Characterization of the air-sea exchanges mechanisms during a Mediterranean heavy precipitation event using realistic sea state modelling

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Abstract. This study investigates the mechanisms acting at the air-sea interface during the heavy precipitation event that occurred between the 12-14 October 2016 over the north-western Mediterranean area, and that led to large amounts of rainfall (up to 300 mm in 24h) over the Hérault region (South of France). The study case was characterized by a very strong easterly to southeasterly wind at low level $(>20 \text{ m s}^{-1})$ generating a very rough sea (significant wave height up to 6 m) along the French

- 5 Riviera and the Gulf of Lion. In order to investigate the role of the waves on those air-sea exchanges during such extreme events a set of numerical experiments was designed using the Météo-France kilometer-scale AROME-France Numerical Weather Prediction model - including the WASP sea surface turbulent fluxes parametrization - and the wave model WaveWatchIII. Results from those sensitivity experiments in the forced or coupled modes showed that taking the waves generated by the model into account increases the surface roughness. Thus, the increase in the momentum flux induces a slow-down of the easterly
- 10 low-level atmospheric flow and a displacement of the convergence line at sea. Despite strong winds and young sea below the easterly flow, the turbulent heat fluxes upstream of the precipitating system are not significantly modified. The forecast of the heaviest precipitation is finally modified when sea state is taken into account, notably in term of location, slightly more largely in the forced mode than in the coupled mode as the coupling balances interactively the wind sea, the stress and wind.

1 Introduction

- 15 The western Mediterranean region is regularly affected by Heavy Precipitation Events (HPE) characterized by a large amount of rainfall over a small area in a very short time which can lead to flash flood events causing severe damages and in some cases, casualties (e.g. [Delrieu et al., 2005;](#page-19-0) [Llasat et al., 2013\)](#page-21-0). Usually such events are generated by quasi-stationary Mesoscale Convective Systems (MCSs) developed eastwards of an upper-level trough and enhanced by an unstable low-level jet advecting moist and warm air towards the Mediterranean coasts [\(Nuissier et al., 2011;](#page-21-1) [Duffourg et al., 2016\)](#page-19-1). Several mechanisms
- 20 leading to the initiation of the deep convection have been identified [\(Ducrocq et al., 2008,](#page-19-2) [2016\)](#page-19-3), in particular the forcing by mountainous coastal regions surrounding the Mediterranean Sea which force the unstable low-level flow to lift and thus trigger

the deep convection. Besides the orographic lifting, deep convection can also be triggered by low-level wind convergence and cold pool due to precipitation evaporation.

The Mediterranean Sea still warm in autumn acts as a reservoir of moisture and heat that feeds the low-level flow by up to 40 to 60% according to the study of [Duffourg and Ducrocq](#page-19-4) [\(2011\)](#page-19-4) of ten HPEs over southwestern France. Therefore, 5 air-sea exchanges during those events are key processes of these events. These exchanges, namely the sea surface turbulent heat, moisture and momentum fluxes can be modulated by the sea surface conditions such as the temperature (SST), sea state and wind. Indeed, previous studies have shown that fluctuations of SST can induce variations in the atmospheric low-level dynamics and stability and also in precipitation (e.g. [Lebeaupin Brossier et al., 2006;](#page-21-2) [Cassola et al., 2016;](#page-18-0) [Stocchi and Davolio,](#page-22-0) [2017;](#page-22-0) [Meroni et al., 2018;](#page-21-3) [Strajnar et al., 2019\)](#page-22-1). How the sea surface turbulent fluxes are formulated in the models has also a 10 significant impact on the rainfall amounts simulated during HPE [\(Lebeaupin Brossier et al., 2008\)](#page-21-4).

The momentum transfer from air to ocean strongly depends on the sea state. In case of wind-generated waves, the waveinduced stress represents a large fraction of the total stress that induces an enhancement of the drag airflow and so, modifies the wind profile and the near-surface dynamics [\(Janssen, 1989,](#page-20-0) [1991,](#page-20-1) [1992;](#page-20-2) [Donelan, 1990\)](#page-19-5). Analyses of *in-situ* data highlighted the strong relationship between the sea surface roughness length (z_0) and the wave age [\(Smith et al., 1992;](#page-22-2) [Donelan et al.,](#page-19-6)

15 [1993;](#page-19-6) [Drennan et al., 2003\)](#page-19-7).

Nowadays, several bulk parametrizations of the sea surface turbulent fluxes includes this relationship between z_0 and the wave age. For example, the common used Coupled Ocean–Atmosphere Response Experiment (COARE) 3.0 sea surface turbulent parametrization [\(Fairall et al., 2003\)](#page-20-3) enables to take into account the sea state in the momentum flux parametrization either with the formulation of [Oost et al.](#page-21-5) [\(2002\)](#page-21-5) or the one from [Taylor and Yelland](#page-22-3) [\(2001\)](#page-22-3) through the relationship between z_0 and

- 20 the Charnock coefficient. Using the former formulation of [Oost et al.](#page-21-5) [\(2002\)](#page-21-5) in high-resolution numerical experiments of HPE, [Thévenot et al.](#page-23-0) [\(2016\)](#page-23-0) and [Bouin et al.](#page-18-1) [\(2017\)](#page-18-1) showed an impact on the location of precipitation when the sea state forcing is taken into account in the sea surface turbulent fluxes parametrization. Nevertheless, these formulas are known to produce too strong fluxes when strong winds $(>20 \text{ m s}^{-1})$ are encountered [\(Pineau-Guillou et al., 2018\)](#page-21-6).
- Besides directly controlling the momentum flux, surface roughness also impacts the turbulent heat fluxes and the turbulent 25 structure and thickness of the atmospheric boundary layer [\(Doyle, 1995,](#page-19-8) [2002\)](#page-19-9). For example, an impact on the thermodynamics structure and on the moisture transfer affecting the evolution of a convective system has been recently shown by [Varlas et al.](#page-23-1) [\(2018\)](#page-23-1) using an air-wave coupled system over the Mediterranean region.

In addition, under strong wind conditions, intense breaking of ocean waves occurs, generating sea spray. The sea spray effect has a significant impact on the moisture and heat transfer at the air-sea interface that has been highlighted in several papers 30 (e.g. [Andreas, 1992;](#page-18-2) [Andreas et al., 1995;](#page-18-3) [Kepert et al., 1999;](#page-20-4) [Bao et al., 2000,](#page-18-4) [2011;](#page-18-5) [Bianco et al., 2011\)](#page-18-6).

Based on this, recent studies implemented different formulations in order to better take into account the sea-state and sea spray effect on the sea surface roughness and heat and momentum fluxes during extreme events such as the Hurricane Arthur [\(Garg et al., 2018\)](#page-20-5) or a Mediterranean tropical-like cyclone also named medicane [\(Rizza et al., 2018\)](#page-22-4). They showed that including the surface waves effects significantly improved the simulated track, as well as the intensity and the maximum wind

35 speed of the storm.

The sea state evolution can be retrieved from numerical wave model outputs, then used as a surface forcing of atmospheric models. However, coupled atmosphere-wave systems allow to take into account the feedback of the modified wind profile to the wind-wave generation. Previous studies have demonstrated the importance of wind-wave coupling showing significant effects on the representation on the atmospheric low-level dynamics (e.g. [Janssen, 2004;](#page-20-6) [Renault et al., 2012;](#page-22-5) [Ricchi et al., 2016;](#page-22-6)

- 5 [Katsafados et al., 2016;](#page-20-7) [Wahle et al., 2017;](#page-23-2) [Varlas et al., 2018\)](#page-23-1). They have shown impacts on the drag coefficient over rough sea and on the momentum flux resulting in a reduced simulated surface wind speed. Also, all these studies reassessed clearly the need of a better representation of the sea state in the understanding of air-sea exchanges. The present study investigates the impact of waves on a Mediterranean HPE using a kilometric-scale coupled atmosphere-wave system. The coupling involves the wave model WaveWatchIII and the AROME Numerical Weather Prediction model with a new fluxes parametrization
- 10 (WASP) that takes explicit wave parameters to compute the wave age, then z_0 and the momentum and heat fluxes. The Heavy Precipitation Event studied here occurred during 12 to 14 October 2016 with two main convective areas, one over sea and one hitting the south of France (Hérault). Strong wind conditions and a very roughness sea were observed during this event, making it a well suited case to study the influence of waves on the atmospheric low levels and heavy precipitation systems.

