

## ***Interactive comment on “Evaluating China’s fossil-fuel CO<sub>2</sub> emissions from a comprehensive dataset of nine inventories” by Pengfei Han et al.***

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Responses to reviewer 1 Dear Editor and Reviewer, We thank you for your letter and for the reviewer’s constructive comments concerning our manuscript. Those comments are all very valuable and helpful for revising and improving our paper. We have studied your comments carefully and have made corrections accordingly, which we hope to have addressed your concerns. Revised parts are marked in track change mode in the paper. The main corrections in the paper and the responses to the reviewer’s comments are as follows.

Anonymous Referee #1 Received and published: 28 April 2020 Reducing uncertainty in China’s CO<sub>2</sub> emissions and understanding its trends is very relevant of course. The

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presented attempt of a thorough comparison of nine inventories can be useful but in the current form I find it unconvincing. Some sections are not written clearly and do not present clear findings or conclusions. I find the balance between discussing the CO<sub>2</sub> emission sources and strengths and the spatial distribution of CO<sub>2</sub> is not right, the latter receives most of the attention while I think it should be the other way around, or in fact the discussion of emission and trends (section 4.1 is only 1 page) should be expanded. I believe, the paper needs a major revision but most of that should be deeper analysis and better characterization/discussion of reasons for differences and what does it mean for the future, ie., how can we do better. Still, I believe this work shall be published and with all the material collected and already evaluated to some extent, the manuscript can be revised successfully. Here are more specific comments that shall help to understand why I made the above statement. Response: We thank you for understanding the value of this paper. And we revised the MS as you have suggested. Abstract: Line 31: Bearing in mind uncertainties, using ‘about/around’ rather than a precise 28% might be more appropriate. Response: Thank you. Revised accordingly. Line 37: Suggest adding a unit for the emission factors. Additionally (and this is something more important for the section discussing emission factors), there are some good reasons for variability in CO<sub>2</sub> EF for coal as well as change over time (this is something that is not discussed enough in the paper) and so the authors could potentially revisit this statement later after revision. Response: Thank you. Added unit for coal EF (t C per t of coal). Indeed the EF for coal varied with time due to the changing coal quality, we added this in the discussion (lines 413-417). Averaged coal qualities are varying with time, yet we lacked such time-series quality data on raw coal. Bottom-up inventories typically use time-invariant EFs for CO<sub>2</sub> due to the lack of information on coal heating values over time and the MEIC model also uses constant EFs of CO<sub>2</sub> (Zheng et al., 2018). Teng and Zhu (2015) recommended time varied conversion factors from raw coal to standard coal, and change the raw coal to commodity coal in energy balance statistics since the latter has relatively efficient statistics on EF. Moreover, Liu et al. (2015b) considered the EFs and fractions of imported coal

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and local productions and thus the weighted value reflected coal quality varying to a certain degree. Introduction: I recommend a closer look at the whole introduction and consider rewriting it. I find it lack structure and order; it contains lots of information and references but all of it appears to be arranged in a bit chaotic way. A clear separation of discussion of total emissions and trends from spatial distribution would help for example, now these are mixed in different paragraphs (see for example 2nd para). Also, please check Reference style as in the text references use of 'single names' as authors while many of those are papers with many authors as given in the Reference section. The CO<sub>2</sub> emission inventories are uncertain everywhere, highlighting why Chinese are possible more uncertain and why it matters would be important. Response: Thank you so much for your constructive suggestions. We rearranged it as you suggested. We separated total emissions and spatial disaggregation through rewriting the 2nd and 3rd paragraphs. We arranged the introduction from a general background of China's fossil fuel CO<sub>2</sub> emissions, and then to the total estimates and spatial proxies, followed by the local inventories developed within China using more detailed provincial activity data and local optimized emission factors. Finally as you have suggested, we pointed out the importance of this study: Why Chinese are possible more uncertain and why it is important. Thank you for the careful review. We checked and corrected the Ref styles, and the original wrong format was caused by incorrect comma used in EndNote. Line 49-50: suggest adding a reference to IPCC AR5 too Response: Thank you. We added this reference. 2. Emission data As shown in Table 1, the evaluated inventories are covering various periods but overlap. I'd expect that after reading this section (line 107-113) one would know for which years the evaluation will be performed. In fact, even in the method section (3), this is not evident. Response: Thank you for this advice. We added it (year 2012) in lines 160-162. I see that in the SI, there is an extended version of Table 1. I was wondering if adding a row with EFs for cement industry across inventories would be also useful. Response: Thank you for this suggestion. We added EFs for cement productions. I think it would be useful to add a short paragraph explaining why it is important to evaluate spatial distribution of CO<sub>2</sub> emissions. It is certainly

