The first sentence of this paragraph seems out of place, as it has nothing to do with the rest of the paragraph. It would be better to move it somewhere else or delete it.

[OK we deleted it.](#)

L53: center → centers [OK](#)

L56: Is the statement “a comprehensive work has been done around the study of the diurnal cycle of precipitations and convection over the MariCont” referring to previous studies other than Yang & Slingo (cited in the previous sentence)? If so, references are needed. In any case, the sentence needs to be clarified. [OK we added the missing reference as follow:](#)

[Yang and Slingo \(2001\) have shown that over the Indonesian area, the phase of the convective activity diurnal cycle drifts from land to coastlines and to offshore areas. Even though authors have done a comprehensive work around the study of the diurnal cycle of precipitation and convection over the MariCont, the diurnal cycle of ice injected by deep convection up to the TL over this region is still not well understood.](#)

L56 (also L104, 430): precipitations → precipitations [OK](#)

L65: It is not clear what is meant by “the authors were expected”

[We clarified this point as follow:](#)

[Consequently, the amount of ice injected in the UT and the TL is greater over MariCont\\_L than over MariCont\\_O. ~~Considering a higher horizontal resolution over small islands and seas of the MariCont and investigating other proxies of deep convection, the authors were expected a better characterisation of the amount of ice injected up to the TTL.~~](#)

[Building upon the results of Dion et al. \(2019\), ~~the present study is~~](#)

~~addressing the evaluation of  $\Delta$ IWC at a resolution of~~ the present study aims to improve the methodology of Dion et al. (2019) by i) studying smaller study zones than in Dion et al. (2019) and by distinguishing island and sea of the MariCont, ii) assessing the sensibility of model to different proxies of deep convection and iii) assessing the amount of ice injected in the UT and the TL inferred by our model by comparinf with that of ERA5 reanalyses. Based on space-borne observations and meteorological reanalyses,  $\Delta$ IWC is provided at a horizontal resolution of  $2^\circ \times 2^\circ$  over 5 islands (Sumatra, Borneo, Java, Sulawesi and New Guinea) and 5 seas (West Sumatra Sea, Java Sea, China Sea, North Australia Sea, and Bismark Sea) of the MariCont during convective season (December, January and February, here after DJF) from 2004 to 2017.  $\Delta$ IWC will be first estimated from Prec measured by TRMM-3B42. A sensitivity study of  $\Delta$ IWC based on the number of flashes (Flash) detected by the TRMM Lightning Imaging Sensor (TRMM-LIS), an alternative proxy for deep convection as shown by Liu and Zipser (2009), is secondly proposed. Finally, we will use IWC calculated by the ERA5 reanalyses from 2005 to 2016 to estimate  $\Delta$ IWC in the UT and the TL over each study zone and compare it to  $\Delta$ IWC estimated from Prec and Flash.

L73: that will be compared → and compare OK

L84: the NASA's → NASA's OK

L91: add a comma after “respectively” OK

L92-93: delete “study” after “resolution”; datasets → data OK

L96: has been launched in 1997 and has been able to provide → was launched in 1997 and provided OK

L97: composed by → composed of OK

L102-103: depend → depends; add “and does” in front of “not differentiate” OK

L108: lightnings → lightning OK

L109: was using → used OK

L123: number → number of OK

L129 (also L292, 304, 337, 348, 350, 435): consistently → consistent OK

L136: Est → East OK

L167 (also L194, 241, 245, 337, 339, 416, 431, 464, 516): the New Guinea → NewGuinea OK

L175: Fig. 2c → Fig. 2<sup>e</sup> OK

L176 (P7): it would be helpful if the Timor Sea and the Arafura Sea were also indicated on the map in Fig. 2a

These two seas compose what we named the North Australia Sea. However, the map on Fig. 2a is too small to show these two seas separately.

P8, Fig. 2 caption: It would be helpful if the information about the horizontal resolution of the TRMM and MLS data were added to the caption in addition to being stated in the main text

The caption has been changed as follow:

Main islands and seas of the MariCont (S is for Sumatra) (a), elevation from Solar Radiation Data (SoDa) (b); daily mean of Prec measured by TRMM over

the Maritime Continent, averaged over the period of DJF 2004-2017 (c), hour (local solar time (LST)) of the diurnal maxima of Prec over the MariCont (d); daily mean (01:30 LT + 13:30 LT)/2 of IWC<sup>MLS</sup> at 146 hPa from MLS over the MariCont averaged over the period of DJF 2004-2017 (e). Observations are presented with a horizontal resolution is 0.25°x0.25° for (b, c and d) and 2°x2° for (e).

