



1 **Influence of Asian Summer Monsoon Anticyclone on the Trace gases and Aerosols over**  
2 **Indian region**

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11  
12 **Abstract**

13 The Asian Summer Monsoon Anticyclone (ASMA) persisting during monsoon season  
14 in the upper troposphere and lower stratosphere (UTLS) region play an important role in  
15 confining the trace gases and aerosols for a longer period thus affects regional and global  
16 climate. Our understanding on these trace gases and aerosols variability in the ASMA is  
17 limited. In this study, the effect of the ASMA on the trace gases (Water Vapour (WV), Ozone  
18 (O<sub>3</sub>), Carbon Monoxide (CO)) and aerosols (Attenuated Scattering Ratio (ASR)) obtained  
19 from long-term (2006-2016) satellite measurements is investigated. Since the ASMA is  
20 present in the UTLS region, its influence on the tropopause characteristics is also explored.  
21 Higher tropopause altitude, WV, CO and ASR confining to the ASMA region is observed,  
22 whereas tropopause temperatures and O<sub>3</sub> are found low. There exists large inter-annual  
23 variation in the ASMA and hence its effect on these trace gases and aerosols are also seen  
24 clearly. A significant relationship is also observed between the phases of Quasi-Biannual  
25 Oscillation (QBO) and El Niño Southern Oscillation (ENSO) on the trace gases and ASR,  
26 including the tropopause when measurements in the ASMA region are subject to multivariate  
27 regression analysis. Further, the influence of the Indian summer monsoon (ISM) activity on  
28 the ASMA trace gases and aerosols is studied with respect to active and break spells of



monsoon, strong and weak monsoon years, strong La Niña, El Niño years. Results show a significant increase in WV, CO and decrease in O<sub>3</sub> during the active phase of the ISM, strong monsoon years and strong La Niña years in the ASMA. Enhancement in the ASR values during the strong monsoon years and strong La Niña years is observed. Thus, it is prudent to conclude that the dynamics of the ASMA play an important role in the confinement of several trace gases and aerosols and suggested to consider the activity of summer monsoon while dealing with them at sub-seasonal scales.

Keywords: Anticyclone, Monsoon, ENSO, QBO, Temperature, water vapour, ozone.

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## 1. Introduction

The Asian Summer Monsoon Anticyclone (ASMA) is one of the dominant circulation patterns in the Northern Hemisphere (NH) Upper Troposphere and Lower Stratosphere (UTLS) region persisting during summer and has a significant influence on the global atmospheric circulation (Randel and Jensen, 2013). The ASMA is bounded by a westerly jet to the north and an easterly jet to the south and is prominent for a long duration of air confinement (Dunkerton, 1995). The features of the ASMA were studied extensively in recent years (Hoskins and Rodwell, 1995; Highwood and Hoskins, 1998; Liu et al., 2009; Wu et al., 2012). This anticyclone was identified as transport pathway for stratosphere-troposphere exchange (STE) during summer into the lower-most stratospheric layer and into the upper branch of Brewer-Dobson Circulation (BDC), particularly with regard to water vapour (WV) and pollutants (Vogel et al., 2016; Ploeger et al., 2017). Recent studies have shown the build-up of aerosols near the tropopause in the anticyclone region by the Asian monsoon circulation known as the ‘Asian Tropopause Aerosol Layer (ATAL)’ (Vernier et al., 2011; Vernier et al., 2018). This layer causes significant regional radiative forcing, which



53 further causes cooling of Earth's surface and is, therefore important for a more detailed  
54 assessment of climate change.

55 The ASMA is characterized by persistent deep convection over the Bay of Bengal (BoB),  
56 North India and the South China Sea (Tzella and Legras, 2011; Wright et al., 2011; Bergman  
57 et al., 2012), elevated surface heating over the Tibetan Plateau (Fu et al., 2006), and  
58 orographic uplifting at the southern/south-western slopes of the Himalayas, which contribute  
59 to an overall ascension of air to higher altitudes (up to ~200 to 100 hPa). The upward  
60 transport from convective outflow to higher altitudes involves a vertical conduit over the  
61 Southern Tibetan Plateau (Bergman et al., 2013). The ASMA spans from the Middle East to  
62 East Asia (Basha et al., 2019). Due to the influence of deep convection and long-range  
63 transport, the air reached to the anticyclone will be confined and the chemical composition  
64 and tracers such as carbon monoxide (CO), WV, and Ozone (O<sub>3</sub>) near the tropopause display  
65 distinct characteristics. In addition, the location and shape of the ASMA vary at different time  
66 scales i.e. inter-seasonal, inter-annual and longer time scales (Pokhrel et al., 2012). The  
67 ASMA varies at sub-seasonal time scales although it is strong and steady seasonal  
68 phenomena (Luo et al., 2018). The sub-seasonal dynamical processes play a vital role in  
69 transporting trace gases from the surface to the UTLS region (Garny and Randel, 2016; Pan  
70 et al., 2016).

71 It is well known that air enters into the ASMA region through deep convection or  
72 upwelling processes from head BoB or the South China Sea. The tropopause temperature  
73 controls the lower stratospheric (LS) WV with dehydration processes (Fueglistaler et al.,  
74 2009; Fueglistaler & Haynes, 2005; Ding & Fu, 2017). Thus, the temperature at the  
75 tropopause plays a crucial role in controlling the WV and other trace gases transport into the  
76 LS and also (cirrus) cloud formation. In addition, the tropopause temperature is highly  
77 variable, which results from the combination of Quasi-Biennial Oscillation (QBO),



convective processes, and BDC circulation (Ding & Fu, 2017). It is evident that diagnosing the seasonal variability of tropopause altitude/ temperature and its relation with tracers is important for a broader understanding of the dynamical impacts of the anticyclone. Thus, this study aims to bridge the gap with the help of long-term observations of tropopause altitude, temperature from the Global Position System (GPS) Constellation Observing System for Meteorology, Ionosphere, and Climate (COSMIC) data, WV mixing Ratio (WVMR), O<sub>3</sub>, CO from the Microwave Limb Sounder (MLS) satellite, and Attenuated Scattering Ratio (ASR) from the Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations (CALIPSO) Stratospheric aerosol product in the ASMA region.

In this study, we investigate the climatology, seasonal and inter-annual variability of the trace gases, as well as the variability of these parameters with respect to QBO and the El Niño–Southern Oscillation (ENSO) (La Niña and El Niño) within the ASMA. Further, the variability of tropopause temperature, altitude, trace gases, and ASR spatial variability is studied with respect to active and break spells of ISM, strong and weak monsoon years and strong ENSO years. This paper is structured as follows: Section 2 provides a description of the different data used for the present study. In section 3, the climatology and inter-annual variation of the tropopause altitude, temperature, WVMR, O<sub>3</sub>, CO and ASR during the period 2006-2016 are discussed. In Section 4, the influence of Indian monsoon on the spatial variability of tropopause parameters and tracers, ASR are presented. Finally, summary and discussion are provided in Section 5.

