

1           **The significant impact of aerosol vertical structure on lower-**  
2 **atmosphere stability and its critical role in aerosol-PBL interactions**

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23 **Abstract.** Aerosol-planetary boundary layer (PBL) interaction was proposed as an  
24 important mechanism to stabilize the atmosphere and exacerbate surface air pollution.  
25 Despite the tremendous progress made in understanding this process, its magnitude and  
26 significance still have large uncertainties and vary largely with aerosol distribution and  
27 meteorological conditions. In this study, we focus on the role of aerosol vertical  
28 distribution on thermodynamic stability and PBL development by jointly using  
29 micropulse lidar, sunphotometer, and radiosonde measurements taken in Beijing.  
30 Despite the complexity of aerosol vertical distributions, cloud-free aerosol structures  
31 can be largely classified into three types: well-mixed, decreasing with height, and  
32 inverse structures. The aerosol-PBL relationship and diurnal cycles of the PBL height  
33 and  $PM_{2.5}$  associated with these different aerosol vertical structures show distinct  
34 characteristics. The vertical distribution of aerosol radiative forcing differs drastically  
35 among the three types with strong heating in the lower, middle, and upper PBL,  
36 respectively. Such a discrepancy in heating rate affects the atmospheric buoyancy and  
37 stability differently in the three distinct aerosol structures. Absorbing aerosols have the  
38 weak effect of stabilizing the lower atmosphere under the decreasing structure than  
39 under the inverse structure. As a result, the aerosol-PBL interaction can be strengthened  
40 by the inverse aerosol structure, and can be potentially neutralized by the decreasing  
41 structure. Moreover, aerosols can both enhance and suppress the PBL stability, leading  
42 to both positive and negative feedback loops. This study attempts to improve our  
43 understanding of the aerosol-PBL interaction, showing the importance of the  
44 observational constraint of aerosol vertical distribution for simulating this interaction

45 and consequent feedbacks.

## 46 **1. Introduction**

47 Aerosols have a critical impact on the earth's climate through aerosol-cloud  
48 interactions (ACI) and aerosol-radiation interactions (ARI). They also continue to  
49 contribute toward the considerable uncertainty in quantifying and interpreting the  
50 earth's changing radiation budget and hydrological cycles (Charlson et al., 1992;  
51 Ackerman et al., 2004; Boucher et al., 2013; Z. Li et al., 2011, 2017a; J. Guo et al.,  
52 2017, 2019a). Despite the great advances made in the past decades in observational and  
53 modeling studies of aerosol effects, it is still a challenge to accurately quantify aerosol  
54 effects on the climate system due to inadequate understanding of some mechanisms and  
55 strong variations in aerosol type, loading, and vertical distribution (Haywood and  
56 Boucher, 2000; Jacobson et al., 2001; Carslaw et al., 2013; J. Huang et al., 2015; J. Guo  
57 et al., 2016a; Z. Li et al., 2016; Wei et al., 2019a, 2019b). Aerosols can interact with  
58 thermodynamic stability through ARI (Atwater, 1971; Bond et al., 2013). Absorbing  
59 aerosols can stabilize the atmosphere (Ramanathan et al., 2001; Y. Wang et al., 2013;  
60 Ding et al., 2016) and may also enhance convection and precipitation under certain  
61 conditions (Menon et al., 2002; Z. Li et al., 2017).

62 Thermodynamic stability in the planetary boundary layer (PBL) dictates the PBL  
63 development (Stull, 1988; W. Zhang et al., 2018), thereby dominating the vertical  
64 dissipation of surface pollutants to some degree. Aerosols, in turn, have important  
65 feedbacks on the stability in the PBL, depending on aerosol properties, especially those  
66 of light-absorbing aerosols (e.g., black, organic, and brown carbon). However, due to  
67 large uncertainties in aerosol radiative forcing, it remains a challenge to quantify the

68 impact of aerosols on thermodynamic stability and PBL development. Conventionally,  
69 increasing the aerosol absorption tends to stabilize the atmosphere, leading to a reduced  
70 PBL height (PBLH). A more stable atmosphere and lower PBLH will, in turn, increase  
71 the surface aerosol loading, which is the well-established positive feedback loop in the  
72 aerosol-PBL interaction (e.g., H. Wang et al., 2015; Ding et al., 2016; Petäjä et al., 2016;  
73 Dong et al., 2017; Zou et al., 2017; Q. Huang et al., 2018; Z. Wang et al., 2018; H. Wang  
74 et al., 2019). However, such a positive feedback loop may not be real for all situations  
75 and is subject to confounding factors such as aerosol type, aerosol vertical distribution,  
76 soil moisture, and PBL regime (J. Guo et al., 2019b; Lou et al., 2019). Geiß et al. (2017)  
77 reported the ambiguous relationship between surface aerosol loading and PBLH, while  
78 our previous study revealed weak correlations between surface pollutants and the PBLH  
79 in mountainous or clean regions (Su et al., 2018). Lou et al. (2019) showed that aerosols  
80 have a positive correlation with the PBLH under stable PBL conditions, indicating the  
81 importance of thermodynamic conditions in the PBL.

82 Among others, numerical models are one of the viable methods used to determine  
83 aerosol impacts on stability and PBL (e.g., J. Wang et al., 2014; Ding et al., 2016; Y.  
84 Wang et al., 2018; Zhou et al., 2018). The aerosol optical depth (AOD), a measure of  
85 aerosol columnar loading, is usually taken into account in model simulations. However,  
86 the aerosol vertical distribution in models is generally prescribed and may differ greatly  
87 from the real situation. With observational constraints, the role of aerosol vertical  
88 distributions in aerosol-PBL interactions warrants further investigation.

89 Ample observational datasets for Beijing are available, including aerosol vertical

90 distributions derived from lidar, optical properties derived from the sunphotometer,  
91 profiles of meteorological variables from radiosonde (RS), and surface PM<sub>2.5</sub> and  
92 meteorological parameters. Based on these measurements, a radiative transfer model is  
93 used to simulate the vertical profiles of aerosol radiative forcing that are employed to  
94 investigate the impact of aerosols on buoyancy in the lower atmosphere.

95 The paper is structured as follows. Section 2 introduces the datasets and methods  
96 used. Section 3 presents analyses of aerosol-PBL interactions under different aerosol  
97 vertical structures. Section 4 discusses the results with a brief summary.

