Response to Comments of Reviewer A

Manuscript number: acp-2019-62

Author(s): Juan Feng, Jianping Li, Hong Liao, and Jianlei Zhu

Title: Simulated coordinated impacts of the previous autumn NAO and winter El Niño on the winter aerosol concentrations over eastern China

General comments:

The manuscript investigated the impact of atmospheric circulation (NAO and ENSO) on the high aerosol concentration in Eastern China was investigated by using simulations of GOES-4, which can exclude the influence of emission. They found that the asymmetric impact of NAO and ENSO on the AC over central and eastern China, and further discussed the physical mechanism induced the circulation anomalies associated with NAO- and El Nino. In general, I found the paper appropriate for ACP. However, it need to be major revised before accepted this paper for publication in ACP with addressing those comments listed below:

Response:

Thanks to the reviewer for the helpful comments and suggestions. We have revised the manuscript seriously and carefully according to the reviewer's comments and suggestions. The point-to-point responses to the comments are listed as follows.

Major Comments:

1. *The manuscript focus on the winter high aerosol concentration and its interannual variation associated with NAO and El Nino, so I think, it is better to point out the seasonal information and time scale of variation in the title to avoid misleading, such as "Simulated coordinated impacts of the previous autumn NAO and winter El Nino on the interannual variation of winter aerosol concentrations over eastern China.", Certainly, authors can give a better title than this.*

Response:

We have adopted the reviewer's comment and revised the title. Since the El Niño is mainly an interannual variability, we have omitted the interannual variation in the suggested title.

2. *The introduction mentions that "NAO exhibits significant cross-seasonal impacts on the East Asian climate, ... boreal spring NAO influenced the subsequent intensity of EASM". However, the manuscript investigates the influence of autumn NAO on winter climate, so I think it is better to providing some references to explain why we should investigate the impact of autumn NAO on winter climate.*

Response:

Thanks to the reviewer for the comments. Previous studies have found that spring (summer) NAO plays important role in impacting the summer (autumn) climate over eastern China, indicating the impact of NAO on the East Asian climate is cross-seasonal. We have examined the role of previous autumn and simultaneous winter NAO on the winter aerosols over eastern China, and it is found the influences of winter NAO on the aerosols are insignificant (Figure R1). Based on the above discussions, the role of previous autumn NAO on the AC over eastern China is discussed in the present work.

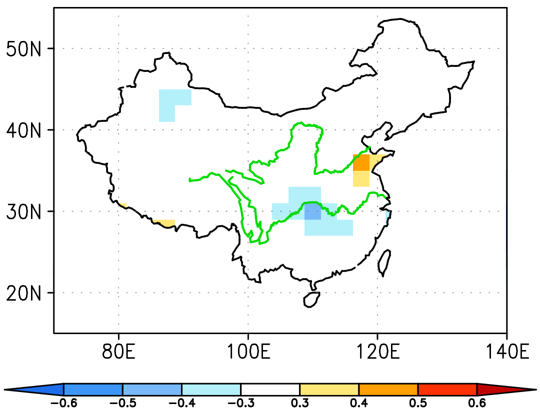

Figure R1. The spatial distribution of the correlation coefficients between surface layer $PM_{2.5}$ concentrations and the winter NAOI.

3. *the time scale of NAO and ENSO are different, the impact of NAO is mainly in decadal time scale, ENSO is mainly in interannual time scale. The time scale should be clarifying clear when authors get the conclusion.*

Response:

The reviewer is right that the NAO exhibits strong decadal variation. For the longer period, for example, 1850-2017, strong decadal variation is observed in the NAOI (Figure R2). However, as shown the NAO in the period 1986-2006 is generally located in the positive phase, and is characterized by strong interannual variations. We have included the above discussions into the revised manuscript.

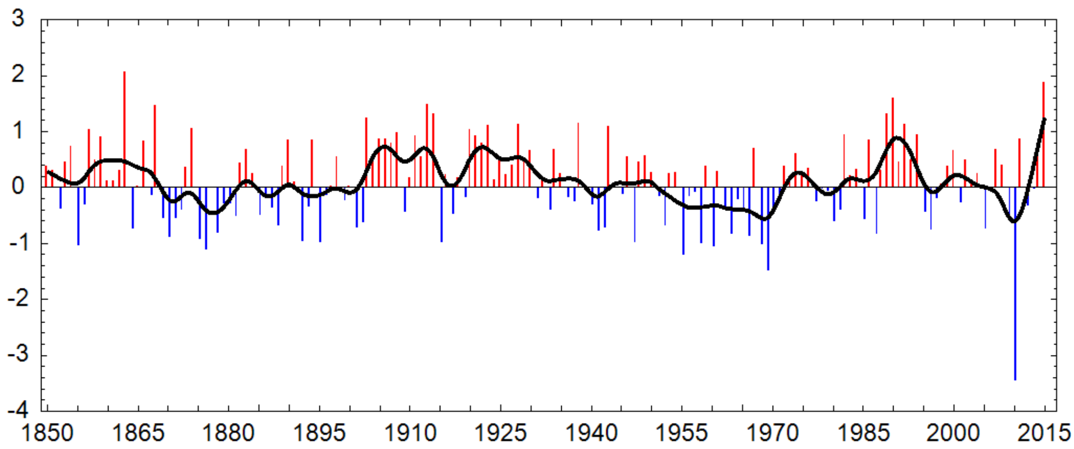

Figure R2. The annual mean NAO index during 1850-2017.

4. *as the authors said, although the NAO index are close in 1997 and 2002 (-1.507 in 1997 and -1.510 in 2002), the precise location of anomalous SLP is different, so the difference of AC distribution in 1997 and 2002 (fig. 5a,b) may be caused by the difference of locations of anomalous SLP pattern associated with NAO, the author should give some explain before investigate the impact of NAO &El Nino and solo NAO on the AC.*

Response:

Thanks for the comment. From the correlation between the AC and the NAOI during its negative phases, significant negative correlations are seen over central China, indicating a negative NAO is connected with enhanced AC over the central China. However, there is no significant signal over the south China in the correlations between the positive NAOI and AC, indicating the role of positive NAO on the AC over south China is limited. Besides, enhanced AC anomalies are seen over central China in both 1997 and 2002 winters, and similar teleconnection wave train is observed in both winters, suggesting the role of NAO on the AC over central China.

Moreover, the effect of El Niño in impacting the distribution of AC is confirmed for that warm El Niño event is associated with enhanced AC over south China. The influences of El Niño on the circulation and rainfall over south China has been discussed in previous studies (e.g., Weng et al., 2007, 2009; Feng and Li, 2011; Feng et al., 2016). The above discussion provides confidence for the combined role of NAO and El Niño on the boreal AC over eastern China.

As the reviewer pointed that the locations of the anomalous pressure centers in the two negative NAO events show difference, however, it is seen that the two events bear equivalent index values, and with similar anomalous SLP amplitude, i.e., with bigger negative SLP anomalies and the maximum minus center is same. That is the pressure gradient of the two NAO negative events is similar, contributing to the similar anomalous SST pattern and teleconnection wave train as shown in the manuscript.

