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2	Simulated coordinated impacts of the previous autumn NAO
3	and winter El Niño on the winter aerosol concentrations over
4	eastern China
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# Abstract

The high aerosol concentrations (AC) over eastern China have attracted attention from 26 27 both science and society. Based on the simulations of a chemical transport model using a fixed emissions level, the possible role of the previous autumn North Atlantic 28 Oscillation (NAO) combined with the simultaneous El Niño-South Oscillation (ENSO) 29 on the boreal winter AC over eastern China is investigated. We find that the NAO only 30 manifests its negative impacts on the AC during its negative phase over central China, 31 and a significant positive influence on the distribution of AC is observed over south 32 33 China only during the warm events of ENSO. The impact of the previous NAO on the 34 AC occurs via an anomalous sea surface temperature tripole pattern by which a teleconnection wave train is induced that results in anomalous convergence over central 35 36 China. In contrast, the occurrence of ENSO events may induce an anomalous shift in the western Pacific subtropical high and result in anomalous southwesterlies over south 37 China. The anomalous circulations associated with a negative NAO and El Niño are not 38 favorable for the transport of AC and correspond to worsening air conditions over 39 central and south China. The results highlight that the combined effects of tropical and 40 41 extratropical systems play considerable role in affecting the boreal winter AC over 42 eastern China.

### 44 **1. Introduction**

Atmospheric particles (i.e., aerosols) are the key pollutants that exhibit an 45 important adverse impact on human health, environmental pollution, global climate 46 change, and atmospheric visibility (IPCC, 2013). Aerosol particles may alter the 47 precipitation rates and optical properties of clouds (Hansen et al., 1997), impacting the 48 radiation balance of the entire Earth-atmosphere system via absorbing and scattering 49 solar radiation (Jiang et al., 2017; Yue and Unger, 2017). A better understanding of 50 aerosol variations is therefore important and useful for scientific and social endeavors. 51 The meteorology parameters, i.e., atmospheric temperature (Aw and Kleeman, 52 53 2003; Liao et al., 2015), boundary layer (Kleeman, 2008; Yang et al., 2016), wind (Zhu et al., 2012; Yang et al., 2014, 2017; Feng et al., 2017), and humidity (Ding and Liu, 54 2014), show a non-negligible impact on the regional aerosol concentrations (AC) via 55 affecting the deposition and transportation processions. Moreover, the intraseasonal and 56 57 interannual variations in climatic phenomena could affect both the spatial and temporal accumulation and distribution of AC due to the associated variations in the circulation 58 59 and rainfall anomalies. For example, the monsoon onset could affect the seasonal variations in regional AC (Tan et al., 1998; Chen and Yang, 2008). The interannual 60 variation of AC over East Asia is connected with the interannual variation of East Asian 61 winter monsoon (Jeong and Park, 2016; Lou et al., 2016, 2018; Mao et al., 2017) and 62 summer monsoon (EASM; Zhang et al., 2010; Zhu et al., 2012). The seasonal evolution 63 of the El Niño-South Oscillation (ENSO) impacts the seasonal variations of AC over 64 northern and southern China (Liu et al., 2013; Feng et al., 2016a, 2017). The AC 65

variation in the US is influenced by the Pacific Decadal Oscillation (Singh and
Palazoglu, 2012). These findings suggest that the role of climate systems in impacting
the regional air quality cannot be ignored.

The North Atlantic Oscillation (NAO), reflecting large scale fluctuations in 69 pressure between the subpolar low and subtropical high, is one of the most determinant 70 and influential climate variability modes in the extratropical Atlantic Ocean, (e.g., 71 Hurrell, 1995; Gong et al., 2001; Visbeck et al., 2001). A negative (positive) polarity of 72 the NAO is reflected by positive (negative) pressure anomalies over the high latitudes 73 of the North Atlantic and negative (positive) pressure anomalies over the central North 74 Atlantic. Both the positive and negative phases of NAO are accompanied with large 75 scale modulations in the location and intensity of the North Atlantic jet stream and 76 storm track (Gong et al., 2001; Li and Wang, 2003). The surface layer wind would vary 77 associated with changes in the jet stream because of the NAO's quasi-barotropic 78 characteristic, resulting in varied Ekman heat transport and basin-wide variations in the 79 underlying sea surface temperatures (SST; Marshall et al., 2001; Wu et al., 2009; Wu 80 81 and Wu, 2018).

