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2 3	The Impacts of Biomass Burning Activities on Convective Systems in the Maritime Continent
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### 34 Abstract

35 Convective precipitation associated with Sumatra squall lines and diurnal rainfall 36 over Borneo is an important weather feature of the Maritime Continent in Southeast Asia. 37 Over the past few decades, biomass burning activities have been widespread during 38 summertime over this region, producing massive fire aerosols. These additional aerosols 39 brought to the atmosphere, besides influencing local radiation budget through directly 40 scattering and absorbing sunlight, can also act as cloud condensation nuclei or ice nuclei 41 to alter convective clouds and precipitation in the Maritime Continent via the so-called 42 aerosol indirect effects. Based on four-month simulations with or without biomass 43 burning aerosols conducted using the Weather Research and Forecasting model with 44 chemistry package (WRF-Chem), we have investigated the aerosol-cloud interactions 45 associated with the biomass burning aerosols in the Maritime Continent. Results from 46 selected cases of convective events have shown significant impacts of fire aerosols 47 specifically on the weak convections by increasing the quantities of hydrometeors and 48 rainfall in both Sumatra and Borneo regions. Statistical analysis over the fire season also 49 suggests that fire aerosols have impacts on the nocturnal convections associated with the 50 local anticyclonic circulation in the western Borneo and then weakened the nocturnal 51 rainfall intensity by about 9%. Such an effect is likely come from the near surface 52 heating by absorbing aerosols emitted from fires that could weaken land breezes and thus 53 the convergence of anticyclonic circulation.

54

# 56 1 Introduction

57 Biomass burning in Southeast Asia has become a serious environmental and societal 58 issue in the past decade due to its impact on local economy, air quality, and public health 59 (Miettinen et al., 2011; Kunii et al., 2002; Frankenberg et al., 2005; Crippa et al., 2016; 60 Lee et al., 2018). Abundant aerosols emitted from such fires not only cause 61 environmental issues but also affect regional weather and climate through the direct and 62 indirect effects of biomass burning aerosols (Grandey et al., 2016; Hodzic and Duvel, 63 2017; Jeong and Wang, 2010; Ramanathan and Carmichael, 2008; Taylor, 2010; Tosca et 64 al., 2013). Carbonaceous compounds such as black carbon (BC) in biomass burning 65 aerosols can reduce sunlight through both absorption and scattering to warm the 66 atmosphere while cool the Earth's surface (Fujii et al., 2014; Andreae and Gelencsér, 67 2006; Satheesh and Ramanathan, 2000; Ramanathan et al., 2001). Besides these direct 68 effects, biomass burning aerosols can act as cloud condensation nuclei or ice nuclei to 69 alter cloud microphysical structures and thus cloud radiation. Such "indirect effects" of 70 these aerosols on the climate are even more complicated due to various cloud and 71 meteorological conditions (Sekiguchi et al., 2003; Lin et al., 2013; Wu et al., 2013; 72 Grandey et al., 2016; Ramanathan et al., 2001; Wang, 2004).

For the Maritime Continent in Southeast Asia, convective precipitation associated with the so-called Sumatra squall lines (SSL) and diurnal rainfall over Borneo is an important weather feature (Lo and Orton, 2016; Ichikawa and Yasunari, 2006; Koh and Teo, 2009; Yi and Lim, 2006; Wu et al., 2009). Convections of SSL are initially formed in the northwestern side of Sumatra by the prevailing sea breezes from Indian Ocean and the Sumatran mountain range, then propagate over the Malacca Strait affecting the Malay

79 Peninsula. Lo and Orton (2016) analyzed 22-year (1988 to 2009) ground-based Doppler 80 radar data and identified a total of 1337 squall lines in Singapore. They found that these 81 events with the diurnal cycle of rainfall most occur during either the summer monsoon 82 season (June-September) or the inter-monsoon periods (April-May and October-83 November). Singapore, for example, experiences typically about  $6 \sim 7$  squall lines per 84 month during these periods. Oki and Musiake (1994) analyzed the seasonal and diurnal 85 cycles of precipitation using rain gauge data and showed that large-scale low-level winds 86 are a critical modulating factor in the diurnal cycle the convective rainfall over Borneo 87 besides the general reason of land-sea contrast behind convective rainfall in the Maritime 88 Continent. Furthermore, Ichikawa and Yasunari (2006) used five years Tropical Rainfall 89 Measuring Mission (TRMM) precipitation radar (PR) data to investigate the role of the 90 low-level prevailing wind in modulating the diurnal cycle of rainfall over Borneo. They 91 found that the diurnal cycle is associated with intraseasonal variability in the large-scale 92 circulation pattern, with regimes associated with either low-level easterlies or westerlies 93 over the island.

94 Interestingly, frequent biomass burning activities coincide with vigorous convective 95 systems in the Maritime Continent, especially during the summer monsoon season (June-96 September), and could thus produce aerosols to affect convections in the region. 97 Rosenfeld (1999) analyzed TRMM data and hypothesized that abundant biomass burning 98 aerosols could practically shut off warm rain processes in tropical convective clouds. 99 Compared to the adjacent tropical clouds in the cleaner air, clouds encountered with 100 smokes could grow to higher altitudes with rain suppressed, hypothetically due to the 101 reduction of coalescence efficiency of smaller cloud drops into raindrops. Recently, 102 using Weather Research and Forecasting model with Chemistry (WRF-Chem), Ge et al. 103 (2014) have studied the direct and semi-direct radiative effects of biomass burning aerosols over the Maritime Continent and found the radiative effect of biomass burning 104 105 aerosols could alter planetary boundary layer (PBL) height, local winds (including sea 106 breeze), and cloud cover. However, relative coarse resolution (27 km) adopted in their 107 simulation would not be able to reveal more details about how biomass burning aerosols 108 affect convective clouds through modifying cloud microphysics processes. Whereas, 109 Hodzic and Duvel (2017) have conducted a 40-day simulation using WRF-Chem with a 110 convection-permitting scale (4 km) to study the fire aerosol-convection interaction during 111 Their result boreal summer in 2009 near the central Borneo mountainous region. 112 suggests that modifications of the cloud microphysics by biomass burning aerosols could 113 reduce shallow precipitation in the afternoon and lead to a warm PBL anomaly at sunset, 114 all lead to an enforcement of deep convection at night. However, they have also 115 indicated that the radiative processes of moderately absorbing aerosols tend to reduce 116 deep convection over most regions due to local surface cooling and atmosphere warming 117 that increase the static stability, hence suggesting the complexity of the interaction of 118 biomass burning aerosols and convective clouds in the Maritime Continent.