The above discussions indicate the combined impacts of the NAO and El Niño on the boreal winter AC over eastern China.

Minor Comments:

1. *Line 225 and Fig. 4, the significant level is 0.2 level, which is different with fig. 3 (0.1 level) and it is too lower in the statistical significance. Suggest to use consistent significant level (like 0.1 level).*

Response:

Thanks. Different significance level is shown due to that the sample in Figure 4 is less than that in Figure 3. The possible different impacts between the negative and positive phases of NAO, as well as between the warm and cold events of ENSO are discussed, whereas the whole period. In fact, the color bar 0.35 is for the significance at 0.2 level, and 0.45 is for the significance at 0.1 level, we see that the different significance level would not change the result. We have added the detailed caption into the revised manuscript.

2. *Line 232, like author mentioned in Line406-408, author should point out that "the ENSO affects the distribution of AC in south China and northwest China." Northwest China is not discussed but should be noted based on the figure.*

Response:

We have revised the relevant description.

3. *Line 248 and the legend in Fig. 5, "column AC anomalies " in the maintext, however, the legend of Fig. 5 did not point out "anomalies", which is right? Maybe the main text is right.*

Response:

The reviewer is right, it is for the anomalous aerosol concentrations, and we have revised the relevant description.

4. *Line 281: “negative SST “ -> “negative SST anomaly”*

Response:

Yes, done.

5. *Line 300-301, “Under the influence of the anomalous downstream teleconnection, north China is influenced by convergence anomalies, with the center positioned over central China (Fig. 9).” The Fig. 9 can not fully support this sentence, maybe due to the missing lon information in the Fig. 9 or the country boundaries. I suggest to make the fig. 9 more clear.*

Response:

We have adopted the reviewer’s comment and added the longitude and latitude in to the revised Figure 9.

6. *“convergence” in Line 308 and “anomalous divergence” in Line 313, which is contrary to the Fig. 10. Generally, the negative values of divergence indicate convergence, positive values indicate divergence. Therefore, Line 308 said “south China was influenced by an evident anomalous convergence at the lower troposphere.” however, I see the positive values (orange color) of divergence in Fig. 10a. please check it.*

Response:

Sorry for the typo, the reviewer is right. In winter 1997, there are anomalous divergence over the southeastern coastal regions of China, associated with anticyclonic circulation anomalies. We have revised the description.

Response to Comments of Reviewer B

Manuscript number: acp-2019-62

Author(s): Juan Feng, Jianping Li, Hong Liao, and Jianlei Zhu

Title: Simulated coordinated impacts of the previous autumn NAO and winter El Niño on the winter aerosol concentrations over eastern China

General comments:

The manuscript presents analysis of the impacts of NAO and El Niño on the anthropogenic aerosols in China. It uses mostly GEOS-Chem model simulations driven by GEOS-4 reanalysis. Understanding the changes in aerosols is a relevant topic for improving our knowledge of relationship between natural cycle and aerosols. Model simulation show the circulation anomalies during the co-occurrence events of negative NAO and El Niño, and therefore influence on aerosol concentrations over eastern China. However, a sole negative NAO is linked with anomalous aerosols over central China. Overall the manuscript is well written and clear, the figures are also appropriate and clear. After addressing the following minor concerns, I suggest publishing this work.

Response:

Thanks to the reviewer for the helpful comments and suggestions. We have revised the manuscript seriously and carefully according to the reviewer's comments and suggestions. The point-to-point responses to the comments are listed as follows.

Comments:

1. *I suggest that the authors could also select more sample size (negative NAO + El Niño and El Niño events) from reanalysis data in a longer time, i.e., 1979-2016, and compare the distribution of wind anomalies.*

Response:

Thanks for the comment. We have adopted the reviewer's comment by examining the temporal variation of autumn NAO and winter El Niño. Except the cases in the manuscript, there is only one well defined negative NAO event, i.e., 2010, and one El Niño event, i.e., 2015. However, the occurrence of the negative NAO is overlapped with a La Niña event, and the El Niño event 2015 is along with a neutral NAO event. For the El Niño event 1982, it is along with a positive NAO. That is there is no other proper cases (negative NAO + El Niño) as shown in the manuscript during period 1979-2016.

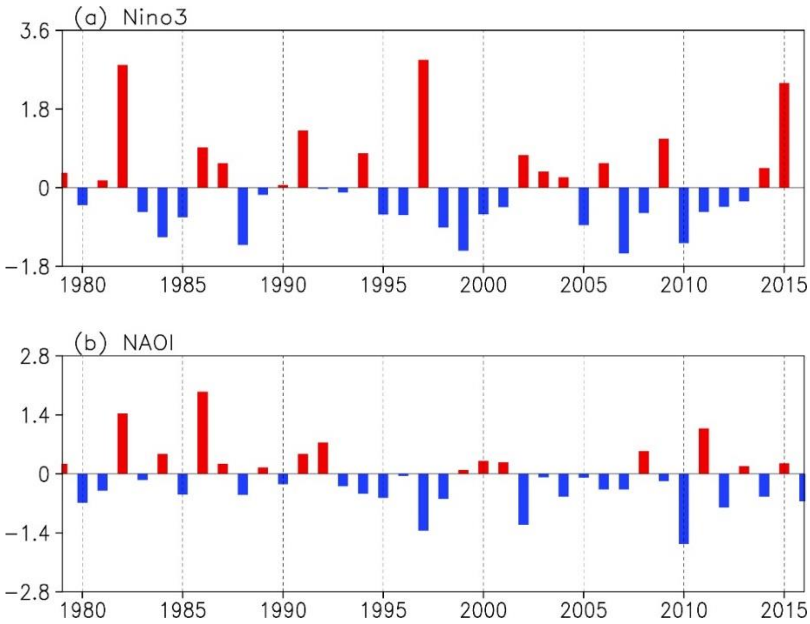

Figure R1. (a) The time series of the Niño3 index based on the HadISST during period 1979-2016. (b) The time series of the NAO index based on the NCEP/NCAR reanalysis during period 1979-2016.

2. *Data part. In the whole study, the authors used mostly the model data, even in analyzing the atmospheric circulation, why? And what are the differences between model data and reanalysis data? Can their differences influence the results of the research?*

Response:

Thanks to the reviewer for the helpful comments. We would like to clarify the reliability of the datasets used by the following two points:

1) We have shown in the manuscript, the input surface skin temperature of GEOS-Chem is highly correlated with the widely used SST dataset, i.e., HadISST. And the NAOI based on GEOS-Chem is closely correlated with the NAOI based on the NCEP/NCAR reanalysis.
2) The input meteorological fields (GEOS-4), such as winds, temperature, humidity, have been evaluated in Zhu et al. (2012) and Feng et al. (2016), and their result suggested the GEOS-Chem input meteorological fields are highly

consistent with the NCEP/NCAR reanalysis. Besides, the spatial distribution of winds anomalies at 850 hPa during two events, i.e., 1997 and 2002, based on the GEOS-Chem input meteorological fields and those from NCEP/NCAR are computed as shown in Figure R2. We see that the winds show similar spatial structures, implying high consistency between the model meteorological fields and reanalysis. The above results provide confidence for the reliability of the meteorological fields of GEOS-Chem model.

