



1 **Distinct diurnal variation of organic aerosol hygroscopicity and its relationship with**
2 **oxygenated organic aerosol**

3 **Ye Kuang^{1,*†}, Yao He^{2,†}, Wanyun Xu⁵, Yele Sun^{2,*}, Pusheng Zhao⁶, Yafang Cheng⁴, Gang Zhao³,**
4 **Jiangchuan Tao¹, Nan Ma¹, Hang Su⁴, Yanyan Zhang¹, Jiayin Sun⁷, Peng Cheng⁷, Wenda Yang⁷,**
5 **Shaobin Zhang¹, Cheng Wu⁷, Chunsheng Zhao³**

6 [1] {Institute for Environmental and Climate Research, Jinan University, Guangzhou 511443, China}

7 [2] {State Key Laboratory of Atmospheric Boundary Layer Physics and Atmospheric Chemistry,
8 Institute of Atmospheric Physics, Chinese Academy of Sciences, Beijing, China}

9 [3] {Department of Atmospheric and Oceanic Sciences, School of Physics, Peking University, Beijing,
10 China}

11 [4] {Max Planck Institute for Chemistry, Mainz 55128, Germany}

12 [5] {State Key Laboratory of Severe Weather & Key Laboratory for Atmospheric Chemistry, Institute
13 of Atmospheric Composition, Chinese Academy of Meteorological Sciences, Beijing, 100081, China}

14 [6] {Institute of Urban Meteorology, China Meteorological Administration, Beijing, 100089, China}

15 [7] {Institute of Mass Spectrometer and Atmospheric Environment, Jinan University, Guangzhou
16 510632, China}

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22 † These authors contribute equally to this paper.

23 *Correspondence to: Ye Kuang (kuangye@jnu.edu.cn), Yele Sun (sunyele@mail.iap.ac.cn)



24 Abstract

25 The hygroscopicity of organic aerosols (OA) is important for investigation of its climatic and
26 environmental impacts. However, the hygroscopicity parameter κ_{Org} remains poorly characterized,
27 especially in the relatively polluted environment on the North China Plain (NCP). Here we conducted
28 simultaneous wintertime measurements of bulk aerosol chemical compositions of $PM_{2.5}$ and PM_1 and
29 bulk aerosol hygroscopicity of PM_{10} and PM_1 on the NCP using a capture vaporizer time-of-flight
30 aerosol chemical speciation monitor (ToF-ACSM) and a humidified nephelometer system which
31 measures aerosol light scattering enhancement factor $f(RH)$. A method for calculating κ_{Org} based
32 on $f(RH)$ and bulk aerosol chemical composition measurements was developed. We found that κ_{Org}
33 varied in a wide range with significant diurnal variations. The derived κ_{Org} ranged from almost 0.0
34 to 0.25 with an average ($\pm 1\sigma$) of 0.08 (± 0.06) for the entire study. The derived κ_{Org} was highly
35 correlated with f_{44} (fraction of m/z 44 in OA), an indicator of oxidation degree of OA ($R=0.79$), and
36 the relationship can be parameterized as $\kappa_{Org} = 1.04 \times f_{44} - 0.02$. On average, κ_{Org} reached the
37 minimum (0.02) in the morning near 07:30 and then increased rapidly reaching the peak value of 0.16
38 near 14:30. The diurnal variations of κ_{Org} were highly and positively correlated with those of mass
39 fractions of oxygenated OA ($R = 0.95$), indicating that photochemical processing played a dominant
40 role for the increase of κ_{Org} in winter on NCP. Results in this study demonstrate the potential wide
41 applications of humidified nephelometer system together with aerosol composition measurements for
42 investigating the hygroscopicity of OA in various environments, and highlight that the
43 parameterization of κ_{Org} as a function of OA aging processes needs to be considered in chemical
44 transport models for better evaluating the impacts of OA on cloud formation, atmospheric chemistry
45 and radiative forcing.

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48 1 Introduction

49 Aerosol hygroscopic growth plays significant roles in different atmospheric processes including
50 atmospheric radiation transfer, cloud formation, visibility degradation, atmospheric multiphase
51 chemistry and even air pollution health effects, and therefore is crucial for studies on aerosol climatic
52 and environmental impacts. Organic materials in ambient aerosol particles, usually referred to as



53 organic aerosol (OA), contribute substantially to ambient aerosol mass and frequently contribute more
54 than half to submicron aerosol particles mass under dry state (Jimenez et al., 2009). The hygroscopicity
55 parameter κ (Petters and Kreidenweis, 2007) of organic aerosols (κ_{Org}) is a key parameter for
56 investigating the roles of organic aerosol in radiative forcing, cloud formation and atmospheric
57 chemistry. Liu and Wang (2010) demonstrated that $\pm 50\%$ changes in κ of secondary organic aerosol
58 (0.14 ± 0.07) can lead to 40% changes in predicted cloud condensation nuclei (CCN) concentration.
59 Rastak et al. (2017) reported a global average difference in aerosol radiative forcing of -1 W/m^2
60 between κ_{Org} of 0.05 and 0.15, which shares the same order with the overall climate forcing of
61 anthropogenic aerosol particles during the industrialization period. Li et al. (2019) reported that
62 organic aerosol liquid water contributed 18-32% to total particle liquid water content in Beijing.
63 Despite its importance, κ_{Org} has not yet been well characterized due to the extremely complex
64 chemical compositions of organic aerosol. Therefore, it is important to conduct more researches on the
65 spatiotemporal variation and size dependence of κ_{Org} and its relationship with aerosol chemical
66 compositions to reach a better characterization and come up with more appropriate parameterization
67 schemes in chemical, meteorological and climate models.

68 The large variety in OA chemical constituents makes it difficult to directly link κ_{Org} to specific
69 organic aerosol compositions. The OA chemical composition is tightly connected to their volatile
70 organic precursors, which are also rich in variety and come from many natural and anthropogenic
71 sources. OA with different oxidation levels will also behave differently in respect to hygroscopic
72 growth. Thus, studies on κ_{Org} at different locations and time periods have reported distinct
73 characteristics. Many studies have investigated the influence of OA oxidation level (represented by
74 O:C ratio or fraction of m/z 44 ACSM ion signal, f_{44}) on its hygroscopicity (Chang et al., 2010; Lambe
75 et al., 2011; Duplissy et al., 2011; Mei et al., 2013b; Wu et al., 2013; Hong et al., 2015; Chen et al.,
76 2017; Massoli et al., 2010) and have reached a conclusion that in average κ_{Org} generally increases as
77 a function of organic aerosol oxidation level, however, the statistical empirical relationship between
78 κ_{Org} and O:C ratio or f_{44} differs much among different studies. Several studies have also analyzed
79 the diurnal variation characteristics of κ_{Org} at different locations and periods (Cerully et al.,
80 2015; Bougiatioti et al., 2016; Deng et al., 2018; Deng et al., 2019; Thalman et al., 2017), with some
81 exhibiting distinct diurnal variations (Deng et al., 2018; Deng et al., 2019; Bougiatioti et al., 2016) and



82 others not so much (Cerully et al., 2015). Studies on κ_{Org} has already been reported for several
83 locations around the world, however, only Wu et al. (2016) have reported the influences of OA
84 oxidation level on κ_{Org} in the North China Plain (NCP) region, which is one of the most polluted
85 regions on earth. The diurnal characteristics of κ_{Org} in the NCP have not been reported so far.
86 Therefore, more investigation into the diurnal variation of κ_{Org} and its relationship to OA oxidation
87 level is required to better understand its characteristics in the NCP.

88 In addition, in previous studies on κ_{Org} , the Humidity Tandem Differential Mobility Analyzer
89 (HTDMA) or CCN counter were applied for aerosol hygroscopicity measurements. Both the HTDMA
90 and size-resolved CCN measurements can only be used to derive a κ within a certain size range
91 (HTDMA: usually diameter below 300 nm, with a reported highest diameter of 360 nm (Deng et al.,
92 2019), CCN: with diameter up to ~200 nm (Zhang et al., 2014; Rose et al., 2010)). The aerosol particles
93 contributing most to aerosol optical properties (Bergin et al., 2001; Quinn et al., 2002; Cheng et al.,
94 2008; Ma et al., 2011; Kuang et al., 2018) and aerosol liquid water contents (Bian et al., 2014) in
95 continental regions are usually in the diameter range of 200 nm to 1 μm , which the HTDMA and CCN
96 hygroscopicity measurements cannot represent. Results from several studies have reported that κ_{Org}
97 usually differentiates among particle size (Frosch et al., 2011; Kawana et al., 2016; Deng et al., 2019).
98 Especially, results from Deng et al. (2019) demonstrated that κ_{Org} increase with the increase in
99 particle dry diameter. These results further demonstrate that studies about information of κ_{Org} of
100 larger particles would be helpful for κ_{Org} studies.

101 Other than HTDMA and CCN counter, the humidified nephelometer system which measures
102 aerosol light scattering enhancement factors is also widely used in aerosol hygroscopicity research
103 (Titos et al., 2016). The hygroscopicity parameter κ retrieved from measured light scattering
104 enhancement factor is usually referred to as $\kappa_{f(RH)}$ (Chen et al., 2014; Kuang et al., 2017), which
105 represents the overall hygroscopicity of aerosol particles with their diameters ranging from 200 nm to
106 800 nm for continental aerosols (see discussions in Sect.3.3 for physical understanding of $\kappa_{f(RH)}$).
107 Using the retrieved $\kappa_{f(RH)}$ together with the according bulk aerosol chemical compositions
108 measurements of PM_{10} (particulate matter with aerodynamic diameter less than 10 μm , corresponding
109 to mobility diameter of about 800 nm), κ_{Org} can be derived, representing the hygroscopicity of
110 organic aerosol particles in the diameter range of 200 to 800 nm. In this study, both the light scattering
111 enhancement factor of PM_{10} (particulate matter with aerodynamic diameter less than 10 μm) and PM_{10}



112 particles were measured. The aerosol chemical compositions were measured using an aerosol chemical
113 speciation monitor (ACSM). With these two aspects of aerosol measurements, κ_{Org} is derived, and
114 the relationship between κ_{Org} and the OA oxidation degree, as well as the diurnal variation of κ_{Org}
115 are investigated.