L179: that → as OK

L180-181: each duration → the duration; can be defined → can then be defined OK

L185: present both in Figs. 3a and b (Figs. 3c and d, OK

L187: this doesn't make sense – I think 13:30 LT → 1:30 LT OK

L193: is → are OK

P10, Fig. 3 caption: It would be good to state in the caption that the IWC plots are for 146 hPa. Also, add a comma after “respectively”

OK :

Anomaly (deviation from the mean) of Prec (a-b) and Ice Water Content (IWC<sup>MLS</sup>) at 146 hPa (c-d), at 01:30 LT (left) and at 13:30 LT (right) over pixels where 01:30 LT and 13:30 LT are during the growing phase of the convection, respectively, averaged over the period of DJF 2004-2017.

L210: more important → larger OK

L232: lightnings are created into → lightning is created in OK

L233: lightnings → lightning OK

L244: the pervasive lack of superscripts in units (e.g., “month -1”, “mg m-3”, “m s-1”, “mm h-1”) is puzzling, given that superscripts are used for other purposes, but it is only a trivial annoyance in most places in the manuscript. In the case of Flash, however, it is a bigger issue, since it is hard to read “10-2- 10-3” in this line. Sometimes the units on Flash are given as per day and sometimes as day-1. Also, I don't think it is true that Flash values are lower than 10-2 per day over New Guinea, at least not in the interior of the island.

We changed the superscripts in units.

P12, Fig. 5: It would be more convenient if the y-axis for Prec had 4 (not 3) minor tickmarks, as is the case for the IWC y-axis. The solid and dashed lines should also be described in the caption. OK

L246: Fig. 2c → Fig. 2d OK

L252 (also L264, 269, 314): as noted above, the panels in Fig. 7 are mislabeled Corrected, see below.

P14, Figure 7: It would be very helpful to have more minor tick marks on the x-axis. In the caption: full line → solid line; dash → dashed OK

L289: delete “areas” OK

L289-290: add commas after “(2008)” and “1 mm h<sup>-1</sup>” OK

L294: add commas after “6h” and “Flash” OK

L299: it might be good to remind readers that the elevation is shown in Fig. 2b OK

L302: than Java → as Java OK

L304: maintaining → maintain OK

L305: rainfalls → rainfall OK

L306: convections → convection OK

P16-17, Figs. 8 and 9: again, it would be very helpful to have more minor tick marks on the x-axis. Also for Fig. 9, the label for the North Australia Sea is given in panel (b) and the figure caption as “NAusSea”, but in the main text it is “NAuSea”. The labels should be consistent. OK

L312-313: it would be clearer to say “either coastline or offshore areas depending on the area” OK

L320: most of → most OK

L332: In the next section → In Section 7 OK

P19, Fig. 10: It would aid the comparisons with Fig. 2e discussed in the text if the same color bar were used, particularly since the ERA5 IWC values reach higher values than those of MLS, yet the color bar in Fig. 2e extends to larger values. This might also alleviate the issue that the highest values of ERA5 IWC over New Guinea and North Australia appear to saturate the color bar in Fig. 10 (that is, white colors appear in the map in those regions).

Fig. 10 is presented at 0.25°x0.25° while Fig. 2 is presented at 2°x2°. According to us, it is not needed to use the same color bar, because the horizontal degradation from 0.25°x0.25° to 2°x2° tends to decrease the averaged values per pixel. Thus it is not pertinent to compare the scale value between the Fig. 10 and Fig. 2. However, it is interesting to compare the areas of maxima and minima over these two maps.

Also, since panels (c) and (d) have been labeled “TL”, it would be good to add “UT” to panels (a) and (b).

OK

L340 (also L342, 347, 366, 373, 377, 391): island → islands OK

L344: is → are OK

L353: cycles → cycle; zone → zones OK

L363: calculated → calculate OK

L366: Fig. 10a → Fig. 11a OK

L368: excepted → except OK

L370: from → by OK

L372: twice greater than → twice as large as; also delete “values” OK

L373: Fig. 10b → Fig. 11b OK

P21, Fig. 11 caption: West Sumatra Coast (WSS) → West Sumatra Sea (WSS) OK

L390: why are there parentheses around the convolved ERA5ΔIWC term in this line? OK