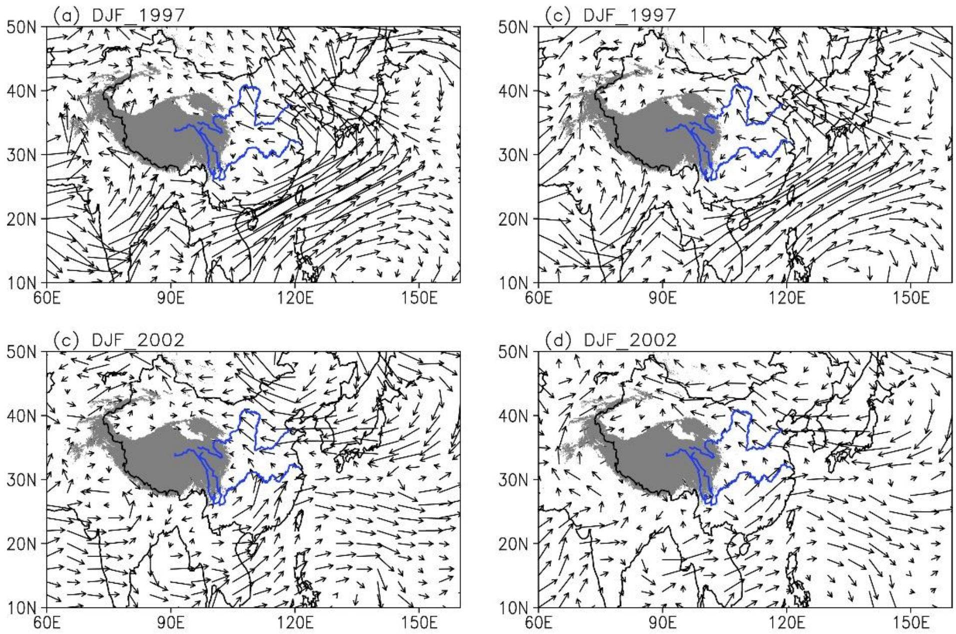

Figure R2. The horizontal distribution of wind anomalies at 850 hPa during 1997 and 2002 winters based on the GEOS-Chem input meteorological winds (left panel) and NCEP/NCAR reanalysis (right panel).

The above discussions provide confidence for employing the GEOS-Chem to explore the influences of climatic events on aerosol concentrations, and it is proved to be a useful tool to understand the impacts of climatic event on aerosol concentrations without enough observations.

3. *Figure 11, discuss the contribution from wet deposition, I think the limited role of wet deposit on the aerosol concentrations over central China is partly due to the small amount of rainfall during winter. However, the winter rainfall amount over south China is greater than that over central China. The author should further examine this point.*

Response:

We have adopted the reviewer's comment by further examine the climatological winter rainfall distribution over China. The reviewer is right, the amount of winter rainfall over central China is much less than over south China, indicating a less important role of wet deposit on the boreal winter AC over central China than over south China. We have included this point into the revised manuscript.

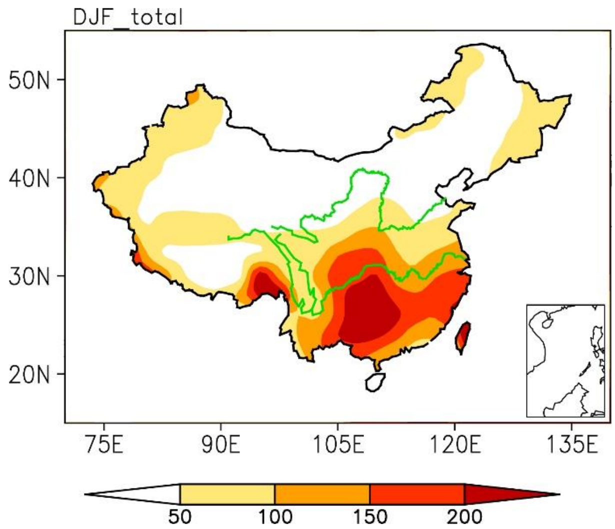

Figure R3. The distribution of climatological boreal winter rainfall.

4. *Finally, why the impacts of positive NAO on the aerosol concentrations are insignificant, the authors should shed more light on this issue. The corresponding variations in the underlying thermal and dynamical process should be included to give a full understanding.*

Response:

We have adopted the reviewer's comment by further examining the situation during the positive NAO events. During period 1986-2006, there are two well-defined NAO positive events, i.e., 1986 and 1992. The anomalous SST pattern during the two events are shown below. It is seen the anomalous SST tripole pattern is not observed during the positive NAO events, indicating that the air-sea feedback during the positive and negative NAO events is different. Therefore, due to the different anomalous SST pattern, the teleconnection wave train during the positive NAO events are different, without significant impacts on the circulation over eastern China. We have included this point into the revised manuscript.

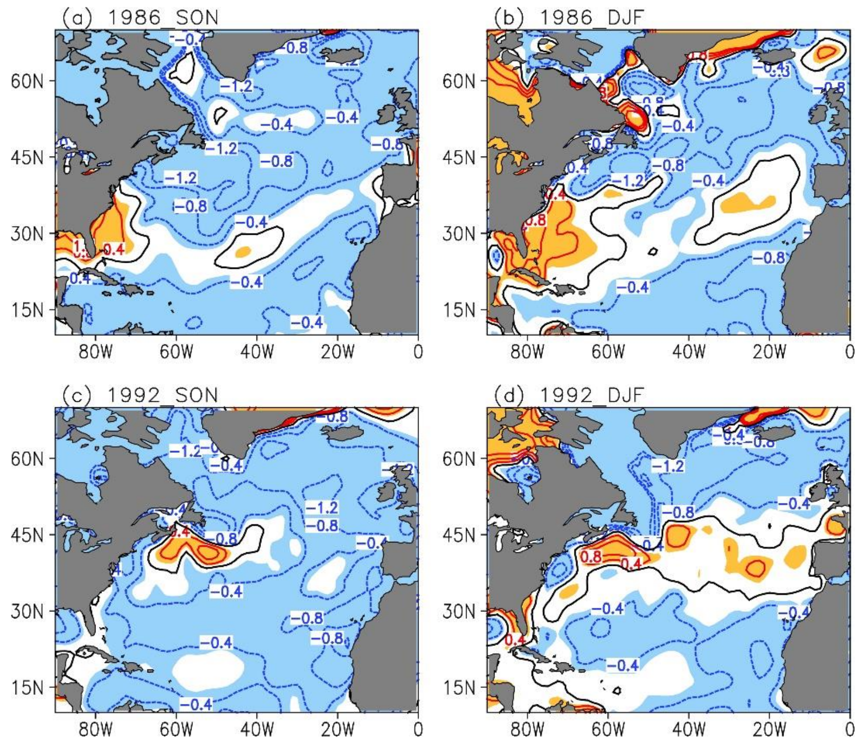

Figure R4. The horizontal distribution of skin temperature anomalies (°C) based on the assimilated meteorological data during the (a) autumn and (b) winter of 1986. (c)-(d) As in (a)-(b), but during 1992.

