Evaluating China's fossil-fuel CO₂ emissions from a comprehensive

dataset of nine inventories

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Abstract. China's fossil-fuel CO₂ emissions (FFCO₂) emissions accounted for about approximately 28% of the global total FFCO₂ in 2016. An accurate estimate of China's FFCO₂ emissions is a prerequisite for global and regional carbon budget analyses and the monitoring of carbon emission reduction efforts. However, large-significant uncertainties and discrepancies exist in estimations of China's FFCO₂ emissions estimations due to a lack of detailed traceable emission factors (EF) and multiple statistical data sources. Here, we evaluated China's FFCO₂ emissions from nine9 published global and regional emission datasets. These datasets show that the total emissions increased from 3.4 (3.0-3.7) in 2000 to 9.8 (9.2-10.4) Gt CO₂ yr⁻¹ in 2016. The variations in their these estimates were due largely due to the different EF (0.491-0.746 t C per t of coal) and activity data. The large-scale patterns of gridded emissions showed a reasonable agreement with high emissions being

concentrated in major city clusters, and the standard deviation mostly ranged <u>from</u> 10-40% at <u>the</u> provincial level. However, patterns beyond the provincial scale <u>vary-varied greatly-significantly</u>, with the top 5% of <u>the</u> grid-level accounting for 50-90% of total emissions <u>for-in</u> these datasets. Our findings highlight the significance of using locally-_measured EF for the-Chinese coals. To reduce the-uncertainty, we call on the enhancement of recommend using physical CO_2 measurements and use them <u>these values</u> for datasets validation, key input data sharing (e.g., point sources) and finer resolution validations at various levels.

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Keywords: fossil-fuel CO₂ emissions, spatial disaggregation, emission factor, activity data, comprehensive dataset

1 Introduction

Anthropogenic emissions of carbon dioxide (CO₂) is one of the major <u>contributions in acceleratingaccelerators of</u> global warming (IPCC, 2007). The <u>gG</u>lobal CO₂ emissions from fossil fuel combustion and industry processes increased to 36.23 Gt CO₂ yr⁻¹ in 2016, with a mean growth rate of 0.62 Gt CO₂ yr⁻¹ per year-over the last decade (Le Qu ér é et al., 2018). In 2006, China became the world's largest emitter of CO₂ (Jones, 2007). The-CO₂ emissions from fossil fuel combustion and cement production <u>of-in</u> China <u>was-were</u> 9.9 Gt CO₂ in 2016, accounting for <u>about-approximately</u> 28% of all global fossil-fuel based CO₂ emissions (Le Qu ér é et al., 2018;IPCC AR5, 2013). To avoid the potential adverse effects from climate change (Zeng et al., 2008;Qin et al., 2016), the Chinese government has pledged to peak its CO₂ emissions by 2030 or earlier and to reduce the CO₂ emissions per unit gross domestic product (GDP) by 60-65%, <u>below-less than the</u> 2005 levels (SCIO, 2015). Thus, an accurate quantification of China's CO₂ emissions is the first step <u>in-toward</u> understanding its carbon budget and making carbon control policy.

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Chinese-The total emission estimates for China are thought to be uncertain or biased due to the lack of reliable statistical data and/or the use of generic emission factors (EF) (e.g., (Guan et al., 2012); (Liu et al., 2015b)). National and provincial databased inventories used activity data from different sources. The Carbon Dioxide Information Analysis Center (CDIAC) uses a national energy statistics from the United Nations (UN) (Andres et al., 2012), and both the Open-Data Inventory for Anthropogenic Carbon Delioxide (ODIAC) and Global Carbon Project (GCP) mainly use CDIAC total estimates, and thus, they are identical in time series (Le Qu é é et al., 2018;Oda et al., 2018). The Emissions Database for Global Atmospheric Research (EDGAR) and Peking University CO₂ (PKU-CO₂, hereafter named as PKU) derived emissions from the energy balance statistics of the International Energy Agency (IEA) (Janssens-Maenhout et al., 2019a;Wang et al., 2013). On the other handIn contrast, the provincial data-based inventories developed within China all used the provincial energy balance sheet in from the China Energy Statistics Yearbook (CESY), from-National Bureau of Statistics of China (NBS) (Cai et al., 2018;Liu et al., 2015a;Liu et al., 2013;Shan et al., 2018). As for EF, thThere are generally four sources of EF, i.e., 1) The Intergovernmental Panel on Climate Change (IPCC) default values, which that has have been adopted by ODIAC and EDGAR (Andres et al., 2012;Janssens-Maenhout et al., 2019b;Oda et al., 2018); 2) National Development and Reform

