Dear Editor:

Thank you and all the reviewers for the quite constructive and helpful comments! We are grateful for the reviewer's careful inspection of this paper. All the comments raised by the referees have been explicitly replied to and incorporated into the revision.

Thank you very much for your attention and consideration.

Sincerely Yours,

Ye Kuang and Yele Sun

Responses to anonymous referee #1

Comment: Page 3, line 58: "... lead to 40% changes in predicted cloud condensation nuclei (CCN) concentration." The "40% changes" here is confusing, as which is difficult to tell from the sentence whether "a 40% increase or decrease in the NCCN" was resulted from the "50% increase or decrease in κ org". The similar problem exists in the following sentence, which didn't state clearly the corresponding relationship between the average difference in aerosol radiative forcing and change in κ org., e.g.,which scenario (κ org =0.05 or κ org =0.15) corresponds to a higher radiative forcing? A straightforward delivery way is necessary to avoid ambiguity.

Response: Thanks for your comment. Changes are made to those sentences to make them more straightforward. The sentence about CCN is modified to "Liu and Wang (2010) demonstrated that 50% increases in κ of secondary organic aerosol (0.14±0.07) can result in up to 40% increases in predicted cloud condensation nuclei (CCN) concentration.". The sentence regarding aerosol radiative forcing is revised as "Rastak et al. (2017) reported that global average aerosol radiative forcing could decrease about 1 W/m² should κ_{OA} increase from 0.05 to 0.15".

Comment: Page 3, line 65: Unlike the variation of κ org itself, I'm afraid I didn't find any connection between the importance of size-dependent κ org and the abovementioned content. Some details and corresponding references are needed to support the importance of the size influence on κ org and related climatic effects. I would recommend the authors to reorganize the context of size influence on κ org, which can be combined with the information provided in the third paragraph (i.e.,contents related to the HTDMA and CCN measurements).

Response: Thanks for your comment. We have deleted "size dependence of"

Comment: Page 4, line 109: How is the 'mobility diameter of 800 nm' obtained? Related information and references are preferred for the conversion here

Response: Thanks for your comment. This part is revised as "particulate matter with aerodynamic diameter less than 1 μ m, corresponding to mobility diameter of approximately 760 nm assuming spherical particles and a particle density of 1.7 g/cm³"

Comment: Page 6, line 151: Based on the introduction of each instrument, only the humidified nephelometer can measure both PM10 and PM1. How can chemical compositions of PM10 be measured with ACSM, which is designed with a PM2.5 aerodynamic lens/impactor as mentioned in Line 148? Similarly, how can SMPS measure the size distribution of PM10?

Response: Thanks for your comment. As introduced in L152, the inlets of instruments of groups 2 and switch every 15 minutes. This setup makes it possible for ACSM, SMPS and the humidified nephelometer to measure both properties of PM1 and PM10 with a time resolution of 30 minutes. However, the ACSM itself has an impactor with a critical diameter of 2.5 μ m, which is why it cannot measure the total mass of different components of sampled particles when its upstream inlet is PM10.

Comment: Page 8, line 206: Why is the density for size conversion regarded as 1.7 g/cm3, the same as that of black carbon used in the calculation of korg? According to the data reported, the organic fraction is always the predominant contributor to the particle mass of PM2.5. This might suggest a smaller density for the ambient particles. Then how to evaluate the uncertainty in the related calculations?

Response: Thanks for your comment. A density of 1.7 g cm3 for the particles larger than 800 nm as a mean density for the coarse mode is a typical value of converting APS aerodynamic diameter to mobility diameter (Wehner et al., 2008). We agree with the reviewer that the aerosol density might change. And the organic fraction is indeed the predominant contributor to the measured particle mass of PM2.5 by ACSM. However, the APS measures the size distribution of coarse particles, and the density of those coarse particles cannot be inferred from or speculated by only using ACSM measurements, because the ACSM cannot measure all components of ambient particles. Some components like dust, which have higher density (Atkinson et al., 2015), cannot be measured by ACSM as discussed in Sec.3.2.

Comment: Page 10, line 243: For the "iterative calculation using the Mie theory", how are the chemical composition and corresponding mixing state of particles considered in the $\kappa f(RH)$ calculation? This would affect the closure/comparison between derived $\kappa f(RH)$ and $\kappa chem$, thus the interpretation of representativeness of $\kappa f(RH)$

Response: The iteration calculation procedure is introduced in Kuang et al. (2017) in detail. The mixing state of particles are assumed to be internally mixed.

Comment: Page 11, line 266: I suppose that you were assuming black carbon as hydrophobic, rather than hydrophilic, and κ BC is approximately taken as 0. Supporting references would be needed for this point and also for the density assumption of BC in Line 275. A similar typo was found for the description of 'Dust' in Page 12, line 309, which would be hydrophobic instead of hydrophilic.

Response: Thanks for your comment. We have changed hydrophilic to hydrophobic for the description of BC and dust. The reference for density assumption of BC was also added.

Comment: Page 12, line 307: What does the 'by' mean: "... influences of unidentified material by the ACSM ..."? Are you suggesting 'not detected by' ACSM?

Response: Yes, it can be understood as "not detected by the ACSM", we think unidentified might be better because those components are indeed sampled in the ACSM but ACSM does not know what they are.

Comment: Page 13, line 313: "Bulk aerosol chemical compositions and aerosol hygroscopicity $\kappa f(RH)$ measurements are available, one would naturally jump to the conclusion of treating $\kappa f(RH)$ as $\kappa chem$ to derive κOrg (both are from bulk aerosol measurements)."

A connection like a conjunction is needed for the whole sentence. "Both" here sounds ambiguous, although I would assume them to be $\kappa f(RH)$ and $\kappa chem$. It's better

to specific which two hygroscopicity parameters you were referring to.

Response: This sentence is modified as "one might naturally jump to the conclusion of treating $\kappa_{f(RH)}$ as κ_{chem} to derive κ_{OA} because both $\kappa_{f(RH)}$ and κ_{chem} are from bulk aerosol measurements"

Comment: Page 16, Line 380: Why is the $\kappa f(RH)$ uniform for all the particle sizes? If yes, does it mean that $\kappa Dp = \kappa f(RH)$, while κDp itself is size dependent?

Response: Yes, $\kappa f(RH)$ is defined as the uniform value κ that can be used to best fit the observed f(RH). Therefore, $\kappa f(RH)$ being uniform for all the particle sizes is a basic assumption in the $\kappa f(RH)$ retrieval.

Comment: Page 17, Line 387: What does the 'which' mean?

Line 388: Is there any consideration of choosing "two extreme cases of size resolved κDp

Response: "which" is changed to "this result of X_c " to make it clearer. The relative difference between $\kappa f(\text{RH})$ and κ chem are mostly influenced by shape of size-resolved κ Dp distribution. Thus, the two extreme cases of size resolved κ Dp can give the upper range of relative differences of $\kappa f(\text{RH})$ and κ chem for PM1.

Comment: Page 18, Figure 4(c): In comparison of the PM1 scenario displayed in Fig.4b, $\kappa f(\text{RH})$ is generally higher than $\kappa chem$, and larger discrepancies exist for the PM10

case. Can you provide some hints for these results?

Line 411: "How much does $\kappa chem$ differ from $\kappa f(RH)$ for PM1 and PM10 samples?" In my understanding, the $\kappa chem$ of PM10 samples is calculated from the corresponding chemical compositions that are actually measured for PM2.5 instead of PM10 (due to the configuration of ACSM with a PM2.5 impactor), when sampling with a PM10 inlet. In this sense, the two hygroscopicity parameters for PM10 samples would correspond to the water uptake by particles of different size ranges.

Response: The chemical component measurements during the field campaign used in this study is not used to discuss differences of $\kappa chem$ and $\kappa f(RH)$ of PM10. The $\kappa chem$ and $\kappa f(RH)$ of PM10 shown in Fig.4c are calculated based on size-resolved κ distribution as shown in Fig.S5. To make this part clearer, the paragraph describing results of Fig.4c is revised as the following: "For PM10, values of κ_{chem} and $\kappa_{f(RH)}$ using κ_{D_p} size distributions derived from ambient measurements (Fig.S5, similar to Fig.4b) were simulated and displayed in Fig.4c. The simulated absolute values of the relative difference between κ_{chem} and $\kappa_{f(RH)}$ ranged from 0.2% to 41% with an average and standard deviation of 16 ± 8 %, with all κ_{chem} lower than $\kappa_{f(RH)}$. This is because, for PM10, super-micron particles typically with low hygroscopicity (Fig.S5) contribute much more to V_{tot} than to σ_{sp} (as shown in Fig.S7). These results indicate that, for PM10, $\kappa_{f(RH)}$ cannot accurately represent κ_{chem} ."

Comment: Page 19, Line 420: It feels like "thus smaller particles play a more

significant role in $\kappa chem$ " concluded here is a bit too early, as $\kappa chem$ is determined not only by the volume fraction but also by the hygroscopicity of each composition. Small particles with higher κ Dp normally correspond to much higher κ values for both $\kappa chem$ and $\kappa f(RH)$. Considering the much smaller variation range of $\kappa chem$ or $\kappa f(RH)$ caused by Ångström exponents, influence from κ Dp of smaller particles would be more significant.

Line 427: in Fig.4a, the variation ranges of $\kappa chem$ and $\kappa f(RH)$ are much smaller than those in Fig.4b of PM1. Can we say that the influence of the particle size distribution (as denoted by the Ångström exponent) is not that important for $\kappa chem$ or $\kappa f(RH)$?

Response: We think the reviewer has a misunderstanding here. This sentence is to explain why calculated κ_{chem} is smaller than κ_{fRH} for the results shown in Fig.4a. And the variation ranges of $\kappa chem$ and $\kappa f(RH)$ in Fig.4a are much smaller than those in Fig.4b of PM1, because $\kappa chem$ and $\kappa f(RH)$ in Fig.4a are calculated based on fixed size-resolved κ Dp distribution shown in Fig.3. The $\kappa chem$ and $\kappa f(RH)$ shown in Fig.4b are calculated based on about 23 size-resolved κ Dp distribution which are derived from measured size-resolved chemical compositions in the NCP region. Based on the results of Fig.4, we can say that the influence of particle size distribution (as denoted by the Ångström exponent) is not that important for $\kappa chem$ or $\kappa f(RH)$.

Comment: Page 20, Line 440: "... with all $\kappa chem$ lower than $\kappa f(RH)$." For the discrepancies between $\kappa chem$ and $\kappa f(RH)$, is it also because that $\kappa chem$ is only derived

from PM2.5 rather than PM10 measurements? How to evaluate the effect of size-cut of ACSM especially for measurements with a PM10 inlet?

