

Anonymous Referee #1

General comments: The manuscript entitled “Air Quality and Climate Change, Topic 3 of the Model Inter-Comparison Study for Asia Phase III (MICS-Asia III), Part II: aerosol radiative effects and aerosol feedbacks discussed the estimates of aerosol radiative forcing, aerosol feedbacks and the dominant roles of direct forcing. The possible causes for the differences among the models were also analyzed by sensitivity simulation. Some interesting results have been found. However, the manuscript needs to be improved in writing and logically organization in its structure. I recommend publishing it after major revision.

- Reply: Thanks for the valuable comments. We have revised the manuscript following your comments, which are shown below.

Specific comments:

1. There are a few grammatical errors, please find a native speaker to proofread the paper.

- Reply: We, including a native speaker, have carefully read the manuscript and edited to avoid grammatical errors.

2. The sections of manuscript need to be organized more logically in structure.

- Reply: The manuscript discusses aerosol radiative forcing as it is closely connected with aerosol feedbacks, so we put it in Sect. 3 while aerosol feedbacks in Sect. 4. In Sect. 5, simulations were conducted to figure out the reasons for differences. We added Sect. 2 to describe the design of the experiment to make it easier to read.

3. Lines 61-65: Some important previous work in China from the observational perspective have been ignored, including

Huang et al., Satellite-based assessment of possible dust aerosols semi-direct effect on cloud water path over East Asia, 2006;

Liu et al., Aerosol optical properties and radiative effect determined from sky-radiometer over Loess Plateau of Northwest China, 2011;

Also, Line 82-89: some modeling work have been ignored, including

Chen et al., Modeling the transport and radiative forcing of Taklimakan dust over the Tibetan Plateau, 2013;

Chen et al., Dust modeling over East Asia during the summer of 2010 using the WRF-Chem model, 2018;

Liu et al., Modeling study on the transport of summer dust and anthropogenic aerosols over the Tibetan Plateau, 2015;

Jia et al., Estimation of the aerosol radiative effect over the Tibetan Plateau based on the latest CALIPSO product, 2018.

These researches are highly relevant to the topic investigated here.

- Reply: Thanks for mentioning these important studies. We have added these references in the revised manuscript.
- Huang, J., Lin, B., Minnis, P., Wang, T., Wang, X., Hu, Y., Yi, Y. and Ayers, J.K.: Satellite-based assessment of possible dust aerosols semi-direct effect on cloud water path over East Asia, *Geophys. Res. Lett.*, 33(19), <https://doi.org/10.1029/2006GL026561>, 2006.
- Liu, Y., Huang, J., Shi, G., Takamura, T., Khatri, P., Bi, J., Shi, J., Wang, T., Wang, X. and Zhang, B.: Aerosol optical properties and radiative effect determined from sky-radiometer over Loess Plateau of Northwest China, *Atmos. Chem. Phys.*, 11(22), pp.11455-11463, <https://doi.org/10.5194/acp-11-11455-2011>, 2011.
- Chen, S., Huang, J., Zhao, C., Qian, Y., Leung, L.R. and Yang, B.: Modeling the transport and radiative forcing of Taklimakan dust over the Tibetan Plateau: A case study in the summer of 2006, *Jour. Geophys. Res.: Atmos.*, 118(2), pp.797-812, <https://doi.org/10.1002/jgrd.50122>, 2013.
- Chen, S., Yuan, T., Zhang, X., Zhang, G., Feng, T., Zhao, D., Zang, Z., Liao, S., Ma, X., Jiang, N. and Zhang, J.: Dust modeling over East Asia during the summer of 2010 using the WRF-Chem model, *Jour. Quant. Spec. Rad. Tran.*, 213, pp.1-12, <https://doi.org/10.1016/j.jqsrt.2018.04.013>, 2018.
- Liu, Y., Sato, Y., Jia, R., Xie, Y., Huang, J. and Nakajima, T.: Modeling study on the transport of summer dust and anthropogenic aerosols over the Tibetan Plateau, *Atmos. Chem. Phys.*, 15(21), pp.12581-12594, <https://doi.org/10.5194/acp-15-12581-2015>, 2015.
- Jia, R., Liu, Y., Hua, S., Zhu, Q. and Shao, T.: Estimation of the aerosol radiative effect over the Tibetan Plateau based on the latest CALIPSO product, *Jour. Met. Res.*, 32(5), pp.707-722, <https://doi.org/10.1007/s13351-018-8060-3>, 2018.

4. Line 66-69, please cite the previous researches as an illustration basis.

- Reply: We have included these studies in the revised manuscript:
- "Aerosols change weather and climate via the following pathways: they absorb and scatter solar and thermal radiation to alter the radiative balance of the earth-atmosphere system (Liu et al., 2011; Jia et al., 2018)";
- "The suppression of cloud convection induced by direct effects of absorbing aerosols is called the semi-direct effect (Huang et al., 2006; Lohmann and Feichter, 2005)."
- "including WRF-Chem (the Weather Research Forecasting model coupled with Chemistry, Chen et al., 2013, 2018; Gao et al., 2016, 2017; Liu et al., 2015),"

5. Lines 80-81, the meaning of theory and practice of studying aerosol feedbacks over Asia should be illustrated in detail.

- Reply: We have added descriptions of the theory in the revised manuscript: “High concentrations of aerosols would enhance the stability of boundary layer due to reductions in radiation that reach the surface, which in turn cause further increases in PM2.5 concentrations (Ding et al., 2016; Gao et al., 2016)”.
- More explanations of related studies can be found in Sect. 3: “These results can be compared to previous studies. The contributions of aerosol-radiation feedback to haze formation in China have been investigated in many previous studies (Ding et al., 2016; Gao et al., 2015; Gao et al., 2016; Liu et al., 2018; J. Wang et al., 2014; Z. Wang et al., 2014; Wang et al., 2015; Wu et al., 2019; Zhang et al., 2015; Zhang et al., 2018; Zhong et al., 2018), but the reported values partly diverge.”

6. Please add a section to describe the model and research methodology. Move the illustration in Line 90-101 and Line 157-159 to the new section.

- Reply: Model descriptions, research methodology and model evaluations were provided in a companion paper, part I:
- Gao, M., Han, Z., Liu, Z., Li, M., Xin, J., Tao, Z., Li, J., Kang, J.-E., Huang, K., Dong, X., Zhuang, B., Li, S., Ge, B., Wu, Q., Cheng, Y., Wang, Y., Lee, H.-J., Kim, C.-H., Fu, J. S., Wang, T., Chin, M., Woo, J.-H., Zhang, Q., Wang, Z., and Carmichael, G. R.: Air quality and climate change, Topic 3 of the Model Inter-Comparison Study for Asia Phase III (MICS-Asia III) – Part 1: Overview and model evaluation, *Atmos. Chem. Phys.*, 18, 4859–4884, <https://doi.org/10.5194/acp-18-4859-2018>, 2018.
- In the revised manuscript, we have added a section to describe the activity.
- “2 Overview of MICS-Asia III Topic 3 The participants were requested to use common emissions to simulate air quality during January 2010 and submit requested model variables. Table 1 summarizes the characteristics of the participating models. These models include one application of the Weather Research Forecasting model coupled with Chemistry (WRF-Chem; Fast et al., 2006; *Grell et al., 2005*) by Pusan National University (PNU) (M1); one application of the WRF-Chem model by the University of Iowa (UIOWA) (M2); two applications (two domains: 45 and 15 km horizontal resolutions) of the National Aeronautics and Space Administration (NASA) Unified WRF (NU-WRF; *Peters-Lidard*

et al., 2015) model by the Universities Space Research Association (USRA) and NASA's Goddard Space Flight Center (M3 and M4); one application of the Regional Integrated Environment Modeling System with Chemistry (RIEMS-Chem; *Han et al.*, 2010) by the Institute of Atmospheric Physics (IAP), Chinese Academy of Sciences (M5); one application of the coupled Regional Climate Chemistry Modeling System (RegCCMS; *Wang et al.*, 2010) from Nanjing University (M6); and one application of the coupled WRF-CMAQ (Community Multiscale Air Quality) model by the University of Tennessee at Knoxville (UTK) (M7). A new Asian emission inventory was developed for MICS-III by integrating state-of-the-art national or regional inventories to support this model intercomparison study (*Li et al.*, 2017), which was provided to all modeling groups, along with biogenic emissions, biomass burning emissions, emissions from air and ship transport, volcano emissions, and dust emissions. Simulations of two global chemical transport models (e.g., GEOS-Chem (The Goddard Earth Observing System Model-Chemistry) and MOZART (Model for OZone And Related chemical Tracers)) were used as boundary conditions for MICS-Asia III. Comprehensive model evaluations suggest that all models could capture the observed near-surface temperature and water vapor mixing ratio, but overestimated near-surface wind speeds to varying degrees. Participating models were able to represent the observed daily maximum downward shortwave radiation, particularly low values during haze days, and the observed variations of air pollutants, including SO₂, NO_x, CO, O₃, PM_{2.5}, and PM₁₀. However, large differences in the models were found in the predicted PM_{2.5} chemical compositions.”

7. Please give the detailed description when the abbreviation first appears (for example, M1, M2, M3. . .M7).

- Reply: M1, M2...M7 represent different participating models, which were documented in our paper part I. To make it easier to read, we added Table 1 into the revised manuscript: “from M1 (a), M2 (b), M4 (c), M5 (d), M6 (e), M7 (f) (Table 1:”.

8. Please use the box or symbol to show the BTH region, Huabei province and Beijing in Figure 1.

- Reply: BTH and Beijing are small areas in Figure 1 and it is not clear if we mark them in Figure 1. Thus, we add Figure S1 in the supplement to display the BTH region (marked with blue) and Beijing (shown using the green arrow). In the revised manuscript, we change Huabei province to the BTH region.

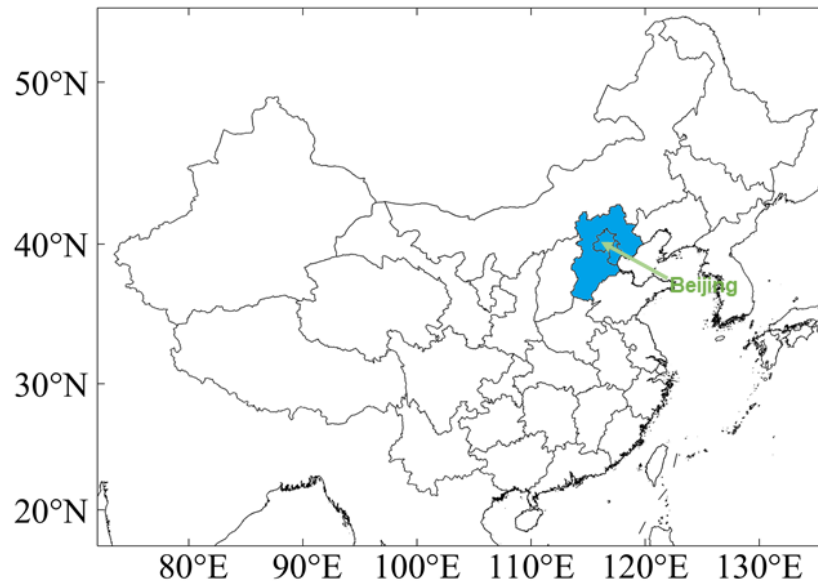


Figure S1. The Beijing-Tianjin-Hebei region is marked with blue and Beijing is shown with green arrow.

9. Line 118-119, the description is inconsistent with Table 1.

➤ Reply: We have changed it to make it consistent.

10. Line 145-149, why do you only use M4 and M5 to provide direct and indirect aerosol radiative forcing? Why do you only use M5 to study the effects of aerosols mixing state, hygroscopic growth, black carbon and mineral dust. Obviously, the values given by these models are very different. Which should be illustrated.

➤ Reply: MICS-Asia is a volunteer-based model inter-comparison activity. Only limited model outputs were requested. Most modeling groups did conduct extra numerical experiments to distinguish direct and indirect forcing. Thus, we only include the discussions based on M4 and M5, and the results from these two model applications are consistent that direct forcing dominates the total forcing.

➤ Please notice that we have updated the results from M5 in the revised manuscript as some mistakes were found for M5 in the calculations of optical properties. The updated results show higher agreements with other models, but M5 still produces the largest (negative)

radiative forcing at TOA and the second largest forcing at the surface in both the BTH region and Beijing.

- It is of great importance to understand the drivers for the differences, and the IAP group (M5) volunteered to conduct additional simulations. We agree that different models would behave differently in the sensitivity simulations. To address this, we added comparison and discussion in the revised manuscript to provide a clearer picture of how these factors would influence the results.
- “Large uncertainties still remain in the estimates of the role of BC in aerosol feedbacks relative to scattering aerosols. Gao et al. (2016) suggested that the impacts of BC on boundary layer height and PM_{2.5} concentrations can account for as high as 60% of the total aerosol feedbacks in the North China Plain at 2 p.m., although it only accounts for a small share of PM in terms of mass concentration. Qiu et al. (2017) indicated that PM_{2.5} concentrations averaged over the North China Plain increased by 16.8% and 1.0% due to scattering aerosols and BC, respectively. It should be noted that most participating models, including RIEMS-Chem, tend to underpredict the total mass concentrations of scattering aerosols (inorganic and organic aerosols) by up to a factor of two over the study period, leading to overestimation of the contribution of BC.”

11. Line 195- 196, the description is inconsistent with Table 3. M2 (12.9)

- Reply: We have changed the upper range to 12.9.

