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# The evolution of cloud microphysics upon aerosol interaction at the summit of Mt. Tai, China

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**Abstract.** The influence of aerosols, both natural and anthropogenic, remains a major area of uncertainty when predicting the properties and behaviour of clouds and their influence on climate. In an attempt to understand better the microphysical properties of cloud droplets, the aerosol-cloud interactions, and the corresponding climate effect during cloud life cycles in the North China Plain, an intensive observation took place from 17 June to 30 July 2018 at the summit of Mt. Tai. Cloud

- 25 microphysical parameters were monitored simultaneously with number concentrations of cloud condensation nuclei (N<sub>CCN</sub>) at different supersaturations, PM<sub>2.5</sub> mass concentrations, particle size distributions and meteorological parameters. Number concentrations of cloud droplets (N<sub>C</sub>), liquid water content (LWC) and effective radius of cloud droplets (r<sub>eff</sub>) show large variations among 40 cloud events observed during the campaign. Perturbations of aerosols will significantly increase the N<sub>C</sub> of cloud droplets and shift cloud droplets toward smaller size ranges. Clouds in clean days are more susceptible to the change
- 30 in concentrations of particle number (N<sub>P</sub>). LWC shows positive correlation with r<sub>eff</sub>. As N<sub>C</sub> increases, r<sub>eff</sub> changes from a trimodal distribution to a unimodal distribution. By assuming a cloud thickness of 100 m, we find that the albedo can increase 36.4% if the cloud gets to be disturbed by aerosols. This may induce a cooling effect on the local climate system. Our results contribute more information about regional cloud microphysics and will help to reduce the uncertainties in climate models when predicting climate responses to cloud-aerosol interactions.





## 1. Introduction

Clouds represent a key process in the atmospheric hydrological cycle, which plays an important role in the atmospheric energy budget and significantly influence the global and regional climate (Chang et al., 2019;Zhang et al., 2004b). Clouds can be physically described by their liquid water contents (LWC), number concentrations of droplets (N<sub>C</sub>) and effective radius of

- 5 droplets (r<sub>eff</sub>). These parameters may show small inter-annual variations for the same monitoring station (M öller et al., 1996), but they vary over a large range among different cloud types (Quante, 2004), cloud altitudes (Padmakumari et al., 2017;Zhao et al., 2018) and in different parts of a cloud (Deng et al., 2009). Anthropogenic aerosol emission increases the number of cloud condensation nuclei (CCN) and hence they increase the number of cloud droplets, which is called the "Twomey Effect" (Twomey, 1974).
- 10 The interactions between the clouds and the aerosols behave in complicated ways. Clouds efficiently remove aerosols by activating CCN to cloud droplets (Croft et al., 2010;Zhang et al., 2004a). The cloud processes can increase particles sizes (Herenz et al., 2018) and alter the CCN compositions through homogeneous and heterogeneous reactions (Roth et al., 2016). A recent study found that new particle formation near the cloud edges is probably attributable to the UV irradiation enhanced by the cloud reflection (Wehner et al., 2015). In addition, the increase in aerosol concentrations alters the cloud microphysics,
- which has been investigated for cloud processes under clean and polluted conditions. Padmakumari et al. (2017) found that the convective clouds over land were characterized by lower LWC and higher  $N_C$  due to the perturbation of pollution aerosol. Ground-based observations by radiometers during the summers of the U.S. Mid-Atlantic region revealed that cloud events with smaller droplets (< 7 µm) occurred more frequently in the polluted years than in the clean years (Li et al., 2017b). Ebmeier et al. (2014) also found a strong anti-correlation between the aerosol optical depth (AOD) and r<sub>eff</sub> of the local clouds downwind
- from degassing volcanoes. In Brazil, the  $N_c$  of cumulus clouds was little influenced by the aerosol particles under polluted conditions, and only  $r_{eff}$  correlated well with LWC (Reid et al., 1999). The influence of pollution aerosols on the cloud microphysics is evident but varies for different regions and for different cloud types.

To evaluate better the influence of aerosols on the cloud microphysics the first indirect effect (FIE) has been widely applied (Lohmann and Feichter, 2005;McComiskey et al., 2009;Twohy et al., 2005). However, the arithmetic of FIE use different parameters to represent the aerosol loading, such as the number concentration of particles, the CCN concentration

and the aerosol optical depth (AOD), which makes it difficult to compare the FIEs from different studies. Positive relationships between aerosol loading and r<sub>eff</sub>, called the "anti-Twomey effect", are widely observed, especially over land (Bulgin et al., 2008;Grandey and Stier, 2010;Tang et al., 2014;Wang et al., 2014).

The increase in the aerosol concentrations results in a longer cloud lifetime, thus producing large cloud fractions (Koren et al., 2005;Albrecht, 1989), and increasing cloud top height and cloud thickness (Fan et al., 2013), which further influence the regional and global climate (Rosenfeld, 2006;Seinfeld et al., 2016). The reduction in the precipitation or drizzle caused by





the perturbation of aerosols (Andreae et al., 2004;Heikenfeld et al., 2019) delays the hydrological cycle (Rosenfeld, 2006). Through Model experiments with the Coupled Model Intercomparison Project phase 5 (CMIP5), Frey et al. (2017) found that the monthly mean cloud albedo of subtropical marine stratocumulus clouds increased with the addition of anthropogenic aerosols.