A detailed description of the experimental protocol and of the fluxes parametrization is given in section [2.](#page-2-0) The validation 15 of the reference experiments against available atmospheric and wave observations is done in section [3.](#page-6-0) Then, in section [4,](#page-8-0) a description of the event divided into separate phases is given. Section [5](#page-11-0) presents the results of the sensitivity analysis done by comparing our different numerical simulations. Conclusions and discussions are finally given in section [6.](#page-14-0)

2 Numerical Set-Up

2.1 The atmospheric model

- 20 The non-hydrostatic AROME Numerical Weather Prediction (NWP) model [\(Seity et al., 2011\)](#page-22-7) is used in this study. The AROME configuration used here is the one operationally used at Météo-France with a 1.3 km horizontal resolution and a domain centered over France which covers notably our area of interest, the North-Western Mediterranean Sea (Fig. [1a](#page-24-0)). The vertical grid has 90 hybrid η-levels with a first level thickness of almost 5m. The time step is 50 s. In AROME, the advection scheme is semi-Lagrangian and the temporal scheme is semi-implicit. The 1.5 order TKE turbulent scheme from [Cuxart et al.](#page-19-10) [\(2000\)](#page-19-10) is
- 25 used. Thanks to its high resolution, the deep convection is explicitly solved in AROME while the shallow convection is solved with the Eddy Diffusion Kain Fritsch (EDKF, [Kain and Fritsch, 1990\)](#page-20-8) parametrization. The ICE3 one-moment microphysical scheme [\(Pinty and Jabouille, 1998\)](#page-22-8) is used to compute the evolution of five hydrometeor species (rain, snow, graupel, cloud ice [a](#page-21-7)nd cloud liquid water). The surface exchanges are computed by the SURFace EXternalisé (SURFEX) surface model [\(Masson](#page-21-7) [et al., 2013\)](#page-21-7) that considers four different surface types: land, towns, sea and inland waters (lakes and rivers). Exchanges over
- 30 [l](#page-21-8)and are computed through the ISBA (Interaction between Soil, Biosphere and Atmosphere) parametrization [\(Noilhan and](#page-21-8) [Planton, 1989\)](#page-21-8). The formulation from [Charnock](#page-19-11) [\(1955\)](#page-19-11) is used for inland waters whereas the Town Energy Balance (TEB) scheme is activated over urban surfaces [\(Masson, 2000\)](#page-21-9). The treatment of the sea surface exchanges in AROME-SURFEX is detailed below (sections [2.3.1](#page-3-0) and [2.3.2\)](#page-4-0). Output fluxes are weight-averaged inside each grid box according to the fraction of

each respective tile, before being provided to the atmospheric model at every time step.

2.2 The wave model

The wave model is WaveWatchIII (hereafter WW3, version 5.16, [The WAVEWATCH III Development Group 2016;](#page-23-3) [Tolman](#page-23-4)

5 [1992\)](#page-23-4). The model domain covers the North-Western Mediterranean Sea at 1/72° horizontal resolution (Fig. [1b](#page-24-0)). The bathymetry is coming from the NEMO-NWMED72 ocean model configuration described in [Sauvage et al.](#page-22-9) [\(2018b\)](#page-22-9), itself originally built from the interpolation of a 1/120° horizontal resolution topography with a dedicated treatment for islands, coastlines and river mouths delineation. The time step is 60s.

The set of parametrizations from [Ardhuin et al.](#page-18-7) [\(2010\)](#page-18-7) is used like for most of the wave forecasting centers [\(Ardhuin et al.,](#page-18-8)

10 [2019\)](#page-18-8). Thus, the swell dissipation is computed with the [Ardhuin et al.](#page-18-9) [\(2009\)](#page-18-9) scheme and the wind input parametrization is adapted from [Janssen](#page-20-1) [\(1991\)](#page-20-1). Nonlinear wave-wave interactions are computed using the discrete interaction approximation (DIA, [Hasselmann et al., 1985\)](#page-20-9). The parametrization of the reflection by shorelines is described in [Ardhuin and Roland](#page-18-10) [\(2012\)](#page-18-10). Moreover, the computation of the depth-induced breaking is based on [Battjes and Janssen](#page-18-11) [\(1978\)](#page-18-11)'s algorithm and the bottom friction formulation follows [Ardhuin et al.](#page-18-12) [\(2003\)](#page-18-12).

15 2.3 Atmosphere-wave coupling

2.3.1 Bulk iterative equations

The sea surface turbulent fluxes are calculated through the bulk formulae described as follows:

$$
\tau = \rho C_D \Delta U^2,\tag{1}
$$

$$
LE = \rho L_v C_E \Delta U \Delta q, \tag{2}
$$

$$
20 \t H = \rho c_{pa} C_H \Delta U \Delta \theta, \t (3)
$$

with ρ the air density, c_{pa} the air heat capacity and L_v the vaporization heat constant. ΔU , Δq and $\Delta \theta$ represent the air-sea gradients of velocity, specific humidity and potential temperature near the surface, respectively. C_D , C_E and C_H represent the transfer coefficients. Each transfer coefficient can be defined such as:

$$
C_X = c_x^{1/2} c_d^{1/2},\tag{4}
$$

25 where x being d for wind speed, θ for potential temperature and q for water vapor humidity. Therefore:

$$
c_x^{1/2}(\zeta) = \frac{c_{xn}^{1/2}}{1 - \frac{c_{xn}^{1/2}}{\kappa} \psi_x(\zeta)}
$$
(5)

and:

$$
c_{xn}^{1/2} = \frac{\kappa}{\ln(z/z_{0x})} \tag{6}
$$

with the subscript n refering to neutral ($\zeta = 0$) stability, z to the reference height and ψ_x is an empirical function describing the stability dependence of the mean profile, κ is von Karman's constant.

5 The sea surface roughness length z_0 is defined by two terms, the Charnock's relation [\(Charnock, 1955\)](#page-19-11) and a viscous contribution [\(Beljaars, 1994\)](#page-18-13):

$$
z_0 = \frac{\alpha_{ch} u_*^2}{g} + \frac{0.11 \,\nu}{u_*},\tag{7}
$$

with ν the kinematic viscosity of dry air, the friction velocity u_* and the Charnock coefficient α_{ch} .

2.3.2 Wave impact on the Charnock coefficient

10 One common method to couple developing waves and stress is to make the Charnock coefficient α_{ch} explicitly dependent on the sea state by computing it either in the wave model from the wave spectra (e.g. [Janssen et al., 2001\)](#page-20-10) or in the atmospheric model as a function of the wave age [\(Mahrt et al., 2001;](#page-21-10) [Oost et al., 2002;](#page-21-5) [Moon et al., 2004\)](#page-21-11). Studies based on observations (e.g. [Oost et al., 2002\)](#page-21-5) express it as a power function:

$$
\alpha_{ch} = A \cdot \chi^{-B},\tag{8}
$$

- with coefficients A and B either constant or depending on the surface wind speed, and the wave age χ defined as $\chi = \frac{c_p}{\hbar}$ U_a 15 with c_p the peak phase speed and U_a the near surface wind. Sea state can mainly be defined using the wave age. Wind sea corresponds to waves, generated by local wind, which are still growing (wave age < 0.8) or in equilibrium with the wind (wave age between 0.8 and 1.2), and are aligned with the local wind. These waves (and only these ones) benefit from momentum transfer from the atmosphere to grow and, as such, are coupled with the wind. Conversely, swell (that does not depend on the 20 momentum from the atmosphere) corresponds to waves generated by a remote or past wind field, and are characterized by
- wave-age above 1.2 or are not aligned with the local wind.

Assuming that the water depth is infinite (in practice, as soon as the depth is much larger than the dominant waves), the phase speed of the waves can be expressed as:

$$
c_p = \frac{gT_p}{2\pi},\tag{9}
$$

25 with T_p the peak period of the waves and g the acceleration of gravity.

