

Dear co-editor:

We acknowledge the comments by the two referees. We have answered to their concerns point by point below these lines, by providing the reviewer comments in black and our response in blue. At the end of the responses we provide the revised manuscript with additions in blue and removed parts in red. Note also that some figures have been modified following referees' comments.

Kind regards,

Xabier Pedruzo-Bagazgoitia

Response to RC1

This well written article describes a number of large eddy simulation (LES) experiments of the diurnal stratocumulus-to-cumulus transition over land. The study compliments the DACCWA field campaign that observed the stratocumulus to cumulus transition over West Africa, by seeking to provide a mechanistic description of the transition and to compare and contrast the mechanisms with those observed in the substantially more well studied marine stratocumulus. I think the article will be of wide interest and provides important insight into little studied and poorly understood processes. The experiments seem well posed and the analysis of results is exemplary. It is my opinion that article should be accepted for publication following revisions to address my concerns below.

We thank the reviewer for her/his time and comments, and answer to each of the comments one by one below.

While the paper is quite detailed, the article glosses over relatively important details about the numerical implementation of the LES. The article does mention that DALES version 4.1 was used for the study, however that version of the code offers numerous options for how the equations of motion are discretized. It is well known that details of how numerical errors from the discretized equations of motion interacts with the subgrid-scale closures is important in determining the fidelity of the simulations (e.g. Pressel et al, 2017). It is also possible that the conclusions drawn here, could be quite sensitive to which set of discretization were used in the simulations. While numerical sensitivity tests would be nice, it is probably not realistic to ask for more simulations to be done. However, without such sensitivity tests it is imperative to provide a detailed description of the LES to make the experiments reproducible by others.

We added the following information in Page 5, Lines 4-8:

"The subgrid turbulence is parameterized using a TKE model following Deardorff (1980). 5th and 2nd order schemes are used to compute the advection over horizontal and vertical directions, respectively. The integration of the governing equations over time is carried out using a third-order Runge-Kutta scheme. The complete information on these numerical aspects is described in Heus et. Al (2010). In particular, equations 25, 43 and 49."

Not unrelated, the authors also fail to mention if their LES uses a Galilean transformation of the of the equations of motion. Depending on the numerical schemes used in the LES, it could well be that the simulations with mean wind and shear are highly sensitive to the assumed domain translation velocity. In particular, I am concerned that in the shear case if the Galilean transformation is such that the transformed mean wind in the stably-stratified free troposphere is significantly non-zero, a large component of the increased entrainment may be driven by numerical error (in the form of oscillations) in either the momentum or scalar fields. This would be particularly likely if the momentum equations were discretized using conservative centered difference scheme, as stable stratification would likely prevent the SGS model from providing sufficient dissipation damp the numerical oscillations

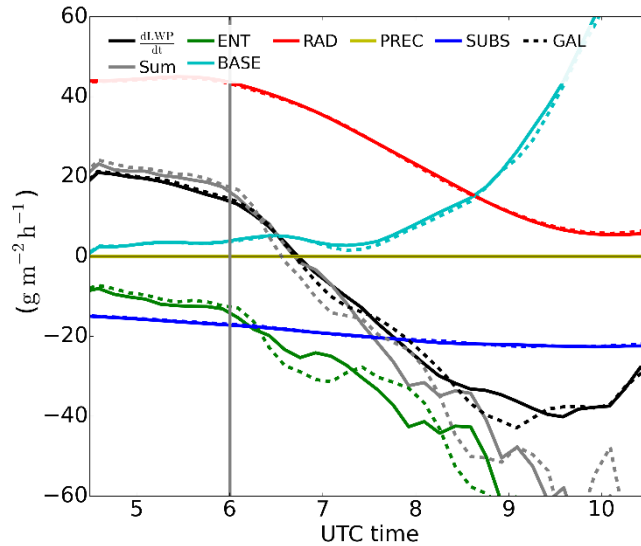


Figure 1.. Liquid water path budget for SHEAR (full lines) and SHEAR_GAL (dashed lines) experiments. Each term is described in Eqs. 2 and 3 in the manuscript.

inherent with such schemes. If the simulations do not use a Galilean transformation, I strongly recommend that they do, with a transformation selected to keep the transformed mean wind close to zero in the free troposphere.

-We acknowledge the point raised by the reviewer. Our original simulations did not prescribe any Galilean transformation. Following his/her, we performed additional MEAN and SHEAR simulations with Galilean transformation. More specifically, we applied a transformation of 3 m/s identical to the mean wind in MEAN_GAL, and equal 6 m/s to in SHEAR_GAL. We found no significant differences in the results in MEAN nor in the SHEAR cases. We show here the results for SHEAR (full lines) and SHEAR_GAL (dashed lines) for some of the variables since, as suggested by the reviewer, this experiment seemed the most likely to be affected by the mentioned setting. Green lines in Figure 1 in this document show that the entrainment contribution to the total LWP budget does not change

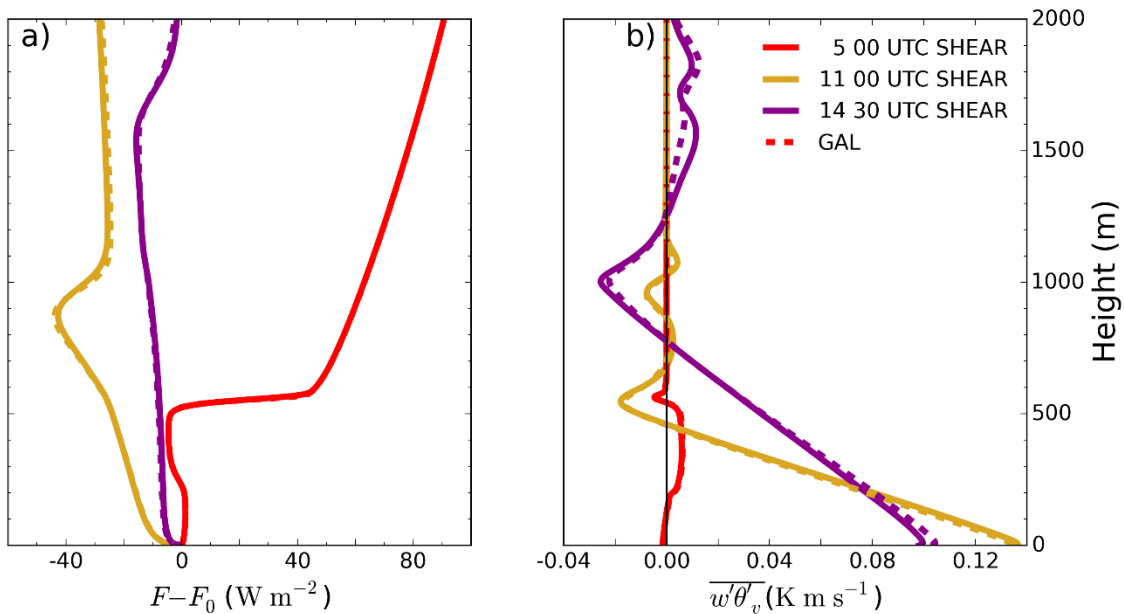


Figure 2. a) Vertical profile of slab net radiative flux normalized over the surface value at 5 00 UTC (red), 11 00 UTC (dark yellow) and 1430 UTC (purple). On the right (b) and following the same color code, slab averaged buoyancy flux. Full and dashed lines show the SHEAR and SHEAR_GAL experiment, respectively.

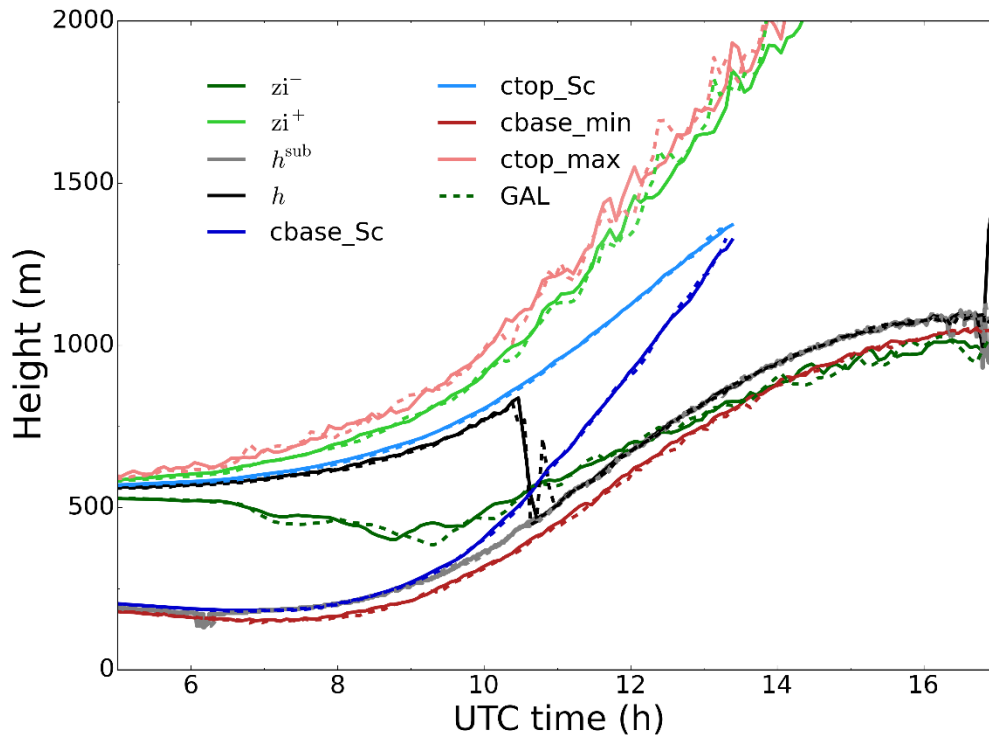


Figure 3. Time series of the experiment characteristic heights as defined in Fig 5 in the manuscript. Full and dashed lines show results for SHEAR and SHEAR_GAL experiments, respectively.

significantly and does not have any systematic bias regardless of the Galilean transformation applied. As further shown in Figures 2 and 3 the Galilean transformation has almost no overall effect in the results.

We found similar agreements comparing MEAN and MEAN_GAL (not shown). The agreement among experiments with different Galilean transformations reinforces the consistency of the results obtained in our original simulations.

To reflect the consistency of our results we added the following text at Page 8, line 15:

“To determine the dependency of the results on the Galilean transformation, we performed two extra simulations. We reproduced the MEAN experiment with an additional grid translation of 3 m/s identical to the prescribed mean wind, and the SHEAR experiment with a grid translation of 6 m/s. These additional experiments yielded very similar results to the original ones and confirmed the independence of our numerical experiments on this condition. For the sake of simplicity all the simulations shown here have no Galilean transformation prescribed.”

Small Correction: p3 L11: rol -> role

Corrected.

Response to RC2

Suggestion: Minor Revision

Summary: This manuscript presents a set of large-eddy simulations (LES) of diurnal stratocumulus-to-cumulus (Sc-to-Cu) transition over southern West Africa during its monsoon season. It complements well the existing literature on the Sc-to-Cu transition over other continental regions and subtropical oceans. Specifically, it highlights the important roles of (1) the strong daytime land surface heat and moisture fluxes and (2) the wind shear by monsoonal lower-level jet on the boundary layer growth and decoupling that lead to Sc break-up. I think this manuscript is generally well-written and the analyses are comprehensive. Thus, I have only some specific comments on further clarifying the interpretation of the results. My detailed comments are as follows.

We thank the anonymous reviewer for the detailed and constructive comments that helped to improve the quality of the manuscript, and provide an answer to each of them below

Specific Comments:

Title: This title is too broad. I would suggest making it more specific, e.g., 'the diurnal stratocumulus-to-cumulus transition over land during the southern West Africa monsoon season'.

We modified it to a more specific: "The diurnal stratocumulus-to-cumulus transition over land in southern West Africa"

Page 2, L18: Although I agree that the Sc-to-Cu transition is important for quantifying the Sc radiative effect and its bias in climate models, it would also be nice to discuss briefly why the formation of Sc after sunset is less important. Do all climate models simulate the Sc formation correctly? The Sc-to-Cu transition would be irrelevant to climate models if they cannot even produce Sc in the first place.

We agree with the reviewer in that little discussion is provided for the cloud formation stage in this paper. The reason is that the formation of stratocumulus is a different process to the stratocumulus to cumulus transition studied here and, consequently, it is out of the scope of this work. That is why we decided to cite some recent studies on the formation of the stratocumulus cloud layer in the region.

Page 2, line 10 reads:

" The arrival of the cooler, but not necessarily moister, mass of air more than a 100 km inland facilitates the onset of Sc clouds over land (Adler et al., 2019; Babic et al., 2019; Dione et al., 2019). The fact that this mass of air is characterized by cloudless conditions when over the sea reveals the importance of the land and other local factors on the cloud formation and maintenance (Adler et al., 2019; Babic et al., 2019; Lohou et al., 2019). Lohou et al. (2019) extended the previous work and summarized the four phases leading from cloud formation to dissipation: stable phase, jet phase, stratus phase and convective phase"

We believe that the mentioned studies offer enough information on the cloud formation stage.

Page 3, L9: Could the authors please briefly summarize the mechanisms and processes by Ghonima et al. (2016), since the readers may not be familiar with them?

We have included a brief summary of the main processes described by Ghonima et al. (2016). The text reads now starting in Page 3, L 7:

“They based all their cases on vertical profiles of mid-latitude marine conditions and prescribed different Bowen ratios to regulate the surface fluxes over land. They found that the Bowen ratio of the surface determines whether the surface fluxes lead to a thinning or thickening of the cloud layer. This is proved by a set of systematic experiments. Furthermore, they provided a set of Bowen ratio -dependent feedbacks highlighting the relevant role of the land: one feedback loop where the increase of sensible heat flux would increase entrainment, thinning the cloud layer, enhancing the net radiation at surface and further increasing the sensible heat flux. They provided two more feedbacks related to latent heat flux (LE): one in which its increase moistens and thickens the cloud layer, decreasing net radiation and surface and, consequently, LE; and another in which the LE increase enhances entrainment, leading to cloud thinning and a further increase of LE.”

Page 3, L13: It would be better to clarify that the longwave and shortwave radiation has different effects on the maintenance of Sc .

We have modified the sentence, that reads now (P 3, L 24):

“Net longwave radiation is the source for cloud maintenance during night through cloud-top cooling and, as the day evolves, increasing shortwave radiation becomes a factor for dissipation”

Page 3, L22: It seems to me that the entrainment velocity should increase, not de-crease when cloud-top wind shear is present.

The reviewer is right. We corrected the typo and the sentence now reads:

“They similarly concluded that entrainment velocity increases, leading to a decrease in cloud liquid water content, in presence of cloud-top wind shear.”

Page 4, L11: The land model is not sufficiently justified. Is the surface homogeneous, and is it free of topography? Why can these assumptions be made?