However, lacking knowledge of the size distributions of clouds and aerosols makes it difficult to evaluate the cloud microphysics in small-scale regions (Fan et al., 2016;Khain et al., 2015). Climate models incompletely capture the impact of cloud-aerosol interactions (Rosenfeld et al., 2014b). The unresolved process of cloud formation is one of the largest contributors to the uncertainties in the models (Stevens and Bony, 2013). In situ measurements of cloud microphysics by aircraft or on high-altitude monitoring sites have provided some additional information for insight into the cloud processes
(Allan et al., 2008;Li et al., 2017a;Padmakumari et al., 2017;Van Pinxteren et al., 2016;Reid et al., 1999). Furthermore, we lack knowledge of the microphysical parameters of cloud at different stages, an analogous study by Mazoyer et al. (2019)

found that different fog stages own varied relationships among microphysical parameters.

The summit of Mt. Tai is the highest point in the center of the North China Plain. Sufficient moisture in summer and dramatic temperature differences between day and night make it ideal for in situ orographic cloud monitoring (Li et al., 2017a).

- 15 The summit of Mt. Tai is far away from anthropogenic emission sources on the ground. But high concentrations of inorganic ions in PM<sub>2.5</sub> (Zhou et al., 2009), abundant bacterial communities (Zhu et al., 2018), NH<sub>3</sub> and NO<sub>x</sub> emissions form biomass burning (Chang et al., 2018) have been observed at the summit, thus one infers a strong anthropogenic influence. Previous studies of cloud samples collected at the same position showed high inorganic ion concentrations (Li et al., 2017a;Wang et al., 2011), which can be attributable to the perturbation of anthropogenic aerosol. In the present study in situ observations at the
- 20 summit of Mt. Tai were conducted and used to study the evolution of cloud microphysics upon aerosol interaction within nonprecipitating clouds. Two typical cloud processes are discussed in detail to elucidate the relationship of  $N_{C}$ ,  $r_{eff}$  and LWC under clean or polluted conditions (indicated by  $N_{P}$  and  $N_{CCN}$ ). This paper provides comprehensive information for the aerosol impact on the microphysical properties of orographic clouds. The albedo based on the observed data has been estimated for climate implication.

#### 25 2. Experiments

#### 2.1. Duration and site

From 17 June to 30 July 2018, 40 cloud events in total were monitored at the summit of Mt. Tai (Tai'an, China; 117°13'E, 36°18'N; 1545 m a.s.l.) which is located within the transportation channel between the North China Plain and the Yangtze River Delta (Shen et al., 2019). The altitude of Mt. Tai is close to 1.6 km, which is usually sited for the characteristic of particles

30 inputting to clouds (Hudson, 2007).





## 2.2. Cloud microphysical parameters

A Fog Monitor (Model FM-120, Droplet Measurement Technologies Inc., USA), a forward-scattering optical spectrometer with sampling flow of 1 m<sup>3</sup> min<sup>-1</sup>, was applied in situ for real-time displaying size distributions of cloud droplets and computing  $N_{C}$ , LWC, median volume diameter (MVD) and effective diameter (ED) in the size range of 2 to 50  $\mu$ m. The corresponding equations are:

$$\begin{split} N_{\rm C} &= \Sigma N_i, \\ {\rm LWC} &= \frac{4\pi}{3} \Sigma N_i r_i^3 \rho_{\rm W}, \\ {\rm MVD} &= 2 \times (\frac{\Sigma N_i r_i^3}{\Sigma N_i})^{\frac{1}{3}} \\ ED &= 2 \times r_{eff} = 2 \times \Sigma n_i r_i^3 / \Sigma n_i r_i^2, \end{split}$$

10 where  $N_i$  is the cloud number concentration at the ith bin,  $r_i$  represents the radius at the ith bin and  $\rho_w = 1$  g cm<sup>-3</sup> stands for the density of liquid water. Droplets are categorized into 30 size bins with sampling resolution of 1 s. In this study, the cloud events are defined by the universally accepted threshold values in  $N_c$  and LWC, i.e.,  $N_c > 10 \text{ # cm}^{-3}$  and LWC > 0.001 g m<sup>-3</sup> (Demoz et al., 1996). Too short cloud events with a duration < 15 minutes were excluded.

#### 2.3. Aerosol size distribution

A Scanning Mobility Particle Sizer (SMPS, Model 3938, TSI Inc., USA) consisting of a Differential Mobility Analyzer (DMA, Model 3082, TSI Inc., USA) and a Condensation Particle Counter (CPC, Model 3775, TSI Inc., USA) was applied to monitor the size distributions of dehumidified aerosols through a PM<sub>10</sub> inlet. The neutralized aerosols were classified by DMA to generate a monodisperse stream of known size according to their electrical mobility. The CPC placed downstream counts the particles and gives the number of particles with different sizes. In the present study, each scan was fixed at 5 min for every loop with a flow rate of 1.5 L min<sup>-1</sup> sizing particles in the range of 13.6 - 763.5 nm in 110 size bins.

#### 2.4. CCN number concentration

The  $N_{CCN}$  at certain supersaturations (ss) were quantified by a cloud condensation nuclei counter (Model CCN-100, DMT Inc., USA). The CCN counter was set at five ss values sequentially for 10 min each at 0.2 %, 0.4 %, 0.6 %, 0.8 % and 1.0 % with a full scan time resolution of 50 min. Data collected during the first 5 min of each ss was excluded since the CCN counter needs

25 time for temperature stabilization after the change of ss. The ratio of sample flow to sheath flow was set at 1:10 with a total airflow of 500 ccm. The ss of CCN counter were calibrated before the campaign and checked at the end of the campaign with monodisperse ammonium sulfate particles of different sizes (Rose et al., 2008).

