

**Molecular Composition and Photochemical Evolution of Water  
Soluble Organic Carbon (WSOC) Extracted from Field Biomass  
Burning Aerosols using High Resolution Mass Spectrometry**

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25    **ABSTRACT**

26    Photochemistry plays an important role in the evolution of atmospheric water soluble  
27    organic carbon (WSOC), which dissolves into clouds, fogs and aerosol liquid water. In  
28    this study, we tentatively examined the molecular composition and evolution of a  
29    WSOC mixture extracted from field-collected wheat straw burning aerosol (WSBA)  
30    samples upon photolysis, using direct infusion electrospray ionization (ESI) coupled to  
31    high-resolution mass spectrometry (HRMS) and liquid chromatography (LC) coupled  
32    with HRMS. For comparison, two typical phenolic compounds (i.e., phenol and  
33    guaiacol) emitted from lignin pyrolysis in combination with hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>)  
34    as a typical OH radical precursor were simultaneously exposed to simulated sunlight  
35    irradiation. Their photochemical products such as phenolic dimers (e.g., m/z 185.0608  
36    for phenol dimer and m/z 245.0823 for guaiacol dimer) or their isomers, were also  
37    observed in field-collected WSBA samples, suggesting that the aqueous-phase  
38    reactions might contribute to the formation of emitted biomass burning aerosols. The  
39    aqueous photochemistry of both the phenols (photooxidation) and WSBA extracts  
40    (direct photolysis) could produce a series of highly oxygenated compounds which in  
41    turn increases the oxidation degree of organic composition and acidity of the bulk  
42    solution. In particular, the LC/ESI-HRMS technique revealed significant  
43    photochemical evolution of the WSOC composition in WSBA samples, e.g., the  
44    photodegradation of low oxygenated species and the formation of highly oxygenated  
45    products. We also tentatively compared the mass spectra of photolytic time-profile  
46    WSBA extracts with each other for a more comprehensive description of the photolytic

47 evolution. The calculated average oxygen-to-carbon ratio (O/C) of oxygenated  
48 compounds in bulk extract increases from  $0.38 \pm 0.02$  to  $0.44 \pm 0.02$  (mean  $\pm$  standard  
49 deviation) while the intensity (S/N)-weighted average O/C ( $O/C_w$ ) increases from 0.45  
50  $\pm 0.03$  to  $0.53 \pm 0.06$  as the time of irradiation extends from 0 to 12h. These findings  
51 indicate that the water soluble organic fraction of combustion-derived aerosols has the  
52 potential to form more oxidized organic matter, contributing to the highly oxygenated  
53 nature of atmospheric organic aerosols.

## 54 **1 INTRODUCTION**

55 Water-soluble organic carbon (WSOC) comprises a significant fraction of  
56 atmospheric aerosols, accounting for 20–80% of total organic carbon (OC) (Krivacsy  
57 et al., 2001; Wozniak et al., 2008; Fu et al., 2015; Xie et al., 2016). WSOC is directly  
58 involved in the formation of cloud condensation nuclei (CCN) by modifying the  
59 aqueous chemistry and surface tension of cloud droplets (Graham et al., 2002; Nguyen  
60 et al., 2012; Zhao et al., 2013; McNeill 2015). Despite its significance, little is known  
61 about the chemical composition and sources of WSOC, with less than 10–20% of the  
62 organic mass being structurally identified (Cappiello et al., 2003; Fu et al., 2015).  
63 Biomass burning is a well-known emission source of WSOC (Anastasio et al., 1997;  
64 Fine et al., 2001; Graham et al., 2002; Mayol-Bracero et al., 2002; Gilardoni et al.,  
65 2016). Although the composition varies with fuel type and combustion conditions  
66 (Simoneit 2002; Smith et al., 2009), the WSOC mixture often covers a common range  
67 of polar and oxygenated aromatic compounds (Graham et al., 2002; Mayol-Bracero et  
68 al., 2002; Duarte et al., 2007; Chang and Thompson 2010; Yee et al., 2013; Gilardoni

69 et al., 2016) with molecules incorporating different numbers of functional groups like  
70 hydroxyl, carboxyl, aldehyde, ketone, ester, amino and/or other nitrogen-containing  
71 groups (Graham et al., 2002). In particular, lignin pyrolysis often yields a large amount  
72 of aromatic alcohols, carbonyls, and acid compounds (Mayol-Bracero et al., 2002;  
73 Chang and Thompson 2010; Gilardoni et al., 2016). Once dissolved into cloud, fog, and  
74 even aerosol liquid water, these substances can undergo aqueous-phase reactions to  
75 generate low-volatility species under sunlight irradiation, which have the potential to  
76 form secondary organic aerosol (SOA) after water evaporation (Graham et al., 2002;  
77 Cappiello et al., 2003; Duarte et al., 2007; Sun et al., 2010; Yu et al., 2014).

78 Field and laboratory studies have demonstrated that aqueous photochemical  
79 processes contribute significantly to the aqueous SOA formation from biomass burning  
80 precursors and the evolution of smoke particles (Sun et al., 2010; Lee et al., 2011;  
81 Kitanovski et al., 2014; Yu et al., 2014; McNeill 2015; Gilardoni et al., 2016). Gilardoni  
82 et al. (2016) observed aqueous SOA formation in both fog water and wet aerosols,  
83 resulting in an enhancement in the oxidized OA, and following atmospheric aging the  
84 overall oxidation degree of aerosols has also increased. In laboratory studies, phenols  
85 and methoxyphenols (important biomass burning intermediates) are often used as SOA  
86 precursors to examine the photochemical evolution in aqueous environments and  
87 aerosol-forming potential under relevant atmospheric conditions (Chang and  
88 Thompson 2010; Sun et al., 2010; Smith et al., 2014; Yu et al., 2014; Vione et al., 2019).  
89 The corresponding photochemical products formed through hydroxylation,  
90 oligomerization, and fragmentation typically cover a series of low-volatility and highly

91 oxygenated species. For instance, the methoxyphenol-derived SOA are proposed as a  
92 proxy for atmospheric humic-like substances (HULIS) (Ofner et al., 2011; Yee et al.,  
93 2013). Other compounds emitted from lignin pyrolysis, e.g., aromatic alcohol, carbonyl,  
94 and carboxylic species retaining the phenyl ring have also been found to produce  
95 colored products via aqueous photooxidation, which may become a part of HULIS  
96 (Chang and Thompson 2010; Huang et al., 2018). In addition, photochemical  
97 processing of common water-soluble aliphatic compounds such as aldehydes (Lim and  
98 Turpin 2015), polyols (Daumit et al., 2014), and organic acids (Griffith et al., 2013) in  
99 aqueous solution can also lead to the formation of oligomers, highly oxygenated and  
100 multifunctional organic matter (McNeill 2015).

101 In recent years, high resolution mass spectrometry (HRMS) has been commonly  
102 applied to study the organic molecular composition in cloudwater (Zhao et al., 2013;  
103 Boone et al., 2015), fogwater (Cappiello et al., 2003), rainwater (Altieri et al., 2009a;  
104 Altieri et al., 2009b), laboratory-generated SOA (Bateman et al., 2011; Romonosky et  
105 al., 2015; Lavi et al., 2017), and field-collected aerosol samples (Laskin et al., 2009;  
106 Lin et al., 2012a; Lin et al., 2012b; Kourtchev et al., 2013; Tong et al., 2016; Wang et  
107 al., 2017). It has also been used in time-profile observations of the photochemical  
108 evolution of aqueous extracts from laboratory-generated SOAs (Bateman et al., 2011;  
109 Romonosky et al., 2015). However, direct infusion MS methods are prone to ion  
110 suppression caused by other organic species, inorganic salts, and adduct formation  
111 (Kourtchev et al., 2013). Therefore, liquid chromatography (LC) coupled with HRMS  
112 might be another complementary powerful tool for relieving ion suppression due to its

abilities to separate and analyze different kind of compounds with differences in LC retention time (Kourtchev et al., 2013; Wang et al., 2016). It could also provide more information enabling the identification of possible isomers from the ions with same mass-to-charge ratio ( $m/z$ ).