5. *The related reference the authors might be interested in:*

Li, X., Z. Wu and Y. Li, 2019: A link of China warming hiatus with the winter sea ice loss in Barents–Kara Seas. Clim Dyn., DOI:10.1007/s00382-019-04645-z.

Wu, J. and Z. Wu, 2018: Interdecadal change of the spring NAO impact on the summer Pamir-Tienshan Snow Cover. Int.J. Climatol., DOI: 10.1002/joc.5831.

Wu, Z., X. Li, Y. Li and Y. Li, 2016: Potential Influence of Arctic Sea Ice to the Inter-annual Variations of East Asian Spring Precipitation. J. Clim., 29, 2797-2813.

Wu, Z., J. Li, Z. Jiang and J. He, 2011: Predictable climate dynamics of abnormal East Asian winter monsoon: once-in-a-century snowstorms in 2007/2008 winter. Climate Dyn., 37, 1661-1669.

Lyu, M., Z. Wu, X. Shi and M. Wen, 2019: Distinct effects of the MJO and the NAO on cold wave amplitude over China. Quart. J. Roy. Meteor. Soc., DOI: 10.1002/qj.3516.

Zhang, P., B. Wang and Z. Wu, 2019: Weak El Niño and Winter Climate in the mid-high latitude Eurasia. J. Climate, 32, 402-421.

Zhang, P., Z. Wu and J. Li, 2019: Reexamining the relationship of La Niña and the East Asian winter monsoon. Climate Dyn., DOI: 10.1007/s00382-019-04613-7.

Ye, X. and Z. Wu, 2018: Contrasting Impacts of ENSO on the Interannual Variations *of Summer Runoff between the Upper and Mid-Lower Reaches of the Yangtze River. Atmosphere, DOI: 10.3390/atmos9120478.*

Zhang, P., Z. Wu, and H. Chen, 2017: Interdecadal Modulation of mega-ENSO on the North Pacific Atmospheric Circulation in Winter. Atmos. Ocean, 55(2), 110-120.

Zhou, Y., and Z. Wu, 2016: Possible impacts of mega-El Niño/Southern Oscillation and Atlantic multidecadal oscillation on Eurasian heat wave frequency variability. Quart. J. Roy. Meteor. Soc., 142, 1647-1661.

Wu, Z., and P. Zhang, 2015: Interdecadal Variability of the mega-ENSO-NAO Synchronization in Winter. Climate Dyn., 45, 1117ï˘A 1128.

Wu, Z. and H. Lin, 2012: Interdecadal Variability of the ENSO-North Atlantic Oscillation Connection in boreal summer. Quart. J. Roy. Meteor. Soc., 138, 1668-1675, DOI: 10.1002/qj.1889.

Wu, Z., J. Li, Z. Jiang, J. He and X. Zhu, 2012: Possible effects of the North Atlantic Oscillation on the strengthening relationship between the East Asian summer monsoon and ENSO. Int. J. Climatol., 32, 794-800. DOI: 10.1002/joc.2309.

Response:

We have updated the references and included the relevant references into the revised manuscript. More details are seen in the revised manuscript.

Simulated coordinated impacts of the previous autumn NAO and winter El Niño on the winter aerosol concentrations over eastern China

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Abstract

The high aerosol concentrations (AC) over eastern China have attracted attention from both science and society. Based on the simulations of a chemical transport model using a fixed emissions level, the possible role of the previous autumn North Atlantic Oscillation (NAO) combined with the simultaneous El Niño-South Oscillation (ENSO) on the boreal winter AC over eastern China is investigated. We find that the NAO only manifests its negative impacts on the AC during its negative phase over central China, and a significant positive influence on the distribution of AC is observed over south China only during the warm events of ENSO. The impact of the previous NAO on the AC occurs via an anomalous sea surface temperature tripole pattern by which a teleconnection wave train is induced that results in anomalous convergence over central China. In contrast, the occurrence of ENSO events may induce an anomalous shift in the western Pacific subtropical high and result in anomalous southwesterlies over south China. The anomalous circulations associated with a negative NAO and El Niño are not favorable for the transport of AC and correspond to worsening air conditions~~.~~ over central and south China. The results highlight that the combined effects of tropical and extratropical systems play considerable role in affecting the boreal winter AC over eastern China.

1. Introduction

Atmospheric particles (i.e., aerosols) are the key pollutants that exhibit an important adverse impact on human health, environmental pollution, global climate change, and atmospheric visibility (IPCC, 2013). Aerosol particles may alter the precipitation rates and optical properties of clouds (Hansen et al., 1997), impacting the radiation balance of the entire Earth-atmosphere system via absorbing and scattering solar radiation (Jiang et al., 2017; Yue and Unger, 2017). A better understanding of aerosol variations is therefore important and useful for scientific and social endeavors.

The meteorology parameters, i.e., atmospheric temperature (Aw and Kleeman, 2003; Liao et al., 2015), boundary layer (Kleeman, 2008; Yang et al., 2016), wind (Zhu et al., 2012; Yang et al., 2014, 2017; Feng et al., 2017), and humidity (Ding and Liu, 2014), show a non-negligible impact on the regional aerosol concentrations (AC) via affecting the deposition and transportation processions. Moreover, the intraseasonal and interannual variations in climatic phenomena could affect both the spatial and temporal accumulation and distribution of AC due to the associated variations in the circulation and rainfall anomalies. For example, the monsoon onset could affect the seasonal variations in regional AC (Tan et al., 1998; Chen and Yang, 2008). The interannual variation of AC over East Asia is connected with the interannual variation of East Asian winter monsoon (Jeong and Park, 2016; Lou et al., 2016, 2018; Mao et al., 2017) and summer monsoon (EASM; Zhang et al., 2010; Zhu et al., 2012). The seasonal evolution of the El Niño-South Oscillation (ENSO) impacts the seasonal variations of AC over northern and southern China (Liu et al., 2013; Feng et al., 2016a, 2017). The AC variation in the US is influenced by the Pacific Decadal Oscillation (Singh and Palazoglu, 2012). These findings suggest that the role of climate systems in impacting the regional air quality cannot be ignored.

The North Atlantic Oscillation (NAO), reflecting large scale fluctuations in pressure between the subpolar low and subtropical high, is one of the most determinant and influential climate variability modes in the extratropical Atlantic Ocean, (e.g., Hurrell, 1995; Gong et al., 2001; Visbeck et al., 2001). A negative (positive) polarity of the NAO is reflected by positive (negative) pressure anomalies over the high latitudes of the North Atlantic and negative (positive) pressure anomalies over the central North Atlantic. Both the positive and negative phases of NAO are accompanied with large scale modulations in the location and intensity of the North Atlantic jet stream and storm track (Gong et al., 2001; Li and Wang, 2003). The surface layer wind would vary associated with changes in the jet stream because of the NAO's quasi-barotropic characteristic, resulting in varied Ekman heat transport and basin-wide variations in the underlying sea surface temperatures (SST; Marshall et al., 2001; Wu et al., 2009; Wu and Wu, 2018).