The terrain around the measuring site was relatively flat (see also Adler et al., 2019), allowing us to assume a topography-free domain. This assumption simplifies our study and allows us to focus on the local effects that can be more easily generalized to similar situations in southern West Africa. In addition, the size of the heterogeneities were rather small in the surroundings, in the order of 50-100 meters which do not lead to formation of secondary circulations (Patton et al., 2005). Unfortunately, there was no data collected on any surface characteristic of the different heterogeneity types (see also next question). Due to all these reasons we decided to keep our assumptions to a minimal level. In short, we assume that our homogeneous land-surface responds to environmental variables allowing only for surface dynamic heterogeneities driven by the presence of clouds

Page 4, L14: What vegetation is present in SWA? Are the 2 big-leaf scheme parameters tuned specifically for the regional vegetation, or for the mix of grass and bushes (or corn) at the surface flux observation sites (see Page 5, L14 and 19)? How sensitive is the model to the choice of land/vegetation scheme?

The vegetation near and around the site consisted of heterogeneous patches containing shrubs, crops or taller trees in very dense forests. Unfortunately, no detailed measurements were taken on the vegetation types and properties during the DACCIIWA campaign. Thus, the LES case was designed taking into account the only information available: the surface fluxes. The plant-based land surface model allows for spatially heterogeneous values of the surface fluxes depending on environmental conditions. This is known to be essential to simulate clear (Patton 2005) and cloudy (Sikma and Vilà-Guerau de Arellano, 2019) convective boundary layers“. On the sensitivity of the model to vegetation type, Vilà-Guerau

de Arellano et al. (2014) showed that using C3 or C4 grass can impact the amount of moisture and clouds on a shallow cumulus day.

Page 4, L20: By 'other chemical compounds', did the authors mean only the radiatively active gases, or are aerosols also included? If so, how are the aerosol concentrations prescribed?

Indeed, we meant only the radiatively active gases. We did not account for any radiative effect of aerosols. We have added the following text in Page 7, Line 14:

"No radiative effects of aerosols are taken into account here."

Page 5, L5: How should I interpret the observed cloud base height: is it a local value, the domain average, or the minimum? This is especially important after the continuous Sc-deck breaks up (e.g., the sudden jump of the red circle from 1000m to 500m at 12 00 UTC in Figure 2b requires further clarification).

The observed cloud base heights are local measurements obtained above the ceilometer at a 1min resolution. The used ceilometer provided up to 3 cloud base heights for each measurement. We decided to use only the lowest one, as higher cloud detections may not necessarily relate to a cloud base but to a cloud edge, for example.

To clarify this we added the following text at Page 5, L 19:

"From the backscatter profiles three cloud base heights are obtained using the manufacturer algorithm. We select the lowest one to ensure that the detection reflects a cloud base and not, for example, a cloud edge."

Related to this, the jump mentioned by the reviewer from 1000m to 500m can be attributed to the first appearance of cumulus clouds at 500m after the rise of the stratocumulus cloud base up to 1000m or the breakup of the deck itself. We added the following text starting at Page 9, L 13:

"Therefore, the jump in cloud base height from about 1000 m to 500 m is due to either the appearance of the first shallow cumulus at 500 m after the stratocumulus cloud base rise up to 1000 m, or the breakup of the stratocumulus deck leading to different observed cloud base heights."

Page 5, L16: What does 'sonic temperature and humidity measurements from fast infrared hygrometer' mean: is it a sonic or optical equipment?

We have rewritten the text to be more clear. It reads now:

The 30-min sensible and latent heat fluxes are calculated from high-frequency (20 Hz sampling rate) measurements of wind speed and sonic temperature obtained by ultrasonic anemometer, and humidity measurements which are based on the absorption of near-infrared radiation and obtained by fast-response LI-COR sensor by applying eddy-correlation method.

Page 5, L19: What is the motivation of using an additional site over corn for TKE measurements but not for surface fluxes?

The station used for both TKE and surface fluxes was placed over a mixed of grass and bushes, while the one used only for TKE was placed over corn. The former location was more representative of the area and, thus, we used the surface fluxes there as a reference for our LES case. The use of both station observations of TKE allows us to check with higher confidence that the LES case simulated calculates the right amount of turbulence even at the lowest levels.

Page 6, L5: The word 'coupled' is confusing. Did the authors mean the atmosphere and land surface are coupled, or the cloud layer and the surface air layer are coupled?

We refer to the classification carried out by Lohou et al. (2019) in their work where they classified the observed night-day transitions during the campaign in three scenarios, the first of which is called "coupled case". Their paper offers an in-depth explanation of each case. This sentence was meant to facilitate the interpretation of the LES study as a particular case of the wider observation based-scenarios presented by Lohou et al. (2019). We have reformulated the sentence, that reads now:

"In particular, we study the Sc-Cu transition of a coupled case or Scenario 1 as described in Lohou et al. (2019)"

Page 6, L15: The lower level divergence is about $8 \times 10^{-6} \text{ s}^{-1}$, which is even stronger than the typical conditions for marine Sc (e.g., DYCOMS). Also, the subsidence profile is very shallow with a scale height of only 300m. How are these values chosen? Are they selected to keep a steady Sc-deck during night time? Although the authors stated that the COSMO and ERA-I both show a large spread of subsidence, it would still be necessary to demonstrate that the prescribed subsidence falls within the ranges of COSMO and ERA-I, and that the subsidence profile does not change during the diurnal cycle. It would also be necessary to discuss briefly the model sensitivity to the prescribed subsidence.

As already guessed by the reviewer, the subsidence profile was designed within the COSMO and ERA profiles and such that the cloud top height would be constant during the night time. For simplicity we assumed a constant subsidence on time during the simulation, although we are aware that such assumption cannot be validated given the lack of observations and the uncertainty among models. The study of the transition under different or changing subsidence profiles with time is a whole study in itself (see van der Dussen et al. 2016) and, therefore, out of the scope of the present study.

We have modified the related part of the text, that reads now (P 6, L 22):

"Our choice for the subsidence profile was based on a cloud top equilibrium between subsidence motions and net longwave cooling. In doing so, our main purpose is to mimic and reproduce the physics of nocturnal stratocumulus clouds that are characterized by constant cloud top height during the night. This is justified given the uncertainty and high temporal variability in subsidence profiles, as well as its large spread among regional simulations carried out with the Consortium for Small-Scale Modeling (COSMO) within the DACCWA project or ERA-interim reanalysis. For simplicity we assume the subsidence profile to be constant on time during the whole simulation."

Page 6, L22: Is radiation calculated column-by-column, accounting for the spatial inhomogeneity in cloudiness?

That is correct. To clarify it we added the following sentence when describing the radiative scheme at Page 4, L 28:

"This scheme allows to represent the surface dynamic heterogeneities caused by cloud spatial inhomogeneities as it provides a column-dependent net radiation at the surface."

Page 6, L31: 'Drying' is a process that makes something drier (e.g., entrainment drying), but I think the authors may instead mean that the air mass above cloud top is drier than below.

That is correct. We have re-written the sentences to clarify this. It reads now:

" Subtropical marine Sc clouds are frequently capped by much drier air above cloud top (Duykerke et al. ,2004 and Wood, 2012). Yet none of the radiosonde profiles show any strong jump in moisture above 570 meters."

Page 7, L7: Do the free-tropospheric temperature and moisture profiles drift?

The reviewer is right in pointing out that the presence of subsidence will affect the lapse rates along the day. However, the first order effect of subsidence is to change the absolute value of the quantity that is being advected vertically, and to a much lesser extent it modifies the lapse rate. The thermodynamic impact of the boundary layer growth and its related dynamics are orders of magnitudes stronger up to the boundary layer height (around 1000 m). Above the maximum boundary layer height, the subsidence-driven net drying and warming during the entire simulation is less than 0.6 g/kg and 0.8 K respectively.

If the reviewer referred to any effect of horizontal advection, we did not prescribe any horizontal advection of moisture, temperature or any other scalar. This was due to our intention of keeping a minimal-element transition focusing on local effects, and given the lack of reliable observations on advection.

Page 7, L27: With no large-scale wind, does the surface flux rely entirely on the surface wind produced by turbulent motion in the LES (without additional gust)? How much is the LES surface wind changed by imposing the 3m/s horizontal wind in the MEAN case?

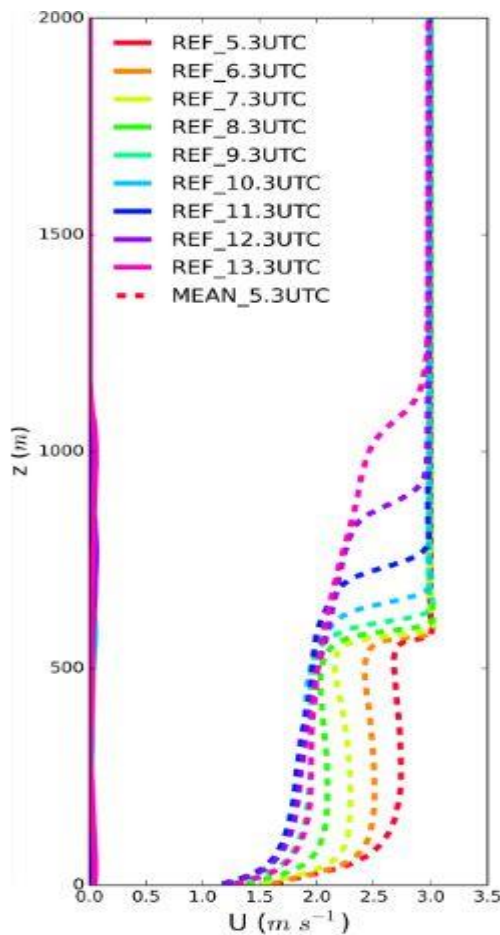


Figure 4. Vertical profiles of slab average wind for REF and MEAN.

The surface fluxes in the REF experiment are resolved by the land surface model and are dependent on near surface wind as well as soil moisture, air CO₂ concentration, temperature and humidity and available direct and diffuse radiation. In absence of large-scale wind, the wind will indeed be mostly due to the turbulent motions and the organized downdrafts and updrafts within the boundary layer, with its related divergence and convergence zones at the surface. Figure 1 shows the slab average horizontal wind for REF and MEAN and that near the surface the actual difference is of between 1 and 1.5

m/s. The slab average values for REF are nearly zero, although locally there can be values of up to 2.5 m/s.

Figure 2: On L5 of the caption, the word 'red circles' should be changed to 'red triangles' based on panel (c).

Corrected.

Page 8, L15: It should be clarified that the sudden jump in surface fluxes is only shown in observations, whereas the change in surface fluxes is very smooth. For LES, most of the surface flux increase occurs well before the cloud break up, and the correlation between surface flux and cloud fraction seems very weak in the LES. However, there is a clear negative correlation between LWP and surface fluxes, which is not discussed.

We do not recommend doing a one-to-one comparison between observations and LES in Figure 2 in the manuscript. While the LES results are domain averaged values and representative of the boundary-layer scale, the observations are one-point measurements and have a significantly smaller footprint. As such, the observations exhibit a much larger variability than the LES and are only meant as an indicator that the values obtained through the LES experiment fall within typical observed values. One could argue that the gradual increase in surface fluxes before break up present in LES is also visible in the trend of the observations between 6 and 11 30 UTC, and that given enough point observations, their average would yield SH and LE curves similar to those of LES.

To clarify that the jump in observed surface fluxes coincides with the time at which LES predicts a cloud break up, we re-wrote the following sentence starting at Page 9 , L 7: "The breakup in the cloud layer, defined as the time when cloud cover (cc) is below 1, takes place at around 11 30 UTC in the LES experiment and coincides with the observed sharp increase in surface fluxes"

The correlation and causality between LWP and the surface fluxes is implicitly discussed and analysed in equations 2 and 3 and in Figure 6 in the manuscript. In fact, the term BASE contributing to the total LWP tendency is partly driven by the surface fluxes. We agree with the reviewer in that perhaps there was not enough information on the relation between the decrease in LWP and the increase in surface fluxes at this stage of the manuscript. We therefore modified the following sentence in Page 9, L 1: "Between 2 to 3 hours after sunrise (6 00 UTC) the cloud layer begins to rise and subsequently decreases its liquid water content (Fig. 2b), allowing more radiation to reach the surface and enhancing the surface fluxes (see Rnet, LE and SH increase between 6 and 10 UTC in Fig. 2c)."

Page 9, L1: Following the comment above, the statement that 'the surface fluxes are radiation-driven' is not well supported by the presented data: (1) the sudden change does not occur in LES, and (2) the coincidence between the sudden jumps in surface fluxes and cloud cover does not imply causality (or which one drives the other), and(3) cloud cover is not a good proxy for the cloud radiative effect, as the clouds can thin significantly while maintaining 100% cover. I would suggest the authors add a panel in Figure 2 to show the surface insolation, or fraction of insolation reaching the surface(both LES and observation if available). The statement would be better supported if the insolation jump occurs earlier than the surface flux jump.

In that sentence we only referred to the surface flux observations. We added the observed net radiation Rnet to the figure. There, the jump in Rnet from about 300 to almost 700 W/m² within the same 30 minutes at which the surface fluxes abruptly increase demonstrates that the surface fluxes are indeed radiation driven. Although the available temporal resolution of observations shows an apparently simultaneous jump in net radiation

and surface fluxes within 30 minutes, we trust that the reviewer will agree with us in that this is enough to show that it is net radiation what drives the fluxes.

The text reads now (P 9, L 6):

“The breakup in the cloud layer, defined as the time when cloud cover cc is below 1, takes place at around 11 30 UTC in our LES experiment and coincides with the observed sharp increase in surface fluxes of about 150 W/m^2 , i.e., a threefold increase compared to before-breakup values. This sudden change coincides with the sharp increase of net radiation due to cloud breakup (see Fig. 2c) and reveals that surface fluxes are radiation-driven at this stage.”

Page 9, L5: In LES, the cloud cover decreases quasi-linearly only after 13 00 UTC, i.e., about 1.5 hours later than the initial break-up.

We have modified the sentence. It reads now:

“About 90 minutes after cloud break up, cc decreases quasi-linearly until the end of the simulation”

Figure 3: I suggest adding a panel showing the vertical profiles of domain-mean cloud fraction and liquid water content for completeness.

We have added an additional panel with slab averaged vertical profiles of liquid water mixing ratio and horizontal cloud fraction, and few sentences describing the newly added figures.

The sentences read (P 11, L 2):

“The liquid water mixing ratio q_l shows in Fig. 3b a linear increase with height within the cloud layer typical of well mixed stratocumulus clouds (Duykerke et al. 1995, Wood 2012).”

Page 11, L 10:

“Furthermore, the cloud fraction in the lower part of the cloud layer at 14 30 UTC resembles that of shallow cumulus clouds (Siebesma et al. 2003). In this case, however, a second peak in cloud fraction and larger q_l reveals the presence of more clouds at around 1200-1300 m. These clouds are the remnants of the stratocumulus higher part.”

Page 10, L2: I suggest using ‘thin’ instead of ‘narrow’ because the inversion layer extends vertically, not laterally.

Narrow has been substituted by *thin* when referring to the inversion layer along the whole text.

