



# 1 A simple method for retrieval of dust aerosol optical 2 depth with polarized reflectance over oceans

3  
4 Wenbo Sun<sup>1,3,\*</sup>, Yongxiang Hu<sup>2</sup>, Rosemary R. Baize<sup>2</sup>, Gorden Videen<sup>3,4</sup>, Sungsoo S. Kim<sup>3</sup>, Young-Jun  
5 Choi<sup>5</sup>, Kyungin Kang<sup>6</sup>, Chae Kyung Sim<sup>3</sup>, Minsup Jeong<sup>5</sup>, Ali Omar<sup>2</sup>, Snorre A. Stamnes<sup>2</sup>, and Evgenij  
6 Zubko<sup>7</sup>

7  
8 <sup>1</sup>Science Systems and Applications Inc, Hampton, VA 23666, USA

9 <sup>2</sup>NASA Langley Research Center, Hampton, VA 23681, USA

10 <sup>3</sup>Kyung Hee University, Yongin-shi, Kyungki-do 17104, Korea

11 <sup>4</sup>US Army Research Lab, Adelphi Maryland 20783, USA

12 <sup>5</sup>Korea Astronomy and Space Science Institute, Yuseong-gu, Daejeon 34055, Korea

13 <sup>6</sup>Korea Advanced Institute of Science and Technology, Daejeon 34141, Korea

14 <sup>7</sup>Far Eastern Federal University, Vladivostok 690950, Russia

15 <sup>\*</sup>1 Enterprise Parkway, Hampton, VA 23681, USA

16 [wenbo.sun-1@nasa.gov](mailto:wenbo.sun-1@nasa.gov)

17  
18 **Abstract.** Our previous study shows that the angle of linear polarization (AOLP) of solar radiation that is  
19 scattered from clouds at near-backscatter angles can be used to detect super-thin cirrus clouds over oceans.  
20 Such clouds are too thin to be sensed using any current passive satellite instruments that only measure  
21 light's total intensity, because of the uncertainty in surface reflection. In this report, we show that with a  
22 method similar to the super-thin clouds detection algorithm, dust aerosols may also be detected and  
23 differentiated from clouds. We also show that the degree of polarization of reflected light can be used for  
24 retrieving the optical depth of dust aerosols in the neighborhood of the backscatter angle, regardless of the  
25 reflecting surface conditions. This is a simple and robust algorithm, which could be used to survey dust  
26 aerosols over midlatitude and tropical oceans.

27 **Key words:** Polarized reflectance; degree of polarization; dust aerosol; retrieval; remote sensing.

28  
29 A NASA-Korea CubeSat mission is currently under preparation by NASA Langley Research  
30 Center, the Korea Astronomy and Space Science Institute (KASI), and Kyung Hee University of  
31 Korea. We plan to use polarimeters on two CubeSats to detect the super-thin clouds over global  
32 oceans and dust aerosols over oceans and land around the Korean peninsula. The polarimeters  
33 will be developed by KASI, that are modified versions of the Polarimetric Camera (PolCam)  
34 developed by KASI for the Korea Pathfinder Lunar Orbiter (KPLO). This planned polarimeter-  
35 on-CubeSat mission will measure the polarization features of scattered light from clouds and  
36 aerosols to identify the super-thin clouds and dust aerosols over oceans and retrieve their optical  
37 depth.

38  
39 Our previous works (Sun et al. 2014; 2015) show that distinct features exist in the angle of linear  
40 polarization (AOLP) of solar radiation that is scattered from clouds at near-backscatter angles. At  
41 these angles the dominant electric field from clear-sky oceans is nearly parallel to the Earth  
42 surface. However, when clouds are present, this electric field can rotate significantly away from  
43 the parallel direction. Our modeling results suggest that this polarization feature can be used to  
44 detect super-thin cirrus clouds having an optical depth of only  $\sim 0.06$  and super-thin liquid water  
45 clouds having an optical depth of only  $\sim 0.01$ . Such clouds are too thin to be sensed using any  
46 current passive satellite instruments that only measure light's total intensity, because of the  
47 uncertainty in surface reflection.