116 Site and instrument information are introduced in Sect.2. Method of deriving κ_{Org} based on
117 retrieved $\kappa_{f(RH)}$ and bulk aerosol chemical compositions measurements are proposed and discussed
118 in Sect.3. Results and discussions are presented in Sect.4, followed by conclusions.

119 **2 Site and instruments**

120 From 11th November to 24th December 2018, continuous measurements of physical, optical and
121 chemical properties of ambient aerosol particles as well as meteorological parameters such as
122 temperature, wind speed and direction and relative humidity were made at the Gucheng site in
123 Dingxing county, Hebei province, China. This site is an Ecological and Agricultural Meteorology
124 Station (39°09'N, 115°44'E) of the Chinese Academy of Meteorological Sciences. The site locates
125 between Beijing (~ 100km) and Baoding (~40km), two large cities on the North China Plain, and is
126 surrounded by farmland and small residential towns.

127 **2.1 Inlet system and instruments**

128 During this field campaign, all instruments were housed in an air-conditioned container, with the
129 temperature held almost constant near 24 °C. The schematic diagram of the inlet systems for the
130 aerosol sampling instruments is displayed in Fig.1. Three inlet impactors are used for aerosol sampling,
131 two PM₁₀ inlets and one PM₁ inlet, respectively sampling ambient aerosol particles with aerodynamic
132 diameter less than 10 μm and 1 μm. Nafion driers with lengths of 1.2 m were placed downstream of
133 each PM impactor inlet, which can drop the RH of sampled air below 15%, thus, sampled aerosol
134 particles can be treated as in dry state. Additionally, downstream every PM impactor inlet an MFC
135 (mass flow controller) and a pump was added for automatic flow compensation, to ensure that each
136 impactor reaches their required flow rate of 16.7 L/min and guaranteeing for the right cut diameters.



137 Aerosol sampling instruments can be categorized into four groups according to their inlet routes.
138 The first group (group1) downstream of the first PM₁₀ inlet is comprised of only one instrument, the
139 Aerodynamic Particle Sizer (APS, TSI Inc., Model 3321), measuring the size distribution of ambient
140 aerosol particles with aerodynamic diameter ranging from 700 nm to 20 μm at a temporal resolution
141 of 20 seconds. The second group (group 2) includes a humidified nephelometer system (consisting of
142 two nephelometers and a humidifier) that measures aerosol optical properties (scattering and back

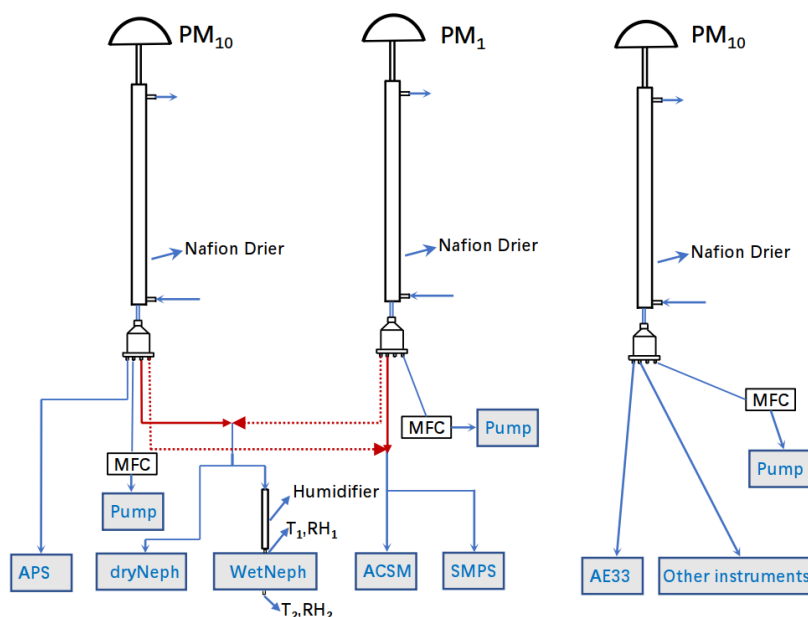


Figure 1. Schematic diagram of the inlet systems for aerosol sampling instruments

143 scattering coefficients at three wavelengths: 450 nm, 525 nm, 635 nm) of ambient aerosol particles in
144 dry state (DryNeph) and under 85% RH condition (WetNeph). The third group (group3) includes two
145 instruments, an ACSM and a scanning mobility particle sizer (SMPS; TSI model 3080). The ACSM
146 measures non-refractory particulate matter (NR-PM) species including organics, SO_4^{2-} , NO_3^- , NH_4^+ ,
147 and Cl^- with an air flow of 0.1 L/min and a time resolution of 2 minutes. Since the ACSM instrument
148 comes with a PM_{2.5} impactor, chemical composition of PM_{2.5} rather than of PM₁₀ were measured.

149 The SMPS measures particle mobility diameter size distributions with a diameter range of 12 nm
150 to 760 nm. The inlets of group2 and group3 switches every 15 minutes, as denoted by the dashed and
151 solid red lines in Fig.1, enabling the instruments of these two groups to alternately measure the
152 chemical and optical properties of PM₁₀ and PM₁. The fourth group (group4) includes an AE33



153 aethalometer (Drinovec et al., 2015) and other aerosol instruments. Due to technical issues with the
154 humidifier, the humidified nephelometer system started to operate continuously since the 30th Nov .

155 In addition, the ambient meteorological parameters like RH, temperature, wind speed and
156 direction and air pressure were observed using an automatic weather station at a 1 minute time
157 resolution.

158 2.2 The humidified nephelometer system

159 The humidified nephelometer system we built was set up to measure dry state aerosol optical
160 properties at a fixed RH of 85%. The RH of the air sample is increased by a humidifier that consists
161 of two layers. The inner layer is a Gore-Tex tube layer passing through sampled air, while the outer
162 layer is a stainless-steel tube with circulating liquid water. The water vapor penetrates through the
163 Gore-Tex tube and humidifies the sample air, while liquid water is kept from the inner layer by the
164 Gore-Tex material. Upon the switch of inlets between group 2 and group 3, delays in valve switching
165 caused instantaneous low pressure in the sample air, which broke the humidifier with the Gore-Tex
166 tube after four days of continuous operation (3th, Dec) and flooded the WetNeph. The WetNeph was
167 fixed and recalibrated and a commercial Nafion drier (60 cm long, Perma Pure company) replaced the
168 Gore-Tex tube, which works the same way but is more resistant to low pressure. The temperature of
169 the circulating water layer is controlled by a water bath and specified by an algorithm that adjusts the
170 water temperature to maintain a relatively constant RH in the sensing volume of the WetNeph. To
171 monitor the RH in the sensing volume of the WetNeph, two temperature and RH sensors (Vaisala
172 HMP110, with accuracies of ± 0.2 °C and ± 1.7 % for RH between 0 to 90%, respectively, and
173 ± 2.5 % for RH between 90 to 100%) were placed at the inlet and outlet of the WetNeph. Defining
174 measured RHs and temperatures at the inlet and outlet of the WetNeph as RH_1/T_1 and RH_2/T_2 , the
175 according dew point temperatures T_{d1} and T_{d2} can be calculated and the average value $\overline{T_d}$ was treated
176 as the dew point of the sample air in the sensing volume of WetNeph. The sample RH is calculated
177 using $\overline{T_d}$ and the sample temperature measured by a sensor inside the sample cavity of the
178 nephelometer.



179 2.3 ACSM measurements and data analysis

180 The mass concentration and chemical composition of NR-PM species were measured with the
181 Aerodyne ToF-ACSM which is equipped with a PM_{2.5} aerodynamic lens (Williams et al., 2010) and a
182 novel capture vaporizer (CV) (Xu et al., 2017;Hu et al., 2017) to extend the measured particle size to
183 2.5 μm. Detailed instrument descriptions were given in Fröhlich et al. (2013) and Xu et al. (2017). The
184 ToF-ACSM data were analyzed with the standard data analysis software (Tofware v2.5.13,
185 <https://sites.google.com/site/ariacsm/>) within Igor Pro (v6.37, WaveMetrics, Inc., Oregon, USA). A
186 collection efficiency (CE) of 1 was used for all aerosol species, because the capture vaporizer has been
187 proven to reach a unit CE for ambient aerosols (Hu et al., 2017;Hu et al., 2018b). Relative ionization
188 efficiencies (RIE) of 3.06 and 1.09 were used for ammonium and sulfate quantification respectively,
189 and the default values of 1.1 and 1.4 were used for nitrate and organic aerosol (OA) respectively.
190 Compared with the AMS with standard vaporizer, the CV-ToF-ACSM reports higher fragments at
191 small m/z 's due to additional thermal decomposition associated with increased residence time and hot
192 surface collisions (Hu et al., 2018a). As a result, f_{44} from CV-ToF-ACSM measurements is often much
193 higher than those previously reported from AMS, yet they are well correlated (Hu et al., 2018a).

194 The organic mass spectra from m/z 12 to 214 were analyzed by positive matrix factorization (PMF)
195 (Paatero and Tapper, 1994) with an Igor Pro based PMF evaluation tool (v3.04) (Ulbrich et al., 2009).
196 The m/z 's of 38, 49, 63 and 66 were removed from both PM₁ and PM_{2.5} PMF inputs considering their
197 small contributions to the total organic signal and their high noise. The PMF results were then
198 evaluated following the procedures detailed in Zhang et al. (2011). After carefully evaluating the mass
199 spectral profiles, diurnal patterns and temporal variation of the OA factors and comparing them with
200 other collocated measurements, a five-factor solution was selected for both PM₁ and PM_{2.5}. The five
201 factors include four primary factors, i.e., hydrocarbon-like OA (HOA), cooking OA (COA), biomass
202 burning OA (BBOA), and coal combustion OA (CCOA), and a secondary factor, oxygenated OA
203 (OOA). More detailed descriptions on the PMF results will be given in He et al. (in preparation).