In this study, we aim to examine and quantify the impacts of biomass burning aerosols on convective systems over two targeted regions for analyses: the northern Sumatra and the western Borneo in the Maritime Continent. Our focus is on not only the change of hydrometeors in the convective clouds but also the change of rainfall amount and intensity in these regions. We firstly describe methodologies adopted in the study, followed by the results and findings from our numerical simulations over the Maritime

125 Continent. We have selected three cases in each study region to perform detail analyses. 126 In addition, statistical analyses covering the entire modeled fire season for each of these 127 two regions have also been performed to provide more generalized pictures about the 128 effects of fire aerosol on convection. The last section summarizes and concludes our 129 work.

#### 130 **2** Methodology

### 131 **2.1 Model and emission inventories**

132 In order to simulate trace gases and particulates interactively with the meteorological 133 fields, the Weather Research and Forecasting model coupled with a chemistry module 134 (WRF-Chem, see Grell et al. (2005)) version 3.6.1 is used in this study. Within WRF-135 Chem, the Regional Acid Deposition Model, version 2 (RADM2) photochemical 136 mechanism (Stockwell et al., 1997) coupled with the Modal Aerosol Dynamics Model for 137 Europe (MADE) as well as the Secondary Organic Aerosol Model (SORGAM) 138 (Ackermann et al., 1998; Schell et al., 2001) are included to simulate atmospheric 139 chemistry and anthropogenic aerosol evolutions. MADE/SORGAM uses a modal 140 approach to represent the aerosol size distribution and predicts mass and number 141 concentrations of three aerosol modes (Aiken, accumulation, and coarse).

To resolve the convective system in the Maritime Continent in our simulations, two model domains with two-way nesting are designed. Here, Domain 1 ( $431 \times 141$  grid cells) has a resolution of 25 km, while Domain 2 ( $561 \times 591$  grid cells) has a resolution of 5 km (Fig. 1). Specifically, Domain 1 is positioned to include the tropical Indian Ocean on its west half in order to capture the path of Madden-Julian Oscillation (MJO),

and in the meantime to have a northern boundary constrained within 23°N in latitude to
avoid potential numerical instability from the terrain of Tibetan Plateau. Domain 2 with
a finer resolution is positioned to cover the mainland Southeast Asia as well as the islands
of Sumatra and Borneo.

151 The National Center for Environment Prediction FiNaL (NCEP-FNL) reanalysis 152 data (National Centers for Environmental Prediction, 2000) are used to provide initial and 153 boundary meteorological conditions, and to perform four-dimensional data assimilation 154 (FDDA) to nudge model temperature, water vapor, and zonal and meridional wind speeds 155 above the planetary boundary layer (PBL) for Domain 1. The time frequency of nudging 156 is every 6 hrs. The Mellor-Yamada-Nakanishi-Niino level 2.5 (MYNN) (Nakanishi and 157 Niino, 2009) is chosen as the scheme for planetary boundary layer in this study. Other 158 physics schemes adopted in the simulations include Morrison two-moment microphysics 159 scheme (Morrison et al., 2009), RRTMG longwave and shortwave radiation schemes 160 (Mlawer et al., 1997; Iacono et al., 2008), Unified Noah land-surface scheme (Tewari et 161 al., 2004), and Grell-Freitas ensemble cumulus scheme (Grell and Freitas, 2014) (for 162 Domain 1 only). Owing to the main purpose of this study to reveal fire aerosol-163 convection interaction through modeling a large quantity of convective systems 164 continually over a relatively long period, and the computational resource available to us 165 as well, we have adopted a 5 km horizontal resolution which excluding cumulus 166 parameterization scheme. Previous studies have shown that WRF model with a similar 167 resolution without convection parameterization can still capture many critical 168 characteristics of deep convection (Wagner et al., 2018). Our model evaluation,

169 especially through the comparison of modeled results with sounding profiles, has170 demonstrated the same.

171 WRF-Chem needs emissions for gaseous and particulate precursors to drive its 172 simulations. For this purpose, we have used the Regional Emission inventory in ASia 173 (REAS) version 2.1 (Kurokawa et al., 2013). REAS includes emissions of most primary 174 air pollutants and greenhouse gases, covering each month from 2000 to 2008. In 175 addition, the Fire INventory from U.S. National Center for Atmospheric Research 176 (NCAR) version 1.5 (FINNv1.5) (Wiedinmyer et al., 2011) is also used in the study to 177 provide biomass burning emissions. FINNv1.5 classifies burnings of extratropical forest, 178 tropical forest (including peatland), savanna, and grassland. Fire heat fluxes for four 179 different types of fire are prescribed in WRF-Chem to calculate the plume height (rf. 180 Table 1 in Freitas et al. (2007)). For peatland fire, we have set its heat flux as 4.4 kW m<sup>-</sup> 181  $^{2}$ , which is the same as that of savanna burning and differs from that of the tropical forest 182 burning in 30 kW m<sup>-2</sup>. The modified the plume rise algorithm in WRF-Chem to 183 specifically improve the representation of tropical peat fire has been described in Lee et 184 al. (2017). It is worth indicating that the heat flux from biomass burning is not incorporated in thermodynamic equation of current WRF-Chem model. Note that the 185 186 current fire emission inventories could underestimate near surface fire aerosol 187 concentration by ignoring some of the characteristics of smoldering burning as well (Shi 188 et al., 2019).

189 The default chemical profiles of several species in the lateral boundary condition are 190 higher than their background concentrations in our study region and thus equivalent to 191 provide additional aerosol sources from boundaries. To prevent this, we have set NO,

NO<sub>2</sub>, SO<sub>2</sub>, and all primary aerosol levels to zero at the lateral boundaries of Domain 1.
We have also adjusted the ozone profile used for lateral boundary condition based on the
World Meteorological Organization (WMO) Global Atmosphere Watch (GAW) station
in Bukit Kototabang, Indonesia (Lee et al., 2019).