12. Please add a discussion about the simulation performance of different models.

- Reply: We evaluated all models in the companion paper part I: “model evaluations have been archived in Gao et al. (2018a).”
- We did include discussions in this manuscript:
- “Model evaluation of PM_{2.5} composition in Gao et al. (2018a) reveals that M4 overpredicts strong scattering organic carbon, which could be one of the reasons for higher temperature reduction.”
- “As suggested in model evaluation, sulfate and organic aerosol concentrations are generally underestimated by most models in this study, except that M4 overestimate organic aerosol (Gao et al., 2018a). These were attributed to the missing multiphase

oxidation mechanisms of SO₂, and different secondary organic aerosol (SOA) formation mechanisms in these models (Gao et al., 2018a).”

- Following your suggestion, we added more discussions of model evaluation in the revised manuscript: “Comprehensive model evaluations suggest that all models could capture the observed near-surface temperature and water vapor mixing ratio, but overestimated near-surface wind speeds to varying degrees. Participating models were able to represent the observed daily maximum downward shortwave radiation, particularly low values during haze days, and the observed variations of air pollutants, including SO₂, NO_x, CO, O₃, PM_{2.5}, and PM₁₀. However, large differences in the models were found in the predicted PM_{2.5} chemical compositions. “

13. Line 271-272 please give some evidence for that the effect of BC indicates smaller than that of other scattering aerosols. How about the effect of sulfate aerosol?

- Reply: To avoid confusion, we rewrote the sentence in the revised manuscript and added discussions:
- “Two sets of simulations, namely without BC and with doubled BC concentrations, were conducted to examine the influences of BC on aerosol radiative forcing and feedbacks. In the control case, the aerosol induced changes in monthly mean surface air temperature, wind speed and PM_{2.5} values are -0.47 °C, -0.03 m/s and 1.5 μg/m³ for the BTH region, respectively. When BC is not included (only scattering aerosols and dust), the corresponding aerosol induced changes are -0.37 °C, -0.02 m/s and 0.7 μg/m³, respectively. When BC concentrations are doubled, these values change to -0.52 °C, -0.04 m/s and 2.2 μg/m³, respectively. The comparison between the control case and two additional sensitivity cases indicates that the changes caused by BC are comparable to those by scattering aerosols. The contribution of BC to aerosol feedbacks can reach up to 40~50%. It is also found that the influence of BC on aerosol feedbacks with internal mixing assumption is larger than that with external mixing assumption (Figure not shown).
- Large uncertainties still remain in the estimates of the role of BC in aerosol feedbacks relative to scattering aerosols. Gao et al. (2016) suggested that the impacts of BC on boundary layer height and PM_{2.5} concentrations can account for as high as 60% of the total aerosol feedbacks in the North China Plain at 2 p.m., although it only accounts for a small share of PM in terms of mass concentration. Qiu et al. (2017) indicated that PM_{2.5}

concentrations averaged over the North China Plain increased by 16.8% and 1.0% due to scattering aerosols and BC, respectively. It should be noted that most participating models, including RIEMS-Chem, tend to underpredict the total mass concentrations of scattering aerosols (inorganic and organic aerosols) by up to a factor of two over the study period, leading to overestimation of the contribution of BC.”

- In the companion paper part I, simulations of aerosol components were validated against observations in Beijing. Observations show that concentrations of sulfate (daily mean exceeding 60 $\mu\text{g}/\text{m}^3$) are comparable to nitrate, and are about 30% lower than the concentrations of OC during haze days. The hygroscopicity of sulfate and nitrate is larger than that of OC. Most of the models underpredict concentrations of total scattering aerosols (sulfate, nitrate and OC) by up to a factor of two, while models show reasonably good skills for BC. These results imply that the effect of scattering aerosols on aerosol feedbacks might have been underestimated.
- There were no simulations in MICS-Asia to separate the effect of sulfate. In the revised manuscript, we added sentences to acknowledge this limitation: “Huang et al. (2015) separated the contributions of different aerosol components to aerosol direct radiative forcing, highlighting the roles of BC and sulfate. Future studies are also needed to separate the effects of other aerosol components, including sulfate, on aerosol feedbacks. ”
- Qiu, Y., Liao, H., Zhang, R. and Hu, J.: Simulated impacts of direct radiative effects of scattering and absorbing aerosols on surface layer aerosol concentrations in China during a heavily polluted event in February 2014, *Jour. Geophys. Res.: Atmos.*, 122(11), pp.5955-5975, <https://doi.org/10.1002/2016JD026309>, 2017.
- Huang, X., Song, Y., Zhao, C., Cai, X., Zhang, H. and Zhu, T.: Direct radiative effect by multicomponent aerosol over China, *Jour. Clim.*, 28(9), pp.3472-3495, <https://doi.org/10.1175/JCLI-D-14-00365.1>, 2015.

Technical corrections:

1. Line 110, ‘In Sect. 2’??? Please check it.

- Reply: We’ve changed to Sect. 3.

2. Line 118, Please give the full spelling of BTH when the abbreviation appears at the first time.

➤ Reply: We've changed accordingly.

3. Line 118, 'reports' should be changed to 'report'.

➤ Reply: We've changed accordingly.

4. Line 121, Please give the full spelling of AOD.

➤ Reply: We've changed accordingly.

5. Line 257, 'by dust' should be changed to 'of dust'.

➤ Reply: We've changed accordingly.

Anonymous Referee #2

General comments

The paper is the second part of two papers discussing the results of the MICS-Asia III model inter-comparison exercise with special focus on the performance of online coupled air quality models in simulating high aerosol pollution in the North China Plain region during wintertime haze events. While the focus of first part is on the description of the design of the modelling exercise and the overall model performance, this paper focuses on the role of aerosol radiative forcing and aerosol meteorology interactions for six different models. By means of case studies with one of the models, the authors investigate the sensitivity of aerosol radiative forcing to different aerosol descriptions. The current paper includes some interesting results and is generally worth to be published. However, some aspects need to be discussed in more detail and the presentation quality must be improved for major parts of the paper. Therefore, I recommend publishing the paper after major revisions.

➤ Reply: Thanks for the valuable comments. We have revised the manuscript following your comments, especially the presentation quality. Detailed modifications are shown below.

Specific comments

Although the paper is the second of two associated papers, it is necessary to add a section that gives a brief overview of the experimental design and model setup as well as the applied models. Furthermore, the name of the models should be connected to the abbreviations M1, M2, . . . This information is given in Part 1, so this is obviously not a secret. It could be looked up there, but including this information also in this paper (e.g. in Table 1) would enhance the paper's readability considerably.

- Reply: Thanks for the good suggestion. In the revised manuscript, we have added a section to describe the activity.
- We have added Table 1 to connect model names and abbreviations in the revised manuscript.
- “2 Overview of MICS-Asia III Topic 3 The participants were requested to use common emissions to simulate air quality during January 2010 and submit requested model variables. Table 1 summarizes the characteristics of the participating models. These models include one application of the Weather Research Forecasting model coupled with Chemistry (WRF-Chem; Fast et al., 2006; *Grell et al., 2005*) by Pusan National University (PNU) (M1); one application of the WRF-Chem model by the University of Iowa (UIOWA) (M2); two applications (two domains: 45 and 15 km horizontal resolutions) of the National Aeronautics and Space Administration (NASA) Unified WRF (NU-WRF; *Peters-Lidard et al., 2015*) model by the Universities Space Research Association (USRA) and NASA's Goddard Space Flight Center (M3 and M4); one application of the Regional Integrated Environment Modeling System with Chemistry (RIEMS-Chem; *Han et al., 2010*) by the Institute of Atmospheric Physics (IAP), Chinese Academy of Sciences (M5); one application of the coupled Regional Climate Chemistry Modeling System (RegCCMS; *Wang et al., 2010*) from Nanjing University (M6); and one application of the coupled WRF-CMAQ (Community Multiscale Air Quality) model by the University of Tennessee at Knoxville (UTK) (M7). A new Asian emission inventory was developed for MICS-III by integrating state-of-the-art national or regional inventories to support this model intercomparison study (*Li et al., 2017*), which was provided to all modeling groups, along with biogenic emissions, biomass burning emissions, emissions from air and ship transport, volcano emissions, and dust emissions. Simulations of two global chemical transport models (e.g., GEOS-Chem (The Goddard Earth Observing System Model-Chemistry) and

MOZART (Model for OZone And Related chemical Tracers)) were used as boundary conditions for MICS-Asia III. Comprehensive model evaluations suggest that all models could capture the observed near-surface temperature and water vapor mixing ratio, but overestimated near-surface wind speeds to varying degrees. Participating models were able to represent the observed daily maximum downward shortwave radiation, particularly low values during haze days, and the observed variations of air pollutants, including SO₂, NO_x, CO, O₃, PM_{2.5}, and PM₁₀. However, large differences in the models were found in the predicted PM_{2.5} chemical compositions.”

Please add also some information about the length of the simulated episode and the simulation setup. Was the entire episode covered by one single simulation or was the episode simulated as a sequence of shorter time slices? The way how the simulation is performed can affect the development of semi-direct effects to a certain amount.

- Reply: We have added one sentence to describe this: “The entire month of January 2010 was simulated and covered by one single simulation for each participating model.”

Why is model M3 not included? According to part 1 (Gao et al., 2018a) the simulation with WRF-CMAQ (M7) was performed with aerosol–radiation interactions turned off. If this is also the case here, this should be mentioned and eventual implications on the results should be discussed.

- Reply: Nudging of meteorological variables were applied for M3, so the simulated feedbacks are not apparent. We decided not to include in the comparison. In model evaluation shown in Gao et al. (2018a), the results of M7 are from a simulation with aerosol-radiation interactions turned off, but the results from this study are based on online simulation of M7. As the current paper dicusses aerosol feedbacks, in which results must come from online simulations, it will not lead to confusion with whether aerosol-radiation interactions in M7 are on or off.

Line 78-80: Since e.g. Grell et al., 2011 (doi:10.5194/acp-11-5289-2011) and Yang et al. 2012 (<https://doi.org/10.5194/acp-12-3045-2012>) describe the development and implementation of aerosol-meteorology interactions into WRF-Chem, these papers should also be mentioned here and not only application papers. This holds of course also for the other models.

- Reply: We have added the suggested references in the revised manuscript.

- Grell, G. A., Peckham, S. E., Schmitz, R., McKeen, S. A., Frost, G., Skamarock, W. C., and Eder, B.: Fully coupled “online” chemistry within the WRF model, *Atmos. Environ.* 39, 6957–6975, 2005.
- Saide, P. E., Spak, S. N., Carmichael, G. R., Mena-Carrasco, M. A., Yang, Q., Howell, S., Leon, D. C., Snider, J. R., Bandy, A. R., Collett, J. L., Benedict, K. B., de Szoeko, S. P., Hawkins, L. N., Allen, G., Crawford, I., Crosier, J., and Springston, S. R.: Evaluating WRF-Chem aerosol indirect effects in Southeast Pacific marine stratocumulus during VOCALS-REx, *Atmos. Chem. Phys.*, 12, 3045-3064, <https://doi.org/10.5194/acp-12-3045-2012>, 2012.
- Yang, Q., W. I. Gustafson Jr., Fast, J. D., Wang, H., Easter, R. C., Morrison, H., Lee, Y.-N., Chapman, E. G., Spak, S. N., and Mena-Carrasco, M. A.: Assessing regional scale predictions of aerosols, marine stratocumulus, and their interactions during VOCALS-REx using WRF-Chem, *Atmos. Chem. Phys.*, 11, 11951–11975, doi:10.5194/acp-11-11951-2011, 2011.

Lines 122-123: Please give a reference here.

- Reply: We have added a reference in the revised manuscript: “M6 also use an external assumption which likely cause weaker absorption and ADRF in the atmosphere (Conant et al., 2003).”
- Conant, W.C., Seinfeld, J.H., Wang, J., Carmichael, G.R., Tang, Y., Uno, I., Flatau, P.J., Markowicz, K.M. and Quinn, P.K.: A model for the radiative forcing during ACE-Asia derived from CIRPAS Twin Otter and R/V Ronald H. Brown data and comparison with observations, *Jour. Geophys. Res.: Atmos.*, 108(D23), <https://doi.org/10.1029/2002JD003260>, 2003.

Lines 125-126: Please try to explain this behavior.

- Reply: We added explanation in the revised manuscript: “This is related to the strong negative forcing at the surface and predicted high concentrations of sulfate by M5 (Gao et al., 2018a).”

Lines 133-134: What is ‘other model treatments’?

- Reply: Other model treatments include parameterization of hygroscopicity, including dust or not, etc. To avoid confusion, we change the sentence to: “while discrepancies among models could be resulted from assumptions for mixing state and other model treatments (parameterization of hygroscopicity, mineral dust, etc.).”

Line 156-159 and caption of Fig. 3: The name of the model would be more helpful here.

- Reply: We have added names of models here and Table 1 to describe these models.
- (Table 1: M1: WRF-Chem, Pusan National University; M2: WRF-Chem, University of Iowa; M4: NU-WRF, NASA; M5: RIEMS-Chem, Institute of Atmospheric Physics; M6: RegCCMS, Nanjing University; M7: WRF-CMAQ, University of Tennessee; Gao et al., 2018a).

Line 181: Why are the results for M6 so different?

- Reply: Most other models use WRF as the meteorological model while M6 uses a very different climate model. In addition, lots of parameterization schemes used in M6 are different. For example, other models use a kappa parameterization to describe aerosol hygroscopic growth, while M6 uses a different hygroscopic growth scheme following Kiehl and Briegleb (1993). M6 produces way too high concentrations of sulfate in Beijing. All these factors make M6 very different.

Lines 228-242: These results should be discussed in relation to the results by Curci et al., 2015 (<http://dx.doi.org/10.1016/j.atmosenv.2014.09.009>) and similar studies.