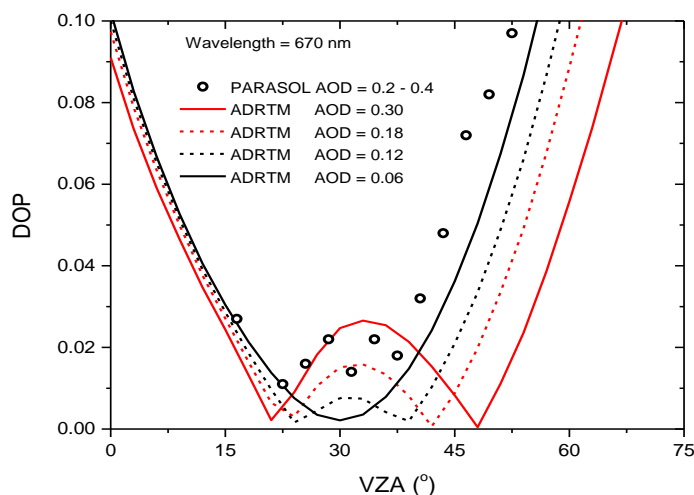
48 Similar to super-thin clouds, aerosols such as dust particles also affect surface remote sensing  
49 and global climate significantly. The optically thin aerosols are also very difficult to detect even  
50 over a dark surface condition such as oceans. In optical remote sensing of aerosols, how to  
51 distinguish between surface and atmospheric contributions to the TOA reflectance keeps on a  
52 problem. Because of aerosols' small optical thickness, the uncertain effect of ocean surface  
53 reflection to the light cannot be well quantified when using total reflectance as a measurement to  
54 the aerosols, even with multiple angles and wavelengths in measurements. Several methods are  
55 proposed to separate the atmospheric and the surface contributions (e.g., Kaufman et al. 1997),  
56 but no ideal method is reported to date. The use of the degree of polarization of the radiance is  
57 thought to have great potential for aerosol retrieval (Herman et al., 1997). However, no robust  
58 method for remote sensing of aerosols based on polarized radiation measurement is reported to  
59 date. Based on our modeling results, we will propose a novel method of using passive  
60 polarimetric instruments to detect dust aerosols over oceans in this paper.

61 Unpolarized solar radiation can be polarized by surface reflections as well as by scattering from  
62 atmospheric molecules and particles. When sunlight propagates through a clear atmosphere and  
63 is scattered back toward the Sun, the resulting signal is nearly unpolarized when the solar zenith  
64 angle (SZA) is less than  $\sim 40^\circ$  (Sun and Lukashin, 2013). By considering a longer solar  
65 wavelength, such as 670 nm, the contribution of molecular scattering is small. Unlike total  
66 radiance ( $I$ ), the degree of polarization (DOP) and angle of linear polarization (AOLP) of the  
67 reflected sunlight are insensitive to surface roughness and absorption by atmospheric water vapor  
68 and other gases (Sun and Lukashin, 2013). This insensitivity makes the polarization  
69 measurement robust for different environmental conditions, even when the detected components  
70 are within the lower layers of the atmosphere. For example, super-thin water clouds close to the  
71 surface of the Earth that cannot be detected using 1.38 $\mu\text{m}$  radiance can be identified by the  
72 polarization properties of light backscattered from them. Method for using AOLP feature to  
73 detect super-thin clouds is reported in Sun et al. (2014). The method for using polarized  
74 reflectance to retrieve the optical depth of super-thin clouds is reported in Sun et al. (2015). Sun  
75 et al. (2015) reports that the optical depth of super-thin clouds can be retrieved at near-  
76 backscatter angles without the effect of background reflection.