L406: to within → by OK

L410: observational → observations OK  
L412: negligible → negligible OK  
L414: are → is; twice larger than → twice as large as OK  
L433: merged → merging OK  
L433 (also L516): tiny → small (not only does “tiny” not sound very scientific, but also it could come across as dismissive) OK  
L436: transport → transports OK  
L437: Fig. 10 shows IWC, not  $\Delta$ IWC, so it should not be listed with Fig. 4 here; perhaps Fig. 11 was meant instead OK  
L439: section 4.3 → section 4.2 OK  
L442: Awaka (1998) → (Awaka, 1998); to → from OK  
L446: “PR” has already been defined in L441 OK  
L453: Fig. 10 → Fig. 11 OK  
L462: would be → is OK  
L479: from by → from OK  
L481: to impact → injecting OK  
L482: into → in OK  
L495: amount → amounts OK  
L500 (also L504, 517): combination between → combination of OK  
L510: delete commas after “that” and “Flash” OK  
L513: delete commas after “Guinea” and “Sulawesi”; range → ranges OK  
L514: as Java → than Java OK  
L516: cumulus merged → merged cumulus OK

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## Anonymous Referee #2

Received and published: 19 November 2019

The paper by Dion et al. is the second part of a work aiming at quantifying the diurnal cycle of ice particles in the tropical tropopause layer (TTL), and more precisely, the amount of ice injected by deep convection up the tropospheric part of the TTL, and up to the tropopause. It is mostly based on the analysis of 13 years of ice water content (IWC) data from MLS onboard the AURA satellite, as well as precipitations data from TRMM, and lightning flashes from the LIS instrument onboard TRMM. While the first part of the study, already published in ACP, is dedicated to the study of all tropical regions over the globe, this companion paper only focuses on the Maritime Continent (MariCont) during the austral convective season of December January and February, because the MariCont has been shown to be one of the most efficient tropical regions to transport ice up to the TTL in Dion et al. (2019). Here the study focuses on each sub-region of the Maritime Continent, that is the different islands and seas composing it. The main contribution from this study is to present the Maritime Continent not as a whole continent but as the sum of very different contributions. It was already shown in the first paper that the land parts had a different impact and cycle than the oceanic part. Here the authors are going further in estimating the climatological contribution of each main islands and seas of the MariCont. For example, Java and New Guinea are shown to be the main contributors in the transport of ice up to the TTL. In that sense, this innovative work and point of view deserve a publication. Before it can be done, I have major comments and minor comments that should be addressed

before the paper can be accepted in ACP. Some of them can be easily addressed by adding explanations and references, some others may require additional calculations.

### Major comments:

#### Instrumental part:

Information is missing in the description of the satellite products that are used. Most of all, I would expect information on the accuracy, precision, or biases for MLS IWC, TRMM prec, and TRMM LIS (detection limit, false detection of fashes, etc). See "minor comments" for details.

We added more information for MLS IWC, TRMM prec and TRMM Flash. Firstly, we added to the 2.1 MLS IWC paragraph, L94:

... In our study, high spatial resolution study is now possible because we consider 13 years of MLS datasets, allowing to average the  $IWC^{MLS}$  measurements within the bins of horizontal resolution of  $2^\circ \times 2^\circ$  (~230 km). We select  $IWC^{MLS}$  during all austral convective seasons DJF between 2004 and 2017. The IWC measurements were filtered following the recommendation of the MLS team described in Liversey et al. (2018). The resolutions of  $IWC^{MLS}$  (horizontal along the path, horizontal perpendicular to the path, vertical) measured at 146 and 100 hPa are  $300 \times 7 \times 4$  km and  $250 \times 7 \times 5$  km, respectively. The precision of the measurement is  $0.10 \text{ mg m}^{-3}$  at 146 hPa and 0.25 to  $0.35 \text{ mg m}^{-3}$  at 100 hPa. The accuracy is 100 % for values less than  $10 \text{ mg m}^{-3}$  at both levels and the valid range is 0.02-50.0  $\text{mg.m}^{-3}$  at 146 hPa and 0.1-50.0  $\text{mg m}^{-3}$  at 100 hPa (Wu et al., 2008).

We added the following sentence into the paragraph 2.3 TRMM-LIS, previously modified P.4-5 of the present document:

LIS is thus able to provide the number of flashes (Flash) measured. The TRMM LIS detection efficiency ranges from 69% near noon to 88% at night.

More details about the accuracy, precision, or biases of each instrument has been described P4-5 and 6 of the present document.

The use of ERA 5 to estimate  $\Delta IWC$ . I have a significant problem with this part. ERA5 is a relatively new reanalysis from ECMWF. The Authors are using ERA5 ice products to compare  $\Delta IWC$  from satellite observations and from ERA5. But here, no reference is made on any estimation of the quality of ice from ERA5, nor how the ice is provided or calculated in ERA5. Is ice assimilated in the ECMWF model? If yes, from which instrument?