To our knowledge, the aqueous photochemical evolution of WSOC extracted from real ambient aerosols has not been studied in detail at the molecular level. Our previous study has revealed that the ultraviolet-visible (UV-VIS) absorption spectra of aqueous extracts from field biomass burning aerosols were modified under simulated sunlight illumination (Cai et al., 2018). Based on the previously studied field-collected samples, the present study is focused on a further analysis to investigate the molecular characteristics of water-soluble organic molecules by the photochemical evolution using electrospray ionization (ESI)-HRMS and LC/ESI-HRMS performed in negative ionization mode. For comparison, we also evaluated the photochemistry of phenol and guaiacol (representing the basic structures of phenols emitted from lignin pyrolysis) under laboratory conditions, and tentatively traced some of their photochemical products (e.g. dimers) in field-collected samples under study.

## **2 EXPERIMENTAL SECTION**

### **2.1 Particulate sample collection and preparation of aqueous extracts**

The wheat straw burning aerosol (WSBA) samples were collected during the summer harvest season of 2013, at rural fields in the plain of north China where the wheat was the main agricultural crop (Cai et al., 2018). To facilitate subsequent planting and

management, a large amount of fresh wheat straw was directly burned in the field during the harvest season, and the water emitted from burning plant body could provide a suitable environment for aqueous photochemistry of dissolved compounds. The selected WSBA samples used for HRMS analysis were collected from two sampling sites, located at rural fields in Wenxian in Henan Province (noted: HNWX) and Daming in Hebei Province (HBDM). As described in Cai et al. (2018), the selected sampling sites were mainly affected by heavy smog from wheat straw burning (Figure 1). The emitted fine particulate matter with aerodynamic diameter  $\leq 2.5\mu\text{m}$  ( $\text{PM}_{2.5}$ ) was collected at a flow rate of  $5\text{ L min}^{-1}$  by a portable particulate sampler (MiniVol TAS, AirMetrics, USA), with quartz fiber filters (47mm in diameter, QMA, Whatman, UK) baked at  $600^{\circ}\text{C}$  for 6 hours before sampling. The sampling flow rate was calibrated with a standard flow meter (Bios Defender 520) and the sampling time of each filter was restricted to 30-60 minutes depending on the ambient biomass burning aerosol concentration and expected filter loading (Cai et al., 2018). After collection, the filter samples were stored in dark and transported to the laboratory, and then stored at  $-20^{\circ}\text{C}$  under a light-proof condition.

The preparation of WSOC extracts and measurements for carbon content including organic carbon (OC), elemental carbon (EC) and WSOC were described in detail in Cai et al. (2018). Briefly, a part of each quartz fiber filters ( $1.6\text{-}3.2\text{ cm}^2$ ) was placed into a brown vial and extracted with ultra-pure water (Milli-Q, Milipore) for two times; at each time 5 ml ultra-pure water with a 30 min ultrasonic agitation was applied. The two-time extracts were combined and filtered through a PTFE syringe filter ( $0.2\text{ }\mu\text{m}$

156 pore size, Thermo Scientific), followed by a pH measurement with a pH meter (Mettler  
157 Toledo SevenEasy™ S20) that has been regularly calibrated at pH 4.00 and 6.86. Prior  
158 to analysis the extracts were stored at -20°C in the dark. To reduce the WSOC mass loss,  
159 the desalting treatment (e.g., solid phase extraction (SPE)) was not performed on these  
160 samples.



161  
162 **Figure 1. One field site at Daming, Hebei province, China, for sampling the aerosols affected**  
163 **by biomass burning.**

## 164 **2.2 Direct photolysis of WSOC extracts**

165 A 12-hour direct photolysis of WSOC extracts obtained from WSBA samples was  
166 performed in a photo-reactor (BL-GHX-V, Bilon Instruments Co. Ltd., China, see  
167 Figure S1) that was equipped with a solar simulator (Xe lamp, 1000W) placed into a  
168 double-deck quartz condenser (Cai et al., 2018). A cooling water (18°C) was circulating  
169 in the outer tube of the condenser to avoid heating of the samples. In the wavelength  
170 range of 310-400 nm relevant to the boundary layer of the atmosphere, the actinic flux



of the lamp is about 5 times stronger than the solar actinic flux, meaning that the spectral evolution via the 12-hour simulated solar irradiation might be equal with the effect caused by actual sunlight irradiation with a duration of at least 60 hours (Cai et al., 2018). Air-tight quartz tubes (1.5cm in diameter, 3ml solution per tube) loading extracts were equidistantly arranged around the lamp. Each extract was distributed into three tubes that corresponded to three different irradiation times, i.e. 0, 4, and 12 h, with no oxidants added externally throughout the whole photolytic process. At each irradiation time point (e.g., 0 and 4 h), the related tubes were wrapped with aluminum foil, and placed at the initial location until the end of 12-h photolysis (Cai et al., 2018).

As described in Cai et al. (2018), the water extraction resulted in a dilution of the collected organic compounds, however, the ratio of the water mass to PM<sub>2.5</sub> mass for extract samples (ranging from  $1.8 \times 10^3$  to  $3.4 \times 10^4$ ) was compatible with the ratio of water mass to WSOC content in cloud water (in a wide range from  $1.4 \times 10^2$  to  $1.6 \times 10^4$ ) (Li et al., 2017), indicating that the present aqueous extracts are relevant to the atmospheric cloud water condition.

### **2.3 Photooxidation of phenolic compounds under laboratory conditions**

Initial solutions of 0.1 mM phenol (C<sub>6</sub>H<sub>6</sub>O) and 0.1 mM guaiacol (C<sub>7</sub>H<sub>8</sub>O<sub>2</sub>) in combination with an OH radical precursor (0.1 mM H<sub>2</sub>O<sub>2</sub>) were prepared in ultra-pure water (Milli-Q, Milipore). The pH of the solution was adjusted to 5 with 0.1 M sulfuric acid (H<sub>2</sub>SO<sub>4</sub>), which is usually relevant to the acidity in fog and cloud waters (Collet et al., 1998; Fahey et al., 2005). The prepared solution and reference blank were irradiated by simulated sunlight irradiation with a duration of 4 hours. Hereby, we mainly focus

on acquiring the chemical characteristics of aqueous products of phenols, and tentatively identify some tracer compounds (e.g., phenolic dimers) whether they exist in present biomass burning particulate samples.

## 2.4 Sample analysis

The direct infusion MS analysis was conducted using a Thermo Scientific Orbitrap Fusion Tribrid mass spectrometer equipped with quadrupole, orbitrap, and linear ion trap mass analyzers, with a heated ESI source. To assist in ionization and desolvation, the sample was diluted to a 1:1 mixture of acetonitrile and sample by volume. The full scan mass spectra were acquired in negative ionization mode, with a resolution of 120000 at  $m/z$  200 for the Orbitrap analyzer and a mass scan range of  $m/z$  50-750. Before determination, the Orbitrap analyzer was externally calibrated for mass accuracy using Thermo Scientific Pierce LTQ Velos ESI calibration solution. The direct infusion parameters were as follows: sample flow rate  $5 \mu\text{L min}^{-1}$ ; capillary temperature  $300^\circ\text{C}$ ; S-lens RF 65%; spray voltage -3.5 kV; sheath gas, auxiliary gas, and sweep gas flows were 10, 3, and 0 arbitrary units, respectively. Data collecting was performed when the intensity of the total ion current (TIC) maintained constant with a relative standard deviation (RSD) under 5%. At least 100 data points (mass spectral scans) were collected for each test sample, and the each exported mass spectrum for analysis was derived from the average result of 100 spectrums.

The LC/ESI-HRMS analysis operated in negative ionization mode was performed using a U3000 system coupled with a T3 Atlantis C18 column ( $3 \mu\text{m}$ ;  $2.1 \times 150 \text{ mm}$ ; Waters, Milford, USA) and an Orbitrap Fusion MS. A  $10 \mu\text{L}$  sample was injected, with

215 a flow rate of 0.2 ml min<sup>-1</sup> for the mobile phase, which consisted of H<sub>2</sub>O (A) and  
216 acetonitrile (B). The gradient applied was 0-5 min 3% B; 5-20 min from 3 to 95%  
217 (linear), and kept for 25 min at 95%; and 45-50 min from 95 to 3%, and held for 10 min  
218 at 3% (total run time 60 min).