## 2.5. PM<sub>2.5</sub> concentrations and meteorological parameters

The PM<sub>2.5</sub> mass concentration was measured using a beta attenuation and optical analyzer (SHARP monitor, model 5030i,





Thermo Scientific Inc., USA). Meteorological parameters including the ambient temperature (T,  $^{\circ}$ C), relative humidity (RH), wind speed (WS, m s<sup>-1</sup>) and wind direction (WD,  $^{\circ}$ ) were provided by Shandong Taishan Meteorological Station at the same observation point.

## 2.6. The calculation of FIE

5 Aerosol first indirect effect can be evaluated based on different cloud microphysical properties (McComiskey et al., 2009; Feingold et al., 2001). In the present study, FIEs based either on the  $r_{eff}$  or on  $N_C$  were used claculated as

$$FIE_{r} = -\left(\frac{\Delta \ln r_{eff}}{\Delta \ln N_{P}}\right)_{LWC}, 0 < FIE_{r} < 0.33$$
$$FIE_{N} = -\left(\frac{\Delta \ln N_{C}}{\Delta \ln N_{P}}\right), 0 < FIE_{N} < 1$$

Where NP is applied as an proxy of aerosol amount (Zhao et al., 2012;Zhao et al., 2018).

## 10 2.7. The calculation of albedo

Cloud albedos can be calculated using the equations shown below (Seinfeld and Pandis, 2006). Assuming the cloud droplet size distribution can be approximated as monodisperse, the cloud optical thickness ( $\tau_c$ ) could be obtained by

$$\tau_c = h(\frac{9\pi LWC^2 N_c}{2\rho_w^2})^{\frac{1}{3}}$$

where h is the thickness of the cloud and  $\rho_w$  is the density of cloud water.

15 For the nonabsorbing and horizontally homogeneous cloud, the cloud albedo (R<sub>c</sub>) gives as (Lacis and Hansen, 1974)

$$Albedo = \frac{\sqrt{3}(1-g)\tau_c}{2+\sqrt{3}(1-g)\tau_c}$$

where g is the asymmetry factor. The radius of cloud droplets was much greater than the wavelength of visible light, hence g is 0.85. The equation before becomes to

$$Albedo = \frac{\tau_c}{\tau_c + 7.7}$$

# 20 3. Results and discussion

## 3.1. Overview of the cloud microphysics

The averaged N<sub>C</sub>, LWC, and  $r_{eff}$  of the 40 cloud events at the summit of Mt. Tai varied over the ranges of 59–1519 # cm<sup>-3</sup>, 0.01–0.59 g m<sup>-3</sup> and 2.6–7.4 µm, respectively (Table S1). The number concentration of cloud droplets at Mt. Tai both in the present study and in 2014 can reach 2000-3000 # cm<sup>-3</sup> (Li et al., 2017a), which is much higher than those values (with a range

of 10–700 # cm<sup>-3</sup>) for city fogs and convective and orographic clouds (Allan et al., 2008;Li et al., 2011;Padmakumari et al., 2017) (Table 1).

The microphysics of different clouds and fogs can generally be distinguished in a plot of reff (or MVD) against LWC. As



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illustrated in Fig. 1, the LWC increases as the altitude increases in order of city fogs, orographic clouds and convective clouds. It is consistent with the study by Penner et al. (2004) that LWC within clouds increases linearly with altitude. The increase of  $N_C$  and/or  $r_{eff}$  will result in the increase of LWC. But sometimes only one factor plays the determining role. Even though the maximum  $N_C$  in Shanghai fog were higher than those in Hyderaba clouds; the larger sizes of clouds in Hyderaba

5 determined their higher LWC values. (Li et al., 2011;Padmakumari et al., 2017). When compared with previous orographic clouds, LWC at Mt. Tai appeared to show a larger range. We monitored the high values, which are comparable with convective clouds, and the low values, which are similar to city fogs.

As opposed to convective clouds studied by research aircraft, cloud events at Mt. Tai were monitored in a fixed location and more easily affected by locally transferred air mass. Therefore, it is very worthwhile to use Mt. Tai to study how the aerosols carried large amount of CCN influence cloud microphysics and even the cloud life cycle.

## 3.2. Analysis on typical cloud processes

Cloud process-1 (CP-1) lasted the longest, persisting 74 hours in the present study. Cloud droplets formed under a relatively stable (wind speed < 4 m s<sup>-1</sup>) and clean (PM<sub>2.5</sub>  $\approx$  10.9 µg m<sup>-3</sup>) set of circumstance accompanied by a slow increase of T<sub>a</sub> (Fig. 2). During daytime, especially in the afternoon, the PM<sub>2.5</sub> mass concentration dramatically increased with little change in wind

speed and wind direction. However, the cloud did not break up with the perturbation of particles. As opposed to CP-1, the eight cloud events of cloud process-2 (CP-2) occurred periodically under high  $PM_{2.5}$  conditions (50.7 µg m<sup>-3</sup> in average). When a cloud formed,  $PM_{2.5}$  rapidly decreased to less than 7.0 µg m<sup>-3</sup> due to cloud scavenge. At noon, both  $PM_{2.5}$  and  $T_a$  increased. They pushed the minification of cloud droplet sizes (Rosenfeld et al., 2014a), decreased the ambient supersaturation, enhanced the evaporation of small droplets (Ackerman et al., 2004), and finally caused the cloud events to vanish (Mazoyer et al., 2019).

