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The characteristics of atmospheric brown carbon in Xi'an, inland China: sources, size distributions and optical properties

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Abstract: To investigate the characteristic of atmospheric brown carbon (BrC) in the semi-arid region of East Asia, $PM_{2.5}$ and size-resolved particles in the urban atmosphere of Xi'an, inland China during the winter and summer of 2017 were collected and analyzed for optical properties and chemical compositions. Methanol extracts (MeOH-extracts) were more light-absorbing than water extracts (H_2O- extracts) in the optical wavelength of 300-600 nm, and well correlated with nitrophenols, polycyclic aromatic hydrocarbons (PAHs) and oxygenated PAHs ($R^2 > 0.6$). The light absorptions ($abs_{\lambda=365nm}$) of H_2O- extracts and MeOH-extracts in winter were 28±16 M/m and 49±32 M/m, respectively, which are about 10 times higher than those in summer, mainly due to the enhanced emissions from biomass burning for house heating. Water extracted BrC predominately occurred in the fine mode (< 2.1 μm) during winter and summer, accounting for 81% and 65% of the total absorption of BrC, respectively. The light absorption and stable carbon isotope composition measurements showed an increasing ratio of $abs_{\lambda=365nm}$-MeOH to $abs_{\lambda=550nm}$-EC along with an enrichment of ^{13}C in $PM_{2.5}$ during the haze development, indicating an accumulation of secondarily formed BrC (e.g., nitrophenols) in aerosol aging process. PMF analysis showed that biomass burning, fossil fuel combustion, secondary formation, and fugitive dust are the major sources of BrC in the city, accounting for 54.7%, 19%, 16.2%, and 10% of the total BrC of $PM_{2.5}$, respectively.

Key words: Brown Carbon; Haze; Stable carbon isotope composition; Biomass burning; Secondary formation.

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1. Introduction

Brown carbon (BrC) is a small fraction of carbonaceous aerosols, but it exhibits strong absorption abilities from near ultraviolet (UV) to visible light regions, and thus has been given extensive investigation in the recent decades (Laskin et al., 2015;Yan et al., 2018;Gustafsson et al., 2009). BrC has significant impact on climate change directly by absorbing solar radiation and indirectly by accelerating snowmelt and affecting the albedo (Qian et al., 2015;Andreae and Ramanathan, 2013). Based on the remote sensing observations and chemical transport models (Chung et al., 2012;Wang et al., 2014;Jo et al., 2016), a non-negligible positive radiative forcing by BrC was found on a global scale with a range from 0.1 to 0.6 W m^{-2}. Beyond that, BrC also influence the atmospheric chemistry and human health. For example, BrC can shield polycyclic aromatic hydrocarbons (PAHs) from being oxidized, and thus substantially elevate lung cancer risk from PAHs (Hsu et al., 2014;Yan et al., 2018).

The sources of BrC are complicated, which can be primarily emitted from incomplete combustion of carbon-containing materials (e.g., biomass, coal and petroleum products.) and secondarily derived from aqueous-phase reaction (Sun et al., 2017;Gilardoni et al., 2016;Xie et al., 2018;Nakayama et al., 2013). Biomass burning was found to be a major primary source of BrC (Chen and Bond, 2010;Chakrabarty et al., 2010;Saleh et al., 2014), because lignin is of an unsaturated benzene-like structure, which is a chromorphore group. Field measurements and laboratory studies found that BrC is also of secondary sources by forming chromophores during the atmosphere ageing process, e.g., high-NOx photooxidation (Liu et al., 2016;Xie et al., 2017), ozonolysis of aromatic precursors (Lee et al., 2014), and aqueous-phase

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photochemical oxidation and polymerization (Smith et al., 2014;Flores et al., 2014;Bones et al., 2010). BrC products account for very small weight fraction of organic aerosol (OA), but have a significant effect on OA optical properties. For example, nitroaromatic compounds that are generated by photooxidation of toluene under high NOx conditions may account for 40-60% of the total light absorption of toluene-SOA (Lin et al., 2015).

Multiple approaches have been developed to quantify the light absorption properties of BrC (Moosmuller et al., 2009), and a common and sensitive approach is the direct measurement of spectrophotometric properties of aerosol water or filter extracts by using optical instrumentation. The advantage of this method can avert interference from insoluble absorption material (e.g., black carbon)(Cheng et al., 2016;Shen et al., 2017), and supply high-resolution spectrum over a wide wavelength coverage. Furthermore, it is favorable for characterization of BrC light-absorbing components by combing with other analytical techniques, such as mass spectrometry (MS)(Laskin et al., 2015;Corr et al., 2012;Satish et al., 2017).

Xi'an is a metropolitan city located in Guanzhong Basin of inland China, which is a typical semiarid region in East Asia and have been suffering from serious particle pollution due to the large emission of anthropogenic pollutants (Wu et al., 2018;Wang et al., 2016;Wu et al., 2019), especially intensive coal combustion and biomass burning in winter for house heating (Wang et al., 2017). Many studies have been conducted on the BrC optical properties in China, but most of which were based on $PM_{2.5}$ and PM_{10} sample collection and focused on the bulk aerosol optical properties with no information on the size distributions (Shen et al., 2017;Huang et al., 2018). In this study, both $PM_{2.5}$ and size-segregated aerosol samples in

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Xi'an were collected during the 2017 winter and summer and analyzed for the characteristics of BrC. We firstly investigated the seasonal variations of chemical composition and light-absorption of BrC in the city, then discussed the size distribution of BrC and the impact of aerosol ageing process on BrC, and finally quantified its source contributions.

2. Experimental section

2.1 Sample collection

Aerosol samples with a 12-hr interval were collected using a high-volume (~1.13 m^3 min^{-1}) air sampler (Tisch Environmental, Inc., OH, USA) from December 31, 2016 to January 22, 2017 (in winter) and from July 18 to August 6, 2017 (in summer). The sampler was installed on the roof of a three-story building on the campus of the Institute of Earth Environment, CAS (34.22ºN, 108.88ºE), which was located at the urban center of Xi´an, inland China. Meanwhile, size-resolved aerosols with 9 size bins (cutoff points were 0.43, 0.65, 1.1, 2.1, 3.3, 4.7, 5.8, and 9.0 μm, respectively) were collected by using an Anderson sampler at an airflow rate of 28.3 L min^{-1} for 24 hr. All samples were collected onto the pre-baked (450℃ for 6 hr) quartz filters and stored in a freezer (-18℃) prior to analysis.

