



1 Volatile organic compounds and ozone air pollution in an oil production

2 region in northern China

- 3 Tianshu Chen¹, Likun Xue^{1,2*}, Penggang Zheng¹, Yingnan Zhang¹, Yuhong Liu¹, Jingjing Sun¹, Guangxuan
- 4 Han³, Hongyong Li¹, Xin Zhang^{1,4}, Yunfeng Li^{1,4}, Hong Li⁴, Can Dong¹, Fei Xu^{1,2}, Qingzhu Zhang¹,
- 5 Wenxing Wang¹
- 6 ¹Environment Research Institute, Shandong University, Ji'nan, Shandong, China.
- ²Shenzhen Research Institute of Shandong University, Shenzhen, Guangdong, China.
- 8 3Key Laboratory of Coastal Environmental Process and Ecology Remediation, Yantai Institute of Coastal Zone Research, Chinese
- 9 Academy of Sciences, Yantai, Shandong, China.
- 10 ⁴Chinese Research Academy of Environmental Sciences, Beijing, China.
- 11 Correspondence to: Likun Xue (xuelikun@sdu.edu.cn)

Abstract.

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Oil and natural gas (O&NG) exploration presents a significant source of atmospheric volatile organic 13 14 compounds (VOCs), which are central players of tropospheric chemistry and contribute to formations of ozone (O₃) and secondary organic aerosols. The impacts of O&NG extraction on regional air quality have 15 been investigated in recent years in North America, but have long been overlooked in China. To assess the 16 impacts of O&NG exploration on tropospheric O3 and regional air quality in China, intensive field 17 observations were conducted during February-March and June-July 2017 in the Yellow River Delta, an oil 18 extraction region in northern China. Very high concentrations of ambient VOCs were observed at a rural 19 20 site, with the highest alkane mixing ratios reaching 2498 ppbv. High O₃ episodes were not encountered 21 during wintertime but were frequently observed in summer. The emission profiles of VOCs from the oil 22 fields were directly measured for the first time in China. The chemical budgets of RO_x radicals and O₃ were dissected with a detailed chemical box model constrained by in-situ observations. The highly abundant 23 24 VOCs facilitated strong atmospheric oxidizing capacity and O₃ formation in the region. Oxygenated VOCs (OVOCs) played an essential role in the RO_x production, OH loss, and radical recycling. Photolysis of 25 26 OVOCs, O₃ and HONO, as well as ozonolysis reactions of unsaturated VOCs were major primary sources of RO_x. NO_x was the limiting factor of radical recycling and O₃ formation. This study underlines the important 27 impacts of O&NG extraction on atmospheric chemistry and regional air quality in China. 28



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1. Introduction

Oil and natural gas (O&NG) compose the most significant fraction of global energy consumption and play an essential role in the industry, economy and social development. By the end of 2017, O&NG consumption accounted for approximately 58% of global primary energy consumption (The British Petroleum Company plc, 2018). In recent years, with the breakthroughs in exploration and extraction technologies for tight oil and shale gas such as horizontal drilling and hydraulic fracturing (EIA, 2014), the unconventional O&NG production has experienced explosive growth in the United States, resulting in an upward trend of O&NG production since 1980s (EIA, 2018). Increases in O&NG production are also projected in other countries with abundant reservoirs of shale oil/gas in the near future (EIA, 2014). O&NG production emits a large amount of air pollutants to the atmosphere, causing different levels of air pollution problems in the O&NG extraction region and its surrounding areas (Schnell et al., 2009; Edwards et al., 2013). The growth in O&NG production has indeed raised increasing concerns on the deteriorated air quality, public health, and climate in North America (Alvarez et al., 2012; McKenzie et al., 2012; Adgate et al., 2014; Colborn et al., 2014; Field et al., 2014).

Potential air pollutant emission sources during the O&NG production include deliberate venting and flaring, fugitive emissions, diesel engines for power supply, and leakage from infrastructure and transport (Adgate et al., 2014). Such activities have been shown to result in the increase of volatile organic compounds (VOCs) and nitrogen oxides (NO_x) in the ambient air (Allen et al., 2013; Helmig et al., 2014; Warneke et al., 2014). Photochemical oxidation of VOCs in the presence of NOx produces ozone (O₃), a secondary pollutant with adverse effects on human health, vegetation, materials, and climate (National Research Council, 1992). Several field campaigns have observed unusually high levels of wintertime O₃ in oil and gas field basins in U.S., including Uintah Basin (Edwards et al., 2013; Edwards et al., 2014; Lee et al., 2014) and Upper Green River Basin (Schnell et al., 2009; Carter and Seinfeld, 2012). Such high wintertime O₃ episodes occur under the combined action of specific meteorological conditions and chemical processes. The favourable meteorological conditions include a shallow boundary layer, calm winds, and increased photolysis flux induced by the snow deposition (Schnell et al., 2009; Carter and Seinfeld, 2012; Ahmadov et al., 2015). In terms of atmospheric chemistry processes, the accumulated high concentrations of VOCs lead to a significant increase in O₃ production efficiency, and radicals generated by photolysis of oxygenated VOCs (OVOCs) also play an important role (Edwards et al., 2013; Edwards et al., 2014). In addition, the O&NG production also affects O₃ formation and air quality during other seasons, especially in



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1 summer. Rodriguez et al. (2009) used a regional chemical transport model (CAMx) to assess the impacts of

2 O&NG operation on O₃ pollution in the western U.S., and found the enhancement in the maximum daily 8-h

3 average O₃ (MDA8 O₃) by considering O&NG emissions can reach up to 9.6 ppbv in southwestern

4 Colorado and north-western New Mexico. Using the same model, Kemball-Cook et al. (2010) indicated that

emissions from Haynesville Shale can explain up to 5 ppbv of MDA8 O₃ enhancement within Northeast

6 Texas and Northwest Louisiana. Other works also found that the O&NG extraction activities pose important

effects on regional O₃ levels in summertime (Olaguer, 2012; Rutter et al., 2015; Vinciguerra et al., 2015;

8 McDuffie et al., 2016).

The O&NG exploration activities are very active in China, with crude oil and natural gas production both ranking the sixth in the world (EIA, 2017; Statista, 2018). China is also rich in shale resources, with the reserves of shale gas and shale oil ranking the first and third in the world, respectively (EIA, 2014). It is expected that China's future O&NG exploration will further increase and may pose increasingly important effects on the atmospheric environmental issues. Currently, O₃ pollution has become a major air quality concern in China, with monitored O₃ concentrations exceeding the national ambient air quality standard frequently in the metropolitan areas nationwide (Xue et al., 2014a; Wang et al., 2017). Available long-term observations also demonstrated significant upward trends in surface O₃ levels in the last two decades over China (Ding et al., 2008; Wang et al., 2009; Xu X. et al., 2008; Xue et al., 2014b; Sun et al., 2016; Xu W. et al., 2018). A large number of studies have dedicated to understand the formation mechanisms of O₃ pollution and identified the major sources of O₃ precursors (particularly VOCs) in China (e.g., Zhang et al., 2008; Yuan et al., 2012; Dang et al., 2015; Shao et al., 2016; Zhao et al., 2016; Wang et al., 2017). However, O&NG extraction has long been overlooked as an important source of VOCs, compared to the other anthropogenic activities such as industry, power plants, transportation, biomass burning, etc. To the best of our knowledge, to date there is no report that has assessed the impacts of O&NG exploration on VOCs and O₃ pollution in China.