Keeping the coefficients A and B constant with wind speed results in drag coefficient and wind stress too strong in strong wind conditions (wind speed above 20 m s⁻¹) as shown by [Pineau-Guillou et al.](#page-21-6) [\(2018\)](#page-21-6). In order to tackle this, and to reproduce the saturation or the decrease of the drag coefficient observed in strong to cyclonic winds (e.g. [Powell et al., 2003\)](#page-22-10), we take advantage of a new parameterization called WASP (Wage-Age Stress dependant Parametrization). This approach considers

that the wind-speed range where the wind stress transferred to the sea surface mainly sustains the wave development (through interaction with non breaking waves) is 5 to 20 m s⁻¹. Above 20 m s⁻¹, the contribution of breaking waves to the wave stress is dominant and the wave age is not an appropriate parameter to represent the sea state effect on the surface roughness. Below 5 m s⁻¹, by very weak wind, the surface roughness is mainly controlled by the viscous term (second term in the right-hand

- 5 side, Eq. [7\)](#page-4-1). In order to reproduce these different mechanisms and the decrease of the drag coefficient by very strong wind, the Charnock parameter of the WASP parameterization is piecewise continuously defined following Eq. [8,](#page-4-2) coefficients A and B being polynomial functions of the surface wind speed (see Appendix [A\)](#page-16-0). In weak to strong wind regimes where wind stress observations are numerous and consistent with each other (*i.e.* until 23 m s−¹), it has been fitted to datasets used to build the COARE 3.5 parameterization [\(Edson et al., 2013\)](#page-20-11). The temperature and humidity roughness lengths z_{0T} and z_{0q} (see Eq. [6\)](#page-4-3)
- 10 that define the corresponding neutral transfer coefficients have been adjusted for the resulting sensible and latent heat fluxes to match the COARE 3.0 [\(Fairall et al., 2003\)](#page-20-3) parametrization for surface wind speeds up to 45 m s⁻¹. The stability functions ψ_x (Eq. [5\)](#page-3-1) are a blend of the Kansas-type functions [\(Businger et al., 1971\)](#page-18-14) with a profile matching the asymptotic convective limit [\(Fairall et al., 1996\)](#page-20-12).

So, WASP enables to represent in a more physical way the Charnock parameter's behaviour and its dependency on waves. 15 Also, it reproduces the observed decrease of the drag coefficient by very strong wind, which would not be possible using a wave-age only Charnock parameter as in [Drennan et al.](#page-19-12) [\(2005\)](#page-19-12). With this, WASP is more relevant for atmosphere-wave coupling and for large to huge wind speed.

2.3.3 Coupling

The coupling is performed using the SURFEX-OASIS coupling interface [\(Voldoire et al., 2017\)](#page-23-5) that manages the exchanges 20 between the AROME and WW3 models. AROME-SURFEX provides the two components of the near surface wind speed to WW3 whereas WW3 provides T_p , the peak period of the wind-sea, to AROME-SURFEX. The OASIS coupler [\(Craig et al.,](#page-19-13) [2017\)](#page-19-13) allows to choose the coupling frequencies and the interpolation methods for the exchanged fields.

Also, as the AROME domain is larger than the WW3 domain, in particular covering also a part of the Atlantic Ocean, there is no air-wave coupling for the marine zones not covered by WW3 (i.e. for the grey zones in Fig. [1a](#page-24-0)) and, there, χ is directly estimated in WASP as a function of wind with $T_p = 0.5 \times U_a$ and thus $c_p = \frac{gU_a}{4\pi\epsilon_0}$ 25 directly estimated in WASP as a function of wind with $T_p = 0.5 \times U_a$ and thus $c_p = \frac{3}{4\pi}$. This can be found using the relation $T_p = \frac{c_p}{\cdot}$ $\frac{\mathcal{P}}{g} \times U_a$ where for a typical non dimensional fetch $c_p \sim 5$ and the gravity constant $g \sim 10$.

2.4 Set of simulations

In this study, three kinds of simulations were examined: wave-only, atmosphere-only and wave-atmosphere coupled simulations. As the objective of this study is to better assess the role of the waves on the dynamics (*i.e.* the impact on the momentum

30 flux and surface wind) but also the impact on the sea surface turbulent heat fluxes, a common and fixed Sea Surface Temperature (SST) is used in all the experiments and no ocean coupling is introduced in order to not mask the waves effects on these fluxes.

First a wave-only simulation (named WY) was run. For this, WW3 runs from the 5 to the 15 October 2016 with initial condition (H_s, T_p) set to 0 and using a near-surface wind forcing coming from AROME forecasts at a hourly frequency (+1h) to +24h each day, see [Sauvage et al.](#page-22-11) [\(2018a\)](#page-22-11)). The period from 5 to 12 October served here as a spin-up period and will not be considered in the following. Boundary conditions for the wave model consists in 8 points spectra distributed along the domain

- 5 and provided by a WW3 global simulation running at 1/2 ° resolution run at IFREMER [\(Rascle and Ardhuin, 2013\)](#page-22-12). Those points (each defined following 24 directions and 31 frequencies) are chosen as close to our domain border as possible among the outputs points available from the WW3 global simulation. They are then linearly interpolated on our grid $(1/72^{\circ})$ in WW3 pre-process routine.
- Atmosphere-only simulations were done with AROME. Each AROME simulation was composed of forecast runs that start 10 every day (12, 13 and 14 October 2016) at 00UTC from AROME operational analyses and that last 42h. Hourly boundary conditions came from the ARPEGE (Action de Recherche Petite Echelle Grande Echelle, [Courtier et al.](#page-19-14) [\(1991\)](#page-19-14)) operational forecasts, except the SST that came from the global daily analysis of Mercator Océan International (1/12°-resolution PSY4 system, [Lellouche et al., 2013\)](#page-21-12). The first atmosphere-only (AY) simulation was done without any wave information, meaning that χ was set as a function of the near surface wind with $T_p = 0.5 \times U_a$. The second atmosphere-only run (AWF) was forced
- 15 by the hourly wind-sea T_p from the WY simulation.

Finally, a two-way coupled AROME-WW3 simulation (AWC) was done following the description given in section [2.3.3.](#page-5-0) The SST field, the atmospheric initial and boundary conditions of AWC were the same as in the atmosphere-only simulations. Also, the wave boundary conditions in AWC were the same as for WY. Wave initial conditions come from restart files, first from WY for the forecast starting at 00 UTC 12 October, then for the following days, from the previous AWC forecast run 20 (after 24h). The coupling frequency was set to 1h in both ways. Each exchanged field was interpolated with a bilinear method.

3 Validation of the experiments

3.1 Available observations

In order to validate the simulations, we collected several observations of the surface and near surface in the north-western Mediterranean area (see Fig. [1b](#page-24-0) that displays the observations over the WW3 domain).

- 25 Data from 14 moored buoys (listed in Tab. [1](#page-24-1) and plotted in Fig. [1b](#page-24-0)) available either from Copernicus Marine Environment [M](http://mistrals.sedoo.fr/HyMeX/)onitoring Service (CMEMS, [http://marine.copernicus.eu/\)](http://marine.copernicus.eu/) database or from the HyMeX program database [\(http://mistrals.](http://mistrals.sedoo.fr/HyMeX/) [sedoo.fr/HyMeX/\)](http://mistrals.sedoo.fr/HyMeX/) were first used for validation. These platforms measure a wide variety of near-surface variables that may include sea temperature, salinity, wave parameters like the significant wave height (H_s) and peak period (T_n) , but also some atmospheric parameters such as the 2m-air temperature, relative humidity, the 10m-wind speed, direction and gusts. Added to
- 30 those buoys, 6 coastal surface weather stations from the Météo-France network were used mainly around the Gulf of Lion and in Corsica in order to complete the coverage over the area of interest concerning atmospheric *in-situ* data. Altimetric data from two satellites crossing the area during the event were used for the significant wave height validation. The first satellite is Jason-2 [\(OSTM/Jason-2 Products Handbook , 2008\)](#page-21-13) from the joint CNES/NASA oceanography mission Jason.