## **2. Data base**

### **2.1. COSMIC GPS RO measurements**

To identify the tropopause parameters, we have utilized COSMIC GPS RO satellite measurements. COSMIC consist of a constellation of 6 satellites which was launched in April 2006 to a circular, 72° inclination orbit at a 512 km altitude capable of receiving signals from



GPS (Anthes et al., 2008). Compared to previous satellites, COSMIC satellites employed an open-loop mode, which can track both the set and rise of occultations (Schreiner et al. 2007). The open-loop tracking technique significantly reduces the GPS radio occultation inversion biases by eliminating tracking errors (Sokolovskiy et al. 2006). This receiver measures the phase delay of radio wave signals that are occulted by the Earth's atmosphere. From this phase delay, it is possible to retrieve the bending angle and refractivity profiles. From refractivity profiles, profiles of temperature can be derived with an accuracy of  $<0.5$  K (Kursinski et al., 1997). The COSMIC temperature profiles showed very good agreement with radiosonde data, reanalysis, and models (Rao et al., 2010; Ratnam et al., 2014; Kishore et al., 2016). In this article, we derived the Cold Point Tropopause (referred as tropopause altitude and tropopause temperature throughout the paper) over the ASMA region as discussed by and Ratnam et al. (2014) and Ravindrababu et al. (2015).

## 2.2. Microwave Limb Sounder (MLS) measurements

For understanding the trace gases distribution in the ASMA, we make use of MLS measurements. The MLS uses the limb sounding technique for measuring WVMR,  $O_3$ , CO, and other tracers in the UTLS region. This satellite was launched in August 2004 onboard the Aqua spacecraft as a part of NASA's Earth Observing Systems (EOS). The MLS scans limb vertically in the orbit plane and gives a latitude coverage ranging from  $82^\circ S$  to  $82^\circ N$ . We used version 4.2 MLS data of WVMR,  $O_3$ , and CO mixing ratios obtained from the Mirador website (<https://disc.gsfc.nasa.gov/>). MLS WV has a precision of 0.4 ppmv at 100 hPa for individual profile measurements, with a spatial scale of 200 km along the line of sight (Schwartz et al., 2013). The vertical resolution of the water vapour product is 3 km in the lower stratosphere (Livesey et al., 2015). The  $O_3$  retrieval has a vertical resolution of 3 km at 100 hPa. The precision and accuracy are  $\sim 30$  ppbv and  $\sim 50$  ppbv, respectively (Livesey et al., 2015). The root mean square average of the estimated precision of CO has a root mean square



precision of 20 ppbv at 100 hPa with a possible bias of  $\pm 20$  ppbv. More details about MLS version 4.2 data can be found in Livesey et al. (2018). We constructed gridded daily data by averaging profiles inside bins with a resolution of  $5^\circ$  latitude  $\times$   $5^\circ$  longitude.

### 2.3. Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations (CALIPSO) Stratospheric Aerosol Profile

For understanding the aerosol distribution in the ASMA region, we considered the CALIPSO measurements. The CALIPSO satellite was a part of the A-train constellation, which was launched in April 2006 to a Sun Synchronous polar orbit  $98.2^\circ$  inclination at an altitude of 705 km (Winker et al., 2009). The constellation repeats the cycle every 16 days with local equator crossing times of nearly 01:30 h and 13:30 h (Winker et al., 2009). The Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) was one of the main instruments on board the CALIPSO satellite with a dual-wavelengths (532 nm and 1,064 nm) polarization sensitive lidar. CALIOP provides profiles of clouds and aerosols and is capable of detecting clouds with an optical depth of 0.01 or less. We utilized level 3 global distribution of monthly gridded stratospheric aerosol profile data of 532 nm ASR ([https://eosweb.larc.nasa.gov/project/calipso/cal\\_lid\\_l3\\_stratospheric\\_apro-standard\\_v1-00](https://eosweb.larc.nasa.gov/project/calipso/cal_lid_l3_stratospheric_apro-standard_v1-00)). This profile data is available on a monthly basis with 900 m vertical resolution from 8.2 km to 36.2 km in the tropical latitudes.

Apart from these datasets we also used normalized zonal winds at 30 hPa from Singapore's radiosonde observations for the QBO index, the multivariate ENSO index (<https://www.esrl.noaa.gov/psd/enso/mei/>) as well as geopotential height (GPH), zonal, and meridional winds at 100 hPa from National Centers for environmental Prediction (NCEP) and the National Center for Atmospheric Research (NCAR) reanalysis data.



### 153 3. Methodology

154 To quantify the contribution of QBO and ENSO to the tropopause parameters, tracers  
 155 and on aerosols data are subjected to the multivariate regression analysis. This well-  
 156 established method considers the relative influence of the considered climate indices on  
 157 tropospheric variability. For more details about the regression technique and its further  
 158 applications see Diallo et al. (2012; 2017). The regression technique is expressed as:

$$159 \text{res}(t) = a_1 + a_2(t) + a_3 \sin(2\pi t) + a_4 \cos(2\pi t) + a_5 \sin(4\pi t) + a_6 \cos(4\pi t) + a_7 \cdot \text{QBO}(t) + a_8 \cdot$$

$$160 \text{ENSO}(t) + \varepsilon(t) \quad (1)$$

161 where  $a_1(t)$  to  $a_8(t)$  are coefficients, QBO(t) and ENSO(t) the normalized QBO time-  
 162 series represented by the biennial modulations of the monthly mean zonal winds at 10 hPa  
 163 (~30 km altitude) measured by radiosonde in Singapore and normalized-Nino 3.4 (ENSO)  
 164 index,  $\varepsilon(t)$  is random noise. With these coefficients, we have estimated the amplitude changes  
 165 associated with interannual cycles.

### 166 4. Results and Discussion

#### 167 4.1. Climatological state of the of anticyclone during the summer monsoon

168 It is well reported that the ASMA will be fully covered and active over the entire  
 169 monsoon region (15°-45°N and 0°-130°E) in the months of July and August (Ju and Slingo,  
 170 1995; Santee et al., 2017; Basha et al., 2019). In this study, we have also considered similar  
 171 month average for studying the ASMA. The climatological GPH superimposed with wind  
 172 vectors at 100 hPa from NCEP/NCAR reanalysis data during July, August months from 2006  
 173 to 2016 is shown in Figure 1. A strong anticyclonic flow is evident from the figure which lies  
 174 between ~22.5°-40°N and 30°-120°E in the Northern Hemisphere (NH). The area with the  
 175 highest GPH (in red) displays the ASMA region. The intensity of the anticyclone is large  
 176 exactly over the Tibetan Plateau. The climatological mean spatial distribution of tropopause  
 177 altitude, the tropopause temperature from COSMIC, WVMR, O<sub>3</sub>, and CO from MLS at 100



178 hPa and the ASR from CALIPSO averaged in the range of 16-18 km along with wind vectors  
179 at 100 hPa from NCEP during July and August are shown in the Figure 2 averaged for the  
180 period 2006-2016. The white line shows the 16.75km GPH value at 100 hPa, which  
181 represents the core of the anticyclone. Higher tropopause altitude, WVMR, and CO and lower  
182 tropopause temperature and O<sub>3</sub> are evident within ASMA from Figure 2. Enhancement in  
183 ASR is also observed in the anticyclone region. Globally the highest tropopause altitude is  
184 observed over the ASMA region and this is balanced by a dynamical structure tied to the  
185 strong anticyclonic circulation (Hoskins et al., 1985). The height of the tropopause reaches  
186 ~18-18.5 km in the northwest of the ASMA region. The strong convection leads to colder  
187 tropopause temperatures in the anticyclone region and in the subtropical lower stratosphere. It  
188 is reported that, cold tropopause temperatures leads to frequent dehydration and these  
189 contribute to decoupling water vapour and the other tracers (Park et al., 2007). WV increases  
190 broadly at 100 hPa in the anticyclone region during the summer monsoon (referred to July  
191 and August in this study) as shown in Figure 2c. A large amount of WV is located over north-  
192 east of the anticyclone i.e. over the Tibetan Plateau. Relatively high WV is transported  
193 through deep convection from BoB and the Indian Ocean (Basha et al., 2019). Within the  
194 anticyclone, CO has a broad maximum towards the south-west of the anticyclone which  
195 coincides with the strong horizontal circulation between 20° to 120°E longitude. The upper  
196 tropospheric anticyclone has a distinct signature of tracers such as CO, which have near-  
197 surface sources (from combustion) and is thought to be transported upward by deep  
198 convection associated with the monsoon (Randel et al., 2015). This deep convection  
199 significantly alters CO, O<sub>3</sub>, and WV near the tropopause region. These observations clearly  
200 show higher values of CO, and low values of O<sub>3</sub> transported from the troposphere through  
201 deep convection associated with the monsoon (Randel et al., 2015). It is well reported that,  
202 higher (lower) values of CO (O<sub>3</sub>) in the anticyclone are result of strong tropospheric





