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**Simulated coordinated impacts of the previous autumn NAO
and winter El Niño on the winter aerosol concentrations over
eastern China**

Juan Feng¹, Jianping Li^{2,1}, Hong Liao³, and Jianlei Zhu⁴

1. *College of Global Change and Earth System Science, Beijing Normal University, Beijing, China*

2. *Key Laboratory of Physical Oceanography–Institute for Advanced Ocean Studies, Ocean University of China and Qingdao National Laboratory for Marine Science and Technology, Qingdao 266003, China*

3. *School of Environmental Science and Engineering, Nanjing University of Information Science & Technology, Nanjing, China*

4. *China-ASEAN Environmental Cooperation Center, Beijing, China*

Corresponding author:

Dr. Juan Feng
College of Global Change and Earth System Science (GCESS),
Beijing Normal University, Beijing 100875, China
Tel: 86-10-58802762
Email: fengjuan@bnu.edu.cn

Abstract

25

26 The high aerosol concentrations (AC) over eastern China have attracted attention from
27 both science and society. Based on the simulations of a chemical transport model using
28 a fixed emissions level, the possible role of the previous autumn North Atlantic
29 Oscillation (NAO) combined with the simultaneous El Niño-South Oscillation (ENSO)
30 on the boreal winter AC over eastern China is investigated. We find that the NAO only
31 manifests its negative impacts on the AC during its negative phase over central China,
32 and a significant positive influence on the distribution of AC is observed over south
33 China only during the warm events of ENSO. The impact of the previous NAO on the
34 AC occurs via an anomalous sea surface temperature tripole pattern by which a
35 teleconnection wave train is induced that results in anomalous convergence over central
36 China. In contrast, the occurrence of ENSO events may induce an anomalous shift in
37 the western Pacific subtropical high and result in anomalous southwesterlies over south
38 China. The anomalous circulations associated with a negative NAO and El Niño are not
39 favorable for the transport of AC and correspond to worsening air conditions over
40 central and south China. The results highlight that the combined effects of tropical and
41 extratropical systems play considerable role in affecting the boreal winter AC over
42 eastern China.

43

44 **1. Introduction**

45 Atmospheric particles (i.e., aerosols) are the key pollutants that exhibit an
46 important adverse impact on human health, environmental pollution, global climate
47 change, and atmospheric visibility (IPCC, 2013). Aerosol particles may alter the
48 precipitation rates and optical properties of clouds (Hansen et al., 1997), impacting the
49 radiation balance of the entire Earth-atmosphere system via absorbing and scattering
50 solar radiation (Jiang et al., 2017; Yue and Unger, 2017). A better understanding of
51 aerosol variations is therefore important and useful for scientific and social endeavors.

52 The meteorology parameters, i.e., atmospheric temperature (Aw and Kleeman,
53 2003; Liao et al., 2015), boundary layer (Kleeman, 2008; Yang et al., 2016), wind (Zhu
54 et al., 2012; Yang et al., 2014, 2017; Feng et al., 2017), and humidity (Ding and Liu,
55 2014), show a non-negligible impact on the regional aerosol concentrations (AC) via
56 affecting the deposition and transportation processes. Moreover, the intraseasonal and
57 interannual variations in climatic phenomena could affect both the spatial and temporal
58 accumulation and distribution of AC due to the associated variations in the circulation
59 and rainfall anomalies. For example, the monsoon onset could affect the seasonal
60 variations in regional AC (Tan et al., 1998; Chen and Yang, 2008). The interannual
61 variation of AC over East Asia is connected with the interannual variation of East Asian
62 winter monsoon (Jeong and Park, 2016; Lou et al., 2016, 2018; Mao et al., 2017) and
63 summer monsoon (EASM; Zhang et al., 2010; Zhu et al., 2012). The seasonal evolution
64 of the El Niño-South Oscillation (ENSO) impacts the seasonal variations of AC over
65 northern and southern China (Liu et al., 2013; Feng et al., 2016a, 2017). The AC

66 variation in the US is influenced by the Pacific Decadal Oscillation (Singh and
67 Palazoglu, 2012). These findings suggest that the role of climate systems in impacting
68 the regional air quality cannot be ignored.

69 The North Atlantic Oscillation (NAO), reflecting large scale fluctuations in
70 pressure between the subpolar low and subtropical high, is one of the most determinant
71 and influential climate variability modes in the extratropical Atlantic Ocean, (e.g.,
72 Hurrell, 1995; Gong et al., 2001; Visbeck et al., 2001). A negative (positive) polarity of
73 the NAO is reflected by positive (negative) pressure anomalies over the high latitudes
74 of the North Atlantic and negative (positive) pressure anomalies over the central North
75 Atlantic. Both the positive and negative phases of NAO are accompanied with large
76 scale modulations in the location and intensity of the North Atlantic jet stream and
77 storm track (Gong et al., 2001; Li and Wang, 2003). The surface layer wind would vary
78 associated with changes in the jet stream because of the NAO's quasi-barotropic
79 characteristic, resulting in varied Ekman heat transport and basin-wide variations in the
80 underlying sea surface temperatures (SST; Marshall et al., 2001; Wu et al., 2009; Wu
81 and Wu, 2018).

82 The NAO massively impacts the temperature and precipitation patterns over the
83 US and central Europe, i.e., a wet and warm winter in Europe, and mild and wet winter
84 conditions would be expected accompanied with a positive NAO phase. Moreover, the
85 NAO exhibits significant cross-seasonal impacts on the downstream regional climate.
86 For example, it is reported that variation in boreal spring NAO influenced the
87 subsequent intensity of the EASM from 1979-2006 (Wu et al., 2009). The linkage

88 between the EASM and NAO has been further explored but on the interdecadal scale
89 (Wu and Lin, 2012; Wu et al., 2012; Zuo et al., 2013), and it is suggested that the
90 preceding spring NAO dominated the relationship of the NAO-EASM more than the
91 simultaneous summer NAO, similar result is seen in Zheng et al. (2016). Xu et al. (2013)
92 presented that the previous boreal summer NAO significantly influenced the following
93 September rainfall over central China. These studies highlight the important role of the
94 NAO signal on the climate in East Asia, especially the cross-seasonal impacts, which
95 are beneficial for seasonal forecasting.

96 In addition to the influence of the extratropics, the impact originating from the
97 tropics is another important driver of the climate anomalies in China. As the most
98 dominant interannual variability of the tropical air-sea coupled system, the El Niño-
99 Southern Oscillation (ENSO) exhibits profound influences on the weather and climate
100 around the world (e.g., Ropelewski and Halpert, 1987; Harrison and Larkin, 1998). The
101 occurrence of ENSO phenomenon displays significant effects in impacting the global
102 and regional oceanic and atmospheric anomalous patterns (e.g., Rasmusson and
103 Carpenter, 1982; Trenberth, 1997). The seasonal climate variation in China is closely
104 linked with the evolution of ENSO events. For example, increased rainfall is expected
105 to be found over the Huai-he and Yangtze River valley, whereas less rainfall is seen
106 over northern and southern China during the decaying summer of an El Niño event
107 (Zhang et al., 1996, 1999; Ye and Wu, 2018). During the developing autumn of an El
108 Niño event, enhanced rainfall would be expected over southern China due to the
109 associated anomalous shift in the western Pacific subtropical high (WPSH). However,

110 without significant influence during the developing summer (Feng et al., 2016b).
111 During the mature winter, both the warm and cold events show significant impacts on
112 the temperature and rainfall anomalies over eastern China (Weng et al., 2009; Wu et al.,
113 2011; Wu and Zhang, 2015; Li et al., 2019; Zhang et al., 2019a, 2019b).

114 As shown above, both the NAO and ENSO significantly impact the climate over
115 China. China now suffering from relatively high aerosol loading, and this is commonly
116 ascribed to the increased emissions connected with the speedy economic growth.
117 However, as discussed above that the role of meteorological conditions in affecting the
118 AC cannot be ignored. Accordingly, it is of interest to explore the possible impacts of
119 the NAO and ENSO on the distributions of AC over China. The possible impacts of the
120 NAO on the aerosol has been discussed by Moulin et al. (1997) and Jerez et al. (2013);
121 however, they concentrated on its influences on the North Atlantic Ocean and Europe,
122 respectively. Feng et al. (2016a) indicated the potential effects of El Niño on the AC
123 over China, but with a focus on the seasonal evolution. Therefore, does the NAO exhibit
124 significant impacts on the AC, and how the combination of the NAO and ENSO affect
125 the distribution of AC over China, as both of them show important modulation of the
126 climate over China.