IWC is not assimilated but modeled in ECMWF. See explanations below (P30) providing more information and references about the use of ERA5.

If not, how it is calculated, is there a correlation between the ice product and any reported bias in ECMWF model ? As a consequence, is  $\Delta IWC^{ERA5}$  used to validate  $\Delta IWC^{Prec}$ , and  $\Delta IWC^{Flash}$ , or should it be understood the other way round? To make a meaningful comparison between both types of estimation, all of this question should be addressed in

the manuscript.

We do not use  $\Delta IWC^{ERA5}$  in order to 'validate'  $\Delta IWC^{Prec}$ , and  $\Delta IWC^{Flash}$ , but in order to assess the amounts estimated by our model.

We added more detail on ERA5 in the paragraph 2.4 ERA5, L127:

... The present study uses the  $IWC^{ERA5}$  at 100 and 150 hPa averaged over DJF from 2005 to 2016 with one-hour temporal resolution.  $IWC^{ERA5}$  is governed by the model microphysics which allows ice supersaturation with respect to ice (100-150% RH) but not with respect to liquid water. Although microwave radiances at 183 GHz (sensitive to atmospheric scattering induced by ice particles) (Geer et al., 2017) are assimilated, cloud and precipitations are used as control variable in the 4D-Var assimilation system and cannot be adjusted independently in the analysis (Geer et al., 2017). The microwave data have sensitivity to the frozen phase hydrometeors but mainly to larger particles, such as those in the cores of deep convection (Geer et al., 2017), but the sensitivity to cirrus clouds in ERA5 is strongly dependent on microphysical assumptions on the shape and size of the cirrus particles. Indirect feedbacks are also acting on cirrus representation in the model – e.g. changing the intensity of the convection will change the amount of outflow cirrus generated. This is why observations that affects the troposphere by changing for example the stability, the humidity, or the synoptic situation can affect the upper level ice cloud indirectly (Geer et al., 2017).  $IWC^{ERA5}$  is used to assess the amount of ice injected in the UT and the TL as estimated by the model developed in Dion et al., (2019) and in the present study.

Geer, A. J., Baordo, F., Bormann, N., Chambon, P., English, S. J., Kazumori, M., ... & Lupu, C. (2017). The growing impact of satellite observations sensitive to humidity, cloud and precipitation. *Quarterly Journal of the Royal Meteorological Society*, 143(709), 3189-3206.

Lopez, P. (2011). Direct 4D-Var assimilation of NCEP stage IV radar and gauge precipitation data at ECMWF. *Monthly Weather Review*, 139(7), 2098-2116.

A sentence, described P.15 of the present document, has also been added L335 to remind the motivation to use IWC from ERA5.

Furthermore, to my knowledge, the ice in ERA5 is composed of 2 different variables. Are you using the total ice, or only one parameter (which should be non-precipitating ice)? The authors should be more explicit on this point and justify the choice of the variable they have used.

This point has been already developed in the replies to the reviewer « 1 's comments L121-132. We have changed sentences in the section 2.4.

More details about the accuracy, precision, or biases of each instrument has been described P4-5 and 6 in the replies to the reviewer #1.

Winds from NCEP. In Section 8, winds from NCEP are used instead of winds of ERA5. It is stated that ERA5 winds are not available. For sure they are. What would be the results shown in Fig 12 if ERA5 winds were used? Does it impact the duration of transport of ice from North Australia land to seas westward?

We have chosen to delete the Fig. 12 as well as the discussion about this figure in section 8. Thus, the paragraph describing NCEP has also been deleted. See more justification P.6 and 20-21 in the replies to the reviewer # 1.

#### Minor comments:

- **Abstract:** one of the key highlights of the study is to present the MariCont as a jigsaw puzzle of different contributions with respect to the effect of deep convection on ice in the TTL. For example, Java and New Guinea are presented as very efficient locations for the injection of ice into the TTL. Thus to me, some key findings on the effect of subregions of the MariCont should appear in the abstract.

We added some information on the abstract as follow L22-23:

Finally, from IWC<sup>ERA5</sup>, Prec and Flash, this study highlights 1)  $\Delta$ IWC over land has been found larger than  $\Delta$ IWC over sea with a limit at  $4.0 \text{ mg m}^{-3}$  in the UT between minimum of  $\Delta$ IWC estimated over land and maximum of  $\Delta$ IWC estimated over sea, and 2) small islands with high topography present the strongest amounts of  $\Delta$ IWC such as the Java Island, the area of the largest  $\Delta$ IWC in the UT ( $7.9 - 8.7 \text{ mg m}^{-3}$  daily mean).