2.2 Chemical analysis

A punch (0.526 cm^3) from each $PM_{2.5}$ filter sample was analyzed for organic carbon (OC) and elemental carbon (EC) with a DRI Model 2001 Thermal/Optical Carbon Analyzer (Atmoslytic Inc., Calabasas, CA, USA) following the IMPROVE-A protocol (Chow et al., 2007). More details of the method including quality assurance and quality control (QA/QC) can be found elsewhere (Wang et al., 2010).

Partial filters were cut into pieces, and then extracted three times under sonication with

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15ml Milli-Q pure water (18.2 MΩ). Ten ions such as SO_4^{2-}, NO_3^-, Cl^-, NH_4^+, and K^+ were determined using ion chromatography (Dionex, ICS-1100). Similar extraction processes were also applied to measure the water-soluble organic carbons (WSOC) of the samples by following the method of Wang et al. (2013). In order to analyze the organic compounds in the samples such as levoglucosan, PAHs, OPAH and nitrophenols, aliquot of the filter was extracted with a mixture of methanol and DCM (1:5, v/v), derivatized with BSTFA and measured by using gas chromatography (HP 7890A, Agilent Co., USA) coupled with mass spectroscopy detector (GC/MS) (HP 5975, Agilent Co., USA). Details of sample extraction and derivatization were documented elsewhere (Wang et al., 2009b;Ren et al., 2017). Stable carbon isotope composition of total carbon ($\delta^{13}C_{TC}$) was determined by using an elemental analyzer (EA) (Carlo Erba, NA 1500) coupled with an isotope ratio mass spectrometer (IRMS, Finnigan MAT Delta Plus), more details of the method can be referred to elsewhere (Cao et al., 2016).

2.3 Light absorption measurements

Brown carbon (BrC) was extracted from a size of 6 cm^3 filter samples for 30min ultrasonication with 20ml Milli-Q pure water or methanol. All extracts were then filtered through 0.45 μm PTFE (for water) and 0.22 μm PES (for methanol) pore syringe filter to remove insoluble components and filter remnants. The light-absorption spectra were analyzed with a UV–visible spectrophotometer (AOE INSTRUMENTS, China) over a wavelength range of 190–900 nm (Hecobian et al., 2010). The absorption coefficient of water or methanol extracts (M m^{-1}) could be calculated as the following equation (Teich et al., 2017):

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149 $$abs_{\lambda} = (A_{\lambda} - A_{700}) \frac{V_l}{V_a \times L} \times \ln(10) \quad (1)$$

150 Where A_{λ} and A_{700} were the light absorption of the extracts at the wavelength of λ and
151 700nm, respectively. V_l represented the volume of the solvent extracting the filter sample, and
152 V_a was referred to the volume of air corresponding to the filter punch. L was the absorbing
153 path length (i.e., 1 cm for the currently used quartz cuvettes). The ln(10) was converted from
154 base 10 (the form provided by the spectrophotometer) to natural logarithms. According to the
155 previous studies, the absorption coefficient at 365nm was used as the brown carbon
156 absorption in order to avoid disturbance of inorganic salts such as nitrate.

157 The bulk mass absorption coefficient (MAC, m^2/g) of the extracts at a given wavelength
158 can be described by the following equation:

159 $$MAC = \frac{abs_{\lambda}}{C_{W(M)SOC}} \quad (2)$$

160 Where $C_{WS(OC)}$ was the WSOC mass concentration of the water extracts or
161 methanol-soluble organic carbon (MSOC) mass concentration of the methanol extracts. In this
162 study, we assumed that OC could be completely dissolved in methanol solvent and substituted
163 the MSOC to participate in the calculation. This hypothesis would lead to somewhat
164 underestimation of the MAC of the methanol extracts, although high extraction efficiency of
165 methanol solvent had reported by previous studies (Liu et al., 2013) .

166 The wavelength dependence of light-absorption with respect to the empirically defined
167 power law relationship described by the following equation (Laskin et al., 2015):

168 $$MAC = K\lambda^{-AAE} \quad (3)$$

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Where K is a factor that includes aerosol mass concentrations, the AAE is termed as absorption Angström exponent. In this study, the AAE value of the filter extracts was determined by a linear regression of log(absλ) versus log(λ) over a wavelength range of 300-450nm.

2.4 Positive Matrix Factorization (PMF) source apportionment

PMF, as a receptor model, decomposes the sample matrix into two matrices (factor contributions and factor profiles), and has been widely used in source apportionment of atmospheric pollutants. More details on PMF can be found on the EPA website (https://www.epa.gov/air-research/epa-positive-matrix-factorization-50-fundamentals-and-user-guide). In the present work, the mass concentrations of major species (OC, EC, WSOC, SO_4^{2-}, NO_3^-, NH_4^+, Ca^{2+}), organic markers (benzo(b)fluoranthene (BbF), benzo(e)pyrene (BeP),indeno(1,2,3-c,d)pyene (IP), levoglucosan, and nitrophenols), and abs_λ of water extracts have been used as the input data to perform the source apportionment for brown carbon with the EPA PMF 5.0 version, similar reports have been found elsewhere (Hecobian et al., 2010). The model was run numerous times with 3–7 factors and various combinations of the concentration and absorption data set. Base on the Q value (Q_{true} and Q_{robust}) and r^2, which are indicative of the agreement of the model fit, four factors were obtained as the optimal solution.

3. Results and discussion

3.1 Carbonaceous species in $PM_{2.5}$ during summer and winter

Figure 1 shows the temporal variations in the concentrations of $PM_{2.5}$, WSOC, OC and $abs_{\lambda=365nm}$ value during the two seasons. WSOC varied from 5.3 to 67 μg/m^3 in winter with an

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average of 23 ± 13 μg/m^3 (Table 1), which was 4.0 times higher than that in summer. OC exhibited a similar seasonal variation with WSOC with an average of 41 ± 25 μg/m^3 in winter and 8.4± 2.4 μg/m^3 in summer, respectively. Whereas, WSOC/OC ratio was much higher in summer (0.70 ± 0.12) than that in winter (0.58 ± 0.13), partly as a result of an enhanced photochemical formation of WSOC under the intense sunlight conditions, similar phenomena were also found in Beijing (Ping et al., 2017), Shanghai (Zhao et al., 2015a), Tokyo (Miyazaki et al., 2006) and Southeastern United States (Ding et al., 2008).