The NAO massively impacts the temperature and precipitation patterns over the US and central Europe, i.e., a wet and warm winter in Europe, and mild and wet winter conditions would be expected accompanied with a positive NAO phase. Moreover, the NAO exhibits significant cross-seasonal impacts on the downstream regional climate. For example, it is reported that variation in boreal spring NAO influenced the subsequent intensity of the EASM from 1979-2006 (Wu et al., 2009). The linkage

88	between the EASM and NAO has been further explored but on the interdecadal scale
89	(Wu and Lin, 2012; Wu et al., 2012; Zuo et al., 2013), and it is suggested that the
90	preceding spring NAO dominated the relationship of the NAO-EASM more than the
91	simultaneous summer NAO, similar result is seen in Zheng et al. (2016). Xu et al. (2013)
92	presented that the previous boreal summer NAO significantly influenced the following
93	September rainfall over central China. These studies highlight the important role of the
94	NAO signal on the climate in East Asia, especially the cross-seasonal impacts, which
95	are beneficial for seasonal forecasting.

96 In addition to the influence of the extratropics, the impact originating from the tropics is another important driver of the climate anomalies in China. As the most 97 dominant interannual variability of the tropical air-sea coupled system, the El Niño-98 99 Southern Oscillation (ENSO) exhibits profound influences on the weather and climate around the world (e.g., Ropelewski and Halpert, 1987; Harrison and Larkin, 1998). The 100 occurrence of ENSO phenomenon displays significant effects in impacting the global 101 and regional oceanic and atmospheric anomalous patterns (e.g., Rasmusson and 102 Carpenter, 1982; Trenberth, 1997). The seasonal climate variation in China is closely 103 linked with the evolution of ENSO events. For example, increased rainfall is expected 104 105 to be found over the Huai-he and Yangtze River valley, whereas less rainfall is seen over northern and southern China during the decaying summer of an El Niño event 106 (Zhang et al., 1996, 1999; Ye and Wu, 2018). During the developing autumn of an El 107 Niño event, enhanced rainfall would be expected over southern China due to the 108 associated anomalous shift in the western Pacific subtropical high (WPSH). However, 109

without significant influence during the developing summer (Feng et al., 2016b).
During the mature winter, both the warm and cold events show significant impacts on
the temperature and rainfall anomalies over eastern China (Weng et al., 2009; Wu et al.,

113 2011; Wu and Zhang, 2015; Li et al., 2019; Zhang et al., 2019a, 2019b).

As shown above, both the NAO and ENSO significantly impact the climate over 114 China. China now suffering from relatively high aerosol loading, and this is commonly 115 ascribed to the increased emissions connected with the speedy economic growth. 116 However, as discussed above that the role of meteorological conditions in affecting the 117 118 AC cannot be ignored. Accordingly, it is of interest to explore the possible impacts of the NAO and ENSO on the distributions of AC over China. The possible impacts of the 119 NAO on the aerosol has been discussed by Moulin et al. (1997) and Jerez et al. (2013); 120 however, they concentrated on its influences on the North Atlantic Ocean and Europe, 121 respectively. Feng et al. (2016a) indicated the potential effects of El Niño on the AC 122 over China, but with a focus on the seasonal evolution. Therefore, does the NAO exhibit 123 124 significant impacts on the AC, and how the combination of the NAO and ENSO affect the distribution of AC over China, as both of them show important modulation of the 125 126 climate over China.

The above discussions provide the main motivation of the present work. The conditions in boreal winter are discussed in the present work, as this time is corresponding to the heat supply season and the AC over China peak during this season. The coordinated role of the previous autumn (September to November, SON) NAO and the simultaneous ENSO is compared to that of the NAO alone, and also as well as the involved physical mechanisms. The rest of this paper is arranged as follows. Model,
datasets, and methodology employed are presented in Section 2. The possible impacts
of the NAO and ENSO on the AC are explored in Section 3. Section 4 discusses the
involved physical mechanism. Section 5 provides the discussion and conclusions.

### 136 **2.** Datasets, simulations, and methodology

#### 137 **2.1 Datasets**

The input background meteorological variables of the GEOS-Chem model show 138 high degree of uniformity with the current widely used reanalyses (e.g., Zhu et al., 2012; 139 Yang et al., 2014). Here, the SLP in the National Centers for Environmental 140 Prediction/National Center for Atmospheric Research (NCEP/NCAR) reanalysis 141 (Kalnay et al., 1996) with a 2.5° latitude  $\times$  2.5° longitude resolution, and the UK 142 Meteorological Office Hadley Centre's sea ice and SST datasets (HadISST; Rayner et 143 144 al., 2003) with a 1° latitude  $\times$  1° longitude resolution are used to verify the reliability of the Goddard Earth Observing System, Version 4 (GEOS-4). 145

