

1 **The long-term trend and production sensitivity change of the U.S. ozone pollution from**
2 **observations and model simulations**

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4 Hao He^{1,2}, Xin-Zhong Liang^{1,2}, Chao Sun¹, Zhining Tao^{3,4}, and Daniel Q. Tong^{1,5}

5 ¹Department of Atmospheric and Oceanic Science, University of Maryland, College Park,
6 Maryland 20742, USA

7 ²Earth System Science Interdisciplinary Center, University of Maryland, College Park, Maryland
8 20740, USA

9 ³Universities Space Research Association, Columbia, Maryland 21046, USA

10 ⁴NASA Goddard Space Flight Center, Greenbelt, Maryland 20771, USA

11 ⁵Center for Spatial Information Science and Systems, George Mason University, Fairfax, VA
12 22030, USA

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16 Corresponding to Dr. Xin-Zhong Liang (xliang@umd.edu)

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18 **Abstract**

19 We investigated the ozone pollution trend and its sensitivity to key precursors from 1990
20 to 2015 in the United States using long-term EPA AQS observations and mesoscale simulations.
21 The modeling system, a coupled regional climate – air quality (CWRF-CMAQ) model, well
22 captured summer surface ozone pollution during the past decades, having a mean slope of linear
23 regression with AQS observations at ~ 0.75 . While the AQS network has limited spatial coverage
24 and measures only a few key chemical species, the CWRF-CMAQ provides comprehensive
25 simulations to enable a more rigorous study of the change in ozone pollution and chemical
26 sensitivity. Analysis of seasonal variations and diurnal cycle of ozone observations showed that
27 peak ozone concentrations in the summer afternoon decreased ubiquitously across the United
28 States, up to 0.5 ppbv/yr in major non-attainment areas such as Los Angeles, while
29 concentrations at other hours such as the early morning and late afternoon increased slightly.
30 Consistent with the AQS observations, CMAQ simulated a similar decreasing trend of peak
31 ozone concentrations in the afternoon, up to 0.4 ppbv/yr, and increasing ozone trends in the early
32 morning and late afternoon. A monotonic decreasing trend (up to 0.5 ppbv/yr) in the odd oxygen
33 ($O_x = O_3 + NO_2$) concentrations are simulated by CMAQ at all daytime hours. This result
34 suggests that the increased ozone in the early morning and late afternoon was likely caused by
35 reduced NO-O₃ titration driven by continuous anthropogenic NO_x emission reductions in the past
36 decades. Furthermore, the CMAQ simulations revealed a shift in chemical regimes of ozone
37 photochemical production. From 1990 to 2015, surface ozone production in some metropolitan
38 areas, such as Baltimore, has transited from VOC-sensitive environment (>50% probability) to
39 NO_x-sensitive regime. Our results demonstrated that the long-term CWRF-CMAQ simulations
40 can provide detailed information of the ozone chemistry evolution under a changing climate, and

41 may partially explain the U.S. ozone pollution responses to regional and national regulations.

42

43 **1. Introduction**

44 Tropospheric ozone (O_3) is one of the major air pollutants, regulated by the U.S.
45 Environmental Protection Agency (EPA), that pose myriad threats to public health and the
46 environment (Adams et al., 1989; WHO, 2003; Ashmore, 2005; Anderson, 2009; Jerrett et al.,
47 2009). It is also an important greenhouse gas due to the absorption of thermal radiation, affecting
48 the climate (Fishman et al., 1979; Ramanathan and Dickinson, 1979; IPCC, 2013). The major
49 source of tropospheric ozone is photochemical production from ozone precursors such as carbon
50 monoxide (CO), volatile organic compounds (VOCs), and nitrogen oxides (NO_x) at the presence
51 of sunlight (Crutzen, 1974; Seinfeld, 1991; Jacob, 2000; EPA, 2006), while downward transport
52 of stratospheric air mass contributes substantially to ozone concentrations in upper troposphere
53 (Levy et al., 1985; Holton et al., 1995; Stevenson et al., 2006). In the past decades, ozone
54 pollution in the United States has been reduced substantially due to regulations on anthropogenic
55 emissions of ozone precursors (Oltmans et al., 2006; Lefohn et al., 2008, 2010; Cooper et al.,
56 2012; He et al., 2013; Cooper et al., 2014), although some studies suggested no trend or slight
57 increases at some rural areas (Jaffe and Ray, 2007; Lefohn et al., 2010; Cooper et al., 2012).
58 Most of these analyses focused on peak ozone concentrations, e.g., daily maximum 8-hour
59 average ozone (MDA8), during summer, but studies on trends in seasonal and diurnal patterns of
60 ozone pollution are limited. He et al. (2019) analyzed measurements from four monitoring sites
61 in the eastern United States and found different ozone trends between rural and urban sites from
62 the late 1990s to the early 2010s including some increases at certain hours, suggesting effects of
63 national regulations could be regionally dependent. Thus, it is important to extend our study to

64 other regions of the United States in a longer time period.

65 The non-monotonic trends in United States ozone pollution could be caused by the
66 complex non-linear chemistry of ozone production involving NO_x and VOCs (Logan et al., 1981;
67 Finlayson-Pitts and Pitts, 1999; Seinfeld and Pandis, 2006). With continuous reduction of
68 anthropogenic emissions of ozone precursors mainly NO_x and VOCs in the United States, we
69 need to better understand the photochemical regime change for local ozone production (i.e.,
70 ozone production sensitivity), because air pollution regulations could have different effects under
71 NO_x -sensitive and VOC-sensitive environment (Dodge, 1987; Kleinman, 1994). For instance,
72 under a VOC-sensitive photochemical regime, the decrease of NO_x emissions has limited
73 impacts on improving ozone pollution. Previous studies have developed photochemical
74 indicators to identify the ozone production sensitivity (Sillman, 1995; Sillman et al., 1997;
75 Tonnesen and Dennis, 2000b, 2000a; Sillman and He, 2002). Sillman (1999) found the ratio of
76 VOCs and NO_x (VOC/NO_x) has a typical value less than 4 for the VOC-sensitive environment
77 and higher than 15 for the NO_x -sensitive regime. Observation-based studies of ozone production
78 sensitivity relied on research grade measurements of ozone precursors and photochemical
79 intermediates that are not routinely measured by air quality management agencies such as the
80 U.S. EPA. These species include reactive nitrogen compounds (NO_y), nitric acid (HNO_3), and
81 hydrogen peroxide (H_2O_2), normally observed during field campaigns (e.g., Shon et al., 2007;
82 Peng et al., 2011) which only covered limited areas in certain periods. Studies based on air
83 quality models (AQM) could identify the ozone production regimes at regional scales (Sillman et
84 al., 1997; Sillman and He, 2002; Zhang et al., 2009a; Zhang et al., 2009b; Xie et al., 2011), but
85 the simulation periods were usually short (less than one year) and thus could not capture the
86 long-term change in ozone production sensitivity.

87 Regional AQMs are widely used for investigating the U.S. air quality (Tagaris et al.,
88 2007; Tang et al., 2009; Hogrefe et al., 2011; Pour-Biazar et al., 2011; He et al., 2016a; He et al.,
89 2018). They incorporate finer resolutions, more detailed emissions, and more explicit chemical
90 mechanism than global chemical transport models to better resolve characteristics of
91 tropospheric and surface dynamics, physical and chemical processes essential for air quality. Our
92 group has developed and used coupled regional climate-air quality models to study air quality
93 variations under a changing regional climate (Huang et al., 2007; Zhu and Liang, 2013; He et al.,
94 2016a; He et al., 2018). Our previous studies showed the model's ability to capture the decadal
95 U.S. air quality change (e.g., Zhu and Liang, 2013). In this study, we coupled the latest Climate-
96 Weather Research Forecast (CWRF) and the EPA Community Multiscale Air Quality (CMAQ)
97 models. CWRF has demonstrated substantial improvement in downscaling regional climate and
98 extremes (Liang et al., 2012; Chen et al., 2016; Liu et al., 2016; Liang et al., 2019; Sun and
99 Liang, 2020a; Sun and Liang, 2020b) and thus can provide more realistic weather conditions for
100 AQMs to produce more credible air quality simulations.

101 To supplement the limited observations in both spatial coverage and chemical species, we
102 conducted a continuous 26-yr CWRF-CMAQ simulation from 1990 to 2015 for a more rigorous
103 analysis of long-term U.S. ozone trend. The model performance of the U.S. air quality was first
104 evaluated against gridded ozone observations. The ozone seasonal variations and diurnal cycles
105 were then extracted to determine the observed long-term trend. The model simulations were
106 subsequently analyzed to explain the observed ozone trends and change in ozone production
107 sensitivity.

108 **2. Observations and model simulations**

109 **2.1 Long-term EPA observations**

110 Hourly measurements of surface ozone concentrations from 1990 to 2015 were available
111 from the EPA Air Quality System (AQS) database ([https://www.epa.gov/outdoor-air-quality-](https://www.epa.gov/outdoor-air-quality-data)
112 [data](https://www.epa.gov/outdoor-air-quality-data)). They have been examined following the EPA guidance including the quality assurance and
113 quality control. The locations and durations of AQS monitoring sites have changed substantially
114 due to logistics and requirements to cover the regions sensitive to air pollution. Figure 1 shows
115 that more than 2000 sites which reported ozone measurements during the period of 1990 to 2015.
116 To alleviate the impacts from missing data and short durations, we selected 640 sites that had
117 ozone observation records longer than 20 years. Hourly ozone observations were processed
118 following the approach described in He et al. (2019) to create the long-term seasonal and diurnal
119 records for these stations.