## 219 **2.5 Data processing**

220 Mass spectral peaks with three times larger than the signal to noise ratio (S/N) were  
221 extracted from the raw files. Peaks in both sample and blank spectra were retained if  
222 their intensity in the former was five times larger than in the latter. A common molecular  
223 assignment based on the accurate mass was performed using Xcalibur software (V3.0  
224 Thermo Scientific) with the following constraints: <sup>12</sup>C≤50, <sup>13</sup>C≤1, <sup>1</sup>H≤100, <sup>16</sup>O≤50,  
225 <sup>14</sup>N≤4, <sup>32</sup>S≤1, and <sup>34</sup>S≤1. All mathematically possible elemental formulas, with a mass  
226 tolerance of ±3ppm were calculated. Elemental formulas containing <sup>13</sup>C or <sup>34</sup>S were  
227 checked for the presence of <sup>12</sup>C or <sup>32</sup>S counterparts, respectively. If they were not  
228 matched with the corresponding monoisotopic formulas, then the assignment with next  
229 larger mass error was considered. Isotopic and unassigned peaks were excluded from  
230 further analysis.

231 Ions were also characterized by the number of rings plus double bonds (i.e., double  
232 bond equivalents (DBE)), which were calculated as:  $DBE = c - h/2 + n/2 + 1$  for an  
233 elemental composition of C<sub>c</sub>H<sub>h</sub>O<sub>o</sub>N<sub>n</sub>S<sub>s</sub>. The assigned formula was additionally checked  
234 with the nitrogen rule. For ambient samples, based on the presence of various elements  
235 in a molecule, the identified elemental formulas were classified into several main  
236 compound classes: CHO (i.e., molecules containing only C, H, and O atoms), CHOS,

237 CHON, and CHONS, and others including CHN and CHS. In the present study, because  
238 the detected water-soluble ions almost were below  $m/z$  400, we focused our molecular  
239 analysis on  $m/z$  50-400.

## 240 **3 RESULTS AND DISCUSSION**

### 241 **3.1 Mass spectral characteristics of WSOC extracts from WSBA samples**

242 The preliminary analysis showed that the  $PM_{2.5}$  concentration in ambient air near to  
243 the burning sites ranged from 6.46 to 28.03  $mg\ m^{-3}$  (Table S1). OC was the major  
244 component of the collected  $PM_{2.5}$  with a proportion of  $50.9 \pm 7.6\%$  (mean  $\pm$  standard  
245 deviation), whereas EC represented a negligible fraction (average  $1.3 \pm 0.4\%$ ).  
246 Meanwhile, WSOC accounted for  $35.5 \pm 7.5\%$  of OC in the tested samples.

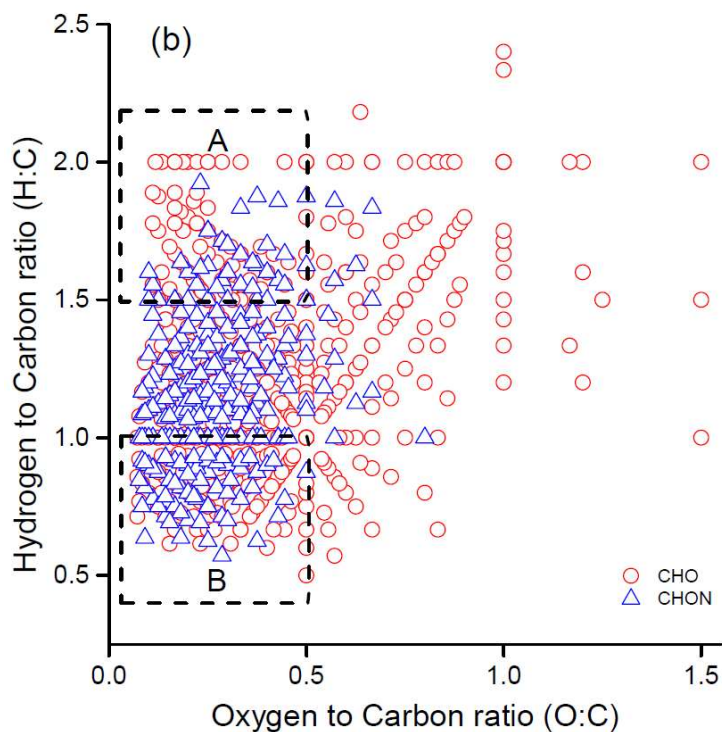
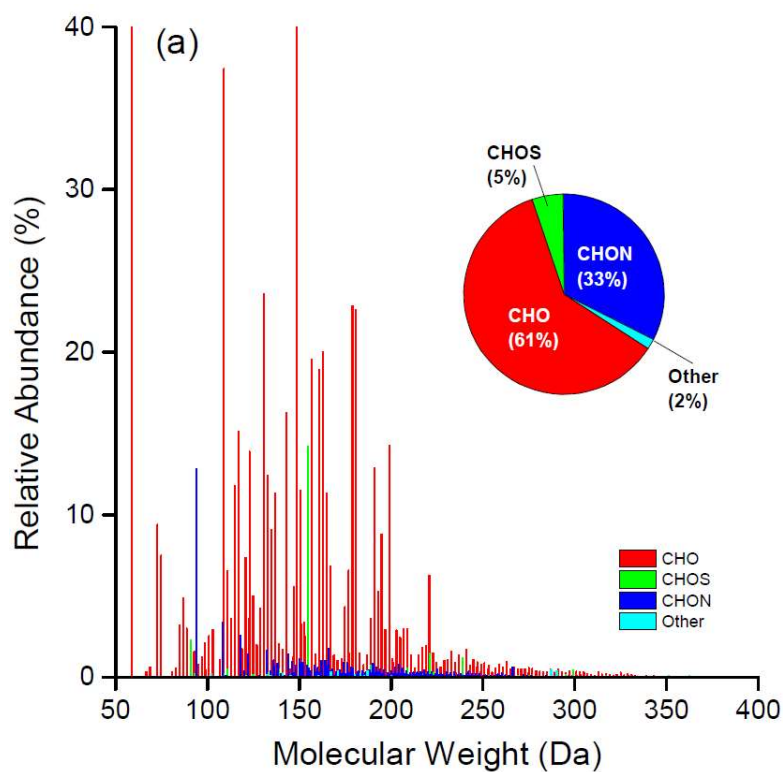
247 Although this batch of aerosol samples were collected from different sites, their  
248 water-extracted solutions showed similar light-absorbing characteristics in UV-VIS  
249 absorption spectra (Cai et al., 2018). Here, four extract samples (HNWX-1, HNW-2,  
250 HBDM-1 and HBDM-2) (Table S1) were chosen for further analysis using high  
251 resolution mass spectrometry. These samples also exhibited similar patterns in mass  
252 distribution of water-soluble molecular species that mainly range from 50 to 400 Da,  
253 which indicated a similar burning source for these samples. A reconstructed mass  
254 spectrum (subtracted blank) for one representative sample of HNW-1 is shown in  
255 Figure 2a (others are shown in Figure S2). In mass range 50-400 Da, there were  $827 \pm$   
256 44 molecular formulas identified throughout the all samples, and most of the formulas  
257 (above 75%) were overlapped between these analyzed samples. The classification  
258 features of assigned compounds for analyzed extracts are shown in Table S2. In the

amount of assigned formulas, CHO composition was the most abundant group, accounting for  $59.2 \pm 2.2\%$  of the total assignments, followed by CHON ( $35.0 \pm 2.2\%$ ). These results are consistent with previous observations of laboratory-generated biomass burning aerosol (Smith et al., 2009) and field particulate samples influenced by biomass combustion (Kourtchev et al., 2016) in spite of the differences of biomass varieties, extracted solvents, and HRMS techniques between present and previous studies.

On the other hand, CHOS and CHONS compounds contributed with less than 5% to the total assignment. A number of studies have shown the wide presence of organosulfates and nitrooxy-organosulfates in urban (Lin et al., 2012b; Wang et al., 2016), rural (Lin et al., 2012a), and forest aerosols (Kourtchev et al., 2013), and even in cloudwater (Boone et al., 2015); however, most of these compounds were not observed in our negative mass spectra. This could be accounted for by the low extent of aerosol evolution, due to the limited oxidation conditions available for the formation of organosulfates and nitrooxy-organosulfates in fresh smoke aerosols. For example, laboratory studies have observed the significant formation of organosulfates via photooxidation in the presence of acidic sulfate aerosol (with significant level of  $\text{SO}_2$  concentration) (Surratt et al., 2007; Surratt et al., 2008). All detected ion species with enabled formula assignments in present samples are listed in Table S3. In general, CHN and CHS compounds are not ionized well in negative ESI mode, which could be a reason why these species were not the most prevalent compounds in this study.