PAHs, OPAHs, and nitrophenols, as ubiquitous matters in the atmosphere, are mainly derived from combustion emission (e.g., coal, biomass) (Wang et al., 2015), although OPAHs and nitrophenols can also be derived from secondary formation (Lin et al., 2015;Xie et al., 2017). These matters are the efficient light-absorbing compounds due to owning specific light-absorbing molecular structures-BrC chromophores (Lin et al., 2017;Bluvshtein et al., 2017). Herein, 14 PAHs, 7 OPAHs, and 7 nitrophenols were examined for investigating their effect on BrC absorption. As seen in Figure S1, the temporal variations of PAHs, OPAHs, and nitrophenols were similar with levoglucosan, which is recognized as the tracer of biomass burning emissions, indicating that biomass burning is one of the major sources of these compounds .Concentrations of PAHs, OPAHs, and nitrophenols during winter were 149 ± 89 ng/m^3, 174 ± 98 ng/m^3 and 17 ± 12 ng/m^3 (Table 1), respectively, and were 10 - 43 times higher than those in summer, which can be explained by an increasing emission from residential heating during winter in the city and its surrounding regions.

As shown in Table S1, $abs_{\lambda=365nm}$ extracted by methanol displays well correlations with PAHs, OPAHs, and nitrophenols, especially in winter ($R^2 > 0.80$), which suggests that those

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species are important light absorption contributors for BrC in Xi'an. Huang et al. (2018) found that PAHs and OPAHs in Xi'an accounted for, on average, 1.7% of the overall absorption of methanol-soluble BrC, but their mass fraction in OC was only 0.35%. A recent study reported that biomass burning also emitted nitroaromatic compounds, particularly nitrophenols, and accounted for 50-80% of the total visible light absorption (> 400 nm) (Lin et al., 2017). The robust correlations of above compounds with the absorption at λ=365 nm suggest that PAHs, OPAHs and nitrophenol are strong light-absorbing species.

3.2 Light absorption of BrC in water and methanol extracts

3.2.1 Seasonal variations of light absorption by BrC

As shown in Figure 2a and 2b, the marked feature of BrC in Xi'an is that the absorption spectrum increased notably from the visible to the ultraviolet ranges, and the average abs-MeOH at λ=365 nm was 1.5 - 1.7 times higher than abs-H_2O in the two seasons, indicating that MSOC provided a more comprehensive estimation for BrC. Due to enhanced emission of BrC, average $abs_{\lambda=365nm}$ of BrC found in winter was 49 ± 32 M/m for MeOH and 28 ± 16 M/m for WSOC, which were 9.5- and 8.1-fold higher than that in summer. This phenomenon was also observed in previous studies in Xi'an (Shen et al., 2017;Huang et al., 2018) and other areas of China (Du et al., 2014;Chen et al., 2018). Compared with other regions (Table 2), the absolute $abs_{\lambda=365nm}$ values in Xi'an were slightly lower than that in Indo-Gangetic Plain, India (Satish et al., 2017;Bachi, 2016), but were considerably higher than that in Beijing, China (Du et al., 2014), US (Zhang et al., 2011) and Korea (Kim et al., 2016), suggesting that BrC pollution is more significant in Xi'an, a developing region in China. Furthermore, enhanced $abs_{\lambda=365nm}$ loading in the nighttime was observed during the

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two seasons, which can be ascribed to the shallower boundary layer height and the absence of photo-bleaching processes in nighttime (Saleh et al., 2013;Zhao et al., 2015b).

Linear regression slopes on the scatter plots of $abs_{\lambda=365nm}$ values versus WSOC or OC represented the average of MAC at 365 nm (i.e., MAC_{WSOC} and MAC_{MSOC}). During winter, there was a slight disparity between the MAC_{WSOC} and MAC_{MSOC} with the averages of 1.2 ± 0.06 and 1.3 ± 0.03 m^2/g (Figure 2e), respectively, which indicates that there are some similar chromophores of BrC between the two fractions. $abs_{\lambda=365nm}$ showed a strong linear correlation with levoglucosan ($R^2 > 0.97$), suggesting that abundant BrC may be largely derived from biomass burning. As shown in Fig. S2, mass ratios of levoglucosan/mannosan and levoglucosan/galacosan in the $PM_{2.5}$ samples are similar to biomass types (i.e., woods, leaves, wheat straw), again reflecting that biomass burning combustion in Xi'an and its surrounding regions are probably the major sources of BrC in the city during winter. Compared to winter, the MAC in summer was slightly lower, which can be in part attributed to the less abundant light-absorbing PAHs and OPAHs due to no biomass burning for house heating. Moreover, with increasing photooxidation in summer, fragmentation reactions would occur and thus decrease light absorption for BrC aerosols, as reported by Sumlin et al. (2017), because higher levels of O_3 and OH radicals in summer intensify the photooxidation and diminish the BrC aerosol light absorption by reducing the size of conjugated molecular systems. Interestingly, we found that the MAC_{WSOC} (1.1 ± 0.2 m^2/g) in summer was significantly enhanced compared to MAC_{MSOC} (0.8 ± 0.1 m^2/g), which can be ascribed to more amount of non-BrC in methanol extracts. The $abs_{\lambda=365nm}$ showed a poor correlation with levoglucosan (Table S1), further indicating that the biomass burning was not the dominant source for BrC in summer.

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Absorption Ångström exponents (AAE), which were derived from the filter methanol- and water-extracted BrC (AAE_{WSOC} and AAE_{MSOC}) for wavelengths between 300 and 450 nm, were 6.1 ± 9.7 and 5.3 ± 8.5 (Table 2) in winter, respectively, and resembled that in Beijing (Cheng et al., 2016), Guangzhou (Liu et al., 2018) and Indo- Gangetic Plain (Bachi, 2016), possibly indicating that the chemical compositions of BrC chromophores in these regions are similar during winter. As seen in Table 2, unlike those of H_2O-extracts, the averaged values of MAC and AAE of MeOH extracts were 40% and 10% higher in winter than in summer, suggesting that chemical compositions of BrC are different between the two seasons in the city and the winter BrC contained more non-polar compounds that are of stronger light-absorbing ability.