Altimetric measurements used are the Geophysical Data Record (GDR) from the MLE4 (Maximum Likelihood Estimator) altimeters retracking algorithm corrected following a buoy comparison method: H_s cor $= 1.0149 \times H_s + 0.0277$. The second dataset was obtained from GDR data of the SARAL/AltiKa satellite [\(SARAL/AltiKa Products handbook, 2013\)](#page-22-13) that also uses the MLE4 altimeters retracking algorithm but simply removing erroneous H_s using a threshold relationship. Both satellites 5 combined gather 292 measures of H_s during the period between 12 and 14 October 2016.

To validate the rainfall accumulation the ANTILOPE product from Météo-France were used [\(Laurantin, 2008\)](#page-20-13). This product merges rain gauges and radar data. This analysis was complemented by the use of the Météo-France radar composite images over western Europe.

3.2 Validation of AWF and WY

10 For the validation of our wave and atmospheric reference simulations, WY and AWF respectively, the time-series from 12 to 14 October were built using the first 24h of simulation starting each day (Fig. [2\)](#page-26-0). Observations and simulations were compared using the nearest grid point in space and time from the model (WW3 or AROME) to the observation ones. The bias, the root mean square error (RMSE) and the correlation were computed and summarized in Table [2.](#page-25-0)

During the entire event, the sea state appeared to be well represented by WY with correlation of 0.90 for H_s and T_p . Looking 15 at Figure [2,](#page-26-0) H_s and T_p seemed to be underestimated during the event. This was confirmed by negatives bias of -23 cm for H_s and -0.79 s for T_p , as by the comparison of the simulated H_s against satellite data with an average bias of about -0.17 cm (Table [2\)](#page-25-0). Yet, a good correlation of 0.78 was obtained with satellite data.

In AWF, the wind speed and direction were quite well represented with a correlation of 0.64 and 0.86, respectively (Table [2\)](#page-25-0). They were very slightly overestimated during the event with an averaged bias of 0.04 m s⁻¹ and 2°. Looking the wind speed 20 correlation over different regions, *i.e.* Gulf of Lion and Balearic Sea, some differences can be highlighted. In the Gulf of Lion

and along the French Riviera a correlation coefficient of 0.82 was found. Looking at the buoys located in the Balearic Sea, *i.e.* where the wind was weaker, the simulation represents well the wind speed value but a low correlation (0.45) is found.

By looking more in detail at the French western coastal buoys, such as Leucate (Fig. [2\)](#page-26-0) located on the most western part of the Gulf of Lion, a large underestimation of the wind speed was found. The observed wind speed reached several times values

25 between 18 and 20 m s⁻¹ whereas the simulation reached only 15 m s⁻¹. Added to this, at Sète, the wind intensity was in good agreement but decreased faster than observed (not shown). Also, there is a delay at the end of the event between the simulation and observations, looking at the Lion buoy (Fig. [2\)](#page-26-0) when a transition to a northerly wind occurred.

The validation against *in-situ* data for the 2m-air temperature (T2M) and relative humidity (RH)(Tab. [2\)](#page-25-0) showed that AWF represented quite well these two atmospheric parameters with correlation coefficients of 0.68 and 0.78, respectively, despite

30 small overestimations (averaged biases 0.26°C and 2.23%, respectively). These scores, together with the validation against low-level wind and wave parameters, permitted to be confident in the use of AWF to investigate the evolution of the turbulent fluxes at the air-sea interface during the HPE.

4 Event description

The studied HPE occurred the 13 and 14 October 2016 over the north-western Mediterranean Sea. This event could be defined as a typical "Cyclonic Southerly" (CS) case, following the four synoptic type classification of [Nuissier et al.](#page-21-1) [\(2011\)](#page-21-1). Indeed, the synoptic meteorological situation (Fig. [3\)](#page-27-0) was characterized by a trough in altitude extending from the British Islands to Spain

5 and associated with a lowering of the tropopause (Fig. [3a](#page-27-0)) inducing a south-westerly flow over south-eastern France. A cyclonic circulation took place at low levels (Fig. [3b](#page-27-0)) with a high moisture content over the Gulf of Lion and a strong south-easterly flow that originated from south-eastern Tunisia. During the night and the following day, the trough moved eastwards from the Bay of Biscay to the Gulf of Lion along with cold and warm fronts (Fig. [3c](#page-27-0)) and the low level flow shifted also eastwards.

It was characterized by four periods that can be distinguished using observations over land and the reference simulation 10 (AWF) for the marine low-level conditions: (I) initiation stage, (II) mature systems, (III) north-eastward propagation and (IV)

Tramontane wind onset. In the following, a detailed description of the chronology of the event and the mechanisms involved is done. In that purpose, the 42h forecast starting at 00 UTC 13 October 2016 of AWF was used along with observations.

4.1 Chronology of the convective systems

The Phase I, from 03 UTC to 18 UTC 13 October 2016 (+3h to +18h in the simulation), was marked by the triggering of 15 deep convection and the stationarity of the two main systems. The first deep convective system was triggered at the Cévennes foothills, south of the Massif Central, (Figs. [1,](#page-24-0) ?? and [5a](#page-29-0)), where the unstable rapid south-easterly marine flow encountered orography (Fig. [5c](#page-29-0)). The second one was a MCS associated with large precipitation over sea (Figs. ?? and [5a](#page-29-0)). It formed at the convergence between the warm south-easterly flow, associated with high CAPE values, with the colder and drier easterly flow from the Alps and Ligurian Sea (Figs. [5c](#page-29-0),d). These two convective systems were well represented in the reference simulation

20 (Figs. ?? and [5a](#page-29-0)) in terms of location and rainfall amounts compared to observations. The simulated radar reflectivities corresponded also quite well to observations (not shown) except in the northeastern Spain where too active convective systems were simulated.

During Phase II, from 19 UTC 13 October to 03 UTC 14 October (+19h to +27h in the simulation), the precipitating system over the Hérault region stayed stationary. Its intensity increased in the observation (Fig. ??) whereas precipitation totals for 25 the second system over sea decreased. In AWF the simulated precipitating system over sea started to shift towards east while precipitation over the Hérault region decreased (Fig. [6a](#page-30-0)). The simulated cold front progresses eastwards earlier than in the observations and thus, the southern flow started also to shift (Fig. [6d](#page-30-0)) as for the convergence line over sea (Fig. [6c](#page-30-0)).

The Phase III, from 04 UTC to 10 UTC 14 October (+28h to +34h in the simulation), was marked by the north-eastward propagation of the system. As the western front was moving eastwards, the system over the Hérault region shifted toward the

30 French Riviera (Fig. [7c](#page-31-0)) leading locally to a decrease in precipitation total (< 60 mm over the Hérault region, Fig. ??). In the simulation, the system over sea also moved eastwards, extended from West of Corsica to the French Riviera along with a decrease in the rainfall amounts (Fig. [7a](#page-31-0)). It appeared that the simulated eastward propagation was earlier than observed. Consistently, the southerly flow was also shifted and was located between Corsica-Sardinia and the continental Italy (Fig. [7d](#page-31-0)) with main convergence area over the Ligurian Sea. At the same time the intensity of the inland system (over the Hérault region) was overestimated compared to observations (Figs. ?? and [7a](#page-31-0)).

The last Phase (IV), from 11 UTC 14 October (+35h to the end of simulation, not shown), was characterized by the end of precipitation over France (Fig. ??) and the beginning of a new wind regime in the Gulf of Lion, with a dry and cold

5 north-westerly flow corresponding to the regional Tramontane wind regime. The warm southern flow was thus limited to the South-East of the simulation domain and fed the precipitating system located from North of Sardinia to continental Italy region (Fig. ??). For this period, the simulation was in good agreement with observations in term of rainfall location and amounts.

4.2 Evolution of the sea state

Three different areas can be distinguished in our domain, mainly represented by the three moored buoys (Fig. [1,](#page-24-0) Tab. [1\)](#page-24-1).