#### 146 **2.2 GEOS-Chem simulations**

The influences of the NAO on the simulated AC over China are examined using a three-dimensional tropospheric chemistry model, i.e., GEOS-Chem (version 8.02.01; Bey et al., 2001). The model is driven by assimilated meteorological fields from the GEOS-4 of the NASA Global Modeling and Assimilation Office, with a 2° latitude × 2.5° longitude resolution, and 30 hybrid vertical levels. This model contains a detailed coupled treatment of tropospheric ozone-NOx-hydrocarbon chemistry, as well as aerosols and their precursors, containing nitrate, black carbon, sulfate, sea salt,
ammonium, mineral dust, dust aerosols, and organic carbon (Bey et al., 2001; Liao et
al., 2007). The aerosol dry and wet depositions follow Wesely (1989) and Liu et al.
(2001), with details in Wang et al. (1998). According to Liao et al. (2007), the AC were
defined as PM2.5 as follows,

158 
$$[PM_{2.5}] = 1.37 \times [SO_4^{2-}] + 1.29 \times [NO_3^{-}] + [POA] + [BC] + [SOA]$$
(1)

SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup>, POA, BC, and SOA are the aerosols particles of sulfate, nitrate, primary organic aerosol, black carbon, and second organic aerosol, respectively. The sea salt aerosols and mineral dust are not considered for that measurements indicate that they are not the major aerosol species in the eastern China during winter (Xuan et al., 2000; Duan et al., 2006).

The anthropogenic emissions in the GEOS-Chem and experiment design are 164 165 similar to Zhu et al. (2012), in which the biomass burning emissions and anthropogenic emissions are fixed at year 2005 level in the simulation. That is the observed variations 166 in the distributions of AC as seen below was due to the variations in meteorological 167 conditions associated with climate events. Due to the longevity of the GEOS-4 datasets, 168 the period 1986-2006 is focused on. GEOS-Chem is a well-recognized atmospheric 169 chemistry model and is widely utilized due to its capability to well characterize the 170 seasonal, interannual, and decadal variations of pollutant aerosols in the East Asia and 171 beyond (e.g., Zhu et al., 2012; Yang et al., 2014, 2016; Feng et al., 2017). The well 172 performance and wide application of GEOS-Chem provide confidence for employing 173

the model to investigate the coordinated impacts of NAO and El Niño on the AC overeastern China.

176 **2.3 NAO index and Niño3 index** 

The NAO index (NAOI) is employed to quantify the variations in the NAO phase (Hurrel et al., 1995; Gong and Wang, 2001). The definition of the NAOI follows Li and Wang (2003) and is calculated as the zonal mean SLP difference between 35°N (i.e., refers to the mid-latitude center) and 65°N (i.e., refers to the high latitude center) from 80°W to 30°E over the North Atlantic by

182 
$$NAOI = \hat{P}_{35^{\circ}N} - \hat{P}_{65^{\circ}N}$$
 (2)

183 where *P* is the monthly mean SLP averaged from 80°W to 30°E,  $\hat{P}$  is the normalized 184 value of *P*, and the subscripts indicate latitudes. For a given month *m* in year *n*, the 185 normalization  $\hat{P}$  is defined as follows

186 
$$\hat{P}_{n,m} = \frac{P'_{n,m}}{S_P}$$
(3)

187 where  $P'_{n,m}$  is the monthly pressure anomaly of  $P_{n,m}$ , departure from period 1986-188 2006, and  $S_P$  is the total standard deviation of the monthly anomaly  $P'_{n,m}$ ,

189 
$$S_P = \sqrt{\frac{1}{12 \times 21} \sum_{i=1986}^{2006} \sum_{j=1}^{12} P_{j,i}^{\prime 2}}$$
(4)

190 The monthly NAOI is calculated based on the monthly mean SLP from both the 191 NCEP/NCAR and GEOS-4 assimilated meteorological dataset for 1986-2006. The 192 boreal autumn NAOI is defined as the average of the monthly NAOI during September, 193 October, and November (Fig. 1). The series of NAOI show strong interannual variations,

194	and the two series based on GEOS-4 and NCEP/NCAR are closely correlated with each
195	other with a significant coefficient of 0.98, implying the GEOS-4 dataset could capture
196	the variation in the NAO.

El Niño events were defined as standardized 3-month running mean Niño3 index 197 (areal mean SST averaged over 150°-90°W, 5°N-5°S) above 0.5°C and persisting for at 198 least 6 months. The skin temperature (i.e., SST over ocean and surface air temperature 199 on land) was employed to obtain the Niño3 index for that SST is not available in the 200 GEOS-4 meteorological dataset. The boreal winter Niño3 index is calculated as the 201 202 average of the monthly Niño3 during December, January, and February, i.e., winter 203 1997 is for the December 1997 and January and February 1998. The boreal winter Niño3 indices based on the GEOS-4 and HadISST are significantly correlated with each 204 205 other, (Fig. 1), with a coefficient of 0.99. The high correlations among the indices further indicate the reliability of the model data. 206

