# **Point-to-point responses to the comments:**

The authors addressed most of my concerns. However, I think there are still a few small issues:

*Response:* Many thanks to the reviewer for the comments and suggestions. Here I describe the point-to-point responses to the comments and questions.

1. Verb tense consistency in the paper is still sometimes an issue.

*Response*: The past tense is used in the descriptions for the experiments, and the present tense is used in the general narration. We have carefully checked and revised the full text again.

2. More importantly, though, I am still a bit confused by the statements about the PM emissions being higher for hot start state with respect to cold start state, that's a bit counterintuitive for me. It would be good to comment on some possible explanations for these statements/findings. For example, at line 471 the authors mention: "The PM emission was the highest under the hot stabilized running state, followed by those under the hot start, cold start" (this is mentioned also in other parts of the paper) but looking at figures 3 and 4, it seems to me that during the cold start state the vehicle emitted substantially more particles than during the hot start state. Am I misinterpreting the sentences, or am I misreading the figures, or is there a contradiction? Anyway, it would be good to clarify this and to provide a potential explanation.

*Response*: Many thanks for pointing out the misleading description. Our results showed that the total PM emissions under hot start state were slightly higher than that of under the cold start state. The higher emissions under hot start state may be ascribed to the conducting time of the vehicle engine. The hot start test was conducted within 5 mins after the cold start test. PM emissions from GDI vehicles are relatively less affected by ambient temperature for engines warmed up for 30 min (Cotte et al., 2001). Therefore, the emissions under the hot start could be higher than, actually close to, that under the cold start state.

The particles shown in Figures 3 and 4 were only those in the size range of accumulation mode. Although the total PM emission were higher under hot start state than that under the cold start state, the comparison of particles in the size range of accumulation mode indicated that the emissions for particles in this size mode were higher under the cold start state than under the hot start state (Figures 3, 4). Under the cold start state, larger proportions of particles were present in the size range of accumulation mode particles. This can be attributed to the less efficiency of the vaporization of fuel droplets in the combustion cylinder under the cold start state (Chen et al., 2017).

In the revision, we added some explanations for these statements/findings in lines 296-308: "The higher emission of particle in term of number for the GDI vehicle under the hot start state can be ascribed to the experimental time of the vehicle engine. The hot start test in this study was conducted within 5 mins after the cold start test. The PM emissions from GDI vehicles were relatively less affected by ambient temperature for the initial 30 minutes during the warming up of the engines (Cotte et al., 2001). This may lead to the high value of the PM emission for the hot start state which is slightly higher than that for the cold start state. Although the total PM emission were higher under hot start state than that under the cold start state, the comparison of those in the size range of accumulation mode indicates that the particulate emissions for this mode of particles were higher under the cold start state than under the hot start state (Fig. 3). This can be attributed to the less efficiency of the vaporization of fuel droplets in the combustion cylinder under the cold start state (Chen et al., 2017)."

**References:** 

Cotte, H., Bessagnet, B., Blondeau, C., Mallet-Hubert, P.Y., Momique, J.C., Walter, C., Boulanger, L., Deleger, D., Jouvenot, G., Pain, C., and Rouveirolles, P.: Cold-start emissions from petrol and diesel vehicles according to the emissions regulations (from Euro 92 to Euro 2000), Int J Vehicle Des, 27, 275-285, 10.1504/IJVD.2001.001971, 2001.

Chen, L., Liang, Z., Zhang, X., and Shuai, S.: Characterizing particulate matter emissions from GDI and PFI vehicles under transient and cold start conditions, Fuel, 189, 131-140, http://dio.org/10.1016/j.fuel.2016.10.055, 2017.

3. One of my previous comment was regarding the limitation of the study and the generality of the conclusions having the data being collected from only one vehicle. The authors partially addressed this in their response but did not make any change in the paper that really addresses this issue (if not just mentioning that doing TEM is time-consuming, that is an explanation of why they did what they did, but it does not address the fact that is still a limitation of the study). I think it would be beneficial and honest to clearly point out this caveat in the paper, specifically in the abstract and in the conclusions.

*Response*: We fully agree with the comments on the limitation of the present results.

To make this further clearer, in the revision, we added descriptions on this limitation in several places including the abstract and conclusion: we have added "a commercial GDI-engine gasoline vehicle" in line 39, line 489, line 492, line 494 and line 499.

We also mentioned this limitation in lines 241-246: "There are differences in emissions from vehicles to vehicles, even for vehicles with same model engines. Only one GDI vehicle, the type of which constitutes the majority of light-duty vehicles in China, was tested in this study. The representativeness of the present results remains unevaluated carefully with, such as, comparisons between vehicles to achieve broader statistical results, although the tests in the present studies were conducted under strict control conditions."

4. A final technical question: At line 393 the authors mention: "... transformation of primary particles emitted by the GDI-engine vehicles could occur within 3.5 hours", however, earlier they mentioned that the equivalent aging time they subjected their particles to in the chamber was ~10 days (line 205), so how do the authors reconcile these two statements? Please clarify.

*Response*: The "3.5 hours" is for the chamber experiment and the "~10 days" is for the ambient atmospheric conditions. In the chamber, the aging or transformation of primary particles emitted by the GDI-engine vehicles could occur within 3.5 hours. The aging

experiments for the gasoline exhausts were carried out with a relatively high OH exposure compared to ambient conditions. The OH exposure at the end of the experiments reproduced extreme oxidation processes, which were equivalent to cases of an oxidation more than 10 days in ambient air.

In order to clarify this meaning, in lines 202-208, we rephrased the sentence "Assuming the 24 hr mean concentration of 10<sup>6</sup> OH molecules cm<sup>-3</sup> in Beijing (Lu et al., 2013), the OH exposure at the end of the experiments reproduced extreme oxidation processes in the atmosphere, which is equivalent to cases of an oxidation more than 10 days." into "The OH exposure at the end of the experiments reproduced extreme oxidation processes, which were equivalent to cases of an oxidation more than 10 days in Beijing ambient air if the 24-hour-mean concentration of OH is 10<sup>6</sup> molecules cm<sup>-3</sup> (Lu et al., 2013)."