Line 449: I guess 'NR-PM2.5' and 'NR-PM1' is reversely sequenced, similar to the orders of 'PM10' and 'PM1'' in the following sentence of the same page and in Line 570 of Page 27

Line 456: "During the first period", is there any predefinition of the first/second or any other period (e.g., the "non-fog periods" in Page 22, Line 478)?

Response: Thanks for your comment. This part is still theoretically discussing the discrepancies between $\kappa chem$ and $\kappa f(RH)$, both kchem and $\kappa f(RH)$ are derived from size-resolved κ distribution of PM10. Discussions here are not relevant to ACSM measurements.

'NR-PM2.5' and 'NR-PM1' are indeed reversely sequenced, and we have revised these sentences. We have changed "During the first period" to "During the period 1 shown in Fig.5" to make it clearer. The "non-fog periods" are changed to "non-fog periods (periods with RH <100%)."

Comment: Page 26, Line 555: How is BBOA identified from COA, as the diurnal patterns of the two factors seem to be quite similar?

Response:

We thank the reviewer for this comment. Although the diurnal profiles of BBOA and COA have some similarities, the mass spectra and temporal variations of the two factors were different (Figure R1). In particular, the BBOA spectrum was characterized by pronounced m/z 60, a tracer m/z for biomass burning due to fragmentation of levoglucosan (Cubison et al., 2011), and the spectrum of COA showed much higher m/z 55/57 ratio that has been widely used as a diagnostic of cooking emissions (Mohr et al., 2012). Because of the spectral differences between BBOA and COA, these two factors can be well separated by positive matrix factorization (PMF).



Figure R1. Mass spectra and time series of five OA factors that were identified in this study.

Cubison, M. J., Ortega, A. M., Hayes, P. L., Farmer, D. K., Day, D., Lechner, M. J., Brune, W. H., Apel, E., Diskin, G. S., Fisher, J. A., Fuelberg, H. E., Hecobian, A., Knapp, D. J., Mikoviny, T., Riemer, D., Sachse, G. W., Sessions, W., Weber, R. J., Weinheimer, A. J., Wisthaler, A., and Jimenez, J. L.: Effects of aging on organic aerosol from open biomass burning smoke in aircraft and laboratory studies, Atmos. Chem. Phys., 11, 12049-12064, 10.5194/acp-11-12049-2011, 2011.

Mohr, C., DeCarlo, P. F., Heringa, M. F., Chirico, R., Slowik, J. G., Richter, R., Reche, C., Alastuey, A., Querol, X., Seco, R., Peñuelas, J., Jiménez, J. L., Crippa, M., Zimmermann, R., Baltensperger, U., and Prévôt, A. S. H.: Identification and quantification of organic aerosol from cooking and other sources in Barcelona using aerosol mass spectrometer data, Atmos. Chem. Phys., 12, 1649-1665, 10.5194/acp-12-1649-2012, 2012.

Technical Corrections

Comment: A consistent expression is always recommended in one article, while such inconsistency issues are commonly found in this manuscript. For instance, a subscript format needs to be applied for e.g., (NR-)PM1, (NR-)PM2.5, and PM10. Different symbols like κ fRH and κ f(RH) are used randomly. OA is defined as the abbreviation for both 'organic aerosols' and 'organic aerosol'. Please check through the whole content and make corrections in all the necessary places.

Some shorten names (such as ACSM, NR-PM) should be defined earlier, i.e., when they appear for the first time.

Response: Corrections have been made according to the suggestions. Subscripts were applied for (NR-)PM₁, (NR-)PM_{2.5}, and PM₁₀. All κ fRH were changed to κ f(RH), and shortened names like ACSM, NR-PM were defined when they appear for the first time.

Responses to anonymous referee #2

Specific comments

Comment: I found the discussion in Section 3.3 to be a little confusing given all of the definitions of kappa. Perhaps a table that lists the different kappas and measurements they are based on would be helpful.

Response: Thanks for your suggestion. A Table as the following was added to improve the readability of the manuscript.

Table 2. Different κ and their physical meaning

$\kappa_{f(\mathrm{RH})}$	A uniform κ for all particle sizes which describes $f(RH)$ accurately	
κ_{chem} A bulk κ assuming different chemical compositions of aerosol popul		
	are internally mixed and calculated with the ZSR mixing rule	
κ _i	$ κ_i $ hygroscopicity parameter κ of chemical species i	
κ_{D_n}	The κ assuming different chemical compositions of particles with diameter	
٣	of D_p are internally mixed and calculated with the ZSR mixing rule	

Comment: Line 105: Explain why this diameter range (200 to 800 nm) is represented by the dependence of light scattering on RH.

Response: This is too complex to be explained in a few sentences within the Introduction part, which is why detailed explanations are given in Sect3.3.

Comment: Figure 1: The text says that the ACSM measured PM2.5 but the figure indicates an upstream cut-off diameter for PM1. Please clarify.

Response: As introduced in Sect.2.1 and shown in Fig.1, the upstream impactor of ACSM switches between PM1 and PM10 every 15 minutes.

Comment: Line 182: Please provide a brief description of the CV and how it allows for the collection of particles as large as 2.5 um.

Response: Thank the reviewer's comments. In the revised manuscript, we expanded the description of CV. It now reads: "The CV was designed with an enclosed cavity to increase particle collection efficiency (CE) at the detector (Xu et al., 2017). Both laboratory and field measurements indicate that the CE of CV was fairly robust and was roughly equivalent to 1. Therefore, a CE of 1 was applied to all measured species in this study (Hu et al., 2017; Hu et al., 2018b)."

Aerodyne Research Inc. redesigned the aerodynamic lens by changing the geometry of the exit nozzle (Xu et al., 2017). Compared with the traditional PM_1 standard lens, the transmission efficiency of the new lens is about 50% at 3.5 µm vacuum aerodynamic

diameter, which is approximately equal to a 2.8 μ m aerodynamic diameter assuming an average ambient particle density of 1.7 g/cm³. Therefore, the new aerodynamic lens allows for the collection of particles as large as 2.5 μ m.

Comment: Lines 185 – 187: Is the CE for the capture vaporizer dependent on chemical composition? Has a unit CE been observed for the composition of the aerosol sampled here?

Response: The collection efficiency for the capture vaporizer (CV) is independent of chemical composition. Hu et al. (2017) evaluated comprehensively the CV in three field studies, and found that the CE of CV was fairly robust and was roughly equivalent to 1. Therefore, a CE of 1 was applied to all measured species in this study.

Comment: L126: Figure 4 caption: "...distributions shown in Fig. 4". Should this be Fig. S4?

Response: Thank you for noticing. We have changed Fig. 4 as Fig.S5.

Comment: Lines 449 – 450: Why is the reported maximum PM2.5 concentration less than the PM1 concentration? Same for the PM10 and PM1 light scattering coefficients. **Response**: Thank you for noticing, these are typing errors, it should be the other way around.

Reference:

Atkinson, D.B., Radney, J.G., Lum, J., Kolesar, K.R., Cziczo, D.J., Pekour, M.S., Zhang, Q., Setyan, A., Zelenyuk, A., Cappa, C.D., 2015. Aerosol optical hygroscopicity measurements during the 2010 CARES campaign. Atmospheric Chemistry and Physics 15, 4045-4061.

Kuang, Y., Zhao, C., Tao, J., Bian, Y., Ma, N., Zhao, G., 2017. A novel method for deriving the aerosol hygroscopicity parameter based only on measurements from a humidified nephelometer system. Atmos. Chem. Phys. 17, 6651-6662.

Wehner, B., Birmili, W., Ditas, F., Wu, Z., Hu, M., Liu, X., Mao, J., Sugimoto, N., Wiedensohler, A., 2008. Relationships between submicrometer particulate air pollution and air mass history in Beijing, China, 2004–2006. Atmos. Chem. Phys. 8, 6155-6168.

Hu, W., Campuzano-Jost, P., Day, D. A., Croteau, P., Canagaratna, M. R., Jayne, J. T., Worsnop, D. R., and Jimenez, J. L.: Evaluation of the new capture vaporizer for aerosol mass spectrometers (AMS) through field studies of inorganic species, Aerosol Sci. Tech., 51, 735–754, 10.1080/02786826.2017.1296104, 2017.

1	Distinct diurnal variation of organic aerosol hygroscopicity and its relationship with		
2	oxygenated organic aerosol		
3	Ye Kuang ^{1,*,†} ,Yao He ^{2,†} , Wanyun Xu ⁵ , Pusheng Zhao ⁶ , Yafang Cheng ⁴ , Gang Zhao ³ , Jiangchuan Tao ¹ ,		删除了: Yele Sun ^{2,*} ,
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6	[1]{Institute for Environmental and Climate Research, Jinan University, Guangzhou 511443, China}		
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8	Institute of Atmospheric Physics, Chinese Academy of Sciences, Beijing 100029, China}		
9 10	[3] {Department of Atmospheric and Oceanic Sciences, School of Physics, Peking University, Beijing, China}		
11	[4] {Max Planck Institute for Chemistry, Mainz 55128, Germany}		
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22	[†] These authors contribute equally to this paper.		
23	*Correspondence to: Ye Kuang (kuangye@jnu.edu.cn), Yele Sun (sunyele@mail.iap.ac.cn)		设置了格式: 字体: 四号
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27 Abstract

28	The hygroscopicity of organic aerosols (OA) is important for investigation of its climatic and
29	environmental impacts. However, the hygroscopicity parameter κ_{OA} remains poorly characterized,
30	especially in the relatively polluted environment on the North China Plain (NCP). Here we conducted
31	simultaneous wintertime measurements of bulk aerosol chemical compositions of $PM_{2.5}$ and $PM_{1_{\rm a}}$ and
32	bulk aerosol hygroscopicity of PM10 and PM1 on the NCP using a capture vaporizer time-of-flight
33	aerosol chemical speciation monitor (CV-ToF-ACSM) and a humidified nephelometer system which
34	measures aerosol light scattering enhancement factor $f(RH)$. A method for calculating χ_{OA} based on
35	$f(RH)$ and bulk aerosol chemical composition measurements was developed. We found that κ_{OA}
36	varied in a wide range with significant diurnal variations. The derived κ_{OA} ranged from almost 0.0 to
37	0.25 with an average ($\pm 1\sigma$) of 0.08 (± 0.06) for the entire study. The derived κ_{0A} was highly correlated
38	with f_{44} (fraction of m/z 44 in OA measured by CV-ToF-ACSM), an indicator of oxidation degree of
39	OA (<i>R</i> =0.79), and the relationship can be parameterized as $\kappa_{OA} = 1.04 \times f_{44} - 0.02 (\kappa_{OA} = 0.3 \times 0.02 \times$
40	0.02, based on the relationship between f_{44} and O/C ratio for CV-ToF-ACSM). On average, κ_{OA}
41	reached the minimum (0.02) in the morning near 07:30 and then increased rapidly reaching the peak
42	value of 0.16 near 14:30. The diurnal variations of χ_{OA} were highly and positively correlated with
43	those of mass fractions of oxygenated OA ($R = 0.95$), indicating that photochemical processing played
44	a dominant role for the increase of κ_{OA} in winter on NCP. Results in this study demonstrate the
45	potential wide applications of humidified nephelometer system together with aerosol composition
46	measurements for investigating the hygroscopicity of OA in various environments, and highlight that
47	the parameterization of κ_{OA} as a function of OA aging processes needs to be considered in chemical
48	transport models for better evaluating the impacts of OA on cloud formation, atmospheric chemistry
49	and radiative forcing.