- Reply: In the revised manuscript, we added comparison with Curci et al., 2015 and other similar studies:
- “In the control case, a homogeneous mixture of inorganic aerosols and BC is assumed. The refractive index of this mixture is estimated using the volume-weighted average of the refractive index of individual component. The size of the mixture is prescribed to be the maximum size of the mixed aerosol components. For example, the size of the mixture of

sulfate and BC is set to be equal to sulfate, assuming a small BC particle sticking to a larger sulfate particle. An additional simulation was conducted with the assumption of external mixing, and the corresponding results are displayed in Fig. 7-9. For external mixing assumption, each aerosol component is considered individually, and the total AOD is calculated as the sum of extinction by each aerosol component. Compared with internal mixing assumption, results from external mixing assumption generally exhibit a weaker (negative) ADRF at the surface (~15%), a stronger (negative) ADRF at TOA (~50%) and a decreased (positive) ADRF in the atmosphere (~30%) (Fig. 9a, 9f, 9k). These responses of ADRF to aerosol mixing state inferred by this study are consistent with those from Conant et al. (2003). Curci et al. (2015) reported lower AOD with internal mixing assumption than external mixing assumption, because aerosol mass was distributed more to larger particles. As a result, fewer scattering agents are estimated, leading to lower AOD. These differences also suggest that the effects of mixing state on radiative forcing may differ under different treatments. With external mixing assumption, M5 predicts smaller aerosol feedbacks (changes in surface meteorological variables and PM_{2.5} concentrations, Fig. 8a, 8d, 8g, and 8j) than the estimates with internal mixing assumption. The monthly averaged changes in surface air temperature, wind speed and PM_{2.5} values are -0.47 °C, -0.03 m/s and 1.5 µg/m³ for the BTH region with internal mixing assumption, while the corresponding values change to -0.46 °C, -0.02 m/s and 1.2 µg/m³ with external mixing assumption. These differences emphasize the important influences of aerosol mixing state on ADRF and aerosol feedbacks. Aerosol mixing states can vary with time and location. Measurements in North China suggest that aerosols are partially internally mixed, and the fraction of internal mixing increased from clean to haze periods (Li et al., 2014).”

- Conant, W.C., Seinfeld, J.H., Wang, J., Carmichael, G.R., Tang, Y., Uno, I., Flatau, P.J., Markowicz, K.M. and Quinn, P.K.: A model for the radiative forcing during ACE-Asia derived from CIRPAS Twin Otter and R/V Ronald H. Brown data and comparison with observations, *Jour. Geophys. Res.: Atmos.*, 108(D23), <https://doi.org/10.1029/2002JD003260>, 2003.

Lines 253-254: Model names in addition to M5 and M5 would be helpful. Does M1 (which is also WRF-Chem) definitely not include any soil dust? It is possible to use MADE-Sorgam in combination with a dust option. Please clarify this in the paper.

- Reply: All models have the options to include dust, but in some applications, the modelers did not turn this option on. We have changed the sentence to make it clearer: “M5 (RIEMS-Chem) includes all anthropogenic aerosols and dust, sea salt, while the other models except M2 (WRF-Chem, University of Iowa) do not consider natural dust in their model settings.”

Lines 285 (Language quality): ‘Previous paper’: Which previous paper?

- Reply: We have changed “previous paper” to Gao et al. (2018a).

Line 291: What is the reason for this?

- Reply: ADRF at the TOA is the sum of ADRF at the surface (negative) and ADRF inside the atmosphere (positive). the ADRF at the TOA can be either positive and negative, depending on the relative magnitudes of ADRF at the surface and inside the atmosphere. Most of the model results show alternating sign of positive and negative values in the distribution of ADRF at the TOA, in contrast to the consistent negative and positive values of ADRF at the surface and in the atmosphere. This is related to the distribution of predicted relative importance of scattering and absorbing aerosols.

Line 299: Which studies?

- Reply: We have changed the sentence to “Our findings differ from previous studies (Ding et al., 2016; Gao et al., 2015; Gao et al., 2016; Liu et al., 2018; J. Wang et al., 2014; Z. Wang et al., 2014; Wang et al., 2015; Wu et al., 2019; Zhang et al., 2015; Zhang et al., 2018; Zhong et al., 2018).”
-

Caption of Fig. 2: Which month?

- Reply: To avoid confusion, we have added “(January 2010)” into all captions of figures.

Caption of Table 1 and 2: To which area do the results given in the tables refer to?

- Reply: We add Figure S1 in the supplement to display the BTH region (marked with blue) and Beijing (shown using the green arrow). These regions are defined with political boundaries. We also add “(areas marked in Fig. S1)” in captions of Tables

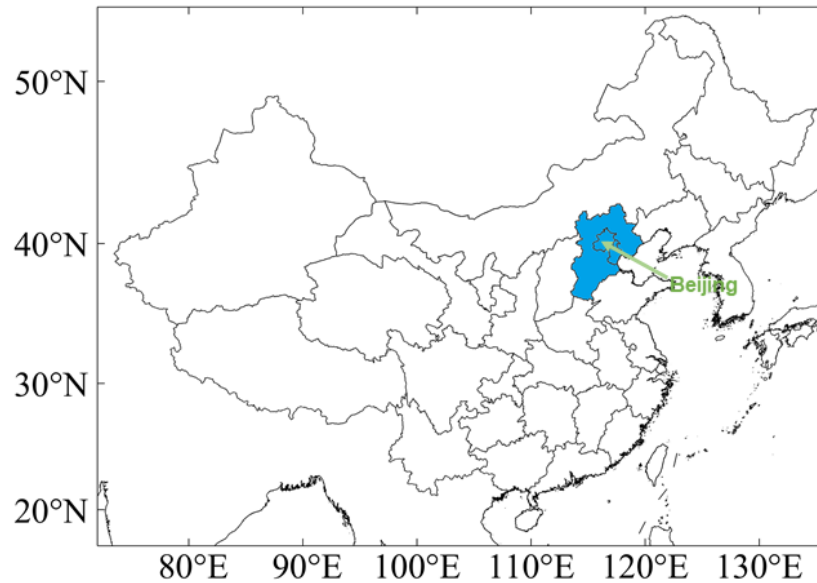


Figure S1. The Beijing-Tianjin-Hebei region is marked with blue and Beijing is shown with green arrow.

The language quality must be improved by consulting a native speaker or a language editing service.

- Reply: We (including a native speaker) have carefully checked the language and improved the quality.

Minor points

Line 101, 175, 188: Start a new paragraph here (and everywhere else, where you start to discuss a new topic).

- Reply: We have changed accordingly.

Lines 104-105, 122, 133 (could be resulted), 216-220, 291, 303-304 and many other lines: Odd language

- Reply: We have changed these sentences to:
- 104-105: “how do aerosol feedbacks change meteorological variables? and how do current models differ in estimating these changes?”
- 122: “It is noticed that M6 predicts lower aerosol optical depth (AOD) than M7 (Gao et al., 2018a), which could partly explain the weaker ADRF estimated by M6. M6 uses an external assumption of aerosol mixing state which likely cause weaker absorption and ADRF in the atmosphere (Conant et al., 2015).”
- 133: “among models could be due to assumptions”
- 216-220: “Concentrations of sulfate and organic aerosol are generally underestimated by most of the participating models, and M4 overestimates the concentrations of organic aerosols (Gao et al., 2018a). These model errors were attributed to the missing multiphase oxidation mechanisms of SO₂, and different secondary organic aerosol (SOA) formation mechanisms in these models (Gao et al., 2018a).”
- 291: “The spatial distributions of ADRF at the surface and inside the atmosphere inferred from multiple models are generally consistent, but the spatial distributions of ADRF at the TOA estimated by these models greatly differ.”
- 303-304: “The results indicate the important effect of aerosol mixing state on the estimates of ADRF and aerosol feedbacks, and BC exhibits large contribution to atmospheric heating although it accounts for a small share of mass concentration of PM_{2.5}”

Line 122: ‘an external assumption’: About what?

- Reply: external assumption of aerosol mixing state. We have changed it in the revised manuscript.

Line 122: Why ‘also’? Which other models use also the assumption of external mixing?

- Reply: We have deleted “also” here

Line 169: A word seems to missing here.

- Reply: We have changed the sentence to “Model evaluation of PM2.5 composition in Gao et al. (2018a) reveals that M4 overpredicts the concentrations of organic carbon, which could be one of the reasons for the higher estimated temperature reduction due to aerosols.”

Line 225: A reference should be given here.

- Reply: We have added the following reference: RIEMS-Chem model (M5) (Han et al., 2010)
- Han, Z.: Direct radiative effect of aerosols over East Asia with a regional coupled climate/chemistry model, *Meteorologische Zeitschrift*, 19(3), pp.287-298, <https://doi.org/10.1127/0941-2948/2010/0461>, 2010.

Line 282: A reference should be given here.

- Reply: We have added the reference “Topic 3 of MICS-Asia III (Gao et al., 2018a) focuses on understanding how current online coupled air quality models perform in capturing extreme aerosol pollution event in northern China and how aerosols interact with radiation and weather.”

References in Figure captions: 2018a or 2018b? Better mention the models instead of the institutions (eventually not necessary for all figures)

- Reply: We have changed 2018 to 2018a. We have added model names: “(M1: WRF-Chem, Pusan National University; M2: WRF-Chem, University of Iowa; M4: NU-WRF, NASA; M5: RIEMS-Chem, Institute of Atmospheric Physics; M6: RegCCMS, Nanjing University; M7: WRF-CMAQ, University of Tennessee; Gao et al., 2018a). ”

Line 450: Use either complete list of authors (Forkel, R., Balzarini, A., Baró, R., Bianconi, R., Curci, G., Jiménez-Guerrero, P., Hirtl, M., Honzak, L., Lorenz, C., Im, U., Pérez, J. L., Pirovano, G., José, R. S., Tuccella, P., Werhahn, J., and Zabkar, R.: Analysis of the WRF-Chem contributions to AQMEII phase2 with respect to aerosol radiative feedbacks on meteorology and pollutant distributions, Atmos. Environ., 115, 630–645, 2015.) or ‘et al’ after the third author.

- Reply: Thank you for mentioning. This list was generated automatically with Mendeley. We have updated.

1 **Air Quality and Climate Change, Topic 3 of the Model Inter-Comparison**
2 **Study for Asia Phase III (MICS-Asia III), Part II: aerosol radiative effects**
3 **and aerosol feedbacks**

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6 Kim⁶, Joshua S. Fu⁸, Tijian Wang⁹, Mian Chin⁵, Meng Li¹², Jung-Hun Woo¹³, Qiang Zhang¹⁴,
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36

37 **Abstract**

38 Topic 3 of the Model Inter-Comparison Study for Asia (MICS-Asia) Phase III examines how
39 online coupled air quality models perform in simulating ~~wintertime haze events~~ ~~high aerosol~~
40 ~~pollution~~ in the North China Plain region ~~during wintertime haze events~~ and evaluates the
41 importance of aerosol radiative and microphysical feedbacks. This paper discusses the
42 estimates of aerosol radiative forcing, aerosol feedbacks, and possible causes for the
43 differences among the participating models. Over the Beijing-Tianjin-Hebei (BTH) region, the
44 ensemble mean of estimated aerosol direct radiative forcing (ADRF) at the top of atmosphere,
45 inside the atmosphere and at the surface are ~~-1.91~~, ~~7.78.4~~ and ~~-8.840.3~~ W/m², respectively.
46 Subdivisions of direct and indirect aerosol radiative forcing confirm the dominant roles of
47 direct forcing. During severe haze days (January 17-19, 2010), the averaged reduction in near
48 surface temperature for the BTH region can reach 0.3-~~3.01.6~~ °C. The responses of wind speeds
49 at 10 m (WS10) inferred from different models show consistent declines in eastern China. For
50 the BTH region, aerosol-radiation feedback induced daytime changes in PM_{2.5} concentrations
51 during severe haze days range from 6.0 to ~~8.812.9~~ µg/m³ (< ~~6.6~~%). Sensitivity simulations
52 indicate the most sensitive parameter for aerosol radiative forcing and feedbacks is the aerosol
53 mixing state, and BC exhibits large contribution to atmospheric heating although it accounts
54 for a small share of mass concentration of PM_{2.5}.

55

56 **1 Introduction**

57 Aerosols change weather and climate via the following pathways: they absorb and scatter solar
58 and thermal radiation to alter the radiative balance of the earth-atmosphere system (Gao et al.
59 2019b; Liu et al., 2011; Jia et al., 2018), which is referred to as direct effects; and, they serve
60 as cloud condensation nuclei (CCN) and/or ice nuclei (IN) to modify cloud properties, which
61 is referred to as indirect effects (*Haywood and Boucher, 2000*). The suppression of cloud
62 convection induced by direct effects of absorbing aerosols is ~~called-known as~~ the semi-direct
63 effect (Huang et al., 2006; Lohmann and Feichter, 2005). Increases in cloud droplet number
64 can increase cloud albedo for a constant liquid water path (LWP), which is further classified as
65 the first indirect effect or Twomey effect (*Twomey, 1991*). More but smaller cloud droplets

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66 reduce precipitation intensity but increase cloud lifetime, which is ~~called-known as the~~ cloud
67 lifetime or second indirect aerosol effect (*Albrecht, 1989*). In turn, changes in the radiative
68 balance can alter meteorological variables (e.g. temperature, relative humidity, photolysis rate,
69 etc.) and further the transport, diffusion and chemical conversion of trace gases and aerosols,
70 while changes in clouds can affect in-cloud aqueous-phase chemistry and wet deposition of
71 gases and aerosols.