120

121 **2.2 Regional climate modeling**

122 CWRF (Liang et al., 2012) was driven by the European Centre for Medium-Range
123 Weather Forecasts ERA-Interim reanalysis (ERI, Dee et al., 2011) to downscale regional climate
124 variations during 1989-2015 with the first year as the spin-up and not used. We adopted the well-
125 established CWRF North American domain with a 30-km grid spacing (Fig. 1), covering the
126 Contiguous United States (CONUS) and neighboring southern Canada, northern Mexico and
127 adjacent oceans. The CWRF was developed as a climate extension of the WRF model
128 (Skamarock et al., 2008) incorporating numerous improvements in representation of physical
129 processes and integration of external forcings that are crucial to climate scales, including
130 interactions between land-atmosphere-ocean, convection-microphysics and cloud-aerosol-

131 radiation, and system consistency throughout all process modules (Liang et al., 2012; Qiao and
132 Liang, 2015; Chen et al., 2016; Liu et al., 2016; Qiao and Liang, 2016). CWRf is built with a
133 comprehensive ensemble of many alternate mainstream parameterization schemes for each of
134 key physical processes. . It has been vigorously tested in North America and Asia showing
135 outstanding performance to capture regional climate characteristics (Yuan and Liang, 2011; Chen
136 et al., 2016; Liu et al., 2016; Liang et al., 2019). The CWRf downscaling has been shown to
137 provide realistic meteorological fields and regional climate signals that can be cordially used to
138 drive the CMAQ for long air quality simulations. Major CWRf physics configurations include
139 the semi-empirical cloudiness parameterization of Xu and Randall (1996), the cloud
140 microphysics scheme of Tao et al. (1989), the short wave and long wave radiation scheme of
141 Chou et al. (2001), the ensemble cumulus parameterization (Qiao and Liang, 2015, 2016; Qiao
142 and Liang, 2017), and the planetary boundary layer scheme of Holtslag and Boville (1993).
143 Hourly CWRf outputs were processed using a modified Meteorology-Chemistry Interface
144 Processor (MCIP, version 4.3) for CMAQ simulations.

145

146 **2.3 Emissions preparation**

147 To prepare anthropogenic emissions, we chose 2014 as the baseline year. This year's
148 emissions were modified from the National Emissions Inventory 2011 (NEI2011). The
149 modifications were based on measurements from the Ozone Monitoring Instrument (OMI)
150 onboard satellite Aura, the ground-based AQS network, and the *in-situ* continuous emissions
151 monitoring in power plants (Tong et al., 2015; Tong et al., 2016). The so modified NEI2011
152 inventory was processed using the Sparse Matrix Operator Kernel Emissions (SMOKE) version
153 3.7 (Houyoux et al., 2000). Emissions from on-road, off-road, and area sources were placed at

154 the model layer closest to the surface. Emissions from point sources, e.g., stacks from power
155 plants, were distributed vertically based on stack height and plume rise. The plume rise was
156 estimated based on the method in Briggs (1972). The inventory pollutants were speciated
157 according to the carbon bond chemical mechanism version 5 (CB05) and AERO5 aerosol
158 mechanism. To fill the gap where NEI2011 data were not available, the Emissions Database for
159 Global Atmospheric Research (EDGAR v3, <http://edgar.jrc.ec.europa.eu/>) at a $1^\circ \times 1^\circ$ resolution
160 developed by the Joint Research Centre of European Commission was adapted. Figure 2 shows
161 an example of 2010-2015 mean NO_x emissions distribution over the modeling domain. Daily
162 mean NO_x emissions have high values in urban areas of cities such as Los Angeles, Chicago, and
163 the northeast corridor from Washington D.C. to Boston.

164 To project emissions from the baseline year into all individual years, we used the scaling
165 factors from Air Pollutant Emissions Trends (APET) data compiled by the U.S. EPA
166 (<https://www.epa.gov/air-emissions-inventories/air-pollutant-emissions-trends-data>). Emissions
167 of the baseline year are based on EPA NEI2011 inventory which can provide the best available
168 anthropogenic emissions to the CONUS and are currently used in the operational U.S. national
169 air quality forecast. The usage of APET scaling factors can guarantee the domain total emissions
170 are consistent with the U.S. EPA emissions trend, although assuming the same spatial
171 distribution of anthropogenic emissions from year to year may not be realistic. Without a
172 reasonable observation of actual spatiotemporal variations, it is the cost-effective approach as a
173 first-order approximation to simulate long-term U.S. air quality driven by consistent CONUS
174 total anthropogenic emissions that account interannual trends. Figure 3 shows the emission
175 evolution from 1990 to 2015. Since 1990 anthropogenic emissions of NO_x , CO, sulfur dioxide
176 (SO_2), and VOCs had steady decreasing trends, with SO_2 experiencing the largest reduction. On

177 the other hand, anthropogenic PM_{2.5} and NH₃ emissions stayed mostly flat since the early 2000s.

178 The wildfire emissions were based on the Global Fire Emissions Database, Version 4 with
179 small fires (GFEDv4s, Randerson et al., 2017; van der Werf et al., 2017). The 0.25° × 0.25°
180 degree resolution GFEDv4s data were projected onto the modeling domain and speciated into the
181 CB05 and AERO5 species. GFEDv4s had a monthly resolution from 1997 to 2000 and daily
182 resolution from 2000 onward. Figure 4 illustrates the fire emissions evolution during 1990 to
183 2015 relative to 2014. Fire emissions have large interannual variations, with high emissions in
184 1998, 2002, 2013, and 2015, and low emissions in 2001, 2004, and 2014. We developed a
185 method to merge the aforementioned anthropogenic and wildfire emissions into the
186 temporalized, gridded and speciated data ready for CMAQ.

187 The biogenic emissions were calculated on-line within CMAQ based on the Biogenic
188 Emissions Landuse Database, Version 3 (BELD3, [https://www.epa.gov/air-emissions-
189 modeling/biogenic-emissions-landuse-database-version-3-beld3](https://www.epa.gov/air-emissions-modeling/biogenic-emissions-landuse-database-version-3-beld3)). The 1-km resolution BELD3
190 data with spatial distribution of 230 vegetation classes over the North America were processed
191 through the Spatial Allocator developed by the Community Modeling and Analysis System
192 (CMAS) center (<https://www.cmascenter.org/sa-tools/>) to generate the gridded vegetation
193 distribution over the study domain. Table 1 lists the 5-yr mean variations of daily major ozone
194 precursor (CO, NO_x, and NMVOCs) emissions in the modeling domain and five subdomains.
195 The emission data show regionally dependent reductions. For instance, compared with 2000-
196 2004, the NO_x emissions in 2005-2009 decreased by ~36% averaged in the CONUS, while 38%
197 and 35% reductions existed in states of California and Texas.

198

199 **2.4 Air quality modeling**

200 The EPA CMAQ model version 5.2 (EPA, 2017) was selected to simulate the U.S. air
201 quality variations driven by CWRM meteorological fields (Section 2.2) and constructed emissions
202 (Section 2.3). Major chemical mechanisms include the Carbon Bond 6 revision 3 (CB6r3) gas
203 phase chemical scheme with updated secondary organic aerosol (SOA) and nitrate chemistry
204 (Yarwood et al., 2010) and the latest AERO6 aerosol scheme (EPA, 2017), which improved U.S.
205 air quality simulations over previous chemical mechanisms (Appel et al., 2016). Chemical initial
206 and boundary conditions were obtained from the default concentration profiles built in CMAQ
207 (EPA, 2017). Simulations were conducted continuously for each 5-year segment (e.g., 1990-
208 1994, 1995-1999, etc.) with two-week spin-up in December prior to each starting years to speed
209 up simulation turn around. Hourly concentrations of ozone and its key precursors such as nitric
210 oxide (NO) and nitrogen dioxide (NO₂) were saved for subsequent analyses.

211

212 **3. Results**

213 **3.1 Evaluation of CMAQ performance**

214 Our previous studies showed that the direct comparison of observation data from
215 monitoring sites and CMAQ results in 30-km grid could introduce inconsistency for evaluating
216 the model performance (He et al., 2016a). The direct comparison is usually conducted through
217 sampling the grid of CMAQ where the AQS site is located, while the distribution of AQS
218 monitoring sites is usually uneven with more sites concentrated in populous urban and suburban
219 areas [where high ozone levels prevail](#). Sampling 30-km CMAQ grids over the locations of AQS
220 measurements, i.e. direct comparison of averaged concentrations in the 900 km² CMAQ grid and
221 pointwise AQS observations, could introduce important biases. So we applied the EPA Remote

222 Sensing Information Gateway (RSIG) software (available at <https://www.epa.gov/rsig>) to map
223 the site observations onto our CMAQ grid. The RISG has the capability to ‘re-grid’ the AQS
224 observations on a selected model grid using the inverse-distance-weighted method to calculate
225 the gridded mean concentrations (<https://www.epa.gov/hesc/how-rsig-regrids-data>). Figure 5
226 compares summer (JJA) mean MDA8 ozone in 2014 between gridded AQS observations and
227 CMAQ outputs and shows that the model can well capture the U.S. ozone pollution, except
228 underestimation in urban areas such as the Los Angeles basin.

229 Table 2 summarized the statistics of CMAQ performance simulating the summer ozone
230 concentrations during 2000 - 2015 in CONUS and subdomains. Linear regression analyses of
231 MDA8 ozone result in a mean slope value of 0.75 for CONUS, i.e., CMAQ slightly
232 underestimates ozone over the United States. In subdomains, CMAQ performance exhibits large
233 interannual variations. For instance, in Texas the linear regression slope and correlation
234 coefficient ranges from 0.58 to 0.97 and 0.55 to 0.86, respectively. With gradual reduction in
235 anthropogenic emissions, the fluctuations of CMAQ performance could be related to climate
236 signals which control the regional ozone pollution. Future work is needed to identify the
237 relationship between these regional climate variations and the U.S. ozone pollution. Generally,
238 this modeling system has substantially improved performance in the Southeast, California and
239 Texas, and moderately improved performance in the Northeast and Midwest as compared with
240 our previous modeling system (He et al., 2016a), which significantly underestimated the U.S.
241 ozone pollution. One reason is that CWRF with more sophisticated representation of physical
242 processes have the capability to better simulate the U.S. climate especially surface temperature
243 and precipitation (Liang et al., 2012; Chen et al., 2016; Liu et al., 2016; Sun and Liang, 2020a;
244 Sun and Liang, 2020b), which are key to accurate air quality simulations. The evaluation of

245 CMAQ performance demonstrates the capability of CWRP-CMAQ to credibly simulate
246 historical air quality.

247

248 **3.2 Long-term ozone trend in AQS observations**

249 We applied a box-averaging technique (He et al., 2016b; He et al., 2019) to analyze ozone
250 measurements at the selected AQS monitoring sites (Fig 1). This approach used an hour by
251 month box to calculate the mean 24-hr diurnal cycle of ozone for each month. Then we
252 calculated the climatology mean over 24 hours by 12 months and the respective anomaly for
253 each month at each AQS site. Figure 6 shows samples of long-term mean ozone concentrations
254 and anomalies at four non-attainment cities: Baltimore, Maryland; Los Angeles, California;
255 Denver, Colorado; and New York City (NYC), New York. The hour by month climatology (left
256 column of Fig. 6) shows that the peak ozone concentrations in the afternoon during the ozone
257 season (April to September) have been reduced significantly in these cities. However, ozone
258 concentrations in the morning (8 am to 12 pm, all local time hereafter) and at night (8 pm to 8
259 am) increased slightly. These results confirm the effectiveness of recent emission controls which
260 were designed to reduce the peak ozone. But the expansion of ozone at moderate levels (40-50
261 ppbv), which are higher than the natural background of U.S. ozone (Fiore et al., 2002; Fiore et
262 al., 2003; Wang et al., 2009; Lefohn et al., 2014), could cause negative health impacts.