204 2.4 Data reprocessing

205 The size distributions measured by APS were converted to mobility-equivalent size distributions
206 using spherical shape assumptions and an effective particle density of 1.7 g/cm³. Note that the



207 designations of PM_{10} and PM_1 are in respect to aerosol aerodynamic diameters, while the
208 corresponding mobility-equivalent cut diameters of the two impactors are approximately 7669 nm and
209 767 nm, respectively. For simplicity and consistency, we will continue to refer to them as the PM_{10} and
210 PM_1 based on their aerodynamic diameter. For the case of PM_1 measurements, the mobility-equivalent
211 cut diameter is quite near the upper range of the SMPS size range. Considering that the cut diameter
212 of the impactor corresponds to the diameter of aerosol particles in ambient state (aerosol hygroscopic
213 growth effect needs to be taken into account) and the SMPS measures the size distributions of aerosol
214 particles in dry state, the SMPS measurements should be able to cover the full size range of PM_1 . When
215 the SMPS was sampling aerosol particles of PM_{10} , the size distributions measured by SMPS and APS
216 was merged together and truncated to an upper limit of 7669 nm to provide full range particle number
217 size distributions (PNSD). In addition, the AE33 measures aerosol absorption coefficient at several
218 wavelengths, the mass concentrations of black carbon (BC) were converted from measured aerosol
219 absorption coefficients at 880 nm with a mass absorption coefficient of $7.77 \text{ m}^2/\text{g}$ (Drinovec et al.,
220 2015).

221 Since group 2 and 3 switched between PM_1 and PM_{10} inlets every 15 minutes, all measurements
222 were averaged over each 15 minute observation episode, resulting in valid time resolutions of 15
223 minutes for APS and BC PM_{10} measurements and 30 minutes for SMPS, ACSM and the humidified
224 nephelometer system PM_1 and PM_{10} measurements, respectively. This resulted in a 15-minute time lag
225 between the averaged datasets of group 2 and group 3. To match the time of all the measurement data,
226 the measurements of SMPS, ACSM and the humidified nephelometer system were linearly
227 interpolated to the 15-minute time resolution of the APS data.

228 **3 Methodology**

229 **3.1 Calculations of hygroscopicity parameters κ_{sca} and κ from measurements of the** 230 **humidified nephelometer system**

231 The humidified nephelometer system measures aerosol light scattering coefficients and
232 backscattering coefficients at three wavelengths under dry state and 85% RH condition, providing
233 measurements of the light scattering enhancement factor $f(\text{RH}, \lambda)$, which is defined as



234 $f(\text{RH} = 85\%, \lambda) = \frac{\sigma_{sp}(\text{RH}, \lambda)}{\sigma_{sp}(\text{dry}, \lambda)}$, with λ being the light wavelength. In this study, we only calculate
235 $f(\text{RH}, 525 \text{ nm})$ and refer to it hereinafter as $f(\text{RH})$ for simplicity. Brock et al. (2016) proposed a
236 single parameter formula to describe $f(\text{RH}, \lambda)$ as a function of RH. Kuang et al. (2017) further
237 developed this parameterization scheme to better describe measured $f(\text{RH})$ by including the
238 reference RH (RH_0) in the dry nephelometer as shown in Eq. 1, using which the optical hygroscopicity
239 parameter κ_{sca} can be derived from $f(\text{RH})_{measured}$.

$$240 \quad f(\text{RH})_{measured} = (1 + \kappa_{sca} \frac{\text{RH}}{100 - \text{RH}}) / (1 + \kappa_{sca} \frac{\text{RH}_0}{100 - \text{RH}_0}) \quad (1)$$

241 An overall hygroscopicity parameter κ referred to as $\kappa_{f(\text{RH})}$ can be retrieved from measured
242 $f(\text{RH})$ with the addition of simultaneously measured particle number size distribution (PNSD) and
243 BC mass concentration (Chen et al., 2014; Kuang et al., 2017). The idea is to conduct an iterative
244 calculation using the Mie theory and the κ -Köhler theory together to find a $\kappa_{f(\text{RH})}$ that closes the gap
245 between the simulated and the measured $f(\text{RH})$. Details on the calculations of $\kappa_{f(\text{RH})}$ can be found
246 in Kuang et al. (2017).

247 3.2 Calculations of κ_{chem} from aerosol chemical composition measurements

248 For the calculation of aerosol hygroscopicity parameter κ based on measured chemical
249 composition data (κ_{chem}), detailed information on the chemical species are needed. The ACSM can
250 only provide bulk mass concentrations of SO_4^{2-} , NO_3^- , NH_4^+ , Cl^- ions and organic components. For
251 the inorganic ions, a simplified ion pairing scheme (as listed in Tab.1) was used to convert ion mass
252 concentrations to mass concentrations of corresponding inorganic salts (Gysel et al., 2007; Wu et al.,
253 2016).

254 **Table 1.** Densities (ρ) and hygroscopicity parameters (κ) of inorganic salts used in this study

| Species | NH_4NO_3 | NH_4HSO_4 | $(\text{NH}_4)_2\text{SO}_4$ | NH_4Cl |
|-----------------------------------|--------------------------|---------------------------|------------------------------|------------------------|
| $\rho \text{ (g cm}^{-3}\text{)}$ | 1.72 | 1.78 | 1.769 | 1.527 |
| κ | 0.58 | 0.56 | 0.48 | 0.93 |

255 Mass concentrations of SO_4^{2-} , NO_3^- , NH_4^+ , Cl^- are thus specified into ammonium sulfate (AS),
256 ammonium nitrate (AN) ammonium chloride (AC) and ammonium bisulfate (ABS), with the κ values
257 of these salts specified according to (Wu et al., 2016) and Liu et al. (2014) (Tab.1). For a given internal



258 mixture of different aerosol chemical species, a simple mixing rule called Zdanovskii–Stokes–
259 Robinson (ZSR) can be used for predicting the overall κ_{chem} on the basis of volume fractions of
260 different chemical species (ε_i) (Petters and Kreidenweis, 2007):

$$261 \quad \kappa_{chem} = \sum_i \kappa_i \cdot \varepsilon_i \quad (2)$$

262 where κ_i and ε_i represent the hygroscopicity parameter κ and volume fraction of chemical
263 component i in the mixture. Based on Eq.2, κ_{chem} can be calculated as follows:

$$264 \quad \kappa_{chem} = \kappa_{AS}\varepsilon_{AS} + \kappa_{AN}\varepsilon_{AN} + \kappa_{ABS}\varepsilon_{ABS} + \kappa_{AC}\varepsilon_{AC} + \kappa_{BC}\varepsilon_{BC} + \kappa_{Org}\varepsilon_{Org} \quad (3)$$

265 where κ_{Org} and ε_{Org} represent κ and volume fraction of total organics. Since black carbon is
266 hydrophilic, κ_{BC} is assumed to be zero. With known κ_{chem} , κ_{Org} can be calculated using the
267 following formula:

$$268 \quad \kappa_{Org} = \frac{\kappa_{chem} - (\kappa_{AS}\varepsilon_{AS} + \kappa_{AN}\varepsilon_{AN} + \kappa_{ABS}\varepsilon_{ABS} + \kappa_{AC}\varepsilon_{AC})}{\varepsilon_{Org}} \quad (4)$$

269 To calculate volume fractions of individual species, their volume concentrations and the total volume
270 concentration of aerosol particles (V_{tot}) are required. The volume concentration of salts can be
271 calculated from the additive ion mass concentrations divided by their densities listed in Tab.1. The
272 volume concentration of organics was calculated by assuming density of POA as 1 g/cm³ and density
273 of OOA as 1.4 g/cm³ (Wu et al., 2016). For the calculation of V_{tot} , we have three choices. The first
274 choice is to sum up the volume concentrations of all chemical species (AS, AN, ABS, AC, BC and
275 organics), where the volume concentration of BC was calculated by assuming a density of 1.7 g/cm³.
276 We refer the calculated total volume concentration of aerosol particles to as $V_{tot,Chem}$. The second
277 choice is to integrate V_{tot} from measured PNSD, using the equation $V_{tot,PNSD} = \int \frac{4}{3}\pi r^3 n(r) dr$,
278 where r is the particle radius and $n(r)$ is the measured PNSD. The third choice is to use the trained
279 machine learning estimator to estimate the V_{tot} based on measurements of the dry nephelometer
280 ($V_{tot,Neph}$) as was introduced in Kuang et al. (2018). V_{tot} of PM₁ calculated using these three methods
281 were compared to each other and shown in Fig.S2. $V_{tot,Chem}$ correlates well with $V_{tot,PNSD}$, but in is
282 on average 30% lower than that of $V_{tot,PNSD}$. Chemical components within aerosol particles such as
283 dust, sea salt as well as metal ions could not be identified by ACSM. Since the Gucheng site is far from
284 the ocean, sea salt should have negligible impacts on the total mass of PM₁. However, mineral dust



285 can extend into the submicron range (Shao et al., 2007), which might be the cause for the underestimate
286 in total mass concentration calculated using ACSM and BC data. $V_{tot,Neph}$ also correlates well with
287 $V_{tot,PNSD}$, but is on average 16% lower than that of $V_{tot,PNSD}$. Closure studies between modelled and
288 measured σ_{sp} and σ_{bsp} at 525 nm for PM₁ and PM₁₀ aerosol particles all showed good agreement
289 between theoretical modelling results and measurements (Fig.S1), with most points falling between
290 the 20% relative deviation lines. However, modelled σ_{sp} for both PM₁ and PM₁₀ were obviously
291 higher than measured σ_{sp} , with an average relative difference of 22% and 13% between them for
292 PM₁₀ and PM₁, respectively. The result for PM₁ explains why $V_{tot,Neph}$ was lower than $V_{tot,PNSD}$.
293 Two reasons might have contributed to this discrepancy: (1) both PNSD and aerosol optical property
294 measurements carry non-negligible uncertainties, with the SMPS bearing measurement uncertainty of
295 30% for particles larger than 200 nm, which contribute most to V_{tot} (Wiedensohler et al., 2012), and
296 the nephelometer measured σ_{sp} having an uncertainty of 9% (Sherman et al., 2015; Titos et al., 2016);
297 (2) The sampling tube length, valves, tube angles and flow rates are different for the dry nephelometer
298 and SMPS (e.g. the tube length is much shorter and flow rate smaller for SMPS than those for the dry
299 nephelometer), leading to different wall loss and loss in semi-volatile of aerosol components. ACSM
300 and the dry nephelometer had similar tube length and nephelometer measurements bears less
301 uncertainty than SMPS. Thus, $V_{tot,Neph}$ was chosen as V_{tot} in the calculations of Eq.4. Based on the
302 calculated V_{tot} , the material unidentified by ACSM accounts for 19% of V_{tot} on average, could not
303 be neglected in the κ_{Org} calculation. Thus, Eq.4 was modified as follows:

$$304 \kappa_{Org} = \frac{\kappa_{chem} - (\kappa_{AS} \cdot \varepsilon_{AS} + \kappa_{AN} \cdot \varepsilon_{AN} + \kappa_{ABS} \cdot \varepsilon_{ABS} + \kappa_X \cdot \varepsilon_X)}{\varepsilon_{Org}} \quad (5)$$

305 where κ_X and ε_X are hygroscopicity parameter κ and volume fractions of the unidentified material.
306 Previous studies using $V_{tot,chem}$ as the total volume concentration of aerosol particles have avoided
307 the discussion about influences of unidentified material by the ACSM or other aerosol mass
308 spectrometer instruments. The hygroscopicity of these unidentified materials, which might be dust or
309 other components in continental regions, were not discussed before. Dust is nearly hydrophilic, with
310 mineral dust showing κ in range of 0.01 to 0.08 (Koehler et al., 2009). In this paper, we arbitrarily
311 specified κ_X to be 0.05.