127 The above discussions provide the main motivation of the present work. The
128 conditions in boreal winter are discussed in the present work, as this time is
129 corresponding to the heat supply season and the AC over China peak during this season.
130 The coordinated role of the previous autumn (September to November, SON) NAO and
131 the simultaneous ENSO is compared to that of the NAO alone, and also as well as the

132 involved physical mechanisms. The rest of this paper is arranged as follows. Model,
133 datasets, and methodology employed are presented in Section 2. The possible impacts
134 of the NAO and ENSO on the AC are explored in Section 3. Section 4 discusses the
135 involved physical mechanism. Section 5 provides the discussion and conclusions.

136 **2. Datasets, simulations, and methodology**

137 **2.1 Datasets**

138 The input background meteorological variables of the GEOS-Chem model show
139 high degree of uniformity with the current widely used reanalyses (e.g., Zhu et al., 2012;
140 Yang et al., 2014). Here, the SLP in the National Centers for Environmental
141 Prediction/National Center for Atmospheric Research (NCEP/NCAR) reanalysis
142 (Kalnay et al., 1996) with a 2.5° latitude \times 2.5° longitude resolution, and the UK
143 Meteorological Office Hadley Centre's sea ice and SST datasets (HadISST; Rayner et
144 al., 2003) with a 1° latitude \times 1° longitude resolution are used to verify the reliability
145 of the Goddard Earth Observing System, Version 4 (GEOS-4).

146 **2.2 GEOS-Chem simulations**

147 The influences of the NAO on the simulated AC over China are examined using a
148 three-dimensional tropospheric chemistry model, i.e., GEOS-Chem (version 8.02.01;
149 Bey et al., 2001). The model is driven by assimilated meteorological fields from the
150 GEOS-4 of the NASA Global Modeling and Assimilation Office, with a 2° latitude \times
151 2.5° longitude resolution, and 30 hybrid vertical levels. This model contains a detailed
152 coupled treatment of tropospheric ozone-NO_x-hydrocarbon chemistry, as well as

153 aerosols and their precursors, containing nitrate, black carbon, sulfate, sea salt,
154 ammonium, mineral dust, dust aerosols, and organic carbon (Bey et al., 2001; Liao et
155 al., 2007). The aerosol dry and wet depositions follow Wesely (1989) and Liu et al.
156 (2001), with details in Wang et al. (1998). According to Liao et al. (2007), the AC were
157 defined as PM_{2.5} as follows,

$$158 \quad [PM_{2.5}] = 1.37 \times [SO_4^{2-}] + 1.29 \times [NO_3^-] + [POA] + [BC] + [SOA] \quad (1)$$

159 SO_4^{2-} , NO_3^- , POA, BC, and SOA are the aerosols particles of sulfate, nitrate, primary
160 organic aerosol, black carbon, and second organic aerosol, respectively. The sea salt
161 aerosols and mineral dust are not considered for that measurements indicate that they
162 are not the major aerosol species in the eastern China during winter (Xuan et al., 2000;
163 Duan et al., 2006).

164 The anthropogenic emissions in the GEOS-Chem and experiment design are
165 similar to Zhu et al. (2012), in which the biomass burning emissions and anthropogenic
166 emissions are fixed at year 2005 level in the simulation. That is the observed variations
167 in the distributions of AC as seen below was due to the variations in meteorological
168 conditions associated with climate events. Due to the longevity of the GEOS-4 datasets,
169 the period 1986-2006 is focused on. GEOS-Chem is a well-recognized atmospheric
170 chemistry model and is widely utilized due to its capability to well characterize the
171 seasonal, interannual, and decadal variations of pollutant aerosols in the East Asia and
172 beyond (e.g., Zhu et al., 2012; Yang et al., 2014, 2016; Feng et al., 2017). The well
173 performance and wide application of GEOS-Chem provide confidence for employing

174 the model to investigate the coordinated impacts of NAO and El Niño on the AC over
 175 eastern China.

176 **2.3 NAO index and Niño3 index**

177 The NAO index (NAOI) is employed to quantify the variations in the NAO phase
 178 (Hurrell et al., 1995; Gong and Wang, 2001). The definition of the NAOI follows Li and
 179 Wang (2003) and is calculated as the zonal mean SLP difference between 35°N (i.e.,
 180 refers to the mid-latitude center) and 65°N (i.e., refers to the high latitude center) from
 181 80°W to 30°E over the North Atlantic by

$$182 \quad \text{NAOI} = \hat{P}_{35^{\circ}\text{N}} - \hat{P}_{65^{\circ}\text{N}} \quad (2)$$

183 where P is the monthly mean SLP averaged from 80°W to 30°E, \hat{P} is the normalized
 184 value of P , and the subscripts indicate latitudes. For a given month m in year n , the
 185 normalization \hat{P} is defined as follows

$$186 \quad \hat{P}_{n,m} = \frac{P'_{n,m}}{S_P} \quad (3)$$

187 where $P'_{n,m}$ is the monthly pressure anomaly of $P_{n,m}$, departure from period 1986-
 188 2006, and S_P is the total standard deviation of the monthly anomaly $P'_{n,m}$,

$$189 \quad S_P = \sqrt{\frac{1}{12 \times 21} \sum_{i=1986}^{2006} \sum_{j=1}^{12} P'_{j,i}{}^2} \quad (4)$$

190 The monthly NAOI is calculated based on the monthly mean SLP from both the
 191 NCEP/NCAR and GEOS-4 assimilated meteorological dataset for 1986-2006. The
 192 boreal autumn NAOI is defined as the average of the monthly NAOI during September,
 193 October, and November (Fig. 1). The series of NAOI show strong interannual variations,

194 and the two series based on GEOS-4 and NCEP/NCAR are closely correlated with each
195 other with a significant coefficient of 0.98, implying the GEOS-4 dataset could capture
196 the variation in the NAO.

197 El Niño events were defined as standardized 3-month running mean Niño3 index
198 (areal mean SST averaged over 150°-90°W, 5°N-5°S) above 0.5°C and persisting for at
199 least 6 months. The skin temperature (i.e., SST over ocean and surface air temperature
200 on land) was employed to obtain the Niño3 index for that SST is not available in the
201 GEOS-4 meteorological dataset. The boreal winter Niño3 index is calculated as the
202 average of the monthly Niño3 during December, January, and February, i.e., winter
203 1997 is for the December 1997 and January and February 1998. The boreal winter
204 Niño3 indices based on the GEOS-4 and HadISST are significantly correlated with each
205 other, (Fig. 1), with a coefficient of 0.99. The high correlations among the indices
206 further indicate the reliability of the model data.

207 **3. Influences of the NAO and El Niño on the AC over China**

208 **3.1 Climatological Characteristics of the AC**

209 The spatial distribution of the standard deviation of boreal winter AC is shown in
210 Fig. 2. Eastern China (105°E eastward, 35°N southward) shows high loading of
211 aerosols in both the column and surface layer concentrations (figure not shown). Further,
212 the variance of winter AC over eastern China is most pronounced compared to other
213 regions during this season (Fig. 2a, b). As an evident monsoonal region, eastern Asia is
214 influenced by winter monsoon, i.e., a strong Aleutian low is seen in the north Pacific,

215 and the Asian continent is controlled by the Siberian high during boreal winter. The
216 strong pressure gradient between the Siberian high and Aleutian low results in strong
217 northwesterlies prevailing over eastern China (Fig. 2c).