3.2.2 Aerosol size distribution of BrC

Particles with different sizes are of different chemical compositions, and thus optical properties of BrC in different size of particles are also different (Zhang et al., 2015;Zhai et al., 2017). However, information on size distribution of BrC absorption is very limited. In this study, we mainly focused on the water-extracted samples, because particles deposited on the filter surface are unevenly distributed, making the quantifications of OC and EC in the size-segregated samples not accurate enough. As shown in Figure S3, there was a good relationship between the $abs_{\lambda=365nm}$ ($R^2 > 0.96$) of the samples collected by Anderson sampler and those collected by high-volume $PM_{2.5}$ sampler (Fig. S3), suggesting a good agreement between the two sampling methods.

As show in Figure 3, $abs_{\lambda=365nm}$ presented a bimodal pattern during winter and summer, dominating at the fine mode (Dp <2.1μm) with relative contributions of 81% and 65% to the

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total absorption in the two seasons, respectively. These proportions were similar to those reported for a forest wildfire event, which showed that 93% of the total BrC absorption was in the fine particles (0.10 < Dp < 1.0 μm) (Lorenzo et al., 2018). Maximum absorptions were observed at 1.02 and 0.71μm (Dpg- geometric mean diameters, Figure 3a and 3b) in winter and summer, respectively, which is in agreement with the observations by Lei et al (2018), who found that the major peaks for BrC absorption were in the rang from 0.5μm to 1.0μm in urban and may shift toward smaller size (< 0.4μm) for particles released from burning experiments (Lei et al., 2018). However, the size distribution pattern of MAC was different from that of $abs_{\lambda=365nm}$ in Xi'an, which presented a monomodal distribution with a peak in the fine mode (<2.1μm) in winter and a bimodal distribution in summer with two peaks in the fine (<2.1μm) and coarse (>2.1μm) modes, respectively (Figure 3c and 3d). As seen in Figure 3c and 3d, the fine mode of MAC was around 50% larger in winter than that in summer, suggesting that water-soluble fraction of winter fine particles was more light-absorbing compared to that in summer, probably due to the summertime stronger bleaching effect.

3.3 Underestimation of BrC absorption by solvent extraction methods

A few studies pointed out that absorption properties of BrC extracted by bulk solution may not entirely reflect the light absorption by ambient aerosols. Here, we further calculated the light absorption of the samples using the Mie theory combined with an imaginary (*k*, responsible for absorption) refractive index with assumptions that particles were spherical morphology and externally mixed with other light-absorbing components. The imaginary refractive index could be obtained from MAC using follow equation (Laskin et al., 2015):

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$$k_{(\lambda)}=\frac{\rho\,\lambda\,abs}{4\pi\times WSOC}=\frac{\rho\,\lambda\,MAC}{4\pi} \quad (4)$$

Where ρ (g/cm^3) was particle density and assigned as 1.5, more details about Mie calculations can be referred to the study by Liu et al. (2013).

As noted above, most BrC aerosols were in the fine mode (<2.1μm), thus, here we only focused on this fraction for the Mie calculations. The values of imaginary refractive in winter remains nearly constant (0.038-0.048) for different particle sizes at λ=365 nm (Table 3), which was about two times smaller than that (0.093 ± 0.049) over Gangetic Plain, India (Shamjad et al., 2017). Values of *k* in summer were slight smaller when compared to those in winter, suggesting that the aerosols in summer were more aged. Sumlin et al. (2017) found that *k* decreases with the atmospheric aging from 0.029 ± 0.001 to 0.019 ± 0.001 at λ=375 nm. However, *k* values in this study were 1.8 to 8.1 times higher than previously reported values from the United States (Liu et al., 2013;Washenfelder et al., 2015). This is because that $PM_{2.5}$ particles in Xi'an, China are enriched in BrC and the mass absorption coefficient was considerably higher than that in US. Figure 4 compares the difference between $abs_{\lambda=365nm}$ predicted by Mie theory and that extracted by the bulk solution. Mie theory predicted $abs_{\lambda=365nm}$ was 1.3-fold higher than that measured by the bulk solution, suggesting that the solvent extraction methods, which have commonly been used for atmospheric BrC measurements, could result in a significant underestimation on optical absorption of aerosols.

3.4 The characteristic of BrC with the aerosol aging

During the ageing process secondary organic aerosols (SOA) with strong chromophores can be generated and efficiently absorb solar radiation (Lin et al., 2014;Lin et al., 2016). From

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Figure 5, it can be found that air quality in Xi'an during the winter varied from the clean ($PM_{2.5}$ < 75 μg/m^3) to the polluted conditions ($PM_{2.5}$ > 75 μg/m^3) from the period of 12th January to 19th January. Such a case provides an opportunity to investigate the changes in light-absorption by BrC during the aerosol ageing process.

As shown in Figure 5a and 5b, $abs_{\lambda=365nm}$ extracted by water and MeOH in Xi'an during the campaign showed an increasing trend from 12th January to 19th January, which is similar to $PM_{2.5}$ loadings but opposite to the visibility, indicating that BrC is one of the important factors leading to the visibility deterioration. From Figure 5b, it can also be seen that light absorption of water-extracts dominated over the total BrC absorption especially in daytime and showed a variation pattern similar to the $PM_{2.5}$ (Figure 5a) and WSOC loadings (Figure 5c), indicating a continuous formation of secondary BrC during the aerosol ageing process. To illustrate this point, the stable carbon isotopic composition ($\delta^{13}C_{TC}$) of total carbon (TC) in the samples was measured. WSOC/OC showed a positive correlation with the $\delta^{13}C_{TC}$, demonstrating an ageing process of aerosols during the haze development from 12th to 19th, January, although it was weak (r = 0.47, n = 17). Similar conclusions were also reported by Yang et al. (2004) and Pavuluri et al. (2015). From Figure 5c, increasing trends of OPAHs and nitrophenols were observed during the haze development, suggesting that more SOAs with chromophores were generated during such an aerosol ageing process, because these compounds are also of secondary origins. To exclude the possible impact of the changes in BrC source emissions, the values of PAHs/OC and levoglucosan/OC were applied in this study, because PAHs and levoglucosan emission factors are different for different sources(Nguyen-Duy and Chang, 2017). As shown in Fig. S4, both of values indistinctively

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change during the aerosol ageing process, indicating that the increasing $abs_{\lambda=365nm}$ are not caused by the changes in source emissions. Moreover, we found that MAC_{MSOC} values during the age process also increased (Figure 5a), further suggesting that the bleaching effect on light-absorbing BrC was reducing during the haze developing process.