312 3.3 Can $\kappa_{f(RH)}$ represent κ_{chem} ?

313 According to Eq.5, the measured bulk κ_{chem} values are needed to derive κ_{Org} . Bulk aerosol
314 chemical compositions and aerosol hygroscopicity $\kappa_{f(RH)}$ measurements are available, one would
315 naturally jump to the conclusion of treating $\kappa_{f(RH)}$ as κ_{chem} to derive κ_{Org} (both are from bulk
316 aerosol measurements). However, the relationship between κ_{chem} , $\kappa_{f(RH)}$ and the size-resolved κ
317 distribution needs to be clarified in order to answer the question whether $\kappa_{f(RH)}$ can accurately
318 represent κ_{chem} .

319 Using V_i to represent volume concentrations of chemical species i and $V_i(D_p)$ to represent
320 volume concentrations of species i with particle diameter of D_p , κ_{chem} can be derived as follows
321 based on Eq.2,:

$$322 \quad \kappa_{chem} = \sum_i \kappa_i \cdot \varepsilon_i = \sum_i \frac{V_i}{V_{tot}} \cdot \kappa_i = \sum_i \frac{1}{V_{tot}} \cdot \int \frac{dV_i(D_p)}{d \log D_p} \cdot d \log D_p \cdot \kappa_i. \quad (6)$$

323 By swapping the order of summation and integration, Eq.6 can be written as:

$$324 \quad \kappa_{chem} = \int \frac{1}{V_{tot}} \cdot \sum_i \frac{dV_i(D_p)}{d \log D_p} \cdot d \log D_p \cdot \kappa_i. \quad (7)$$

325 Considering that $\kappa_{D_p} = \sum_i \frac{dV_i(D_p)}{dV(D_p)} \cdot \kappa_i$, Eq.7 can be rewritten as:

$$326 \quad \kappa_{chem} = \frac{1}{V_{tot}} \int \kappa_{D_p} \cdot dV(D_p) \quad (8)$$

327 Result of Eq.8 indicates that κ_{chem} calculated using Eq.3 represents the overall hygroscopicity of
328 aerosol particles with volume contribution as the weighting function of κ_{D_p} .

329 As for $\kappa_{f(RH)}$, a detailed analysis is performed here to facilitate its physical understanding. The
330 differential form of σ_{sp} of aerosol particles in dry state can be expressed as follows:

$$331 \quad \sigma_{sp} = \int \frac{d\sigma_{sp}}{d \log D_p} d \log D_p \quad (9)$$

332 Based on the definition of $f(RH)$, σ_{sp} of aerosol particles under different RH conditions can be
333 written as:

$$334 \quad \sigma_{sp}(RH) = \int \frac{d\sigma_{sp}}{d \log D_p} \cdot f_{D_p}(RH) \cdot d \log D_p \quad (10)$$

335 Therefore, the differential form of observed overall $f(RH)$ can be formulated as:



$$336 \quad f(\text{RH}) = \int \frac{1}{\sigma_{sp}} \cdot \frac{d\sigma_{sp}}{d\log D_p} \cdot f_{D_p}(\text{RH}) \cdot d\log D_p \quad (11)$$

337 Based on this formula, the sensitivity of $f(\text{RH})$ on the hygroscopicity of aerosol particles with
 338 diameter D_p (κ_{D_p}) can be derived as:

$$339 \quad \frac{1}{d\log D_p} \cdot \frac{\partial f(\text{RH})}{\partial \kappa_{D_p}} = \frac{1}{\sigma_{sp}} \cdot \frac{d\sigma_{sp}}{d\log D_p} \cdot \frac{\partial f_{D_p}(\text{RH})}{\partial \kappa_{D_p}} \quad (12)$$

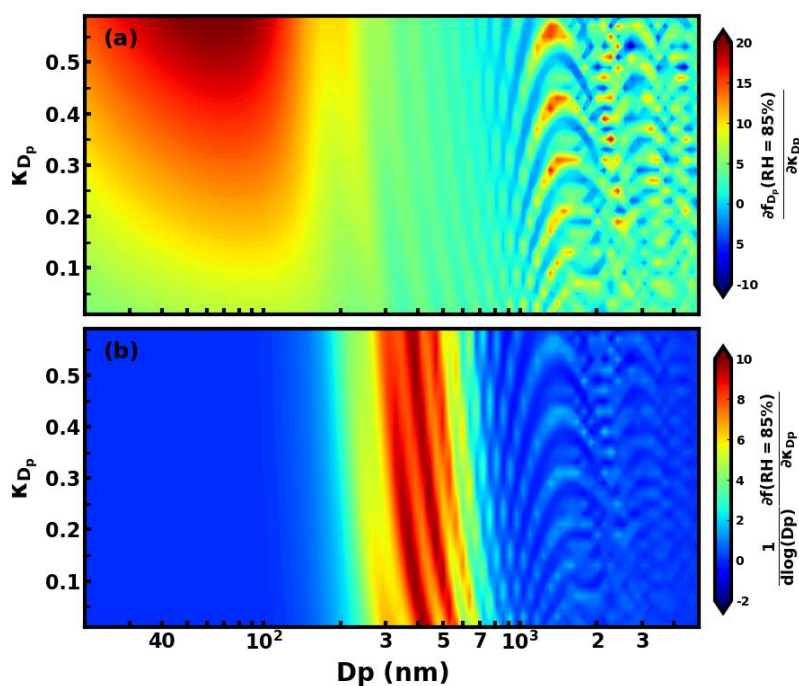


Figure 2. (a) simulated $\frac{\partial f_{D_p}(\text{RH})}{\partial \kappa_{D_p}}$; (b) simulated $\frac{1}{d\log D_p} \cdot \frac{\partial f(\text{RH})}{\partial \kappa_{D_p}}$

340 The sensitivity of $f(\text{RH})$ to κ_{D_p} are determined together by the two terms in Eq. 12: (1) $\frac{1}{\sigma_{sp}} \cdot \frac{d\sigma_{sp}}{d\log D_p}$,
 341 which represents the contribution of σ_{sp} of aerosol particles in dry state with diameter D_p to total
 342 σ_{sp} , and (2) $\frac{\partial f_{D_p}(\text{RH})}{\partial \kappa_{D_p}}$, which represents the sensitivity of $f_{D_p}(\text{RH})$ to κ_{D_p} . Based on the Mie theory
 343 and the κ -Köhler theory, we simulated the second term under 85% RH condition for varying D_p and
 344 κ_{D_p} values (Fig.2a). In the diameter range below 200 nm, $\frac{\partial f_{D_p}(\text{RH})}{\partial \kappa_{D_p}}$ is very high, displaying a
 345 maximum near 60 nm. In this diameter range, larger κ_{D_p} generally corresponded to higher $\frac{\partial f_{D_p}(\text{RH})}{\partial \kappa_{D_p}}$.



346 For $200 \text{ nm} < D_p < 800 \text{ nm}$, higher and lower $\frac{\partial f_{D_p}(RH)}{\partial \kappa_{D_p}}$ appear alternatively, with all values
347 staying positive. For $D_p > 800 \text{ nm}$, maxima and minima regions appear alternatively, and $f_{D_p}(RH)$
348 might decrease with increasing κ_{D_p} . This is because, at this diameter range, the aerosol scattering
349 efficiency has a non-monotonic response to the particle diameter increase (see Fig.2a of (Kuang et al.,
350 2018)).

351 The first term of Eq.9, representing size-resolved σ_{sp} contributions of particles with diameter in
352 dry state, mainly depends on the PNSD. The average PNSD of PM₁₀ was applied in the simulation the
353 first term using Mie theory (Fig.S3). Combining results of the first term and second term, the sensitivity
354 of $f(RH)$ to κ_{D_p} was obtained and depicted in Fig.2b. Results reveal that $f(RH)$ is quite sensitive
355 to the κ_{D_p} of particles within 200 to 800 nm diameter range, but almost insensitive to κ_{D_p} of particles
356 with diameters below 200 nm and above 800 nm (corresponding aerodynamic diameter of about 1
357 μm). For particles smaller than 200 nm, the first term was quite small especially for particles smaller
358 than 100 nm (Fig.S3), while for particles larger than 800 nm, in addition to a small first term, the
359 second term fluctuated between negative and positive values, which is why $f(RH)$ was not sensitive
360 to the overall hygroscopicity of these larger aerosol particles. These results suggest that although
361 $\kappa_{f(RH)}$ was derived from $f(RH)$ measurements of PM₁₀, it mainly represents the overall
362 hygroscopicity of aerosol particles with dry diameters between 200 and 800 nm for continental
363 aerosols. This result indicates that $\kappa_{f(RH)}$ derived from $f(RH)$ measurements of PM₁₀ and PM₁
364 should differ little from each other for measurements conducted in continental regions.