218 **3.2 Relationships between the AC & NAO and El Niño**

219 The spatial distribution between the surface AC and previous autumn NAOI and
220 simultaneous winter Niño3 index are presented in Fig. 3. Positive correlations are seen
221 over south (30°N south) and northwest China in the correlations with the Niño3 index,
222 indicating that a warm ENSO event would associate with high AC over south and
223 northwest China. In contrast, negative correlations over south and central China are
224 observed in the correlations with autumn NAO, implying a positive NAO phase is
225 linked with less AC over these regions, thus favoring better air conditions. The analysis
226 suggests that the ENSO and NAO show opposite effects on AC over south China, i.e.,
227 the NAO displays a negative impact and the ENSO displays a positive impact. However,
228 the relationship between the autumn NAOI and winter Niño3 index is insignificant with
229 a correlation of -0.08 during period 1986-2006.

230 The above relationships are further examined in their positive and negative phases,
231 as strong asymmetry was reported in the climatic impacts of the NAO (Xu et al., 2013;
232 Zhang et al., 2015) and ENSO (Cai and Cowan, 2009; Karior et al., 2013; Feng et al.,
233 2016b). The asymmetric influences of the NAO and ENSO on AC are obvious in the
234 spatial distributions of the linear correlation coefficients (Fig. 4). During the El Niño
235 events, south China is impacted by significant positive correlations, in contrast, a non-

236 significant correlation is observed over this region during the La Niña events. This point
237 implies the significant relationships between the ENSO and AC over south China are
238 mainly connected with warm events, i.e., El Niño. The negative correlations between
239 the NAO and AC mainly occurred in the negative phase of the NAO, and the significant
240 correlations are mainly located in central China (lie from 28°N to 40°N). Thus, the
241 ENSO affects the distribution of AC in south China, but the impact is manifested during
242 warm events. Similarly, the effect of the NAO on the distribution of AC over central
243 China is only apparent during its negative phase.

244 The results suggest that if the occurrence of a negative polarity of NAO overlaps
245 with an El Niño event, the combined effects of the two may further worsen the AC over
246 eastern China. In contrast, a solo occurrence of a negative NAO event is associated with
247 above-normal AC over central China. The statistic significant impacts of the negative
248 NAO and El Niño events on the AC could be further established by case study. Two
249 cases, i.e., the co-occurrence of an El Niño event and a negative NAO, and a solo
250 negative NAO event, were chosen to further explore the effect of the NAO and El Niño
251 on the AC over China. From 1986-2006, there are two years (1997 and 2002) with
252 equivalent negative values of autumn NAOI (-1.507 in 1997, and -1.510 in 2002).
253 Winter 1997 corresponds with the strongest El Niño in the past 120 years and winter
254 2002 corresponds with a neutral ENSO event. Consequently, the anomalous distribution
255 of AC during these two years are discussed in the context of comparing the combined
256 and solo effects of a negative NAO and El Niño in impacting the distribution of AC
257 over eastern China.

258 **3.3 Influences of the NAO & El Niño vs. the NAO on the AC**

259 Figure 5 presents the layer and column AC anomalies simulated for the winters of
260 1997 and 2002 departure from the climatological mean. Under the combined influence
261 of a negative NAO and El Niño (1997), positive aerosol concentration anomalies are
262 observed over eastern China (Fig. 5a, c). In addition, simulated enhanced AC were
263 observed over central China in winter 2002 under the impacts of a negative NAO (Fig.
264 5b, d). These characteristics are also apparent in the vertical distribution (Fig. 6), which
265 shows the zonal mean anomalies averaged over eastern China (105° – 120° E). For winter
266 1997, increased AC cover the whole eastern China, with maximum values
267 approximately 30° N, where the effects of the NAO and El Niño overlap (Figs. 4a, d).
268 The combined effects of the anomalies show a consistent distribution in the vertical
269 levels (Fig. 6). In contrast, evident increased AC anomalies are seen in central China,
270 with the maximum at approximately 32° N during winter 2002.

271 The consistent results between the correlations and anomalies during the two cases
272 highlight the role of the negative NAO and El Niño events in determining the
273 distribution of AC over eastern China. The NAO shows a significant influence on the
274 central China AC that are only apparent during its negative phase, and the ENSO
275 impacts the AC over south China mainly during warm events.

276 **4. Mechanisms of the effects of the NAO and El Niño on the AC**

277 **4.1 Role of circulation transport**

278 The corresponding reverse role of the NAO and El Niño in impacting the
279 distribution of AC is mainly derived from their contrasting effects on circulation. Figure
280 7 shows the SLP and surface wind anomalies during the autumns of 1997 and 2002,
281 presenting an anomalously weak autumn NAO pattern. The negative phase of the NAO
282 displays as an anomalous SLP dipole structure between the middle latitude North
283 Atlantic Ocean and Arctic, i.e., with positive SLP anomalies at the Arctic over the
284 Atlantic sector, and anomalous negative SLP at middle latitude. Although the locations
285 of the anomalous pressure centers in the two negative NAO events show difference, the
286 anomalous SLP amplitude in the two events are similar, i.e., with greater negative SLP
287 anomalies at mid-latitudes, indicating that the pressure gradient of the two NAO
288 negative events is similar. The oscillation in the SLP is connected with anomalies in the
289 surface wind across the North Atlantic, i.e., associated with an anomalous cyclonic
290 centered approximately 45°N and anti-cyclonic circulation anomalies around Iceland.
291 During boreal winter and spring, an anomalous NAO could result in a tripole SST
292 anomalous pattern in the North Atlantic Ocean (Watanabe et al., 1999). A similar SST
293 tripole pattern is observed during boreal autumn, with warm SST anomalies at high and
294 low latitudes, and negative SST anomalies at middle latitudes in the North Atlantic
295 sector (Fig. 8a, c). Note that the negative SST anomalies during 1997 displays an east-
296 west direction but originated from a northwest-southeast direction during 2002 due to
297 the different locations of anomalous SLP (Fig. 7).

298 The North Atlantic anomalous SST tripole pattern is due to the feedback between
299 wind-SST, i.e., the anomalous anti-cyclonic (cyclonic) circulation weaken (strengthens)

300 the prevailing westerlies, which would result in decreased (increased) loss of heat and
301 warmer (cooler) anomalies in Ekman heat transport (Xie, 2004; Wu et al., 2009), and
302 is connected to warmer (cooler) local SST. Due to the short memory of the atmosphere,
303 the cross-seasonal influences of the NAO on the AC should be preserved in the
304 boundary layer forcing such as SST (Charney and Shukla, 1981). This anomalous
305 tripole SST pattern could persist to the following winter (Fig. 8b, d), as the anomalous
306 tripole SST pattern during winter and autumn show high consistencies in both 1997 and
307 2002, with significant spatial correlation coefficients of 0.32 and 0.51 between the
308 autumn and winter tripole SST patterns for 1997 and 2002, respectively.

309 Figure 9 shows the anomalous divergence at the upper troposphere. The
310 occurrence of a negative NAO phase is accompanied by an anomalous teleconnection
311 wave train over northern Eurasia (AEA) in the upper troposphere during boreal summer
312 (Li and Ruan, 2018). This anomalous teleconnection pattern is also observed during
313 boreal winter, with a shift in the precise locations. Under the influence of the anomalous
314 downstream teleconnection, north China is influenced by convergence anomalies, with
315 the center positioned over central China (Fig. 9). The anomalous convergence is clearly
316 seen in both the upper and lower troposphere, accompanied by anomalous easterlies or
317 southeasterlies over central China (Fig. 10). The direction of the anomalous wind is
318 opposite to the climatological winds, which would weaken the climatological wind and
319 is unfavorable for the transport of aerosol concentration, leading to increased AC over
320 central China, as displayed in Fig. 5.

321 For the winter 1997, corresponding to the El Niño's mature phase, south China
322 was influenced by an evident anomalous divergence at the lower troposphere,
323 indicating anomalous anticyclonic circulation over the coastal regions (Fig. 10a).
324 Anomalous southwesterlies prevailed in south China, implying weakened northerlies.
325 That is the anomalous meteorological conditions are unfavorable for aerosols transport
326 in the region and would result in a worsen air quality. In contrast, for the winter 2002,
327 south China was controlled by an anomalous divergence for that the main body of the
328 WPSH shifts to the south of south China (Fig. 10b). The anomalous circulation was
329 favorable for the emission of pollutant. Moreover, an evident anomalous divergence
330 was observed in south China in the winters of 1997 and 2002 at the upper troposphere;
331 however, the corresponding distribution of AC over this region is different. This
332 highlights the role of El Niño in impacting the circulation anomalies over south China,
333 as mentioned above. The occurrence of El Niño events would be accompanied by a
334 northwest shift of the WPSH during boreal winter and enhanced southwesterlies over
335 south China (Weng et al., 2009). Besides, column AC are mainly contributed by
336 concentrations at lower troposphere, suggesting that the lower troposphere circulation
337 may play a vital role in impacting the AC over south China.