(stratospheric) tracers (Ploeger et al., 2015). Rich  $O_3$  and poor CO air will be advected to the extra-tropical stratosphere through the eastern flank of anticyclone towards the equator (Ploeger et al., 2015). The higher tropopause altitudes, a large amount of WVMR, CO with low  $O_3$  are centred within the ASM anticyclone. Figure 2f shows the climatological mean ASR averaged in the range of 16-18 km altitude from 2006 to 2016 for July and August months superimposed with wind vectors at 100 hPa. These observations clearly indicate the presence of enhanced aerosols in the anticyclone region with ASR magnitude ranging from 1-1.5. These large amounts of aerosol are seen in the middle and western part of the anticyclone.

Figure 3 shows the monthly mean vertical variation of UTLS temperatures, WVMR,  $O_3$ , CO, and ASR averaged over the anticyclone region (area under the white line in Figure 2; In the grid of  $30^\circ\text{E}$ - $110^\circ\text{E}$  longitude and  $22.5^\circ\text{N}$ - $40^\circ\text{N}$  latitude) for the period 2006-2016, superimposed with tropopause altitude. Significant seasonal variability is observed in all the parameters. Coldest temperatures are observed in the range of 16-18 km in all the months. During July and August months very low temperatures are observed compared to other months where highest tropopause altitude is observed. Similar variation is observed in  $O_3$  a higher concentration of WVMR and CO is observed July and August months. The seasonal cycle of the tropopause which is higher during monsoon matches precisely with tracers variations. Tropopause altitude starts increasing from the month of April and reaches its peak in August, whereas temperature starts decreasing in April and reaches a minimum in July. The lowest tropopause temperatures are observed exactly above the tropopause altitude during June-July whereas WVMR shows a peak during August. Further,  $O_3$  reaches its minimum in September. In the case of CO, the peak is observed during July. The tropopause altitude and WV shows a maximum during August whereas  $O_3$  is lowest during September. One month delay is observed between WVMR and  $O_3$ . The most striking feature is the tape



recorder effect in the stratosphere is also noticed in the anticyclone region. The observations of enhanced aerosol above the tropopause clearly indicates that air enter into the lower stratosphere through the anticyclone region. This is the direct evidence for increase in aerosols above the tropopause in the ASMA region. The major pathway for the transport of aerosols to the lower stratosphere is thought to be due to deep convection (Chakraborty et al. 2015). In the ASMA region, ~70% of stratospheric aerosols originate from lower-tropospheric SO<sub>2</sub> and organics, with roughly equal contributions from within the ASMA and from the tropics (Fadnavis et al., 2013).

Figure 4 shows the interannual variation in temperature, WVMR, O<sub>3</sub>, CO, ASR averaged in the anticyclone region along with the volcanic explosivity index (VEI). The lowest values in temperature are observed between 16 and 18 km in the anticyclone region. Both the temperature and tropopause altitude shows significant inter-annual variation. This variation is much more pronounced in WVMR, O<sub>3</sub>, and CO. There is a clear relationship between the tropopause altitude and other tracers in the anticyclone region. The tape recorder effect is clearly observed in the inter-annual variation of WV obtained from MLS data in the anticyclone region, which represents large-scale upward transport (Mote et al., 1996). The peak values of ASR are observed during July and August every year. On 7 August 2008, volcanic aerosols were transported towards Asia due to volcanic eruption from Okmok. Large values of ASR are found during the years 2009 where a large plume of 1 Tg of SO<sub>2</sub> was ejected into the lower stratosphere after the eruption of Sarychev volcano (Russia) on 7 June 2009 (Haywood et al., 2010). Similarly, in 2011, Nabro a stratovolcano in northeast Africa erupted on 12 June 2011; these signals are also observed in the inter-annual variation of ASR. Irrespective of these volcanoes, the Asian Tropopause Aerosol Layer (ATAL) is present in all the years. This was supported by the VEI which is a measure of explosiveness of volcanic eruption (Figure 4f). At interannual time scales, the tropopause altitude correlates highly with



253  $O_3$  (-0.95), WVMR (0.92), CO (0.90) and ASR (0.44) and with tropopause temperature vice  
 254 versa. WVMR correlates highly with  $O_3$  (-0.93), CO (0.62) and ASR (0.47). Similarly,  $O_3$   
 255 correlated significantly with CO (-0.82) and ASR (-0.38). Finally, CO and ASR have a  
 256 correlation coefficient of 0.48. The tropopause parameters and tracers are highly correlated to  
 257 one another in the anticyclone region. The lag-lead correlation between tropopause altitude  
 258 and WVMR and  $O_3$  is zero, whereas it has a lag of 1 month for CO and ASR. The same  
 259 analysis for tropopause temperature shows that WVMR exhibits a 1-month lead,  $O_3$  and CO  
 260 shows no lag and ASR has a 1-month lag. Further, cross wavelet and wavelet coherence  
 261 analyses between tropopause altitude and WVMR, CO, ASR shows in-phase relation whereas  
 262  $O_3$  represents anti-phase relation and vice-versa for tropopause temperature and tracers.

#### 263 4.2. Influence of QBO and ENSO

264 So far we have discussed the spatial and temporal variability of tropopause  
 265 parameters, tracers, and aerosols within the ASMA. Here, we distinguish the significant  
 266 internal modes of inter-annual variability such as the QBO and ENSO. We made an attempt  
 267 to separate the influences of QBO and ENSO in the anticyclone region. Figures 5 and 6 show  
 268 the deseasonalized time series of tropopause temperature, altitude, WVMR,  $O_3$ , and CO at  
 269 100 hPa, as well as the averaged ASR in the range of 16-18 km obtained with multivariate  
 270 regression analysis based on QBO (Singapore zonal wind at 30 hPa) and ENSO proxies,  
 271 respectively (Randel et al., 2015). The QBO and ENSO data is also plotted on the right side  
 272 of the plot. The resulting data captures the inter-annual variability of all the parameters in the  
 273 anticyclone region as shown in Figure 5 and 6. Higher (lower) values of tropopause altitude  
 274 and CO correspond to the eastward (westward) phase of the QBO. The opposite is true in  
 275 cases of tropopause temperature and  $O_3$ . The westward (eastward) phase of the QBO matches  
 276 with higher (lower) WV values at 100 hPa. The positive values of ASR correspond to the  
 277 eastward phase of QBO and vice versa.