# 196 2.2 Numerical experiment design

197 Two numerical simulations, both included anthropogenic emissions (mainly fossil 198 fuel emissions) while either with and without the biomass burning emissions (labeled as 199 FFBB and FF, respectively), have been conducted to investigate the impacts of biomass 200 burning aerosols on convective systems in the Maritime Continent through both direct 201 and indirect effects. Our study focuses on the fire season from June to September of 202 2008. Therefore, the simulations start from 1 May of 2008 and last for five months. The 203 first month is used as a spin-up period. Among the years with available emission data, 204 both emission amount of biomass burning and total precipitation in 2008 approximate 205 their ensemble mean or represent an average condition (Fig. S1). Nevertheless, 206 interannual variation of biomass burning emissions alongside precipitation in the studies 207 regions do exist (Lee et al., 2017; Lee et al., 2018), and the influence of such variation on 208 the effects of fire aerosol on convection should be addressed in future studies.

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# 9 2.3 Analysis methods

The primary target of this study is the convective systems associated with Sumatra squall lines and diurnal rainfall over Borneo. Thus, our analyses mainly focus on the convections over two specific regions: the Sumatra region (r1 in Fig. 1) and the Borneo region (r2 in Fig. 1). The area coverage of the Sumatra region (r1) is from 97° to 103° E in longitude and 0° to 6° N in latitude, while the area coverage of the Borneo region (r2)
is from 109° to 115° E in longitude and 1° S to 5° N in latitude.

216 To examine the impacts of fire aerosols on cloud formation and rainfall intensity as 217 well as amount, we have selected three convective systems each for the two focused regions to perform an in-depth case study. We first trace the path of individual 218 219 convections and focus the analyses on the specific area of each of these convective 220 systems to identify the impacts of fire aerosols. Table 1 shows the selected cases in the 221 Sumatra region (r1) and the Borneo region (r2). The selected cases are chosen randomly 222 from different fire periods of the two study regions. We did not set any criteria initially when we chose these cases. After we analyzed all cases, 3 mm 3hr<sup>-1</sup> was set as the 223 224 threshold to distinguish weak and strong convections.

The consequent analyses are then focused on the fire-season-wise statistics of convections for each study region. Table 2 and Fig. S2 show the fire periods in the two study regions. There are total of 54 convective systems simulated during the fire periods in the Sumatra region (r1) and 35 convective systems in the Borneo region (r2).

The statistical quantities used in this study follows Wang (2005) to estimate the mean value over a specific region (e.g., r1 or r2). The cloud area mean quantities are defined as a function of output time step (t) by the following equation:

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$$\bar{c}^{area}(t) = \frac{1}{N(t)} \sum_{\substack{q > qmin \\ n > nmin}} c(x, y, z, t).$$
(1)

Here c is a given quantity (e.g., cloud water mass). Eq. (1) only applies to the grid points where both the mass concentration q and number concentration n of a hydrometeor exceed their given minima. The total number of these grid points at a given output time step t is represented by N(t). The cloud area mean quantities are used to present the average quantities of a given variable at a given output time step. Note that the cloud area mean quantities only apply to hydrometeors. For rainfall, the analyzed quantities are spatial averages over a specific area of the convective system for case study or over the entire study region for longer-term statistic estimate.

241 **3 Results** 

### 242 **3.1 Model evaluation**

# 243 **3.1.1 Precipitation**

244 The satellite-retrieved precipitation of the Tropical Rainfall Measuring Mission 245 (TRMM) 3B42 3hrly (V7) dataset (Huffman et al., 2007) is used in this study to evaluate 246 simulated rainfall. Figure 2a and 2b show the Hovmöller plots of daily TRMM and 247 FFBB precipitation from 1 June 2008 to 30 September 2008, respectively. Compared to 248 the satellite-retrieved data, the model has captured all the major rainfall events in the two 249 analysis regions (Fig. 3). In addition, because of its higher spatial resolution than 250 TRMM, the model produces more light rain events. Nevertheless, as indicated in our 251 previous study (Lee et al., 2017), a wet bias of the model is evident and mainly comes 252 from water vapor nudging in data assimilation (FDDA). As a result, the daily average 253 rainfall in FFBB over the Sumatra region (r1) is 11.05±5.90 mm day<sup>-1</sup> from 1 June 2008 254 to 30 September 2008, higher than that of 7.21±5.54 mm day<sup>-1</sup> derived from TRMM 255 retrieval. The wet bias also exists in the modeling results in the Borneo region  $(r^2)$ , 256 where daily average rainfall there is  $15.40\pm8.49$  mm day<sup>-1</sup> in FFBB and only  $9.56\pm7.20$ 257 mm day<sup>-1</sup> in TRMM. For the simulated rainfall in FFBB, the temporal correlation with 258 TRMM is 0.44 in the Sumatra region (r1) and 0.64 in the Borneo region (r2).

### **3.1.2 Aerosol optical depth (AOD)**

260 Because of limited ground-based observational data of aerosols, we use Aerosol 261 Optical Depth (AOD) from the level-3 Moderate Resolution Imaging Spectroradiometer 262 (MODIS) gridded atmosphere monthly global joint product (MOD08 M3; 263 http://dx.doi.org/10.5067/MODIS/MOD08 M3.061) to evaluate modeled aerosol spatial 264 distribution and relative concentration. Figure 4a shows MODIS monthly AOD in 265 Southeast Asia in September 2008. High AOD occurs in the southern part of Sumatra 266 and the southwestern part of Borneo. Compared to the MODIS retrieval, the modeled 267 AOD in FFBB has similar spatial distribution but a higher value (Fig. 4b). It is because a 268 high spatiotemporal resolution in our simulation enables the model to capture episodic 269 fire events better. In contrast, FF simulation produces much lower AOD values than 270 those of MODIS and FFBB, thus suggesting biomass burning aerosols make a substantial 271 fraction in atmospheric AOD during burning seasons.