- 10 The Tarragona buoy, where the wind was weak, was situated in a long fetch area. There was swell all along the event, first aligned with the southeasterly wind in Phase I and then crossed, as wind and waves were opposite.
	- The Lion buoy was located where the easterly wind was stronger during Phase I generating a young wind-sea with strong H_s . It evolved to a well-developed wind-sea during Phase II and then to a swell as the fetch became longer in this area.
- The Azur buoy was located in the strong easterly wind all along the event. Characterized by a short fetch, a wind-sea 15 was continuously produced in this area.

During Phase I, a strong easterly wind (between 15 and 20 m s⁻¹, Figs. [5b](#page-29-0) and [2\)](#page-26-0) affected the Ligurian Sea, the French Riviera till the Gulf of Lion. This created a "wind sea", with young waves (see Azur buoy on Figs. [8](#page-32-0) and [5e](#page-29-0)) aligned with the wind and associated with moderate H_s (<3 m, Fig. [5f](#page-29-0)). In fact, all along the event the waves in this area were directly generated by the local wind (Fig. [8\)](#page-32-0) with low values of wave age (< 1) and similar direction between wind and waves. In the Gulf of

- 20 Lion a rough to very rough sea was observed ($H_s \sim 4-5$ m) associated with T_p about 8 s (Fig. [2\)](#page-26-0). Still, weak wage age (Figs. [5e](#page-29-0) and [8\)](#page-32-0) were found here due to strong winds. Thus, in this area the waves were mainly generated by the wind above. In the Balearic Sea high wave age were simulated (> 1.2, Fig. [8\)](#page-32-0) under a weak south-westerly flow (Fig. [2\)](#page-26-0) inducing weaker H_s , corresponding to moderate sea. The sea state in this area corresponded to a swell coming from the south.
- During Phase II, T_p was maximum (~ 10 s observed) in the Gulf of Lion as for H_s , which reached about 6 m corresponding 25 to a very rough sea which is rather exceptional in the Mediterranean Sea. This well-developed wind-sea (wave age between 0.8 and 1.2) was due to the continuously easterly wind blowing since the first phase (Fig. [6b](#page-30-0) and [2\)](#page-26-0). The intensity of the easterly flow decreased in the Gulf of Lion but increased in the Ligurian Sea (+/- 2-3 m s[−]¹ , Figs. [6b](#page-30-0) and [2\)](#page-26-0). In the Balearic Sea, the wave age were still high but with wave direction changing progressively from easterly to north-easterly. This corresponded to the swell coming from the Ligurian Sea as a weak south to north-westerly wind $(< 10 \text{ m s}^{-1})$ was blowing locally (Figs. [6b](#page-30-0),e, 30 [8](#page-32-0) and [2\)](#page-26-0).

During Phase III, H_s started to decrease over the domain (Figs. [7f](#page-31-0) and [2\)](#page-26-0) and the observed T_p was maximum in the Balearic Sea and in the western part of the Gulf of Lion, associated with swell (Figs. [7e](#page-31-0), [2](#page-26-0) and [8\)](#page-32-0). As the front was moving eastwards,

a significant decrease in the easterly wind was observed (up to -7 m s⁻¹, Figs. [7b](#page-31-0) and [2\)](#page-26-0), whereas the wind speed was the strongest in the Gulf of Genoa. Indeed, the wind in the Gulf of Lion started to change direction with a transition to a northerly wind (see Leucate, Sète and Lion in Figure [2\)](#page-26-0). As in the Balearic Sea (Fig. [7e](#page-31-0) and [8\)](#page-32-0) the wind was changing direction from north-westerly to westerly.

5 Finally, during Phase IV, H_s kept decreasing over the domain (<3 m, Fig. [2\)](#page-26-0). T_p significantly decreased in the Gulf of Lion whereas the highest values were still located in the Balearic Sea (Fig. [2\)](#page-26-0). In both locations, the swell generated along the French Riviera was present (Fig. [8\)](#page-32-0) while the easterly wind significantly decreased (now <14 m s⁻¹, Fig. [2\)](#page-26-0). A north-westerly wind (Tramontane) onset in the Gulf of Lion was observed (Fig. [2\)](#page-26-0), while a westerly wind was blowing over the Balearic Sea.

4.3 Air-sea interface

- 10 The latent heat flux (LE) was quite low over the domain, as displayed by the Figure [5g](#page-29-0). During Phase I, the cold and dry air from the Alps became rapidly warmer and more humid as it flowed westwards over sea. The evaporation started in this area and marked also the location of the largest values of LE (over 300 W m⁻², Fig. [5g](#page-29-0)). Added to this, this region was characterized by the strongest humidity transport, due to the easterly flow, towards the Gulf of Lion as the air humidity increased (over 94%). Under the strong easterly wind, along the French Riviera, the difference between SST and T2M was up to 4^oC (5^oC locally)
- 15 and thus with high values of sensible heat flux (H) (over 150 W m⁻², Fig. [5g](#page-29-0)). The warm (>23°C) and humid (over 85 %) southern flow did not produce large heat fluxes (Fig. [5g](#page-29-0)). It can be noticed that in the Balearic Sea there was warm and dry air masses but the weak southwesterly wind blowing in this area limited evaporation and heat fluxes. The momentum flux was the largest under the strong easterly wind in the Gulf of Lion up to 1.5 N m⁻² and locally up to 1.2 N m⁻² under the southeasterly flow (Fig. [5h](#page-29-0)). It remained lower than 0.3 N m^{-2} in the rest of the domain.
- 20 As the system moved eastwards during Phase II, the rapid low-level flow moved from the Gulf of Lion to the Ligurian Sea. The evaporation kept increasing in this area and the dry air in the Gulf of Genoa became nearly saturated in the Gulf of Lion. The largest values of momentum flux were at this time located along the French Riviera (Fig. [6h](#page-30-0)). LE decreased in the Gulf of Lion by more than 50 W m⁻² and increased along the French Riviera and the Gulf of Genoa to reach more than 360 W m[−]² (Fig. [6g](#page-30-0)). As the cold front was shifting, the low-level air mass in the Balearic becomes drier and it pushed the humid
- 25 southerly flow to the east. Maximum values of H are also shifted in the Gulf of Genoa to reach 200 W m⁻² (Fig. [6g](#page-30-0)) whereas H significantly decreased in the Gulf of Lion.

During Phase III, drier air (RH <70%) was now located from the Balearic Sea to the coast of Sardinia. In the Gulf of Lion, low-level air kept getting drier whereas moist air was now mainly located along the French Riviera and in the Gulf of Genoa where precipitation occurred. Under precipitation, H increased to 250 W m⁻² (Fig. [7g](#page-31-0)). LE significantly decreased by 100

30 W m⁻² along the French Riviera but was still maximum in the Gulf of Genoa (Fig. [7g](#page-31-0)). A large decrease was also noticed in the momentum flux (by 1 N m⁻²) along the French Riviera and maximum values were found in the Gulf of Genoa (Fig. [7h](#page-31-0)).

During the last Phase (IV), with the large decrease in the wind intensity and the precipitation now located over Italy, RH decreased along the French Riviera and the Gulf of Genoa associated with a large decrease in the heat fluxes (by 150 W m^2). The momentum flux was now lower than 0.3 N m⁻² in the Gulf of Genoa.

A rapid analysis of the relationship between the heat fluxes (H and LE) and atmospheric parameters (U_a) , temperature gradient, humidity gradient) using scatterplots was done (not shown). It highlighted that the sensible heat flux was more correlated to the temperature gradient at the air-sea interface (0.56), related to cold air present in the easterly flow or below precipitation. Conversely, the latent heat flux was more correlated to the wind (0.49) than to the gradient of humidity (0.31).

5 In summary, the maximum turbulent fluxes were associated with the easterly low-level jet due to strong winds and large airsea temperature (moisture) gradients for heat fluxes. In the southerly flow, heatfluxes appeared more limited despite moderate wind. This highlighted the role of the Ligurian easterly flow in extracting heat and moisture from sea and in providing them to the MCSs.

Finally, looking at the different phases of the event, some areas emerged as potential regions where the waves should have an 10 impact on the low-level flow. Indeed, during Phases I and II, an effect on the momentum flux was expected on areas of strong easterly wind and of wind-sea, especially over the French Riviera and the Gulf of Lion in Phase I. Those regions were also the places with the highest heat fluxes during the event and thus, were more likely to be affected by the sea state. So, the sensitivity to the impact of the representation of sea state will be particularly investigated these areas in the following.