To fill this gap, two intensive measurement campaigns were conducted at a rural site surrounded by open oil fields in the Yellow River Delta (YelRD) region, an important oil extraction area in China, during February–March and June–July of 2017. A large suite of parameters including O₃, CO, NO, NO₂, NO_y, SO₂, HONO, C₁-C₁₀ hydrocarbons, C₁-C₈ carbonyls, aerosol properties, and meteorological parameters were measured in-situ. Air samples were also collected from oil wells to characterize the source profiles of VOCs in the oil field. A detailed chemical box model was then constrained with the above-mentioned in-situ



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- 1 observations to dissect the chemistry of O₃ formation, atmospheric oxidative capacity, and radical budgets.
- 2 Overall, this study provides some new insights into the emission characteristics of VOCs from oil fields and
- 3 their effects on the atmospheric oxidation processes and regional O₃ pollution in China.

4 2. Materials and Methods

2.1. Site description

We target the YelRD region for assessing the impacts of oil field emissions on the VOC and O₃ 6 pollution. The YelRD is located to the south of Bohai Sea and in the northern part of Shandong Province. It 7 includes Dongying, Binzhou and parts of Weifang, Dezhou, Zibo and Yantai cities, with a total area of 8 26,500 square kilometers and a population of 9.85 million (Figure 1). It is abundant in natural resources and 9 hosts the third largest oilfield in China (i.e., Shengli Oilfield). Active O&NG exploration has made it one of 10 China's largest petrochemical industry bases. In addition, the YelRD estuary is a typical estuarine wetland 11 ecosystem and is rich in ecological resources. Furthermore, it is located at the junction of the Beijing-12 Tianjin-Hebei region and Shandong Peninsula, the most polluted regions in North China, with distances of 13 approximately 300, 200 and 190 km away from Beijing, Tianjin and Ji'nan, respectively. Therefore, it may 14 also suffer from regional transport of aged continental air masses from these metropolitan areas under the 15 16 influence of winter monsoons.

Two phases of field campaigns were carried out in winter-spring (from February 9 to April 1) and summer (from June 1 to July 10) 2017 at the YelRD Ecological Research Station of Coastal Wetland (37.75°N, 118.97°E; 1 m above sea level), Chinese Academy of Sciences. This site lies roughly 32 km to the northeast of Dongying urban area and 10 km to the west of the Bohai Sea (Figure 1). It is a typical rural site surrounded by open oil fields and without any other anthropogenic emission sources nearby. There are two intensive oil production areas near the site. One is mainly distributed in the coastal area (about 10 km to the northeast), while the other is in the urban area (about 30 km to the southwest). In view of the regional scale, the observation site is constrained by both aged continental air masses transported from the Beijing-Tianjin-Hebei region and clean marine air from the Bohai Sea, making it an excellent platform to study the interaction between anthropogenic pollution and the natural background air in the North China Plain (NCP). All in-situ measurement instruments were housed in a temperature-controlled container, and the sampling inlets were mounted on top of the container with an altitude of about 5 m above the ground. Source samples



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- 1 from the nearby oil and gas wells were also collected to obtain the source profiles of VOCs from the oil
- 2 field. Details of the sampling site can be found elsewhere (Zhang et al., 2019).

2.2. Measurement techniques

A large suite of chemical species and meteorological parameters were measured. Briefly, O₃ was 4 5 monitored by an ultraviolet photometric analyzer (Thermo Environmental Instruments (TEI) Model 49C). NO and NO_v were measured by a chemiluminescence instrument (Advanced Pollution Instrumentation 6 7 (API) Model T200U) equipped with an externally placed molybdenum oxide catalytic converter. NO₂ was observed with a Cavity Attenuated Phase Shift (CAPS) analyzer that is highly selective for true NO2 (API, 8 9 Model T500U). SO₂ was observed using a pulsed ultraviolet fluorescence analyzer (TEI, Model 43C). CO 10 was detected using a gas filter correlation non-dispersive infrared analyzer (API Model 300U). The particle number size distributions between 5 nm and 350 nm were measured by a Wide-Range Particle Spectrometer 11 (WPS, Model 1000XP, MSP Corporation, USA), while those in the range of 300 nm to 10 µm were 12 monitored by a Handheld Particle Counter (Model 9306, TSI, USA). PM2.5 mass concentrations were 13 monitored using a SHARP analyzer (Thermo Scientific Model 5030). HONO was detected by a long path 14 absorption photometer named LOPAP (QUMA GmbH, Germany). Meteorological parameters including 15 wind direction, wind speed, temperature, and relative humidity (RH) were continuously observed by a 16 17 weather station (PC-3, Jinzhou Sunshine). Photolysis frequencies of H₂O₂, HCHO, HONO, O₃, NO₃, and NO₂ were observed by a CCD-detector spectrometer (*Metcon GmbH, Germany*). The time resolution was 1-18 min averaged for trace gases and photolysis frequency, 5-min averaged for meteorological parameters, and 19 30-min averaged for PM_{2.5}. 20

Whole air samples were collected with clean and evacuated 2-L stainless steel canisters for quantification of methane and C₂-C₁₀ non-methane hydrocarbons (NMHCs). The samples were mainly collected on sunny days (with a small part on cloudy days) during selected pollution episodes, with each sample taken every 2~3 h for 30 seconds from 7:00 to 19:00 local time (LT) in June-July and from 6:00 to 21:00 LT in February-March. In addition, 7 samples were taken at 00:00 LT during the winter-spring campaign. The purpose of such VOC sampling strategy is to better recognize the VOC pollution characteristics in this area and to facilitate detailed modelling analysis of O₃ pollution events. Whole air samples were also collected exactly in the surroundings of oil wells and petrochemical industrial areas using the same method. A total of 111 ambient samples (including 58 samples in winter-spring and 53 samples in summer 2017) as well as 21 source samples (including 18 oilfield samples and 3 petrochemical plant



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- 1 samples) were taken in this study. After sampling, concentrations of methane and C₂-C₁₀ NMHCs were then
- 2 quantified by gas chromatography (GC) separation followed by flame ionization detection (FID), mass
- 3 spectrometry detection (MSD) and electron capture detection (ECD) at the laboratory of the University of
- 4 California at Irvine (Simpson et al., 2010; Xue et al., 2013). The detection limit is 0.01 ppmv for methane
- 5 and 3 pptv for C₂-C₁₀ NMHCs (Simpson et al., 2010).