# A list of all relevant changes made in the manuscript:

- 1. Line 28, 44, 56-60, 196, 230, 239-240, 276-278, 283, 365, 376, 447, 456-464 and 469-471: We revised the verb tense.
- 2. Line 39: We added "... a commercial GDI-engine gasoline vehicle..."
- 3. Line 202-207: We rephrased "Assuming the 24 hr mean concentration of 10<sup>6</sup> OH molecules cm<sup>-3</sup> in Beijing (Lu et al., 2013), the OH exposure at the end of the experiments reproduced extreme oxidation processes in the atmosphere, which is equivalent to cases of an oxidation more than 10 days." as "The OH exposure at the end of the experiments reproduced extreme oxidation processes, which were equivalent to cases of an oxidation more than 10 days in Beijing ambient air if the 24-hour-mean concentration of OH is 10<sup>6</sup> molecules cm<sup>-3</sup> (Lu et al., 2013)."
- 4. Line 296-308: we added "The higher emission of particle in term of number for the GDI vehicle under the hot start state can be ascribed to the experimental time of the vehicle engine. The hot start test in this study was conducted within 5 mins after the cold start test. The PM emissions from GDI vehicles were relatively less affected by ambient temperature for the initial 30 minutes during the warming up of the engines (Cotte et al., 2001). This may lead to the high value of the PM emission for the hot start state which is slightly higher than that for the cold start state. Although the total PM emission were higher under hot start state than that under the cold start state, the comparison of those in the size range of accumulation mode indicates that the particulate emissions for this mode of particles were higher under the cold start state than under the hot start state (Fig. 3). This can be attributed to the less efficiency of the vaporization of fuel droplets in the combustion cylinder under the cold start state (Chen et al., 2017)."
- 5. Line 39, line 489, line 492, line 494 and line 499: we added "a commercial GDI-engine gasoline vehicle".
- Line 571: We added a reference "Cotte, H., Bessagnet, B., Blondeau, C., Mallet-Hubert, P.Y., Momique, J.C., Walter, C., Boulanger, L., Deleger, D., Jouvenot, G., Pain, C., and Rouveirolles, P.: Cold-start emissions from petrol and diesel vehicles according to the emissions regulations (from Euro 92 to Euro 2000), Int J Vehicle Des, 27, 275-285, 10.1504/IJVD.2001.001971, 2001."

1	Μ	orphology and size of the particles emitted from a gasoline						
2	di	rect injection-engine vehicle and their ageing in an						
3	en	vironmental chamber						
4								
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20	(1	Daizhou Zhang)						
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23	Hi	ghlights						
24	1.	GDI-engine vehicles emitted a large amount of both primary and secondary organic						
25		aerosols.						
26	2.	Higher contents of organic particles were emitted under hot stabilized running and						
27		hot start states.						
28	3.	Sulfate and secondary organic aerosol formed on the surface of primary particles						
29		after ageing.						
30	4.	Particles aged rapidly by catalyzed acidification under high pollution levels in						
31		Beijing.						
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# 35 Abstract:

Air pollution is particularly severe in developing megacities, such as Beijing, 36 where vehicles equipped with modern gasoline direct injection (GDI) engines are 37 38 becoming one of major sources of the pollution. This study presents the characteristics of individual particles emitted by a GDI gasoline vehicle and their ageing in a smog 39 40 chamber under the Beijing urban environment, as part of the Atmospheric Pollution & Human Health (APHH) research programme. Using transmission electron microscopy, 41 we identified the particles emitted from a commercial GDI-engine vehicle running 42 under various conditions, namely, cold start, hot start, hot stabilized running, idle, and 43 acceleration states. Our results showed that most of the particles were organic, soot and 44 Ca-rich ones, with small quantities of S-rich and metal-containing particles. In terms of 45 46 particle size, the particles exhibited a bimodal distribution in number vs size, with one mode at 800-900 nm, and the other at 140-240 nm. The amounts of organic particles 47 emitted under hot start and hot stabilized states were higher than those emitted under 48 other conditions. The amount of soot particles was higher under cold start and 49 50 acceleration states. Under the idle state, the proportion of Ca-rich particles was highest, although their absolute number was low. In addition to quantifying the types of particles 51 52 emitted by the engine, we studied the ageing of the particles during 3.5 hours of 53 photochemical oxidation in an environmental chamber under the Beijing urban 54 environment. Ageing transformed soot particles into core-shell structures, coated by secondary organic species, while the content of sulfur in Ca-rich and organic particles 55 56 increased. Overall, the majority of particles from GDI-engine vehicles are were organic and soot particles with submicron or nanometric size. The particles <u>are-were highly</u>
reactive; they react<u>ed</u> in the atmosphere and change<u>d</u> their morphology and composition
within hours via catalyzed acidification that involve<u>ds</u> gaseous pollutants at high
pollution levels in Beijing.

61

## 62 1. Introduction

63 Air pollution caused by PM2.5 in megacities such as Beijing, the capital city of China, is of public and academic concerns due to its environmental impacts (Bond et 64 al., 2013; Huang et al., 2014; Liu et al., 2017) and adverse health effects (Chart-asa and 65 Gibson, 2015; Shao et al., 2017). Motor vehicle emissions are one of the most 66 significant sources of airborne particles in the urban atmosphere (Hwa and Yu, 2014), 67 and contribute up to 31% of primary particulate emissions of PM2.5 in Beijing (Yu et 68 al., 2013). Moreover, secondary aerosol formation associated with traffic emissions is 69 70 a major process leading to the rapid increase of PM2.5, which results in severe haze episodes (Huang et al., 2014). Although emissions from gasoline engines are relatively 71 lower than those from diesel engines (Alves et al., 2015), the number of gasoline-72 powered vehicles in urban areas greatly exceeds that of diesel-powered vehicles. The 73 74 total number of vehicles in China reached 310 million in 2017, about 70% of these were powered by gasoline engines (National Bureau of Statistics of China, 2018). There are 75 76 two main types of gasoline engines, namely, conventional multipoint port fuel injection (PFI) engines and gasoline direct injection (GDI) engines. In recent years, the demand 77 for engines with high efficiency and low fuel consumption has led to an increasing use 78

of GDI engines in light-duty passenger cars. The market share of GDI-engine vehicles 79 has increased dramatically over the past decade and was estimated to reach 50% of new 80 gasoline vehicles sold in 2016 (Zimmerman et al., 2016). In Beijing and northern China, 81 82 the vehicle emissions become a more concerning issue in terms of air pollution when the emission from coal combustion are seriously reduced after the Action for 83 Comprehensive Control of Air Pollution in Beijing since 2017 (Chang et al., 2019; 84 85 Chen et al. 2019; Zhang et al. 2019). In spite of this, regional transport of coal-burning emissions from the surrounding areas can still influence the urban air sometimes 86 severely in winter (Ma et al., 2017; Zhang et al., 2019). 87