Page 10, L4: It may be worth clarifying that the ‘inversion layer’ after decoupling includes the entire conditionally unstable Cu-layer, and is much thicker than commonly known sharper inversion layer that tops the Cu-layer (at around 1200 m in Figure 3e). Also, how sensitive is the definition of z_{i+} and z_{i-} to the threshold of 5%? It seems that a threshold of 15.% to 20% would identify the aforementioned sharper inversion layer.

The reviewer is right in that a less strict threshold would yield different z_{i+} and z_{i-} and could, indeed, help in identifying the sharper threshold within what we defined inversion layer in Fig. 3g in the manuscript between 1200 and 1300m. We however decided to use the same threshold as previous studies (van der Dussen et al., 2016) and keep it constant along the whole simulation for the sake of consistency and easier comparison with other studies. We thought that, by doing this, the interpretation of the whole unstable cumulus layer as an expansion of the sharp stratocumulus inversion was a new and interesting way of looking at it.

We have added the following text, that together with the previous sentence reads now: “Such evolution of the inversion layer enables us to interpret the typically conditionally unstable region of the cloudy layer in convective conditions as an expanded analogue of the very sharp inversion layer in Sc clouds. Note that this layer includes the sharper inversion layer common in cumulus-topped boundary layers and present in Fig. 3 g,h,i between 1200 and 1300 m.”

Page 11, L13: It was unclear to me initially that the authors are already talking about the conditions at 14 30 UTC (‘convective clouds above 950m’), so I suggest adding some reference to the time. I would also suggest moving the next sentence (about 1100 UTC conditions) in front of this sentence based on the timeline.

We have rearranged the order of sentences chronologically and added more references to the time for the sake of clarity.

Page 15, L3: Is there a reference for the statement that enhanced buoyancy within the cloud layer (instead of near the cloud top) increases entrainment?

From Kazil et al. (2016) on stratocumulus over sea:

“in clouds with $LWP \gtrsim 50 \text{ g/m}^2$, longwave emissions are insensitive to LWP. This leads to the general conclusion that in boundary layer growth and entrainment due to a boundary layer moistening arises by stronger production of TKE from latent heat release in cloud updrafts, rather than from enhanced longwave cooling.”

Similarly, Ghonima et al. (2016) also found, as we did, enhanced surface latent heat flux to increase entrainment, which we attribute to larger buoyancy within the cloud layer.

Looking at our case, we observe that mean LWP is lower than 50 g/m^2 only after 12 UTC. Given that our budget analysis is only valid until 11 UTC, we assume that our claim is correct. We added Kazil et al. (2016) and Ghonima et al. (2016) references to the text and included the relation between buoyancy and turbulence to clarify the relation between processes. The sentence reads now:

“increased surface moisture flux at surface and consequently, at cloud base, relates to enhanced buoyancy through latent heat release and larger turbulence within the cloud layer, known to increase entrainment.”

Figure 7: Since the plotted time-series represent the time-accumulated differences, I would suggest removing the $1/dt$ from the y-label to avoid confusion.

We thank the reviewer for the suggestion. We have also modified the labels and Eq 4 in the manuscript.

Page 16, L7: I suggest clarifying that the BASE contribution is from the increased moisture flux (rather than sensible heat flux, which is almost zero at night time).

The sentence reads now:

“The larger LWP is driven by the increased contribution of the turbulent fluxes at cloud base (BASE) and, particularly the contribution of the moisture flux.”

Figure 8: To better support the discussion (Page 17, L9), I suggest adding horizontal lines that indicate cloud top heights in all panels.

Horizontal lines showing cloud top height have been added to the figure.

Page 17, L15: I suggest adding the reference to Figure 9a for better clarity.

Reference has been added.

Figure 9(d): Should the legend 'cbase_max' be 'ctop_max' instead?

We thank the reviewer for noticing the typo. It has been corrected.

Page 18, L3: The statement that SHEAR 'hampers the cloud growth' is not well supported by the figures: the differences in cloud top and base heights are insignificant in Figure 9(c), and the max cloud top height is even higher in SHEAR than the other cases in Figure 9(d). Could the authors provide further clarifications?

We mean that while MEAN showed an additional LWP growth before and right after sunrise, while the addition of shear at cloud top hampered that LWP growth. Although the differences in general are much smaller than those few hours after sunrise in terms of LWP or cloud top height, a lower accumulated LWP increase in SHEAR compared to MEAN is visible in Fig. 7 a in the manuscript around sunrise. Still and given the little relevance of these differences, we decided to remove the mentioned sentence.

Page 18, L4: The statement that MEAN and REF differ little seems inconsistent with Figure 7, where dLWP seems similar for MEAN and SHEAR, and they both produce larger LWP than REF. Could the authors provide further clarifications?

We were referring to the TKE and shear (S) and buoyancy (B) contributions near cloud top during the night. We rewrote the sentence that now reads at Page 19, L 7 :

"The little differences between MEAN and REF at cloud top turbulent properties (Fig. 8 a) reinforce the idea that the turbulence generated by wind shear at surface in MEAN needs to be transported up to the top of the well mixed layer to affect entrainment and the overall dynamics of the boundary layer."

Page 18, L9: As this subsection is focused on nighttime effects, the statement that 'SHEAR has larger effects in the Sc-Cu transition' seems a bit irrelevant, because the transition occurs several hours after sunrise. This statement may be more appropriate for the next subsection (daytime effects).

The original purpose of the sentence was to bridge between the two sections, but we agree with the reviewer in that the current placement is misleading and thus we have removed it.

Page 18, L12: The first paragraph of Section 3.4.2 discusses various different effects and is a bit too long to read. I suggest breaking it up into shorter paragraphs.

We have divided it into 3 paragraphs where the entrainment, radiation and cloud-base flux terms are separated.

Page 18, L19: Based on Figure 9(a), the daytime inversion layer seems to be thickening, not thinning.

The slab average inversion layer thickness is indeed growing, but the presence of shear and enhanced turbulence near cloud top leads to a more wavy and irregular inversion layer allowing for regions where there may be larger wind shear in the vicinity of the cloud top, promoting further entrainment. Mellado (2017) provides a more detailed analysis of this phenomenon.

Page 20, L13: The latent heat flux at the surface appears as the denominator of the r_{qt} formula (Page 20, L1). Why does its increase imply a larger, not smaller r_{qt} ?

We agree with the comment of the reviewer and have re-written the sentence, that reads now:

“After the shift in h and before the breakup at 11 30 UTC we observe growing values for r_{qt} representing a weaker moistening of the subcloud layer.”

.Page 21, L10: I would suggest adding a brief summary of the distinct features of theSWA Sc clouds from the typical marine Sc (e.g., lower cloud top but higher LWP).

We believe that the features of stratocumulus over land may be quite variable and that one case may not be representative of a typical stratocumulus case in SWA. However, we do think that the mechanisms leading to dissipation or transition of such stratocumulus can be easier generalized when over land. Because of this reason, we decided to include in the conclusions the main processes causing the thinning and transition, but not the typical features of the stratocumulus deck as this may be more variable among cases.

Typographical Comments:

Page 3, L11: No hyphen is needed for ‘subtropical’. Also, the word ‘role’ is misspelled as ‘rol’.

It has been corrected.

Page 3, L17: There is a redundant space.

It has been corrected.

Page 3, L19: The letter ‘d’ at the end of the sentence seems redundant.

Indeed it was not necessary It has been deleted.

Page 5, L15: ‘Ultrasonic’ should be one word.

Corrected.

Page 16, L13: The author ‘Kazil et al.’ should be placed outside the bracket.

Corrected.

Page 19, L3: The reference to Fig 7(d) should be Fig 7(e) instead.

Corrected.

Page 24: Please be consistent on the capitalization of names.

Corrected.

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The diurnal stratocumulus-to-cumulus transition over land in southern West Africa

Xabier Pedruzo-Bagazgoitia¹, Stephan R. de Roode², Bianca Adler³, Karmen Babić³, Cheikh Dione⁴, Norbert Kalthoff³, Fabienne Lohou⁴, Marie Lothon⁴, and Jordi Vilà-Guerau de Arellano¹

¹Meteorology and Air Quality Group, Wageningen University and Research, Wageningen, The Netherlands

²Delft University of Technology, Delft, Netherlands

³Institute of Meteorology and Climate Research, Karlsruhe Institute of Technology (KIT), Karlsruhe, Germany

⁴Laboratoire d'Aérodynamique, Université de Toulouse, CNRS, UPS, France

Correspondence: Xabier Pedruzo-Bagazgoitia (xabier.pedruzobagazgoitia@wur.nl)

Abstract. The misrepresentation of the diurnal cycle of boundary-layer clouds by large scale models strongly impacts the modeled regional energy balance in southern West Africa. In particular, recognizing the processes involved in the maintenance and transition of the nighttime stratocumulus to diurnal shallow cumulus over land remains a challenge. This is due to the fact that over vegetation, surface fluxes exhibit a much larger magnitude and variability than on the more researched marine stratocumulus transitions. An improved understanding of the interactions between surface and atmosphere is thus necessary to improve its representation. To this end, the DACCIWA measurement campaign gathered a unique dataset of observations of the frequent stratocumulus to cumulus transition in southern West Africa. Inspired and constrained by these observations, we perform a series of numerical experiments using Large Eddy Simulation. The experiments include interactive radiation and surface schemes where we explicitly resolve, quantify and describe the physical processes driving such transition. Focusing on the local processes, we quantify the transition in terms of dynamics, radiation, cloud properties, surface processes and the evolution of dynamically relevant layers such as subcloud layer, cloud layer and inversion layer. We further quantify the processes driving the stratocumulus thinning and the subsequent transition initiation by using a liquid water path budget. Finally, we study the impact of mean wind and wind shear at cloud top through two additional numerical experiments. We find that the sequence starts with a nighttime well-mixed layer from surface to cloud top, in terms of temperature and humidity, and transitions to a prototypical convective boundary layer by the afternoon. We identify radiative cooling as the largest factor for the maintenance leading to a net thickening of the cloud layer of about $18 \text{ g m}^{-2} \text{ h}^{-1}$ before sunrise. Four hours after sunrise, the cloud layer decouples from the surface through a growing negative buoyancy flux at cloud base. After sunrise, the increasing impact of entrainment leads to a progressive thinning of the cloud layer. While the effect of wind on the stratocumulus layer during nighttime is limited, after sunrise we find shear at cloud top to have the largest impact: the local turbulence generated by shear enhances the boundary layer growth and entrainment aided by the increased surface fluxes. As a consequence wind shear at cloud top accelerates the breakup and transition by about 2 hours. The quantification of the transition and its driving factors presented here sets the path for an improved representation by larger scale models.

1 Introduction

Stratocumulus (Sc) clouds play a critical role on the radiative balance of the planet given their high albedo (Hartmann et al., 1992; Chen et al., 2000) and extensive cover worldwide (Eastman and Warren, 2014; Eastman et al., 2014). These boundary-
5 layer clouds are a common feature in the southern West Africa (SWA), and recur in the night and morning during the Monsoon season between May and September (van der Linden et al., 2015; Hill et al., 2018). Possible future changes in highly sensitive Sc forcings in SWA, such as anthropogenic regional aerosol increase (Boucher et al., 2013) or the global CO₂ rise (Schneider et al., 2019), further motivate a better understanding of the boundary-layer cloud dynamics over land in SWA.

During the monsoon season the intertropical convergence zone shifts northward till 15° N, facilitating the extension of the
10 maritime air masses inland. The arrival of the cooler, but not necessarily moister, mass of air more than a 100 km inland facilitates the onset of Sc clouds over land (Adler et al., 2019; Babić et al., 2019; Dione et al., 2019). The fact that this mass of air is characterized by cloudless conditions when over the sea reveals the importance of the land and other local factors on the cloud formation and maintenance (Adler et al., 2019; Babić et al., 2019; Lohou et al., 2019). Lohou et al. (2019) extended the previous work and summarized the four phases leading from cloud formation to dissipation: [stable phase](#), [jet phase](#), [stratus](#)
15 [phase and convective phase](#). In addition, they described three observed scenarios for the breakup and dissipation of the Sc deck along the day. Such scenarios differed on the Sc coupling to surface and on the presence of convective clouds below the Sc.

The high albedo of low Sc clouds and its underestimation by most climate models lead to significant biases on the regional surface energy balance if the evolution and spatial structure of the cloud field is not correctly represented (Hannak et al., 2017). More specifically, the maintenance, dissipation or transition to other cloud forms of the Sc cloud layer after sunrise has strong
20 implications in the regional energy balance (Knippertz et al., 2011; Hannak et al., 2017; Lohou et al., 2019). To improve our understanding and better quantify the effects of Sc clouds over land in a observation-scarce region, the Dynamics-aerosol-chemistry-cloud interactions in West Africa (DACCIIWA) project deployed an extensive network of observations during June and July in 2016 comprising three fully instrumented supersites (Knippertz et al., 2015; Flamant et al., 2018; Kalthoff et al., 2018). The resultant dataset of high spatio-temporal observations of the cloud transition allows us to tackle two important
25 questions. Firstly, it allows us to understand the transition (Lohou et al., 2019) and, using idealized numerical simulations, reproduce a characteristic stratocumulus to cumulus (Sc-Cu) transition with typical conditions of SWA. Secondly, we aim at identifying the physical processes and their complex interplay that leads to a breaking up of the Sc deck.

Here, we extend on the impacts of the land-atmosphere interactions on the Sc-Cu transition and breakup. Previous studies have largely relied on high resolution explicit modeling, e.g. Large Eddy Simulation (LES), of marine Sc clouds. Over sea,
30 surface fluxes are low and show little diurnal variation. Evaporation from the sea provides the necessary moisture to maintain the Sc layer, that is well-mixed down to the surface by the turbulence generated at the cloud top by radiative cooling (Wood, 2012). Transitions from Sc to shallow cumulus have also been studied through LES mostly in maritime conditions (Bretherton et al., 1999a; Sandu and Stevens, 2011; de Roode et al., 2016). Such transitions are typically investigated using a Lagrangian

approach in which the trajectory of an air mass is followed as it is advected from the subtropics towards the equator. An increasing sea surface temperature and decreasing subsidence is usually imposed along the trajectory, leading to increasing latent heat fluxes and boundary layer height, respectively. The main mechanism for such transitions over sea is the increase in buoyancy along the cloud layer by higher latent heat flux, leading to larger entrainment velocities aided by the subsidence decrease, and the eventual dissipation of the Sc cloud layer. Over land, however, such transitions may have different drivers, given their differently partitioned surface fluxes as well as their larger magnitude and diurnal variability than over sea. Ghonima et al. (2016) performed a thorough idealized LES study on Sc-Cu transitions both over land and over sea. They based all their cases on vertical profiles of mid-latitude marine conditions and prescribed different Bowen ratios to regulate the surface fluxes over land. They found that the Bowen ratio of the surface determines whether the surface fluxes lead to a thinning or thickening of the cloud layer. This was proved by a set of systematic experiments. Furthermore, they provided a set of Bowen ratio -dependent feedbacks highlighting the relevant role of the land: one feedback loop where the increase of sensible heat flux would increase entrainment, thinning the cloud layer, enhancing the net radiation at surface and further increasing the sensible heat flux. They provided two more feedbacks related to latent heat flux (LE): one in which its increase moistens and thickens the cloud layer, decreasing net radiation and surface and, consequently, LE; and another in which the LE increase enhances entrainment, leading to cloud thinning and a further increase of LE. In contrast, atmospheric conditions in near-equatorial SWA are characterized by a moister and warmer atmosphere as well as stronger solar irradiation and, locally, larger evapotranspiration. In contrast to mid-latitude marine conditions, atmospheric conditions in near-equatorial SWA are characterized by a moister and warmer atmosphere as well as stronger solar irradiation and, locally, larger evapotranspiration. These differences pose the question of whether the mechanisms and physical processes identified by Ghonima et al. (2016) remain relevant for SWA.