77 Our studies show that the polarization of backscattered light can also be applied to aerosol  
78 remote sensing. Figure 1 shows the modeled DOP of reflected sunlight at 670 nm from dust  
79 aerosols over oceans. Also shown in this figure are results from 12 days of PARASOL level-1  
80 reflectance and level-2 ocean aerosol and clouds data (Deschamps et al. 1994; Buriez et al.  
81 1997; Tanre et al. 2011) across May to August of 2006. In the modeling, we assume the dust  
82 particles are nonspherical debris aggregates with a refractive index of  $1.4 + 0.01i$  (Zubko et al.  
83 2006; 2009; 2013). The aerosols are within a 1-km layer over ocean surface. The aerosol size  
84 distribution and single-scattering property calculation follow those reported in Sun et al. (2013).  
85 The PARASOL measurements obtained over Atlantic Ocean area ( $0^\circ\text{N}$  - $35^\circ\text{N}$  and  $0^\circ\text{W}$  - $60^\circ\text{W}$ )  
86 are used to capture Sahara dust over oceans. Only those data with an AOD = 0.2 – 0.4 from the  
87 PARASOL OC2 dataset are used for comparison with the modeled results. We can see that the  
88 modeled DOP of reflected light is a strong function of dust AOD. At the near-backscatter  
89 viewing angles, DOP of reflected light monotonically increases with the AOD. This means that  
90 when using a polarimeter at these observation angles, AOD can be retrieved from the DOP of the  
91 backscattered light. The PARASOL data well prove the modeled results at the near-backscatter  
92 angles. However, significant difference is found at other viewing angles, with unknown reasons.

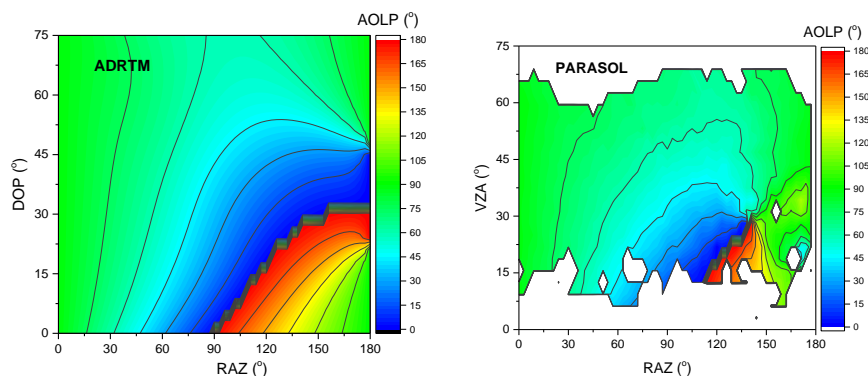


93 Figure 2 shows the AOLP of the reflected light from the ADRTM (left panel, AOD = 0.3) and  
94 the PARASOL (right panel, AOD = 0.2 – 0.4). We can see that at near-backscatter angles,  
95 AOLPs from the model and satellite data are significantly different. The PARASOL results have  
96 a glory pattern at near-backscatter angles that indicates transparent cloud particles such as water  
97 droplets or ice crystals (Sun et al. 2014; 2015). This means the PARASOL OC2 aerosol product  
98 has clouds contamination. Thus, the aerosol properties in the PARASOL OC2 may not be very  
99 reliable for this case.



100  
101  
102  
103  
104  
105  
106  
107  
108  
109  
110

Figure 1. At near-backscatter angles [For this case, it is at viewing zenith angle (VZA) = ~20-40°, and relative azimuth angle (RAZ) = ~170-180°], the DOP of light from dust monotonically increases with the AOD of dust aerosols. In this modeling, the adding-doubling radiative transfer model (ADRTM) developed in Sun and Lukashin (2013) is used. The wavelength is 670 nm, the solar zenith angle (SZA) is 30°, and wind speed over ocean surface is 7.5 m/s. Also shown in this figure are the PARASOL measurements obtained over Atlantic Ocean area (0°N -35°N and 0°W - 60°W) that has Sahara dust. 12 days of PARASOL data in May-August, 2018 are used for this study. The results are for a RAZ of 177°.



111



112

113

114

Figure 2. Same as in Fig. 1, but for the AOLP of the reflected light from the ADRTM (left panel,

115

AOD = 0.3) and the PARASOL (right panel, AOD = 0.2 – 0.4).