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### **3.1.3 Sounding profiles**

273 We have used multiple weather sounding profiles measured in Bintulu Airport, 274 Malaysia (113.03° E, 3.20° N). provided by University of Wyoming 275 (http://weather.uwyo.edu/upperair/sounding.html). An example for detailed summary is 276 a case at 12 UTC on 22 September 2008 (Fig. 5a). This sounding provides information 277 of atmospheric state (e.g., vertical distributions of pressure, temperature, wind speed, 278 wind direction, and humidity) coinciding with one of our selected case study (r2c3) of 279 diurnal convective rainfall in Borneo. Compared to the observed sounding data, the 280 FFBB simulation has produced similar temperature and wind profiles and well captured 281 the low-level and high-level wind speeds and wind directions (Fig. 5a versus 5b). It also 282 well predicts several key indexes of convection: temperature and pressure of the Lifted 283 Condensation Level (LCL) simulated in FFBB are 296.2 K and 955 hPa, respectively, 284 which are close to the values of 296.2 K in temperature and 960.7 hPa in pressure derived 285 from the observed sounding data. The model predicts 3049 J of Convective Available 286 Potential Energy (CAPE), while 2031 J of CAPE is estimated in the observed sounding 287 data. Besides this 22 September 2008 case, the model has also captured major features of 288 observed profiles for all the other cases selected in our analyses shown in Fig. S3~S7.

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# **3.1.4 Cloud vertical structure**

290 The Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observation (CALIPSO) 291 provides information of the vertical structure of clouds on its path around the globe 292 (https://www-calipso.larc.nasa.gov/products/lidar/browse images/production/), including 293 that of one of our cases (r2c3) of diurnal convective rainfall in Borneo on 22 September, 294 2008 (Fig. 6a). For this case, CALIPSO shows the vertical structure of a convective 295 system over Borneo along with high PM<sub>2.5</sub> concentration near the surface (yellowish 296 color near the surface), implying a potential impact of biomass burning aerosols on 297 convective clouds. It can be seen that the FFBB simulations well captures the vertical 298 structure of convective clouds as well as the near-surface aerosol layers, including their 299 vertical extension (Fig. 6c versus 6a). With the comparison of FF simulation, we are able 300 to identify the biomass burning origin of these aerosols near the surface. It is worth to 301 indicate that we have compared more than 50 modeled convections during the fire season 302 and within the simulation domains. However, the others captured by CALIPSO are 303 either not among the selected cases or are mostly out of our analyzed domains, so we did304 not have further discussion here.

#### **305 3.2 Analyses of selected cases in two study regions**

**306 3.2.1 The Sumatra region (r1)** 

307 The three selected cases in r1 or the Sumatra region (r1c1, r1c2 and r1c3) all 308 occurred in the afternoon (2 PM or 5 PM local time) and lasted less than 24 hours (Table 309 1). The sounding profile of three cases show quite similar to the environmental profiles 310 (Fig. S3~S5). Most fire aerosols in this study region were initially emitted from the 311 central and south Sumatra then transported along with southwesterly winds to encounter 312 convections in the northern Sumatra. Compared to the result of FF, PM<sub>2.5</sub> concentration 313 in FFBB can be 6~12 times higher in the Sumatra region (r1) in these selected cases (Fig. 314 7).

315 Aerosols from biomass burning in FFBB add 2~3 times more cloud droplet number 316 concentration and  $8 \sim 20\%$  higher cloud water mass compared to the results in FF (Table 317 2). The mean radius of cloud droplets in FFBB is about  $6 \sim 7 \mu m$ , clearly smaller than that 318 in FF (10~11 µm). Smaller cloud droplet in FFBB reduces the efficiency of 319 autoconversion, and further decreases rain water mass and raindrop number 320 concentration. Hence, raindrop number concentration in FFBB is 40~50% lower than 321 that in FF among our selected cases in r1 (Table 3). However, besides autoconversion, 322 rain water mass is also affected by other microphysics processes. Larger raindrops 323 combining with smaller cloud droplets in FFBB can enhance the efficiency of cloud 324 droplet collection by rain and thus increase rain water mass but cause no change to the

325 number of raindrops, possibly compensating the decrease of rain water mass resulted 326 from a lowered autoconversion. Overall, rain water mass decreases 15% in the case of 327 r1c2 and 10% in the case of r1c3, respectively. Compared to the cases of r1c2 and r1c3, 328 the case of r1c1 is a relatively weak convective system based on a threshold of ~3 mm 329 3hr<sup>-1</sup> of the averaged rainfall in FF (Table 4). After introducing fire aerosols, the mass 330 concentration of snow and graupel in this case increases 62% and 48%, respectively. 331 Melting snow and graupel in the lower atmosphere results in a significant increase of rain 332 water mass concentration by 49%. Thus, total hydrometeor mass is increased by 36% in 333 FFBB from that in FF. Our result is consistent with that of Lin et al. (2006), which 334 suggested that biomass burning aerosols could invigorate convection and then increase 335 precipitation based on satellite observations. The aerosol invigoration effect is referred to 336 such a hypothetic process that increasing number of smaller cloud droplets due to higher 337 aerosol concentration would reduce the efficiency of raindrop formation from self-338 collection among cloud droplets, and thus further slowdown the loss of these small 339 droplets from being collected by larger raindrops and allow more of them reach high 340 altitudes, where they would eventually collected by ice particles through riming, causing 341 release of latent heat to enhance updraft. Note that the "aerosol-aware" microphysics 342 scheme in WRF-Chem only applies to the warm cloud process (Morrison et al., 2005; 343 Morrison et al., 2009); therefore, ice nucleation is parameterized of ambiance temperature 344 only regardless of the aerosol concentration. In our model configuration, fire aerosol can 345 still affect ice process, however, through CCN effect rather than serving directly as ice 346 nuclei.

In the FF simulations, the convective system in the case of r1c2 and r1c3 is stronger than the system in the case of r1c1, and the average rainfall of r1c2 and r1c3 is also higher than the rainfall of r1c1 (Table 4). Adding fire aerosols in FFBB does not substantially change the average rainfall in r1c2 and r1c3 (+3% and -8%, respectively; Table 4). However, in the relatively weak convective system of r1c1, adding fire aerosols significantly increases the mean rainfall amount by 106% (1.33 $\pm$ 0.47 mm 3hr<sup>-1</sup> in FF versus 2.74 $\pm$ 1.21 mm 3hr<sup>-1</sup> in FFBB).