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obvious for the authors and many but not for all. Not sure if this is the best place but (could be also in the introduction or method). Response: Thank you. We added such explanations in the introduction part (lines 73-77). The gridded products provide basic understanding of where emissions come from and provide key inputs for transport and data assimilation models. Furthermore, policy makers can use this information for emissions reductions and environmental monitoring can use it for instruments deployment. 3. Methods I am struggling a little to understand the significance of the Figure 1 as the concept for the evaluation method. The figure does not show anything beyond obvious and sources of data or sectors are listed in further text anyway. In general, I find this whole section is not written very well or informative yet; in fact, beyond the 2nd para where there is some information about spatial analysis I do not see here much of a concept or method explained. I think, this needs further work and clear statement why and how certain things are done and why priority is given to X or Y. Additionally, some of the assumptions about the considered sectors for comparison could be briefly discussed here as inventories do not have the same sources included [some of that is mentioned in the Discussion section but I believe it should be already brought in here]and for a comparison it would be sensible to assure apples are compared to apples as much as possible. Response: Thank you for this suggestion. We added more information in lines 146-149. Actually Fig. 1 only depicts the conceptual procedure in total emissions estimates and how gridded maps are produced for all inventories for a broad range of readers, who may be not specialized or familiar with inventories, thus it is important to know the differences in activity data, EF and spatial proxy data and spatial disaggregation methods they used, to further understand the differences among inventories in total emissions estimates and spatial characteristics. We totally agree with you on this point that assuring apples are compared to apples as much as possible. And we followed this principle to include only the fossil fuel CO<sub>2</sub> (FFCO<sub>2</sub>) and industry processes associated CO<sub>2</sub> emissions. We excluded not so comparable inventories, such as BP and IEA, which only considered FFCO<sub>2</sub>. Line 125: Is "nearest neighbor algorithm" a standard used name and most will be familiar with it? Response:

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Thank you, and we added an explanation on this term. 4. Results I think section 4.1 needs some clearer writing and add discussion as to why the range and uncertainties grow with time. I find the discussion of total (and also sectoral) emissions and trends deserves a lot more space and I find it more important than spatial distribution. Response: Thank you. We added discussions in lines 193-194 explaining the range and uncertainties grow with time. Although the range increased with time, the relative difference remains at around 21%, indicating the systematic differences such as EFs remains stable. And we added more discussions on EF and emissions sectors in lines 178-187, 335, and 346. Line 146: ref to point 1); the EFs were the same for all sectors? Are these country averages? Were they changing over time in these or other inventories? I think it might be useful to add this discussion. Response: Thank you. We added this discussion in lines 178-182. The EFs were different for different fossil fuel types and cement production (Table S2). And they are from either IPCC default values or local optimized values from different sources. They generally do not change over time in these inventories although they should due to the unavailability of EFs over time (Teng and Zhu, 2015;Zheng et al., 2018). Line 149: What are “differences in emission definitions”? Do you mean sources? If so, then it might be important to try to bring it to a common denominator and if not possible then say why and what implications it has rather than saying they are different. Response: Yes, here we mean emission sources or sectors. We agree with you and added in lines 185-187. Although we tried to make these datasets as comparable as possible, there are still minor differences in emission sources (sectors). For example, EDGAR contains abundant industry processes emissions while CEADs only considered cement production. Line 153: MEIC EF lower than EDGAR? Both average over all sectors for coal, or all fuels? In what units? How did change over time if inventories consider this (I think MEIC does). Response: Indeed, MEIC used lower EFs from CEADs (Zheng et al., 2018) than EDGAR for coal and cement productions, while for oil and gas the EF are very close (Table S1). For coal, the EF for MEIC is 0.499 tC per t coal, while EDGAR used IPCC default values, for coal it is 0.713 tC per t coal. Bottom-up inventories typically use time-invariant

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EFs for CO<sub>2</sub> due to the lack of information on coal heating values over time and the MEIC model also uses constant EFs of CO<sub>2</sub> (Zheng et al., 2018). Line 155: “minor difference” Is this good? Sensible? Or the match seems fine but maybe for wrong reason? As mentioned earlier the whole 4.1 misses actual discussion. Response: The “minor differences in magnitude” may be misleading, so we changed this into “small differences in magnitude of total emissions estimates”. As explained above, the relative difference remains around 21%, indicating the potential systematic differences in EFs, which do not change over time. Line 184: “could be attributed”; If information is available and assume it is, then maybe one shall be more certain about it and say “is attributed” stating that this was identified as a reason. Response: We agree with you. We changed it to “is attributed”. Line 188: editorial “ODIAC was lack” Response: Sorry that we cannot quite understand the comment on Line 188: editorial “ODIAC was lack”, and we added more background information in line 228. Here we mean that ODIAC included point sources and area sources and do not have line sources in spatial disaggregation. Fig3. The scale/ranges selected are a bit odd, changing x10/x2.5/x2/x5/x2 and so it makes interpretation of differences a bit more challenging. Response: Thank you for the question. We revised the ranges in Fig.3 to x10. In the old versions, we tried several schemes and used those ranges to fully reflect the differences of inventories to avoid potential saturation of colors. Line 200-2002: Why is this important for cumulative total? I assumed that the spatial distribution comes after emissions are calculated? Response: Thank you for the question. The spatial distribution indeed comes after total emissions are calculated. Cumulative total is important in understanding the spatial distributions, potential use for assignment of responsibilities in emissions reductions, and also for modeling studies that focus on spatial distributions of carbon dioxide sources and sinks. Line 206: I believe somewhere in Discussion section there is mention of issues/completeness of CARMA (and a reference to the paper evaluating it) but it would be useful to mention this also here I think Response: Thank you for this good suggestion. We added completeness and issues of CARMA in lines 305-311. CARMA is the only global database for tracking CO<sub>2</sub> that gathered and presented the