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52 **1 Introduction**

Aerosol hygroscopic growth plays significant roles in different atmospheric processes including atmospheric radiation transfer, cloud formation, visibility degradation, atmospheric multiphase chemistry and even air_pollution_related health effects, and therefore is crucial for studies on aerosol 删除了:κ_{org}

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69	climatic and environmental impacts. Organic materials in ambient aerosol particles, usually referred
70	to organic aerosols (OA), contribute substantially to ambient aerosol mass and often contribute more
71	than half to submicron aerosol particle mass under dry state (Jimenez et al., 2009). The hygroscopicity
72	parameter κ (Petters and Kreidenweis, 2007) of organic aerosols (κ_{OA}) is a key parameter for
73	investigating the roles of organic aerosol in radiative forcing, cloud formation and atmospheric
74	chemistry. Liu and Wang (2010) demonstrated that 50% increases in κ of secondary organic aerosol
75	(0.14 ± 0.07) can <u>result in up</u> to 40% <u>increases</u> in predicted cloud condensation nuclei (CCN)
76	concentration. Rastak et al. (2017) reported that global average aerosol radiative forcing would
77	decrease about 1 W/m^2 should κ_{OA} increase from 0.05 to 0.15, which is of the same order with the
78	overall climate forcing of anthropogenic aerosol particles during the industrialization period. Li et al.
79	(2019) reported that organic aerosol liquid water contributed 18-32% to total particle liquid water
80	content in Beijing. Despite its importance, κ_{OA} has not yet been well characterized due to the
81	extremely complex chemical compositions of organic aerosol. Therefore, it is important to conduct
82	more researches on the spatiotemporal variation \underline{of}_{OA} and its relationship with aerosol chemical
83	compositions to reach a better characterization and come up with more appropriate parameterization
84	schemes in chemical, meteorological and climate models.
85	The large variety in OA chemical constituents makes it difficult to directly link κ_{OA} to specific
86	organic aerosol compositions. The OA chemical composition is tightly connected to their volatile
87	organic precursors, which are also rich in variety and come from different natural and anthropogenic
88	sources. OA with different oxidation levels also behave differently in respect to hygroscopic growth.
89	Thus, studies on κ_{OA} at different locations and seasons have reported distinct characteristics. Many
90	studies have investigated the influence of OA oxidation level (represented by O:C ratio or fraction of
91	m/z 44 in OA, f ₄₄ , which are determined from aerosol mass spectrometer measurements) on its
92	hygroscopicity (Chang et al., 2010;Lambe et al., 2011;Duplissy et al., 2011;Mei et al., 2013b;Wu et
93	al., 2013;Hong et al., 2015;Chen et al., 2017;Massoli et al., 2010) and found that the average κ_{OA}
94	generally increases as a function of organic aerosol oxidation level, <u>However</u> , the statistical empirical
95	relationship between κ_{OA} and O:C ratio or f_{44} differs much among different studies. Several studies
96	have also analyzed the diurnal variation characteristics of χ_{OA} at different locations and seasons
07	(Cerully et al., 2015:Bougiatioti et al., 2016:Deng et al., 2018:Deng et al., 2019:Thalman et al., 2017).

98 with some exhibiting distinct diurnal variations (Deng et al., 2018;Deng et al., 2019;Bougiatioti et al., 3

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131 2016) and others not (Cerully et al., 2015). Despite this, the studies on κ_{OA} in the relatively polluted

North China Plain (NCP) region are very limited (Wu et al., 2016). The diurnal characteristics of κ_{OA}

133 <u>on</u> the NCP have not been reported so far. Therefore, more investigation into the diurnal variation of

134 \mathcal{K}_{OA} and its relationship with OA oxidation level is required to better understand its characteristics in 135 the NCP.

136 The Humidity Tandem Differential Mobility Analyzer (HTDMA) or CCN counter has been 137 widely used for aerosol hygroscopicity measurements. Both the HTMDA and size-resolved CCN 138 measurements can only be used to derive a κ within a certain size range (HDTMA: usually diameter 139 below 300 nm, with a reported highest diameter of 360 nm (Deng et al., 2019), CCN: with diameter 140 up to ~200 nm (Zhang et al., 2014;Rose et al., 2010)). The aerosol particles contributing most to aerosol 141 optical properties (Bergin et al., 2001; Quinn et al., 2002; Cheng et al., 2008; Ma et al., 2011; Kuang et 142 al., 2018) and aerosol liquid water content (Bian et al., 2014) in continental regions are usually in the 143 diameter range of 200 nm to 1µm, which the HTDMA and CCN hygroscopicity measurements cannot 144 represent. Results from several studies have reported that χ_{OA} usually differentiates among particle 145 size (Frosch et al., 2011;Kawana et al., 2016;Deng et al., 2019). For example, Deng et al. (2019) found 146 that κ_{OA} increases with the increases in particle dry diameter. These results further highlight a need 147 for characterization of κ_{OA} of larger particles,

148 The humidified nephelometer system which measures aerosol light scattering enhancement 149 factors is also widely used in aerosol hygroscopicity research (Titos et al., 2016). The hygroscopicity 150 parameter k retrieved from measured light scattering enhancement factor is usually referred to as $\kappa_{f(RH)}$ (Chen et al., 2014;Kuang et al., 2017), which represents the overall hygroscopicity of aerosol 151 particles with their diameters ranging from 200 nm to 800 nm for continental aerosols (see discussions 152 153 in Sect.3.3 for physical understanding of $\kappa_{f(RH)}$). Using the retrieved $\kappa_{f(RH)}$ together with bulk 154 aerosol chemical compositions of PM₁ (particulate matter with aerodynamic diameter less than 1 μ m, 155 corresponding to mobility diameter of approximately760 nm assuming spherical particles and a 156 <u>particle density of 1.7 g/cm³</u>), κ_{OA} can be derived, representing the hygroscopicity of organic aerosol 157 particles in the diameter range of 200 to about 800 nm. In this study, the light scattering enhancement 158 factors of both PM_{10} (particulate matter with aerodynamic diameter less than 10 μ m) and PM_1 particles were measured at a rural site on the NCP in winter 2018, together with aerosol chemical 159 compositions by a capture vaporizer time-of-flight aerosol chemical speciation monitor (CV-ToF-160

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ACSM). By integrating these two different measurements, κ_{0A} is derived, and the relationship

between κ_{OA} and OA oxidation degree, as well as the diurnal variation of κ_{OA} is elucidated.

191 **2 Site and instruments**

From 11th November to 24th December 2018, continuous measurements of physical, optical and chemical properties of ambient aerosol particles as well as meteorological parameters such as temperature, wind speed and direction and relative humidity were made at the Gucheng site in Dingxing county, Hebei province, China. <u>The sampling site</u> an Ecological and Agricultural Meteorology Station (39°09'N, 115°44'E) of the Chinese Academy of Meteorological Sciences, is <u>located</u> between Beijing (~<u>100 km</u>) and Baoding (~<u>40 km</u>), two large cities on the North China Plain, and is surrounded by farmland and small residential towns.

199 2.1 Inlet system and instruments

200 During this field campaign, all instruments were housed in an air-conditioned container, with the 201 temperature held almost constant near 24 °C. The schematic diagram of the inlet systems for the aerosol sampling instruments is displayed in Fig.1. Three inlet impactors are used for aerosol sampling, 202 two PM10 inlets and one PM1 inlet, respectively sampling ambient aerosol particles with aerodynamic 203 diameter less than 10 µm and 1 µm. Nafion driers with lengths of 1.2 m were placed downstream of 204 205 each PM impactor inlet, which can drop the RH of sampled air below 15%, thus, sampled aerosol 206 particles can be treated as in dry state. Additionally, downstream every PM impactor inlet an MFC 207 (mass flow controller) and a pump were added for automatic flow compensation, to ensure that each impactor reaches their required flow rate of 16.7 L/min and guaranteeing for the right cut diameters. 208

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	Sect.2. Method of deriving κ_{0rg} based on retrieved $\kappa_{f(RH)}$
	and bulk aerosol chemical compositions measurements are
	proposed and discussed in Sect.3. Results and discussions are
	presented in Sect.4, followed by conclusions.
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226	Aerosol sampling instruments can be categorized into four groups according to their inlet routes.
227	The first group (group1) downstream of the first PM _{al0} inlet is comprised of only one instrument, the
228	Aerodynamic Particle Sizer (APS, TSI Inc., Model 3321), measuring the size distribution of ambient
229	aerosol particles with aerodynamic diameter ranging from 700 nm to 20 μm at a temporal resolution
230	of 20 seconds. The second group (group 2) includes a humidified nephelometer system (consisting of

231 two nephelometers and a humidifier) that measures aerosol optical properties (scattering and back



Figure 1. Schematic diagram of the inlet systems for aerosol sampling instruments

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232	scattering coefficients at three wavelengths: 450 nm, 525 nm, 635 nm) of ambient aerosol particles in
233	dry state (DryNeph) and under 85% RH condition (WetNeph). The third group (group3) includes two
234	instruments, an ACSM and a scanning mobility particle sizer (SMPS; TSI model 3080). The CV-ToF-
235	ACSM measures non-refractory particulate matter (NR-PM) species including organics, SO_4^{2-} ,
236	NO_3^- , NH_4^+ , and Cl^- with an air flow of 0.1 L/min and a time resolution of 2 minutes. Since the <u>CV-</u>
237	$\underline{\text{ToF-}}ACSM_{\text{s}}$ instrument comes with <u>a PM_{2.5} impactor</u> , when the impactor of upstream is PM ₁₀ , chemical
238	<u>compositions</u> of $PM_{2.5}$ rather than of PM_{10} were measured.
239	The SMPS measures particle mobility diameter size distributions with a diameter range of 12 nm
240	to 760 nm. The inlets of group2 and group3 switch every 15 minutes, as denoted by the dashed and

solid red lines in Fig.1, enabling the instruments of these two groups to alternately measure the

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chemical and optical properties of PM_{10} and PM_1 . The fourth group (group4) includes an AE33 aethalometer (Drinovec et al., 2015) and other aerosol instruments. Due to technical issues with the humidifier, the humified nephelometer system started to operate continuously since the 30th Nov.