- 70 Commission (NDRC) (NDRC, 2012b); 3) China's National Communication, which reportsed to the United Nations Framework Convention on Climate Change (UNFCCC) (NDRC, 2012a); and 4) The China Emission Accounts and Datasets (CEADs) EF, which that are locally optimized through large sample measurements (Liu et al., 2015b). The existing estimates of global total FFCO₂ emissions are comparable in magnitude, with an uncertainty that is generally within ±10% (Le Qu ér é et al., 2018;Oda et al., 2018). However, there are great significant differences in these values at the national scale (Marland et al., 2010;Olivier et al., 2014), with the uncertainty ranging from a few percent to more than 50% in the estimated emissions
- for individual countries (Andres et al., 2012;Boden et al., 2016;Oda et al., 2018). Along with the-total emissions estimates, the spatial distribution of emissionss are-is also-important for several reasons: 1)
 - Spatial gridded products provide enhance our basic understandings on of CO₂ emissions; 2) They Spatial distributions are key inputs (as priors) for transport and data assimilation models, and which influenced the carbon budget (Bao et al., 2020); 80 and 3) For high_emissions areas recognized by multiple inventories, they spatial distributions can be used for policy making in toward emissions reductions and can provide useful information for the deployment of instruments in emissions monitoring for high-emissions areas recognized by multiple inventories (Han et al., 2020). At the global level, gridded emissions datasets are often based on the disaggregation of country-scale emissions (Janssens-Maenhout et al., 2017; Wang et al., 2013). Thus, the gridded emissions data are subjected to errors and uncertainties from due to the total emissions 85 calculations and emissions spatial disaggregation (Andres et al., 2016;Oda et al., 2018;Oda and Maksyutov, 2011). For example, the Carbon Dioxide Information Analysis Center (CDIAC) distributes national energy statistics at a resolution of 1°×1° using the population density as a proxy (Andres et al., 2016;Andres et al., 2011). Further, to improve the spatial resolution of the emissions inventory, the Open-Data Inventory for Anthropogenic Carbon dioxide (ODIAC) distributes national emissions based on CDIAC and BP statistics with satellite nightime lights and power plant emissions (Oda et al., 90 2018;Oda and Maksyutov, 2011).- (EDGAR)-derivesd emissions from the energy balance statistics of the International Energy Agency (IEA), and obtains country--specific activity datasets from BP plc, United States Geological Survey (USGS), World Steel Association, Global Gas Flaring Reduction Partnership (GGFR)/U.S. National Oceanic and Atmospheric Administration (NOAA) and International Fertilizer Association (IFA). Gridded emissions maps at a resolution of 0.1 °×0.1 ° were are produced using spatial proxy data based on the population density, traffic networks, nighttime lights and point 95 sources, as described in Janssens-Maenhout et al. (2017). Based on the sub-sub-national fuel-data, population and other geographically resolved data, a high-resolution inventory of global CO₂ emissions was developed at Peking University
 - (Wang et al., 2013). .

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In order to To accurately calculate emissions, a series of efforts have been conducted to quantitatively evaluate China's CO_2 emissions using national or provincial activity data, local EF_{τ} and detailed data-sets of point sources (Cai et al., 2018;Li et al., 2017;Wang et al., 2013). The China High Resolution Emission Database (CHRED) was developed by Cai et al. (2018) and

Wang et al. (2014) based on the provincial statistics, traffic network, point sources and industrial and fuel-specific EF. CHRED was featured by based on its exclusive point source data for from 1.58 million industrial enterprises from the First China Pollution Source Census. The MutliMulti-resolution Emission Inventory for China (MEIC) was developed by Zhang et al. (2007), Lei et al. (2011) and Liu et al. (2015a) at Tsinghua University through integrating the integration of provincial statistics, unit-based power plant emissions, population density, traffic networks, and emission factor (EF)EF (Li et al., 2017;Zheng et al., 2018b;Zheng et al., 2018a). The MEIC uses thed China Power Emissions Database (CPED), and in which the unit-based approach is used to calculate emissions for each coal-fired power plant in China with detailed unit-level information (e.g., coal use, geographical coordinates). For theRegarding mobile emissions sources, a high-resolution mapping approach is adopted to constrain the vehicle emissions using a county-level activity database. CEADs was constructed by (Shan et al., 2018;Shan et al., 2016) and Guan et al. (2018) based on different levels of inventories to provide emissions at the national and provincial scales. CEADs useged coal EF from the large-sample measurements (602 coal samples and samples from 4,243 coal mines). And this is-, which are assumed to be more accurate than the IPCC default EFs.

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- However, rRegardless of these efforts, however, the amount of China's CO₂ emissions remains uncertain due to the large
 discrepancy among current estimates, of which the difference ranges from 8-24% of the total estimates (Shan et al., 2018;Shan et al., 2016). Several studies <u>have made undertaken efforts of quantifyingto quantify</u> the possible uncertainty in China's FFCO₂, such as differences from due to estimation approaches (Berezin et al., 2013), energy statistics (Hong et al., 2017;Han et al., 2020), spatial scales (Wang and Cai, 2017), and point source data-. Importantly, the authors would like to point outnote that the lack of a comprehensive understanding and comparison of the potential uncertainty in estimates of
- 120 China's FFCO₂, including spatial, temporal, proxy, and magnitude components, makes-<u>causes</u> Chinese emissions possible data to be more uncertain, and thus, it is important to present, analyze and explain such differences among inventories-. Here, we evaluated the uncertainty in China's FFCO₂ estimates by synthesizing global gridded emissions datasets (ODIAC, EDGAR, and PKU) and China-specific emission maps (CHRED, MEIC, and the Nanjing University CO₂ (NJU) emission inventory). Moreover, several other inventories were used in the evaluation analysis, such as the Global Carbon Budget from
- the Global Carbon Project, <u>and</u> the National Communication on Climate Change of China (NCCC).
 The <u>purposes aims</u> of this study were to: 1) <u>Qquantify</u> the magnitude and the uncertainty in China's FFCO₂ estimates using the spread of values from the state-of-the-art inventories; 2) identify the spatiotemporal differences of China's FFCO₂ emissions between among the existing emission inventories and explore the underlying reasons for such differences. To our knowledge, this is the first comprehensive evaluation of the most up-to-date and <u>mostly-predominantly</u> publicly available carbon emission inventories for China.
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The An evaluation analysis was conducted from 9 inventories including six6 gridded datasets (listed in Table 1, ODIAC, EDGAR, PKU, CHRED, MEIC, and NJU) and three³ other datasets (GCP/CDIAC, CEADs, and NCCC) containing statistical data. We selected the year 2012 for spatial analysis since-because this is the most recent year available for all the 135 gridded data-sets and also because this is 2012 was a peak year of emissions due to the strong reductions from-following the impacts of the 12th-Five-Year-Plan. Specifically, the global fossil fuel CO₂ emissions datasets included the year 2017 version of ODIAC (ODIAC2017), the version v4.3.2 of EDGAR (EDGARv4.3.2) and, PKU-CO₂, all of which all-used the Carbon Monitoring for Action (CARMA) as the point source. The China-specific emissions data used were the dated from the yearfrom 2007 of from CHRED, the MEIC v1.3 and, NJU-CO₂ v2017, all of which all-used China Energy Statistical 140 Yearbook (CESY) activity data. Moreover, three3 inventories were used as a-references, i.e., GCP/CDIAC, CEADs and NCCC, since because GCP and ODIAC used CDIAC for most the majority of the years, except for the most recent two years, that which were extrapolated by using BP data₁ \pm These three inventories were treated as inventory one in a time series comparison. Data were collected from the official websites for of ODIAC, EDGAR, PKU, and 6-six tabular statistical data sets, and were also acquired from their the authors for of who developed CHRED, MEIC and NJU. See the supporting 145 information for more details on-of the data sources and the methodology of-used for each dataset.