365 However, the quantitative relationship between $\kappa_{f(RH)}$ and size-resolved κ_{D_p} is still not clear.

366 Based on Eq.11, $f_{D_p}(RH)$ can be expressed as:

$$367 f_{D_p}(RH) = \frac{d\sigma_{sp}(RH)}{d\sigma_{sp}} = \frac{\frac{1}{4}\pi \cdot (D_p \cdot g)^2 \cdot Q_{sca}(D_p, g) \cdot dN}{d\sigma_{sp}}, \quad (13)$$

368 where g is the growth factor of aerosol particles which is a function of κ_{D_p} and RH (Brock et al.,
369 2016), i.e. $g = (1 + \kappa_{D_p} \cdot \frac{RH}{100 - RH})^{1/3}$, dN is differential form of aerosol number concentration, and
370 Q_{sca} is the scattering efficiency as a function of D_p and g . The results of Kuang et al. (2018) indicate
371 that, under dry state, Q_{sca} can be expressed as $Q_{sca} = k \cdot D_p$ with k varying as a function of D_p . Here,



372 we follow this idea and express the Q_{sca} under humidified condition as $Q_{sca}(D_p, g) = C \cdot D_p \cdot g$,

373 where C is a function of D_p , κ_{D_p} and RH . Replacing g and Q_{sca} in Eq.13, we yield:

$$374 \quad f_{D_p}(RH) = \frac{\frac{1}{4} \pi \cdot D_p^3 \cdot C(D_p, \kappa_{D_p}, RH) \cdot (1 + \kappa_{D_p} \cdot \frac{RH}{100 - RH}) \cdot dN}{d\sigma_{sp}}, \quad (14)$$

375 which we can substitute into Eq.8, to obtain a new expression for $f(RH)$:

$$376 \quad f(RH) = \int \frac{\frac{1}{4} \pi \cdot D_p^3 \cdot C(D_p, \kappa_{D_p}, RH) \cdot (1 + \kappa_{D_p} \cdot \frac{RH}{100 - RH}) \cdot dN}{\sigma_{sp}} \quad (15)$$

377 If we define $X_c(D_p, \kappa_{D_p}, RH) = C(D_p, \kappa_{D_p}, RH)/k$, and considering that $d\sigma_{sp} = \frac{1}{4} \cdot \pi \cdot D_p^2 \cdot Q_{sca} \cdot$

378 $dN = \frac{1}{4} \cdot \pi \cdot D_p^3 \cdot k \cdot dN$, Eq.14 can be written as:

$$379 \quad f(RH) = \int \frac{X_c(D_p, \kappa_{D_p}, RH) \cdot (1 + \kappa_{D_p} \cdot \frac{RH}{100 - RH}) \cdot d\sigma_{sp}}{\sigma_{sp}} \quad (16)$$

380 The $\kappa_{f(RH)}$ is a uniform κ for aerosol particle sizes that can yield simulated $f(RH)$ equal to the

381 measured one. Thus, $f(RH)$ can also be expressed as:

$$382 \quad f(RH) = \int \frac{X_c(D_p, \kappa_{f(RH)}, RH) \cdot (1 + \kappa_{f(RH)} \cdot \frac{RH}{100 - RH}) \cdot d\sigma_{sp}}{\sigma_{sp}} \quad (17)$$

383 Combining Eq.16 and Eq.17, the relationship between $\kappa_{f(RH)}$ and size-resolved κ_{D_p} can be derived

384 as:

$$385 \quad \kappa_{f(RH)} = \frac{\int X_c(D_p, \kappa_{D_p}, RH) \cdot \kappa_{D_p} \cdot d\sigma_{sp}}{\int X_c(D_p, \kappa_{f(RH)}, RH) \cdot d\sigma_{sp}} + \frac{\int (X_c(D_p, \kappa_{D_p}, RH) - X_c(D_p, \kappa_{f(RH)}, RH)) \cdot d\sigma_{sp}}{\int X_c(D_p, \kappa_{f(RH)}, RH) \cdot d\sigma_{sp}} \cdot \frac{100 - RH}{RH}. \quad (18)$$

386 X_c values under 85% RH for different D_p and κ_{D_p} values are simulated and shown in Fig.3, based



387 on which the second term of Eq.18 (which depends on the PNSD and size-resolved κ_{D_p}) could be

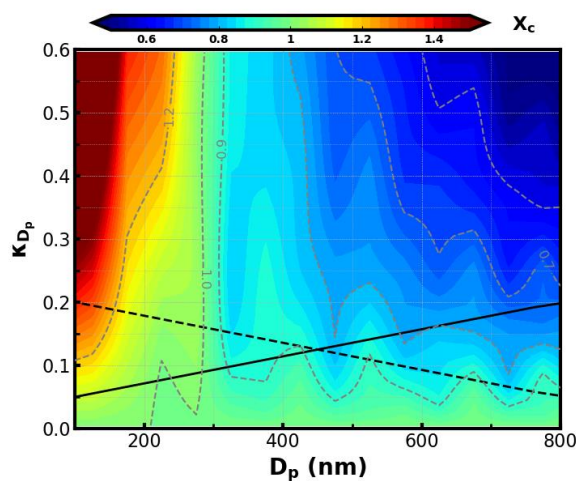


Figure 3. Simulated values of X_c under 85% RH for different D_p and κ_{D_p} values. Black solid and dashed lines are two assumed size-resolved κ_{D_p} distributions.

388 calculated using the average PNSD during this field campaign and two assumed extreme cases of size-
389 resolved κ_{D_p} (solid and dashed black lines in Fig.3). For PM_{10} , the second term corresponding to the
390 two size-resolved κ_{D_p} cases were -0.007 and 0.008, respectively. Corresponding values simulated for
391 PM_{10} were -0.005 and 0.004, respectively. To further investigate the possible contribution range of the
392 second term to $\kappa_{f(RH)}$, size-resolved κ_{D_p} derived by Liu et al. (2014) based on size resolved chemical
393 composition measurements in ambient atmosphere on the NCP region (Fig.S4) were used with the
394 average PNSD during this campaign to calculate values of the second term. Calculated values of
395 second term ranged from -0.005 to 0.009, with its contribution to $\kappa_{f(RH)}$ ranging from -1.5% to 2%



396 (0.3% on average). These results indicate that the second term was negligible in most cases, and Eq.18
 397 could be approximated as:

$$398 \kappa_{f(\text{RH})} \approx \frac{\int X_c(D_p, \kappa_{D_p, \text{RH}}) \cdot \kappa_{D_p} \cdot d\sigma_{sp}}{\int X_c(D_p, \kappa_{f(\text{RH}), \text{RH}}) \cdot d\sigma_{sp}} \quad (19)$$

399 X_c values shown in Fig.3 indicate that for aerosol particles in the diameter range of 200 to 800 nm
 400 (which contribute most to σ_{sp} and is the part of the aerosol population $\kappa_{f(\text{RH})}$ is most sensitive to)
 401 and for the observed κ_{D_p} range of continental aerosols (κ_{D_p} usually less than 0.5), X_c mainly ranged
 402 from 0.7 to 1. Considering this, we might approximately assume X_c in Eq.18 as a constant value.
 403 Then, Eq.19 can be further simplified to:

$$404 \kappa_{f(\text{RH})} \approx \frac{1}{\sigma_{sp}} \int \kappa_{D_p} \cdot d\sigma_{sp} \quad (20)$$

405 This result suggests that $\kappa_{f(\text{RH})}$ can be approximately understood as the overall hygroscopicity of
 406 aerosol particles with the σ_{sp} contribution as the weighting function of κ_{D_p} .

407 Based on results of Eq.8 and 20, both $\kappa_{f(\text{RH})}$ and κ_{chem} represent the overall hygroscopicity of
 408 bulk aerosol particles, however, their weighting functions of κ_{D_p} are different. Within a certain D_p

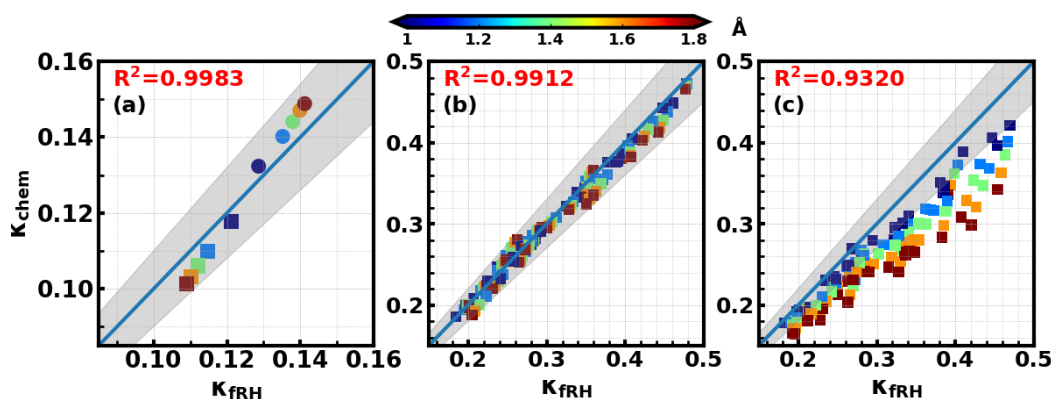


Figure 4. κ_{chem} versus $\kappa_{f(\text{RH})}$, colors represent average Ångström exponent (Å) values of corresponding PNSD
 (a) corresponding to size-resolved κ_{D_p} distributions shown in Fig.4; (b) and (c) corresponding to size-resolved κ_{D_p}
 distributions shown in Fig.S4 for PM1 and PM10, respectively. Gray areas represent the absolute relative differences
 between κ_{chem} and $\kappa_{f(\text{RH})}$ are less than 10%.