**354 3.2.2 The Borneo region (r2)** 

The three selected cases in r2 (r2c1, r2c2, and r2c3) also occurred during the summer monsoon season when active biomass burning events existed in the west Borneo. In these cases, fire aerosols were transported to the north and northeast by the southeasterly and southwesterly winds. Because of the proximity of fire emissions, the PM<sub>2.5</sub> concentration in FFBB can be 24 times higher than that in FF in the Borneo region (r2) in these selected cases (Fig. 7).

361 The modeled results demonstrate the substantial impacts of fire aerosols on both 362 ambient aerosol concentration and cloud droplet number concentration. PM<sub>2.5</sub> 363 concentration in FFBB is drastically higher than that in FF with the highest increase 364 appears in the case of r2c1 at 4940%, more than doubled the values of r2c2 (2402%) and 365 r2c3 (2422%). The increase in cloud droplet number concentration in the case of r2c1 366 (703%) is also substantially higher than those in r2c2 (337%) and r2c3 (409%) (Table 2). 367 The mean radius of cloud droplets in FFBB is about  $6~7 \mu m$ , which is significantly 368 smaller than that in FF (10~11  $\mu$ m). The mean cloud droplet radii in FF and FFBB in r2

369 are similar to the results in r1. On the other hand, the increase of cloud water mass due to 370 fire aerosols is not so dramatic in all these cases, only about 8%~27% higher than that in 371 the FF simulations (Table 3). As discussed above, rain number concentration in FFBB 372 over the Borneo region (r2) is lower than that in FF, similar to the cases in r1, likely due 373 to the low efficiency of autoconversion induced by the presence of a large quantity of 374 smaller cloud droplets. Rain water mass of FFBB in the r2c1 case is decreased by about 375 6% due to fire aerosols, which is similar to the results in the r1c2 and r1c3 cases over the 376 Sumatra region (Table 3). However, interestingly, rain water and snow mass are both 377 increased in FFBB by 64% and 69% in r2c2 and by 19% and 60% in r2c3, respectively 378 (Table 3). The cases of r2c2 and r2c3 are relatively weak convective systems, similar to 379 the case of r1c1. Again, it is based on based on a threshold of  $\sim 3 \text{ mm } 3\text{hr}^{-1}$  of the 380 averaged rainfall in FF (Table 4). Our results show that fire aerosols have substantial 381 impacts on cold cloud processes in the weak convective systems. Overall, total 382 hydrometeor mass concentration in FFBB have increased 47% in r2c2 and 13% in r2c3.

383 The changes of rainfall amount due to fire aerosols in r2 are similar to the cases in r1. For the strong convection case of r2c1, adding fire aerosols in the FFBB simulation 384 385 decreases the total rainfall amount by 18%. However, in the weak convection cases of 386 r2c2 and r2c3, adding fire aerosols would double the rainfall amount (Table 4). 387 Compared to the results in FF, rainfall intensity is persistently higher in FFBB during the 388 convection life cycle in those weak convection cases. Nighttime rainfall intensity in 389 FFBB, especially, is much higher than the rainfall intensity in FF. Therefore, as shown 390 by our results, fire aerosols appear to have more substantial impacts on the quantities of

391 hydrometeors and rainfall of the weak convection cases in both Sumatra region (r1) and392 Borneo region (r2).

393 Our results show that fire aerosols tend to invigorate weak convection but suppress 394 deep convection in both Sumatra region (r1) and Borneo region (r2). As mentioned 395 before, increasing the number of smaller cloud droplets due to higher aerosol 396 concentration resulted from fire would reduce the efficiency of raindrop formation 397 through the warm-rain processes, thus allowing more cloud droplets reach high altitudes 398 to be eventually collected by ice particles through riming, causing release of latent heat to 399 invigorate updraft while enhancing precipitation through melting of fallen ice particles 400 (Wang, 2005). These processes appear to be more effective to weak convections than 401 deep convections and were in fact well-simulated in the former cases. The results are 402 also consistent with some previous observation-based studies (Jiang et al., 2018; Zhao et 403 al., 2018). Jiang et al. (2018) and Zhao et al. (2018) both concluded that an increase of 404 fire aerosols generally reduces cloud optical thickness of deep convection while Zhao et 405 al. (2018) further showed that fire aerosols tend to invigorate weak convection for small-406 to-moderate aerosol loadings.

# 407

# **3.3** Fire-season statistics of convections in two study regions

Statistics covering the entire simulated fire season (~4 months) for each study region have been derived to provide trend/tendency information regarding several aspects of the impact of fire aerosols on convections. In our simulations,  $PM_{2.5}$  concentration in FF during the fire periods, which can be regarded as the background value for FFBB simulation before adding fire aerosols, is  $1.36\pm0.19 \ \mu g \ m^{-3}$  in r1 and  $0.56\pm0.09 \ \mu g \ m^{-3}$  in 413 r2. In comparison,  $PM_{2.5}$  concentration in FFBB is  $11.37\pm10.41 \ \mu g \ m^{-3}$  in r1 and 414  $10.07\pm7.73 \ \mu g \ m^{-3}$  in r2. Note that unlike in some other studies where the control 415 simulations use constant aerosol concentrations, fire aerosol concentrations in our 416 simulations can vary in responses to changes in fire emissions, or aerosol removal by rain 417 scavenging due to precipitation change caused by fire aerosols themselves. Hence, the 418 processes included in our simulations are closer to reality, and the results could better 419 reflect the nature of fire aerosol-convection interaction in the Maritime Continent.

420 Averaged through the entire modeled fire periods, cloud water mass (Qc), cloud 421 droplet number concentration (Qnc), and rain drop number concentration (Qnr) in FFBB 422 differ substantially from those in FF, demonstrating the influence of fire aerosols. Figure 423 8 shows that adding fire aerosols in FFBB would increase Qc by 14% and Qnc by 226% 424 in r1, and Qc by 18% and Qnc by 349% in r2. Another pronounced change in response to 425 adding fire aerosols is a decrease in Qnr by 44% in r1 and 47% in r2. Although an 426 increase in snow mass (Qs) and graupel mass (Qg) and a decrease in rain water mass (Qr) 427 after adding fire aerosols, the uncertainty of these hydrometeor changes is large.