278 Similarly, higher (lower) values of tropopause altitude, WVMR, CO, and ASR  
279 correspond to El Niño (La Niña) conditions over the anticyclone, whereas tropopause  
280 temperature and O<sub>3</sub> show the opposite pattern (Figure 6). Previous studies have also shown  
281 the transport of WV during La Niña conditions to the UTLS region in the Western Pacific  
282 (Randel et al., 2015). Further, a lag-lead difference is observed between the QBO and the  
283 regression coefficients obtained for all the parameters. The influence of the QBO is seen  
284 clearly in the anticyclone region. In addition, Empirical Mode decomposition (EMD) analysis  
285 is also performed on the all parameters, yielding a set of Intrinsic Mode Functions (IMFs).  
286 Lomb Scale Periodogram (LSP) analysis applied to the IMFs shows that seasonal, annual,  
287 QBO and ENSO components are the significantly dominant modes in all parameters.

### 288 **4.3. Influence of Indian summer monsoon activity**

289 It is well known that Indian monsoon rainfall varies at different time scales i.e. daily,  
290 sub-seasonal, inter-annual, decadal and centennial scales. Precipitation during monsoon  
291 varies from intra-seasonal scales between active (high rainfall) and break (low rainfall) spells.  
292 Any small change in the precipitation pattern will affect the tracer composition in the  
293 anticyclone due to thermodynamics involved in the rainfall. In this study, we also  
294 investigated the anticyclone variability during active and break spells of the Indian monsoon.  
295 The active and break spells were identified for July and August by using high resolution  
296 gridded (0.25° x 0.25°) rainfall data from 2006 to 2016 as defined by Rajeevan et al. (2010).  
297 Figure 7 shows the spatial difference between active and break day's variability in the  
298 tropopause altitude, temperature, WVMR, O<sub>3</sub>, and CO. The black color line indicates the  
299 16.75 km GPH which represents the anticyclone region. The tropopause altitude increases  
300 slightly whereas temperature decreases during the active phase of the monsoon. The WVMR  
301 depicts a significant increase in the anticyclone region whereas O<sub>3</sub> shows a quite opposite



302 picture which is expected. The CO shows a slight increase during the active days of  
303 monsoon.

304 In a similar way, we have separated the strong and weak monsoon years based on  
305 gridded precipitation data from 2006 to 2016. We have chosen the domain (5-30°N, 70-  
306 95°E) to identify the strong and weak monsoon years. This region receives a large amount of  
307 precipitation and subjected orographic forcings, which helps the transport of WV to the  
308 UTLS region through deep convection. The mean precipitation over the selected domain  
309 during July and August subjected to de-trend analysis shows the strong inter-annual  
310 variability. The strong (weak) monsoon years were identified where rainfall was above  
311 (below) the 1 standard deviation. The strong (weak) monsoon years were 2010, 2011, 2013  
312 (2014, 2015). The spatial difference between strong and weak monsoon years in all the  
313 parameters is shown in Figure 8. In the anticyclone region, the tropopause altitude and  
314 temperature shows a moderate increase and decrease, respectively, but these changes are not  
315 significant. The WVMR, CO, and O<sub>3</sub> show significant changes in the anticyclone region. The  
316 WVMR and CO increases significantly during the strong monsoon years where O<sub>3</sub> decreases.  
317 Increase in ASR is noticed over the north of the anticyclone region. It is noticed that aerosols  
318 are confined to NH in the latitude band of 30°-40°N and the peak coincides with the  
319 anticyclone.

320 Further, tropopause parameters, tracers, ASR have been separated for strong La Niña  
321 (2007, 2010) and El Niño (2015) years and the resultant difference between La Niña and El  
322 Niño composite plot is shown in Figure 9. The strong ENSO years are selected from the  
323 website <https://ggweather.com/enso/oni.htm>. The increase (decrease) in tropopause altitude  
324 (temperature) is clearly noticed from Figure 9 (a & b). These changes are not clearly  
325 observed in active and break phase of Indian monsoon, strong and weak monsoon years. The  
326 significant increase in WVMR, CO, and decrease in O<sub>3</sub> is large compared to the previous



discussion. The ASR also increases during the strong La Niña months compared to El Niño. The enhanced aerosols represent the transport above the tropopause altitude and suggest that air enter the stratosphere within the anticyclone region during La Niña and strong monsoon years. Previous studies also suggested that pollutants could be transported to higher altitude through deep convection (Park et al., 2009; Randel et al., 2010). Aerosol transport is large over South Asia during the deep convection as suggested by Chakraborty et al. (2015). It is well known that deep convection occurs most frequently over the BoB and the Indian subcontinent during monsoon and a large amount of WVMR and CO and low O<sub>3</sub> through convection processes in the upper troposphere. Thus, the activity of the monsoon also plays an important role on the ASMA and hence on its tracers and aerosol distribution.

## 5. Summary and Conclusions

In this study, we have investigated the influence of the ASMA on the temporal and spatial variability of tropopause temperature/altitude, tracers (WV, O<sub>3</sub>, CO) and aerosol from COSMIC, MLS and CALIPSO satellite data sets obtained during 2006 to 2016. Further, with the help of these observational datasets, we carried out an in-depth analysis to find the relation between the ASMA and QBO and ENSO. The main highlights of the present study are summarized below.

1. Higher tropopause altitude, WVMR, CO, and ASR and lower tropopause temperature and O<sub>3</sub> are noticed in the ASMA region during July and August months.

2. Lower temperatures are located exactly above the tropopause altitude in the ASMA.

The low (high) concentrations of O<sub>3</sub> (CO) are located below the tropopause. Similar features are observed in the inter-annual variation of temperature, and in other tracers.

3. The ASR is very high in the altitude range of 16.5-18.5 km in the ASMA region.

Enhancement in ASR is found during the year 2008, 2009, and 2011 where major volcanic eruptions occurred but not always related to these eruptions.



352 4. The estimated correlation coefficients indicate good to a fair agreement among  
353 tropopause parameters, tracers, and aerosols.

354 5. The deseasonalized time series subjected to multivariate regression analysis shows a  
355 higher (lower) tropopause altitude and CO corresponding to the eastward (westward)  
356 phase of the QBO. The opposite is true in cases of tropopause temperature and O<sub>3</sub>.  
357 The westward (eastward) phase of the QBO matches with higher (lower) WVMR at  
358 100 hPa. Enhancement in ASR is observed during the eastward phase of QBO and  
359 vice versa in the ASMA region.

360 6. Similarly, higher (lower) tropopause altitude, WVMR, CO, and ASR is observed in  
361 La Niña (El Niño) conditions over the anticyclone, whereas tropopause temperature  
362 and O<sub>3</sub> show the opposite pattern.

363 7. A significant influence of ISM activity on the spatial variability of tropopause  
364 temperature/altitude, tracers and ASR is found. In ASMA, enhancement in WVMR,  
365 CO and decrease in O<sub>3</sub> are observed during active phase of Indian monsoon, strong  
366 monsoon years and during strong La Niña years. The ASR is large during the strong  
367 monsoon years and during strong La Niña years in ASMA region.

368 8. A significant increase (decrease) in tropopause altitude (temperature) is observed  
369 during strong La Niña and these features are not clearly depicted during the  
370 active/break, strong/weak monsoon years in ASMA region.

371 9. Our findings demonstrated that the tracers and aerosols in the ASMA are significantly  
372 impacted by the transport processes of moisture and pollutants from the northern part  
373 of Tibetan Plateau.