The NAO massively impacts the temperature and precipitation patterns over the US and central Europe, i.e., a wet and warm winter in Europe, and mild and wet winter conditions would be expected accompanied with a positive NAO phase. Moreover, the NAO exhibits significant cross-seasonal impacts on the ~~East Asian~~downstream regional climate. For example, it is reported that variation in boreal spring NAO influenced the subsequent intensity of the EASM from 1979-2006 (Wu et al., 2009). The linkage between the EASM and NAO has been further explored but on the interdecadal scale (Wu and Lin, 2012; Wu et al., 2012; Zuo et al., 2013), and it is suggested that the preceding spring NAO dominated the relationship of the NAO-EASM more than the simultaneous summer NAO, similar result is seen in Zheng et al. (2016). Xu et al. (2013) presented that the previous boreal summer NAO significantly influenced the following September rainfall over central China. These studies highlight the important role of the NAO signal on the climate in East Asia, especially the cross-seasonal impacts, which are beneficial for seasonal forecasting.

In addition to the influence of the extratropics, the impact originating from the tropics is another important driver of the climate anomalies in China. As the most dominant interannual variability of the tropical air-sea coupled system, the El Niño-Southern Oscillation (ENSO) exhibits profound influences on the weather and climate around the world (e.g., Ropelewski and Halpert, 1987; Harrison and Larkin, 1998). The occurrence of ENSO phenomenon displays significant effects in impacting the global and regional oceanic and atmospheric anomalous patterns (e.g., Rasmusson and Carpenter, 1982; Trenberth, 1997). The seasonal climate variation in China is closely linked with the evolution of ENSO events. For example, increased rainfall is expected to be found over the Huai-he and Yangtze River valley, whereas less rainfall is seen over northern and southern China during the decaying summer of an El Niño event (Zhang et al., 1996, 1999; Ye and Wu, 2018). During the developing autumn of an El Niño event, enhanced rainfall would be expected over southern China due to the associated anomalous shift in the western Pacific subtropical high (WPSH). However, without significant influence during the developing summer (Feng et al., 2016b). During the mature winter, both the warm and cold events show significant impacts on the temperature and rainfall anomalies over eastern China (Weng et al., 2009; Wu et al., 2011; Wu and Zhang, 2015; Li et al., 2019; Zhang et al., 2019a, 2019b).

As shown above, both the NAO and ENSO significantly impact the climate over China. China now suffering from relatively high aerosol loading, and this is commonly ascribed to the increased emissions connected with the speedy economic growth. However, as discussed above that the role of meteorological conditions in affecting the AC cannot be ignored. Accordingly, it is of interest to explore the possible impacts of the NAO and ENSO on the distributions of AC over China. The possible impacts of the NAO on the aerosol has been discussed by Moulin et al. (1997) and Jerez et al. (2013); however, they concentrated on its influences on the North Atlantic Ocean and Europe, respectively. Feng et al. (2016a) indicated the potential effects of El Niño on the AC over China, but with a focus on the seasonal evolution. Therefore, does the NAO exhibit significant impacts on the AC, and how the combination of the NAO and ENSO affect the distribution of AC over China, as both of them show important modulation of the climate over China.

The above discussions provide the main motivation of the present work. The conditions in boreal winter are discussed in the present work, as this time is corresponding to the heat supply season and the AC over China peak during this season. The coordinated role of the previous autumn (September to November, SON) NAO and the simultaneous ENSO is compared to that of the NAO alone, and also as well as the involved physical mechanisms. The rest of this paper is arranged as follows. Model, datasets, and methodology employed are presented in Section 2. The possible impacts of the NAO and ENSO on the AC are explored in Section 3. Section 4 discusses the involved physical mechanism. Section 5 provides the discussion and conclusions.

2. Datasets, simulations, and methodology

2.1 Datasets

The input background meteorological variables of the GEOS-Chem model show high degree of uniformity with the current widely used reanalyses (e.g., Zhu et al., 2012; Yang et al., 2014). Here, the SLP in the National Centers for Environmental Prediction/National Center for Atmospheric Research (NCEP/NCAR) reanalysis (Kalnay et al., 1996) with a 2.5° latitude × 2.5° longitude resolution, and the UK Meteorological Office Hadley Centre's sea ice and SST datasets (HadISST; Rayner et al., 2003) with a 1° latitude × 1° longitude resolution are used to verify the reliability of the Goddard Earth Observing System, Version 4 (GEOS-4).

2.2 GEOS-Chem simulations

The influences of the NAO on the simulated AC over China are examined using a three-dimensional tropospheric chemistry model, i.e., GEOS-Chem (version 8.02.01; Bey et al., 2001). The model is driven by assimilated meteorological fields from the GEOS-4 of the NASA Global Modeling and Assimilation Office, with a 2° latitude × 2.5° longitude resolution, and 30 hybrid vertical levels. This model contains a detailed coupled treatment of tropospheric ozone-NOx-hydrocarbon chemistry, as well as aerosols and their precursors, containing nitrate, black carbon, sulfate, sea salt, ammonium, mineral dust, dust aerosols, and organic carbon (Bey et al., 2001; Liao et al., 2007). The aerosol dry and wet depositions follow Wesely (1989) and Liu et al. (2001), with details in Wang et al. (1998). According to Liao et al. (2007), the AC were defined as PM2.5 as follows,

$$[PM_{2.5}] = 1.37 \times [SO_4^{2-}] + 1.29 \times [NO_3^-] + [POA] + [BC] + [SOA] \quad (1)$$

SO_4^{2-}, NO_3^-, POA, BC, and SOA are the aerosols particles of sulfate, nitrate, primary organic aerosol, black carbon, and second organic aerosol, respectively. The sea salt aerosols and mineral dust are not considered for that measurements indicate that they are not the major aerosol species in the eastern China during winter (Xuan et al., 2000; Duan et al., 2006).

The anthropogenic emissions in the GEOS-Chem and experiment design are similar to Zhu et al. (2012), in which the biomass burning emissions and anthropogenic emissions are fixed at year 2005 level in the simulation. That is the observed variations in the distributions of AC as seen below was due to the variations in meteorological conditions associated with climate events. Due to the longevity of the GEOS-4 datasets, the period 1986-2006 is focused on. GEOS-Chem is a well-recognized atmospheric chemistry model and is widely utilized due to its capability to well characterize the seasonal, interannual, and decadal variations of pollutant aerosols in the East Asia and beyond (e.g., Zhu et al., 2012; Yang et al., 2014, 2016; Feng et al., 2017). The well performance and wide application of GEOS-Chem provide confidence for employing the model to investigate the coordinated impacts of NAO and El Niño on the AC over eastern China.