## **3. Influences of the NAO and El Niño on the AC over China**

#### 208 **3.1** Climatological Characteristics of the AC

The spatial distribution of the standard deviation of boreal winter AC is shown in Fig. 2. Eastern China (105°E eastward, 35°N southward) shows high loading of aerosols in both the column and surface layer concentrations (figure not shown). Further, the variance of winter AC over eastern China is most pronounced compared to other regions during this season (Fig. 2a, b). As an evident monsoonal region, eastern Asia is influenced by winter monsoon, i.e., a strong Aleutian low is seen in the north Pacific, and the Asian continent is controlled by the Siberian high during boreal winter. The
strong pressure gradient between the Siberian high and Aleutian low results in strong
northwesterlies prevailing over eastern China (Fig. 2c).

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## 3.2 Relationships between the AC & NAO and El Niño

The spatial distribution between the surface AC and previous autumn NAOI and 219 220 simultaneous winter Niño3 index are presented in Fig. 3. Positive correlations are seen over south (30°N south) and northwest China in the correlations with the Niño3 index, 221 indicating that a warm ENSO event would associate with high AC over south and 222 northwest China. In contrast, negative correlations over south and central China are 223 observed in the correlations with autumn NAO, implying a positive NAO phase is 224 linked with less AC over these regions, thus favoring better air conditions. The analysis 225 226 suggests that the ENSO and NAO show opposite effects on AC over south China, i.e., the NAO displays a negative impact and the ENSO displays a positive impact. However, 227 the relationship between the autumn NAOI and winter Niño3 index is insignificant with 228 229 a correlation of -0.08 during period 1986-2006.

The above relationships are further examined in their positive and negative phases, as strong asymmetry was reported in the climatic impacts of the NAO (Xu et al., 2013; Zhang et al., 2015) and ENSO (Cai and Cowan, 2009; Karior et al., 2013; Feng et al., 2016b). The asymmetric influences of the NAO and ENSO on AC are obvious in the spatial distributions of the linear correlation coefficients (Fig. 4). During the El Niño events, south China is impacted by significant positive correlations, in contrast, a non-

significant correlation is observed over this region during the La Niña events. This point 236 implies the significant relationships between the ENSO and AC over south China are 237 238 mainly connected with warm events, i.e., El Niño. The negative correlations between the NAO and AC mainly occurred in the negative phase of the NAO, and the significant 239 correlations are mainly located in central China (lie from 28°N to 40°N). Thus, the 240 ENSO affects the distribution of AC in south China, but the impact is manifested during 241 warm events. Similarly, the effect of the NAO on the distribution of AC over central 242 243 China is only apparent during its negative phase.

244 The results suggest that if the occurrence of a negative polarity of NAO overlaps with an El Niño event, the combined effects of the two may further worsen the AC over 245 eastern China. In contrast, a solo occurrence of a negative NAO event is associated with 246 above-normal AC over central China. The statistic significant impacts of the negative 247 NAO and El Niño events on the AC could be further established by case study. Two 248 cases, i.e., the co-occurrence of an El Niño event and a negative NAO, and a solo 249 250 negative NAO event, were chosen to further explore the effect of the NAO and El Niño on the AC over China. From 1986-2006, there are two years (1997 and 2002) with 251 252 equivalent negative values of autumn NAOI (-1.507 in 1997, and -1.510 in 2002). Winter 1997 corresponds with the strongest El Niño in the past 120 years and winter 253 2002 corresponds with a neutral ENSO event. Consequently, the anomalous distribution 254 of AC during these two years are discussed in the context of comparing the combined 255 and solo effects of a negative NAO and El Niño in impacting the distribution of AC 256 over eastern China. 257

#### 3.3 Influences of the NAO & El Niño vs. the NAO on the AC

Figure 5 presents the layer and column AC anomalies simulated for the winters of 259 260 1997 and 2002 departure from the climatological mean. Under the combined influence of a negative NAO and El Niño (1997), positive aerosol concentration anomalies are 261 observed over eastern China (Fig. 5a, c). In addition, simulated enhanced AC were 262 observed over central China in winter 2002 under the impacts of a negative NAO (Fig. 263 5b, d). These characteristics are also apparent in the vertical distribution (Fig. 6), which 264 shows the zonal mean anomalies averaged over eastern China (105°–120°E). For winter 265 266 1997, increased AC cover the whole eastern China, with maximum values approximately 30°N, where the effects of the NAO and El Niño overlap (Figs. 4a, d). 267 The combined effects of the anomalies show a consistent distribution in the vertical 268 levels (Fig. 6). In contrast, evident increased AC anomalies are seen in central China, 269 with the maximum at approximately 32°N during winter 2002. 270

The consistent results between the correlations and anomalies during the two cases highlight the role of the negative NAO and El Niño events in determining the distribution of AC over eastern China. The NAO shows a significant influence on the central China AC that are only apparent during its negative phase, and the ENSO impacts the AC over south China mainly during warm events.