Our study thus aims at filling the knowledge gap on turbulence resolving numerical experiments of Sc-Cu transitions taking place over land and, specifically, in sub-tropical atmospheric conditions. We systematically focus on the following processes and the ~~rol~~role played in the maintenance of the Sc and its transition to cumulus clouds: radiation, entrainment and the land surface fluxes. ~~Radiation~~Net longwave radiation is the source for cloud maintenance during night through cloud-top cooling and, as the day evolves, increasing shortwave radiation becomes a factor for dissipation. Entrainment is known to affect the cloud layer by drying and warming it, rising it and weakening the thermal inversion. The land surface fluxes respond to variations in wind and radiation and affect the transport of heat and moisture to the cloud layer as well as the entrainment. In addition, we briefly study the evolution of metrics frequently used by parameterizations in larger scale models along the Sc-Cu transition.

Finally, during the DACCIWA campaign a recurrent low level jet along the cloud layer was observed (Adler et al., 2019; Dione et al., 2019), raising an additional question on the effects of wind shear on Sc and its transition ~~d~~(Lohou et al., 2019). Previous work on modeled sheared Sc over sea suggests that shear at cloud top lowers the water content of Sc by enhancing the entrainment rate (Wang et al., 2008, 2012). Mechum et al. (2010) presented a land Sc case and briefly studied the effects of shear. They similarly concluded that entrainment velocity ~~and~~increases, leading to a decrease in cloud liquid water content ~~decreases~~, in presence of cloud-top wind shear. However, to the best of our knowledge, there is no work studying the

effects of wind shear on stratocumulus to cumulus transitions. Thus, we additionally perform some sensitivity studies on the effect of wind and wind shear at cloud top on the Sc-Cu transition.

Our research seeks to answer these three research questions:

- How is a stratocumulus to cumulus transition over land characterized? What is the relevance of the local processes?
- 5 – How do the contributions of each physical process vary with time during the maintenance, thinning and transition of the cloud layer?
- How do metrics relevant for larger scale models quantify the transition?

2 Methods

2.1 Dutch Atmospheric Large Eddy simulation (DALES)

10 To explicitly resolve the Sc-Cu transition we perform our numerical experiments using the Dutch Atmospheric Large Eddy Simulation (DALES) (Heus et al., 2010; Ouwersloot et al., 2016). LES models explicitly resolve most of the energy-containing turbulent motions in the boundary layer, including the stratocumulus and shallow cumulus cloud dynamics. Based on the initial work of Nieuwstadt and Brost (1986), DALES is a LES model that has been used individually or within a model intercomparison for a broad range of cases, from clear sky diurnal cycles (Pino et al., 2003) to boundary layers topped by
15 stratocumulus (Blossey et al., 2013; van der Dussen et al., 2015) or cumulus (Siebesma et al., 2003; Vilà-Guerau de Arellano et al., 2014), including Sc-Cu transitions over sea (van der Dussen et al., 2013; de Roode et al., 2016). Here, we use the DALES 4.1 version. We describe below the relevant parameterizations for this study.

- An interactive land surface model with a mechanistic representation of plant behavior. It regulates the surface latent, and sensible heat fluxes, as well as the CO₂ flux depending on environmental variables such as CO₂ concentration,
20 atmospheric vapor pressure deficit, temperature, soil moisture and surface wind (Jacobs and de Bruin, 1997; van Heerwaarden et al., 2010; Vilà-Guerau de Arellano et al., 2015). It is upgraded with a 2 big-leaf (sunlit and shaded leaves) scheme allowing for different penetration rates of direct and diffuse radiation along the canopy (Pedruzo-Bagazgoitia et al., 2017). The fact that surface fluxes are higher and more variable over land, responding to environmental variables and potentially altering the boundary layer and cloud structure, explains the need for an interactive scheme as the one
25 presented here.
- The two-stream radiation scheme RRTMG (Iacono et al., 2008). It is used to provide longwave and direct and diffuse shortwave radiation at each gridbox dependent on liquid water and other chemical compounds. [This scheme allows to represent the surface dynamic heterogeneities caused by cloud spatial inhomogeneities as it provides a column-dependent net radiation at the surface.](#)

- The microphysics scheme by Khairoutdinov and Kogan (2000), specifically designed for Sc clouds. It includes cloud-droplet sedimentation, found to be highly relevant in the representation of Sc clouds (Ackerman et al., 2004; Bretherton et al., 2007; Dearden et al., 2018).

The subgrid turbulence is parameterized using a TKE model following Deardorff (1980). 5th and 2nd order schemes are used to compute the advection over horizontal and vertical directions, respectively. The integration of the governing equations over time is carried out using a third order Runge-Kutta scheme. For a deeper insight in the mentioned numerical settings the reader is referred to Heus et al. (2010). In particular, equations 25, 43 and 49.

2.2 Observations

We base our idealized study on observations taken during the field campaign of the DACCIWA project during the months of June and July 2016. We focus on the observations of 26th June 2016 at the Savé supersite. On this day a stratocumulus deck was observed during the night and morning above Savé, followed by a cloud base rise and breakup during the late morning and afternoon (Dione et al., 2019). We briefly describe below the methods and observations used to inspire our idealized study. For a fully detailed explanation of the observations and the dataset, the reader is referred to Kalthoff et al. (2018) and Bessardon et al. (2019), respectively.

- Radiosondes were performed with the MODEM radiosounding system. The temperature and relative humidity of the air were measured with a 1 second temporal resolution ($\simeq 4 - 5$ m in vertical resolution). The wind speed, direction and the pressure were determined based on the radiosonde GPS coordinates (Derrien et al., 2016).
- The cloud base height is measured by a continuously running ceilometer measuring backscatter profiles with a 1 minute resolution. From ~~backscatter profiles a cloud base height is~~ the backscatter profiles three cloud base heights are obtained using the manufacturer algorithm. We select the lowest one to ensure that the detection reflects a cloud base and not, for example, a cloud edge. The data is available at Handwerker et al. (2016).
- The cloud top height is measured by a dual-polarized cloud radar, which allows to distinguish between hydrometeors and other targets. The cloud top height is estimated from the 5 min averaged reflectivity profiles of hydrometeors applying a threshold of -35 dBz (Bauer-Pfundstein and Goersdorf, 2007). Therefore, reflectivities larger than -35 dBz are considered clouds. The data is available at Handwerker et al. (2016).
- The cloud cover is calculated as the percentage of cloud base height measurements below 1000 m (Adler et al., 2019; Zouzoua, 2019). The values are averaged over 19 days during the campaign to prevent too high variability by single point observations of individual days.
- The surface fluxes and net radiation are obtained from an energy balance station deployed over a mix of grass and bushes. The 30-min ~~turbulent-sensible and latent heat~~ fluxes are calculated from high-frequency (20 Hz sampling rate) ~~ultra-sonic-anemometer~~ measurements of wind speed and sonic temperature obtained by ultrasonic anemometer, and

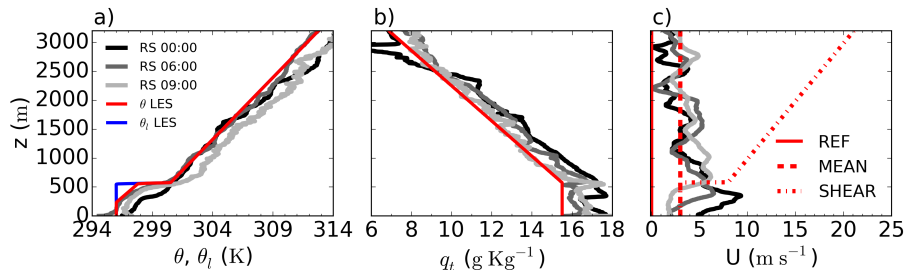


Figure 1. Vertical profiles of potential temperature (a), total specific humidity (b) and wind (c) as observed through radiosondes (at 00 00, 06 00 and 09 00 UTC from black to light grey) on 26th June 2016 and as prescribed in the idealized LES experiments (red and blue). The three experiments REF, MEAN and SHEAR differ only in the prescribed wind profiles.

humidity measurements ~~from fast-infrared hygrometer (LICOR) by which are based on the absorption of near-infrared radiation and obtained by fast-response LI-COR sensor by~~ applying eddy-correlation method (Mauder et al., 2013). The data is available at Kohler et al. (2016).

- The two sets of turbulent kinetic energy measurements are calculated from the anemometer measurements of wind speed at 4 m and 7.8 m by two energy balance stations deployed over a mix of grass and bushes and over corn, respectively.

2.3 Model settings and initial conditions

Constrained by the surface and upper atmospheric observations, we design an academic case to be simulated through LES. Our aim is, by means of an idealized numerical experiment, to simulate a Sc-Cu transition, including the Sc breakup, during typical atmospheric conditions in SWA rather than the reproduction of an exact day occurred during the DACCIWA measurement campaign. In particular, we study the Sc-Cu transition of a coupled case ~~as described by or Scenario 1 as described in~~ Lohou et al. (2019).

We design a $12 \times 12 \text{ km}^2$ wide and 3.2 km high domain, with a gridbox size of $50 \times 50 \times 4 \text{ m}^3$ resulting in 800 vertical levels. Such high vertical resolution is required in order to reduce the overestimation of mixing and entrainment typical of coarser LES simulations with Sc (Bretherton et al., 1999b; Stevens et al., 2005). Although at this vertical resolution processes such as evaporative cooling and cloud top mixing might still be overestimated (Stevens et al., 2005; Mellado, 2017), a much finer resolution, or a Direct Numerical Simulation approach, would not allow computationally for an integrated simulation of both cloud top and surface. As it will be shown later, both interfaces play a critical role in the development and transition studied here. We use periodic boundary conditions on the horizontal directions. We start the experiment at 3 30 UTC to allow for one hour of spin up of the Sc layer and end the experiment at 18 30 UTC after sunset.

We prescribe a subsidence profile, following Bellon and Stevens (2012), of the shape $w_{subs}(z) = -w_0(1 - e^{-\frac{z}{z_w}})$, with $w_0 = 5.3 \text{ mm s}^{-1}$ and $z_w = 300 \text{ m}$. Such a profile translates to $w_{subs} = -4.51 \text{ mm s}^{-1}$ at the initial cloud top height of 570 m. Our choice for the subsidence profile ~~was such that it would keep a nearly constant cloud top height during the night.~~

and it is justified given the uncertainty and high temporal variability in subsidence profiles, as well as its large spread among regional simulations carried out with the Consortium for Small-Scale Modeling (COSMO) within the DACCIWA project or ERA-interim reanalysis. For simplicity we assume the subsidence profile to be constant during the entire simulation. To limit the complexity of our idealized experiments and focus on the interaction of the surface and boundary-layer processes, we prescribe no advection of heat or moisture at any height. Adler et al. (2019) and Babić et al. (2019) found cold air advection necessary for the formation of the cloud layer. Yet its relevance decreased as sunrise approached, thus justifying our assumption during our time of interest.

For all the experiments we calculate the vertical profiles of the radiative fluxes every minute. In doing so, we quantify how radiative fluxes are perturbed by the liquid water related to cloud dynamics and how they interact with the surface. This is done to account for fast fluctuations of net radiation at cloud top and surface. The latter is relevant given its potential to alter surface fluxes and, thus, the evolution of the boundary layer and clouds (Vilà-Guerau de Arellano et al., 2014; Gronemeier et al., 2016; Sikma and Vilà-Guerau de Arellano, 2019). Based on aircraft observations during the DACCIWA campaign (Taylor et al., 2019), the cloud droplet number concentration is set to 300 cm^{-3} and remains constant throughout the experiment. No radiative effects of aerosols are taken into account here.

We show in Fig.1 the vertical profiles obtained through three radiosondes during the night and morning of 26th June 2016. The radiosonde at 6 00 UTC, the closest to our initialization time, shows a strong increase in potential temperature of about 3 K at 570 meters high. Above, all radiosondes show similar temperature lapse rates of about 4.6 K km^{-1} . Subtropical marine Sc clouds are frequently capped by ~~a strong drying~~ much drier air above cloud top (Duynderke et al., 2004; Wood, 2012). Yet none of the radiosonde profiles show any strong ~~drying jump in moisture~~ above 570 meters. If any, they show a humidity increase above cloud layer. Such increase could be related to the previously questioned reliability of radiosonde measurements as they exit the cloud layer through their ascension (Lorenc et al., 1996; Mechem et al., 2010; Babić et al., 2019). Situations without a dry jump above Sc cloud top have been previously reported over land (Mechem et al., 2010) and are more typical of arctic climates (Morrison et al., 2012).

The observations demonstrate that the idealized experiment's initial conditions lie within typical meteorological conditions in SWA. The initial idealized profiles prescribe a well mixed layer up to 570 meters with liquid-water potential temperature $\theta_l = 296 \text{ K}$ and specific humidity $q_t = 15.5 \text{ g kg}^{-1}$. Such thermodynamic conditions result in a domain-covering cloud layer from 226 m to 570 m high, topped by a jump of 4.5 K in temperature, but without a jump in specific humidity. Above 570 m the potential temperature and total moisture idealized profiles exhibit constant slopes of 4.67 K km^{-1} and $3.29 \text{ g kg}^{-1} \text{ km}^{-1}$ respectively. Given the spread in vertical profiles by radiosondes, we performed additional simulations exploring variations in the profiles of 0.5 K and 0.5 g kg^{-1} . Results showed very similar development of the Sc-Cu transition.

Our reference experiment REF prescribes no wind at all heights. To study the effect of wind and wind shear, we perform two additional numerical experiments, MEAN and SHEAR, where we account for different idealized wind effects. This sensitivity analysis is motivated by the recurrent winds with the shape of low-level jet, such as those in Fig. 1c, that were frequently observed during the DACCIWA campaign (Kalthoff et al., 2018; Adler et al., 2019; Dione et al., 2019). Failed attempts to maintain a low level jet-like wind profile together with the Sc cloud layer in preliminary experiments suggest that the jet-like

wind is the result of large scale dynamics, and, thus, beyond the scope of the present study on local factors. The large scale origin of the low level wind is also supported by more detailed observational analysis (Babić et al., 2019; Adler et al., 2019; Dione et al., 2019). Following our idealized approach, the initial wind speed and wind direction are inspired by the observations and adapted to better study how these effects influence the Sc-Cu transition. In this case, the mean wind and the shear at the cloud top are considered. We prescribe a constant horizontal wind of 3 m s^{-1} along the whole vertical profile in MEAN based on above cloud layer radiosonde observations (Fig.1c). Consistent with our idealized setting, we assume the wind to blow only along the x-direction and without prescribed directional shifts with height. In SHEAR we add a jump of 5 m s^{-1} to the mean 3 m s^{-1} at cloud top to represent a wind shear of similar magnitude as the observed low level jet. The values prescribed here for the simplified effects of the low level jet are representative not only of the day studied here but also of the whole measurement campaign (Dione et al., 2019). The free troposphere wind shows a constant increase of $5 \text{ m s}^{-1}\text{km}^{-1}$ in SHEAR. Our aim here is to maintain a shear contribution as the cloud layer rises. We prescribe geostrophic winds identical to the initial wind profiles, as the goal is to observe the impact of wind on the transition and not vice versa. In summary, differences between MEAN and REF serve in identifying the role played by a mean wind, which will mainly enhance the surface fluxes. MEAN and SHEAR differences show the impact of the local shear at cloud top.