250 **2.2** The humidified nephelometer system

251 The humidified nephelometer system we built was set up to measure dry state aerosol optical 252 properties at a fixed RH of 85%. The RH of the air sample is increased by a humidifier that consists 253 of two layers. The inner layer is a Gore-Tex tube layer passing through sampled air, while the outer layer is a stainless-steel tube with circulating liquid water. The water vapor penetrates through the 254 255 Gore-Tex tube and humidifies the sample air, while liquid water is kept from the inner layer by the 256 Gore-Tex material. Upon the switch of inlets between group 2 and group 3, delays in valve switching 257 caused instantaneous low pressure in the sample air, which broke the humidifier with the Gore-Tex tube after four days of continuous operation (3rd, Dec) and flooded the WetNeph. The WetNeph was 258 fixed and recalibrated and a commercial Nafion drier (60 cm long, Perma Pure company) replaced the 259 260 Gore-Tex tube, which works the same way but is more resistant to low pressure. The temperature of the circulating water layer is controlled by a water bath and specified by an algorithm that adjusts the 261 262 water temperature to maintain a relatively constant RH in the sensing volume of the WetNeph. To 263 monitor the RH in the sensing volume of the WetNeph, two temperature and RH sensors (Vaisala HMP110, with accuracies of ± 0.2 °C and ± 1.7 % for RH between 0 to 90%, respectively, and 264 265 ± 2.5 % for RH between 90 to 100%) were placed at the inlet and outlet of the WetNeph. Defining 266 measured RHs/temperatures at the inlet and outlet of the WetNeph as RH_1/T_1 and RH_2/T_2 , the according dew point temperatures T_{d1} and T_{d2} can be calculated and the average value $\overline{T_d}$ was treated 267 as the dew point of the sample air in the sensing volume of WetNeph. The sample RH is calculated 268 using $\overline{T_d}$ and the sample temperature measured by a sensor inside the sample cavity of the 269 270 nephelometer.

271 2.3 ACSM measurements and data analysis

The mass concentration and chemical composition of NR-PM species were measured with the Aerodyne ToF-ACSM which is equipped with a PM_{2.5} aerodynamic lens (Williams et al., 2010) and a 静除了: In addition, the ambient meteorological parameters like RH, temperature, wind speed and direction and air pressure were observed using an automatic weather station at a 1 minute time resolution.

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280 capture vaporizer (CV) (Xu et al., 2017;Hu et al., 2017) to extend the measured particle size to 2.5 µm. 281 Detailed instrument descriptions were given in Fröhlich et al. (2013) and Xu et al. (2017). The CV-282 ToF-ACSM data were analyzed with the standard data analysis software (Tofware v2.5.13, 283 https://sites.google.com/site/ariacsm/) within Igor Pro (v6.37, WaveMetrics, Inc., Oregon, USA). The 284 CV was designed with an enclosed cavity to increase particle collection efficiency (CE) at the detector 285 (Xu et al., 2017). Both laboratory and field measurements indicate that the CE of CV was fairly robust 286 and was roughly equivalent to 1. Therefore, a CE of 1 was applied to all measured species in this study (Hu et al., 2017; Hu et al., 2018b) (Hu et al., 2017; Hu et al., 2018b). Relative ionization efficiencies 287 288 (RIEs) of 3.06 and 1.09 were used for ammonium and sulfate quantification respectively, and the 289 default values of 1.1 and 1.4 were used for nitrate and organic aerosol (OA) respectively. Compared 290 with the AMS with standard vaporizer, the CV-ToF-ACSM reports higher fragments at smaller m/z's 291 due to additional thermal decomposition associated with increased residence time and hot surface 292 collisions (Hu et al., 2018a). As a result, f44 from CV-ToF-ACSM measurements is often much higher 293 than those previously reported from AMS, yet they are well correlated (Hu et al., 2018a). 294 The organic mass spectra from m/z 12 to 214 were analyzed by positive matrix factorization (PMF) 295 (Paatero and Tapper, 1994) with an Igor Pro based PMF evaluation tool (v3.04) (Ulbrich et al., 2009). 296 The ion fragments m/z of 38, 49, 63 and 66 were removed from both PM1 and PM2.5 PMF inputs 297 considering their small contributions to the total organic signals yet with high signal-to-noise ratios. 298 The PMF results were then evaluated following the procedures detailed in Zhang et al. (2011). After

carefully evaluating the mass spectral profiles, diurnal patterns and temporal <u>variations</u> of the OA factors and comparing them with other collocated measurements, a five-factor solution was selected for both PM_1 and $PM_{2.5}$. The five factors include four primary factors, i.e., hydrocarbon-like OA (HOA), cooking OA (COA), biomass burning OA (BBOA), and coal combustion OA (CCOA), and a secondary factor, oxygenated OA (OOA). More detailed descriptions on the PMF results will be given in He et al. (in preparation).

305 2.4 Data reprocessing

The size distributions measured by APS were converted to mobility-equivalent size distributions using spherical shape assumptions and an effective particle density of 1.7 g/cm³. Note that the 删除了: novel

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designations of PM10 and PM1 are in respect to aerosol aerodynamic diameters, while the 317 corresponding mobility-equivalent cut diameters of the two impactors are approximately 7669 nm and 318 319 767 nm, respectively. For simplicity and consistency, we will continue to refer to them as the PM₁₀ and 320 PM1 based on their aerodynamic diameter. For the case of PM1 measurements, the mobility-equivalent cut diameter is quite near the upper range of the SMPS size range. Considering that the cut diameter 321 322 of the impactor corresponds to the diameter of aerosol particles in ambient state (aerosol hygroscopic 323 growth effect needs to be taken into account) and the SMPS measures the size distributions of aerosol 324 particles in dry state, the SMPS measurements should be able to cover the full_size range of PM1. 325 When the SMPS was sampling aerosol particles of PM₁₀, the size distributions measured by SMPS 326 and APS was merged together and truncated to an upper limit of 7669 nm to provide full range particle 327 number size distributions (PNSD). In addition, the AE33 measures aerosol absorption coefficient at several wavelengths, the mass concentrations of black carbon (BC) were converted from measured 328 329 aerosol absorption coefficients at 880 nm with a mass absorption coefficient of 7.77 m²/g (Drinovec 330 et al., 2015).

Since group 2 and 3 switched between PM_1 and PM_{10} inlets every 15 minutes, all measurements were averaged over each 15-minute observation episode, resulting in valid time resolutions of 15 minutes for APS and BC PM_{10} measurements and <u>of</u> 30 minutes for SMPS, <u>CV-ToF-</u>ACSM and the humidified nephelometer system PM_1 and PM_{10} measurements, respectively. This resulted in a 15minute time lag between the averaged datasets of group 2 and group 3. To match the time of all the measurement data, the measurements of SMPS, ACSM and the humidified nephelometer system were linearly interpolated to the 15-minute time resolution of the APS data.

338 **3 Methodology**

339 **3.1** Calculations of hygroscopicity parameters κ_{sca} and κ from measurements of the 340 humidified nephelometer system

The humidified nephelometer system measures aerosol light scattering coefficients and backscattering coefficients at three wavelengths under dry state and 85% RH condition, providing measurements of the light scattering enhancement factor $f(\text{RH}, \lambda)$, which is defined as 344 $f(\text{RH} = 85\%, \lambda) = \frac{\sigma_{sp}(RH, \lambda)}{\sigma_{sp}(dry \lambda)}$, with λ being the light wavelength. In this study, we only calculate 345 f(RH, 525 nm) and refer to it hereinafter as f(RH) for simplicity. Brock et al. (2016) proposed a 346 single parameter formula to describe $f(\text{RH}, \lambda)$ as a function of RH. Kuang et al. (2017) further 347 developed this parameterization scheme to better describe measured f(RH) by including the 348 reference RH (RH₀) in the dry nephelometer as shown in Eq.1, using which the optical hygroscopicity 349 parameter κ_{sca} can be derived from $f(\text{RH})_{measured}$.

350
$$f(\text{RH})_{measured} = (1 + \kappa_{sca} \frac{RH}{100 - RH}) / (1 + \kappa_{sca} \frac{RH_0}{100 - RH_0})$$
 (1)

An overall hygroscopicity parameter κ referred to as $\kappa_{f(RH)}$ can be retrieved from measured f(RH) with the addition of simultaneously measured particle number size distribution (PNSD) and BC mass concentration (Chen et al., 2014;Kuang et al., 2017). The idea is to conduct an iterative calculation using the Mie theory and the κ -Köhler theory together to find a $\kappa_{f(RH)}$ that closes the gap between the simulated and the measured f(RH). Details on the calculations of $\kappa_{f(RH)}$ can be found in Kuang et al. (2017).

357 **3.2** Calculations of κ_{chem} from aerosol chemical composition measurements

For the calculation of aerosol hygroscopicity parameter κ based on measured chemical composition data (κ_{chem}), detailed information on the chemical species are needed. The <u>CV-ToF-</u> ACSM can only provide bulk mass concentrations of SO_4^{2-} , NO_3^- , NH_4^+ , Cl^- ions and organic components. For the inorganic ions, a simplified ion pairing scheme (as listed in <u>Table 1</u>) was used to convert ion mass concentrations to mass concentrations of corresponding inorganic salts (Gysel et al., 2007;Wu et al., 2016).