## 4. Mechanisms of the effects of the NAO and El Niño on the AC

#### 277 **4.1 Role of circulation transport**

The corresponding reverse role of the NAO and El Niño in impacting the 278 distribution of AC is mainly derived from their contrasting effects on circulation. Figure 279 7 shows the SLP and surface wind anomalies during the autumns of 1997 and 2002, 280 presenting an anomalously weak autumn NAO pattern. The negative phase of the NAO 281 displays as an anomalous SLP dipole structure between the middle latitude North 282 Atlantic Ocean and Arctic, i.e., with positive SLP anomalies at the Arctic over the 283 Atlantic sector, and anomalous negative SLP at middle latitude. Although the locations 284 of the anomalous pressure centers in the two negative NAO events show difference, the 285 286 anomalous SLP amplitude in the two events are similar, i.e., with greater negative SLP anomalies at mid-latitudes, indicating that the pressure gradient of the two NAO 287 negative events is similar. The oscillation in the SLP is connected with anomalies in the 288 289 surface wind across the North Atlantic, i.e., associated with an anomalous cyclonic centered approximately 45°N and anti-cyclonic circulation anomalies around Iceland. 290 During boreal winter and spring, an anomalous NAO could result in a tripole SST 291 292 anomalous pattern in the North Atlantic Ocean (Watanabe et al., 1999). A similar SST tripole pattern is observed during boreal autumn, with warm SST anomalies at high and 293 low latitudes, and negative SST anomalies at middle latitudes in the North Atlantic 294 sector (Fig. 8a, c). Note that the negative SST anomalies during 1997 displays an east-295 west direction but originated from a northwest-southeast direction during 2002 due to 296 the different locations of anomalous SLP (Fig. 7). 297

The North Atlantic anomalous SST tripole pattern is due to the feedback between
wind-SST, i.e., the anomalous anti-cyclonic (cyclonic) circulation weaken (strengthens)

the prevailing westerlies, which would result in decreased (increased) loss of heat and 300 warmer (cooler) anomalies in Ekman heat transport (Xie, 2004; Wu et al., 2009), and 301 is connected to warmer (cooler) local SST. Due to the short memory of the atmosphere, 302 the cross-seasonal influences of the NAO on the AC should be preserved in the 303 boundary layer forcing such as SST (Charney and Shukla, 1981). This anomalous 304 tripole SST pattern could persist to the following winter (Fig. 8b, d), as the anomalous 305 tripole SST pattern during winter and autumn show high consistencies in both 1997 and 306 2002, with significant spatial correlation coefficients of 0.32 and 0.51 between the 307 308 autumn and winter tripole SST patterns for 1997 and 2002, respectively.

309 Figure 9 shows the anomalous divergence at the upper troposphere. The occurrence of a negative NAO phase is accompanied by an anomalous teleconnection 310 wave train over northern Eurasia (AEA) in the upper troposphere during boreal summer 311 (Li and Ruan, 2018). This anomalous teleconnection pattern is also observed during 312 boreal winter, with a shift in the precise locations. Under the influence of the anomalous 313 314 downstream teleconnection, north China is influenced by convergence anomalies, with the center positioned over central China (Fig. 9). The anomalous convergence is clearly 315 seen in both the upper and lower troposphere, accompanied by anomalous easterlies or 316 317 southeasterlies over central China (Fig. 10). The direction of the anomalous wind is opposite to the climatological winds, which would weaken the climatological wind and 318 is unfavorable for the transport of aerosol concentration, leading to increased AC over 319 central China, as displayed in Fig. 5. 320

For the winter 1997, corresponding to the El Niño's mature phase, south China 321 was influenced by an evident anomalous divergence at the lower troposphere, 322 indicating anomalous anticyclonic circulation over the coastal regions (Fig. 10a). 323 Anomalous southwesterlies prevailed in south China, implying weakened northerlies. 324 That is the anomalous meteorological conditions are unfavorable for aerosols transport 325 in the region and would result in a worsen air quality. In contrast, for the winter 2002, 326 south China was controlled by an anomalous divergence for that the main body of the 327 WPSH shifts to the south of south China (Fig. 10b). The anomalous circulation was 328 329 favorable for the emission of pollutant. Moreover, an evident anomalous divergence was observed in south China in the winters of 1997 and 2002 at the upper troposphere; 330 however, the corresponding distribution of AC over this region is different. This 331 332 highlights the role of El Niño in impacting the circulation anomalies over south China, as mentioned above. The occurrence of El Niño events would be accompanied by a 333 northwest shift of the WPSH during boreal winter and enhanced southwesterlies over 334 south China (Weng et al., 2009). Besides, column AC are mainly contributed by 335 concentrations at lower troposphere, suggesting that the lower troposphere circulation 336 may play a vital role in impacting the AC over south China. 337