263 The anomaly (right column of Fig. 6) shows large variabilities of ozone concentrations
264 because the ozone production is significantly impacted by regional climate (e.g., temperature,
265 precipitation) with interannual and decadal variations. Large ozone reduction occurred after 2003
266 when the EPA NO_x State Implementation (SIP) call was implemented (He et al., 2013). The
267 anomalies at Los Angeles (Fig. 6b) and NYC (Fig. 6d) shows decreases of the peak ozone in the

268 afternoon of summer and increases in other times and seasons. For Baltimore and Denver, the
269 peak ozone was not monotonically reduced, but increased in some years after 2002. Given the
270 continuous reduction of anthropogenic emissions in the past decades, the increased ozone
271 pollution in these areas could be caused by other factors, which need further investigations in the
272 future.

273 We used the linear regression analysis to calculate the slope, correlation (R), and p-value
274 of ozone trend at each local hour. Figure 7 shows ozone trends (slope, unit of ppbv/yr) at AQS
275 sites which are statistically significant ($R^2 > 0.5$, and $p < 0.05$) in the early morning (8 am), at
276 noon (12 pm), in the afternoon (4 pm), and in the evening (8 pm). Consistent results with the
277 four cities (Fig. 6) are found ubiquitously. The peak ozone at noon and in the afternoon generally
278 had a decreasing trend in CONUS, up to 0.5 ppbv/yr, confirming the improved air quality due to
279 regulations, while ozone in the early morning and late afternoon increased slightly at most of
280 monitoring sites. However, AQS sites in the Bay area (San Francisco, California) and Denver
281 had stronger positive trends in the day time. The possible explanations include the trans-pacific
282 transport of ozone and its precursors to the U.S. West Coast (Hudman et al., 2004; Huang et al.,
283 2010; Lin et al., 2012b) and stratosphere-troposphere exchange of ozone to high altitude region
284 (Langford et al., 2009; Lin et al., 2012a).

285

286 **3.3. Ozone trends derived from CMAQ simulations**

287 We applied the same box-averaging technique to hourly surface ozone simulations
288 in CONUS and conducted the linear regression analysis to estimate the ozone trend at each
289 model grid (Fig. 8). Compared with ozone trends derived from AQS observations (Fig. 7), the
290 CMAQ model successfully captured the spatial pattern and magnitude of change in ozone

291 pollution. For instance, at 4 pm LT, CMAQ simulated up to 0.4 ppbv/yr decrease in surface
292 ozone in the eastern United States and south region of California state. However, CMAQ
293 simulated statistically insignificant trends (white color in Fig. 8c) at 4 pm LT in the Bay area,
294 Los Angeles, and Denver where AQS observations showed increasing trends (Fig. 7c). The
295 discrepancy occurred because our model used the static chemical lateral conditions (LBCs) that
296 did not include the change of trans-Pacific transport of air pollutants, which were known to
297 elevate the background ozone in the West Coast. Also CMAQ does not contain stratospheric
298 chemistry and hence cannot account the contribution of downward transport of stratospheric
299 ozone to the high altitude region.

300 Consistent with trends derived from AQS observations, CMAQ also simulated increasing
301 ozone trends in the early morning (8 am LT, Fig. 8a) and late afternoon (8 pm LT, Fig 8d),
302 especially in urban regions such as Los Angeles and Chicago. He et al. (2019) found ozone
303 increases from observations at four sites in the eastern United States and a possible cause
304 suggested by the reduced NO-O₃ titration through examining the trend in odd oxygen ($O_x = O_3 +$
305 NO_2). Due to known interferences from nitrogen compounds such as NO_x and organic nitrates to
306 standard NO₂ measurements employed by EPA (Fehsenfeld et al., 1987; Dunlea et al., 2007;
307 Dickerson et al., 2019), the analysis of O_x required research grade NO₂ analyzer (e.g., photolytic
308 NO₂ conversion) which are not available in current AQS network. Thus, our simulations provide
309 a unique opportunity to expand such study to the whole CONUS.

310 Trends in O_x concentrations simulated by CMAQ at 8 am, 12 pm, 4 pm, and 8 pm show a
311 consistent decreasing trend over the modeling domain, up to 0.5 ppbv/yr reductions in the eastern
312 United States (Fig. 9). The result confirms our hypothesis that the reduced NO-O₃ titration
313 elevated surface ozone concentrations in the early morning and late afternoon when the

314 photochemical production of ozone is low or not active. Nowadays, the EPA ozone standard
315 focuses on peak ozone concentrations, i.e., MDA8 ozone which usually has maximum values at
316 noon or in the early afternoon, so the damage from additional ozone exposure from these
317 elevated ozone concentrations in the early morning and late afternoon is not considered under the
318 current environment policy. These increased ozone levels could offset the benefit from reduced
319 peak ozone in past decades, which needs further investigations to provide scientific evidence for
320 future policy decision.

321

322 **3.4 Change in photochemical regime**

323 With the continuous reduction of ozone precursor emissions, changes in the complex O₃-
324 NO_x-VOC chemistry are anticipated. We used the O₃/NO_y ratio as the indicator to study the
325 photochemical regime change in the U.S. surface ozone production. The usage of O₃/NO_y ratio
326 was first proposed by Sillman (Sillman, 1995; Sillman et al., 1997). Sillman et al. (Sillman et al.,
327 1997) conducted a case study of observations in urban areas (Atlanta, New York, and Los
328 Angeles) and modeling results from the Urban Airshed Model and suggested the threshold of 7
329 as the transition region from VOC-sensitive environment to NO_x-sensitive environment. Zhang
330 et al. (2009a; 2009b) expanded this method to the CONUS with 1-year observations and CMAQ
331 simulations (36-km spatial resolution) and suggested a threshold of 15 for ozone pollution at the
332 national scale. In this study, we did not have access to the long-term research grade NO_y
333 observations from the AQS network and did not conduct sensitivity experiments (due to
334 computational resource limit) with reduced NO_x emissions following Sillman et al. (1997), so we
335 have to reply on the O₃/NO_y threshold from literature. We conducted a simple evaluation of our
336 CMAQ results and found the threshold of 7 could be more proper for urban areas and the

337 threshold of 15 should be more applicable for our study of the whole United State (Figure S1 in
338 the supplementary material). Please note that the O_3/NO_y ratio could depend on the modeling
339 framework, so due to the similarity of our modeling system (30-km CMAQ) and the model used
340 in Zhang et al. (2009a; 2009b), our analysis suggest the similar threshold of 15.

341 The threshold of 15 proposed by Zhang et al. (2009b) was adopted to identify the VOC-
342 sensitive or NO_x -sensitive regime, i.e., $O_3/NO_y < 15$ indicating the VOC-sensitive regime. For
343 each local hour, we calculated the probability when O_3/NO_y is lower than 15 in every month.
344 Figure 10 shows the probability of VOC-sensitive regime at 2 pm in July of 1995, 2005, and
345 2015. Most regions dominated by the VOC-sensitive chemistry are urban or suburban where
346 anthropogenic NO_x emissions are relatively high as compared with anthropogenic and/or
347 biogenic VOCs emissions, such as the Los Angeles basin, the Northeast corridor (Washington
348 D.C.-Baltimore-Philadelphia-NYC), and the Chicago metropolitan area. Noting that these maps
349 are created based on ozone photochemical production simulated at the surface level, so the
350 distributions are slightly different from recent studies using satellite data (Duncan et al., 2010;
351 Jin et al., 2017; Ring et al., 2018).

352 We calculated the mean probability of VOC-sensitivity (2 pm in July) in a 3×3 CMAQ
353 grid in metropolitan areas of Baltimore, Los Angeles, and NYC from 1990 to 2015 (Fig. 11).
354 CMAQ simulations suggest the transition from VOC-sensitive regime to NO_x -sensitive regime in
355 these urban areas. There were interannual variabilities in the probability of VOC-sensitive
356 photochemistry in Baltimore (~50%) and NYC (~80%) in the 1990s and the early 2000s. After
357 the EPA 2003 NO_x SIP call, anthropogenic NO_x emissions decreased substantially leading to
358 reduced ozone pollution in the eastern United States (He et al., 2013), so the photochemical
359 production of surface ozone is expected to gradually become NO_x -sensitive. In 2015, ozone

360 photochemical production in Baltimore was dominated by NO_x emissions (only ~20%
361 probability of VOC-sensitive), while NYC had higher probability (>50%) of VOC-sensitive
362 chemistry. In Los Angeles, ozone chemistry slowly leaned to NO_x-sensitive, but until 2015 the
363 local ozone production was still controlled by VOCs emissions. In regions with VOC-sensitive
364 photochemistry in summer, reduction in NO_x emissions had a limited impact on the local rate of
365 ozone production until the photochemistry of ozone production became NO_x-sensitive. Our
366 analysis can partially explain the different responses of ozone pollution in major U.S. cities to
367 national air quality regulations during the past decades (Cooper et al., 2012) and can provide
368 some insights for future policy decision.