It should be also noted that the negative ionization mode selectively targets to detect those molecules containing polar functional groups (e.g., -OH and -COOH) that could

281 be readily deprotonated. There are number of compounds that are not easily  
282 deprotonated and might show up preferentially in positive ionization mode (e.g.,  
283 amines). Furthermore, the formula numbers detected in the HRMS potentially contain  
284 multiple structural isomers; therefore, the actual number of water-soluble organic  
285 species is expected to be underestimated. The additional LC/ESI-HRMS analysis  
286 operated in negative mode confirmed a substantial number of ion masses (e.g., assigned  
287 CHO and CHON compounds) containing more than one structural isomer, which could  
288 be observed at different retention times (RTs) in chromatograms. Two representative  
289 groups of extracted chromatograms for CHO ( $[C_7H_5O_n]^-$ , (n=2~4)) and CHON  
290 ( $[C_7H_5O_nN]^-$ , (n=1~3)) compounds are shown in Figure S3 and S4, respectively, where  
291 increasing the O or N atom number in a molecule might lead to more isomer peaks.  
292 However, it should be noted that these LC-separated peaks might also include other  
293 unidentified compounds that were outside of the elemental assignment considered in  
294 this study. Additionally, low mass loading and potential decomposition under the  
295 ionization can also limit the detection of some high molecular weight species.



**Figure 2. (a) Reconstructed mass spectra for detected ions with assigned formulas and (b) Van Krevelen diagrams for CHO and CHON species in extract of HNWX-1 sample. The inset pie charts in (a) show the number fraction of each class in the total assigned compounds. Areas A and B in (b) are tentatively attributed to aliphatic and aromatic species, respectively.**

303 The interpretation of the complex organic mass spectra generated by high resolution  
304 mass spectrometry can be simplified by plotting the hydrogen to carbon ratio (H/C)  
305 against the oxygen to carbon ratio (O/C) for individual assigned atomic formulas in  
306 form of the Van Krevelen (VK) diagram (e.g. Lin et al., 2012a; Kourtchev et al., 2013).  
307 Figure 2b indicates a representative VK diagram of CHO and CHON compounds  
308 derived from HNWX-1 sample. It can be clearly seen from Figure 2b that the majority  
309 of CHO and CHON molecules are located at the region of  $O/C \leq 1.0$  and  $H/C \leq 2.0$ . In  
310 VK diagram, molecules with  $H/C \leq 1.0$  and  $O/C \leq 0.5$  are typical for aromatic species,  
311 while molecules with  $H/C \geq 1.5$  and  $O/C \leq 0.5$  would be associated with typical  
312 aliphatic compounds (Mazzoleni et al., 2012; Kourtchev et al., 2014). The average  
313 double bond equivalent (DBE) showed relative high values with 5.5 for CHO  
314 compounds and 6.1 for CHON compounds (Table S2), suggesting that unsaturated  
315 organic species were abundant in present samples, and their presence could partially  
316 account for the strong light-absorbing feature in the near-UV region as observed in our  
317 previous study (Cai et al., 2018).

318 Throughout the extract samples, the average H/C and O/C values were ranging from  
319  $1.26 \pm 0.38$  to  $1.31 \pm 0.40$  and from  $0.34 \pm 0.24$  to  $0.42 \pm 0.29$  for CHO compounds, and  
320 from  $1.19 \pm 0.32$  to  $1.23 \pm 0.35$  and from  $0.28 \pm 0.17$  to  $0.29 \pm 0.15$  for CHON compounds  
321 (Table S2), respectively. Although the ESI analysis were performed in the negative  
322 ionization mode, the measured O/C exhibit rather low values, which fall in the range of  
323 O/C ratios typical for biomass burning organic aerosol derived from positive ionization  
324 mode (Aiken et al., 2008; Kourtchev et al., 2016). Due to fresh emission and smaller



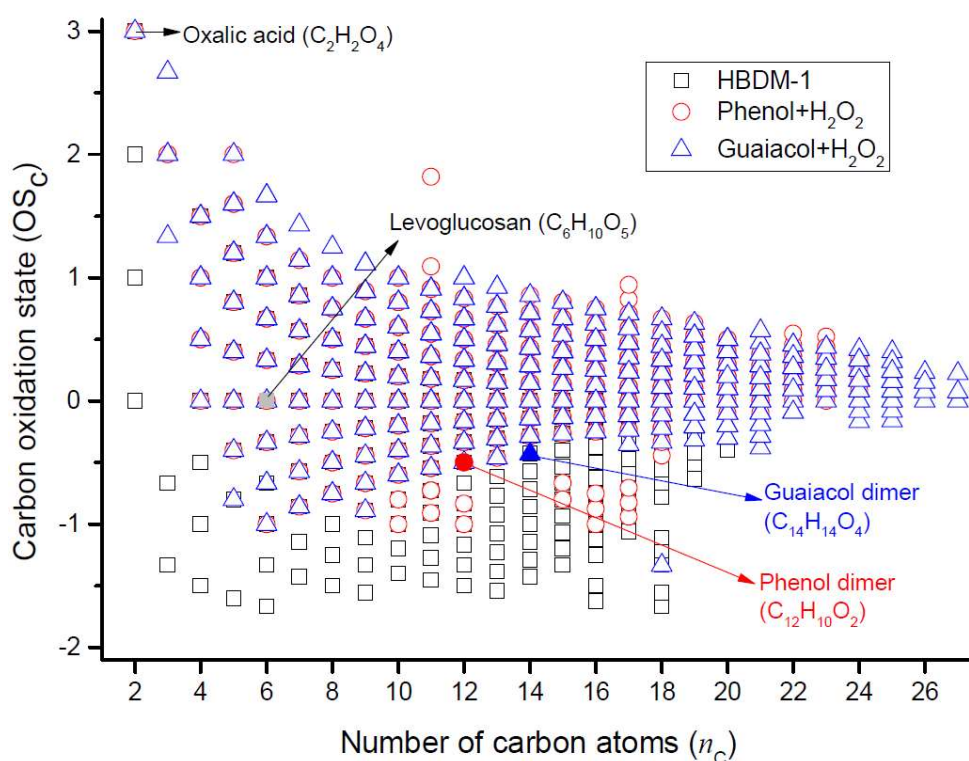
aging effect, the present O/C were obviously lower than the O/C of long-range transport biomass burning aerosols (Zhang et al., 2018).

Carbon oxidation state ( $OS_c$ ) was observed to increase with oxidation for atmospheric organic aerosol and link strongly to aerosol volatility (Kroll et al., 2011).

$OS_c$  for each molecular formula can be calculated using the following equation:

$$OS_c = - \sum_i OS_i \frac{n_i}{n_c}$$

where  $OS_i$  is the oxidation state associated with non-carbon element  $i$  and  $n_i/n_c$  is the molar ratio of element  $i$  to carbon within the molecule (Kroll et al., 2011; Kourtchev et al., 2013).



**Figure 3.** The distribution of carbon oxidation state ( $OS_c$ ) for CHO molecules in HBDM-1 and laboratory samples produced from phenol and guaiacol photooxidation in presence of  $H_2O_2$  (i.e., Phenol+  $H_2O_2$  and Guaiacol+  $H_2O_2$ ). The locations of oxalic acid (identified in HBDM-1 and laboratory samples), levoglucosan (identified in HBDM-1), phenol dimer (identified in Phenol+  $H_2O_2$ ), guaiacol dimer (identified in Guaiacol+  $H_2O_2$ ) are shown.

340 Considering that nitrogen and sulfur atoms can present multiple oxidation states, the  
341 OSc was calculated and analyzed only for CHO compounds in this study. A similar  
342 pattern of OSc values versus the number of carbon atoms ( $n_C$ ) was observed for CHO  
343 compounds detected in present WSBA samples (Figure 3 and Figure S5). From Figure  
344 3 and Figure S5, it can be seen that OSc of each sample ranges mainly from -1.5 to +1  
345 with average ranging from -0.6 to -0.4. Consistent with previous studies (Kroll et al.,  
346 2011; Kourtschev et al., 2016), the majority of molecules with  $OSc < 0$  (low oxidized  
347 organics) and carbon atoms lower than 20 are suggested to be associated with the  
348 primary organic aerosols emitted from biomass burning. A minor fraction of molecular  
349 formulas with  $OSc \geq 0$  values might be associated with semivolatile and low-volatility  
350 oxidized organic aerosols (Kroll et al., 2011). Figure 3 also shows the plot of  $OSc$  versus  
351  $n_C$  for products obtained from photooxidation of phenol and guaiacol, respectively, and  
352 their comparison with WSBA samples will be discussed in section 3.3.