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#### 4.2 Role of wet deposit

In addition to the contribution of the circulation anomalies to the distribution of AC, changes in wet deposit also could affect distribution of AC. Figure 11 presents the simulated wet deposit anomalies during the winters of 1997 and 2002. Negative anomalies occurred over eastern China during the winter of 1997, favorable for

increased AC. This suggests the wet deposit plays a positive role in the enhanced AC 343 during winter 1997. Positive anomalies were observed over central China in the 2002 344 345 winter, inconsistent with the AC anomalies. The anomalous wet deposit during winter of 1997 is paralleling to the AC anomalies over eastern China; however, not consistent 346 with that for the winter of 2002. This suggests that role of wet deposit in impacting the 347 AC over eastern China exists uncertainties, showing strong regional dependence. The 348 impact of wet deposit on the AC was examined by a sensitive experiment by turning 349 off the wet deposition (Fig. 11c-d). A similar anomalous AC distribution was observed 350 351 as those shown in Fig. 5, confirming that the role of wet deposit in impacting the distribution of AC is not as important as the circulation. 352

#### **5.** Summary and Discussion

Using the simulations of GEOS-Chem model with fixed emissions, the 354 coordinated impacts of the previous autumn NAO and simultaneous ENSO on the 355 boreal winter AC over eastern China are investigated. The results present that both the 356 NAO and ENSO show asymmetry impacts on the boreal winter AC over eastern China, 357 i.e., the NAO manifests negative impacts over central China during its negative phase 358 and the ENSO positively impacts the AC over south China significantly during its warm 359 events. Consequently, the possible impacts of two cases were investigated to ascertain 360 the role of the NAO and ENSO on the distribution of AC over China. The winter 1997 361 had a co-occurrence of a negative NAO and an El Niño events, and winter 2002 362 corresponds to a negative NAO phase and neutral ENSO. For the winter 1997, obvious 363 enhanced AC were observed over eastern China, with a maximum approximately 30°N, 364

where the impacts of the NAO and El Niño overlap. For the winter 2002, there were
generally increased AC over central China. These results suggest that the co-occurrence
of a negative NAO and El Niño would worsen the air conditions over eastern China,
and a solo negative NAO is associated with increased AC over central China.

The cross-seasonal impacts of the preceding autumn NAO on the following winter 369 AC over China can be explained by the coupled air-sea bridge theory (Li and Ruan, 370 2018). The preceding negative NAO exhibits significant influences on the winds due to 371 372 the adjustment of the wind to the anomalous SLP. The associated anomalous wind could 373 affect the underlying regional SST, resulting in an anomalous SST tripole pattern over 374 the North Atlantic. Since the North Atlantic SST exhibit strong persistence, this anomalous SST pattern could persist to the subsequent winter and inducing an 375 376 anomalous AEA teleconnection wave train in the upper troposphere, with anomalous convergence over central China. Thus, central China is controlled by anomalous 377 southeasterlies or easterlies, which weaken the climatological northwesterlies and 378 379 induce increased AC over central China. In contrast, the occurrence of El Niño is linked to warm SST anomalies over tropical eastern Pacific, by which the Rossby wave 380 381 activity would be altered (Wang et al., 2001; Feng and Li, 2011). A northwest shift of the WPSH is seen during the winter of an El Niño event, associated with southwesterlies 382 anomalies over south China during the winter of 1997, indicating a weakening in the 383 climatological wind and leading to enhanced AC over south China. Therefore, the high 384 level of AC over eastern China during the winter 1997 results from the combined role 385

of the NAO and El Niño, and the high concentrations over central China in the winterof 2002 are attributed to the NAO.

388 The possible reason for the asymmetric influence of the NAO on the AC was further explored. When the autumn NAO is in the positive polarity, for example, two 389 positive cases of 1986 and 1992, the associated underlying SST anomalies (figure not 390 shown), particularly the tripole SST pattern, are not as evident as those shown in the 391 negative NAO. This result may provide a possible explanation for the asymmetric 392 relationship existed in the different phases of the NAO and AC, and implies the 393 394 complexity of the atmosphere-ocean feedback in the North Atlantic. This merits further exploration related to why the linkage between the NAO and underlying SST is 395 nonlinear, and what process is responsible for their nonlinear relationship. 396