EC, which is also called as black carbon, is one of the major absorbing aerosol components in the atmosphere (Collier et al., 2018;Peng et al., 2016). To further assess the relative contribution of BrC during the aerosol ageing process, we compared the mass absorption efficiency of EC at λ=550 nm (7.5 ± 1.2 m^2/g) with BrC by using the method reported from Yan et al. (2015) and Kirillova et al. (2014). As shown in Figure 5c, the concentrations of EC have a slight change in the haze period, so the changes in light absorption of EC remained nearly constant. However, the ratio of $abs_{\lambda=365nm}$-MeOH/$abs_{\lambda=550nm}$-EC increasingly became larger along with the visibility deterioration from January 12^{th} to January 19^{th} (Fig. 5b), while the mass ratios of PAHs/EC, OPAHs/EC and nitrophenols /EC during the period showed a significant negative correlation with visibility (Fig. S5), further suggesting that the impact of BrC on the visibility was more significant in comparison with EC.

During the haze developing process organic aerosols are usually getting more aged and enriched in heavier ^{13}C due to the kinetic isotopic effect (KIE) (Wang et al., 2010). As shown in Figure 6a and b, $\delta^{13}C$ of $PM_{2.5}$ samples presented a strong positive correlation with $abs_{\lambda=365\ nm}$-MeOH (R^2=0.68) in the daytime, while there was no such a correlation in the nighttime during the haze period of January 12^{th} -19^{th}, indicating a daytime formation of secondary BrC. From Figure 6c and 6d, we also found that the correlation of $abs_{\lambda=365\ nm}$-MeOH/ $abs_{\lambda=550\ nm}$-EC

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ratio with nitrophenol was much stronger in daytime than in nighttime, which is opposite to the correlation of $abs_{\lambda=365\ nm}$-MeOH/ $abs_{\lambda=550\ nm}$-EC ratio with PAHs. Nitrophenols can be produced from secondary photooxidation of phenol with NOx, while PAHs are produced solely from direct emissions especially from coal and biomass burning for house heating. The opposite diurnal correlations of $abs_{\lambda=365\ nm}$-MeOH/ $abs_{\lambda=550\ nm}$-EC ratio with nitrophenols and PAHs again revealed an enhanced formation of secondary BrC during the aerosol ageing process.

3.5 Positive matrix factorization (PMF) analysis for BrC source apportionment

In the current work, The EPA PMF 5.0 model was used for identifying the possible sources of BrC. Because the number of the collected samples in each season was not large enough, data from the two seasons were merged together to form a dataset of 80 × 12 (80 samples with 12 species) in order to obtain an accurate analysis according to the PMF user guide. The resolved source profiles (factors) represented the sources that influenced variability in the selected components throughout two seasons in Xi'an. Similar approach was also reported by Zhang et al. (2010). With several iterative testes, a solution with four factors was identified as the optimal solution. As shown in Table S2, the values of Q_{true} and Q_{robust} were consistent, which indicates that the model fits the input data well. Furthermore, the correlation coefficient between input and model values ranged from 0.82 to 0.99 with an average 0.96, also implying that the model fit well. This assess method was widely used in previous studies (Ren et al., 2017;Wang et al., 2009a).

Figure7 shows the factor profiles resolved by the model. Factor 01 was characterized by high levels of BeF (52.4%), BeP (56.5%), and IP (67.2%), which were primarily derived from

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coal combustion and vehicle exhausts (Kong et al., 2010;Ma et al., 2010;Harrison et al., 1996), further, relatively high OC (28.5%) and EC (25%) associated with this factor was well known tracers of exhaust emissions (Zong et al., 2016), so we identified Factor 01as the source from fossil fuel combustion. Factor 02 (fugitive dust) shows high contribution of Ca^{2+} (66.8%) and a moderate loading of EC (39.3%). Ca, as one of the most abundant crustal elements, is largely from construction work, resuspended dust or soil sources (Chow et al., 2004;Han et al., 2007). In addition, EC was a well-known tracer of vehicular emissions (Dorado et al., 2003), so this factor can be attributed to the impact of vehicles passing with higher speeds, leading to resuspend non-tailpipe particles. Moreover, the concentrations of Ca^{2+} in the night were almost higher than that during the day time, with averages of 1.8 ± 1.56 and 1.43 ±0.85 μg/m^3, respectively. This is consistent with time for transporting the construction wastes by lorry. Thus, factor 02 was identified as fugitive dust. Factor 03 was identified as secondary formation, as it is associated with high loadings of NO_3^- (62.8%), SO_4^{2-} (72.8%), NH_4^+ (68.8%) and a moderate loading of OC and WSOC, indicating the presence of secondary inorganic and organic aerosols. The factor 04 showed high loadings with nitrophenols, levoglucosan, and abs-MeOH and was identified as biomass burning, because levoglucosan is the tracer for biomass burning smoke, and nitrophenols can be produced in the aging process of biomass burning plume.

Figure 8 shows the contributions of the above sources to the light absorption at λ=365nm, which also represents the fraction of brown carbon for the factors. Biomass burning was the primary source of the BrC, accounting for 54.7% of the total BrC in the city, which is coincided with the results discussed in the section 3.2.1. A significant fraction (about 19%) of

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BrC was associated with fossil fuel combustion. The fraction of secondary BrC was about 16.2%, which was enhanced during the summer due to the efficient photochemical formation of secondary chromophores. The AAE value, closed to the aged SOA-AAE (4.7-5.3) (Bones et al., 2010), can also verify it. The remaining fraction of BrC was derived from the fugitive dust in the city. The results of BrC source apportionment for the Xi'an samples are in line with the work by Shen et al. (2017) and also similar to the results obtained in Beijing by using radiocarbon fingerprinting (Yan et al., 2017).