Table 1. Densities (ρ) and hygroscopicity parameters (κ) of inorganic salts used in this study

Species	NH ₄ NO ₃	NH ₄ HSO ₄	$(NH_4)_2SO_4$	NH ₄ Cl
ρ (g cm ⁻³)	1.72	1.78	1.769	1.527
κ	0.58	0.56	0.48	0.93

365 Mass concentrations of SO_4^{2-} , NO_3^- , NH_4^+ , Cl^- are thus specified into ammonium sulfate (AS),

ammonium nitrate (AN), ammonium chloride (AC) and ammonium bisulfate (ABS), with the κ

367 values of these salts specified according to (Wu et al., 2016) and Liu et al. (2014) (Table 1). For a given

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371 internal mixture of different aerosol chemical species, a simple mixing rule called Zdanovskii–Stokes–

Robinson (ZSR) can be used for predicting the overall κ_{chem} on the basis of volume fractions of different chemical species (ε_i) (Petters and Kreidenweis, 2007):

374 $\kappa_{chem} = \sum_i \kappa_i \cdot \varepsilon_i$ (2)

where κ_i and ε_i represent the hygroscopicity parameter κ and volume fraction of chemical component *i* in the mixture. Based on Eq.2, κ_{chem} can be calculated as follows:

377 $\kappa_{chem} = \kappa_{AS}\varepsilon_{AS} + \kappa_{AN}\varepsilon_{AN} + \kappa_{ABS}\varepsilon_{ABS} + \kappa_{AC}\varepsilon_{AC} + \kappa_{BC}\varepsilon_{BC} + \kappa_{OA}\varepsilon_{OA}$ (3)

where κ_{OA} and ϵ_{OA} represent κ and volume fraction of total organics. Since black carbon is hydrophobic, κ_{BC} is assumed to be zero. With known κ_{chem} , κ_{OA} can be calculated using the following formula:

381 $\kappa_{OA} = \frac{\kappa_{Chem} - (\kappa_{AS}\varepsilon_{AS} + \kappa_{AN}\varepsilon_{AN} + \kappa_{ABS}\varepsilon_{ABS} + \kappa_{AC}\varepsilon_{AC})}{\varepsilon_{OA}}$ (4)

382	The volume concentration of organics was calculated by assuming density of POA as 1 g/cm ³ and
383	density of OOA as 1.4 g/cm ³ (Wu et al., 2016). For the calculation of the total volume concentration
384	$(V_{tot})_{a}$ we have three <u>approaches</u> . The first <u>approach</u> is to sum up the volume concentrations of all
385	chemical species (AS, AN, ABS, AC, BC and organics), where the volume concentration of BC was
386	calculated by assuming a density of 1.7 g/cm ³ (Wu et al., 2016). We refer the calculated total volume
387	concentration of aerosol particles to as $V_{tot,Chem}$. The second <u>approach</u> is to integrate V_{tot} from
388	measured PNSD using the equation $V_{tot,PNSD} = \int \frac{4}{3} \pi r^3 n(r) dr$, where r is the particle radius and n(r)
389	is the measured <u>particle number concentrations</u> . The third <u>approach</u> is to use the trained machine
390	learning estimator to estimate the V_{tot} based on measurements of dry nephelometer $(V_{tot,Neph})$ as was
391	introduced in Kuang et al. (2018). V_{tot} of PM ₁ calculated using these three methods were compared
392	to each other and shown in Fig.S2. $V_{tot,Chem}$ correlates well with $V_{tot,PNSD}$, but it is on average 30%
393	lower than that of $V_{tot,PNSD}$. Chemical components within aerosol particles such as dust, sea salt as
394	well as metal ions <u>cannot</u> be <u>detected</u> by <u>CV-ToF-ACSM</u> . Since the Gucheng site is far from the ocean,
395	sea salt should have negligible impacts on the total mass of PM1. However, mineral dust can extend
396	into the submicron range (Shao et al., 2007), which might be the cause for the <u>low $V_{tot,Chem}$</u> .
397	calculated using <u>CV-ToF-ACSM</u> and BC data. $V_{tot,Neph}$ also correlates well with $V_{tot,PNSD}$, but is on

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	(4)
	To calculate volume fractions of individual species, their
	volume concentrations and the total volume concentration of
	aerosal particles (V) are required The volume
	acrossi particles (v_{tot}) are required. The volume
V	mass concentrations divided by their densities listed in Tab.1.
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423	average 16% lower than that of $V_{tot,PNSD}$. Closure studies between modelled and measured σ_{sp} and
424	σ_{bsp} at 525 nm for PM ₁ and PM ₁₀ aerosol particles all showed good agreement between theoretical
425	modelling results and measurements (Fig.S1), with most points falling within the 20% relative
426	deviation lines. However, modelled σ_{sp} for both PM ₁ and PM ₁₀ were obviously higher than measured
427	σ_{sp} , with an average relative difference of 22% and 13% between them for PM ₁₀ and PM ₁ , respectively.
428	The result for PM ₁ explains why $V_{tot,Neph}$ was lower than $V_{tot,PNSD}$. Two reasons might have
429	contributed to this discrepancy: (1) both PNSD and aerosol optical property measurements carry non-
430	negligible uncertainties, with the SMPS bearing measurement uncertainty of 30% for particles larger
431	than 200 nm, which contribute most to V_{tot} (Wiedensohler et al., 2012), and the nephelometer
432	measured σ_{sp} having an uncertainty of 9% (Sherman et al., 2015; Titos et al., 2016); (2) The sampling
433	tube length, valves, tube angles and flow rates are different for the dry nephelometer and SMPS (e.g.
434	much shorter tube and smaller flow rate for SMPS than those for the dry nephelometer), leading to
435	different wall loss and loss in semi-volatile aerosol components. ACSM and the dry nephelometer had
436	a similar tube length and nephelometer measurements bear less uncertainty than SMPS. Thus,
437	$V_{tot,Neph}$ was chosen as V_{tot} in the calculations of Eq.4. Based on the calculated V_{tot} , the material
438	unidentified by <u>CV-ToF-</u> ACSM accounts for 19% of V_{tot} on average, could not be neglected in the
439	\mathcal{K}_{OA} calculation. Thus, Eq.4 was modified as follows:
440	$\kappa_{Ora} = \frac{\kappa_{chem} - (\kappa_{AS} \cdot \varepsilon_{AS} + \kappa_{AN} \cdot \varepsilon_{AN} + \kappa_{ABS} \cdot \varepsilon_{ABS} + \kappa_{X} \cdot \varepsilon_{X})}{(5)}$

 $\kappa_{Org} = \frac{\kappa_{chem} - (\kappa_{AS} \cdot \varepsilon_{AS} + \kappa_{AN} \cdot \varepsilon_{AN} + \kappa_{ABS} \cdot \varepsilon_{ABS} + \kappa_{X} \cdot \varepsilon_{X})}{2}$ 440 ε_{Org}

441 where κ_X and ε_X are hygroscopicity parameter κ and volume fraction of the unidentified material. Previous studies using $V_{tot,Chem}$ as the total volume concentration of aerosol particles have avoided 442 443 the discussion about influences of unidentified material by the CV-ToF-ACSM or other aerosol mass spectrometer instruments. The hygroscopicity of these unidentified materials, which might be dust or 444 445 other components in continental regions, was not discussed before. Dust is nearly hydrophobic, with mineral dust showing κ in range of 0.01 to 0.08 (Koehler et al., 2009). In this paper, we arbitrarily 446 specified κ_X to be 0.05. 447

448 3.3 Can $\kappa_{f(RH)}$ represent κ_{chem} ?

449 According to Eq.5, the measured bulk κ_{chem} values are needed to derive κ_{OA} . Bulk aerosol chemical compositions and aerosol hygroscopicity $\kappa_{f(RH)}$ measurements are available, one <u>might</u> 450

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462	naturally jump to	the conclusion of treating $\kappa_{f(RH)}$ as κ_{chem} to derive κ_{0A} because both $\kappa_{f(RH)}$
463	and κ_{chem} are fi	om bulk aerosol measurements, However, the relationship between κ_{chem} , $\kappa_{f(RH)}$
464	and the size-reso	ved κ distribution needs to be clarified in order to answer the question whether
465	$\kappa_{f(\mathrm{RH})}$ can accur	tely represent κ_{chem} . The physical meanings of used different κ representations in
466	the following dis	ussions are listed in Table 2.
467	<u>Table 2</u> . Different_к	and their physical meanings
	$\kappa_{f(\mathrm{RH})}$	<u>A uniform κ for all particle sizes which describes $f(RH)$ accurately</u>
	κ _{chem}	<u>A bulk <u></u>κ assuming different chemical compositions of aerosol populations _</u>
		are internally mixed and calculated with the ZSR mixing rule
	κ _i	hygroscopicity parameter κ of chemical species i
	κ_{D_p}	The <u>k</u> assuming different chemical compositions of particles with diameter
	r	of D_p are internally mixed and calculated with the ZSR mixing rule
468	Using V_i to	represent volume concentrations of chemical species i and $V_i(D_p)$ to represent
469	volume concentra	tions of species <i>i</i> with diameter of D_p , κ_{chem} can be derived as follows based on
470	Eq.2,:	
471	$\kappa_{chem} = \sum_{i}$	$\varepsilon_i \cdot \varepsilon_i = \sum_i \frac{V_i}{V_{tot}} \cdot \kappa_i = \sum_i \frac{1}{V_{tot}} \cdot \int \frac{d V_i (D_p)}{d \log D_p} \cdot d \log D_p \cdot \kappa_i. $ (6)
472	By swapping the	order of summation and integration, Eq.6 can be written as:
473	$\kappa_{chem} = \int \frac{1}{V_{tot}} \cdot \Sigma$	$_{i}\frac{dV_{i}(D_{p})}{dlogD_{p}}\cdot dlogD_{p}\cdot\kappa_{i}.$ (7)
		$dV_{i}(D_{r})$

474 Considering that $\kappa_{D_p} = \sum_i \frac{dV_i(D_p)}{dV(D_p)} \cdot \kappa_i$, Eq.7 can be rewritten as:

475
$$\kappa_{chem} = \frac{1}{V_{tot}} \int \kappa_{D_p} \cdot dV(D_p)$$
 (8)

476 Result of Eq.8 indicates that κ_{chem} calculated using Eq.3 represents the overall hygroscopicity of

477 aerosol particles with volume contribution as the weighting function of κ_{D_p} .

478 As for $\kappa_{f(RH)}$, a detailed analysis is performed here to facilitate its physical understanding. The 479 differential form of σ_{sp} of aerosol particles in dry state can be expressed as follows:

480
$$\sigma_{sp} = \int \frac{d\sigma_{sp}}{dlogD_p} dlogD_p$$
 (9)

481 Based on the definition of f(RH), σ_{sp} of aerosol particles under different RH conditions can be

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485 written as:

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$$\sigma_{sp}(RH) = \int \frac{d\sigma_{sp}}{dlog D_p} \cdot f_{D_p}(RH) \cdot dlog D_p$$
 (10)

487 Therefore, the differential form of observed overall f(RH) can be formulated as:

488
$$f(\text{RH}) = \int \frac{1}{\sigma_{sp}} \cdot \frac{d\sigma_{sp}}{dlogD_p} \cdot f_{D_p}(RH) \cdot dlogD_p$$
 (11)

489 Based on this formula, the sensitivity of f(RH) on the hygroscopicity of aerosol particles with

490 diameter D_p (κ_{D_p}) can be derived as:



492 The sensitivity of f(RH) to κ_{D_p} are determined together by the two terms in Eq. 12: (1) $\frac{1}{\sigma_{sp}} \cdot \frac{d\sigma_{sp}}{dlogD_p}$, 493 which represents the contribution of σ_{sp} of aerosol particles in dry state with diameter D_p to total

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 σ_{sp} , and (2) $\frac{\partial f_{D_p}(RH)}{\partial \kappa_{D_p}}$, which represents the sensitivity of $f_{D_p}(RH)$ to κ_{D_p} . Based on the Mie theory 495 and the κ -Köhler theory, we simulated the second term under 85% RH condition for varying D_p and 496 κ_{D_p} values (Fig.2a). In the diameter range below 200 nm, $\frac{\partial f_{D_p}(RH)}{\partial \kappa_{D_p}}$ is very high, displaying a 497 maximum near 60 nm. In this diameter range, larger κ_{D_p} generally <u>corresponds</u> to higher $\frac{\partial f_{D_p}(RH)}{\partial \kappa_{D_p}}$. 498 For 200 nm $< D_p < 800$ nm, higher and lower $\frac{\partial f_{D_p}(RH)}{\partial \kappa_{D_p}}$ appear alternatively, with all values 499 staying positive. For $D_p > 800 \text{ nm}$, maxima and minima regions appear alternatively, and $f_{D_p}(RH)$ 500 501 might decrease with increasing κ_{D_p} . This is because, at this diameter range, the aerosol scattering 502 efficiency has a non-monotonic response to the particle diameter increase (see Fig.2a of (Kuang et al., 503 2018)).