As noted above, the influence of the NAO on the AC only manifests during its 397 negative phase, and the impact of the ENSO is only significant during its warm events. 398 However, the relationship between the previous autumn and following winter ENSO is 399 400 insignificant, thus it is of interest to establish the nonlinear relationship among them and investigate why there is strong asymmetry in the relationships. Zhang et al. (2015, 401 2019) explored the complex linkage between the boreal winter NAO and ENSO with 402 the former lagged for one month, indicating that the nonlinear relationship of the NAO 403 and ENSO is modulated by the interdecadal variation in the Atlantic Multi-Decadal 404 Oscillation. In addition, Wu et al. (2009) have illustrated the coordinated impacts of the 405 NAO and ENSO in modulating the interannual variation of the EASM; however, it has 406 not been shown to determine the AC yet. Therefore, it is of interest to further explore 407

whether the NAO and ENSO affect the AC over China in other seasons, as well as the 408 process involved. Furthermore, the present work is based on model simulations and due 409 410 to the limitations of the model simulations, only the interannual variations are considered. As both NAO and ENSO show strong interdecadal variations, for a longer 411 period, i.e., 1850-2017 (figure not shown), the NAO during period 1986-2006 is 412 generally located in the positive phase, whereas in the negative phase during period 413 1955-1970, therefore, it is important to determine the interdecadal modulation of the 414 NAO on the distribution of AC. 415

416 Moreover, the role of rainfall in influencing the AC shows uncertainties, i.e., a positive effect over south China but not for central China. This result is similar with 417 that of Wu (2014), showing the impact of wet deposit on the AC shows regional and 418 seasonal dependence. This is may due to the fact that the climatological winter rainfall 419 over central China is much less than that over south China (figure not shown). In 420 addition, the meteorological backgrounds of south China and central China are different, 421 422 baroclinic over central China and barotropic over south China (Fig. 9 vs. 10), indicating the importance of climatology background in impacting the spatial distribution of AC. 423 424 In addition, both the NAO and ENSO show significant correlations with AC over northwest China (Fig. 4); however, the interannual variation (Fig. 2) and anomalies (Fig. 425 5) in AC over those regions are relatively small. Therefore, the AC variation over those 426 regions are not discussed. 427

Finally, the role of NAO and El Niño on the AC during boreal winter was investigated based on GEOS-Chem simulations. The coordinated role of the NAO and

430 El Niño	o in affecting the distribution of AC over eastern China is highlighted by
431 compari	ing this effect with the solo role of the NAO. The result indicates that the
432 influenc	ce of meteorological factors impacting AC is complicated. Future work will
433 investig	gate the combined role of tropical and extratropical signals on seasonal AC to
434 better u	understand the variation across seasons and to determine the possible
435 contribu	ation of natural variability to the current aerosol loading over China.

#### 437 Author contribution

J. F., J. L., and H. L. designed the research. J. F. and J. Z. performed the data
analysis and simulations. J. F. led the writing and prepared all figures. All the authors
discussed the results and commented on the manuscript.

#### 441 Data availability

442 Modeling results are available upon request to the corresponding author 443 (<u>fengjuan@bnu.edu.cn</u>).

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# **Figure Captions:**

688	Figure 1. (a) The time series of the Niño3 index based on the GEOS-4 input skin
689	temperature data for 1986-2006 (°C). (b) is similar to (a) but is based on the
690	HadISST. (c) The time series of the NAO index based on the GEOS-4 input sea
691	level pressure. (d) is similar to (c) but is based on the NCEP/NCAR reanalysis.
692	Figure 2. The standard deviation of the simulated (a) surface layer $PM_{2.5}$ concentrations
693	( $\mu$ g·m <sup>-3</sup> ) and (b) column burdens of PM <sub>2.5</sub> (mg·m <sup>-2</sup> ) during boreal winter averaged
694	from 1986 to 2006. (c) The horizontal distribution of boreal winter climatological
695	mean wind at 850 hPa ( $m \cdot s^{-1}$ ), shaded indicates the Tibetan Plateau.
696	Figure 3. (a) The spatial distribution of the correlation coefficients between surface
697	layer $PM_{2.5}$ concentrations and the Niño3 index. (b) As in (a), but for the
698	correlations with the NAOI. Color shading indicates a significant correlation at the
699	0.1 level (0.37 is the critical value for significance at the 0.1 level).
700	Figure 4. Spatial distribution of the correlation coefficients between (a) positive and (b)
701	negative Niño3 index values and surface-layer $PM_{2.5}$ concentrations. (c)-(d) as in
702	(a)-(b), but for the NAOI. Color shading indicates a significant correlation, (0.35
703	and 0.45 are the critical value for significance at the 0.2 and 0.1 level, respectively).
704	Figure 5. The spatial distribution of the simulated anomalous (left panel) surface layer
705	$PM_{2.5}$ concentrations (µg·m^-3) and (right panel) column burdens of $PM_{2.5}$ (mg·m^-
706	<sup>2</sup> ) during the boreal winters of 1997 (upper) and 2002 (below).
707	Figure 6. The pressure–latitude distribution of zonally averaged PM <sub>2.5</sub> anomalies over
708	105°–120°E during the winters of (a)1997 and 2002 ( $\mu g \cdot m^{-3}$ ).