4. Conclusions

This study investigated the seasonality of the light-absorption characteristics of BrC in Xi'an. Light absorption coefficient (MAC) of methanol-extracts at 365nm was 1.5-1.7 folds higher than that of water-extracts in the two seasons, suggesting non-polar compounds in the city are of stronger light-absorbing ability that that of polar compounds. The strong correlation of levoglucosan with BrC and the diagnostic ratios of levoglucosan/mannosan and levoglucosan/galacosan revealed that the wintertime abundant BrC ($abs_{\lambda=365nm}$-MeOH of 49.18 ± 31.67 M/m) in Xi'an was mainly derived from the residential biofuel combustion for house heating in the city and its surrounding region. Size distribution results showed that 81% and 65% of BrC occurred in the fine mode (< 2.1μm) during winter and summer, respectively, which is characterized by a monomodal size distribution with a peak in winter and a bimodal size distribution in summer with two peaks in the fine and coarse modes, respectively. The fine mode of MAC is 50% higher than that in summer, suggesting that the light-absorbing ability of wintertime fine particles is stronger, due to the abundant occurrence of PAHs and other aromatic compounds in the fine mode. The linear correlation between the ratio of

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431 $abs_{\lambda=365nm}$-MeOHO/$abs_{\lambda=550nm}$-EC and the enrichment of ^{13}C during the haze development
432 indicated an accumulation of secondary BrC in the aerosol ageing process. The daytime
433 strong correlation of the ratio of $abs_{\lambda=365nm}$-MeOHO/$abs_{\lambda=550nm}$-EC with nitrophenols in the
434 haze event further revealed that such an enhanced production of secondary BrC is related to
435 the photooxidation of aromatic compounds with NOx. Source apportionment by using PMF
436 showed that 55% of the BrC was associated with biomass burning in the city during the
437 campaign, with 19 and 16% of BrC derived from fossil fuel combustion and secondary
438 formation, respectively.
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440
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442 Author contributions. GW designed the experiment. CW, JiaL, JinL and CC collected the
443 samples. CW and ZZ conducted the experiments. CW and GW performed the data
444 interpretation and wrote the paper. All authors contributed to the paper with useful scientific
445 discussions or comments.
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449 Competing interests. The authors declare that they have no conflict of interest.
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748

749 Fig. 2 Seasonal average values of $abs_{\lambda=365nm}$, AAE, and MAC extracted by MeOH and H_2O.
750 AAE is calculated by linear regression fit log ($abs_{\lambda=365nm}$) versus log(λ) in the wavelength
751 range of 300–450 nm. (The shadows indicating the standard deviations)
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753 Fig. 3 Size distributions of $abs_{\lambda=365nm}$ and MAC of $PM_{2.5}$ samples extracted by water during
754 the winter and summer of 2017 in Xi'an.
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756 Fig. 4 Comparison of $abs_{\lambda=365nm}$ of samples between predicted by Mie theory and extracted by

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water for different particle size (Dp < 2.1μm).

Fig. 4 Comparison of $abs_{\lambda=365nm}$ of samples predicted by Mie theorywith those of samples extracted by water for different particle sizes (Dp < 2.1μm).

Fig. 5 Temporal variations of $PM_{2.5}$, meteorological parameters, $abs_{\lambda=365nm}$ of carbonaceous matter and organic compounds in the period of January 10th -20th (The cyan shadow indicates a haze period from January 12th to 19th with a daily $PM_{2.5}$ > 75 μg/m^3).

Fig.6 Linear fit regressions for the ratio of light absorption of methnoal-extracts to light absorption of EC ($abs_{\lambda=365nm}$-MeOH/$abs_{\lambda=550nm}$-EC) with (**a** and **b**) $\delta^{13}C$ and (**c** and **d**) relative abundance of nitrophenol to EC(Nitrophenol/EC) in the day- and night-$PM_{2.5}$ samples collected during the haze period of January 12th to19th (corresponding to the cyan shadow in Figure 5) in Xi'an.

Fig. 7 Factor profiles resolved by PMF mode during the winter and summer sampling period. The bars represent the concentrations of species and the dots represent the contributions of species appointed to the factors (the summer and winter samples were merged together for the PMF analysis due to the limited number of samples).

Fig. 8 Source apportionment for airborne fine particulate BrC in Xi'an during the campaign.

Table 1. Concentrations of organic carbon in $PM_{2.5}$ and meteorological conditions during winter and summer of 2017 in Xi'an, inland China.

	Winter	Summer
I. Mass concentrations of organic matter in $PM_{2.5}$		
WSOC (μg/m^3)	23 ± 13	5.8 ± 1.4
OC (μg/m^3)	41 ± 25	8.4 ± 2.4
PAHs (ng/m^3)	149± 89	8.1 ± 6.5
OPAHs (ng/m^3)	174 ± 98	17 ± 8.7
Nitrophenols (ng/m^3)	17± 12	0.40 ± 0.27
Levoglucosan (ng/m^3)	739 ± 432	29 ± 22
II. $PM_{2.5}$ and meteorological parameters		
$PM_{2.5}$ (μg/m^3)	194 ± 141	37 ± 16
T (℃)	2.6 ± 2.9	31 ± 5.4
RH (%)	60± 20	58 ± 19
Visibility (km)	7.0 ± 7.0	21 ± 11

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Table 2. Comparison on light absorption ($abs_{\lambda=365nm}$), MAC, and AAE values of water-extracts of $PM_{2.5}$ in Xi'an, China with those in other cities.