Carbonyl samples were collected by adsorption of ambient air in a 2,4-dinitrophenylhydrazinecoated 6 sorbent cartridge (Waters Sep-Pak DNPH-silica) at a flow rate of 0.5 L min⁻¹. An O₃ scrubber is attached to 7 the front of the cartridge to avoid O₃ interference. The sampling strategy is similar to that of VOC canister 8 9 samples. Specifically, the carbonyl samples were taken during selected episodes every 3 h from 6:00 to 10 21:00 LT in winter-spring and every 2 h from 7:00 to 19:00 LT in summer (the sampling time for each sample in winter-spring and summer was 3 h and 2 h, respectively). A total of 128 ambient samples 11 12 (including 58 samples in winter-spring and 70 samples in summer) and 10 source samples were taken at the rural site and in the oil fields, respectively. After the campaign, the samples were analyzed with the high-13 performance liquid chromatography (HPLC) for quantification of 14 C₁-C₈ carbonyl species (Yang et al., 14 2018). 15

2.3. Observation-Based Chemical Box Model

17 The Observation-Based Model for investigating the Atmospheric Oxidative Capacity and Photochemistry (OBM-AOCP) was used to simulate the in-situ atmospheric photochemical processes and to 18 quantify the O₃ production rate, OH reactivity and radical budgets (RO_x: OH, HO₂ and RO₂). This model has 19 been successfully adopted in many previous studies (e.g., Xue et al., 2014a; Xue et al., 2016; Yang et al., 20 21 2018; Li et al., 2018; Sun et al., 2018). In short, it is based on the latest version of the Master Chemical Mechanism (MCM v3.3.1), a nearly explicit mechanism describing the gas phase chemical reactions that 22 involve 143 primary VOC species (Saunders et al., 2003). In addition to the existing reactions in MCM 23 24 v3.3.1, OBM-AOCP also incorporates over 200 reactions which represent the oxidation of VOCs by chlorine radical (Xue et al., 2015) and heterogeneous processes involving reactive nitrogen oxides (Xue et 25 al., 2014a). Physical processes such as dry deposition and dilution mixing in the boundary layer are also 26 taken into account, and details can be found elsewhere (Xue et al., 2014a). 27

OBM-AOCP is able to simultaneously quantify the O_3 production rate, atmospheric oxidizing capacity (AOC), OH reactivity, as well as the primary production, recycling and termination rates of RO_x radicals. It





1 tracks and calculates the individual reaction rate of almost all the reactions in the MCM, including the free

2 radical chemistry. Among them, the sum of oxidation rates of various pollutants (CO, VOCs, NO_x, SO₂,

3 etc.) by the major oxidants (i.e., OH, O₃, NO₃ and Cl) is regarded as the AOC (Xue et al., 2016). The

4 reaction rates of OH with CO, VOCs, NOx, SO2, HONO, HNO3, and HO2NO2 are computed as the OH

5 reactivity. Primary sources of OH, HO₂ and RO₂ include the photolysis reactions of O₃, HONO,

6 formaldehyde and other OVOCs as well as reactions of VOCs with O₃ and NO₃ radicals (Xue et al., 2016).

7 Related reactions were grouped into a dozen major routes of production, recycling and loss for quantifying

8 the RO_x chemical budget (Xue et al., 2016). The O₃ production rate is calculated from the difference

between the oxidation rates of NO by HO₂ and RO₂ radicals and the loss rates of O₃ and NO₂ (Xue et al.,

2014a). Details of the above chemistry calculation can be found elsewhere (Xue et al., 2014a; Xue et al.,

11 2016).

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Measured data of O₃, SO₂, CO, NO, NO₂, HONO, J(NO₂), temperature, and RH were averaged to a time resolution of 5 minutes to constrain the model. Besides, measured concentrations of CH₄, C₂-C₁₀ NMHCs, and C₁-C₈ carbonyl compounds were interpolated to a time resolution of 30 minutes for model inputs. For the nighttime data, when direct observations were generally unavailable, CH₄ and C₂-C₁₀ NMHCs (except isoprene) concentrations were interpolated according to their linear regressions with CO, and concentrations of isoprene were interpolated based on the linear relationship with temperature (Yang et al., 2018). The nighttime OVOC data were interpolated according to the multiple linear regressions with CO and O₃ (Yang et al., 2018). Such approximation was mainly to facilitate the pre-run of the model, and should not affect the formal daytime modelling results. Photolysis frequencies within the model were adjusted by the solar zenith angle and the measured J(NO₂) (Saunders et al., 2003). The model starts at 00:00 LT and pre-runs for 4 days under constraints of input data to stabilize the species which were not measured in the field campaign, and the daytime modelling results of the last day were subject to further analyses.

3. Overview of O₃ and VOC pollution

The overall air quality and meteorological conditions measured during the two-phase campaign are presented in Figure 2. Descriptive statistics of major trace gases, aerosols, and meteorological parameters are summarized in Table 1. Seasonal variability of air pollution and weather is clearly illustrated. The winter and early spring (i.e., February-March) is featured by cold weather and higher levels of primary air pollutants. All the trace gases (except for O₃) and PM_{2.5} showed significantly higher concentrations in February and March than in summer (June-July). This can be explained by the shallow boundary layer, less



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active photochemistry, and additional emissions from residential heating in winter-spring. In contrast, O₃

2 exhibited much higher levels in summer, mainly corresponding to the more intense photochemical formation

as a result of the hot weather and strong solar radiation. Elevated O₃ concentrations were frequently

observed during the summer campaign, with 22 non-attainment days (defined as the day when the maximum

hourly O₃ concentration exceeds China's National Ambient Air Quality Standard, Grade II, 93 ppbv)

throughout the 40-day measurement period. The maximum hourly O₃ value was recorded at 177 ppbv in

summer. These observations demonstrate the severity of photochemical air pollution in the YelRD region.

O₃ pollution was also encountered in early spring. In March, two O₃ non-attainment days were identified with a maximum hourly O₃ mixing ratio of 106 ppby. When looking at the MDA8 O₃, the number of non-attainment days (with MDA8 O3 exceeding 75 ppbv) increased to five in March 2017. However, no O₃ episodes occurred in February. This is quite different from the recent observations in U.S. that have found very high levels of O₃ in winter in the oil basin (Schnell et al., 2009; Edwards et al., 2014). We examined the observed chemical environments and weather conditions in the YelRD region. As detailed below, there were abundant O₃ precursors, especially VOCs, in this study region, which would sustain as much as photochemical O₃ formation. The major difference between this study and the U.S. efforts lies in the weather conditions. As proposed by Ahmadov et al. (2015), snow cover is a prerequisite for the occurrence of wintertime O₃ episodes in the U.S. oil basins. During the wintertime observation period, the weather was quite dry and only small amounts of snowfall occurred during the nighttime of February 21. The snow cover was very thin and it quickly disappeared with increase of temperature under the influence of a subsequent high-pressure system. Furthermore, the YelRD region is usually affected by strong winds in winter (Fig. 2) due to its flat and coastal topography. Thus, the meteorological conditions encountered in the present study were unfavourable for the occurrence of winter O₃ episodes. Similarly, O₃ episodes were also not observed in the Uintah basin in the snow-free winter of 2012 (Edwards et al., 2014). More observations are still needed to examine the wintertime O₃ issues in the oil extraction areas of China.