- **L11** Lightning is always singular. See also p4 and p11. OK

- **Introduction L31.** Jensen et al. (2007) are providing important inputs on the effect of deep convection on the hydration or dehydration in the TTL. It seems appropriate to cite this study here.

We added Jensen et al., 2007 L.31 and in the reference list.

Jensen, E. J., Ackerman, A. S., & Smith, J. A. (2007). Can overshooting convection dehydrate the tropical tropopause layer?. *Journal of Geophysical Research: Atmospheres*, 112(D11).

- **Section 2.1:** though the reference for the MLS ice product is given, no information is given on the accuracy and the uncertainties on the IWC. Please add it.

We added information in the Section 2.1 MLS IWC. See the replies to the reviewer #1 (P.26).

- Section 2.1 L90. This sentence is not clear to me. Please rephrase. At least it should be explained why you need the averaging Kernel at 100 hPa and 146 hPa.

We decided to delete the sentence. Since IWC from MLS is retrieved using optimal estimate theory, averaging kernels do not exist for IWC. We changed the previous sentence L90 by the following one:

~~Since the averaging kernels of IWC MLS are not provided by MLS, we will use an unitary triangular function centered at 146 and 100 hPa, having a width at half maximum of 4 and 5 km, respectively to represent the averaging kernels of MLS IWC at 146 and 100 hPa (see section 7.2). Although optimal estimation is used to retrieve almost all other MLS products, a cloud-induced radiance technique is used to estimate the MLS IWC (Wu et al., 2008; Wu et al., 2009).~~

See replies to the reviewer #1 to have more information about the ERA5 vertical degradation as a function the IWC MLS box function.

- **Section 4.1** L170: there is a very strong contrast in the maximum time of Prec between land and coastal region. If convection and Prec maxima are due to a sea breeze effect and orography over land, as stated before, why coastal region maximum of Prec is clearly not influenced by the sea breeze (otherwise the time of maximum of Prec should not be so different)? On the other hand, the coastal behavior seems relatively independent from the oceanic behavior since the oceanic behavior is very dependent on the sea considered, whereas the Prec maximum for coastal region is relatively well identified. A longer comment or hypothesis should be presented here to explain this behaviour.

Sea breeze impacts the land convection at the end of the day, when land temperature surface is higher than oceanic temperature surface: maximum of Prec is observed at the end of the day (15-24h). Over coasts, because the sea-breeze transports air masses from the sea to the land at the end of the day, the conditions are not favorable for the development of the convection. This is only once the sea breeze is stopped/reduced that the convection can be strong over coast: time of the observed maximum of Prec is during night-morning (0-6h) over coasts. Then, during the night-morning, the sea surface temperature become larger than the land surface temperature (water releases its heat much slower than land causing the air over the water to be warmer than the air over the land) and the land-breeze favours the convection development over coasts and sea (time of the maximum of Prec over sea is observed mainly in the morning-noon: 09-12h and 15-24h depending of the sea considered).

We have inserted the following sentence L.200:

~~Areas where the daily mean of Prec is maximum are usually surrounding the highest elevation over lands (e.g. over the New Guinea) and near coastal areas (North West of Borneo in the China Sea and South of Sumatra in the Java Sea) (Fig. 2b and c). Qian (2008) explained that high precipitation is mainly concentrated over lands in the MariCont because of the strong sea-breeze convergence, combined with the mountain-valley winds and cumulus merging processes. The diurnal maximum of Prec over land is observed between 18:00 LT and 00:00 LT (Fig. 2d) whereas, over coastal parts, it is in the early morning before 05:00 LT. Over seas, the time of the diurnal maximum varies as a function of the region. Java Sea and North of Australia Sea present maxima around 13:30LT while the west Sumatra Sea and the Bismark Sea show maxima around 01:30 LT. The times of the maxima of Prec are over land, during~~

the evening (18:00-00:00 LT), over coast during the night-morning (00:00-06:00 TL) and over sea during the morning-noon and even evening depending of the sea considered (09:00-12:00 LT and 15:00-00:00 LT). These differences could illustrate the impact of the land/sea breeze within the 24 hours. The sea breeze during the day favours the land convection at the end of the day when land temperature surface is higher than oceanic temperature surface. During the night, the coastline sea surface temperature becomes larger than the land surface temperature and the land-breeze favours systematically the convection development over coast. These observations are consistent with results presents in Qian (2008), explaining that high precipitation is mainly concentrated over land in the MariCont because of the strong sea-breeze convergence, but also because of the combination with the mountain–valley winds and cumulus merging processes. Amplitudes of the diurnal cycles of Prec over the MariCont will be detailed as a function of islands and sea in section 5.