Figure 7. The horizontal distribution of surface wind  $(m \cdot s^{-1})$  and surface level pressure (hPa) based on the assimilated meteorological data during the autumns of (a) 1997 and (b) 2002.

- Figure 8. The horizontal distribution of skin temperature anomalies (°C) based on the
  assimilated meteorological data during the (a) autumn and (b) winter of 1997. (c)(d) As in (a)-(b), but during 2002.
- Figure 9. Horizontal distribution of the divergence (10<sup>-5</sup>s<sup>-1</sup>) at 300 hPa during the
  winters of (a) 1997 and (b) 2002. The crosses denote the centers of action of the
  AEA pattern.
- Figure 10. Horizontal distribution of 850 hPa wind anomalies (vectors; m s<sup>-1</sup>) and divergence (shading;  $10^{-5}$ s<sup>-1</sup>) at 700 hPa during the winters of (a) 1997 and (b) 2002.
- Figure 11. The spatial distribution of the vertically integrated wet deposition flux anomalies during the winters of (a) 1997 and (b) 2002. (c)-(d), As in (a)-(b), but for the anomalous distribution of aerosol concentrations when the wet deposit is turned off.



Figure 1. (a) The time series of the Niño3 index based on the GEOS-4 input skin
temperature data for 1986-2006 (°C). (b) is similar to (a) but is based on the HadISST.
(c) The time series of the NAO index based on the GEOS-4 input sea level pressure. (d)
is similar to (c) but is based on the NCEP/NCAR reanalysis.



**Figure 2**. The standard deviation of the simulated (a) surface layer  $PM_{2.5}$  concentrations ( $\mu$ g·m<sup>-3</sup>) and (b) column burdens of  $PM_{2.5}$  (mg·m<sup>-2</sup>) during boreal winter averaged from 1986 to 2006. (c) The horizontal distribution of boreal winter climatological mean wind at 850 hPa (m·s<sup>-1</sup>), shaded indicates the Tibetan Plateau.



**Figure 3**. (a) The spatial distribution of the correlation coefficients between surface layer  $PM_{2.5}$  concentrations and the Niño3 index. (b) As in (a), but for the correlations with the NAOI. Color shading indicates a significant correlation at the 0.1 level (0.37 is the critical value for significance at the 0.1 level).



Figure 4. Spatial distribution of the correlation coefficients between (a) positive and (b)
negative Niño3 index values and surface-layer PM<sub>2.5</sub> concentrations. (c)-(d) as in (a)(b), but for the NAOI. Color shading indicates a significant correlation, (0.35 and 0.45
are the critical value for significance at the 0.2 and 0.1 level, respectively).



**Figure 5.** The spatial distribution of the simulated (left panel) anomalous surface layer PM<sub>2.5</sub> concentrations ( $\mu$ g·m<sup>-3</sup>) and (right panel) column burdens of PM<sub>2.5</sub> (mg·m<sup>-2</sup>) during the boreal winters of 1997 (upper) and 2002 (below).



**Figure 6**. The pressure–latitude distribution of zonally averaged PM<sub>2.5</sub> anomalies over

 $105^{\circ}-120^{\circ}E$  during the winters of (a)1997 and 2002 (µg·m<sup>-3</sup>).



Figure 7. The horizontal distribution of surface wind  $(m \cdot s^{-1})$  and surface level pressure (hPa) based on the assimilated meteorological data during the autumns of (a) 1997 and (b) 2002.



Figure 8. The horizontal distribution of skin temperature anomalies (°C) based on the
assimilated meteorological data during the (a) autumn and (b) winter of 1997. (c)-(d)
As in (a)-(b), but during 2002.



Figure 9. Horizontal distribution of the divergence  $(10^{-5}s^{-1})$  at 300 hPa during the winters of (a) 1997 and (b) 2002. The crosses denote the centers of action of the AEA pattern.



Figure 10. Horizontal distribution of 850 hPa wind anomalies (vectors; m s<sup>-1</sup>) and divergence (shading; 10<sup>-5</sup>s<sup>-1</sup>) at 700 hPa during the winters of (a) 1997 and (b) 2002.



**Figure 11**. The spatial distribution of the vertically integrated wet deposition flux anomalies during the winters of (a) 1997 and (b) 2002. (c)-(d), As in (a)-(b), but for the anomalous distribution of aerosol concentrations when the wet deposit is turned off.