Table 2 documents the statistics of individual VOC species observed in the present study. Obviously, the ambient air in the YelRD region is very rich in VOCs, in particular alkanes which accounted for the majority (i.e., 84.3% for winter-spring and 70.6% for summer) of the measured NMHCs. Extremely high levels of VOCs were frequently observed at the study site, although it is located in a remote coastal area. The maximum concentrations of total NMHCs were 2823 ppbv and 176 ppbv in winter-spring and summer, respectively. These samples were heavily affected by the gas leakage from the surrounding oil fields and





1 will be discussed further in Section 4. Besides, elevated concentrations of light olefins such as ethene,

2 propene, and butenes were also detected, especially during the winter and early spring when the

photochemical oxidation was less active. This was mainly attributed to the emissions from refining industry

in the YelRD region, which is well known as an important base for petrochemical industry in north China. A

number of refining plants are indeed located to the southwest and north of the sampling site. Such VOC-rich

atmosphere is expected to efficiently facilitate O₃ production with a certain amount of NO_x. Furthermore,

similar to other primary pollutants, all of the VOC compounds (except for cyclopentane and isoprene)

showed a typical seasonal variation with higher concentrations in winter-spring and lower levels in summer.

Figures 3-4 present the average diurnal variation patterns of major trace gases (including VOCs), PM_{2.5}, and meteorological parameters during the two campaigns. All the pollutants showed well-defined diurnal profiles which can be explained by the evolution of planetary boundary layer, local emissions, and atmospheric photochemistry. Specifically, O₃ showed a broad afternoon concentration peak with a trough in the early morning in both seasons. The other primary pollutants (e.g., CO, SO₂ and NO_x) and PM_{2.5} exhibited higher concentrations in the morning and the lowest levels in the afternoon. VOCs generally showed higher levels during the nighttime or the early morning and lower mixing ratios during the day, with long-chain alkenes (comprising isoprene, 3-methyl-1-butene, 2-methyl-1-butene, alpha-pinene, and beta-pinene) as an exception that shows an opposite diurnal pattern (Fig. 4). A noteworthy result is the fast accumulation of O₃ during the morning period. For example, the average increases in O₃ concentrations in the morning (i.e., 06:00–12:00 LT) were 49.2 ppbv and 30.2 ppbv in summer and winter-spring, respectively. Considering the remote nature of the study site, such rapid O₃ increase suggests the strong insitu photochemical formation in this VOC-rich area. This will be further quantified with the model in Section 6.

4. Emission profiles of VOCs from oil fields

To characterize the VOC emissions from the oil fields in China, 18 whole air samples were taken exactly close to the oil extraction machines in the open oil fields. The data can provide direct insights into the composition profile of VOCs from Chinese oil field emissions. Regional background of VOC species was calculated as the average of the lowest 10th percentile of measurement data at the study site, and was subtracted from the oilfield source data to derive the VOC emission profiles. Figure 5 shows the measured oilfield emission profiles of VOCs in the YelRD region. It is obvious that oilfield emissions are dominated by alkanes. On a concentration basis, light alkanes (C₂-C₅), long-chain alkanes (C₆-C₁₀), alkenes, and





1 aromatics account for 83.7%, 8.7%, 3.1%, and 2.9% of the total measured NMHCs, respectively. The top

ten abundant species (in proportion) are propane (25.3%), ethane (22.1%), n-butane (13.6%), i-butane

3 (8.3%), *i*-pentane (7.8%), *n*-pentane (6.0%), ethene (1.9%), *n*-hexane (1.8%), ethyne (1.6%), and 2-

4 Methylpentane (1.3%).

quality modelling studies.

Note that all the aforementioned calculations are based on the median VOC emission profile shown in Figure 5. Since alkanes are major components of crude oil and natural gas, measured oilfield emissions in this study are believed to be due to the leakage of oil and natural gas in this oilfield region. To our knowledge, this should be the first piece of direct measurements of oilfield VOC emission profiles in China, which is valuable for better understanding the emissions of O&NG production and can be used for future air

Figure 6 compares the oilfield emission profile in the YelRD region with those obtained from measurements adjoin to or surrounded by the U.S. oil fields. Overall, the measured VOC speciation patterns agree well with each other, although the absolute VOC concentrations vary case by case. For example, the VOC concentrations in the oilfield in this study are generally higher than or comparable to those in the Fort Worth Basin, Denver-Julesburg Basin, and Upper Green River Basin, but are much lower than those measured in the Uintah Basin during the wintertime O_3 episodes. Such differences should be mainly caused by different atmospheric dilution conditions during the sampling campaigns. The extremely high VOC levels in the Uintah Basin can be ascribed to the strong inversion under unfavourable weather conditions (Neemann et al., 2015). There are also some differences in the detailed VOC speciation between the YelRD oilfield emissions and those in U.S. The fraction of C_2 - C_5 light alkanes in the YelRD oil fields was lower than those in the Uintah Basin (93.9%), Fort Worth Basin (90.4%), and Denver-Julesburg Basin (92.9%) (ERG, 2011; Gilman et al., 2013; Koss et al., 2015). In comparison, the loadings of long-chain alkanes (8.7%) and aromatics (2.9%) were higher in the YelRD oilfield than in the U.S. oil basins (4.2-6.9% for long-chain alkanes, <1.6% for aromatics). Such VOC speciation was attributed to the fact that oil extraction,

As mentioned above, the ambient air at the sampling site may be influenced by the oilfield emissions significantly. To verify this issue, all the ambient VOC data were subject to the Tukey Test (Seo, 2006), and 11 samples were identified as 'abnormal sample'. According to the VOC concentrations and speciation, the ambient VOC samples can be classified into 3 categories. Type 1 contains four 'abnormal samples' and these samples have the highest concentrations for most species, especially alkanes, butenes, and aromatics

rather than natural gas production, dominates in this study area.



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(Fig. 6). Type 2 includes seven 'abnormal samples' which have almost the same chemical speciation and 1 absolute concentrations (only with slightly lower levels of light alkanes) as the oilfield emission profiles 2 (Fig. 6). The remaining 100 'normal samples' are classified as Type 3. Compared with the oilfield emission 3 profile, they have similar chemical speciation but lower concentrations. In terms of the sampling time, Types 4 1 and 2 samples were mainly collected in the early morning or at midnight, whilst most of the Type 3 5 samples were taken during the daytime. Figure 7 shows the scatter plots of i-pentane versus n-pentane for 6 the three identified ambient VOC types as well as the oilfield source data. Because i-pentane is generally 7 recognized as tracer of gasoline, the ratio of i-pentane/n-pentane can be adopted to diagnose the potential 8 impact of O&NG operations on the VOC measurements in the O&NG extraction region (Gilman et al., 10 2013). As shown in Figure 7, Type 2 (1.2) and Type 3 (1.3) samples have comparable i-pentane/n-pentane ratios to the oilfield source data (1.0). Meanwhile, Type 1 samples have a much higher ratio of 4.5, which is 11 12 similar to the signature of gasoline emissions (4.87) (Lu et al., 2003). In view of the above analyses, we propose that Type 1 samples were affected by short-term leakage from the surrounding refinery and oil 13 14 storage areas; Type 2 samples were heavily influenced by the O&NG extraction activities in the oil fields; and the 'normal' Type 3 samples were also affected by the O&NG extraction in this region. This indicates 15 that the VOC-rich environment in the YelRD region is mainly influenced by the O&NG extraction activities. 16

5. Atmospheric oxidative capacity and radical chemistry

In the following sections, we examine the detailed photochemical processes that occurred during the O_3 pollution episodes. As few episodes were encountered during winter-spring, here we focus on the summertime O_3 pollution events. Nine severe O_3 episodes (i.e., 8, 9, 14, 15, 16, 18, 29, 30 June, and 9 July 2017) with the maximum hourly O_3 concentrations exceeding 100 ppbv and with concurrent comprehensive observation data were sorted out for chemical box modelling analyses. Detailed chemical budgets of RO_x radicals and O_3 were quantified by the OBM-AOCP. Simulation results for different cases were generally similar. Below we present the results that have been averaged across all selected episodes.