3. Methodology for <u>the</u> evaluation of multiple datasets

We evaluated these-the abovementioned_datasets from three aspects: data sources, boundary (emission sectors) and methodology (Figure 1, Table 1 and S1, S2). For-In regard to the data source, there are two levels: national data, such as UN or IEA statistics, and provincial_-level data, such as CESY. The emission sectors mainly include fossil fuel production, industry production and processes, households, transportation, aviation/shipping, agriculture, natural biomass burning from wild fires and the waste for-from these datasets, and where; Table S1 lists theed sectors included in each inventory. And-In addition_for methodology, the_analysis of the_inventories includes the_total estimates (activity data and EF) aspect and the spatial disaggregation of point, line and area sources. As-Fig. 1 depictsshows depicted the conceptual procedure followed im for the total emissions estimates and how the gridded maps are were produced for all the inventories, and thus, it is important to know the differences in the_activity data, EF and spatial proxy data and spatial disaggregation methods they-used by previous scholars, to understand the differences among the_inventories in regard to_total emissions estimates and spatial characteristics.



Figure 1 Conceptual diagram for data evaluation based on data sources, emission sectors and methodologies.

- 160 Preprocessing The preprocessing of six gridded CO₂ emission datasets included several steps, which that are described as follows. First, <u>tThe-global maps</u> of CO₂ emissions (i.e., ODIAC, EDGAR and PKU) were re-projected to-using the Albers Conical Equal Area projection (that of CHRED). And-Next, the nearest neighbor algorithm was used to resample different spatial resolutions into a pixel size of 10 km \times by 10 km, and this method takes the value from the cell closest to the transformed cell as the new value. Second, the national total emissions were derived using the ArcGIS zonal statistics tool 165 for from CHRED, while the other others emissions were from tabular data provided by the data owners. Finally, the grids for each inventory were sorted in ascending order and then plotted on a logarithmic scale to represent the distribution of emissions. To identify the contribution of high emission grids, emissions at the grid level that exceeded 50 kt CO2 yr⁻¹ km⁻² and the top 5% emitting grids were selected for analysis.

| Data | ODIAC2 017 | EDGARv432 | PKU | CHRED | MEIC | NJU | CEADs | GCP/CDIAC | NCCC |
|---|----------------------|-------------------|----------------------|---------------------------------|--------------------------|----------------------------------|---------------------------------|-------------------|------------------|
| Domain | Global | Global | Global | China | China | China | China | Global | China |
| Temporal coverage | 2000-201 6 | 1970-2012 | 1960-2014 | 2007, 2012 | 2000-2016 | 2000-2 015 | 1997-20 15 | 1959-2018 | 2005, 2012, 2014 |
| Temporal resolution | Monthly | Annual | Monthly | Biennially or triennially | Monthly | Annual | Annual | Annual | Annual |
| Spatial resolution | 1 km | 0.1 degree | 0.1 degree | 10 km | 0.25 degree | 0.25 degree | N/A | N/A | N/A |
| Emission estimates | Global & National | Global & National | Global & National | National & Provincial | National & Provincial | Nationa 1 & Provinc ial | National & Provinci al | Global & National | National |
| Emission factor for raw coal (tC per t of coal) | 0.746 | 0.713 | 0.518 | 0.518 | 0.491 | 0.518 | 0.499 | 0.746 | 0.491 |
| Uncertaint y | 17.5% (95% CI) | ±15% | ±19% (95% CI) | ±8% | ±15% | 7-10% (90% CI) | -15% - 25% (95% CI) | 17.5% (95% CI) | 5.40% |
| Point | CARMA | CARMA3.0 | CARMA2.0 | FCPSC | CPED | CEC;A | N/A | N/A | N/A |

Table 1 General information for of the emissions data-sets*

| source | 2.0 | | | | | CC;CC | | | |
|-------------------------------|---------------------|--|---|--|------------------------------------|-----------------------------------|-------|------|------|
| Line source | N/A | the OpenStreetMap and OpenRailwayMap, Int. aviation and bunker | N/A | The national road, railway, navigation network ₁ , and-traffic flows | Transport networks | TEN N/A | N/A | N/A | N/A |
| Area source | Nighttim e light | Population density, nighttime light | Vegetation and population density, nighttime light | Population density, land use <u>, ,</u> human activity | Population density, land use | Populat ion density, GDP | N/A | N/A | N/A |
| Version name | ODIAC2 017 | EDGARv4.3.2_FT2 016, EDGARv4.3.2 | PKU-CO2-v2 | CHRED | MEIC v.1.3 | NJU-C O ₂ v201 7 | CEADs | N/A | N/A |
| Year published/ updated | 2018 | 2017 | 2016 | 2017 | 2018 | 2017 | 2017 | 2019 | 2018 |

| Data sources | http://db. cger.nies. go.jp/dat aset/ODI <u>AC/</u> | http://edgar.jrc.ec.e uropa.eu/overview. php?v=432_GHG& SECURE=123 | <u>http://inventory.</u> <u>pku.edu.cn/dow</u> <u>nload/download.</u> <u>html</u> | Data developer | Data developer | Data develop er | http://w ww.cead s.net/ (registrat ion required) | https://www.globalcarbon project.org/carbonbudget/ 19/data.htm | https://unfccc.int/sit es/default/files/resou rce/China 2BUR_English.pdf |
|-----------------|---|---|--|--|------------------------------------|-----------------------|---|--|---|
| Reference s | <u>Oda</u> (2018) | Janssens-Maenhout (2017) | Wang et al., 2013 | Cai et al. (2018); Wang et al. (2014) | Zheng (2018); Liu et al. (2015) | <u>Liu</u> (2013) | <u>Shan et</u> <u>al.</u> (2018) | Friedlingstein et al. (2019) | NCCC (2018) |