To determine the dependency of the results on the Galilean transformation, we performed two extra simulations. We reproduced the MEAN experiment with an additional grid translation of 3 m s^{-1} identical to the prescribed mean wind, and the SHEAR experiment with a grid translation of 6 m s^{-1} . These additional experiments yielded very similar results to the original ones and confirmed the independence of our numerical experiments on this condition. For the sake of simplicity all the simulations shown here have no Galilean transformation prescribed.

20 3 Results

3.1 Evolution of the transition

Figure 2 shows the diurnal evolution of cloud height, cover, and liquid water path (LWP) and their connection with surface turbulent fluxes in the REF simulation. It also includes the observations corresponding to the day by which our case is inspired. At Fig. 2a both cloud top and base remain approximately constant for the first hours. The LWP values are on the high end of domain average LWP for marine stratocumulus cloud (Wood, 2012) and coincide with observed ones during the DACCIIWA campaign (Babić et al., 2019; Kalthoff et al., 2018). The initially constant cloud top height coincides with the boundary layer height. As a result, the boundary layer height evolution can be expressed using mixed layer theory by the relation that equates the entrainment velocity and the subsidence. It reads, assuming horizontally homogeneous conditions (Lilly, 1968):

$$\frac{\partial h}{\partial t} = w_e + w_{subs}(h) \simeq 0 \quad (1)$$

with h the boundary layer height defined as the height of minimum buoyancy flux, w_e the entrainment velocity and $w_{subs}(h)$ the subsidence, depending on height as described in Sec.2.3, at h . For this experiment and before sunrise $w_e \simeq -w_{subs}(h) = 0.45 \text{ cm s}^{-1}$, which is in the same order of magnitude as previously reported nocturnal marine Sc cases (Stevens et al., 2003).

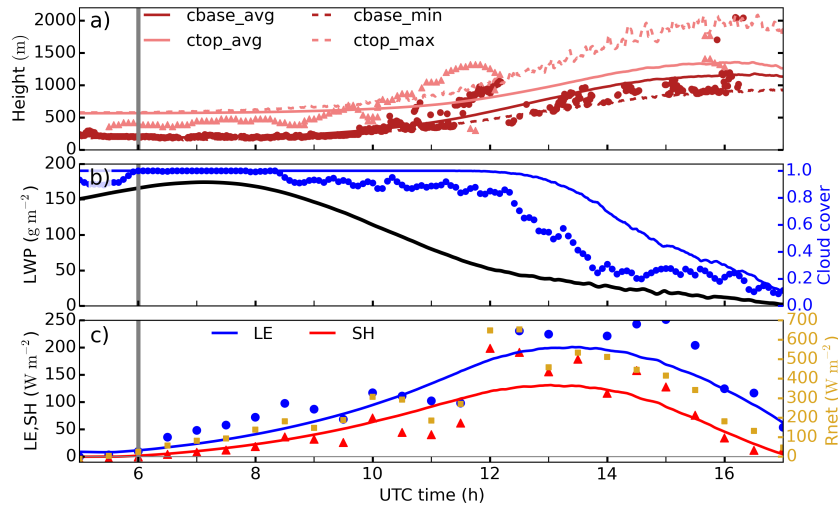


Figure 2. Time series of the domain average cloud base (cbase_avg in full dark red line) and cloud top (ctop_avg in full light red line), maximum cloud top (ctop_max in dashed light red line) and minimum cloud base (cbase_min in dashed dark red line) (a), liquid water path and cloud cover (b) and latent and sensible heat fluxes (c) in REF. Observed cloud base and cloud top heights on 26th June are represented by dark red circles and light red triangles, respectively, in (a). Observed cloud cover, averaged over 19 campaign days is shown in blue circles in (b). Observed latent and sensible heat fluxes are shown by blue circles and red circles, respectively, in (c). The vertical grey lines indicate the sunrise time.

Between 2 to 3 hours after sunrise (6 00 UTC) the cloud layer begins to rise and subsequently decreases its ~~water content~~ liquid water content (Fig. 2b), allowing more radiation to reach the surface and enhancing the surface fluxes (see Rnet, LE and SH increase between 6 and 10 UTC in Fig. 2c). Along this time the domain average cloud base cbase_avg follows the observed cloud base, and so do the surface fluxes with the observed ones. The onset of the convective phase, defined by Lohou et al. (2019) as the time when the sensible heat flux $SH > 10 \text{ W m}^{-2}$, takes place between 7 00 and 7 30 UTC according to observations and at 6 55 UTC in REF. The breakup in the cloud layer, defined as the time when cloud cover (cc) is below 1, takes place at around 11 30 UTC in our the LES experiment and coincides with the observed sharp increase in surface fluxes of about 150 W m^{-2} , i.e., a threefold increase compared to before-breakup values. This sudden change coincides with the sharp increase of net radiation due to cloud breakup (see Fig. 2c) and reveals that surface fluxes are radiation-driven at this stage. The good agreement in the surface flux partitioning as modeled and observed justifies the use of a land surface model sensitive to several environmental variables at surface (see Sec. 2.1). After 11 30 UTC observations show large variability in measured cloud base heights (Fig. 2a), suggesting either the presence of shallow clouds below the Sc cloud layer or the breakup of Sc layer (Lohou et al., 2019). After cloud Therefore, the jump in cloud base height from about 1000 m to 500 m is due to either the appearance of the first shallow cumulus at 500 m after the stratocumulus cloud base rise up to 1000 m, or the breakup of the stratocumulus deck leading to different observed cloud base heights. About 90 minutes after cloud break up, cc decreases

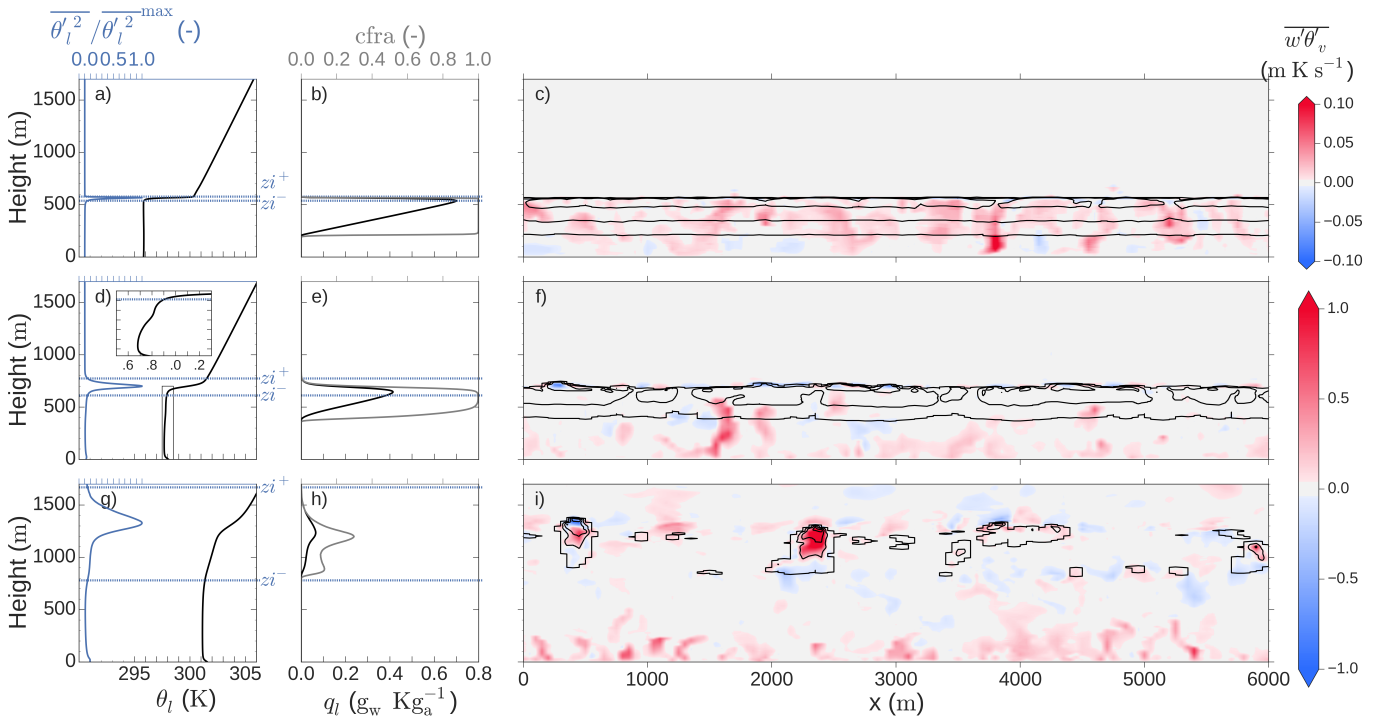


Figure 3. On the left (a, d, g), slab averaged vertical profile of liquid potential temperature θ_l (black) and $\overline{\theta_l'^2}$ normalized over its maximum value (blue). The inversion layer upper z_i^+ and lower z_i^- limits are indicated in dashed blue horizontal lines. On the center (b, e, h) slab averaged vertical profiles of liquid water mixing ratio q_l (black), and horizontal cloud fraction $cfra$ (grey). On the right (c, f, i), horizontal cross-section of buoyancy flux $\overline{w'\theta'_v}$ (in colours, red (blue) indicating upwards (downwards) movement of buoyantly positive (negative) air) and cloud liquid water (in black contour lines every $0.3 \text{ g}_w \text{ Kg}_a^{-1}$). Top plot corresponds to 5 00 UTC, center to 11 00 UTC and bottom to 14 30 UTC. The inset at ed) is an expanded version of the rectangle in the same subfigure.

quasi-linearly until the end of the simulation. The same pattern for cloud cover is shown by observations, although one hour in advance. Note, however, that the observations of cc are averaged over 19 days timeseries selected due to a cloud onset before 4 00 UTC (Zouzoua, 2019). The variability between the days considered in the average also explains the cc values below 1 before 6 00 UTC and after 8 30 UTC.

5 3.2 Transition on turbulence and radiation

The turbulent spatial structure explaining this transition from typical nocturnal stratocumulus to convective clouds is shown in Figure 3 through the buoyancy flux and temperature profiles. The initial stages of the LES experiment (Fig. 3a,b,c) present a well mixed and fully coupled layer from surface to cloud top. This layer is limited by a strong jump in liquid water potential temperature θ_l of about 4 K at 5 00 UTC (Fig. 3 a) at around 550 m, and a very narrow-thin inversion layer. We quantify this layer through their lower and upper limits z_i^- and z_i^+ , respectively. These heights are defined, following van der Dussen

et al. (2016), as the heights above and below, respectively, the maximum in slab averaged $\overline{\theta_l'^2}$, $\overline{\theta_l'^{2max}}$, at which 5% of $\overline{\theta_l'^{2max}}$ is reached. The liquid water mixing ratio q_l shows in Fig. 3 b a linear increase with height within the cloud layer typical of well mixed stratocumulus clouds Duynkerke et al. (1995); Wood (2012). After some hours the boundary layer evolves to a well mixed subcloud layer with a conditionally unstable cloud layer aloft at 14 30 UTC and a very broad inversion layer. Such evolution of the inversion layer allows-enables us to interpret the typically conditionally unstable region of the cloudy layer in convective conditions as an expanded analogue of the very sharp inversion layer in-near the top of Sc clouds. Note that this layer includes the sharper inversion layer common in cumulus-topped boundary layers and present in Fig. 3 g,h,i between 1200 and 1300 m. Thus, to correctly represent the transition studied here it is necessary to treat the evolution as a transition where the inversion layer expands as the boundary layer grows. A more detailed evolution of the inversion layer is given in Fig. 5.

10 Furthermore, the cloud fraction in the lower part of the cloud layer at 14 30 UTC resembles that of shallow cumulus clouds (Siebesma et al., 2003). In this case, however, a second peak in cloud fraction and larger q_l reveals the presence of more clouds at around 1200-1300 m. These clouds are the remnants of the stratocumulus higher part.

In the absence of mechanical production of turbulence, buoyancy is the only driving mechanism for turbulence. Figs 3 b,d,f,c,f,i quantify the shift of buoyancy-driven turbulence generation from cloud top radiative cooling at 5 00 UTC to surface warming at 14 30 UTC. Note the change in scale by a factor of 10 in $\overline{w'\theta_v'}$ between Fig 3**b-and-3dc** and 3i. Such a difference in magnitude shows that the surface-driven turbulence after sunrise becomes stronger, about 10 times, than the one created by cloud-top cooling. In fact, the cloud-top cooling contribution to the buoyancy flux is in part diminished by a compensating condensational warming within the cloud layer. At 11 00 UTC there is a critical moment in the transition: the cloud layer remains rather homogeneous, but the mixed layer is now simultaneously driven both by surface warming and cloud top cooling.

20 As it will be shown later (Figs. 4 and 6), the penetration of shortwave radiation through the cloud layer down to the surface is key in regulating both phenomena. The warming of the cloud layer leads to a decoupling of the cloud and subcloud layers. This is already visible at 11 00 UTC with a temperature difference between layers of about 0.2 K at 400 m high (see inset in Fig. 3ed).