369

370 **4. Conclusions and Discussion**

371 EPA AQS observations in the United States from 1990 to 2015 were analyzed to study the
372 trend in surface ozone seasonal variations and diurnal cycles. We found that the peak ozone
373 concentrations in the afternoon decreased significantly, especially in major non-attainment
374 regions, but the concentrations in the early morning and late afternoon increased slightly.
375 Regional climate-air quality model captured the long-term records of U.S. ozone pollution and
376 suggested that the increased ozone was caused by reduced NO-O₃ titration due to the continuous
377 reduction of NO_x emissions. Model simulations also showed changes in ozone photochemical
378 regime. The U.S. urban/suburban areas generally transited from the VOC-sensitive regime in the
379 early 1990s to more NO_x-sensitive regime recently. But ozone production in some cities such as
380 NYC and Los Angeles are still substantially impacted by VOC emissions. The current national
381 and regional regulations focus on the MDA8 ozone concentrations mainly determined by the
382 peak ozone in the afternoon. Our study revealed the elevated ozone concentrations in the early

383 morning and late afternoon which must be considered for their impacts on public health. When
384 NO_x emissions are currently the main target of national and regional control measures, our study
385 suggested that regulations on anthropogenic VOCs emissions could be important in certain
386 regions. This study can improve our understanding about the effectiveness of regulations in the
387 past decades and will provide scientific evidence for future policy decision.

388 Ozone production is highly non-linear, so accurate emissions are essential to simulate its
389 long-term variations. Due to limited resources, we scaled the anthropogenic emissions from a
390 baseline year (2014) to the 1990s using factors derived from the national trend data to construct
391 consistent emissions for the CONUS with respect to the EPA data. This scaling cannot accurately
392 reflect the detailed regional-dependent regulations for individual state such as the 2012 Health
393 Air Act in Maryland (He et al., 2016b). Also, because the GFED data were only available after
394 1997, the contribution of wildfire emissions to ozone pollution was not included in model
395 simulations between 1990 to 1996. Thus, we anticipated some uncertainties in ozone simulations
396 in the early 1990s. Our model also has limitations to reproduce ozone records in high altitude
397 regions such as Denver because of lacking the stratospheric chemistry in CMAQ and missing the
398 effect of stratosphere-troposphere exchange to surface ozone. Lastly, due to limited resources,
399 our experiments used static chemical LBCs for CMAQ, which excluded the long-range transport
400 of air pollutants into the United States. So our current modeling system cannot take the historical
401 changes of air pollution outside the United State into account. That is, the effect of long-range
402 transport of air pollutants through model domain boundaries is presumed to be secondary to the
403 long-term trends over the United States. For some West Coast regions such as the state of
404 California, the trans-Pacific transport had been enhanced in the past decades and could play a
405 more important role in determining the local air quality. With these increased air pollutant

406 transported into the United States, our study may underestimate the impacts of domestic
407 emission reductions to U.S. ozone pollution, especially in the West Coast and the Southwest. To
408 accurately evaluate the contribution from trans-boundary emission, dynamic LBCs from a global
409 chemical transport model is needed in the future study.

410

411 **Code and data availability**

412 EPA AQS observation data are available at <https://www.epa.gov/outdoor-air-quality-data>. The
413 CMAQ is a community model and is freely available at <https://www.cmascenter.org/cmaq/>. The
414 ECMWF ERI data are distributed by NCAR (at <https://rda.ucar.edu/datasets/ds627.0/>). The CWRP
415 model source code is available upon request.

416

417 **Author contribution**

418 H.H., X.L., and Z.T. designed the experiment; H.H. and C.S. developed the CWRP-CMAQ
419 system and performed the CWRP modeling; Z.T. and D.T. prepared the emission data; H.H.
420 conducted the CMAQ simulations; H.H., Z.T., and C.S. analyzed the data; H.H. prepared the
421 manuscript with contributions from all co-authors.

422

423 **Competing interests**

424 The authors declare no conflicts of interest.

425

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698 **Tables and Figures**

699

700 **Table 1.** Summary of multiyear mean average of daily CO, NO_x, and NMVOCs emissions in the
 701 CONUS and five subdomains. (Unit: mol/km² per second) Please note that our California and
 702 Texas subdomains include more area than the states of California and Texas.
 703

CONUS				Southeast		
Year	CO	NO _x	NMVOCs	CO	NO _x	NMVOCs
1990-1994	32.9	1.24	0.94	47.2	1.43	1.03
1995-1999	26.2	1.18	0.76	37.4	1.36	0.85
2000-2004	18.9	1.26	0.69	26.4	1.46	0.72
2005-2009	12.3	0.94	0.60	16.9	1.07	0.59
2010-2015	8.0	0.60	0.46	11.0	0.66	0.45
California				Northeast		
1990-1994	18.3	1.22	0.57	110.3	3.29	2.12
1995-1999	14.6	1.16	0.46	87.2	3.16	1.68
2000-2004	10.6	1.23	0.40	62.1	3.41	1.43
2005-2009	7.1	0.91	0.35	40.3	2.56	1.25
2010-2015	4.6	0.56	0.26	25.9	1.62	0.93
Texas				Midwest		
1990-1994	22.6	1.21	1.26	58.2	1.88	1.41
1995-1999	18.1	1.15	1.03	46.3	1.80	1.14
2000-2004	13.0	1.20	1.01	33.4	1.92	0.98
2005-2009	8.4	0.91	0.92	22.0	1.44	0.85
2010-2015	5.5	0.60	0.73	14.3	0.91	0.63

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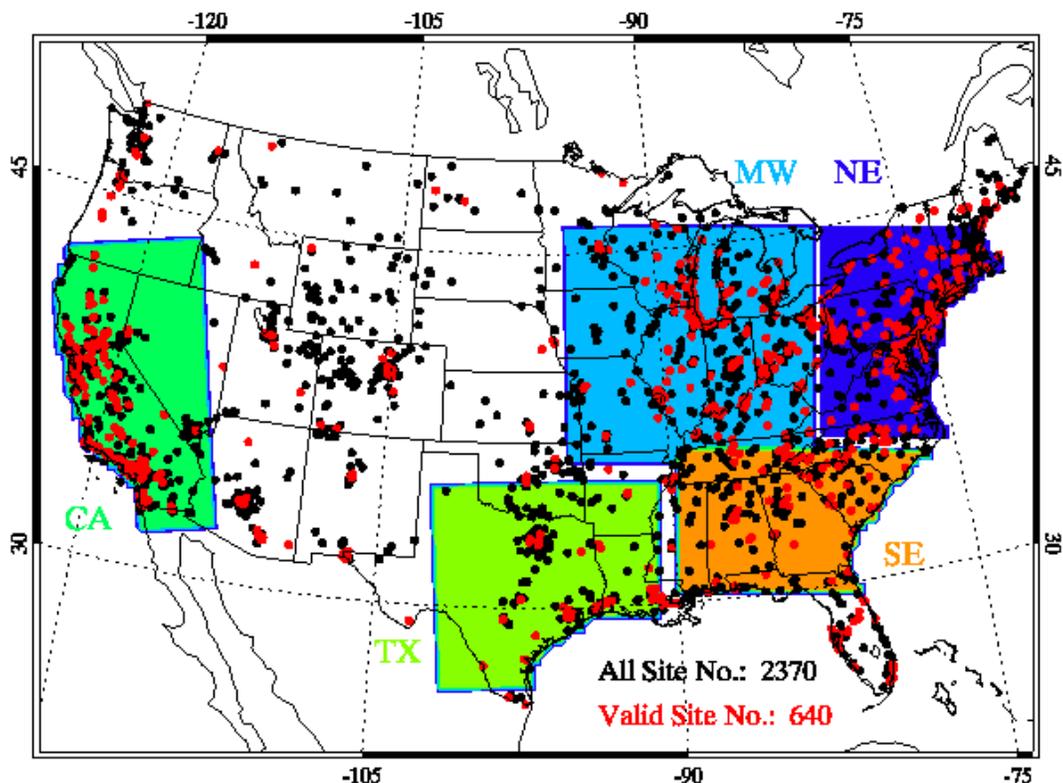
705 **Table 2.** Summary about the comparison of JJA MDA8 ozone concentrations from AQS
706 observations and CMAQ simulations during 2000-2015 in the CONUS and subdomains. Slope
707 and Correlation (Corr. R) are calculated for each year based on linear regression analysis. Please
708 note that our California and Texas subdomains include more area than the states of California
709 and Texas.
710

Year	Slope	Corr. R	NMB	RMSE	Year	Slope	Corr. R	NMB	RMSE
CONUS									
2000	0.73	0.37	-6.9	10.5	2008	0.70	0.54	-5.4	8.4
2001	0.80	0.61	-7.7	8.7	2009	0.78	0.35	-1.6	8.5
2002	0.71	0.63	-8.6	9.2	2010	0.75	0.51	-6.2	8.4
2003	0.81	0.60	-4.3	8.4	2011	0.77	0.42	-7.1	9.2
2004	0.85	0.39	1.3	8.9	2012	0.67	0.60	-10.7	9.3
2005	0.87	0.54	-7.3	8.8	2013	0.70	0.50	-1.8	7.9
2006	0.77	0.48	-7.6	9.1	2014	0.72	0.44	-3.0	7.6
2007	0.70	0.60	-6.1	8.0	2015	0.73	0.41	-4.2	7.7
California									
2000	0.70	0.67	-19.3	15.2	2008	0.63	0.53	-18.0	14.8
2001	0.72	0.63	-18.1	14.8	2009	0.67	0.61	-19.0	13.5
2002	0.80	0.55	-15.5	14.4	2010	0.62	0.55	-19.0	14.1
2003	0.80	0.55	-20.1	16.2	2011	0.68	0.57	-17.0	13.3
2004	0.78	0.51	-19.2	16.1	2012	0.64	0.63	-21.4	14.9
2005	0.78	0.54	-19.0	15.3	2013	0.64	0.60	-17.9	13.5
2006	0.80	0.61	-20.5	15.6	2014	0.69	0.56	-21.9	14.8
2007	0.69	0.65	-16.0	12.9	2015	0.72	0.61	-22.3	14.2
Texas									
2000	0.60	0.77	-20.4	11.8	2008	0.62	0.74	-10.5	6.6
2001	0.58	0.62	-19.6	11.5	2009	0.73	0.78	-17.1	8.7
2002	0.70	0.72	-10.4	6.6	2010	0.65	0.77	-9.4	5.3
2003	0.64	0.78	-8.8	6.5	2011	0.52	0.83	-22.7	12.1
2004	0.97	0.55	-7.2	5.8	2012	0.53	0.86	-17.8	9.4
2005	0.70	0.78	-21.5	11.4	2013	0.53	0.74	-11.6	6.9
2006	0.66	0.83	-20.5	11.3	2014	0.66	0.72	-5.0	4.7
2007	0.77	0.84	-4.0	3.9	2015	0.76	0.61	-10.1	5.8
Southeast									
2000	0.61	0.41	-20.5	13.3	2008	0.52	0.77	-13.4	8.3
2001	0.64	0.70	-7.7	6.2	2009	0.88	0.52	-2.7	4.2