- **Section 4.2** about Fig. 3. From what I understand, the number of occurrences (=cases per pixel that are during the growing phase at 13:30 or 01:30) on which the average/the anomaly is calculated depends on the pixel. What is the amplitude of the number of occurrences to get this figure?

We selected at least 60 measurements of Prec or IWC at 13:30 LT or 01:30 LT per pixel of  $2^{\circ} \times 2^{\circ}$  over the period 2004-2017. We already provided more information about this question into the replies to the reviewer #1.

- **Section 4** Fig. 4. This is one of the key finding of the publication. However, I am surprised that qualitatively, the same patterns are found at 146 hPa and at 100 hPa, the only difference being the scale. I would expect a slightly different behavior at 100hPa because the ice amount might be also driven by other processes than just deep convection (e.g. in situ formation of cirrus or ice particles close to the tropopause). Does the result mean that other processes than deep convection are negligible, or cannot be detected by this method or the instrument? A discussion should be given at the end of the comment of Fig. 4.

$\Delta$ IWC is the amount of ice injected by deep convection (during the growing phase of the convection). Thus other processes are not considered into the calculation of  $\Delta$ IWC. Dion et al. (2019) have suggested that the main process controlling  $\Delta$ IWC is the deep convective process. Authors also suggest that other processes such as the in situ formation of ice, the sublimation, precipitation, horizontal advection, ... are minor during the growing phase of the deep convection and could become major after the growing phase of the deep convection (namely during the decreasing phase). However, it is out of the scope of the present paper to estimate the impact of each process on the diurnal cycle of IWC during the decreasing phase. Thus, authors have suggested that the growing phase of the diurnal cycle of Prec, representative of the growing phase of the deep convection, is correlated with the growing phase of ice into the UT and the TL. Thus, the only difference between  $\Delta$ IWC into the UT and the TL is the amount of ice measured by MLS at 01:30 or 13:30 LT. The following discussion has been added L211:

... altitude is larger over land (by a factor 6) than over sea (by a factor 3). We can note that the similar pattern between the two layers come from the diurnal

cycle of Prec in the calculation of  $\Delta IWC$  at 146 and 100 hPa. Only the measured value of  $IWC^{MLS}$  at 146 and 100 hPa can explain the observed differences in  $\Delta IWC$  values at these two levels. Thus, similar  $\Delta IWC$  patterns are expected between the two levels because, according to the model developed in Dion et al. (2019), the deep convection is the main process transporting ice into the UT and the TL during the growing phase of the convection. Convective processes associated to these land and sea are further discussed in Sect. 6.

- **Section 4.3** : 228 “this shows that...” Ok but what can we learn about the diurnal cycle or the intensity of deep convection from this correlation?

We deleted the following sentence :

~~This shows that  $\Delta IWC$  is strongly correlated with the shape of the diurnal cycle of Prec.~~

- **Section 5** |231: potential energy → electric potential energy OK

- **Section 5.2**, |254. The choice of 5 pixels over the sea from the land limits: why this choice? Was a sensitivity test made on the number of pixel to infer the behavior of coastal regions?

We did a sensitivity test in order to select the exact number of pixels that were the most representative of the coastal areas. We observed that considering less than 5 pixels is too low and decrease the signal-to-noise ratio, while considering more than 5 pixels presents no differences with the offshore sea signal. The following sentence has been changed:

MariCont-C is the average of all coastlines defined as 5 pixels extending into the sea from the land limit. This choice of 5 pixels has been taken applying some sensitivity tests in order to have the best compromise between a high signal-to-noise ratio and a good representation of the coastal region.

- L255: 10 pixels offshore for oceanic behavior. Please justify.

We justified it in the following sentence :

The MariCont\_O is the average of all offshore pixels defined as sea pixels excluding 10 pixels (~2000 km off the land) over the sea from the land coasts, thus coastline pixels are excluded as well as all the coastal influences. MariCont\_L is the area of all land pixels.

- P14 fig 7 and results from it. There is a mismatch between the titles of the middle and bottom panels and the corresponding figure captions.

We already answered to this mismatch into the replies to the reviewer #1.

Middle panel is entitled Mari-Con\_C and It is captioned MariCont\_O. The other way round

for the bottom panel. In a general manner, the results for the coasts are relatively close to the one offshore. At least the time shift is weaker between MariCont\_O Vs MariCont\_C than for MariCont\_C Vs. MariCont\_L. Is the choice of 5 pixels from the land to define the MariCon\_C has something to do with it? What if you had chosen 3 or 2 pixels only? Would the coastal diurnal cycle of Prec and FLash be closer to the Land cycle?