### 353 **3.2 Mass spectral characteristics of the products from photooxidation of phenolic** 354 **compounds in the aqueous phase**

355 Phenol and guaiacol were chosen as two representative model compounds derived  
356 from biomass combustion. Two high resolution mass spectra of aqueous phenol and  
357 guaiacol exposed to OH radicals for 4h are shown in Figure S6, where 435  $C_xH_yO_z$   
358 molecular formulas ( $m/z$  90-500) were assigned for product ions of phenol (with  $C_3$ -  
359  $C_{24}$ ) and 624  $C_xH_yO_z$  formulas ( $m/z$  90-600) were assigned for product ions of guaiacol  
360 (with  $C_3$ - $C_{27}$ ). The average H/C and O/C values were  $0.79 \pm 0.28$  and  $0.52 \pm 0.23$  for  
361 phenol, and  $0.88 \pm 0.24$  and  $0.59 \pm 0.24$  for guaiacol, respectively. Clearly, the

362 photochemical processing induced by OH oxidation resulted in an increase in average  
363 O/C of product molecules relative to their precursors (O/C = 0.17 for phenol and O/C=  
364 0.29 for guaiacol).

365 The formation mechanisms of series of oxygenated products, e.g., phenolic  
366 oligomers, hydroxylated phenolic species, ring-opening and highly oxygenated  
367 compounds, are proposed in the literature (e.g. Sun et al., 2010; Chang and Thompson,  
368 2010; Yu et al., 2014; Huang et al., 2018). The OH-initiated reactions would result in  
369 enhanced hydroxylation of the aromatic ring as well as in increased yields of carboxylic  
370 acids and toxic dicarbonyl compounds (Sun et al., 2010; Yu et al., 2014; Prasse et al.,  
371 2018). For example, some highly oxygenated C<sub>2</sub>-C<sub>5</sub> aliphatic compounds (e.g., C<sub>2</sub>H<sub>2</sub>O<sub>4</sub>,  
372 C<sub>3</sub>H<sub>4</sub>O<sub>4</sub>, C<sub>4</sub>H<sub>6</sub>O<sub>4</sub>, and C<sub>5</sub>H<sub>6</sub>O<sub>5</sub>) corresponding to carboxylic acids (Yu et al., 2014) were  
373 clearly observed in the mass spectra of present photochemical products. The occurrence  
374 of these oxygenated products not only directly increased the degree of oxygenation in  
375 the bulk solution composition, but also contributed to the variation of solution acidity.  
376 After the 4-hours photochemical process, the pH values of the irradiated solution were  
377 significantly lower than the pH values of the solution prior to irradiation (t-test,  $p < 0.05$ ),  
378 and the calculated acidities ( $[H^+]$ ) of the bulk solution increased by  $(2.96 \pm 0.15) \times 10^{-5}$   
379 M and  $(4.26 \pm 0.16) \times 10^{-5}$  M for phenol and guaiacol, respectively.

380 The oligomerization induced by photochemical transformation of phenolic  
381 substances is an important formation pathway for low-volatility, light-absorbing  
382 compounds (Smith et al., 2016). Here, phenolic dimers (i.e., C<sub>12</sub>H<sub>10</sub>O<sub>2</sub> for phenol  
383 dimer and C<sub>14</sub>H<sub>14</sub>O<sub>4</sub> for guaiacol dimer) and higher oligomers (e.g., C<sub>18</sub>H<sub>14</sub>O<sub>3</sub> and

384  $C_{24}H_{18}O_4$  for phenol trimer and tetramer,  $C_{21}H_{20}O_6$  for guaiacol trimer), as well as their  
385 hydroxylated species were observed. The formation mechanism can be ascribed to C-  
386 O or C-C coupling of phenoxy radicals that were formed via H-abstraction of the  
387 phenols or OH addition to the aromatic ring (Net et al., 2009, Sun et al., 2010). The  
388 reaction at the para position or para-para coupling was more likely to occur due to a  
389 higher probability of free electron to occur in this position (Lavi et al., 2017) or a weaker  
390 steric hindrance in the para position.

### 391 **3.3 Comparison of the photochemical products of phenolic compounds and the** 392 **CHO composition in WSOC extracts from WSBA samples**

393 Compared to the CHO compounds detected in WSOC extracts, the photochemical  
394 products of the two phenols under study showed a higher O/C and a lower H/C values.  
395 The average  $OS_C$  of photochemical products from phenol ( $OS_C = -0.7$ ) and guaiacol  
396 ( $OS_C = -0.6$ ) after a 4-hour photooxidation raised to  $+0.2$  and  $+0.3$ , respectively,  
397 showing distinctly a higher degree of oxidation than the present WSBA samples. In  
398 Figure 3, more species with  $OS_C < 0$  (especially  $OS_C < -0.5$ ) are presented in the field  
399 sample (HBDM-1), while the species with  $OS_C \geq 0$  are prevalent in photochemical  
400 products of phenol and guaiacol. The single-precursor systems in laboratory did not  
401 completely reflect the CHO composition features in water-soluble extracts from real  
402 straw-burning samples that contained a myriad of precursors and unknown substances  
403 from atmospheric background, soil and other sources. Considering that a large number  
404 of phenols and methoxyphenols exist in the straw-burning smokes and their potential  
405 to undergo photochemical aging, the nature of emitted primary organic aerosols is

406 reasonably more complicated than the nature of simulated products derived from single-  
407 precursor systems.

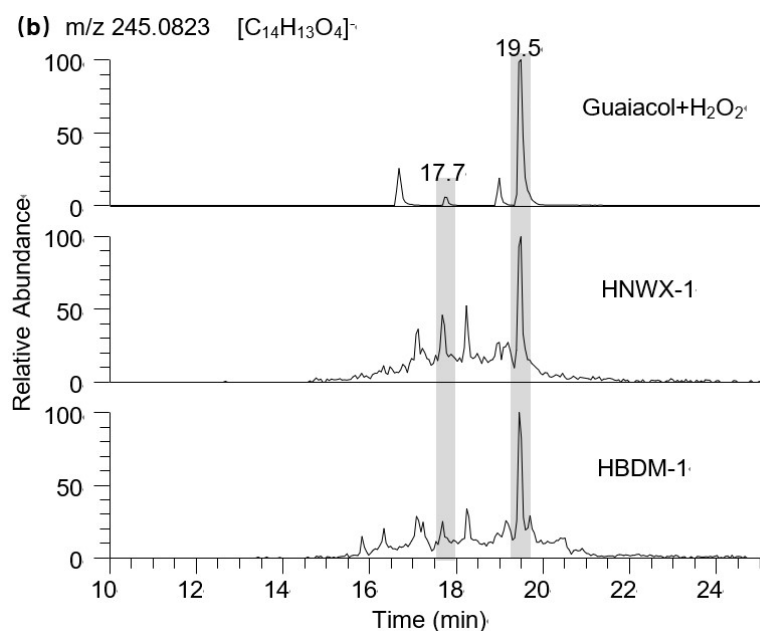
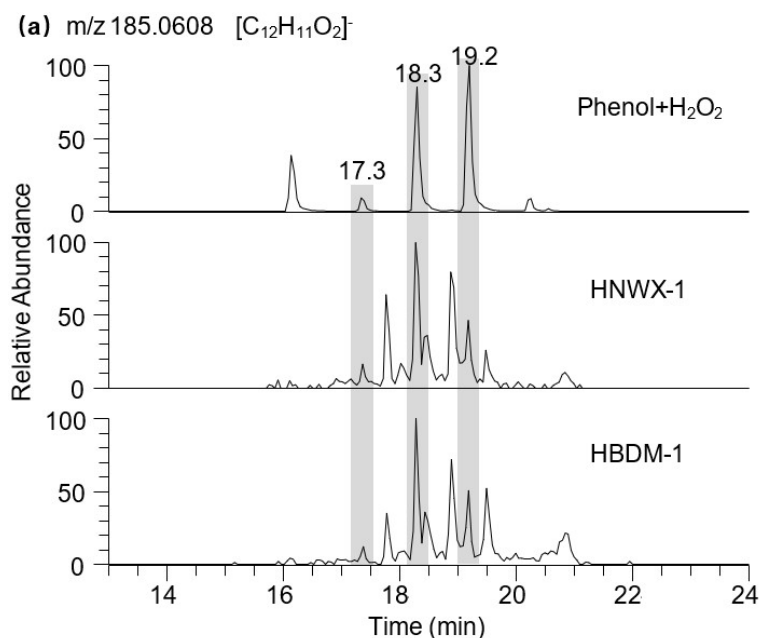
408 The extracted LC chromatograms of  $m/z$  185.0608 and 245.0823 are shown in Figure  
409 4, respectively, where both ions involve dimers of phenol and guaiacol with several  
410 structures, and/or other isomers. The presence of guaiacol dimer and syringol dimer  
411 was previously observed in aerosol samples largely affected by wood combustion.  
412 Based on the Aerosol Mass Spectrometer (AMS) analysis, these two dimers were  
413 suggested as markers of biomass burning aerosols (Sun et al., 2010; Yu et al., 2014). In  
414 the composition of present biomass burning aerosols, the phenolic dimers ( $m/z$   
415 185.0608 and 245.0823) were also observed in present mass spectra, but the extracted  
416 LC chromatograms shown in Figure 4 indicate that these ions contain multiple RT peaks.  
417 The same peaks with RT 18.3 and 19.2 min which are assumed to be the phenol dimers  
418 were observed during the photochemical transformation of phenol (Figure 4a) and in  
419 the WSBA samples. Meanwhile, the present particle extracts may also involve guaiacol  
420 dimer, since its  $m/z$  245.0823 has two LC peaks emerged at RT 17.7 and 19.5 min  
421 (Figure 4b) same as the peaks identified during the photochemical transformation of  
422 guaiacol. Considering that a substantial amount of moisture in plant body (Bi et al.,  
423 2009) was discharged during the process of straw combustion, the occurrence of  
424 phenolic dimers might indicate that the aqueous phase reactions played an important  
425 role in the formation and evolution of emitted aerosol organic composition.