374 Overall, we have shown that the Asian summer monsoon circulation plays an important role  
375 in determining the mean pattern and variability of the tropopause, tracers, and ASR,  
376 providing a useful framework for studying the underlying mechanisms. The mechanism of



377 WV and CO transport into the lower stratosphere in this region is an important aspect  
378 towards understanding the tropospheric influences on the hydration and chemical  
379 composition in the global stratosphere. The strong correlation between the tropopause and  
380 WVMR during the monsoon season is due to zonally asymmetric surface heating associated  
381 with the summer monsoon. Deep convection (i.e., thunderstorm updrafts) occurs most  
382 frequently over the BoB and the Indian subcontinent. Strong convection occurs both directly  
383 below the Asian monsoon anticyclone in India and China and outside the anticyclone region  
384 over Southeast Asia and the Pacific Ocean. Deep convection and a conduit of upwelling air  
385 over the Tibetan plateau (Bergman et al., 2013) inject lower-tropospheric air mainly into the  
386 Tibetan part of the ASMA. Further during strong monsoon, the tracers are transported from  
387 Himalayas Gangetic Plain and the Sichuan Basin into the ASMA. These tracers are advected  
388 by the ASMA circulation which results in large spatial distribution of tracers (Yuan et al.,  
389 2019). The control of tropopause parameters, tracers and aerosols depend on the interactions  
390 of ENSO events and QBO Phases. It is clear that ENSO impacts both tropopause height and  
391 temperature. Enhanced CO is an indicator of this process. Lelieveld et al. (2018b) and  
392 Vernier et al. (2018) showed emissions and transport of dust aerosols into the ASMA from  
393 the north part of the Tibetan Plateau and this will act as a well-defined conduit for transport  
394 processes. The interaction of these natural and anthropogenic aerosols and gases in the  
395 ASMA need further investigations. Further, aerosol effects on the monsoon water cycle may  
396 be important in years when influence from other controlling factors (sea surface temperature,  
397 land surface processes, and internal dynamics) which will additionally affect the ASMA  
398 region. The enhancement in ASR can modify the radiation in the UTLS region which will  
399 affect the heating rates inside the anticyclone and are significantly larger than those  
400 surrounding areas which will be carried out in the future.





401 *Data Availability.* The NCEP/NCAR reanalysis data are available from NOAA website  
402 (<https://www.esrl.noaa.gov/psd/data/gridded/data.ncep.reanalysis.pressure.html>). The  
403 COSMIC data is available from COSMIC CDAAC website. MLS data obtained from Earth  
404 Science Data website. CALIPSO stratospheric aerosol product is obtained from Atmospheric  
405 Science Data Center. IMD gridded precipitation data is available at National Climate data  
406 center Pune, India. All the data used in the present study is available freely from the  
407 respective websites.

408 *Authors' Contributions.* GB and MVR conceived and designed the scientific questions  
409 investigated in the study. GB performed the analysis and wrote the draft in close cooperation  
410 with MVR. RB and PK estimated the active and break spells of the Indian monsoon. All  
411 authors edited the paper.

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418

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## Figures

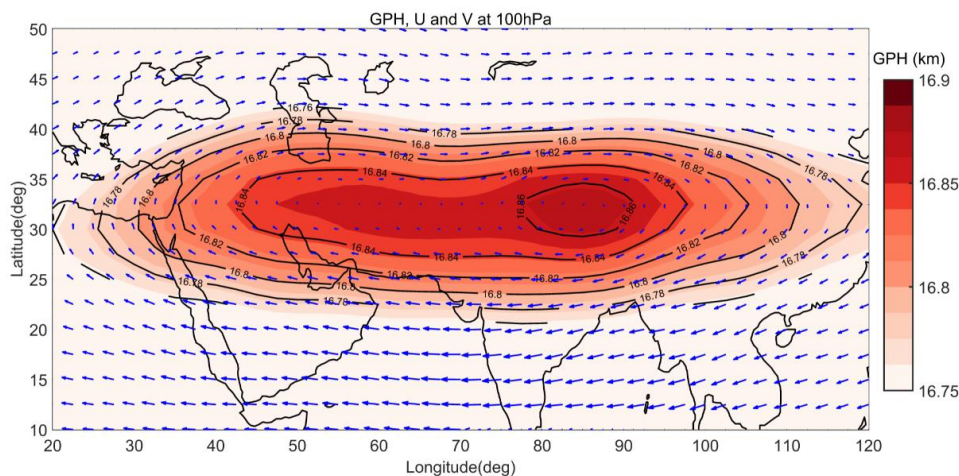


Figure 1. Climatology of GPH superimposed with wind vectors at 100 hPa from NCEP/NCAR reanalysis data during July and August months averaged from the year 2006-2016. The area with GPH values ranging from 16.75-16.9 km are considered as anticyclone region.

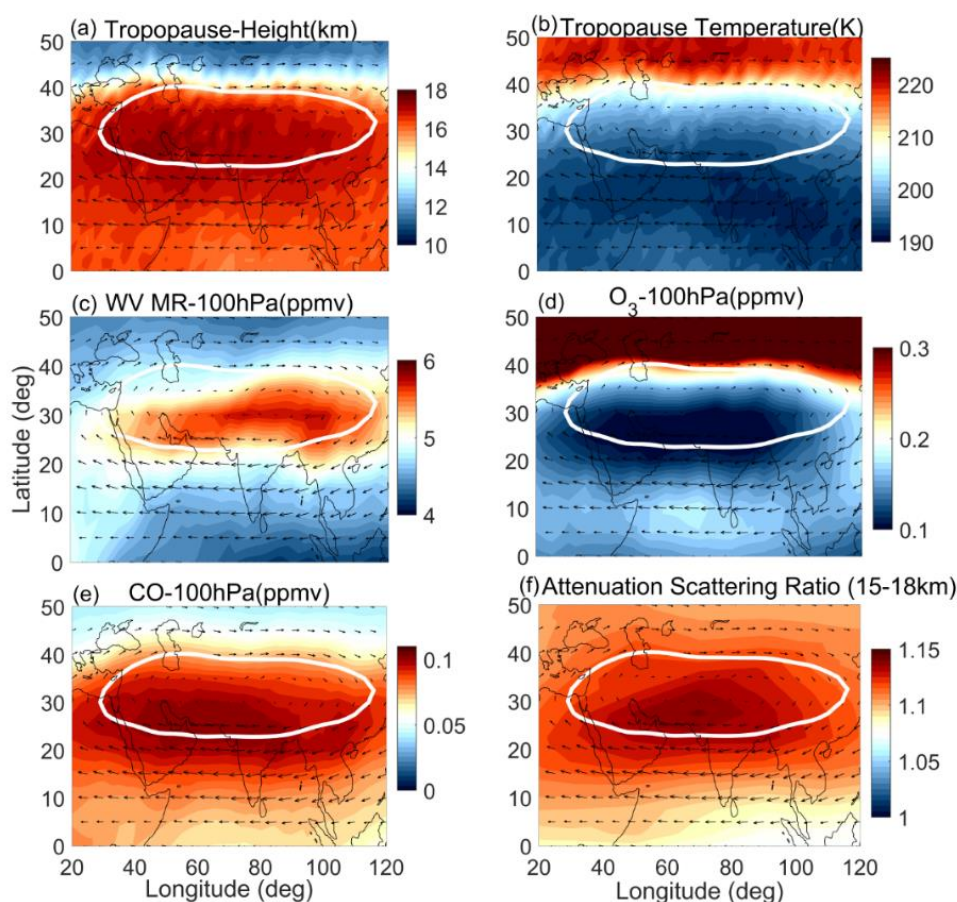


Figure 2. Spatial distribution of (a) tropopause altitude and (b) tropopause temperature obtained from COSMIC satellite observations, (c)WVMR, (d) O<sub>3</sub>, and (e) CO obtained from MLS satellite observations at 100 hPa and (f) ASR obtained from CALIPSO stratospheric aerosol product averaged between 16.5-18.5 km altitudes. All the data sets were obtained during July and August months and superimposed with wind vectors of 100 hPa obtained from NCEP/NCAR reanalysis data during 2006-2016. The white line indicates the GPH contour line at 16.75 km which represents the anticyclone.