116

117

In summary, our modeling results show that the DOP of scattered sunlight can be used to detect aerosols. Figure 2 also shows that the AOLP of scattered light from nonspherical dust particles are very different from that of light scattered by clouds as reported in Sun et al. (2014; 2015). This can be used to differentiate aerosols from clouds, regardless of the ocean surface conditions. This is a simple and robust algorithm, which could be used to survey dust aerosols over midlatitude and tropical oceans, as planned by the NASA-Korea CubeSat mission for the detection of super-thin clouds/aerosols.

124

125

126

## References

127

128

1. Buriez, J. C., Vanbauce, C., Parol, F., Goloub, P., Herman, M., Bonnel, B., Fouquart, Y., Couvert, P., and Sèze, G.: Cloud detection and derivation of cloud properties from POLDER, *Int. J. Remote Sens.*, 18, 2785–2813, 1997.

129

2. Deschamps, P. Y., Breon, F.-M., Leroy, M., Podaire, A., Bricaud, A., Buriez, J.-C., and Sèze, G.: The POLDER mission: Instrument characteristics and scientific objectives, *IEEE Trans. Geosci. Rem. Sens.*, 32, 598-615, 1994.

130

131

3. Herman, M., Deuze, J. L., Devaux, C., Goluob, P., Breon, F. M., and Tanre, D.: Remote sensing of aerosols over land surfaces including polarization measurements and application to polder measurements, *J. Geophys. Res.*, 102, 17,039-17,050, 1997.

132

133

4. Kaufman, Y. J., Wald, A. E., Remer, L. A., Gao, B. C., Li, R. R., and Flynn, L.: The modis 2.1  $\mu\text{m}$  channel correlation with visible reflectances for use in remote sensing of aerosol. *IEEE Trans. Geosci. Remote Sens.*, 35, 1286-1298, 1997.

134

135

5. Sun, W., and Lukashin, C.: Modeling polarized solar radiation from ocean-atmosphere system for CLARREO inter-calibration applications, *Atmos. Chem. Phys.*, 13, 10303-10324, <https://doi.org/10.5194/acp-13-10303-2103>, 2013.

136

137

6. Sun, W., Videen, G., and Mishchenko, M. I.: Detecting super-thin clouds with polarized sunlight, *Geophys. Res. Lett.*, 41, 688-693, <https://doi.org/10.1002/2013GL058840>, 2014.

138

139

7. Sun, W., Baize, R. R., Videen, G., Hu, Y., and Fu, Q.: A method to retrieve super-thin cloud optical depth over ocean background with polarized sunlight, *Atmos. Chem. Phys.*, 15, 11909-11918, <https://doi.org/10.5194/acp-15-11909-2015>, 2015.

140

141

8. Tanre, D., Breon, F.-M., Deuze, J. L., Dubovik, O., Ducos, F., Francois, P., Goloub, P., Herman, M., Lifermann, A., and Waquet, F.: Remote sensing of aerosols by using polarized, directional and spectral measurements within the A-train: The PARASOL mission, *Atmos. Measur. Tech.*, 4, 1383-1395, <https://doi.org/10.5194/amt-4-1383-2011>, 2011.

142

143

9. Zubko, E., Shkuratov, Y., Kiselev, N. N., and Videen, G.: DDA simulations of light scattering by small irregular particles with various structure, *J. Quant. Spectrosc. Radiat. Trans.*, 101, 416–434, 2006.

144

145

10. Zubko, E., Kimura, H., Shkuratov, Y., Muinonen, K., Yamamoto, T., Okamoto, H., and Videen, G.: Effect of absorption on light scattering by agglomerated debris particles, *J. Quant. Spectrosc. Radiat. Trans.*, 110, 1741–1749, 2009.

146

147



- 159 11. Zubko, E., Muinonen, K., Munoz, O., Nousiainen, T., Shkuratov, Y. Sun, W., and  
160 Videen, G.: Light scattering by feldspar particles: Comparison of model agglomerate  
161 debris particles with laboratory samples, *J. Quant. Spectrosc. Radiat. Trans.*, 131, 175-  
162 187, 2013.