By resolving interactively the radiation transfer along the cloud layer and the surface response we gain insight on the dynamical transition, as shown in Figure 4. There, we observe how the vertical velocity distribution at the middle of the boundary layer starts from a situation with limited extreme velocities (between -1.3 and 1 m s^{-1}) and a negative skewness of $S_w = -0.3$ at 5 00 UTC, where $S_w = \frac{\overline{w'^3}}{\overline{w'^2}^{3/2}}$. This value for S_w lies within the limits of typical marine Sc clouds (Ghate et al., 2014). It then evolves to a prototypical convective-boundary-layer (CBL) skewed distribution with a larger spread of vertical velocities at 11 00 UTC (between -1.5 and 2.7 m s^{-1}) and $S_w = 1.2$ at half of the boundary layer height, having skewness values typical of dry convective boundary layers (Lenschow et al., 2012) or situations with cumulus coupled to Sc clouds (de Roode and Duynkerke, 1996). Similar values for S_w are found at 14 30 UTC, with minimum and maximum vertical velocities between -1.8 and 3.5 m s^{-1} , respectively. The transition from stratocumulus to prototypical convective conditions is reinforced by the evolution of the radiative profiles. Figure 4d shows an initial net radiative divergence at the cloud top of 43 W m^{-2} . The related cooling drives the mixed layer at 5 00 UTC. At this time the radiative cooling is stronger than the warming by entrainment as the mixed layer cools at a rate of about 0.1 K h^{-1} before sunrise (not shown). By 11 00 UTC there

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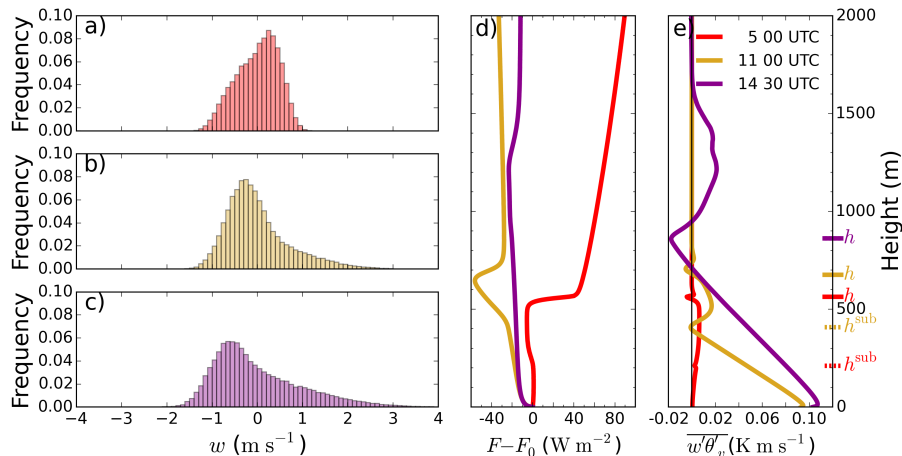


Figure 4. On the left, frequency distribution of vertical velocities at $\frac{h}{2}$ at 5 00 UTC (top), 11 00 UTC (center) and 14 30 UTC (bottom). On the center (d), vertical profile of slab net radiative flux normalized over the surface value at 5 00 UTC (red), 11 00 UTC (dark yellow) and 14 30 UTC (purple). On the right (e) and following the same color code, slab averaged buoyancy flux $\overline{w'\theta'_v}$. The subcloud layer height h^{sub} and the boundary layer height h are shown for each time at the right vertical axis in (e). At 14 30 UTC both heights coincide.

is a net radiative warming along the cloud layer (between 400 and 650 m high, see Fig. 3) due to the absorption of shortwave radiation within the cloud layer. Shortwave radiation locally warms up to 1.1 K h^{-1} the lower two thirds of the cloud layer due to the 44 W m^{-2} of absorbed shortwave radiation along its travel through the cloud layer (not shown). The high cloud droplet number, 300 cm^{-3} , is likely to influence positively such net warming.

- 5 This net radiative warming along the cloud layer reinforces the warming driven by entrainment of free tropospheric air. The combination of both processes is critical for the decoupling of the cloud and subcloud layers. As it will be shown later (Fig. 6), it also plays a role on the thinning of the Sc and the reduction of turbulence generation at cloud top. Figure 4e shows the profile of the buoyancy flux, closely linked to the role of radiation. The averaged buoyancy flux shows a similar transition starting from prototypical nocturnal Sc clouds at 5 00 UTC, with positive buoyancy along the whole layer up to 550 m and a local minimum
- 10 at cloud base due to latent heat release (Bretherton and Wyant, 1997; Wood, 2012). We define the height of such minimum as the subcloud layer height h^{sub} . The definition of h^{sub} is necessary to better quantify the decoupling of the stratocumulus layer from the surface, as it will be shown in Fig. 10. At cloud top Fig. 4e presents an absolute minimum at the boundary layer height h . ~~It then evolves to profiles common in cumulus topped convective boundary layers (Siebesma et al., 2003) or decoupled Sc cloud layers (Wood, 2012) with linearly decreasing $\overline{w'\theta'_v}$ up to the cloud base, and buoyantly active convective clouds above~~
- 15 950 m. Note that under such conditions the boundary layer height and the subcloud top height coincide and $h^{\text{sub}} = h$. at 5 00 UTC. The buoyancy flux profile at 11 00 UTC shows the decoupling of the cloud layer from the surface by the enhancement of the local minimum at h^{sub} at 400 m. This nearly negative value in the vicinity of the cloud base has already been described as an indication of decoupling and hampered transport of moisture (and heat, in our case) from the surface to the cloud layer (Turton and Nicholls, 1987; Stevens, 2000; Lewellen and Lewellen, 2002). This will be further explored in Fig. 10. The buoyancy

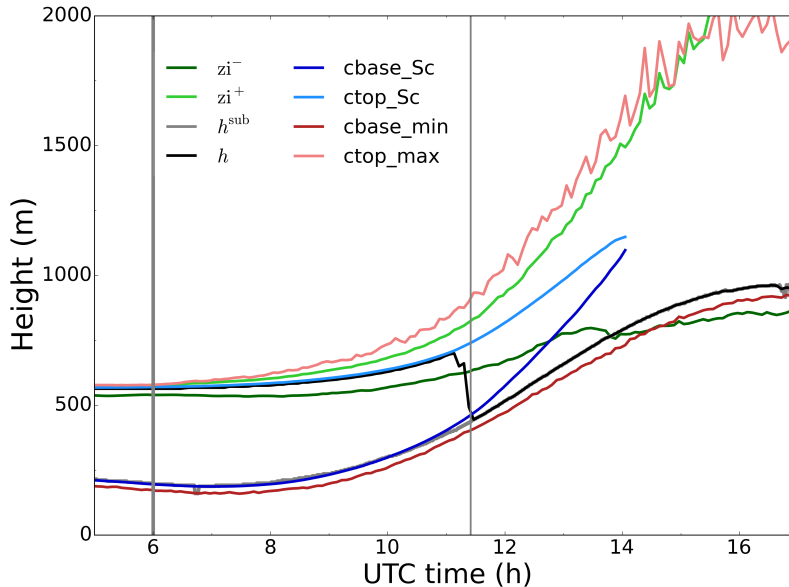


Figure 5. Time series of inversion layer top z_i^+ (light green) and bottom z_i^- (dark green) heights, boundary layer height h (black) and subcloud buoyancy minimum height h^{sub} (grey), stratocumulus cloud base cbase_Sc (dark blue) and top ctop_Sc (light blue) heights, and minimum cloud base cbase_min (dark red) and maximum cloud top ctop_max (light red) heights. Sunrise time and cloud breakup time are indicated by the thick and thin grey lines, respectively.

[profiles evolves at 14 30 UTC to profiles common in cumulus topped convective boundary layers \(Siebesma et al., 2003\) or decoupled Sc cloud layers \(Wood, 2012\) with linearly decreasing \$\overline{w'\theta'_v}\$ up to the cloud base, and buoyantly active convective clouds above 950 m. Note that under such conditions the boundary layer height and the subcloud top height coincide and \$h^{\text{sub}} = h\$.](#)

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We show in the [timeseries](#) [time series](#) in Fig. 5 the evolution of the variables that better reflect the dynamics of the Sc-Cu transition: we show the inversion layer upper and lower limits z_i^+ and z_i^- , respectively, the subcloud top height h^{sub} and boundary layer height h shown in Fig. 4, the maximum cloud top and minimum cloud base heights as in Fig. 2, and we additionally calculate the Sc cloud base cbase_Sc and cloud top ctop_Sc . These are defined as the height of the lowest and highest vertical level, respectively, with a slab averaged cloud fraction higher than 40%. After 10 00 UTC the Sc cloud base rises faster than the minimum cloud base. This is analogous to the slower rise of Sc cloud top compared to the maximum cloud top. Due to a faster rise of Sc cloud base than Sc cloud top, there is a thinning of the Sc layer eventually dissipating at 14 00 UTC. Lohou et al. (2019) observed a similar cloud thinning pattern based solely on observations. The cloud and subcloud layer dynamics divert from coupled Sc conditions, i.e. well mixed layer from surface to cloud top, several hours before, as it was shown in Figs. 3 and 4. Between 11 00 and 11 30 UTC, i.e. before the cloud breakup, h shifts from the cloud top to the

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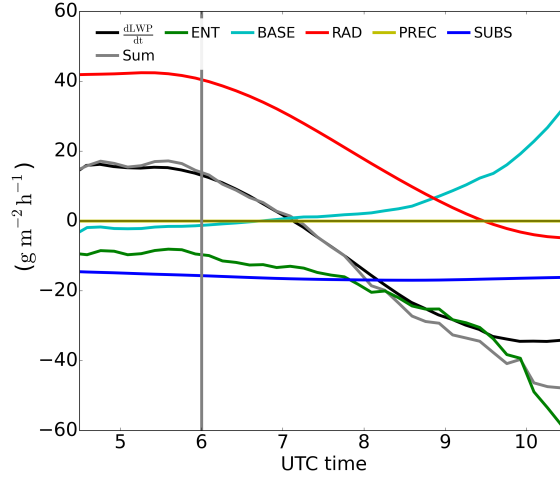


Figure 6. Time series of budget terms as defined in Eqs.2 and 3 with colors representing the terms as displayed in the legend, and the Sum grey line being the sum of all the terms on the RHS of Eq. 2. The vertical grey line indicates sunrise time.

subcloud layer top represented by h^{sub} . The evolution of the inversion layer, indicated by z_i^+ and z_i^- , reveals a broadening of the inversion layer from a very thin layer (~ 50 m) across cloud top during the first few hours to a region thicker than 1 km in the afternoon due to the cumulus clouds.

3.3 LWP budget before and during the transition

- 5 After observing the transition in cloud characteristics and buoyancy regime, a question immediately arises: what is the relative contribution of the main physical processes driving this transition? To this end and relating to Fig. 2b, LWP is calculated and used as the metric to describe the state of the transition and calculate the budget derived by van der Dussen et al. (2014). The budget reads:

$$\frac{\partial \text{LWP}}{\partial t} = \text{BASE} + \text{ENT} + \text{PREC} + \text{RAD} + \text{SUBS} \quad (2)$$

- 10 with

$$\begin{aligned} \text{BASE} &= \rho \eta (\overline{w'q_t^b} - \Pi \gamma \overline{w'\theta_l^b}) \\ \text{ENT} &= \rho w_e (\eta \Delta q_t - \Pi \gamma \eta \Delta \theta_l - D \Gamma_{q_l}) \\ \text{PREC} &= -\rho \delta P \\ \text{RAD} &= \rho \eta \gamma \delta F_{rad} \\ \text{SUBS} &= -\rho D \Gamma_{q_l} w_s(h) \end{aligned} \quad (3)$$

with BASE representing the effect of turbulent fluxes at cloud base, ENT that of entrainment, PREC the effect of precipitation, RAD that of radiation, and SUBS the one due to subsidence. Δq_t and $\Delta \theta_l$ are the jumps across the inversion layer

for total water mixing ratio and liquid water potential temperature, respectively, defined as in van der Dussen et al. (2016): $\Delta\theta_l = \theta_l(z_i^+) - \theta_l(z_i^-)$ and $\Delta q_t = q_t(z_i^+) - q_t(z_i^-)$. δP and δF_{rad} represent the difference in precipitation and net radiation, respectively, between the top of the inversion layer z_i^+ , assumed to be the same as Sc cloud top height in van der Dussen et al. (2016), and the Sc cloud base (van der Dussen et al., 2016). The rest of the variables in Eq. 3 are listed in Table A1.

5 In short, this budget enables us to decompose the thinning or thickening of the cloud layer, quantified by a LWP tendency, and relate each contribution to the physical processes governing the stratocumulus clouds. To derive such budget van der Dussen et al. (2014) assumed the cloud layer to be horizontally homogeneous and vertically well mixed, implying a linear increase of the liquid water with height within the cloud layer following an adiabatic liquid water profile. The first hours of the simulation perfectly fit those conditions. However, after some hours the horizontal heterogeneities created in the Sc layer and
 10 the formation of convective clouds below (see Fig. 5) make these assumptions not to longer hold. Furthermore, the assumption of one well-mixed cloud layer breaks after 10 00 UTC due to the warming by radiation and entrainment (Fig. 4). The distance between z_i^+ and $ctop_Sc$, assumed to be negligible by van der Dussen et al. (2014), increases with time up to 50 m at 10 00 UTC. For this reason we focus our analysis on the first stage of the transition until 10 00 UTC.

Before sunrise we observe in Fig 6 a net thickening of the cloud layer by almost $20 \text{ g m}^{-2} \text{ h}^{-1}$, i.e. a growth of about
 15 15%, driven solely by the longwave cooling at the cloud top (RAD term). During the entire experiment SUBS remains almost constant given the small variation of subsidence with height, showing a negative tendency of around $16 \text{ g m}^{-2} \text{ h}^{-1}$. The negative tendency by entrainment (ENT) is to a large extent initially due to the entrainment of warm air (second term in ENT in Eq. 3) since, as shown in Fig.1, the free tropospheric air has similar moisture content as the cloudy air. The thinning tendency of precipitation is small, accounting for up to $4 \text{ g m}^{-2} \text{ h}^{-1}$ when the cloud layer is thickest. The small contribution of PREC
 20 despite large LWP is explained by the microphysical characteristics of the region. The large CCN concentrations typical for SWA (300 cm^{-3} in our study) prevent any large effects of precipitation even in Sc with high liquid water content. Of similar magnitude is the effect by cloud base fluxes before sunrise: the turbulent transport of warm air (second term of BASE in Eq. 3) dominates over its moistening effect (first term of BASE in Eq. 3) at this time. Yet the negative net effect by BASE in LWP tendency is about ten orders of magnitude smaller than that of RAD.

25 After sunrise the warming effect of shortwave radiation increasingly offsets the longwave cooling at cloud top. This leads to a decreasing contribution of RAD to the thickening of the cloud layer. Due to this factor, the sign of LWP tendency changes at around 7 15 UTC. This is the time when the thinning leading to the eventual cloud breakup starts. Correlated to the shortwave radiation increase after sunrise, the surface-driven growth of the boundary layer leads to larger entrainment rates, thus increasing the warming of the cloud layer through the free-tropospheric engulfed air. An additional factor to the already mentioned
 30 warming explains the fast shift to more negative tendencies for the ENT term after 7 00 UTC: the increased drying through entrainment. This drying increases due to two factors enhancing Δq_t , from -0.27 g kg^{-1} at 7 00 UTC to -1 g kg^{-1} at 10 00 UTC: the moisture input in the boundary layer by the surface; and the growth of the boundary layer itself across a drier free troposphere. This larger moisture jump enhances the impact of entrainment by a) drying the cloud layer and b) enhancing the entrainment velocity as the difference in buoyancy between the cloud and free troposphere decreases. By the end of this period,
 35 at 10 00 UTC, the positive contribution to LWP of cloud base fluxes (BASE) rises to up to $30 \text{ g m}^{-2} \text{ h}^{-1}$. This is explained

by the increase of surface fluxes (Fig 2c) and surface buoyancy (Fig. 4e) as the available net radiation at surface grows. These changes lead to a larger contribution of the moistening $\overline{w'q_t^b}$ term to BASE in Eq. 3, while the warming term including $\overline{w'\theta_l^b}$ remains less variable for the first hours. Note that although the moisture flux increase at cloud base implies a growth of LWP in the budget, such [moisture](#) growth may eventually ~~lead to a~~ [contribute to the](#) dissipation of the cloud layer: “increased surface
5 moisture flux at surface and consequently, at cloud base, relates to enhanced buoyancy [through latent heat release and larger turbulence](#) within the cloud layer, known to increase entrainment [Ghonima et al. \(2016\); Kazil et al. \(2016\)](#). Such accelerated entrainment leads to the warming of the upper cloud, and thus counteracts the mixing of the cloud layer necessary for the maintenance of the Sc.