338 **4.2 Role of wet deposit**

339 In addition to the contribution of the circulation anomalies to the distribution of
340 AC, changes in wet deposit also could affect distribution of AC. Figure 11 presents the
341 simulated wet deposit anomalies during the winters of 1997 and 2002. Negative
342 anomalies occurred over eastern China during the winter of 1997, favorable for

343 increased AC. This suggests the wet deposit plays a positive role in the enhanced AC
344 during winter 1997. Positive anomalies were observed over central China in the 2002
345 winter, inconsistent with the AC anomalies. The anomalous wet deposit during winter
346 of 1997 is paralleling to the AC anomalies over eastern China; however, not consistent
347 with that for the winter of 2002. This suggests that role of wet deposit in impacting the
348 AC over eastern China exists uncertainties, showing strong regional dependence. The
349 impact of wet deposit on the AC was examined by a sensitive experiment by turning
350 off the wet deposition (Fig. 11c-d). A similar anomalous AC distribution was observed
351 as those shown in Fig. 5, confirming that the role of wet deposit in impacting the
352 distribution of AC is not as important as the circulation.

353 **5. Summary and Discussion**

354 Using the simulations of GEOS-Chem model with fixed emissions, the
355 coordinated impacts of the previous autumn NAO and simultaneous ENSO on the
356 boreal winter AC over eastern China are investigated. The results present that both the
357 NAO and ENSO show asymmetry impacts on the boreal winter AC over eastern China,
358 i.e., the NAO manifests negative impacts over central China during its negative phase
359 and the ENSO positively impacts the AC over south China significantly during its warm
360 events. Consequently, the possible impacts of two cases were investigated to ascertain
361 the role of the NAO and ENSO on the distribution of AC over China. The winter 1997
362 had a co-occurrence of a negative NAO and an El Niño events, and winter 2002
363 corresponds to a negative NAO phase and neutral ENSO. For the winter 1997, obvious
364 enhanced AC were observed over eastern China, with a maximum approximately 30°N,

365 where the impacts of the NAO and El Niño overlap. For the winter 2002, there were
366 generally increased AC over central China. These results suggest that the co-occurrence
367 of a negative NAO and El Niño would worsen the air conditions over eastern China,
368 and a solo negative NAO is associated with increased AC over central China.

369 The cross-seasonal impacts of the preceding autumn NAO on the following winter
370 AC over China can be explained by the coupled air-sea bridge theory (Li and Ruan,
371 2018). The preceding negative NAO exhibits significant influences on the winds due to
372 the adjustment of the wind to the anomalous SLP. The associated anomalous wind could
373 affect the underlying regional SST, resulting in an anomalous SST tripole pattern over
374 the North Atlantic. Since the North Atlantic SST exhibit strong persistence, this
375 anomalous SST pattern could persist to the subsequent winter and inducing an
376 anomalous AEA teleconnection wave train in the upper troposphere, with anomalous
377 convergence over central China. Thus, central China is controlled by anomalous
378 southeasterlies or easterlies, which weaken the climatological northwesterlies and
379 induce increased AC over central China. In contrast, the occurrence of El Niño is linked
380 to warm SST anomalies over tropical eastern Pacific, by which the Rossby wave
381 activity would be altered (Wang et al., 2001; Feng and Li, 2011). A northwest shift of
382 the WPSH is seen during the winter of an El Niño event, associated with southwesterlies
383 anomalies over south China during the winter of 1997, indicating a weakening in the
384 climatological wind and leading to enhanced AC over south China. Therefore, the high
385 level of AC over eastern China during the winter 1997 results from the combined role

386 of the NAO and El Niño, and the high concentrations over central China in the winter
387 of 2002 are attributed to the NAO.

388 The possible reason for the asymmetric influence of the NAO on the AC was
389 further explored. When the autumn NAO is in the positive polarity, for example, two
390 positive cases of 1986 and 1992, the associated underlying SST anomalies (figure not
391 shown), particularly the tripole SST pattern, are not as evident as those shown in the
392 negative NAO. This result may provide a possible explanation for the asymmetric
393 relationship existed in the different phases of the NAO and AC, and implies the
394 complexity of the atmosphere-ocean feedback in the North Atlantic. This merits further
395 exploration related to why the linkage between the NAO and underlying SST is
396 nonlinear, and what process is responsible for their nonlinear relationship.

397 As noted above, the influence of the NAO on the AC only manifests during its
398 negative phase, and the impact of the ENSO is only significant during its warm events.
399 However, the relationship between the previous autumn and following winter ENSO is
400 insignificant, thus it is of interest to establish the nonlinear relationship among them
401 and investigate why there is strong asymmetry in the relationships. Zhang et al. (2015,
402 2019) explored the complex linkage between the boreal winter NAO and ENSO with
403 the former lagged for one month, indicating that the nonlinear relationship of the NAO
404 and ENSO is modulated by the interdecadal variation in the Atlantic Multi-Decadal
405 Oscillation. In addition, Wu et al. (2009) have illustrated the coordinated impacts of the
406 NAO and ENSO in modulating the interannual variation of the EASM; however, it has
407 not been shown to determine the AC yet. Therefore, it is of interest to further explore

408 whether the NAO and ENSO affect the AC over China in other seasons, as well as the
409 process involved. Furthermore, the present work is based on model simulations and due
410 to the limitations of the model simulations, only the interannual variations are
411 considered. As both NAO and ENSO show strong interdecadal variations, for a longer
412 period, i.e., 1850-2017 (figure not shown), the NAO during period 1986-2006 is
413 generally located in the positive phase, whereas in the negative phase during period
414 1955-1970, therefore, it is important to determine the interdecadal modulation of the
415 NAO on the distribution of AC.

416 Moreover, the role of rainfall in influencing the AC shows uncertainties, i.e., a
417 positive effect over south China but not for central China. This result is similar with
418 that of Wu (2014), showing the impact of wet deposit on the AC shows regional and
419 seasonal dependence. This is may due to the fact that the climatological winter rainfall
420 over central China is much less than that over south China (figure not shown). In
421 addition, the meteorological backgrounds of south China and central China are different,
422 baroclinic over central China and barotropic over south China (Fig. 9 vs. 10), indicating
423 the importance of climatology background in impacting the spatial distribution of AC.
424 In addition, both the NAO and ENSO show significant correlations with AC over
425 northwest China (Fig. 4); however, the interannual variation (Fig. 2) and anomalies (Fig.
426 5) in AC over those regions are relatively small. Therefore, the AC variation over those
427 regions are not discussed.

428 Finally, the role of NAO and El Niño on the AC during boreal winter was
429 investigated based on GEOS-Chem simulations. The coordinated role of the NAO and

430 El Niño in affecting the distribution of AC over eastern China is highlighted by
431 comparing this effect with the solo role of the NAO. The result indicates that the
432 influence of meteorological factors impacting AC is complicated. Future work will
433 investigate the combined role of tropical and extratropical signals on seasonal AC to
434 better understand the variation across seasons and to determine the possible
435 contribution of natural variability to the current aerosol loading over China.
436

437 ***Author contribution***

438 J. F., J. L., and H. L. designed the research. J. F. and J. Z. performed the data
439 analysis and simulations. J. F. led the writing and prepared all figures. All the authors
440 discussed the results and commented on the manuscript.

441 ***Data availability***

442 The HadISST dataset is available online at
443 <http://www.metoffice.gov.uk/hadobs/hadisst/data/download.html>. The NCEP/NCAR
444 reanalyses is available at <http://www.esrl.noaa.gov/psd/data/gridded/>. The model
445 output used in the figures of this study is available at Zenodo
446 (<https://doi.org/10.5281/zenodo.3247326>).