* CI: Confidence interval; FCPSC: the First China Pollution Source Census; CPED: China Power Emissions Database; CEC: Commission for Environmental Cooperation;

171 ACC: China Cement Almanac; CCTEN: China Cement Industry Enterprise Indirectory; GDP: Gross domestic product; N/A: Not available.

4. Results

4.1 Total emissions and recent trends

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The interannual variations of China's CO₂ emissions from 2000 to 2016 were evaluated from <u>six6</u> gridded emission maps and <u>three</u>³ national total inventories (Figure 2). All <u>the</u> datasets show a significant increasing trend in the period of 2000 to 2013 from 3.4 to 9.9 Gt CO₂. The range of the <u>nine</u>⁹ estimates increased simultaneously from 0.7 to 2.1 Gt CO₂ (both are 21% of the corresponding years' total emissions). In the second period (from 2013 to 2016), the temporal variations mostly levelled off or even decreased. Specifically, the emissions estimated from PKU and CEADs showed a slight downward trend <u>a</u> <u>even though</u> although they used independent activity data of from IEA (2014) and National Bureau of Statistics (2016), and this downward trend is <u>was</u> attributed to changes in <u>the</u> industrial structure, improved combustion efficiency, emissions control and slowing economic growth (Guan et al., 2018;Zheng et al., 2018a).

There is a large discrepancy among the current estimates, ranging from 8.0 to 10.7 Gt CO₂ in 2012. NJU has had the highest emissions during the periods of 2005-2015, followed by EDGAR, MEIC and CDIAC/GCP/ODIAC, while CEADs (National) and PKU were much-significantly lower (Figure 2). This-These discrepancies are is-mainly because of three 185 reasons: 1) the EF for raw coal was higher-greater for EDGAR and ODIAC than the other databases. The EFs were different for different fossil fuel types and cement production (Table S2). Since Because coal consumption consisted constituted 70-80% of total emissions, the coal EF is-was more significant than the others. The EFs were different for the three major fossil fuel types (raw coal, oil and natural gas) and cement production (Table 1 and S2). And In addition, they the EFs are were obtained from either the IPCC default values or local optimized values from different sources. They The EFs do not change 190 over time in these inventories, although they should, due to the unavailability of EFs over time; 2) differences in activity data, i.e., NJU, MEIC and CEADs (Provincial) used provincial data from CESY (2016), while CEADs (National) and, PKU used national data from CESY (2016) and IEA (2014), respectively (Table 1 and S1), and such that the sum of provincial emissions would be higher than the national total; and 3) differences in emission definitions (Table 1 and S1, emissions sectors). Although we tried to make ensure that these datasets would be as comparable as possible, there are still<u>nonetheless</u> 195 minor differences in emissions sources (sectors) remained. For example, EDGAR contains abundant industry process-relatedes emissions, while whereas CEADs only considersed cement production (Janssens-Maenhout et al., 2019b). EDGAR and MEIC have-a similar trends, but-except for their magnitudes, whereand MEIC is usually higher greater than EDGAR. This is a combined effect of the above three reasons. Moreover, MEIC uses thed-provincial energy data from CESY (2016), while whereas EDGAR usesd the national-level data from IEA (2014). But-However, MEIC's EF is lower 200 than that of EDGAR. These opposing effects would bring them the data-sets closer in magnitude. The Both the gridded

products (ODIAC, EDGAR, MEIC and NJU) and national inventory (GCP/CDIAC) both show small differences in the magnitude of total emissions estimates and trends from 2000--2007, and-where the differences in magnitude increases gradually from 2008 onward. Although the range increasesd with time, the relative difference remains at around approximately 21% of the corresponding years' total estimates, indicating potentially systematical differences, such as the fact that EFs remain stable.



Figure 2. China's total FFCO₂ emissions from 2000 to 2016. The emissions are from the combustion of fossil fuels and cement production from different sources (EDGARv4.3.2_FT2016 includes international aviation and marine bunkers emissions). To keep-maintain comparability and avoid differences resulteingd from the emissions disaggregation (e.g., Oda et al. 2018(Oda et al., 2018)), the values for of the six6 gridded emission inventories are tabular data provided by the data developers before spatial disaggregation. Prior to 2014, GCP data was were taken from CDIAC, and those from 2015-2016 was were calculated based on BP data and the fraction of cement production emissions in 2014. SThe shadeding area (error bar for CHRED) indicates uncertainties from the coauthors' previous studies (See Table 1).