Comparing the contributions in our case before sunrise to those of the first night in van der Dussen et al. (2016), we find
10 a RAD term almost 30% lower in our case. Given the similar LWP and θ_l jump above cloud top, we attribute the significant difference to the lack of a moisture jump here and thus, weaker cloud top radiative cooling. The BASE term reached values of about $60 \text{ g m}^{-2} \text{ h}^{-1}$ in van der Dussen et al. (2016), while we found very little contribution of such term during the morning due to the compensation of moistening and warming effect of turbulent fluxes. This large difference compared to a marine case shows the relevance of the land surface, as the moistening is limited here and counteracted by a larger warming through
15 turbulent fluxes at cloud base compared to a marine case. The nighttime ENT term is in our case about two to three times smaller than in van der Dussen et al. (2016), explained by larger turbulence created by a stronger RAD in their study. All in all, the total tendency $\frac{dLWP}{dt}$ is in the same order of magnitude for both cases although the drivers remain quite different. The increasing negative contribution to the LWP budget by entrainment at daytime is consistent with the Sc over land case by Ghonima et al. (2016). We find our case to fall between their cases with fixed Bowen ratios of $Bo = 0.1$ and $Bo = 1$, as we
20 observe a nearly $Bo = 0$ during night growing up to 0.6 during the day in the current case, similar to the measured conditions (Fig. 2c). This indicates the advantage of having a land surface model correctly partitioning the available net energy into surface and latent heat fluxes. The BASE term behaves in our case similar to their $Bo = 0.1$ case as it also shows a positive contribution to cloud thickening or LWP increase.

3.4 Effect of wind and wind shear in the transition

25 3.4.1 Nighttime effects

We showed that the transition from stratocumulus to cumulus over land for typical SWA conditions can take place under windless conditions. Given the recurrent presence of wind and low level jet in the morning during the observational campaign, it is interesting to further investigate the effects that wind has on the transition. Thus, we extend the previous results considering the further effects that mean wind (MEAN) and additional wind shear at cloud top (SHEAR) have on the transition described.
30 We include in Table 1 the timing and magnitude of the reference metrics for each experiment. Under cloudless conditions, the effect of shear at surface as well as at boundary layer top acts as a local source of ~~Turbulent~~ [Turbulence](#) Kinetic Energy (TKE) (Conzemius and Fedorovich, 2006). In our case, such modifications in turbulence may affect the evolution of the cloud transition described in previous sections. First, we show in Fig. 7 the relative differences between the terms defined in Eq. 3 as

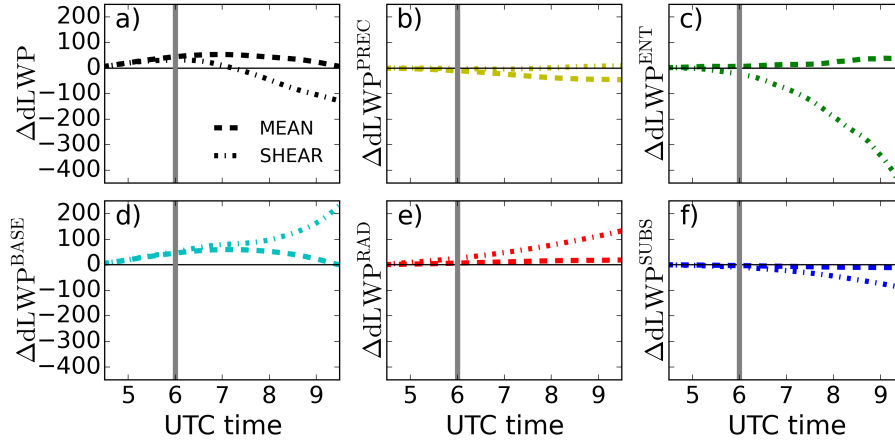


Figure 7. Time series of accumulated differences between MEAN and REF (dashed) and between SHEAR and REF (dotted-dashed) for each term defined in Eq. 2 and calculated following Eq. 4.

part of the LWP budget. Following van der Dussen et al. (2016), we show the accumulated difference, starting at 4 30 UTC, on the LWP tendency due to each term between MEAN or SHEAR and the reference simulation REF. Taking the precipitation contribution PREC as an example, we calculate:

$$\Delta \frac{dLWP}{dt} LWP^{PREC}(t) = \int_{4.30UTC}^t PREC(t') - PREC^{REF}(t') dt' \quad (4)$$

5 and similarly for all the other terms present in Eq. 2.

The presence of a light mean wind (3 m s^{-1}) on the entire domain has only minor effects on the first part of the transition: Figure 7 shows a slightly larger LWP for the MEAN experiment compared to REF. The larger LWP is driven by the increased contribution of the turbulent fluxes at cloud base (BASE) and, particularly the contribution of the moisture flux. For both MEAN and SHEAR it experiments BASE shows a thickening contribution already before sunrise, whereas it was a net thinning contribution in REF experiment. The change in BASE is explained as follows: wind enhances latent heat flux as well as turbulent generation near surface, favoring the transport of moisture to the cloud layer. The enhanced turbulence generation near surface due to the wind, both in MEAN and SHEAR, is visible in the lower part of Fig. 8a. We show there the contributions by the buoyancy and shear terms, B and S respectively, to the TKE tendency budget and the good agreement on surface TKE between our experiments and the observations. Enhanced LWP in nighttime Sc by the presence of wind was also found by (Kazil et al., 2016) Kazil et al. (2016) and attributed to enhanced buoyancy production of TKE due to latent heat release in cloud updrafts. Such findings coincide with the enhanced buoyancy term B for MEAN in Fig. 8a. Precipitation, acting as a negative feedback on LWP, attenuates the effect by BASE in the total tendency of LWP. The remaining terms show little variation between REF and MEAN.

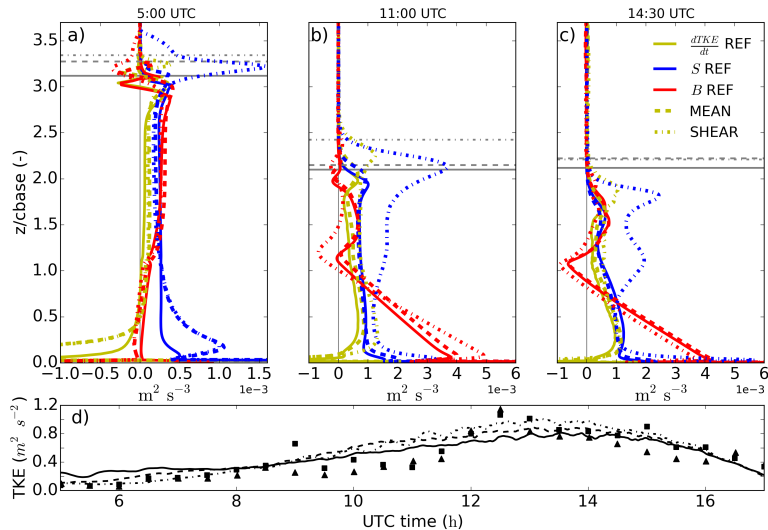


Figure 8. Slab averaged vertical profiles of 20-min averaged turbulent kinetic energy tendency (yellow), shear contribution (blue) and buoyancy contribution (red) for REF (full), MEAN (dashed) and SHEAR (dotted-dashed) at 5:00 (a), 11:00 (b) and 14:30 UTC (c). The height is normalized by cloud base height at the vertical axis. [Following the same line coding, grey horizontal lines indicate cloud top at each time and experiment.](#) In (d) and following the same line settings, time series of the simulated turbulent kinetic energy at 10 m high and, in triangles and squares, as observed by two independent stations at the Savé supersite on 26th June 2016.

Wind shear at the top of the cloud layer introduces larger changes: it is known to enhance TKE locally but with a total negative effect on cloud TKE due to reduced buoyancy production (Wang et al., 2012) and to enhance entrainment at cloud top (Mellado, 2017). Before sunrise, cloud layer LWP as well as cloud base and cloud top heights (Fig. 9d) show small differences between SHEAR and MEAN experiments. SHEAR shows systematic lower LWP (not shown) but a thicker Sc cloud layer, e.g. $\simeq 40$ m thicker before sunrise, due to increased entrainment velocities. Similarly, we also find a turbulent and clear sublayer between the cloud top and the inversion layer top in SHEAR (Fig. 9a). These results agree with the findings by Wang et al. (2008) and McMichael et al. (2019), who studied cloud-top shear effects on marine Sc clouds. Such agreement reinforces the analogy between the night-time Sc cloud studied here before sunrise and the typical marine Sc, given the low values of the surface fluxes.

Although with similar tendencies in the LWP budget before sunrise, the sources for turbulence and, thus, mixing within the cloudy layer are different in MEAN and REF compared to SHEAR. As shown in Fig. 8a, SHEAR shows a much larger contribution by wind shear S to the TKE tendency at cloud top, up to $1.5 \text{ m}^2 \text{ s}^{-3}$ or more than five times the local buoyancy contribution B within the cloud layer. SHEAR also exhibits a slightly lower contribution by buoyancy from cloud top to surface. The larger contribution by S is a consequence of the varying wind speed in the cloud boundary, while the cause for lower B throughout the whole layer lies in the weaker cooling at cloud top (not shown) due to the shear-induced broader

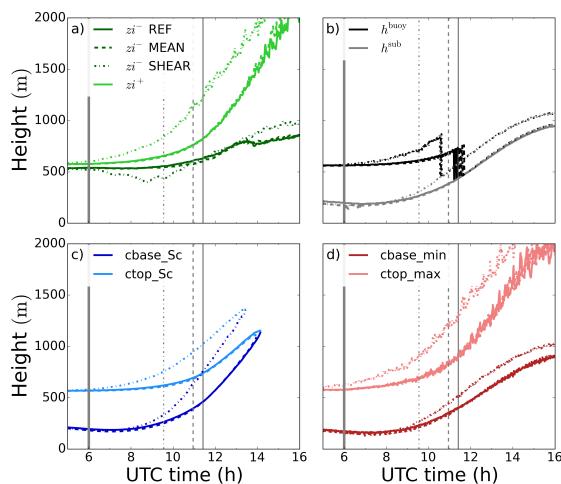


Figure 9. As in Fig. 5 for REF (full), MEAN (dashed) and SHEAR (dotted-dashed).

inversion layer (Mellado, 2017): the inversion layer is more than 80 m thick before sunrise at SHEAR, while is about 40 m in REF and MEAN (see Fig. 9a). The increase in the depth of this layer results in a decrease of the longwave cooling at cloud top, from about -6.1 K h^{-1} in MEAN or REF to -4 K h^{-1} in SHEAR, as the gradients are smoothed and the time air is exposed to the cooling is decreased (Yamaguchi and Randall, 2008). Wang et al. (2008, 2012) also found weaker cooling at cloud top and a thicker inversion layer on sheared Sc.

~~Our findings thus suggest that while mean wind during the night has no major effects, nighttime cloud top shear hampers the cloud growth by reducing the cooling allowed at the cloud top.~~ The little differences between MEAN and REF at cloud top turbulent properties in terms of S and B (Fig. 8a) reinforce the idea that the turbulence generated by wind shear at surface in MEAN needs to be transported up to the top of the well-mixed well-mixed layer to affect entrainment and the overall dynamics of the boundary layer. Yet the traveling turbulence is subject along its rise from surface to cloud top to the turbulent cascade, partly dissipating and having a reduced impact on the entrainment zone (Conzemius and Fedorovich, 2006). On the contrary, local shear at cloud top in SHEAR locally generates turbulence to immediately affect entrainment and boundary layer growth. ~~Thus we find SHEAR to have larger effects in the Sc-Cu transition.~~ We show in the coming section that the presence of shear at cloud top after sunrise promotes a faster breakup of the cloud layer.

3.4.2 Daytime effects

After sunrise the shear effects drive the cloud layer towards lower LWP due to enhanced entrainment of warm air (Fig. 7c). The enhanced entrainment velocity in SHEAR is more visible (h growth as proxy for w_e in Fig. 9b) after the decoupling of the cloud layer and surface between 9 00 and 10 00 UTC (see Table 1). We attribute the increase in w_e not only to the presence of local shear at cloud top, but also to the positive feedback between surface fluxes and cloud thinning (Ghoniya et al., 2016),

further reinforced by wind shear in this case: the slightly lower LWP after sunrise in SHEAR enhances the turbulent fluxes both at surface (Fig. 8b,c) and cloud base (Fig. 7d). Larger daytime turbulence within the cloud layer leads locally to thinning of the inversion layer, allowing for a locally enhanced wind shear (Mellado, 2017) and, thus, further entrainment which will lead to a more negative rate for $\frac{dLWP}{dt}$ and the subsequent increase of surface fluxes. Furthermore, the accelerated growth of the boundary layer in SHEAR leads to a larger moisture difference between the cloud layer and the air above, thus further reinforcing the negative effects of entrainment through additional drying in ENT (Fig. 7) in the tendency of cloud layer LWP.

On the other hand, radiative cooling (RAD) remains a positive contribution for $\frac{dLWP}{dt}$ for longer time (see Fig. 7e). The reason is the thicker integration layer, caused by wind shear, over which RAD is evaluated. This layer ranges from cbase_Sc to inversion layer top (zi^+) for the budget in Eqs. 2,3. As assumed by van der Dussen et al. (2016), zi^+ and ctop_Sc agree quite well for ~~narrow~~-thin inversion layers such as the one during night without shear in REF (see Fig. 5) and the choice is unimportant. The agreement worsens when shear is present, as the inversion layer thickens and ctop_Sc and zi^+ show larger discrepancies (Fig. 9), as also shown by Wang et al. (2012). This thicker layer over which RAD is calculated explains the larger divergence in the net radiative flux between the cloud base and zi^- . Thus, a sensitive point for the discussion is the definition of the limits: one may wonder if the larger contribution to LWP gain of RAD in SHEAR may be an artifact of the boundaries selected for the budget in Eq.2. Using other limits at the top, such as ctop_Sc or cloud top, lead however to a worse closure of the budget.

The negative LWP tendency is hampered in SHEAR by the positive contribution of BASE (Fig. 7d). The increase in BASE is explained as part of the positive feedback stated above: given the lower LWP at sunrise more shortwave radiation reaches the surface, increasing the surface fluxes, specially, the latent heat flux. Thus we deduce that the initial lower LWP in SHEAR accelerates the further thinning and eventual breakup of the cloud layer due to two factors enhancing entrainment: the direct enhancement due to local shear at cloud top, and the indirect one due to larger surface fluxes and boundary layer growth. This is represented in the LWP budget by more negative and positive values for ENT and BASE, respectively.