72 The impacts of meteorology on chemistry have been explicitly treated in chemical transport
73 models (CTMs). For example, temperature modulates chemical reaction and photolysis rates,
74 affects volatility of chemical species, and biogenic emissions, wind speed and direction
75 determine transport and mixing, and precipitation influences wet deposition (*Baklanov et al.,*
76 *2014*). However, due to the complexity of these processes and lack of computational resources,
77 the influences of atmospheric compositions on weather and climate have been generally
78 ignored in previous CTMs (*Baklanov et al., 2014*). ~~Studies examining how aerosols interact~~
79 ~~with weather/climate remain uncertain and limited.~~ ~~Until~~ ~~+~~ Recently, with the rapid
80 development of coupled meteorology and chemistry models, many new studies have been
81 conducted to investigate the aerosol direct and indirect effects and feedbacks (*Baklanov et al.,*
82 *2017; Forkel et al., 2015; Gao et al., 2016, 2017; Grell et al., 2005; Han et al., 2010; Huang*
83 *et al., 2016; Jacobson et al., 2007; Saide et al., 2012; Wang et al., 2014; Yang et al., 2011;*
84 *Zhang et al., 2010*). In highly polluted regions like Asia, aerosol feedbacks can be particularly
85 important (*Gao et al., 2016, 2017*). High concentrations of aerosols would enhance the stability
86 of boundary layer due to reductions in radiation that reach the surface, which in turn can cause
87 further increases in PM_{2.5} concentrations (*Ding et al., 2016; Gao et al., 2016*).

88 Aerosol feedbacks during haze events in China have been explored using multiple online
89 coupled meteorology-chemistry models, including WRF-Chem (the Weather Research
90 Forecasting model coupled with Chemistry, *Chen et al., 2013, 2018; Gao et al., 2016, 2017,*
91 *2019a; Liu et al., 2015*), WRF-CMAQ (Community Multiscale Air Quality, *Wang et al., 2014*).
92 Nevertheless, large uncertainties remain in the modelling of these processes, due to the lack of
93 direct observational constraints and challenges in predicting aerosol compositions. Thus, the
94 inter-comparison of coupled meteorology-chemistry models is of great significance to better
95 understand the differences, causes, and uncertainties within these processes.

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96 Topic 3: air quality and climate change within the Model Inter-Comparison Study for Asia
97 Phase III (MICS-Asia phase III) was initialized to address these issues (*Gao et al., 2018a*).
98 Results from seven applications of fully online coupled meteorology-chemistry models using
99 harmonized emission and chemical boundary conditions were submitted to this topic (*Gao et*
100 *al., 2018a*). These model applications include two applications of WRF-Chem by different
101 institutions, two applications of the National Aeronautics and Space Administration (NASA)
102 Unified WRF (NU-WRF) model with different model resolutions, one application of the
103 Regional Integrated Environment Modeling System with Chemistry (RIEMS-Chem, *Han et al.,*
104 *2010*), one application of the coupled Regional Climate Chemistry Modeling System
105 (RegCCMS), and one application of the coupled WRF-CMAQ model (*Gao et al., 2018a*). More
106 detailed information of the participating models, and information about how the experiments
107 were designed and how models perform evaluations have been archived in *Gao et al. (2018a)*.
108 In this paper, we analyze the results from the participating models to address the following
109 questions: (1) how large is the aerosol radiative forcing during winter haze in China and how
110 differently are models estimating it? (2) ~~to what extent do~~ how do aerosol feedbacks change
111 meteorological variables? and how ~~differently are~~ do current models differ in estimating these
112 changes? (3) ~~to what extent~~ how do aerosol feedbacks contribute to the evolution of high aerosol
113 concentrations during winter haze episodes? and what are the best estimates from different
114 models? And (4) what are the major causes of the differences among the models? Sect. 2
115 describes briefly how the experiments were designed and how models perform. Sect. ~~3~~
116 presents the estimates of aerosol direct radiative forcing inferred from multiple models,
117 including the separation of direct and indirect effects. In Sect. 24, we discuss the impacts of
118 aerosol-radiation feedbacks on meteorological variables and PM_{2.5} concentrations. Sect. ~~4-5~~
119 illustrates the sensitivity of aerosol forcing to different processes in the model, and the
120 summary is presented in Sect. 56.

122 2 Overview of MICS-Asia III Topic 3

123 The participants were requested to use common emissions to simulate air quality during
124 January 2010 and submit requested model variables. The participating models include one

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125 application of the Weather Research Forecasting model coupled with Chemistry (WRF-Chem;
126 Fast et al., 2006; Grell et al., 2005) by Pusan National University (PNU) (M1); one application
127 of the WRF-Chem model by the University of Iowa (UIOWA) (M2); two applications (two
128 domains: 45 and 15 km horizontal resolutions) of the National Aeronautics and Space
129 Administration (NASA) Unified WRF (NU-WRF; Peters-Lidard et al., 2015) model by the
130 Universities Space Research Association (USRA) and NASA's Goddard Space Flight Center
131 (M3 and M4); one application of the Regional Integrated Environment Modeling System with
132 Chemistry (RIEMS-Chem; Han et al., 2010) by the Institute of Atmospheric Physics (IAP),
133 Chinese Academy of Sciences (M5); one application of the coupled Regional Climate
134 Chemistry Modeling System (RegCCMS; Wang et al., 2010) from Nanjing University (M6);
135 and one application of the coupled WRF-CMAQ (Community Multiscale Air Quality) model
136 by the University of Tennessee at Knoxville (UTK) (M7) (Table 1). A new Asian emission
137 inventory was developed for MICS-Asia III by integrating state-of-the-art national or regional
138 inventories (Li et al., 2017), which was provided to all modeling groups, along with biogenic
139 emissions, biomass burning emissions, etc. Simulations from two global chemical transport
140 models (e.g., GEOS-Chem (The Goddard Earth Observing System Model-Chemistry) and
141 MOZART (Model for OZone And Related chemical Tracers)) were provided as boundary
142 conditions for MICS-Asia III. The entire month of January 2010 was simulated and covered
143 by one single simulation for each participating model. Comprehensive model evaluations
144 indicate that all models could capture the observed near-surface temperature and water vapor
145 mixing ratio, but overestimated near-surface wind speeds. These models were able to represent
146 the observed daily maximum downward shortwave radiation, particularly low values during
147 haze days. The observed variations of air pollutants, including SO₂, NO_x, CO, O₃, PM_{2.5}, and
148 PM₁₀, were reproduced by these models. However, large differences in the models were found
149 in the predicted PM_{2.5} chemical compositions.

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151 **2.3 Aerosol Direct and Indirect Forcing**

152 **Fig. 1** shows the monthly mean all-sky aerosol direct radiative forcing (ADRF) over China.
153 The spatial distributions of ADRF at the surface and inside the atmosphere inferred from

154 multiple models are generally consistent, with the largest values in eastern and southwestern
155 China. Over the Beijing-Tianjin-Hebei (BTH) region (areas marked in Figure S1), ~~M5 and~~M7
156 reports the highest ADRF at the surface (~~-16.7 and~~-17.0 W/m²), and the ~~greatest~~largest ADRF
157 inside the atmosphere (~~10.1 and~~-14.6 W/m²) (Table 12). M6 shows the lowest ADRF both at
158 the surface and inside the atmosphere (-3.6 and 3.6 W/m²) (Table 12). It is noticed that M6
159 predicts lower aerosol optical depth (AOD) than ~~M5 and~~M7 (Gao et al., 2018a), which could
160 partly explain the weaker ADRF estimated by M6; ~~and~~M6 also uses an external assumption
161 of aerosol mixing states, which is likely to cause weaker absorption and ADRF in the
162 atmosphere (Curci et al., 2015). However, the reported ADRF at the top of the atmosphere
163 (TOA) vary widely, and no consensus is reached on whether the forcing is positive or negative.
164 The spatial pattern of ADRF at the TOA inferred from M5 are consistently negative across the
165 modeling domain, while the results inferred from other models are patchy with positive values
166 to the north or to the southwest (Fig. 1). Consistent negative ADRF at the TOA estimated by
167 M5 is related to the strong negative forcing at the surface and the predicted high concentrations
168 of sulfate by M5 (Gao et al., 2018a). Over the BTH region, ~~suggested~~simulated ADRF at the
169 TOA range from ~~-2.67-6~~ to 0.2 W/m² (Table 24). Li et al. (2010) reported observation-based
170 estimates of aerosol radiative forcing across China to be 0.3±1.6 at the TOA. Chung et al. (2005)
171 and Chung et al. (2010) estimated the forcing over south Asia to be -2.9 W/m² and -3.6 W/m²
172 at the TOA, respectively. The magnitudes of ~~the model-modelled~~ estimated aerosol radiative
173 forcing values are generally in line with these estimates inferred from observations, while
174 discrepancies among models could be ~~resulted from~~due to assumptions ~~for~~of aerosol mixing
175 states and other model treatments (parameterization of hygroscopicity, soil dust, etc.). The
176 discussions on how different model treatments affect the results of ADRF is provided in Sect.
177 45.

178 Fig. 2 exhibits the ensemble mean of monthly averaged ADRF at the TOA, inside the
179 atmosphere and at the surface. Elevated forcing inside the atmosphere and at the surface are
180 mainly located in east China. However, the ensemble mean of forcing at the TOA over the
181 ocean is slightly higher than that over the land. Over the BTH region, the ensemble mean of
182 ADRF at the TOA, inside the atmosphere and at the surface are ~~-1.91, 8.47.7~~ and ~~-810.83~~ W/m²,
183 respectively. ~~When only haze days are considered, these values increase to -2.4, 19.0 and -21.4~~

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184 ~~W/m², respectively.~~ In winter, the aerosol radiative forcing in China is largely contributed by
185 the power sector and residential sector, but with different signs of the contribution (*Gao et al.*,
186 2018b).

187 M4 and M5 further provide subdivision of direct and indirect aerosol radiative forcing. As
188 listed in **Table 23**, although the magnitudes of forcing estimated by M4 and M5 differ from
189 each other, the dominant roles of direct forcing are consistent. Over ~~north~~-North China and
190 during wintertime, aerosol indirect forcing is negligible due to the lack of water vapor and the
191 stable weather conditions.

192

193 **3.4 Impact of aerosol feedbacks on meteorological variables and PM_{2.5}**

194 **concentrations**

195 ~~Here we analyze results for simulations on the time period, January 2010, of a heavy haze event.~~

196 When extreme haze events happen, high aerosol loadings can reduce significantly the
197 shortwave radiation reaching the surface, modifying near-surface temperature (*Gao et al.*,
198 2017). **Fig. 3** displays the aerosol-radiation feedback induced changes in temperature at 2 m
199 (T2) from M1 (a), M2 (b), M4 (c), M5 (d), M6 (e), M7 (f) (**Table 1**: M1: WRF-Chem, Pusan
200 National University; M2: WRF-Chem, University of Iowa; M4: NU-WRF, NASA; M5:
201 RIEMS-Chem, Institute of Atmospheric Physics; M6: RegCCMS, Nanjing University; M7:
202 WRF-CMAQ, University of Tennessee; *Gao et al.*, 2018a). ~~All~~The participating models show
203 different degrees of reductions in T2, ~~but the magnitudes differ~~. M5 exhibits the largest areas
204 where T2 is reduced most widespread areas with reductions, which include ~~northeastern~~
205 Northeastern China, ~~-. However, while~~ significant reductions in T2 inferred from other models
206 are mainly concentrated in southern China (**Fig. 3**). In Beijing (areas marked in Figure S1), the
207 monthly averaged reductions in T2 from multiple models range from 0 to -0.74 °C, with the
208 greatest changes calculated from M5-M4 (**Table 12**). In the Beijing-Tianjin-Hebei (BTH)
209 region, similar magnitudes (0 -1.30.8 °C) are found. When only severe haze days (January 17-
210 19) are considered, the averaged reductions in T2 for Beijing (0.1 -3.21.7 °C) and the BTH
211 region (0.3 -1.63.0 °C) are further enhanced (**Table 34**). In terms of aerosol-radiation feedback
212 induced temperature reduction, M1 and M2 generally report similar magnitudes, which are

213 lower than M4, M5 and M7. Model evaluations of PM_{2.5} composition in *Gao et al. (2018a)*
214 reveals that M4 overpredicts ~~strong scattering~~the concentrations of organic carbon, which
215 could be one of the reasons for ~~the higher estimated temperature~~reductions in T2 due to
216 aerosols.

217 Pronounced decreases in water vapor at 2 m (Q2) are mostly located in southern China (**Fig.**
218 **4**), where water vapor is more abundant due to the proximity to the sea. During extreme haze
219 days, the aerosol-radiation feedback induced decreases in Q2 in the BTH region from multiple
220 models range from 0.07 to 0.~~5-29~~g/kg, with the lowest estimate from M1 and the highest from
221 ~~M6-M4~~ (**Table 34**).

222 The responses of wind speeds at 10 m (WS10) inferred from different models are generally
223 consistent, displaying decreases in eastern China except M6 (**Fig. 5**). In the BTH region, the
224 monthly mean aerosol-radiation feedback induced decreases in WS10 range from 0.02 to 0.09
225 m/s (**Table 12**), and more pronounced reductions are suggested by M4, ~~M5~~ and M7 (**Fig. 5**).
226

227 Because of aerosol-radiation feedback, most models report that surface PM_{2.5} concentrations
228 are ~~generally~~ enhanced in China, with the exception of M6 (**Fig. 6**). It is also noteworthy that
229 PM_{2.5} concentrations decrease in the Gobi desert and Taklimakan desert of western China in
230 M5 and M2, which is caused by the decreased wind speed near the surface due to the weakened
231 downward transport of momentum from upper layer above boundary layer to the surface (*Han*
232 *et al., 2013*). ~~The For M6 the increases~~changes of PM_{2.5} concentrations suggested by M6 are
233 patchy over east China, with decreases to the north and to the southwest. The monthly mean
234 PM_{2.5} are enhanced by 0.1-1.~~64~~μg/m³ for Beijing, and by 0.8-~~2.24-4~~μg/m³ for the BTH region.
235 The enhancement fractions are generally below ~~2.7~~% for Beijing, and below ~~47.8~~% for the
236 BTH region (**Table 12**).