Figure 8 shows the average diurnal variations of OH and HO_2 during the O_3 episode days. High levels of HO_x radicals were simulated by the model. The daily maxima of OH and HO_2 concentrations were 4.7- 7.0×10^6 molecules cm⁻³ and 10.3- 14.1×10^8 molecules cm⁻³, with mean values of 5.9×10^6 molecules cm⁻³ and 12.5×10^8 molecules cm⁻³, respectively. Model-predicted concentrations of HO_x radicals in the rural area of YelRD are higher than those at Heshan (a rural site in the Pearl River Delta, southern China) and Mace Head (a coastal site in Ireland) (Smith et al., 2006; Tan et al., 2018). Comparable noontime maxima HO_x



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1 concentrations were observed in some polluted urban areas, such as Tokyo and Houston (Kanaya et al.,

2 2007; Mao et al., 2010). This demonstrates the strong potential of atmospheric oxidation in the YelRD

3 region. A noteworthy result is the OH concentration peak occurring in the morning (at around 10:00 LT),

4 which is different from the most common results showing noontime OH peaks with intense solar radiation

5 (Rohrer and Berresheim, 2006). To a large extent, the diurnal pattern of OH follows that of NO (see Fig. 3),

6 suggesting the important role of NO in OH chemistry at the sampling site. Considering the VOC-rich

condition and relatively low levels of NO_x (e.g., observed average concentrations of NO are 0.43 and 0.23

8 ppb during 9:00-12:00 and 12:00-16:00 LT, respectively), efficient radical propagation of OH→RO₂→HO₂

is expected and the abundance of NO should be the limiting factor in the recycling of HO₂ to OH. The

higher ratios of HO₂/OH (~257) in this study also indicate that the HO₂+NO→NO₂+HO reaction is the rate-

determining step of the radical recycling. Similar phenomenon was also found at Backgarden (a VOC-

saturated and NO_x-limited environment) in the PRD region (Lu et al., 2012).

The strong atmospheric oxidizing capacity (AOC; defined as the oxidation rates of all reduced substances by major oxidants) was confirmed by the model calculation, and is shown in Figure 9. The daily maxima and daily mean values of AOC during the selected episodes were in the range of 0.7-1.8×10⁸ and 2.6-4.8×10⁷ molecules cm⁻³ s⁻¹, respectively. AOC levels in the YelRD region are comparable to those obtained in some urban areas (Elshorbany et al., 2009; Xue et al., 2016), but are higher than those derived from rural areas (Geyer et al., 2001; Li et al., 2018). As expected, OH is the predominant oxidant during the daytime, accounting for 85.3±16.4% of AOC. NO₃ is the major oxidant at nighttime (18:00-6:00 LT), contributing $46.8\pm17.1\%$ of AOC, followed by O₃ (27.0 $\pm7.9\%$) and OH (26.2 $\pm17.8\%$). Figure 10 elucidates the 24-hour evolution and partitioning of the chemical loss of OH radical (also known as the OH reactivity or $K_{\rm OH}$). $K_{\rm OH}$ in this study (23.3±5.6 s⁻¹) is significantly higher than those determined from some rural sites such as Hok Tsui (9.2±3.7 s⁻¹) (Li et al., 2018), Nashville (11.3±4.8 s⁻¹) (Martinez et al., 2003), and Whiteface Mountain (5.6 s⁻¹) (Ren et al., 2006a), and is comparable to those obtained in some polluted urban and suburban areas (Ren et al., 2006b; Whalley et al., 2016). OVOCs (including the oxidation intermediates and products of VOCs in the model) were the dominant contributor (69.1±7.2%) to K_{OH}. CO, NO_x, alkenes, alkanes, and aromatics are the other important reactants, explaining 13.2±2.5%, 5.6±4.1%, 4.4±1.5%, $3.6\pm1.2\%$, and $1.6\pm0.5\%$ of $K_{\rm OH}$, respectively. The relatively higher fraction of alkanes is probably due to the highly abundant alkanes in the YelRD region as a result of influences from the oilfield emissions.





Figure 11 presents major primary sources of OH, HO₂ and RO₂ radicals quantified in the YelRD region, 1 and the detailed RO_x radical budget is summarized in Figure 12. Photolysis of OVOCs is identified as the 2 dominant radical source, with daytime (6:00-18:00 LT) average production rates of 2.15±1.40 ppbv h⁻¹ for 3 HO₂ (of which 1.10±0.79 ppbv h⁻¹ is from formaldehyde alone) and 0.86±0.53 ppbv h⁻¹ for RO₂, 4 respectively. O₃ photolysis is the second largest source of RO_x and the predominant primary source of OH 5 (1.22±1.10 ppbv h⁻¹). HONO photolysis is the third largest source and supplies OH at an average rate of 6 0.49±0.48 ppb h⁻¹ during the daytime. The contribution of HONO photolysis is higher than that of O₃ 7 photolysis in the early morning (e.g., before 9:00 LT), but then becomes significantly lower with the 8 9 decrease in HONO concentrations and photochemical formation of O₃. Note that the model was constrained by the observed HONO data. Ozonolysis reactions of unsaturated VOCs are also important radical sources, 10 accounting for 0.26±0.11, 0.17±0.07 and 0.14±0.07 ppby h⁻¹ of OH, HO₂ and RO₂, respectively, on a 11 daytime average basis. In comparison, NO₃+VOCs reactions are only a minor radical source (for RO₂ only). 12 The above analysis illustrates the significant role of OVOCs (both primary carbonyls and secondary 13 14 compounds formed from oxidation of abundant VOCs) in the primary production of radicals and thus initiation of atmospheric oxidation processes. The dominance of photolysis of OVOCs in the atmospheric 15 photochemistry was also found during the wintertime O₃ episodes in the Uintah basin (Edwards et al., 2014). 16 As shown in Figure 12, the radical recycling processes were generally efficient and approximately 4-6 17 times faster than the primary radical production. This is ascribed to the high abundances of VOCs in the 18 19 study region, despite the restriction from the relatively low NO_x concentrations. In terms of radical termination, the cross reactions of radicals such as HO₂+HO₂ and HO₂+RO₂ were the most important 20 21 processes with daytime average contributions of 0.55±0.48 and 1.12±0.94 ppbv h⁻¹, respectively. In comparison, the reactions of RO_x with NO_x (i.e., OH+NO₂ and RO₂+NO) contributed 1.19±1.62 ppbv h⁻¹ to 22

6. Ozone formation mechanism

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We also examined the ozone formation mechanisms for the summertime episode days. Figure 13 shows the average O₃ production, destruction (including dry deposition), and net rates during the nine cases. Strong photochemical formation of O₃ was clearly illustrated, with daily maximum net O₃ production rates of 14.5-38.7 ppbv h⁻¹ and daytime-average rates (6:00-18:00 LT) of 9.8-19.6 ppbv h⁻¹, respectively. The O₃

the radical sink. Such results are not surprising given the VOC-rich and low-NO_x chemical environment at

our study site. Overall, the radical budget analysis elucidates the strong atmospheric oxidizing capacity, the

importance of OVOCs, and the limiting role of NO_x in the VOCs-rich atmosphere of the YelRD region.