The number, mass and size distribution of particles emitted from GDI-engine 88 vehicles have been studied (Khalek et al., 2010; Maricq et al., 2011; Baral et al., 2011). 89 90 The size distribution usually has an accumulation mode with the maxima in the diameter range of 100-300 nm. Major components of the particles include elemental 91 92 carbon (EC), organic carbon, and ash (Giechaskiel et al., 2014). Besides particulate matter, the engines emit gaseous hydrocarbon compounds. These compounds might 93 form particles, or be adsorbed on the surface of particle aggregates, leading to the 94 growth of the particles in the engine emission (Luo et al., 2015). Relatively high particle 95 96 emissions by GDI-engine vehicles have prompted studies on the effects of engine 97 operating parameters and fuel composition on the characteristics of the particles (Hedge 98 et al., 2011; Szybist et al., 2011). It has been found that, in general, emissions under the cold start condition make up the major contribution to the total amount of PM emissions 99 100 from GDI engines (Chen and Stone, 2011). Studies have also demonstrated that the highest particle emissions from GDI engines in number concentration occur under theacceleration state during transient vehicle operations (Chen et al., 2017).

Studies have also shown that gasoline vehicles are an important source of 103 secondary aerosol precursors in urban areas (Suarez-Bertoa et al., 2015). Secondary 104 aerosols can be formed via gas-phase reactions of volatile organic compounds and 105 106 multiphase and heterogeneous processes of primary particles (Zhu et al., 2017). Experiments performed in environmental chambers demonstrated that the mass of 107 secondary aerosols derived from precursors could exceed that of directly-emitted 108 aerosols (Jathar et al., 2014). The occurrence of secondary aerosols on particles could 109 change the properties of particles in size, mass, chemical composition, morphology, 110 optical and hygroscopic parameters. These changes, in turn, might affect the 111 112 environmental impact of the particles significantly, for instance in terms of visibility, human health, weather, and energy budgets (Laskin et al., 2015; Peng et al., 2017). In 113 general, the ageing processes of primary particles in the atmosphere are studied to 114 understand their climate effects (Niu et al., 2011). However, the lack of data on primary 115 particles emitted by gasoline engines hinders a deep understanding of the roles and 116 activities of the particles in ambient air pollution and relevant environmental effects. 117

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Atmospheric Pollution & Human Health (APHH) research programme aimed to
explore the sources and processes affecting urban atmospheric pollution in Beijing.
Details regarding this project are given in Shi et al. (2018). To address one of the aims
of the AIRPOLL-Beijing (Source and Emissions of Air Pollutants) and AIRPRO-
Beijing (The integrated Study of AIR Pollution Processes), we employed a dedicated
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experiment to investigate the characteristics of the individual particles, in terms of the 123 number concentration, size distribution, emitted from a GDI-engine vehicle during a 124 real-world driving cycle for chassis dynamometer test, i.e., the Beijing driving cycle 125 126 (BDC). Various test modes were introduced to accurately evaluate the emission from light- or medium-duty vehicles. Furthermore, experiments were conducted in an 127 128 environmental chamber to investigate the ageing processes of particles emitted by GDIengine vehicles in ambient air in Beijing. We utilized a transmission electron 129 microscope equipped with an Oxford energy-dispersive X-ray spectrometer (TEM-130 EDX) to identify the morphology, size and elemental composition of particles emitted 131 by the GDI-engine vehicle when it was running under different states. Particles before 132 and after a 3.5-hour ageing in the chamber were compared on the basis of the TEM-133 EDX analysis. The TEM-EDX analysis provides the information on the internal 134 inhomogeneity, mixing state and surface characteristics of individual particles and has 135 136 been used to analyze the aerosol particles (Li and Shao, 2009; Loh et al., 2012; Adachi and Buseck, 2015; Shao et al., 2017). The experimental design allows for the study of 137 the physical and chemical characteristics of the particles emitted from the GDI-engine 138 vehicles, as well as their ageing in a simulated urban atmosphere. The purposes of this 139 140 study are to evaluate the individual characteristics and the ageing process of primary 141 particles emitted by a GDI-engine vehicle, to investigate the ageing processes of such particles in the atmosphere, and to deepen the understanding of the environmental 142 impact of gasoline-powered vehicle emissions. 143

### 144 2. Material and methods

### 145 2.1 Test vehicle, fuels, and test procedure

The GDI-engine vehicle utilized in the experiment complies with the China Phase 146 147 4 (equivalent to Euro 4) standard. It uses a three-way catalyst to reduce gaseous emissions. The GDI (model GDI-1.4-T) in the test vehicle is recognized as a 148 representative of leading-edge designs of gasoline engines, because of its advanced 149 engine technologies such as its better fuel burning efficiency and lower greenhouse gas 150 emissions than other types of engine. Vehicles equipped with such GDI engines 151 152 constitute the majority of light-duty vehicles in China, especially in large cities like Beijing. Details of the engine used in this study are listed in Table S1. The fuel used in 153 154 the experiment is a commercial gasoline blend of common quality in China. The properties of the fuel were measured by SGS-CSTC Standards Technical Services Co., 155 Ltd., China, and are listed in Table S2. The fuel has a Research Octane Number (RON) 156 of 93 and is a fifth-stage gasoline. It contains 36.7% of aromatics and 15.4% of olefins 157 in volume and has 6% of sulfur in mass, representing a typical fifth-stage gasoline in 158 159 China (with high aromatics) and is now widely used in Beijing. The experiments were conducted within repeated Beijing Driving Cycles (BDCs), and one BCD included a 160 161 200-s "cold start" phase followed by an 867-s "hot stabilized running" phase. The conditions during a BDC in the experiments are illustrated in Figure S1a. The cold start 162 state was achieved by starting the vehicle with a period of small accelerations, while 163 the hot stabilized running state had multiple periods of large acceleration and a 164 maximum velocity of 50 km h<sup>-1</sup>. The BDC, characterized by a higher proportion of 165 166 idling periods and a lower acceleration speed than the New European Driving Cycle 167 (NEDC), was performed to simulate the repeated braking and acceleration on road in168 megacities such as Beijing.