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## 99 **2. Data and Method**

### 100 **2.1. Site description**

101 We utilized data from multiple sources in Beijing, a megacity located in the North  
102 China Plain. As one of the most densely populated and urbanized regions in the world,  
103 Beijing is a polluted region with high concentrations of absorbing aerosols (Y. Zhang  
104 et al., 2019). The micropulse lidar (MPL) located in Beijing was operated continuously  
105 by Peking University (39.99°N, 116.31°E) from March 2016 to December 2018, with  
106 a temporal resolution of 15 s and a vertical resolution of 15 m. Due to incomplete laser  
107 pulse corrections, the near-surface lidar blind zone is ~0.15 km. Background subtraction,  
108 saturation, after-pulse, overlap, and range corrections are applied to raw MPL data to  
109 calculate the normalized signals (Yang et al., 2013; Su et al., 2017a). MPL data on  
110 raining days are excluded. Level 1.5 AOD and single-scattering albedos (SSA) are  
111 employed at multiple wavelengths (i.e., 0.44, 0.5, 0.67, 0.87, and 1.02  $\mu\text{m}$ ) from the

112 Beijing RADi (40°N, 116.38°E) Aerosol Robotic Network (AERONET) site from 2011  
113 to 2018 under cloud-free conditions (Holben et al., 1998; Smirnov et al., 2000; Y. Zhang  
114 et al., 2017). The RS station (39.80°N, 116.47°E) in Beijing, operated by the China  
115 Meteorological Administration, is ~25 km from the MPL site. The variables observed  
116 at the RS station include meteorological data and profiles of water vapor, temperature,  
117 pressure, and wind. The vertical resolution of the RS is altitude dependent and generally  
118 less than 8 m (J. Guo et al., 2016b; W. Zhang et al., 2018). The RS is routinely launched  
119 at 0800 Local Time (LT) and 2000 LT each day and is also launched at 1400 LT in the  
120 summer (June-July-August). RS measurements are collected during 2011–2018. To  
121 reduce small-scale biases and to obtain a picture of the regional variation in particulate  
122 matter with the diameter smaller than 2.5  $\mu\text{m}$  ( $\text{PM}_{2.5}$ ), we acquire mean  $\text{PM}_{2.5}$  data from  
123 twenty environmental monitoring stations located within 20 km from the lidar site,  
124 including one station at the Beijing Embassy of the United States. Figure 1 shows the  
125 topography of Beijing. The green square indicates the MPL site, and the yellow triangle  
126 indicates the AERONET station. The brown star represents the RS station, and the red  
127 pink dots represent the  $\text{PM}_{2.5}$  sites.

## 128 **2.2. Statistical analysis methods**

129 The statistical significance is tested by two independent statistical methods, namely,  
130 the least-squares regression and the Kendall' tau (MK) test (Mann, 1945; Kendall, 1975;  
131 J. Li et al., 2016). Least-squares regression typically assumes a Gaussian data  
132 distribution in the trend analysis, whereas the MK test is a nonparametric test without  
133 any assumed functional form. The latter is more suitable for data that do not follow a

134 certain distribution. To improve the robustness of the analysis, a relationship is  
135 considered significant when the confidence level is above 99% for both the least-  
136 squares regression and the MK test. Hereafter, “significant” indicates that the  
137 correlation is statistically significant at the 99% confidence level.

138 We primarily use the linear-fit method to build relationships between different  
139 parameters. The Pearson correlation coefficient derived from the linear regression  
140 analysis measures the degree to which the data fit a linear relationship. However,  
141 following our recent work (Su et al., 2018), inverse fitting [ $f(x) = A/x + B$ ] is used  
142 to establish the relationship between PBLH and  $PM_{2.5}$ . The magnitude of the correlation  
143 coefficient ( $R^\dagger$ ) is designed to measure the degree to which the data fit an inverse  
144 relationship. Since the relationship between the PBLH and  $PM_{2.5}$  is non-linear, the  
145 inverse fitting better characterizes this relationship.

### 146 **2.3. PBLH and buoyancy derived from RS**

147 The RS vertical resolution varies according to the balloon ascending rate. The RS  
148 records measurements every 1.2 s, which represents an approximate vertical resolution  
149 of 5–8 m. Prior to the retrieval of the PBLH, we further resample RS data to achieve a  
150 vertical resolution of 5 hPa with linear interpolation. We follow a well-established  
151 method developed by Liu and Liang (2010) to derive the PBLH based on profiles of the  
152 potential temperature gradient that takes into account different stability conditions. In  
153 this study, we only focus on PBLs driven by buoyancy, so PBLs driven by low-level  
154 jets are excluded using RS-derived wind profiles (Liu and Liang, 2010; Miao et al.,  
155 2018).



156 The static stability of the atmosphere is determined by the buoyancy force, which  
157 is expressed as (Wallace and Hobbs, 2006)

$$158 \quad B = \frac{d^2z}{dt^2} = \frac{T' - T}{T}g = -g\Delta z \frac{1}{\theta} \frac{d\theta}{dz} \quad , \quad (1)$$

159 where  $z$  is the height of the air parcel, and  $t$  indicates the time.  $T'$  represents the  
160 temperature of the parcel,  $T$  represents the temperature of the environment, and  $\theta$  is  
161 the virtual potential temperature of the environment. An atmospheric layer is convective  
162 if the buoyancy is above zero and stable when the buoyancy is below zero. If the  
163 buoyancy is near zero, the atmosphere is neutral. Based on the identification method  
164 for PBL type (Liu and Liang, 2010; W. Zhang et al., 2018), we present profiles of  
165 buoyancy forcing for stable, neutral, and convective PBLs (Figure 2a). Results shown  
166 are averages from 3069 radiosonde measurements, of which 438 cases are convective  
167 PBLs, 714 cases are neutral PBLs, and 1916 cases are stable PBLs. The strongest  
168 upward or downward forcing occurs near the surface. Figures 2b-c further show the  
169 height-dependent correlation coefficients between buoyancy and PBLH/PM<sub>2.5</sub> with an  
170 interpolation window of 0.2 km. Note that the PBLH and surface PM<sub>2.5</sub> are fixed for the  
171 entire column, and the buoyancy is height dependent. Due to the insufficient  
172 development of the PBL, we do not use RS data at 0800 LT here. To exclude the impact  
173 induced by the dragging effects of rainfall, we only consider cases without precipitation  
174 within the past 24 hours. Strong upward buoyancy can uplift the PBLH and mitigate  
175 surface pollutants, especially in the lower atmosphere. Thus, we integrate the buoyancy  
176 forcing within the lowest 1 km (red line in Figures 2b-c), defined as the lower-  
177 atmosphere buoyancy (LAB). As shown in Figures 3a-b, LAB and PM<sub>2.5</sub> are negatively

178 correlated, and LAB and PBLH are positively correlated. LAB also has a significant  
179 negative correlation with absorbing aerosol optical depth (Figure 3c). This may be due  
180 to the stabilizing effect of absorbing aerosols on the atmosphere, widely reported in  
181 many previous studies (H. Wang et al., 2015; Ding et al., 2016; Petäjä et al., 2016; Dong  
182 et al., 2017; Z. Li et al., 2017b; X. Huang et al., 2018).

#### 183 **2.4. PBLH and aerosol extinction coefficient derived from MPL**

184 MPL data from Beijing were used to retrieve the PBLH during the daytime (0800–  
185 1900 LT). Many methods have been developed for retrieving the PBLH from MPL  
186 measurements, e.g., the signal threshold (Melfi et al., 1985), the maximum of the signal  
187 variance (Hooper and Eloranta, 1986), the minimum of the signal profile derivative  
188 (Flamant et al., 1997), and the wavelet transform (Cohn and Angevine, 2000; Davis et  
189 al., 2000; Su et al., 2017b; Chu et al., 2019). To derive the PBLH from MPL data, we  
190 adopted previous well-established approaches with several refinements, which have  
191 already been validated by long-term data collected at the Southern Great Plains site  
192 (Sawyer and Li, 2013; Su et al., 2020).