2.3 NAO index and Niño3 index

The NAO index (NAOI) is employed to quantify the variations in the NAO phase (Hurrel et al., 1995; Gong and Wang, 2001). The definition of the NAOI follows Li and Wang (2003) and is calculated as the zonal mean SLP difference between 35°N (i.e., refers to the mid-latitude center) and 65°N (i.e., refers to the high latitude center) from 80°W to 30°E over the North Atlantic by

$$\mathrm{NAOI} = \hat{P}_{35°N} - \hat{P}_{65°N} \qquad (2)$$

where P is the monthly mean SLP averaged from 80°W to 30°E, $\hat{P}$ is the normalized value of P, and the subscripts indicate latitudes. For a given month m in year n, the normalization $\hat{P}$ is defined as follows

$$\hat{P}_{n,m} = \frac{P'_{n,m}}{S_P} \qquad (3)$$

where $P'_{n,m}$ is the monthly pressure anomaly of $P_{n,m}$, departure from period 1986-2006, and S_P is the total standard deviation of the monthly anomaly $P'_{n,m}$,

$$S_P = \sqrt{\frac{1}{12\times 21}\sum_{i=1986}^{2006}\sum_{j=1}^{12} P'^{2}_{j,i}} \qquad (4)$$

The monthly NAOI is calculated based on the monthly mean SLP from both the NCEP/NCAR and GEOS-4 assimilated meteorological dataset for 1986-2006. The boreal autumn NAOI is defined as the average of the monthly NAOI during September, October, and November (Fig. 1). The series of NAOI show strong interannual variations, and the two series based on GEOS-4 and NCEP/NCAR are closely correlated with each other with a significant coefficient of 0.98, implying the GEOS-4 dataset could capture the variation in the NAO.

El Niño events were defined as standardized 3-month running mean Niño3 index (areal mean SST averaged over 150°-90°W, 5°N-5°S) above 0.5°C and persisting for at least 6 months. The skin temperature (i.e., SST over ocean and surface air temperature on land) was employed to obtain the Niño3 index for that SST is not available in the GEOS-4 meteorological dataset. The boreal winter Niño3 index is calculated as the average of the monthly Niño3 during December, January, and February, i.e., winter 1997 is for the December 1997 and January and February 1998. The boreal winter Niño3 indices based on the GEOS-4 and HadISST are significantly correlated with each other, (Fig. 1), with a coefficient of 0.99. The high correlations among the indices further indicate the reliability of the model data.

3. Influences of the NAO and El Niño on the AC over China

3.1 Climatological Characteristics of the AC

The spatial distribution of the standard deviation of boreal winter AC is shown in Fig. 2. Eastern China (105°E eastward, 35°N southward) shows high loading of aerosols in both the column and surface layer concentrations (figure not shown). Further, the variance of winter AC over eastern China is most pronounced compared to other regions during this season (Fig. 2a, b). As an evident monsoonal region, eastern Asia is influenced by winter monsoon, i.e., a strong Aleutian low is seen in the north Pacific, and the Asian continent is controlled by the Siberian high during boreal winter. The strong pressure gradient between the Siberian high and Aleutian low results in strong northwesterlies prevailing over eastern China (Fig. 2c).

3.2 Relationships between the AC & NAO and El Niño

The spatial distribution between the surface AC and previous autumn NAOI and simultaneous winter Niño3 index are presented in Fig. 3. Positive correlations are seen over south ~~China~~ (30°N south) and northwest China in the correlations with the Niño3 index, indicating that a warm ENSO event would associate with high AC over south and northwest China. In contrast, negative correlations over south and central China are observed in the correlations with autumn NAO, implying a positive NAO phase is linked with less AC over these regions, thus favoring better air conditions. The analysis suggests that the ENSO and NAO show opposite effects on AC over south China, i.e., the NAO displays a negative impact and the ENSO displays a positive impact. However, the relationship between the autumn NAOI and winter Niño3 index is insignificant with a correlation of -0.08 during period 1986-2006.

The above relationships are further examined in their positive and negative phases, as strong asymmetry was reported in the climatic impacts of the NAO (Xu et al., 2013; Zhang et al., 2015) and ENSO (Cai and Cowan, 2009; Karior et al., 2013; Feng et al., 2016b). The asymmetric influences of the NAO and ENSO on AC are obvious in the spatial distributions of the linear correlation coefficients (Fig. 4). During the El Niño events, south China is impacted by significant positive correlations, in contrast, a nonsignificant correlation is observed over this region during the La Niña events. This point implies the significant relationships between the ENSO and AC over south China are mainly connected with warm events, i.e., El Niño. The negative correlations between the NAO and AC mainly occurred in the negative phase of the NAO, and the significant correlations are mainly located in central China (lie from 28°N to 40°N). Thus, the ENSO affects the distribution of AC in south China, but the impact is manifested during warm events. Similarly, the effect of the NAO on the distribution of AC over central China is only apparent during its negative phase.

The results suggest that if the occurrence of a negative polarity of NAO overlaps with an El Niño event, the combined effects of the two may further worsen the AC over eastern China. In contrast, a solo occurrence of a negative NAO event is associated with above-normal AC over central China. The statistic significant impacts of the negative NAO and El Niño events on the AC could be further established by case study. Two cases, i.e., the co-occurrence of an El Niño event and a negative NAO, and a solo negative NAO event, were chosen to further explore the effect of the NAO and El Niño on the AC over China. From 1986-2006, there are two years (1997 and 2002) with equivalent negative values of autumn NAOI (-1.507 in 1997, and -1.510 in 2002). Winter 1997 corresponds with the strongest El Niño in the past 120 years and winter 2002 corresponds with a neutral ENSO event. Consequently, the anomalous distribution of AC during these two years are discussed in the context of comparing the combined and solo effects of a negative NAO and El Niño in impacting the distribution of AC over eastern China.

3.3 Influences of the NAO & El Niño vs. the NAO on the AC

Figure 5 presents the layer and column AC anomalies simulated for the winters of 1997 and 2002 departure from the climatological mean. Under the combined influence of a negative NAO and El Niño (1997), positive aerosol concentration anomalies are observed over eastern China (Fig. 5a, c). In addition, simulated enhanced AC were observed over central China in winter 2002 under the impacts of a negative NAO (Fig. 5b, d). These characteristics are also apparent in the vertical distribution (Fig. 6), which shows the zonal mean anomalies averaged over eastern China (105°–120°E). For winter 1997, increased AC cover the whole eastern China, with maximum values approximately 30°N, where the effects of the NAO and El Niño overlap (Figs. 4a, d). The combined effects of the anomalies show a consistent distribution in the vertical levels~~.~~ (Fig. 6). In contrast, evident increased AC anomalies are seen in central China, with the maximum at approximately 32°N during winter 2002.

The consistent results between the correlations and anomalies during the two cases highlight the role of the negative NAO and El Niño events in determining the distribution of AC over eastern China. The NAO shows a significant influence on the central China AC that are only apparent during its negative phase, and the ENSO impacts the AC over south China mainly during warm events.