237 To further understand how aerosol-radiation feedback contributes to the formation of haze
238 event, we calculate the mean increase ~~for during~~ extreme haze days (January 17-19). For the
239 BTH region, the contribution of aerosol-radiation feedback to PM_{2.5} concentrations are lower
240 than ~~64~~%, and the enhancement are below 8.5 μg/m³. *Gao et al. (2017)* demonstrates that the
241 aerosol-radiation feedback induced changes in PM_{2.5} are negligible during nighttime, so we
242 further calculate daytime mean changes, as listed in **Table 34**. For the BTH region, M2 reports

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243 the ~~highest-largest~~ enhancement ($12.9 \mu\text{g}/\text{m}^3$) of $\text{PM}_{2.5}$ concentrations during daytime. ~~Except~~
244 ~~M6,~~ Other models, ~~except M6,~~ report similar magnitudes of ~~the~~ enhancement, ranging from
245 ~~6.05.3 to 8.86.6~~ $\mu\text{g}/\text{m}^3$. The enhancement fraction ~~is still not more~~ remains less than 6.6% for
246 the BTH region, and below 8.3% for Beijing. **Table 3-4** also displays the maximum
247 enhancement of $\text{PM}_{2.5}$ ~~during haze days over~~for the BTH region. M7 suggests the largest $\text{PM}_{2.5}$
248 enhancement (up to $60.9 \mu\text{g}/\text{m}^3$), followed by M2 (up to $55.4 \mu\text{g}/\text{m}^3$), ~~and M5 ($41.2 \mu\text{g}/\text{m}^3$).~~
249 Other three models, M1, M4, ~~M5,~~ and M6 indicate the aerosol-radiation induced increase in
250 $\text{PM}_{2.5}$ can reach up to more than $20 \mu\text{g}/\text{m}^3$ in the BTH region (**Table 3-4**).
251 ~~These results can be compared to previous studies.~~The contributions of aerosol-radiation
252 feedback to haze formation in China have been investigated in many previous studies (*Ding et al., 2016; Gao et al., 2015; Gao et al., 2016; Liu et al., 2018; J. Wang et al., 2014; Z. Wang et al., 2014; Wang et al., 2015; Wu et al., 2019; Zhang et al., 2015; Zhang et al., 2018; Zhong et al., 2018*), but the reported values partly diverge. *Ding et al. (2016), J. Wang et al. (2014)* and
256 *Zhong et al. (2018)* indicate that the aerosol radiative effects can increase $\text{PM}_{2.5}$ by more than
257 $100 \mu\text{g}/\text{m}^3$ or +70%. *Gao et al. (2015), Z. Wang et al. (2014), Wang et al. (2015), and Zhang et al. (2018)* suggest that the contributions are generally within the range of 10-30%. These
259 ~~studies-reports~~ are different from ~~this studyours~~ in terms of ~~studytime~~ periods, region,
260 ~~emissions and resulting aerosol and pollution~~ levels. ~~Most of previous reports focused on the~~
261 ~~January 2013 haze episodes (J. Wang et al., 2014).~~ ~~For example, while~~ the monthly mean
262 ~~concentrations of~~ $\text{PM}_{2.5}$ level in January 2010 are ~~about-nearly~~ 50% lower than that ~~in-of~~
263 January 2013. ~~The above studies also differed in the assumptions and treatments for aerosol~~
264 ~~properties and mixing state.~~ According to the ~~findings results from multiple models~~ in this study,
265 the contribution of aerosol-radiation feedback to haze formation during ~~this time period~~ January
266 2010 are generally below 10%.— Uncertainties ~~still~~ remain ~~as suggested by~~ resulting from the
267 errors in ~~the~~ simulated chemical compositions (*Gao et al., 2018a*). ~~As suggested in model~~
268 ~~evaluation,~~ ~~Concentrations of~~ sulfate and organic aerosol ~~concentrations~~ are generally
269 underestimated by most ~~of the participating models in this study, except that~~ and M4
270 overestimates ~~the concentrations of~~ organic aerosols (*Gao et al., 2018a*). These ~~model errors~~
271 were attributed to the missing multiphase oxidation mechanisms of ~~sulfate-SO₂~~, and different
272 ~~treatments of~~ secondary organic aerosol (SOA) formation ~~-mechanisms~~ in these models (*Gao*

273 *et al., 2018a).*

274

275 **4.5 Sensitivity to Different Processes**

276 To ~~further~~ explore the potential causes for the differences among models, and the major ~~in~~
277 factors that influence ~~influencing the~~ aerosol-radiation feedback, several sensitivity simulations
278 were conducted with ~~using~~ the RIEMS-Chem model (M5) (*Han et al., 2010*). These simulations
279 aim to examine the effects of ~~focusing on the effects of aerosols~~ mixing states of aerosols,
280 hygroscopic growth, black carbon and mineral-soil dust.

281

282 **4.5.1 Aerosol mixing states**

283 In the control simulation, inorganic aerosols and BC are assumed to be internally mixed to form
284 a homogeneous mixture. The refractive index of this mixture is estimated using the volume-
285 weighted average of the refractive index of individual component. The size of the mixture is
286 prescribed to be the maximum size of the mixed aerosol components. For example, the size of
287 the mixture of sulfate and BC is set to be equal to the size of sulfate, assuming a small BC
288 particle sticking to a larger sulfate particle.

289 An additional simulation was conducted with the aerosols were treated as externally mixed,
290 and the corresponding results are displayed in Fig. 7-9. For external mixing assumption, each
291 aerosol component is considered individually, and the total AOD is calculated as the sum of
292 extinction by each aerosol component. Compared with the results with internal mixing
293 assumption, results with external mixing assumption generally exhibit a weaker (negative)
294 ADRF at the surface (~15%), a stronger (negative) ADRF at TOA (~50%) and a decreased
295 (positive) ADRF in the atmosphere (~30%) (Fig. 9a, 9f, 9k). These responses of ADRF to the
296 assumption of aerosol mixing states are consistent with Conant et al. (2003). However, Curci
297 et al. (2015) reported lower AOD with internal mixing assumption than with external mixing
298 assumption. In Curci et al. (2015), aerosol mass was distributed more to larger particles. As a
299 result, fewer scattering agents and lower AOD were estimated.

300 Aerosol feedbacks estimated by M5 also tend to be weaker with external mixing assumption
301 than with internal mixing assumption (changes in surface meteorological variables and PM_{2.5}

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302 concentrations. **Fig. 8a, 8d, 8g, and 8j**). The monthly averaged changes in T2, WS10 and PM_{2.5}
303 are -0.6 °C, -0.04 m/s and 2.2 µg/m³ for the BTH region with internal mixing assumption, while
304 the corresponding values change to -0.6 °C, -0.03 m/s and 1.8 µg/m³ with external mixing
305 assumption. These differences emphasize the important influences of aerosol mixing states on
306 the estimates of ADRF and aerosol feedbacks. However, aerosol mixing states are also varying
307 with time and location. Measurements in North China suggest that aerosols are partially
308 internally mixed, and the fraction of internal mixing increased from clean to haze periods (*Li*
309 *et al.*, 2014).

310 A simulation was run with the assumption of external mixing (results discussed above applied
311 the assumption of internal mixing), and the corresponding results are displayed in Fig. 7-9. The
312 simulation with the assumption of external mixing shows weaker (30% smaller) ADRF at the
313 surface, TOA and in the atmosphere (Fig. 9a, 9f and 9k), resulting in smaller changes in surface
314 meteorological variables and PM_{2.5} concentrations (Fig. 8a, 8d, 8g, and 8j). For example, the
315 monthly mean maximum changes in air temperature, relative humidity, wind speed and PM_{2.5}
316 values are -2.7°C, +3%, -0.24m/s and 16 µg m⁻³, respectively in the southern Huabei province
317 from the simulation with internal mixing, whereas the corresponding changes from external
318 mixing assumption are -1.4°C, +2%, -0.12m/s and 8 µg m⁻³, respectively. These differences
319 demonstrate the significant impact of aerosol mixing state on the ADRF and the aerosol-
320 radiation feedback. It should also be emphasized that the aerosol mixing state can vary with
321 time and location. Some previous measurements in the Huabei Plain exhibit that aerosols are
322 partially internally mixed and the fraction of internal mixing could be increasing from clean to
323 haze period (*Li et al.*, 2014). —

324

325 4.5.2 Hygroscopic growth

326 Given the ~~appreciable~~ ~~important~~ effect of aerosol hygroscopic growth on ADRF (*Li et al.*,
327 2014), another simulation was conducted with decreased relative humidity (RH). In this
328 simulation, ~~by-FNL nudging was applied~~ above boundary layer ~~to reduce RH.~~ ~~Such-This~~
329 perturbation of RH was based on the fact that M5 ~~overestimates~~ ~~predicted higher~~ relative
330 humidity (water vapor mixing ratio) ~~than the observations~~ (*Gao et al.*, 2017). ~~The~~
331 ~~simulation~~ With reduced RH, ~~with reduced RH produces~~ lower values of AOD (Fig. 7f) and

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332 weaker ADRF at the surface and TOA (Fig. 9e, 9j, and 9o, about +0.15% lower) are found,
333 mainly because of the decreased relative humidity and weaker suppressed hygroscopic growth
334 under lower relative humidity.

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336 4.5.3 Soil dust and sea salt

337 M5 (RIEMS-Chem) includes all anthropogenic naturally emitted aerosols and soil dust and sea
338 salt, while the other models except M2 (WRF-Chem, University of Iowa) do not consider
339 soil natural dust in their model settings. In an additional sensitivity simulation, soil dust and sea
340 salt emissions were turned off in M5 to examine the influence on ADRF and aerosol feedbacks
341 (Fig. 9d, 9l and 9n). In January 2010, significant amounts of soil dust were emitted from the
342 Taklimakan desert, influencing wide areas of China. M5 estimates that the monthly mean
343 ADRF at the surface due to dust and sea salt is about -12 W/m^2 over the Taklimakan desert, $-$
344 $4\sim 6 \text{ W/m}^2$ in the middle reaches of the Yellow River and the Yangtze River Delta, and about $-$
345 $2\sim 4 \text{ W/m}^2$ over the BTH region. Over the BTH region, the contribution of dust and sea salt
346 aerosols to total ADRF can reach 5~10%. Table 2 illustrates that M5 predicts the largest
347 (negative) radiative forcing at TOA over the BTH region. The above analyses with reduced
348 relative humidity and perturbations in dust and sea salt suggest that the inclusion of dust and
349 overprediction of relative humidity by M5 are important reasons.

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350 A simulation with dust aerosol excluded was conducted and the results show the dust aerosol
351 contributes to total $\text{PM}_{2.5}$ concentration and ADRF in parts of central and northeast China,
352 especially in the middle reaches of the Yellow River with the ADRF by dust at the surface
353 contribute up to -6 W m^{-2} in terms of monthly mean (Fig. 9d, 9l, and 9n), which indicates the
354 nonnegligible role of dust even in winter. Both the overprediction of relative humidity (water
355 vapor) and the inclusion of mineral dust can partly explain the relatively stronger ADRF from
356 M5 compared with other models.

357

358 4.5.4 The effect of BC

359 Two sets of simulations, namely without BC and with doubled BC concentrations, were
360 conducted to examine the influences of BC on aerosol radiative forcing and feedbacks. In the
361 control simulation, the aerosol induced changes in monthly T2, WS10 and $\text{PM}_{2.5}$ are $-0.6 \text{ }^\circ\text{C}$, $-$

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362 0.04 m/s and 2.2 $\mu\text{g}/\text{m}^3$ for the BTH region, respectively. When BC is not included (only
363 scattering aerosols and dust), the corresponding aerosol induced changes are -0.5 $^{\circ}\text{C}$, -0.02 m/s
364 and 1.0 $\mu\text{g}/\text{m}^3$, respectively. When BC concentrations are doubled, these values change to -0.7
365 $^{\circ}\text{C}$, -0.05 m/s and 3.2 $\mu\text{g}/\text{m}^3$, respectively. The comparison between the control case and two
366 additional sensitivity cases indicates that the changes caused by BC are comparable to those by
367 scattering aerosols. The contribution of BC to aerosol feedbacks can reach up to 40–50%. It is
368 also found that the influence of BC on aerosol feedbacks with internal mixing assumption is
369 larger than that with external mixing assumption (Figure not shown).

370 Large uncertainties still remain in the estimates of the role of BC in aerosol feedbacks
371 relative to scattering aerosols. Gao et al. (2016) suggested that the impacts of BC on boundary
372 layer height and $\text{PM}_{2.5}$ concentrations can account for as high as 60% of the total aerosol
373 feedbacks in the North China Plain at 2 p.m., although it only accounts for a small share of PM
374 in terms of mass concentration. Qiu et al. (2017) indicated that $\text{PM}_{2.5}$ concentrations averaged
375 over the North China Plain increased by 16.8% and 1.0% due to scattering aerosols and BC,
376 respectively. It should be noted that most participating models, including RIEMS-Chem, tend
377 to underpredict the total mass concentrations of scattering aerosols (inorganic and organic
378 aerosols) by up to a factor of two over the study period, leading to overestimation of the
379 contribution of BC.