428 In Sect. 3.2, we have discussed the significant rainfall increase occurred in the weak 429 convective systems after adding fire aerosols due to aerosol invigoration effect. On one 430 hand, regardless the strength of convection, the mean 3-hourly rainfall during the fire 431 periods is 1.06±0.85 mm in FF and 1.09±0.86 mm in FFBB over the Sumatra region (r1), 432 and statistically it does not change significantly in responding to fire aerosols. The 433 rainfall difference in the Borneo region (r2) between FF and FFBB is also insignificant (1.32±1.20 mm 3hrs<sup>-1</sup> in FF versus 1.35±1.14 mm 3hrs<sup>-1</sup> in FFBB). On the other hand, 434 435 we have found that the impacts of fire aerosols appear in several other rainfall patterns.

436 For instance, the daily maximum and minimum rainfalls display clear differences 437 between the FFBB and FF simulations, specifically in r2 rather than in r1 (Fig. 9). While 438 for r1, the impacts of fire aerosol are reflected in event-wise statistics, e.g., higher event-439 wise maximum and minimum rainfall intensity in FFBB than in FF, identified in 30 out 440 of 54 convective events in total. These are mostly weak convective events in r1. 441 Interestingly, somewhat opposite to the rainfall statistics in r1, the intensity of event-wise 442 maximum and minimum rainfall in r2 is higher in FF than in FFBB. The daily rainfall 443 peak of 3-hr rainfall in r1 is mostly less than 3 mm; in comparison, one-third of 444 convective events in r2 have daily maximum 3-hr rainfall exceeding 3 mm (Fig. 9c), 445 suggesting that the convective systems in r2 tend to develop stronger than in r1 and the 446 fire aerosols significantly suppress the maximum rainfall intensity of strong convections 447 in r1. We roughly used 1.25 mm 3hr<sup>-1</sup> of the domain-averaged rainfall to classify weak 448 and strong convective systems. We find that the conclusions regarding differences of 449 hydrometers and rainfall in the weak systems between the FF and FFBB experiments stay 450 the same, and such differences are still not that significant in both regions (Table S1 and 451 Fig. S8).

We have categorized the maximum rainfall based on its values in the afternoon and midnight. We find that those heavy maximum rainfalls in r2 tend to occur in the midnight (Fig. 9c) associated with the anticyclonic circulation formed in the western Borneo induced by southeasterly winds from the Southern latitude turn northeastward along the west coast of Borneo, owing to the terrain of Borneo Island and the sea breezes from the South China Sea. The vortex produced by such a circulation leads to strong updraft and then strong convection. Note that this anticyclonic circulation is different 459 from the Borneo vortex, the latter appears as a persistent feature of the boreal winter
460 climatology and is related to the northeasterly from the South China Sea and cold surge
461 events (Chang et al., 1983; Chang et al., 2005).

462 The low-level wind pattern of Borneo convections is similar to the westerly regime, 463 especially the weak westerly (WW) regime identified by Ichikawa and Yasunari (2006). 464 According to their analysis, the WW regime tends to occur in boreal summer. Its 465 composites include an anticyclonic feature with the weak wind field over the Borneo 466 Island. The deep convective storms developed in the WW regime tend to stay close to 467 the west coast associated with the lower-level convergence enhanced by the prevailing 468 wind and local circulations around there, resulting in localized rainfall over the offshore 469 region of the west coast. Based on our simulations, the onset of convection occurs in the 470 afternoon over the western mountain range of Borneo. These storms would consequently 471 evolve into widespread shallow storms in the evening over the western part of the island. 472 The maximum rainfall appears on the west coast because of a local westward propagating 473 rainfall system that develops around midnight or early morning.

The comparison of the maximum rainfall between FF and FFBB in Fig. 9 shows that fire aerosols tend to reduce the maximum rainfall, especially for high-intensity rainfall events. In other words, fire aerosols have substantial impacts on the nocturnal convections, which are associated with the local anticyclonic circulation in the western Borneo. This effect on nocturnal convections in the western Borneo by fire aerosols will be discussed further in the next section.

480 **3.4** The impact of biomass burning activities on nocturnal

# 481 convections in the Borneo region

482 To further analyze the effects of fire aerosols on nocturnal convections, we have 483 categorized convective events into nocturnal convections (NC) and non-nocturnal 484 convections (non-NC), based on whether the maximum rainfall occurs from midnight to 485 early morning or in the time frame from late afternoon to evening. Figure 10 shows the 486 diurnal time series of precipitation averaged over the Borneo region (r2) in FF and FFBB. 487 Again, 3-hour-mean rainfalls of nocturnal convections are higher than those of non-488 nocturnal convections in both simulations and fire aerosols weaken the maximum 489 nocturnal rainfall intensity about 9%.

490 Nocturnal convections tend to stay close to the west coast associated with a lower-491 level convergence enhanced by the prevailing wind and local circulations mainly related 492 to the land breezes from inland of the western Borneo. The strong convergence near the 493 surface over the offshore region of the west coast causes the weak westerly monsoon 494 windflaws and local land breezes to merge during the nighttime. However, during the 495 fire periods, the daytime absorption of fire aerosols (e.g., black carbon) can cause an 496 atmospheric warming (even without fire generated heating flux being incorporated in the 497 model). This could increase near surface air temperature, weaken land breezes and thus 498 surface convergence (Fig. 11b). As a result, the nocturnal convections in FFBB cannot 499 develop as strong as those in FF. On the other hand, both nocturnal and non-nocturnal 500 convections are initiated over the western mountain range under a prevailing wind of the 501 sea breezes from the South China Sea. The increases of near surface temperature owing to the fire aerosols can enhance this prevailing wind from the ocean (Fig. 11a) and thus
lead to a higher convective rainfall in FFBB during the onset stage of the nocturnal
convections as well as non-nocturnal convections.