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best available estimates of CO<sub>2</sub> emissions for 50,000 power plants around the world, of which around 15,000 have latitude and longitude information with emissions larger than 0. The database is responsible for about one-quarter of all greenhouse gas emissions. However, CARMA is no longer active (the last update was November 28, 2012), and the geolocations of power plants are not accurate enough, especially in China (Byers et al., 2019; Liu et al., 2013; Wang et al., 2013; Liu et al., 2015a). Therefore users have to do corrections themselves (Liu et al., 2013; Oda et al., 2018; Wang et al., 2013; Janssens-Maenhout et al., 2019; Liu et al., 2015a). Line 241-244: Isn't it obvious? Anything different would be strange, wouldn't it? Response: Indeed, you are right, and this is assumed to be so and proved to be. In presenting this, we also want to indicate that when doing spatial disaggregation, national-data-based inventories can use provincial fractions as constraints. Since national inventories do not directly include provincial information, they can use the weights from provincial data based inventories to rescale and redistribute the national total estimates. Fig 5: Editorial: The numbers are actually not always 'under' so it might be better to say that the numbers simply refer to the green bars Response: Thank you for the careful review. Revised accordingly. 5. Discussion Suggest to revisit the whole 5.1 to improve clarity. I am struggling to understand several statements here. Response: Thank you. We added more explanations and discussions around lines 335-347. Line 256: "Artificial factors" – what is meant here? Response: Added descriptions in lines 341-342. Here "Artificial factors" means data may be adjusted artificially to meet certain goals. For example, Hong et al. (2017) pointed out that some provinces had zero statistical difference; that is the supply data matches the consumption data exactly, which may indicate that some provincial data were adjusted to achieve the exact match. Moreover, the energy revisions in 2005 and 2010 have adjusted the total national energy use with special attention to the annual coal consumption (Guan et al., 2012), after the second economic census it was found to bring the country closer to achieving its energy conservation targets (Aden, 2010). Line 257-259: I have difficulty to understand what is suggested here. Response: Here we mean that the fractions of provincial emissions in province-data-based inventories

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can serve as regional constraints in spatial disaggregation of national-data-based inventories. Since national inventories do not directly include provincial activity data information, they can use the weights from provincial data based inventories to rescale and redistribute the national total estimates. Line 265: First sentence; what does it mean? It hints that possibly the comparison is not really addressing the same sources and that in some inventories some are missing? If so, then I think this should be mentioned much earlier and then statements about which specific sectors are of concern and if the other (common) sectors compare reasonably. Response: Yes, you are right, and we added explanations in lines 185-187. Although we tried to make the inventories as comparable as possible, some minor sources/sectors are different among inventories (see table S1 emission sectors for detail), and most datasets only provide total estimates or major sectors, and do not provide such detailed sub-sectors data. Section 5.2 could benefit from additional discussion of: - % of CO<sub>2</sub> from coal use vs. cement production vs. liquid fuels (transport, etc) - Differences between coals used in different sectors; where such info exists and how important it could be - Change in EFs (especially for coal) over time owing to potentially declining or improving fuel quality in specific sectors Response: Thank you for the good suggestions. And we added such discussions in lines 346-347. Such results (see below figure) are already prepared in another sectorial comparison paper.

Fractions of sectoral emissions in inventories. Section 5.4 – I think the title should really explicitly refer to 'area sources and line sources'. In fact, I thought that one can have one section 5.3 for "spatial distributon" and then sub sections on point sources and area sources. Response: We agree with you on this good idea. We combined 5.3 and 5.4 and make point, line and area sources as sub sections. Table S1: I find this table very difficult to read. One should consider reformatting and to show included source-sectors in each inventory I'd suggest to make a row for each sector and then 'tick' the ones that are covered in specific inventory. It would make reading of the table much easier. Response: Thank you for this good suggestion, and we revised accordingly.

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Please also note the supplement to this comment:

<https://www.atmos-chem-phys-discuss.net/acp-2019-643/acp-2019-643-AC1-supplement.pdf>

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