5 Sensitivity analysis

15 In this section, the goal is to better understand the impact of the waves on the sea surface turbulent fluxes and to evaluate the impact on the HPE forecast. We focused on Phases I and II, at 14 UTC 13 October and at 00 UTC 14 October 2016 (corresponding to +14h and +24h of forecast, respectively, starting at 00 UTC 13 October). In order to examine in a continuous way during these two Phases the mechanisms at the air-sea interface and the effects of waves on the HPE, the sensitivity analysis is done considering the 42 hours of forecast starting at 00 UTC 13 October.

20 5.1 Low-level flow

5.1.1 Impact of the waves: AWF versus AY

In the following, AWF, which takes into account the sea state, is compared to AY the atmosphere-only simulation (see section [2.4\)](#page-5-1). Figures [9a](#page-33-0),c present the difference of the sea surface roughness length (z_0) between AWF and AY. During the Phase I, compared to AY, an increase of z_0 in AWF was found over the wind sea under the strong easterly wind in the Gulf of Lion and

- 25 along the French Riviera (from 2·10⁻³ to 4·10⁻³ m). In the rest of the domain much lesser z_0 differences were noticed (less than 2·10⁻⁴ m). These changes induced an increase of the drag coefficient C_d up to 0.8·10⁻³ and led to an increase of more than 0.1 N m^2 of the momentum flux. Finally, it resulted in a decrease of the 10m wind speed intensity of the strong easterly flow by more than 1 m s[−]¹ over a large area between the Gulf of Lion and the French Riviera (Fig. [10a](#page-34-0)). During the Phase II, along the French Riviera and the Gulf of Genoa characterized by the strong easterly wind and a young wind sea (Figs. [6b](#page-30-0),e),
- 30 z_0 increased by more than 2·10⁻³ m and up to 1·10⁻² m in AWF compared to AY (Fig. [9c](#page-33-0)). Knowing that in AY z_0 barely goes over 3·10⁻³ m these differences correspond to an increase of more than 100% of the values in AY. Under the convective

system some difference dipoles were found. In the Gulf of Lion a slight increase of z_0 was seen. Whereas the differences in z_0 in Liguria were seen from the beginning of the simulation, differences under the MCS and in the Gulf of Lion appeared to be more likely resulting from differences in the movement of the convective system over sea induced by the decrease of the wind intensity during Phase I. By the same mechanisms as in Phase I, the increase of z_0 upstream of the MCS *(i.e.* along the

- 5 French Riviera) directly impacted the C_d which increases in AWF by 0.2 $\cdot 10^{-3}$ to locally more than $1 \cdot 10^{-3}$. This led to an increase of the wind stress in this area between 0.1 and 0.3 N m² and resulted in a slow down of the 10-m wind speed along the French Riviera between 1 to 2 m s⁻¹ (Fig. [10c](#page-34-0)). Larger differences were found under the convective system, but appeared inhomogeneous in space and time. Thus, the results confirmed the primary effect of the representation of sea state as notably highlighted by [Thévenot et al.](#page-23-0) [\(2016\)](#page-23-0) and [Bouin et al.](#page-18-1) [\(2017\)](#page-18-1), *i.e.* an increased surface roughness and wind stress when sea state
- 10 is taken into account that slow down the upstream low-level flow. In the two sub-areas delineated in Figure [10c](#page-34-0), it was found that on average the slow down of the 10-m wind speed was obtained in both areas during the four Phases. More especially in the Gulf of Lion during the Phase I, an averaged slow down of 0.9 m s^{−1} was noticed. This represented a decrease of about 6% of the average wind intensity in AWF. The same result was found during Phase II along the French Riviera with an averaged decrease of 0.9 m s⁻¹ (-7%). Scores did not appear to be significantly changed between AWF and AY (Table [2\)](#page-25-0). However, a
- 15 lower bias in the wind intensity was found $(0.04 \text{ m s}^{-1} \text{ in AWF}$ against $0.22 \text{ m s}^{-1} \text{ in AY}$ and was actually mostly due to a large improvement at the Azur buoy where the bias was reduced from 0.42 m s^{-1} in AY to 0.08 m s^{-1} in AWF.

Figures [11a](#page-35-0),c present the heat fluxes (latent and sensible fluxes, resp.) differences between AWF and AY. Along the French Riviera, where the latent heat flux was the strongest, a decrease was obtained in AWF during Phases I and II. However, this corresponded to a small decrease (5 W m⁻² on average) equivalent to 2% of the total averaged latent flux. Relatively larger 20 differences, positive and negative, were found under the convective system. But on average these differences were small, representing $+/- 2\%$ (3 W m⁻²). They were very likely related to differences in term of intensity of the convection within the MCS and its location and not to a direct effect of the waves. Differences in the sensible heat flux (Fig. [11c](#page-35-0)) were mainly located under the precipitation with very weak differences along the French Riviera.

5.1.2 Impact of the coupled system: AWF versus AWC

- 25 Figures [9b](#page-33-0),d present the differences in z_0 between AWF and the atmosphere-wave coupled system AWC. During Phase I, z_0 in AWC was increased up to 2·10[−]³ m over the French Riviera and the eastern part of the Gulf of Lion (Fig. [9b](#page-33-0)). As a result a slight decrease of the 10m wind speed intensity was found, about 0.6 m s⁻¹ (Fig. [10b](#page-34-0)). During Phase II, smaller differences were obtained along the French Riviera. It corresponded to a small increase of z_0 in AWC of about $1·10⁻³$ m, under the strong easterly wind (Fig. [9d](#page-33-0)). The 10m-wind speed was decreased in AWC by no more than 0.3 m s⁻¹ (Fig. [10d](#page-34-0)). The smaller impact
- 30 on the low level dynamics in AWC can be explained by the feedback of the wind on the waves. Indeed, it was found that on average during the event H_s was decreased in AWC by 12% and T_p by 7%. Since, in WY we had already an underestimation of the wave parameters, this decrease in AWC induced larger biases (Table [2\)](#page-25-0). Larger differences in the wind intensity were still found under the convective system and downstream of it in the Gulf of Lion (Fig. [10d](#page-34-0)). However, those were not really consistent time-to-time and were mainly due to the movement of the system and the slow down of the wind during Phase I.

Figures [11b](#page-35-0),d illustrate the differences in the heat fluxes. Either for LE or H very small variations were noticed, less than 10 $W m^{-2}$ along the French Riviera. As before, the larger differences in the Gulf of Lion were more likely to be induced by the movement and the convective cells evolution of the MCS.

Thus, in average, coupling showed here only minor effects on the dynamics and on the heat and moisture exchanges below 5 the upstream low level flow. One main explanation for this small effect might be that the waves used in AWF and in AWC were really close to each other in term of spatial and temporal resolution, both simulated using WW3. Locally, effects on the dynamics can be significant especially in strong wind and wind-sea areas where we found a decrease of the wind speed and of H_s and T_p .

5.2 Precipitation

- 10 The maximum peak rainfall amounts in 24h simulated over the Hérault region were 273 mm in AY, 278 mm in AWF and 271 mm in AWC and agree with the ANTILOPE maximum value of 287 mm. Larger differences were found for the convective system over sea, with maximum peak rainfall amount in 24h of 348 mm in ANTILOPE, but only 214 mm in AY, 187 mm in AWF and 188 mm in AWC. Note, however, that the ANTILOPE rainfall amount estimations over sea were not corrected with rain gauges and might contain some inaccuracies due to the distance from the ground-based radars.
- 15 Figure [12](#page-36-0) presents the differences in the 6h-rainfall amount between 18 UTC 13 October and 00 UTC 14 October. On average, the total amount of rainfall in the sub-areas in Figure [12a](#page-36-0), corresponding to the MCSs locations, was about the same in all simulations. A displacement of approximately 40 km eastwards of the precipitation over sea was found however in AWF compared to AY (Fig. [12a](#page-36-0)). This displacement was directly related to the decrease of the wind speed along the French Riviera (Fig. [10c](#page-34-0)) and thus to the convergence line that was located more east. For the convective system over the Hérault area only a
- 20 slight shift (few km) of the maximum peak was seen. Also, in this area the simulated precipitation amounts in AY and AWF were both too far inland (Fig. ??). In AWC (Fig. [12b](#page-36-0)) a slight shift of few kilometers westwards was obtained when compared to AWF.