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1 production intensity in the rural area of the YelRD is higher than that derived from a rural site downwind of

2 Beijing (Changping), and comparable to those in polluted suburban areas downwind of Shanghai and

Lanzhou (Xue et al., 2014a). Interestingly, the O₃ production rate shows its maxima in the morning period

(at around 10:00 LT) followed by a significant decrease in the afternoon, which differs from general results

5 from previous studies showing noontime or afternoon peaks. This pattern is similar to that of OH and NO

6 (Figs. 3 and 8), and should be due to the lower concentrations of NO in the afternoon. In the VOCs-rich

7 YelRD region, a certain amount of NO in the morning is enough to sustain efficient O₃ production. In the

8 afternoon, NO_x has been photochemically consumed due to its short lifetime and thus becomes the limiting

9 factor in O₃ formation (note that O₃ production rate is defined as the reaction rates of HO₂+NO and

RO₂+NO). This also explains the observed unusual diurnal variation of O₃ (Fig. 3), with significant increase

during the morning period and constant or reduced levels in the afternoon.

The relationships between O₃ and its precursors were further diagnosed by the relative incremental reactivity (RIR) calculation using the OBM-AOCP model. RIR is defined as the ratio of the change in O₃ production rate to changes in precursor concentrations, and it can be used as an indicator for assessing the effect of precursor reduction on O₃ formation (Cardelino and Chameides, 1995). A number of sensitivities modelling runs were conducted for individual episode days with 20% reduction in the input concentrations of each target O₃ precursor group. As presented in Figure 14, simulation results for most cases are similar. O₃ production was most sensitive to NO_x concentrations, as indicated by the highest positive RIR values. This is expected as the aforementioned analyses suggest the limiting role of NO_x in radical recycling and O₃ production. Alkenes, especially long-chain alkenes, showed moderate positive RIR values, indicating they controlled O₃ formation to some extent as well. Alkanes and aromatics are usually in high abundances owing to the extensive oil extraction in the YelRD region, showing minor RIR values and were not the limiting factors for O₃ formation. Overall, reducing NO_x emissions would be the most effective strategy for mitigating photochemical air pollution in the YelRD region.

7. Conclusions

We combined intensive field observations with chemical box modelling to understand the characteristics of VOC emissions from oil fields and their impacts on atmospheric chemistry and O₃ pollution in the YelRD region, North China. Influenced by the O&NG extraction and petrochemical industry, this area is featured by a VOCs-rich atmosphere with extremely high levels of alkanes. O₃ pollution episodes occurred frequently in summertime. Meanwhile, no events were encountered in winter-



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spring because of the unfavourable weather conditions for O₃ formation. The VOC chemical speciation from the oil field emissions was detected for the first time in China in this study. Driven by the high abundances

of VOCs on a regional scale, strong atmospheric oxidizing capacity and intense O₃ formation were

4 confirmed by observation-based modelling analyses. OVOCs played a dominant role in OH reactivity and

hence radical recycling, and were the major source of RO_x radicals. Photolysis of O₃ and HONO were also

6 found to be important radical sources. The radical termination processes were governed by radical cross

reactions under the high-VOCs and low-NOx conditions. RIR analysis indicated that O3 formation was

8 mainly in a NO_x-controlled regime, and reducing NO_x emissions would be an effective way to control O₃

pollution in the YelRD region. In summary, this study emphasized the key role of O&NG extraction in the

photochemical air pollution and regional atmospheric chemistry in the oil extraction regions of China, and

the results are helpful for formulating the anti-pollution strategies in the YelRD and other similar oil-

12 extracting regions.

Data availability.

The data that support the results are available from the corresponding author upon request.

16 Author contributions.

17 LX designed the study. TC, PZ, YL, JS and HYL conducted the field campaigns. GH provided logistics

for the field campaigns. HL, XZ and YL analyzed the OVOC samples. TC analysed the measurement data.

19 TC and YZ conducted the chemical box modelling analyses. TC and LX wrote the paper. GH, DC, HL, FX,

20 QZ and WW revised the manuscript.

Competing interests.

The authors declare that they have no conflict of interest.

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Table 1. Descriptive statistics of hourly concentrations of trace gases, PM_{2.5} and meteorological parameters at the rural site in the YelRD region

Species/Parameter -	February-March, 2017			June-July, 2017			
	Mean±SD	Median	Max	Mean±SD	Median	Max	
O ₃ (ppbv)	34±17	36	106	65±28	60	177	
CO (ppbv)	530±331	463	2667	428±221	373	1728	
NO (ppbv)	1.39 ± 3.11	0.17	46.92	0.31 ± 0.50	0.17	19.03	
NO ₂ (ppbv)	10.08 ± 8.84	7.50	55.34	3.47 ± 3.34	2.36	37.32	
NO_y (ppbv)	19.84 ± 16.40	16.85	86.74	10.13±7.74	8.44	69.58	
SO ₂ (ppbv)	4.68 ± 5.16	2.99	44.68	2.10 ± 2.71	1.14	34.09	
$PM_{2.5} (\mu g/m^3)$	66.7±56.4	50.6	247	41.1±33.1	31.5	167.9	
TEMP (°C)	5.8 ± 4.8	5.8	18.6	25.9 ± 4.5	26.1	36.8	
RH (%)	69±18	73	100	76±16	82	99	





Table 2. Descriptive statistics of measured VOC concentrations at the rural site in the YelRD region