4.2 Spatial distribution of FFCO₂ emissions

215 The evaluation of spatially-explicit FFCO₂ emissions is fundamentally limited by the lack of direct physical measurements on-at the grid scales (e.g., (Oda et al., 2018)). We thus Thus, we attempted to characterize the spatial patterns of China's carbon emissions by presenting the available emissions estimates available. We compared sixe gridded products, including ODIAC, EDGAR, PKU, CHRED, MEIC and NJU, in-for the year 2012. The, which year 2012 was the most recent year for which all the six datasets were available. Spatially, the CO_2 emissions from the different datasets are concentrated in eastern 220 China (Figure 3). HighThe high-emission areas were mostly distributed in city clusters (e.g., BeijingTianjin-Hebei

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(Jing-Jin-Ji), the Yangtze River Delta, and the Pearl River Delta) and densely populated areas (e.g., the North China Plain, the Northeast China Plain and Sichuan Basin). These major spatial patterns are primarily due to the use of spatial proxy data, and also are _ are also in accordance with previous studies (Guan et al., 2018; Shan et al., 2018). However, there were notable differences among the different estimates at finer spatial scales. The IL arge carbon emissions regions were found in the

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North China Plain and the Northeast China Plain for ODIAC (Figure 3a), PKU (Figure 3c), MEIC (Figure 3e) and NJU (Figure 3f), which ranged from 1000 to 10,-000 t CO₂/km². However, the high levels of emissions located in the Sichuan Basin were found from PKU, MEIC and NJU, but not from ODIAC. This discrepancy in identifying the large significant CO₂ emissions was probably due to the emissions from rural settlements with high population densities (e.g., Sichuan Basin), which did not appear strongly in satellite nighttime lights and or on the ODIAC map (Wang et al., 2013). The more diffusive 230 distributions for of MEIC and NJU is were attributed to the abundance of point sources abundance, with or without line sources and area sources proxies-Besides, Moreover, EDGAR, PKU, CHRED, MEIC and NJU all showed relatively low emissions in western China, but the emissions from ODIAC was-were zero due to no-the lack of nighttime light therein that region, which tended to distribute more emissions towards strongly nightlights lit (at night) urban regions (Wang et al., 2013).

235 EDGAR, CHRED and MEIC all showed the traffic line source emissions by inducing traffic networks in the spatial disaggregation. The line emissions (such as expressways, arterial highways) depicted a more detailed spatial distribution in CHRED than in either EDGAR and or MEIC. This discrepancy could be attributed to the different road networks and corresponding weighting factors they that were used by each. CHRED disaggregated emissions from the transport sector based on traffic networks and traffic flows (Cai et al., 2018). MEIC applied the traffic network from the China Digital 240 Road-network Map (CDRM) (Zheng et al., 2017), and EDGAR traffic networks were obtained from the OpenStreetMap and OpenRailwayMap (Geofabrik, 2015). ODIAC considered point and area sources and was lackwhile lacking of-line source emissions in the spatial disaggregation, which would putplaces more emissions towards populated areas than in suburbs

(Oda et al., 2018). Oda and Maksyutov (2011) (Oda and Maksyutov, 2011) pointed outnoted the possible utility of the-street

lights to represent line source spatial distributions even without the associated specific traffic spatial data. The spatial

distributions of traffic emissions are highly uncertain, with biases of 100% or more (Gately et al., 2015), which is largely due

largely to mismatches between the downscaling proxies and the actual vehicle activity distribution.



Figure 3. Spatial distributions of ODIAC (a), EDGAR (b), PKU (c), CHRED (d), MEIC (e) and NJU (f) at a 10 km resolution for 2012. ODIAC was aggregated from 1 km data, such that MEIC, PKU, and EDGAR was were resampled from 0.25, 0.1 and 0.1 degrees.

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4.3 CO₂ emissions at the provincial level

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The provincial level results showed more consistency than the grid level results in terms of spatial distribution. All the products agreed that the eastern and southern provinces are were high emitters (>400 Mt CO₂/yr, Figure 4 and S3), and while the western provinces were low emitters (<200 Mt CO_2 /yr, Figure 4 and S3). The top 5 five greatestmost emitting provinces were Shandong, Jiangsu, Hebei, Henan, and Inner Mongolia, with the amount the emissions values ranging from 577 \pm 48 Mt to 820 \pm 102 Mt CO₂ in 2012 (Figure 4). While Meanwhile, the provinces located in the western area with low economic activity and population density showed low carbon emissions ($<200 \text{ Mt CO}_{22}$, Figure 4 and S3). There is a clear discrepancy in the provincial-level emissions among the different estimates, and the mean standard deviation (SD) for the 31 provinces' emissions was 62 Mt CO₂ (or 20%) in 2012. A large SD (>100 Mt CO₂²) occur<u>reds</u> in the-high_-emitting provinces, such as 260 Shandong, Jiangsu, Inner Mongolia, Shanxi, Hebei, and Liaoning. For the Shandong province Province, the inventories variedy from 675-965 Mt CO₂/yr, with a relative SD of 12% (Figure 4 and 5), and for the other high-emitting provinces, the relative SD ranged from 12%---48%, which- This-implied that there is still room to reduce the uncertainty could be further

reduced.

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Since-Because estimates based on provincial energy statistics are assumed to be more accurate than those derived from the disaggregation of national totals using spatial proxies, we evaluated the provincial emissions of each inventory using the provincial-based inventory mean (CHRED, MEIC, and NJU) (Figure 5). The results showed that emissions derived from the provincial energy statistics are highly correlated, with R-values ranging from 0.99 to 1.00 and slopes ranging 0.96 to 1.04. By In contrast, the estimates for ODIAC, EDGAR, and PKU, which used IEA national energy statistics, showed an obvious disparity, especially in the top 5 live greatest most —emitting provinces, suggesting the large-significant impact of spatial 270 disaggregated approaches in allocating the allocation of total emissions. The potential implication is that when doing performing spatial disaggregation, national-data-based inventories can use provincial fractions as constraints.



Figure 4. Provincial mean total emissions for ODIAC, EDGAR, PKU, CHRED, MEIC and NJU in 2012. The nNumbers refer tobeneath the green bars are the provincial total CO₂ emissions in Mt.



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Figure 5. Scatter plots of <u>the</u> provincial total emissions for ODIAC, EDGAR, PKU, CHRED, MEIC and NJU in 2012 with <u>the top 5 five</u> <u>ereatest-</u> most emitting provinces highlighted, and the x_-axis is the mean of provincial-data-based products (CHRED, MEIC and NJU).