409 range, aerosol σ_{sp} is approximately proportional to aerosol volume (Kuang et al., 2018), resulting in
 410 little difference between $\kappa_{f(\text{RH})}$ and κ_{chem} . In this study, bulk $\kappa_{f(\text{RH})}$ was measured for both PM1
 411 and PM10. How much does κ_{chem} differ from $\kappa_{f(\text{RH})}$ for PM1 and PM10 samples? Both PNSD and
 412 size-resolved κ_{D_p} distributions contribute to the difference between κ_{chem} and $\kappa_{f(\text{RH})}$. To study



413 their influences in a simple and apparent way, κ_{chem} and $\kappa_{f(RH)}$ were simulated based on the two
414 extreme cases of size-resolved κ_{D_p} distributions in Fig. 3 and five average PNSDs corresponding to
415 five ranges of aerosol Ångström exponent (0.9-1.1, 1.1-1.3, 1.3-1.5, 1.5-1.7, 1.7-1.9) during this field
416 campaign. In the instance of PM_{10} , as can be seen in Fig.4a, assuming a κ_{D_p} increasing as a function
417 of D_p resulted in $\kappa_{chem} < \kappa_{fRH}$ (square points in Fig.4a), especially for PNSDs corresponding to
418 larger Ångström exponents. This is because the volume contributions of small particles (e.g. particles
419 with D_p between 100 to 300 nm) to V_{tot} are larger than their light scattering coefficient contributions
420 to σ_{sp} (as shown in Fig.S6), thus the hygroscopicity of small particles had larger impacts on κ_{chem}
421 than κ_{fRH} . Higher Ångström exponents generally correspond to shift in PNSD towards smaller D_p ,
422 which exacerbates the contribution of small particles, further increasing the difference between κ_{chem}
423 and κ_{fRH} . For the case with κ_{D_p} decreasing as a function of D_p (circle markers in Fig.4a) it is vice
424 versa, resulting in $\kappa_{chem} > \kappa_{fRH}$. In general, for these two extreme cases of size-resolved κ_{D_p}
425 distributions, the absolute value of the relative difference between κ_{chem} and $\kappa_{f(RH)}$ ranged from
426 2.8% to 7.5% with an average of 4.8%. This result indicates that for PM_{10} , κ_{chem} might differ little
427 from $\kappa_{f(RH)}$ since κ_{D_p} usually varies less with D_p in ambient atmosphere than in the two assumed
428 cases (Liu et al., 2014). The average size-resolved κ_{D_p} distribution from Haze in China campaign
429 (Liu et al., 2014) indicate that κ_{D_p} varies significantly for $D_p < 250$ nm, while it varies less within the
430 diameter range of 250 nm to 1 μ m. To further study the variation range of the relative difference
431 between κ_{chem} and $\kappa_{f(RH)}$ under ambient conditions, the size-resolved κ_{D_p} distributions derived
432 from measured size-resolved chemical compositions in the NCP region (Liu et al., 2014) (shown in
433 Fig.S5) were used in simulations and results are shown in Fig.4b. The absolute value of the relative
434 difference between κ_{chem} and $\kappa_{f(RH)}$ ranged from 0.04% to 8% with an average and standard
435 deviation of $2.8 \pm 2\%$, which further confirms that for PM_{10} $\kappa_{f(RH)}$ can accurately represent κ_{chem} in
436 most cases.

437 For PM_{10} , values of κ_{chem} and $\kappa_{f(RH)}$ using κ_{D_p} size distributions derived from ambient
438 measurements were simulated and displayed in Fig.4c. The simulated absolute values of the relative



439 difference between κ_{chem} and $\kappa_{f(RH)}$ ranged from 0.2% to 41% with an average and standard
440 deviation of 16 ± 8 %, with all κ_{chem} lower than $\kappa_{f(RH)}$. This is because, for PM₁₀, super-micron
441 particles typically with low hygroscopicity (Fig.S4) contribute much more to V_{tot} than to σ_{sp} (as
442 shown in Fig.S7). These results indicate that, for PM₁₀, $\kappa_{f(RH)}$ cannot accurately represent κ_{chem} .

443 Above analysis results indicate that $\kappa_{f(RH)}$ retrieved from light scattering measurements of PM₁
444 represent accurately the κ_{chem} of PM₁ and can be used in Eq.5 as measured κ_{chem} for deriving κ_{Org} .

445 **4 Results and discussions**

446 **4.1 Overview of the campaign data**

447 The timeseries of ambient RH, chemical compositions of PM_{2.5} and PM₁, σ_{sp} at 525 nm of PM₁₀
448 and PM₁ in dry state, calculated κ_{sca} and $\kappa_{f(RH)}$ values of PM₁₀ and PM₁ are shown in Fig.5. Overall,
449 the mass concentrations of NR-PM_{2.5} and NR-PM₁ ranged from 1 to 221 $\mu g/cm^3$ and from 1.8 to
450 326 $\mu g/cm^3$, with average concentrations of 63 and 93 $\mu g/cm^3$, respectively. Measured σ_{sp} at
451 525 nm of PM₁₀ and PM₁ ranged from 11 to 1875 Mm^{-1} and from 18 to 2732 Mm^{-1} , with average
452 values of 550 and 814 Mm^{-1} , respectively. These results demonstrate that this campaign was carried
453 out at a site that is overall very polluted, quite clean conditions as well as extremely polluted conditions
454 were experienced during the measurement period. The mass contributions of ammonium, nitrate,
455 sulfate and organics to NR-PM_{2.5} and NR-PM₁ are listed in Table 2, which show that on average
456 organics contributed most to the mass concentration of NR-PM₁ and NR-PM_{2.5}. During the first period,

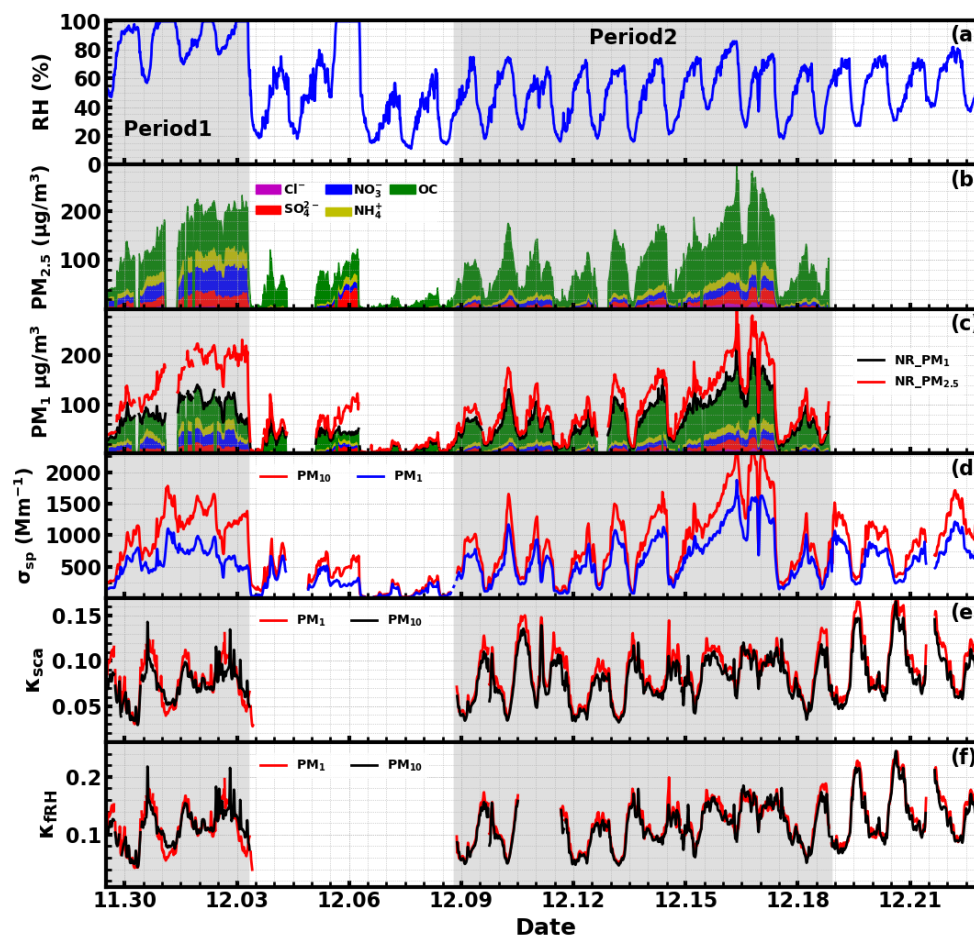


Figure 5. timeseries of ambient RH (a), chemical compositions of PM_{2.5} (b) and PM₁ (c), σ_{sp} at 525 nm of PM₁₀ and PM₁ (d), calculated κ_{sca} (e) and $\kappa_{f(RH)}$ (f) values of PM₁₀ and PM₁.

457 nitrate contributed most to inorganics, while inorganics contribute most to mass concentrations of NR-
 458 PM_{2.5} and NR-PM₁. During the second period, the ambient RH is relatively lower than that of the first
 459 period, ranging from 16% to 86% with an average of 49%. During this period, organics contributed
 460 most to mass concentrations of NR-PM_{2.5} and NR-PM₁, with the NR mass concentrations of PM_{2.5}
 461 and σ_{sp} at 525 nm of PM₁₀ being only 33% and 40% higher than those of PM₁.

462 The time series of calculated κ_{sca} and $\kappa_{f(RH)}$ are shown in Fig.5e-f. κ_{sca} of PM₁ and PM₁₀
 463 ranged in between 0.01 to 0.2 and 0.02 to 0.17, with corresponding averages of 0.09 and 0.08. From
 464 near 12:00 on the 10th Dec to about 12:00 on the 11th Dec, the $\kappa_{f(RH)}$ was not available due to the
 465 absence of PNSD measurements during that period. $\kappa_{f(RH)}$ of PM₁ and PM₁₀ respectively ranged



466 from 0.02 to 0.27 and from 0.03 to 0.26, with corresponding averages of 0.12 and 0.12. These results
 467 indicate that the hygroscopicity during this campaign was generally low, which could be associated
 468 with the high mass contributions of organics. The range as well as the average level of $\kappa_{f(RH)}$ is quite
 469 consistent with the results obtained from another field campaign conducted at the same site in winter
 470 2016, suggesting low aerosol hygroscopicity conditions in winter to be prevalent at this site.
 471 Additionally, it can be noted that except for fog events, κ_{sca} and $\kappa_{f(RH)}$ values of PM₁ are generally
 472 higher than those of PM₁₀, however, with relative small differences (10% and 3.5% for κ_{sca} and
 473 $\kappa_{f(RH)}$, respectively). Although particles with diameters above 800 nm impact almost negligibly on
 474 retrieved $\kappa_{f(RH)}$ (refer to discussions in Sect3.3), it can still cause a small difference between $\kappa_{f(RH)}$
 475 of PM₁₀ and PM₁. Results of previous studies indicate that the overall hygroscopicity of aerosol
 476 particles larger than 800 nm are usually low and are typically lower than the overall hygroscopicity of
 477 accumulation mode particles (Liu et al., 2014), which may explain why $\kappa_{f(RH)}$ values of PM₁ are
 478 generally higher than those of PM₁₀ during non-fog periods.