Diurnal cycles of Prec or Flash considering 2 or 3 pixels presented a too low signal-to-noise ratio to be interpreted. A number of pixels greater than 5 in the definition of the coastal region produced diurnal cycles of Prec or Flash to be the same than that over the offshore region.

- P15: References Liu and Zipser (2008) and (2009) appear in the text but not in the reference list.

P3, L71 has been changed has follow :

...an alternative proxy for deep convection as shown by Liu and Zipser (2009<sup>8</sup>)

P15 : Liu and Zipser (2008) is now in the reference list.

- Fig 8 and 9. The ERA5 IWC is also presented in the figures and is not commented in section 5. This does not make any problem since it is commented later on in section 6 but at least, a sentence should inform that the ERA5 results would be commented later.

A sentence L.291 has been added as follow:

Diurnal cycles of Prec and Flash are presented over land for a) Java, b) Borneo, c) New Guinea, d) Sulawesi and e) Sumatra as shown in Figure 8 and over sea for the a) Java Sea, b) North Australia Sea (NAuSea), c) Bismark Sea, d) West Sumatra Sea (WSumSea) and e) China Sea as shown in Figure 9. Diurnal cycles of IWC from ERA5 ( $IWC^{ERA5}$ ) are also presented in Fig. 8 and 9 and will be discussed in Section 6.

About the same figs in **section 6**, L346: it would be interesting to over plot an equivalent value of the MLS IWC and comment it. As written in my major comment, there no real estimation of the ice product in ERA5. Adding the MLS IWC here could give an idea of a potential bias in the reanalysis.

Thank you, it is good idea. However, we have chosen not to add the diurnal cycle of IWC estimated from  $IWC^{MLS}$  and Prec, nor the one estimated from  $IWC^{MLS}$  and Flash because the figure becomes unreadable due to many curves on each figure. Furthermore, the model developed on Dion et al. (2019) although producing a full diurnal cycle of IWC, is only valid during the increasing phase. Thus, the Fig. 11 is relevant to show the differences between the estimated IWC and the one of  $IWC^{ERA5}$  during the increasing phase of the diurnal cycle. Finally, by definition of eq (1), the shape of the diurnal cycle of the estimated IWC from  $IWC^{MLS}$  and Prec and from  $IWC^{MLS}$  and Flash would be exactly the same as the shape of the diurnal cycle of Prec and Flash, respectively.

In **section 6** the authors comment on the consistency or the inconsistency of the ERA5 IWC diurnal cycle with the Prec one. But no reason or hypothesis are given to explain this disagreement. I wish a discussion appeared at the end of section 6 on that point.

We are not able to explain or hypothesize the differences observed over sea. However we can clarify the sentence L.359 as follow (the deleted sentence was deleted and changed following a comment by Michelle Santee explained on P13-14 of the present document):

Over Bismark Sea, the diurnal maxima of  $IWC^{ERA5}$  is found at 04:00 LT with a second peak later at noon. Over West Sumatra Sea, two diurnal maxima are found at 08:00 LT and 17:00 LT. Over China Sea, the diurnal maximum of  $IWC^{ERA5}$  is found at 16:00 LT and a second peak is found at 08:00 LT. ~~Over West Sumatra Sea and China Sea, the two maxima in the diurnal cycle of  $IWC^{ERA5}$  are not observed in the diurnal cycle of Prec and Flash, consequently the increasing phase of  $IWC^{ERA5}$  is not consistent with the one of Prec nor the one of Flash.~~ These differences in the timing of the maximum of the diurnal cycle of Prec, Flash and  $IWC^{ERA5}$  observed at small-scale over sea of the MariCont are not well understood. However, these differences do not impact on the calculation of the  $\Delta IWC^{Prec}$ ,  $\Delta IWC^{Flash}$  or  $\Delta IWC^{ERA5}$ . Results are presented Section 7.

- **Section 7.** Before reading the whole paper, I did not understand why results from  $\Delta IWC$  offshore could be given for flash since it was shown previously that  $IWC^{Flash}$  are not synchronous over seas. Thus, one could deduce that the method to estimate IWC (and  $\Delta IWC$ ) cannot be applied offshore. We understand later that some regions are better described by the  $IWC^{Flash}$  approach than by the  $IWC^{Prec}$ , due for example, to the higher contribution of stratiform Prec. So in section 7.1, the part where Fig11 is presented and commented, it should be justified more clearly why  $\Delta IWC^{Prec}$  and  $\Delta IWC^{Flash}$  can be presented as a range of observational  $\Delta IWC$ .