4. Mechanisms of the effects of the NAO and El Niño on the AC

4.1 Role of circulation transport

The corresponding reverse role of the NAO and El Niño in impacting the distribution of AC is mainly derived from their contrasting effects on circulation. Figure 7 shows the SLP and surface wind anomalies during the autumns of 1997 and 2002, presenting an anomalously weak autumn NAO pattern. The negative phase of the NAO displays as an anomalous SLP dipole structure between the middle latitude North Atlantic Ocean and Arctic, i.e., with positive SLP anomalies at the Arctic over the Atlantic sector, and anomalous negative SLP at middle latitude. Although the locations of the anomalous pressure centers in the two negative NAO events show difference, the anomalous SLP amplitude in the two events are similar, i.e., with greater negative SLP anomalies at mid-latitudes, indicating that the pressure gradient of the two NAO negative events is similar. The oscillation in the SLP is connected with anomalies in the surface wind across the North Atlantic, i.e., associated with an anomalous cyclonic centered approximately 45°N and anti-cyclonic circulation anomalies around Iceland. During boreal winter and spring, an anomalous NAO could result in a tripole SST anomalous pattern in the North Atlantic Ocean (Watanabe et al., 1999). A similar SST tripole pattern is observed during boreal autumn, with warm SST anomalies at high and low latitudes, and negative SST anomalies at middle latitudes in the North Atlantic sector (Fig. 8a, c). Note that the negative SST anomalies during 1997 displays an east-west direction but originated from a northwest-southeast direction during 2002 due to the different locations of anomalous SLP (Fig. 7).

The North Atlantic anomalous SST tripole pattern is due to the feedback between wind-SST, i.e., the anomalous anti-cyclonic (cyclonic) circulation weaken (strengthens) the prevailing westerlies, which would result in decreased (increased) loss of heat and warmer (cooler) anomalies in Ekman heat transport (Xie, 2004; Wu et al., 2009), and is connected to warmer (cooler) local SST. Due to the short memory of the atmosphere, the cross-seasonal influences of the NAO on the AC should be preserved in the boundary layer forcing such as SST (Charney and Shukla, 1981). This anomalous tripole SST pattern could persist to the following winter (Fig. 8b, d), as the anomalous tripole SST pattern during winter and autumn show high consistencies in both 1997 and 2002, with significant spatial correlation coefficients of 0.32 and 0.51 between the autumn and winter tripole SST patterns for 1997 and 2002, respectively.

Figure 9 shows the anomalous divergence at the upper troposphere. The occurrence of a negative NAO phase is accompanied by an anomalous teleconnection wave train over northern Eurasia (AEA) in the upper troposphere during boreal summer (Li and Ruan, 2018). This anomalous teleconnection pattern is also observed during boreal winter, with a shift in the precise locations. Under the influence of the anomalous downstream teleconnection, north China is influenced by convergence anomalies, with the center positioned over central China (Fig. 9). The anomalous convergence is clearly seen in both the upper and lower troposphere, accompanied by anomalous easterlies or southeasterlies over central China (Fig. 10). The direction of the anomalous wind is opposite to the climatological winds, which would weaken the climatological wind and is unfavorable for the transport of aerosol concentration, leading to increased AC over central China, as displayed in Fig. 5.

For the winter 1997, corresponding to the El Niño's mature phase, south China was influenced by an evident anomalous ~~convergence~~divergence at the lower troposphere, indicating anomalous anticyclonic circulation over the coastal regions~~.~~ (Fig. 10a). Anomalous southwesterlies prevailed in south China, implying weakened northerlies. That is the anomalous meteorological conditions are unfavorable for aerosols transport in the region and would result in a worsen air quality. In contrast, for the winter 2002, south China was controlled by an anomalous divergence for that the main body of the WPSH shifts to the south of south China (Fig. 10b). The anomalous circulation was favorable for the emission of pollutant. Moreover, an evident anomalous divergence was observed in south China in the winters of 1997 and 2002 at the upper troposphere; however, the corresponding distribution of AC over this region is different. This highlights the role of El Niño in impacting the circulation anomalies over south China, as mentioned above. The occurrence of El Niño events would be accompanied by a northwest shift of the WPSH during boreal winter and enhanced southwesterlies over south China (Weng et al., 2009). Besides, column AC are mainly contributed by concentrations at lower troposphere, suggesting that the lower troposphere circulation may play a vital role in impacting the AC over south China.

4.2 Role of wet deposit

In addition to the contribution of the circulation anomalies to the distribution of AC, changes in wet deposit also could affect distribution of AC. Figure 11 presents the simulated wet deposit anomalies during the winters of 1997 and 2002. Negative anomalies occurred over eastern China during the winter of 1997, favorable for increased AC. This suggests the wet deposit plays a positive role in the enhanced AC during winter 1997. Positive anomalies were observed over central China in the 2002 winter, inconsistent with the AC anomalies. The anomalous wet deposit during winter of 1997 is paralleling to the AC anomalies over eastern China; however, not consistent with that for the winter of 2002. This suggests that role of wet deposit in impacting the AC over eastern China exists uncertainties, showing strong regional dependence. The impact of wet deposit on the AC was examined by a sensitive experiment by turning off the wet deposition (Fig. 11c-d). A similar anomalous AC distribution was observed as those shown in Fig. 5, confirming that the role of wet deposit in impacting the distribution of AC is not as important as the circulation.

5. Summary and Discussion

Using the simulations of GEOS-Chem model with fixed emissions, the coordinated impacts of the previous autumn NAO and simultaneous ENSO on the boreal winter AC over eastern China are investigated. The results present that both the NAO and ENSO show asymmetry impacts on the boreal winter AC over eastern China, i.e., the NAO manifests negative impacts over central China during its negative phase and the ENSO positively impacts the AC over south China significantly during its warm events. Consequently, the possible impacts of two cases were investigated to ascertain the role of the NAO and ENSO on the distribution of AC over China. The winter 1997 had a co-occurrence of a negative NAO and an El Niño events, and winter 2002 corresponds to a negative NAO phase and neutral ENSO. For the winter 1997, obvious enhanced AC were observed over eastern China, with a maximum approximately 30°N, where the impacts of the NAO and El Niño overlap. For the winter 2002, there were generally increased AC over central China. These results suggest that the co-occurrence of a negative NAO and El Niño would worsen the air conditions over eastern China, and a solo negative NAO is associated with increased AC over central China.

The cross-seasonal impacts of the preceding autumn NAO on the following winter AC over China can be explained by the coupled air-sea bridge theory (Li and Ruan, 2018). The preceding negative NAO exhibits significant influences on the winds due to the adjustment of the wind to the anomalous SLP. The associated anomalous wind could affect the underlying regional SST, resulting in an anomalous SST tripole pattern over the North Atlantic. Since the North Atlantic SST exhibit strong persistence, this anomalous SST pattern could persist to the subsequent winter and inducing an anomalous AEA teleconnection wave train in the upper troposphere, with anomalous convergence over central China. Thus, central China is controlled by anomalous southeasterlies or easterlies, which weaken the climatological northwesterlies and induce increased AC over central China. In contrast, the occurrence of El Niño is linked to warm SST anomalies over tropical eastern Pacific, by which the Rossby wave activity would be altered (Wang et al., 2001; Feng and Li, 2011). A northwest shift of the WPSH is seen during the winter of an El Niño event, associated with southwesterlies anomalies over south China during the winter of 1997, indicating a weakening in the climatological wind and leading to in enhanced AC over south China. Therefore, the high level of AC over eastern China during the winter 1997 results from the combined role of the NAO and El Niño, and the high concentrations over central China in the winter of 2002 are attributed to the NAO.