#### 20 3.2.1. Evolution of cloud microphysics

Based on whether the perturbation of particles occurred, CP-1 was separated into four stages: SC1 (stage-clean 1); SP1 (stage-perturbation 1); SC2 (stage-clean 2); and SP2 (stage-perturbation 2) (Fig. 3b). The newly formed cloud droplets were characterized with low  $N_C$  (~306 # cm<sup>-3</sup>), large  $r_{eff}$  (~9.44 µm) and high LWC/ $N_C$  (~1.9 mg #<sup>-1</sup>), which represents the contained water of each cloud droplet (Fig. 2f and 3b). During SP1 and SP2, the perturbation of particles rapidly scrambled the water of

the formed cloud droplets causing a dramatic decrease of  $r_{eff}$  and LWC/N<sub>C</sub>. The LWC/N<sub>C</sub> and  $r_{eff}$  of CP-1 showed a strong positive relationship.

According to the regular changes of cloud microphysics, each cloud event of CP-2 was separated into activation stage (S1), collision-coalescence stage (S2), stable stage (S3), and dissipation stage (S4) (Fig. 3a). As opposed to CP-1, the newly formed cloud droplets during S1 were characterized by small size, high N<sub>C</sub> and low LWC/N<sub>C</sub> values (Fig. 2f and 3b). For





smaller than 4.1  $\mu$ m and LWC/N<sub>C</sub> was about 0.2 mg #<sup>1</sup>. In going from S2 to S3, the strong collision-coalescence between cloud droplets caused the increase of both r<sub>eff</sub> and LWC/N<sub>C</sub>. In S4, N<sub>C</sub> decreased with the reduction of r<sub>eff</sub> and LWC/N<sub>C</sub>.

## 3.2.2. Interactions between NP, NCCN and NC

As mentioned above, CP-1 and CP-2 started with different  $PM_{2.5}$  mass concentrations. Thus, the  $N_P$  and the  $N_{CCN}$  measured at

- 5 ss = 0.2% (N<sub>CCN,0.2</sub>) are discussed in detail to evaluate the different conditions before cloud onsets. Before the start of CP-1, less than 1110 # cm<sup>-3</sup> of N<sub>P</sub> existed in the atmosphere. Each cloud event in CP-2 occurred under high N<sub>p</sub> (~ 5400 # cm<sup>-3</sup>) and N<sub>CCN</sub> (~ 2200 # cm<sup>-3</sup>) conditions. We use N<sub>CCN</sub> to N<sub>P</sub> fractions (N<sub>CCN,0.2</sub>/N<sub>p</sub>, CCN activation ratio) to describe the ability of aerosols acting as CCN at ss 0.2%. As shown in Fig. 3b, N<sub>CCN,0.2</sub>/N<sub>P</sub> exhibits some low values (e.g. < 0.2) yet it is always larger than 0.22 in CP-2.
- Based on the plot of  $N_{CCN,0.2}$  versus  $N_P$ , we compared the connection between  $N_P$  and  $N_{CCN}$  at Mt. Tai with that monitored at puy-de-Dome, France (Asmi et al., 2012). Even though the settled ss are different (0.2% at the summit of Mt. Tai and 0.24% at puy-de-Dome), most of the data points of CP-1 and CP-2 were distributed between the two recommended dashed lines (the visually defined boundaries in within most of the data are centered, Fig. 3c and 3d) by Asmi et al. (2012). Asmi et al. (2012) found that high  $N_{CCN}/N_C$  was accompanied with the elevated aerosol hygroscopicity parameter  $\kappa$  during winter when long-
- 15 range transported polluted continental aerosol commonly occurred. At a given ss, Mazoyer et al. (2019) also found the CCN activation ratio was positively associated with  $\kappa$  during the ParisFog field campaigns. High  $\kappa$  values corresponded to high fractions of less volatile organic aerosols (Raatikainen et al., 2010) while low  $\kappa$  values can be caused by the local traffic and wood burning emissions (Hammer et al., 2014). This indicates that the different CCN activation ratios between CP-1 and CP-2 may be influenced by the chemical compositions of ambient aerosols.
- 20 During the studies of cloud physics, the viewpoint that the increase of  $N_P$  brings more CCN and further increases  $N_C$  is supported by in situ observations (Lu et al., 2007;Mazoyer et al., 2019) and modelling studies (Heikenfeld et al., 2019;Zhang et al., 2014). In contrast, some recent studies of fog which contains less LWC when compared with a cloud, suggest that the increase of  $N_P$  will decrease the ambient supersaturation and decrease droplet numbers (Boutle et al., 2018;Mazoyer et al., 2019). In the present study, both positive and negative relations between  $N_P$  and  $N_C$  have been observed. But they appeared at
- 25 different cloud processes (e.g., N<sub>P</sub> and N<sub>C</sub> showed consistent variation in CP-1) and different stages of cloud events (e.g., An obviously inverse relation between N<sub>P</sub> and N<sub>C</sub> existed in S1 and S4 while N<sub>P</sub> and N<sub>C</sub> simultaneously decreased in S2). This emphasizes the importance of studying cloud microphysics during cloud cycles and explains why some monitored values of LWC and r<sub>eff</sub> at Mt. Tai were comparable with city fogs as discussed in section 3.1.