504 The first term of Eq.9, representing size-resolved σ_{sp} contributions of particles with diameter in 505 dry state, mainly depends on the PNSD. The average PNSD of PM10 was applied in the simulation of the first term using Mie theory (Fig.S3). Combining results of the first term and second term, the 506 507 sensitivity of f(RH) to κ_{D_p} was obtained and depicted in Fig.2b. Results reveal that f(RH) is quite sensitive to the κ_{D_p} of particles within 200 to 800 nm diameter range, but almost insensitive to κ_{D_p} 508 of particles with diameters below 200 nm and above 800 nm (corresponding aerodynamic diameter of 509 510 about 1 μ m). For particles smaller than 200 nm, the first term was quite small especially for particles smaller than 100 nm (Fig.S3), while for particles larger than 800 nm, in addition to a small first term, 511 512 the second term fluctuated between negative and positive values, which is why f(RH) was not 513 sensitive to the overall hygroscopicity of these larger aerosol particles. These results suggest that although $\kappa_{f(RH)}$ was derived from f(RH) measurements of PM₁₀, it mainly represents the overall 514 515 hygroscopicity of aerosol particles with dry diameters between 200 and 800 nm for continental aerosols. This result indicates that $\kappa_{f(RH)}$ derived from f(RH) measurements of PM₁₀ and PM₁ 516 517 should differ little from each other for measurements conducted in continental regions.

However, the quantitative relationship between $\kappa_{f(RH)}$ and size-resolved κ_{D_p} is still not clear. Based on Eq.11, $f_{D_p}(RH)$ can be expressed as: 删除了: corresponded

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$$f_{D_p}(RH) = \frac{d\sigma_{sp}(RH)}{d\sigma_{sp}} = \frac{\frac{1}{4}\pi \cdot (D_p \cdot g)^2 \cdot Q_{sca}(D_p \cdot g) \cdot dN}{d\sigma_{sp}}, (13)$$

where g is the growth factor of aerosol particles which is a function of κ_{D_p} and RH (Brock et al., 522 2016), i.e. $g = (1 + \kappa_{D_p} \cdot \frac{RH}{100-RH})^{1/3}$, dN is differential form of aerosol number concentration, and 523 Q_{sca} is the scattering efficiency as a function of D_p and g. The results of Kuang et al. (2018) 524 525 indicate that, under dry state, Q_{sca} can be expressed as $Q_{sca} = k \cdot D_p$ with k varying as a function of D_p . Here, we follow this idea and express the Q_{sca} under humidified condition as $Q_{sca}(D_p, g) =$ 526 $C \cdot D_p \cdot g$, where C is a function of D_p , κ_{D_p} and RH. Replacing g and Q_{sca} in Eq.13, we yield: 527 $f_{D_p}(RH) = \frac{\frac{1}{4} \pi \cdot D_p^{-3} \cdot C(\mathrm{Dp}, \kappa_{Dp}, \mathrm{RH}) \cdot (1 + \kappa_{Dp}, \frac{RH}{100 - RH}) \cdot dN}{d\sigma_{sp}}, \quad (14)$ 528 which we can substitute into Eq.8, to obtain a new expression for f(RH): 529 $f(\mathrm{RH}) = \int_{\frac{1}{2}}^{\frac{1}{4} \pi \cdot D_p^3 \cdot C(\mathrm{Dp}, \kappa_{D_p}, \mathrm{RH}) \cdot (1 + \kappa_{D_p}, \frac{RH}{100 - RH}) \cdot dN} \sigma_{sp}}$ (15) 530 If we define $X_c(\text{Dp}, \kappa_{D_p}, \text{RH}) = C(\text{Dp}, \kappa_{D_p}, \text{RH})/k$, and considering that $d\sigma_{sp} = \frac{1}{4} \cdot \pi \cdot D_p^2 \cdot Q_{sca}$. 531 $dN = \frac{1}{4} \cdot \pi \cdot D_p^3 \cdot \mathbf{k} \cdot dN$, Eq.14 can be written as: 532 $f(\text{RH}) = \int \frac{X_c(\text{Dp},\kappa_{Dp},\text{RH}) \cdot (1+\kappa_{Dp},\frac{RH}{100-RH}) \cdot d\sigma_{sp}}{\sigma_{sp}}$ (16) 533 The $\kappa_{f(RH)}$ is a uniform κ for aerosol particle sizes that can yield simulated f(RH) equal to the 534 535 measured one. Thus, f(RH) can also be expressed as:

536
$$f(\text{RH}) = \int \frac{x_c(\text{Dp},\kappa_{f(\text{RH})},\text{RH}) \cdot (1 + \kappa_{f(\text{RH})},\frac{RH}{100 - RH}) \cdot d\sigma_{sp}}{\sigma_{sp}} \quad (17)$$

537 Combining Eq.16 and Eq.17, the relationship between $\kappa_{f(RH)}$ and size-resolved κ_{D_p} can be derived

538 as:

539
$$\kappa_{f(\mathrm{RH})} = \frac{\int X_c(\mathrm{Dp},\kappa_{D_p},\mathrm{RH})\cdot\kappa_{D_p}\cdot d\sigma_{sp}}{\int X_c(\mathrm{Dp},\kappa_{f(\mathrm{RH})},\mathrm{RH})\cdot d\sigma_{sp}} + \frac{\int (X_c(\mathrm{Dp},\kappa_{D_p},\mathrm{RH})-X_c(\mathrm{Dp},\kappa_{f(\mathrm{RH})},\mathrm{RH}))\cdot d\sigma_{sp}}{\int X_c(\mathrm{Dp},\kappa_{f(\mathrm{RH})},\mathrm{RH})\cdot d\sigma_{sp}} \cdot \frac{100-\mathrm{RH}}{\mathrm{RH}}.$$
 (18)

540 X_c values under 85% RH for different D_p and κ_{D_p} values are simulated and shown in Fig.3, based



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Figure 3. Simulated values of X_c under 85% RH for different D_p and κ_{D_p} values. Black solid and dashed lines are two assumed size-resolved κ_{D_p} distributions.

542	could be calculated using the average PNSD during this field campaign and two assumed extreme
543	cases of size-resolved κ_{D_p} (solid and dashed black lines in Fig.3). For PM ₁ , the second term
544	corresponding to the two size-resolved κ_{D_p} cases were -0.007 and 0.008, respectively. Corresponding
545	values simulated for PM_{10} were -0.005 and 0.004, respectively. To further investigate the possible
546	contribution range of the second term to $\kappa_{f(RH)}$, size-resolved κ_{D_p} derived by Liu et al. (2014) based
547	on size resolved chemical composition measurements in ambient atmosphere on the NCP region
548	(Fig.S4) were used with the average PNSD during this campaign to calculate values of the second term.
549	Calculated values of second term ranged from -0.005 to 0.009, with its contribution to $\kappa_{f(RH)}$ ranging
550	from -1.5% to 2% (0.3% on average). These results indicate that the second term was negligible in
551	most cases, and Eq.18 could be approximated as:
552	$\kappa_{f(\mathrm{RH})} \approx \frac{\int X_c(\mathrm{Dp},\kappa_{D_p},\mathrm{RH})\cdot\kappa_{D_p}\cdot d\sigma_{sp}}{\int X_c(\mathrm{Dp},\kappa_{f(\mathrm{RH})},\mathrm{RH})\cdot d\sigma_{sp}} $ (19)
553	X_c values shown in Fig.3 indicate that for aerosol particles in the diameter range of 200 to 800 nm
554	(which contribute most to σ_{sp} and are the part of the aerosol population that $\kappa_{f(RH)}$ is most sensitive

to) and for the observed κ_{D_p} range of continental aerosols (κ_{D_p} usually less than 0.5), X_c mainly

ranged from 0.7 to 1. Considering this, we might approximately assume X_c in Eq.18 as a constant value. Then, Eq.19 can be further simplified to:

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561 $\kappa_{f(\mathrm{RH})} \approx \frac{1}{\sigma_{sp}} \int \kappa_{D_p} \cdot d\sigma_{sp}$ (20)

This result suggests that $\kappa_{f(RH)}$ can be approximately understood as the overall hygroscopicity of aerosol particles with the σ_{sp} contribution as the weighting function of κ_{Dp} .