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690 **Figure Captions:**

691 **Figure 1.** (a) The time series of the Niño3 index based on the GEOS-4 input skin
692 temperature data for 1986-2006 ($^{\circ}\text{C}$). (b) is similar to (a) but is based on the
693 HadISST. (c) The time series of the NAO index based on the GEOS-4 input sea
694 level pressure. (d) is similar to (c) but is based on the NCEP/NCAR reanalysis.

695 **Figure 2.** The standard deviation of the simulated (a) surface layer $\text{PM}_{2.5}$ concentrations
696 ($\mu\text{g}\cdot\text{m}^{-3}$) and (b) column burdens of $\text{PM}_{2.5}$ ($\text{mg}\cdot\text{m}^{-2}$) during boreal winter averaged
697 from 1986 to 2006. (c) The horizontal distribution of boreal winter climatological
698 mean wind at 850 hPa ($\text{m}\cdot\text{s}^{-1}$), shaded indicates the Tibetan Plateau.

699 **Figure 3.** (a) The spatial distribution of the correlation coefficients between surface
700 layer $\text{PM}_{2.5}$ concentrations and the Niño3 index. (b) As in (a), but for the
701 correlations with the NAOI. Color shading indicates a significant correlation at the
702 0.1 level (0.37 is the critical value for significance at the 0.1 level).

703 **Figure 4.** Spatial distribution of the correlation coefficients between (a) positive and (b)
704 negative Niño3 index values and surface-layer $\text{PM}_{2.5}$ concentrations. (c)-(d) as in
705 (a)-(b), but for the NAOI. Color shading indicates a significant correlation, (0.35
706 and 0.45 are the critical value for significance at the 0.2 and 0.1 level, respectively).

707 **Figure 5.** The spatial distribution of the simulated anomalous (left panel) surface layer
708 $\text{PM}_{2.5}$ concentrations ($\mu\text{g}\cdot\text{m}^{-3}$) and (right panel) column burdens of $\text{PM}_{2.5}$ ($\text{mg}\cdot\text{m}^{-2}$)
709 during the boreal winters of 1997 (upper) and 2002 (below).

710 **Figure 6.** The pressure–latitude distribution of zonally averaged $\text{PM}_{2.5}$ anomalies over
711 105° – 120°E during the winters of (a)1997 and 2002 ($\mu\text{g}\cdot\text{m}^{-3}$).

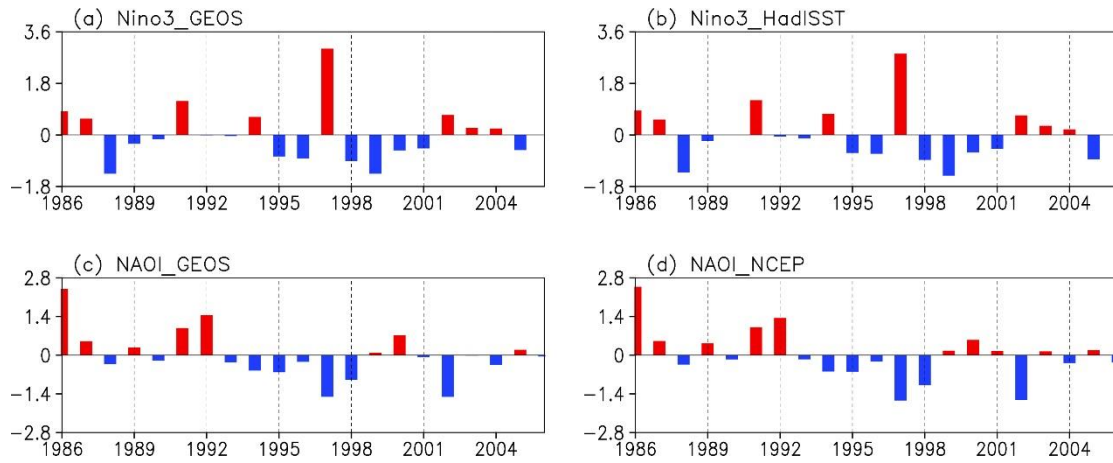
712 **Figure 7.** The horizontal distribution of surface wind ($\text{m}\cdot\text{s}^{-1}$) and surface level pressure
713 (hPa) based on the assimilated meteorological data during the autumns of (a) 1997
714 and (b) 2002.

715 **Figure 8.** The horizontal distribution of skin temperature anomalies ($^{\circ}\text{C}$) based on the
716 assimilated meteorological data during the (a) autumn and (b) winter of 1997. (c)-
717 (d) As in (a)-(b), but during 2002.

718 **Figure 9.** Horizontal distribution of the divergence (10^{-5}s^{-1}) at 300 hPa during the
719 winters of (a) 1997 and (b) 2002. The crosses denote the centers of action of the
720 AEA pattern.

721 **Figure 10.** Horizontal distribution of 850 hPa wind anomalies (vectors; $\text{m}\text{ s}^{-1}$) and
722 divergence (shading; 10^{-5}s^{-1}) at 700 hPa during the winters of (a) 1997 and (b)
723 2002.

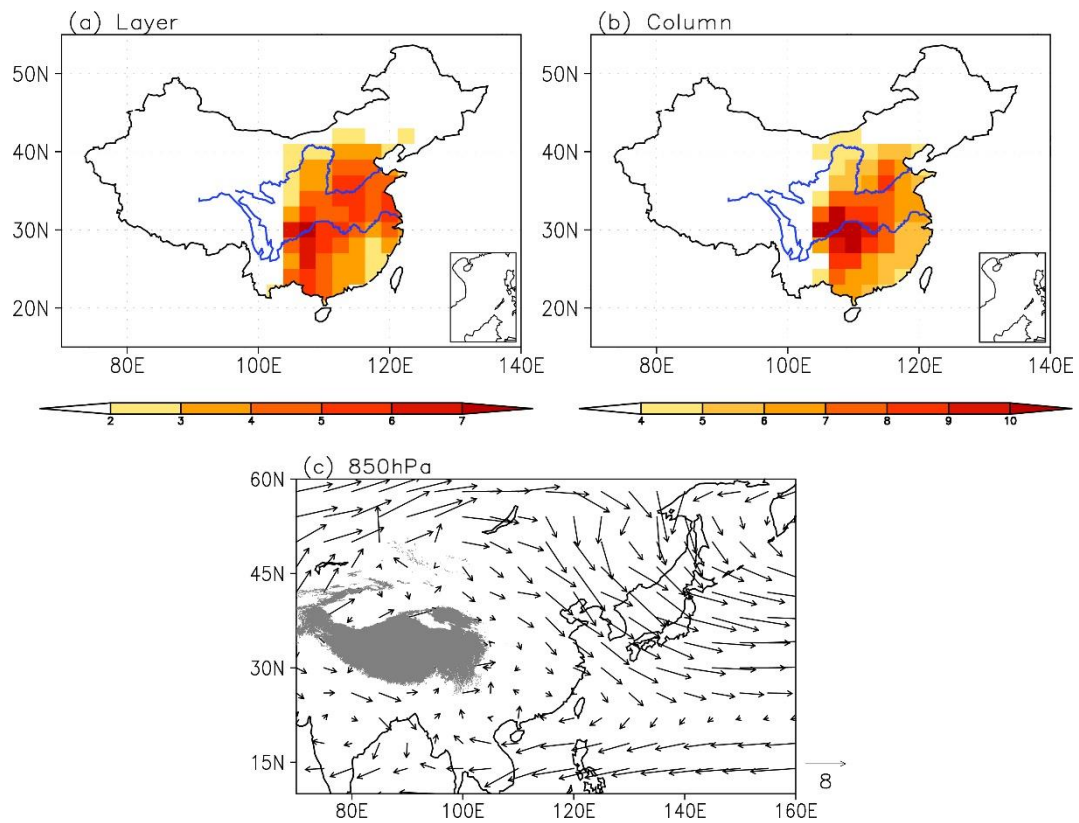
724 **Figure 11.** The spatial distribution of the vertically integrated wet deposition flux
725 anomalies during the winters of (a) 1997 and (b) 2002. (c)-(d), As in (a)-(b), but
726 for the anomalous distribution of aerosol concentrations when the wet deposit is
727 turned off.
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730 **Figure 1.** (a) The time series of the Niño3 index based on the GEOS-4 input skin
 731 temperature data for 1986-2006 (°C). (b) is similar to (a) but is based on the HadISST.
 732 (c) The time series of the NAO index based on the GEOS-4 input sea level pressure. (d)
 733 is similar to (c) but is based on the NCEP/NCAR reanalysis.