All tests were performed on a Euro 5/LEV2/Tier 2-capable test cell on a 48-inch 169 170 single-roll chassis dynamometer at the State Key Laboratory of Automobile Safety and Energy Conservation at Tsinghua University. The test procedure for each run was as 171 172 follows: fuel change, BDC preparation, soak, cold start BDC test, and hot start BDC 173 test. After fuel change and BDC preparation, the test vehicle was then conditioned with an overnight soak for more than 10 h. The soak room temperature was maintained 174 between 20 and 30 °C. Due to the limitation of the facilities and available running time, 175 a hot start test was conducted within 5 mins after the cold start test. A dilution unit was 176 applied to dilute the exhaust from the tailpipe into 1/10 in volume using synthetic air 177 composed of 79% N2 and 20% O2, in order to obtain the concentrations suitable for 178 subsequent measurements and suppress possible coagulation. The number 179 180 concentration of the emitted particles was monitored by a Combustion Fast Particle Size Spectrometer Differential Mobility Spectrometer 500 (DMS 500). The maximum 181 measurable number concentration of DMS 500 was 10<sup>11</sup> (dN/dlogDp/cc) after the 182 dilution (Petzold et al., 2011). For the analyses of individual particles, 6-8 samples 183 were collected during one BDC test. At least one sample was collected under each 184 185 running state (i.e. cold start, hot start, idle state, acceleration state, or hot stabilized running state). The driving cycle test was repeated at least twice. Two or more samples 186 were obtained for each running state. A single-stage cascade impactor (KB-2, Qingdao 187 Jinshida Company) was mounted to the exit of the tailpipe after the dilution unit. The 188

189	emitted particles were collected onto 300-mesh copper TEM grids, which were covered
190	with a carbon-coated formvar film. The flow rate was 1.0 L min <sup>-1</sup> , and the cut-off
191	diameter of the impactor for 50% collection efficiency was 0.25 $\mu m$ if the density of
192	the particles was 2 g cm <sup>-3</sup> . For each sample, the collection time was 60 s.

193 2.2 Environmental chamber experiments

Particles from the GDI-engine vehicle were introduced into an environmental 194 chamber and exposed to sunlight. The chamber, made of perfluoroalkoxy (PFA) Teflon 195 196 in order to achieve a high transmission of ultraviolet light, had has an internal volume 197 of 1.2 m<sup>3</sup>. Ambient sunlight was used as the driving force for photochemical reactions in the chamber, in an environment close to actual open air. Before the experiments, the 198 chamber was cleaned by flushing with zero air for approximately 12 hours and 199 illuminated with sunlight, to remove residues that could influence the experiments. 200 H<sub>2</sub>O<sub>2</sub> (1 mL, 30%), together with the vehicle emission, was injected into the chamber 201 202 to generate OH exposure. The OH exposure at the end of the experiments reproduced extreme oxidation processes, which were equivalent to cases of an oxidation more than 203 204 10 days in Beijing ambient air if the 24-hour-mean concentration of OH is 10<sup>6</sup> molecules cm<sup>-3</sup> (Lu et al., 2013). Assuming the 24 hr mean concentration of 10<sup>6</sup> OH 205 206 molecules cm<sup>-3</sup> in Beijing (Lu et al., 2013), the OH exposure at the end of the 207 experiments reproduced extreme oxidation processes in the atmosphere, which is 208 equivalent to cases of an oxidation more than 10 days The aging experiments for the gasoline exhausts were carried out with a relatively high OH exposure compared to 209 ambient conditions in order to obtain the aging process. This method and the amount 210 211 of H<sub>2</sub>O<sub>2</sub> have been frequently used in smog chamber experiments (Song et al., 2007;

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212	Song et al., 2019). After the injection, the experiments were conducted from
213	approximately 13:00 to 17:00 local time under sunshine, with the relative humidity
214	being kept at around 50%. The global solar radiation when the tests were carried out
215	was approximately 318 W m <sup>-2</sup> . After 3.5 h of ageing, the particles in the chamber were
216	collected onto mesh TEM grids using the impactor. The collection time for each sample
217	was 120 s. The schematic diagram of the experimental system is presented in Figure
218	S1b.

2.3 TEM/EDX and scanning transmission electron microscopy (STEM) analyses 220 The particles in the samples were examined using a Tecnai G2 F30 field emission 221 high-resolution transmission electron microscope (FE-HRTEM). This microscope is 222 also equipped with an Oxford EDX and a STEM unit with a high-angle annular dark-223 field detector (HAADF). The EDX can detect elements with the atom number larger 224 than 5 (B) in a single particle. The HAADF can detect the distribution of a certain 225 element by mapping the distribution of the element in a particle. The TEM was operated 226 227 with the acceleration voltage of 300 kV. EDX spectra were firstly collected for 20 live seconds to minimize the influence of radiation exposure and potential beam damage 228 229 and then for 90 live seconds for a range of possible elements. Copper was excluded from the analysis because of interference from the TEM grids which are were made of 230 231 copper.

To ensure the representativeness of the analyzed particles, more than 150 particles from at least 3 random areas were analyzed from the center and periphery of the sampling spot on each grid. All individual particles larger than 50 nm in the selected

235	areas were analyzed. The TEM images were digitized using an automated fringe image
236	processing system named Microscopic Particle Size of Digital Image Analysis System
237	(UK) to project the surface areas of the particles. The equivalent spherical diameter of
238	a particle was calculated from its projected area, expressed as the square root of 4 A/ $\pi$ ,
239	where A is-was the projected area. The electron microscope analysis of individual
240	particles is was very time consuming, which hindered us from analyzing more particles
241	from multiple engines emission. There are differences in emissions from vehicles to
242	vehicles, even for vehicles with same model engines. Only one GDI vehicle, the type
243	of which constitutes the majority of light-duty vehicles in China, was tested in this study.
244	The representativeness of the present results remains unevaluated carefully with, such
245	as, comparisons between vehicles to achieve broader statistical results, although the
246	tests in the present studies were conducted under strict control conditions.