Compound	February-March, 2017			June-July, 2017			
	Mean±SD	Median	Max	Mean±SD	Median	Max	
CH ₄	2116±159	2084	2869	223±282	2184	3704	
Ethane	7.094 ± 4.143	6.091	21.986	5.092±5.211	3.394	29.878	
Propane	29.640±88.873	5.380	470.670	5.740 ± 7.448	3.353	38.081	
i-Butane	24.456±87.067	1.581	484.988	1.983 ± 2.500	1.155	14.660	
n-Butane	38.417±134.908	2.546	732.394	3.399 ± 4.579	2.231	25.996	
i-Pentane	30.687±110.933	1.361	585.862	1.693±2.334	1.042	11.956	
n-Pentane	7.209 ± 22.698	0.909	123.655	1.213±1.782	0.781	10.122	
n-Hexane	0.255 ± 0.464	0.093	2.337	0.324 ± 0.510	0.097	2.362	
n-Heptane	1.041±2.296	0.315	11.441	0.394 ± 0.575	0.249	3.313	
n-Octane	0.518 ± 1.376	0.105	7.126	0.121 ± 0.177	0.071	0.951	
n-Nonane	0.167±0.383	0.052	2.058	0.052 ± 0.054	0.036	0.314	
n-Decane	0.251±0.747	0.046	3.586	0.042 ± 0.029	0.035	0.168	
2,3-Dimethylbutane	0.097 ± 0.220	0.035	1.052	0.027 ± 0.018	0.021	0.092	
2-Methylpentane	0.153±0.295	0.052	1.529	0.069 ± 0.102	0.033	0.513	
3-Methylpentane	0.646±1.366	0.195	7.062	0.256 ± 0.476	0.121	2.769	
2,4-Dimethylpentane	0.489 ± 0.964	0.179	4.411	0.183 ± 0.300	0.090	1.717	
Cyclopentane	0.187 ± 0.476	0.020	1.990	0.028 ± 0.031	0.014	0.134	
Methylcyclopentane	1.329±2.931	0.361	12.773	0.369 ± 0.402	0.232	1.698	
Cyclohexane	2.081±6.728	0.133	32.069	0.136 ± 0.213	0.067	1.112	
Methylcyclohexane	0.441±1.143	0.090	5.376	0.129 ± 0.191	0.056	0.920	
Ethene	2.203±1.311	2.013	5.925	1.076±1.047	0.709	4.662	
Propene	1.362 ± 2.283	0.588	14.442	0.624 ± 1.344	0.163	8.805	
1-Butene	0.203 ± 0.376	0.069	1.711	0.085 ± 0.244	0.025	1.627	
i-Butene	0.878 ± 1.428	0.254	4.472	0.055 ± 0.074	0.034	0.491	
trans-2-Butene	0.110 ± 0.130	0.042	0.461	0.027 ± 0.036	0.011	0.107	
cis-2-Butene	0.094 ± 0.099	0.054	0.360	0.050 ± 0.058	0.028	0.135	
1,3-Butadiene	0.084 ± 0.092	0.047	0.324	1.317±3.880	0.022	11.664	
Isoprene	0.112 ± 0.219	0.032	0.929	2.738±1.701	2.497	7.113	
3-Methyl-1-butene	0.036 ± 0.034	0.022	0.120	0.024 ± 0.019	0.016	0.061	
2-Methyl-1-butene	0.046 ± 0.052	0.029	0.255	0.025 ± 0.026	0.012	0.071	
alpha-Pinene	0.424 ± 1.345	0.021	5.700	0.015 ± 0.005	0.014	0.028	
beta-Pinene	0.122±0.125	0.026	0.291	0.020 ± 0.012	0.015	0.037	
Ethyne	3.055±1.964	2.868	13.553	2.261±1.759	1.731	8.450	
Benzene	1.073±0.567	1.064	2.537	0.709 ± 0.533	0.539	2.852	
Toluene	14.378±50.177	0.828	250.922	0.507 ± 0.510	0.285	2.317	
Ethylbenzene	0.648 ± 1.781	0.157	9.058	0.107 ± 0.099	0.078	0.632	
m/p-Xylene	1.542±4.599	0.260	21.785	0.157 ± 0.184	0.090	1.117	
o-Xylene	0.573±1.662	0.104	7.440	0.072 ± 0.075	0.047	0.465	
Styrene	0.173±0.339	0.034	1.507	0.036 ± 0.054	0.016	0.216	
i-Propylbenzene	0.096 ± 0.201	0.019	0.732	0.029 ± 0.020	0.020	0.083	
n-Propylbenzene	0.118 ± 0.282	0.028	1.113	0.023 ± 0.018	0.016	0.084	
m-Ethyltoluene	0.269 ± 0.757	0.042	3.538	0.035 ± 0.046	0.019	0.232	
p-Ethyltoluene	0.164 ± 0.387	0.034	1.440	0.032 ± 0.032	0.022	0.154	
o-ethyltoluene	0.175±0.385	0.034	1.452	0.030 ± 0.026	0.020	0.111	
1,3,5-Trimethylbenzene	0.197±0.423	0.030	1.447	0.031 ± 0.025	0.023	0.081	
1,2,4-Trimethylbenzene	0.290 ± 0.804	0.047	3.748	0.042 ± 0.055	0.022	0.254	
1,2,3-Trimethylbenzene	0.108 ± 0.180	0.038	0.743	0.032 ± 0.025	0.019	0.099	
Total NMHC	171.177±527.177	30.041	2823.177	29.706±30.278	23.189	175.661	

² Units: ppbv.





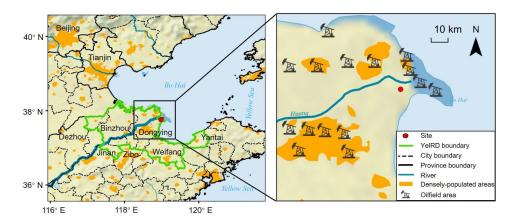


Figure 1. Map showing the location of the Yellow River Delta. The right map shows the surroundings of the sampling site and the approximate positions of the oilfield areas (Base map: made with Natural Earth).





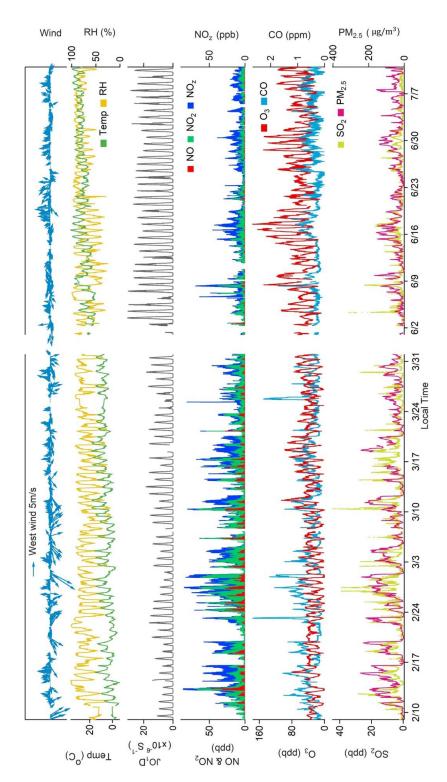


Figure 2. Time series of trace gases, PM2.5, and meteorological parameters measured at the study site during February-March and June-July 2017.



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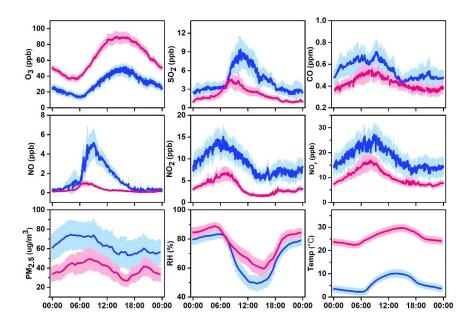


Figure 3. Average diurnal patterns of trace gases, $PM_{2.5}$, and meteorological parameters at the study site during February-March and June-July 2017. Error bars indicate half standard deviation of the mean (blue line: February-March, red line: June-July).