479 **Table 2.** Average (range) mass contribution of ammonium, nitrate, sulfate and organics to NR-PM_{2.5} and NR-
 480 PM₁ during different periods.

| Species | Ammonium | | nitrate | | sulfate | | Organics | |
|-----------------|-----------------|-------------------|-----------------|-------------------|-----------------|-------------------|-----------------|-------------------|
| | PM ₁ | PM _{2.5} | PM ₁ | PM _{2.5} | PM ₁ | PM _{2.5} | PM ₁ | PM _{2.5} |
| Entire | 12% | 12% | 13% | 14% | 10% | 11% | 59% | 59% |
| period | 0.2-24% | 0.1-24% | 2-31% | 1-32% | 0.3-49% | 0.2-50% | 12-99% | 4-91% |
| Period 1 | 15% | 16% | 22% | 24% | 13% | 14% | 47% | 42% |
| Fog | 10-17% | 12-18% | 11-28% | 16-30% | 9-15% | 12-16% | 30-65% | 37-55% |
| Period 1 | 17% | 16% | 23% | 23% | 12% | 12% | 43% | 44% |
| non-fog | 10-22% | 7-21% | 6-31% | 5-32% | 8-23% | 7-17% | 32-75% | 31-69% |
| Period 2 | 12% | 10% | 11% | 10% | 8% | 7% | 64% | 67% |
| | 0.2-20% | 0.1-19% | 5-30% | 4-29% | 0.3-16% | 0.2-16% | 40-82% | 40-85% |

481 During fog periods, a large part of submicron particles in dry state will activate into fog droplets,
 482 which are super micron particles in ambient state (see PNSD example in Fig.S4a), exerting substantial
 483 impacts on $f(RH)$ measurements of PM₁₀ which are not detectable in the PM₁ measurements. Since
 484 for a certain particle diameter and fog supersaturation, particles with higher hygroscopicity are more



485 readily activated, the observed PM_{10} $\kappa_{f(\text{RH})}$ increased during fog events and often exceeded those of
486 PM_1 in contrast to non-fog periods (Fig.5f).

487 4.2 κ_{Org} derivations and its relationship with organic aerosol oxidation state

488 The discussion results in Sect.3.3 demonstrate that $\kappa_{f(\text{RH})}$ of PM_1 accurately represents κ_{chem}
489 in most cases, thus a closure study between calculated κ_{chem} of PM_1 based on measured chemical
490 compositions and measured κ_{chem} (represented by PM_1 $\kappa_{f(\text{RH})}$) can be conducted using Eq.3 if κ_{Org}
491 were a known parameter. A κ_{Org} of 0.06 was used in this closure test, which was the calculated by
492 Wu et al. (2016) based on aerosol chemical composition and aerosol hygroscopicity measurements.
493 The comparison between measured and calculated κ_{chem} as shown in Fig.6a has not achieved very

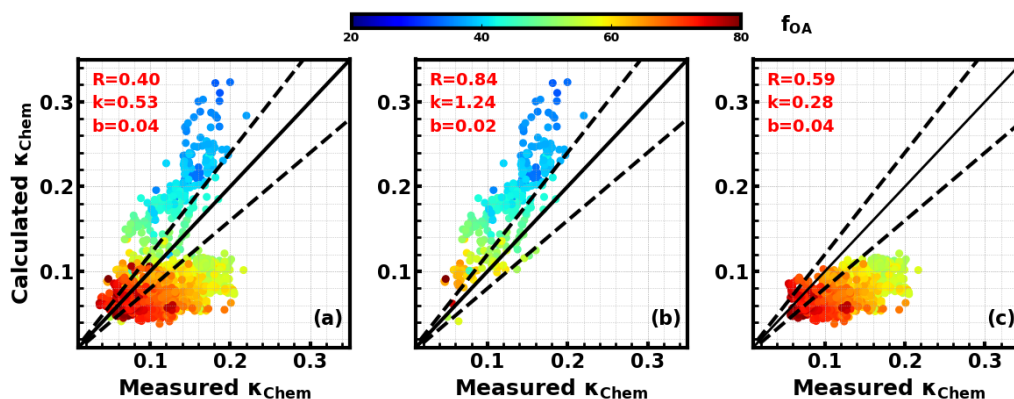


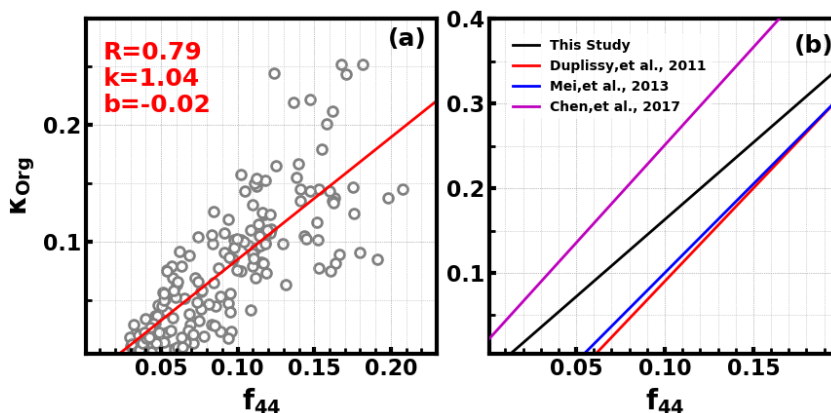
Figure 6. Comparison between measured and calculated κ_{chem} by assuming a κ_{Org} of 0.06. (a) The whole period; (b) Only Period 1; (c) Only Period 2. Colors represents the mass fractions of organic aerosol in NR- PM_{10} (f_{OA}), and the color bar is shown on the top.

494 good agreement. On one hand, calculated κ_{chem} overestimated the measured one when mass fractions
495 of organic aerosol (f_{OA}) was lower than 45%, while on the other hand calculated κ_{chem}
496 underestimated the measured one in most cases when f_{OA} was higher than 45%. As introduced in
497 Sect.4.1, these two situations roughly correspond to situations of Period 1 and 2, respectively.
498 Separating the data points shown in Fig.6a into Periods 1 (Fig.7b) and 2 (Fig.7c), it can be seen that
499 all low f_{OA} data points are found in Period 1, with most of the data points showing f_{OA} less than 50%.
500 Although the calculated κ_{chem} during this period was on average 25% higher than the measured
501 κ_{chem} , they were highly correlated ($R=0.84$). A similar case was also found in Wu et al. (2013), and
502 they conclude that the loss of ammonium nitrate (semi volatile particles) in the HTDMA might be the



503 reason. The relationship between nitrate concentration and the difference between calculated and
504 measured κ_{chem} was investigated, which confirmed that the discrepancy was highly correlated to
505 mass fractions of nitrate in NR-PM1(Fig.S7), suggesting that the overestimation of calculated κ_{chem}
506 might be associated with the volatile loss of ammonium nitrate. Since the tube length (from the splitter
507 to inlet of instrument) of wet nephelometer was about 1 m longer than that of the ACSM, there probably
508 was more loss in ammonium nitrate in the wet nephelometer.

509 During Period 2, the average mass fraction of nitrate was low (11%), which is why the loss of
510 ammonium nitrate had little influence on κ_{chem} estimations (Fig.S7). However, during Period 2,
511 when organic aerosol was the dominating, the calculated κ_{chem} underestimated measured κ_{chem} in
512 most cases (Fig.6c). Previous studies have shown larger κ_{Org} for OA with higher oxidation level
513 (Chang et al., 2010;Duplissy et al., 2011;Wu et al., 2013), which might have contributed to the
514 underestimation in κ_{chem} . This gave us the hint that Period 2 might provide us with a good opportunity
515 to study κ_{Org} . Following the method in Sect. 3.2, κ_{Org} was derived using Eq.5, resulting in a κ_{Org}



516 ranging from 0.0 to 0.25, with an average of 0.08 ± 0.06 . This indicates that using a constant κ_{Org}
517 value in the calculation of κ_{chem} would result in large bias. To further investigate the impact of OA
518 oxidation level on κ_{Org} , we compared the derived κ_{Org} against f_{44} , which is often used to represent
519 the oxidation level of OA. Results show a clear positive correlation ($R=0.79$) and a statistical
520 relationship of $\kappa_{Org} = 1.04 \cdot f_{44} - 0.02$ (Fig.7a), indicating that the degree of oxidation level is a
521 crucial parameter determining the OA hygroscopicity. The derived empirical relationship between



522 κ_{Org} and f_{44} was compared to results in earlier studies (Fig.7b). As mentioned in Sect.2.3, f_{44} from
523 CV-ToF-ACSM measurements is much higher than those previously reported from AMS, but they are
524 well correlated and the ratio between f_{44} of CV-ToF-ACSM and previous AMS instruments for
525 ambient aerosol ranges from 1.5 to 2 with an average of 1.75. Therefore, to be consistent with the f_{44}
526 in previous studies, the empirical relationship in Fig.7b is changed to $\kappa_{Org} = 1.79 \cdot f_{44} - 0.03$. The
527 κ_{Org} values are lower than that from the scheme of Chen et al. (2017), but higher than those in
528 Duplissy et al. (2011) and Mei et al. (2013a). In general, results of all published studies demonstrate
529 that hygroscopicity of organic aerosol generally increase as the oxidation level of organic aerosol
530 increases, however, the empirical mathematical relationship differs much among different studies
531 (Hong et al., 2018). These results highlight that more studies are required to study the influence of OA
532 oxidation level on κ_{Org} to approach a more universal parameterization scheme that can be used in
533 chemical, meteorological and climate models.