#### 3.2.3. Aerosol First Indirect Effect

30 To show the influence of N<sub>P</sub> on cloud droplets, FIE<sub>r</sub> and FIE<sub>N</sub> of CP-1 and CP-2 are illustrated in Fig. 4 and Fig. S2. Except





for the out-of-bound FIE<sub>r</sub> values calculated with insufficient data points when LWC was larger than 0.7 g m<sup>-3</sup>, FIE<sub>r</sub> of 0.181 - 0.269 for CP-1 were always higher than those of 0.025–0.123 for CP-2 in corresponding narrow LWC ranges (Fig. S2). We verified this with FIE<sub>N</sub>. Due to the limitation of the Fog Monitor, the number of cloud droplets smaller than 2  $\mu$ m may be underestimated during the activation and dissipation stages (in S1 and S4) (Mazoyer et al., 2019). Thus, only the data for S2

- and S3 were employed when calculating FIE<sub>N</sub> of CP-2 (Fig. 4c). Even though the underestimation of N<sub>C</sub> may also exist in CP-1, the FIE<sub>N</sub> of CP-1 (0.544) was still higher than that of CP-2 (0.144). It indicated that cloud droplets formed in fewer background particle numbers are more sensitive to N<sub>P</sub>. In the previous studies, both observation and modelling studies also found that FIE<sub>r</sub> was higher under smaller aerosol amount conditions. Twohy et al. (2005) measured the equivalent FIEr of 0.27 in the California coast while Zhao et al. (2018) used satellite observations to attribute the value of 0.10-0.19 for convective clouds over Hebei, China. Using an adiabatic cloud parcel model, Feingold (2003) found FIE<sub>r</sub> increased from 0.199 to 0.301
- when  $N_P$  decreased to less than 1000 # cm<sup>-3</sup>. By using the Community Atmospheric Model version 5 (CAM5), Zhao et al. (2012) also found high FIE<sub>r</sub> values in the tropical West Pacific at Darwin (TWP) due to the low  $N_P$  in December, January, and February.

The positive FIE<sub>r</sub> and FIE<sub>N</sub> at Mt. Tai mean that the increase in N<sub>P</sub> are accompanied by decreased r<sub>eff</sub> and increased N<sub>C</sub>.
No negative FIE<sub>r</sub> were found in the present study. Yuan et al. (2008) and Tang et al. (2014) applied AOD to represent aerosol loading and found negative FIE<sub>r</sub>. Using the 2-D Goddard Cumulus Ensemble model (GCE), Yuan et al. (2008) found positive dependence of r<sub>eff</sub> on AOD near coastlines of the Gulf of Mexico and the South China Sea. They hypothesized that it may be due to the increase of soluble organics particles (SSO), which is hydrophobic and will hinder the activation of particles, inducing giant CCN to absorb water vapour and therefor result in large AOD. Tang et al. (2014) revealed negative FIE values

- 20 during observations over Eastern China and the surrounding sea. With Moderate Resolution Imaging Spectroradiometer (MODIS) observations, they found significant positive correlations between  $r_{eff}$  and AOD over inland regions. They explained that the negative FIE values are likely attributable to meteorological conditions which usually favours transport of both pollutants and water vapour from the South and Southeast China and leads to simultaneous increases in both AOD and  $r_{eff}$ .
- An increase in LWC might reduce the FIE, especially at coastal sites (McComiskey et al., 2009;Zhao et al., 2012).
   However, weak variations of FIE<sub>r</sub> with an increase of LWC were found at Mt. Tai. Zhao et al. (2012) represented that the low LWC effect on FIE may be due to the high aerosol loading during cloud processes.

#### 3.2.4. Size distribution of cloud droplets and particles

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To illustrate the evolution of the aerosol particles and the cloud droplets during the cloud processes, the size distributions of  $N_P$  and  $N_C$  during different cloud stages are respectively plotted in Fig. 5. For the lowest  $N_P$  during the cloud processes, SC1 and SC2 were characterized by the smallest  $N_C$  for the size bins of 2–13 µm but by the largest  $N_C$  for the largest size bin. This resulted in the larger  $r_{eff}$  in SC1 and SC2 as shown in Fig. 3b. This is in accordance with the study from Breon and Colzy (2000)



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that droplets are on average 2–3  $\mu$ m larger in remote tropical oceans than over land where is affected by biomass burning. During two perturbation stages of SP1 and SP2 in CP-1 (Fig. 5b), large numbers of aerosols, especially those smaller than 150 nm, affected cloud events. This dramatically increased N<sub>C</sub> of 5–10  $\mu$ m and made N<sub>C</sub> of SP1 and SP2 in different size bins all comparable with those of CP-2. Herenz et al. (2018) and Wegner et al. (2012) found that the influence of local pollution could initiate the number concentrations of particles smaller than 100 nm. Thus, the aerosols perturbed the cloud during CP-1 may

likely come from local pollutions.

When compared with CP-1, the cloud events of CP-2 started with higher N<sub>P</sub>. The spectra of size distributions of cloud droplets in CP-2 were narrower. More than 80% of the total measured cloud droplets were in the range of  $5-10 \ \mu m$  (Fig. 5a). With the development of the cloud process, N<sub>C</sub> in three size bins, [2, 5)  $\mu m$ , [5, 7)  $\mu m$  and [7,10)  $\mu m$ , showed a similar variation trend. The number concentrations of cloud droplets in S1 were the highest. In the two larger size bins which were [10, 13)  $\mu m$ 

and [13,50) µm, S2 had the highest N<sub>C</sub>.

Except for the low N<sub>P</sub> of particle smaller than 50 nm, N<sub>P</sub> of other size bins were comparable before the cloud onset (Fig. 5a). When a cloud started, N<sub>P</sub> of particles larger than 150 nm rapidly decreased by activation. Mertes et al. (2005) also found that particles centered at  $d_p = 200$  nm could be efficiently activated to droplets while most Aitken mode particles remained in

the interstitial phase. The number concentrations of particles in the size range of 50-150 nm were slightly influenced during cloud processes at Mt. Tai. The activated particles grew at the beginning of the cloud cycle would lower the surrounding supersaturation and to some extent limit further aerosol activation (Ekman et al., 2011). It caused the increase of N<sub>P</sub> during S4.