193 We initially identify the local maximum positions (range: 0.25–4 km) in the  
194 covariance transform function collocated with a signal gradient larger than a certain  
195 threshold. We further estimated the shot noise ( $\sigma$ ) induced by background light and dark  
196 currents for each profile, and then set the threshold as  $3\sigma$ . The initial PBLH retrieval  
197 (at 0800 LT) is constrained by the PBLH value derived from the morning RS sounding.  
198 Then, the following PBLHs are retrieved using a stability-dependent model based on  
199 continuity. Boundary layer clouds are identified to diagnose the PBLH for cloudy cases.

200 Figure 3d presents the comparison of summertime PBLH results derived from MPL and  
201 RS at 1400 LT, showing good agreement ( $R = 0.79$ ).

202 Multiple studies have provided a well-established algorithm to retrieve the vertical  
203 profiles of aerosol extinction coefficient (AEC) from MPL data (e.g., Fernald, 1984;  
204 Klett, 1985; Liu et al., 2012). The Klett method is further used to retrieve extinction  
205 profiles (Klett, 1985). The column-averaged extinction-to-backscatter ratio (the so-  
206 called lidar ratio) is an important parameter in the retrieval process and is constrained  
207 using AERONET-derived AOD at  $0.5 \mu\text{m}$ . The AEC is assumed to be equal within the  
208 blind zone. The overall uncertainties from the overlap function, the lidar ratio, the  
209 effects of multiple scattering, and noise fall within the range of 20–30% in the retrieval  
210 process (He et al., 2006).

## 211 **2.5. Estimation of the impacts of aerosols on buoyancy**

212 To show vertical profiles of aerosol radiative forcing, the Santa Barbara DISORT  
213 Atmospheric Radiative Transfer (SBDART) model (Ricchiazzi et al., 1998) was used  
214 to simulate the atmospheric heating rate ( $dT/dt$ ) induced by aerosols (Liu et al., 2012;  
215 Dong et al., 2017). Integrated aerosol inputs include AODs, SSAs (i.e., at 0.44, 0.67,  
216 0.87, and  $1.02 \mu\text{m}$ ) retrieved from AERONET measurements, and AEC profiles at  $0.5$   
217  $\mu\text{m}$  obtained from the MPL. We also use Moderate Resolution Imaging  
218 Spectroradiometer surface reflectances as an additional input  
219 (<https://modis.gsfc.nasa.gov/data/dataproduct/mod09.php>). We further use heating rates  
220 induced by aerosols to estimate the impact of aerosols on buoyancy.

221 Theoretically, the rate of change in buoyancy for a certain layer is expressed as

$$222 \quad \frac{dB}{dt} = \frac{d}{dt} \left( \frac{T_0 - \Gamma_d \Delta z - T}{T} g \right) = \frac{\left( \frac{dT_0}{dt} - \frac{dT}{dt} \right) T + \frac{dT}{dt} (\Gamma_d - \Gamma) \Delta z}{T^2} g, \quad (2)$$

223 where most parameters are defined in the same way as in Eq. (1), and  $\Gamma_d$  ( $\Gamma$ )  
224 represents the dry adiabatic lapse rate (environmental lapse rate). We primarily focus  
225 on the rate of change in buoyancy during the noontime period (1100–1500 LT), when  
226 the PBL is well developed, and aerosol radiative forcing is strong. The rate of change  
227 in buoyancy ( $dB/dt$ ) induced by aerosols is largely determined by the aerosol heating  
228 rate, which can be produced by the radiative transfer model. Additional inputs include  
229 the environmental lapse rate and temperature, obtained from noontime RS soundings in  
230 the summer. For other times, the environmental lapse rate and temperature are obtained  
231 from MERRA-2 reanalysis data, which assimilates coarse-resolution RS observations  
232 (Rienecker et al., 2011). In this way, we can estimate  $dB/dt$  induced by aerosols with  
233 a primary focus on the daytime. Note that the errors in MERRA-2 data lead to  
234 uncertainties in the estimated  $dB/dt$ . A 1–3 K uncertainty in MERRA-2 temperatures  
235 (Gelaro et al., 2017) leads to 1–3% relative biases in the estimated  $dB/dt$ . Considering  
236 the large variation in  $dB/dt$  for different aerosol structures, the biases resulting from  
237 MERRA-2 data are not a serious issue.

238

### 239 **3. Results**

#### 240 **3.1. Classification of different aerosol structure scenarios**

241 By altering the adiabatic heating rate of the atmosphere, the aerosol vertical  
242 distribution is of great importance to the PBL. Based on cloud-free AEC profiles in the

243 PBL, aerosol vertical structures can be classified into three types: well-mixed,  
244 decreasing with height, and its inverse, increasing with height. If AEC varies by less  
245 than 20% within the lowest 80% of the PBL, it is considered a well-mixed structure.  
246 For the other cases, a decreasing structure indicates a peak in AEC near the surface, and  
247 the inverse structure indicates a peak in AEC in the middle or upper PBL.

248 To investigate the vertical variation in AEC within the PBL, the evolution of the  
249 PBLH has to be taken into account. Following previous studies (Ferrero et al., 2014;  
250 Kuang et al., 2017), vertical profiles were normalized by introducing a standardized  
251 height ( $H_s$ ), calculated as follows:

$$252 \quad H_s = \frac{z - PBLH}{PBLH} , \quad (3)$$

253 where  $z$  is the height above the ground, and  $H_s$  is 0 at the PBL top and -1 at ground  
254 level. Figure 4 shows the normalized vertical profiles of AEC derived from MPL data  
255 for different aerosol structures around noontime. The number of samples and  
256 percentages of decreasing, well-mixed, and increasing aerosol structures are 998 (51%),  
257 611 (32%), and 330 (17%), respectively. Since a temperature inversion located at the  
258 PBL top traps moisture and aerosols, there is a sharp decrease in the AEC profile from  
259 the PBL upper boundary to the free atmosphere. Variations in the aerosol vertical  
260 distribution largely depend on different conditions, but share similar features among the  
261 different aerosol structure patterns. Despite complex aerosol vertical distributions, these  
262 three types of profiles can account for most of the cloud-free cases.

### 263 **3.2. PBLH and PM<sub>2.5</sub> under different aerosol structure scenarios**

264 Absorbing aerosols tend to have a positive feedback with the PBLH, and the

265 aerosol vertical distribution plays a critical role in this process. We investigate the  
266 relationship between MPL-derived PBLH and  $PM_{2.5}$  for absorbing (daily average  
267  $SSA \leq 0.85$ ) or weakly absorbing (daily average  $SSA > 0.9$ ) aerosols for  
268 increasing/decreasing aerosol structures during 0900–1900 LT (Figure 5). The PBLH-  
269  $PM_{2.5}$  relationships can represent the intensity of the aerosol-PBL interaction. In general,  
270 there are stronger correlations between PBLH and  $PM_{2.5}$  for the inverse aerosol  
271 structure. This is likely caused by substantial heating in the upper PBL, facilitating the  
272 formation of a temperature inversion and further increasing the stability of the PBL.  
273 For the decreasing aerosol structure, aerosols may not significantly redistribute  
274 adiabatic energy. Hence, the PBLH- $PM_{2.5}$  correlation is relatively weak. Significant  
275 PBLH- $PM_{2.5}$  correlations are found for both absorbing and weakly absorbing cases,  
276 indicating that scattering aerosols may also play an important role in the aerosol-PBL  
277 interaction, especially for the inverse aerosol structure.