2002	0.56	0.77	-14.1	9.5	2010	0.69	0.75	-7.8	5.1
2003	0.65	0.77	-0.7	4.7	2011	0.84	0.62	-13.5	8.2
2004	0.81	0.59	3.2	4.4	2012	0.62	0.73	-9.4	6.1
2005	0.54	0.64	-8.8	6	2013	0.74	0.70	7.0	4.1
2006	0.74	0.60	-14	9	2014	0.84	0.40	0.9	4.0
2007	0.56	0.71	-14.1	9	2015	0.71	0.44	-2.6	4.2
Northeast									
2000	0.50	0.25	7.9	7.0	2008	0.46	0.11	-0.5	5.8
2001	0.46	0.28	-3.6	6.0	2009	0.67	0.23	13.7	7.3
2002	0.51	0.13	-8.5	8.3	2010	0.49	0.10	-0.4	5.6
2003	0.85	0.16	3.0	5.3	2011	0.47	0.31	3.2	5.9
2004	0.81	0.21	10.0	6.6	2012	0.55	0.17	-2.9	5.3
2005	0.84	0.11	2.5	5.8	2013	0.78	0.45	11.6	6.4
2006	0.45	0.21	3.0	6.0	2014	0.60	0.33	-4.8	5.1
2007	0.48	0.19	-0.7	5.6	2015	0.49	0.11	2.2	5.1
Midwest									
2000	0.41	0.25	3.4	5.9	2008	0.44	0.25	3.5	4.7
2001	0.55	0.30	-2.3	4.9	2009	0.54	0.22	14	7.2
2002	0.45	0.27	-5.2	7.0	2010	0.57	0.12	2.4	5.3
2003	0.66	0.25	-0.1	4.7	2011	0.45	0.21	1.1	5.6
2004	0.68	0.44	13.9	7.5	2012	0.46	0.19	-11.6	8.3
2005	0.76	0.15	-4.4	5.6	2013	0.74	0.18	4.9	4.0
2006	0.50	0.17	0.3	5.0	2014	0.64	0.20	5.7	4.1
2007	0.39	0.20	-0.6	5.6	2015	0.68	0.27	8.7	4.7

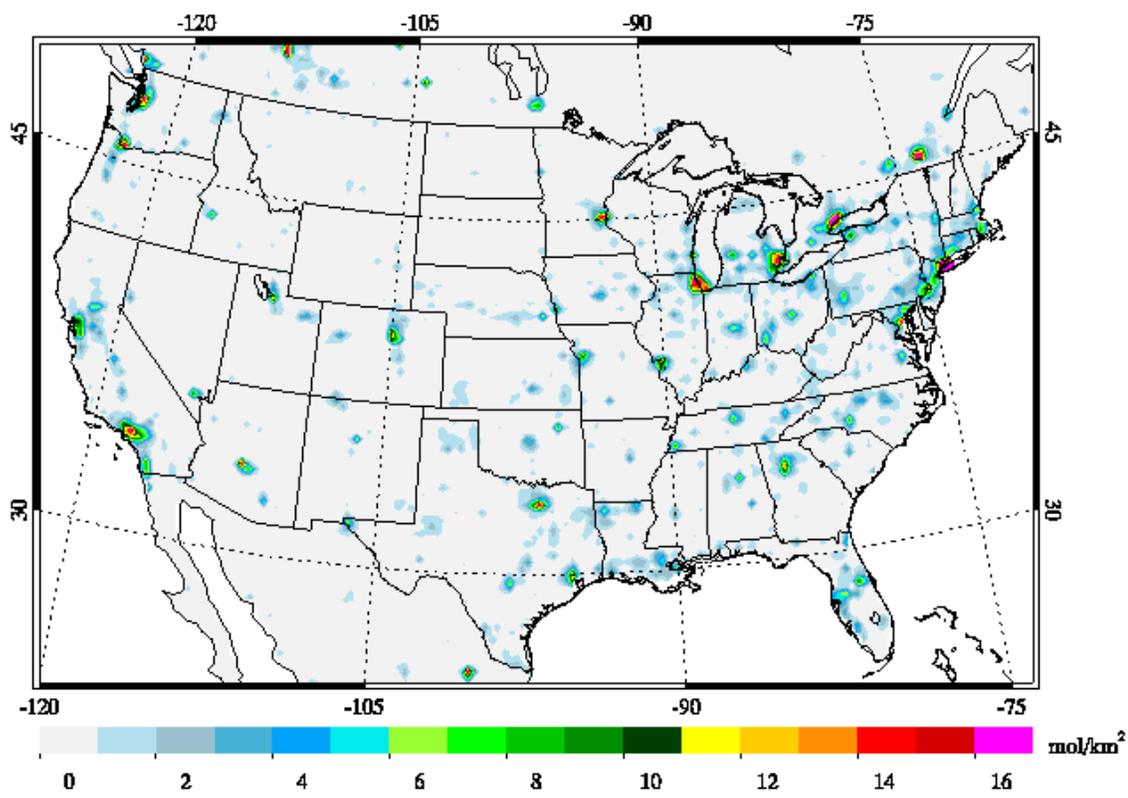
711 NMB: Normalized Mean Bias (Unit: %)
712 RMSE: Root Mean Square Error (Unit: ppbv)
713

714 **Figure 1.** Locations of EPA AQS sites for surface ozone monitoring during 1990-2015. Red dots
715 stand for monitoring sites with more than 20 year record. Black dots show the locations of
716 monitoring sites have short data records which are not used in this study. The map shows the
717 CWRF-CMAQ 30-km domain and five subdomains sensitive to air pollution. CA: California
718 (including nearby parts of Nevada, Arizona and Oregon); TX: Texas (including nearby parts of
719 Louisiana, Arkansas, and Oklahoma); SE: Southeast; NE: Northeast; MW: Midwest. Please note
720 that our CA and TX subdomains include more area than the states of California and Texas.



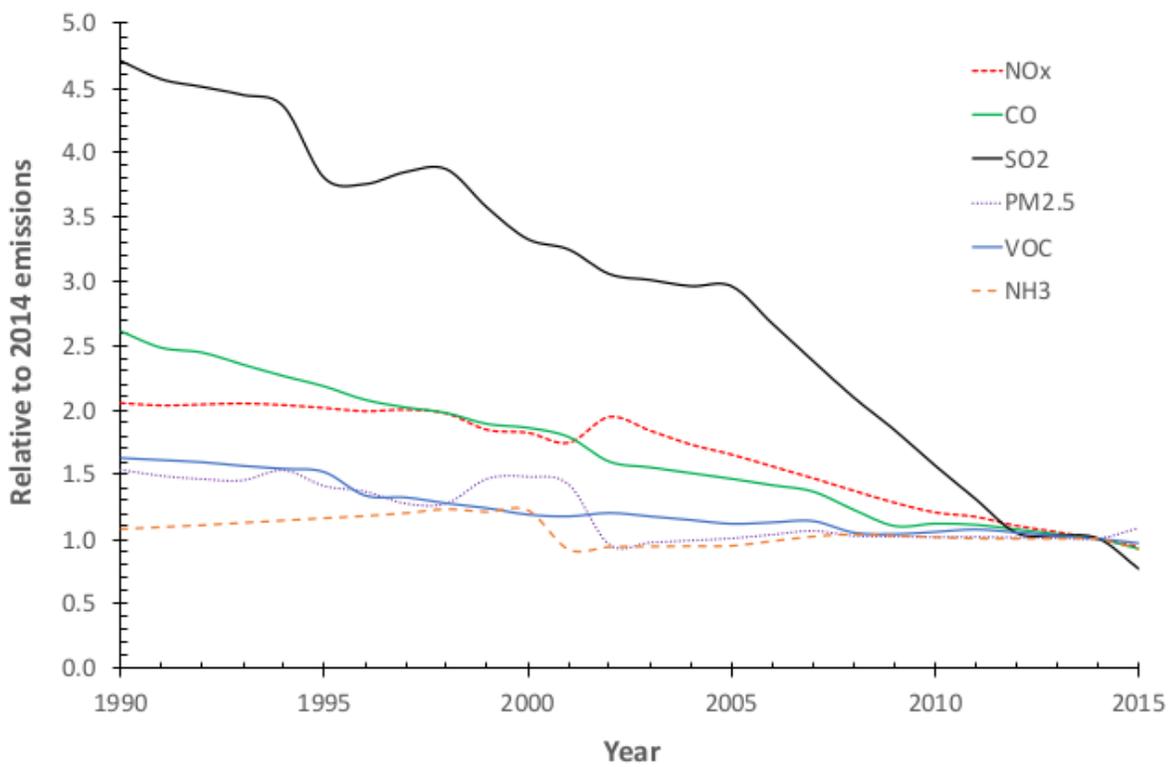
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722 **Figure 2.** Averaged daily NO_x emissions between 2010 and 2015 in the modeling domain (Unit:
723 mol/km² per second).