Thus, these differences in the precipitation forecasts highlighted here the indirect effects of taking sea state into account: first, a modification of the position of the convergence line at sea related to the speed of the low-level easterly flow and then 25 a small modulation of the intensity of the associated convection likely due to differences in term of heat fluxes upstream over the Ligurian Sea. These differences, which concern the MCS at sea, then induced low-level flow disturbances downstream in the Gulf of Lion, but with relatively little impacts on the dynamics of the precipitating system that affected the Hérault area. This demonstrated that the mechanism involved in the formation of this inland system, *i.e.* the orographic uplift, then the reinforcement by the convergence between the southerly flow and the large-scale front, were dominant features and appear, for

30 that precipitating system, less sensitive to the sea surface conditions.

6 Conclusions

The Mediterranean HPEs are known to be violent events and are quite often associated with strong wind conditions and thus very rough sea state. This study investigated the role of the representation of the sea state during the HPE that occurred between the 12 and 14 October 2016 south of France. Thanks to sensitivities experiments, the strong air-sea interactions during the event

- 5 were analysed and allowed us to evaluate the impact of the representation of the sea state in the forecasting system. For this purpose, a set of high resolution (1.3 km) numerical simulations was realized using the atmospheric model AROME and the wave model WW3, both in stand-alone mode or in the two-way coupled atmosphere-wave mode. To describe the turbulent fluxes that control the sea surface exchanges the innovative parametrization WASP was used as it is particularly designed to be used in coupled mode with a wave model and allows to introduce the dependency on waves by directly taking 10 into account the peak period T_p in the calculation of the Charnock parameter, then of the surface roughness length z_0 .
- Using observations and the reference simulation (AWF), we highlighted that the studied event was characterized by a convergence between a warm and moist southerly flow with a dry and cold easterly flow, that triggered convection over sea. A second convective system, south of France, was initiated by an orographic uplift and was fed by the easterly flow. Both systems produced a large amount of precipitation. Three characteristic regions emerged from the analysis. First, the Balearic region
- 15 was affected by weak wind and swell all along the event. Then, the Gulf of Lion was located at first where the easterly flow was maximum producing a young sea with high H_s and strong air-sea fluxes. As the system was moving eastwards with the maximum wind intensity, the sea state evolved from a well-developed sea to a swell in this region. Finally, the French Riviera, was affected during all the event by the strong easterly wind generating a wind sea. There the heat fluxes were the most intense.
- The simulation results were compared to various observations such as moored buoys for atmospheric and waves parameters 20 (completed with Météo-France surface weather stations along the coasts for atmospheric parameters), ANTILOPE to validate the rainfall accumulations and also altimetric data from satellites to complete the validation of waves parameters. On average the simulations showed a good agreement with either atmospheric and waves observations. However, it can be noticed that both H_s and T_p tend to be underestimated by the model whereas the atmospheric parameters tend to be overestimated. Furthermore, the simulated convective system over sea appeared to move eastwards faster than the observed one.
- 25 Then, a sensitivity analysis was done to study the effects of waves and the impact of the atmosphere-waves coupling. It showed large differences when the impact of the sea state was taken into account in the surface turbulent fluxes. Indeed, in AWF compared to AY, under the strong easterly wind upstream of the convective system, the generated wind-sea significantly increased the sea surface roughness length (locally up to $1 \cdot 10^{-2}$ m) and the momentum flux which resulted in a slow down of the 10m wind intensity of more than 1 m s^{−1} over a large area. This decrease was more important than in the previous studies
- 30 of [Thévenot et al.](#page-23-0) [\(2016\)](#page-23-0) and [Bouin et al.](#page-18-1) [\(2017\)](#page-18-1) due to very rough sea conditions and a strong wind regime in our studied case. Added to that, a decrease of the latent heat flux was noticed along the French Riviera but did not represent a significant decrease of the total flux (by 2% on average). Larger differences were found under the convective system but were more likely associated to differences in its location over sea at small scale. The scores did not show significant change except for the wind intensity especially at the Azur buoy where the wind decreased in AWF and the bias was reduced.

Looking at the impact of air-wave coupling by comparing AWC to AWF, small effects were found. As a feedback of the wind on waves, we found a decrease of H_s and T_p compared to WY resulting from the interactive coupling that balances interactively the wind sea, the stress and wind. Thus, the coupling effect appeared to be of same order than the forcing effect when compared to AY and the comparison between WY (forcing AWF) and AWC showed very close results in terms of wave

5 modelling. However, this result on coupling must be moderated by the fact that it was a single case study, one configuration and only one short-term forecast was considered. To conclude more robustly on the effect of the wave coupling, additional tests with the AROME-WW3 coupled system must be conducted regarding notably the parametrizations of the WW3 configuration, the initialization or the coupling frequency, also considering longer forecast (or longer period) of successive forecast.

In all simulations the rainfall amount forecast was in good agreement in the South of France over the Hérault area. It was 10 found that in AWF the convective system over sea was shifted eastwards, during phase II, of about 40 km compared to AY. This was due to the changes in the low level dynamics in AWF in response to the sea state, that modified the position of the

convergence line.

Either on AWF or AWC the waves didn't have a significant impact on heat fluxes whereas favourable conditions were met for this with strong sea state with young sea collocated with strong turbulent heat fluxes. Thus, it seemed that the sea state cannot

- 15 directly affect in a significant way the heat exchanges at the air-sea interface during Mediterranean HPEs. Nevertheless, the fact that the heat fluxes are not significantly modified in the sensitivity experiments permits to be confident in the understanding of the waves impact which appears to be limited to a dynamical effect that displaces the convergence and not related indirectly to large modification of the convective system intensity (see for example [Rainaud et al.](#page-22-14) [\(2017\)](#page-22-14) for IOP16a and [Sauvage et al.](#page-22-11) [\(2018a\)](#page-22-11) for sensitivity tests to SST or sea surface fluxes parametrization). The dynamical impact appears relevant to take into
- 20 account in high-resolution weather forecast as it affected the marine low level flow whose velocity is a key ingredient for HPE [\(Bresson et al., 2012\)](#page-18-15).

In conclusion, the results obtained in this study, even if they concern only one case, mark a new step in our understanding of the sea state impact on Mediterranean HPE, after the studies of [Thévenot et al.](#page-23-0) [\(2016\)](#page-23-0) and [Bouin et al.](#page-18-1) [\(2017\)](#page-18-1), and confirm that:

- 25 a slowdown of the low-level wind, due to higher surface roughness increasing the momentum flux (even in a moderatewind context as in the studied case of [Thévenot et al.](#page-23-0) [\(2016\)](#page-23-0);
	- differences in the low-level dynamics influencing the positioning of the convergence (directly as here or indirectly as it modifies the propagation of cold pools over sea in the studied case of [Bouin et al.](#page-18-1) [\(2017\)](#page-18-1)), and consequently the location of the heaviest precipitation.
- 30 The analysis of the latent and sensible heat fluxes sensitivity shows that there is no significant impact on the heat and moisture exchanges at the sea surface, in spite of a situation favourable to large air-wave interactions with very strong wind regime at low-level generating a wind sea.

However, further investigations still need to be done to improve our understanding of the air-sea interactions. In particular, the wave impact in terms of sea spray was not included here. The impact of wave interactions may also grow with time during the forecast and also progressively from one forecast to another as WW3 restarts each time from the previous forecast at T+24h. But the experimental design used here, in a deterministic framework and with the same atmospheric initiation in AWC and AWF, can not fully clarify this issue. To properly consider the growth of the wave impacts, it would be necessary to run longer experiments (more than 3 successive forecasts) and also to include the production of new atmospheric analysis using the

5 previous forecast (of AWF or AWC) as background. Ensembles and other meteorological situations must also be considered to enlarge the evaluation of the importance of the wind-wave interactions for convection-permitting NWP models.