278 Figure 6 presents the averaged diurnal cycles of AEC, PBLH, and  $PM_{2.5}$  for  
279 different aerosol vertical structures, classified based on the average AEC profiles during  
280 noontime. High humidity cases (surface relative humidity  $> 90\%$ ) and strong wind cases  
281 (wind speed  $> 5\text{ m s}^{-1}$ ) are excluded. Here, both AEC and PBLH are derived from MPL  
282 data. Data are collected on 371 available days, of which 191 days have decreasing  
283 aerosol structures, 122 days have well-mixed aerosol structures, and 58 days have  
284 inverse aerosol structures. Multiple entangled factors can contribute to the formation of  
285 different aerosol structures within the PBL, including synoptic patterns, new particle  
286 formation, vertical turbulence, horizontal transport, entrainment rates, to name a few.

287 In general, the inverse structure is characterized by higher aerosol loadings and lower  
288 PBLHs, whereas the decreasing structure is characterized by light pollution and a well-  
289 developed PBL. In theory,  $PM_{2.5}$  should generally decrease with increasing PBLH in  
290 the morning due to the dilution effect. This situation is demonstrated clearly for  
291 decreasing aerosol structures. However,  $PM_{2.5}$  continuously grows during the daytime  
292 when an inverse aerosol structure is present, regardless of the PBLH diurnal cycle. Even  
293 though many factors control the diurnal variations in aerosols and the PBL, the strong  
294 aerosol-stability interaction generates an unfavorable condition for the vertical  
295 dissipation of aerosols, so the surface aerosol loading can continuously accumulate due  
296 to emissions.

297 The correlations and statistical results concerning the PBLH and  $PM_{2.5}$  provide  
298 hints about the differences in aerosol-PBL interactions for different aerosol structures.  
299 However, these results cannot explain the feedback loop and causality. Therefore, we  
300 further use the SBDART model with the constraint of ample observations to investigate  
301 the vertical profiles of radiative forcing induced by aerosols and its impacts on  
302 atmospheric stability.

### 303 **3.3. Aerosol radiative forcing for different aerosol structures**

304 Following the description in Section 2.5, we calculate the statistical means of  
305 aerosol radiative forcing in the vertical for decreasing, well-mixed, and inverse aerosol  
306 structures, derived from the cases presented in Figure 6. Figure 7 shows that the vertical  
307 distributions of the heating rate differ drastically among the different aerosol structures.  
308 For the inverse aerosol structure scenario, aerosols cause substantial heating in the

309 upper PBL, facilitating the formation of a temperature inversion and further increasing  
310 the stability in the PBL. For the decreasing aerosol structure scenario, the abundance of  
311 aerosols at the bottom of PBL heats the lower PBL so can potentially enhance  
312 convection in the PBL.

313       There are considerable differences in heating rate among the three distinct aerosol  
314 structures (Figure 8), which affects the atmospheric buoyancy and stability differently.  
315 On average, aerosols generally suppress buoyancy in the lower atmosphere. Such an  
316 effect is quite notable for the inverse structure and is insignificant for the decreasing  
317 structure with large standard deviations. Absorbing aerosols are not very helpful for  
318 stabilizing the lower atmosphere when a decreasing aerosol structure is present, but  
319 they play an important role when an inverse aerosol structure is present. As such, we  
320 expect the strongest aerosol-PBL interaction to occur for absorbing aerosol cases when  
321 an inverse aerosol structure is present, consistent with the results shown in Figure 5.

322       Figure 9 shows schematic diagrams of the interactions between aerosols, stability,  
323 and the PBL when decreasing/inverse aerosol structures are present. Overall, both  
324 decreasing and inverse aerosol structures can cool the surface and suppress sensible  
325 heat, thus stabilizing the PBL. In both cases, aerosols have notable stabilizing effects  
326 near the surface.

327       When a decreasing aerosol structure is present, abundant aerosols near the surface  
328 generate a stronger aerosol heating rate in the lower PBL than in the upper PBL. Such  
329 aerosol radiative forcing lowers the potential temperature gradient ( $d\theta/dz$ ) in the  
330 middle and upper PBL and can further strengthen vertical convection in the middle and



331 upper PBL. The opposite aerosol effects on PBL stability lead to a relatively weak  
332 aerosol feedback and a relatively weak aerosol-PBL interaction. When an inverse  
333 aerosol structure is present, the significant heating effect on the upper PBL facilitates  
334 the formation of temperature inversion and further increases the stability and suppresses  
335 the PBLH. The notable increase in stability lead to the strong, positive aerosol feedback.

336 Highly variable aerosol vertical distributions cause large variations in the impact  
337 of aerosol on stability, and thus, exert important and highly variable influences on the  
338 aerosol-PBL interactions. Although aerosol stabilize PBL for majority cases, aerosol  
339 also can suppress the stability in low-atmosphere when aerosol heating effect is much  
340 stronger on the near surface than upper PBL, and further lead to a potential negative  
341 feedback loop. The positive feedback loop leads to strong aerosol-PBL interactions,  
342 whereas negative feedback loop leads to weak aerosol-PBL interactions. It explains the  
343 paradox of the different correlations between PBLH and surface pollutants since its  
344 magnitude, significance, and even sign reportedly varies or even reverses (Quan et al.,  
345 2013; Tang et al., 2015; Geiß et al., 2017; Su et al., 2018).

346

#### 347 **4. Summary and Discussion**

348 Based on integrated aerosol and meteorological measurements made in Beijing, the  
349 aerosol-PBL interaction is assessed for different aerosol vertical structures, i.e.,  
350 decreasing, well mixed, and inversely increasing with height. The aerosol-PBL  
351 relationships and the diurnal cycles of PBLH and  $PM_{2.5}$  show distinct characteristics  
352 among the different aerosol vertical patterns. For the decreasing aerosol structure,  $PM_{2.5}$

353 decreases in the morning with relatively large PBLH growth rates. In this situation,  
354 absorbing aerosols are not very helpful in stabilizing the lower atmosphere. For the  
355 inverse aerosol structure,  $PM_{2.5}$  continuously grows during the daytime with relatively  
356 low PBLH growth rates. This phenomenon could be a sign of a strong aerosol-PBL  
357 interaction. The aerosol radiative forcing in the vertical for decreasing, well-mixed, and  
358 inverse aerosol structures differ drastically with strong heating in the lower, middle, and  
359 upper PBL, respectively. Such a difference in heating rate affects the atmospheric  
360 buoyancy and stability differently in the three distinct aerosol structures.