4.4 Statistics of CO₂ emissions at the grid level

To further characterize the spatial pattern of China's CO_2 emissions, the probability density function (PDF), cumulative emissions, and top 5% emitting grids were analyzed to identify the spatial differences from the distribution of grid cell 280 emissions (Figure 6). As illustrated in Figure 4a, ODIAC showed a large-significant number of cells with zero emissions (62%) (Figure 6a), with medium--emitting grids (500-50,000 t CO₂/km²) consisted constituted 30%, while and high--emitting grids (>50,000 t CO₂/km²) consisted constituted 3%. While Although the low-emissions cells (1----500 t CO₂/km²) were mainly located in EDGAR (58%) and CHRED (69%) (Figure 6b and d); and the medium-emitting grids consisted 285 constituted 30-40%, while nonetheless the high-emitting grids consisted only amounted to 2-3%. This situation could have a notable-significant impact on the cumulative national total emissions (Figure 6g). The frequency distribution of highemissions grids revealed the-differences in thet point source data. MEIC showed the largest number of high-emitting cells (500--500,000 t CO₂/km², 5% compared in comparison with the others, which were at 2-3%, Figure 6e) by using a high-resolution emissions database (CPED) including that included more power plant information (Li et al., 2017;Liu et al., 290 2015a). Furthermore, ODIAC and EDGAR showed a good agreement agreed well regarding the in-high emissions (>-100,000 t CO₂/km²), because because their point source emissions were both from the CARMA database (Table 1). Moreover, CARMA is the only global database for tracking that tracks CO_2 that gathersed and presentsed the best available estimates of CO_2 emissions for 50,000 power plants around the world, of which around approximately 15,-000 have latitude and longitude information with emissions larger greater than 0. The database is responsible for includes approximately about

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5 one-quarter of all greenhouse gas emissions. However, CARMA is no longer active (the last update was November 28, 2012), and the geolocations of power plants are not <u>sufficiently</u> accurate <u>enough</u>, especially in China (Byers et al., 2019;Liu et al., 2013;Wang et al., 2013;Liu et al., 2015a). Therefore, users <u>have tomust perform_do</u> corrections themselves (Liu et al., 2013;Oda et al., 2018;Wang et al., 2013;Janssens-Maenhout et al., 2019b;Liu et al., 2015a).

As depicted shown in by___the cumulative emissions plot (Figure 6g), PKU and NJU showed very similar cumulative curves,

- 300 and soand the situation was similarly for-did EDGAR and CHRED. Moreover, the total emissions for EDGAR and CHRED were largely determined by a small proportion of high_-emitting grids with-that showed a steep increase at the last stage of the cumulative curves (Figure 6g), and the top 5% emitting grids accounted for approximately ~90% of the total emissions (Figure 6e), higher than those of which is greater than the comparable values of 82%, 71%, 58% and 51% in-for_ODIAC, MEIC, NJU and PKU, respectively. The emissions from PKU, MEIC and NJU were relatively evenly distributed. This was
- 305 due to the fact thatbecause CHRED was mainly derived from enterprise-level point sources (Cai et al., 2018). In contrast, the emissions of PKU showed-were the most evenly patterndistributed, and the emissions from the top 5% emitting grids only accounted for 51% (Figure 6g). This was because PKU had-incorporated a special area source survey data for the Chinese rural areas from a 34,489-household energy-mix survey and a 1,670-household fuel-weighing campaign (Tao et al., 2018). Moreover, the use of a spatial disaggregation proxy using based on population density also contributed to this spatial pattern.
 200 Sincide level DUH a bilitie house a list is the part of the space of the spatial pattern.
- 310 Similarly, MEIC and NJU exhibited a even distribution were evenly distributed because of the same activity data from CESY, National Bureau of Statistics (Table 1).



Figure 6. Frequency counts (a-f), cumulative emissions (g) (grids <u>were-are</u> sorted from low to high), and <u>the</u> top 5% emitting grids plots (h) for ODIAC, EDGAR, PKU, CHRED, MEIC and NJU in 2012 at <u>a</u> 10–km resolution.

To identify the locations of hotspots, the bubble plots (Figure S2) demonstrated the spatial distribution of high-emitting grid cells that were <u>larger greater</u> than 50 kt CO₂/km². CHRED, EDGAR and ODIAC showed <u>a</u>-similar patterns, with high-emitting grids concentrated in city clusters (e.g., Jing-Jin-Ji, the Yangtze River Delta, and the Pearl River Delta) and the eastern coast (Figure S2). EDGAR and ODIAC both derived their power plant emissions from CARMA, but ODIAC was likely to <u>put-place</u> more emissions than EDGAR over urbanized regions with lights, especially in the North China Plain. The emissions of CPED and CARMA were similar in China, with a minor difference of 2%, <u>but-although</u> the numbers of power plants had a large differencevaried significantly (2320 vs. 945) (Liu et al., 2015a), <u>which</u>. This-implied that CARMA tended to allocate similar emissions to fewer plants than CPED.