505 Diurnal evolution of vertical profiles clearly indicates that mass mixing ratio of total 506 hydrometeors, temperature, and vertical velocity differ in both daytime and nighttime 507 between FF and FFBB for those nocturnal convections (Fig. 12). The differences of near 508 surface temperature between FF and FFBB are more pronounced during the period after 509 sunset (Fig. 12d). The differences of near surface temperature mainly happen over land, 510 and the higher near surface temperature in FFBB weakens the land breezes and near 511 surface convergence along the coast. Starting from late afternoon, (about 5 PM local 512 time), vertical velocity increases with time until sunrise next day in both simulations (Fig. 513 12e) due to the convergence of the monsoon windflaws and local land breezes during the 514 nighttime, and this matches very well with that of mass mixing ratio of total 515 hydrometeors (Fig. 12a and 12e). Noticeably, the main differences in vertical velocity 516 and hydrometeor mass mixing ratio between FFBB and FF also start to become evident 517 after entering the evening. Because of the weaker convergence near the surface in FFBB, 518 the differences in vertical velocity at the higher altitude between FFBB and FF peaks in 519 the nighttime. The temperature increase from aerosol absorption seems small (please 520 note that the direct heating from fire is not included in the WRF fire plume model) but we 521 do see the change of vertical velocity owing to the aerosol heating effect. Based on our 522 analysis, the temperature increase is mainly associated with the thermodynamic 523 perturbation from the absorption of sunlight by fire aerosols. This seems also consistent 524 with the analysis of Zhang et al. (2019). Indeed, should the heat flux generated by fires be incorporated in the model, the warming effects from biomass burning would be muchstronger and also persist in nocturnal timeframe.

527 As a summary, the schematics shown in Fig. 13 illustrate the impact of biomass 528 burning activities on nocturnal convections in the Borneo region. In the daytime, under 529 the prevailing wind of sea breezes from the South China Sea, convections develop over 530 the western mountain range. Because near surface heating from the absorption of 531 sunlight by fire aerosols could enhance the prevailing wind from the ocean, convective 532 rainfall becomes higher at the onset stage of the nocturnal convections (still in daytime) 533 due to biomass burning activities (Fig. 13b). In the nighttime, convection moves to the 534 offshore region of the western Borneo. The strong convergences near the surface merge 535 the weak westerly monsoon windflaws with local nighttime land breezes to form an anticyclonic circulation (Fig. 13c). During the fire periods, the daytime near surface 536 537 warming by fire aerosols could also further weaken land breezes and surface 538 convergence. Hence, the nocturnal convections during fire events would not develop as 539 strong as in days without fires (Fig. 13d versus 13c).

#### 540 **4 Summary**

By comparing WRF-Chem modeling results include or exclude biomass burning emissions (FFBB versus FF), we have identified certain detailed impacts of fire aerosols on convective events within two study regions in the Maritime Continent during a fourmonth period (June 2008 ~ September 2008). In total, 54 convective systems in the Sumatra region and 35 convective systems in the Borneo region have been simulated. Three convective events of each study region have been selected for in-depth

547 investigation. In addition, statistical analyses have been performed throughout the entire
548 simulation period for each region. We have focused our analyses on two rainfall
549 features: 1) convective precipitation associated with Sumatra squall lines, and 2) diurnal
550 rainfall over the western Borneo.

551 We find that fire aerosols lead to the increase of cloud water mass and cloud droplet 552 number concentration among all analyzed cases while a substantial reduction of rain drop 553 number concentration. Influences of fire aerosols on other hydrometeors vary from case 554 to case. Specifically, our results show that fire aerosols can significantly change the 555 quantities of hydrometeors, particularly those involved in cold cloud processes and 556 rainfall of weak convections in either the Sumatra region or the Borneo region. Rainfall 557 intensity is higher in FFBB during the entire convection life cycle in those weak 558 convection cases, and the nighttime rainfall intensity in FFBB is significantly higher than 559 that in FF.

560 Statistics performed throughout the entire modeled fire season shows that the fire 561 aerosols only cause a nearly negligible change (2-3%) to the total rainfall of convective 562 systems in both study regions. On the other hand, we notice that fire aerosols can still 563 alter daily maximum and minimum rainfall in some cases, for example, fire aerosols lead 564 to the increase of maximum and minimum rainfall intensity in 30 weak convective events 565 in the Sumatra region.

In the Borneo region, biomass burning activities mainly affect the rainfall intensity of nocturnal convection. Because near surface heating from the absorption of fire aerosols can enhance the prevailing wind from the ocean (sea breeze) during the daytime, the convective rainfall over the western mountain range is higher during the onset stage

570 of the nocturnal convections. In the nighttime, the consequence of the above 571 thermodynamic perturbation by absorbing fire aerosols can further weaken land breeze 572 and surface convergence. Hence, the rainfall intensity of nocturnal convections under the 573 influence of fire aerosols would become weaker by about 9%.

574 This study has demonstrated how biomass burning activities could affect convective 575 systems in the Maritime Continent by altering cloud microphysics and dynamics. We find the biomass burning activities significantly change the diurnal rainfall intensity, 576 577 especially those low-level wind patterns associated with the weak westerly (WW) regime 578 as suggested by Ichikawa and Yasunari (2006). Our results show that neither a single 579 case study nor a simple statistical summary applied to overall model simulation period 580 without in-depth analyses could reveal the impact of biomass burning aerosols on 581 convections under different windflaw regimes.

582 **Data availability** 

583 FINNv1.5 emission data are publicly available from http://bai.acom.uar.edu/Data/fire/. REAS emission data can be downloaded from 584 585 https://www.nies.go.jp/REAS/. TRMM data can be obtained from 586 https://pmm.nasa.gov/data-access/downloads/trmm. AOD from MODIS can be 587 obtained from http://dx.doi.org/10.5067/MODIS/MOD08 M3.061. Sounding profiles 588 are publicly available on http://weather.uwyo.edu/upperair/sounding.html. WRF-Chem 589 simulated data are available upon request from Hsiang-He Lee (lee1061@llnl.gov).

# 590 Author contribution

H.-H. L. and C. W. designed the experiments and H.-H. L. carried them out. H.-H.
L. configured the simulations and analyzed the results. H.-H. L. and C. W. wrote the
manuscript.