361 Turbulent fluxes and eddies in the PBL would spread out and redistribute the  
362 radiative effects induced by aerosols. Needed are numerical models to quantify the  
363 aerosol-PBL interaction and consequent feedbacks (e.g., Y. Wang et al., 2013; Ding et  
364 al., 2016; Z. Wang et al., 2018; Zhou et al., 2018). Aerosol vertical distributions greatly  
365 vary on both temporal and vertical scales and critically affect aerosol radiative effects.  
366 However, the aerosol vertical distribution is still poorly represented in numerical  
367 models, partly due to a lack of observational constraints. This study reveals the  
368 important role of the aerosol vertical distribution in the aerosol-PBL interaction, which  
369 should be carefully taken into account in both observational analyses and model  
370 simulations.

371 This study used column-averaged aerosol properties from AERONET. However,  
372 the vertical variations in SSA and aerosol type remains unknown, inducing uncertainties  
373 in the estimation of aerosol effects. In the future, we plan to use aircraft data from field  
374 campaigns to better account for the influence of different types of aerosols with

375 different properties.

376

377 *Data availability.* Hourly PM<sub>2.5</sub> data are released by the Ministry of Environmental  
378 Protection of China (<http://113.108.142.147:20035/emcpublish>). MERRA-2 reanalysis  
379 data are publicly available at  
380 <https://disc.gsfc.nasa.gov/datasets?keywords=merra%202&page=1>. AERONET data  
381 are publicly available at <https://aeronet.gsfc.nasa.gov>. Meteorological data are provided  
382 by the data center of the China Meteorological Administration (<http://data.cma.cn/en>).

383

384 *Author contribution.* T. S. and Z. L. conceptualized this study. T. S. carried out the  
385 analysis, with comments from other co-authors. C. L., J. L., and W. T. carried out the  
386 MPL observations. J. G. provided auxiliary data. W. H., C. S., W. T., J. W., and J. G.  
387 provided useful suggestions for the study. T. S. and Z. L. interpreted the data and wrote  
388 the manuscript with contributions from all co-authors.

389

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391

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399

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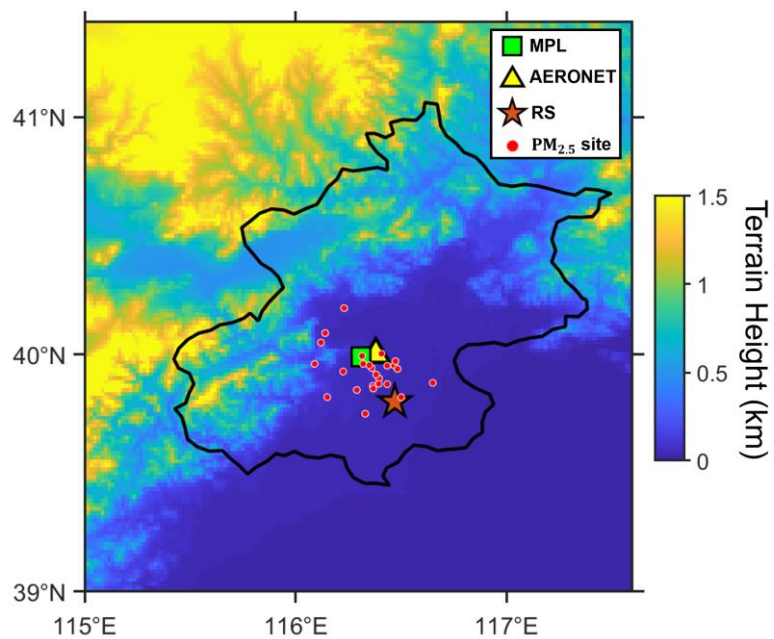
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642 **Figures**



643

644 **Figure 1.** Topography of Beijing. The green square indicates the MPL site, and the  
645 yellow triangle indicates the AERONET station. The brown star shows the radiosonde  
646 (RS) station, and the red pink dots show the PM<sub>2.5</sub> sites.

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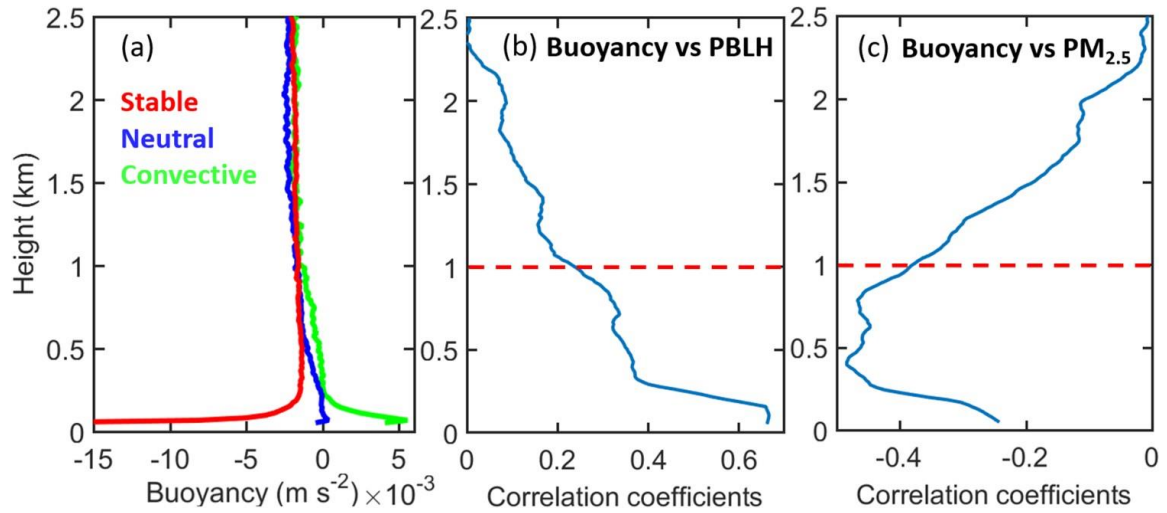
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655 **Figure 2.** (a) Averaged vertical profiles of buoyancy forcing in stable, neutral, and  
 656 convective PBLs. (b) Height-dependent correlation coefficients between buoyancy and  
 657 PBLH. (c) Height-dependent correlation coefficients between buoyancy and surface  
 658  $\text{PM}_{2.5}$ . Note that the PBLH and surface  $\text{PM}_{2.5}$  are fixed for the entire column, and the  
 659 buoyancy is height dependent. The buoyancy in the lower atmosphere ( $< 1$  km) has the  
 660 most important impact on the PBLH and surface  $\text{PM}_{2.5}$ . The buoyancy and PBLH are  
 661 calculated from RS measurements made at 1400 LT and 2000 LT from 2011 to 2018.