5. Discussion

5.1 Activity data differences in the datasets and their effects

325 Activity-The activity data sources, data level and sectors wereare the significant determinants ofed the total emissions-largely. As can be seenAs seen in Fig. 1, activity data and EF determine the total emission estimates, and then affect the spatial distributions through by using disaggregation proxies of for point, line and area sources. It has been well-discussed that the sum of <u>the</u>provincial data is <u>larger greater</u> than the national total (Guan et al., 2012;Hong et al., 2017;Liu et al., 2015b;Shan et al., 2018;Liu et al., 2013). CEADs (<u>p</u>Provincial) is 8-18% <u>higher-greater</u> than CEADs (<u>n</u>National) after year 2008 (Figure

- 330 2). And thus Thus, the province-based estimates (e.g., NJU and MEIC) are higher-greater than CEADs (<u>nNational</u>). This difference could be attributed to the differences in national and provincial statistical systems and artificial factors, such as the fact that some of provincial energy balance sheets were adjusted to make to achieve the an exact match between supply and consumption (Hong et al., 2017). For example, the provincial statistics has suffer from data inconsistency and double counting problems (Zhang et al., 2007;Guan et al., 2012). One possible way to improve these statistics is to use the
- 335 provincial consumption fractions to rescale the national total consumptions when distributing emissions to grids. Hong et al. (2017) found that the ratio of the maximum discrepancy to the mean value was 16% due to the use of different versions of national and provincial data in CESY. Ranges of 32-47% of CO₂ emissions from the power sector (mainly coal use) were found among the inventories, while for the transport sector (mainly liquid fuels), the fractions ranged from 7-9%. Apart from such differences, one peak of FFCO2 emissions was identified by most datasets in 2013, which were which was due-largely found to be due to the slowing economic growth (National Bureau of Statistics, 1998–2017), changes in the industrial
- structure (Mi et al., 2017;Guan et al., 2018) and a decline in the share of coal used for energy (Qi et al., 2016). <u>S</u>, and strategies for reducing emissions could be based on such uniformed trends, while making reduction policies for provinces <u>needs-requires</u> the support of provincial_energy-based datasets instead of national_energy-based <u>onesdatasets</u>. Estimates with more sectors <u>would</u>-are usually higher than those with fewer sectors. For-In regard to the incorporation of
- different emissions sectors, EDGAR has includes international aviation and bunkers (Janssens-Maenhout et al., 2017) and NJU has incorporates wastes sector(Liu et al., 2013) (Table S1), and thus, both were higher than the others. Moreover, for MEIC_v.1.3 downloaded from the official website, it included biofuel combustion (which accounted for approximately 5.7% of the total) was included, and; however, the version used here was specially prepared to exclude biofuel to increase the database's comparability of the database. For another instanceIn addition, CEADs industry processes only take account offinclude cement production and was thus lower than those (e.g., NJU and EDGAR) with that include more processes (iron and steel, etc.) (Janssens-Maenhout et al., 2017;Shan et al., 2018;Liu et al., 2013). For The PKU dataset, it used IEA energy statistics with more detailed energy sub-subtypes. The emissions factors was were based on more detailed energy sub-subtypes with lower EFs, and while other inventories used the averages of large groups (Table 1), and such that the sum
- of more detailed <u>sub-sub</u>types might not equal to-the total of large groups due to <u>the</u> incompleteness of the statistics., and
 tThese <u>factors</u> could <u>be-explain the</u> reasons for <u>its-the</u> lower emissions estimate (Wang et al., 2013). A further comparison with IEA, EIA and BP estimates with only energy_-related emissions also confirmed that estimates with more sectors would be <u>higher-greater</u> than those with fewer (Figure S1).

5.2 Emission factor eEffects of emission factors on the total emissions

Carbon emissions are calculated from activity data and EFs, and the uncertainty in estimates is typically reported as 5%-

360 $_{-10\%}$, while the maximum difference in this study reached 33.8% (or 2.7 PgC) in 2012. One major reason for this difference is the EF used by these inventories (Table 1). The EF for raw coal ranged from 0.491 to 0.746. For example, CEADs used 0.499 tC per ton of coal based on large-sample measurements, while EDGAR used 0.713 from the default values recommended by IPCC (Janssens-Maenhout et al., 2017; Liu et al., 2015b; Shan et al., 2018), and the differences are-were due largely due to the low quality and high ash content of Chinese coal. The variability of lignite and coal quality is quite 365 large significant. In Liu et al., (2015), the carbon content of lignite ranged from $11\frac{-51\%}{2}$, with a mean \pm SD of $28\% \pm 13$ (n=61). Furthermore, another study showed that the uncertainty from EFs (-16— to -24%) was much-significantly higher greater than that from activity data (-1 -to 9%) (Shan et al., 2018). We recommended substituting the IPCC default coal EF with the CEADs EF. Regarding the plant-level emissions from coal consumptions, the collection of their the EFs measured at fields representing the quality and type of various coals are-is highly-much-needed to calibrate the large point source 370 emissions, and we call for the inclusion of physical measurements for the calibration and validation of existing datasets (Bai et al., 2007;Dai et al., 2012;Kittner et al., 2018;Yao et al., 2019). Different fuel types would-contribute differently to emissions factors, i.e., for the same net heating value, natural gas emitted lowest the least amount of carbon dioxide (61.7 kg CO2/TJ energy), followed by oil (65.3 kg CO2/TJ energy) and coal (94.6 kg CO2/TJ energy), and one). Similarly, one successful example for reducing the reduction of air pollutants and CO_2 was that the Chinese government initiated the 375 "project of replacement of coal with natural gas and electricity in North China" in 2016 (Zheng et al., 2018a). Moreover, the non-oxidation fraction of 8% used in Liu et al. (2015) (Liu et al., 2015b) for coal was attributable to the differences when comparing with a default non-oxidation fraction of 0%, as recommended by IPCC (2006) in EDGAR (Janssens-Maenhout et al., 2017). Moreover, the averaged qualities of coal qualities are varying vary with time, yet we lacked such time-series quality data on raw coal. Bottom-up inventories typically use time-invariant EFs for CO₂ due to the lack of information on 380 coal heating values over time; similarly, and the MEIC model also uses constant EFs of CO_2 (Zheng et al., 2018). Teng and Zhu (2015) recommended time--varied conversion factors from raw coal to standard coal, and as well as to change the raw

5.3 Spatial distribution of point, line and area sources

5.3.1 Point sources in datasets and their effects on spatial distribution

385 Point sources emissions account for a large proportion of the total emissions (Hutchins et al., 2017). Power plants consumed about-approximately half of the total coal production in the past decade (Liu et al., 2015a). Thus, the accuracy of point sources was extremely important for improving emission estimates. ODIAC, EDGAR, and PKU all distributed power plant emissions from the CARMA dataset. However, the geolocation errors in China are relatively large, and only 45% of power plants were are located in the same $0.1 \times 0.1 \circ$ grid in CARMA v2.0 as according to the real power plants locations that were 390 identified by eveballing visual inspection in google Google maps (Wang et al., 2013), because. This discrepancy is due to the

coal to commodity coal in energy balance statistics since because the latter has relatively efficient statistics on EF.