380
381 To identify the effect of BC, two simulations without BC and with doubled BC concentrations
382 were conducted. When BC is not included, the ADRF in the atmosphere decreases largely (Fig.
383 9g), indicating the strong absorbing effect of BC. The ADRF at the surface changes by about
384 10% (Fig. 9b). The monthly mean maximum changes in air temperature, relative humidity,
385 wind speed and $\text{PM}_{2.5}$ values in this case are 2.2 $^{\circ}\text{C}$, +3.5%, -0.18m/s and 10 $\mu\text{g m}^{-3}$,
386 respectively, in the southern Huabei region. When BC concentrations are doubled, the
387 corresponding values are 3.0 $^{\circ}\text{C}$, +2.0%, -0.27m/s and 18 $\mu\text{g m}^{-3}$, respectively. The comparison
388 with the changes in the base case (the corresponding values are 2.7 $^{\circ}\text{C}$, +3%, -0.24m/s and 16
389 $\mu\text{g m}^{-3}$, respectively) indicates that the effect of BC is smaller than that due to other scattering
390 aerosols (inorganic and organic aerosols), and the percentage contribution by BC to the total
391 feedback could be in a range of 20–30%. It is also found that the effect of BC under internal

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392 mixing condition is larger than that under external mixing. Gao et al. (2016) demonstrates that
393 the impacts of BC on meteorology and PM_{2.5} can account for as high as 60% of the total aerosol
394 feedbacks, although it is not of great significance in terms of mass concentration.—

395 The above sensitivity simulations suggest the importance of mixing state assumption for
396 ADRF and feedback and the potentially dominant role of scattering aerosols over absorbing
397 aerosols in aerosol radiative effect during haze periods.—

398

399 **5-6 Summary**

400 Topic 3 of MICS-Asia III (*Gao et al., 2018a*) focuses on understanding how current online
401 coupled air quality models perform in capturing extreme aerosol pollution event in ~~northern~~
402 North China and how aerosols interact with radiation and weather. Seven applications of
403 different online coupled meteorology-chemistry models were involved in this activity.
404 ~~Previous paper~~*Gao et al. (2018a)* has demonstrated that main features of the accumulation of
405 air pollutants are generally well represented, while large differences in the models were found
406 in the predicted PM_{2.5} chemical compositions (*Gao et al., 2018a*). These inconsistencies
407 would lead to differences in estimated ADRF and aerosol feedbacks.

408 The spatial distributions of ADRF at the surface and inside the atmosphere inferred from
409 multiple models are generally consistent, ~~while-but~~ the spatial ~~pattern-distributions~~
410 at the TOA ~~estimated by these models~~ greatly differ. Over the BTH region, the ensemble mean
411 of ADRF at the TOA, inside the atmosphere and at the surface are -1.91, 7.78.4 and -840.83
412 W/m², respectively. Subdivisions of direct and indirect aerosol radiative forcing confirm the
413 dominant roles of direct forcing.

414 During severe haze days (January 17-19), the averaged reduction in T2 for the BTH region
415 can reach 0.3-1.63.0 °C. The responses of wind speeds at 10 m (WS10) inferred from different
416 models show consistent declines in eastern China. For the BTH region, aerosol-radiation
417 feedback induced changes in daytime PM_{2.5} range from 5.36.0 to 8.812.9 μg/m³ (< 6.6%). Our
418 findings differ from previous studies— (*Ding et al., 2016; Gao et al., 2015; Gao et al., 2016;*
419 *Liu et al., 2018; J. Wang et al., 2014; Z. Wang et al., 2014; Wang et al., 2015; Wu et al., 2019;*
420 *Zhang et al., 2015; Zhang et al., 2018; Zhong et al., 2018*) in terms of studytime period, region

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421 and ~~pollution levels emissions~~; for example, ~~T~~the monthly mean ~~concentrations of~~ PM_{2.5} ~~level~~
422 in January 2010 (~~current study period~~) are about 50% lower than those~~at~~ in January 2013.
423 Sensitivity simulations were conducted ~~with using~~ the RIEMS-Chem model (M5) ~~to understand~~
424 the ~~influences of aerosols mixing states~~, hygroscopic growth, black carbon and ~~mineral soil~~
425 dust. The results indicate the ~~important effect of aerosol mixing states on the estimates of ADRF~~
426 ~~and aerosol feedbacks~~. ~~most sensitive parameter for ADRF and feedback is the aerosol mixing~~
427 ~~state, and~~ ~~It was also found that~~ BC exhibits large contribution to atmospheric heating, ~~but~~
428 ~~uncertainties remain in estimating its contribution given the fact that the observed aerosol~~
429 ~~chemical components were not perfectly simulated.~~ ~~although it accounts for a small share of~~
430 ~~mass concentration of PM_{2.5}~~. ~~Huang et al. (2015) separated the contributions of different~~
431 ~~aerosol components to aerosol direct radiative forcing, highlighting the roles of BC and sulfate.~~
432 ~~Future studies are also needed to separate the effects of other aerosol components, including~~
433 ~~sulfate, on aerosol feedbacks.~~

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435 **Author Contributions**

436 M.G., Z.H., and G.R.C. designed the study, and M.G. processed and analyzed the data. M.G.,
437 Z.H., and G.R.C. wrote the paper with inputs from all other authors.

438

439 **Data availability**

440 The measurements and model simulations data can be accessed through contacting the
441 corresponding authors.

442

443 **Competing interests**

444 The authors declare that they have no conflict of interests.

445

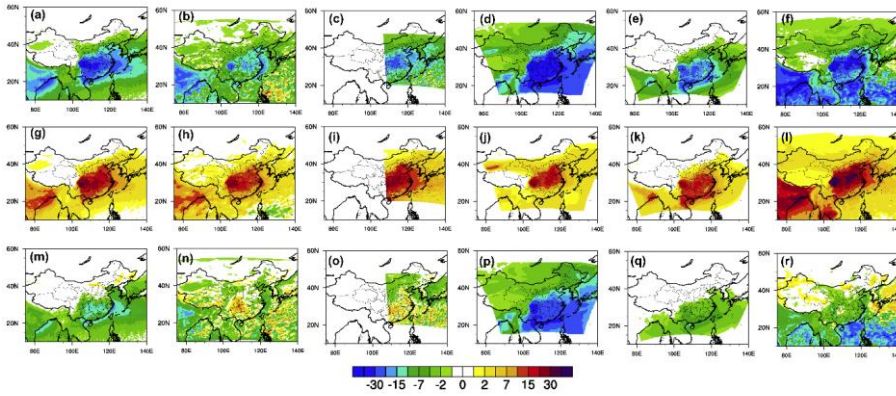
446 **Acknowledgement**

447 The authors would like to acknowledge support for this project from the National Natural
448 Science Foundation of China (91644217 and 41620104008).

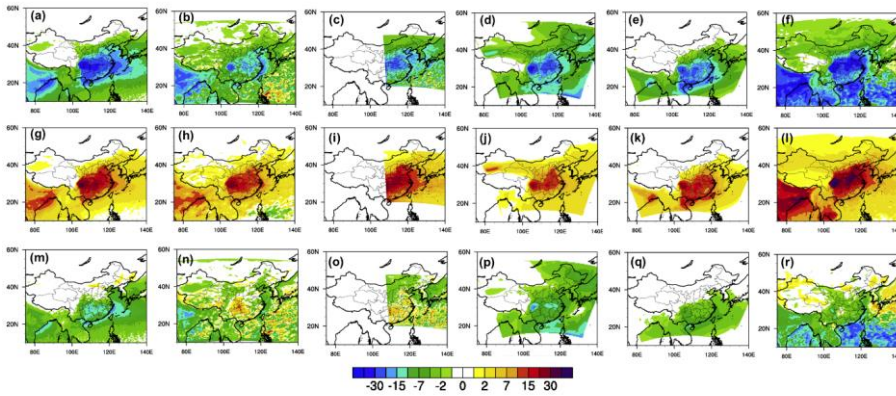
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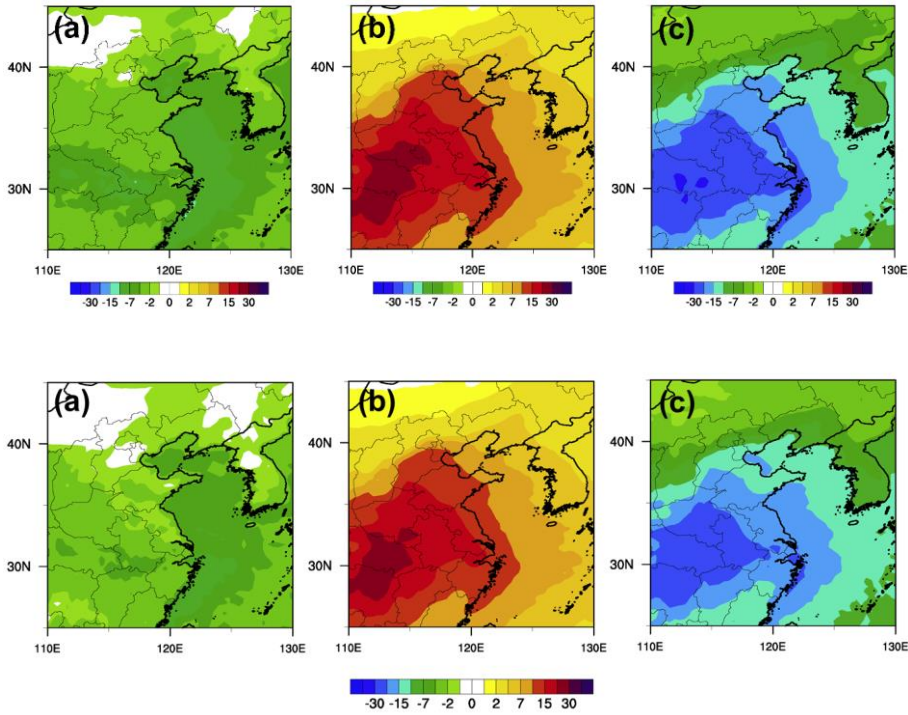
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454 Figure 1. Monthly (January 2010) mean aerosol direct radiative forcing at the surface, inside
455 the atmosphere and at the top of the atmosphere inferred from M1 (a, g, m), M2 (b, h, n), M4
456 (c, i, o), M5 (d, j, p), M6 (e, k, q), M7 (f, l, r) (M1: [WRF-Chem](#), Pusan National University;
457 M2: [WRF-Chem](#), University of Iowa; M4: [NU-WRF](#), NASA; M5: [RIEMS-Chem](#), Institute of
458 Atmospheric Physics; M6: [RegCCMS](#), Nanjing University; M7: [WRF-CMAQ](#), University of
459 Tennessee; [Gao et al., 2018a](#))
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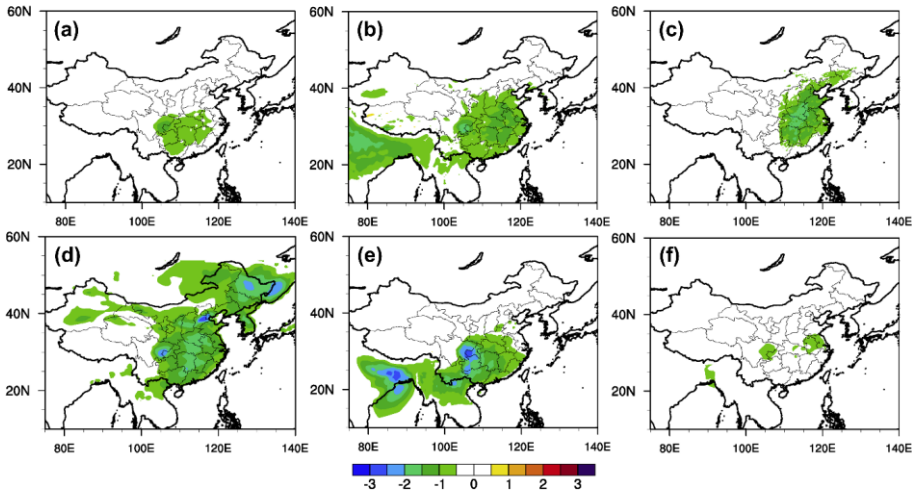


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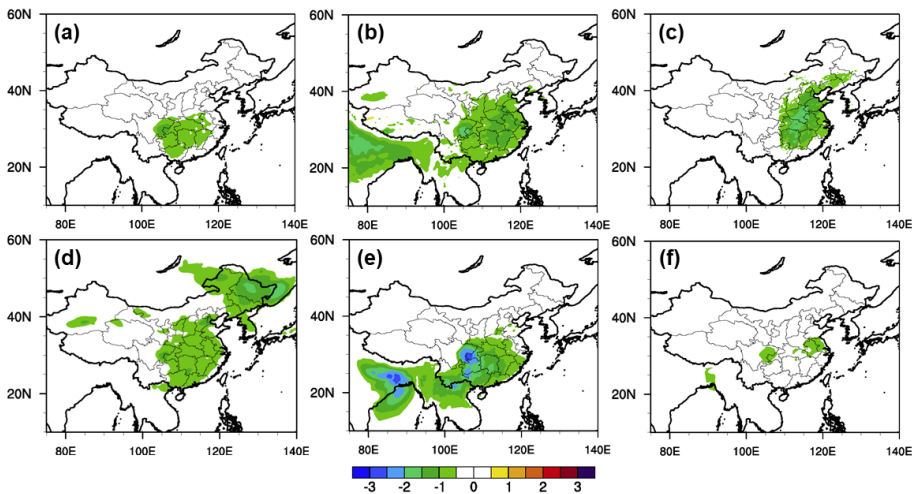
463 Figure 2. Ensemble mean of monthly (January 2010) mean aerosol direct radiative forcing at
464 the top of the atmosphere (a), inside the atmosphere (b) and at the surface (c)