#### 3.3. Relations between LWC, Reff and Nc

The hourly averaged LWC for CP-1 and CP-2 is plotted against corresponding  $r_{eff}$  in Fig. 6a. Large cloud droplets ( $r_{eff} > 8 \mu m$ ) 20 were observed in CP-1, while the  $r_{eff}$  for CP-2 varied narrowly in the range of 2.5–8  $\mu m$ .

For the two relatively clean stages, SC1 and SC2, cloud droplets with  $r_{eff} > 8 \ \mu m$  can existed due to the weaker competition among droplets at lower N<sub>CCN</sub> conditions. This has also been observed in the U.S. Mid-Atlantic region where cloud droplets with larger sizes are more easily formed with lower N<sub>CCN</sub> (Li et al., 2017b). With the perturbation of particles during SP1 and SP2, the growth of cloud droplets was obviously limited at the same LWC level, which is referred to as the "Twomey effect"

25 (Twomey, 1977). This is consistent with the illustration in Fig. 3 that cloud droplets in SP1 and SP2 were smaller.

Most cloud events in CP-2 can be clearly divided into four stages—incipient (S1), coagulation (S2), stabilization (S3), and dissipation (S4) (see also in section 3.2.3). Their corresponding LWC, r<sub>eff</sub> and N<sub>C</sub> are illustrated in the lower panel of Fig. 6a taking CE-20 as an example. During S1, the existing numerous CCN (Fig. 3a) were quickly activated to form cloud droplets. The newly formed droplets are characterized with small sizes but large numbers. They will suppress the beginning of collision-coalescence processes (Rosenfeld et al., 2014a) and may further significantly delay raindrop formation Qian et al. (2009). In

S1, diffusional growth may play the important role of enlarging cloud droplets. Even though both  $N_C$  and  $r_{\rm eff}$  increase, the





increase in  $N_C$  (from 1188 # cm<sup>-3</sup> to 2940 # cm<sup>-3</sup>) instead of the growth of  $r_{eff}$  (from ~3.5 µm to ~4.5 µm) dominates the boost to the LWC. This is different from Mazoyer et al. (2019)'s result in that they found a clearly inverse relationship between the number and the size of droplets at the beginning of the first hour of fog events during the observation in suburban Paris. When compared with a cloud, fog is usually formed under conditions with less available liquid water (Fig. 1). It will limit the growth

- 5 of fog droplets. The newly formed fog droplets will quickly compete for the water vapour, hinder the growth of droplets, and cause the inverse relationship with droplet number and size. At the beginning of S2,  $N_C$  reaches the maximum. The high  $N_C$ yields a great coalescence rate between cloud droplets. In addition, the coalescence processes have a positive feedback that will in turn accelerate this process (Freud and Rosenfeld, 2012) and cause the quick decrease of  $N_C$  (Fig. 3a). This makes cloud droplets in S2 characterized with larger sizes with lower number concentrations. LWC simply varies in a relatively narrow
- 10 range (Fig. 6a). During S3, N<sub>C</sub> is almost constant due to the formation, coagulation, and evaporation of the cloud droplets reaching a balance. Cloud droplets grow or shrink freely by water condensation or evaporation respectively. The r<sub>eff</sub> is almost equal to the cube root of LWC. The increase of LWC values is mainly due to the increase in droplet sizes. During this stage, the concentration of soluble ions may decrease due to the increase of dilution from the increase of LWC (Li et al., 2017a). At the dissipation stage of S4, the clouds vanish due to mixing with the dry ambient air (Rosenfeld et al., 2014a). Both N<sub>C</sub> and r<sub>eff</sub>
- decline. It also illustrates in Fig. 5c that all the  $N_c$  of the five size bins of cloud droplets decrease in S4.

In order to investigate the variation of  $r_{eff}$  upon  $N_C$ , the distribution of  $r_{eff}$  was classified with different  $N_C$  ranges in Fig. 6b. For  $N_C < 1000 \text{ # cm}^{-3}$ ,  $r_{eff}$  displayed a trimodal distribution and concentrated on 3.5 µm (Peak-1), 4.9 µm (Peak-2) and 7.1 µm (Peak-3), respectively. Peak-1 corresponded to cloud droplets with low  $N_C$ , LWC, and  $r_{eff}$  values while the  $N_{CCN0.2}$  was very high (Fig. 6c). These points represented cloud droplets in the incipient stage or the dissipation stage of cloud events where

- 20 large numbers of CCN exist in the atmosphere. Peak-2 and Peak-3 represented the mature stages for cloud events with different environmental conditions. When compared with Peak-2, Peak-3 represented cloud droplets formed under a relatively cleaner atmosphere. In this circumstance, CCN were efficiently activated and had a lower concentration remaining in the atmosphere (Fig. 6c). The sufficient ambient water vapour accelerated the growth of the formed droplets, which were characterized with low N<sub>c</sub> and LWC but large r<sub>eff</sub>. Peak-2 also appeared for larger N<sub>c</sub> ranges, whose distribution narrowed with slightly decreasing
- 25 diameter mode. This indicated that the increase of N<sub>C</sub> will decrease the droplet sizes. It reflects "Twomey effect" again in our study.

By assuming the thickness of cloud is constant at 100 m, the albedo can increase 36.4% when N<sub>C</sub> increased from 352 #  $cm^{-3}$  to 2203 #  $cm^{-3}$ . Through studying marine stratocumulus clouds in the north-eastern Pacific Ocean, Twohy et al. (2005) also found that the increase of N<sub>C</sub> by a factor of 2.8 would lead to 40% increase of albedo going from 0.325 to 0.458.