Location	Time	$abs_{\lambda=365nm}$ (M/m)		MAC (m^2/g)		AAE		References
		Winter	Summer	Winter	Summer	Winter	Summer	
Xi'an, China	2016-2017	49±32[a]	5.2±2.1[a]	1.3±0.03[a]	0.8[a]±0.1[a]	6.1±9.7[a]	5.5±8.8[a]	This study
		28±16	3.5±1.7	1.2±0.06	1.1±0.2	5.3±8.5	4.8±7.7	
	2008-2009	46±20[a]	8.3±2.3[a]	1.3[a]	0.7[a]	6.0[a]	6.0[a]	Huang et al. (2018)
		25±12	5.0±1.3	1.7	1.0	5.7	5.7	
	2010-2011	10±8.6	3.7±3.8	1.3	0.5			Du et al. (2014)
Beijing, China	2011	10±6.9		1.2		7.3		Cheng et al. (2016)
	2013	14±5.2	4.6±2.2	1.5	0.7	5.3	5.8	Yan et al. (2015)
Nanjing, China	2015-2016	9.4 ± 4.7	3.3±2.4	1.0	0.5	6.7	7.3	Chen et al. (2018)
Guangzhou, China	2012	3.6±1.3		0.8		5.3		Liu et al. (2018)
Delhi, India	2010-2011			1.6		5.1		Kirillova et al. (2014)
	2015-2016	24±19		1.2				Satish et al. (2017)
Indo- Gangetic Plain India	2011	40 ± 18[b]		1.3[b]		5.1[b]		Bachi et al. (2016)
		52 ± 27[c]		1.3[c]		5.3[c]		
Seoul, Korea	2013-2013	11[a]	5.8[a]	0.9[a]	1.5[a]	5.5[a]	4.1[a]	Kim et al.(2016)
		7.3	0.9	1.0	0.3	5.8	8.7	
Atlanta, US	2010		0.6±0.4		1.2-0.2		3.4	Zhang et al. (2011)
Los Angeles Basin, US	2010		0.4-1.6		0.7		7.6	Zhang et al. (2013)

Notes: [a] solution extracted by MeOH; [b] samples collected at day time; [c] samples collected in the night

Table 3. Complex refractive index (k) of brown carbon from samples extracted by water in two seasons.

Particle size (μm)	Winter	Summer
1.31	0.047 ± 0.005	0.021 ± 0.010
0.73	0.048 ± 0.008	0.033 ± 0.010
0.45	0.048 ± 0.013	0.031 ± 0.009
0.18	0.038 ± 0.016	0.026 ± 0.008

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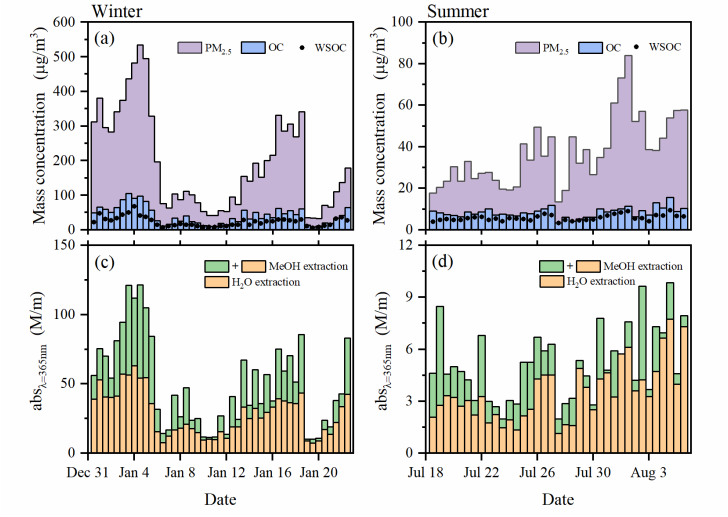
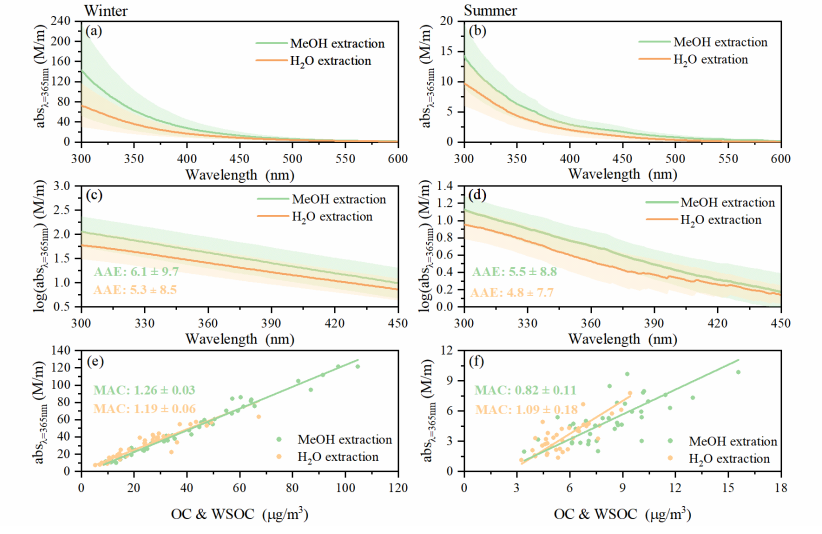


Fig. 1 Temporal variations of WSOC, OC, $PM_{2.5}$, and $abs_{\lambda=365nm}$ of $PM_{2.5}$ samples extracted by water (H_2O-extraction) and methanol (MeOH-extraction) during winter (**a** and **c**) and summer (**b** and **d**).



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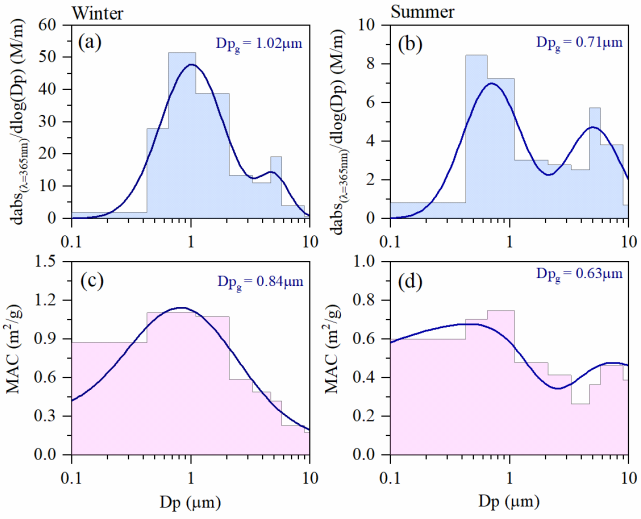