426 Typical hydroxylated species such as, e.g.,  $C_2H_2O_4$ ,  $C_6H_6O_2$ ,  $C_7H_6O_3$ ,  $C_7H_8O_3$ , were  
427 also found in the samples from photooxidation of both phenols and the WSBA samples.

428 The comparison of the photochemical products from phenols and the WSBA samples  
429 revealed their significant difference, pointing to the importance of studying real aerosol  
430 samples against the laboratory model compounds. However, evaluating the model  
431 compounds as proxy of real aerosol samples is always helpful as a reference. To this  
432 end, it is worth noting that potentially other phenols and methoxyphenols (e.g.,  
433 acetosyringone, vanillin) that dissolve into cloud, fog droplets or aerosol liquid water  
434 can be photochemically transformed and contribute to the SOA formation (Vione et al.,  
435 2019, Zhou et al., 2019).

### 436 **3.4 Photolysis of WSOC extracts from WSBA samples**

437 Although the direct photolysis was performed on present WSOC extracts from WSBA  
438 samples in presence of simulated sunlight irradiation without adding any oxidants, the  
439 photooxidation process still occurred since the particle extracts were very likely to  
440 include various oxidants, e.g., singlet molecular oxygen ( $^1\text{O}_2$ ), peroxides, hydroxyl  
441 radical (OH) or excited triplet state of organics produced under light excitation  
442 (Anastasio et al., 1997; Vione et al., 2006; Net et al., 2009; Net et al., 2010a; Bateman  
443 et al., 2011; Rossignol et al., 2014; Smith et al., 2014; Gómez Alvarez et al., 2012). In  
444 particular, the excited triplet state of aromatic carbonyls (e.g., 3, 4-  
445 dimethoxybenzaldehyde) (Net et al., 2010b) was found to be more efficient than OH  
446 radical to oxidize phenols and produce hydroxylated species (Smith et al., 2014., Yu et  
447 al., 2014). This photosensitized reaction is likely to play an important role in the WSOC  
448 evolution, due to high quantities of aromatic carbonyls present in the extracts of  
449 biomass burning aerosols.



**Figure 4. Extracted LC chromatograms of (a)  $m/z$  185.0608 and (b)  $m/z$  245.0823 in photochemical samples of phenols, HNWX-1, and HBDM-1, respectively.**

The variation in peak abundance at unique retention times in the chromatogram could reflect the extent of evolution of WSOC molecules with accurate molecular weights, although no available standards were utilized for absolute quantification. The LC/ESI-HRMS monitors obviously changes in the molecular features of partial CHO species,

i.e., photodegradation of low oxygenated compounds and formation of high oxygenated compounds. Table 1 lists the CHO compounds for which the LC peak intensities significantly increased and decreased after the 12-hour photolysis.

### **3.4.1 Photodegradation of low oxygenated compounds and formation of highly oxygenated compounds**

As shown in Table 1, ion masses assigned with high unsaturated and low oxygenated species ( $O/C < 0.5$ ) are prone to photodegradation, especially  $C_7$ - $C_9$  compounds (possible aromatic species), which intensity decreased by nearly one order of magnitude. For example, for  $m/z$  123.0450 ( $[C_7H_7O_2]^-$ ), as shown in Figure 5a, the peaks at RT 16.2 and 16.7 min in the LC chromatogram reduced in area by 95% after the 12-h irradiation. Using a standard it was verified that both peaks did not belong to guaiacol (peak at RT 17.3 min), but they were also found within the products of guaiacol photooxidation, suggesting that they might be isomers of guaiacol or aromatic dihydric alcohol.

The phenolic dimers ( $C_{12}H_{10}O_2$  and  $C_{14}H_{14}O_4$ ) as described above also exhibited a decreasing tendency with almost complete disappearance after 12h direct photolysis. Other species with relatively high MW ( $\geq 200$  Da) were also observed to be decomposed, including  $m/z$  251.0564 ( $[C_{12}H_{11}O_6]^-$ ), 313.0724 ( $[C_{17}H_{13}O_6]^-$ ), and 329.0674 ( $[C_{17}H_{13}O_7]^-$ ) (Figure S7), although their initial abundance was not very high.

On the other hand, the solution acidity ( $[H^+]$ ) of the particle extracts increase after the 12-hour photolysis, similar to the observation on the photooxidation of phenols (section 3.2) that resulted in the formation of oxygenated species. The solution acidity ( $[H^+]$ ) normalized by WSOC concentration ( $[OC_{ws}]$ ) was increased with a variation of



480  $\Delta[\text{H}^+]/[\text{OC}_{\text{ws}}] = (3.8 \pm 0.8) \times 10^{-7} \text{ mol mg C}^{-1}$ , suggesting the formation of new acidic  
 481 substances.

482 **Table 1. *M/Z* with significant changes upon 12-h photolysis analyzed by LC/ESI-HRMS.**

Precursor (LC peak intensity decreases by >50%)			Product (LC peak intensity increases by >50%)		
Retention time, min	Measured <i>m/z</i>	Molecular formula	Retention time, min	Measured <i>m/z</i>	Molecular formula
16.2,16.7	123.04497	C <sub>7</sub> H <sub>8</sub> O <sub>2</sub>	1.9	59.01362	C <sub>2</sub> H <sub>4</sub> O <sub>2</sub>
13.9,14.5	129.05555	C <sub>6</sub> H <sub>10</sub> O <sub>3</sub>	1.8	72.99291	C <sub>2</sub> H <sub>2</sub> O <sub>3</sub>
14.6	131.07121	C <sub>6</sub> H <sub>12</sub> O <sub>3</sub>	2.1	73.02928	C <sub>3</sub> H <sub>6</sub> O <sub>2</sub>
14.6	133.02934	C <sub>8</sub> H <sub>6</sub> O <sub>2</sub>	1.8	75.00856	C <sub>2</sub> H <sub>4</sub> O <sub>3</sub>
15.9	135.04498	C <sub>8</sub> H <sub>8</sub> O <sub>2</sub>	2.4	85.02930	C <sub>4</sub> H <sub>6</sub> O <sub>2</sub>
13.7	137.02426	C <sub>7</sub> H <sub>6</sub> O <sub>3</sub>	1.9, 4.4	87.04496	C <sub>4</sub> H <sub>8</sub> O <sub>2</sub>
17.7	137.06063	C <sub>8</sub> H <sub>10</sub> O <sub>2</sub>	1.9	88.98785	C <sub>2</sub> H <sub>2</sub> O <sub>4</sub>
15.8	147.04504	C <sub>9</sub> H <sub>8</sub> O <sub>2</sub>	1.9	89.02427	C <sub>3</sub> H <sub>6</sub> O <sub>3</sub>
17.2	149.06062	C <sub>9</sub> H <sub>10</sub> O <sub>2</sub>	2.2	99.00857	C <sub>4</sub> H <sub>4</sub> O <sub>3</sub>
19.0	151.07634	C <sub>9</sub> H <sub>12</sub> O <sub>2</sub>	2.5	129.01917	C <sub>5</sub> H <sub>6</sub> O <sub>4</sub>
16.8	161.06068	C <sub>10</sub> H <sub>10</sub> O <sub>2</sub>	2.0	145.01407	C <sub>5</sub> H <sub>6</sub> O <sub>5</sub>
16.2	165.05559	C <sub>9</sub> H <sub>10</sub> O <sub>3</sub>	1.9	147.02971	C <sub>5</sub> H <sub>8</sub> O <sub>5</sub>
14.9	167.07129	C <sub>9</sub> H <sub>12</sub> O <sub>3</sub>	14.9	155.03482	C <sub>7</sub> H <sub>8</sub> O <sub>4</sub>
15.1	181.05048	C <sub>9</sub> H <sub>10</sub> O <sub>4</sub>	15.1	169.01411	C <sub>7</sub> H <sub>6</sub> O <sub>5</sub>
17.3	191.03498	C <sub>10</sub> H <sub>8</sub> O <sub>4</sub>	16.4	183.02980	C <sub>8</sub> H <sub>8</sub> O <sub>5</sub>
16.2	195.06622	C <sub>10</sub> H <sub>12</sub> O <sub>4</sub>			
18.6	207.06635	C <sub>11</sub> H <sub>12</sub> O <sub>4</sub>			