# 247 **3. Results**

## 248 3.1. Particle morphology, elemental composition and size

A total of 2880 particles were analyzed from the GDI-engine vehicles. Most of the 249 250 particles were in the sub-micrometer size range. Based on morphology and elemental composition of the particles, the majority of them were identified as soot, organic and 251 Ca-rich particles, a smaller amount was identified as S-rich or metal-rich particles (Fig. 252 1). The method of particle classification is similar to that adopted by Okada et al. (2005) 253 254 and Xing et al. (2019). In the following description, "X-rich" means that the element 255 "X" occupies the largest proportion in the element composition of the particles. Figure 2 illustrates the number-size distributions of the relative concentration (dN/dlogD) of 256 257 primary particles from the GDI-engine vehicle, where N is the relative number fraction

258 and D is the equivalent diameter. The particles were in the range of 60-2500 nm and displayed a bimodal distribution, with one mode in the 140-240 nm range, and another 259 in the 800-900 nm range. Particles smaller than 250 nm were largely underestimated 260 261 because of the loss during the particle collection. Therefore, there should have been more particles in the smaller mode range than shown in Figure 2. Concerning the loss 262 263 of small particles, we measured the size distribution by the DMS500 (Fig. S2). The results showed that a large amount of nucleation mode particles were emitted by the 264 GDI vehicle. 265

It should be noted that organic particles were mainly composed of C and O 266 elements, and contained a small amount of inorganic elements Ca, P, S and Zn. 267 Elemental mapping of the organic particles exhibited the presence of Ca, P, S and Zn in 268 269 some of the particles, showing the mixture state of organic and inorganic materials (Fig. 1f). It has been reported that such particles could be related to the combustion of fuels 270 271 or lubrication oil (Rönkkö et al., 2013). In addition to these primary organic particles, the GDI-engine vehicle emitted precursor gases, which produced secondary organic 272 particles via gas-phase reactions, and multiphase and heterogeneous processes on the 273 primary particles. A group of spherical particles were found in the environmental 274 275 chamber (Fig. 1g). These particles became semi-transparent or transparent to an 276 electron beam, which is-was characteristic of organic materials, liquid water, or their 277 evaporation residues either mixed or not mixed with electron absorptive materials. We 278 regarded these particles as secondary organic particles because the humidity in the 279 chamber during the experiment was kept much below saturation (relative humidity

280	around 50%). Therefore, these particles were expected to mainly consist of secondary
281	organic materials, which should have been produced via gas phase reactions or on the
282	surface of pre-existing particles (Hu et al., 2016). No other elements, except C and O,
283	were identified in these particles, which is was consistent with the above inference.
284	Similar particles were also encountered in other environmental chamber experiments
285	studying emissions from light-duty gasoline vehicles (Jathar et al., 2014).

### 287 3.2 Number fractions of particles

Figure 3 illustrates the numbers of accumulation mode particles emitted by 288 burning one kilogram of fuel during the cold start and hot start driving cycles. PM 289 290 emissions at the start-up stage under both cold and hot start states were higher than the emissions under the states when the engine was fully warmed and the vehicle operation 291 292 was stabilized. The PM emission was the highest under the hot stabilized running state  $(2.3 \times 10^{10} \text{ particles (kg fuel)}^{-1})$ , followed by those under the hot start  $(1.2 \times 10^{10} \text{ particles})$ 293 (kg fuel)<sup>-1</sup>), cold start (7.1×10<sup>9</sup> particles (kg fuel)<sup>-1</sup>), and acceleration running states 294  $(2.9 \times 10^9 \text{ particles (kg fuel)}^{-1})$ , with the emission under the idle running state being the 295 lowest (7.4×108 particles (kg fuel)-1) (Fig. S3). The higher emission of particle in term 296 of number for the GDI vehicle under the hot start state can be ascribed to the 297 experimental time of the vehicle engine. The hot start test in this study was conducted 298 within 5 mins after the cold start test. The PM emissions from GDI vehicles were 299 300 relatively less affected by ambient temperature for the initial 30 minutes during the warming up of the engines (Cotte et al., 2001). This may lead to the high value of the 301 PM emission for the hot start state which is slightly higher than that for the cold start 302

303 state. Although the total PM emission were higher under hot start state than that under 304 the cold start state, the comparison of those in the size range of accumulation mode indicates that the particulate emissions for this mode of particles were higher under the 305 306 cold start state than under the hot start sate (Fig. 3). This can be attributed to the less efficiency of the vaporization of fuel droplets in the combustion cylinder under the cold 307 308 start state (Chen et al., 2017). Size distributions of the particles varied with driving 309 conditions (Fig. S4). Under the cold start state and acceleration running state, higher number concentrations, and thus higher mass concentrations of the particles with 310 accumulation mode were emitted in comparison with other running states. 311

Under all the running states, morphologies and types of the particles remained similar but the proportions of different types of particles differed considerably (Fig. S5). The proportion of organic particles was high under hot stabilized and hot start states. Soot particles were abundant under cold start and acceleration states. A relatively higher proportion of Ca-rich particles was found under idle state, compared to those under other running states.

We estimated the number of different type particles in the emission under the running states by burning one kilogram of fuel (Fig. 4). Organic particles in the emission under the hot stabilized running state  $(2.3 \times 10^9 \text{ particles (kg fuel)}^{-1})$  and the hot start running state  $(3.6 \times 10^8 \text{ particles (kg fuel)}^{-1})$  were higher than in the emission under other running states. The number of soot particles were higher under the hot stabilized running state  $(1.7 \times 10^9 \text{ particles (kg fuel)}^{-1})$  and the cold start state  $(5.9 \times 10^8 \text{ particles (kg fuel)}^{-1})$  than those under other running states. Under the idle state, the relative proportion of Ca-rich particles was the highest, although their absolute number was low  $(1.4 \times 10^9 \text{ particles (kg fuel)}^{-1})$ .