The possible reason for the asymmetric influence of the NAO on the AC was further explored. When the autumn NAO is in the positive polarity, for example, two positive cases of 1986 and 1992, the associated underlying SST anomalies (figure not shown), particularly the tripole SST pattern, are not as evident as those shown in the negative NAO. This result may provide a possible explanation for the asymmetric relationship existed in the different phases of the NAO and AC, and implies the complexity of the atmosphere-ocean feedback in the North Atlantic. This merits further exploration related to why the linkage between the NAO and underlying SST is nonlinear, and what process is responsible for their nonlinear relationship.

As noted above, the influence of the NAO on the AC only manifests during its negative phase, and the impact of the ENSO is only significant during its warm events. However, the relationship between the previous autumn and following winter ENSO is insignificant, thus it is of interest to establish the nonlinear relationship among them and investigate why there is strong asymmetry in the relationships. Zhang et al. (2015, 2019) explored the complex linkage between the boreal winter NAO and ENSO with the former lagged for one month, indicating that the nonlinear relationship of the NAO and ENSO is modulated by the interdecadal variation in the Atlantic Multi-Decadal Oscillation. In addition, Wu et al. (2009) have illustrated the coordinated impacts of the NAO and ENSO in modulating the interannual variation of the EASM; however, it has not been shown to determine the AC yet. Therefore, it is of interest to further explore whether the NAO and ENSO affect the AC over China in other seasons, as well as the process involved. Furthermore, the present work is based on model simulations and due to the limitations of the model simulations, only the interannual variations are considered~~; as~~. As both NAO and ENSO show strong interdecadal variations, for a longer period, i.e., 1850-2017 (figure not shown), the NAO during period 1986-2006 is generally located in the positive phase, whereas in the negative phase during period 1955-1970, therefore, it is important to determine ~~their relationship over a longer period~~the interdecadal modulation of the NAO on the distribution of AC.

Moreover, the role of rainfall in influencing the AC shows uncertainties, i.e., a positive effect over south China but not for central China. This result is similar with that of Wu (2014), showing the impact of wet deposit on the AC shows regional and seasonal dependence. This is maybe due to the fact that the climatological winter rainfall over central China is much less than that over south China (figure not shown). In addition, the meteorological backgrounds of south China and central China are different, baroclinic over central China and barotropic over south China (Fig. 9 vs. 10), indicating the importance of climatology background in impacting the spatial distribution of AC. In addition, both the NAO and ENSO show significant correlations with AC over northwest China (Fig. 4); however, the interannual variation (Fig. 2) and anomalies (Fig. 5) in AC over those regions are relatively small. Therefore, the AC variation over those regions are not discussed.

Finally, the role of NAO and El Niño on the AC during boreal winter was investigated based on GEOS-Chem simulations. The coordinated role of the NAO and

El Niño in affecting the distribution of AC over eastern China is highlighted by comparing this effect with the solo role of the NAO. The result indicates that the influence of meteorological factors impacting AC is complicated. Future work will investigate the combined role of tropical and extratropical signals on seasonal AC to better understand the variation across seasons and to determine the possible contribution of natural variability to the current aerosol loading over China.

Author contribution

J. F., J. L., and H. L. designed the research. J. F. and J. Z. performed the data analysis and simulations. J. F. led the writing and prepared all figures. All the authors discussed the results and commented on the manuscript.

Data availability

Modeling results are available upon request to the corresponding author (fengjuan@bnu.edu.cn).

Acknowledgement

This work was jointly supported by the National Natural Science Foundation of China (41790474, 41705131, and 41530424).

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Figure Captions:

Figure 1. (a) The time series of the Niño3 index based on the GEOS-4 input skin temperature data for 1986-2006 (°C). (b) is similar to (a) but is based on the HadISST. (c) The time series of the NAO index based on the GEOS-4 input sea level pressure. (d) is similar to (c) but is based on the NCEP/NCAR reanalysis.

Figure 2. The standard deviation of the simulated (a) surface layer $PM_{2.5}$ concentrations ($\mu g \cdot m^{-3}$) and (b) column burdens of $PM_{2.5}$ ($mg \cdot m^{-2}$) during boreal winter averaged from 1986 to 2006. (c) The horizontal distribution of boreal winter climatological mean wind at 850 hPa ($m \cdot s^{-1}$), shaded indicates the Tibetan Plateau.

Figure 3. (a) The spatial distribution of the correlation coefficients between surface layer $PM_{2.5}$ concentrations and the Niño3 index. (b) As in (a), but for the correlations with the NAOI. Color shading indicates a significant correlation at the 0.1 level (0.37 is the critical value for significance at the 0.1 level).

Figure 4. Spatial distribution of the correlation coefficients between (a) positive and (b) negative Niño3 index values and surface-layer $PM_{2.5}$ concentrations. (c)-(d) as in (a)-(b), but for the NAOI. Color shading indicates a significant correlation, (0.35 and 0.45 are the critical value for significance at the 0.2 and 0.1 level~~.~~, respectively).

Figure 5. The spatial distribution of the simulated anomalous (left panel) surface layer $PM_{2.5}$ concentrations ($\mu g \cdot m^{-3}$) and (right panel) column burdens of $PM_{2.5}$ ($mg \cdot m^{-2}$) during the boreal winters of 1997 (upper) and 2002 (below).

Figure 6. The pressure–latitude distribution of zonally averaged $PM_{2.5}$ anomalies over 105°–120°E during the winters of (a)1997 and 2002 ($\mu g \cdot m^{-3}$).

Figure 7. The horizontal distribution of surface wind ($m \cdot s^{-1}$) and surface level pressure (hPa) based on the assimilated meteorological data during the autumns of (a) 1997 and (b) 2002.

Figure 8. The horizontal distribution of skin temperature anomalies (°C) based on the assimilated meteorological data during the (a) autumn and (b) winter of 1997. (c)-(d) As in (a)-(b), but during 2002.

Figure 9. Horizontal distribution of the divergence ($10^{-5}s^{-1}$) at 300 hPa during the winters of (a) 1997 and (b) 2002. The crosses denote the centers of action of the AEA pattern.

Figure 10. Horizontal distribution of 850 hPa wind anomalies (vectors; m s^{-1}) and divergence (shading; $10^{-5}s^{-1}$) at 700 hPa during the winters of (a) 1997 and (b) 2002.

Figure 11. The spatial distribution of the vertically integrated wet deposition flux anomalies during the winters of (a) 1997 and (b) 2002. (c)-(d), As in (a)-(b), but for the anomalous distribution of aerosol concentrations when the wet deposit is turned off.