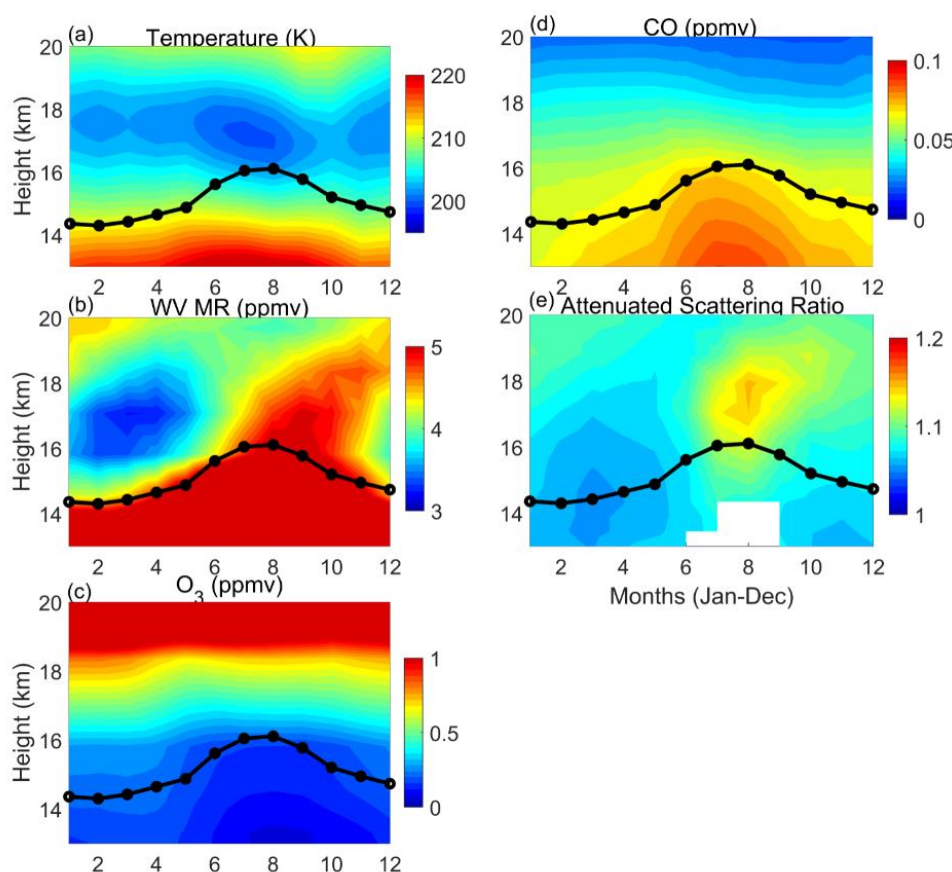


Figure 3. Monthly mean climatology of (a) temperature obtained from COSMIC, (b) WVMR, (c)  $O_3$ , (d) CO obtained from MLS observations, and (e) ASR obtained from CALIPSO stratospheric aerosol product averaged in the grid  $30^{\circ}E$ - $110^{\circ}E$  longitude and  $22.5^{\circ}N$ - $40^{\circ}N$  latitude from 2006-2016. The black line denotes the tropopause altitude derived from COSMIC satellite data during the same period.

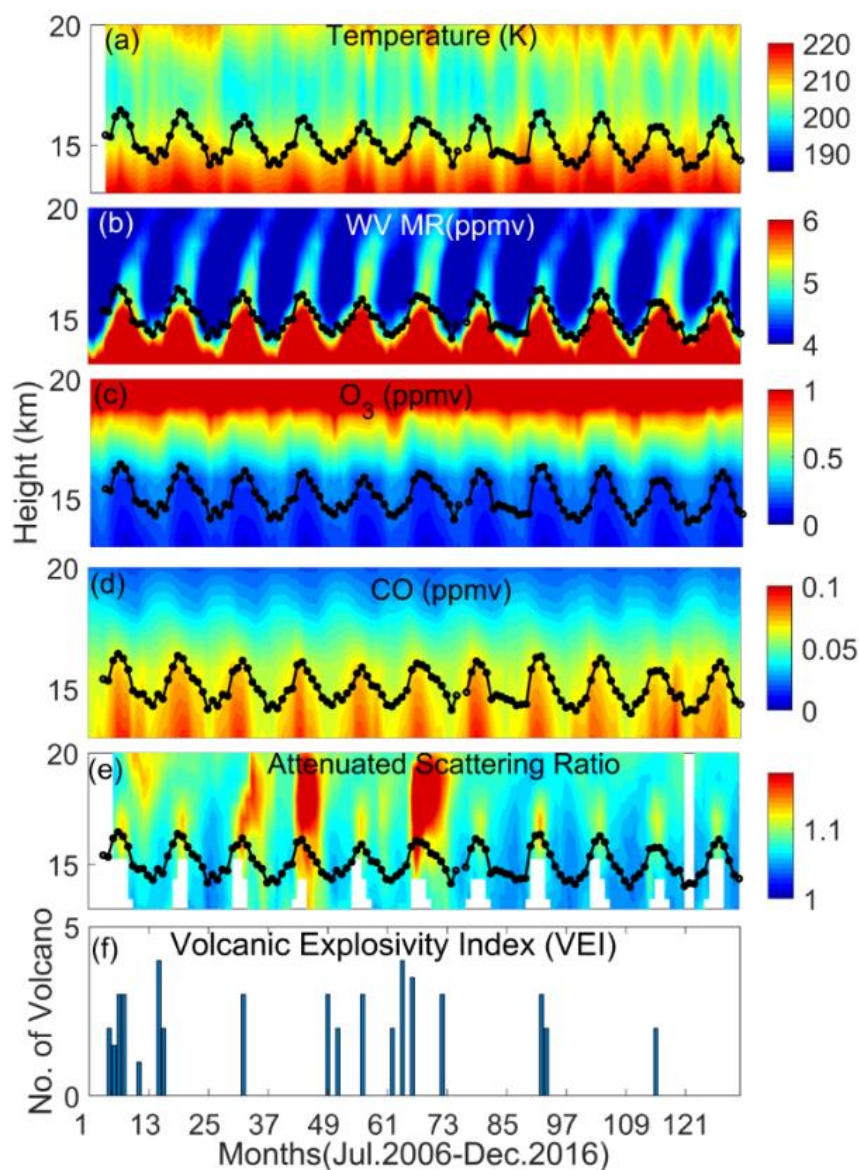
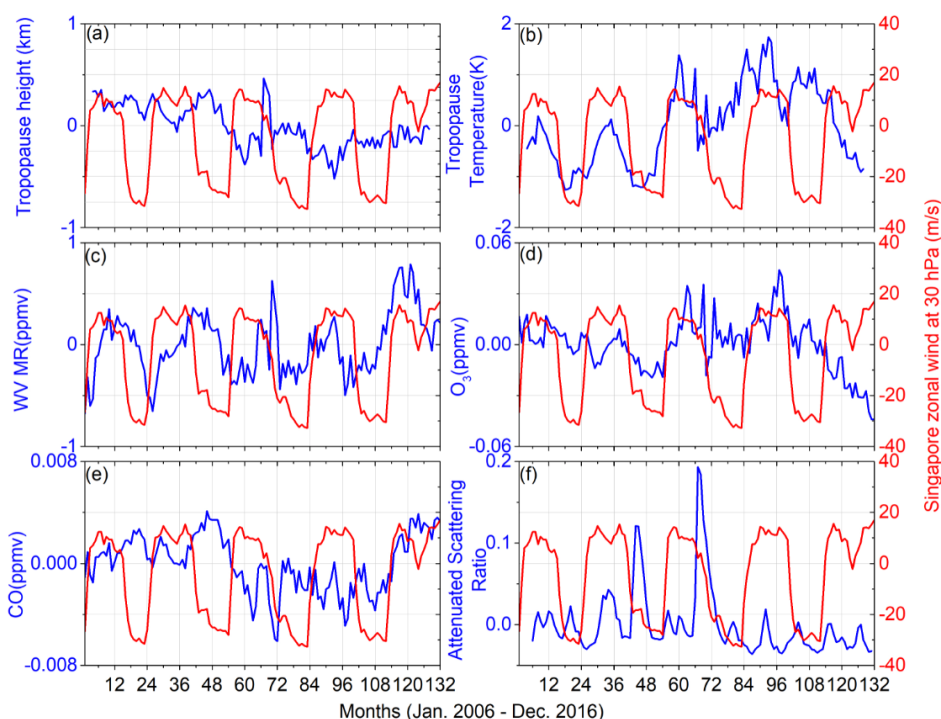