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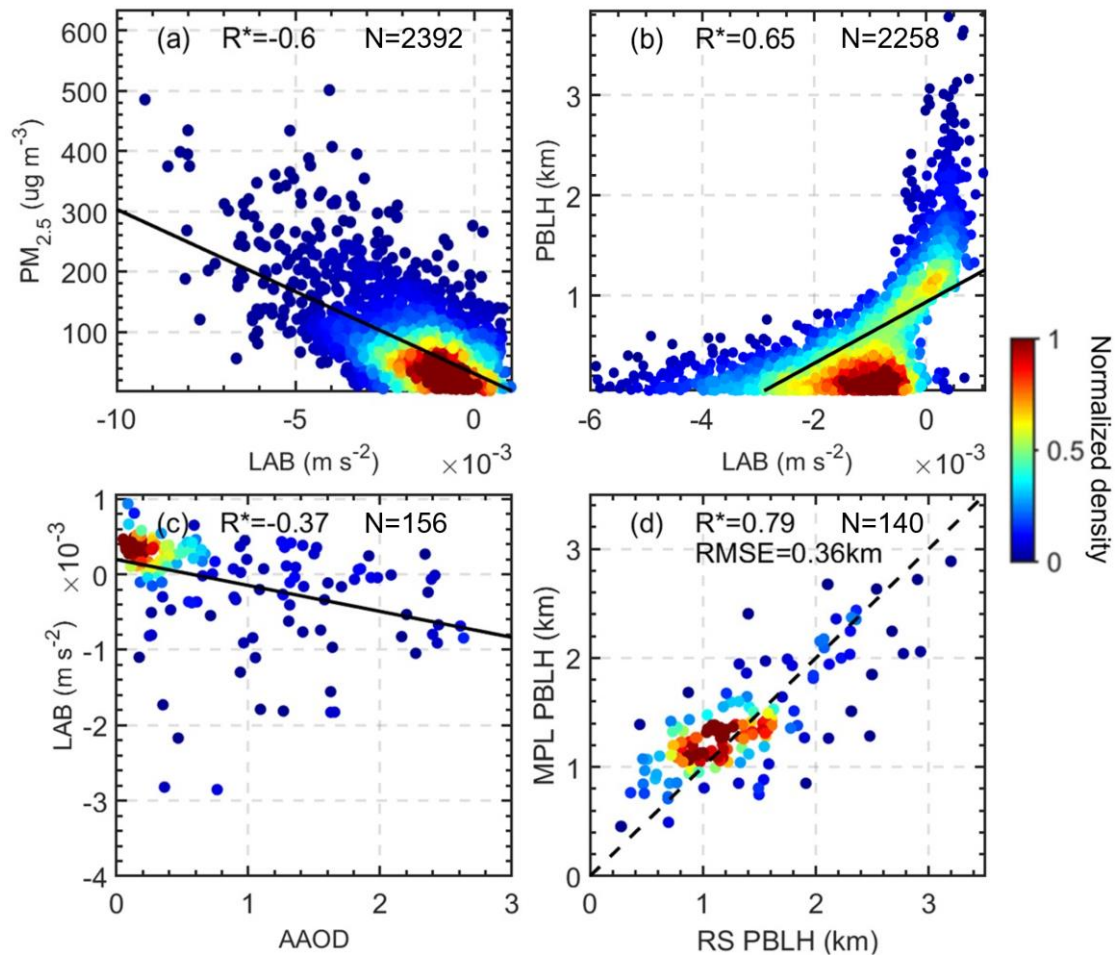
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672 **Figure 3.** (a) The relationship between lower-atmosphere buoyancy ( $LAB$ ) and  $PM_{2.5}$ .

673 (b) The relationship between  $LAB$  and  $PBLH$ . (c) The relationship between absorbing

674 aerosol optical depth ( $AAOD$ ) and  $LAB$ . In (a, b, c), the  $LAB$  and  $PBLH$  are derived

675 from  $RS$  measurements made at 1400 LT and 2000 LT, and  $AAOD$  is derived from

676  $AERONET$  measurements. The black solid lines indicate the best-fit lines from linear

677 regression. (d) Comparison of  $PBLH$ s derived from the  $MPL$  and  $RS$  at 1400 LT. Each

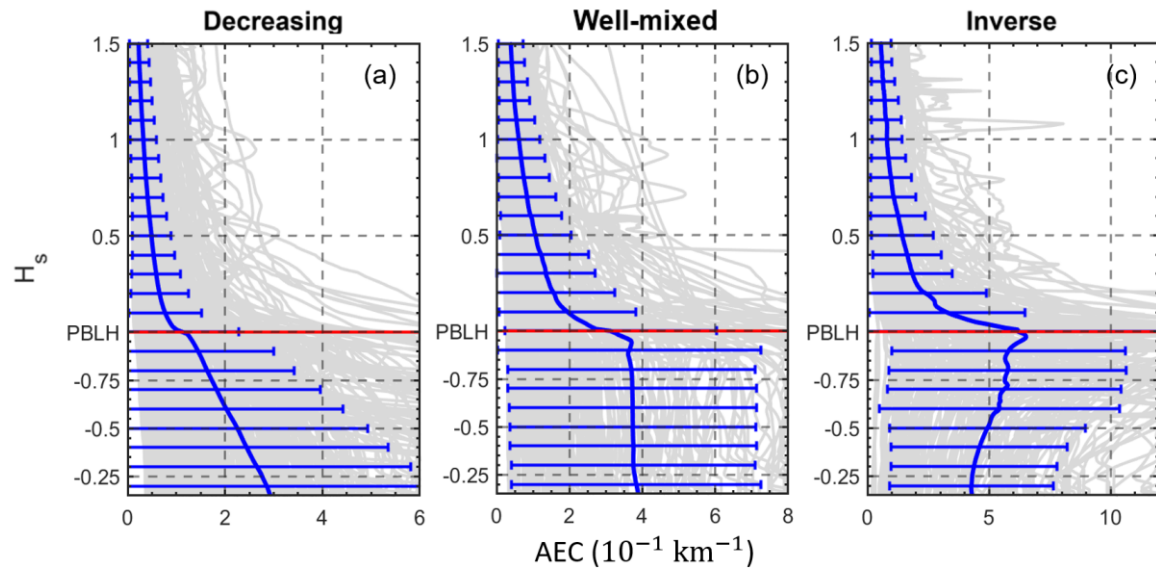
678 panel gives the correlation coefficients ( $R$ ), sample number ( $N$ ), and root-mean-square

679 error ( $RMSE$ ).  $R$  with an asterisk indicates that the correlation is statistically significant

680 at the 99% confidence level. The color-shaded dots indicate the normalized sample

681 density.

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683

684 **Figure 4.** Normalized vertical profiles of aerosol extinction coefficient (AEC) for (a)  
 685 decreasing, (b) well-mixed, and (c) increasing (i.e., inverse) aerosol structures. Red line  
 686 mark the position of the PBLH, solid blue lines represent the average profiles of  
 687 corresponding profiles, and error bars represent the standard deviations.