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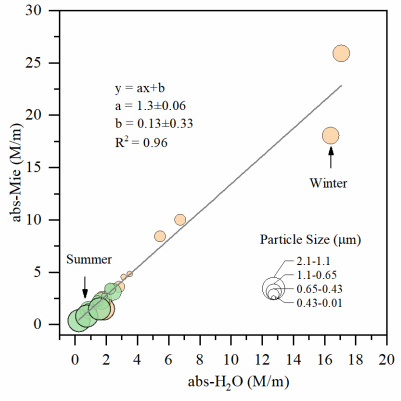

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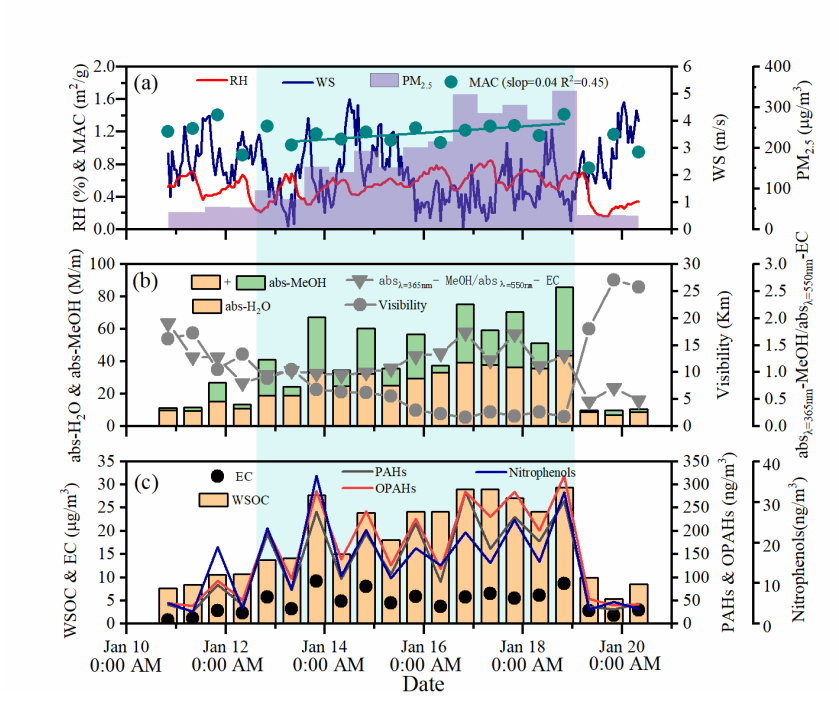

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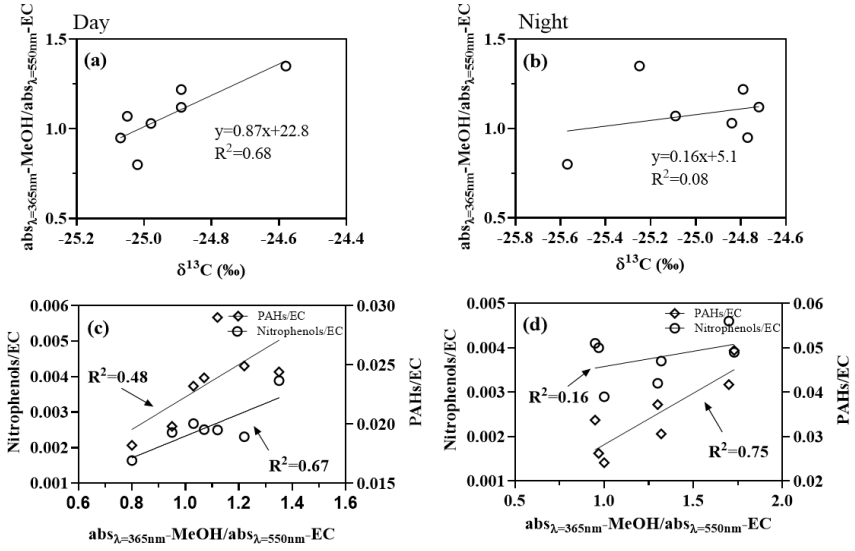

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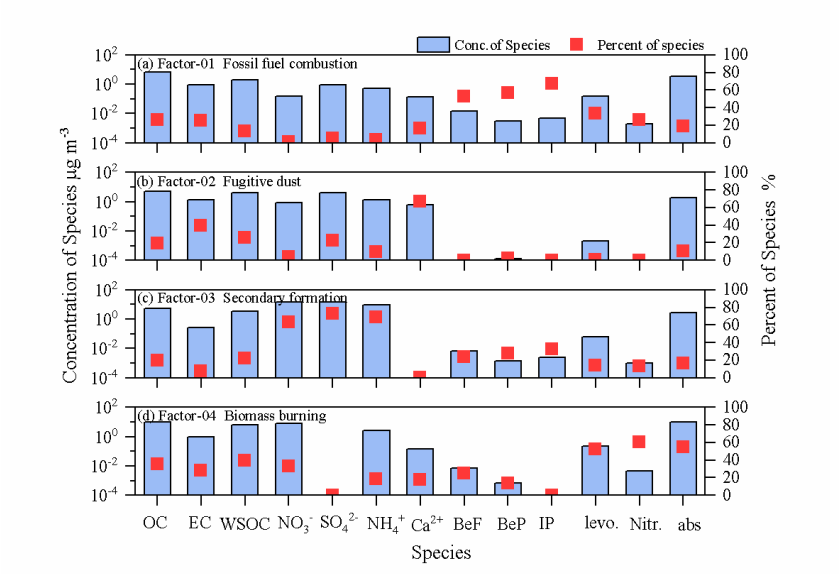

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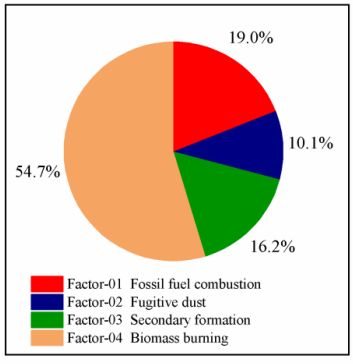

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