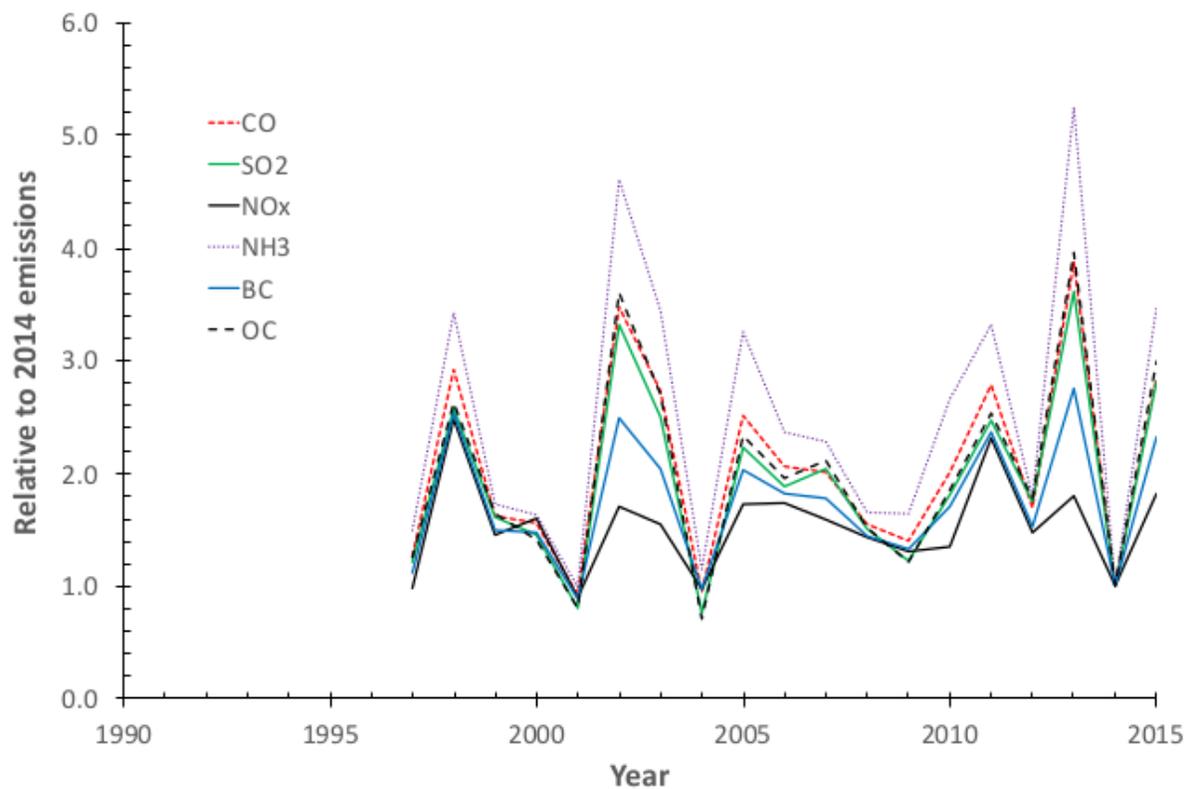
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725 **Figure 3.** Anthropogenic emission evolution relative to 2014 in the modeling domain from 1990
726 – 2015.



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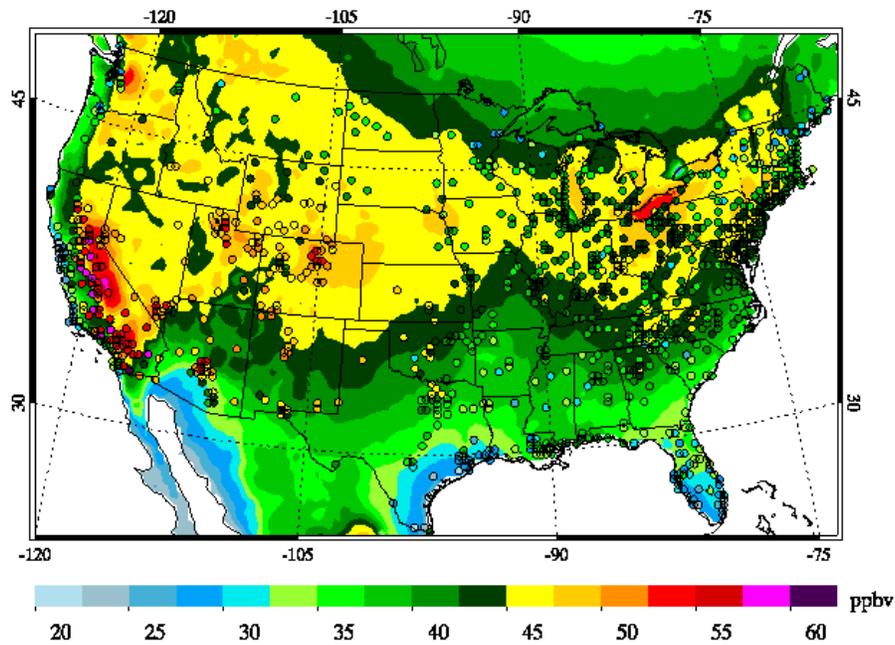
729 **Figure 4.** Fire emission evolution relative to 2014 in the modeling domain from 1990 – 2015.
730 Noting that GFED fire emissions are not available before 1997.



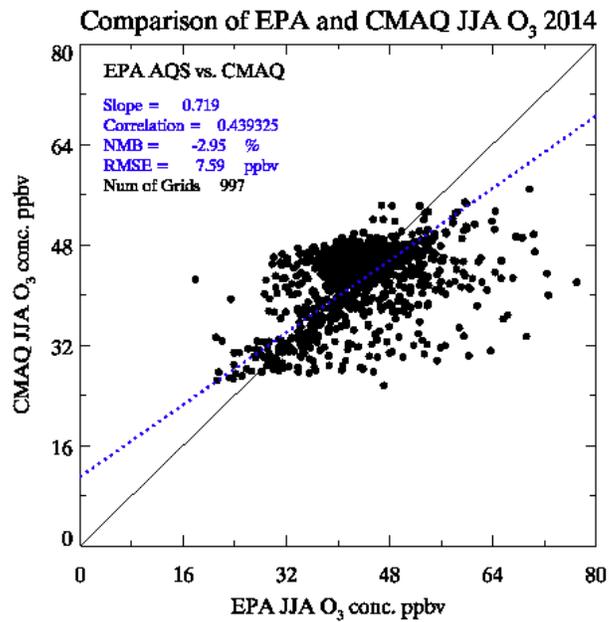
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732 **Figure 5.** Comparison of summer MDA8 ozone concentrations from EPA AQS observations and
 733 CMAQ simulations in 2014. AQS station data were gridded to the CMAQ grid using the EPA
 734 RSIG software. a) Contour plot, the background stands for the CMAQ outputs and the dots stand
 735 for gridded AQS observations; b) Scatter plot of the gridded AQS observations and co-located
 736 CMAQ outputs.

737 a)

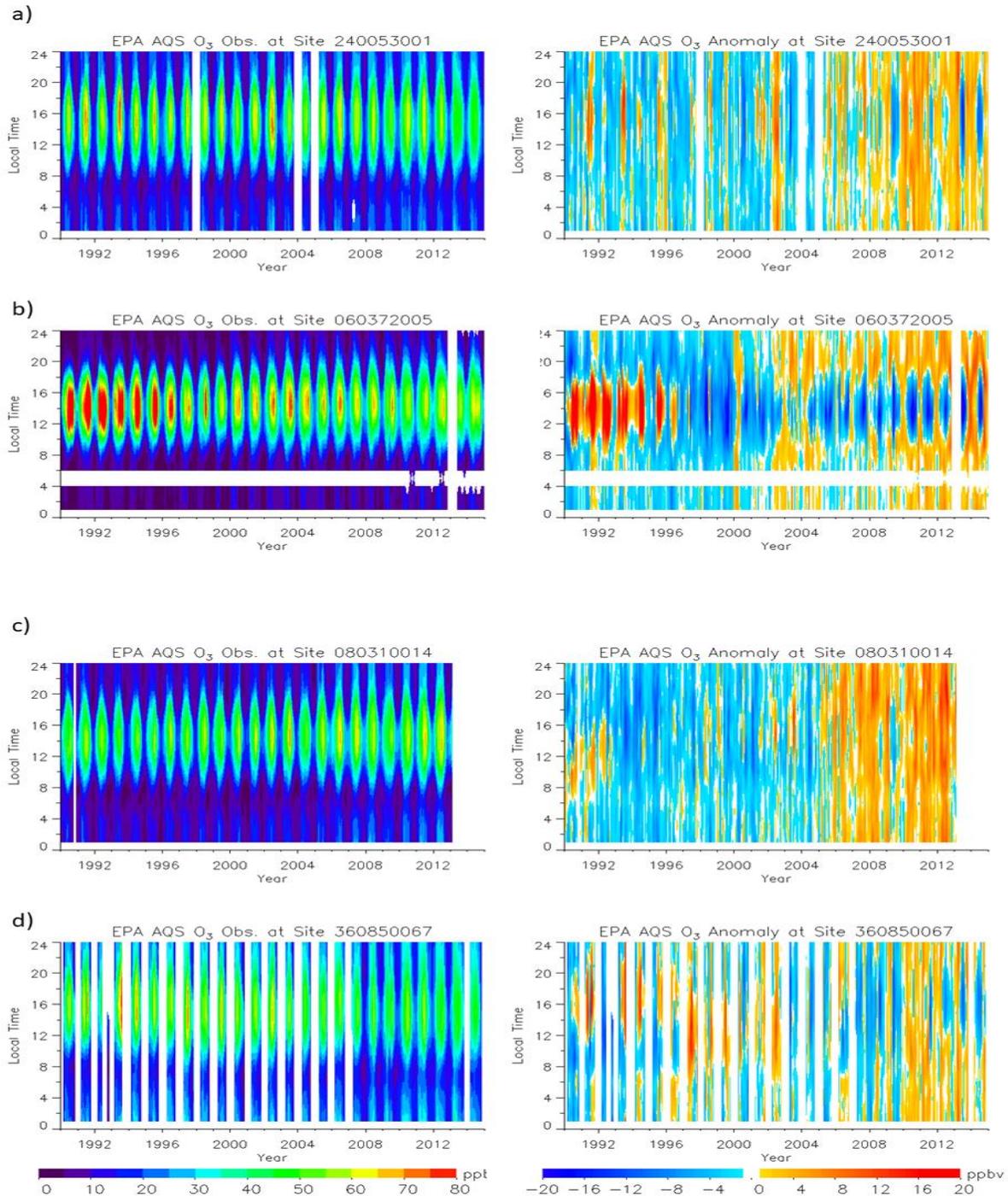


738
 739 b)