Based on results of Eqs.8 and 20, both $\kappa_{f(RH)}$ and κ_{chem} represent the overall hygroscopicity of bulk aerosol particles, however, their weighting functions of κ_{D_p} are different. Within a certain D_p range, aerosol σ_{sp} is approximately proportional to aerosol volume (Kuang et al., 2018), resulting in little difference between $\kappa_{f(RH)}$ and κ_{chem} . In this study, bulk $\kappa_{f(RH)}$ was measured for both PM₁ and PM₁₀. How much does κ_{chem} differ from $\kappa_{f(RH)}$ for PM₁ and PM₁₀ samples? Both PNSD and size-resolved κ_{D_p} distributions contribute to the difference between κ_{chem} and $\kappa_{f(RH)}$. To study



Figure 4. κ_{chem} versus $\kappa_{f(RH)}$ colors represent average Ångström exponent (Å) values of corresponding PNSD (a) corresponding to size-resolved κ_{D_p} distributions shown in Fig.3 (squares correspond to the solid line in Fig.3 and circles correspond to the dashed line in Fig.3); (b) and (c) corresponding to size-resolved κ_{D_p} distributions shown in Fig.54 for PM1 and PM10, respectively. Gray areas represent the absolute relative differences between κ_{chem} and $\kappa_{f(RH)}$ less than 10%.

their influences in a simple and apparent way, κ_{chem} and $\kappa_{f(RH)}$ were simulated based on the two extreme cases of size-resolved κ_{D_p} distributions in Fig. 3 and five average PNSDs corresponding to five ranges of aerosol Ångström exponent (0.9-1.1,1.1-1.3,1.3-1.5,1.5-1.7,1.7-1.9) during this field campaign. In the instance of PM₁, as can be seen in Fig.4a, assuming a κ_{D_p} increasing as a function of D_p resulted in $\kappa_{chem} < \kappa_{fRH}$ (square points in Fig.4a), especially for PNSDs corresponding to larger Ångström exponents. This is because the volume contributions of small particles (e.g. particles with D_p between 100 to 300 nm) to V_{tot} are larger than their light scattering coefficient contributions

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to σ_{sp} (as shown in Fig.S6), thus the hygroscopicity of small particles had larger impacts on κ_{chem} 578 579 than κ_{fRH} . Higher Ångström exponents generally correspond to shift in PNSD towards smaller D_{p} , which exacerbates the contribution of small particles, further increasing the difference between κ_{chem} 580 and κ_{fRH} . For the case with κ_{D_p} decreasing as a function of D_p (circle markers in Fig.4a) it is vice 581 versa, resulting in $\kappa_{chem} > \kappa_{fRH}$. In general, for these two extreme cases of size-resolved κ_{D_p} 582 distributions, the absolute value of the relative difference between κ_{chem} and $\kappa_{f(RH)}$ ranged from 583 2.8% to 7.5% with an average of 4.8%. This result indicates that for PM₁, κ_{chem} might differ little 584 from $\kappa_{f(RH)}$ since κ_{D_p} usually varies less with D_p in ambient atmosphere than in the two assumed 585 cases (Liu et al., 2014). The average size-resolved κ_{D_n} distribution from Haze in China campaign 586 587 (Liu et al., 2014) indicate that κ_{D_n} varies significantly for $D_p < 250$ nm, while it varies less within the 588 diameter range of 250 nm to 1 µm. To further study the variation range of the relative difference between κ_{chem} and $\kappa_{f(RH)}$ under ambient conditions, the size-resolved κ_{D_n} distributions derived 589 from measured size-resolved chemical compositions in the NCP region (Liu et al., 2014) (shown in 590 Fig.S5) were used in simulations and results are shown in Fig.4b. The absolute value of the relative 591 592 difference between κ_{chem} and $\kappa_{f(RH)}$ ranged from 0.04% to 8% with an average and standard 593 deviation of 2.8±2%, which further confirms that for PM₁ $\kappa_{f(RH)}$ can accurately represent κ_{chem} in 594 most cases.

For PM₁₀, values of κ_{chem} and $\kappa_{f(RH)}$ using κ_{D_p} size distributions derived from ambient measurements (Fig.S5, similar to Fig.4b) were simulated and displayed in Fig.4c. The simulated absolute values of the relative difference between κ_{chem} and $\kappa_{f(RH)}$ ranged from 0.2% to 41% with an average and standard deviation of 16±8%, with all κ_{chem} lower than $\kappa_{f(RH)}$. This is because, for PM₁₀, super-micron particles typically with low hygroscopicity (Fig.S5) contribute much more to $V_{tot.}$ than to σ_{sp} (as shown in Fig.S7). These results indicate that, for PM₁₀, $\kappa_{f(RH)}$ cannot accurately represent κ_{chem} .

602 Above analysis results indicate that $\kappa_{f(RH)}$ retrieved from light scattering measurements of PM₁ 603 represent accurately the κ_{chem} of PM₁ and can be used in Eq.5 as measured κ_{chem} for deriving κ_{OA} .

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605 4 Results and discussions

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606 4.1 Overview of the campaign data

607	The time series of ambient RH, chemical compositions of PM _{2.5} and PM ₁ , σ_{sp} at 525 nm of PM ₁₀
608	and PM ₁ in dry state, calculated κ_{sca} and $\kappa_{f(RH)}$ values of PM ₁₀ and PM ₁ are shown in Fig.5. Overall,
609	the mass concentrations of NR- <u>PM₁</u> and NR- <u>PM_{2.5}</u> ranged from 1 to 221 $\mu g/m^3$ and from 1.8 to 326
610	$\mu g/m^3$, with average concentrations of 63 and 93 $\mu g/m^3$, respectively. Measured σ_{sp} at 525 nm of
611	<u>PM1</u> and <u>PM10</u> ranged from 11 to 1875 Mm^{-1} and from 18 to 2732 Mm^{-1} , with average values of
612	550 and 814 Mm^{-1} , respectively. These results demonstrate that this campaign was carried out at a
613	site that is overall <u>highly</u> polluted, <u>where</u> quite clean conditions as well as extremely polluted
614	conditions were experienced during the measurement period. The mass contributions of ammonium,
615	nitrate, sulfate and organics to NR-PM _{2.5} and NR-PM ₁ are listed in Table <u>3 with organics being</u> the
616	major fraction of NR-PM1 and NR-PM2.5

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Figure 5. Time series of ambient RH (a), chemical compositions of $PM_{2.5}$ (b) and PM_1 (c), σ_{sp} at 525 nm of PM_{10} and PM_1 (d), calculated κ_{sca} (e) and $\kappa_{f(RH)}$ (f) values of PM_{10} and PM_1 .

G30 During period 1 shown in Fig.5, nitrate contributed most to inorganics, while inorganics contribute most to mass concentrations of NR-PM_{2.5} and NR-PM₁. During the period <u>2</u> shown in Fig.5, the ambient RH is relatively lower than that of the first period, ranging from 16% to 86% with an average of 49%. During this period, organics contributed most to mass concentrations of NR-PM_{2.5} and NR-PM₁, with the NR mass concentrations of PM_{2.5} and σ_{sp} at 525 nm of PM₁₀ being only 33% and 40% higher than those of PM₁.

The time series of calculated κ_{sca} and $\kappa_{f(RH)}$ are shown in Fig.5e-f. κ_{sca} of PM₁ and PM₁₀ ranged from 0.01 to 0.2, and from 0.02 to 0.17, with corresponding averages of 0.09 and 0.08, respectively. The $\kappa_{f(RH)}$ was not available from 12:00 10th Dec to 12:00 11th Dec due to the absence 删除了: <object>

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647	of PNSD measurements, $\kappa_{f(RH)}$ of PM ₁ and PM ₁₀ ranged from 0.02 to 0.27 _a and from 0.03 to 0.26,	
648	with corresponding averages of 0.12 and 0.12, respectively. These results indicate that the	
649	hygroscopicity during this campaign was generally low, which could be associated with the high mass	
650	contributions of organics. The range as well as the average level of $\kappa_{f(RH)}$ is quite consistent with the	
651	results obtained at the same site in winter 2016, suggesting the prevalent low aerosol hygroscopicity	
652	conditions in winter, at this site. Additionally, it can be noted that except for fog events, κ_{sca} and	
653	$\kappa_{f(RH)}$ values of PM ₁ are generally higher than those of PM ₁₀ , <u>yet the</u> differences <u>are small</u> (10% and	
654	3.5% for κ_{sca} and $\kappa_{f(RH)}$, respectively). Although particles with diameters above 800 nm impact	
655	almost negligibly on retrieved $\kappa_{f(RH)}$ (refer to discussions in Sect3.3), it can still cause a small	
656	difference between $\kappa_{f(RH)}$ of PM ₁₀ and PM ₁ . Results of previous studies indicate that the overall	
657	hygroscopicity of aerosol particles larger than 800 nm are usually low and are typically lower than the	
658	overall hygroscopicity of accumulation mode particles (Liu et al., 2014), which may explain why	
659	$\kappa_{f(RH)}$ values of PM ₁ are generally higher than those of PM ₁₀ during non-fog periods (periods with RH)	
660	<u><100%).</u>	

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661	Table 3. Average (range) mass contribution of ammonium, nitrate, sulfate and organics to NR-PM _{2.5} and NR-PM ₁
662	during different periods.

	Ammonium		nitrate		sulfate		Organics	
Species	PM ₁	PM _{2.5}	PM_1	PM _{2.5}	PM_1	PM _{2.5}	PM_1	PM _{2.5}
Entire	12%	12%	13%	14%	10%	11%	59%	59%
period	0.2-24%	0.1-24%	2-31%	1-32%	0.3-49%	0.2-50%%	12-99%	4-91%
Period 1	15%	16%	22%	24%	13%	14%	47%	42%
Fog	10-17%	12-18%	11-28%	16-30%	9-15%	12-16%	30-65%	37-55%
Period 1	17%	16%	23%	23%	12%	12%	43%	44%
non-fog	10-22%	7-21%	6-31%	5-32%	8-23%	7-17%	32-75%	31-69%
Daviad 2	12%	10%	11%	10%	8%	7%	64%	67%
reriod 2	0.2-20%	0.1-19%	5-30%	4-29%	0.3-16%	0.2-16%	40-82%	40-85%

663 664

During fog periods, a large part of submicron particles in dry state will be activated into fog droplets, which are super micron particles in ambient state (see PNSD examples in Fig.S4a), exerting 665

substantial impacts on f(RH) measurements of PM_{10} which are not detectable in the PM_1

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678 4.2 κ_{0A} derivations and its relationship with organic aerosol oxidation state

The results in Sect.3.3 demonstrate that $\kappa_{f(RH)}$ of PM₁ accurately represents κ_{chem} in most cases, thus a closure study between calculated κ_{chem} of PM₁ based on measured chemical compositions and measured κ_{chem} (represented by PM₁ $\kappa_{f(RH)}$) can be conducted using Eq.3 if κ_{OA} was known, A κ_{OA} of 0.06 was used in this closure test, which was calculated by Wu et al. (2016) based on aerosol chemical composition and aerosol hygroscopicity measurements. As shown in Fig.6a, the comparison between measured and calculated κ_{chem} has not achieved very good agreements. We



Figure 6. Comparison between measured and calculated κ_{chem} by assuming a κ_{0rg} of 0.06. (a) The whole period; (b) Only Period 1; (c) Only Period 2. Colors represents the mass fractions of organic aerosol in NR-PM₁ (f_{OA}), and the color bar is shown on the top.