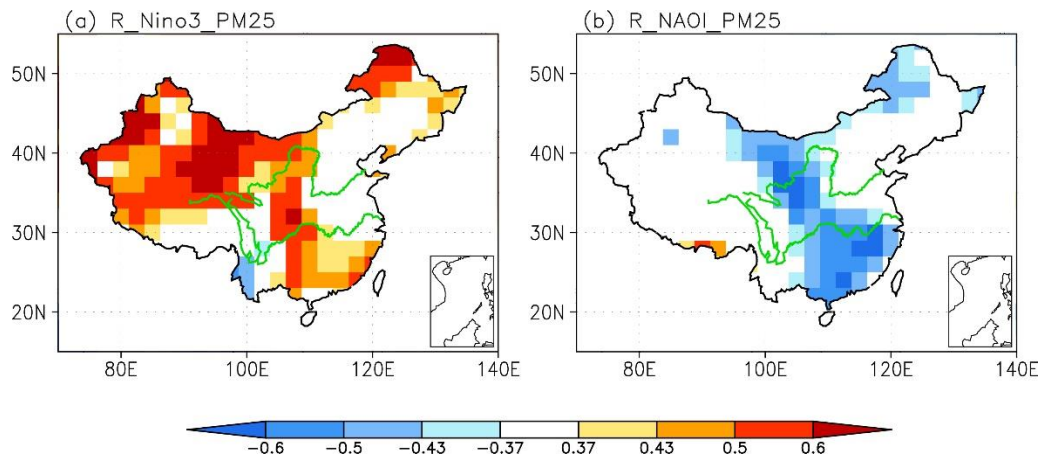
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736 **Figure 2.** The standard deviation of the simulated (a) surface layer PM_{2.5} concentrations
 737 ($\mu\text{g}\cdot\text{m}^{-3}$) and (b) column burdens of PM_{2.5} ($\text{mg}\cdot\text{m}^{-2}$) during boreal winter averaged from
 738 1986 to 2006. (c) The horizontal distribution of boreal winter climatological mean wind
 739 at 850 hPa ($\text{m}\cdot\text{s}^{-1}$), shaded indicates the Tibetan Plateau.

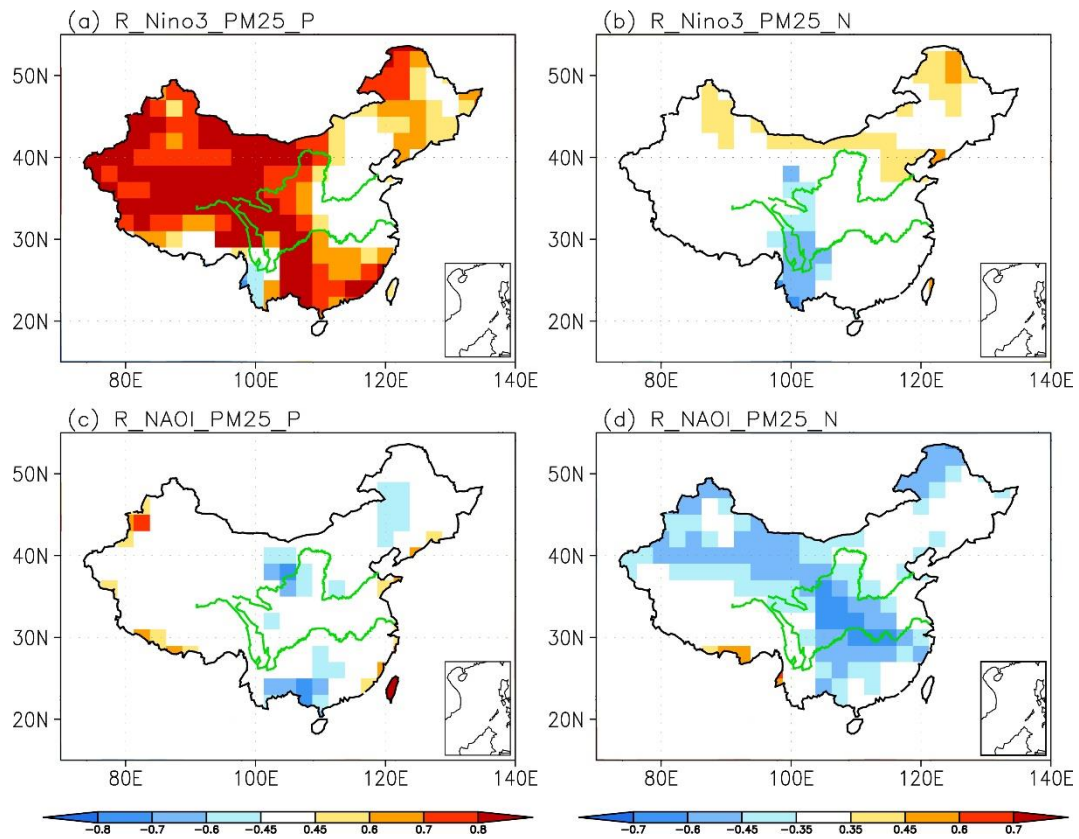
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742 **Figure 3.** (a) The spatial distribution of the correlation coefficients between surface
 743 layer PM_{2.5} concentrations and the Niño3 index. (b) As in (a), but for the correlations
 744 with the NAOI. Color shading indicates a significant correlation at the 0.1 level (0.37
 745 is the critical value for significance at the 0.1 level).

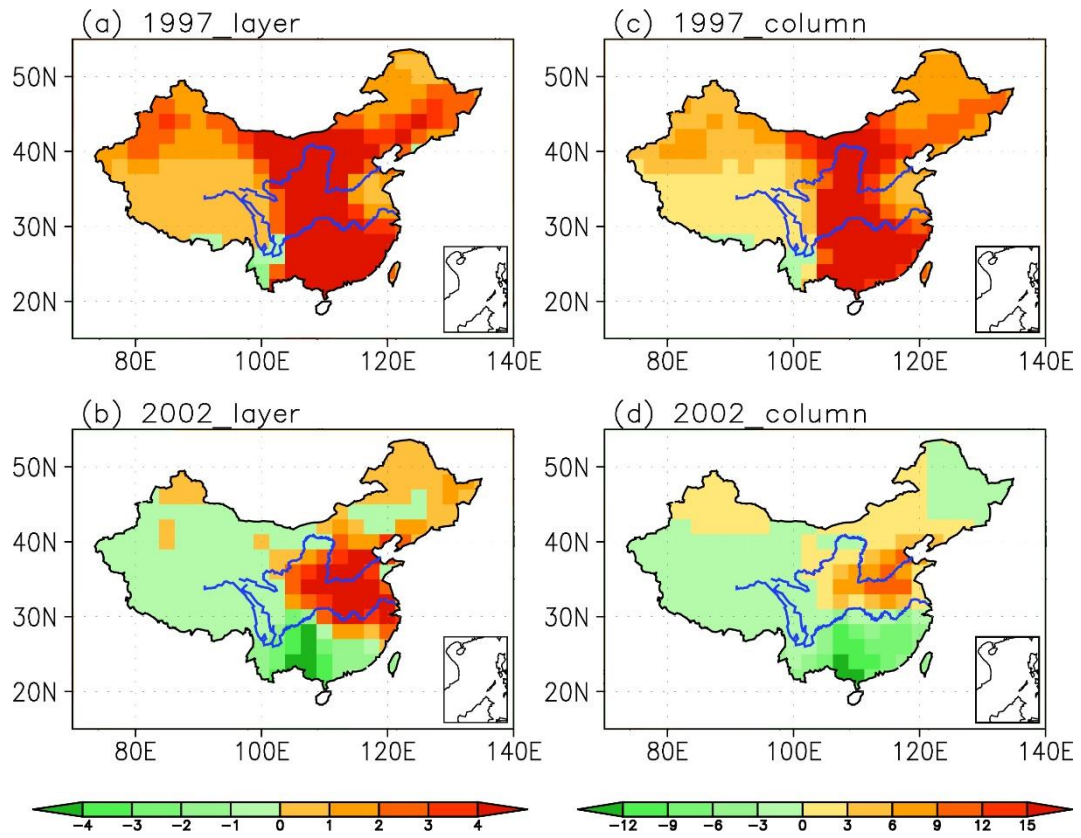
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748 **Figure 4.** Spatial distribution of the correlation coefficients between (a) positive and (b)
 749 negative Niño3 index values and surface-layer PM_{2.5} concentrations. (c)-(d) as in (a)-
 750 (b), but for the NAOI. Color shading indicates a significant correlation, (0.35 and 0.45
 751 are the critical value for significance at the 0.2 and 0.1 level, respectively).