Under the cold start state, a significant proportion of the emitted particles were 327 soot particles. This can be attributed to the incomplete vaporization of fuel droplets in 328 the combustion cylinder (Chen et al., 2017). Under the hot start state and the hot 329 330 stabilized running state, organic particles were predominant. Under these two running states, the engine temperature was high, which enabled the fuel to evaporate and mix 331 with the air easily. With the increase of the temperature in the cylinders, the rate of 332 particle oxidation increased, which could cause an increase of organic particles in the 333 emission (Fu et al., 2014). Under the idle state, the fuel consumption was much lower 334 than that under the other running states, which resulted in a higher relative contribution 335 to particles from lubricant oil. The high Ca content in the lubricant oil led to a higher 336 Ca-rich particle emission under this running state. Under the acceleration state, the 337 predominant types of particle included soot, organic, and Ca-rich particles. As the 338 339 acceleration running required a high vehicular speed and engine load, the emissions contained more soot particles than those under other running states. 340

#### 341 3.3. Aged particles in the environmental chamber

A large amount of secondary organic particles (accounting for 80%-85% in number), some soot particles, Ca-rich particles, and primary organic particles were detected in the environmental chamber (Fig. 5). After the ageing process, many soot particles changed into core-shell structures and became coated with secondary species (Figs. 5b and 5c). The EDX results showed that almost all coatings were mainly composed of C, O, and S, suggesting these coatings were a mixture of organic and sulfate. The morphology and compositions of Ca-rich particles and organic particles
(Figs. 5e and 5g) changed, with the aged ones having a more irregular shape and a
higher sulfur content in comparison with fresh ones (Figs. 5A and B).

351 Approximately 80% of the soot particles were present in core-shell structures and 352 coated with secondary species after the 3.5-hour ageing. In contrast, before the ageing, 353 the particles with a core-shell structure were only about 10% of the total. The mean diameter of the soot particles after ageing was around 0.49 µm, which was much smaller 354 than that before the ageing (0.65 µm), indicating the shrinkage of the soot particles 355 during the ageing (Fig. 5b). The core-shell ratios, defined as the ratio of the diameter of 356 the core part (Dcore) to the diameter of the whole particle (Dshell) (Niu et al., 2016; 357 Hou et al., 2018), were used to quantify the aging degree of the soot particles with 358 359 coating. It was found that the core-shell ratios of the soot particles in the smog chamber were mainly in the range of 0.25-0.78, indicating the stronger aging degree of soot 360 361 particles in the chamber than case data in urban air with the ratios of 0.4-0.9 (Niu et al., 2016)." 362

363 4. Discussion

### **4.1.** Contribution of GDI-engine vehicle emissions to urban air pollution

Our investigation <u>shows\_showed\_</u>that the GDI-engine vehicle emitted a large amount of organic particles (32%), soot (32%), Ca-rich particles (26%), S-rich (5%) and metal-containing particles (4%). Relevant studies have also shown that the primary carbonaceous aerosols (element carbon + primary organic aerosol) accounted for 85 % of the PM in the GDI vehicles, suggesting that carbonaceous aerosols were the major

contributors in the PM from GDI gasoline vehicles (Du et al., 2018). Considering the 370 371 large fraction of the vehicles equipped with GDI engines in megacities like Beijing, this indicates a possible substantial contribution of GDI-engine vehicles to urban air 372 373 pollution. Moreover, organic particles occupied the majority of the particles emitted 374 under hot stabilized running and hot start states. It has been noted that the organic matter 375 was the major component of the total particle mass during the hot start conditions 376 (Fushimi et al., 2016; Chen et al., 2017), which is was consistent with the results 377 obtained for the number concentrations in our study. The hot stabilized running state is the most frequent running condition of vehicles, whereas the hot start state is the most 378 frequent condition in congested traffic. This suggests that a substantial number of 379 organic compounds in the air pollution of populated cities might be directly related to 380 381 vehicle emissions.

Organic particles and soot particles in ambient air are emitted from a range of 382 383 sources including fossil fuels, biomass burning and urban waste burning (Kanakidou et al., 2005). Table 1 shows the major characteristics of particles in the emissions from 384 different sources. For instance, there is a higher fraction of soot particles and a lower 385 fraction of organic particles in the emissions of GDI-engine vehicles compared to PFI-386 387 engine vehicles (Xing et al., 2017). Organic particles in emissions from gasoline vehicles are usually enriched in Ca, S and P (Xing et al., 2017; Liati et al., 2018). In 388 comparison, emissions from biomass/wood burning are usually dominated by organic 389 particles, which account for more than 50% of the total amount of particles (Liu et al., 390 2017). Furthermore, organic particles from biomass/wood burning usually show 391

elevated K content, and thus, this element is frequently used as an indicator for 392 biomass/wood burning organic particles (Niu et al., 2016). Observations of primary 393 particles directly from coal burning have also demonstrated a predominance of organic 394 395 particles, soot particles, S-rich particles and mineral particles (Zhang et al., 2018; Wang 396 et al., 2019). Both biomass burning and coal combustion can produce organic particles 397 and almost all the emitted particles contain a certain amount of Si in addition to C and O. Table 1 also shows the elemental concentrations in the organic particles in the 398 emissions from different types of sources. Since the concentrations of minor elements 399 in the organic particles are highly dependent on the sources, they could be used for 400 source identification of individual particles in the atmosphere. 401

The present data also permit the compilation of a rough inventory of particle 402 categories and amounts emitted from GDI-engine vehicles under various running 403 conditions (Fig. 4). Combined with statistics on the number of vehicles with GDI 404 405 engines, the running time and the running conditions on roads within a certain area, it is possible to make an approximate estimate of the amounts of primary particles emitted 406 from GDI-engine vehicles. Such estimate is the basis for accurate source apportionment 407 of particles from vehicles, and it will be very beneficial for studies on the anthropogenic 408 409 sources of primary particles in urban air. These data could be brought together to better 410 understand the sources of air pollutants in the Beijing megacity and to improve the capability of developing cost-effective mitigation measures. 411