<u>notice that the calculated</u> κ_{chem} was overestimated when mass fraction of organic aerosol (f_{OA}) was 685 686 lower than 45%, while <u>it was</u> underestimated when f_{OA} was higher than 45%. As <u>described</u> in Sect.4.1, these two situations roughly correspond to Period 1 and 2, respectively. Separating the data 687 688 points shown in Fig.6a into Periods 1 (Fig.7b) and 2 (Fig.7c), it can be seen that all low for data points are found in Period 1, with most of the data points showing f_{OA} less than 50%. Although the 689 calculated κ_{chem} during this period was on average 25% higher than the measured κ_{chem} , they were 690 691 highly correlated (R=0.84). A similar case was also found in Wu et al. (2013), and they concluded that 692 the loss of semi-volatile ammonium nitrate, in the HTDMA might be the reason. The relationship

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between nitrate concentration and the difference between calculated and measured κ_{chem} was
investigated, which confirmed the influence of nitrate on this discrepancy (Fig.S7), and the
overestimation of calculated κ_{chem} <u>due to</u> the volatile loss of ammonium nitrate. Since the tube length
(from the splitter to inlet of instrument) of wet nephelometer was about 1 m longer than that of the
<u>CV-ToF-</u> ACSM, there probably was more loss in ammonium nitrate in the wet nephelometer.
During Period 2, the average mass fraction of nitrate was low (11%), and the loss of ammonium
nitrate had <u>minor</u> influence on κ_{chem} estimations (Fig.S7). However, when organic aerosol was
<u>dominant during Period 2</u> , the calculated κ_{chem} was underestimated in most cases (Fig.6c). Previous
studies have shown larger κ_{OA} for OA with higher oxidation level (Chang et al., 2010; Duplissy et al.,
2011;Wu et al., 2013), which might have contributed to the underestimation in κ_{chem} . This gave us
the hint that Period 2 might provide us a good opportunity to study κ_{OA} . Following the method in Sect.



3.2, κ_{OA} was derived using Eq.5, resulting in a κ_{OA} ranging from 0.0 to 0.25, with an average of

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Figure 7. (a) the relationship between derived κ_{org} and f_{44} ; (b) Comparison with previous studies.

722 0.08 ± 0.06 . This indicates that using a constant κ_{OA} value in the calculation of κ_{chem} would result 723 in <u>a</u> large bias. To further investigate the impact of OA oxidation level on κ_{OA} , we compared the 724 derived κ_{OA} against f_{44} , which is often used to represent the oxidation level of OA. Results show a 725 clear positive correlation (R=0.79) and a statistical relationship of $\kappa_{OA} = 1.04 \cdot f_{44} - 0.02$ (Fig.7a), 726 indicating that the degree of oxidation level is a crucial parameter determining the OA hygroscopicity.

- 727 Based on the relationship between f44 and O/C ratio for CV-ACSM (Hu et al., 2018b),
- 728 <u>O:C=3.47</u>× f_{44} +0.01, the relationship between κ_{OA} and O:C can be expressed as κ_{OA} =0.3×O:C -

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751 0.02. The derived empirical relationship between κ_{0A} and f_{44} was compared to results in previous 752 studies (Fig.7b). As mentioned in Sect.2.3, f44 from CV-ToF-ACSM measurements is much higher than 753 those previously reported from AMS, but they are well correlated and the ratio between f44 of CV-ToF-754 ACSM and previous AMS instruments for ambient aerosol ranges from 1.5 to 2 with an average of 755 1.75. Therefore, to be consistent with the f_{44} in previous studies, the empirical relationship in Fig.7b is 756 changed to $\kappa_{OA} = 1.79 \cdot f_{44} - 0.03$. The κ_{OA} values are lower than that from the scheme of Chen et 757 al. (2017), but higher than those in Duplissy et al. (2011) and Mei et al. (2013a). In general, results of 758 most published studies about κ_{0A} demonstrate that hygroscopicity of organic aerosol generally 759 increases as the oxidation level of organic aerosol increases, however, the empirical mathematical 760 relationship differs much among different studies (Hong et al., 2018). These results highlight that more 761 studies are required to study the influence of OA oxidation level on κ_{0A} and to derive a more universal 762 parameterization scheme that can be used in chemical transport models.

4.3 Distinct diurnal variations of κ_{0A} and its relationship with OOA

764 The time series of derived κ_{0A} are depicted in Fig.8a, which showed large fluctuations in a day. 765 The average κ_{OA} (Fig.8b) displays a distinct diurnal variation, with κ_{OA} reaching its minimum (0.02) 766 in the morning (near 07:30 LT) and increasing quickly to a maximum (0.19) near 14:30 LT. As a 767 consequence, the water uptake abilities of organic aerosol particles changed from nearly hydrophobic 768 to moderately hygroscopic within 7 hours during daytime. Although previous results from observations 769 in Japan also revealed significant κ_{OA} diurnal variations, however, with daily minima in the afternoon 770 hours due to the increase of less oxygenated OA mass fractions (Deng et al. (2018) and Deng et al. 771 (2019)), such large variability and significant diurnal variations of κ_{0A} were observed for the first 772 time on the NCP. We found that the diurnal profile of the mass fraction OOA in OA (f_{OOA}) was 773 remarkably similar to that of κ_{OA} (R=0.8, Figs. 8a and 8c), suggesting that OOA is very likely the 774 determining factor of κ_{OA} in winter on the NCP.

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Figure 8. (a) Time series of derived κ_{org} and OOA mass fraction in NR-PM₁ (f_{OOA}) in the right yaxis; (b) Average diurnal profile of κ_{0rg} ; (c) Scatter points of κ_{0rg} versus f_{00A} (%), and red line is th<u>e fitting line with linear regression.</u>

The correlation coefficient between the average diurnal profiles of κ_{0A} and f_{00A} was 0.95, which suggests that the variations in f_{OOA} was driving the significant diurnal variations of χ_{OA} . The average diurnal variations of mass concentrations of identified OOA, HOA, COA, CCOA, BBOA, and their mass fractions in total organic mass are shown in Fig.9a and Fig.9b, respectively. The mass concentrations of HOA, CCOA and BBOA decreased rapidly from the morning time to 15:00 LT due to the rising boundary layer height and also the decreased primary source emissions. The mass 805 concentrations of COA increased a little in the morning and then decreased quickly after 09:30 LT. 806 This transitory increase of COA in the morning might be associated with the cooking for breakfast. 807 However, the OOA mass increased rapidly from about 07:30 to 10:30 LT despite the boundary layer

删除了: <object>Results introduced in Sect.4.2 demonstrated that κ_{Org} was highly correlated to the OA oxidation level, which suggests that κ_{Org} might be associated with the oxygenated part of organic aerosol. In this study, the mass concentrations of OOA were derived using PMF analysis, and its mass fraction in the total organic aerosol mass $({\rm f}_{\rm OOA})$ was calculated (Fig.8a). f_{OOA} displays diurnal variations similar to κ_{Org} and the statistical relationship between κ_{Org} and f_{00A} (Fig.8c) shows a strong correlation (R=0.8), which both hint that OOA might be a determining factor for κ_{org} .

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development during <u>period of time</u>, and then remained almost constant thereafter. The rapid decreases in primary organic aerosol components and <u>the increases</u> in OOA concentration together resulted in a dramatic increase of f_{OOA} from <u>~10% at 9</u>:00 to <u>~45% at 13</u>:30 LT in the afternoon, <u>which</u> also



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and PM1 ranged from 0.02 to 0.27, and from 0.03 to 0.26, with averages of 0.12 and 0.12, respectively.

The <u>difference of</u> $\kappa_{f(RH)}$ between PM₁₀ and PM₁ was found to be relatively small (3.5% on average),

A method <u>for estimating κ_{OA} </u> (organic aerosol hygroscopicity) base on f(RH) and bulk aerosol chemical composition measurements is <u>developed</u>. The key part of this method is that the size cut of

which was consistent with the physical and mathematical interpretation of $\kappa_{f(RH)}$.

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bulk aerosol chemical composition measurements should be PM ₁ no matter the bulk $\kappa_{f(RH)}$ is	
retrieved from light scattering enhancement measurements of PM_1 or PM_{10} . The derived κ_{OA} ranged	
from 0.0 to 0.25 with an average of 0.08, highlighting that κ_{OA} displayed a large variability on the	_
NCP, Therefore, using a constant κ_{OA} could introduce a considerable uncertainty. in evaluating the	_
climatic and environmental effects of organic aerosols. The variation of κ_{0A} was highly and positively	
correlated with the oxidation degree of OA, and κ_{OA} showed a distinct diurnal variation with the	\sum
minimum in the morning (0.02) and maximum in the afternoon (0.16). These results indicated the rapid	\mathcal{A}
changes in hygroscopic properties of OA in a day by evolving from nearly hydrophobic to moderately	\mathcal{N}
hygroscopic within 7 hours. The distinct diurnal variations of χ_{OA} were strongly associated with the	
changes in food, suggesting that the rapid formation of OOA together with of the decreases in primary	
organic aerosol during <u>daytime together</u> resulted in <u>and the changes in</u> κ_{QA} .	$\langle \rangle$
The large variability and distinct diurnal variations in κ_{OA} found in this study highlight an urgent	
need for more studies on the spatial and temporal variations of κ_{OA} on the NCP region, and also a	
better parameterization of κ_{OA} in chemical transport models to evaluate the impacts of OA on	
radiative <u>forcing</u> and <u>CCN.</u>	
Data availability. The data used in this study are available from the corresponding author upon request	
(kuangye@jnu.edu.cn) and (sunyele@mail.iap.ac.cn).	
Competing interests. The authors declare that they have no conflict of interest.	
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Author Contributions. YK conceived and organized this paper. YC, HS, NM, YK and JT planned	
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Author Contributions. YK conceived and organized this paper. YC, HS, NM, YK and JT planned this campaign. YK, YS and NM designed the experiments. YK and YH conducted the ACSM and aerosol light scattering enhancement factor measurements. YZ and SZ conducted the particle number size distribution measurements. JS and WY conducted the black carbon measurements. YH performed the ACSM PMF analysis. WX, YH, YS, CZ, PZ and YC helped the data analysis, and WX helped	
	from 0.0 to 0.25 with an average of 0.08, highlighting that χ_{OA} displayed a large variability on the NCP. Therefore, using a constant χ_{OA} , could introduce a considerable uncertainty. in evaluating the climatic and environmental effects of organic aerosols. The variation of χ_{OA} was highly and positively correlated with the oxidation degree of OA , and κ_{OA} showed a distinct diurnal variation with the minimum in the morning (0.02) and maximum in the afternoon (0.16). These results indicated the rapid changes in hygroscopic properties of OA in a day by evolving from nearly hydrophobic to moderately hygroscopic within 7 hours. The distinct diurnal variations of χ_{OA} were strongly associated with the changes in fooA, suggesting that the rapid formation of OOA together with of the decreases in primary organic aerosol during daytime together resulted in and the changes in κ_{OA} . The large variability and distinct diurnal variations in χ_{OA} found in this study highlight an urgent need for more studies on the spatial and temporal variations of κ_{OA} on the NCP region, and also a better parameterization of χ_{OA} in chemical transport models to evaluate the impacts of OA on radiative forcing and CCN . Data availability . The data used in this study are available from the corresponding author upon request (kuangye@jnu.edu.cn) and (suryele@mail.iap.ac.cn).

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