Figure 4. Inter-annual variation observed in (a) temperature obtained from COSMIC, (b) WVMR, (c) O<sub>3</sub>, (d) CO obtained from MLS observations, and (e) ASR obtained from CALIPSO stratospheric aerosol product d averaged in the grid 30°E-110° E longitude and 22.5°N-40°N latitude from 2006-2016. (f) Volcanic explosivity index. The black line denotes tropopause altitude derived from COSMIC satellite measurements.





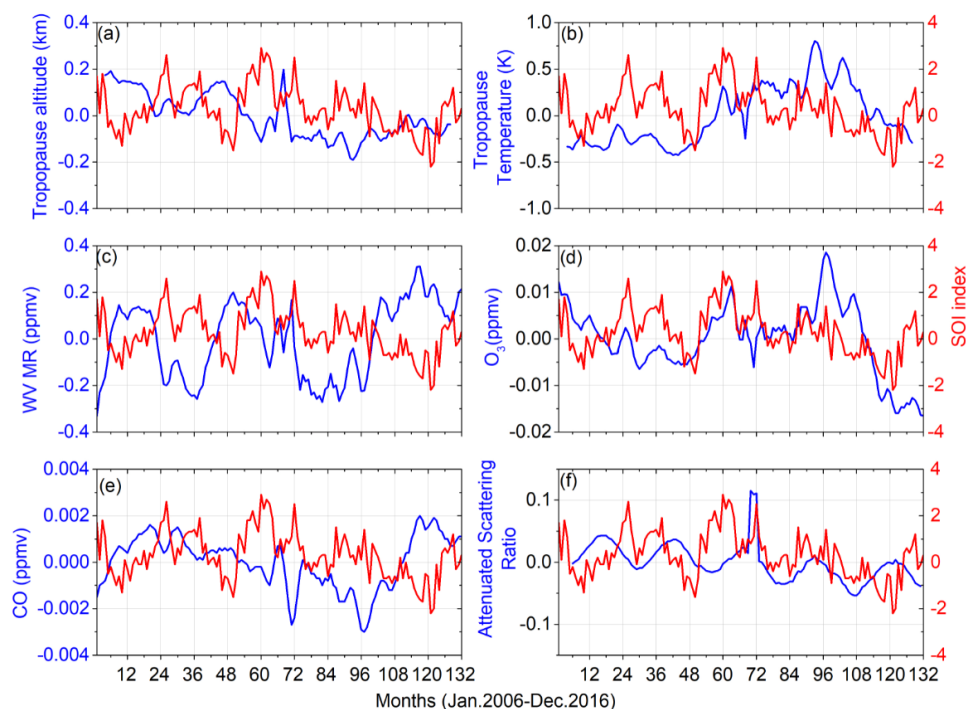
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630 Figure 5. Time series of deseasonalized (a) tropopause altitude, (b) tropopause temperature  
 631 obtained from COSMIC, (c) WVMR, (d)  $O_3$ , (e) CO at 100 hPa obtained from MLS  
 632 satellite observations and (f) ASR obtained from CALIPSO (16-18 km averaged) with  
 633 multivariate linear regression based on QBO proxies in ASMA region from 2006 to 2016.  
 634 The resulting fits are shown here (blue line). The QBO (Singapore zonal wind data at 30  
 635 hPa) variability is also shown (red line).

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637

638 Figure 6. Time series of deseasonalized (a) tropopause altitude, (b) tropopause temperature  
 639 obtained from COSMIC, (c) WVMR, (d) O<sub>3</sub>, (e) CO at 100 hPa obtained from MLS  
 640 satellite observations and (e) ASR obtained from CALIPSO (16-18 km averaged) with  
 641 multivariate linear regression based on ENSO proxies in ASMA region from 2006 to  
 642 2016. The resulting fits are shown here (blue line). The Southern Oscillation Index (SOI)  
 643 is also shown (red line).

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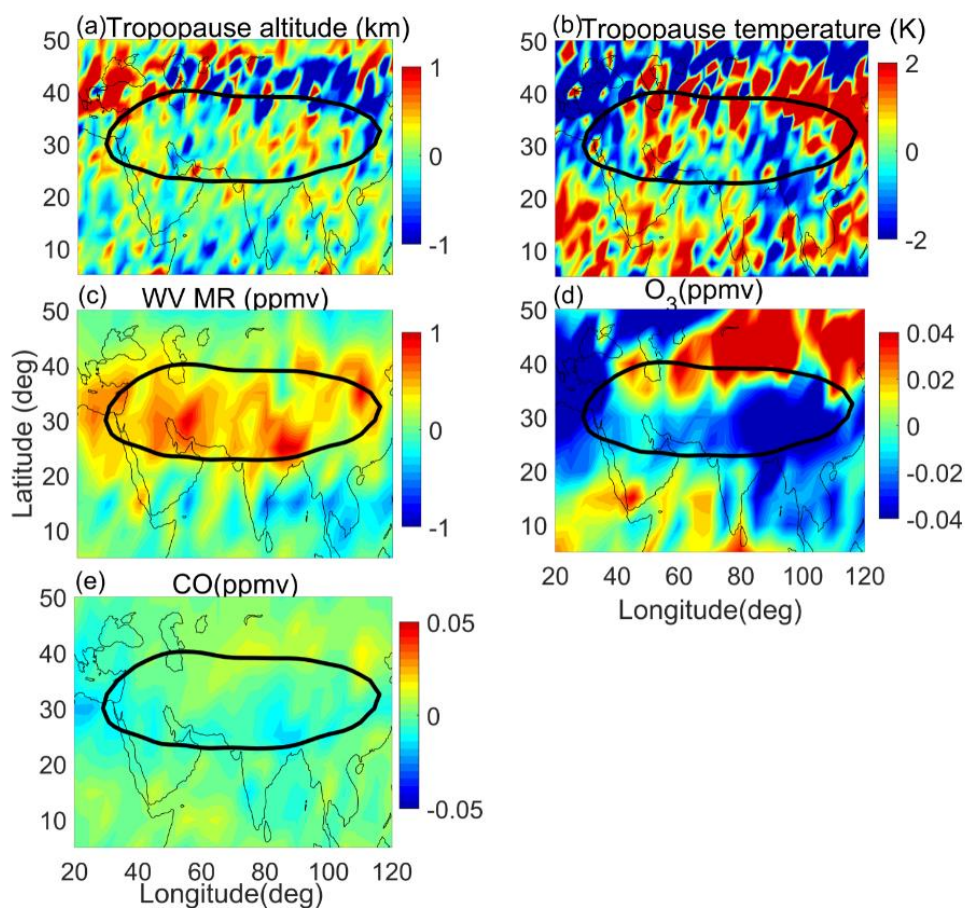


Figure 7. Spatial mean difference between active and break spells observed in (a) tropopause altitude (km), (b) tropopause temperature (K) from COSMIC GPSRO measurements, (c) WV MR (ppmv), (d)  $O_3$  (ppmv), and (e) CO (ppmv) obtained from MLS observations from 2006 to 2016 at 100 hPa. Black line denotes the GPH at 16.75km which represents the core of the anticyclone.