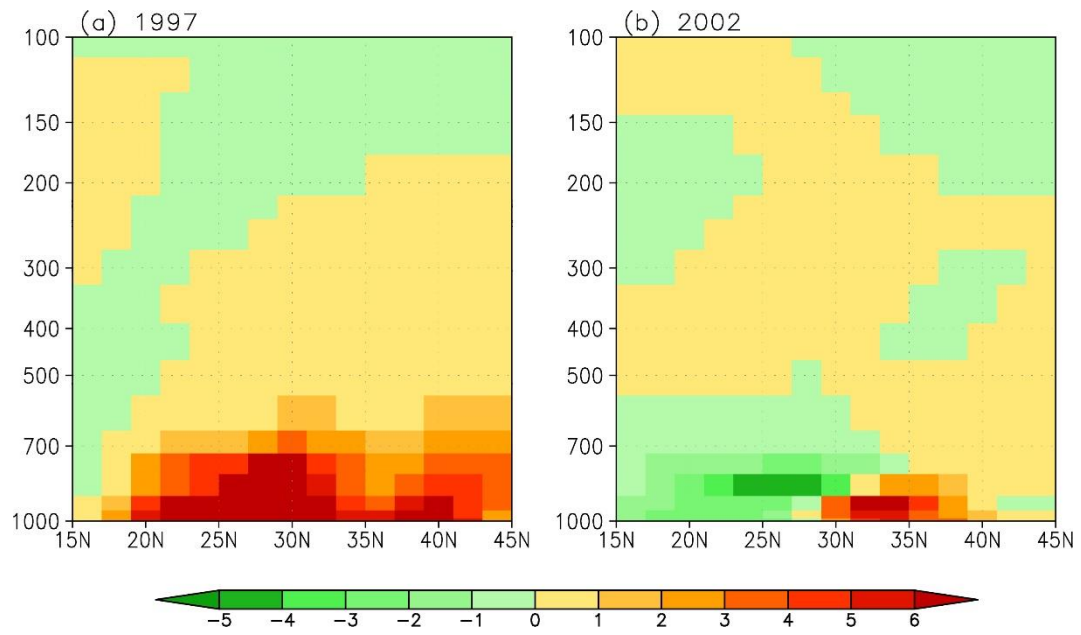
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754 **Figure 5.** The spatial distribution of the simulated (left panel) anomalous surface layer
 755 $\text{PM}_{2.5}$ concentrations ($\mu\text{g}\cdot\text{m}^{-3}$) and (right panel) column burdens of $\text{PM}_{2.5}$ ($\text{mg}\cdot\text{m}^{-2}$)
 756 during the boreal winters of 1997 (upper) and 2002 (below).

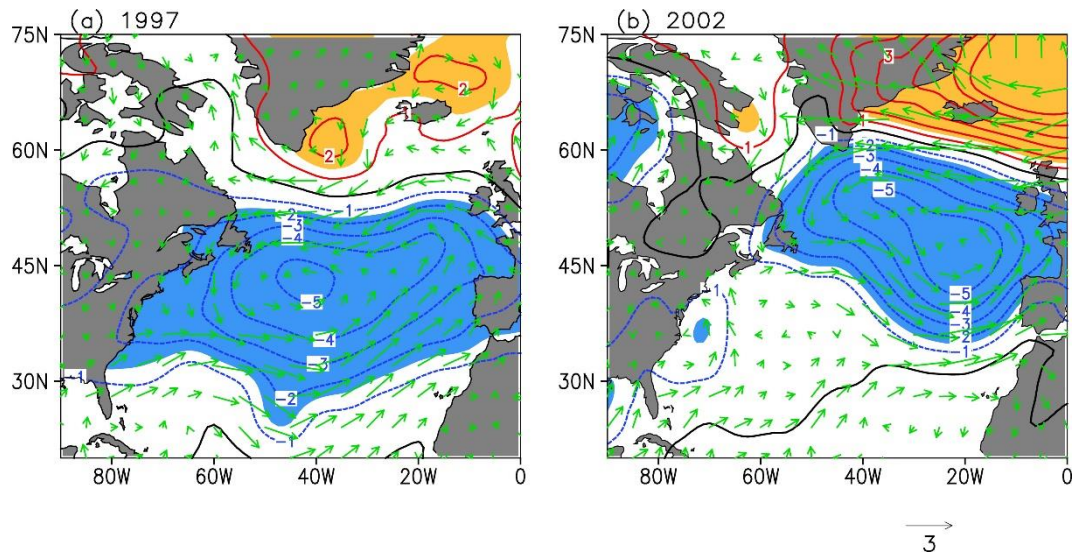
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759 **Figure 6.** The pressure–latitude distribution of zonally averaged PM_{2.5} anomalies over
 760 105°–120°E during the winters of (a)1997 and 2002 ($\mu\text{g}\cdot\text{m}^{-3}$).

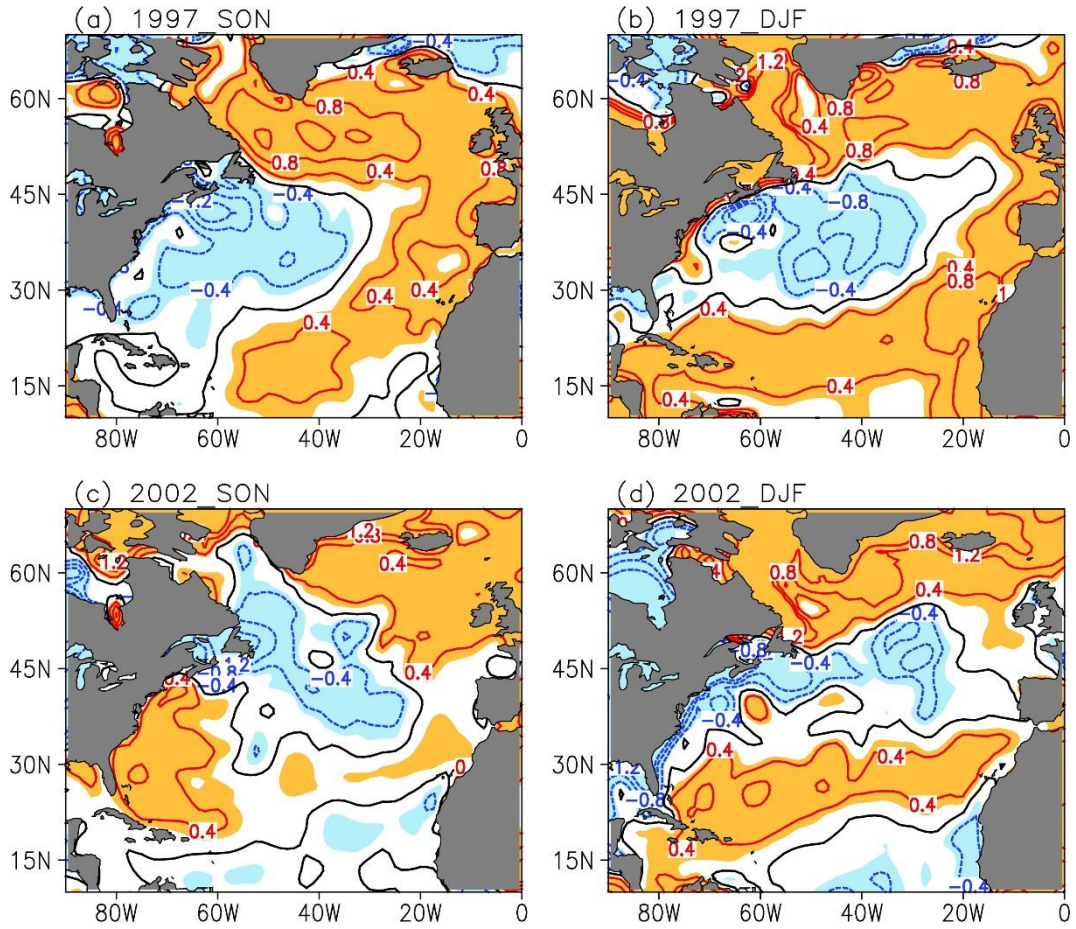
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763 **Figure 7.** The horizontal distribution of surface wind ($\text{m}\cdot\text{s}^{-1}$) and surface level pressure
 764 (hPa) based on the assimilated meteorological data during the autumns of (a) 1997 and
 765 (b) 2002.