#### 4. Conclusion

From 17 June to 30 July 2018 in-situ observations of number concentrations and size distributions of aerosol and cloud droplets are employed to show aerosol-cloud interactions and corresponding indirect climate effect at the summit of Mt. Tai. Large variations of the characteristic values in terms of N<sub>C</sub>, LWC and r<sub>eff</sub> exist in different cloud processes. When compared

- 5 with other orographic clouds, clouds at Mt. Tai are affected by air mass with high  $N_{CCN}$ . Clouds with small  $r_{eff}$  and LWC exist, which are comparable with urban fogs. Two typical cloud processes, CP-1 and CP-2, are applied to study the cloud-aerosol interactions based on the aerosol characteristics (especially  $N_p$  and  $N_{CCN}$ ) before cloud onsets. For the CP-1, which forms in relatively clean conditions, water content is sufficient while  $N_{CCN}$  limits cloud droplet formation. The newly formed cloud droplets are characterized with low  $N_c$  but high LWC and large  $r_{eff}$ . When particle perturbation occurs, large numbers of  $N_{CCN}$
- 10 will compete water content with the formed cloud droplets and further dramatically decrease the LWC/N<sub>C</sub> and r<sub>eff</sub> values of cloud droplets. In CP-2, N<sub>P</sub> before the cloud onset is high and N<sub>CCN</sub> is sufficient. Water vapour becomes the limitation for cloud formation. Large numbers of small cloud droplets with low LWC/N<sub>C</sub> form in the incipient stage of cloud events.

Both positive  $FIE_r$  and  $FIE_N$  values at Mt. Tai indicate that the increase of  $N_P$  will decrease  $r_{eff}$  and increase  $N_C$  of cloud droplets.  $FIE_r$  and  $FIE_N$  values are lower with higher  $N_P$  and  $N_{CCN}$ . This represents that the increase of  $N_P$  will more strongly

- 15 decrease the size and increase the number of cloud droplets under the conditions of smaller aerosol amount. Particles larger than 150 nm can be efficiently activated to cloud droplets in the size range of  $5-10 \ \mu\text{m}$ . In general, the size of cloud droplets positively correlates with LWC. But in different N<sub>C</sub> ranges, the r<sub>eff</sub> of cloud droplets show different distribution shapes. For N<sub>C</sub>  $< 1000 \ \text{# cm}^{-3}$ , r<sub>eff</sub> displayed a trimodal distribution. With the increase of N<sub>C</sub>, a narrow distribution of r<sub>eff</sub> appeared and concentrated between 3  $\mu$ m and 5  $\mu$ m.
- For aerosols on the ground level, it is hard to transport to high altitudes just by convection. Theoretically, the air condition before the formation of cloud events in the high altitudes should resemble to the beginning of CP-1. However, Mt. Tai supplies a potential access for aerosol transportation. Even though the summit of Mt. Tai is far away from the polluted sources, the transported CCN changes the cloud microphysical properties and influences the cloud life cycle at Mt. Tai. The increased N<sub>C</sub> and decreased  $r_{eff}$  dramatically increase the cloud albedo, which may further influence the regional climate in the North China

25 Plain.

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Until now, bulk and bin parameterizations of cloud microphysics are widely used in models. But both of them have their defects. Many bulk parameterizations lost the shape of the drop size distribution (Sant et al., 2013). Meanwhile the bin parameterizations are usually applied in relatively small domains for a short time period due to their expensive computation cost (Fan et al., 2016). What's more, discrepancy still exists between the widths of observed and simulated size distributions of cloud droplets (Grabowski and Wang, 2013). Our study supplies valuable information of cloud microphysics at the summit

of Mt. Tai, which provides more data for modeling studies about the North China Plain in the future.





#### Data availability

All data used to support the conclusion are presented in this paper. Additional data are available upon request. Please contact the corresponding authors (Jianmin Chen (jmchen@fudan.edu.cn) and Hui Chen (<u>hui chen@fudan.edu.cn</u>)).

## Author contribution.

5 JC, HC conceived the study. JL and CZ performed the field experiments and sampled cloud water. JL analysed the data and wrote the main manuscript text. JC, HC, DZ, CZ and HH revised the initial manuscript. LX, XW and HL supported the meteorological data and PM<sub>2.5</sub> mass concentration. PL, JL, CZ, YM and WZ assisted in instrument maintenance. LZ, KL and ML contributed to the organization and arrangement of the field observation. All of the authors discussed the results, and contributed to the final manuscript.

#### 10 Competing interests.

The authors declare no conflict of interest.

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#### List of Table and Figure Captions

Table 1: Comparison of clouds monitored at Mt. Tai with city fogs, convective clouds monitored by research aircrafts and other orographic clouds. Including sampling information (site, period and altitude), the range of  $PM_{2.5}$  mass concentrations, the range of microphysical parameters (number concentrations of cloud droplets- $N_c$ , liquid water content-LWC, median volume diameter-MVD, effective radius- $r_{eff}$ ) and the number of monitored clouds/cloud events/fog events.

Figure 1: Plots of effective radius ( $r_{eff}$ , a) or medium volume diameter (MVD, b) against liquid water content (LWC) for clouds and fogs from the literatures. The dashed and solid shapes indicated the airborne and land observation, respectively. The blue diamonds with error bars represented the average LWC and  $r_{eff}$  (or MVD) of 40 cloud events observed at Mt. Tai in the present

10 study with corresponding ranges.

Figure 2: The monitoring information of CP-1 and CP-2. Including (a) Wind speed (WS, m s<sup>-1</sup>) and wind direction (WD), (b) relative humidity (RH, %), ambient temperature ( $T_a$ , C) and dew point temperature ( $T_d$ , C) (c) PM<sub>2.5</sub> mass concentrations (µg m<sup>-3</sup>) and volumn concentration of PM<sub>0.8</sub> (10<sup>-6</sup> cm<sup>3</sup> cm<sup>-3</sup>) (d) size distribution of particles (13.6-763.5 nm) and corresponding

15 geometric mean radius (GMr<sub>P</sub>) (e) size distribution of cloud droplets (2-50  $\mu$ m) and corresponding geometric mean radius (GMr<sub>C</sub>) (f) N<sub>C</sub> and LWC of cloud droplets.