534 **4.3 Distinct diurnal variations of κ_{Org} and its relationship with OOA**

535 The discussions in Sect. 4.2 already proved that κ_{Org} was highly variable, which is why we need
536 to know its variational characteristics and influencing factors. The time series of derived κ_{Org} is
537 depicted in Fig.8a, showing large κ_{Org} fluctuations within a day. The average diurnal profile of κ_{Org}
538 (Fig.8b) displays a distinct diurnal variation, with κ_{Org} reaching its minimum (0.02) in the morning
539 (near 07:30 LT) and increasing quickly to a maximum (0.19) near 14:30 LT. During daytime, the water
540 uptake abilities of organic aerosol particles changed from near hydrophobic to moderately hygroscopic
541 within 7 hours. Previous results from observations in Japan also revealed significant κ_{Org} diurnal
542 variations, however, with daily minima in the afternoon hours due to the increase of less oxygenated
543 OA mass fractions (Deng et al. (2018) and Deng et al. (2019)). Such large variability and significant
544 diurnal variations of κ_{Org} were observed for the first time on the NCP.

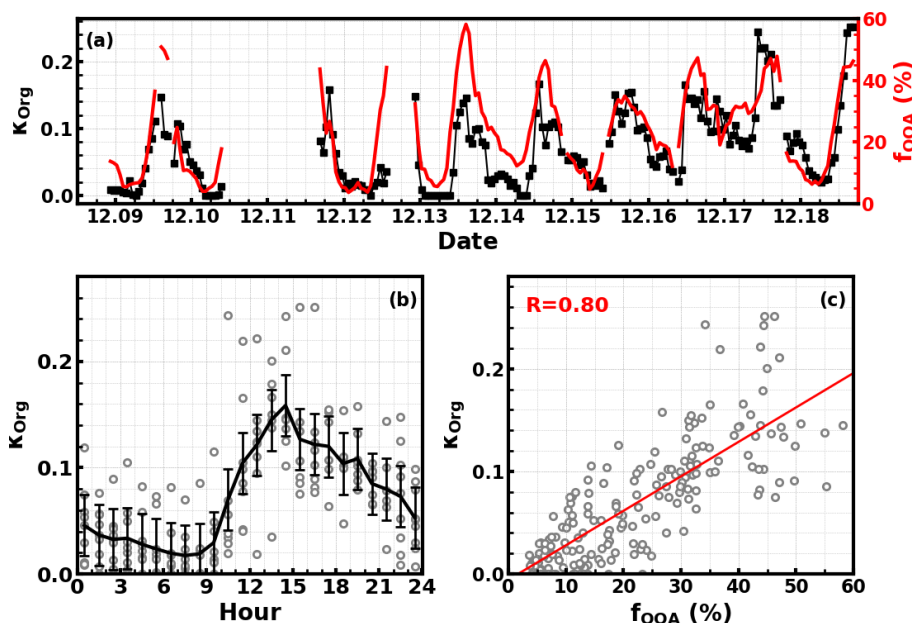


Figure 8. (a) Time series of derived κ_{Org} and OOA mass fraction in NR-PM1 (f_{OOA}) in the right y-axis; (b) Average diurnal profile of κ_{Org} ; (c) Scatter points of κ_{Org} versus f_{OOA} (%), and red line is the fitting line with linear regression.

545 Results introduced in Sect.4.2 demonstrated that κ_{Org} was highly correlated to the OA oxidation
546 level, which suggests that κ_{Org} might be associated with the oxygenated part of organic aerosol. In
547 this study, the mass concentrations of OOA were derived using PMF analysis, and its mass fraction in
548 the total organic aerosol mass (f_{OOA}) was calculated (Fig.8a). f_{OOA} displays diurnal variations similar
549 to κ_{Org} and the statistical relationship between κ_{Org} and f_{OOA} (Fig.8c) shows a strong correlation
550 ($R=0.8$), which both hint that OOA might be a determining factor for κ_{Org} .

551 The correlation coefficient between the average diurnal profiles of κ_{Org} and f_{OOA} was 0.95,
552 which suggests that the variations in f_{OOA} was driving the significant diurnal variations of κ_{Org} . The
553 average diurnal variations of mass concentrations of identified OOA, HOA, COA, CCOA, BBOA, and
554 their mass fractions in total organic mass are shown in Fig.9a and Fig.9b, respectively. The mass
555 concentrations of HOA, CCOA and BBOA decreased sharply from the morning time to about 15:00 LT
556 due to boundary layer evolution processes. The mass concentrations of COA increased a little in the
557 morning and then decreased quickly after 09:30 LT. This transitory increase of COA in the morning
558 might be associated with the cooking for breakfast. However, the OOA mass increased sharply from



559 about 07:30 to 10:30 LT even under the quick boundary layer development during this time range,
560 remaining almost constant thereafter. The rapid decrease of primary organic aerosol components and
561 rise in OOA concentration resulted in dramatic increase of f_{OOA} from 9:00 to 13:30 LT in the

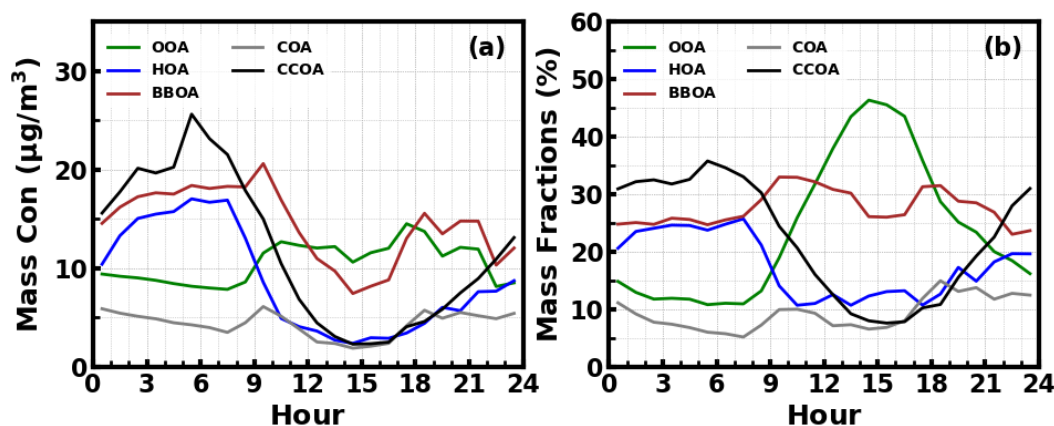


Figure 9. (a) Average diurnal profiles of mass concentrations of OOA, HOA, COA, CCOA, BBOA; (b) Average diurnal variations of mass fractions of OOA, HOA, COA, CCOA, BBOA.

562 afternoon, this period also corresponds to the fastest increase period of κ_{Org} . After 14:30 LT, the OOA
563 mass concentration varied little, however, mass concentrations of primary organic aerosol components
564 increased quickly, resulting in the decrease of f_{OOA} and κ_{Org} .

565 5 Conclusions

566 A field campaign was conducted in winter 2018 on the North China Plain, using a humidified
567 nephelometer system and a ACSM to measure the bulk aerosol hygroscopicity of PM_{10} and PM_1 and
568 bulk aerosol chemical compositions of $\text{PM}_{2.5}$ and PM_1 .

569 During this field campaign, the air was highly polluted with high aerosol loadings. Measured σ_{sp}
570 at 525 nm of PM_{10} and PM_1 in dry state ranged from 11 to 1875 Mm^{-1} and from 18 to 2732 Mm^{-1}
571 with average values of 550 and 814 Mm^{-1} , respectively. Retrieved $\kappa_{f(\text{RH})}$ of PM_{10} and PM_1 ranged
572 between 0.02 to 0.27 and 0.03 to 0.26, with averages of 0.12 and 0.12, respectively. The $\kappa_{f(\text{RH})}$
573 (derived from aerosol light scattering enhancement $f(\text{RH})$) difference between PM_{10} and PM_1 was
574 found to be relatively small (3.5% in average), which was consistent with the physical and
575 mathematical interpretation of $\kappa_{f(\text{RH})}$.

576 A method of calculating κ_{Org} (organic aerosol hygroscopicity) base on $f(\text{RH})$ and bulk aerosol



577 chemical composition measurements is proposed. The key part of this method is that the size cut of
578 bulk aerosol chemical composition measurements should be PM_1 no matter the bulk $\kappa_{f(RH)}$ is
579 retrieved from light scattering enhancement measurements of PM_1 or PM_{10} . The derived κ_{Org} ranged
580 from 0.0 to 0.25 with an average of 0.08, which highlights that κ_{Org} displayed a large variability on
581 the NCP and that large uncertainties would rise if a constant κ_{Org} were used to estimate the climatic
582 and environmental effects of organic aerosols. The variation of κ_{Org} was significantly positively
583 correlated to the oxidation degree of organic aerosols. In addition, a distinct diurnal variation of κ_{Org}
584 was found, with a minimum in the morning (0.02) and maximum in the afternoon (0.16), indicating
585 that the organic aerosol changed from near hydrophobic to near moderately hygroscopic during
586 daytime within only 7 hours, which was observed for the first time in the NCP region. The distinct
587 diurnal variations of κ_{Org} were associated with the significant diurnal variations of mass fractions of
588 oxygenated organic aerosol in total organic aerosol mass. The rapid formation of oxygenated organic
589 aerosol together with the dilution of primary organic aerosol during the development of the boundary
590 layer resulted in the quick increase of mass fractions of oxygenated organic aerosol and κ_{Org} .

591 The large variability and distinct diurnal variations in κ_{Org} found in this study reveal the urgent
592 need for more studies on the spatial and temporal variations of κ_{Org} in the NCP region to better
593 characterize κ_{Org} . The significant influences of organic aerosol aging processes on organic aerosol
594 hygroscopicity should be considered in studying roles of organic aerosol in cloud formation,
595 atmospheric radiative effects and atmospheric chemistry.

596

597 **Data availability.** The data used in this study are available from the corresponding author upon request
598 (kuangye@jnu.edu.cn) and (sunyele@mail.iap.ac.cn).

599

600 **Competing interests.** The authors declare that they have no conflict of interest.

601

602 **Author Contributions.** YK conceived and organized this paper. YC, HS, NM, YK and JT planned
603 this campaign. YK, YS and NM designed the experiments. YK and YH conducted the ACSM and
604 aerosol light scattering enhancement factor measurements. YZ and SZ conducted the particle number
605 size distribution measurements. JS and WY conducted the black carbon measurements. YH performed
606 the ACSM PMF analysis. WX, YH, YS, CZ, PZ and YC helped the data analysis, and WX helped



607 much in the language editing. YK, YH and YS prepared the manuscript with contributions from all co-
608 authors.

609

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