483 The photochemical processing has led to an increased formation of low MW  
 484 compounds (e.g., C<sub>2</sub>-C<sub>5</sub> species), with a relatively high O/C. For example, the C<sub>2</sub>  
 485 compounds, including [C<sub>2</sub>H<sub>1</sub>O<sub>3</sub>]<sup>-</sup>, [C<sub>2</sub>H<sub>3</sub>O<sub>3</sub>]<sup>-</sup>, [C<sub>2</sub>H<sub>3</sub>O<sub>2</sub>]<sup>-</sup>, and [C<sub>2</sub>H<sub>1</sub>O<sub>4</sub>]<sup>-</sup> (Figure S8),  
 486 which may correspond to glyoxylic acid, glycolic acid, acetic acid, and oxalic acid,  
 487 respectively, were likely to be formed via oxidation pathway of several water-soluble  
 488 molecules with photochemical reactivity (e.g., glyoxal (Carlton et al., 2007; Lim et al.,  
 489 2010), methylglyoxal (Altieri et al., 2008; Lim et al., 2010), pyruvic acid (e.g. Grgic et  
 490 al., 2010; Griffith et al., 2013; Reed Harris et al., 2014; Rapf et al., 2017; Eugene and

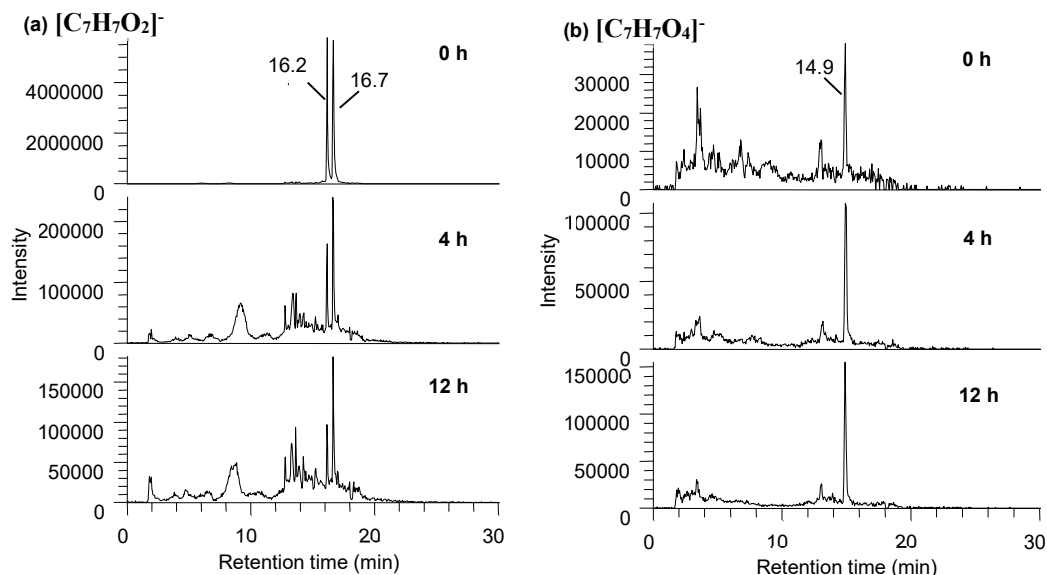
491 Guzman, 2017, Mekic et al., 2018; Mekic et al., 2019), phenols (Sun et al., 2010), etc).  
492 The presence of these highly oxygenated compounds that possibly contain acidic  
493 groups (e.g.,  $\text{-COOH}$  and  $\text{-OH}$ ) undoubtedly contributed to the increase of the solution  
494 acidity. Higher levels of other highly oxygenated species such as  $[\text{C}_3\text{H}_5\text{O}_3]^-$ ,  $[\text{C}_4\text{H}_7\text{O}_2]^-$ ,  
495  $[\text{C}_5\text{H}_5\text{O}_5]^-$  and  $[\text{C}_5\text{H}_7\text{O}_5]^-$  were also observed (Figure S9).

496 To identify the impact of photolysis on the evolution of specific WSOC, the ions of  
497  $[\text{C}_7\text{H}_7\text{O}_n]^-$  in the HBDM-1 sample with significant variation were chosen as  
498 representative cases for description. The relative intensity of  $[\text{C}_7\text{H}_7\text{O}_2]^-$  and  $[\text{C}_7\text{H}_7\text{O}_3]^-$   
499 decreased dramatically, while the intensities of  $[\text{C}_7\text{H}_7\text{O}_4]^-$ ,  $[\text{C}_7\text{H}_7\text{O}_5]^-$  and  $[\text{C}_7\text{H}_7\text{O}_6]^-$   
500 increased with the irradiation time (Figure 5 shows only the variation of  $[\text{C}_7\text{H}_7\text{O}_2]^-$  and  
501  $[\text{C}_7\text{H}_7\text{O}_4]^-$  as an example). It seems reasonable that the possible hydroxylation of  
502  $[\text{C}_7\text{H}_7\text{O}_2]^-$  and  $[\text{C}_7\text{H}_7\text{O}_3]^-$  might contribute to the formation of  $[\text{C}_7\text{H}_7\text{O}_5]^-$  and  $[\text{C}_7\text{H}_7\text{O}_6]^-$ .  
503 Although we could not verify this hypothesis, the formed oxidized species undoubtedly  
504 have a high O/C which highlights the possibility of this reaction pathway.

### 505 3.4.2 Presentation of photochemically stable organic species

506 Some of the detected organic species seemed to exhibit a good photochemical stability,  
507 as their relative intensities only slightly decreased ( $<10\%$ ) after 12h light irradiation.  
508 The  $m/z$  161.0454 ( $[\text{C}_6\text{H}_9\text{O}_5]^-$ ) presented two prominent peaks at RT1.9 and 2.4 min  
509 (Figure S10). The peak at RT 2.4 min was further confirmed with a standard compound  
510 to be levoglucosan, a typical tracer of biomass burning aerosols with a high  
511 photochemical stability in atmospheric aerosols (Hu et al., 2013). The relatively good  
512 photochemical stability was also observed for some  $\text{C}_6$  homolog compounds, such as

513  $[\text{C}_6\text{H}_7\text{O}_6]^-$ ,  $[\text{C}_6\text{H}_9\text{O}_6]^-$ , and  $[\text{C}_6\text{H}_{11}\text{O}_6]^-$ . Some other oxygenated species, such as  
 514  $[\text{C}_3\text{H}_3\text{O}_3]^-$ ,  $[\text{C}_4\text{H}_5\text{O}_4]^-$ ,  $[\text{C}_3\text{H}_3\text{O}_4]^-$ , and  $[\text{C}_4\text{H}_5\text{O}_5]^-$  remained relatively stable, as well.