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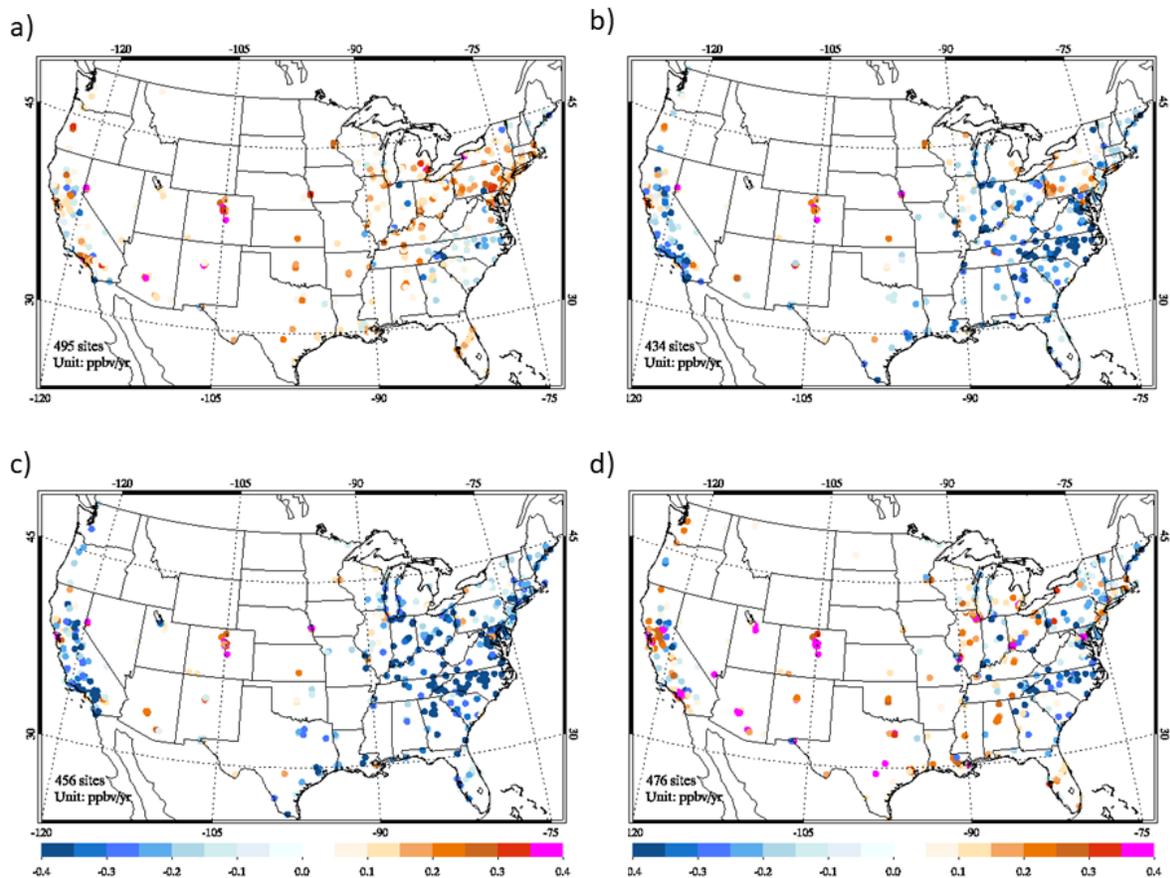
741 **Figure 6.** The box-averaging analyses of AQS ozone observations at selected sites from 1990-
 742 2015. a) Essex, Maryland (suburban Baltimore, AQS ID 240053001); b) Pasadena, California
 743 (downtown Los Angeles, AQS ID 060372005); c) Denver, Colorado (downtown Denver, AQS ID
 744 080310014); d) Staten Island, New York (suburban New York City, AQS ID: 360850067). Left
 745 column shows the monthly mean, right column shows the anomaly values. White patches stand
 746 for missing data or not sufficient data for the box-averaging analysis.



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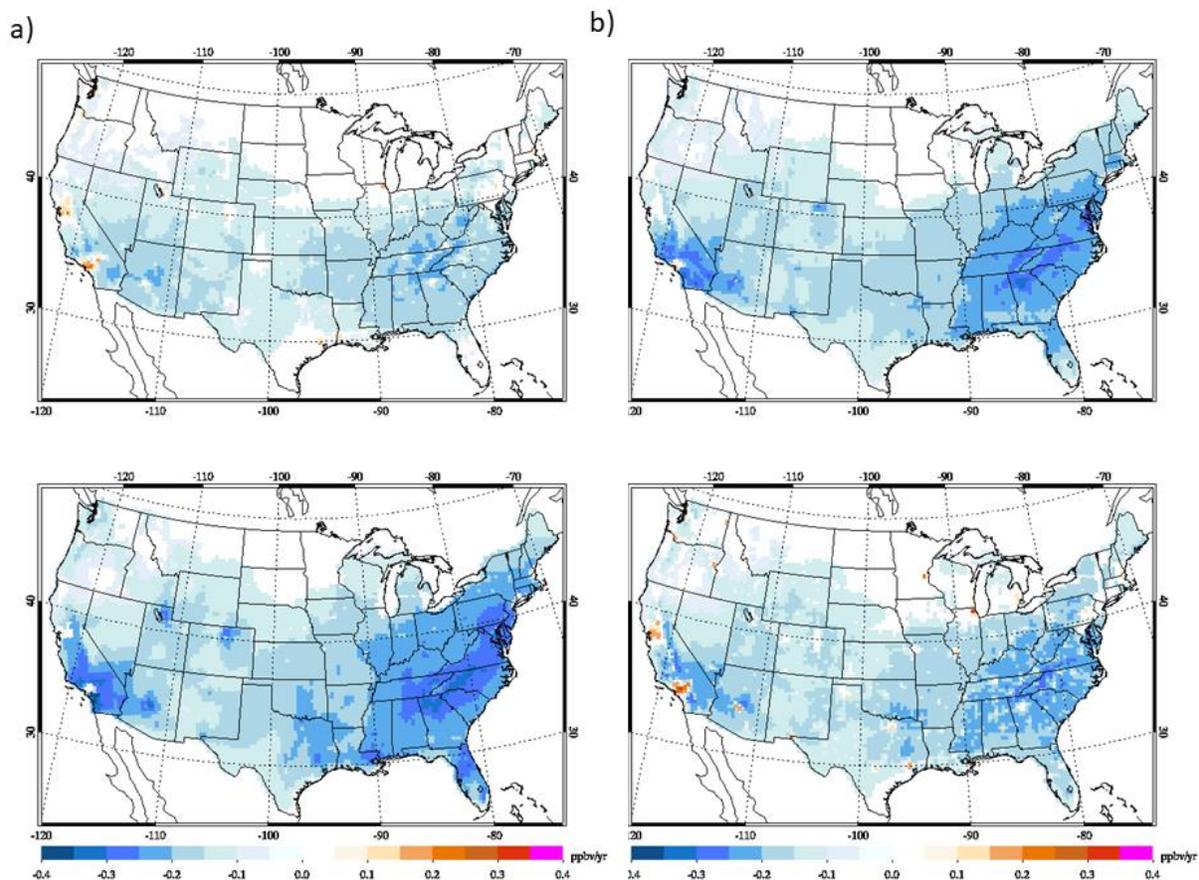
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749 **Figure 7.** Trend in ozone observations at selected EPA AQS sites during 1990-2015 (Unit:
750 ppbv/yr). a) at 8 am; b) at 12 pm; c) at 4 pm; d) at 8 pm (all local time). We only show the sites
751 with statistically significant linear trend in the plots.