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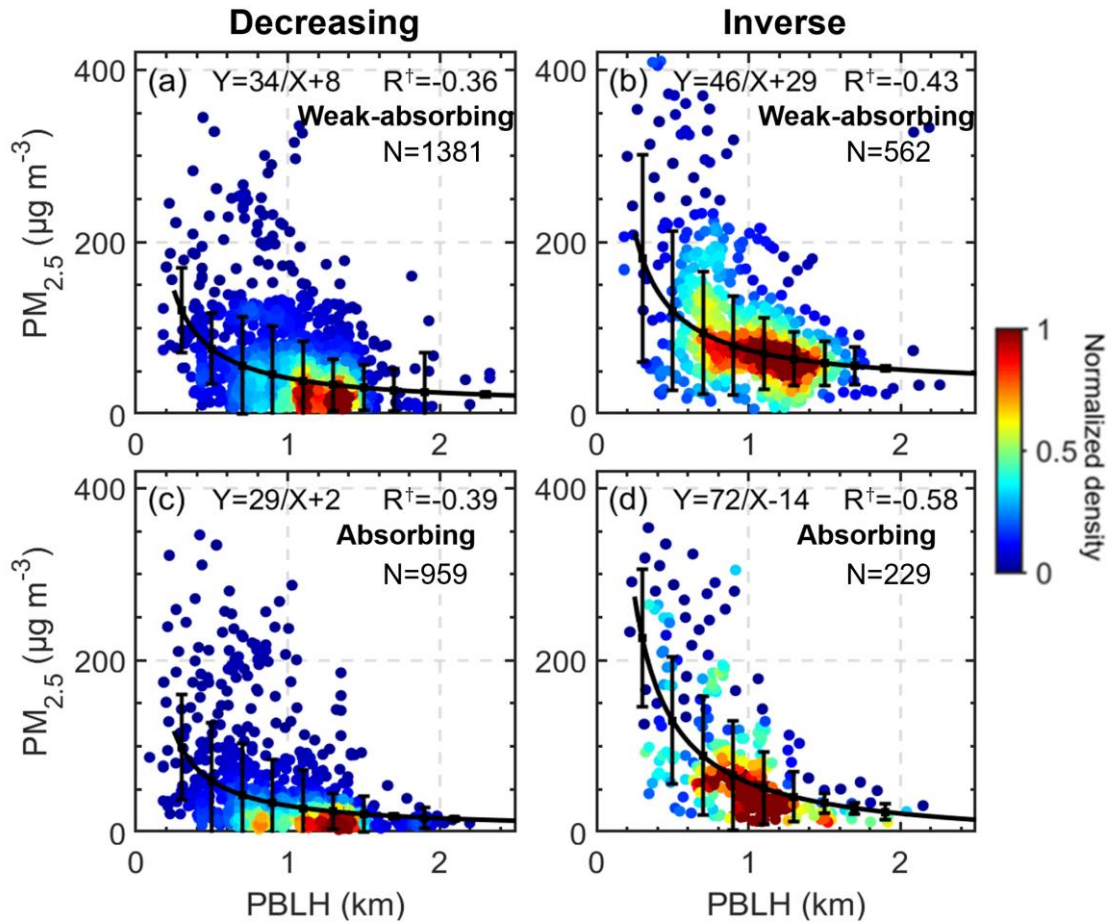
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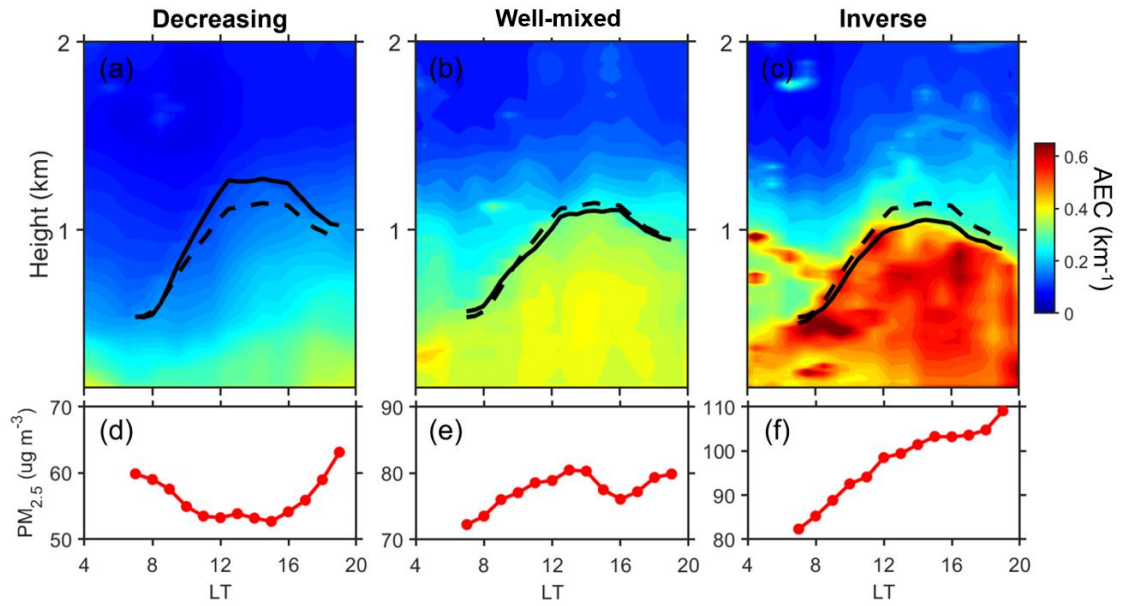


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695 **Figure 5.** The relationship between MPL-derived PBLH and  $PM_{2.5}$  for (a) weakly  
 696 absorbing and (c) absorbing aerosols for the decreasing aerosol structure. The  
 697 relationship between MPL-derived PBLH and  $PM_{2.5}$  for (b) weakly absorbing and (d)  
 698 absorbing aerosols for the increasing (i.e., inverse) aerosol structure. Black lines  
 699 represent the inverse fits, and the whiskers indicate the standard deviations. The fitting  
 700 functions and number of samples are given in each panel, along with the correlation  
 701 coefficient ( $R^\dagger$ ) for the inverse fit.

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705 **Figure 6.** The averaged diurnal variations in AEC for (a) decreasing, (b) well-mixed,  
 706 and (c) increasing (i.e., inverse) aerosol structures. Solid black lines indicate the  
 707 averaged diurnal cycles of MPL-derived PBLH under the different aerosol structures.  
 708 Dashed black lines represent the mean MPL-derived PBLH diurnal cycles. (d, e, f) The  
 709 averaged diurnal variations in surface PM<sub>2.5</sub> under the different aerosol structures.

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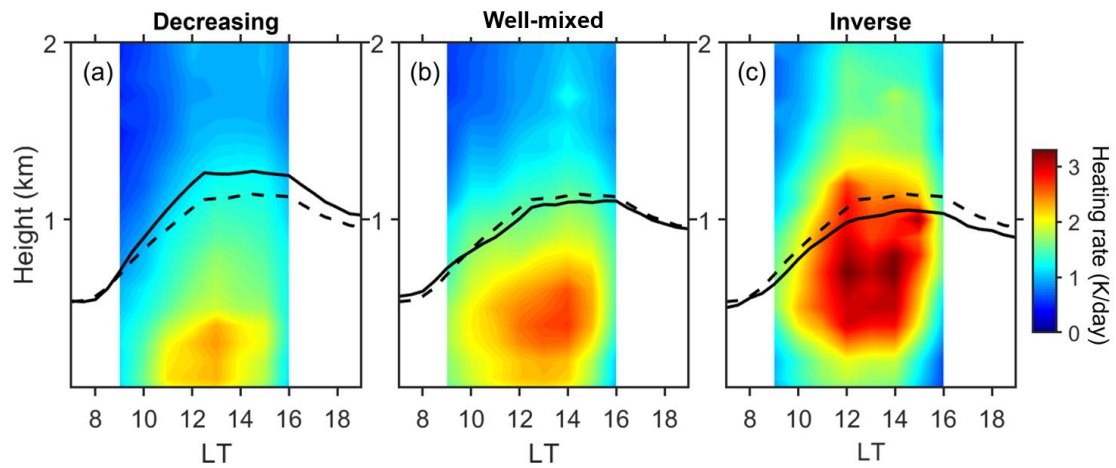
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720 **Figure 7.** The averaged diurnal variations in aerosol radiative forcing in the vertical for

721 (a) decreasing, (b) well-mixed, and (c) increasing (i.e., inverse) structures of aerosol

722 loading. Solid black lines indicate the mean diurnal cycles of MPL-derived PBLH under

723 different aerosol structures. Dashed black lines represent the mean MPL-derived PBLH

724 diurnal cycles.