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810 Table 1. The case period of the selected cases in the Sumatra region (r1) and the Borneo 811 region (r2)

_	region (r2)					
_	Case name	Case period				
_	rlcl	2008/08/10 0900 UTC ~ 2008/08/11 0300 UTC				
	r1c2	2008/08/19 0600 UTC ~ 2008/08/20 0000 UTC				
	r1c3	2008/09/23 0900 UTC ~ 2008/09/24 0000 UTC				
-	r2c1	2008/08/05 0900 UTC ~ 2008/08/06 0300 UTC				
	r2c2	2008/09/17 0600 UTC ~ 2008/09/17 2100 UTC				
	r2c3	2008/09/22 0300 UTC ~ 2008/09/23 0000 UTC				
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Table 2. The fire periods in the two study regions

The Sumatra region (r1)	The Borneo region (r2)
6/10/2008 ~ 6/20/2008	6/21/2008 ~ 6/27/2008
$6/25/2008 \sim 6/28/2008$	8/1/2008 ~ 8/8/2008
$7/4/2008 \sim 7/7/2008$	9/10/2008 ~ 9/30/2008
$7/27/2008 \sim 8/20/2008$	
9/17/2008 ~ 9/27/2008	

818 Table 3. The mean differences in percentage of FFBB to FF (i.e. (FFBB-FF)/FF  $\times$  100%) 819 for each selected case over the main convection area in the Sumatra region (r1) and the 820 Borneo region (r2). Qc, Qi, Qr, Qs and Qg represents cloud, ice, rain, snow, and graupel 821 mass concentration respectively. Qnc, Qni, Qnr, Qns and Qng means number 822 concentration for each hydrometeor.

Case	Qc	Qi	Qr	Qs	Qg	Qnc	Qni	Qnr	Qns	Qng
r1c1	8%	27%	49%	62%	48%	248%	55%	-41%	33%	39%
r1c2	20%	-6%	-15%	-25%	1%	349%	-1%	-45%	-11%	-6%
r1c3	18%	10%	-10%	3%	5%	311%	4%	-50%	11%	-6%
r2c1	27%	1%	-6%	-5%	-4%	703%	3%	-59%	4%	-5%
r2c2	22%	10%	64%	69%	58%	337%	24%	-32%	17%	57%
r3c3	8%	10%	19%	60%	-2%	409%	-5%	-66%	8%	-12%

Table 4. The averaged precipitation (mm 3hrs<sup>-1</sup>) of FFBB and FF for each selected case

825 over the main convection area in the Sumatra region (r1) and the Borneo region (r2).

826 Parentheses in the third column show the difference in percentage of FFBB to FF (i.e. 827 (FFBB-FF)/FF  $\times$  100%).

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Case	FF	FFBB
rlcl	1.33±0.47	2.74±1.21 (+106%)
r1c2	2.97±1.42	3.05±1.49 (+3%)
r1c3	4.32±1.84	3.98±2.18 (-8%)
r2c1	3.73±2.64	3.07±1.21 (-18%)
r2c2	1.88±0.53	3.97±1.47 (+111%)
r3c3	0.54±0.53	1.10±1.02 (+103%)


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Figure 2. Hovmöller (time versus longitude) plot of daily precipitation (mm day<sup>-1</sup>) from 1 June 2008 to 30 September 2008 from:(a) Tropical Rainfall Measuring Mission (TRMM)

and (b) FFBB. Latitude average is from  $0^{\circ}$  to  $6^{\circ}$ N.



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846 Measuring Mission (TRMM) and FFBB over (a) the Sumatra region (r1) and (b) the
847 Borneo region (r2).



Figure 4. Monthly aerosol optical depth (AOD) in September 2008 from (a) Moderate

- 851 Resolution Imaging Spectroradiometer (MODIS), (b) FFBB, and (c) FF.



Figure 5. (a) Sounding profile observed at Bintulu Airport, Malaysia (113.03° E, 3.20° N)
at 12 UTC on 22 September 2008. (b) Modeled sounding profile in FFBB at the same
location and time as (a).



863 Figure 6 (a) The vertical structure of cloud retrieved from the Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observation

- 864 (CALIPSO) on September 22, 2008. (b)-(c) The sum of simulated hydrometeor mixing ratio (shaded; kg kg<sup>-1</sup>) and PM<sub>2.5</sub> concentration
- 865 (contour; μg m<sup>-3</sup>) in FFBB and FF, respectively. The profile domain of (b) and (c) is corresponding to the red rectangle in (a).



868 Figure 7. The mean  $PM_{2.5}$  concentration ( $\mu$ g m<sup>-3</sup>) in FF and FFBB for selected cases in the 869 Sumatra region (r1) and the Borneo region (r2).



Figure 8. The mean differences in percentage of FFBB to FF (i.e. (FFBB-FF)/FF × 100%) over all convective cases during the fire periods in the Sumatra region (r1) and the Borneo region (r2). Qc, Qi, Qr, Qs and Qg represents cloud, ice, rain, snow, and graupel mass concentration, respectively. Qnc, Qni, Qnr, Qns and Qng means number concentration for each hydrometeor. The error bars represent one standard deviation.



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Figure 9. The scatterplots of daily maximum and minimum convective rainfall (mm 3hr<sup>-1</sup>)
during the fire periods in in the Sumatra region (r1) and the Borneo region (r2). Red
diamonds in (a) and (c) indicate that the maximum convective rainfall conducts in the
midnight or early morning.



890 Figure 10. The diurnal time series of rainfall averaged over the Borneo region (r2) for nocturnal convections (NC) and non- nocturnal convections (non-NC) during fire periods in

FF and FFBB. The error bars denote the standard deviation of the rainfall.



897 Figure 11. The mean wind field differences of FFBB and FF (FFBB-FF) at (a) 20 LTC for non-nocturnal cases and (b) 02 LTC for nocturnal cases in the Borneo region (r2). The green circle indicates the location of convections occurred. The green arrows mean the mean flow of sea breeze in (a) and land breeze in (b). The magnitude of wind barbs is 10 times higher than the real value.



Figure 12. Diurnal evolution of vertical profiles over the Borneo region (r2) in FF for (a) total hydrometeor mixing ratio (mg kg<sup>-1</sup>), (c) temperature (°C), and (e) vertical velocity (m s<sup>-1</sup>). Data are averaged all the nocturnal convections. (b), (d), and (f) is the differences between FF and FFBB (FFBB-FF) for each parameter.





- 916 Figure 13. Schematics of diurnal rainfall/convection activity over the western Borneo. (a)
- and (b) illustrate the formation of convection during the daytime without and with fire event,
- respectively. (c) and (d) are the same as (a) and (b) but in the nighttime.