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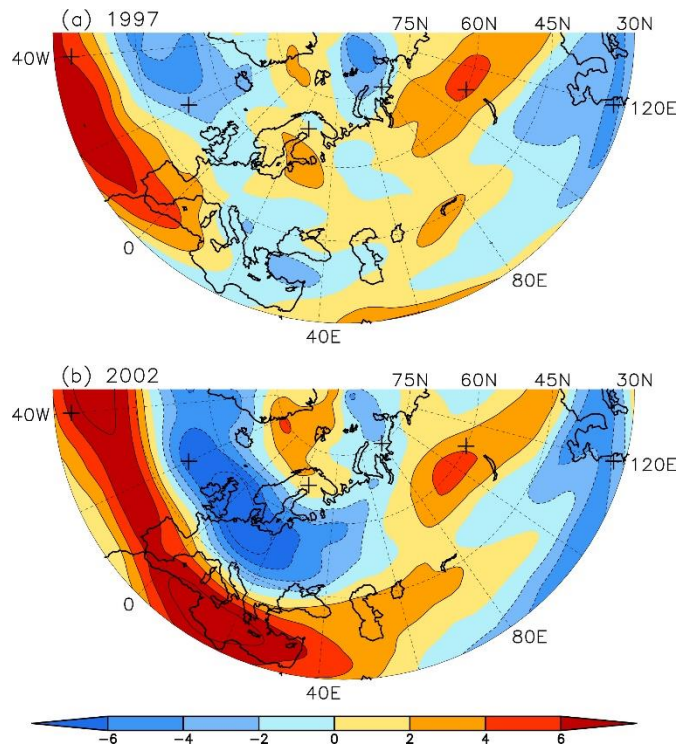
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768 **Figure 8.** The horizontal distribution of skin temperature anomalies ($^{\circ}\text{C}$) based on the

769 assimilated meteorological data during the (a) autumn and (b) winter of 1997. (c)-(d)

770 As in (a)-(b), but during 2002.

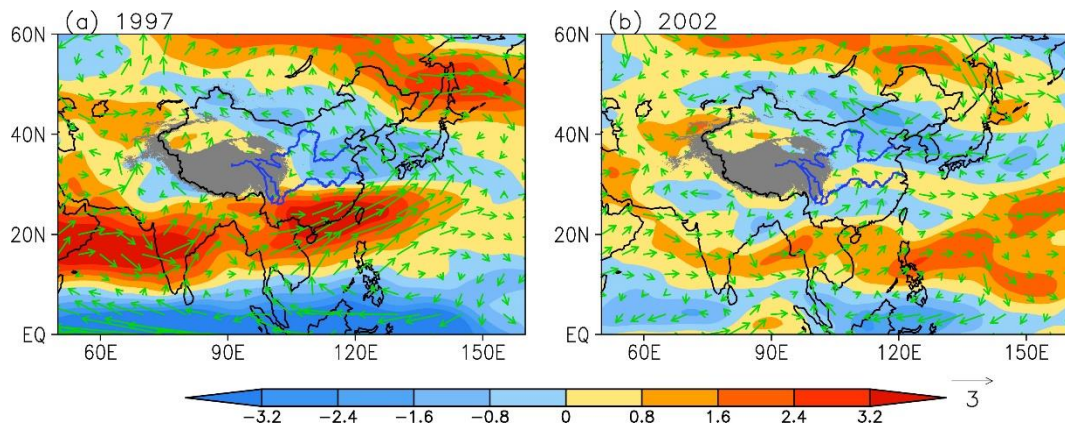
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773 **Figure 9.** Horizontal distribution of the divergence (10^{-5}s^{-1}) at 300 hPa during the
 774 winters of (a) 1997 and (b) 2002. The crosses denote the centers of action of the AEA
 775 pattern.

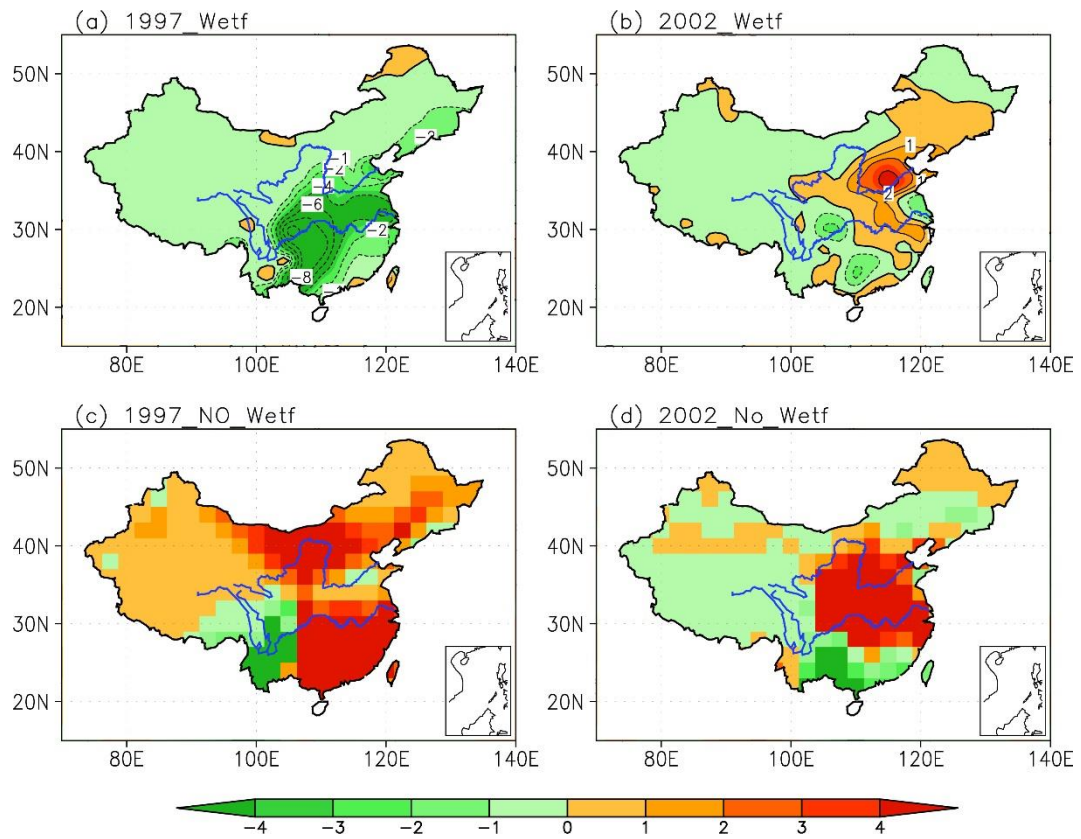
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778 **Figure 10.** Horizontal distribution of 850 hPa wind anomalies (vectors; m s^{-1}) and
 779 divergence (shading; 10^{-5}s^{-1}) at 700 hPa during the winters of (a) 1997 and (b) 2002.

780



781

782 **Figure 11.** The spatial distribution of the vertically integrated wet deposition flux
 783 anomalies during the winters of (a) 1997 and (b) 2002. (c)-(d), As in (a)-(b), but for the
 784 anomalous distribution of aerosol concentrations when the wet deposit is turned off.