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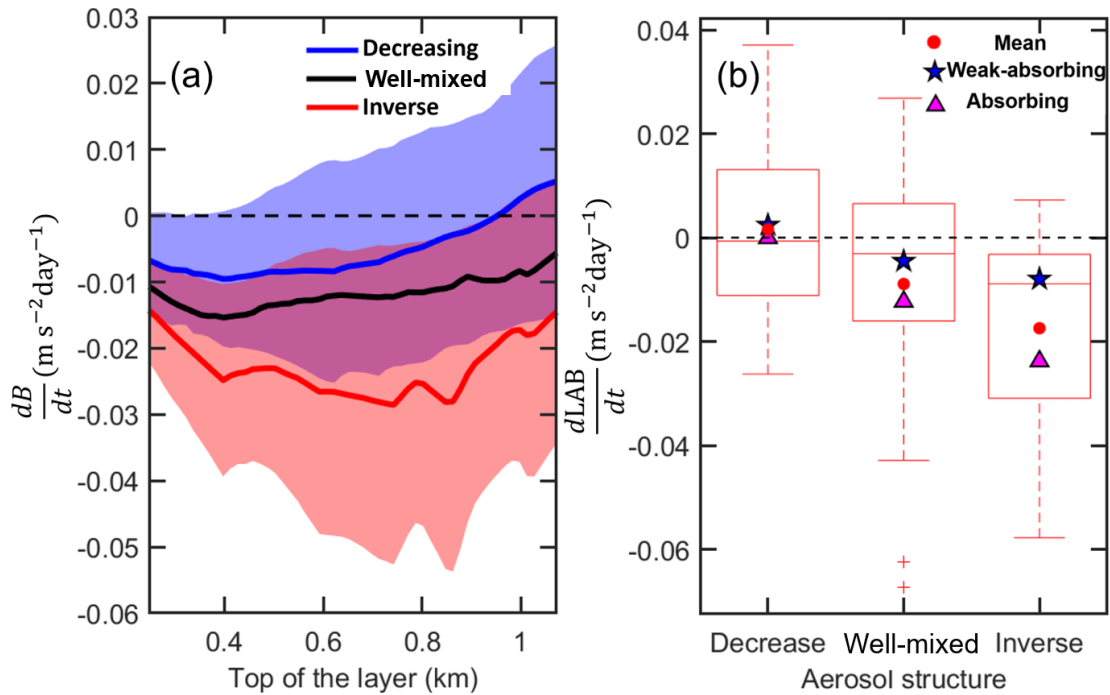
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734 **Figure 8.** (a) The rate of change in buoyancy ( $\text{dB}/\text{dt}$ ) in a layer of the lowest  
 735 atmosphere for decreasing (blue), well-mixed (black), and inverse (red) aerosol  
 736 structures during noontime. The bottom of the layer is the surface, and the rate of change  
 737 in buoyancy is subjected to the top of the layer. The shaded areas show the standard  
 738 deviations of the rate of change in buoyancy. (b) Box-and-whisker plots showing 10th,  
 739 25th, 50th, 75th, and 90th percentile values of the rate of change in LAB (buoyancy  
 740 within lowest 1 km) during noontime. Red dots indicate the mean values, and blue stars  
 741 and pink triangles show the means for weakly absorbing ( $\text{SSA} > 0.9$ ) and absorbing  
 742 ( $\text{SSA} < 0.85$ ) cases.

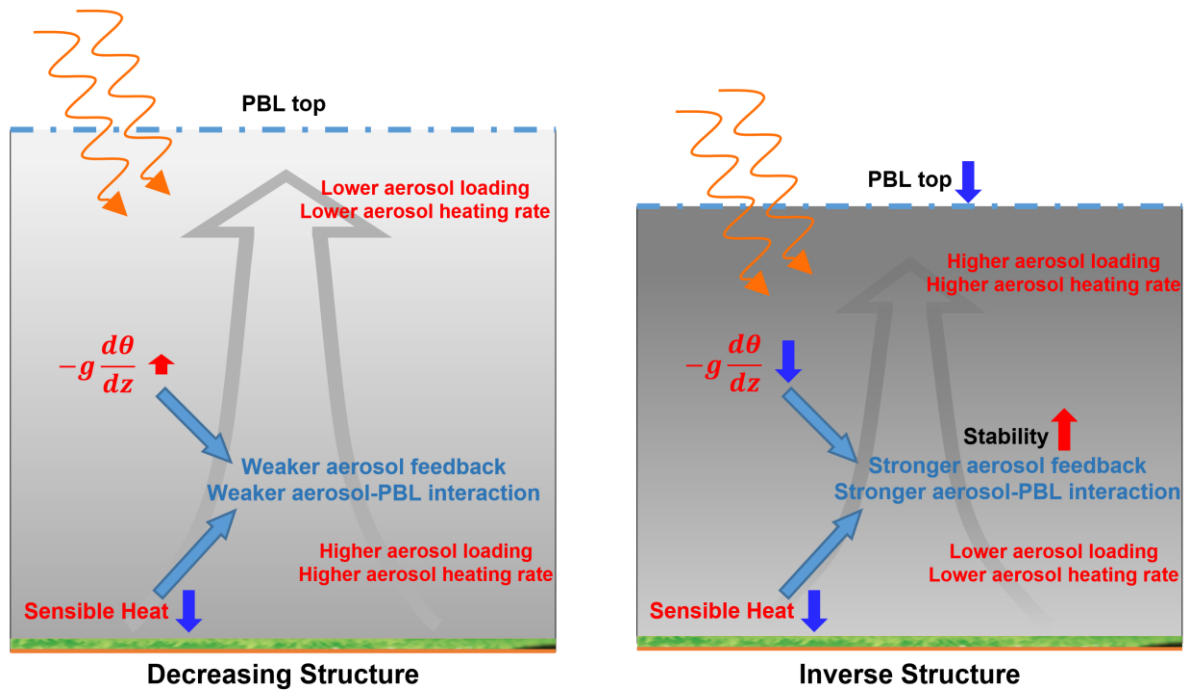
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749 **Figure 9.** Schematic diagrams describing aerosol-PBL interactions when decreasing  
 750 and inverse aerosol structures are present. The blue dash-dotted line indicates the top  
 751 of the PBL. Orange curved arrows indicate solar radiation. The background grey arrow  
 752 sketches the vertical transport of humidity, aerosols, and heat. The background  
 753 greyscale indicates the pollution level.

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