#### 412 4.2 Rapid ageing of primary particles in Beijing

413

The results of chamber experiments indicate that sulfate and secondary organic

414	aerosol (SOA) form on the surface of soot, Ca-rich and organic particles. Moreover, the
415	atmospheric transformation of primary particles emitted by the GDI-engine vehicles
416	could occur within 3.5 hours, indicating the ageing was rapid. Peng et al. (2014) found
417	similar timescales for black carbon transformation under polluted conditions in Beijing.
418	The rapid ageing of primary particles could be caused by several factors, such as the
419	concentration of gaseous pollutants from the vehicles, strength of solar radiation,
420	relative humidity (RH), and O <sub>3</sub> concentration (Guo et al., 2012; Deng et al., 2017; Du
421	et al., 2018). The present experiments were conducted in the atmosphere with relative
422	humidity of approximately 50% and solar radiation of 318 Wm <sup>-2</sup> . The total hydrocarbon
423	emission (THC) from the GDI vehicles was 0.297 g km <sup>-1</sup> . Repeated braking and
424	acceleration in the BDC could cause incomplete combustion and consequently high
425	THC emission. Under a high concentration of gaseous pollutants, primary particles
426	would age rapidly when exposed to solar radiation. Consequently, secondary species
427	including SOA and sulfate were produced on or condensed onto the particles, leading
428	to the coating. Guo et al. (2014) also showed that secondary photochemical growth of
429	fine aerosols during the initial stage of haze development could be attributed to highly
430	elevated levels of gaseous pollutants.

The mixture of SOA and sulfate have been detected in our chamber experiment, indicating the involvement of inorganic salts in the SOA formation. Previous studies have demonstrated the enhancement of SOA production in the presence of inorganic sulfate (Beardsley and Jang, 2015; Kuwata et al., 2015), and this is because sulfate can catalyze carbonyl heterogeneous reactions, and consequently, lead to SOA production

(Jang et al., 2002; Jang et al., 2004). Moreover, these aged primary particles favored 436 the formation of secondary aerosols by providing reaction sites and reaction catalysts. 437 Sulfate and secondary organic aerosol (SOA) co-existed on the surface of primary 438 particles, such as soot, Ca-rich and organic particles. In addition, the products of VOCs 439 oxidation could react with SO<sub>2</sub> to rapidly produce sulfate (Mauldin et al., 2012). Thus, 440 441 the rapid ageing of primary particles could also be attributable to the acid-catalyzed 442 mechanism. As the major source of pollutants in urban air, the GDI-engine vehicles supply both primary particles and precursor gaseous species, and the rapid ageing of 443 the particles under certain conditions is very likely to be the major driving force for the 444 elevation of urban air pollution. 445

### 446 **4.3 Implications and perspectives**

447 Our results indicated that GDI-engine vehicles emitted a large amount of both primary and secondary organic aerosols. PM number emission of organic particles from 448 GDI-engine vehicle were 2.9×10<sup>9</sup> particles (kg fuel)<sup>-1</sup> during the BDC. Secondary 449 organic particle was predominant in the secondary aerosols, accounting for 80-85%% 450 particles in the chamber. Organic aerosols (OA) play an important role in the Earth's 451 radiation balance not only for its absorption and scattering of solar radiation but also 452 453 because they can alter the microphysical properties of clouds (Scott et al., 2014). 454 Particle size, shape, mixing state and composition affect their light scatterings and 455 absorption cross sections, and cloud condensation nuclei activity (Jacobson, 2001). OA 456 were are composed of various types of chemical compounds with varying absorption properties (mixing state), which were are determined by the emission sources, the 457

458	formation mechanism (Zhu et al., 2017), and the source regions (Laskin et al., 2015).
459	Primary OA from biomass burning was-is co-emitted with soot (black carbon),
460	inorganic salts, and fly ash, producing internally and externally mixed particles in
461	which the organic components were are present in different relative abundance (Lack
462	et al., 2012). Similarity, primary OA in the exhaust of gasoline and diesel vehicles were
463	are mixed with Ca, P, Mg, Zn, Fe, S, and minor Sn inorganic compounds (Liati et al.,
464	2018). In addition, previous measurements have indicated that SOA usually exists as
465	an internal mixture with other aerosols, such as sulfate, ammonium, or nitrate (Zhu et
466	al., 2017). Our results showed that the POA emitted from GDI-engine vehicle were
467	mixed with soot, inorganic components such as Ca, P, and Zn. Some of the SOA formed
468	in the smog chamber were mixed with sulfate. The complexity of mixing state makes it
469	difficult to characterize the properties of OA. Lang-Yona et al. (2010) <u>have</u> found that
470	for aerosols consisting of a strongly absorbing core coated by a non-absorbing shell,
471	and the Mie theory prediction deviated from the measurements by up to 10%. Moreover,
472	atmospheric aging process, involving aqueous-phase aging and atmospheric oxidation,
473	can either enhance or reduce light absorption by OA (Bones et al., 2010). The
474	condensation process may result in a dramatic enhancement of hydrolysis of OA
475	compounds, affecting their absorption spectra (Lambe et al., 2015).

Our results also showed that primary organic aerosols (POA) emitted by GDIengine vehicles could acquire OA and sulfate coatings rapidly, within a few hours, and increase a sizable fraction of total ambient aerosols existing as internal mixtures. In addition, the fast ageing further caused the increase of aged POA in the total OA,