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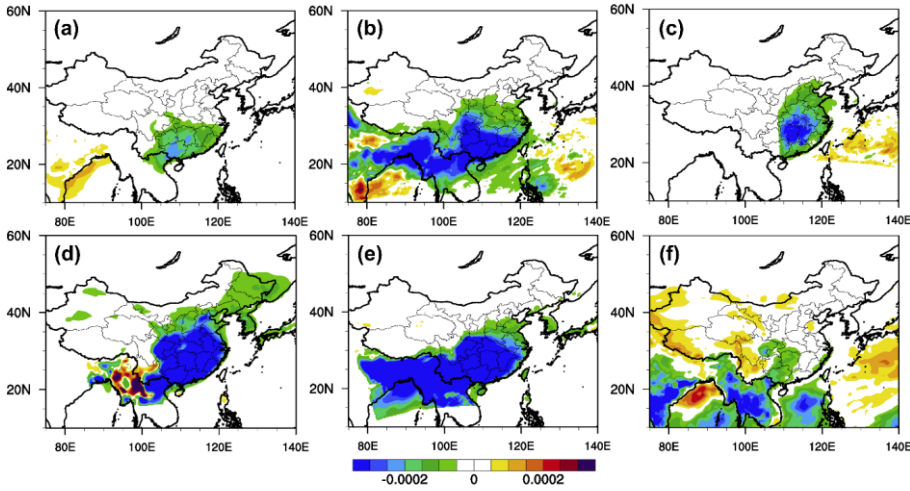


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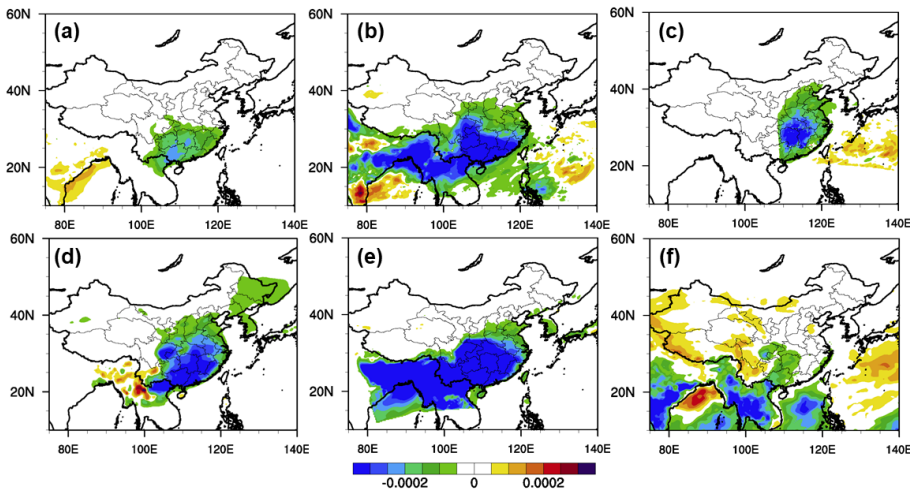


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469 Figure 3. Monthly (January 2010) mean changes in temperature at 2 m (T2, °C) due to
 470 aerosol radiative effects from M1 (a), M2 (b), M4 (c), M5 (d), M6 (e), M7 (f) (M1: Pusan
 471 National University; M2: University of Iowa; M4: NASA; M5: Institute of Atmospheric
 472 Physics; M6: Nanjing University; M7: University of Tennessee; *Gao et al., 2018a*)
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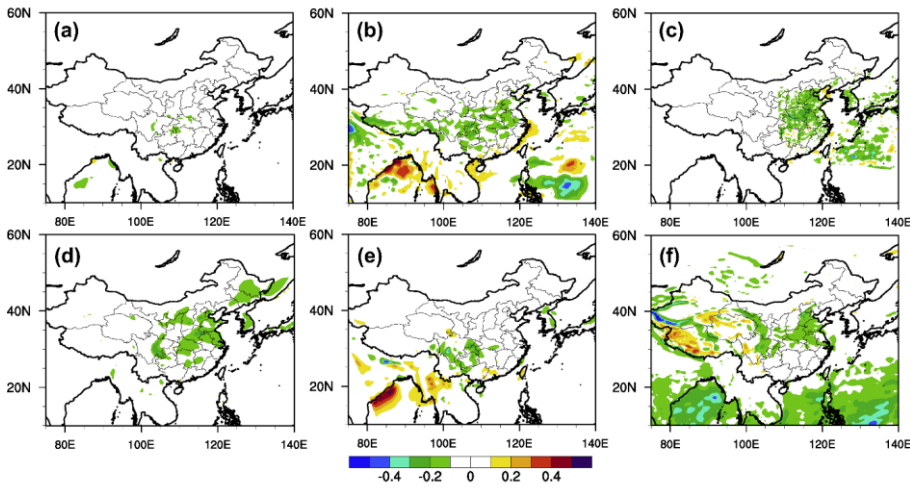


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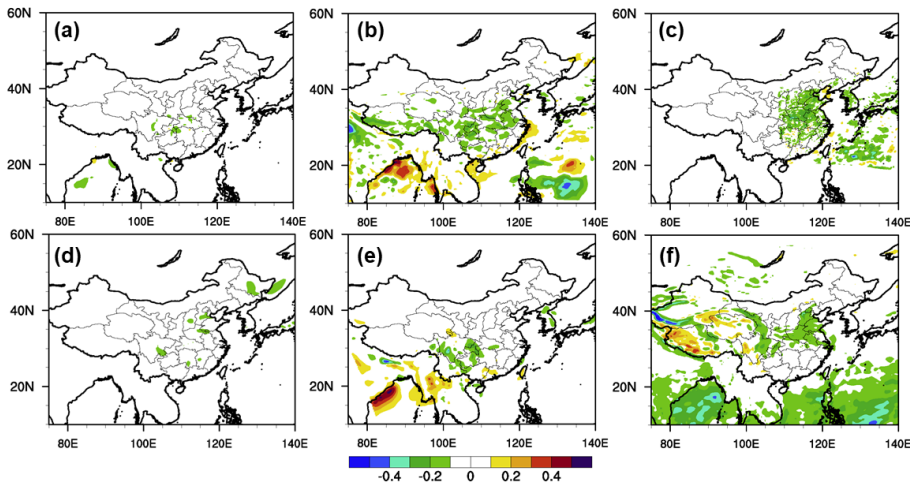


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476 Figure 4. Monthly (January 2010) mean changes in water vapor at 2 m (Q_2 , kg/kg) due to
 477 aerosol radiative effects from M1 (a), M2 (b), M4 (c), M5 (d), M6 (e), M7 (f) (M1: Pusan
 478 National University; M2: University of Iowa; M4: NASA; M5: Institute of Atmospheric
 479 Physics; M6: Nanjing University; M7: University of Tennessee; *Gao et al., 2018a*)
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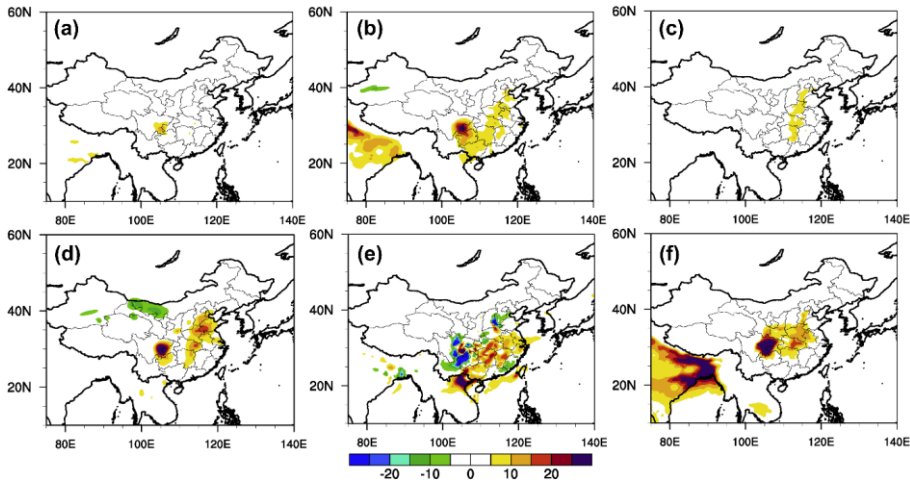


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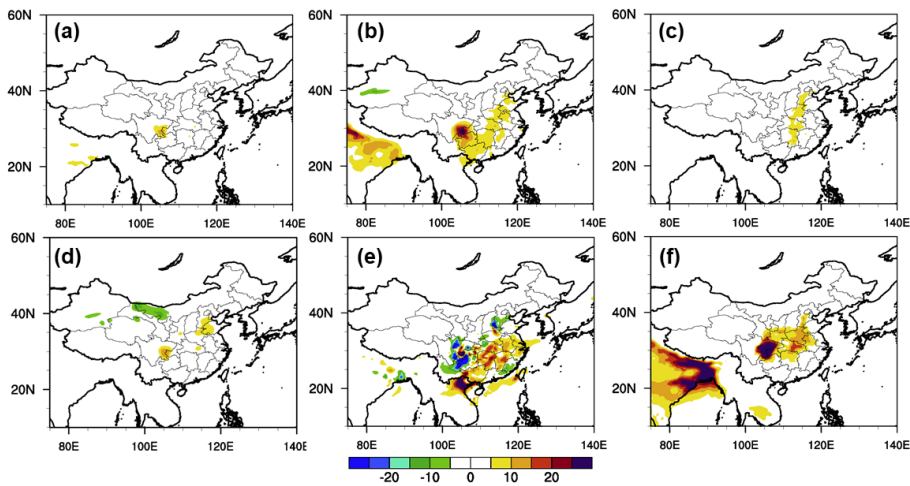


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483 Figure 5. Monthly (January 2010) mean changes in wind speeds at 10 m (WS10, m/s) due to
 484 aerosol radiative effects from M1 (a), M2 (b), M4 (c), M5 (d), M6 (e), M7 (f) (M1: Pusan
 485 National University; M2: University of Iowa; M4: NASA; M5: Institute of Atmospheric
 486 Physics; M6: Nanjing University; M7: University of Tennessee; *Gao et al., 2018a*)
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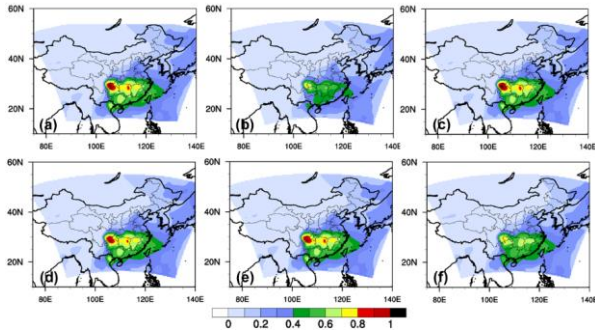
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490 Figure 6. Monthly (January 2010) mean changes in surface $PM_{2.5}$ ($\mu g/m^3$) due to aerosol
 491 radiative effects from M1 (a), M2 (b), M4 (c), M5 (d), M6 (e), M7 (f) (M1: Pusan National
 492 University; M2: University of Iowa; M4: NASA; M5: Institute of Atmospheric Physics; M6:
 493 Nanjing University; M7: University of Tennessee; *Gao et al., 2018a*)