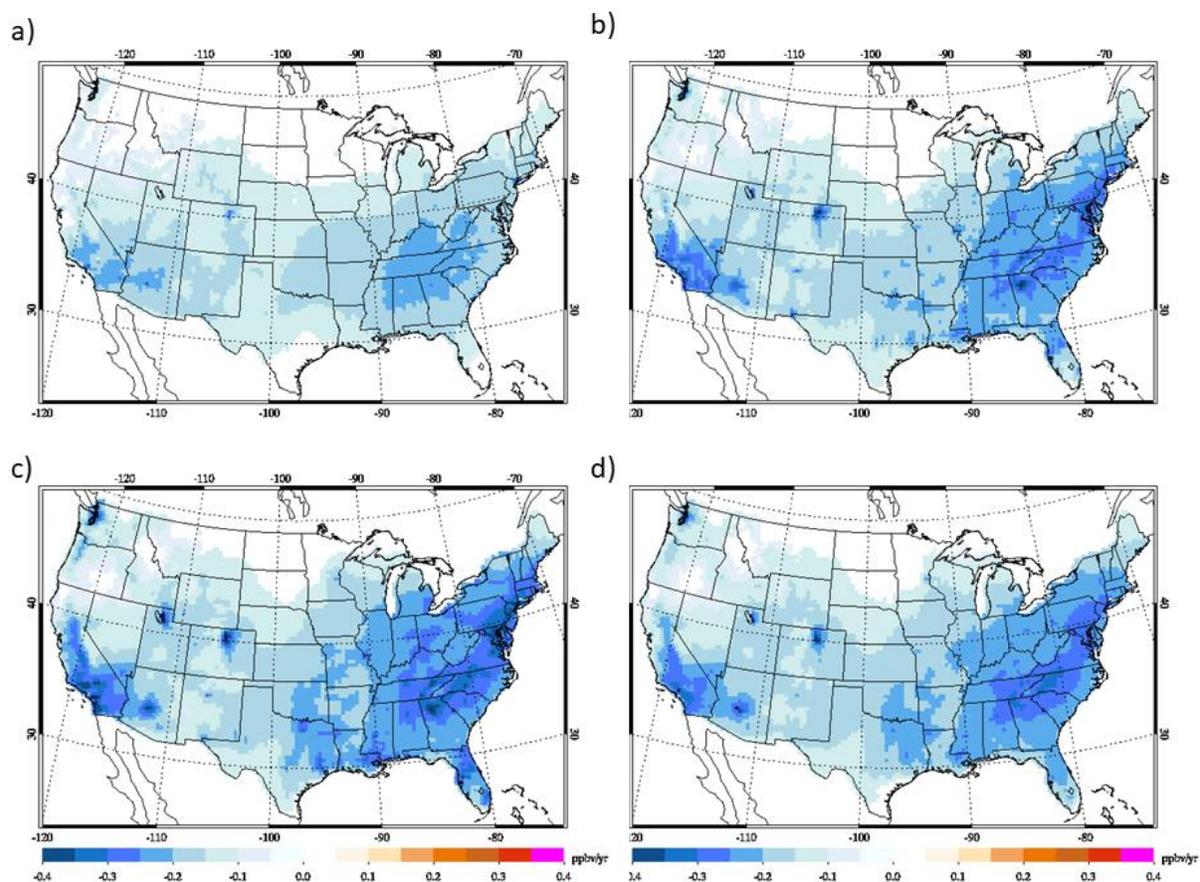
752

753 **Figure 8.** Trends in ozone simulations from CMAQ during 1990-2015 (Unit: ppbv/yr). a) at 8
754 am; b) at 12 pm; c) at 4 pm; d) at 8 pm (all local time). We only show CMAQ grids with
755 statistically significant linear trend in the plots.



756

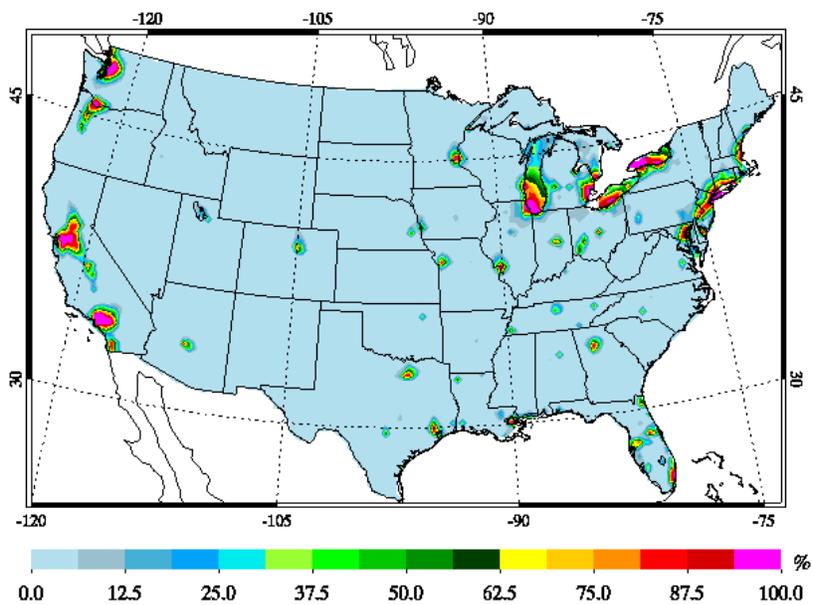
757 **Figure 9.** Trend in O_x ($O_x = O_3 + NO_2$) simulated by CMAQ during 1990-2015. a) at 8 am; b) at
758 12 am; c) at 4 pm; d) at 8 pm (all local time). We only show CMAQ grids with statistically
759 significant linear trend in the plots.



760

761 **Figure 10.** Probability of VOC-sensitive photochemical ozone production (i.e., $O_3/NO_y < 15$) in
762 the CONUS simulated by CMAQ at 2 pm local time in July, a) 1995; b) 2005; and c) 2015

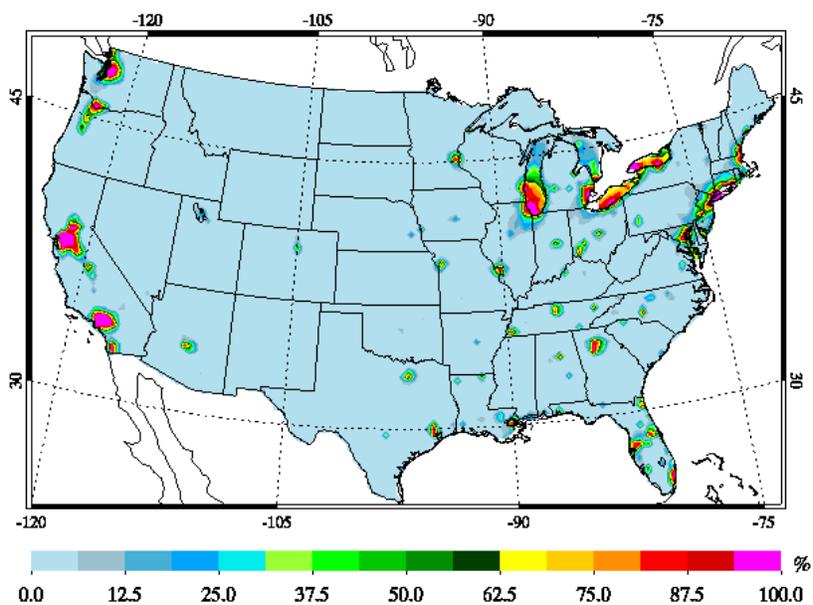
763 a)



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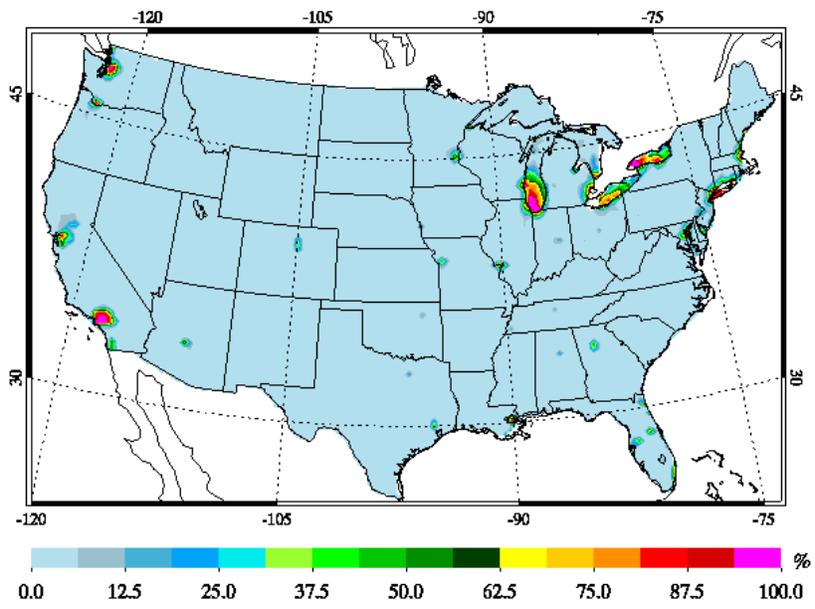
766 b)



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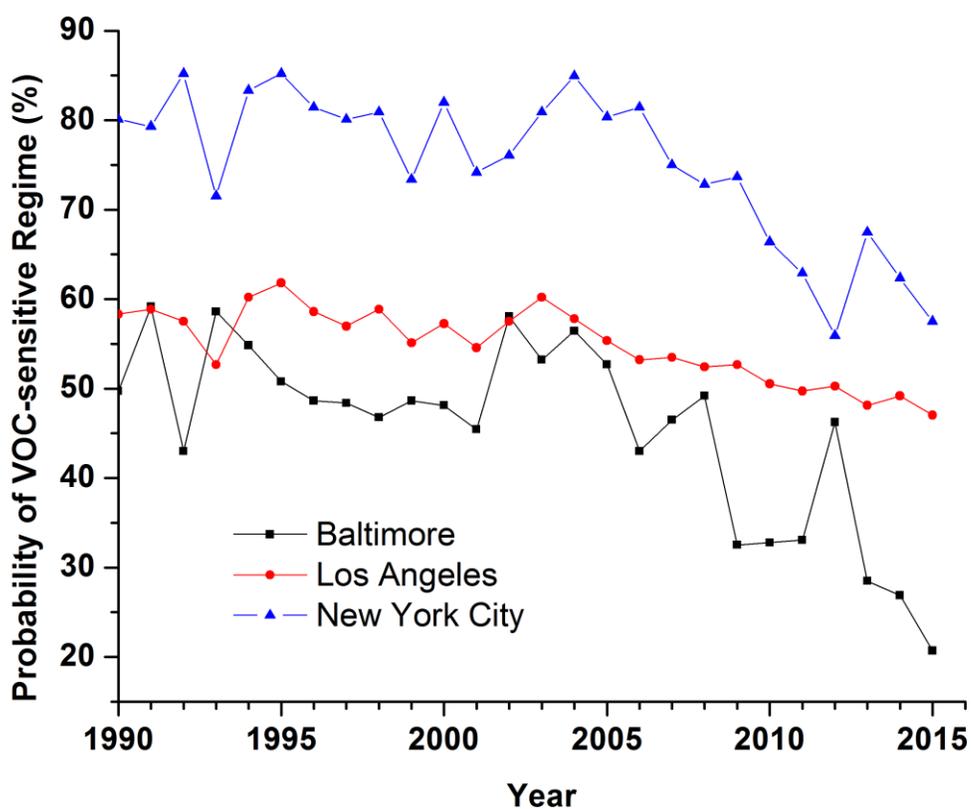
768

769 c)



770

771 **Figure 11.** Long-term trends in probability of VOC-sensitive photochemical production of
772 surface ozone in three major urban areas at 2 pm in July. Probability is calculated using averages
773 of 3×3 grids centered at downtown.



774