Figure 3. Variation of (a)  $N_C$ ,  $N_p$  and  $N_{CCN,0.2}$  (b)  $N_{CCN,0.2}/N_P$  and LWC/ $N_C$  during CP-1 and CP-2. The plot of  $N_{CCN,0.2}$  versus  $N_P$  (c) in CP-1 (d) in CP-2

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Figure 4: The determination of FIE (a) based on  $r_{eff}$  (b) and (c) based on  $N_C$ .

Figure 5: Size distribution of particles and cloud droplets during CP-1 and CP-2. "NC" in (c) represents particle size distributions during cloudless period

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Figure 6: The plot of LWC versus  $r_{eff}$  (a) in CP-1 and in CP-2 (b) under different  $N_C$  ranges (c) under different  $N_{CCN}$  (d) with the variation of albedo.

Figure 7: A schematic of cloud processes formed on different N<sub>CCN</sub> and Np conditions.

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Samuling Site	Period	Alitude	$PM_{2.5}$	NC	TWC	MVD	<b>Feff</b>	Number of clouds/cloud	Reference
and Sunding		(m a.s.l)	$(\mu g m^3)$	(# cm <sup>-3</sup> )	(g m <sup>-3</sup> )	(mn)	(mm)	events/fog events	
City Fog									
Shanghai, China	Nov. 2009	7		11-565	0.01-0.14	5.0-20.0		1	(Li et al., 2011)
Nanjing, China	Dec. 2006- Dec. 2007	22	$0.03^{a}$ - $0.60^{a}$		2.69e <sup>-3</sup> -0.16		1.6 <sup>b</sup> -2.7 <sup>b</sup>	7	(Lu et al., 2010)
Convective Clouds									
Amazon Basin/cerrado	8 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0				64 <b>a</b> 404		e o bo e		
reCompagions, Brazil	AugSept. 1995	90-4000			0"-2.10"		2.8"-9.2"	>1000	(Keid et al., 1999)
Hyderaba - The Bay of Bengal,		1300-		002 101	00 + 00		o ni po c	-	
India	23 OCI. 2010	6300		000- 01	00.1- 0		0.11- 0.0	T	(Faulliakullial) et al., 2017
Orographic clouds									
Mt. Schmücke, Germany	SepOct. 2010	937			0.14-0.37		5.7-8.7	8	(Van Pinxteren et al., 2016
East Peak Mountain, Puerto Rico	Dec. 2004	1040		193-519	0.24-0.31	14.0-20.0		2	(Allan et al., 2008)
Mt. Tai, China	JulAug. 2014	1545	11.1-173.3	4-2186	0.01-1.52	1.6-43.0	0.8-18.9	24	Unpublished data from (Li et al., 2017a)
Mt. Tai, China	JunJul. 2018	1545	1.2-127.1	10-3163	1.01e <sup>-3</sup> -1.47	4.4-25.0	2.4-13.4	40	This study
Mt. Tai, China (CP-1°)	$10^{\rm th} - 13^{\rm th}$ Jul. 2018	1545	1.3-40.7	11-2470	1.12e <sup>-3</sup> -1.47	4.6-17.4	2.5-10.7	12	This study
Mt. Tai, China (CP-2°)	$13^{th} - 20^{th}$ Jul. 2018	1545	1.2-66.2	10-3163	1.03e <sup>-3</sup> -1.10	4.6-13.5	2.4-7.9	12	This study

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solid shapes indicated the airborne and land observation, respectively. The blue diamonds with error bars represented the average LWC and ref (or MVD) of 40 cloud events Figure 1: Plots of effective radius (ref. a) or medium volume diameter (MVD, b) against liquid water content (LWC) for clouds and fogs from the literatures. The dashed and observed at Mt. Tai in the present study with corresponding ranges





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Figure 2: The monitoring information of CP-1 and CP-2. Including (a) Wind speed (WS, m s<sup>-1</sup>) and wind direction (WD), (b) relative humidity (RH, %), ambient temperature (T<sub>a</sub>,  $^{\circ}$ C) and dew point temperature (T<sub>d</sub>,  $^{\circ}$ C) (c) PM<sub>2.5</sub> mass concentrations (µg m<sup>-3</sup>) and volumn concentration of PM<sub>0.8</sub> (10<sup>-6</sup> cm<sup>-3</sup> cm<sup>-3</sup>) (d) size distribution of particles (13.6-763.5 nm) and corresponding geometric mean radius (GMr<sub>P</sub>) (e) size distribution of cloud droplets (2-50 µm) and corresponding geometric mean radius (GMr<sub>C</sub>) (f) N<sub>c</sub> and LWC of cloud droplets.















Figure 4: The determination of FIE (a) based on  $r_{\text{eff}}\left(b\right)$  and (c) based on  $N_{\text{C}}$ 







Figure 5: Size distribution of particles and cloud droplets during CP-1 and CP-2. "NC" in (c) represents particle size distributions during cloudless period







Figure 6: The plot of LWC versus  $r_{eff}\left(a\right)$  in CP-1 and in CP-2 (b) under different  $N_{C}$  ranges (c) under different  $N_{CCN}\left(d\right)$  with the variation of albedo.







Figure 7: A schematic of cloud processes formed on different  $N_{\rm CCN}$  and Np conditions.