Future work will also consist in adding the interactive evolution of the ocean and thus of the sea surface temperature (constant here during the forecast time) which is known to have an effect on the lower levels of the atmosphere. This will be done using kilometric-scale tri-coupled ocean-atmosphere-wave simulations. One objective is to quantify the impact of the ocean on the

10 forecast compared to the impact of waves. Finally, regarding the parametrization of the sea surface turbulent fluxes WASP, it needs to be tested and validated on more study cases, especially during strong wind conditions, in order to further assess its added value.

Appendix A: Charnock parameter in WASP

In the Wave-Age Stress Parametrization (WASP), the Charnock parameter (α_{ch}) is defined differently depending on the wind 15 speed range, as follows:

- For wind speed at first level (U_a) below 7 m s^{−1}, it is a power of U_a : $\alpha_{ch} = aU_a^b$, with $a = 0.7$ and $b = -2.52$;
- For U_a above 7 m s⁻¹, the dependency to wave-age (χ) is introduced and defined as: $\alpha_{ch} = A\chi^B$, where A and B are polynomial functions of U_a :

$$
\begin{cases}\nA = A_0 + A_1 U_a + A_2 U_a^2 + A_3 U_a^3 \\
B = B_0 + B_1 U_a + B_2 U_a^2 + B_3 U_a^3\n\end{cases}
$$
\n20\ndetailed in Table A1

Thus, the dependency of the Charnock parameter and the decrease in the drag coefficient by very strong wind are represented, and, the WASP parametrization, unlike those based on wave-age Charnock parameters, is suitable for huge wind speeds.

Author contributions. All authors (CS, CLB, MNB and VD) contribute to the conceptualization, methodology, writing draft preparation, review and editing of the article. MNB developed the WASP parametrization and manages its integration in the SURFEX code. Coupling

25 development and simulations was done by CS and CLB. CS, CLB and MNB proceeded to the validation and analysis of the results.

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Figure 1. Simulation domain: (a) AROME-France (topography, m) and (b) WW3 domain illustrated with bathymetry (blue scale, m). Black circles indicate the location of the moored buoys and red circles the location of the surface stations (see Tab [1\)](#page-24-1); in green the satellite track around 05 UTC 12 October; in yellow around 18 UTC 13 October; in magenta around 05 UTC 14 October and in red and orange around 18 UTC 14 October. Orange track is for JASON-2 and the others are for SARAL.

Name	Longitude	Latitude	Source	Name	Longitude	Latitude	Source
1-Lion	$4.7^{\circ}E$	$42.1^\circ N$	Météo-France	11-Leucate	3.13 ^o E	42.92° N	CMEMS
$2-Azur$	$7.8^{\circ}E$	43.4° N	Météo-France	12-MesuRho	$4.87^{\circ}E$	$43.32^\circ N$	CMEMS
3-Tarragona	1.47 °E	40.68° N	CMEMS	13-Le Planier	5.23 ^o E	$43.21^{\circ}N$	CMEMS
4-Begur	$3.65^{\circ}E$	41.92° N	CMEMS	14-Barcelone	$2.2^{\circ}E$	41.32° N	CMEMS
5-Valence	$0.20^{\circ}E$	39.52° N	CMEMS	1-Leucate	$3.06^{\circ}E$	42.92° N	Météo-France
6-Dragonera	$2.1^{\circ}E$	39.56° N	CMEMS	2-Sète	3.69 ^o E	43.4° N	Météo-France
7-Bahia de Palma	$2.7^{\circ}E$	39.49°N	CMEMS	$3-Hvères$	$6.15^{\circ}E$	43.1° N	Météo-France
8-Canal de Ibiza	0.78 ^o E	38.82° N	CMEMS	4-Martigues	$5.05^{\circ}E$	43.33° N	Météo-France
9-Banyuls-sur-mer	3.17 ^o E	42.49° N	CMEMS	5-Bonifacio	9.18° W	41.37° N	Météo-France
$10-S$ _{ete}	3.78 [°] E	43.37° N	CMEMS	6 -Ersa	9.36° W	43° N	Météo-France

Table 1. Names and locations of the moored buoys and surface stations (in italic) used for validation.

Table 2. Skill scores computed against surface stations and satellite data for the wave-only simulation (WY) and the coupled simulation (AWC) for wave parameters (H_s, T_p) and for the atmosphere-only simulations (AY, AWF) and coupled simulations (AWC) for atmosphere parameters. WSP stands for 10m-wind speed (m s⁻¹), WDIR for 10m-wind direction (°), T2m for 2m-air temperature (°C) and RH2M for 2m-relative humidity (%).

Figure 2. Evolution of H_s (m), T_p (s), wind speed (m s⁻¹) and wind direction (°) simulated with AWF/WY (green), AWC (red), AY (blue) against buoy observations (black triangles).

Figure 3. Synoptic situation at 12 UTC 13 October 2016 from the ARPEGE analysis (a) at high level: colour shading is the height of the 2 PVU iso-surface (km), blue contour is the geopotenteil height (m) at 500 hPa and black arrows the wind above 20 m s⁻¹ at 200 hPa; (b) at low level: colour shading is the Integrating Water Vapor (kg m⁻²), red contour is the Convective Available Potential Energy (J kg⁻¹) and black arrows the wind at 925 hPa; (c) mean sea level pressure (black contour) and position of the cold (blue) and warm (red) fronts.

Figure 4. 6h-rainfall amounts (mm) from ANTILOPE observations.

Figure 5. (a) 6h-rainfall amount (mm); (b) Pseudo-adiabatic potential temperature θ_w ' (colour shading, °C) and wind (m s⁻¹, arrow) at 925 hPa with the CAPE over 750 J kg⁻¹ in green contour; (c) wind divergence (colour shading, 10^{-3} s⁻¹, values between -0.12 and 0.12 are masked, s⁻¹) at 950 hPa, black contour is the vertical velocity (Pas⁻¹) at 950 hPa and black arrows the horizontal winds (m s⁻¹); (d) 10m-wind intensity and direction (m s⁻¹); (e) wave age and wave direction; (f) wave significant height (m) and wave direction; (g) total turbulent heat fluxes (H, LE) (W m⁻²) and (h) wind stress (N m⁻²) simulated by AWF at 12 UTC 13 October. Blue dots in (d, e, f) stand for Tarragona, Lion and Azur buoys (west to east).

Figure 6. Same as Figure [5](#page-29-0) but at 00 UTC 14 October.

Figure 7. Same as Figure [5](#page-29-0) but at 06 UTC 14 October.

Figure 8. Evolution of the wage age (blue) and direction (°) of local wind (red) and waves (black) simulated with AWF (WY) during the 13 October run at three different moored buoys. Orange lines limit the four different phases (I, II, III, IV).

Figure 9. z_0 (10⁻³ m) differences at 14 UTC 13 October (a,b) and at 00 UTC 14 October (c,d) between AWF-AY (a,c) and AWC-AWF (b,d).

Figure 10. Surface wind $(m s^{-1})$ differences at 14 UTC 13 October (a,b) and at 00 UTC 14 October (c,d) between AWF-AY (a,c) and AWC-AWF (b,d).

Figure 11. (a,b) LE (W m⁻²) and (c,d) H (W m⁻²) differences at 00 UTC 14 October between AWF-AY (a,c) and AWC-AWF (b,d).

Figure 12. 6h-rainfall amount (mm) differences at 00 UTC 14 October between AWF-AY (a) and AWC-AWF (b).

	A_0	A ₁	A_2	A_3
	B_0	B_1	B ₂	B_3
$7 \leq U_a \leq 23$	-9.202	2.265	$-1.34E-1$	2.35E-3
	$-4.124E-1$	$-2.225E-1$	1.178E-2	1.616E-4
$23 \leq U_a \leq 25$	2.27	$6.67E-2$	0.0	0.0
	-2.41	4.30E-2	0.0	0.0
$U_a > 25$	9.81E-2	$-4.13E-3$	4.34E-5	1.16E-8
	θ	0	θ	θ

Table A1. Coefficients of the polynomial functions A and B, depending on the wind speed range.