We clarified the use of the two proxies L363:

Figure 11 synthesizes  $\Delta IWC$  in the UT and the TL over the 5 islands and 5 seas of the MariCont studied in the previous section. Eqs. (1-3) are used to calculate  $\Delta IWC$  from Prec ( $\Delta IWC^{Prec}$ ) and from Flash ( $\Delta IWC^{Flash}$ ). As presented in the previous section, Prec and Flash can be used as two proxies of deep convection, with differences more or less accentuated in their diurnal cycles as a function of the region considered. Thus, the observational  $\Delta IWC$  range calculated between  $\Delta IWC^{Prec}$  and  $\Delta IWC^{Flash}$  provides an upper and lower bound of  $\Delta IWC$  calculated from observational datasets.

In **section 7.2** p20 and 22. It would be interesting to recall here the number of model levels from 150 to 100 hPa to have an idea of the vertical resolution of the undegraded ERA5 data.

The previous paragraph has been changed as follow according to the review of Michelle Santee. We added the last sentence in clear blue in order to recall the number of model level used in the calculation:

In order to compare  $IWC^{MLS}$  and  $IWC^{ERA5}$ , we firstly degraded the horizontal resolution of ERA5 from  $0.25^\circ \times 0.25^\circ$  to  $2^\circ \times 2^\circ$  (~200 kmx200 km) and secondly, we degraded the vertical distribution of  $IWC^{ERA5}$  ( $IWC^{ERA5}(z_0)$ ) following a unitary box function whose width is 5 and 4 km at 100 and 146 hPa, respectively.

Thus, the available  $IWC^{ERA5}$  at 175 hPa and the one at 70 hPa have been used in the calculation of the convolved  $IWC^{ERA5}$ .

- **7.3** Obviously, a comparison section is needed here, but without describing how ERA5 ice is produced/calculated, the results presented here are meaningless. I do not say it is out of interest to do so. There is probably something to learn in this comparison (for example from the fact that over seas, ERA5  $\Delta IWC$  is systematically lower than the observational  $\Delta IWC$ ), but here one must be aware the meaning of the product used.

We detailed how  $IWC^{ERA5}$  is calculated in section 2.4 ERA5 Ice. At present, no more interpretation can be done regarding the differences highlighted.

- L418. Considering the large range of ERA5 to  $\langle ERA5 \rangle$ , the numbers given may not be representative.

The sentence L417-418 has been deleted:

~~Whatever the datasets used, the vertical distribution of  $\Delta IWC$  in the TTL has shown a gradient of  $6 \text{ mg m}^{-3}$  between the UT and the TL over land compared to a gradient of  $2 \text{ mg m}^{-3}$  between the UT and the TL over ocean.~~

- **Section 8.3** L459. I would have added Corti et al. (2008) for the references concerning Hector.

This part of the paragraph has been deleted. See answer P.20-21 of the present document.

- Section 8.3 from L465. See my major comment about the NCEP winds.

This part of the paragraph has been deleted. See answer P.20-21 of the present document.

- L471 and 472. Ice in the UT and at the tropopause is not a passive tracer. So, to state that, an estimation of the lifetime of ice particles for both altitudes should be given.

This part of the paragraph has been delated. See answer P.20-21 of the present document.

- L503 “consistent to within 75 % over seas”. This corresponds to a relatively fair agreement, and the use of “consistent” seems exaggerated.

The percentage has been corrected as follow :

... consistent to within 30-50 % over seas in the UT ...

- L517. “ $\Delta$ IWC is a combination...” Again, you can write this statement only if you show that the lifetime of ice particle is long enough for such a transport.

This sentence L517 has been deleted.

~~Over sea areas,  $\Delta$ IWC is a combination between the vertical transport of air masses by deep convection during night and early morning over offshore sea and by the westward horizontal transport of air masses near the tropopause, coming from land through coastline areas, during the end of the afternoon and at night (such as in North of Australia seas).~~

### **Suggested references:**

- Jensen, E.J., A.S. Ackerman, and J.A. Smith, 2007: Can overshooting convection dehydrate the tropical tropopause layer? J. Geophys. Res., 112, D11209, doi:10.1029/2006JD007943.

- Corti, T., et al. ( 2008), Unprecedented evidence for deep convection hydrating the tropical stratosphere, Geophys. Res. Lett., 35, L10810, doi:10.1029/2008GL033641.