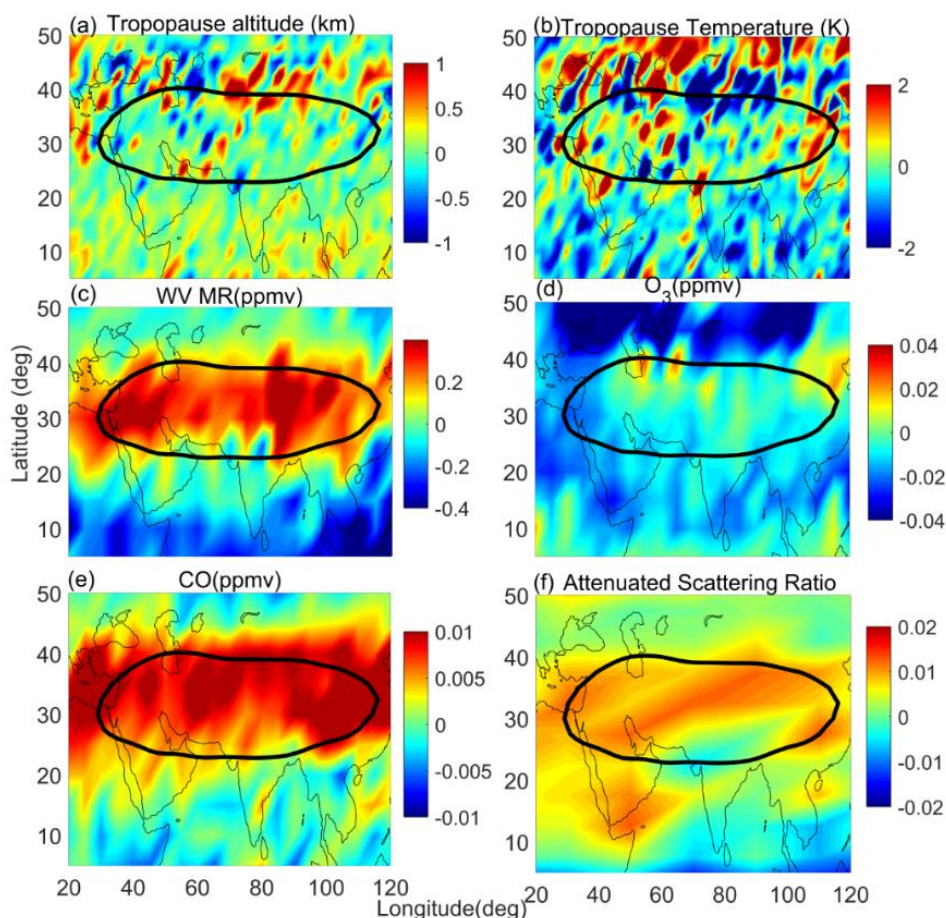


Figure 8. Spatial mean difference between strong and weak monsoon years in (a) tropopause altitude (km), (b) tropopause temperature (K) from COSMIC GPSRO measurements, (c) WVMR (ppmv), (d) O<sub>3</sub> (ppmv), and (e) CO (ppmv) obtained from MLS observations at 100 hPa, (f) ASR obtained from CALIPSO stratospheric Aerosol product averaged between 16-18 km from 2006 to 2016 at 100 hPa. Black line denotes the GPH at 16.75km which represents the core of the anticyclone.



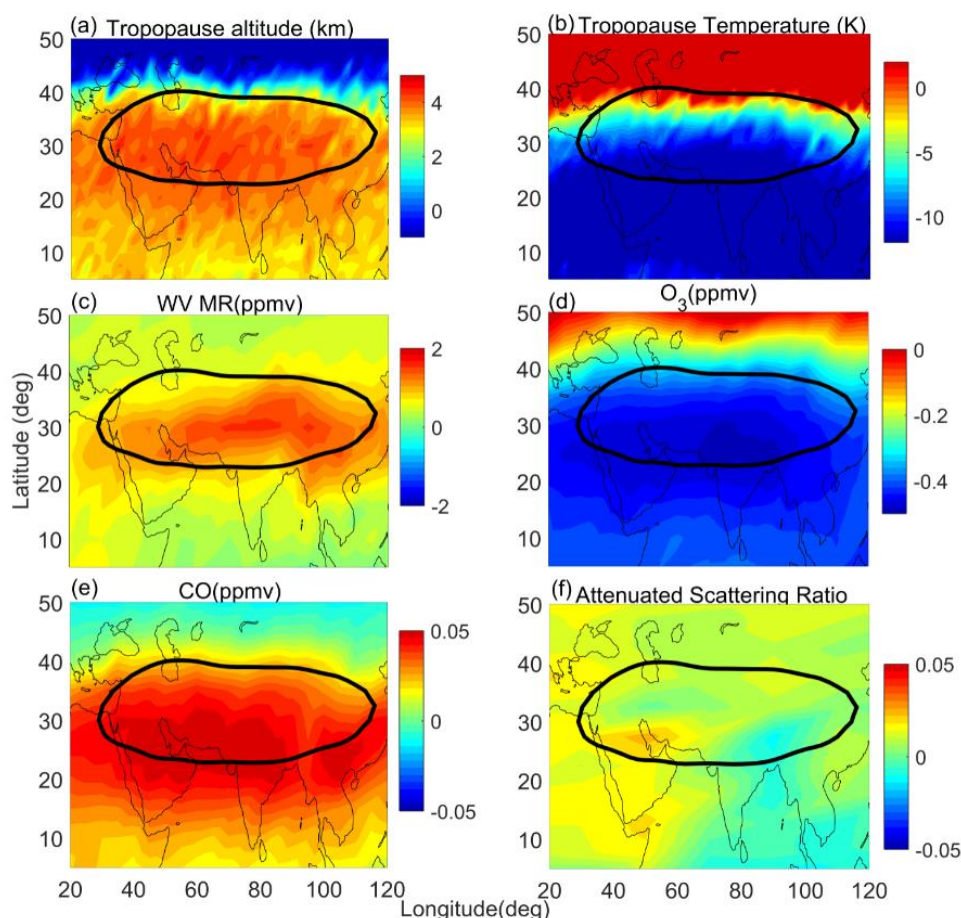


Figure 9. Spatial mean difference between La Niña and El Niño in (a) tropopause altitude (km), (b) tropopause temperature (K) from COSMIC GPSRO measurements, (c) WVMR (ppmv), (d)  $O_3$  (ppmv), and (e) CO (ppmv) obtained from MLS observations at 100 hPa, (f) ASR obtained from CALIPSO stratospheric Aerosol product averaged between 16-18 km from 2006 to 2016 at 100 hPa. Black line denotes the GPH at 16.75km which represents the core of the anticyclone.