515  
 516 **Figure 5. Extracted LC chromatograms from HBDM-1 of (a)  $[\text{C}_7\text{H}_7\text{O}_2]^-$  and (b)  $[\text{C}_7\text{H}_7\text{O}_4]^-$  at**  
 517 **different photolytic stages of 0, 4, and 12 h.**

518 Regarding the CHON compounds, only small variation of the chromatogram peaks,  
 519 was observed for most of the detected species. In particular, several species with low  
 520 O/C decreased by less than 30%, e.g.,  $m/z$  94.0297 ( $[\text{C}_5\text{H}_4\text{ON}]^-$ , RT 7.1 min), and  
 521 120.0453 ( $[\text{C}_7\text{H}_6\text{ON}]^-$ , RT 12.2 min). Some compounds seem photochemically very  
 522 stable as the variation of their peak intensities was less than 10 % upon light irradiation  
 523 of the samples, e.g.,  $m/z$  118.0297 ( $[\text{C}_7\text{H}_4\text{ON}]^-$ , RT 16.6 and 17.1 min), 146.0246  
 524 ( $[\text{C}_8\text{H}_4\text{O}_2\text{N}]^-$ , RT 14.4 min), and 190.0510 ( $[\text{C}_{10}\text{H}_8\text{O}_3\text{N}]^-$ , RT 17.8 min). However, the  
 525 intensities of the ion masses with relatively higher degree of oxygenation was found to  
 526 increase substantially (>50%), e.g.,  $m/z$  162.0195 ( $[\text{C}_8\text{H}_4\text{O}_3\text{N}]^-$ , RT 17.2 min), 198.0408  
 527 ( $[\text{C}_8\text{H}_8\text{O}_5\text{N}]^-$ , RT 18.0 min), and 242.1763 ( $[\text{C}_{13}\text{H}_{24}\text{O}_3\text{N}]^-$ , RT 17.9 min). The  
 528 photochemical stability of some compounds may be ascribed to their low

529 concentrations, or the light-shielding effect from other light-absorbing species.

530 Another intriguing finding was that different structural isomers with the same  
531 molecular mass might have exhibited different fates upon prolonged light irradiation of  
532 the samples. For example, the intensity of the peak at  $m/z$  165.0405 ( $[C_5H_9O_6]^-$ )  
533 decreased when it was eluted at 4.9 min, but increased at RT 1.8 min, with the  
534 irradiation time (Figure S11). A simultaneous degradation and formation among  
535 isomers of some CHON ion masses upon prolonged light irradiation, was also observed,  
536 as was the case for the CHO compounds. For example, the  $m/z$  108.0453 assigned to  
537  $[C_6H_6ON]^-$ , might include hydroxy and amino groups on the phenyl ring to present three  
538 possible isomers (Figure S12). During photolytic processing, the intensity of the peak  
539 at RT 3.2 min increased dramatically, while there was a clear decreasing tendency of  
540 the peak intensity at RT 5.5 and 12.5 min, which was suggestive of possible  
541 isomerization among these isomers. Other ion masses that exhibited possible  
542 isomerization included  $m/z$  122.0610 ( $[C_7H_8ON]^-$ ), 132.0454 ( $[C_8H_6ON]^-$ ), 134.0245  
543 ( $[C_7H_4O_2N]^-$ ), 136.0403 ( $[C_7H_6O_2N]^-$ ), 138.0559 ( $[C_7H_8O_2N]^-$ ), 144.0453 ( $[C_9H_6ON]^-$ ),  
544 and 152.0352 ( $[C_7H_6O_3N]^-$ ).

### 545 **3.4.3 Comparison of time-profile mass spectra of CHO composition in WSOC** 546 **extracts from WSBA samples**

547 Since the LC method just separated a fraction of polar compounds, we tentatively  
548 utilized the change of HRMS to gain more comprehensive information about the WSOC  
549 evolution. We compared the time-profile (0, 4, and 12h) mass spectra with each other,  
550 based on the assumption of same interference from inorganic species, and the good

551 reproducibility and stability for Orbitrap MS operated under the same instrumental  
552 parameters (the RSD of TIC intensity within 5%). It is well known that ESI mass  
553 spectral abundances are influenced by the solution composition, concentration of  
554 analytes and instrumental factors (Bateman et al., 2011); hence, it is quite challenging  
555 to directly quantify the absolute concentration levels of the complex mixtures. Despite  
556 that, the photochemical degradation of WSOC compounds and corresponding  
557 formation of organic compounds can be well described by the variation of signal  
558 intensity from mass spectrometry. The average O/C and H/C for CHO compounds were  
559 from  $0.38 \pm 0.02$  to  $0.44 \pm 0.02$  and  $1.24 \pm 0.03$  to  $1.26 \pm 0.01$ , respectively, as the  
560 irradiation time extended from 0 to 12h. The comparison of these time-profile mass  
561 spectra indicates that the 12-hour photolysis resulted in a significant reduction of  $28 \pm$   
562 11% in the total ion abundance (S/N). Since the photolysis induced changes in  
563 abundance for most of the CHO compounds, we also calculated the intensity (S/N)-  
564 weighted average O/C ( $O/C_w$ ) and H/C ( $H/C_w$ ) (Bateman et al., 2011; Romonosky et  
565 al., 2015) with values ranging from  $0.45 \pm 0.03$  to  $0.53 \pm 0.06$  and from  $1.32 \pm 0.09$  to  
566  $1.40 \pm 0.11$ , respectively. After the 12-h photolysis, both average H/C and  $H/C_w$  values  
567 slightly increased, compared to the samples prior to irradiation, however, both average  
568 O/C and  $O/C_w$  values have increased more distinctly, indicating an elevation in  
569 oxidation degree of bulk extract composition. This phenomenon could be partly  
570 reflected on the LC-HRMS observation, i.e. formation of highly oxygenated species  
571 and the consumption of low oxygenated compounds. In our previous study, the UV-VIS  
572 measurements revealed that the 12-h photochemical evolution leads to a modification

of absorptive properties for WSBA extracts (e.g., photo-bleaching at wavelengths below 380 nm and photo-enhancement above 380 nm) (Cai et al., 2018), which might be partially linked to present findings about molecular functionalization, e.g., hydroxylation facilitating a red shift for light absorbing wavelengths.

#### 4 CONCLUSIONS

This study was focused on the effect of direct photolysis on the molecular composition of actual WSOC extracted from field straw-burning aerosol. The phenol dimer ( $m/z$  185.0608) and guaiacol dimer ( $m/z$  245.0823), or their isomers generated from laboratory aqueous-phase photooxidation of phenol and guaiacol were also observed in present field WSBA samples, suggesting that the aqueous phase reaction might contribute to the formation of emitted biomass burning aerosols. The laboratory observation on aqueous photochemistry of phenols indicated that those phenolic compounds in real biomass burning aerosols would likely have potential to experience similar evolution to form various oxygenated compounds under relevant atmospheric water conditions. The direct photolysis on the molecular composition of WSOC extracts from WSBA samples were performed to gain more insight into the evolution of aerosol composition. Because the extract composition was very complex, the techniques (ESI-HRMS and LC/ESI-HRMS) used in this study, although advanced still had limitations in monitoring the modification of molecular composition, especially for determining the potential formation of compounds present at low concentrations or compounds that were poorly ionized. However, a series of polar molecules were identified that changed their molecular composition via photochemical aging. In particular, the degradation of

low oxygenated compounds with strong photochemical reactivity and the formation of high oxygenated compounds might directly result in an increasing O/C in WSOC composition, which was likely linked to the modification of light-absorbing characteristics for extracts in previous study. This finding indicates that the water soluble organic fraction of field combustion-derived aerosols has the potential to form more oxidized organic matter, which might contribute to the highly oxygenated nature of atmospheric organic aerosols. Further studies focused on the photochemical evolution of WSOC composition will be performed in the future, including enlarging measurements on compound species (e.g., applying positive ESI-HRMS), identifying biomarkers and evaluating their role in photochemical processes.

#### **AUTHOR CONTRIBUTION**

Jing Cai and Zhiqiang Yu designed the experiments, and Jing Cai and Xiangying Zeng carried them out. Guorui Zhi provided the straw-burning aerosol samples, Zhiqiang Yu and Sasho Gligorovski helped to perform the analysis of light irradiation and editing the manuscript. Guoying Sheng, Xinming Wang and Ping'an Peng provided some technical consultations about organic chemistry. Jing Cai prepared the manuscript with contributions from all co-authors.

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