<u>fact thatbecause</u> CARMA generally treats the city-center latitudes and longitudes as the approximate coordinates of the power plants (Wheeler and Ummel, 2008;Ummel, 2012).

Liu et al. (2015a) found that CARMA neglected about approximately 1300 small power plants in China. Thus, CARMA allocated similar emissions to a more limited number of plants than CPED (Table S2, 720, 1706 and 2320 point sources for

ODIAC, EDGAR and MEIC, respectively), and ODIAC had fewer point sources due to <u>the</u> elimination of <u>wrong-incorrect</u> geolocations. The high-emitting grids in CHRED were attributed to the 1.58 million industrial enterprises from the First China Pollution Source Census (FCPSC) <u>that were</u> used as point sources (Wang et al., 2014). Following the CARMA example, we call on the open source of large point sources for datasets and <u>reinforce the importance that</u> Chinese scientists need to<u>must</u> adjust the locations of point sources from CARMA.

400 5.3.2 Effects of spatial disaggregation methods on line and area sources

Downscaling methods are widely used for because of its their uniformity and simplicity because of thedue to the lack of detailed spatial data. The dDisaggregation methods used (e.g., nighttime light, population) by inventories strongly significantly affect the resulting spatial pattern. For example, ODIAC mainly uses nighttime light from satellite_images to distribute emissions. Thus, the hotspots concentrated more in-strongly in high nighttime light regions. However, using-the use of remote sensing data tendsed to underestimate industrial and transportation emissions (Ghosh et al., 2010). For instance, coal-fired power plants do not emit strong lights and may be far away-from cities by-because transmission lines are used. Electricity generation and use are-usually happened atoccur in different placeslocations, and stronger night-time light does not always mean-indicate_higher CO₂ emissions (Cai et al., 2018;Doll et al., 2000). Furthermore, night-time lights would ignore some other main fossil fuel emissions, such as household cooking with coal. The good correlation between night-time
light and CO₂ emissions is usually on a larger scale basis (national or continental) (Oda et al., 2010;Raupach et al., 2010), while this relationship would fails in populated or industrialized rural areas.

- Transport networks are also used in several inventories for spatial disaggregation. EDGAR and CHRED both showed clear transport emissions, especially in western China. EDGAR uses three road types and their corresponding weighting factors to disaggregate line source emissions. CHRED uses and their flows to distribute traffic emissions.
 - 415 (Cai et al., 2018;Cai et al., 2012). It is easier to obtain the traffic networks but rather difficult to <u>get-obtain_the</u>-traffic flows and vehicle kilometers travelled (VKT) data, and thus, the weighting factors method <u>are-is_much_significantly</u> easier to apply. Population is widely used in spatial disaggregation (Andres et al., 2014;Andres et al., 2016;Janssens-Maenhout et al., 2017).
 The-CDIAC emissions maps originally used <u>a</u>-static population data to distribute emissions <u>and-but have</u> recently <u>have</u> changed to a temporally varying population proxy, which <u>has</u> largely reduced the uncertainty. However, the unified algorithm
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for spatial disaggregation, such as <u>the population density approach</u>, <u>has encounters</u> difficulties in depicting the uneven development of rural and urban areas, and <u>instead</u>, it usually uses interpolation for <u>a</u> limited <u>number of</u> base years and does not truly vary across years at high spatial resolution (Andres et al., 2014). Furthermore, downscaling approaches may introduce approximately 50% error per pixel, which are spatially correlated (Rayner et al., 2010), and this problem needs to<u>a</u> problem which that must be considered in future studies.

- 425 Moreover, big cities <u>have_virtually eliminated thed</u> use of coal (Guan et al., 2018;Zheng et al., 2018a), while in rural areas, the use of coal <u>has_even_often_increased</u> (Meng et al., 2019). For example, a national survey showed that China's rural residential coal consumption fractions for heating increased from 19.2% to 27.2% (Tao et al., 2018). These transitions <u>has</u> <u>have_impacts on the spatial distribution of both CO₂ and air pollutants</u>. <u>And-In addition, the high_-resolution CO₂ emissions</u> <u>have_can_serve as a</u> potential proxy for fossil fuel emissions (Wang et al., 2013);₅ thus, further improvements <u>on-to</u> spatial
- 430 disaggregation should consider these transitions and the surveyed data.
- Data dvailability. The data—sets of ODIAC, EDGAR, PKU and CEADs are freely available from 435 http://db.cger.nies.go.jp/dataset/ODIAC/, http://edgar.jrc.ec.europa.eu/overview.php?v=432_GHG&SECURE=123, http://inventory.pku.edu.cn/download/download.html and http://www.ceads.net/<u>respectively</u>. And CHRED, MEIC and NJU are available from <u>the</u> data developers upon request.

Author contributions. PFH and NZ conceived and designed the study. PFH and XHL collected and analyzed the data sets. PFH, XHL, NZ and TO led the paper writingwrote the paper, with contributions from all the coauthors.

Competing interests. The authors declare that they have no conflict of interest.

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Supporting Information. Data and methodology descriptions of <u>fn</u> the <u>nine</u> datasets and supplementary figures on emission estimates

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