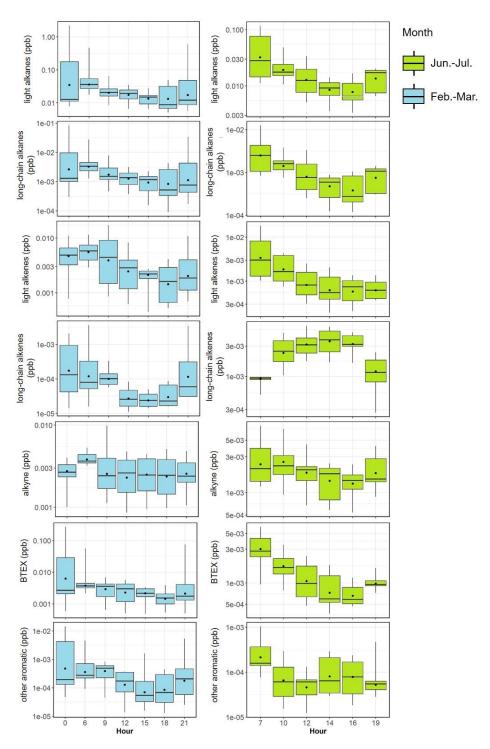


Figure 4. Average diurnal variations of light alkanes, long-chain alkanes, light alkenes, long-chain alkenes, alkyne, BTEX and other aromatics at the study site (left column: February-March, right column: June-July).



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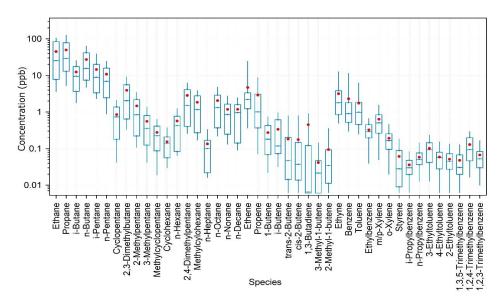


Figure 5. VOC source profile of the oil field emissions in the YelRD region. The box plot provides the 10th, 25th, 50th, 75th and 90th of the source sample data, and red dot gives the average of the data. Note that the regional background has been subtracted from the source data.

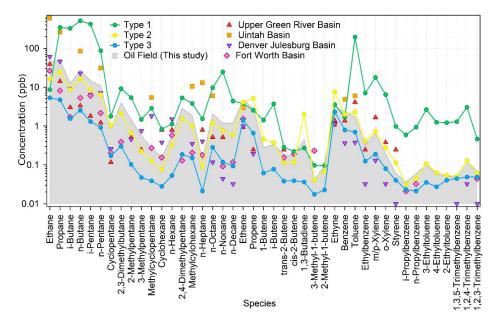


Figure 6. Comparison of the VOC composition of oil field samples (grey area) with three types of ambient samples in this study and in four U.S. oil fields (ERG, 2011; Field et al., 2015; Gilman et al., 2013; Koss et al., 2015).



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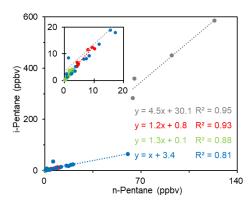


Figure 7. Scatter plot and regression lines of *i*-pentane versus *n*-pentane for the three types of ambient samples and oilfield samples (grey: Type 1, red: Type 2, green: Type 3, blue: Source; refer to the main text for the description of different types of data)

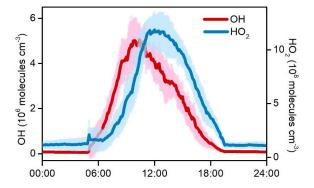


Figure 8. Simulated average diurnal variations of OH and HO₂ during the nine O₃ pollution episodes. The shaded areas indicate the standard deviations of the mean.

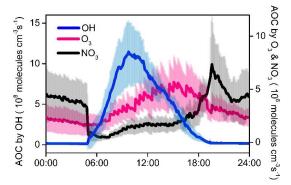
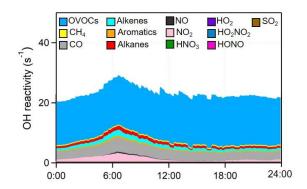


Figure 9. Model-calculated average oxidizing capacity of OH, O₃ and NO₃ during the summertime O₃ pollution episodes. The error bars indicate the standard deviations of the mean.







2 Figure 10. Model-calculated average OH reactivity (K_{OH}) and its breakdown to the major reactants during the summertime O₃ pollution episodes.





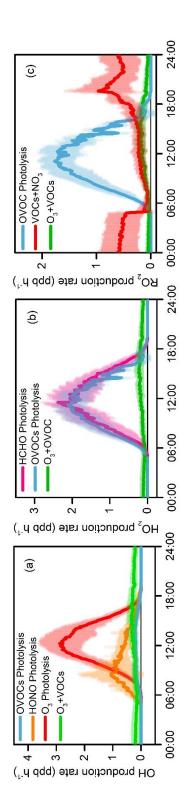


Figure 11. Simulated average primary production rates of (a) OH, (b) HO₂, and (c) RO₂ during the summertime O₃ pollution episodes. The error bars indicate the standard deviations of the mean.



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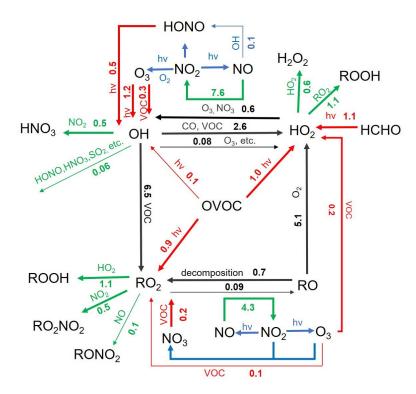


Figure 12. Daytime average ROx budget during the summertime O_3 episode days. The unit is ppb h^{-1} . The red, green and black lines indicate the production, destruction and recycling pathways of radicals, respectively.

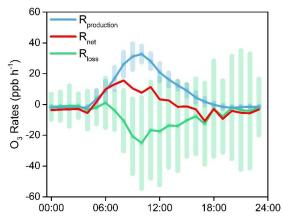


Figure 13. Simulated average O_3 production, destruction, and net rates during the summertime O_3 pollution episodes. The error bars indicated the standard deviations of the mean for O_3 production and destruction rates.



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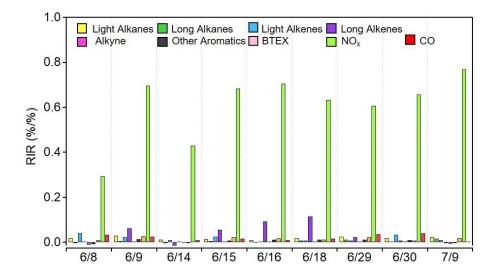


Figure 14. Model-calculated mid-day average (9:00-15:00 LT) RIRs for the major O₃ precursor groups during the summertime O₃ episodes.