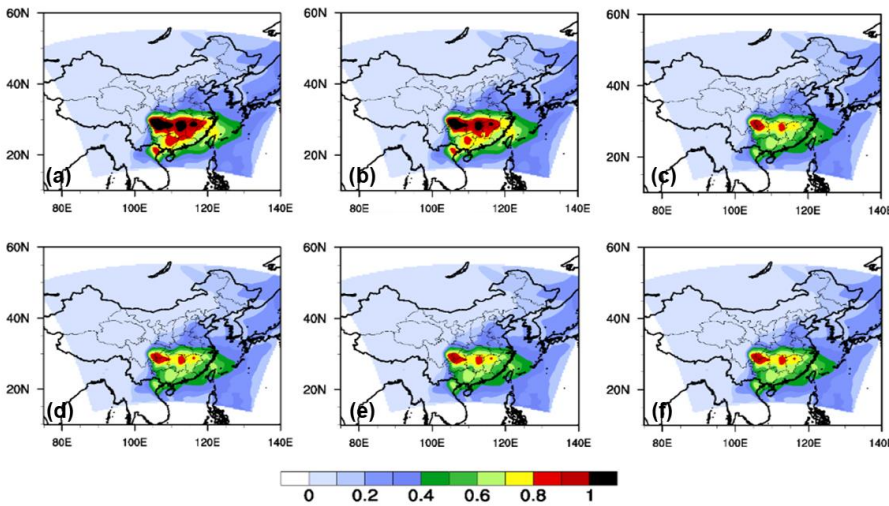
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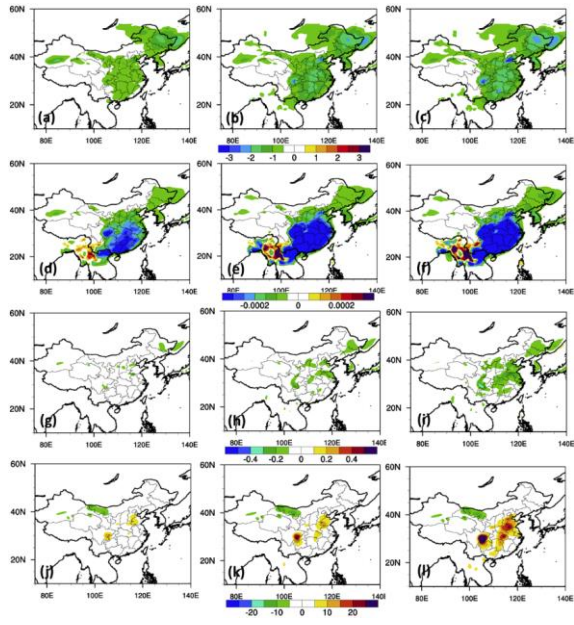
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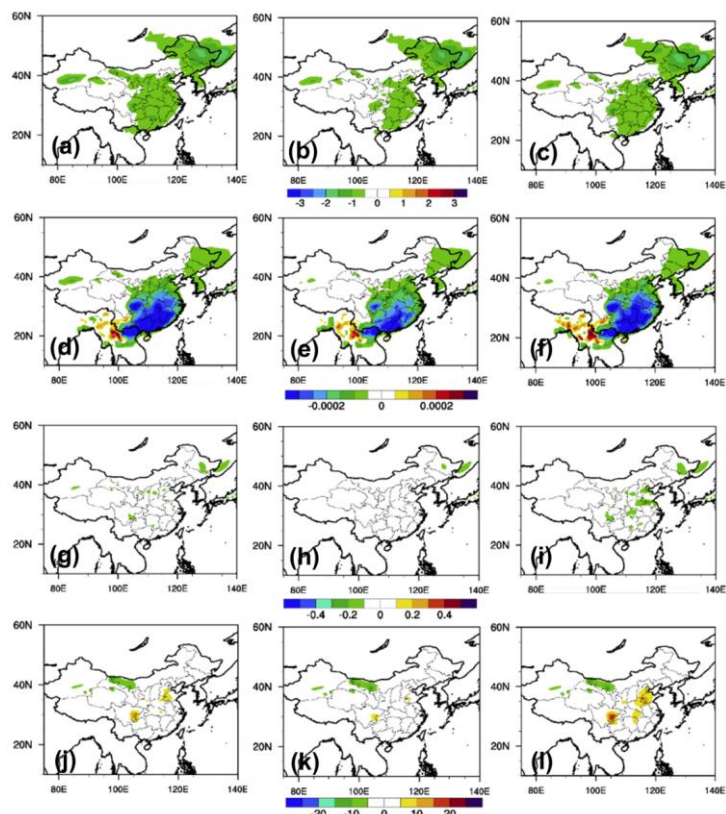


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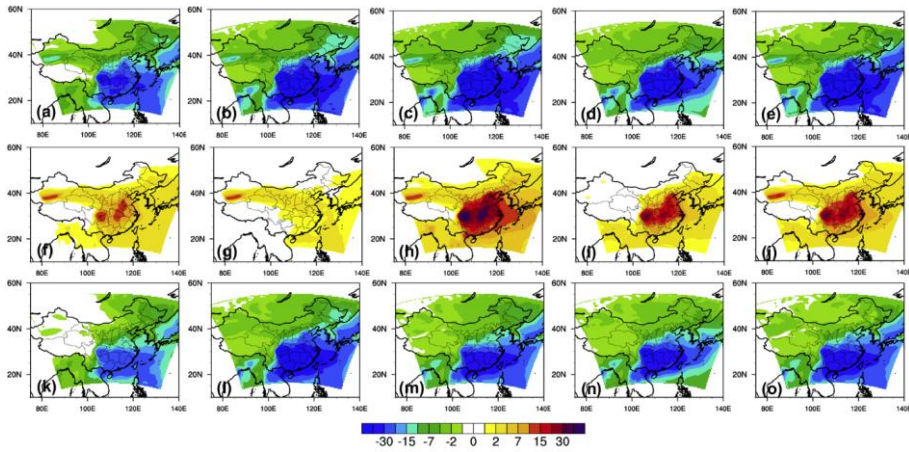
499 Figure 7. Monthly (January 2010) mean RIEMS-Chem modeled AOD from different
500 simulations: control run (default simulation with internal mixing assumption) (a), external
501 mixing assumption (b), internal mixing assumption but without BC (c), internal mixing
502 assumption but with doubled BC (d), without dust and sea-salt (e), and reduced RH (f)
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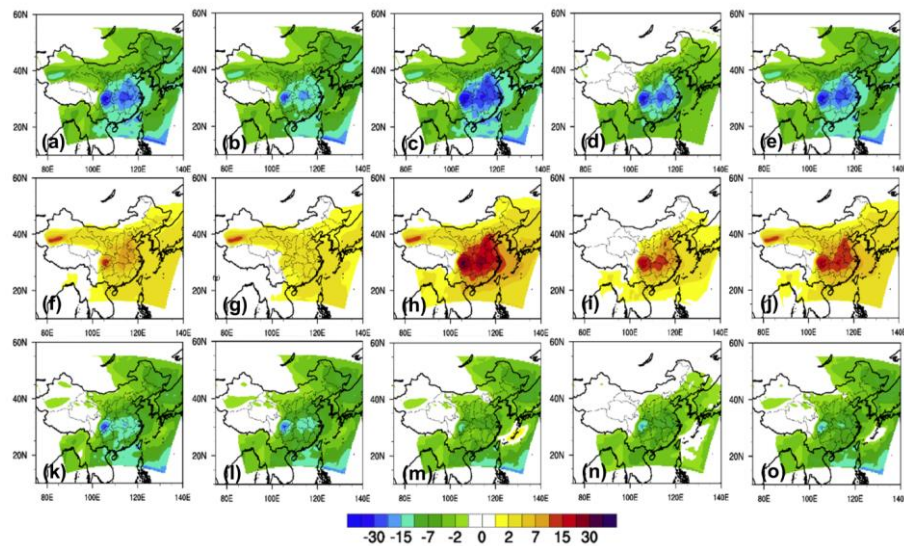




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 506 Figure 8. Monthly (January 2010) mean RIEMS-Chem modeled changes in T2 (°C), Q2
 507 (kg/kg), WS10 (m/s) and PM_{2.5} (µg/m³) from different simulations: external mixing
 508 assumption (first column), internal mixing assumption but without BC (second column) and
 509 internal mixing assumption but with doubled BC (third column)
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514 Figure 9. Monthly (January 2010) mean RIEMS-Chem modeled aerosol direct radiative
 515 forcing at the surface (a-e), inside the atmosphere (f-j) and at the top of the atmosphere (k-o)
 516 from different simulations: external mixing assumption (first column), internal mixing
 517 assumption but without BC (second column), internal mixing assumption but with doubled
 518 BC (third column), without dust and sea-salt (fourth column), and reduced RH (fifth column)

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Table 1 Participating models in Topic 3

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<u>Models</u>	<u>M1: WRF-Chem1</u>	<u>M2: WRF-Chem2</u>	<u>M3: NU-WRF1</u>	<u>M4: NU-WRF2</u>	<u>M5: RIEMS-Chem</u>	<u>M6: RegCCMS</u>	<u>M7: WRF-CMAQ</u>
<u>Modelling Group</u>	<u>Pusan National University</u>	<u>University of Iowa</u>	<u>USRA/NASA A</u>	<u>USRA/NASA</u>	<u>Institute of Atmospheric Physics</u>	<u>Nanjing University</u>	<u>University of Tennessee</u>
<u>Grid Resolution</u>	<u>45km</u>	<u>50km</u>	<u>45km</u>	<u>15km</u>	<u>60km</u>	<u>50km</u>	<u>45km</u>
<u>Vertical Layers</u>	<u>40 layers to 50mb</u>	<u>27 layers to 50mb</u>	<u>60 layers to 20mb</u>	<u>60 layers to 20mb</u>	<u>16 layers to 100mb</u>	<u>18 layers to 50mb</u>	
<u>Gas phase chemistry</u>	<u>RACM</u>	<u>CBMZ</u>	<u>RADM2</u>	<u>RADM2</u>	<u>CBM4</u>	<u>CBM4</u>	<u>SAPRC99</u>
<u>Aerosols</u>	<u>MADE</u>	<u>MOSAIC-8bin</u>	<u>GOCART</u>	<u>GOCART</u>	<u>Sulfate, nitrate, ammonium, BC, OC, SOA, 5 bins of soil dust, and 5 bins of sea salt</u>	<u>Sulfate, nitrate, ammonium, BC and POC</u>	<u>AE06</u>
<u>Chemical Boundary Conditions</u>	<u>Climatological data from NALROM</u>	<u>MOZART</u>	<u>MOZART GOCART</u>	<u>MOZART GOCART</u>	<u>GEOS-Chem</u>	<u>Climatological data</u>	<u>GEOS-Chem</u>

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~~Table 1~~ Table 2 Monthly Mean (January 2010) Aerosol Direct Radiative Forcing (W/m^2) and Changes in T2 ($^{\circ}C$), Q2 (g/kg), WS10 ($0.1 m/s$), and PM_{2.5} ($\mu g/m^3$) for Beijing and Beijing-Tianjin-Hebei region (areas marked in Fig. S1)

Beijing	M1 PNU	M2 UIOWA	M4 NASA	M5 IAP	M6 NJU	M7 UTK
ADRF	-0.6	-2.2	-0.8	-1.45	-0.1	-2.5
TOA						
ADRF	5.8	4.3	9.3	75.1	2.4	11.6
ATM						
ADRF	-6.4	-6.5	-10.1	-12.26	-2.5	-14.1
SFC						
T2	-0.1	-0.3	-0.7	-0.51	-0.1	0.0
Q2	-1.2E-2	-2.3E-2	-6.4E-2	-5.81E-2	-5.8E-3	2.1E-2
WS10	-0.2	-0.2	-0.6	-0.32	0.0	-1.2
PM _{2.5}	0.1 (0.2%)	1.4 (1.6%)	1.1 (1.7%)	1.20 (1.42-7%)	-1.2 (-2.2%)	1.0 (1.4%)
BTH						
ADRF	0.2	-1.4	-0.3	-2.67	0.0	-2.4
TOA						
ADRF	7.3	5.4	10.1	6.39	3.6	14.6
ATM						
ADRF	-7.1	-6.8	-10.4	-8.91	-3.6	-17.0
SFC						
T2	-0.2	-0.4	-0.8	-0.61	-0.2	0.0
Q2	-1.0E-2	-2.5E-2	-8.1E-2	-7.61E-2	-2.9E-2	2.5E-2
WS10	-0.2	-0.2	-0.9	-0.74	0.1	-0.9
PM _{2.5}	0.8 (1.4%)	1.8 (1.8%)	2.2 (3.2 %)	4.42 (3.97-8%)	-4.2 (-5.7%)	2.2 (2.4%)

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548 Table 2-3 Monthly Mean (January 2010) Aerosol Direct Radiative Forcing and indirect
549 Radiative Forcing (W/m²) at the top of the atmosphere inferred from M4 and M5 (areas
550 marked in Fig. S1)

Beijing	direct	Indirect
M4	-0.77	-0.15
M5	-1.435	-0.01
BTH		
M4	-0.28	0.1
M5	-7.632	-0.04

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Table 3-4 Mean Aerosol (January 2010) Direct Radiative Forcing (W/m²) and Changes in T2 (°C), Q2 (g/kg), WS10 (0.1 m/s), and PM_{2.5} (μg/m³) for Beijing and Beijing-Tianjin-Hebei (BTH) region averaged over January 17-19 2010 (areas marked in Fig. S1)

Beijing	M1 PNU	M2 UIOWA	M4 NASA	M5 IAP	M6 NJU	M7 UTK
ADRF	2.6	-1.4	1.8	-3.0 -1.9	-0.6	-3.3
TOA						
ADRF	18.6	9.8	21.5	13.3 9.0	7.3	32.3
ATM						
ADRF	-16.0	-11.2	-19.7	-30.8 -16.3	-7.9	-35.6
SFC						
T2	-0.5	-0.5	-1.7	-1.3 -0.2	-0.1	-1.5
Q2	-7.4E-2	-6.2E-2	-2.6E-1	-1.84 -0.5E-1	-1.3E-2	-9.2E-2
WS10	-0.1	0.2	-2.3	0.4 -0.7	0.5	-0.8
PM _{2.5}	-1.1 (- 0.9%)	3.8 (1.7%)	6.3 (3.8%)	-1.0 -2.6 (- 0.84%)	-7.9 (- 4.7%)	1.3 (1.1%)
BTH						
ADRF	1.4	0.1	4.9	-4.6 -16.0	-0.7	-3.8
TOA						
ADRF	18.3	12.0	19.1	13.2 -8.7	10.0	36.1
ATM						
ADRF	-16.9	-11.9	-14.2	-17.3 -4.86	-10.7	-39.9
SFC						
T2	-0.6	-0.7	-1.6	-1.2 -0.0	-0.3	-1.5
Q2	-7.1E-2	-8.2E-2	-2.9E-1	-2.5 -0.0E-1	-1.2E-1	-8.9E-2
WS10	-0.3	-0.4	-2.5	0.0 -0.5	0.3	-0.9
PM _{2.5}	2.9 (2.3%)	8.5 (3.7%)	5.3 (3.9%)	5.3 -7.9 (-35.9%)	-10.5 (- 6.2%)	5.1 (2.7%)
Daytime PM_{2.5}						
Beijing	2.4 (2.0%)	8.5 (3.9%)	8.4 (5.5%)	-0.7 -1 (- 0.618%)	-4.2 (- 3.2%)	10.7 (8.3%)
BTH	6.0 (4.9%)	12.9 (5.9%)	6.6 (5.2%)	5.8 -3.8 (-46.06%)	-6.2 (- 3.8%)	6.4 (3.8%)
	Up to 26.4	Up to 55.4	Up to 26.5	Up to 41.2 -1.2	Up to 22.8	Up to 60.9

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