480	cor	sequently, largely modified the properties of the particles such as their optical			
481	pro	perties. The results of the experiments in the chamber showed that most of the aged			
482	POA had a core-shell structure, whereas most of the secondary organic aerosols (SOA)				
483	pro	duced by gas-phase reactions had a uniform structure. These results push forward			
484	the	understanding on the mixing state and chemical composition of both POA and SOA.			
485	The	e experimental data will benefit the parameterization of vehicles emissions in			
486	nur	nerical models dealing with urban air pollution.			
487	5.	Conclusions			
488					
489	1.	Five types of individual particles emitted by <u>a GDI-engine gasoline vehiclethe</u>			
490		GDI-engine vehicles were identified, including soot, organic, Ca-rich, S-rich, and			
491		metal-rich particles. Among them, soot, organic, and Ca-rich particles were			
492		predominant. The particles emitted from GDI engine vehiclesthis commercial			
493		GDI-engine gasoline vehicle displayed a bimodal size distribution.			
494	2.	The concentrations of the particles emitted by this commercial GDI-engine			
495		gasoline vehiclethe GDI engine vehicles vary with different running conditions.			
496		The PM emission was the highest under the hot stabilized running state, followed			
497		by those under the hot start, cold start, and acceleration running states, with the			
498		emission under the idle running state being the lowest under the idle running state.			
499	3.	The relative proportions of the different types of particles emitted by this			
500		commercial GDI-engine gasoline vehiclethe GDI-engine vehicles vary varied with			
501		different running conditions. Large amounts of organic particles were emitted			
502		during hot stabilized and hot start states. Under cold start and acceleration states,			

503	the emissions were enriched in soot particles. Under idle state, a relatively higher
504	number of Ca-rich particles was emitted, although the absolute number was low.
505 4.	After ageing in the environmental chamber, the structure of the soot particles
506	changed into a core-shell structure, and the particles were coated with condensed
507	secondary organic material. Ca-rich particles and organic particles also were
508	modified, and their content of sulfur increased after ageing.

5. Ageing of the emitted particles occurred rapidly, within hours. Such rapid ageing
could be attributable to an acid-catalyzed mechanism and to the high initial
concentrations of gaseous pollutants emitted by this commercial GDI-engine
gasoline vehicle the GDI-engine gasoline.

513

## 514 Data availability

All data presented in this paper are available upon request. Please contact thecorresponding author (shaoL@cumtb.edu.cn).

## 517 Author contribution

518 LS designed this study; JX performed the experiments. JX, LS, DZ summarized

- 519 the data and wrote the paper. WZ, JP, WW, SS, MH supported the experiments and
- 520 commented the paper.
- 521 Competing interests
- 522 The authors declare that they have no conflict of interest.
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786seasonal<br/>variability,Environ.Sci.Technol.,50(4),787http://dio.org/10.1021/acs.est.5b04444, 2016.

## 789 List of tables:

790 Table 1 Comparison of chemical components between various sources including fossil

791 fuels, biomass burning and urban waste burning

		Particle of type and relative	Chemical	
Study	Source	nercentages	composition of	
		percentages	organic particles	
		Organic particles (OM) (32%),	OM with Ca and	
This study	GDI-engine	Soot (32%), Ca-rich particles	weak P, S, and Zn	
This study	vehicles	(26%), S-rich (5%) and metal-		
		containing particles (4%)		
		OM (44%), soot (23%), Ca-	OM with Ca and	
Ving at al. $(2010)$	PFI-engine	rich particles (20%), S-rich	weak P, S, and Zn.	
Allig et al. (2019)	vehicles	(6%) and metal-containing		
		particles (6%).		
	GDI, PFI	Soot, OM (called ash-bearing	OM with Ca, S, P,	
Liati et al. (2018)	and diesel	soot particles) and ash	Fe and minor Zn.	
	vehicles	particles.		
	Cron residue	OM (27%), OM-K (43%),	OM particles with K	
Liu et al. (2017)	crop residue	OM-soot-K (27%), soot-OM	content.	
	combustion	(3%).		
	Wood	OM (16%), soot (18%), OM-K	OM particles with K	
Liu et al. (2017)	apphysics	(22%), OM-soot-K (15%),	content.	
	combustion	soot-OM (29%).		
		OM (38%), soot (40%), S-rich	OM mainly	
Wang et al. (2019)	Coal burning	particles (2%), and mineral	consisted of C, O	
		particles (18%).	and Si.	
		OM (51%), OM-S (24%), soot-	OM contained C, O,	
Thang at al. $(2019)$	Residential coal	OM (23%), S-rich (1%), metal-	and Si with minor	
Zhang et al. (2018)	burning	rich particles (1%), mineral	amounts of S and	
		particles (1%).	Cl.	



796 Figure 1. TEM images of the individual primary particles emitted from the GDI-engine 797 gasoline vehicle and the secondary organic particle in the chamber after exposure to ambient sunlight for 3.5 hours. (a) soot particle; (b) Ca-rich particle; (c) S-rich particles; 798 (d) Metal-rich particles (Fe); (e) Metal-rich particles (Ti); (f) bright-field-TEM and 799 800 dark-field-TEM image of organic particles, and others are the mapping of the C, O, P, Ca, S, and Zn in the organic particle; (g) secondary organic particle in chamber. 801 802





Figure 2. Size distribution of analyzed particles emitted from the GDI-engine gasoline
vehicles by the TEM images. In total, 2880 particles were analyzed from the GDIengine vehicles. Particles smaller than 0.25 μm should have been underestimated
because of the collection efficiency of the impactor.



(a)



80

60

- Speed

Hot stablized

running state

Acceleration state

813

810

811

812

Figure 3. Particles in accumulation mode from the GDI vehicle during cold start (a) and 814 hot start (b) driving cycle. The vehicle speed is also shown for reference. Before the 815 816 test with cold start, the temperatures of the engine coolant and oil could not differ by more than 2 °C during the soak temperature. The hot start test was conducted within 5 817 mins after the cold start test. The number concentration of particles during the tests was 818 819 monitored by DMS 500.

t (s)

820



824 Figure 4. The number of different type particles in the emissions from the GDI vehicle

under the different running states by the burning of per unit of fuel, including cold start,
hot start, hot stabilization, idle, and acceleration states. Data presented as

827 mean  $\pm$  standard deviation, N = 3.

828



830 Figure 5. TEM images of particles in the chamber after exposure to ambient sunlight

- for 3.5 hours. (a) Fresh soot particles; (b) Aged soot particles; (c) Aged soot particle (d)
  Fresh organic particle (e) Aged organic particle (f) Fresh Ca-rich particle (g) Aged Ca-
- rich particle (A) EDX spectrum for a fresh organic particle and an aged organic particle.
- 834 (B) EDX spectrum for a fresh organic particle and an aged Ca-rich particle.