Figure 9 completes the analysis of wind sensitivity after the decoupling of the cloud layer. In agreement with the previous explanation, MEAN evolves similarly to REF as the wind-driven increase in surface fluxes is negligible compared to the boundary layer dynamics. Larger entrainment velocities accelerate the growth of the boundary layer in SHEAR, as well as the rise of cloud top and cloud base of both the total and Sc cloud layers (Figs. 9c,d). The faster growth of the boundary layer with shear at its top is a well documented feature (Conzemius and Fedorovich, 2006; Liu et al., 2018). The faster-rising cloud layer in SHEAR coincides with an earlier negative buoyancy flux minimum at cloud base and, thus, an earlier decoupling of the cloud layer by almost 2 hours (Table 1). Besides the shear effects the larger surface fluxes due to a lower LWP after sunrise also explain the faster growth of the subcloud layer buoyancy flux minimum. Similarly, the inversion layer grows faster in SHEAR due to both a decreasing zi^- and a growing zi^+ . Following the accelerated processes in SHEAR, the breakup of the cloud layer takes place about 2 hours earlier than in REF.

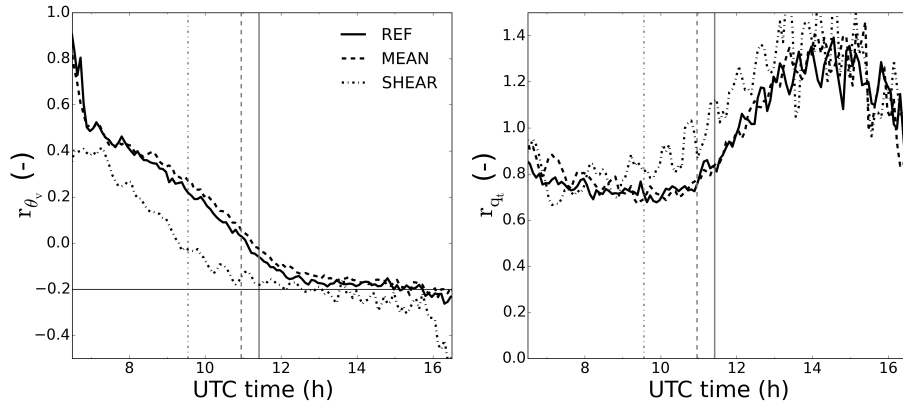


Figure 10. Time series of subcloud layer top to surface buoyancy flux (left) and total moisture flux (right) ratio for REF (full lines), MEAN (dashed) and SHEAR (dotted-dashed). Vertical lines represent cloud breakup for each experiment.

3.5 Representation by large scale metrics

A transition from stratocumulus to shallow cumulus represented as a continuum and over a period spanning several hours, such as the one shown here, poses challenges to coarser resolution models in correctly representing cloud fraction, inversion layer height or thickness as well as buoyancy source(s). To quantify the transition and explain its possible drivers beyond 10

5 00 UTC we calculate two metrics traditionally used in larger scale models: the ratio between subcloud layer top buoyancy flux, i.e. the buoyancy flux evaluated at h^{sub} , and surface buoyancy flux $r_{\theta_v} = \frac{w'\theta_v^{\text{sub}}}{w'\theta_v^{\text{s}}}$ and its analogous for the total moisture flux $r_{q_t} = \frac{w'q_t^{\text{sub}}}{w'q_t^{\text{s}}}$ in Fig. 10. r_{θ_v} is frequently used to parameterize entrainment velocities at boundary layer top, while r_{q_t} provides information on the transport of moisture from surface to cloud and subcloud layer. These two metrics show the impact of the surface fluxes, in terms of buoyancy and moisture, on the boundary layer and capture the dynamics of it.

10 r_{θ_v} shows a linearly decreasing trend, showing the lowering transport of buoyancy to the cloud layer as the cloud-top driven circulation weakens, the surface buoyancy flux grows and so does the slope of the linearly decreasing vertical buoyancy flux. Around 11 00 UTC the sign of r_{θ_v} reverses (see also nearly negative buoyancy flux minimum at h^{sub} in Fig. 4d). This explicitly indicates the decoupling between the cloud layer and the surface. After 12 00 UTC r_{θ_v} approaches the typical ratio of -0.2 for dry CBLs (Stull, 1988) until the decay of turbulence generation at surface by the end of the experiment. Similarly, r_{q_t}

15 presents slightly decreasing values from 0.8 to 0.7 after sunrise. This was also found in other studies of marine Sc by de Roode et al. (2016), mentioning that $r_{q_t} < 1$ implies a net moistening of the subcloud layer. As shown in Fig. 6, the moistening and warming of the cloud layer by turbulent fluxes from the surface almost offsets each other in terms of LWP impact on for the first hours after sunrise. After the shift in h and before the breakup at 11 30 UTC we observe growing values for r_{q_t} **related to increasing latent heat flux at surface** representing a weaker moistening of the subcloud layer. After 12 00 UTC we find

20 values higher than 1, indicating a net drying of the subcloud layer and consequent moistening of the cloud layer by surface evapotranspiration. MEAN shows little variation from REF, reinforcing the small effect of the mean wind in the transition.

Table 1. Values and time of the main features in the stratocumulus to cumulus transition for the three experiments REF, MEAN and SHEAR.

Experiment	Max LWP (g m^{-2})	Start of convective phase	$\frac{d \text{LWP}}{dt} < 0$ time	Decoupling time ($\overline{w'\theta_v^{sub}} < 0$)	$h = h^{\text{sub}}$ time	Breakup time ($cc < 1$)	CBL time ($r_{\theta_v} < -0.15$)
REF	174.4	6 55 UTC	7 07 UTC	11 05 UTC	11 08 UTC	11 25 UTC	13 02 UTC
MEAN	185.6	6 51 UTC	7 06 UTC	11 14 UTC	11 23 UTC	10 58 UTC	12 09 UTC
SHEAR	173.9	6 53 UTC	6 44 UTC	9 19 UTC	10 28 UTC	9 33 UTC	10 43 UTC

The only remarkable difference is a one hour delay in reaching values of r_{θ_v} near typical CBL of -0.2 , due to the fact under convective conditions that mean wind may hamper the turbulent updrafts from the surface to the boundary layer top, thus reducing the related entrainment (Liu et al., 2018). SHEAR shows a qualitatively similar pattern to REF after sunrise with an earlier shift on the sign of r_{θ_v} of about two hours. Afterwards, r_{θ_v} reaches values lower than -0.2 in SHEAR. This suggests
5 that as found by Conzemius and Fedorovich (2006), the buoyancy entrainment flux is enhanced compared to clear CBLs.

4 Conclusions

Based on observations of the DACCIWA project in southern West Africa we designed a numerical experiment to reproduce the transition from nighttime stratocumulus to daytime cumulus clouds over land. Special emphasis is placed on the the stratocumulus deck breakup and the role of the surface and boundary-layer processes. This was done by means of a Large Eddy
10 Simulation with an interactive radiation scheme and a plant-mechanistic land surface model, allowing for coupled responses of radiative profiles and surface fluxes to changes in the thermodynamic fields and surface conditions. Numerical experiments were evaluated against a complete set of observations.

We quantified the transition in terms of inversion layer height and thickness, cloud-top and cloud-base heights and boundary layer height. These metrics remain largely constant over time during the night and similar to typical marine stratocumulus
15 clouds, and start diverting from these values a few hours after sunrise. The main drivers are the increased entrainment due to the enhanced turbulence driven by the surface fluxes and, to a lesser extent, the shortwave radiative warming at cloud top. We further showed how temperature, vertical velocity distributions and buoyancy and radiative fluxes vary during the transition period. Notable features during the transitions are the decoupling of the cloud layer by 11 UTC supported by 1) two independent well mixed layers seen in the temperature profiles and 2) a negative subcloud buoyancy flux minimum. The radiative fluxes
20 shift from exerting a net cooling effect to a warming within the cloud layer which, in addition to the warming by entrainment, leads to the mentioned decoupling.

We further described and quantified the varied physical processes that maintain and thin the stratocumulus cloud layer using the LWP budget (van der Dussen et al., 2016). The radiative term is the most dominant process contributing to LWP increase during nighttime, while its contribution decreases after sunrise and becomes a sink of LWP due to increasing shortwave radi-
25 ation warming. Subsidence has a negative and fairly constant contribution to the budget during the whole transition. Precipitation

and cloud base fluxes, the latter driven by the cloud top cooling circulation, have almost no effects during the night. As the day progresses, the moisture flux from the surface contributes increasingly to the growth of LWP. Entrainment has a negative and nearly constant contribution during night. After sunrise, the entrainment induced LWP thinning intensifies due to cloud layer rise and the increasing moisture difference between the cloud layer and the air above.

- 5 Lastly we investigated the effect of wind on the transition: two additional experiments were performed along with the windless reference experiment: one experiment with a mean wind of 3 m s^{-1} at all heights and another with an additional wind jump at cloud-top of 5 m s^{-1} and further increase of $5 \text{ m s}^{-1} \text{ km}^{-1}$ above. The geostrophic wind was assumed to be identical to the prescribed wind in each experiment. The aim was to represent the main features of a recurrent low level jet observed in the region during the nighttime and morning. We found the mean wind to have almost no impact on the transition.
- 10 However, the shear at cloud top had larger effects. Before sunrise, the inversion layer was thicker and the TKE generation by shear higher at cloud top at the expense of lower generation by buoyancy. These features are typical of sheared marine Sc. After sunrise, shear accelerated cloud thinning, boundary layer growth and the transition to a convective boundary layer. This was due to the direct effect of shear on entrainment growth similar to clear convective boundary layers, but also to the enhanced surface fluxes as cloud layer thinned faster. The related enhanced entrainment contributed to a faster thinning of the
- 15 cloud layer, leading to a breakup two hours earlier than the no-wind experiment.

We calculated widely-used relationships that characterize the prototypical clear and cloudy boundary layer to determine their ability in reproducing the transition. We find that the ratio between the subcloud layer entrainment and the surface turbulent buoyancy fluxes r_{θ_v} decreases linearly with time during the transition, starting from initial values of $r_{\theta_v} = 1$ and reaching typical dry convective values of -0.2 about one hour after the Sc deck break up at about 12 30 UTC. The analogous moisture ratio shows a slight decrease from 0.8 to 0.7 until the shift in buoyancy flux minimum. After the shift, r_{q_t} increases reaching values above 1, thus moistening the cloud layer. Mean wind leaves the transition representation by r_{θ_v} and r_{q_t} unaffected, except for a 1 hour delay in reaching CBL values for r_{θ_v} . In contrast, the presence of cloud top shear accelerates by 2 hours the evolution of both r_{θ_v} and r_{q_t} . Furthermore, r_{θ_v} reaches values more negative than -0.2 after breakup. These findings reveal the relevance of the land-atmosphere feedbacks on the stratocumulus thinning and cloud transition, and the impact of wind on

20 it.

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Code and data availability. The data obtained during the DACCIWA campaign at Savè supersite is available on the SEDOO database (<http://baobab.sedoo.fr/DACCIWA/>) (Derrien et al., 2016; Handwerker et al., 2016; Kohler et al., 2016). The DALES code is freely available for download at <https://github.com/dalesteam/dales>.

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Competing interests. T

he authors declare that they have no conflict of interests.

Appendix A: Appendix A. List of symbols

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Table A1. TEXT

Variable	Name	Units	Variable	Name	Units
B	Buoyancy term in TKE tendency equation	$\text{m}^2 \text{s}^{-3}$	γ	$\frac{\partial q_s}{\partial T}$	$\text{g}_w \text{Kg}^{-1}$
Bo	Bowen ratio	(-)	Γ_{qt}	$g\eta \left(\frac{q_s}{R_d T} - \frac{\gamma}{c_p} \right)$	
cc	Cloud cover	(-)	δF_{rad}	Difference in net radiation between z_i^+ and $cbase_Scu$	W
e_p - c_{fra}	dry air specific heat <u>Cloud fraction</u>	$\text{J kg}_a^{-1} \text{K}^{-1}$ (-)	δP	Difference in precipitation between z_i^+ and $cbase_Scu$	$\text{g}_w \text{g}_a^{-1}$
$cbase_Sc$ - c_p	Stratocumulus cloud base height <u>Dry air specific heat</u>	$\text{m J kg}_a^{-1} \text{K}^{-1}$	Δq_t	q_t jump along inversion layer	g_w
$ctop$ $cbase_Sc$	Stratocumulus cloud top <u>base</u> height	m	$\Delta \theta_l$	θ_l jump along inversion layer	
D - $ctop_Sc$	cloud layer depth <u>Stratocumulus cloud top height</u>	m	η	Thermodynamic factor (see van der Dussen et al. (2014))	
F - D	Net radiative flux <u>Cloud layer depth</u>	$\text{W m}^{-2} \text{m}$	θ	Potential temperature	
F_0 F	Net radiative flux at surface	W m^{-2}	θ_l	Liquid water potential temperature	
g - F_0	gravitational acceleration <u>Net radiative flux at surface</u>	m s^{-2} W m^{-2}	θ_v	Virtual potential temperature	
h - g	Boundary layer height <u>Gravitational acceleration</u>	m m s^{-2}	Π	exner <u>Exner</u> function	
h^{sub} - h	Subcloud <u>Boundary</u> layer height	m	ρ	air <u>Air</u> density	Kg
h^{sub}	<u>Subcloud layer height</u>	<u>m</u>			
LE	Latent heat flux	W m^{-2}			
LWP	Liquid Water Path	$\text{g}_w \text{m}^{-2}$			
q_l	<u>Liquid water mixing ratio</u>	<u>$\text{g}_w \text{Kg}_a^{-1}$</u>			
q_s	Saturation specific humidity	$\text{g}_w \text{Kg}_a^{-1}$			
q_t	Total specific humidity <u>water mixing ratio</u>	$\text{g}_w \text{Kg}_a^{-1}$			
Rd	dry <u>Dry</u> air gas constant	J $\text{kg}_a \text{K}^{-1}$			
$Rnet$	<u>Net radiation at surface</u>	<u>W m^{-2}</u>			
r_ϕ	Subcloud to surface $\overline{w'\phi'}$ ratio	(-)			
S	Shear term in TKE tendency equation	$\text{m}^2 \text{s}^{-3}$			
SH	Sensible heat flux	W m^{-2}			
S_w	Skewness	(-)			
T	Temperature	K			
U	Horizontal windspeed	m s^{-1}			
w_e	Entrainment velocity	m s^{-1}			
w_{subs}	Subsidence	m s^{-1}			
$\overline{w'\phi'}$	Turbulent flux of Φ	$\text{m s}^{-1}[\Phi]$			
$\overline{w'\phi'}^b$	Turbulent flux of Φ at cloud base	$\text{m s}^{-1}[\Phi]$			
z_i^+	Inversion layer top height	m			
z_i^+ - z_i^-	Inversion layer bottom height	m			